

# The Ocean Model for E3SM Global Applications: Omega Version 0.1.0. A New High-Performance Computing Code for Exascale Architectures

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**Abstract.** This paper introduces Omega, the Ocean Model for E3SM Global Applications. Omega is a new ocean model designed to run efficiently on high performance computing (HPC) platforms, including exascale heterogeneous architectures with accelerators, such as Graphics Processing Units (GPUs). Omega is written in C++ and uses the Kokkos performance portability library. These were chosen because they are well-supported, and will help future-proof Omega for upcoming HPC architectures. Omega will eventually replace the Model for Prediction Across Scales-Ocean (MPAS-Ocean) in the US Department of Energy’s (DOE’s) Energy Exascale Earth System Model (E3SM). Omega runs on unstructured horizontal meshes with variable-resolution capability and implements the same horizontal discretization as MPAS-Ocean. This work documents the design and performance of Omega Version 0.1.0 (Omega-V0), which solves the shallow water equations with passive tracers and is the first step towards the full primitive equation ocean model. On Central Processing Units (CPUs), Omega-V0 is 1.4 times faster than MPAS-Ocean with the same configuration. Omega-V0 is more efficient on GPUs than CPUs on a per-watt basis—by a factor of 5.3 on Frontier and 3.6 on Aurora, two of the world’s fastest exascale computers.

## 1 Introduction

Ocean models have always required access to the fastest available computers in order to resolve fine spatial scales and simulate the long timescales inherent in ocean circulation. As a result, ocean models have continually adapted to evolving high-performance computing (HPC) architectures and programming paradigms. Early global ocean models were written in Fortran in the 1960s (Bryan and Cox, 1968) and subsequently optimized in the 1970s and 1980s for vector supercomputers (e.g., Semtner and Chervin, 1988), enabling early eddy-permitting simulations. During the transition to parallel computing in the late 1980s and 1990s, the Parallel Ocean Program (POP; Dukowicz et al., 1993) introduced a data-parallel formulation of the

Bryan–Cox models along with algorithmic innovations required for scalable parallel implementations (Dukowicz and Smith, 1994). POP was used for the first eddy-resolving simulations of the global ocean and the North Atlantic (Maltrud and McClean, 2005; Smith et al., 2000). Following a decade of competing parallel programming models, the Message Passing Interface (MPI, 1993) emerged as the de facto standard, and ocean models such as POP were adapted to MPI using horizontal domain decomposition with halo regions to minimize communication overhead (e.g., Smith et al., 2010). As multicore CPUs became standard in HPC clusters, OpenMP (OpenMP, 2024) directives were incorporated to enable on-node shared-memory parallelism (Wallcraft, 2000; Kerbyson and Jones, 2005).

A new transition in HPC is currently underway, driven by power and cooling constraints that limit CPU performance scaling. Modern HPC systems are increasingly heterogeneous, most commonly pairing CPUs with Graphics Processing Units (GPUs) as accelerators. In the current TOP500 rankings, only two of the top 50 systems lack GPUs (Strohmaier et al., 2025), and the majority of computational capability in most of these systems resides in the GPU components. For example, the DOE’s Frontier system provides 2.5 TFLOPs per node from CPUs compared to 192 TFLOPs from its four GPUs (98.7%), while DOE’s Aurora provides 27 TFLOPs from CPUs and 314 TFLOPs from GPUs per node (92%). Achieving high performance on modern HPC platforms therefore requires computational physics models to execute efficiently on GPUs.

The new Ocean Model for E3SM Global Applications (Omega) is designed for emerging architectures, with performance portability central to this philosophy. As in the early 1990s parallel transition, there are a number of competing programming models for these new heterogeneous architectures and few options that are portable across all the Leadership Computing Facilities of the DOE. Current options include: (1) directive-based offloading using OpenACC (OpenACC, 2022); (2) low-level, vendor-specific GPU programming interfaces such as CUDA (NVIDIA Corporation, 2023), HIP (Advanced Micro Devices, Inc., 2023), or DPC++ (John et al., 2021); (3) domain-specific languages or source-to-source tools such as PSyclone (2019) and GridTools (2019); and (4) performance-portable programming models, including Kokkos (Trott et al., 2022), YAKL (Norman et al., 2022), and Raja (Beckingsale et al., 2019), which provide high-level abstractions mapped to optimized, vendor-specific backends. Language standards for C++ and Fortran are also evolving to support parallelism and data placement for hybrid architectures, but implementations remain limited. Performance portability is defined here as the ability of a code to achieve high performance across multiple computing platforms without platform-specific code modifications or tuning.

Most climate model components, including ocean models, are written in Fortran and rely on MPI for inter-node communication and OpenMP for shared-memory parallelism. The most straightforward path to GPU execution is the addition of OpenACC directives (option 1), which preserves the underlying code structure and closely resembles OpenMP. This approach was adopted for MPAS-Ocean and ICON-O (Porter and Heimbach, 2025), and was successfully deployed on GPU systems. However, only approximately half of the MPAS-Ocean code could be accelerated due to complex tracer data structures, reliance on external community libraries for key tracer tendencies, and barotropic splitting algorithms that required frequent non-GPU-aware MPI communications. Consequently, performance gains were limited, ranging from modest speedups on some platforms (e.g., Frontier) to slowdowns on others (e.g., Perlmutter), as discussed in Section 5. In all cases, achieved performance fell well below expected GPU throughput because of small kernel sizes and excessive CPU–GPU data transfers. In addition, compiler

support for Fortran on GPU architectures was often delayed or incomplete. These limitations motivated the exploration of alternative GPU programming models and ultimately a complete redesign of the ocean model.

55 Vendor-specific GPU programming interfaces (option 2) offer fine-grained control but suffer from portability limitations and vendor lock-in. NVIDIA's CUDA framework enables highly optimized GPU implementations but targets only NVIDIA hardware. AMD's HIP provides a C++ runtime and kernel language aimed primarily at AMD GPUs, with limited support for NVIDIA devices. SYCL, an open C++ standard for heterogeneous programming, underlies Intel's Data Parallel C++ (DPC++) implementation and primarily targets Intel GPUs. These approaches impose a steep learning curve for domain scientists, offer  
60 limited guarantees of portability, and do not prioritize performance portability across diverse architectures. Several models have been ported to GPUs using CUDA, including the Princeton Ocean Model (Xu et al., 2014, 2015), the Finite Volume Coastal Ocean Model (FVCOM) (Zhao et al., 2017), and the Weather Research and Forecasting (WRF) (Mielikainen et al., 2012), but this strategy was deemed unsuitable given the diversity of DOE computing platforms.

Domain-specific languages (option 3) applicable to ocean modeling remain limited and lack broad community adoption, presenting risks for long-term model development. PSyclone (2019) is a source-to-source translation tool used to generate  
65 GPU-enabled code in the Nucleus for European Modelling of the Ocean (NEMO 5.0, 2025). Firedrake (Ham et al., 2023) is an example of a specialized domain specific language. It provides a high-level interface with partial differential equations (PDEs) and underlying discretizations, but is not strongly supported on GPU architectures. Julia is a high-level language that supports performance portability across new architectures. The Climate Modeling Alliance (CliMA) has adopted Julia as its primary  
70 language, and its ocean model, Oceananigans.jl (Ramadhan et al., 2020), has demonstrated strong GPU performance (Silvestri et al., 2025). While Julia continues to evolve and gain traction in scientific computing, there is not yet support for all vendor GPUs. C++ offered a more stable and production-ready foundation for building a scalable and performant ocean model from the ground up.

In designing Omega, the performance-portable library approach (option 4) was found to be the most promising. The existing  
75 C++ based libraries (Kokkos, Raja, YAKL) all offer similar capabilities, including data array abstractions for managing the CPU and GPU memory spaces, as well as a `parallel_for` construct for kernel launches and parallel execution on the GPU. A number of additional utilities are also provided to support a performance-portable interface across the heterogeneous nodes. Omega initially used YAKL because it was the simplest and most light-weight library, specifically developed to port existing Fortran atmosphere codes. The Kokkos library was chosen in the end due to its long-term stability, support for new  
80 architectures, and large user community.

The Kokkos programming model is a C++ library. Codes written in Fortran must be rewritten in C++ to use Kokkos. This is a major change, as Fortran has been used by the computational physics community for many decades. The model rewrite in C++ is a worthwhile, long-term investment for its widespread support across all major HPC platforms. C++ benefits from decades of ecosystem development, robust support by compiler vendors, and a wealth of well-established libraries for MPI,  
85 parallel I/O, and performance portability frameworks like Kokkos.

Kokkos was already being used by the E3SM atmosphere component, so some expertise had been developed within the project. The E3SM Atmosphere Model in C++ (EAMxx) was designed from the ground up using C++ and Kokkos. EAMxx

and its high-resolution counterpart, the Simple Cloud-Resolving E3SM Atmosphere Model (SCREAM), won the 2023 Gordon Bell Climate Prize for Modeling award for being the first global cloud-resolving model to run efficiently on an exascale supercomputer (Donahue et al., 2024). SCREAM was designed to provide sufficient parallelism to keep GPUs fully utilized, and surpassed one simulated year per compute day at global 3 km resolution.

A recent example of a Kokkos-based ocean model outside of DOE is LICOMK++ (Wei et al., 2024a). They showed performance portability across CPUs and HIP-based GPUs (Wei et al., 2024b). Like the Omega effort, this ocean model is still in the early stages of development, lacking some features found in more mature ocean models and relying on more uniform, regular meshes. Nonetheless, it will be a valuable point of comparison for Omega going forward. It should be noted that unlike the two efforts above, Omega development proceeded with a team primarily composed of domain scientists, without a dedicated computer science team (aside from the significant Kokkos development team). The Omega code base was purposefully written to be legible to domain scientists, simplifying some of the Kokkos abstractions. These simplifications took two forms. First, aliases to Kokkos features were created that impose some standard options to reduce the syntactical complexity. Second, simpler aliases were used to translate some Kokkos jargon to language more familiar to developers (eg Kokkos Views to more familiar Array data types). These are very thin abstractions and easily maintainable, even by domain scientists familiar enough with Kokkos. The core development team is providing extensive documentation, with templates and examples, so that domain scientists with less experience in C++ and Kokkos can more easily contribute to Omega in the future. This will be important as the model adopts physics schemes and sub-grid parameterizations, since that work relies more heavily on domain experts.

This paper documents the first phase of Omega development. The model is described in Section 2, including the governing equations, variable definitions, and discrete formulation. The code design in Section 3 explains the details of the model framework, Kokkos interface, and code organization. Section 4 describes four verification tests of increasing complexity. Section 5 provides Omega performance results on three architectures with comparisons on CPUs and GPUs, and with the predecessor model MPAS-Ocean. Conclusions are presented in Section 6.

## 2 Model Description

Omega Version 0.1.0 (Omega-V0) was created as a first version of the full Omega primitive equation model. It solves the shallow water equations (SWE), as well as the advection-diffusion equation for passive tracers. This is sufficient to test performance using the Kokkos library on CPUs and GPUs, as well as the framework functions described in Section 3.1. Omega-V0 has redundant vertical layers in order to test performance using arrays with a vertical index, but does not include any vertical advection or diffusion terms.

### 2.1 Governing equations

The shallow water equations govern the conservation of momentum and volume for an incompressible fluid on the rotating earth. Standard formulations may be found in textbooks on Geophysical Fluid Dynamics, such as those by Vallis (2017); Cushman-Roisin and Beckers (2011); Gill (1982); Pedlosky (1986). The presentation follows Bishnu et al. (2024), Section 2.1.

120 In continuous form, the shallow water equations are

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} + f \mathbf{k} \times \mathbf{u} = -g \nabla(h - b), \quad (1)$$

$$\frac{\partial h}{\partial t} + \nabla \cdot (h \mathbf{u}) = 0. \quad (2)$$

All variables introduced in this section are summarized in Table 1. Using a vector calculus identity, the non-linear advection term may be represented as

$$125 \quad \mathbf{u} \cdot \nabla \mathbf{u} = (\nabla \times \mathbf{u}) \times \mathbf{u} + \nabla \frac{|\mathbf{u}|^2}{2} \quad (3)$$

$$= \{\mathbf{k} \cdot (\nabla \times \mathbf{u})\} \mathbf{k} \times \mathbf{u} + \nabla \frac{|\mathbf{u}|^2}{2} \quad (4)$$

$$= \omega \mathbf{u}^\perp + \nabla K. \quad (5)$$

Thus the advection and Coriolis term may be combined together as

$$\mathbf{u} \cdot \nabla \mathbf{u} + f \mathbf{k} \times \mathbf{u} = (\omega + f) \mathbf{u}^\perp + \nabla K \quad (6)$$

$$130 \quad = q (h \mathbf{u}^\perp) + \nabla K, \quad (7)$$

where  $q$  is the potential vorticity. This formulation, described in Section 2.1 of Ringler et al. (2010), is useful for the mimetic properties of potential vorticity and energy conservation in the TRiSK discretization (Thuburn et al., 2009).

