- 1 Abundance of volatile organic compounds and their role in ozone pollution management:
- 2 Evidence from multi-platform observations and model representations during the 2021–
- 3 2022 field campaign in Hong Kong
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1 **Abstract.** Volatile organic compounds (VOCs) are a diverse group of species that contribute to 2 ozone formation. However, our understanding of VOC dynamics and their effect on ozone 3 pollution is limited by the lack of long-term, continuous, and speciated measurements, especially of oxygenated compounds. To address this gap, this study integrates on-land, shipborne, and 4 spaceborne measurements from a field campaign in Hong Kong during 2021–2022, analyzing 5 45–98 VOC species over land and water. Results show that oxygenated VOCs (OVOCs) account 6 7 for 73% (37 ppbv) of the total VOC concentration and 56% of the total ozone formation potential 8 (OFP), underscoring their indispensable role in VOC chemistry. Despite such importance, 9 OVOCs are underestimated by 45%–70% in the CMAQ model, while non-methane 10 hydrocarbons (NMHCs) face a lesser underestimation of 47%–48% (i.e., "model 11 underestimation"). Meanwhile, the model does not currently account for 17–56 species of the total measured VOCs (i.e., "model omission"). According to this, we break down the observed 12 13 overwater VOC concentration of 51 ppbv into three components: 9 ppbv (18%) successfully represented, 35 ppbv (69%) underestimated, and 7 ppbv (14%) omitted in the model. For OFP, 14 the breakdown shows 26% successful representation, 54% underestimation, and 20% omission. 15 16 Together, both "omission" and "underestimation" reveal the overall "VOC underrepresentation" in the model, which partly results in greater ozone sensitivity to VOCs than observed by 17 18 spaceborne TROPOspheric Monitoring Instrument (TROPOMI) in polluted areas. The findings 19 provide valuable insights into regional pollution dynamics, and inform VOC-related model 20 development and air quality management.

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1 1. Introduction

- 2 Volatile organic compounds (VOCs) in the atmosphere represent a diverse group of gaseous
- 3 organic trace substances. This extensive family includes non-methane hydrocarbons (NMHCs)
- 4 such as alkanes, alkenes, alkynes, and aromatics, as well as oxygenated VOCs (OVOCs) like
- 5 aldehydes, alcohols, ketones, ethers, and organic peroxides, classified based on their molecular
- 6 structures. VOCs are emitted from various anthropogenic and biogenic sources (Li et al., 2014;
- 7 Guenther et al., 2012) and can also be produced through secondary atmospheric processes. In the
- 8 ambient air, NMHCs can be oxidized to OVOCs, a process that often predominates the levels of
- 9 OVOCs in many regions of China and globally (Chen et al., 2014; Liu et al., 2022). Once
- 10 emitted or formed, VOCs are subject to photolysis by near-ultraviolet radiation, generating
- atmospheric radicals, or to oxidation by various oxidants including hydroxyl radicals (·OH),
- nitrate radicals (NO_3 ·), and ozone (O_3), resulting in the formation of secondary peroxyl radicals
- 13 (RO_x). These processes are essential for atmospheric radical recycling and play a significant role
- to ozone and secondary organic aerosol formation (Wang W. et al., 2022a; Wang W. et al., 2024a;
- 15 Whalley et al., 2021).
- Surface ozone, produced through photochemical reactions involving VOCs and nitrogen oxides
- (NO_x) , poses risks to human health and ecosystem productivity. Between 2013 and 2019, surface
- ozone levels increased in several metropolitan regions of China. Although levels seemed to
- 19 slightly decline around 2019 in areas such as the Beijing-Tianjin-Hebei (BTH), Yangtze River
- 20 Delta (YRD), and Sichuan Basin (SCB), ozone levels in the Pearl River Delta (PRD) have
- continued to rise in recent years (Feng et al., 2023; Wang Y. et al., 2023a; Wang W. et al., 2022b;
- Wang W. et al., 2024a). Ozone formation in these metropolitan urban clusters is found to be
- particularly sensitive to VOCs (Wang Y. et al., 2023a; Wang T. et al., 2022). Therefore,
- 24 understanding VOC abundance and its role in regulating ozone is crucial in urban areas
- 25 nationwide, especially in the PRD where both ozone and VOC levels have shown an upward
- 26 trend (Wang Y. et al., 2023a; Feng et al., 2023).
- 27 Current VOCs representation in chemical transport models remains largely suboptimal for
- 28 several reasons. First, producing VOC emission inventories is more challenging than for NO_x
- due to the larger number of compounds involved and the uncertain source profiles (Li et al.,
- 30 2014; Mo et al., 2016). Volatile chemical product (VCP) emissions, which have gained
- 31 increasing attention in urban areas, have only recently been incorporated into emissions
- 32 inventory development (Coggon et al., 2021; Seltzer et al., 2021; Wang S. et al., 2024). To date,
- VOC emission inventories have performed poorly against observations on a global scale (von
- 34 Schneidemesser et al., 2023; Rowlinson et al., 2023; Beaudry et al., 2025). Meanwhile, the
- 35 complex chemistry of VOCs poses significant challenges for model representation, and efforts
- are underway to improve their parameterization through new chemical mechanisms and

- 1 coefficients (Travis et al., 2024; Bates et al., 2024; Bates et al., 2021; Zhu et al., 2024). Mostly
- 2 importantly, unlike criteria pollutants (i.e., PM₁₀, PM_{2.5}, ozone, NO₂, SO₂, and CO) that are
- 3 routinely monitored, only a limited number of VOC species are measured at a small number of
- 4 sites or for episodic short durations both in China and globally (Zhang et al., 2025; She et al.,
- 5 2024; Ge et al., 2024; von Schneidemesser et al., 2023). The lack of consistent, continuous
- 6 measurements for many VOC species, particularly OVOCs, hinders comprehensive VOC-related
- 7 model evaluation and improvement. This limitation impacts the assessment of ozone formation
- 8 sensitivity to VOCs and the formulation of emission reduction strategies for ozone pollution
- 9 management. Therefore, there is an urgent need to understand the extent to which VOCs are
- 10 represented in models, and how this representation affects the simulation of the ozone-precursor
- 11 relationship.
- 12 Knowledge gaps remain in previous studies assessing the ability of chemical transport models to
- 13 reproduce the ambient abundance of VOCs. First, the scarcity of OVOC measurements has led
- earlier research to focus primarily on NMHCs, with only a limited number of OVOCs
- investigated (von Schneidemesser et al., 2023; Rowlinson et al., 2023; Ge et al., 2024). This
- results in a gap in understanding the model representation of OVOCs, which is becoming
- increasingly important as future emissions shift toward greater OVOC levels due to rising
- solvent use and consumer products (Wang S. et al., 2024; Wang Y. et al., 2024; Coggon et al.,
- 19 2021; Karl et al., 2018). Secondly, many studies report direct comparison between equivalent
- VOC species between observations and models (Zhang et al., 2025; She et al., 2024; Ge et al.,
- 21 2024; Zhu et al., 2024). However, models often simulate only a subset of the total measured
- VOCs. The missing VOC reactivity in models versus measurements can be partly attributed to
- 23 these omitted species (Wang W. et al., 2024b). Yet, the extent of this omission remains largely
- 24 unreported in previous studies, highlighting a gap in quantifying the overall model representation
- of ambient VOC abundance and their reactivity.
- To address these gaps, this study integrated field observations, from a joint field campaign
- 27 conducted by the Hong Kong Environmental Protection Department (HKEPD) and the Hong
- 28 Kong University of Science and Technology (HKUST) during 2021–2022, with spaceborne
- 29 TROPOMI data to: (1) analyze the temporal and spatial characteristics of ozone and its
- precursors, with a particular focus on speciated VOCs, (2) quantify VOC representation in the
- 31 CMAQ chemical transport model, and (3) assess the impact of such VOC representation on the
- 32 simulated ozone formation sensitivity. This study represents the first attempt to integrate multi-
- 33 source observations from on-land, shipborne, and spaceborne platforms with chemical transport
- 34 modeling to examine VOC and ozone pollution in the PRD region of South China. The findings
- 35 provide valuable insights into the regional VOC and ozone pollution dynamics, while

- 1 highlighting deficiencies in model VOC representation that are crucial for advancing VOC-
- 2 related model development and air quality management.

3 2. Method

4 2.1 Surface measurements

- 5 The HKEPD-HKUST joint field campaign produced a comprehensive suite of surface
- 6 measurements, including year-round continuous land-based monitoring (Mai et al., 2024; Ou et
- 7 al., 2015) and the first shipborne mobile air quality observations over Hong Kong waters (Sun et
- 8 al., 2024; Xu et al., 2023). A wide range of VOC species was measured, with 45 species (16
- 9 oxygenated) on land and 98 species (35 oxygenated) over water.

