



Measurement Report: New insights into the boundary layer revolution impact on new particle formation characteristics in three megacities of China

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Abstract: New particle formation (NPF) events contribute more than 60% of ultrafine particles particularly in the boundary layer. This study retrieved the particle number size distribution and the NPF parameters and their relationship with planetary boundary layer height (PBLH) evolution, as well as the air mass back trajectories during NPF events in three Chinese cities: Beijing, Guangzhou, and Shanghai. Furthermore, all NPF events has been classified into three types: new particles grow rapidly during the initial rise of the boundary layer in Type I events, while they grow after the boundary layer reaches a certain height (above 800 m) in Type II events, and the shrinkage cases are the Type III. The results show that particle growth dynamics categorized into distinct types demonstrate that sustained particle growth predominantly occurred under conditions of stable and elevated PBLH. Survival parameters ranged from 13.1 to 115.9 in Beijing, 9.0 to 110.2 in Guangzhou, and 8.4 to 25.6 in Shanghai. Specifically, Type I events were associated with survival parameters between 14.0 and 45.2. A significant negative correlation is observed between survival parameters and PBLH ($R^2 = 0.2$ in Beijing, $R^2 = 0.02$ in Guangzhou, and $R^2 = 0.99$ in Shanghai, respectively). The main source of Aitken mode transport to Beijing is from Mongolia region. In Guangzhou, the contribution mainly comes from Jiangxi and Fujian provinces located in the northeast, while in Shanghai, the source lies to the northwest. This research provides valuable insights into developing strategies to manage the atmospheric environment.

Keywords: new particle formation; planetary boundary layer height; parameter correlation; backward trajectory.

1. Introduction



29 New particle formation (NPF) is the process by which detectable nanoscale clusters are
30 formed through heterogeneous nucleation of supersaturated gas molecules in the
31 atmosphere (Kanawade et al., 2022). This phenomenon occurs with remarkable
32 regularity in the atmosphere and culminates in the formation of significant nucleation
33 mode aerosol particles through condensation and coagulation. These newly formed
34 particles evolve over spatial scales of hundreds of kilometers and timescales of 1–2
35 days, potentially affecting the global climate as cloud condensation nuclei (CCN) (Zhao
36 et al., 2017; Kerminen et al., 2018). NPF events occur frequently in various atmospheric
37 environments (Li et al., 2023b), playing a major role in the evolution of particle number
38 concentration. The processes of early nucleation, continuous growth and occurrence
39 frequency are critical issues in aerosol formation and Ultrafine particle source research.
40 Exploring its relevant mechanisms has significant impacts on regional environmental
41 quality, climate, and human health (Kulmala et al., 2022). Currently, many methods
42 have been used to identify the growth characteristics and development mechanisms of
43 NPF (Hu et al., 2016; Chan et al., 2020), such as field observations (Kerminen et al.,
44 2018), model simulations and smoke chamber simulations (Chu et al., 2022). Among
45 these, model simulations and smoke chamber simulations are usually employed to study
46 the chemical mechanisms of NPF. Especially in the boundary layer, most NPF are under
47 the control of the daily evolution of the planetary boundary layer height (PBLH) (Du et
48 al., 2025; Sun et al., 2015) so the influence should be further studied.

49 In China, research on NPF process parameters has been conducted through field
50 observations in multiple locations, including Beijing (Kulmala et al., 2021; Wu et al.,
51 2021b) and the Northwest Desert region (Shengjie et al., 2001). A long-term (373 days)
52 observation of NPF in urban Beijing examined the formation and growth of sub-3 nm
53 particles. It found that a sluggish growth rate (GR) and the presence of pristine
54 background aerosols lead to a reduced survival rate of newly formed particles (Deng et
55 al., 2021a). Moreover, ultrafine particles (UFP, diameter < 100nm) are greatly affected
56 by the condensation sink (CS), with 95% of NPF events occurring when $CS < 0.03 \text{ s}^{-1}$



¹ (Deng et al., 2021b). The impact of PBLH on NPF is complex and significant, involving multiple factors such as meteorological conditions, precursor gas concentrations, and particle growth mechanisms. Various atmospheric conditions influence not only the frequency of NPF but also its development. High relative humidity could be responsible for the enhanced growth (Du et al., 2025) and at the higher temperature the cluster evaporation markedly slows NPF (Li et al., 2023a). Furthermore, due to the lower availability of condensable species, NPF events occurring under cleaner atmospheric conditions exhibit lower GR of newly formed particles (Bousiotis et al., 2018). The relationship between PBLH and NPF is intricate and warrants further in-depth research.

As a key process of air pollution, NPF can change boundary layer structure directly or indirectly by influencing the surface energy balance through radiation effects (Myhre et al., 2013). Shen et al. (2016) reported the diurnal variation in NPF number concentration observed at Mount Tai is primarily governed by the evolution of the boundary layer, while the seasonal variation is driven by both boundary layer evolution and atmospheric advection over Mount Tai. Deot et al. (2024) conducted measurements at different altitudes in Cyprus and found that higher PBLH are typically associated with stronger NPF events, which attributed to under higher boundary layer conditions, more precursor gases can accumulate at elevated temperatures and with lower CS rates. The boundary layer development can govern the capacity for atmospheric vertical diffusion, and changes in its height will directly affect the transport process of NPF, indicating a direct feedback system between NPF and the PBL. The influence of NPF on the PBLH varies with seasonal conditions: during colder periods (autumn and winter), lower PBLH correlates negatively with nucleation-mode particle (Nuc mode), while in warmer periods (spring and summer), lower PBLH correlates positively with NPF events (Blanco-Alegre et al., 2022). In warmer periods (spring and summer), higher PBLH supports NPF by enhancing vertical mixing and creating a cleaner atmosphere (Blanco-Alegre et al., 2022). Additionally, high aerosol concentrations in



