# Review article: Rethinking Preparedness for Coastal Compound Flooding: Insights from a Systematic Review.

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#### Abstract.

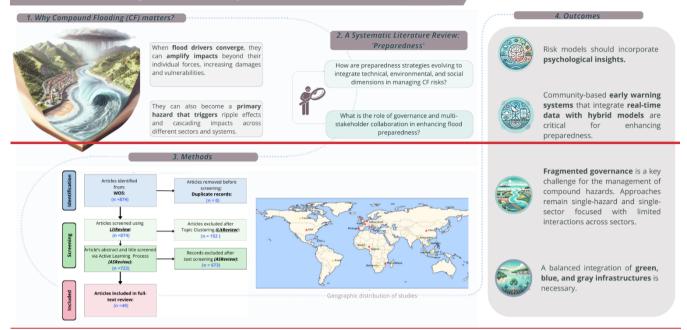
Tackling the growing risks of Compound Flooding (CF) requires transformative preparedness strategies, particularly in estuarine and coastal regions, where <u>the</u> interaction of drivers such as storm surges, rainfall, and river discharge exacerbates impacts. Despite progress, fragmented governance, <u>weak cross-sectoral siloscoordination</u>, and the limited integration of scientific insights hinder effective responses.

This systematic review exploresdraws on 49 studies to explore how preparedness strategies are evolving to integrate technical, environmental, and social dimensions while evaluating the role of governance and collaboration in enhancing adaptive approaches. Hybrid early warning systems Early Warning Systems combining statistical and hydrodynamic models with real-time data are critical for forecast accuracy and timely decision-making. Balanced Similarly, balanced implementation of green, blue, and gray infrastructure provides sustainable responses, with natureNature-based solutions Complementing traditional engineering. Our results also show that strengthening governance and communication is essential to addressimprove preparedness. Involving communities in land-use planning, building regulations, and communication ensures that measures are both actionable and context-specific. Incorporating psychological and behavioural data into preparedness frameworks and models helps strengthening the unique challenges link between awareness and behaviours. Enhanced coordination across sectors and levels of government is also vital to addressing the systemic nature of CF risks, moving beyond siloed, single-hazard responses.

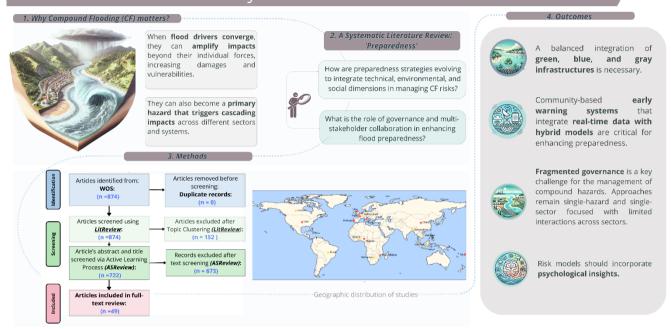
Strengthening governance and communication is essential to improve preparedness. Co-produced strategies engaging governments, communities, and private actors foster inclusive, locally relevant efforts. Involving communities in land use planning, building regulations, and communication ensures measures are both actionable and context specific. Incorporating psychological and behavioral insights into preparedness frameworks helps translate awareness into effective actions.

By embracing the complexity of CF, preparedness can transcend fragmented approaches, integrating scientific innovation, adaptive governance, and tailored strategies that foster resilience in the face of a changing climate.

## Rethinking Preparedness for Coastal Compound Flooding: Insights from a Systematic Review



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#### 1. Introduction

The greatest risks from a changing climate may not eomearise from individual impacts single hazards, but from the interactions and interdependencies between different hazards, exposures, existing interaction of multiple climatic drivers and/or hazards that intersect with diverse forms of exposure, intersectional socio-economic and geopolitical vulnerabilities, and multiple types of human responses response—often exceeding existing response capacities (Simpson et al., 2023). Indeed, while single hazards can be damaging, the interconnected nature of our climate system means that simultaneous or sequential drivers and/or hazards can result in even more devastating effects, especially as global temperatures rise Drivers that occur simultaneously or in close succession can intensify the hazard and expand its spatial and temporal extent, resulting in more severe and prolonged events than those associated with single drivers (AghaKouchak et al., 2020; Brett et al., 2024). Furthermore, although hazards alone do not necessarily result inlead to disasters, but when coupled combined with vulnerabilities factors such

