



# Key Role of Nitrogen-containing Oxygenated Organic Molecules (OOMs) in SOA Formation Evidenced by OH/NO<sub>3</sub>-induced Terpinolene Oxidation

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## Abstract

Oxygenated organic molecules (OOMs), generated from the oxidation of various biogenic volatile organics with diverse yields, are a great contributor to SOA formation. Terpinolene is an isomer of limonene, with an even higher SOA yield. Herein we investigated the elaborate oxidation mechanism of terpinolene by OH and NO<sub>3</sub>, elucidating the new formation mechanism of OOMs and their yield profiles based on the newly-built zero-dimensional chemical model under three typical atmospheric conditions. For terpinolene oxidation by OH, H shift imposes restrictions on continuous autoxidation, instead by the reactions with HO<sub>2</sub>/NO/NO<sub>2</sub> resulting in chain termination. For the reaction of terpinolene with NO<sub>3</sub>, the transfer of the radical center via bond breaking, triggering a new round of autoxidation, is newly found to be pivotal in the formation of nitrogen-containing OOMs with high yields. The effective saturation concentration (C\*) of nitrogen-containing OOMs is mostly lower than the OOMs formed by OH oxidation, more easily distributed into particle phase. The estimated C\* of the generated OOMs are distinctly varied among OOM isomers, which emphasizes the necessity of determining their molecular structures, peculiarly the number of rings. The comparative analysis of OH-initiated (daytime) and NO<sub>3</sub>-driven (nocturnal) terpinolene oxidation mechanism, highlighted the formation of nitrogen-containing OOMs, would be conducive to molecular structures identification of OOMs in atmospheric monitoring and atmospheric chemical model refinement.



## 1 Introduction

In the atmosphere, the multi-generation oxidation reactions of biogenic or anthropogenic volatile organics lead to the formation of oxygenated organic molecules (OOMs) and highly oxygenated organic molecules (HOMs), which are important contributors to secondary organic aerosol (SOA) formation. Given the low volatility, HOMs and OOMs could participate in the nucleation of new particles or condense on the particulate matter to enhance SOA formation. It is well known that SOA has a significant impact on air quality and climate change through scattering and absorbing solar radiation, and serving as cloud condensation nuclei (Shen et al., 2022). Hence, clarifying the formation mechanism of OOMs/HOMs is a vital issue for atmospheric chemistry.

Numerous C<sub>10</sub>OOMs are generated from monoterpenes (C<sub>10</sub>H<sub>16</sub>), which is one of the most abundant OOM species in the forests (Bianchi et al., 2017). For instance, the percentage of monoterpene-derived OOMs can be up to 76% in a forested area of southern boreal Finland (Qiao et al., 2023). In urbanized areas of eastern China, the increase in vegetational cover generates a mix of anthropogenic and biological emissions which contribute to SOA formation (Wang et al., 2020), suggesting that monoterpene OOMs are of concern in urban areas, not only in forested areas (Huang et al., 2016). Concretely, monoterpene OOMs have been detected in recent urban atmospheric observations, approximately 30% of the particulate generation below 10 nm is attributed to monoterpene OOMs in Nanjing (Huang et al., 2016). Measurements in forested areas revealed that monoterpene oxidation products played a dominant role in driving new particle growth (Mohr et al., 2019; Huang et al., 2016), while the atmospheric oxidation mechanisms of monoterpenes to form OOMs have not been fully elucidated.

Previous research mainly focused on the molecular composition (Liu et al., 2021; Nie et al., 2022; Guo et al., 2022), the information on the molecular structure is limited which hindered a well-grounded understanding of the atmospheric fate of OOMs (Garmash et al., 2020; Kurtén et al., 2017; Bianchi et al., 2019). For instance, when tried to identify the OOMs detected from the field observation, 151 chemical formulas could be categorized as monoterpenes or aromatic hydrocarbons, which would lead to the deviations in OOMs detection and SOA formation estimation (Qiao et al., 2023). In addition to forming new particles, the relative contribution of



OOMs to SOA formation is governed by their net condensation potential, primarily determined by vapor concentrations and volatility (Wang et al., 2022). Generally, the higher the oxygen content of OOMs, the lower their volatility. Given the lack of pure standards, especially for complex multifunctional oxidation products such as OOMs, the measured saturated vapor pressure data are extremely limited. Therefore, the field observations and laboratory studies have employed a two-dimensional volatility basis set (2D-VBS) classification framework to identify the key SOA-contributing components by combining the effective saturation concentration ( $C^*$ ) and the oxidation state of the oxidation products. In addition, the volatility of the organics with the same molecular formula could vary dramatically due to the structural difference in the functional groups and hydrogen bond (Bianchi et al., 2019; Vasudevan-Geetha et al., 2024). Currently, the molecular structures of OOMs have not been adequately determined in the field measurements and laboratory investigations, the assessment of their volatility deserves further research.

The atmospheric models typically only consider the representative monoterpene (such as  $\alpha$ -pinene), but the SOA yields of different monoterpenes vary widely even with analogous structure (Friedman and Farmer, 2018; Draper et al., 2015; Fry et al., 2014; Kurtén et al., 2017; Vasudevan-Geetha et al., 2024). In this work, terpinolene is selected as model compound due to its high SOA yield. As a kind of cyclic diolefins with an endocyclic and an exocyclic double bond (Griffin et al., 1999), terpinolene has an atmospheric lifetime of 2 hours or less (Corchnoy and Atkinson, 1990). The estimated annual emission of terpinolene is roughly  $1.3 \text{ Tg yr}^{-1}$  (Reissell et al., 1999; Guenther et al., 2012), which is emitted by deciduous tree species such as *Sophora japonica* and *Ginkgo biloba*, as well as medicinal plants like *Amomum tsaoko* seeds (Lindwall et al., 2015). The previous studies have investigated the reaction of terpinolene with  $\text{O}_3$  by experimental or theoretical methods (Atkinson et al., 1992; Orzechowska, 2003; Herrmann et al., 2010; Aschmann et al., 2002; Kim, 2016; Lee et al., 2006; Shu and Atkinson, 1994; Atkinson et al., 1990; Witter et al., 2002; Luo et al., 1996). For the reaction of OH with terpinolene, the rate constant is  $2.25 \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  (Corchnoy and Atkinson, 1990; Griffin et al., 1999), and the yields of two main products, acetone (32~39%) and 4-methyl-3-cyclohexen-1-one (~26%), have been determined (Orlando et al., 2000; Hakola et al., 1994; Reissell et al., 1999). Notably, the OH-initiated oxidation of terpinolene demonstrates a



60 distinctly higher SOA yield (33% at 5.7 days aging time) compared to  $\alpha$ -pinene and limonene  
61 (Friedman and Farmer, 2018; Surratt et al., 2008). Except for the daytime atmospheric oxidation  
62 induced by OH and O<sub>3</sub>, NO<sub>3</sub>-initiated reactions have been identified as a pivotal nocturnal  
63 pathway for terpinolene, with a rate constant of  $(6.0 \pm 3.8) \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  (Fouqueau  
64 et al., 2022). Experimental studies have identified first- and second-generation oxidation  
65 products (such as C<sub>10</sub>H<sub>16</sub>O<sub>3</sub> and C<sub>8</sub>H<sub>17</sub>N<sub>2</sub>O<sub>4</sub>) and proposed that the oxidation mechanism of  
66 terpinolene initiated by NO<sub>3</sub> is dominated by NO<sub>3</sub> addition (Fouqueau et al., 2022), with the  
67 SOA yield of up to 60% (Corchnoy and Atkinson, 1990; Fouqueau et al., 2022; Stewart et al.,  
68 2013; Griffin et al., 1999; Martínez et al., 1999). As an important precursor for SOA formation,  
69 the OOMs formation mechanisms derived from terpinolene remain unclear, which is critical for  
70 deepening the understanding of SOA formation and refining the atmospheric models.