The governing equations for Omega-V0 in continuous form are

$$\frac{\partial \mathbf{u}}{\partial t} + q (h \mathbf{u}^\perp) = -g \nabla(h - b) - \nabla K + \nu_2 \nabla^2 \mathbf{u} - \nu_4 \nabla^4 \mathbf{u} - C_D \frac{\mathbf{u} |\mathbf{u}|}{h} + \frac{\tau}{h} \quad (8)$$

$$135 \quad \frac{\partial h}{\partial t} + \nabla \cdot (h \mathbf{u}) = 0 \quad (9)$$

$$\frac{\partial h \varphi}{\partial t} + \nabla \cdot (h \mathbf{u} \varphi) = \kappa_2 h \nabla^2 \varphi - \kappa_4 h \nabla^4 \varphi. \quad (10)$$

In order to bring these equations closer to the layered formulation of the upcoming full ocean model in Omega-V1, we have added Laplacian and biharmonic dissipation to the momentum equation, along with quadratic bottom drag and wind forcing. The thickness equation (9) is derived from conservation of mass for a fluid with constant density, which reduces to conservation of volume. The model domain uses fixed horizontal cells with horizontal areas that are constant in time, so the area drops out and only the layer thickness  $h$  remains as the prognostic variable. The tracer equation (10) is the conservation equation for a passive tracer (scalar), with only advective and diffusive terms. It is not included in the textbook shallow water equations, but is useful for us to test tracer advection in preparation for a primitive equation model in Omega-V1. In this equation set, the tracer equation does not feed back into the momentum or thickness equations. It is written in a thickness-weighted form because the conserved quantity is the tracer mass. Here  $(h \varphi A)$ , where  $A$  is horizontal cell area, typically has units of tracer mass in kg, while  $\varphi$  has units of concentration in  $\text{kg m}^{-3}$ . Since  $A$  is fixed, it is divided out, making (10) thickness-weighted, rather than volume-weighted. A derivation of the thickness-weighted tracer equation appears in Appendix A-2 of Ringler et al. (2013). The Omega-V0 governing equations do not include any vertical advection or diffusion. Although Omega-V0 includes a vertical index for performance testing and future expansion, vertical layers are currently redundant.

**Table 1.** Definition of variables

symbol	name	units	location	notes
$b$	bottom depth	m	cell	always positive
$C_D$	bottom drag	$\text{m}^{-1}$	constant	typically 0.001
$f$	Coriolis parameter	$\text{s}^{-1}$	vertex	
$g$	gravitational acceleration	$\text{m s}^{-2}$	constant	
$h$	layer thickness	m	cell	
$\mathbf{k}$	vertical unit vector	unitless	none	
$K$	kinetic energy	$\text{m}^2 \text{s}^{-2}$	cell	$K = \ \mathbf{u}\ ^2 / 2$
$q$	potential vorticity	$\text{m}^{-1} \text{s}^{-1}$	vertex	$q = (\omega + f) / h$
$t$	time	s	none	
$\mathbf{u}$	velocity, vector form	$\text{m s}^{-1}$	edge	
$u_e$	velocity, normal to edge	$\text{m s}^{-1}$	edge	
$u_e^\perp$	velocity, tangential to edge	$\text{m s}^{-1}$	edge	
$\kappa_2$	tracer diffusion	$\text{m}^2 \text{s}^{-1}$	cell	
$\kappa_4$	biharmonic tracer diffusion	$\text{m}^4 \text{s}^{-1}$	cell	
$\nu_2$	viscosity	$\text{m}^2 \text{s}^{-1}$	edge	
$\nu_4$	biharmonic viscosity	$\text{m}^4 \text{s}^{-1}$	edge	
$\varphi$	tracer	varies	cell	units: $\text{kg m}^{-3}$ or similar
$\tau$	wind stress	Pa	edge	
$\omega$	relative vorticity	$\text{s}^{-1}$	vertex	$\omega = \mathbf{k} \cdot (\nabla \times \mathbf{u})$

## 150 2.2 Discretization

The horizontal domain is partitioned into polygonal finite-volume cells. Definitions of the mesh variables, differential operators and illustrative figures can be found in Ringler et al. (2010), Section 3, and are not reproduced here.

In horizontally discrete form, the governing equations are

$$\frac{\partial u_e}{\partial t} + \left[ \frac{\mathbf{k} \cdot \nabla \times u_e + f_v}{[h_i]_v} \right]_e \left( [h_i]_e u_e^\perp \right) = -g \nabla (h_i - b_i) - \nabla K_i + \nu_2 \nabla^2 u_e - \nu_4 \nabla^4 u_e - C_D \frac{u_e |u_e|}{[h_i]_e} + \frac{\tau_e}{[h_i]_e} \quad (11)$$

$$155 \quad \frac{\partial h_i}{\partial t} + \nabla \cdot ([h_i]_e u_e) = 0, \quad (12)$$

$$\frac{\partial h_i \varphi_i}{\partial t} + \nabla \cdot (u_e [h_i \varphi_i]_e) = \kappa_2 h_i \nabla^2 \varphi_i - \kappa_4 h_i \nabla^4 \varphi_i, \quad (13)$$

where subscripts  $i$ ,  $e$ , and  $v$  indicate cell, edge, and vertex locations ( $i$  was chosen for cell because  $c$  and  $e$  look similar). Here square brackets  $[\cdot]_e$  and  $[\cdot]_v$  represent quantities that are interpolated to edge and vertex locations. The interpolation is typically centered, but may vary by method, particularly for advection schemes. For vector quantities,  $u_e$  denotes the normal component at the center of the edge, while  $u_e^\perp$  denotes the tangential component.

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Documentation of the grid convergence rates of individual operators are provided in Bishnu et al. (2023) Section 4.1 and Figure 1. All TRiSK spatial operators demonstrate second-order convergence on a uniform hexagon grid, except for the curl on vertices, which is first order. The curl interpolated from vertices to cell centers regains second order convergence. The rates of convergence are typically less than second order on nonuniform meshes, including spherical meshes. Tracer advection uses center-weighted thickness and tracer values at each edge. The boundary conditions are no normal flow and no-slip. This is accomplished by setting the edge-normal velocity  $u_e = 0$  on the boundary for flux and vorticity calculations.

### 3 Code design

Omega-V0 has been designed to perform efficiently on modern parallel, hybrid HPC architectures. The design utilizes a domain decomposition of the unstructured mesh across parallel nodes with data communicated between the partitions using the MPI (2025,1993). Within a single shared-memory node, we have adopted the Kokkos (Trott et al., 2022) programming model, which provides the capability to map computational work to either CPU cores (host) or GPU accelerators (device); however, in the current version of Omega, when built to utilize GPUs, compute-intensive kernels are executed exclusively on the device. This required that Omega be written in the C++ programming language (Stroustrup, 1986, 2013). We have added some additional abstractions or aliases to simplify some of the Kokkos syntax and make it more accessible to Omega developers (see Section 3.2). Kokkos is a well-supported, portable framework (Trott et al., 2021, 2022) that has enabled us to create a performance-portable ocean model.

All components of Omega follow a process of design document writing and review, and then code writing, testing and review. Each feature is accompanied with a user's guide, developer's guide, and the original design document on the Omega documentation website (Asay-Davis et al., 2025c). This detailed information, created by each developer during code development, will serve as a comprehensive reference for the completed model.

#### 3.1 Framework

##### 3.1.1 Domain Decomposition

As described above, the top level of parallelism is a domain decomposition of the horizontal mesh. The Metis library (Karypis, 2013) creates the decomposition, given a mesh connectivity computed from JIGSAW (Engwirda, 2018). Unlike the previous MPAS model, the decomposition is computed at startup with a call to the Metis library rather than being computed off-line. This eliminates a preprocessing step and the need to maintain partition files for different model configurations. The number of tasks is determined at run time from either the MPI environment when running a standalone ocean model or from a coupled model driver when Omega is run coupled within E3SM. The actual layout of MPI tasks across CPU cores and GPUs within a node can be set with job submission scripts. Multiple domain decompositions may be run concurrently. This will be useful when certain parts of the model, such as the barotropic mode solver, analysis tasks, or subgrid processes, would run more efficiently on a different processor layout.

### 3.1.2 Message Passing Infrastructure

Message passing is used to communicate data between the horizontal domains. The Omega base infrastructure layer provides simple interfaces for performing communications like the broadcast of data from a single task, the updating of domain halos and performing global reductions like sums across the global domain. All communication routines can determine whether the data exists on the host or device and can utilize GPU-aware MPI capabilities wherever available. The global sum function is bit-reproducible for all data types. For single-precision (32-bit) floating point types, the sums are performed in double precision (64-bit) and converted back to single precision. For double-precision floating point data, the sums are computed using the double-double algorithms of Knuth (1969) and Hida et al. (2008) following the implementation of He and Ding (2001).

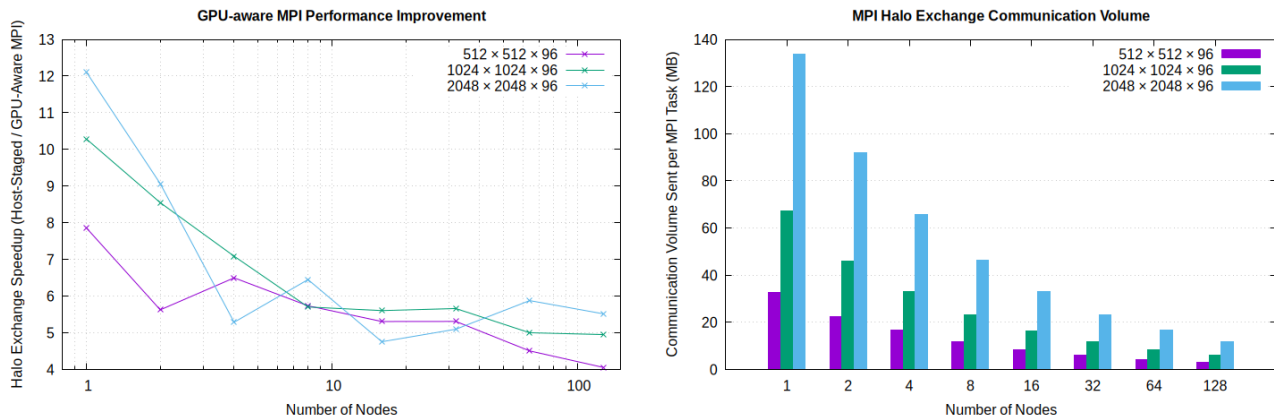
An MPI halo exchange module handles the transfer of data across interfaces between adjacent partitions in a given domain decomposition. This implementation supports exchanges of multidimensional arrays of fundamental data types residing in either CPU or GPU memory. The module is designed to minimize latency by utilizing non-blocking MPI routines (i.e., `MPI_Isend` and `MPI_Irecv`) and supports a user-configurable halo width; all test cases reported here use a halo width of three cells. In Omega-V0, three types of halo exchanges are performed during each time step, each with different message sizes: layer thickness, edge-normal velocity, and an aggregated set of five tracer fields.

To maximize performance on GPU-accelerated systems, the halo exchange module can leverage GPU-aware MPI, enabled via a compile-time build flag. When built for GPU execution, halo elements are packed into and unpacked from contiguous buffers directly on the device using parallel kernels. With GPU-aware MPI enabled, send and receive buffers in device memory are passed directly to the MPI routines; otherwise, the packed send buffers must be copied from device to host for traditional host-staged MPI, and the received buffers are copied back from host to device before unpacking. Benchmarking on Frontier at Oak Ridge National Laboratory with GPU-aware Cray MPICH demonstrates that this approach significantly reduces halo exchange overhead, yielding approximately a  $4\text{--}6\times$  reduction in halo exchange time per time step compared to host-staged MPI at large node counts, where communication is latency dominated (Figure 1).

### 3.1.3 Other utilities

Configuration of Omega is done through an input configuration file in YAML (YAML, 2009) format. We use the `yaml-cpp` library (Beder, 2023) to read and parse the configuration on initialization. Logging of both informational and error messages are part of Omega's logging and error handling capabilities that are built on the `spdlog` library (Melman, 2023). This supports varying levels of error/log severity and messages can be written from either a master task or from all tasks, depending on a build-time configuration.

All input and output are performed in parallel using the `SCORPIO` library (Krishna et al., 2024) that writes distributed data using a runtime configuration of IO tasks. It supports both `NetCDF` (Unidata, 2023) and `ADIOS` (Godoy et al., 2020) formats. Multiple IO streams can be defined with each stream having its own frequency of input/output and its own set of fields. The details of each stream are specified by the user in the `streams` section of the input configuration file. Each field available for IO



**Figure 1.** (Left) Ratio of execution time per timestep for halo exchanges using host-staged MPI versus GPU-aware MPI on the Frontier supercomputer at Oak Ridge National Laboratory with Cray MPICH. Results are shown for three different planar mesh sizes, utilizing eight MPI tasks per node. (Right) Total halo exchange communication volume per time step. Bars represent the aggregate data sent per time step, summed over all communicating tasks and exchanges and averaged per MPI task.

225 is defined within Omega using a field class that defines the metadata associated with the field and attaches/detaches the data array as needed. The field creation interfaces ensure that all required metadata are defined in accordance with the NetCDF CF metadata conventions (Eaton et al., 2024).

A time manager tracks model time in the context of a number of supported calendars. It uses integer arithmetic to avoid round-off in accumulated time. It is a reimplementation of the Earth System Modeling Framework (ESMF, 2020) time manager, 230 that has been simplified for more clarity and streamlined by removing unnecessary functionalities, such as Fortran interfaces. It includes support for a model clock, time instants, time intervals (e.g., time step) and alarms for various model events like forcing and IO.

A profiling interface called Pacer is used to keep track of the computational time spent in various model processes using application level markers that designate beginning and end of each process. These timers are aggregated across multiple ranks 235 and a summary report is generated when running in parallel. This timing infrastructure is based on our extensions to the General Purpose Timing Library (GPTL) (Rosinski, 2018).