85 the PBL can suppress NPF by reducing particle sources and increasing sinks, shifting
86 NPF occurrences to the lower troposphere (Quan and Jia, 2020). The flux of harmful
87 aerosol pollution in the boundary layer depends on atmospheric turbulent mixing,
88 which is closely related to the PBLH (Stjern et al., 2023). A high CS environment can
89 hinder the growth of new particles, while the boundary layer can affect CS, thereby
90 influencing NPF. The PBLH impacts the vertical distribution of particles (Wu et al.,
91 2021a). The PBLH influences the distribution and concentration of particles (Wang et
92 al., 2021), which in turn affects the CS by altering the surface area available for vapor
93 condensation (Sebastian et al., 2021).

94 However, long-term observations of NPF are rare in China, with only a few studies
95 documenting NPF observations spanning more than one year (Peng et al., 2017; Chu et
96 al., 2019). In this study, we utilized long-term observational datasets to analyze particle
97 variations, backward trajectories, and pollution distribution maps of megacities (Beijing,
98 BJ; Guangzhou, GZ; Shanghai, SH) in China during NPF periods. We use the same
99 setup and observation procedure around the cities. The effective observation days of BJ,
100 GZ, and SH were 408, 127, and 53 days, respectively. The back trajectory is estimated
101 from the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model
102 developed by the NOAA Air Resources Laboratory. This approach considers the
103 diversity of different natural atmospheric conditions and human activities, providing a
104 basis for clarifying the similarities and differences in the sources and transport
105 processes of particulate pollutants across different megacities regions in China
106 mainland.

107 The research methods and locations of the observation sites are presented in Chapter 2.
108 Chapter 3 discusses the occurrence frequency of NPF, the seasonal daily variations in
109 aerosol PNSD at the three sites, and the 48-hour backward trajectories of particulate
110 matter during NPF events. Additionally, we conducted research on the PBLH of the
111 three cities during NPF events. This study provides an important reference for



environmental management in China.

2 Experiments and methods

2.1 Station location

We set up an intensity Carbin installed multi particle instruments, move around three observation sites to collect data. They are distributed separately in three major Chinese cities, BJ, GZ, and SH. The scanning mobility particle sizer (SMPS) is used to measure the particle sizes of atmospheric aerosols. The micro pulse lidar (MPL) can be connected to computers to monitor PBLH data in real-time via specialized software. The equipment setup is shown in Fig. 1a and 1b.

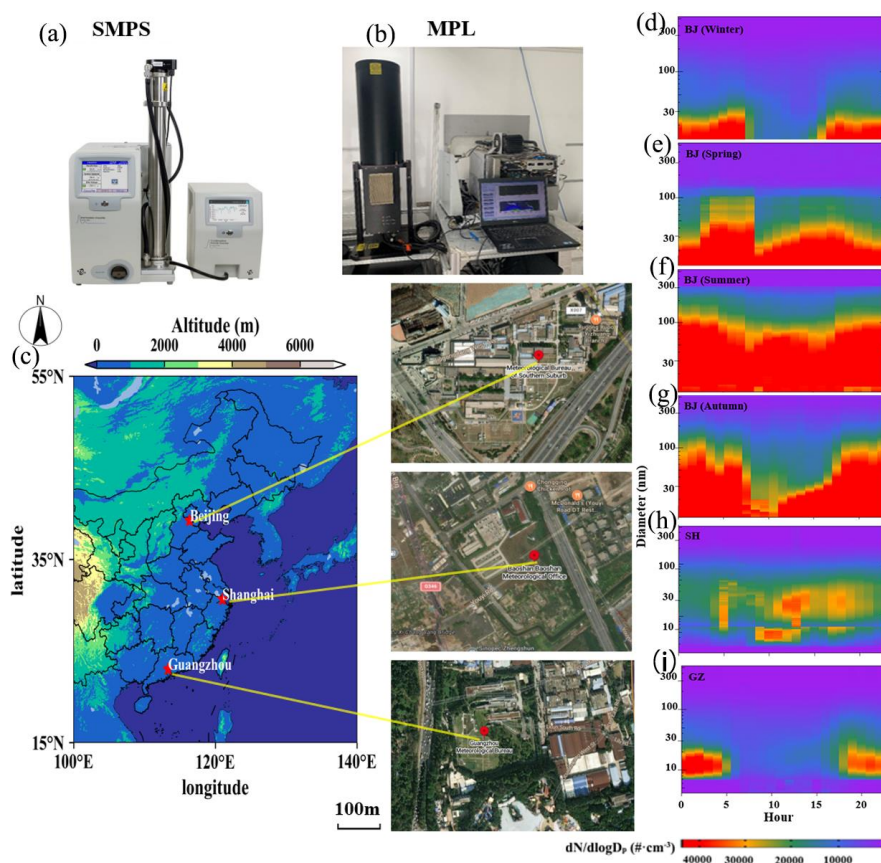




Fig. 1 The instrument: (a) SMPS and (b) MPL. (c) Depiction of instruments, geographical location diagram and surroundings of three observation sites in BJ, GZ and SH. (d-g) The diurnal variation of PNC during observations in BJ in different seasons from July 2017 to October 2019, (h) in SH from April to June 2020, and (i) in GZ from November 2019 to March 2020, respectively.