71 In this study, we combined oxidation mechanism investigation and newly-built kinetic  
72 modeling to systematically elaborate OOMs formation from terpinolene oxidation initiated by  
73 OH and NO<sub>3</sub> radical, illustrating the yield profiles of OOMs and other products. Additionally,  
74 we utilized the functional group contribution method and the molecular formula  
75 parameterization method to estimate the saturated vapor pressures of the OOMs to evaluate  
76 their contribution to NPF and SOA formation. The comparative investigation of OH-initiated  
77 and NO<sub>3</sub>-driven oxidation mechanisms of terpinolene, especially for the formation of OOMs,  
78 is expected to fill the gap between ambient monitoring of SOA and atmospheric model forecast.

## 79 **2 Methods**

### 80 **2.1 Electronic structure and energy calculations**

81 All the quantum chemical calculations were performed utilizing Gaussian 16 software.  
82 Conformational optimizations for the reactants, intermediates, transition states, and products  
83 were all carried out at the M06-2X/6-31+G(d, p) computational level. Intrinsic reaction  
84 coordinate (IRC) was conducted to ensure that the transition states were related to the matching  
85 reactants and products at the same computational level. The single point energies were  
86 calculated at M06-2X/6-311++G(3df,3pd) level enhanced the accuracy of energies. The  
87 distribution of average local ionization energies (ALIE) was plotted using Multiwfn (Lu and  
88 Chen, 2012) combined with VMD (Humphrey et al., 1996) for terpinolene to specify its



89 electron-rich sites.

## 90 **2.2 Kinetics calculations**

91 The rate constants of the reactions are calculated by transition state theory, with the  
92 tunneling effect corrected by the Wigner method. The pseudo-first-order rate constants for the  
93 bimolecular reactions were derived from the rate constants of RO<sub>2</sub> radicals with HO<sub>2</sub>/NO/NO<sub>2</sub>  
94 and the concentrations of the reactants under the atmospheric conditions of the polluted,  
95 forested, and remote area. The reaction rate constants were all calculated at 298 K, 1 atm. Using  
96 MATLAB, the zero-dimensional chemical models are established based on the oxidation  
97 mechanism and kinetics of the alkyl radicals (Ter-R•) produced by the reactions of terpinolene  
98 with OH and NO<sub>3</sub> radical.

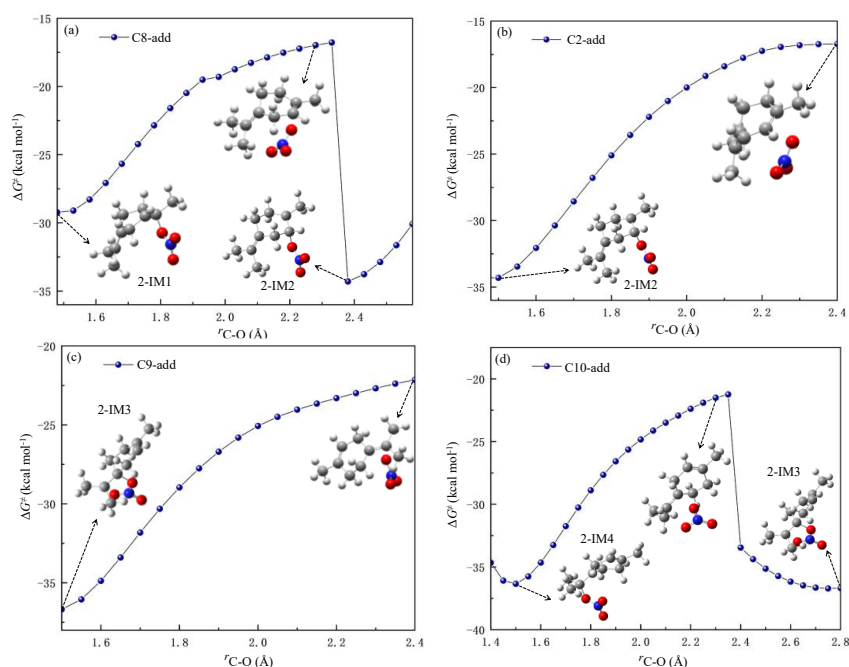
## 99 **2.3 Vapor prediction**

100 The functional group contribution method (SIMPOL.1) was used to predict the saturation  
101 vapor pressures based on the molecular structure and functional groups of the OOMs (Pankow  
102 and Asher, 2008). In addition, the molecular formula parameterization method is also employed  
103 to calculate the effective saturation concentration(C\*) of the OOMs, which was optimized by  
104 Mohr et al. (Mohr et al., 2019) based on the HOMs dataset (Tröstl et al., 2016), the details of  
105 these methods are provided in the Supporting Information S1.

## 106 **3 Results and discussion**

107 For the clarity of the molecular structure and reaction pathways, the carbon atoms of  
108 terpinolene were labeled as shown in Fig. S1. Analysis of the ALIE distribution revealed that  
109 the two double bonds exhibit the lowest ALIE values, indicating their highest reactivity as the  
110 primary reactive sites.

### 111 **3.1 Initial reactions of Terpinolene with OH/NO<sub>3</sub> radical**



**Figure 1.** Scanned potential energy surfaces for NO<sub>3</sub> radical addition reactions (unit in kcal mol<sup>-1</sup>)

The initial reactions of terpinolene with OH radical primarily proceed via two mechanisms (Fig. S2), i.e., OH radical addition to two nonconjugated double bonds and H-abstraction by OH radical. All the addition reactions are exergonic and thermodynamically feasible in the atmosphere with the energy barriers of 1.9 ~ 4.7 kcal mol<sup>-1</sup>, leading to the formation of OH-terpinolene adducts. Analogous to addition reactions, the H-abstraction processes are all exergonic with small free energy barriers (4.9 ~ 9.0 kcal/mol), yielding seven alkyl radicals. The OH addition is dominant over the H-abstraction reaction pathway, which is consistent with the previous laboratory studies (Arey et al., 1990; Orlando et al., 2000; Reissell et al., 1999).