10 2.1.1 Land-based monitoring

- 11 The HKEPD operates a routine monitoring network of 18 land-based Air Quality Monitoring
- 12 Stations (AQMS), which include 14 general stations, 1 background station and 3 roadside
- stations, broadly distributed over the Hong Kong region (Fig. S1). Additionally, the Cape
- 14 D'Aguilar Supersite (CDSS), a coastal background site located at Hok Tsui in the southeastern
- of Hong Kong Island, equipped with surface VOC measurements and ozone lidar, is also
- included in the AQMS network to represent land-based monitoring in this study.
- 17 While ozone and NO_x were monitored across AQMS sites, VOC measurements were only
- available at three sites (i.e., general site Tung Chung, background site Hok Tsui, and roadside
- site Mong Kok; Fig. S1). The study used measurements from 2021 to 2022, using the following
- 20 respective measurement instruments. First, we use hourly NO, NO₂, and ozone from the AQMS
- 21 measured by various gas analyzers (Feng et al., 2023). For stations with online VOC
- measurements, ambient air samples were collected and analyzed every 30 minutes for the target
- 23 29 NMHC species (see Table 1) using an online gas chromatography (GC)-photo ionization
- 24 detector (PID)/flame ion detector (FID) system (Syntech Spectras GC955 series 611/811), and
- 25 then the half-hour concentrations were integrated into hourly average for analysis (Mai et al.,
- 26 2024; Ou et al., 2015). In addition, daily OVOC samples were collected using 2,4-
- 27 dinitrophenylhydrazine (2,4-DNPH) cartridges every 2–7 days as arranged by HKEPD, and
- subsequently analyzed for sixteen OVOCs species (see Table 1) using a high-performance liquid
- 29 chromatography with ultra-violet spectroscopy (HPLC-UV). To capture the day-to-day variation
- 30 of total observed VOCs discussed in Sect. 3.2, we first identified dates with both NMHC and
- 31 OVOC measurements; for each of these days, we averaged the hourly NMHC values to obtain a
- daily mean and combined this with the daily OVOC value to calculate the total daily
- 33 concentration of all measured VOCs.

- 1 To compare with spaceborne TROPOMI in Sect. 5, we made use of a regional gridded sampling
- 2 at 40 sites in the PRD region on September 4–5, 2022, to provide a snapshot of ground-based
- 3 formaldehyde (HCHO) levels. To ensure representativeness of the PRD regional conditions, the
- 4 area was mapped into a 200 km \times 200 km domain, divided into 100 grid cells of 20 km \times 20 km
- 5 each; measurement sites were scattered within these grid cells and located at least 50 m away
- 6 from local pollution sources to capture well-mixed air within each grid cell (Mo et al., 2023). Air
- 7 samples were collected into 2,4-DNPH cartridges and subsequently analyzed with an ultra-high-
- 8 performance liquid chromatography (UHPLC) coupled with a triple quadrupole ESI mass
- 9 spectrometer (UHPLC-MS). At each site, two HCHO samples were collected: one in the
- morning (6:00–10:00) and the other in the afternoon (12:00–16:00). For the comparison with
- spaceborne TROPOMI in Sect. 5, we selected only the afternoon samples to align as closely as
- possible with the satellite's overpass time at 13:30 local time. For each HCHO sampling site, we
- matched the corresponding afternoon mean NO₂ from the nearest station of the China National
- 14 Environmental Monitoring Center (CNEMC) during the same period (12:00–16:00) to calculate
- 15 the surface HCHO-to-NO₂ ratio for comparison with spaceborne ratio.

2.1.2 Shipborne measurements

- 17 Shipborne measurement cruises were conducted in Hong Kong coastal waters in different
- seasons during 2021–2022, including spring (February 22–23 and April 23 in 2021), summer
- 19 (July 23 and July 27 in 2021) and fall (September 17 in 2021, and September 4–5 and November
- 20 13–14 in 2022). During these cruises, a highly integrated portable air station, i.e., MAS-AF300
- 21 (Sapiens), was developed to measure trace gases (including NO, NO₂, and ozone) at 1-minute
- resolution (Che et al., 2020; Sun et al., 2016). To detect NMHCs, whole air canister samples
- 23 were collected using 2 L electropolished stainless-steel canisters and analyzed with a GC-mass
- selective detector (MSD)/electron capture detector (ECD)/FID system, which detected 63
- NMHCs (see Table 1; Sun et al., 2024). These NMHCs were sampled hourly at a single point
- 26 (i.e., point sampling), providing instantaneous concentration measurements. Additionally, OVOC
- samples were collected using Sep-Pak silica cartridge coated with acidified 2,4-DNPH (Waters,
- 28 USA) and then analyzed by an UHPLC-MS. This method detected 35 OVOCs above the
- detection limit (see Table 1; Xu et al., 2023). Those VOC samples were collected over a one-
- 30 hour period (i.e., integrative sampling) and reported as hourly averages.
- 31 In summary, different sampling and analytical methods are used to obtain VOC measurements.
- 32 Both land and ship measurements used offline techniques for OVOCs; however, the UHPLC-MS
- and employed in shipborne measurements can detect a broader range of OVOCs than the HPLC-UV
- 34 used at land-based HKEPD sites (Table 1). Different OVOC measurement techniques can
- introduce uncertainties (Cui et al., 2016; Wisthaler et al., 2008). Additionally, the offline GC-
- 36 MSD/ECD/FID used in shipborne measurements also identified more NMHCs than the online

- 1 GC-PID/FID used at land-based HKEPD sites. Quantifying intra-instrumental differences for the
- 2 same species at the same location and time poses practical challenges. Readers should be aware
- 3 of the uncertainties related to these differences. The observational datasets used in this study are
- 4 sourced from peer-reviewed publications that underwent a thorough quality assurance and
- 5 quality control (QA/QC) process; readers interested in detailed QA/QC methodologies are
- 6 recommended to refer to the Supplement Text or the original publications listed above.

2.1.3 Ozone formation potential

- 8 The ozone formation potential (OFP) scale has been extensively used to quantify the relative
- 9 effects of individual VOCs on ozone formation. We calculated OFP using the following formula,
- 10 $OFP_i = [VOC]_i \times MIR_i$

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- where $[VOC]_i$ is the concentration of ith VOCs ($\mu g m^{-3}$). MIR_i is the maximum incremental
- reactivity of i-th VOCs (g ozone g⁻¹ VOC) that quantifies how much additional ozone can be
- produced from incremental increases of that specific VOC in the atmosphere. Some VOCs (e.g.,
- 14 formaldehyde and acetaldehyde) are major contributors to ·OH reactivity and ozone formation,
- while some (e.g., benzaldehyde) contribute minimally to ·OH removal and inhibit ozone
- 16 formation (Zhang et al., 2019). Their contributions to ozone are represented by their respective
- 17 MIR values, as obtained from Carter (2010).

Table 1. List of measured NMHCs and OVOCs on shipborne and land-based platforms. Species present in the CMAQ model are highlighted in bold. Subscripts indicate two lumped species in the model: * for XYLMN (xylene and other polyalkyl aromatics except naphthalene) and # for ALDX (aldehydes with 3 or more carbons). Other species in bold are explicitly represented in the model.