The observation site was established at Beijing Observatory (116.35° E, 39.2° N, 44 m a.s.l.), located along the Fifth Ring Road in the Daxing District's Old Palace area, the southern suburb of BJ, within the North China Plain. The terrain is elevated in the northwest and lower in the southeast, surrounded by mountains to the west, north, and northeast. The site experiences a temperate, semi-humid to semi-arid monsoon climate. The surrounding traffic network is intricate, with significant traffic congestion. The diurnal variation of PNSD in different seasons in BJ is significant (Fig. 1d–Fig. 1g). In winter (December, January, and February), From 8:00 to 15:00 local time (LT), the PNC of smaller than 30 nm particles remained below $1,000 \text{ cm}^{-3}$, which was one of the reasons why NPF was lower than in other seasons. In summer, the PNC of smaller than 50 nm particles stayed above $4,000 \text{ cm}^{-3}$. These UFPs are typically primary particles that facilitate the growth of new particles in the atmosphere.

The site in GZ is the observation field of Guangzhou Meteorological Bureau (113° E, 23° N, 11 m a.s.l), 10 km from the center of GZ. An urban trunk road lies 500 m north of the site and another 100 m west, with the Chimelong Tourist Area and several industrial parks in proximity. GZ is in the central part of Guangdong Province and the northern edge of the PRD, with terrain that slopes from northeast to southwest. GZ is one of China's earliest open coastal cities, serving as a critical hub for transport and logistics. The city enjoys a subtropical monsoon climate with significant maritime influences. The particles were mainly concentrated at night, while the air was much cleaner during daylight hours. Starting from 17:00 LT, particles in the 25-50 nm range began to increase, likely due to the influence of land-sea circulation, which recirculated 25-50 nm particles. This phenomenon will be explained about the PBLH in the



149 following sections.

150 The observation site of SH is set at Baoshan Meteorological Bureau Observatory (121°
151 E, 30.6° N, 2 m a.s.l). SH is situated in the alluvial plain of the YRD in Eastern China,
152 bordered by Asia to the west and the Pacific Ocean to the east, with a population
153 exceeding 24 million. The city experiences a subtropical monsoon climate,
154 characterized by ample sunlight and precipitation. Compared to PNSD in BJ and GZ,
155 the air in SH was the cleanest, with consistently low average PNC throughout the day
156 (shown in Fig. 1h). PNC in SH was primarily concentrated during daylight hours,
157 differing from their distribution in GZ. The PNC for particles smaller than 30 nm
158 increased mainly between 21:00 LT and 2:00 LT.

159 **2.2 Equipment and Dataset**

160 The Scanning Mobility Particle Sizer (SMPS) is used to measure the particle sizes of
161 atmospheric aerosols. The Micro Pulse Lidar (MPL) can be connected to computers to
162 monitor PBLH data in real-time via specialized software. The equipment setup is shown
163 in Fig. 1a and 1b. The measurements of aerosol particle number size contribution
164 (PNSD) were made with SMPS. It measures particle size contribution from 11.3 nm to
165 552.3 nm in 1 min intervals and a Nano-SMPS has been added from December 2, 2018,
166 to obtain the 2–40 nm PNSD. The autonomous MPL operates at 532 nm in both parallel
167 and perpendicular polarizations, providing backscattered radiation profiles at a
168 temporal resolution of 10–30 s and a vertical resolution of 30 m (Roldán-Henao et al.,
169 2024). PBLH was derived from MPL measurements, except for SH during 1–29 April
170 2020 due to the Lidar malfunction during these period, where it was retrieved from
171 ERA5 reanalysis data.

172 The effective PNSD observation datasets used in the analysis include 408 days (July
173 2017–October 2019) in BJ, 127 days (November 2019–March 2020) in GZ, and 53
174 days (April 2020–May 2020) in SH, respectively. The observation datasets used to plot



the PNSD are three-dimensional, including datetime, particle size range (D_p , the particle diameter), and particle number concentration (in $dN/d\log D_p$) for the corresponding particle size. After obtaining the original data, we need to eliminate the abnormal values. In this study, the hourly average of real-time data was used to construct the PNSD plot, The characteristics of aerosol PNSD can determine its CS, GR, P value and physical in the atmosphere. The sources of aerosol particles can be identified through the analysis of their size distribution.

2.3 Identify NPF events and calculation of NPF parameters

In general, specific criteria are applied to identify NPF events. A typical NPF event should exhibit the following three characteristics, often summarized as a "banana" shape (Maso et al., 2005). First, there is an increase in nucleation-mode PNC (particles with 10–25 nm diameter). Second, new nucleation-mode particles develop independently and persist for several hours. Finally, nucleation particles show a continuously increasing trend over 3 hours (Maso et al., 2005). If a process meets the above criteria, it is classified as an NPF event, and that day is defined as an NPF day. If there was no clear growth of newly formed particles or the particle growth is intermittent in time, the day was classified as undefined. Days without a burst of nucleation-mode particles and subsequent growth were considered non-event days (Non_NPF).

Calculated GR during NPF using the geometric mean diameters of the fitted particle size distributions:

$$GR_{\Delta D_p} = \frac{dD_p}{dt} = \frac{dD_p}{\Delta t}$$

where D_p is the measured diameter during NPF at time t . We represent GR by calculating the linear fitting slope of the geometric mean diameter of particles within a



199 certain time period (Casquero-Vera et al., 2023).