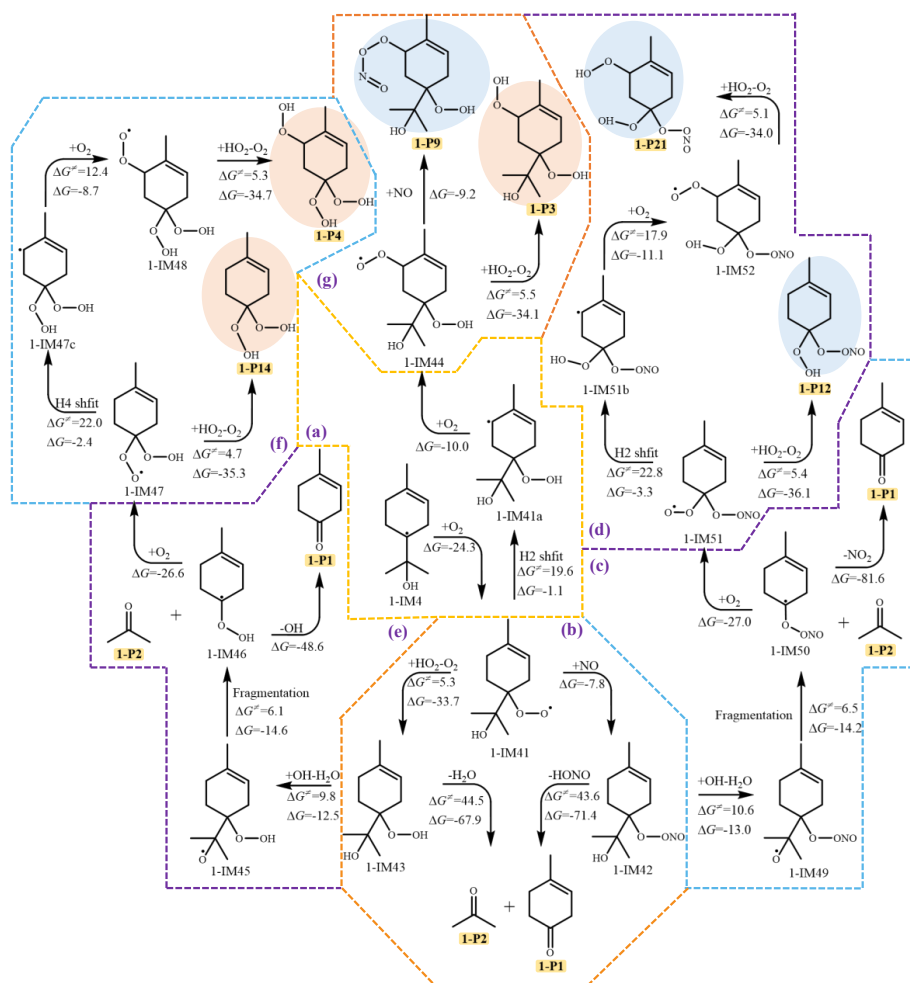
Similar to OH radical, NO<sub>3</sub> radical addition and H-atom abstraction from the carbon chain by NO<sub>3</sub> radical are the initial reaction pathways of terpinolene with NO<sub>3</sub>. Notably, NO<sub>3</sub> radical migration is observed in NO<sub>3</sub> addition processes, as shown in the potential energy surface scans at the M06-2X/6-311++G(3df, 3pd) computational level in Fig. 1 (a) and (d), the addition of NO<sub>3</sub> radical to C8 and C10 site produce 2-IM1 and 2-IM4, respectively, which then undergo NO<sub>3</sub> migration to generate 2-IM2 and 2-IM3, overcoming low energy barriers. According to



Fig. 3 (b) and (c), the energy increases with the gradual rising distance of the C-O bond without a saddle point. Therefore, these two addition reactions are considered as barrierless processes. Regarding the H abstraction by NO<sub>3</sub> radical, it can be seen from Fig. S3 that the abstraction reactions are all exothermic (-0.7 ~ -27.8 kcal mol<sup>-1</sup>), crossing the energy barriers of 1.2 ~ 16.4 kcal mol<sup>-1</sup> to produce seven different radical intermediates.

### 3.2 The oxidation of alkyl radicals (Ter-R•) initiated by OH/NO<sub>3</sub> radical

#### 3.2.1 The oxidation of OH-Terpinolene-R• (1-IM4 and 1-IM7)



**Figure 2.** The subsequent reactions of OH-Ter-R• (1-IM4) (unit in kcal mol<sup>-1</sup>, nitrogen-containing OOMs marked in light blue, nonnitrogenous OOMs marked in lightsalmon)



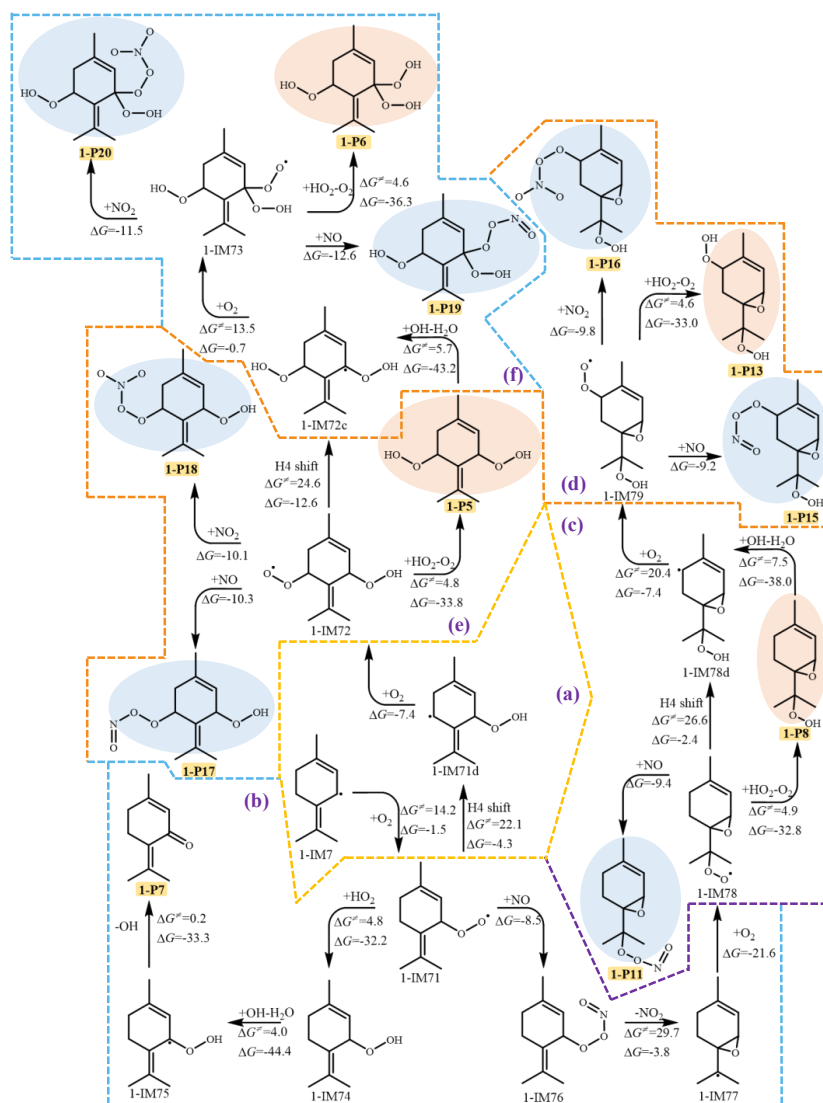


139 The alkyl radicals (1-IM4 and 1-IM7) were selected for in-depth study of atmospheric  
140 oxidation mechanism, which are the dominant intermediates formed from OH addition and H-  
141 abstraction reactions, respectively. Similar to other C-centered radicals, the reaction with O<sub>2</sub> is  
142 the main pathway of 1-IM4 (Peeters et al., 2014). As shown in Fig. 2, 1-IM4 undergoes a rapid  
143 barrierless association reaction with O<sub>2</sub>, which is exoergic by 24.3 kcal mol<sup>-1</sup>, leading to the  
144 generation of 1-IM41. Three competitive reaction pathways of 1-IM41 are considered:  
145 conjugation reaction with NO to generate 1-IM42 under high NO<sub>x</sub> condition, reaction with HO<sub>2</sub>  
146 to form the intermediate 1-IM43 under the atmospheric condition of low NO<sub>x</sub>, and the  
147 isomerization reactions including the cyclization and hydrogen shift. The aforementioned  
148 reactions of 1-IM42 and 1-IM43 can both generate 1-P1 and 1-P2, which have been detected in  
149 laboratory studies of the reaction of terpinolene with OH radical (Hakola et al., 1994). Unlike  
150 the formation mechanism of 1-P2 in the previous experimental research, which proposed that  
151 the intermediate generated by the association with NO could directly lead to the formation of  
152 1-P2 and 1-P1 through the removal of NO<sub>2</sub> (Fig. S4) (Orlando et al., 2000; Reissell et al., 1999),  
153 we found that HONO is eliminated from 1-IM42 other than NO<sub>2</sub>, with a relatively high energy  
154 barrier. In addition, 1-IM42 can also undergo H-atom abstraction by OH and the C-C bond  
155 cleavage to generate 1-IM50, followed by the elimination of NO<sub>2</sub> yielding 1-P1 and 1-P2.  
156 Similarly, 1-IM43 can either undergo the removal of H<sub>2</sub>O or H-atom abstraction followed by  
157 the fragmentation of the C-C and O-O bond, leading to the formation of the new C7 alkyl  
158 radicals (1-IM46), 1-P1 and 1-P2, of which, by comparing the energy barriers, the latter  
159 pathway is easier to occur under atmospheric conditions. As depicted in Fig. 2(d), 1-IM50 can  
160 further react with O<sub>2</sub> to generate the peroxy radical 1-IM51, which then reacts with HO<sub>2</sub> to  
161 generate 1-P12 or triggers a new round of autooxidation, with H<sub>2</sub> shift (1,5 H shift) as the  
162 dominant reaction, leading to the formation of 1-P21. Analogously, 1-IM46 can undergo O<sub>2</sub>  
163 addition to generate a peroxy radical 1-IM47, ultimately resulting in the generation of 1-P4  
164 and 1-P14. According to the traditional autooxidation mechanism (Fig. 2(a)), 1-IM41 undergoes  
165 H<sub>2</sub> shift (1,5 H shift) to form 1-IM41a with an energy barrier of 19.6 kcal mol<sup>-1</sup>, which further  
166 reacts with O<sub>2</sub> to produce a new peroxy radical 1-IM44. Whereas, the subsequent oxidation of  
167 1-IM44 is restricted due to the high energy barriers of the H shift and cyclization reactions (Fig.  
168 S6), which cannot occur spontaneously under atmospheric conditions. Therefore, 1-IM44 can