### 3.2 Performance Portability with Kokkos

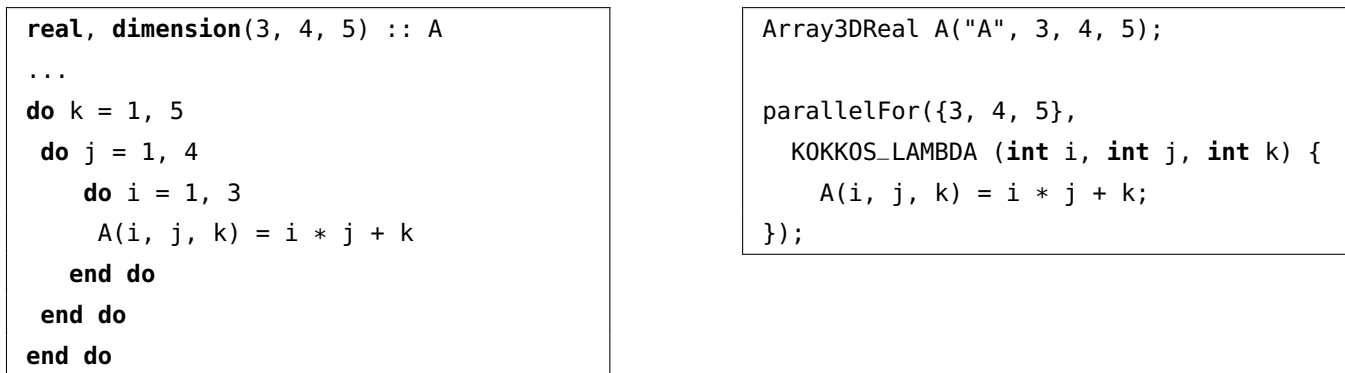
To achieve performance portability, Omega has adopted the Kokkos Programming Model. The Kokkos Programming Model is implemented as a C++ library and provides abstractions necessary to achieve performance on the diverse set of modern 240 computing architectures. Kokkos abstractions can be divided into abstractions for data storage (View, Memory Space, Memory Layout, and Memory Traits) and parallel execution (Execution Space, Execution Policy, and Execution Pattern). Omega builds its own abstractions on top of these fundamental components to provide a simpler interface for domain scientists.

For data storage, Omega uses the Kokkos View data structure. For convenience, type aliases are provided for commonly needed views of fundamental data types, such as

- 245 – `Array1DI4` : device-resident one-dimensional array of four-byte integers,
- `Array3DReal` : device-resident three-dimensional array of user-configurable floating-point type,
- `HostArray2DI8` : host-resident two-dimensional array of eight-byte integers,

and similarly for other combinations of ranks and types.

For parallel execution, Omega provides a `parallelFor` function, that can express parallel iteration over a multi-dimensional index range. Figure 2 shows how a simple Fortran loop nest is expressed in Omega. Internally, this function dispatches to



**Figure 2.** Multi-dimensional iteration expressed in Fortran (left) and using Omega abstractions (right).

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the best performing (in the context of Omega) Kokkos execution policy for the chosen compute platform. Currently, we use Kokkos `MDRangePolicy` on CPU platforms, but opt to use a one-dimensional `RangePolicy` with manual index unpacking on GPUs, as this reduces GPU runtime overhead by replacing the more complex index mapping logic of `MDRangePolicy` with a simpler manual calculation performed within each thread. This simplification can lower instruction count and improve memory access patterns and cache utilization. Additionally, flattening the iteration space enables Kokkos’s internal heuristics to more effectively select GPU kernel launch parameters, such as block size and grid configuration, thereby improving occupancy and load balancing. On Frontier and Perlmutter GPU nodes, this approach yielded a 10–20% reduction in kernel execution time compared to `MDRangePolicy`.<sup>1</sup>

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Individual computations in Omega (for example, tendency terms or auxiliary variables) are implemented as C++ functors, which are classes that implement the function call operator. Functors can be called similarly to normal C++ functions, but may contain an internal state. In Omega, functors are used to represent computations for a given mesh element (e.g., vertex, cell, or edge) index and over a chunk of vertical levels. Our strategy is to design functors that perform computations over contiguous chunks of vertical indices with a chunk size known at compile time, to facilitate vectorization on CPUs. For GPU execution,

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<sup>1</sup>The Kokkos development team is aware of `MDRangePolicy` performance issues and, at the time of writing, is actively working to address them. Future Kokkos versions might not require this type of workaround.

the chunk size is set to 1 to distribute the workload across as many GPU threads as possible. To simplify the calling interfaces, Omega functors store as member variables the static data needs to implement their operation, such as mesh connectivity or geometry information. Variable input data are passed as arguments.

To give a concrete example, a functor that implements the kinetic energy gradient tendency term is shown in Figure 3. Its constructor takes a pointer to the HorzMesh object so that the functor can store pointers to the CellsOnEdge connectivity array and the DcEdge geometry array. The operator() implements the kinetic energy gradient computation for the edge index IEdge and over the range [KChunk \* VecLength, KChunk \* VecLength + VecLength) of vertical levels. This

```

class KEGradOnEdge {
public:
    bool Enabled;

    /// constructor
    KEGradOnEdge(const HorzMesh *Mesh)
        : CellsOnEdge(Mesh->CellsOnEdge), DcEdge(Mesh->DcEdge) {}

    /// The functor takes edge index, vertical chunk index, and kinetic energy
    /// array as inputs, outputs the tendency array
    KOKKOS_FUNCTION void operator()(const Array2DReal &Tend, I4 IEdge, I4 KChunk,
                                     const Array2DReal &KECell) const {

        const I4 KStart      = KChunk * VecLength;
        const I4 JCell0      = CellsOnEdge(IEdge, 0);
        const I4 JCell1      = CellsOnEdge(IEdge, 1);
        const Real InvDcEdge = 1._Real / DcEdge(IEdge);

        for (int KVec = 0; KVec < VecLength; ++KVec) {
            const I4 K = KStart + KVec;
            Tend(IEdge, K) -= (KECell(JCell1, K) - KECell(JCell0, K)) * InvDcEdge;
        }
    }

private:
    Array2DI4 CellsOnEdge;
    Array1DReal DcEdge;
};

```

**Figure 3.** Kinetic energy gradient functor in Omega.

```

KEGradOnEdge KEGrad(Mesh);
parallelFor({NEdges, NChunks},
  KOKKOS_LAMBDA (int IEdge, int KChunk) {
    KEGrad(NormalVelocityTend, IEdge, KChunk, KECell);
  });

```

**Figure 4.** Computation of kinetic energy gradient over the whole mesh.

```

KEGradOnEdge KEGrad(Mesh);
parallelFor({NEdges, NChunks},
  KOKKOS_LAMBDA (int IEdge, int KChunk) {
    KEGrad(NormalVelocityTend, IEdge,
      KChunk, KECell);
  });

SSHGradOnEdge SSHGrad(Mesh);
parallelFor({NEdges, NChunks},
  KOKKOS_LAMBDA (int IEdge, int KChunk) {
    SSHGrad(NormalVelocityTend, IEdge,
      KChunk, SSHCell);
  });

```

```

KEGradOnEdge KEGrad(Mesh);
SSHGradOnEdge SSHGrad(Mesh);

parallelFor({NEdges, NChunks},
  KOKKOS_LAMBDA (int IEdge, int KChunk) {
    KEGrad(NormalVelocityTend, IEdge,
      KChunk, KECell);

    SSHGrad(NormalVelocityTend, IEdge,
      KChunk, SSHCell);
  });

```

**Figure 5.** Split (left) or fused (right) computation of two tendency terms.

functor can then be used to compute the tendency term over the whole mesh by using the `parallelFor` function, as shown in Figure 4.

Omega tendencies are composed of multiple terms. The functor approach makes it possible to easily switch between computing multiple tendency terms in one parallel loop or in separate parallel loops. For example, given another functor that computes the sea surface height (SSH) gradient term `SSHGradOnEdge`, the kinetic energy and the SSH gradients can be computed together or separately, as shown in Figure 5. Kernel fusion is a powerful optimization technique that often results in better performing code due to reduced overheads and data reuse. However, overuse of this optimization may result in high register usage, which can sometimes lead to worse performance. Therefore, having the flexibility to experiment with different splittings is important. Due to the combinatorial explosion of fusion candidates in large programs, manual kernel fusion works best when guided by profiling data and algorithmic domain knowledge.

The flat multi-dimensional parallelism approach with vertical chunking is expected to work well for a stacked shallow water solver like Omega-0. Future versions of Omega will incorporate vertical dynamics and advanced ocean physics parametrizations, with more complicated computational patterns involving vertical dependencies. In that case, we believe that the outlined approach and memory layout can still lead to good CPU and GPU performance, as long as most vertical operations can be

285 parallelized on GPUs. This will likely require the use of more advanced Kokkos features such as hierarchical parallelism, batched parallel reduce and scan operations, and even writing different algorithms for CPUs and GPUs in select cases. This approach has already been successfully demonstrated by EAMXX in the context of an atmosphere model. Our experiences with applying it to the ocean component will be reported in articles presenting future Omega versions.

### 3.3 Code Organization and C++ Classes

290 Omega is organized into modularized classes to handle major pieces of the PDE solver such as Decomposition, Halo, Mesh, State variables, Auxiliary variables, Timestepping, and Tendency terms. The decomposition of the mesh into local MPI rank subdomains is performed online in the Decomposition class with the resulting local subdomain mesh represented in the Mesh class. The infrastructure necessary to perform message passing on the host and device between local subdomain halo regions is contained in the Halo class. The State class manages the prognostic variables, while the Auxiliary variable class stores and computes diagnostic  
295 quantities derived directly from the prognostic variables and used in the tendency terms, e.g., kinetic energy and potential vorticity. The constructor of each tendency functor takes in and stores static mesh information as private member variables, which simplifies the calling arguments in the PDE solution.

### 3.4 Build and Internal Testing

The Omega build system, built on the widely adopted CMake (Kitware, Inc., 2023b) tool, establishes a robust framework for  
300 managing the compilation process. It operates in two distinct modes: standalone and E3SM component. In standalone mode, Omega generates a generic E3SM case and derives its build configurations from it. In contrast, the E3SM component build mode leverages build configurations provided by the CIME (Anderson et al., 2015) build system within an existing E3SM case. The build process, meticulously defined in the top-level CMakeLists.txt file, is segmented into four sequential steps: Setup, Update, Build, and Output.

305 A comprehensive testing strategy ensures Omega's quality assurance and continuous integration. All major Omega algorithms and software frameworks are rigorously validated using CTest (Kitware, Inc., 2023c), CMake's integrated testing tool. This enables the execution of functional tests, activated by setting OMEGA\_BUILD\_TEST=ON during the CMake configuration. These tests are critical to verify the correct functionality and integrity of the codebase.

Furthermore, nightly tests are developed and integrated with CDash (Kitware, Inc., 2023a) to maintain ongoing stability and  
310 performance. This integration facilitates automated reporting of test results, providing continuous feedback on the codebase's status. This robust testing infrastructure, which includes both CTest-based functional tests and CDash-driven nightly regressions, is paramount to ensuring the high quality and reliability of the Omega ocean model.

## 4 Verification Tests

A series of Omega-V0 tests were conducted to verify the accuracy of the model solution, and document the computing  
315 performance across several platforms. Convergence studies against exact solutions in idealized domains were conducted with

the manufactured solution, tracer transport, and barotropic gyre test cases. The global wind-driven simulation was designed to introduce coastlines, bathymetry, and wind forcing, in order to test the workflow for realistic domains.

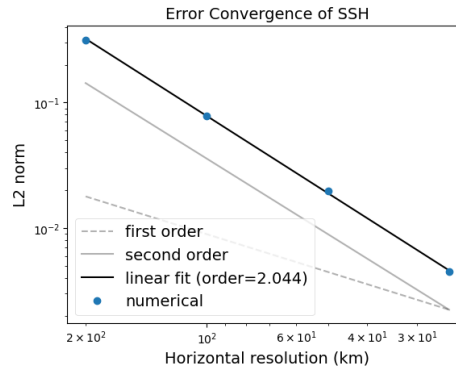
A python package `polaris` was developed to facilitate the set-up and execution of verification and validation tests for Omega. `polaris` is responsible for creating the MPAS mesh using the JIGSAW library (Engwirda, 2018), generating the  
320 initial condition, configuring the forward model run and linking the model executable, and conducting analysis including producing visualizations on the native MPAS mesh. `polaris` facilitates the creation of identical test cases for MPAS-Ocean and Omega, supporting the benchmarking of Omega implementations against MPAS-Ocean.

#### 4.1 Manufactured Solution

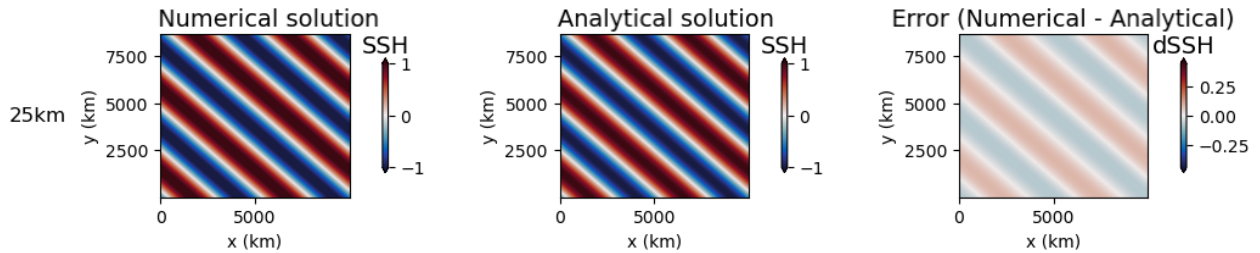
The method of manufactured solutions is commonly used for the code verification of partial differential equations (PDE)  
325 solvers. Unlike code validation, which assesses whether a model captures the correct physics by comparing its results to experimental or observational data, code verification is a purely mathematical exercise that evaluates whether a code correctly implements the intended numerical method. The manufactured solution approach was formalized in the computational science literature by Salari and Knupp (2000) and further refined in Roache (2002). The key idea is to choose an exact solution, substitute it into the PDE, and include the residual terms as a source term. This enables the creation of analytic test cases for  
330 the full shallow water system, including non-linear terms. It stands out in this respect, as other shallow water test cases, such as the coastal Kelvin wave or the inertia-gravity wave test case (Bishnu et al., 2024) only provide analytic solutions to the linear, inviscid form of the equations. Therefore, the manufactured solution represents the single best test case for the verification of all terms in the model. We manufactured our solution to match the test case described in detail in Bishnu et al. (2024) Section 2.10. However, as noted in that work, any smooth solution in space and time can be used, provided that the source terms  
335 are correctly defined. The test case verifies the time-stepping scheme along with the SSH gradient, Coriolis, and non-linear advection terms. The source term was only modified to include both Laplacian and biharmonic dissipation.