200 CS represents the loss of gaseous vapors due to their condensation onto aerosol particles.

201 It is positively correlated with the collision sink and does not directly affect PNC. The

202 formula is as follows (Kulmala et al., 2012):

203
$$CS = 4\pi D \int_0^{d_p^{max}} d_p N_{d_p} dd_p = 4\pi D \sum_{d_p} \beta_{m,d_p} d_p N_{d_p}$$

204 Where D is the diffusion coefficient of the condensed gas, β_m is the correction

205 coefficient.

206 2.4 Survival Parameter

207 Survival parameter is crucial for determining the occurrence of NPF events, as it

208 represents the fraction of newly formed particles that survive the transition from a

209 smaller to a larger diameter. (Tuovinen et al., 2022). It can be used to predict the

210 dimensionless P of pollutant gas and provide guidance during periods of particulate

211 pollution (Kulmala et al., 2017). The formula of the dimensionless P is as follows:

212
$$P = \frac{CS'}{GR'}$$

213 Where the $CS' = CS / (10^{-4} s^{-1})$, and $GR' = GR / (1 nm h^{-1})$. Both CS and GR are key

214 parameters calculated from PNSD. Generally, high CS values tend to suppress NPF, but

215 the survival probability can vary significantly due to environmental conditions and

216 particle interactions (Tuovinen et al., 2022). It is significant for investigating the

217 pollution source to research the P, as well as the impact of meteorological conditions

218 and seasonal changes of P value.

219 2.5 Backward trajectories



220 This study used global reanalysis of meteorological data to calculate the pollutant
221 source and air mass transport trajectories at three sites. We used a method combining
222 the Potential Source Contribution Function (PSCF) and Concentration-Weighted
223 Trajectory (CWT) in the HYSPLIT model to identify pollutant sources reaching
224 observation sites and assess their impact on NPF. PSCF shows the contribution from
225 potential source areas based on the conditional probability of pollution trajectories in
226 each grid but does not indicate the pollution level in the study area. The ij_{th} component
227 of a PSCF field given as follows (Zong et al., 2018):

$$228 \quad PSCF_{ij} = m_{ij} / n_{ij}$$

229 where n_{ij} is the total number of endpoints that fall in the ij_{th} cell, and m_{ij} is the number
230 of endpoints in that plot for which the readings exceed a user defined threshold standard.
231 Note that cells with few endpoints can result in high uncertainty in the PSCF method.
232 Thus, to move these high uncertainties, an arbitrary weight function $W(n_{ij})$ is
233 multiplied into the PSCF value:

$$234 \quad W_{ij} = \begin{cases} 1.0, & N_{ij} > 3N_{Ave} \\ 0.70, & 1.5N_{Ave} < N_{ij} < 3N_{Ave} \\ 0.42, & N_{Ave} < N_{ij} < 1.5N_{Ave} \\ 0.17, & 0 < N_{ij} < N_{Ave} \end{cases}$$

235 **3 Result and discussion**

236 **3.1 Frequency of NPF events**

237 As discussed in Sect. 2, NPF events were identified using the methodology and criteria
238 outlined by (Kulmala et al., 2012). The frequency of NPF events and average PBLH at
239 the three measurement sites are illustrated in Fig. 2. During the observation period, all
240 NPF events occurred during the daytime growth. The average PBLH was calculated



241 from 8:00 to 18:00 LT, which also could be the NPF window 9:00-15:00 LT.

242 We have found 74 NPF events during 408 effective observation days in BJ, from July
243 2017 to October 2019 (details in Table 1 which lists all NPF days during the observation
244 period in BJ, GZ, and SH). The NPF frequency in BJ was 17.8%, with 12 events
245 occurring in summer (June, July, and August). The summer months had the lowest
246 occurrence, indicating that factors such as meteorological conditions and precursor
247 availability, alongside PBLH, potentially influence NPF events (Deng, 2020) . NPF
248 events occurred predominantly in spring and autumn months, with the notably highest
249 frequencies observed in March (25.9%) and October (23.3%). Temperature emerged as
250 the primary factor driving seasonal variations in the frequency and intensity of NPF in
251 BJ (Li et al., 2023a). The monthly mean PBLH for Beijing averaged around 643 m,
252 peaking at approximately 833 m and displaying a positive correlation with the NPF
253 frequencies. For certain months, especially November and December, the substantial
254 number of missing observations restricts the ability to comprehensively assess the
255 influence of the boundary layer on NPF events. A more detailed discussion will be
256 provided in the following sections.

257 A total of 14 NPF events were recorded during 127 effective observation days in GZ
258 from November 2019 to March 2020. The mean NPF frequency was relatively low,
259 averaging around 10.0%, with frequencies ranging from 3.4% to 20.0%. The mean
260 PBLH was approximately 512 m, peaking at approximately 586 m, reinforcing the link
261 between atmospheric mixing conditions and particle formation processes.

262 A total of 10 NPF events, with a frequency of 18.87%, were observed in SH over 53
263 days from April to June 2020. The mean PBLH in SH was approximately 677 m,
264 reaching a maximum near 741 m at noon, with the highest values coinciding notably
265 with high NPF frequencies, particularly in April.