Shipborne measurements (98 VOCs)						Land-based measurements (45 VOCs)			
63 NMHCs				35 OVOCs		29 NMHCs		16 OVOCs	
(CMAQ: 10 species = 9 explicit + 1 lumped)				(CMAQ: 7 species = 6 explicit + 1 lumped)		(CMAQ: 9 species = 8 explicit + 1 lumped)		(CMAQ: 5 species = 4 explicit + 1 lumped)	
Ethane	C ₂ H ₆	Cyclopentene	C5H8	Formaldehyde	CH ₂ O	Ethane	C ₂ H ₆	Formaldehyde	CH ₂ O
Propane	C_3H_8	Isoprene	C5H8	Acetaldehyde	C_2H_4O	Propane	C ₃ H ₈	Acetaldehyde	C ₂ H ₄ O
i-Butane	C4H10	2-Methyl-1-Butene	C_5H_{10}	Propionaldehyde#	C ₃ H ₆ O	i-Butane	C ₄ H ₁₀	Propionaldehyde#	C ₃ H ₆ O
n-Butane	C4H10	3-Methyl-1-Butene	C_5H_{10}	n+iso-Butyraldehyde#	C_4H_8O	n-Butane	C ₄ H ₁₀	n+iso-Butyraldehyde#	C ₄ H ₈ O
i-Pentane	C5H12	2-Methyl-2-Butene	C_5H_{10}	Crotonaldehyde#	C ₄ H ₆ O	i-Pentane	C5H12	Crotonaldehyde#	C ₄ H ₈ O
n-Pentane	C5H12	1-Pentene	C5H10	Valeraldehyde#	$C_5H_{10}O$	n-Pentane	C5H12	Valeraldehyde#	C5H10O
2,2-Dimethylbutane	C_6H_{14}	trans-2-Pentene	C5H10	Isovaleraldehyde + 2/3-Pentanone#	$C_5H_{10}O$	2-Methylpentane	C6H14	Isovaleraldehyde + 2/3-Pentanone#	C5H10O
2,3-Dimethylbutane	C_6H_{14}	cis-2-Pentene	C5H10	Hexaldehyde#	$C_6H_{12}O$	n-Hexane	C6H14	Hexaldehyde#	$C_6H_{12}O$
2-Methylpentane	C6H14	3 + 4-Methyl-1-pentene	C_6H_{12}	Heptaldehyde#	C7H14O	n-Heptane	C7H16	Benzaldehyde#	C7H6O
3-Methylpentane	C6H14	2-Methyl-1-pentene + 1-Hexene	C ₆ H ₁₂	Octylaldehyde#	C8H16O	2,2,4-Trimethylpentane	C_8H_{18}	o-Tolualdehyde#	C ₈ H ₈ O
n-Hexane	C6H14	Benzene	C ₆ H ₆	Nonanaldehyde#	C9H18O	n-Octane	C8H18	m-Tolualdehyde#	C ₈ H ₈ O
2,3-Dimethylpentane	C7H16	Toluene	C7H8	Decylaldehyde#	$C_{10}H_{20}O$	Ethene	C2H4	p-Tolualdehyde#	C ₈ H ₈ O
2,4-Dimethylpentane	C_7H_{16}	Styrene	C ₈ H ₈	Undecanal#	$C_{11}H_{22}O$	Propene	C ₃ H ₆	2,5-Dimethylbenzaldehyde#	C9H10O
2-Methylhexane	C7H16	Ethylbenzene	C_8H_{10}	Dodecanal#	$C_{12}H_{24}O$	1-Butene	C ₄ H ₈	Acrolein	C ₃ H ₄ O
3-Methylhexane	C7H16	i-Propylbenzene	C9H12	Tridecanal#	C13H26O	trans-2-Butene	C ₄ H ₈	Acetone	C ₃ H ₆ O
n-Heptane	C7H16	n-Propylbenzene	C9H12	Salicylaldehyde#	$C_7H_6O_2$	cis-2-Butene	C ₄ H ₈	2-Butanone	C ₄ H ₈ O
2,2,4-Trimethylpentane	C_8H_{18}	m + p-Xylene*	C_8H_{10}	Benzaldehyde#	C7H6O	1,3-Butadiene	C ₄ H ₆		
2,3,4-Trimethylpentane	C8H18	o-Xylene*	C_8H_{10}	o-Tolualdehyde#	C_8H_8O	Isoprene	C5H8		
2-Methylheptane	C8H18	2-Ethyltoluene*	C_9H_{12}	m/p-Tolualdehyde#	C_8H_8O	1-Pentene	C5H10		
3-Methylheptane	C8H18	3-Ethyltoluene*	C_9H_{12}	2,5-Dimethylbenzaldehyde#	C9H10O	trans-2-Pentene	C5H10		
n-Octane	C8H18	4-Ethyltoluene*	C_9H_{12}	Methacrolein#	C ₄ H ₆ O	Benzene	C ₆ H ₆		
n-Nonane	C9H20	1,2,3-Trimethylbenzene*	C9H12	2-Furaldehyde	$C_5H_4O_2$	Toluene	C7H8		
n-Decane	C10H22	1,2,4-Trimethylbenzene*	C9H12	Acrolein	C ₃ H ₄ O	Ethylbenzene	C_8H_{10}		
Cyclopentane	C5H10	1,3,5-Trimethylbenzene*	C9H12	Acetone	C ₃ H ₆ O	m/p-Xylene*	C_8H_{10}		
Methylcyclopentane	C ₆ H ₁₂	Alpha-Pinene	C10H16	2-Butanone	C ₄ H ₈ O	o-Xylene*	C_8H_{10}		
Cyclohexane	C ₆ H ₁₂	Beta-Pinene	C10H16	Cyclopentanone	C ₅ H ₈ O	1,2,3-Trimethylbenzene*	C9H12		
Methylcyclohexane	C7H14	Ethyne	C_2H_2	Cyclohexanone	$C_6H_{10}O$	1,2,4-Trimethylbenzene*	C9H12		
Ethene	C2H4	Propyne	C ₃ H ₄	2-Hexanone	C ₆ H ₁₂ O	1,3,5-Trimethylbenzene*	C9H12		
Propene	C ₃ H ₆			4-Methyl-2-pentanone	$C_6H_{12}O$	Ethyne	C_2H_2		
1,2-Propadiene	C ₃ H ₄			2-Nonanone	C9H18O				
i-Butene	C ₄ H ₈			Acetophenone	C ₈ H ₈ O				
1-Butene	C ₄ H ₈			Glyoxal	$C_2H_2O_2$				
trans-2-Butene	C ₄ H ₈			Methylglyoxal	C ₃ H ₄ O ₂				
cis-2-Butene	C ₄ H ₈			2,3-Butanedione	$C_4H_6O_2$				
1,3-Butadiene	C ₄ H ₆			Acetanisole	C9H10O2				

1 2.2 Satellite measurements: S5P TROPOMI

2 The TROPOspheric Monitoring Instrument (TROPOMI) is a nadir-viewing spectrometer 3 onboard the Sentinel-5P (S5P) satellite, which was launched on October 13, 2017. It operates in sun-synchronous, low-Earth (825 km) orbits, with an Equator overpass at approximately 13:30 4 local solar time (Veefkind et al., 2012). TROPOMI measures column amounts of several trace 5 6 gases in the ultraviolet-visible-near-infrared (UV-VIS-NIR; e.g., NO₂ and HCHO) and 7 shortwave infrared (SWIR; e.g., CO) spectral regions. TROPOMI has a horizontal swath of 2600 8 km that is divided into 450 across-track rows. The spatial resolution of TROPOMI at nadir is 3.5 9 km × 7 km (across-track × along-track) for NO₂ and HCHO, which was later refined to 3.5 km × 5.5 km in August 2019 due to an adjustment to the along-track integration time. This study used 10 11 TROPOMI Product Algorithm Laboratory (PAL) tropospheric vertical column densities (VCDs) for a consistent reprocessed data product over 2021–2022 at ~2 km × 2 km resolution (Eskes et 12 al., 2021). For quality assurance, only observations with the overall quality flag (qa value) > 13 14 0.75 and retrieved cloud fraction (cloud fraction) < 0.3 were used. The tropospheric HCHO and NO₂ columns can serve as proxies for VOCs and NO_x, respectively, and thus their ratio (HCHO-15 16 to-NO₂) indicates ozone formation sensitivity to changes in VOCs and NO_x (Jin et al., 2017; 17 Goldberg et al., 2022); further details can be found in Sect. 3.2.

2.3 The CMAQ model

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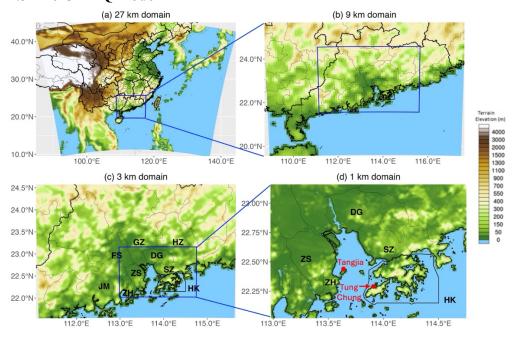


Figure 1. Model domains with terrain elevation. Abbreviated city names are inserted, include Hong Kong (HK), Shenzhen (SZ), Dongguan (DG), Huizhou (HZ), Guangzhou (GZ), Foshan (FS), Zhongshan (ZS), Jiangmen (JM), and Zhuhai (ZH).