The `polaris` system automates the testing of the manufactured solution for both MPAS-Ocean and Omega. The expected convergence rate is second order, as shown in Bishnu et al. (2024) Figures 13 and 19. These results are reproduced in Figures 6 and 7, which are generated by `polaris` using data from regular planar hexagonal meshes with grid cells of width 200, 100,  
340 50, and 25 km. The corresponding time steps are 300, 150, 75, and 37.5 seconds, and the error was measured after 10 hours of simulation time. All tests use Laplacian and biharmonic viscosity coefficients of  $\nu_2 = 1.5 \times 10^6 \text{ m}^2\text{s}^{-1}$  and  $\nu_4 = 5e13 \text{ m}^4\text{s}^{-1}$  respectively, classical fourth-order Runge-Kutta time-stepping (e.g. section 24.2 of Hamming (1973)), and a center-weighted thickness advection. The tracer equation (13) is not used in this test.

Individual operators such as the gradient, divergence, curl, and tangential velocities were verified in the early stages of model  
345 development. These used simple analytic functions such as sine waves on a doubly-periodic domain, where the exact solution was easily computed. The test setup follows Bishnu et al. (2023) Section 4.1, and was able to reproduce the second-order convergence for TRiSK operators shown in Figure 1 of that paper. The manufactured solution test is a superset of these tests, as it includes these individual terms.



**Figure 6.** Convergence plot for Omega with the Manufactured Solution Test, showing the L2 norm of the difference between the computed and analytic solution in SSH .

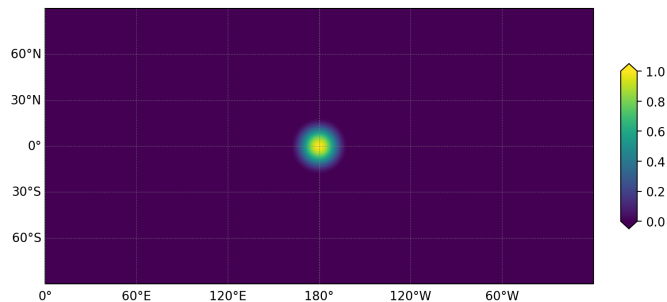


**Figure 7.** SSH of the Manufactured Solution Test with a 25km grid.

## 4.2 Tracer transport on the sphere

350 Tracer transport was verified using a fixed angular velocity field and a tracer distribution that is advected around the sphere. This is named the cosine bell test case, and is available in `polaris` under `cosine_bell`. It was first described in Williamson et al. (1992) but the variant from Sec. 3a of Skamarock and Gassmann (2011) is used here. A flow field representing solid-body rotation transports a bell-shaped perturbation in a tracer  $\psi$  once around the sphere, and the exact solution is the original distribution after one full rotation. The standard case evaluates error convergence with resolution, where the time step varies in

355 proportion to the cell size. Another `polaris` test performs two runs of the cosine bell at coarse resolution, once with 12 and once with 24 cores, to verify the bit-for-bit identical results for tracer advection across different core counts. A final `polaris` test with the cosine bell configuration runs for two time steps at coarse resolution, then performs reruns of the second time step, as a restart run to verify the bit-for-bit restart capability for tracer advection.



**Figure 8.** Initial tracer concentration for the Cosine Bell Advection Test.

The cosine bell domain is an aquaplanet without continents, with a uniform depth of 300 m. The initial bell is defined by a  
 360 passive tracer

$$\psi = \begin{cases} (\psi_0/2) [1 + \cos(\pi r/R)] & \text{if } r < R \\ 0 & \text{if } r \geq R \end{cases} \quad (14)$$

where  $\psi_0 = 1$  and the bell radius,  $R = a/3$ , with  $a$  representing the radius of the sphere, as shown in Figure 8. The zonal and meridional components of the fixed velocity field are

$$u = \frac{2\pi(a \cos \theta)}{\tau}, \quad (15)$$

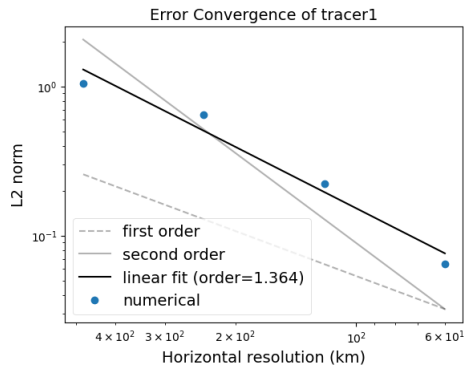
365  $v = 0,$  (16)

where  $\tau$  is the time it takes to complete one full rotation around the globe and  $\theta$  is the latitude. The default value of the time period  $\tau$  is 24 days. Momentum and thickness are not evolved in this test.

The convergence test uses spherical icosahedral meshes, each with average grid cell widths of 480, 240, 120, and 60 km. These meshes are constructed by subdividing the triangular faces of an icosahedron 4, 5, 6 and 7 times, respectively, projecting  
 370 the vertices onto the sphere, and then creating the dual spherical Voronoi mesh. The results of the convergence test are shown in Figure 9. The order of convergence is 1.364 for the centered advection scheme. MPAS-Ocean was tested using this lower-order advection scheme with the same mesh files and obtained an identical convergence rate.

### 4.3 Wind-driven Barotropic Gyre

The barotropic gyre test case is used to evaluate barotropic ocean dynamics with Laplacian viscosity and surface wind forcing.  
 375 It is based on the Munk Model (Munk and Carrier, 1950), which is an idealized configuration of an ocean basin. Using a single layer in a rectangular domain on a  $\beta$ -plane, Munk was able to produce a basin-wide circulation with a western boundary current. The width of the jet is controlled by a single parameter  $L_m = (\nu/\beta)^{1/3}$ , where  $\beta = df/dy$  is the meridional gradient of the Coriolis parameter and  $\nu$  is the kinematic viscosity. The jet becomes narrower as  $\beta$  decreases or  $\nu$  increases (Vallis, 2017, eqn. 14.43). Alternately, a similar barotropic gyre can be generated through a balance between wind stress and bottom



**Figure 9.** Convergence plot for the Cosine Bell Advection Test with Omega-V0 using first-order centered advection.

380 stress, rather than viscosity. This variant is known as the Stommel Model (Stommel, 1948; Pal et al., 2023, App. B), which is not considered in this study.

The Munk Model serves as an excellent test case for the shallow water equations, as it is one of the few configurations with a physically meaningful circulation and an analytical solution. The wind stress field  $(\tau_x, \tau_y)$  is given by

$$\tau_x = \tau_0 \cos\left(\pi \frac{y}{L_y}\right), \quad (17a)$$

385  $\tau_y = 0,$  (17b)

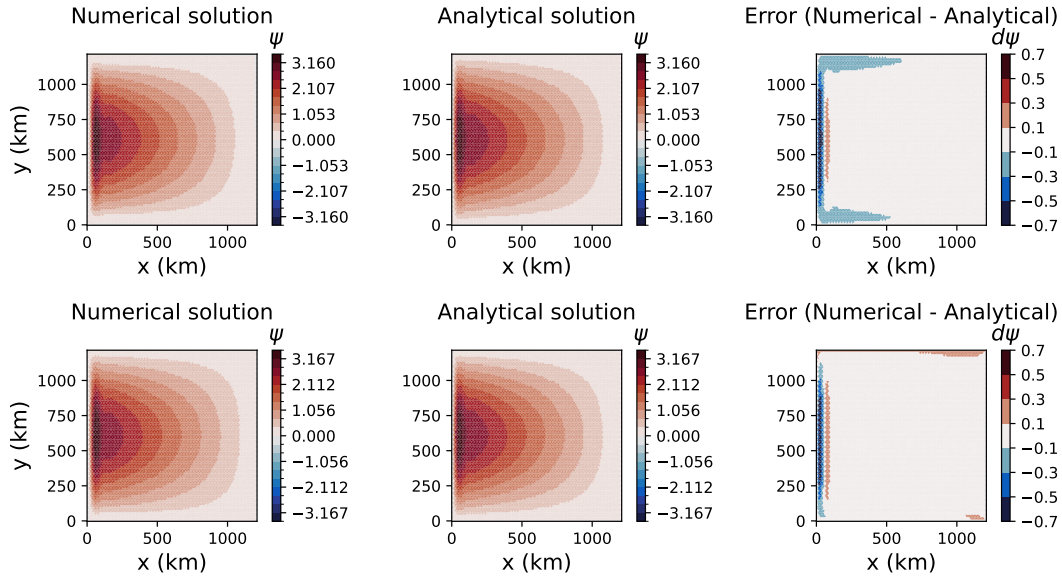
on a domain of width  $L_x \times L_y$ . MPAS-Ocean and Omega are evaluated against the analytic solution for the streamfunction  $\Psi$  under no slip boundary conditions (Vallis, 2017, p.743 equation 19.49):

$$\Psi = \pi \sin(\pi y) \left( 1 - \tilde{x} - e^{-\tilde{x}/(2\epsilon)} \left[ \cos\left(\frac{\sqrt{3}\tilde{x}}{2\epsilon}\right) + \frac{1-2\epsilon}{\sqrt{3}} \sin\left(\frac{\sqrt{3}\tilde{x}}{2\epsilon}\right) \right] + \epsilon e^{(\tilde{x}-1)/\epsilon} \right), \quad (18)$$

where  $\tilde{x} = x/L_x$  and  $\epsilon = L_m/L_y$ . Free slip boundary conditions are not available for either model and are not evaluated.

390 The wind-driven barotropic gyre is available in the `polaris` testing environment under the name `barotropic_gyre`. It uses a dimensional version of the Munk Model in order to test Omega with realistic parameter values. The domain size is 1200 by 1200 km, with a resolution of 20 km. The maximum zonal wind stress amplitude,  $\tau_0 = 0.1$ ; the horizontal viscosity,  $\nu = 4 \times 10^2 \text{ m}^2/\text{s}$ ; the Coriolis parameter,  $f = f_0 + \beta y$  with  $f_0 = 10^{-4} \text{ s}^{-1}$  and  $\beta = 10^{-10} \text{ s}^{-1}\text{m}^{-1}$ . The boundaries are non-periodic in both  $x$  and  $y$ , and the bottom topography is flat.

395 The case begins from rest with a uniform depth of 5000 m and zero SSH perturbations. It is spun up for three years, with a time step of 1 hour 23 minutes, chosen to satisfy the CFL condition with a Courant number of 0.25 and an assumed maximum velocity of  $1 \text{ m s}^{-1}$ . Upon completion, the streamfunction is computed from the native edge-normal velocity. Both MPAS-Ocean and Omega have on the order of 10 percent differences in streamfunction magnitude from an approximate



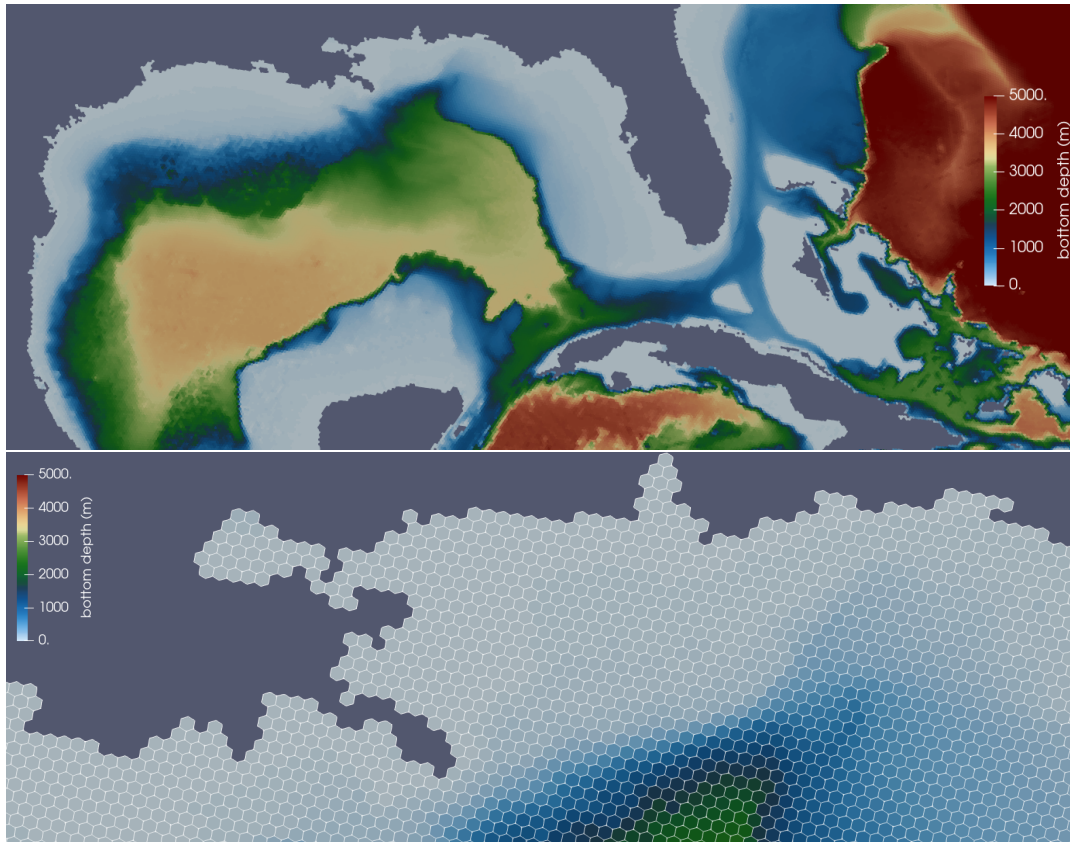
**Figure 10.** Barotropic gyre test case after three years, showing the streamfunction for Omega (top) and MPAS-Ocean (bottom).

analytic solution based on linearizing the vorticity equation (Figure 10). After three years of simulation, small differences  
 400 between the two models occur at the boundary. This may be due to different order of operations or compiler optimization.