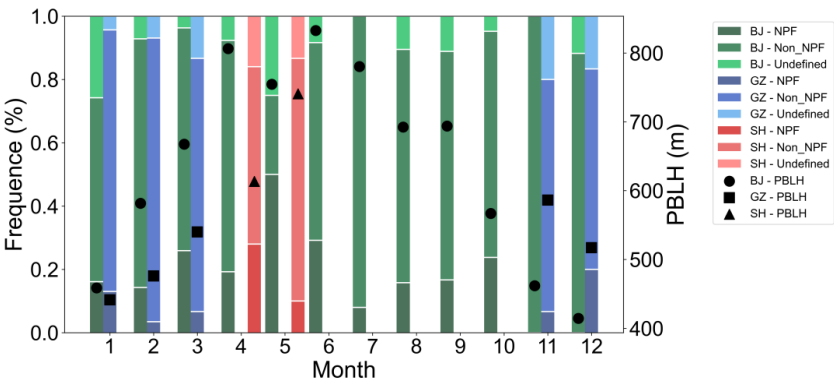


Fig. 2. Frequency of NPF events and average PBLH in BJ, GZ, and SH. The circle denotes PBLH of BJ, the square denotes PBLH of GZ, and the triangle denotes PBLH of SH.

Table 1. New particle formation events at BJ, GZ and SH.

site	Month	PBLH (m)	NPF days	Non_NPF days	Undefined days	Missing days
BJ	Jan	458.6719	5	18	8	0
BJ	Feb	581.5099	4	22	2	0
BJ	Mar	667.5479	7	19	1	4
BJ	Apr (in 2 years)	806.1902	5	19	2	35
BJ	May	754.4776	2	1	1	26
BJ	Jun (in 2 years)	832.5667	7	15	2	30
BJ	Jul (in 2 years)	780.34	7	30	0	32
BJ	Aug (in 2 years)	692.309	5	14	2	31
BJ	Sep	693.769	3	13	2	13
BJ	Oct	566.9179	5	15	1	10
BJ	Nov (in 2 years)	461.7263	0	2	0	58
BJ	Dec (in 2 years)	414.4698	0	15	2	45
GZ	Jan	441.4076	3	19	1	8
GZ	Feb	476.0462	1	26	2	0
GZ	Mar	539.949	2	24	4	1
GZ	Nov	586.0955	2	22	6	0



GZ	Sep	517.0407	6	19	5	1
SH	Apr	613.2995	7	15	4	5
SH	May	740.7455	3	23	4	1

270 **3.2 PBLH under different events**

271 The relationship between PBLH and NPF is further explored with a temporal resolution
 272 approach. The observation period was classified into NPF days and Non_NPF days,
 273 and Fig. 3 reveals clear distinctions in the diurnal variations of PBLH between NPF and
 274 Non_NPF days. Prior to sunrise (0:00–6:00 LT), PBLH remained at 150–200 m. With
 275 the onset of surface heating after 6:00 LT, the PBLH grown rapidly, especially on NPF
 276 days, reaching roughly 500 m by 9:00 LT compared to approximately 390 m on
 277 Non_NPF days. After 9:00 LT, the solar radiation that the surface receives increases,
 278 making PBLH rise gradually (Zheng et al., 2017). On NPF days, the maximum average
 279 PBLH in BJ was 1165 m, whereas on Non_NPF days, it peaked at approximately 962
 280 m around 14:00 LT, marking a difference of about 203 m. In the late afternoon (16:00–
 281 18:00 LT), the PBLH values declined as insolation wanes. In GZ, the boundary layer
 282 remained relatively elevated on Non_NPF days, often exceeding 600 m even during
 283 nighttime. Vehicular emissions outside the observation sites contribute to an “aerosol
 284 greenhouse effect”, enhancing nighttime thermal retention (Miao et al., 2019).
 285 Meanwhile, the urban heat island effect intensifies surface thermal turbulence,
 286 promoting the nocturnal rise of the boundary layer (Huang and Bai, 2023; Zhang et al.,
 287 2024). Across all three sites, the PBLH on NPF days was 100–200 m higher than on
 288 Non-NPF days. This difference was consistently observed across BJ, GZ, and SH,
 289 suggesting that enhanced convective mixing and reduced particle CS under high PBLH
 290 conditions provide favorable conditions for nucleation and particle growth.

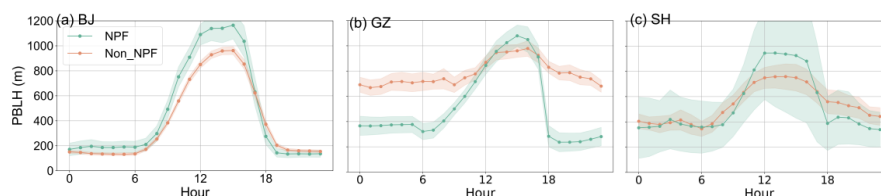


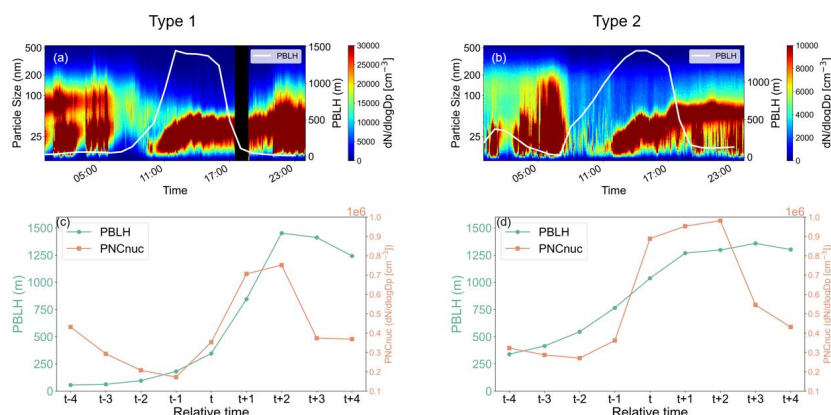
Fig. 3. The average of PBLH during NPF events and Non_NPF events at (a) BJ, (b) GZ, and (c) SH.

