

1 **Baseflow in karst regions is significantly higher than the**
2 **global average and exhibits spatial variability**

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18

19 Abstract: The distinct hydrogeological configurations of karst terrains engender
20 fundamentally divergent baseflow regimes compared with non-karst systems. However,
21 there is still some uncertainty in the understanding of baseflow in global karst regions
22 due to the variability of methods and differences in natural conditions in different
23 regions. In this study, runoff data from 1375 karst basins around the world were
24 summarized. Graphical and digital filtering methods were employed to estimate the
25 Baseflow Index (BFI, defined as the ratio of baseflow to total streamflow) and to
26 analyze its spatial patterns and trends. The results show that the baseflow index of
27 global karst areas is about $78 \pm 6.9\%$, which is significantly higher than the global
28 average baseflow index (60%). The baseflow index of karst regions in different climatic
29 zones also differed significantly, in which the average baseflow index of arid karst
30 regions (82%) was significantly higher than the average baseflow index of subtropical
31 karst regions (77%). Even within the same climate zone, the base flow index of different
32 regions may also have significant differences, and the difference of some regions is
33 even $>10\%$. Vegetation factors reflected in primary productivity have the highest
34 influence on baseflow in karst regions (14.8%), while climatic factors (relative humidity,
35 air temperature, etc.) have a lower influence on BFIs in karst regions (less than 5%).
36 From the time series trend, the global karst baseflow index shows an increasing trend,
37 about 1.5% from 1960 to 2015. These results help us to further understand karst
38 hydrological processes and the response mechanism of karst hydrology under climate
39 change.

40

41 Key words: Baseflow; Karst; Hydrographic graphical method; climate zone; global
42 runoff data; hydrogeology

43

1. Introduction

Functioning as a vital component of groundwater recharge to runoff, baseflow acts as a critical hydrological stabiliser (Mukherjee et al., 2018; Chen et al., 2019). The proportion and dynamic characteristics of baseflow in runoff (commonly quantified as the Baseflow Index, BFI) not only regulate the ecological balance threshold of rivers, but also profoundly affect the resilience of watersheds in response to climate fluctuations (Saedi et al., 2022; Hare et al., 2021; Yang et al., 2023). Therefore, accurate quantification of the characteristics of baseflow can help to understand the runoff evolution pattern and its response mechanism to regional environmental changes (Mei et al., 2024; Kuehne et al., 2023).

Recent studies on baseflow estimation have revealed its spatial variability characteristics. Among them, Xie et al (2023), based on a coupled analysis of baseflow separation and climate models for 15,000 catchments worldwide, pointed out that the average contribution of baseflow to river runoff was about 60%. However, there are significant regional differences under this macroscopic pattern, e.g., baseflow index (BFI) calculations by Beck et al (2013) for 3,394 watersheds globally show that BFI is generally higher in tropical and temperate-cold regions than in arid and semi-arid zones (e.g., North and South Africa, Central Asia, and Australia). Regional-scale studies further refine these spatial patterns. For instance, the BFI is observed to be higher in the eastern regions of both the United States and India compared to their western counterparts (Mei et al., 2024; Sharma and Mujumdar, 2024). In China, the Yellow River Basin exhibits a 'high-low-high' trend from upstream to downstream, whereas the Wei River Basin shows a gradual decline (Lyu et al., 2023; Zhang et al., 2019).

While current research characterizes general global baseflow features, the distinct hydrogeological architecture of karst landscapes (e.g., conduit and fissure networks) renders these findings significantly less applicable to karst regions (Jing et al., 2024; Ford & Williams, 2007). Observations indicate significant heterogeneity in karst BFI across different climatic zones, which are typically categorized by hydrothermal conditions (e.g., the Köppen classification). In Tropical zones (characterized by high temperature and humidity), the high permeability of karst media facilitates rapid precipitation-to-groundwater conversion; for instance, sub-basins in the Brantas Hulu watershed (Pratama and Adji, 2020) exhibit BFIs exceeding 80%. Conversely, Arid and Semi-arid regions (defined by water limitation) often show distinct recharge patterns due to scarcity of rainfall. In Subtropical zones, where seasonality is moderate but

78 distinct, baseflow contributions can vary drastically; in central Italy, BFI ranges from
79 30 – 76% annually (Longobardi and Loon, 2017). Similarly, Temperate zones display
80 diverse patterns, such as the Sierra Nevada karst in North America maintaining a BFI
81 above 65% (Tobin and Schwartz, 2019). These disparities highlight the need to consider
82 climatic classification when analyzing global karst hydrology.

83 In summary, studies of baseflow in karst regions have revealed their obvious
84 spatial heterogeneity. A large number of studies have characterised the baseflow
85 characteristics of karst under different climatic zones, and also outlined the regional
86 baseflow characteristics of karst under different climatic zones (Tagne and Dowling.,
87 2018). However, existing studies still have obvious limitations, starting with an over-
88 focus on localised features in small regions, such as watershed studies in southern China
89 and the Mediterranean (Guisiano et al., 2024; Mo and Ruan., 2021), which makes the
90 results of the study not necessarily representative of the global karst region. The second
91 is the variability of research methods, such as hydrographic methods (graphical
92 methods, digital filtering methods), isotope tracer methods, etc. (He et al., 2019; Yang
93 et al., 2021; Arnold et al.,2013). The difference in focus of the different methods also
94 reduces the commonality of the findings. These two reasons have led to a lack of
95 characterisation of overall features and reasonable quantification of regional differences,
96 despite the exploration of baseflow characteristics of karst basins in different regions
97 of the world (Wu et al., 2017; Mei et al., 2024). Therefore, the complete characterisation
98 of baseflow in the global karst region using reasonable methods and the accurate
99 quantification of the overall characteristics and regional differences of baseflow in the
100 global karst region are still urgently needed.

101 The overarching aim of this study is to explore the baseflow characteristics and
102 their internal differences across global karst regions, and to evaluate the influence of
103 different environmental factors on these characteristics. To achieve this, the following
104 four specific objectives are defined:(i) To evaluate the applicability of twelve baseflow
105 separation methods (including graphical and digital filter methods) in karst watersheds
106 and identify the most suitable approaches.(ii) To reveal the unique hydrological
107 signature of karst baseflow and analyze its spatiotemporal evolution characteristics
108 under changing climate conditions.(iii) To quantify the heterogeneity of baseflow
109 across different karst landform types and clarify the internal differences.(iv) To identify
110 the dominant climatic, topographic, and geological drivers of baseflow variability using
111 an XGBoost machine learning model.

112 2. Materials and methods

113 2.1 Data sources

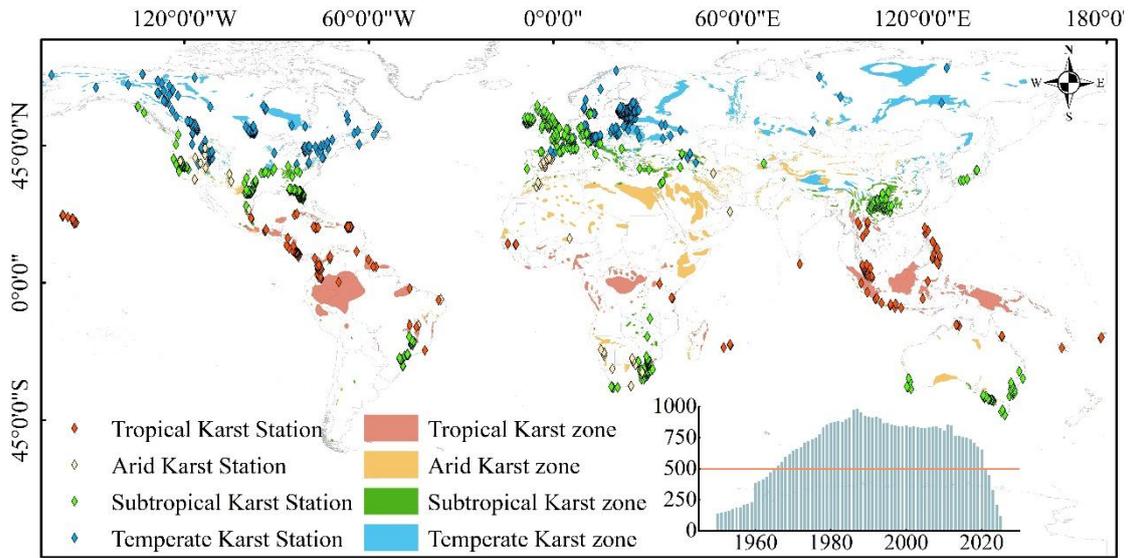
114 2.1.1 Runoff data

115 This study focuses on regions with extensive karst landscape distribution globally.
116 By overlaying global watershed boundaries (Lehner and Grill, 2013), Köppen climate
117 zones, and urban distribution maps, we identified candidate runoff observation stations.
118 To minimize anthropogenic disturbance, only watersheds with limited human activity
119 and areas smaller than 2,500 km² were selected. Thus daily runoff data for 1412
120 watersheds with different time spans have been selected. The runoff data mainly comes
121 from the Global Runoff Data Center (<https://www.bafg.de/GRDC>), The European
122 Water Archive (<https://ne-friend.bafg.de/servlet/>), National River Flow Archive, UK
123 (<https://nrfaapps.ceh.ac.uk/nrfa/nrfa-api.html>), Brazilian National Water Authority
124 (<https://zenodo.org>), The National Hydrological Data Archive of Canada
125 (<https://wateroffice.ec.gc.ca/>), The Chinese Ministry of Water Resources
126 (<http://www.cjh.com.cn/>), The National Hydrological Information System of the United
127 States (<https://waterdata.usgs.gov/nwis>).