#### 4.4 Wind-driven Global Simulations

The final test of Omega adds realistic components to the configuration: Earth’s coastlines and bathymetry on the sphere, climatological wind stress, bottom drag, and the full Coriolis parameter. This results in basin-wide circulations with western boundary currents such as the Gulf Stream and the Kuroshio current, and an Antarctic Circumpolar Current. This is the most  
 405 realistic configuration one can attain with the shallow water equations, as variations in temperature and salinity, and the layered baroclinic dynamics are necessarily missing. Still, the wind-driven global simulation is an important step from the idealized box of the Munk Model, and demonstrates that the infrastructure for realistic geography and wind forcing is working properly. These components are essential for the upcoming layered version of Omega, where we can make quantitative comparisons to ocean observations.

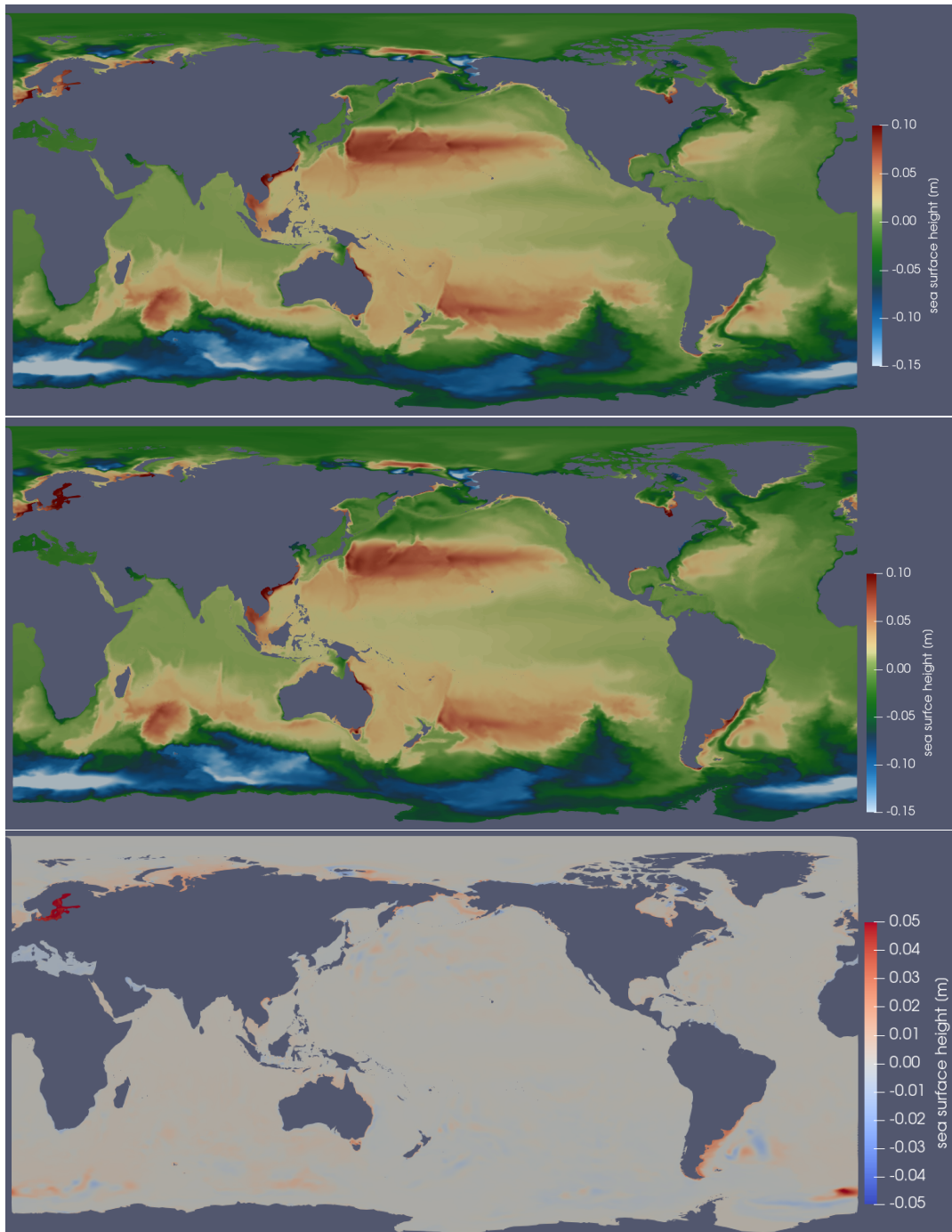
410 There is no exact solution to the wind-driven global simulation. Therefore, Omega-V0 is compared against MPAS-Ocean, solving the shallow water equations with the same configuration. Both are run with the full nonlinear advection term, with a bottom drag coefficient of  $C_D = 10^{-3}$ , Laplacian diffusion with  $\nu_2 = 10^3 \text{ m}^2\text{s}^{-1}$  and biharmonic with  $\nu_4 = 1.2 \times 10^{11} \text{ m}^4\text{s}^{-1}$ . The coastal boundaries and realistic bathymetry for these single-layer simulations are interpolated from the GEBCO 2023 (GEBCO Bathymetric Compilation Group 2023, 2023) and BedMachine Antarctica v3 (Morlighem, 2022) which have been  
 415 blended together between  $60^\circ\text{S}$  and  $62^\circ\text{S}$ . The ocean begins at rest with a uniform SSH of zero, and spins up for 40 days.



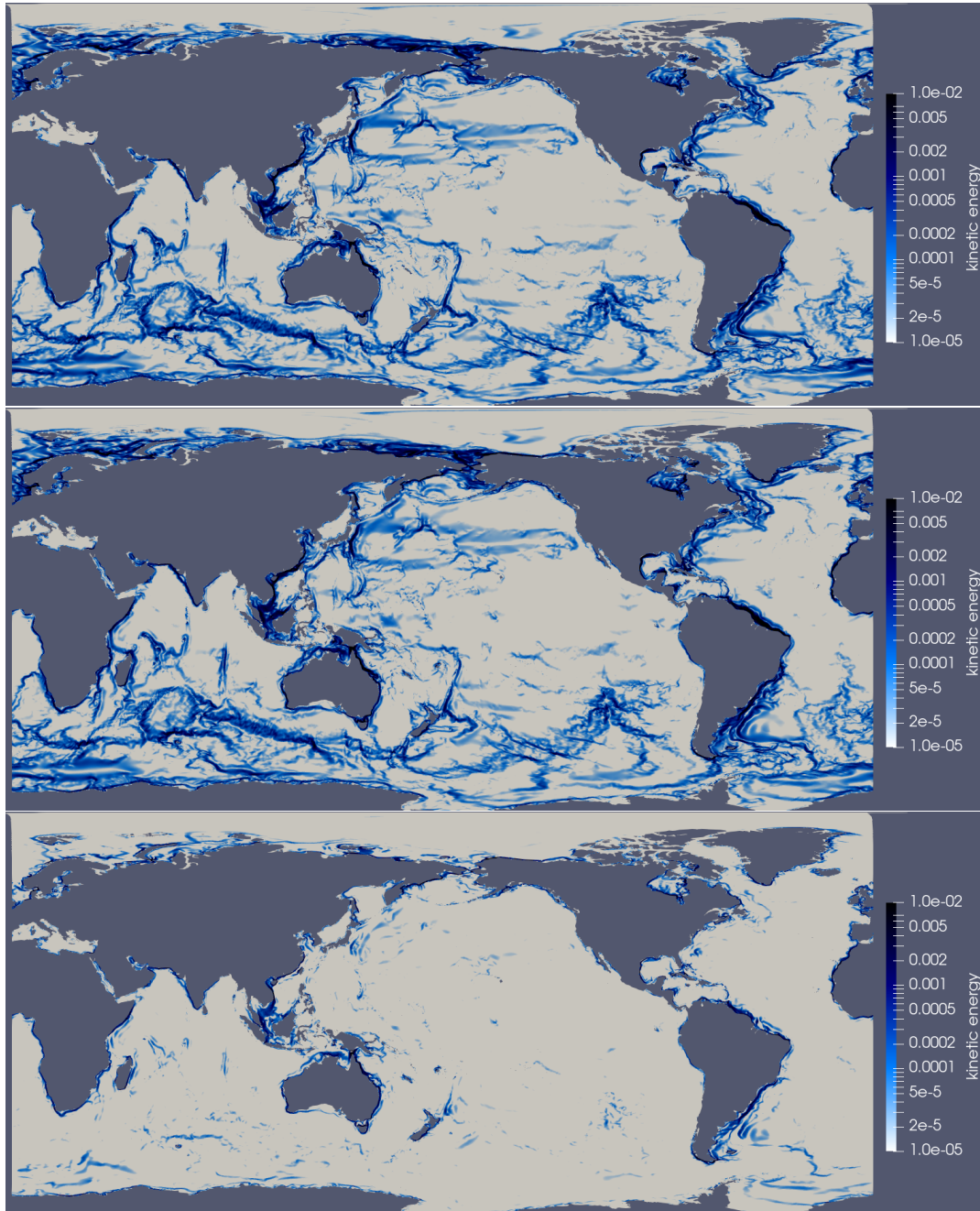
**Figure 11.** Detail of the icosahedral 7.5 km mesh, showing bottom depth in the Gulf of Mexico (top), and a close-up view of the Mississippi River Delta Region (bottom).

MPAS-Ocean and Omega read in the identical initial condition file, as they both use the MPAS mesh specification in a NetCDF file format.

A sequence of spherical icosahedral meshes were generated using the JIGSAW software via **Compass** (Asay-Davis et al., 2025b), the predecessor to **polaris**. The first mesh has 8 icosahedral subdivisions resulting in an average gridcell width of 30 km, and the width halves with each progressive subdivision. The results for 10 subdivisions, with a resolution of 7.5 km and 7.44 million horizontal cells are shown in Figure 11. A time step of 15 seconds is required at this resolution, which is similar to the barotropic time step in time-split layered ocean models, in order to satisfy the CFL condition for surface gravity waves. The wind forcing is constant in time, so there is no diurnal or seasonal variation. After a spin-up period of 40 days, one can observe the structure of the global circulation in the SSH (Figure 12), which is a proxy for the streamfunction. In the wind-driven shallow water system, strong currents develop along western boundaries and along deep sea ridges in the Southern Ocean (Figure 13). Omega and MPAS-Ocean produce the same circulation patterns, with differences of less than 5% throughout most of the domain. Visible differences along coastlines may stem from the accumulation of numerical errors in energetic regions



**Figure 12.** Global wind-driven test case showing SSH in meters at day 40, with the 7.5 km icosahedral mesh. Results are for Omega (top), MPAS-Ocean (middle) and the difference (bottom).



**Figure 13.** Global wind-driven test case showing kinetic energy in  $\text{m}^2\text{s}^{-2}$  at day 40, with the 7.5 km icosahedral mesh. Results are for Omega (top), MPAS-Ocean (middle) and the difference (bottom).

after  $2.3 \times 10^5$  time steps. The 7.5 km mesh was run on 10 nodes, with a total of 1280 processors, on Perlmutter at the National Energy Research Scientific Computing Center (NERSC).

## 430 5 Computational Performance

Experiments were conducted to evaluate the computational performance of Omega-V0. The goals of this campaign are to measure: the computational throughput on both CPUs and GPUs; scaling with the number of compute nodes; and performance across a range of operational resolutions. The promise of the performance portability of Kokkos is tested in this section with three DOE platforms, which contain two types of CPUs and three different GPU designs. In order to take full advantage of  
435 DOE's computing resources, Omega must be able to achieve high throughput at large node counts with high resolution domains on all of these machines.

### 5.1 Hardware and Compiler Specifications

Performance testing was carried out on three of the largest supercomputers in the world: Frontier, Aurora, and Perlmutter. These were ranked second, third and twenty-fifth, respectively in the most recent Top500 list (Strohmaier et al., 2025), as shown in  
440 Table 2. Currently, the DOE owns the only three exascale computers on the list—El Capitan at  $1.74 \text{ EFlop s}^{-1}$ ; Frontier at  $1.35 \text{ EFlop s}^{-1}$ ; and Aurora at  $1.01 \text{ EFlop s}^{-1}$ , as measured by the High-Performance Linpack benchmark implementation. While El Capitan was not available for this project, we were able to test Omega-V0's performance on other architectures relevant to DOE computing.

Frontier, Aurora, and Perlmutter provided a variety of chip designs to test the performance portability of the Kokkos library,  
445 as shown in Table 3. CPUs include AMD's EPYC 7763 and Intel's Xeon Max 9470. The three machines use three different GPU models: the AMD MI250X in Frontier; the Intel Data Center in Aurora; and the NVIDIA A100 Ampere in Perlmutter. Likewise, three compilers were tested: gnu on Frontier, intel on Aurora, and cray clang on Perlmutter (see Table 4).

### 5.2 Strong Scaling Tests

Performance tests were conducted using the inertial-gravity wave shallow water test, available in the `polaris` suite under  
450 `inertial_gravity_wave`, and described in Section 2.6 of Bishnu et al. (2022). In order to mimic the performance requirements of a primitive equation ocean model, the Omega-V0 shallow water model was run with 96 identical vertical layers, five active tracers, the full non-linear advection terms, and the Laplacian and biharmonic terms active in both the momentum equation 11 and tracer equation 13. The choice of 96 layers was made as it is a multiple of 8, allowing for better vectorization. Wind forcing and bottom stress were not applied in these steps. The time-stepping scheme was chosen to be  
455 classical fourth-order Runge-Kutta.