as vulnerability and insufficient coping capacities, they limited response capacity, their impacts can swiftly escalate into crises, causing severe and far reaching impacts on apidly, threatening both communities and ecosystems (Eze and Siegmund, 2024). Flooding is among the most frequent and destructive natural hazards, expected to intensify in frequency and severity as a result of climate change (Xu et al., 2023). Particularly, coastal areas are exposed to oceanographic, hydrological, and meteorological flood drivers, including rainfall, river discharge, winds, tides, and wave action. While each of these drivers can be damaging individually, their interaction can lead to compound risks with intensified flood impacts. (Eilander et al., 2023). Compound risks arise from the interplay of hazards, which may be characterized by single extreme events or multiple coincident or sequential events that interact with exposed systems or sectors (Intergovernmental Panel On Climate Change (Ipcc), 2023). For example, different drivers, such as heavy rainfall and storm surges, interact, leading to more severe flood risks than if these drivers acted independently. These combined hazards are especially critical for emergency management and the insurance industry, as these hazards have the potential to greatly amplify damage in low lying areas (Catto and Dowdy, 2021).

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While floods themselves cannot be avoided, the strategic management of floodplains and vulnerable areas is essential to mitigating the compounded threats to life and property (Mishra et al., 2022). Moreover, addressing these compound impacts requires recognizing their cascading effects on response options and preparedness (Simpson et al., 2023). More precisely, when dealing with compound flooding (CF) events, and considering the general shift in flood risk management policy from a singular focus on preventing floods through engineered structural solutions to a more holistic approach that incorporates nonstructural measures to reduce the impacts, it is essential to strengthen disaster preparedness while implementing engineering strategies to minimize risks and shield vulnerable areas (Fox Rogers et al., 2016; Scolobig et al., 2015). Disaster preparedness involves developing the knowledge, capabilities and measures to respond to and recover from disasters, incorporating contingency planning, coordination, and simulations, while being influenced by personal attributes, socioeconomic conditions, risk perception, and prior disaster experiences (Eze and Siegmund, 2024).

In this regard, risk management is evolving from a traditional hazard focused approach to a more integrated model that recognizes residents and property owners in risk areas as active participants in managing potential threats (Maidl and Buchecker, 2015). Building resilient communities is crucial to reduce potential losses. A practical example of this holistic approach is the Sendai Framework for Disaster Risk Reduction, which emphasizes the involvement of vulnerable communities in disaster preparedness strategies, particularly relevant for complex events like CF, recognizing that technical measures alone are insufficient (Monteil et al., 2022). By integrating local knowledge, fostering social cohesion, ensuring cultural relevance, and addressing the root causes of vulnerability, disaster risk reduction becomes more effective and sustainable. The framework advocates for inclusive participation to ensure that all segments of society are empowered and prepared to manage and reduce disaster risks.

To understand how these interactions give rise to high-impact situations, it is useful to distinguish the roles played by different components along the causal chain. Risk is commonly conceptualised as the potential for adverse consequences for human or ecological systems resulting from the interaction between *hazard*, *exposure*, and *vulnerability* (IPCC, 2023). Within this

framework, compound events are defined as the combination of climatic drivers and/or hazards that jointly contribute to societal or environmental risk (Zscheischler et al., 2018). Drivers encompass processes, variables, and phenomena in the climate and weather domain—such as precipitation, temperature, river flow, coastal water levels, atmospheric humidity, soil moisture or wind speed—that may operate across multiple spatial and temporal scales. Hazards, in contrast, denote the immediate physical phenomena—such as floods, heatwaves, or landslides—that may trigger impacts when they coincide with exposure—the presence of people, infrastructure, or ecosystems in harm's way—and vulnerability—their propensity to suffer damage or loss due to limited capacity to anticipate, cope with, or recover from the event (Koks et al., 2015; Zscheischler et al., 2020; IPCC, 2023). The interplay among these components can result in compound risks, arising from single extremes or co-occurring events affecting critical systems or sectors (IPCC, 2023). This conceptual framing provides a basis for analysing how interacting climatic conditions can evolve into complex events—and how their consequences ripple through interconnected systems.