- 1 The Community Multiscale Air Quality (CMAQ) modeling system is a three-dimensional
- 2 Eulerian atmospheric chemistry and transport model that simulates atmospheric composition
- 3 throughout the troposphere. Four domains were set up with different horizontal resolutions at 27
- 4 km, 9 km, 3 km, and 1 km (Fig. 1). The model employed a terrain-following coordinate system
- 5 with 38 vertical layers extending from the surface to the 50 hPa pressure level (approximately 23
- 6 km height). Chemical boundary and initial conditions for the outmost domain were generated
- 7 from the seasonal average hemispheric CMAQ outputs archived on its official website. Science
- 8 configuration options include the CB6r3 gas-phase chemistry (Luecken et al., 2019), the AERO7
- 9 aerosol module (Appel et al., 2021), and the M3Dry dry deposition scheme (Pleim et al., 1984).
- 10 The anthropogenic emissions used are the HKEPD emission inventory scaled to 2019 for HK
- region and scaled to 2021 for the PRD region, the high-resolution INTegrated emission inventory
- of Air pollutants for China (INTAC) scaled to 2019 for the rest of China, and the 2018 Emissions
- 13 Database for Global Atmospheric Research (EDGAR) for regions outside of China. Biogenic
- emissions are from the Model of Emissions of Gases and Aerosols from Nature (MEGAN) v3.1.
- 15 The meteorological inputs to drive CMAQ were from the Weather Research and Forecasting
- 16 (WRF) model. Meteorological boundary and initial conditions were the National Centers for
- 17 Environmental Prediction (NCEP) Final Analysis data at 0.25°×0.25°. Land surface data (e.g.,
- land use, vegetation type, terrain elevation, etc.) were obtained from the U.S. Geological Survey
- 19 (USGS) terrain databases, overwritten by the 2003 land use data over the PRD region from the
- 20 Planning Department of the Hong Kong Government. WRF physics schemes include
- 21 Asymmetric Convective Model v2 (ACM2) for the planetary boundary layer physics, the Unified
- Noah Land Surface Model (Noah-LSM) for the land surface, single-moment 3-class scheme for
- 23 cloud microphysics, the rapid radiative transfer model (RRTM) for longwave and shortwave
- radiation processes, and the Kain–Fritsch scheme for cumulus convections.
- 25 To compare model simulations with satellite retrievals, we followed the method established in
- previous studies (Douros et al., 2023; Goldberg et al., 2022; Zhang et al., 2020; Sun et al., 2025).
- 27 First, model simulated profiles were sampled at the TROPOMI overpassing time and location.
- We then interpolated model profiles to the vertical levels of satellite retrievals. For consistency
- between TROPOMI and model simulated vertical profiles, we also applied scene-dependent
- 30 averaging kernels that describe the instrument vertical sensitivity to changes in a trace gas and
- 31 replaced *a priori* information from the TM5-MP model used in the standard retrieval. Finally, we
- Teplaced a priori information from the This hir incust ased in the standard retrievan I many, w
- 32 integrated from the surface up to the tropopause to calculate column values. Here, we opted to
- use model simulations at 3 km resolution for comparison with TROPOMI products at 2 km
- 34 resolution, as these represent the closest match in spatial resolution in our study. This choice also
- allows us to highlight the differences between urban and suburban areas in Fig. 10, which a 1km
- 36 model outputs would not adequately cover. Yet this choice may result in the loss of some fine-
- 37 scale features simulated at 1 km resolution.

2.4 VOC comparisons between measurements and model

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2 As described in Sect. 2.1, land-based instruments measure a total of 45 VOC species (including 3 29 NMHCs and 16 OVOCs), while shipborne instruments measure 98 species (including 63 NMHCs and 35 OVOCs). These species represent the ambient measurable VOC abundance, 4 referred to as 'total observed' species. However, state-of-the-art chemical transport models do 5 6 not account for such a broad range of species. Instead, these models typically simulate key 7 species that significantly impact atmospheric composition individually (i.e., explicit species), 8 while grouping less critical species into a single representative species (i.e., lumped species) to 9 simplify the modeling process and improve computational efficiency. For instance, among all 10 measured species at land-based sites, only 13 NMHCs and 15 OVOCs (referred to as 'selective 11 observed' species) are represented in our CMAQ simulation by 9 NMHCs (8 explicit and 1 12 lumped species) and 5 OVOCs (4 explicit and 1 lumped species) (referred to as 'modeled' 13 species). Similarly, among the shipborne measured species, only 17 NMHCs and 25 OVOCs are represented in CMAQ by 10 NMHCs (9 explicit and 1 lumped species) and 7 OVOCs (6 explicit 14 15 and 1 lumped species). See Table 1 for details. The differences between 'total observed' and 16 'selective observed' species reflect the abundance of species omitted by the model. To evaluate the model's representation of VOCs, we conducted two types of observation–model 17 18 comparisons. First, we performed an equivalent comparison between 'modeled' and 'selective 19 observed' species to assess model bias in simulating the concentrations of VOC species that are 20 common to both the observations and the model. This helps identify discrepancies in the model's 21 ability to capture key species that significantly influence atmospheric chemistry, indicating either 22 underestimation or overestimation. Second, we compared 'modeled' to 'total observed' species 23 to evaluate the overall representativeness of the modeled VOCs in relation to the ambient 24 measurable VOC abundance. This comparison provides insight into the model's ability to reflect 25 the full range of VOCs present in the atmosphere. In all observation-model comparisons, we first 26 identified the original resolution of each observation. Given that the model results have a 27 minimum temporal resolution of hourly and lack sub-hour variability, we used hourly averaged model concentrations for observations at hourly resolution or finer. For daily observations, we 28 29 averaged the corresponding hourly model outputs to obtain the modeled daily values. 30 31

3. Ozone and precursors over land

3.1 Monthly variation

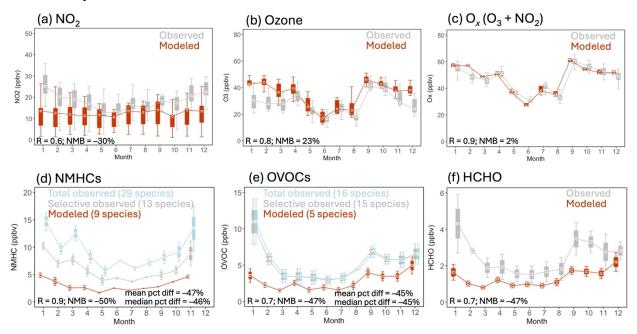


Figure 2. Monthly variations of different species recorded at land-based AQMS sites over 2021–2022. Error bars indicate variability across non-roadside sites. Correlation coefficient (R) and normalized mean bias (NMB) between model (in red) and observations (in grey) are inserted.

Land-based measurements provide continuous surface monitoring across all seasons, which is valuable for analyzing temporal variations in ozone and its precursors. Surface NO_2 levels recorded at ground-based sites are higher in cold seasons than in warm seasons, with monthly differences relative to the annual mean ranging from -18% in June–July-August (JJA) to +23% in December–January–February (DJF) (Fig. 2a). This seasonal pattern of surface NO_2 correlates well with the tropospheric VCDs of NO_2 measured by the spaceborne TROPOMI (R = 0.9; Fig. S2) and averaged over the Hong Kong domain (see domain coverage in Fig.10), which show monthly differences relative to the annual mean ranging from -20% in JJA to +60% in DJF. Comparing the observed surface NO_2 with the CMAQ model (Fig. 2a), the model captures well the warm seasons but underestimates NO_2 levels during the cold seasons, resulting in an overall underestimation (R = 0.6; NMB = -30%).

Surface ozone in the subtropical coastal city of Hong Kong exhibits a bimodal pattern with a strong peak in autumn and a minor peak in spring (Fig. 2b), which is also visible in boundary layer ozone measurements recorded by the ozone lidar (Fig. S3). This bimodal pattern is different from that of the North China Plain, where ozone typically peaks in the summer months from May to July (Li et al., 2019; Wang W. et al., 2022b). In Hong Kong, the spring peak

- 1 consistently occurs in April for both the 2021–2022 average and the multi-decade average
- 2 (2000–2023). Springtime ozone exceedances are often attributed to the long-range transport of
- 3 air masses that are rich in ozone or its precursors from Southeast Asia (Wang et al., 2019; Lee et
- 4 al., 2019; Chan et al., 2000). The autumn peak occurs in September for the 2021–2022 average,
- 5 while the decadal average shows this peak in October. Late summer and autumn episodic events
- 6 are typically characterized by high temperatures and stagnant conditions associated with a
- 7 subtropical high or typhoon, which contribute to significant local ozone production (Lin et al.,
- 8 2021; Chen et al., 2024; Ouyang et al., 2022). Comparing observations with the model, the
- 9 model effectively captures the observed seasonal variation with some overestimation during cold
- months (R = 0.8; NMB = 23% in Fig. 2b). This overestimation is lower when comparing
- observed and modeled total oxidants (O_x) with improved metrics (R = 0.9; NMB = 2% in Fig.)
- 12 2c).

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- Land-based sites measure a total of 45 VOC species, including 29 NMHCs and 16 OVOCs. We
- 14 observed that the concentration of NMHCs is higher in colder months compared to warmer
- months (Fig. 2d), primarily driven by anthropogenic species (e.g., ethene, ethane, propane),
- despite higher levels of the biogenic species (e.g., isoprene) in warmer months (Fig. S4).
- 17 Meanwhile, OVOCs show peaks in January and September (Fig. 2e). HCHO, the most abundant
- 18 atmospheric carbonyl primarily resulting from the secondary oxidation of various VOCs, follows
- 19 a similar peak pattern (Fig. 2f). We further examined spaceborne tropospheric columns
- 20 specifically to identify trends, and found that the September peak is evident in both surface and
- 21 column observations (Fig. S2), suggesting enhanced local secondary production from the
- 22 oxidation of various VOCs, driven by Hong Kong's hot and sunny weather during this period. In
- contrast, the January peak is visible only at the surface but not in tropospheric columns. In
- 24 general, we do not observe a strong correlation in monthly trends between column and surface
- 25 HCHO; this column–surface decoupling is also noted in other locations particularly in coastal
- regions with intense land–sea interactions (Souri et al., 2023), similar to our study area. Overall,
- 27 the model captures the observed seasonal variations in NMHCs (Fig. 2d; R = 0.9; NMB = –
- 28 50%), OVOCs (Fig. 2e; R = 0.7; NMB = -47%), and HCHO (Fig. 2f; R = 0.7; NMB = -47%).
- 29 A strong relationship exists between HCHO and ozone, as indicated by a strong correlation (R =
- 30 0.7; Fig. S5) between the observed monthly variability of the two. In comparison, total VOCs
- 31 including both NMHCs and OVOCs, show only a modest correlation with ozone (R = 0.4), as do
- OVOCs (R = 0.3) and NMHCs (R = 0.3). This strong HCHO-ozone relationship arises because
- 33 HCHO and ozone are coproduced through the photochemical oxidation of VOCs. The model
- effectively captures the observed strong HCHO-ozone correlation (R = 0.6).