The domain is doubly periodic on a Cartesian, regular hexagonal grid. Configurations of  $1024 \times 1024 \times 96$  and  $2048 \times 2048 \times 96$  grid cells ( $x$ -cells by  $y$ -cells by  $z$ -cells) are presented here, both with 1 km grid cell width. On a regular hexagonal grid the total domain length in the  $x$ -direction is the number of cells times the grid cell width, just like on a quadrilateral grid. In the

<b>Top500 statistics</b>	<b>Frontier</b>	<b>Aurora</b>	<b>Perlmutter</b>
Rank, June 2025	2	3	25
Linpack Performance (Rmax)	1,353 PFlop s <sup>-1</sup>	1,012 PFlop s <sup>-1</sup>	79 PFlop s <sup>-1</sup>
Theoretical Peak (Rpeak)	2,056 PFlop s <sup>-1</sup>	1,980 PFlop s <sup>-1</sup>	113 PFlop s <sup>-1</sup>
Nmax	24,837,120	28,773,888	5,800,000
HPCG	14,054 TFlop s <sup>-1</sup>	5,613 TFlop s <sup>-1</sup>	1,905 TFlop s <sup>-1</sup>
CPU cores for test	9,066,176	9,264,128	888,832
Power Consumption	24,607 kW	38,698 kW	2,945 kW

**Table 2.** Performance statistics from the Top500 Supercomputers list, June 2025 (Strohmaier et al., 2025). Rmax is the maximum performance achieved using the LINPACK benchmark suite. Rpeak is the theoretical peak performance. Nmax refers to the size of the largest problem (specifically, the matrix size in a LINPACK benchmark) that a computer can solve. HPCG is the High-Performance Conjugate Gradient (HPCG) Benchmark results.

<b>Hardware</b>	<b>Frontier</b>	<b>Aurora</b>	<b>Perlmutter</b>
Manufacturer	HPE	Intel	HPE
Location	Oak Ridge National Lab.	Argonne National Lab.	NERSC
Installation Year	2021	2023 (available 2025-Feb-14)	2021
Nodes	9856	10,624	4904 (1792 GPU; 3072 CPU; 40 login)
CPU	AMD EPYC 7713 2GHz	2x Xeon Max 9470 2.4GHz	AMD EPYC 7763 2.45GHz
Cores per CPU	64	51	64 (GPU node); 128 (CPU node)
GPU	4x AMD MI250Xs w/ 2 GCD	6x Intel Data Center GPU Max	4x NVIDIA A100 Ampere
GPU performance	47.9 TFlops (FP64)/GPU	52.4 TFlops (FP64)/GPU	9.7 TFlops (FP64)/GPU
Memory per node	512 GB of DDR4 64 GB HBM2E / GCD	1024 GB of DDR5, 128 GB HBM 768 GB HBM for GPU	256 GB of DDR4 DRAM
Memory bandwidth	204.8 GB s <sup>-1</sup> CPU 1600 GB s <sup>-1</sup> GPU	2,870 Peak GB s <sup>-1</sup> CPU HBM 19,660 Peak GB s <sup>-1</sup> GPU	204.8 GB s <sup>-1</sup> CPU 1555.2 GB s <sup>-1</sup> GPU
Interconnect	Slingshot-11 Infinity Fabric	Slingshot-11 PCIe 5.0 NIC-CPU connection	Slingshot-11 PCIe 4.0 NIC-CPU connection

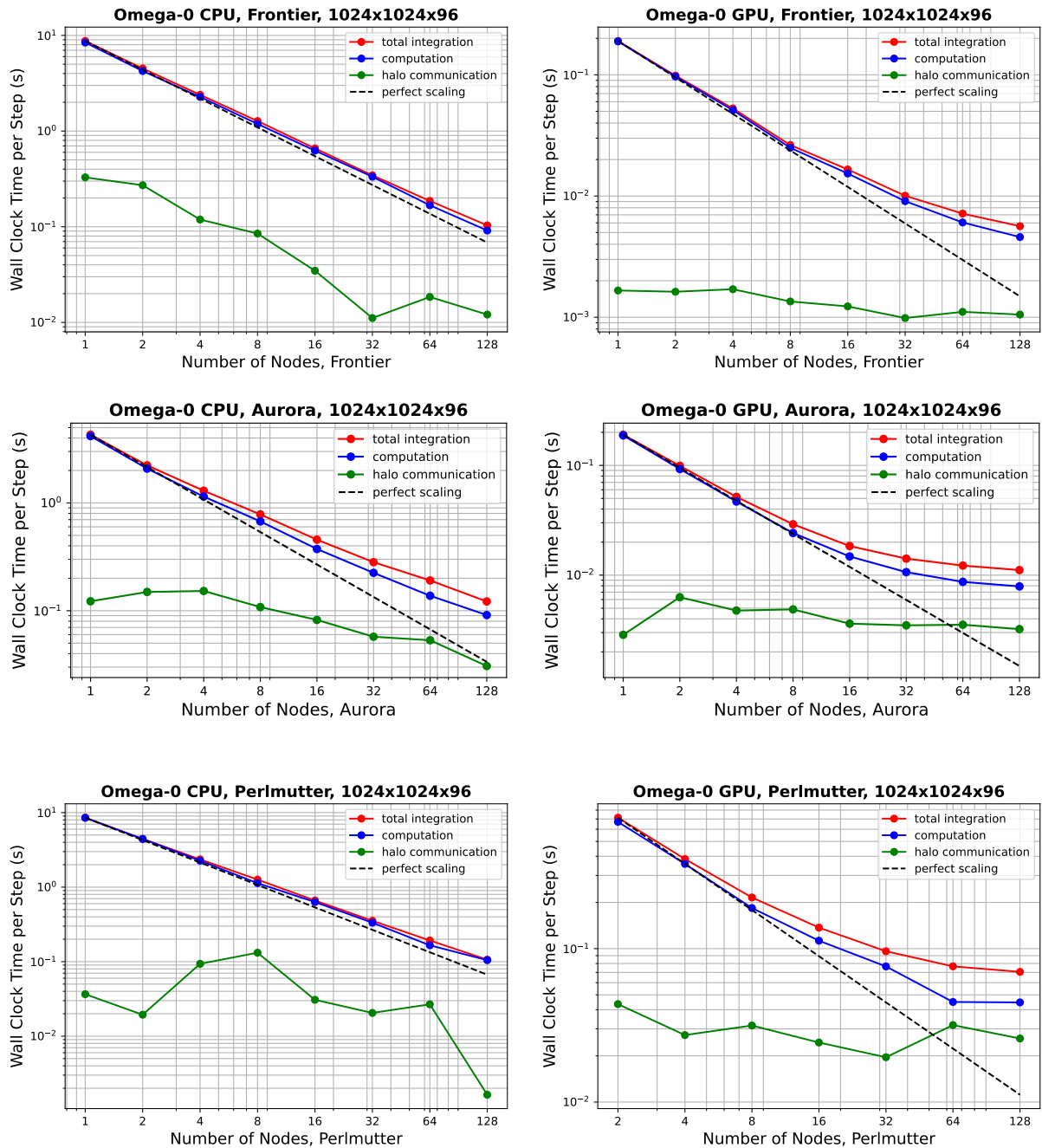
**Table 3.** Hardware specifications for computers in this study, collected from Dongarra and Geist (2022); Oak Ridge National Laboratory (2025); Argonne National Laboratory (2025); NERSC (2025).

<b>Software for Omega-V0 tests</b>	<b>Frontier</b>	<b>Aurora</b>	<b>Perlmutter</b>
Operating System	HPE Cray OS	SUSE Linux Enterprise Server 15 SP4	HPE Cray OS
Compiler	gcc (SUSE Linux) 13.2.1	Intel OneAPI DPC++ 2025.0.4	Cray clang 18.0.1
MPI	cray-mpich/8.1.30	mpich v5.0.0a1	cray-mpich/8.1.31
Programming environment	PrgEnv-gnu/8.5.0	oneapi/release/2025.0.5	PrgEnv-cray/8.6.0

**Table 4.** Software for performance tests presented in this section.

y-direction the total domain length is the number of cells times the grid cell width times  $\sqrt{3}/2 \sim 0.866$  due to the stacking  
460 of the hexagonal cells. Performance times are equivalent for regular cartesian and unstructured spherical meshes because the  
regular hexagon grid is still treated as unstructured data with indirect addressing. The regular hexagon grids are used here  
for convenience, as its horizontal grid cell count can easily be incremented by factors of two to produce a sequence of grid  
resolutions. The number of horizontal grid cells is approximately one million for the 1024x1024x96 domain and four million  
465 for the 2048x2048x96 domain. This compares to recent publications of 235 thousand horizontal cells by 64 vertical layers  
for the low-resolution global MPAS-Ocean E3SM domain (Smith et al., 2025), and 3.7 million by 80 vertical layers for the  
high-resolution 6 to 18 km MPAS-Ocean domain (Caldwell et al., 2019). Omega-V1 will be a full ocean model with additional  
computations such as vertical advection and mixing, equation of state, pressure computation, and physics parameterizations.  
Despite this, the current shallow water configurations provide a good preliminary representation of the performance comparison  
between Omega and MPAS-Ocean, between CPU and GPUs, and scaling to large node counts. For these tests, MPAS-Ocean  
470 has some of its primitive equation terms disabled so that it is solving the identical equations as Omega-V0. MPAS-Ocean was  
not tested on Aurora, the newest machine, because the purpose of three machines was to demonstrate the versatility of Omega  
on different hardware, and Frontier and Perlmutter were considered sufficient for the Omega versus MPAS-Ocean comparison.

Performance results for Omega-V0 are shown in Figure 14 for the 1024x1024x96 mesh, and in Figure 15 for the 2048x2048x96  
mesh. Corresponding results for MPAS-Ocean are shown in Figures 16 and 17. In all cases, computation (blue lines) scales  
475 better than halo communication (green line), which is expected (Bishnu et al., 2023). Inter-node communication can be highly  
variable, depending on the competing traffic on the interconnect. Each point on these plots represents the time per timestep  
averaged over 5 simulations of 12 timesteps each, excluding start-up and I/O time. Since communication does not scale well  
with increasing node counts, low resolution configurations exhibit poor scaling due to insufficient computational intensity. This  
effect is more pronounced on GPUs (right column) than on CPUs (left column). In the “GPU” simulations, some CPUs were  
480 used for the timing test, as specified in table 5. However, the vast majority of computational work is executed on GPUs, while  
CPUs are primarily used for tasks such as flow control, kernel launches, synchronization, and I/O. As expected, the problem  
of poor scaling at a particular node count can be alleviated by running the model with higher resolution. MPAS-Ocean was not  
fully ported to OpenACC, so additional speed-up on GPUs is possible with further porting and tuning.



**Figure 14.** Strong scaling of Omega-V0 for the 1024x1024x96 resolution on Frontier (top), Aurora (middle) and Perlmutter (bottom), showing CPU-only simulations (left column), and GPUs with CPUs (right column). The colors separate the total (red) between the inter-node halo communication (green) and the on-node computation (blue). Start-up time and I/O are not included.