At a more structural level, the concepts of *systemic vulnerability* and *systemic risk* offer a complementary lens. Systemic vulnerability refers to the susceptibility of interdependent systems—such as infrastructure networks, governance structures, or social services—to suffer disruption under external stress, due to the cascading effects that arise from their internal linkages (Weir et al., 2024). Systemic risk, in turn, captures the potential for these disruptions to propagate across sectors and scales, resulting in widespread and often unforeseen consequences (Armaş et al., 2025). This can further exacerbate systemic vulnerability as a persistent condition that can amplify future impacts or obstruct adaptive responses, even in the presence of mitigation efforts. Such a perspective situates compound risk within the broader dynamics of interdependence, where systemic conditions shape not only the onset of these impacts but their amplification and persistence.

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Flooding is among the most common and destructive natural hazards, expected to intensify in frequency and severity as a result of climate change (Xu et al., 2023). Particularly, in coastal environments, the combined action of oceanographic, hydrological, and meteorological drivers—such as rainfall, river discharge, winds, tides, and wave action—can produce complex compound events (Lucey and Gallien, 2022). While each of these drivers may individually trigger localized damages, their simultaneous or sequential occurrence often results in *Compound Flooding (CF)* hazard, leading to more severe impacts than would be expected from any driver acting in isolation (Eilander et al., 2023). These interactions become especially critical in low-lying and estuarine regions, where the transitional character of these ecosystems intensifies the complexity of flood risk (Green et al., 2025). The joint occurrence of heavy rainfall and storm surge, for example, can more easily overwhelm standard protection thresholds (Couasnon et al., 2020). This type of compound hazard is particularly relevant for Flood Risk Management (FRM), emergency planning, and the insurance sector, as it challenges assumptions built around isolated events (Catto and Dowdy, 2021; Green et al., 2025).

In particular, FRM practices under occurrence of concurrent drivers must address the limitations of traditional single-hazard assumptions. Managing CF events involves strategies that account for interactions across spatial and temporal scales, while remaining responsive to local conditions (Mishra et al., 2022). Since flood risk cannot be entirely eliminated, attention has

110 increasingly shifted toward mechanisms that enhance the ability to cope with CF events when they occur (Thieken et al., 2022). Preparedness plays a central role in this shift. As defined by the UNDRR, preparedness refers to the knowledge and capacities developed by institutions, communities, and individuals to anticipate, respond to, and recover from likely, imminent, or ongoing hazard events (UNDRR, 2017). It includes Early Warning Systems (EWS), contingency planning, and the institutional arrangements required to support timely and coordinated action. However, in the presence of CF, the conditions under which 115 preparedness operates become less predictable, and its effectiveness increasingly contingent on how well such complexity is accounted for (Simpson et al., 2023; Van Den Hurk et al., 2023). Rather than replacing structural defences, strengthening preparedness serves as a complementary strategy in line with the increasing focus on non-structural measures that mitigate impacts and safeguard vulnerable communities (Scolobig et al., 2015; Fox-Rogers et al., 2016). It involves building capacities, developing tools, and enhancing coordination mechanisms to 120 enable timely response and recovery. It is shaped by individual attributes, socioeconomic conditions, risk perception, and previous disaster experiences (Eze and Siegmund, 2024). Beyond its operational dimension, preparedness is inherently social, relying on inclusive processes that empower those at risk as active contributors to their own safety. As Maidl and Buchecker (2015) underline, its effectiveness hinges on genuine engagement and trust among local actors. Such principles are echoed in the Sendai Framework for Disaster Risk Reduction (SFDRR), which calls for the involvement of affected populations in designing and implementing risk reduction strategies (UNDRR, 2015). Monteil et al. (2022) emphasize that preparedness 125 strategies are more effective when responsibility is clearly shared and social conditions that hinder engagement are addressed. This shift toward inclusive, community-centered approaches recognizes that disaster preparedness must go beyond technical solutions to adopt forward-looking strategies, such as prospective, corrective, compensatory, and community-based measures that actively engage local populations (Eze and Siegmund, 2024). Embedding local knowledge, fostering collaboration among diverse stakeholders, and addressing the root vulnerabilities causes of vulnerability are essential for creating adaptive, equitable strategies capable of tackling systemic risks. A critical component of this transformation is the effective communication of the complexities of CF risks, ensuring that both individual and systemic perspectives are considered (Kruczkiewicz et al., 2021; Ward et al., 2022). By bridging gaps in knowledge and fostering trust among citizens, scientists, and policymakers, preparedness efforts can enhance flood management FRM practices and enable more precise, timely responses. These efforts 135 not only empower communities and strengthen resilience but also build collaborative networks that align societal and scientific goals, adding a transformative dimension to disaster risk reduction bringing the principles of DRR into practice. Despite extensive research on disaster risk management FRM, critical gaps remain in understanding how to effectively prepare for CF events. Research has Studies have largely focused on characterizing the physical processes that drive these hazards, while comparatively less attention has been given to strategies for preparedness and management. However, these events, with theirYet, the cascading impacts effects and interdependent drivers, pose unique challenges interdependencies that conventional response strategies are ill-equipped to address define compound events expose fundamental limitations in prevailing climate