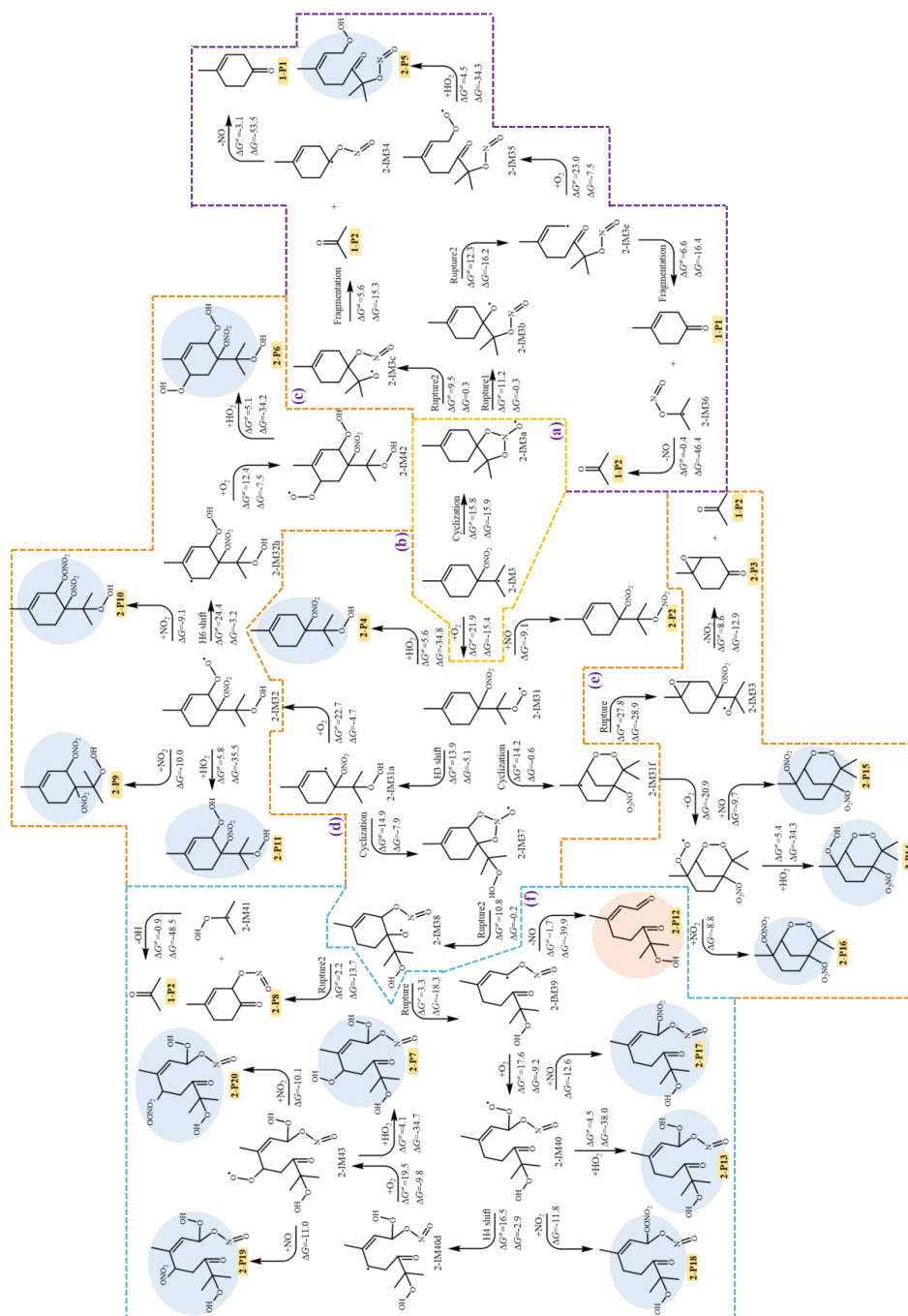


169 only react with HO<sub>2</sub> to form 1-P3 or react with NO to produce a nitrogenous OOM 1-P9, which  
170 have been identified as the major monoterpene-derived C<sub>10</sub>OOMs in the field observations  
171 (Luo et al., 2023; Guo et al., 2022; Zheng et al., 2023). The presence of the endocyclic double  
172 bond enables 1-IM41 to undergo cyclization to generate 1-IM41f and 1-IM41g, which are  
173 thermodynamically unfavorable under atmospheric conditions (Fig. S5).

174 The subsequent reaction pathway of 1-IM7 is initiated with O<sub>2</sub> addition to form the peroxy  
175 radical 1-IM71, which further reacts with HO<sub>2</sub> to generate 1-IM74, followed by the hydrogen  
176 abstraction by OH radical and OH elimination to yield 1-P7 (Fig. 3(b)). 1-IM71 can also react  
177 with NO, consecutively proceeding with NO<sub>2</sub> elimination and the binding with O<sub>2</sub> to produce  
178 the peroxy radical 1-IM78. As shown in Fig. 3(c), 1-IM78 can sequentially react with HO<sub>2</sub>/NO  
179 to generate 1-P8 and 1-P11, respectively. In addition, 1-IM78 can also undergo H4 shift (1,6 H  
180 shift) to form 1-IM78d, which subsequently reacts with O<sub>2</sub> to generate a new peroxy radical 1-  
181 IM79, eventually leading to the production of 1-P13, which has been detected in the field  
182 observation and the laboratory studies of limonene oxidation by OH (Luo et al., 2023; Zheng  
183 et al., 2023). Furthermore, the peroxy radical 1-IM79 can react with NO/NO<sub>2</sub> to produce  
184 nitrogen-containing OOMs 1-P15 and 1-P16. Additionally, the peroxy radical 1-IM71  
185 undergoes the H4 shift (1,5 H shift) and the association with O<sub>2</sub> to produce a new peroxy  
186 radical 1-IM72, which can further react with HO<sub>2</sub> to generate the OOM 1-P5, or react with  
187 NO/NO<sub>2</sub> to form 1-P17 and 1-P18, respectively (Fig. 3(e)). Analogously, Fig. 3(f) indicates that  
188 the peroxy radical 1-IM72 can undergo a subsequent H4 shift (1,5 H shift) reaction, initiating  
189 an additional autoxidation cycle to generate more OOMs. The isomerization reactions involved  
190 in the reaction pathways are displayed in Sect. S3 of Supporting Information, and the major  
191 products from the reactions of terpinolene with OH/NO<sub>3</sub> are summarized in Sect. S6.