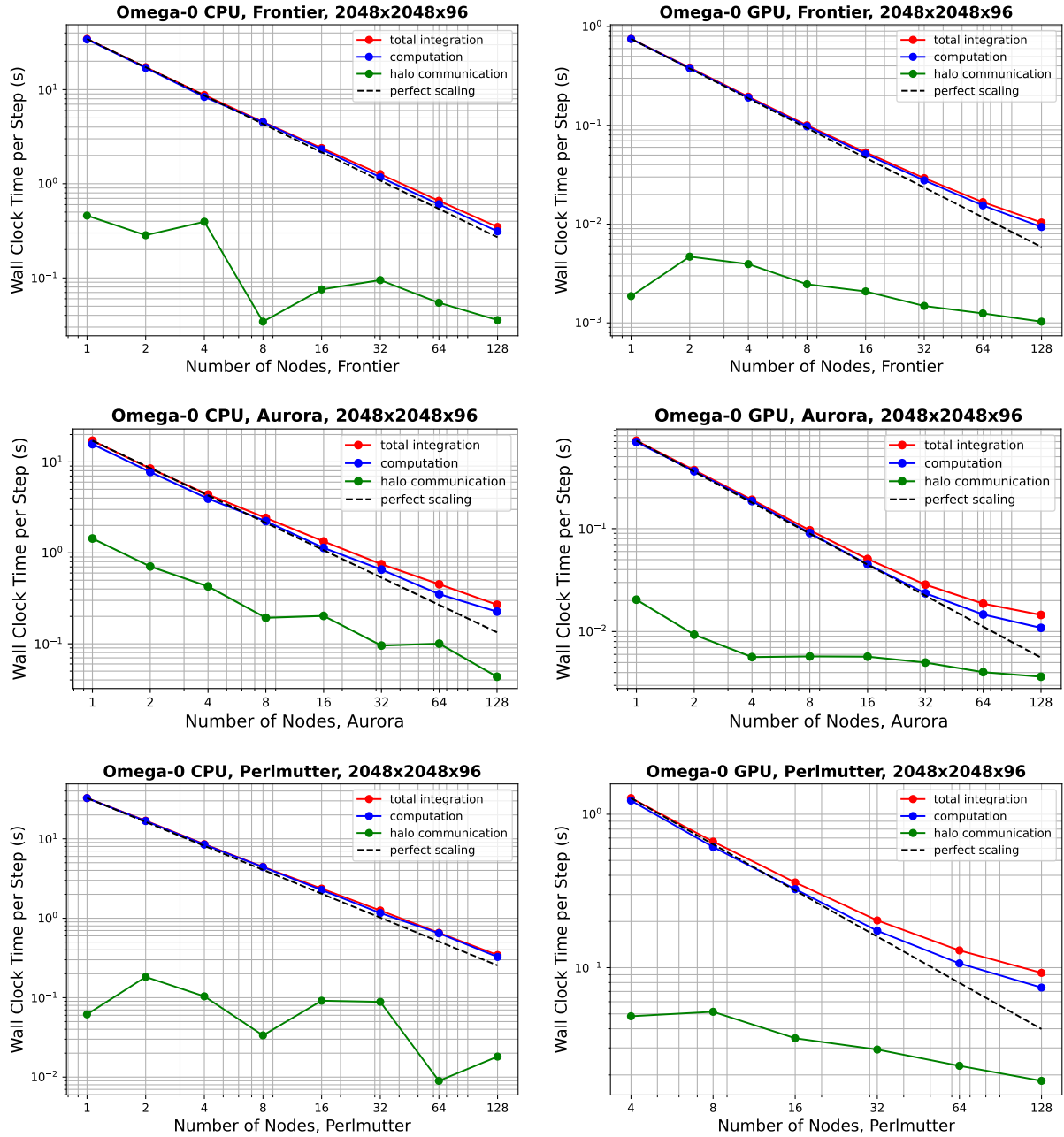
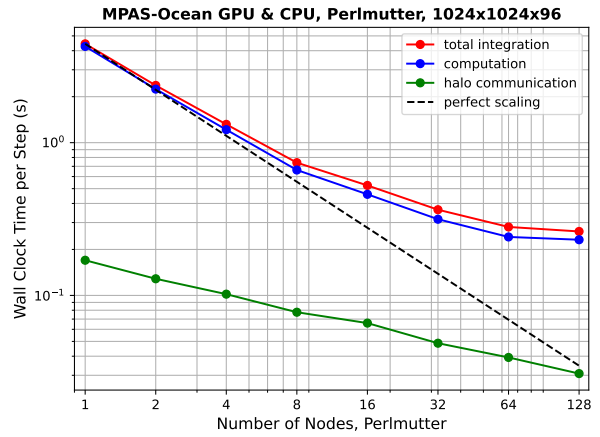
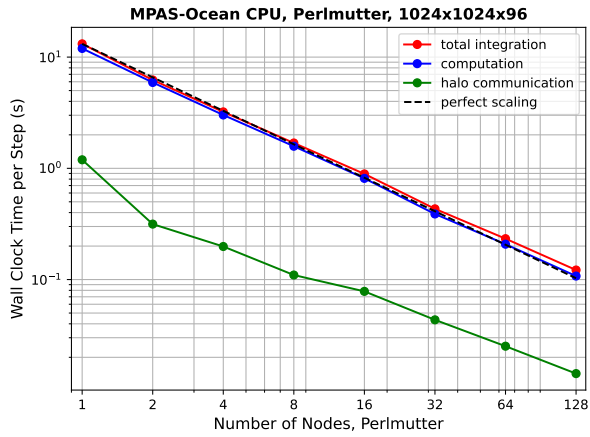
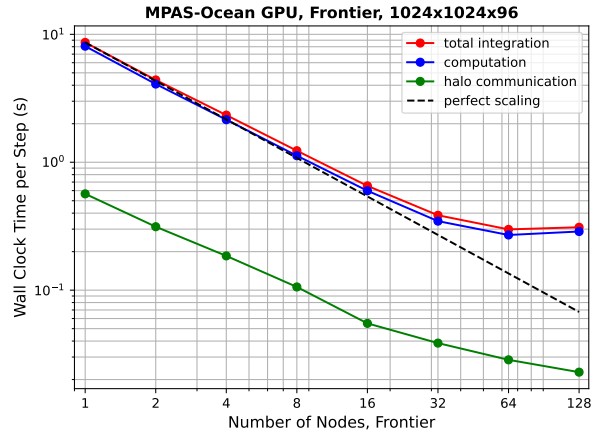
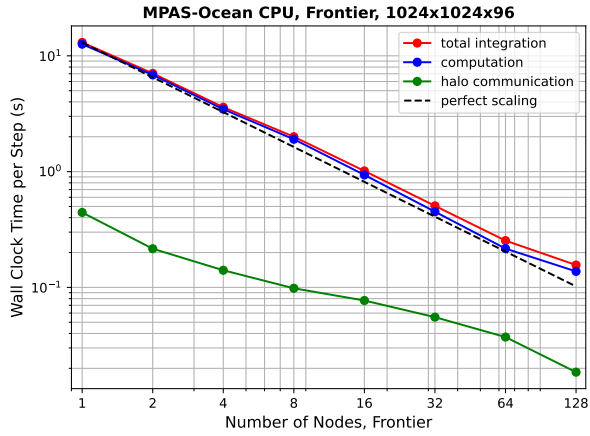


Figure 15. Same as Figure 14 but for the 2048x2048x96 resolution.



**Figure 16.** Strong scaling of MPAS-Ocean for the 1024x1024x96 resolution on Frontier (top) and Perlmutter (bottom), showing CPU-only simulations (left column), and GPUs with CPUs (right column).

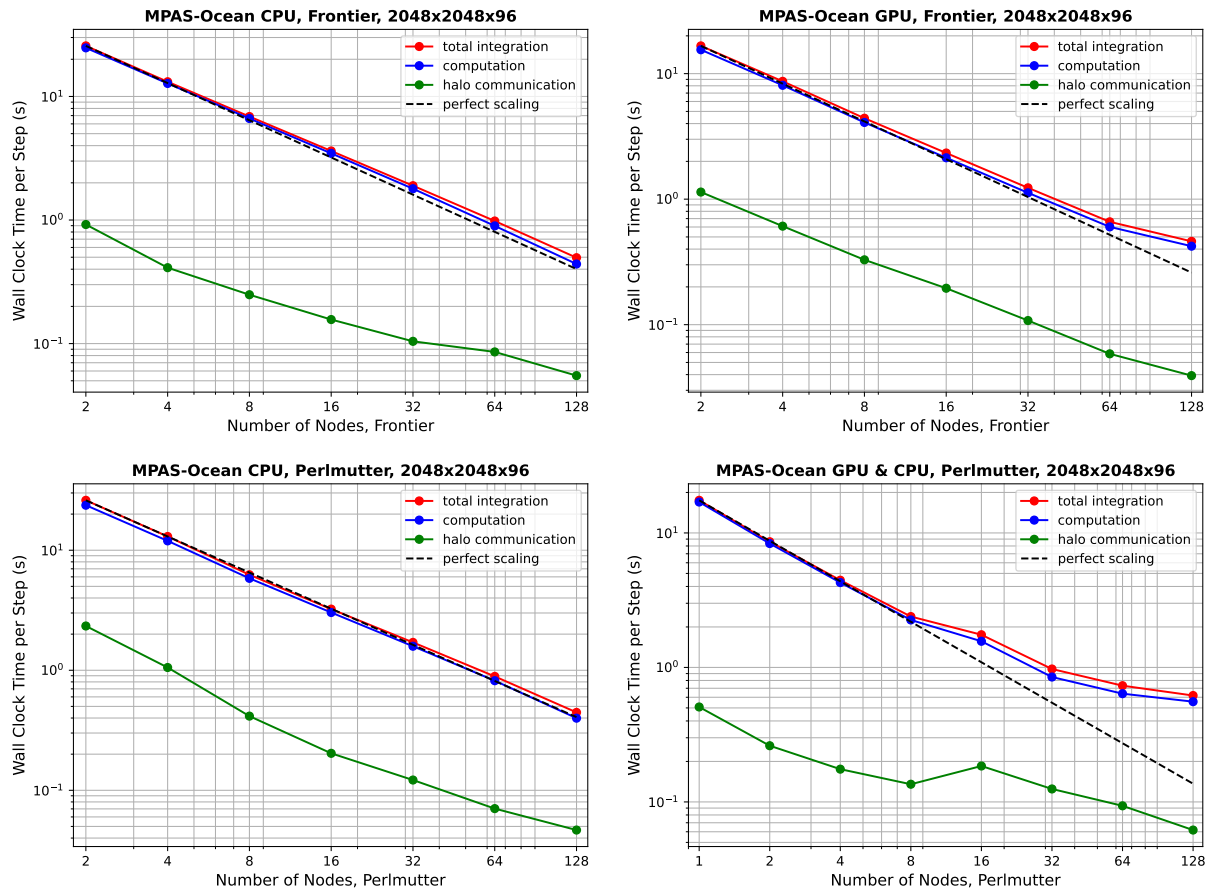


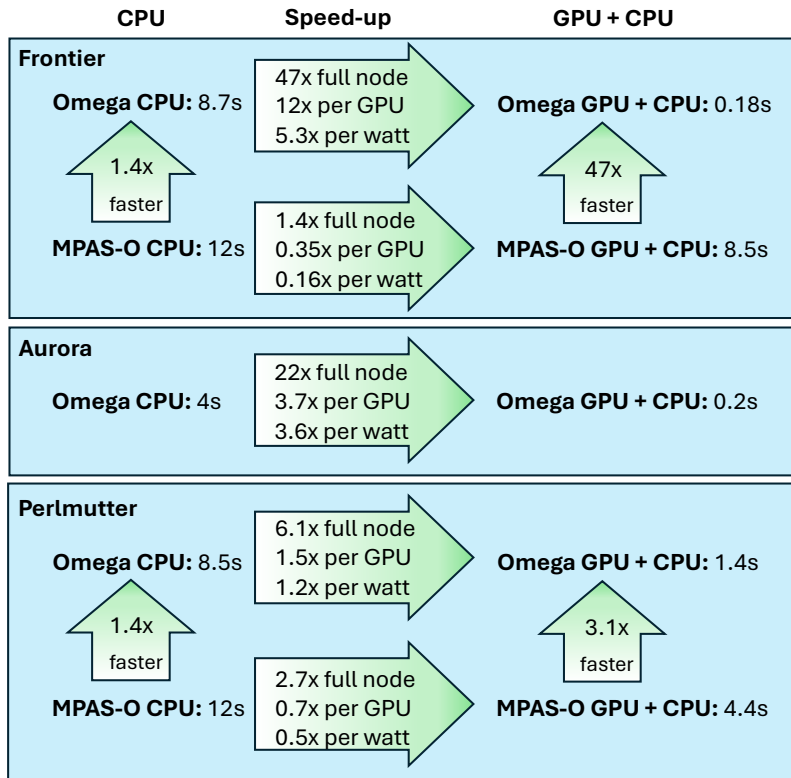
Figure 17. Same as Figure 16 but for the 2048x2048x96 resolution.

		Frontier CPU	Frontier GPU+CPU	Aurora CPU	Aurora GPU+CPU	Perlmutter CPU	Perlmutter GPU+CPU
WC time/timestep s, full node	Omega	8.7	0.18	4.3	0.18	8.5	1.4
	MPAS-Ocean	12.1	8.5	na	na	12.0	4.4
number of CPUs/node used for test		56	32	104	6	64	64
number of GPUs		0	4	0	6	0	4
power, TDP watts per CPU or GPU		280	560	350/socket	600	280	300
power, TDP watts per node		280	2520	700	4300	280	1480
Throughput (model step/WC time)	Omega	0.115	5.556	0.233	5.556	0.118	0.714
	MPAS-Ocean	0.083	0.118	na	na	0.083	0.227
Throughput per watt for 1000 steps	Omega	0.411	2.205	0.332	1.292	0.420	0.483
	MPAS-Ocean	0.295	0.047	na	na	0.298	0.154
Throughput per watt relative to Omega CPU	Omega	1.00	5.25	1.00	3.89	1.00	1.15
	MPAS-Ocean	0.72	0.11	na	na	0.71	0.37

**Table 5.** Timing for the 2048x2048x96 on four nodes, with thermal design power and throughput per watt power consumption.

Next, we compare throughput on CPU-only nodes versus when GPUs are added, and between Omega and MPAS-Ocean. To do this, the comparison is fixed at four nodes, all within the “perfect scaling” regime, using the 2048x2048x96 mesh. These comparisons are not sensitive to the choice of resolution, as for each case, the 2048x2048x96 timing is almost exactly four times that of 1024x1024x96, demonstrating ideal weak scaling. The average wallclock time per time step is provided in the first two rows of Table 5. Note that Frontier and Perlmutter both have AMD EPYC CPUs but different clock speeds and compilers (gcc versus Cray clang). Timing results very similar but not identical in Figures 14–17.