128 Initially, data from 1,412 hydrological stations were compiled. To ensure data
129 quality, a rigorous screening process was applied. Stations with severe data gaps or
130 extensive periods of zero flow were excluded. For stations with minor gaps (less than
131 30 days), missing values were filled using cubic spline and linear interpolation. This
132 process resulted in a final dataset of 1,375 valid karst watersheds covering the period
133 from 1960 to 2015. These watersheds are distributed across different climatic zones,
134 including 221 in tropical, 91 in arid, 490 in subtropical, and 568 in temperate karst
135 regions (Figure 1).

136 To ensure consistent climatic characterization, we adopted the Köppen-Geiger
137 climate classification system (Peel et al., 2007) to categorize these watersheds.
138 Specifically, "Tropical karst" corresponds to the equatorial zone (Group A),
139 characterized by high temperatures and precipitation year-round. "Arid karst" falls
140 under the arid zone (Group B), defined by water scarcity. "Subtropical karst" primarily
141 includes the warm temperate climates (Group C, e.g., Cfa, Cwa) with hot summers,

142 while "Temperate karst" encompasses the snow climates (Group D) and cool temperate
 143 regions (e.g., Cfb), featuring distinct seasonal contrasts and colder winters.



144
 145 Figure 1. The distribution of karst landscapes and hydrological stations in various
 146 climate zones around the world. The bar chart represents the number of hydrological
 147 stations selected in each year, with the vertical axis indicating the number of selected
 148 hydrological stations and the horizontal axis indicating the year. We selected years with
 149 over 500 hydrological stations that meet the requirements within the same year for
 150 subsequent analysis.

151 2.1.2 Selection of potential influencing factors of baseflow

152 In order to analyse the influencing factors of baseflow, we further selected daily-
 153 scale runoff data from 744 hydrological stations during 2011-2012 out of the 1375
 154 hydrological stations mentioned earlier to calculate baseflow. The purpose of further
 155 selecting the hydrological stations is to ensure the continuity of the data while at the
 156 same time ensuring that the stations can cover the major karst regions of the world. We
 157 selected a total of 12 potential influences. Climatic factors included temperature and
 158 rainfall, and geological factors included depth to bedrock, water storage in epikarst,
 159 slope, elevation, and soil evaporation. Other factors included runoff, population density,
 160 gross primary productivity (GPP), relative humidity, and surface radiation, for a total
 161 of 12 factors (Table 1).

162 Table 1. Detailed information on the 12 influencing factors

Name	Temporal scale	Spatial resolution	Data sources
Runoff volume	Monthly average	-	The same as the runoff data in Section 2.1.1
Epikarst water	Monthly	30 arc-second	GES (Goddard Earth Sciences) DISC (Li

storage volume	average		et al.,2019)
Bedrock depth	-	0.25km×0.25 km	ISRIC — World Soil Information (Hengl et al.,2017)
Air temperature	Monthly average	30 arc-second	
Precipitation	Monthly average	30 arc-second	Climatic Research Unit gridded Time Series (arris et al.,2020)
Relative humidity	Monthly average	0.1°×0.1°	
Elevation	-	30 arc-second	Worldclim (Fick and Hijmans.,2017)
Slope steepness	-	30 arc-second	
Available soil moisture	multi-year average	1km×1km	HWSD (Harmonized World Soil Database) (Wieder et al.,2014)
Population density	multi-year average	30 arc-second	LandScan Global 30 Arcsecond Annual Global Gridded Population Datasets (Bright et al., 2013)
Gross primary production	multi-year average	0.25°×0.25°	TU Data Repository (Wild et al.,2022)
Land-surface radiation	Monthly average	10km	Data Center of the Qinghai-Tibet Plateau (Tang.,2019)

163

164 2.2 Methods

165 2.2.1 Baseflow separation methods

166 Commonly used methods for baseflow separation include isotope tracer methods,
167 hydrological modeling, and hydrograph analysis (graphical methods and digital
168 filtering). Isotope methods require high-precision data often unavailable in data-scarce
169 regions, while hydrological models are frequently limited by parameter uncertainty and
170 regional applicability.

171 Given the global scale and data constraints of this study, we selected hydrograph
172 analysis methods for their data efficiency and robustness. We utilized the baseflow
173 Python library developed by Xie et al. (2024) to implement the separation. This tool
174 integrates 12 distinct methods, comprising four graphical methods and eight digital
175 filtering methods. Table 2 provides a detailed summary of the principles and references
176 for each method. For the digital filtering methods, parameter estimation is critical. The
177 recession constant(α) was automatically estimated using the Brutsaert (2008) method.
178 Secondary parameters, where applicable, were calibrated using the multi-objective
179 optimization approach proposed by Arnold (Rammal et al., 2018). The baseflow library

180 automatically evaluates the performance of these methods to determine the optimal
 181 separation for each watershed.

182 Since different methods rely on different assumptions, their performance varies
 183 across watersheds. To address this, we employed an optimization strategy provided by
 184 the baseflow library. The performance of each method was evaluated by comparing the
 185 separated baseflow with the observed streamflow during recession periods (when
 186 streamflow is assumed to be purely baseflow). The evaluation metrics included the
 187 Nash-Sutcliffe Efficiency (NSE) and Kling-Gupta Efficiency (KGE). For each of the
 188 1,375 watersheds, the method yielding the highest evaluation scores was selected as the
 189 optimal method for subsequent analysis. This approach minimizes the uncertainty
 190 associated with selecting a single arbitrary method for global-scale analysis.

Table 2: Explanation of 12 Baseflow Separation Methods

Category	Method (Abbreviation)	Key Principle / Description
Graphical	Fixed Interval (FIM)	Segments hydrograph into fixed intervals; takes minimum flow in each interval as baseflow.
	Local Minimum (LMM)	Identifies local minimum points in the flow time series to demarcate baseflow.
	Sliding Window (SW)	Traverses hydrograph with a fixed-width window, assigning minimum flow to the center point.
	UK Institute of Hydrology (UKIH)	Identifies turning points based on precipitation thresholds and flow response logic.
Digital Filter	Boughton	Single-parameter recursive filter relating current baseflow to previous baseflow and total flow.
	Chapman-Maxwell (CM)	Enhances Chapman filter by dynamically adjusting the recession constant.
	Chapman	Weighted average of current total flow and previous baseflow; corrects constant baseflow issues.
	EWMA	Estimates baseflow by applying exponential weighting to smooth the streamflow series.
	Eckhardt	Two-parameter recursive filter evaluating maximum recession constant and maximum BFI.
	Furey	Based on physical-statistical modeling of hillslope processes using recession constant.

Lyne-Hollick (LH)	Two-pass filtering process based on signal processing principles.
Willems	Based on a linear reservoir model and least squares optimization.

191

192 2.2.2 Evaluation metrics for baseflow separation methods

193 In order to validate the accuracy of different baseflow separation methods in karstic
 194 regions, we chose two performance metrics: the KGE and NSE coefficients.
 195 Theoretically, these coefficients vary from $-\infty$ to 1; values closer to the maximum of 1
 196 indicate higher accuracy, while values below 0 typically suggest unacceptable
 197 performance. The assessment methodology followed Xie et al. (2020), centering on the
 198 screening of strict baseflow points to benchmark the separation methods.

199 The core of screening strict baseflow points lies in excluding periods with
 200 precipitation recharge and anomalies in the runoff curve, retaining only the stages where
 201 baseflow acts as the primary runoff source. In such stages, there should be a distinct
 202 consistency between baseflow and runoff. Therefore, using NSE and KGE coefficients
 203 as evaluation criteria can reasonably assess the effectiveness of baseflow separation
 204 methods.