3.3 Evolution Patterns and Particle Growth

Within the dataset comprising 71 NPF events, four instances were observed to occur concurrently with the boundary layer's ascent, classified as Type I. A second pattern, designated as Type II, involves NPF events manifesting as atmospheric turbulence intensifies, coinciding with boundary layer elevations exceeding 800 m Fig. 4a illustrates a representative case of Type I on 24 August 2017, where at approximately 10:30 LT, the PBLH commenced its ascent from 450 meters. Concurrently, the particle number concentration of nucleation mode particles (Nuc mode) surged from 294,644 cm^{-3} to 503,055 cm^{-3} , indicating the initiation of an NPF event synchronized with the development of the boundary layer. Conversely, a Type II event was characterized by a rapid increase in Nuc at 11:30 LT, accompanied by the emergence of a distinct new particle mode, indicative of the onset of NPF at this time. The PBLH increased from 22 m at 7:00 LT to approximately 1,000 m by 11:30 LT. Fig. 4c and 4d depict the temporal correlation between average PBLH and Vehicular emissions outside the observation sites contribute to an “aerosol greenhouse effect,” enhancing nighttime thermal retention. Meanwhile, the urban heat island effect intensifies surface thermal turbulence, promoting the nocturnal rise of the boundary layer. for the respective event types. The t represents the relative time at which the NPF event occurs, and $t+1$ refers to one hour after the onset of the NPF event. Comparative analysis reveals that Type I events predominantly occur when the PBLH is around 400 m, suggesting that initial boundary layer development can serve as a catalyst for NPF. In contrast, Type II events are initiated when the PBLH exceeds 800 m, implying a requirement for a more mature



boundary layer to facilitate nucleation processes. The gradual increase in PBLH leads to a significant reduction in near-surface aerosol concentrations and facilitates the accumulation of precursor vapors within a larger volume, thereby decreasing condensation loss and creating favorable conditions for Nuc (Hao et al., 2018; Rose et al., 2021; Zhang et al., 2024). As the PBLH approaches its maximum, photochemical reactions become most intense, resulting in peak concentrations of oxidized precursor gases (Rose et al., 2021). This fulfills the critical conditions for nucleation and subsequently triggers NPF events. Meanwhile, the concentration of low-volatility oxygenated organic aerosol is positively correlated with PBLH (Lin et al., 2021), suggesting that in Type II events, NPF may be primarily driven by low-volatility organics or H_2SO_4 .



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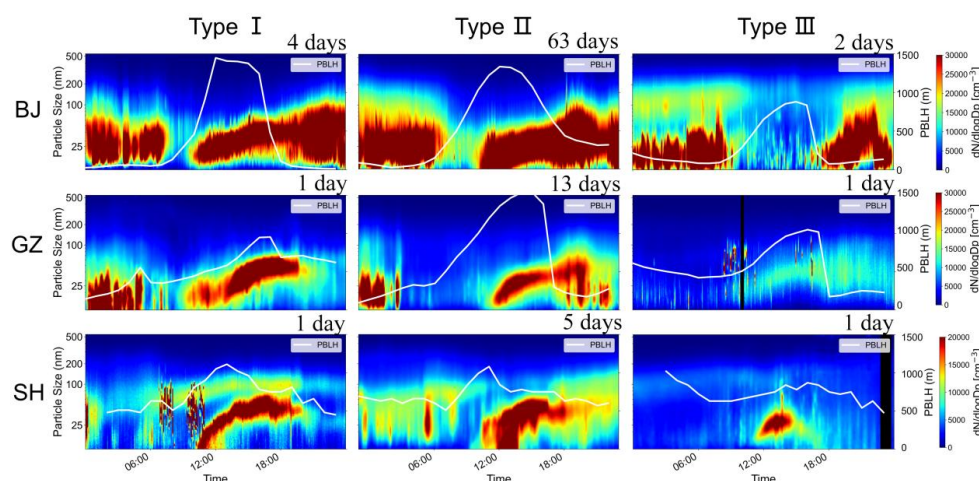
327 **Fig. 4.** Time series of aerosol particle number size distribution: (a) a case from Type I on 24 August
328 2017 and (b) a case from Type2 on 27 April 2018. Time series of averaged PBLH and Nuc mode
329 for (c) Type1 and (d) type2. The t denotes the relative time at which NPF occurs.