**Figure 3.** The subsequent reactions of OH-Ter-R• (1-IM7) (unit in kcal mol<sup>-1</sup>, nitrogen-containing OOMs marked in light blue, nonnitrogenous OOMs marked in lightsalmon)



**Figure 4.** The subsequent reactions of NO<sub>3</sub>-Ter-R• (2-IM3) (unit in kcal mol<sup>-1</sup>, nitrogen-containing OOMs marked in light blue, nonnitrogenous OOMs marked in light salmon)



### 3.2.2 The atmospheric oxidation of the NO<sub>3</sub>-Terpinolene-R• (2-IM3)

The dominant intermediate NO<sub>3</sub>-Ter-R• (2-IM3) is prone to cyclization to form a bicyclic alkoxy radical (2-IM3a), as shown in Fig. 4. Due to the instability of the N-O bond, 2-IM3a undergoes the rupture of O-N bond to produce 2-IM3b or 2-IM3c, which both subsequently proceed with the fragmentation and NO elimination to generate 1-P1 and 1-P2. Besides, Fig. 4(c) indicates that the radical center of 2-IM3b is migrated via C-C bond breaking, and consecutively reacts with O<sub>2</sub> and HO<sub>2</sub> to generate 2-P5. Similar to the intermediate OH-Ter-R• (1-IM4), 2-IM3 also reacts with O<sub>2</sub> to generate the first-generation peroxy radical (2-IM31), which further reacts with HO<sub>2</sub> to generate 2-P4 (Fig. 4(b)). As the presence of an endocyclic double bond, 2-IM31 proceeds with the cyclization to produce 2-IM31f with a barrier of 14.2 kcal mol<sup>-1</sup>, followed by O-O bond rupture and NO<sub>2</sub> removal to form 2-P3 and 1-P2, which have been detected in the laboratory studies for the oxidation of terpinolene by NO<sub>3</sub> radical (Fouqueau et al., 2022). Moreover, as shown in Fig. 4(e), the bicyclic alkyl radical 2-IM31f ulteriorly reacts with O<sub>2</sub> to form a new peroxy radical, resulting in the formation of multiple OOMs.

Among all the H shift reaction pathways (Fig. S11), H3 shift (1,5 H shift) is the dominant reaction pathway to form 2-IM31a, which continues to react with O<sub>2</sub> to produce 2-IM32 or proceed with a cyclization reaction to generate an alkoxy radical 2-IM37. The peroxy radical 2-IM32 can further undergo the bimolecular reactions with HO<sub>2</sub>/NO/NO<sub>2</sub>, or continue the autoxidation to produce 2-P6, as shown in Fig. 4(d). For the subsequent reactions of the alkoxy radical 2-IM37, the cleavage of O-N and C-C bond is occurred, followed by OH removal, leading to the formation of 2-P8 and 1-P2. In addition, 2-IM37 can also undergo the rupture of the O-N and C-C bonds to produce the intermediate 2-IM39, which can react with O<sub>2</sub> or eliminate NO to generate a new peroxy radical 2-IM40 or 2-P12. Subsequently, 2-IM40 proceeds with the autoxidation mechanism driven by H4 shift (1,6-H shift) and then the reaction with HO<sub>2</sub> to generate 2-P7 and 2-P13. The peroxy radicals (2-IM40 and 2-IM43) can also react with NO/NO<sub>2</sub> to produce nitrogen-containing OOMs, including 2-P17, 2-P18, 2-P19, and 2-P20. According to the field observation of OOMs at a coastal background site in Hong Kong, 2-P6 and 2-P9~2-P20 have been detected (Zheng et al., 2023). Moreover, the MT-mixed-OOMs

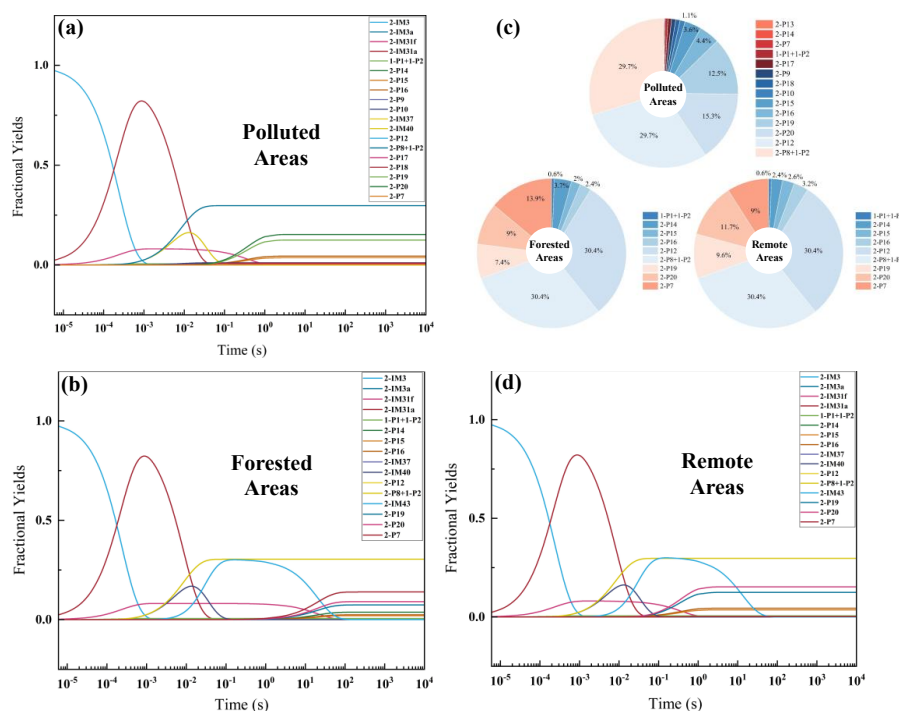


227 identified in the atmospheric monitoring over eastern China's megacities, such as 2-P9, 2-P10,  
228 2-P15 ~ 2-P18, are considered to be generated by the reactions of the peroxy radicals with  
229 NO/NO<sub>2</sub>, which is in accordance with the reaction pathways we discussed above (Liu et al.,  
230 2023). For clarity, the above-mentioned products that could have been detected in field  
231 observations are all summarized in Supplementary Sect. S6.

### 232 **3.3 Modeling of the yield profiles of OOMs and other main products**

233 Based on the aforementioned oxidation mechanism of terpinolene by OH and NO<sub>3</sub> radical,  
234 the thermodynamically feasible reaction pathways were determined, and a zero-dimensional  
235 chemical model was further established to calculate the time-dependent fractional yields of the  
236 intermediates and major products including OOMs under three atmospheric conditions: (a) in  
237 a polluted area (5 ppb NO, 50 ppt HO<sub>2</sub>) (Nie et al., 2023; Garmash et al., 2020), (b) in a forested  
238 area (50 ppt NO, 50 ppt HO<sub>2</sub>), and (c) in a remote area or in the afternoon of an urban area (100  
239 ppt NO, 50 ppt HO<sub>2</sub>) (Hofzumahaus et al., 2009; Ma et al., 2021). Based on the bimolecular  
240 reaction rate constants of the RO<sub>2</sub> with NO ( $9.0 \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>), NO<sub>2</sub> ( $1.10 \times 10^{-11}$   
241 cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) and HO<sub>2</sub> ( $1.7 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) and the C-centered radicals with O<sub>2</sub>  
242 ( $6.0 \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) (Wang and Wang, 2016; Wu et al., 2015; Atkinson and Arey,  
243 2003; Boyd et al., 2003; Saunders et al., 2003), the pseudo-first-order rate constants were  
244 determined and inputted into the model, as summarized in Supplementary Sect. S4. In the  
245 polluted area, the calculated pseudo-first-order rate constant  $k'_{\text{RO}_2+\text{NO}}$  (1.21 s<sup>-1</sup>) is significantly  
246 larger than  $k'_{\text{RO}_2+\text{HO}_2}$  ( $2.28 \times 10^{-2}$  s<sup>-1</sup>). Whereas, under the atmospheric condition of the remote  
247 area, where NO concentration is approximately twice of HO<sub>2</sub> concentration,  $k'_{\text{RO}_2+\text{NO}}$  ( $2.42 \times$   
248  $10^{-2}$  s<sup>-1</sup>) and  $k'_{\text{RO}_2+\text{HO}_2}$  ( $2.28 \times 10^{-2}$  s<sup>-1</sup>) are comparable.