205 2.2.3 Attributional analysis methods

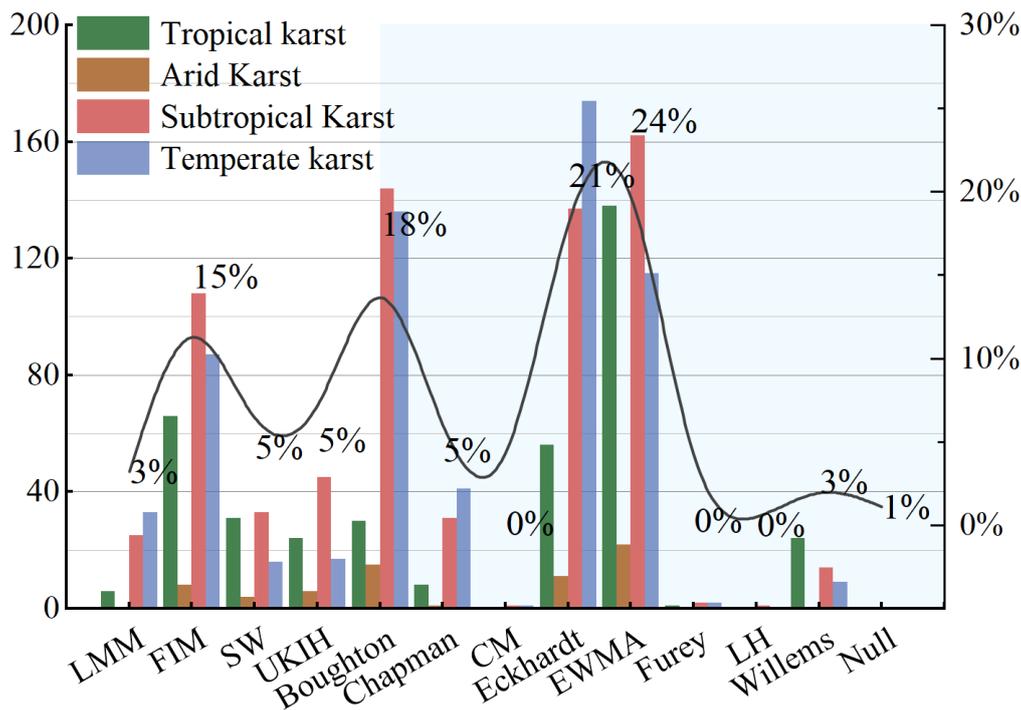
206 Due to the significant differences in magnitude of the potential influences selected
 207 at the global scale (a few hydrological stations are at extremely high elevations, whose
 208 actual differences are compressed after normalisation, making it difficult to adequately
 209 characterise the effect of elevation on baseflow), traditional linear models or distance
 210 metric-based algorithms are susceptible to magnitude interference. Therefore, we chose
 211 the magnitude-insensitive XGBoost model, which naturally circumvents the feature
 212 scale difference problem through the splitting rule of the tree structure (Niazkar et
 213 al.,2024; Zhang et al., 2022). In addition, the model's built-in regularisation mechanism
 214 and subsampling strategy can effectively suppress overfitting and guarantee the model's
 215 generalisation ability in complex geographic data. The model also supports parallel
 216 computing with automatic processing of missing values, which significantly improves
 217 the computational efficiency of large-scale spatial datasets (Chen and Guestrin.,2016).

218 3. Results

219 3.1 Validation of the applicability of baseflow separation methods

220 Based on the screening results from the Baseflow library, we identified the optimal

221 baseflow separation method for each hydrological station. As shown in Figure 2, digital
 222 filter methods proved most suitable for the majority of stations (71%), while graphical
 223 methods were optimal for 28%. Only 1% of the stations showed no distinct preference
 224 for any specific method. Specifically, the Exponential Weighted Moving Average
 225 (EWMA) filter emerged as the most effective technique for karst regions, being the
 226 optimal choice for 24% of the watersheds. This was followed closely by the Eckhardt
 227 filter, which was suitable for 21% of the stations.



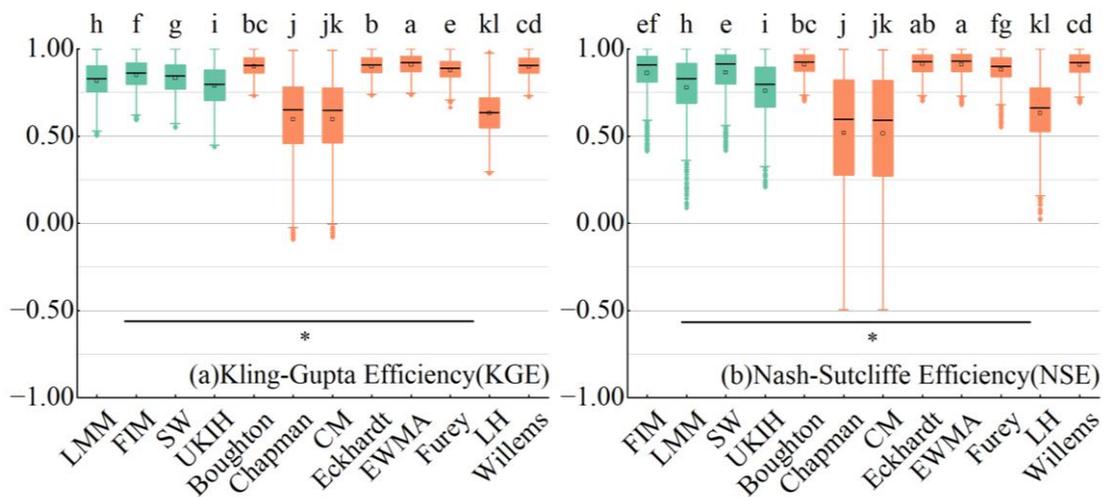
228
 229 Figure 2. Percentage of best separation methods in the karst region and number of best
 230 separation methods in each climatic zone. Graphical methods are shown within the
 231 white background, digital filtering methods are shown within the light blue background,
 232 and different coloured bars correspond to different climatic zones. The X-axis shows
 233 the 12 baseflow separation methods, the Y-axis (left) shows the number of hydrological
 234 stations, and the Y-axis (right) shows the number of hydrological stations covered by
 235 each of the optimal baseflow separation methods as a proportion of the number of all
 236 hydrological stations, which corresponds to the black curve.

237 Figure 3(a) illustrates the KGE coefficient distributions for the different baseflow
 238 separation methods. Among the digital filtering methods (orange), Boughton, Eckhardt,
 239 EWMA, Furey, and Willems exhibit highly concentrated distributions with medians
 240 approaching 1, indicating their strong applicability in the karst region. According to the
 241 significance analysis (indicated by the letters above the boxplots), EWMA is identified
 242 as the optimal method (labeled 'a'). Meanwhile, Boughton ('bc'), Eckhardt ('b'), and

243 Willems ('cd') share overlapping significance groupings, suggesting no statistically
 244 obvious disparity in their high performance.

245 In contrast, the Chapman, CM, and LH methods display highly dispersed
 246 distributions with long whiskers extending into negative values, indicating significant
 247 instability when processing data from different hydrological stations. Despite these
 248 fluctuations, the overlapping significance letters ('j', 'jk', 'kl') confirm there is no
 249 significant difference among these three lower-performing methods. For the graphical
 250 methods (green), the KGE coefficients are well-distributed (mostly > 0.5), though their
 251 letters ('f' through 'i') indicate they statistically rank below the top-tier digital filters.
 252 Furthermore, the horizontal line with an asterisk (*) at the bottom signifies a statistically
 253 significant difference ($p < 0.05$) between the graphical methods and the digital filtering
 254 methods as distinct categories.

255 The distribution pattern of the NSE coefficients in Figure 3(b) mirrors that of
 256 Figure 3(a). The EWMA method maintains its status as the optimal sequence (labeled
 257 'a'), while Eckhardt ('ab'), Boughton ('bc'), and Willems ('cd') again form a cluster of
 258 high-performing methods with comparable statistical results. The graphical methods
 259 show stable distributions with means above 0.5, whereas the Chapman, CM, and LH
 260 methods remain highly discrete with wide ranges (-0.5 to 1). Consistent with the KGE
 261 results, the significance test in Figure 3(b) confirms a significant difference ($p < 0.05$)
 262 between the overall performance of graphical methods and digital filtering methods.