330 3.4 Particle number size distribution of new particles formation events

331 Fig. 5 presents a classification of NPF event types based on particle size distribution
332 evolution across three cities categorized as Type I, Type II, and Shrinkage events as



333 Type III. During the observation period, two inverse growth events were documented
334 in BJ, whereas only one such event was recorded in both GZ and SH. At the BJ, GZ,
335 and SH sites, Type I events typically started approximately two hours earlier than Type
336 II events. At BJ, nighttime bursts of polluted particles were observed on all 4 days;
337 however, the end times of these events could not be quantitatively determined. In
338 contrast, at both GZ and SH, the end times of Type I and Type II events were nearly
339 identical, coinciding with the rapid drop of the boundary layer to its minimum height.
340 The remaining two events, which occurred in BJ, involved particle growth from
341 approximately 100 nm at 17:00 LT to less than 25 nm during nighttime. In GZ, particles
342 formatted at 9:00 LT, reaching sizes up to 100 nm, followed by a reduction to below 30
343 nm after 15:00 LT. In SH, particle growth commenced at 10:00 LT, increasing from 10
344 nm to approximately 50 nm, before shrinking and reverting to nucleation mode by
345 15:00 LT. This investigation primarily examines the influence of PBLH on NPF events,
346 deliberately excluding the analysis of inverse growth mechanisms. Consequently, these
347 events are omitted from further detailed examination.



348

349 **Fig. 5.** Averaged aerosol particle number size distribution. PNSD of two types of NPF and growth,
350 and shrinkage days in BJ, GZ, and SH, respectively. Averaged PBLH add in the white line.



351 **3.5 The correlation between PBLH and P**

352 The relationship between PBLH and P during NPF events is illustrated in Fig. 6. In
353 three cities, there was a negative correlation has been firstly built between PBLH and P
354 parameters. The PBLH of BJ had the highest average with a value of 1010 m, and the
355 P was highly variable, with a maximum of 153.92 and a minimum of 8.80. The P for
356 BJ and GZ were similar. SH recorded the lowest average PBLH, with an average of
357 721.92 m. Among the three cities, SH was the cleanest, with $P = 23.96$. The higher
358 influence of urban vehicular emissions in BJ and GZ may explain this difference. In
359 contrast, SH's proximity to the sea led to higher relative humidity, which promoted NPF
360 but also accelerated particle dissipation. However, the correlations in BJ and GZ were
361 weak, with R^2 values of 0.21 and 0.024, respectively. In SH, the shorter observation
362 period limited the number of captured events, showing a strong negative correlation in
363 the available data, with an R^2 value of 0.99. As the PBLH increased, the P exhibited
364 varying decreasing trends across the three cities. A high PBLH leads to a low P, which
365 favors the occurrence of NPF events. The differences in correlation at different
366 locations can be explained by site-specific factors. For instance, the GZ site is located
367 near a major traffic artery, where particulate pollution from traffic sources weakens the
368 correlation between P and PBLH. The decrease in the boundary layer provides a more
369 stable environment for the particle accumulation, leading to a higher P value without
370 suppose the NPF.

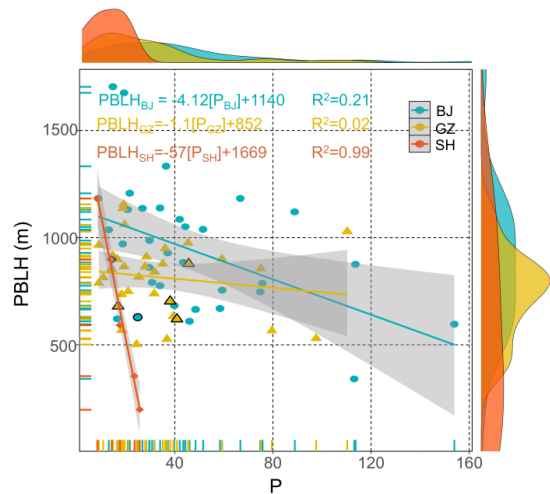


Fig. 6 The correlation analysis between PBLH and P in (a) BJ, (b) GZ, and (c) SH. The circle denotes BJ, the triangle denotes GZ, and the diamond denotes SH. The dots with black borders represent Type I.

3.6 The backward trajectories of particles during NPF events

Nucleation-mode aerosols mainly originate from early nucleation and the subsequent growth of NPF events. To track this, we meagered nucleation-mode concentration data to 48-hour back trajectories during NPF periods. Overall, nucleation-mode particle contributions to total concentration were greater in the northern parts of the study sites compared to the southern parts. Fig. 8 presents the PSCF and frequency distribution of NPF events across three cities.

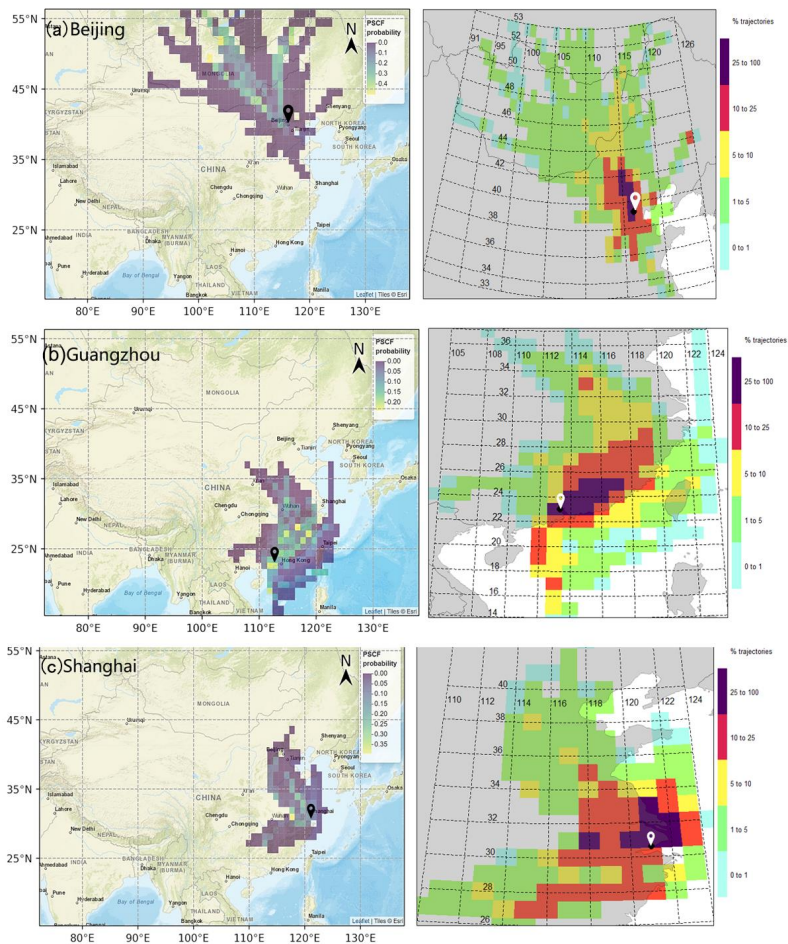
Fig. 7a shows the PSCF results for the BJ site, indicating significant contributions from Mongolia and cities within Anhui Province. In particular, pollution sources within Mongolia and along the Mongolia-Russia border showed probabilities greater than 0.4. The nucleation-mode particles were mainly from the northwest and the north of the observation station. The pollutant source impacting BJ had the fastest transmission speed within 48 hours and the broadest geographic reach, with primary contributions