249



250

251 **Figure 5.** The modeled time-dependent fractional yields of important species generated from  
 252 the subsequent reactions of  $\text{NO}_3\text{-Ter-R}\cdot(2\text{-IM3})$  under the atmospheric conditions of polluted  
 253 areas (a), forested areas (b), remote areas (d) (Species with yields  $<0.1\%$  excluded).

254 The time-dependent fractional yield of the major products from the oxidation of  $\text{NO}_3\text{-Ter-R}\cdot(2\text{-IM3})$  is shown in Fig. 5. The rate constants of the rate-determining steps for the generation  
 255 of 2-IM3a, 2-IM31a and 2-IM31f from 2-IM3 were calculated to be  $27.1\text{ s}^{-1}$ ,  $3.83 \times 10^3\text{ s}^{-1}$  and  
 256  $3.42 \times 10^2\text{ s}^{-1}$ , respectively. Compared to the bimolecular reaction pathways for the production  
 257 of 2-P4 and 2-P2, unimolecular reactions are more dominant, among which, 2-IM31a generated  
 258 by the hydrogen shift reaction is the predominant intermediate. As shown in Fig. 5(a), the  
 259 fractional yield of 2-IM31a is sharply increased to 91% at  $\sim 10^{-3}\text{ s}$  in the polluted area,  
 260 accompanied by a rise in the yield of 2-IM31f to  $\sim 8.0\%$ . Subsequently, the yield of 2-IM31a  
 261 is declined rapidly to  $\sim 0\%$ , along with the raising yield of its cyclization product 2-IM37 to  $\sim$   
 262 89% at around  $10^{-2}\text{ s}$ . The continue oxidation of 2-IM37 leads to the increasing yield of 2-IM40,  
 263 2-P12, 2-P8 and 1-P2, and then 2-IM43 is generated accompanied by the consumption of 2-  
 264 IM40 via the autoxidation driven by H4 shift reaction ( $4.43 \times 10\text{ s}^{-1}$ ), ultimately, the OOMs 2-  
 265 IM40 via the autoxidation driven by H4 shift reaction ( $4.43 \times 10\text{ s}^{-1}$ ), ultimately, the OOMs 2-  
 266 P19 and 2-P20 are formed from the reactions of 2-IM43 with NO and  $\text{NO}_2$ , with their yields



267 leveled off at 10 s. As indicated in Fig. 5 (b) and (d), the intermediates generated by the  
268 unimolecular reactions (such as 2-IM31a, 2-IM43, etc.) are also dominant. Under the polluted  
269 atmospheric condition, nitrogen-containing OOMs are generated by the reaction of peroxy  
270 radicals with NO/NO<sub>2</sub>, being the predominant pathway, their yields are higher than those in the  
271 forest and remote area. Among them, the yields of 2-P19 and 2-P20 are 12.5% and 15.3%,  
272 respectively. In the forest and remote area, the reactions of peroxy radicals with HO<sub>2</sub> can  
273 compete with those of peroxy radicals with NO/NO<sub>2</sub>, resulting in an increase in the yield of 2-  
274 P7, which is comparable to the yield of 2-P19 and 2-P20. As summarized in Fig. 5 (c), under  
275 these three atmospheric conditions, 2-P8, 2-P12, 2-P19, and 2-P20 are the main OOMs with  
276 relatively high yields, while 2-P7 is the major OOMs in the forest and remote area (13.9% and  
277 9%, respectively). The total yield of the OOMs (2-P14, 2-P15 and 2-P16) generated by the  
278 subsequent oxidation of 2-IM31f produced by the cyclization reaction is approximately 8.0%.

279 As shown in Fig. S16, in the polluted area, 1-IM42, as the main oxidation product, has a  
280 yield as high as 75.4%, followed by 1-P9 (22.8%) and 1-IM43(1.4%). In the forest and remote  
281 area, the main oxidation intermediate of 1-IM4 is 1-IM41a generated by the first hydrogen shift  
282 reaction, which undergoes bimolecular reactions with HO<sub>2</sub>/NO to produce 1-P3 (43.1% and  
283 59.7%), 1-P9 (31.7% and 45.7%), 1-IM42 (3.0 and 5.8%) and 1-IM43 (5.4 and 5.6%). In Fig.  
284 S17, in the polluted area, the oxidation product 1-IM76 is dominated with a yield of ~ 95.8%,  
285 and the total yield of the remaining products (1-P7, 1-P18 and 1-P17) is approximately ~ 4%.  
286 In contrast, under atmospheric conditions in forest and remote areas, the yield of 1-IM76 is  
287 declined (31.4% and 47.8%), synchronously, being one of the main oxidation products, the  
288 fractional yield of 1-P7 is enhanced to 59.1% and 45%, respectively, with the remaining  
289 proportion assigned to 1-P18, 1-P5, and 1-P17. By contrast, there is a significant difference in  
290 the yields of OOMs produced from the oxidation of terpinolene by OH and NO<sub>3</sub> radical, mainly  
291 due to the different oxidation pathways.

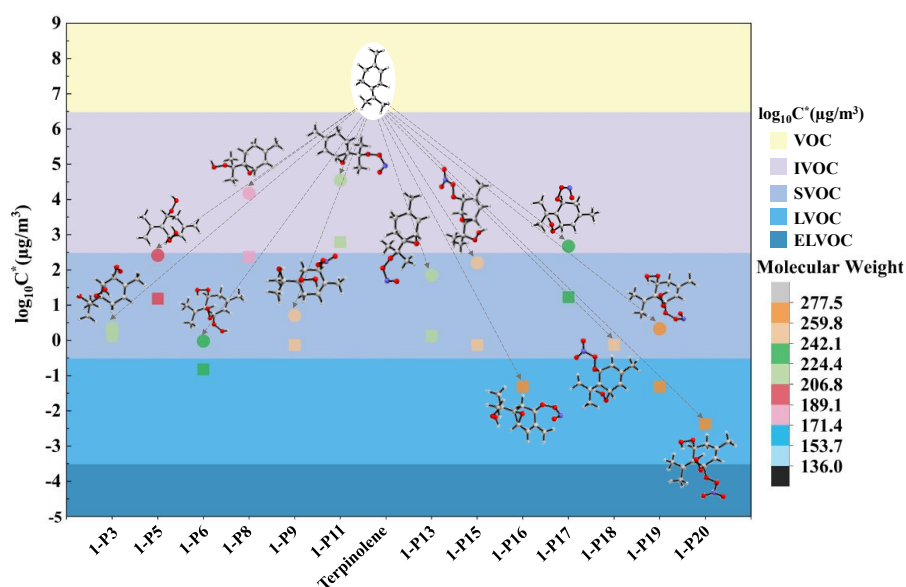
### 292 3.4 Volatility distribution of OOMs

293 The saturation vapor pressures of OOMs can affect their condensation process, which is  
294 closely related to SOA formation. Low volatility organic compounds (LVOC) and extremely  
295 low volatility organic compounds (ELVOC) have been demonstrated to be a significant





296 contributor to the growth of a large proportion of the new particles, with a minor contribution  
297 from semi-volatile organic compounds (SVOC) (Mohr et al., 2017). Herein, based on the  
298 chemical formula and functional groups of the OOMs generated from the oxidation of  
299 terpinolene by OH and NO<sub>3</sub>, the saturation vapor pressures of the OOMs were firstly predicted  
300 using SIMPOL.1 functional group contribution method (Pankow and Asher, 2008; Valorso et  
301 al., 2011). It was then converted to the effective saturation concentration (C\*) for further  
302 comparison with the estimation by the optimized molecular formula parameterization method  
303 (Mohr et al., 2019).