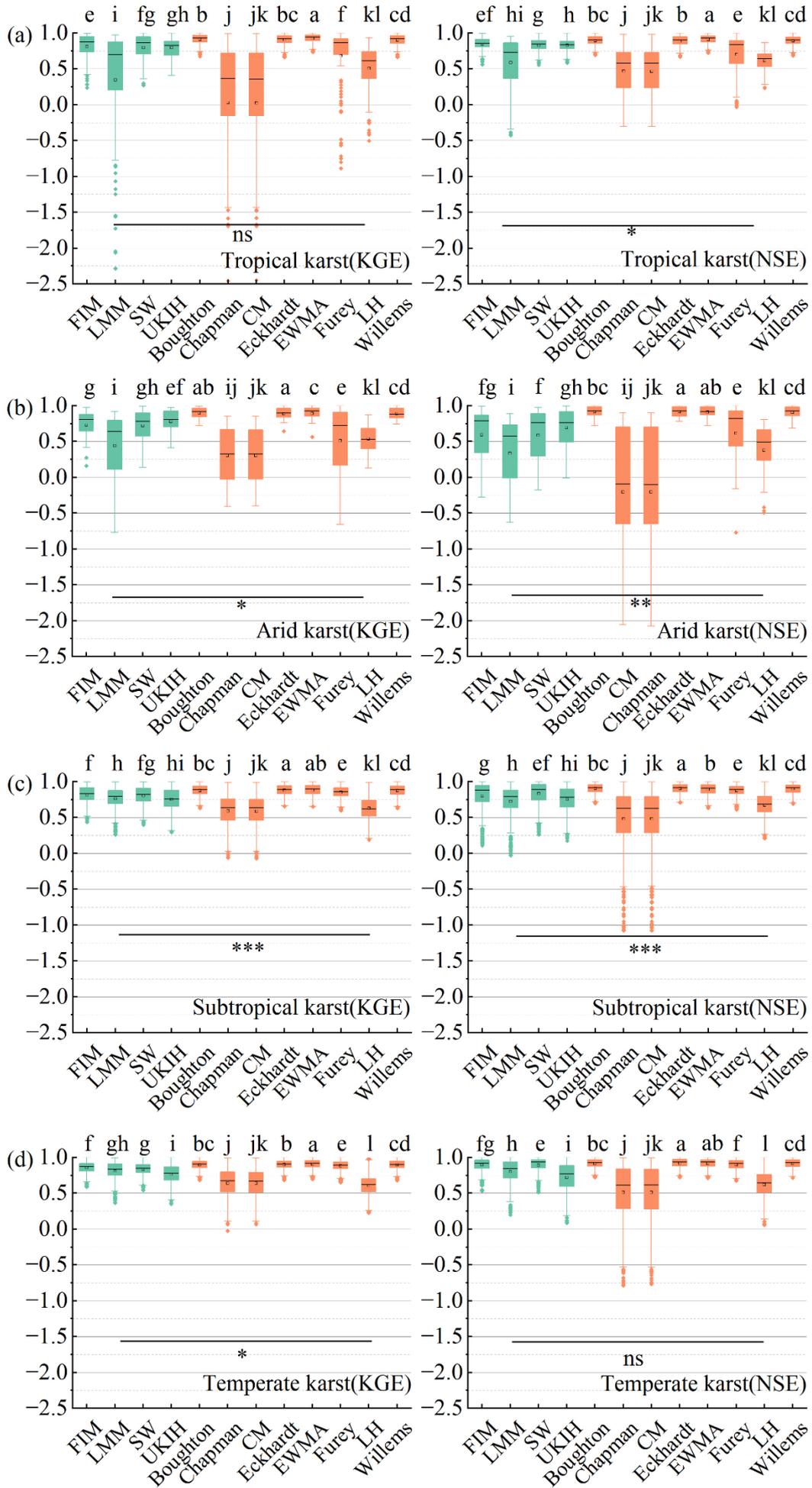


263
 264 Figure 3. Comparison of KGE coefficients (a) and NSE coefficients (b) for the 12
 265 baseflow separation methods. The X-axis represents each separation method, and the
 266 Y-axis indicates the value of the coefficients. Green color in the plot denotes the
 267 graphical method, while orange denotes the digital filtering method. The letters above
 268 the boxes indicate significant differences among the different baseflow separation
 269 methods, while the horizontal lines in the lower part of the figure represent significant

270 differences between the graphical method and the digital filtering method. The black
271 line inside the boxplot denotes the mean value, with upper and lower limits set at 1.5
272 times the interquartile range (IQR). Values exceeding this range are considered outliers
273 and are marked as dots at the top and bottom of the boxplot.

274 From the distribution characteristics of KGE and NSE coefficients in different
275 climatic zones (Figure 4), it is evident that the distribution patterns of these two
276 coefficients across different zones are generally consistent with the overall coefficient
277 characteristics. Specifically, the KGE coefficients of multiple separation methods in
278 tropical karst have discrete distributions, with CM and Chapman ranging from -1.5 to
279 1. The NSE coefficients are similar to those of the KGE, but with a relatively centralised
280 distribution. The distribution of coefficients of graphical methods in the arid karst
281 region are all discrete, and the The distribution of KGE coefficients in subtropical
282 and temperate karst is relatively stable and concentrated, and the overall distribution of
283 KGE coefficients of Chapman and CM are also discrete, while the KGE coefficients of
284 FIM and SW are close to 1, which indicates that these methods are more effective in
285 separating the baseflow in subtropical and temperate karst regions.

286 According to Figures 2 and 3, considering the high KGE and NSE coefficients and
287 the number of most suitable hydrological stations, we selected four more suitable
288 methods for baseflow separation in karst regions, which are one graphical method (FIM)
289 and three digital filtering methods (Boughton, Eckhardt, EWMA).

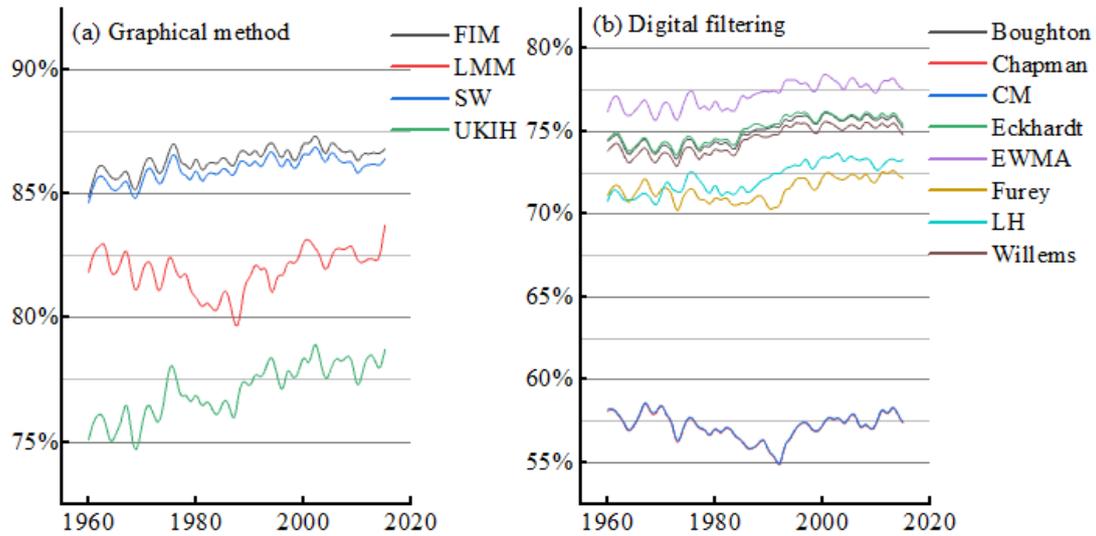


291 Figure 4. Comparison of KGE coefficients (left) and NSE coefficients (right) for karst
292 regions in different climatic zones (as labeled in the bottom-right corner of each
293 subplot). The X-axis represents each separation method, and the Y-axis indicates the
294 coefficient values. The letters above the boxes indicate significant differences among
295 the baseflow separation methods, while the horizontal lines in the lower part of the
296 figure denote significant differences between the graphical and digital filtering method
297 groups. Green color in the plot denotes the graphical method, and orange represents the
298 digital filtering method. The black line inside each boxplot indicates the mean value,
299 with the upper and lower limits set at 1.5 times the interquartile range (IQR). Data
300 points beyond this range are considered outliers and are marked as dots at the top and
301 bottom of the boxplot.

302 3.2 Differences in baseflow indices obtained by different methods 303 over time

304 From Figure 5a, it can be found that the four graphical methods have different
305 effects on baseflow separation in karst regions. Among them, the BFIs derived by FIM
306 and SW are similar, with an average value of about 86%. Moreover, the BFI shows an
307 increasing trend of low amplitude with the year, with low fluctuation degree and high
308 stability. The mean value of BFI derived from LMM is about 83%, and the trend of
309 change with years shows a decreasing and then increasing trend, while the result of
310 UKIH method is low, with a mean value of about 77%, and its BFI also shows a slow
311 increasing trend with years.

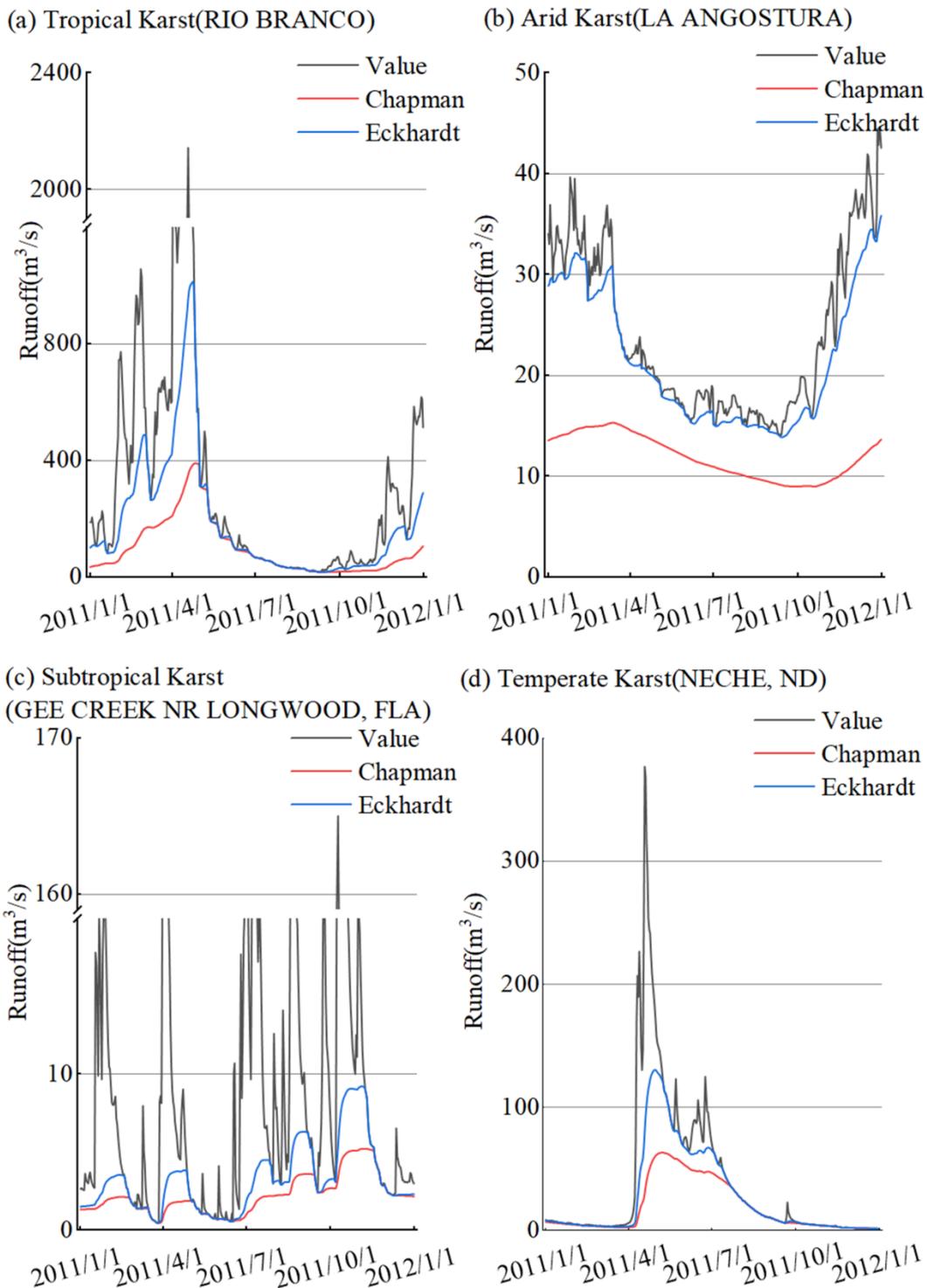
312 The results in Figure 5b can be found that although there are differences in the base
313 flow indices obtained by different digital filtering methods, most of the methods obtain
314 similar base flow indices and have similar trends with respect to year. In contrast, the
315 results of the two methods Chapman and CM differ significantly from those of the other
316 six methods. The mean value of the BFI obtained by the two methods is about 58%,
317 and there is a small decrease followed by a slow increase in the trend.