3.2 HCHO as an indicator for ambient VOCs

Many studies use the HCHO-to-NO₂ ratio (i.e., formaldehyde-to-NO₂ ratio or FNR) to indicate ozone formation sensitivity through both surface in situ measurements (Tonnesen and Dennis, 2000; Liu et al., 2024) and spaceborne remote sensing (Jin et al., 2017; Goldberg et al., 2022; Acdan et al., 2023). However, the composition of VOCs varies significantly in different urban areas with specific emission profiles and in biogenic-dominated natural environments. Therefore, one concern with the FNR method is how representative HCHO is of ambient VOCs in different environments. To approach this question and validate our FNR analysis in Sect. 5, we now assess this relationship in three diverse environments (i.e., roadside, urban, and background) in Hong Kong.

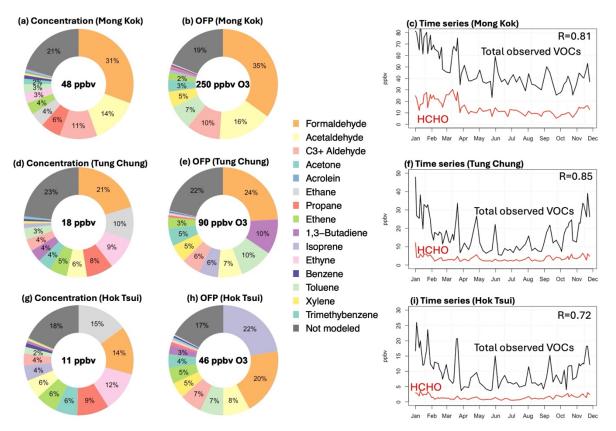


Figure 3. Contribution of individual VOCs to (a, d, g) the concentration and (b, e, h) the ozone formation potential (OFP) of the total observed VOCs at three land-based sites in 2021. (c, f, i) Time series of HCHO and total observed VOCs with their correlation coefficient inserted.

First, we examine the relative contributions of HCHO to all measured VOCs at these land-based locations. Figure 3 shows that the contribution of HCHO to total VOC concentrations decreases from 31% at roadside Mong Kok (Fig. 3a) to 21% at urban Tung Chung (Fig. 3d) and further to 14% at background Hok Tsui (Fig. 3g). Similarly, the contribution of HCHO to total OFP decreases from 35% at Mong Kok (Fig. 3b) to 24% at Tung Chung (Fig. 3e) and further to 20%

- 1 at Hok Tsui (Fig. 3h). It is worth noting that the measuring techniques used for land-based
- 2 monitoring detect a smaller amount of VOC species compared to shipborne measurements
- 3 (Table 1); the percentages attributed to HCHO may be smaller if different techniques capable of
- 4 detecting more species were adopted. Taking a case study of the PRD region for example, we
- 5 find that HCHO contributes to 15%-30% of the total measured VOC concentrations from ~ 50
- 6 species; this percentage declines to 8%–21% when using another dataset that includes ~80
- 7 species (Fig. S6). Notably, these percentage contributions in the PRD region are similar to those
- 8 observed in Hong Kong, confirming the regional representativeness of HCHO's contribution.
- 9 Overall, HCHO is the largest component of all measured VOCs at both Mong Kok and Tung
- 10 Chung, indicating its key representativeness in polluted urban areas. At the background site Hok
- 11 Tsui, HCHO is the second-largest contributor, accounting for 14% of total concentrations and
- 12 20% of OFP, which is slightly less than the contributions from the largest contributor, ethane for
- 13 concentration and isoprene for OFP. It is worth noting that HCHO is a significant contributor to
- both VOC concentration and OFP due to its high levels and large MIR value (9.46 g O₃/g VOC),
- distinguishing it from other species that may either only show high concentrations or only
- 16 contribute significantly to OFP, particularly at the Tung Chung and Hok Tsui sites. Finally, we
- 17 examine the temporal correlation between HCHO and total measured VOCs and find strong
- 18 correlations at all sites: R=0.81 at Mong Kok (Fig. 3c; Fig. S7), R=0.85 at Tung Chung (Fig. 3f;
- 19 Fig. S7), and R=0.72 at Hok Tsui (Fig. 3i; Fig. S7). VOC species that are omitted by the model
- account for 14%–17% of the total observed concentrations and 16%–19% of their OFP across
- 21 different environments.
- 22 The above analysis suggests that HCHO serves as a reliable indicator of ambient VOC
- environments due to (1) its key role in representing VOC levels and OFP and (2) its strong
- 24 temporal correlations with the total measurable VOCs. However, its representativeness may
- 25 vary, being more pronounced in polluted areas and less so in natural settings. For surface in situ
- 26 measurements, which can effectively capture a diverse range of VOC species, a more
- 27 comprehensive understanding of local VOC environments can be achieved through the analysis
- of multiple species. In the field of satellite remote sensing, HCHO is currently the most common
- 29 operation grade VOC retrievals from many instruments and often used as a single indictor to
- 30 represent overall VOC levels across different environments. Studies that use the FNR to identify
- 31 ozone formation regimes tend to focus on urban areas with high NO₂ levels (Jin et al., 2020),
- 32 where HCHO is most representative of ambient VOCs. As more satellite VOC products become
- available, such as glyoxal (CHOCHO) (Lerot et al., 2021; Ha et al., 2024), isoprene (C₅H₈)
- 34 (Wells et al., 2022), formic acid (HCOOH) (Stavrakou et al., 2011), and ethane (C₂H₆) (Brewer
- et al., 2024), the validity of using a multi-species representation of VOCs in various local

- 1 conditions can be explored. This will aid in ozone air quality studies across different
- 2 environments with varying emission profiles and local photochemistry.

4. Ozone and precursors over coastal waters

4.1 Spatial patterns

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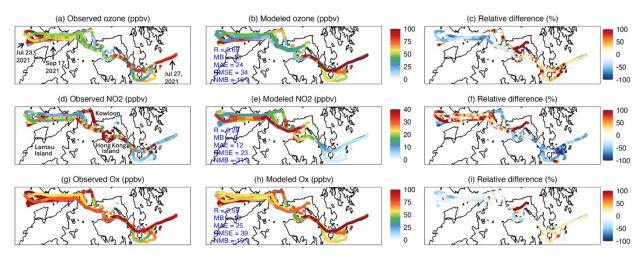


Figure 4. Mobile ship measurements of ozone (top), NO_2 (middle) and O_x (bottom), along with their modeled equivalents. Percentage differences are given as (model–observation)/observation.

Ozone and its precursors are measured in coastal waters for the first time during mobile ship cruises in the PRD region. High ozone levels were observed over water on July 23, July 27, and September 17 in 2021, as well as on September 4–5 and November 13 in 2022 during the 10-day ship cruises (Fig. 4a). On high-ozone days, the maximum hourly mean ozone concentrations range from 91–196 ppbv, significantly higher than the 52–69 ppbv observed on clean days (Fig. S8). These elevated levels were detected likely because the ships were specifically tasked with measuring peak ozone episodes. Spatially, high ozone concentrations are generally found at the eastern and western ends of the cruises, while much lower levels at around 20 ppbv are recorded in the interchange between Kowloon Peninsula and Hong Kong Island due to the titration effect of high NO_x levels along intensive shipping routes (Wang Y. et al., 2023b). We examine westward shipborne measurements into the Pearl River Estuary (PRE) and find that over-water ozone concentrations are typically 12 ppbv higher than the land-based Tangjia site on the west bank of the PRE and 32 ppbv higher than Tung Chung site on the east bank between 9 AM to 2 PM. This water-land gradient of ozone is more pronounced on high ozone days compared to clean days (Fig. 5). Our findings, based on actual over-water measurements into the PRE for the first time, confirm the PRE as an ozone reservoir simulated by Zeren et al. (2019).

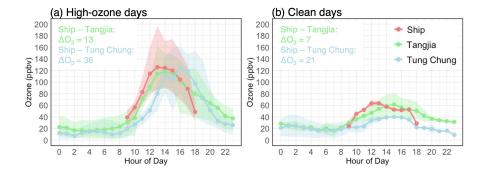


Figure 5. Ozone diurnal variations recorded during westward ship cruises and at two land-based sites (Tangjia and Tung Chung; locations shown in Fig. 1). The mean water-land ozone gradient (ΔO_3) is calculated as shipborne ozone minus land-based measurements from 9 AM to 2 PM.