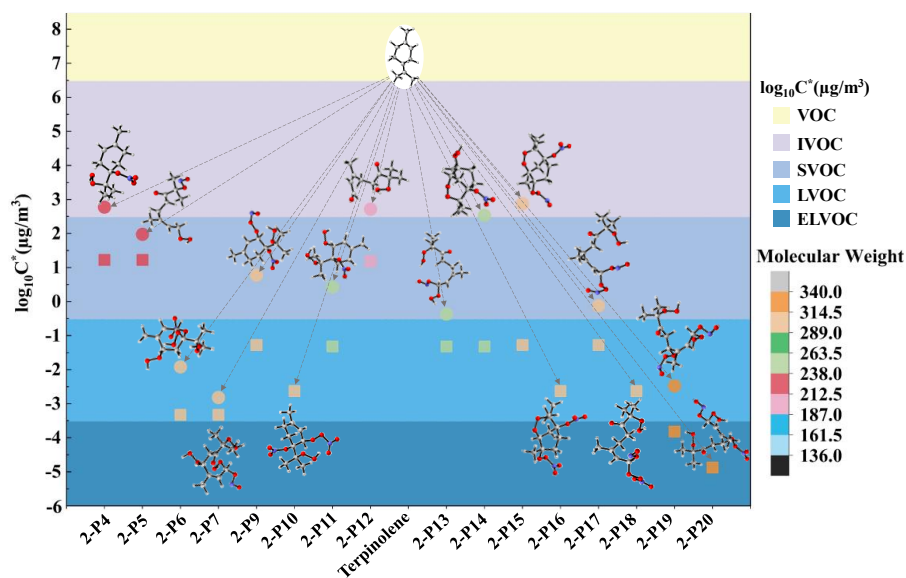
304  
305 **Figure 6.** The molecular weight ranges and volatility classifications of C10-OOMs generated  
306 from OH-Ter-R• (1-IM4 and 1-IM7) oxidation. (Volatility prediction methods: (○) the  
307 functional group contribution method (SIMPOL.1), (□) the molecular formula  
308 parameterization method)

309 As shown in Fig. 6, the OOMs generated from the oxidation of terpinolene with OH are  
310 mainly IVOC, SVOC and LVOC. Noteworthily, the results obtained from both methods  
311 indicate that most of the OOMs belong to SVOC and LVOC, among which, 1-P6, 1-P16, 1-P19  
312 and 1-P20 are categorized as LVOC by the molecular formula parameterization method, while  
313 1-P6 and 1-P19 are identified as SVOC, according to the functional group contribution method.  
314 From the analysis of the atmospheric oxidation mechanism of terpinolene by OH, it can be  
315 found that these four OOMs are generated by the subsequent oxidation of the intermediate 1-



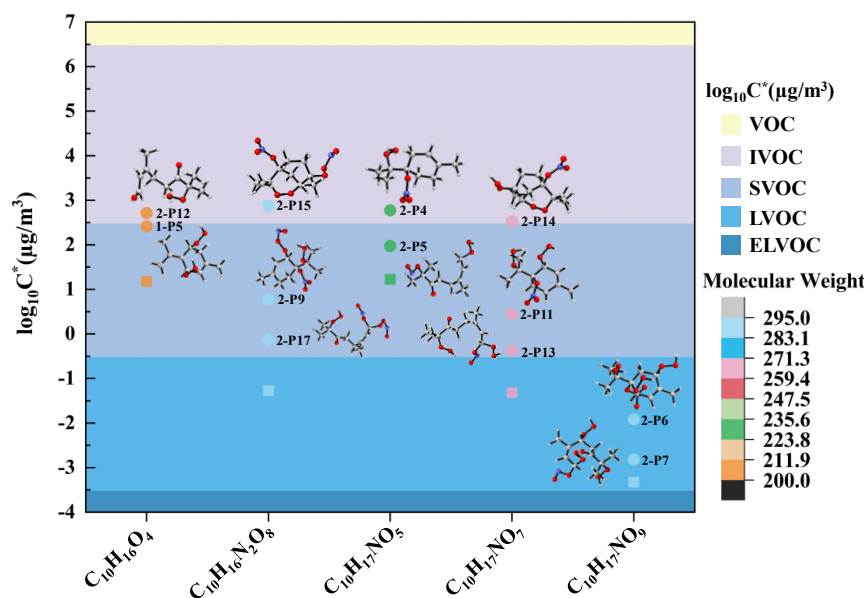
316 IM7 produced by the H-atom abstraction reaction, and the nitrogen-containing OOMs (1-P16,  
317 1-P19, and 1-P20) are produced by the reactions of peroxy radicals with NO/NO<sub>2</sub>. 1-P16 is  
318 generated by the reaction of NO<sub>2</sub> with a new peroxy radical formed by the autoxidation of the  
319 peroxy radical containing a C-O-C ring, which is derived from the reaction of IM7 with NO/O<sub>2</sub>.  
320 In the previous analysis, we also emphasized the importance of this pathway for the generation  
321 of OOMs, due to the existence of C=C(CH<sub>3</sub>)<sub>2</sub> double bond in the terpinolene molecule, unlike  
322 the molecular structures of the products generated from NO<sub>2</sub> elimination in previous studies, a  
323 C-O-C ring is formed, leading to the generation of a new alkyl radical, which is conducive to  
324 the generation of OOMs.

325 As revealed in Fig. 7, most of the OOMs generated from the oxidation of terpinolene by  
326 NO<sub>3</sub> are nitrogenous OOMs, except for 2-P12. The results obtained from the functional group  
327 contribution method indicate that the majority of the nitrogenous OOMs are within the range  
328 of SVOC, with only 2-P6, 2-P7 and 2-P19 assigned to LVOC. According to the molecular  
329 formula parameterization method, most of the nitrogen-containing OOMs belong to LVOC,  
330 with the exception of 2-P19 and 2-P20 sorted as ELVOC. Concretely, 2-P6 is the oxidative  
331 product of the adduct 2-IM3 via twice H shifts (1,5- and 1,6-H shift). For the formation of 2-  
332 P7, 2-P19 and 2-P20, the alkoxy radical 2-IM37 undergoes the cleavages of the O-N and C-C  
333 bond and the further reaction with O<sub>2</sub> to produce a new peroxyalkyl radical, ultimately  
334 producing 2-P7, 2-P19 and 2-P20 through 1,6-H shift, the reaction with NO and NO<sub>2</sub>,  
335 respectively. Overall, compared to the generated OOMs induced by OH, the effective saturation  
336 concentration (C\*) of OOMs derived from the oxidation by NO<sub>3</sub> is generally lower, being more  
337 easily distributed into the particle phase. It should be noted that there existed a significant  
338 difference in the volatile classification of 2-P14 and 2-P15 containing bicyclic structure  
339 utilizing these two methods, even crossing the SVOC range.