318

319 Figure 5. Global BFIs for karst regions calculated by the 12 baseflow separation
 320 methods, with the x-axis indicating the year and the y-axis the BFI.

321 In order to analyze the reasons for the differences between these two methods (CM
 322 and Chapman) and other methods in separating baseflows, we selected one hydrological
 323 station in each climatic zone and generated baseflow curves obtained by the different
 324 methods in different climatic zones (Figure 6). Since the CM method is an improvement
 325 of Chapman by adding a maximum baseflow limit to the Chapman method, and its
 326 internal mechanism is consistent, Chapman was used as a proxy. In addition, the
 327 Eckhardt method with high KGE and NSE coefficients is chosen as a comparison. From
 328 Figure 6, we find that when runoff increases, the Eckhardt method can respond quickly
 329 and baseflow increases rapidly, while the Chapman method responds to the increase in
 330 runoff to a lesser extent and by a lower amount than Eckhardt. Overall, Chapman
 331 responds more slowly to the recharge of precipitation than the other methods, and this
 332 feature also makes the Chapman method less discriminating for baseflow compared to
 333 the other methods.



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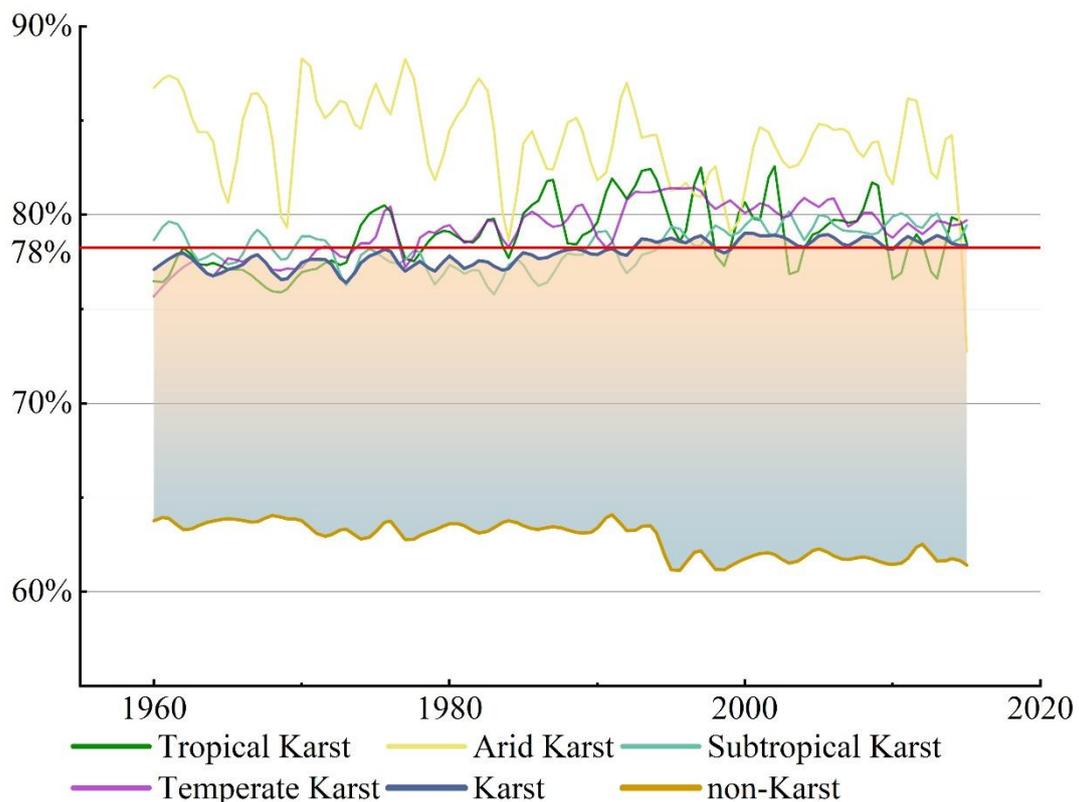
335 Figure 6. baseflow curves for different climatic zones (Eckhardt and Chapman methods
 336 were chosen as representatives), where the X-axis represents time and the Y-axis
 337 represents runoff. The black curve (Value) represents the runoff volume. Names of
 338 hydrological stations are in parentheses.

339 3.3 Global base flow characteristics

340 In order to more clearly characterize the BFI in karst basins, we calculated the BFI

341 in non-karst basins globally using the same method. Figure 7 shows that BFIs in karst
 342 basins are significantly higher than in non-karst basins. The BFI of karst basins is $78 \pm$
 343 6.9% , while the BFI of non-karst basins is about 60%. This indicates that baseflow in
 344 karst basins is significantly underestimated if only global average conditions are
 345 considered and baseflow in karst basins is not calculated separately.

346 As can be seen from Figure 7, there are differences in the characteristics of BFIs
 347 over time in different climatic zones. The BFI in the tropical karst region generally
 348 shows an increasing trend. From 1960 to about 1990, the base flow index in tropical
 349 karst showed an increasing trend, and since 1990 the base flow index remained at about
 350 80% and then stabilized. The BFI in arid karst region is the highest, with a mean value
 351 of about 85%. In general, the BFI in arid karst region shows a decreasing trend, and the
 352 annual mean BFI fluctuates greatly, with poor stability. The BFI of subtropical karst
 353 region is more stable, always maintained at about 78%. The characteristics of BFI in
 354 temperate karst regions are similar to those of tropical karst, showing a slow increase
 355 and remaining stable at around 80%.

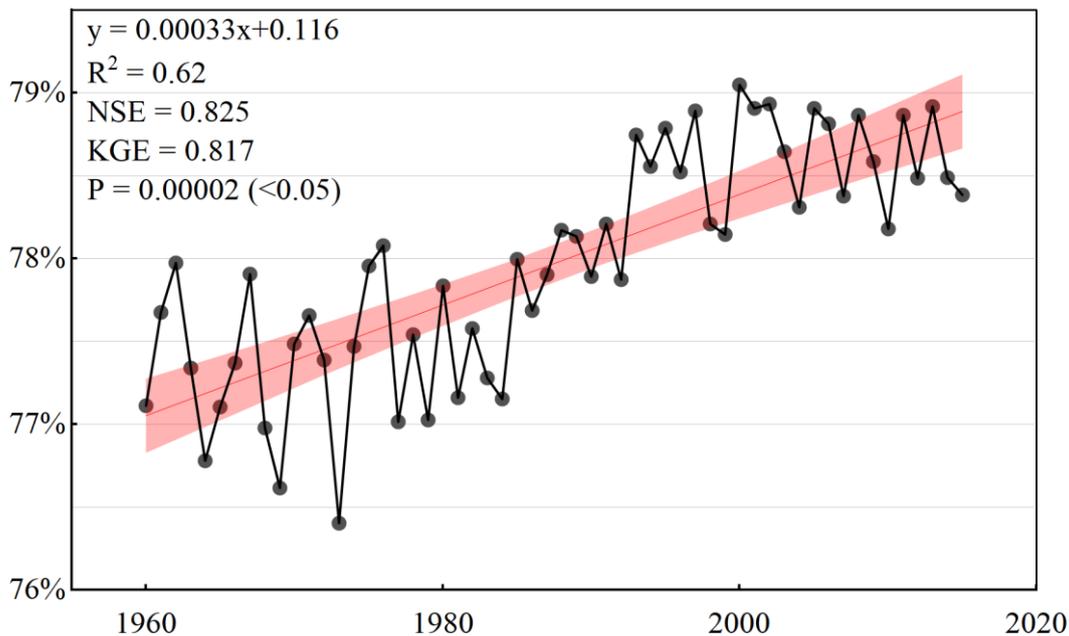


356

357 Figure 7. Temporal variations of annual BFI for different karst climatic zones compared
 358 to the global average. The x-axis indicates the year, and the y-axis indicates the BFI
 359 percentage. The thin colored lines represent the BFI fluctuations in Arid (yellow),
 360 Tropical (green), Subtropical (teal), and Temperate (purple) karst regions. The dark blue