There are several ways to measure the speed-up when transitioning from CPU-only nodes to nodes with both CPUs and GPUs. The simplest method is to take the ratio of the compute times when the full resources of each node are utilized. For Omega-V0, this yields speed-ups of 47x on Frontier, 22x on Aurora, and 6.1x on Perlmutter, as shown in the top row of each arrow on Figure 18. Another comparison involves using the full CPU set versus a single GPU, which results in speed-ups of 12x, 3.7x, and 1.5x for Omega-V0 on these machines. However, one could argue that modern supercomputers are designed to deliver high GPU throughput, and the CPUs are simply helpers to coordinate the GPU computations. Accordingly, the ideal configuration for Omega maps one MPI task to one CPU and one GPU, with the CPU primarily responsible for orchestrating GPU execution. A major hardware design consideration is the reduced power usage per flop for GPUs, as the full supercomputer must aim to maximize computational throughput while minimizing total power consumption. The configurations used in Table 5 are chosen to enable a fair comparison between Omega and MPAS-Ocean for throughput per watt evaluation. To this end, we estimate the computational efficiency of our models with the thermal design power (TDP) of each chip (row 4 of Table 5). For example, on Frontier, the AMD EPYC 2GHz CPU is rated at 2.5 TFLOPs of double-precision

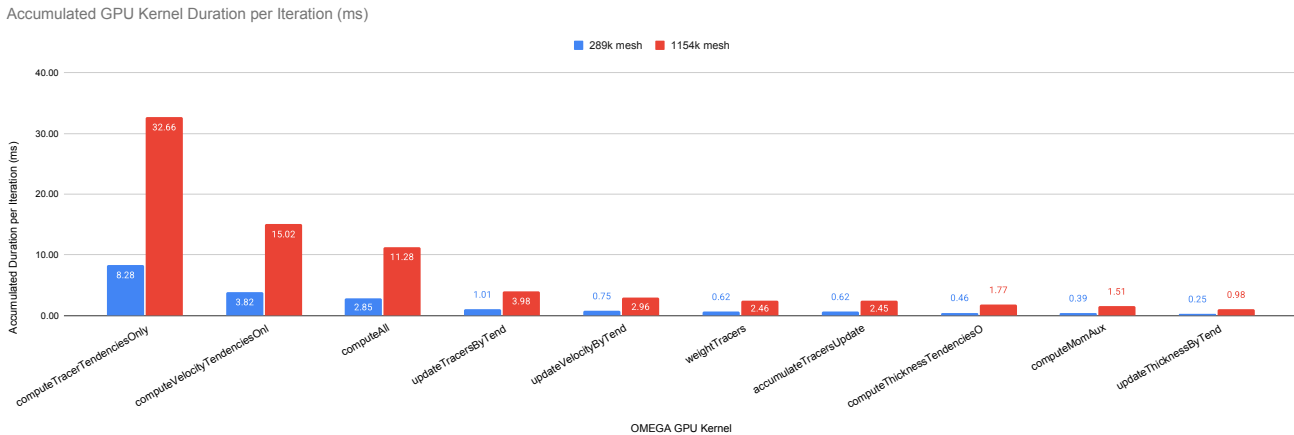


**Figure 18.** A diagram of the speed-up factors when including the GPUs on each machine, for Omega-V0 and MPAS-Ocean. Times are based on four-node results on the 2048x2048x96 resolution shown in Table 5. The speed-up per watt uses the thermal design power of each CPU and GPU.

performance and 225–280W TDP (HPCwire, 2021). In contrast, Frontier’s AMD MI250X GPU specifications state 47.9 TFLOPs for 500–560W TDP (AMD, 2025), for a total of 191.6 TFLOPs and 2000–2240W TDP for the four GPUs on a single node. This means that the lion’s share of computing and power consumption on Frontier takes place on the GPUs.

505 Thus, the most meaningful comparison of code performance between CPUs and GPUs for a new model is based on the computational throughput per watt of power consumption. For Omega-V0, this metric shows performance improvements of 5.3x on Frontier, 3.6x on Aurora, and 1.2x on Perlmutter. Using this same method, these numbers for MPAS-Ocean are 0.16x on Frontier and 0.5x on Perlmutter, indicating a reduction in computational throughput per watt. Omega’s relative performance is further highlighted in head-to-head comparisons on each chip: Omega-V0 is 1.4x faster than MPAS-Ocean on the AMD

510 EPYC CPU, 3.1x faster with Perlmutter’s NVIDIA A100 Ampere GPU, and 47x faster on Frontier’s AMD MI250Xs. These results underscore the effectiveness of Omega’s performance-portable design based on C++ and the Kokkos library.



**Figure 19.** Accumulated GPU kernel duration per iteration for 289k and 1154k mesh resolutions on NVIDIA A100. The computeTracerTendenciesOnly kernel dominates execution time, accounting for approximately 40% of total kernel runtime on the finer mesh.

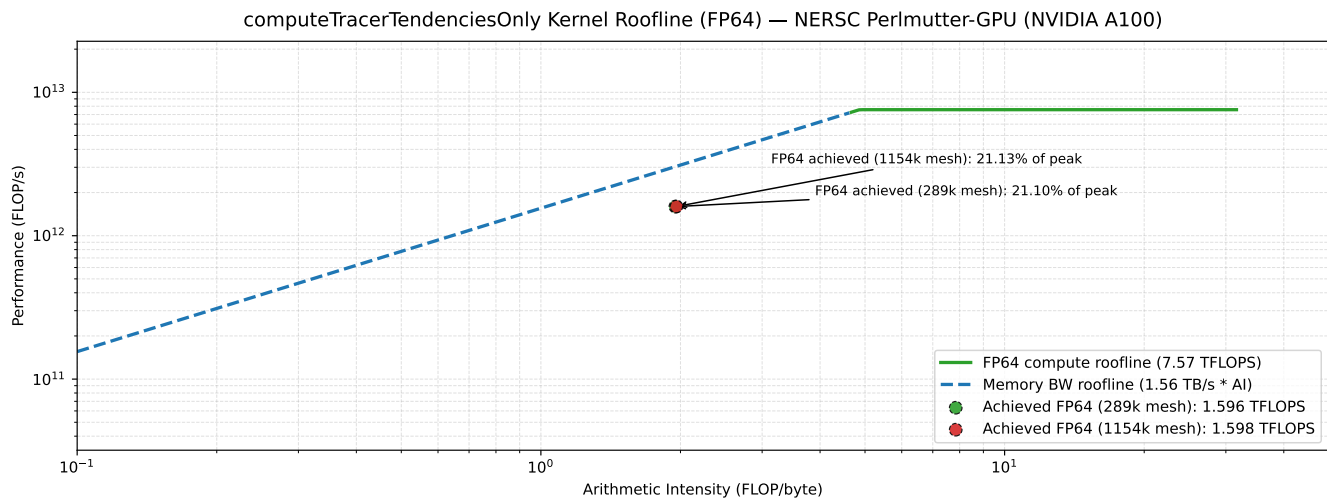
### 5.3 Absolute Performance Metrics

To address the need for absolute performance metrics, we conducted a detailed kernel-level profiling of Omega using NVIDIA Nsight Systems and Nsight Compute on an NVIDIA A100 GPU at NERSC Perlmutter. Figure 19 presents the accumulated execution time of the GPU kernel per iteration for two mesh resolutions (289k and 1154k cells). The computeTracerTendenciesOnly kernel dominates the overall GPU execution time, accounting for 32.66 ms (approximately 40% of total kernel time) on the finer mesh, making it the natural target for detailed absolute performance analysis.

**Table 6.** Key GPU kernel metrics for computeTracerTendenciesOnly on NVIDIA A100 at NERSC Perlmutter for two mesh resolutions.

Metric	289k mesh	1154k mesh
Grid / Block	$(276829, 1, 1) \times (1, 128, 1)$	$(1094888, 1, 1) \times (1, 128, 1)$
Compute (SM) Throughput	44.24%	44.29%
Memory Throughput (GB/s, Max %)	818 GB/s, 52.6%	817.28 GB/s, 52.55%
Occupancy (Achieved / Theoretical)	58.41% / 62.5%	58.52% / 62.5%

Table 6 summarizes key hardware utilization metrics for the computeTracerTendenciesOnly kernel. The kernel achieves approximately 44% compute (SM) throughput and 52–53% of peak memory bandwidth (817–818 GB/s out of 1.56 TB/s theoretical), with an achieved occupancy of 58% relative to a theoretical maximum of 62.5%. The roofline analysis (Figure 20) reveals that the kernel operates in the memory-bound regime with an arithmetic intensity of approximately 2 FLOP/byte, achieving 1.60 TFLOPS—roughly 21% of the A100’s peak FP64 performance (7.57 TFLOPS).



**Figure 20.** Roofline analysis of the computeTracerTendenciesOnly kernel on NVIDIA A100 GPU. The kernel achieves approximately 21% of peak FP64 performance (1.60 TFLOPS) and operates in the memory-bound regime with an arithmetic intensity of 2 FLOP/byte.

The next phase of Omega development will add higher-order advection, the equation of state, and physics parameterizations. These are expected to run efficiently on GPUs as they are compute-intensive relative to communication with neighboring cells. The design choices, such as vertical chunking and Kokkos parallel execution policies, are expected to hold but will be retested and altered to suit the full ocean model. Two concerns for future additions are variable bathymetry, which can disrupt the vertical chunking strategy, and inefficiencies introduced by branching that are inherent to parameterizations. Preliminary work shows that computing on the full column with masking arrays is a useful option to avoid these issues.

## 6 Conclusions

This paper documents the governing equations, design philosophy, coding implementation, verification, and performance of Version 0.1.0 of the Ocean Model for E3SM Global Applications (Omega-V0). Version 0 is the first step towards a layered non-Boussinesq ocean model that can be used for realistic global applications as a component within E3SM. The motivation for rewriting the ocean model is to create a code base that is resilient to changing supercomputer architectures. We found that our previous framework of Fortran code with MPI, OpenMP, and more recently OpenACC was not suitable for the new exascale computing landscape within DOE.

The key to the new Omega design is *performance portability*. The investment into developing a code base from scratch will pay off as new architectures are introduced, because the underlying Kokkos library will be updated and optimized for new machines while the Omega code may remain unchanged. Moving from Fortran to C++ offers the additional advantages of more standard libraries, modern code abstractions, and a language familiar to the next generation of developers.

540 The verification of Omega-V0 included convergence against exact solutions for the nonlinear shallow water equations using a manufactured solution test case, and for tracer advection on the sphere using a cosine bell test case. The barotropic gyre test case adds wind forcing, solid boundaries, and viscosity in an idealized domain, while the wind-driven global simulations validate our workflow with coastlines and bathymetry on a rotating earth. These tests are all automated and available in our `polaris` package, including the generation of initial conditions, statistical analysis, and visualization. Comparisons with  
545 exact solutions and MPAS-Ocean simulations provide confidence that Omega-V0 is working as expected.

Performance results on GPUs are of particular importance for this study, as that is the driving purpose of Omega. Omega-V0 is significantly faster on GPUs than on CPUs, as measured on a per-node, per-GPU, or per-watt basis. Performance measurements on Frontier and Aurora, two of the world's fastest exascale computers, were quite promising. The speed-up from full-node CPU-only to full-node with GPUs was 47x on Frontier, 22x on Aurora, and 6.1x on Perlmutter (this is 12x, 3.7x, and 1.5x,  
550 respectively, on a per-GPU basis). Regarding energy consumption, the improvement in throughput from CPUs to GPUs on a per watt basis was 5.3x on Frontier, 3.6x on Aurora, and 1.2x on Perlmutter. This means that Omega's central design principle of performance portability was demonstrated on the exascale architectures that are most relevant to the DOE. In addition, performance tests were conducted to 128 nodes with high-resolution domains of 4 million horizontal cells and 96 layers. Compute times scale nearly perfectly up to 128 CPU nodes and 32 GPU nodes. Good scaling to more nodes can be achieved  
555 with higher resolution configurations. Direct GPU-to-GPU communication was an important factor for successful Omega-V0 simulations on GPUs.

MPAS-Ocean is an important standard of comparison because it is the current ocean model in E3SM, and Omega is the candidate replacement. Omega-V0 is 1.4 times faster than MPAS-Ocean on CPUs. They use the same mesh specification, array structure, and indirect addressing of horizontal neighbors. The performance gains on CPUs can be attributed to both  
560 inefficiencies in the MPAS infrastructure related to frequent pointer retrievals from complex data structures and to a focus in Omega on improved optimization and memory layout for vectors. The speed-ups from MPAS-Ocean to Omega are particularly notable on GPUs, with a 4.7x speedup on Frontier and a 3.1x speedup on Perlmutter. In these tests, Omega-V0 and MPAS-Ocean had identical configurations and computed the same shallow water terms. The performance results confirm that MPAS-Ocean was constrained by the partial OpenACC implementation that required both host and device copies and related data motion,  
565 as well as MPI communications that were not device-aware, whereas Omega is a device-focused implementation with the potential to deliver faster simulations on GPU-based exascale computers.

Omega Version 1 will be a layered non-Boussinesq ocean model intended for real-world simulations. The underlying Kokkos framework will remain the same, but with additional terms for vertical advection and diffusion, an equation of state, hydrostatic pressure, and higher-order tracer advection. Version 1 will have similar capabilities as MPAS-Ocean in Ringler et al. (2013),  
570 and will be compared to realistic climatology. Version 2 will add coupling capability for surface fluxes within E3SM as in Petersen et al. (2019), and more advanced parameterizations. The improved performance of Omega on GPUs, along with the atmospheric component EAMxx (Donahue et al., 2024), will allow E3SM to pursue state-of-the-art science on the world's newest and largest exascale supercomputers.

*Code and data availability.* Omega Version 0.1.0 is available at <https://zenodo.org/records/17418901> (Petersen et al., 2025b) and in the  
575 E3SM code repository under the tag Omega-v0.1.0-alpha.1 (<https://github.com/E3SM-Project/Omega/releases/tag/Omega-v0.1.0-alpha.1>).  
This version has the DOI 10.11578/dc.20250723.1 and is documented by DOE CODE at <https://doi.org/10.11578/dc.20250723.1> (Petersen  
et al., 2025a). Within the E3SM repository, Omega may be compiled as a standalone application by running CMake in the `components/omega`  
subdirectory. The Omega User's Guide may be found at <https://docs.e3sm.org/Omega>. The testing framework is `polaris` version 0.7.0  
(Asay-Davis et al., 2025a), which is available at <https://doi.org/10.5281/zenodo.15470123>.

580 *Author contributions.* Code development, testing, and timing were conducted by all authors. Omega framework development was led by  
PJ, with team members SRB, YK, BO, MW. Shallow water model code developers included SRB, HK, AM, BO, MW. Testing, including  
the `polaris` development, was led by XSAD and CB with contributions by SB, SRB, MP, AB, KS. Performance measurement and  
improvements on three DOE computers were by MP, YK, AM, KR, SS, MW. Project management was by LVR, MP, SRB. The manuscript  
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585 *Competing interests.* The authors declare no competing interests

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