For spatial pattern of NO_2 , low concentrations are observed on eastbound routes (Fig. 4d), which are generally upwind of Hong Kong and less affected by urban emissions. In contrast, high concentrations were detected on westbound routes that passed through busy transportation and shipping zones and reached the westernmost area of Hong Kong waters with influences by aged plumes from the urban emissions in the PRD region. This east-west gradient in NO_2 is also observed in mobile vehicle measurements reported by Zhu et al. (2018). The model reasonably captures the overall observed east-west gradient, but tends to overestimate ozone and underestimate NO_2 to the east of Hong Kong waters while exhibiting the opposite bias to the west (Fig. 4c, 4f). To reflect the model's ability to simulate atmospheric oxidative capacity without strong interreference from NO_x titration effect, the oxidant level ($O_x = O_3 + NO_2$) is often used as a tracer of photochemical processes in high NO_x environment, such as along major highways and shipping lanes during field campaigns (Li et al., 2023; Liu et al., 2024). By comparing observed and modeled O_x , we find that the simulated O_x has low bias and a substantial positive correlation against observations (Fig. 4h), suggesting that the model effectively captures regional oxidative capacity.

As introduced in Sect. 2.1.2, NMHCs were collected via point sampling at a single timestamp within each hour, resulting in only a few isolated points being marked on the map for each daily measurement period. OVOCs, on the other hand, were measured using integrative sampling over the entire hour while the ship was in motion, resulting in no fixed latitude and longitude coordinates assigned to them. As a result, there is no comparable spatial distribution of VOCs to present here; instead, we directly compare their observation-model differences in Sect. 4.2.

1 4.2 Underrepresented VOCs in the model

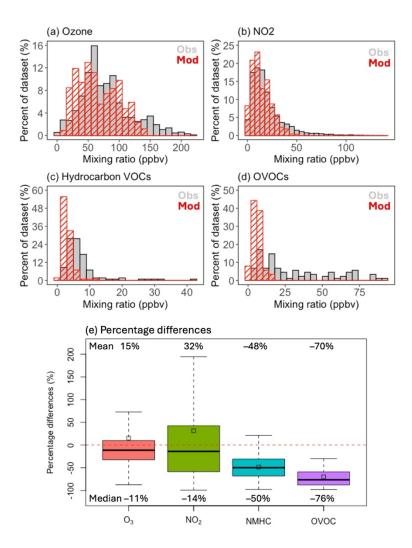


Figure 6. Frequency distribution between ship-observed (in grey) and modeled (in red) concentrations for (a) ozone, (b) NO₂, (c) NMHCs, and (d) OVOCs. VOC comparisons are conducted for equivalent species between 'selective observed' and 'modeled'. The percentage differences for each species are summarized in (e).

Using shipborne measurements, two types of observation–model comparisons were conducted for VOCs, as described in Sect. 2.4. The first type compares equivalent VOC species common to both observations and the model in Figure 6. We found that the model struggles to simulate medium to high concentrations and thus a majority of the values cluster at low mixing ratios for both NMHCs (Fig. 6c) and OVOCs (Fig. 6d). Notably, the model underestimates equivalent OVOCs by 76% and NMHCs by 50%, significantly larger than that of ozone (–11%) and NO₂ (–

- 1 14%) based on the medians of their observation-model percentage differences (Fig. 6e). Such
- 2 underestimation of VOCs has been observed in various chemical transport models and
- 3 mechanisms (She et al., 2024; Wang W. et al., 2024b; Ge et al., 2024; Chen et al., 2019;
- 4 Rowlinson et al., 2024), though these studies primarily focus on NMHCs and provide limited
- 5 evidence for OVOCs.

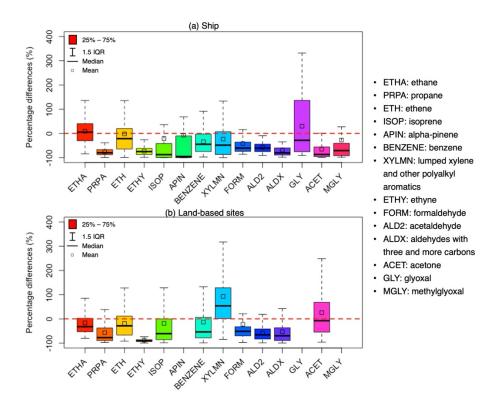


Figure 7. The percentage differences between the observation and model for individual VOC species measured (a) on the ship and (b) at three land-based sites. Land-based measurements do not detect alpha-pinene (APIN), glyoxal (GLY), and methylglyoxal (MGLY).

We further break down these discrepancies into speciated differences in Figure 7. The results indicate that, while certain species can be overestimated under specific conditions, such as lumped xylene and other polyalkyl aromatics (XYLMN) and acetone (ACET) at land-based measurements (Fig. 7b; Fig. S9), the majority of species are underestimated in the model. Our observation-to-model differences are comparable to those reported in previous studies that primarily focus on NMHCs; however, our findings also emphasize a greater presence of oxygenated compounds that were not addressed in those studies (Table S1). In our study,

- 1 propane (PRPA) and ethyne (ETHY) exhibit the most significant underestimations. Propane is
- 2 underestimated by an average of 76% compared to shipborne measurements and 57% for land-
- 3 based measurements. Time series from land-based sites indicate that the underestimation mainly
- 4 occurs in winter and early spring (Fig. S4). Both ethane and propane are significantly affected by
- 5 leakage from oil and natural gas production and use (Ou et al., 2018; Liu et al., 2008; Ge et al.,
- 6 2024; Guo et al., 2007), resulting in a strong correlation between the two (Fig. S10). The model
- 7 accurately captures such correlation; however, ethane (ETHA) concentrations and variability are
- 8 better simulated than those of propane (Fig. S10). This underestimation of propane, while ethane
- 9 is reasonably simulated, has also been observed in various regions around the world (Ge et al.,
- 10 2024; Rowlinson et al., 2024; Adedeji et al., 2023). Consequently, the model exhibits lower
- propane-to-ethane ratios compared to the measurements, suggesting the presence of unaccounted
- 12 sources of propane emissions.
- 13 Meanwhile, ethyne is underestimated by 73% and 88% relative to shipborne and land-based
- measurements, respectively. Ethyne, ethene (ETH), and benzene (BENZENE) are recognized as
- 15 key tracers for combustion-related activities, particularly from vehicular and residential sources,
- in emission inventories (von Schneidemesser et al., 2010; Lyu et al., 2016; Ho et al., 2009). This
- is reflected in the strong correlations among these species simulated by the model (R ranging
- from 0.7 to 1), driven by the aforementioned emission inventories. However, land-based
- 19 observations show only moderate correlations in the ethene-to-ethyne and benzene-to-ethyne
- relationships (R = 0.5; Fig. S10), suggesting the presence of regionally unique sources of ethyne
- 21 that may not be accounted for.
- 22 Speciated discrepancies for six OVOCs are also shown in Fig. 7, including formaldehyde
- 23 (FORM; –42% over water and –23% on land), acetaldehyde (ALD2; –57% over water and –58%
- on land), aldehydes with three and more carbons (ALDX; -69% over water and -53% on land),
- acetone (ACET; -65% over water and +99% on land), glyoxal (GLY; +30% over water), and
- 26 methylglyoxal (MGLY; –27% over water). While acetone at land-based sites and glyoxal over
- 27 coastal waters are overestimated on average, other OVOC species remain underestimated by the
- 28 model. Previous assessments of model performance in simulating OVOCs have been limited by
- 29 the scarcity of available measurements and species (Rowlinson et al., 2024; Ge et al., 2024; She
- et al., 2024). Here, our unique capability to measure a series of OVOCs helps to address this gap.

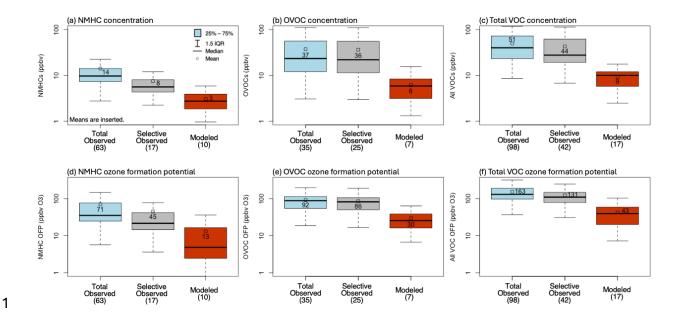


Figure 8. Observation—model comparison of concentration and ozone formation potential (OFP) of NMHCs and OVOCs. 'Total observed' includes all measured species. 'Selective observed' and 'modeled' refer to the equivalent species common to both observations and the model. Number in brackets indicates the number of species in each category. The y-axis is displayed on a logarithmic scale.