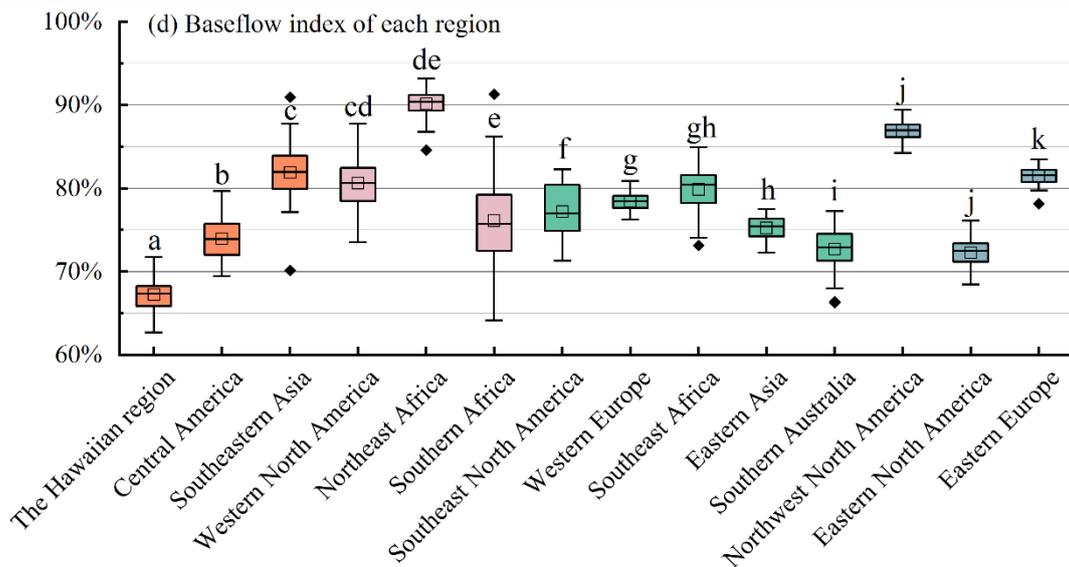
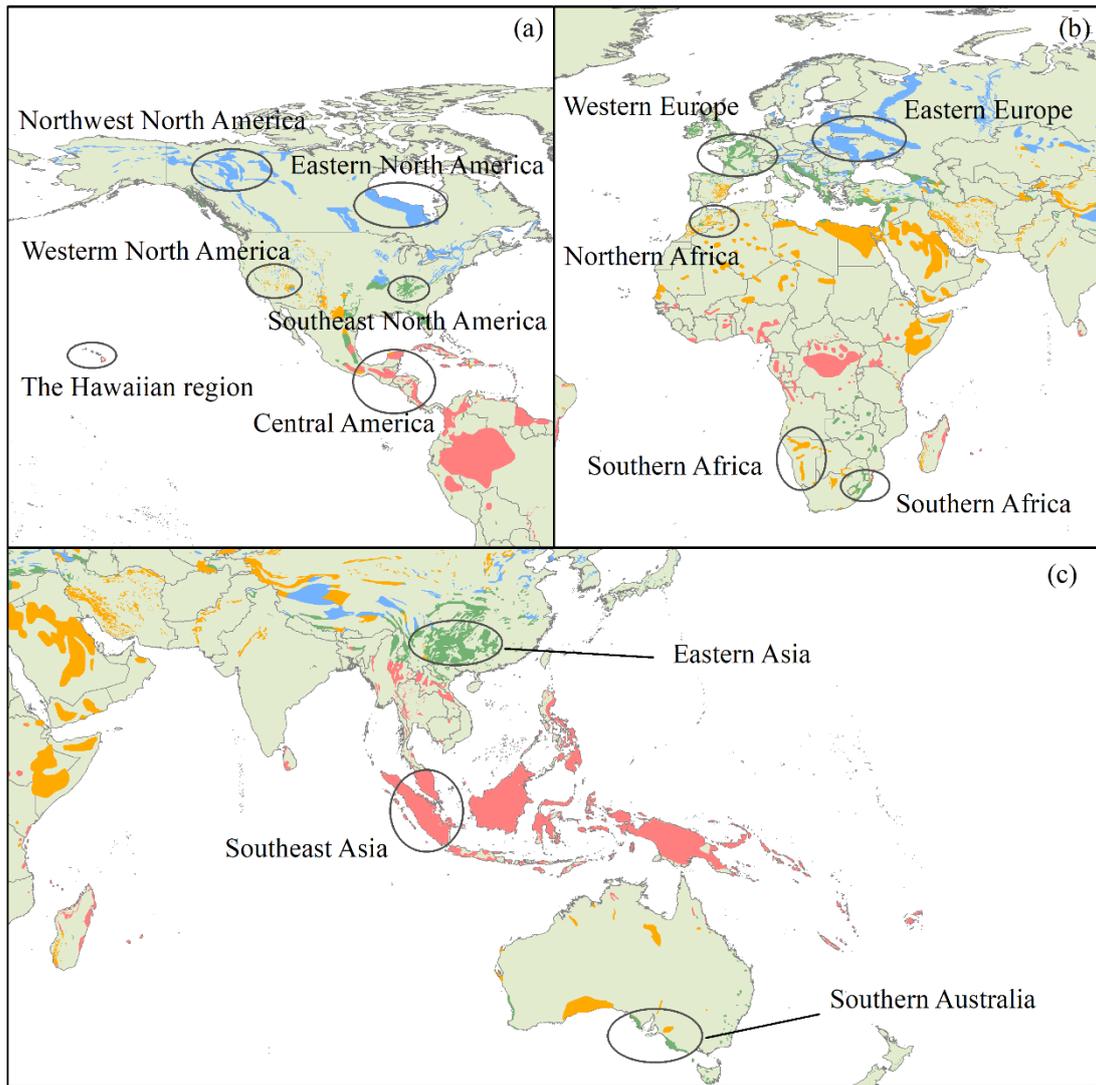
361 line represents the weighted average BFI for all karst regions ("Karst"), while the brown
 362 curve at the bottom represents the non-Karst average BFI ("non-Karst "). The red
 363 horizontal line marks the long-term overall mean of the karst BFI (approximately 78%).

364 The mean Base Flow Index (BFI) derived from four methods (FIM, Boughton,
 365 Eckhardt, and EWMA) was adopted as the BFI for global karst regions. To quantify the
 366 trend and its reliability, we calculated the Mann–Kendall test and linear regression
 367 metrics (Figure 8). The analysis reveals a statistically significant increasing trend in
 368 BFI ($P=0.00002<0.05$). The model performance metrics— R^2 of 0.62, NSE of 0.825,
 369 and KGE of 0.817—indicate a strong agreement between the fitted trend and observed
 370 data, despite natural inter-annual fluctuations. The trend suggests an approximate
 371 increase of 1.5% between 1960 and 2015. A notable rising period occurred from 1980
 372 to 2000. Since 2000, the BFI in global karst regions has stabilized, fluctuating within a
 373 range of $78.5\% \pm 0.5\%$.



374 Figure 8. Annual mean BFIs over time for global karst regions. x-axis indicates year, y-
 375 axis indicates BFIs, and red bars indicate 95% confidence interval.

377 Figure 9 shows that, despite being in the same climatic zone, different regions can
 378 exhibit differences in BFIs. For example, in the northern part of South America and the
 379 Southeast Asian region, which are both tropical Karst, the BFI is significantly higher in
 380 the Southeast Asian region (81%) than in the northern part of South America (73%).
 381 There is also a significant difference in BFIs between the eastern part of the United
 382 States and the northern part of Africa, which are both arid karst climate zones. From
 383 figure 9 and figure 10 we find that BFI stability is lower and BFI values are higher in
 384 arid karst regions. The degree of variation of BFI in tropical karst regions is lower than
 385 that in arid karst regions. And subtropical and temperate karst regions have the lowest
 386 trend of base flow index change and their stability is higher.