388 originating from Russia and passing through Mongolia to BJ's northwest. Fig. 7b
389 highlighted BJ and Zhangjiakou as the cities with the highest NPF event contribution
390 among surrounding locations, where favorable geographic and environmental
391 conditions promote the formation of particles smaller than 100 nm. The north-northwest
392 of BJ exhibited the highest frequency of NPF events, exceeding 25%, while the area
393 with the second-highest frequency extended approximately 420 km from the
394 observation station, measured by latitude.

395 In GZ, as shown in Fig. 7c and 7d, pollutant contributions were primarily from Jiangxi
396 and Fujian provinces to the northeast, with additional high-contribution areas extending
397 eastward into Hubei Province. The distribution of atmospheric particle pollutants in this
398 area is highly concentrated. The region with a high frequency ($> 25\%$) of NPF events
399 lies within 100 km to the northeast of the observation site, corresponding to the eastern
400 portion of Guangdong Province.

401 For SH, pollutant contributions are mainly from the west-northwest, similar to BJ,
402 although the overall pollutant contribution is lower than that for BJ. The contribution
403 area spans Jiangsu Province and extends into southern Hebei Province. Additionally,
404 there are notable contributions from the southwest, passing through Anhui and Jiangxi
405 Provinces. Around SH, NPF event contribution levels are relatively high
406 (approximately 10-25%), with the northern region showing the highest frequency,
407 exceeding 25%. 10%-25% of the contribution came from the sea, indicating that marine
408 circulation is one of the important pathways for the transport of new particles.



409

410 **Fig. 7** The 48h backward trajectory by using PSCF, and the map of NPF event contribution levels
411 when particle size is below 100nm in BJ, GZ, and SH during NPF days.

412 **4 Conclusions**

413 This study examined the relationship between PBLH and NPF events key parameters
414 in Chinese's megacities (BJ, GZ, and SH, respectively). During the observation period,
415 March and May in BJ exhibited the highest frequencies of NPF occurrence, accounting
416 for 25.9% and 23.8%, respectively. Diurnal analyses confirmed that NPF days were



consistently associated with significantly higher midday PBLH compared to Non_NPF days. Across all three sites, the average PBLH on NPF days was 150–200 m higher than on Non-NPF days, highlighting the importance of convective mixing and aerosol dilution in promoting nucleation. We also identified two distinct mechanisms: We identified two distinct mechanisms of NPF initiation: Type I and Type II. Type I refers to events triggered during the initial rise of the boundary layer, where turbulent mixing associated with PBLH development facilitates nucleation. Type II involves nucleation that occurs only after the boundary layer reaches a certain height (>800 m). Correlation analyses emphasized the boundary layer was a key factor in triggering NPF the level especially at SH 0.99 This was particularly evident at SH, where the PBLH shows a strong negative correlation with the P value. Indicating the vertical mixing process and the development of the boundary layer has a dominant impact on the key parameter of NPF events.

We obtained the 48h backward trajectories of particles at three sites during NPF by using the HYSPLIT model. The main source of pollutant contribution in BJ is Mongolia in the northwest direction. GZ's contribution source is distributed in Jiangxi and Fujian Provinces in the northeast of the site, and SH's is in the northwest. The average frequency level of NPF is over 25%, which is in the north of each site, indicating that the overall level of air pollution in the north is higher than that in the south. Our finding provides a brand-new insight into atmospheric turbulence and boundary layer development could has dominate influence on the NPF and UFP formation mechanism, which should not be ignored in further research.

Data availability

The measured data described in this manuscript can be accessed at the data repository maintained by Mendeley Data. Doi: 10.17632/zpwjj5ymmp.1 (Hu, 2025)

CRediT authorship contribution statement



443 HH collected the resources, wrote, and finalized this paper. HH, YZ, TL, and DP analyzed the data and
444 generated the figures. HW planned the study, provided instruments and data, and discussed the results.
445 HH plotted the figures. HH, HW conducted the measurements. HW revised this paper.

446 **Declaration of competing interest**

447 The authors declare that they have no known competing financial interests or personal
448 relationships that could have appeared to influence the work reported in this paper.

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