In the second comparison, we analyzed the gap between 'modeled' versus 'total observed' species (see Sect. 2.4 for details) to assess the overall representativeness of the modeled VOCs in relation to the full range of VOCs present in the ambient atmosphere. Figure 8 shows that the OVOC concentration of 37 ppbv accounts for 73% of the total VOC concentration and 56% of the total OFP, with NMHCs contributing a minor proportion. The total concentration of all measured VOCs of 51 ppbv can be broken down into three components when compared to the model (Fig. 8c). First, the concentrations successfully represented by the model account for 18% (9 ppbv), as shown in the 'modeled'. Second, model underestimation of equivalent species contributes 69% (35 ppbv = 44 ppbv – 9 ppbv), indicated by the differences between 'selective observed' and 'modeled'. Third, model-omitted portion account for 14% (7 ppbv = 51 ppbv – 44 ppbv), shown by the differences between 'total observed' and 'selective observed'. For OFP, the total measured is divided into 26% successful model representation, 54% model underestimation, and 20% model-omitted portion (Fig. 8f). This result in 82% and 74% differences between 'modeled' and 'total observed' concentrations and OPF, respectively, highlighting the missing VOC reactivity in the model.

5. Role of VOCs in ozone pollution regulation

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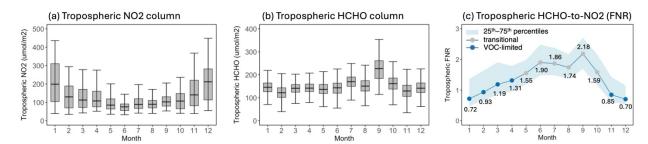


Figure 9. Monthly variation of TROPOMI tropospheric vertical column densities (VCDs) of (a) NO₂ and (b) HCHO over the Hong Kong domain during 2021–2022. (c) The tropospheric HCHO-to-NO₂ ratio (FNR) is calculated by dividing (a) by (b). The Pearl River Delta region-specific FNR thresholds for differentiating ozone formation regimes are from Wang Y. et al. (2023a): spring and summer [1.55, 3.05], autumn [1.45, 2.95], and winter [1.65, 3.15]. Spring covers March–May, summer covers June–August, autumn covers September–November, and winter covers December–February.

To further examine how the model underrepresentation of VOCs affects simulated ozone pollution regime, we use spaceborne tropospheric HCHO-to-NO₂ ratio (FNR) as an indicator to infer regional ozone formation sensitivity (Wang Y. et al., 2023a; Jin et al., 2017; Goldberg et al., 2022; Acdan et al., 2023). Tropospheric FNR over Hong Kong exhibits distinct seasonal variation, with lower values during the cold months and higher values during warm months (Fig. 9c). According to a set of region- and season-specific FNR thresholds derived for the PRD, that is [1.55, 3.05] for spring and summer, [1.45, 2.95] for autumn, and [1.65, 3.15] for winter from Wang Y. et al. (2023a), we find that the cold months from November to April are VOC-limited, while the warm months from May to October fall into a transitional regime sensitive to both VOC and NO_x (Fig. 9c). We acknowledge that the FNR method has limitations (Souri et al., 2025) and that the threshold should not be static in all scenarios. Other studies have also derived FNR thresholds but for China as a whole, including [2.2, 3.2] from Ren et al. (2022), [2.3, 4.2] from Wang et al. (2021), and [1.0, 1.9] from Li et al. (2021). Our PRD region-specific thresholds from Wang Y. et al. (2023a) fall within the ranges established by the aforementioned independent studies, but for China as a whole. Yet, because our thresholds are specifically tailored for our study region PRD, we place greater confidence in adopting them for our study compared to more general national conditions presented in other studies. Among all months, September shows the largest FNR (Fig. 9c) and the greatest monthly levels of ozone and HCHO (Fig. 2), making it highly relevant for regulatory management. Therefore, we take September 2022 as an example to illustrate the extent to which VOC underrepresentation in the model can potentially affect the spatial ozone sensitivity diagnosis and thereby regulatory measures in the following text.

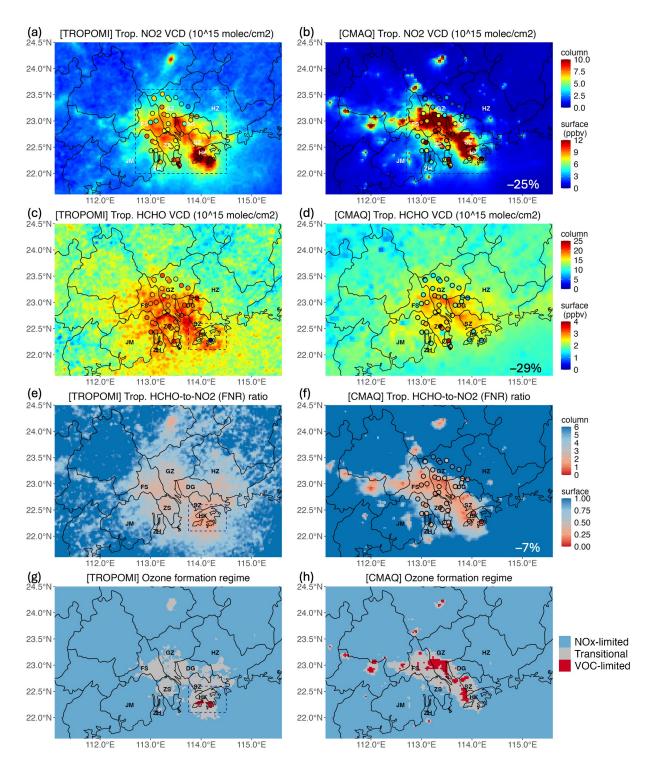


Figure 10. Spatial patterns of TROPOM-observed (left) and CMAQ-modeled (right) tropospheric VCDs of NO₂ and HCHO, the column HCHO-to-NO₂ ratio (FNR), and ozone formation regime in September 2022. Dots represent surface measurements and their model equivalents from September 4 and 5, 2022; the medians of observation-model differences are inserted. Dashed line boxes indicate the Hong Kong domain.

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2 TROPOMI indicates elevated NO₂ and HCHO pollution in urban areas on both the eastern and 3 western flanks of the Pearl River Estuary (Fig. 10a, c). The Estuary also experiences high 4 pollution plumes, primarily influenced by shipping emissions over water and anthropogenic 5 activities from nearby urban clusters. Compared to TROPOMI, the model captures the general spatial pattern (R = 0.8 for NO_2 and R = 0.6 for HCHO) but the magnitudes vary (Fig. 10b, d). 6 7 Due to the prevalence of low values in less polluted suburban areas, which significantly 8 complicates observation-model comparisons for the domain as a whole, the domain mean or 9 median does not well reflect differences in key polluted regions. Therefore, we overlay surface 10 measurements of NO₂ and HCHO onto these urban areas and identify the nearest model grid to 11 perform observation-model comparisons. In this way, independent model-satellite and model-12 surface comparisons can be used together and verify one another in understanding the differences between observations and model in polluted regions. It is important to note that we do not 13 14 directly compare surface measurements with column measurements; instead, we use these two sources of observations to conduct separate comparisons with the model, aiming to identify 15 16 observation-model differences. Our findings indicate that both surface and column comparisons 17 agree on NO₂ overestimation in highly polluted areas such as southern Guangzhou (GZ), eastern 18 Foshan (FS), and western Shenzhen (SZ), while showing underestimation in the less polluted 19 northern Guangzhou (Fig. 10b). HCHO demonstrates regional underestimation (Fig. 10d). The 20 median differences between surface observations and the model indicate a 25% underestimation 21 of NO₂ and a 29% underestimation of HCHO in the model. 22 The greater model underestimation of HCHO compared to NO₂, consistent with findings from 23 Sections 3–4, results in the modeled FNR being 7% lower than surface observations (Fig. 10f). 24 Similarly, the model also simulates lower column FNRs than those observed by TROPOMI in polluted regions (Fig. 10f). This discrepancy indicates that the modeled ozone formation is more 25 26 sensitive to VOCs than what surface measurements suggest in polluted regions. To probe into the 27 ozone formation regimes inferred from spaceborne FNR, we used the fall season FNR thresholds tailored for the PRD from Wang Y. et al. (2023a) and identified a NO_x-limited ozone formation 28 29 regime in extensive suburban areas (FNR > 2.95; 91.7% of total domain grids), a transitional 30 regime in city clusters and busy shipping lanes of the eastern Pearl River Estuary (1.45 \leq FNR \leq 2.95; 8.1% of total grids), and a VOC-limited regime on Hong Kong Island and Lantau (FNR < 31 1.45; 0.1% of total grids) (Fig. 10g). The model identifies a larger VOC-limited region, 32 33 accounting for 1.4% of total domain grids (Fig. 10h), suggesting that it overestimates the extent of areas classified as VOC-limited regimes. This is partly attributed to inadequate representation 34 35 of VOCs within the model framework. We note that regimes can vary depending on the choice of 36 satellite inversion products and FNR thresholds. To address this, we analyzed different

- 1 spaceborne NO₂ products with relative differences ranging from -19% to 50% (25th-75th
- 2 percentile) in the domain; yet these differences diminished in the regime classification, resulting
- 3 5% of grids showing discrepancies between the two spaceborne products (Fig. S11). We also
- 4 evaluated various FNR thresholds derived from Wang Y. et al. (2023a) and other independent
- 5 studies (Fig. S12; Fig. S13). All results consistently indicate that the model simulates a higher
- 6 prevalence of VOC-limited regions compared to TROPOMI, despite the variations in satellite
- 7 inversion products and FNR thresholds applied in regime classifications.
- 8 As models serve as an important tool for deriving ozone sensitivity and pollution control
- 9 strategies for regulatory agencies worldwide, their underrepresentation of VOCs results in a
- 10 greater sensitivity to VOCs in models than what is observed in ambient air. Regions identified as
- a transitional regime, which could benefit from coordinated emission reductions of both NO_x and
- 12 VOCs, as inferred from TROPOMI, are suggested by the model to achieve ozone reduction
- primarily through VOC reductions alone (Fig. 10). This mismatch may lead to confusion for
- regulatory agencies in developing effective ozone control strategies and underscores the need to
- advance VOC-related model development to support effective air quality management.