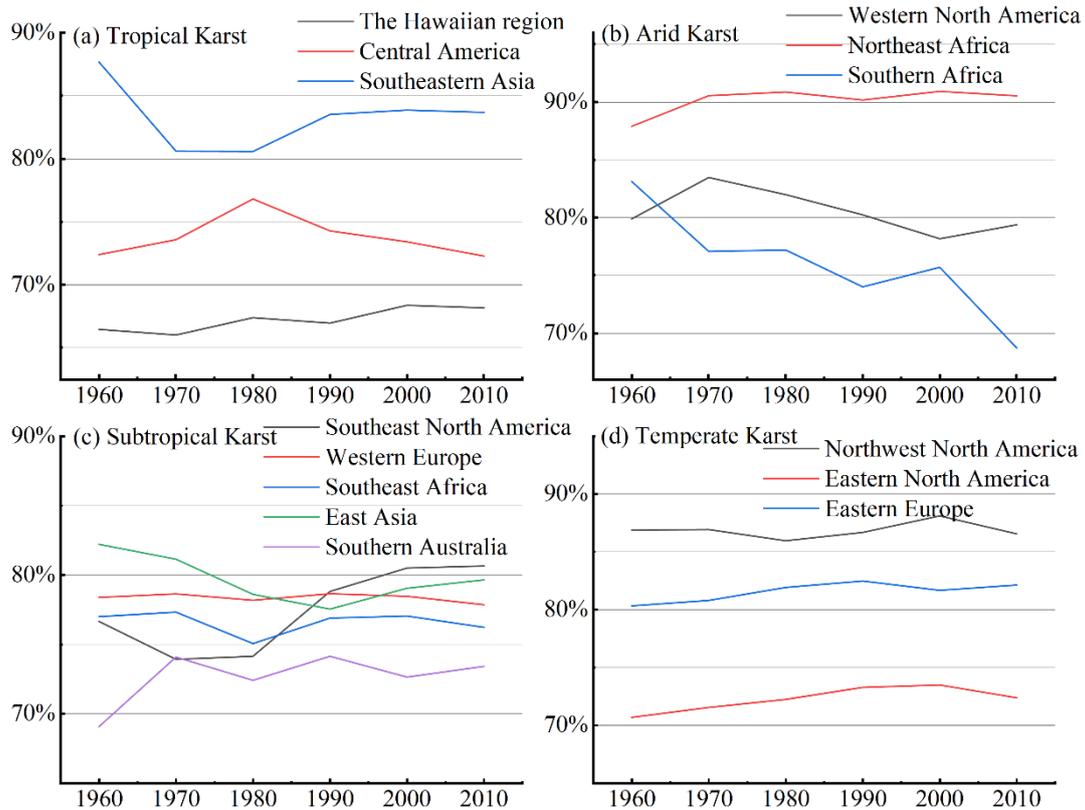
387

388 Figure 9. Distribution of BFIs in karst basins in different regions within the same

389 climatic zone. In figure (d), orange represents tropical karst regions, magenta represents

390 arid karst regions, green bars represent subtropical karst regions, and brownish-purple

391 represents temperate karst regions.



392

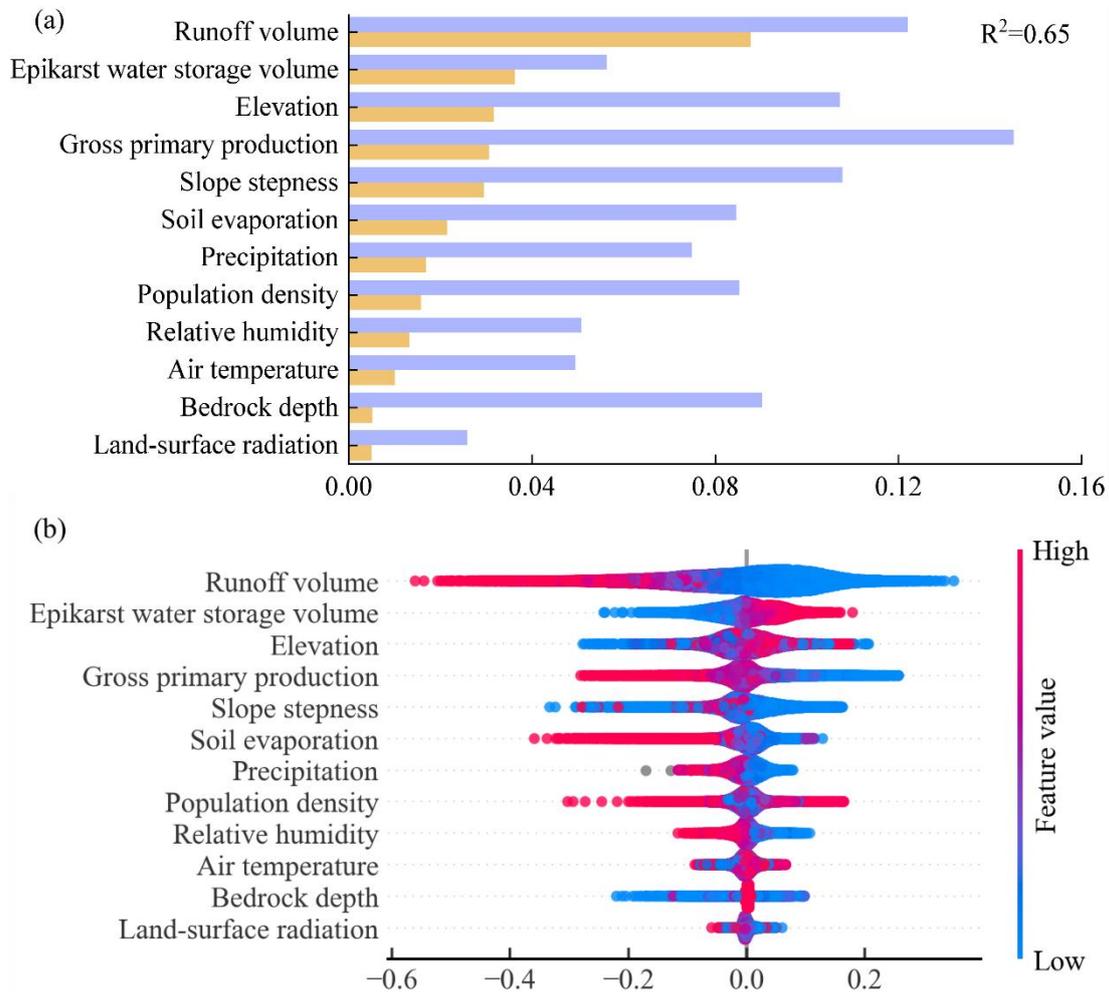
393 Figure 10. Characteristics of BFIs with respect to year for different regions within the
394 same climatic zone. Where Y-axis indicates BFI and X-axis indicates year.

395 3.4 Factors influencing baseflow indices in karst regions

396 From a global perspective, runoff volume contributes the most to the Baseflow
397 Index (BFI) in terms of both XGBoost feature importance and SHAP importance
398 (Figure 11a). The influence of other factors on the BFI remains largely consistent
399 between the two methods. Notably, however, distinct discrepancies exist between the
400 two metrics regarding epikarst water storage volume and bedrock depth. This is likely
401 because standard XGBoost importance evaluates features from a model-construction
402 perspective (e.g., gain or frequency), whereas SHAP assesses the contribution of
403 features to the specific prediction outcomes.

404 From a detailed perspective (Figure 11b), runoff volume and epikarst water storage
405 volume are the most stable positive drivers of baseflow; they exhibit high SHAP values,
406 where larger feature values correspond to a stronger promoting effect. Population
407 density, in contrast, demonstrates a clear inhibitory effect, with high values
408 corresponding to significant negative SHAP contributions. Precipitation, serving as a
409 recharge factor, also shows a consistent positive influence. Conversely, the directions

410 of influence for air temperature and land-surface radiation are unstable, suggesting that
 411 their effects are highly context-dependent. The remaining topographic and ecological
 412 factors have a relatively minor overall impact on baseflow.



413
 414 Figure 11. Contribution of different factors to baseflow and their characteristic
 415 distributions. Subfigure (a) illustrates the feature importance derived from XGBOOST
 416 and SHAP analysis, with R^2 representing the coefficient of determination of the
 417 XGBOOST model. Subfigure (b) depicts the influence characteristics of various factors
 418 on the baseflow index, where the horizontal axis indicates the magnitude of values and
 419 the vertical axis lists the different factor types.

420 4. Discussion

421 4.1 Mechanisms of formation of baseflow characteristics in karst 422 regions

423 The results of the study show that the BFI in karst regions is significantly higher
 424 than the global average (Figure 7). We attribute this difference to the unique geological
 425 structure and hydrological cycle characteristics of karst regions. Extensively developed

426 fissures, vertical seepage zones, and subsurface dissolution piping systems in karst
427 regions constitute complex hydrological channels, which significantly alter surface
428 water-groundwater exchange patterns (Ford & Williams, 2007; Li et al., 2024).
429 Compared with the homogeneous water storage medium dominated by fissures and
430 pores in non-karst areas, the network of dissolution channels in karst regions
431 significantly shortens the infiltration path of precipitation, and its infiltration rate can
432 reach several to tens of times that of in non-karst areas (Fu et al., 2016). For example,
433 the monitoring of karst slopes in Huanjiang, Guangxi, shows that the wet front transport
434 rate is as high as 1373 mm/h, compared with 17-610 mm/h in non-karst regions, which
435 indicates that the rate of water infiltration in karst regions is much higher than that in
436 non-karst regions (Medici et al., 2019; Zhang et al., 2024). This part of precipitation
437 recharge into the subsurface, under the action of gravity and pressure, squeezes the ‘old
438 water’ out of the underground aquifer, which indirectly enhances the baseflow ratio
439 (Reimann et al., 2011; Bailly-Comte et al., 2010; Evans, 1983; Ronayne, 2013). Studies
440 have shown that this mechanism can result in significantly higher baseflow
441 contributions in karst regions, even above 80% in specific environments (Zhang et al.,
442 2022), whereas only less than 50% of precipitation can be converted to baseflow in non-
443 karst regions due to the blocking effect of loose sedimentary layers (Cusano et al., 2024).