340

341 **Figure 7.** The molecular weight ranges and volatility classifications of C10-OOMs generated  
342 from NO<sub>3</sub>-Ter-R• (2-IM3) oxidation. (Volatility prediction methods: (○) the functional group  
343 contribution method(SIMPOL.1), (□) the molecular formula parameterization method)



344

345 **Figure 8.** The molecular weight ranges and volatility classifications of C10-OOMs isomers.  
346 (Volatility prediction methods: (○) the functional group contribution method(SIMPOL.1), (□)  
347 the molecular formula parameterization method)



348 Based on the molecular structures of each OOMs obtained from quantum chemical  
349 calculation, five groups of isomers were emphatically discussed, as shown in Fig. 8, the  $C^*$   
350 values of OOMs estimated by the molecular formula parameterization method are generally  
351 smaller compared to the results obtained from the functional group contribution method,  
352 possibly leading to a distinct underestimation of the saturated vapor pressure, which could  
353 affect the accurate assessment of the gas-particle partitioning of OOMs. By contrast, the  
354 difference in the effective saturation concentrations of the nitrogen-free OOMs (2-P12 and 1-  
355 P5) is small, while those for the other four groups of nitrogen-containing OOMs isomers exist  
356 evident differences. Moreover, for the nitrogen-free OOMs (2-P12 and 1-P5), the saturated  
357 vapor pressure of 1-P5 with a cyclic structure was relatively lower. For the nitrogen-containing  
358 OOMs (the other four groups), the effective saturation concentrations of the isomers in each  
359 group follows the rule, i.e., the bicyclic structure > the monocyclic structure > the acyclic  
360 structure, which implies that the ring-opening reaction could have an important influence in the  
361 generation of the low-volatile products. According to the previous findings of the vital role of  
362 isoprene nitrates in new particle formation in upper troposphere over Amazon, the nitrogen-  
363 containing OOMs could contribute significantly to SOA formation (Curtius et al., 2024).

#### 364 4 Conclusion and atmospheric implications

365 In this work, the atmospheric oxidation mechanism of terpinolene by OH and NO<sub>3</sub> was  
366 comprehensively investigated, expounding the formation pathways of OOMs and their  
367 molecular structures, indirectly corroborated by the available field observations and laboratory  
368 studies. Based on the reaction mechanism, the zero-dimensional chemical model was  
369 established to clarify the yield profiles of the main products including OOMs under three typical  
370 atmospheric conditions. In addition, the saturation vapor pressures of OOMs were estimated by  
371 the SIMPOL.1 method and the molecular formula parameterization method to evaluate their  
372 contributions to SOA formation.

373 We found that the first-generation peroxy radical (1-IM71), resulting from H-atom  
374 abstraction reaction pathway of terpinolene by OH, plays a pivotal role in the formation of  
375 C10OOMs. 1-IM71 can undergo twice H shift or the reaction with NO to form a cyclic peroxy  
376 radical (1-IM79), ultimately leading to the production of low volatile OOMs (such as 1-P19, 1-



P20 and 1-P16). Among the products, the yields of 1-IM76/1-P7 are accounted for the large proportions (31.4% ~ 95.8%) under three atmospheric conditions. Whereas, the first-generation peroxy radical formed by OH addition reaction of terpinolene can only proceed with once H shift continued with bond breaking, resulting in the formation of C7OOMs. According to the yield profiles, 1-IM42, 1-P9 and 1-P3 are the main oxidation products with the yields more than 30%. For the oxidation of terpinolene by NO<sub>3</sub>, the first-generation peroxy radical derived from NO<sub>3</sub> addition can also undergo H shift, followed by the cyclization, the rupture of O-N bond and C-C bond, thereby the radical center is migrated, triggering a new round of autoxidation driven by the hydrogen shift reaction to produce nitrogen-containing OOMs, with the yields of 2-P12, 2-P8, 2-P7, 2-P19 and 2-P20 over 10%. Analogously, the adduct generated by NO<sub>3</sub> addition to terpinolene can also produce C10OOMs (2-P5) via cyclization and the cleavage of O-N and C-C bond. Therefore, the reaction pathway of achieving the transfer of the radical center via bond breaking is a new identified but important mechanism for the formation of OOMs except for H shift, which could be applicable to the oxidation of other terpenoids.

The generated OOMs by atmospheric oxidation of terpinolene are mainly IVOC, SVOC, LVOC and some ELVOC, and there is a distinct difference in the effective saturation concentration of the OOM isomers. Compared with the OOMs (mostly I/SVOC) generated from the oxidation of terpinolene by OH radical, the formed nitrogen-containing OOMs (mainly S/LVOC) via the reaction of terpinolene with NO<sub>3</sub> have lower volatility with more potential to contribute to SOA formation. It could be indirectly proved by the measurements in urban atmospheres, the concentrations of nitrogen-containing OOMs dominated the total OOMs during four seasons (Yuan et al. 2024), highlighting its significance in the atmospheric chemistry.

Given multitudinous OOMs formed in the atmosphere, their molecular structures (existing numerous isomers) and formation pathways remain unclear. The findings of this work would be conducive to illuminating the formation mechanism of OOMs by terpene oxidation in the atmosphere and the measurements in the laboratory and field sites. However, it should be noted that the yields of OOMs calculated by the zero-dimensional chemical model could have some uncertainties, derived from the uneven distribution of pollutants, the neglect of advection, diffusion and meteorological factors, incomplete chemical mechanisms, the errors of initial



407 conditions, time step size and numerical integration, and etc. Therefore, well-integrated models  
408 are needed to clarify the coupling of OOMs formation with multiple factors, achieving more  
409 accurate predictions.

#### 410 **Code/Data availability**

411 The data and code for quantum chemical calculation and zero-dimensional chemical models  
412 used in this study are available upon request.

#### 413 **Supporting Information Available**

414 Detailed information on Fig. S1-S15 and Table S1-S4 are all provided in the supporting  
415 information.

#### 416 **Author contributions**

417 JD and HW conceived and designed the study, and wrote the original draft. HW performed the  
418 data analysis and created the figures, XZ, WY, ST, and SZ collected the dataset. ST and JD  
419 developed the model. SZ, QZ, and WW reviewed and edited the manuscript. All authors  
420 participated in the discussion and review of the manuscript.

#### 421 **Competing interests**

422 The contact author has declared that none of the authors has any competing interests.

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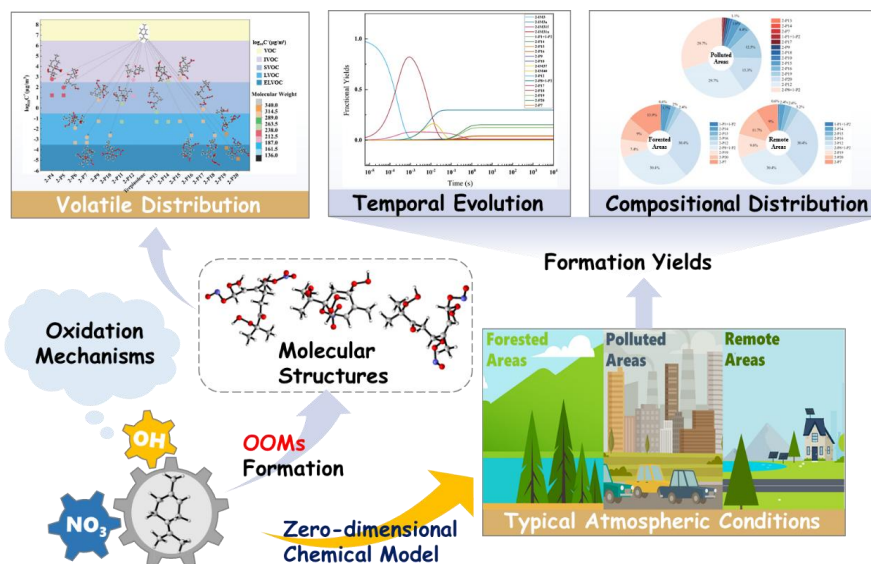


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## 646 Graphical Abstract

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