6. Conclusions

- 17 The HKEPD-HKUST field campaign conducted in 2021–2022 provided the first overwater
- 18 measurements of ozone and its precursors in Hong Kong coastal waters, complementing land-
- based monitoring efforts. Notably, the campaign advances in the ability to measure a wide array
- of VOC species, including a total of 98 species (63 MNHCs and 35 OVOCs) over water and 45
- 21 species (29 MNHCs and 16 OVOCs) on land. Such detailed speciation, particularly of
- 22 oxygenated compounds, is valuable for model evaluation, especially given the limited
- 23 availability of such measurements reported globally. This study leverages these field
- 24 observations together with spaceborne TROPOMI data to assess the regional VOC and ozone
- 25 pollution dynamics, and, more importantly, to diagnose VOC representation in the CMAQ
- 26 chemical transport model and its implications on ozone pollution regulation.
- Ozone levels in subtropical Hong Kong display a bimodal pattern, characterized by a minor peak
- 28 in spring and a significant peak in autumn. Oxygenated compounds, particularly HCHO, reach
- 29 their highest level in September, aligning with the peak monthly ozone levels. This underscores
- 30 the active photochemistry in autumn and its contribution to VOC and ozone pollution.
- 31 Comparing these observed features with the CMAQ model, we found that VOCs are typically
- 32 underestimated by 47%–48% for NMHCs and 45%–70% for OVOCs over land and water. A
- detailed breakdown of the speciated comparison reveals the most significant underestimations in
- propane (76% over water and 57% on land) and ethyne (73% over water and 88% on land).

- 1 Oxygenated compounds are also underestimated to varying degrees, such as formaldehyde (–
- 2 42% over water and -23% on land), acetaldehyde (-57% over water and -58% on land). These
- 3 differences between observations and models are referred to as the "underestimation" of
- 4 equivalent VOC species.
- 5 Through speciated analysis, we infer potential reasons for the underestimation of VOC to support
- 6 model development. Propane, primarily sourced from oil and natural gas leaks, and ethyne,
- 7 linked to combustion activities, are primary species whose ambient levels are mostly emission-
- 8 controlled. Addressing unaccounted emission sources and refining emission profiles for these
- 9 primary species can help reduce the underestimation. In contrast, oxygenated compounds have
- 10 both primary sources and are generated as oxidation products from other VOCs. This complexity
- makes it challenging to ascertain whether the underestimation arises from missing primary
- 12 emissions, inadequate secondary chemical production, or overestimated chemical and deposition
- 13 losses. Many studies emphasize that addressing OVOC emissions from VCPs is crucial for
- improving model simulations (Wang S. et al., 2024; Wang Y. et al., 2024; Coggon et al., 2021;
- Karl et al., 2018). Moving forward, there is a growing need to refine the speciation profiles
- 16 (Rowlinson et al., 2024; Ge et al., 2024) and unaccounted sources (She et al., 2024; Travis et al.,
- 17 2024; Beaudry et al., 2025) of VOC emissions, as well as to dissect a more detailed breakdown
- of the chemical mechanisms for oxygenated species (Travis et al., 2024; Gao et al., 2024) to
- 19 improve model representation.
- 20 Apart from underestimation, another important aspect is that the model simulates only certain
- species from the total measured VOCs. Specifically, only 28 out of the 45 VOC species observed
- on land and 42 out of the 98 measured over water are represented in the model. These species,
- often regarded as less important and thus not included in the model, are defined as model
- 24 "omission" in this study. In our case, the model omits 17 species (38%) observed on land and 56
- species (57%) observed over water, respectively. Together, "omission" and "underestimation"
- 26 contribute to what we define as "VOC underrepresentation" for models. This way, the total
- 27 concentration of all measured VOCs of 51 ppbv over water can be broken down into three
- 28 factors when compared to the model: 18% successful model representation, 69% model
- 29 underestimation of equivalent species, and 14% model-omitted portion. Similarly for OFP, the
- total measured is divided into 26% model representation, 54% model underestimation, and 20%
- 31 model omission. Among the three factors, underestimation is the most important; addressing it is
- 32 both impactful and feasible, making it a priority for model development. Omitted species require
- 33 further evidence to identify which specific species or groups are particularly important yet
- 34 overlooked in our current understanding.

- 1 Previous modeling studies have primarily focused on NMHCs, while assessments of OVOC
- 2 have been limited by the scarcity of available measurements. Our study measured a wide array of
- 3 OVOCs to help address this gap. We found that OVOCs account for 73% of the total
- 4 concentration and 56% of the total OFP of all measured VOC species over water. Despite such
- 5 importance, OVOCs are underestimated to a greater extent than NMHCs in the model. While
- 6 emissions of short-chain NMHCs associated with fossil fuels and combustion have notably
- 7 decreased, future emission patterns are shifting towards increased OVOCs due to rising solvent
- 8 use and consumer products. Our assessment serves as a valuable reference for informing OVOC
- 9 representation in models, and offers insights into how model underrepresentation may impact the
- 10 future atmosphere as emission patterns evolve. Community efforts are urged to integrate global
- 11 VOC speciated measurements, particularly on OVOC components that have been less
- 12 emphasized in current model validation but are expect to become increasingly important.
- 13 The insufficient representation of VOCs in the model impacts policy-relevant ozone formation
- sensitivity and pollution control strategies. We approach this using the widely used FNR method
- 15 for diagnosing ozone formation sensitivity. Both spaceborne TROPIMI and surface
- measurements indicate lower FNRs simulated by the model than those observed in pollution
- 17 hotspots in the PRD region, implying that the simulated ozone formation may be overly sensitive
- 18 to VOCs. As models are critical tools for deriving ozone sensitivity and pollution control
- 19 strategies for regulatory agencies worldwide, the underrepresentation of VOCs could lead to a
- 20 skewed understanding of ozone sensitivity and misguide effective control measures. This issue
- 21 ripples through broader geographical regions, as VOC underrepresentation has also been noted in
- 22 other Chinese cities (She et al., 2024; Wang W. et al., 2024b), as well as in Europe (Ge et al.,
- 23 2024), North America (Chen et al., 2019), and globally (Rowlinson et al., 2024). From a broader
- 24 Earth system perspective, such VOC underrepresentation may be associated with the OH
- reactivity underestimation in models (Travis et al., 2024; Kim et al., 2022; Ferracci et al., 2018),
- 26 which has significant implications, not only for regional air quality through ozone and secondary
- organic aerosols, but also for the lifetimes of greenhouse gases and global climate (Turner et al.,
- 28 2019; Zhao et al., 2025; Yang et al., 2025).
- 29 Overall, our study demonstrates the significant contribution of OVOCs in both the urban
- 30 environment of Hong Kong and its adjacent coastal waters, reveals the underrepresentation of
- VOCs in models, and highlights the impact of this VOC underrepresentation on ozone pollution
- 32 regulations. Our findings serve as a valuable reference for understanding regional VOC and
- 33 ozone dynamics, advancing VOC-related model development, and fostering a synergistic
- 34 assessment of the broader impacts of underrepresented VOCs on air quality and climate from a
- 35 coherent Earth system perspective.

1 Data availability

- 2 WRF and CMAQ are open-source models and can be obtained from their developers at
- 3 <u>https://github.com/wrf-model/WRF</u> (last access: 6 June 2025) and
- 4 https://github.com/USEPA/CMAQ (last access: 6 June 2025), respectively. Model output data
- 5 and field measurements are available upon request.

6 Competing interests

- 7 The contact author has declared that neither they nor their co-authors have any competing
- 8 interests.

9 Author contribution

- 10 XL designed the study, conducted the analysis, and drafted the initial manuscript with guidance
- 11 from JCHF and ZW. YH performed the CMAQ simulations. ZW, YC, XF, YX, and YC provided
- 12 the shipborne OVOC data. DG and SH provided the shipborne NMHC data. ZN provided the
- shipborne NO_x and ozone data. JY and BC provided the HKUST supersite data. CL, YX, and TZ
- provided ozone lidar data. CG and GB contributed to the TROPOMI analysis. All authors
- participated in the preparation of the manuscript.

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