444 Significant differences in surface cover conditions further reinforce baseflow
445 differences. In some karst areas, bedrock exposure exceeds 60%, and thin layers of
446 residual soil (<30 cm) cover only 20% of the surface, a geologic feature that results in
447 reduced surface interception and elevated subsurface recharge (Anker et al., 2023; Li et
448 al., 2024; Wang et al., 2024). The karst fissure system is directly exposed to the
449 atmospheric interface, avoiding water loss through evaporation from the soil layer, and
450 the lack of continuous surface cover allows for direct infiltration of large amounts of
451 precipitation (Yang et al., 2025; Li et al., 2023). On the contrary, in non-karst areas, the
452 soil-vegetation system formed by thicker weathered crust constitutes a natural
453 evapotranspiration interface, and the average annual evapotranspiration can reach 40%
454 of the precipitation, and surface runoff accounts for 30% of the precipitation, which
455 significantly weakened the intensity of groundwater recharge (Jiang et al., 2020; Wang
456 et al., 2020; Wetzel et al., 1996). This double hydrological barrier effect ultimately leads
457 to systematic differences between BFIs in karst regions and non-karst regions.

458 **4.2 Reasons for differences in baseflow in karst regions in different**
459 **climatic zones**

460 The results show that BFIs in karst regions in different climatic zones exhibit
461 significant differences (Figure 9 and Figure 10). The underlying driving force lies in
462 the heterogeneity of the geologic structure and its coupling effect with long-term
463 climatic erosion (Liu et al., 2023). Among them, the control of the spatial structure of
464 the water storage medium by the geologic context is the decisive factor for the
465 differences in BFIs (Luo et al., 2023). For example, in Southeast Asian karst regions
466 (e.g., Halong Bay, Vietnam), due to the development of high-purity, thick-bedded
467 limestone, and the formation of a pipeline network with vertical dominance under the
468 background of tectonic uplift, the short groundwater runoff paths and efficient recharge
469 mechanisms directly enhance the baseflow (Durringer et al., 2012). In contrast, siliceous
470 interbedding in dolomite formations in northern South America (e.g., Caatinga, Brazil)
471 significantly increases the resistance to dissolution and reduces the connectivity of the
472 dissolution network, a primary geologic feature that fundamentally constrains the
473 baseflow (Teixeira et al., 2023). The intensity of tectonic activity and the stage of
474 geomorphic evolution further strengthen regional differences. For example, strong
475 Cenozoic uplift in Southeast Asia formed steep young landforms that promoted vertical
476 permeability dominance. In contrast, Paleozoic stable landmasses in northern Africa
477 (e.g., the Saharan Atlas Mountains) are dominated by horizontal cave systems, a
478 geologic feature that also distinguishes the baseflow in this region from that in other
479 regions (Klimchouk, 2007; Jiang et al., 2020). Surface cover characteristics are equally
480 critical as secondary geologic elements. For example, thicker soil layers in temperate
481 zones (e.g., Slovenia) increase surface runoff diversion through delayed infiltration,
482 whereas large areas of exposed bedrock in equatorial zones allow precipitation to
483 infiltrate directly through solution gaps, creating a multiplicative effect on the BFI (Li
484 et al., 2023).

485 Climate elements reshape geological structures over large time scales through
486 geological erosion processes, thereby indirectly influencing baseflow patterns. While
487 short-term hydrological dynamics are affected by climate parameters such as
488 precipitation intensity and seasonal distribution (Mo et al., 2021; Cheng et al., 2023),
489 the profound control of climate on the baseflow index is evident in its long-term
490 modification of karst systems. For example, the strong coupling of heavy precipitation
491 and high temperatures in equatorial regions significantly accelerates the dissolution of
492 carbonate rocks, forming a dense network of highly permeable dissolution fissures.
493 Conversely, the persistent moisture associated with temperate maritime climates

494 enhances the dissolution of carbonate rocks (with an average annual dissolution rate
495 approximately 40% higher than that of non-karst areas at the same latitude), leading to
496 the formation of cave clusters characterized by labyrinthine structures and interwoven
497 underground river systems. This climate-driven differentiation in dissolution alters the
498 capacity of groundwater storage spaces, ultimately being reflected in the characteristic
499 values of regional baseflow indices (Ford and Williams, 2007; Goldscheider, 2015;
500 Tapiador et al., 2012).

501 4.3 Reasons for changes in baseflow indices over time

502 The results of the analysis revealed an increasing trend in the BFI in the karst
503 region (Figure 8). Although the degree of increase is low (about 1.5% from 1960 to
504 2015), we still consider this degree of increase in BFI noteworthy given that the average
505 BFI in the karst region is already at a high level. This increasing trend in BFI in the
506 karst region is presumably driven by substantial groundwater loss. Extensive
507 monitoring has shown that groundwater levels globally show a rapid declining trend,
508 and this systematic depletion has triggered multiple crises such as basin hydrological
509 process anomalies and regional climate feedback imbalances (Jasechko et al., 2024, de
510 Graaf et al. 2019; Liu et al.,2015). It is the rapid decline of the water table that leads to
511 a constant unsaturated state of groundwater storage. Therefore, when recharged by
512 precipitation, large amounts of precipitation preferentially replenish the storage deficits,
513 making the generation of surface runoff more difficult and delayed.

514 In addition to this, the geological and hydrological characteristics of the karst
515 region further amplify this effect of reduced surface runoff and increased baseflow (Zhu
516 et al.,2025). On the one hand, there is the rapid water-conducting effect of the karst
517 fissure network, where the extensive development of dissolution pipes and fissures in
518 the karst bedrock accelerates vertical infiltration of precipitation into deep groundwater,
519 leading to difficulties in retaining soil moisture and a significant increase in the runoff
520 generation threshold (Hartmann et al., 2014). On the other hand, there is the dissipative
521 effect of the surface-subsurface dichotomy, where the thickness of the unsaturated zone
522 of the karst aquifer increases in the context of persistent groundwater overdraft
523 (D'Ettorre et al., 2024), further weakening the immediate contribution of precipitation
524 events to runoff.

525 4.4 Applicability and limitations of this study

526 Regarding data sources, the datasets used are inherently diverse and complex.

527 Although substantial efforts were made to exclude studies with distorted data and screen
528 out unreasonable values during calculation, it remains challenging to fully eliminate
529 inherent deficiencies in the original datasets.

530 There are also limitations regarding the applicability of the methods themselves.
531 For example, the parameterization framework of digital filtering methods (e.g.,
532 Eckhardt and Chapman algorithms) based on the assumption of linear recession is at
533 variance with the nonlinear characteristics of karst hydrological processes. Furthermore,
534 the rapid recession processes dominated by karst pipe flow (rates up to 2-3 times those
535 of porous media basins) lead to a general underestimation of the recession coefficient
536 (Kang et al., 2022; Rattayová & Hlavčová, 2023), which leads to differences in
537 baseflow separation between methods with different principles. For instance, the
538 baseflow separation results yielded by the Chapman and CM methods in this study are
539 significantly lower than those of other methods. This discrepancy arises because these
540 methods are less responsive to precipitation recharge, a finding consistent with Helfer
541 et al. (2024). In addition, empirical parameters such as maximum baseflow (BFI_max)
542 are mostly derived from calibration results in temperate homogeneous aquifers, and
543 their physical mechanisms have not been fully adapted for applicability in karst regions
544 (Zhou et al., 2017).

545 Despite the above limitations, this study ensures the spatial representativeness and
546 methodological reliability of the conclusions by integrating a global-scale multi-source
547 dataset of karst regions (covering more than 85% of the typical karst geomorphological
548 units) and adopting standardized validation indexes (KGE, NSE). The findings
549 demonstrate that the applied baseflow separation techniques can effectively
550 characterize the regional hydrological features and provide data support for water
551 resource management and eco-hydrological model construction in karst regions. Future
552 research can integrate geophysical exploration and isotope tracer technology to develop
553 a dynamic parameterization scheme adapted to non-homogeneous media.

554 5. Conclusion

555 This study systematically analyzes the spatial distribution characteristics and trends
556 of BFIs in global karst regions. The results show that the BFI (78%) in karst regions is
557 generally significantly higher than the global average. This phenomenon confirms the
558 differential regulation of the runoff partitioning mechanism by the unique surface-
559 groundwater dichotomy in karst regions. Meanwhile, the study systematically evaluates
560 the applicability limits of hydrograph separation methods in karst regions and

561 demonstrates their effectiveness. It is noteworthy that the BFI in karst regions shows a
562 phased upward trend in the context of global groundwater depletion. This may be
563 related to the buffering effect of karst aquifers on extreme climatic events and human
564 activity-induced changes in subsurface storage conditions. Future research should
565 integrate high-precision geological tectonic data and multi-source remote sensing
566 information to construct a coupled climate-hydrology-geology model. This will allow
567 for quantitative analysis of the response characteristics of hydrological fluxes of karst
568 systems in the context of climate change, and further improve the spatiotemporal
569 understanding of the karst water cycle.

570

571 **Code and data availability**

572 Code and data are publicly available, and access details are provided in the Methods
573 section.

574

575 **Declaration of the Competing Interest**

576 The authors declare that they have no known competing financial interests or personal
577 relationships that could have appeared to influence the work reported in this paper

578

579 **Author contributions**

580 Ze Yuan conceived the study and developed the methodology and wrote the original draft. Qiuwen
581 Zhou conducted the formal analysis and investigation and contributed to revising the manuscript.

582 Yuan Li curated the data, and prepared the visualizations. Yuluan Zhao contributed to validation,
583 resources, and project administration. Shengtian Yang supervised the study, acquired funding, and
584 revised the manuscript.

585

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