risk governance frameworks (Modrakowski et al., 2022). The scarcity of documented cases studies further limits constrains

the development of comprehensive frameworks, as current methodologies oftentend to overlook the nuanced interplay between environmental, technical, and social dimensions. ClosingBridging this gap requirescalls for innovative approaches frameworks that move beyond traditional-linear models assumptions to account for reflect the systemic nature of these compound risks. Such These efforts are vitalessential not only for reducing to mitigate immediate physical damages impacts but also for building to foster long-term resilience, ensuring that communities institutions and institutions communities are better prepared to navigate the growing complexities of climate-related hazards (Sacchi et al., 2023).

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This paper conductsstudy presents a systematic literature review tothat critically examines how elimate risk management FRM practices are evolving to address the intricate challenges of compound flooding CF in coastal areas—regions where the interplay of vulnerabilities and flood drivers increases risks. The analysis centers on two pivotal questions: i) how preparedness strategies are adapting to integrate technical, environmental, and social dimensions; and ii) the role of governance and multi-stakeholder collaboration in fostering effective and inclusive preparedness. By addressing these critical issues, this study seeks to contribute to the development of adaptive frameworks that strengthen resilience and enhance preparedness in the face of complex and evolving CF risks.guiding research questions:

- i. 2-(RQ1) How are preparedness strategies evolving to integrate technical, environmental, and social dimensions in managing CF risks?
- ii. (RQ2) What is the role of governance and multi-stakeholder collaboration in enhancing flood preparedness?

By addressing these questions, the study advances the development of more effective preparedness frameworks by analysing how strategies are being reshaped in response to CF risks across diverse coastal contexts (RQ1), and by improving understanding of the role of governance and collaboration in these processes (RQ2). This approach offers a grounded understanding of the conditions that enable or hinder anticipatory action, not as abstract goals, but as practices embedded in specific institutional and socio-environmental settings. Rather than proposing prescriptive solutions, the paper identifies key levers and recurring patterns that can inform more flexible, integrative, and context-sensitive responses. In doing so, it helps bridge the gap between conceptual debates and the operational realities of managing climate-related threats in increasingly complex risk landscapes.

We adopt a broad understanding of preparedness that goes beyond its conventional role in the DRR cycle—typically associated with EWS, contingency planning, and emergency readiness. Instead, it is framed as a multidimensional process encompassing anticipatory governance, infrastructural and ecosystem-based measures, and behavioural strategies aimed at reducing vulnerability prior to the manifestation of hazardous conditions. This perspective aligns not only with emerging literature on integrated FM (Bark et al., 2021; Konami et al., 2021; De Silva et al., 2022; Sánchez-García et al., 2024), but also firmly grounded in Priority 4 of the SFDRR, which advocates for preparedness actions that include inclusive governance, resilient infrastructure, public education, psychosocial support, and the incorporation of risk reduction into development planning and post-disaster reconstruction (UNDRR, 2015).

## 175 2. Background

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As the frequency and severity of extreme weather events intensify increase in frequency and intensity, the limitations of traditional preparedness conventional FRM frameworks have become increasingly evident. Silved approaches, which apparent. Approaches designed around isolated hazards or sector-specific responsibilities often fail to address capture the interconnected nature of interdependencies between social systems, infrastructure, and compound as well as the cascading hazards, often leave communities vulnerable to unforeseen impacts. This is particularly true for CF-dynamics of compound events, which challenge conventional preparedness strategies by amplifying risks and straining response capacities resulting in unanticipated disruptions. These gaps become especially visible in CF scenarios in coastal and estuarine areas, where concurrent drivers can exceed design thresholds, disrupt coordination mechanisms, and expose systemic weaknesses in preparedness and response. (Curtis et al., 2022; Eilander et al., 2023). For In Europe, for instance, CF events in Europe result in average annual damages of €1.4 billion, with Mediterranean regions particularly especially affected due to by the combined impacts joint effects of rising sea levels level rise and intense precipitation (Bevacqua et al., 2019; Lopes et al., 2022). Communities in this area are already grappling with the combined impacts of heavy precipitation and elevated sea levels (Bevacqua et al., 2019). In this evolving risk landscape, preparedness must transcend its traditional boundaries, integrating technical, environmental, and social dimensions. Achieving this integration demands a paradigm shift—embracing nonlinear and compound thinking to shape cohesive strategies that not only address complex interactions but also redefine resilience across all levels of society. While context-specific, the underlying challenges mirror those faced in other coastal settings exposed to multiple CF drivers. In this evolving risk landscape, preparedness must move beyond traditional boundaries and embrace a more systemic lens integrating technical, environmental, and social dimensions. Achieving this shift calls for the adoption of nonlinear and compound thinking to design cohesive strategies capable of responding to complex, interacting threats (Cegan et al., 2022; Van Den Hurk et al., 2023). This evolution reflects broader changes in FRM, which increasingly prioritize integrated, multisectoral approaches over isolated hazard-specific models (Sarmah et al., 2024). While international frameworks have laid important groundwork—particularly by highlighting the value of community engagement and resilience-building (Monteil et al., 2022) —it remains unclear whether, and to what extent, existing guidance and institutional practices have explicitly addressed the challenges of CF or proved effective when such events have occurred. This paradigm shift in preparedness is reflected in broader disaster risk management frameworks, which have evolved from single hazard approaches to an all hazards perspective that addresses complex and interacting threats (Sarmah et al., 2024). A notable example is the Sendai Framework for Disaster Risk Reduction (SFDRR), introduced in 2015. By promoting integrated and holistic strategies, the SFDRR moves away from fragmented, hazard specific methods, providing a foundation for tackling the complexities of compound hazards like CF. While frameworks like the SFDRR emphasize the critical role of community involvement and resilience (Monteil et al., 2022), a significant gap remains in understanding how well the guidelines and protocols developed to achieve these goals apply to compound disasters.

Recent studies have provided valuable insights into CF preparedness, yet they also highlight areas that require further investigation. For example, (Van Den Hurk et al., 2023) emphasize the necessity of integrating compound event considerations into disaster risk reduction (DRR) frameworks, offering valuable insights into tools like advanced hydrometeorological forecasting, decision support systems, and responsive emergency infrastructure. These measures hold potential to strengthen preparedness, particularly by anticipating cascading hazards and complex impact pathways. Yet, the study's broad focus on compounding risks leaves critical gaps in its application to compound flooding. The intricate interplay of drivers such as storm surges and heavy rainfall key to understanding and managing compound flooding is only superficially addressed. Furthermore, while the study advocates for scalable systems and decision support tools, it does not provide clear guidance on how these approaches can be tailored to the specific challenges of compound flooding preparedness. Central to their recommendations is the call for a multi- and transdisciplinary approach, one that binds physical hazards, societal or ecological impacts, and statistical descriptions into cohesive strategies. However, this vision remains largely conceptual, lacking actionable methodologies to operationalize these elements in diverse and localized contexts. Addressing these gaps requires research that moves beyond general frameworks to deliver practical, context sensitive solutions. Such work is essential to equip practitioners with the tools needed to navigate the evolving complexities of compound flooding under increasing climate uncertainty.

Chan and colleagues (Chan et al., 2024) investigate CF risks in Chinese coastal cities, focusing on storm surges and intensive rainfall as primary drivers, which are increasingly exacerbated by climate change and rapid urbanization. Their study highlights the co-production of response measures by the Chinese Central Government and municipal authorities, emphasizing practices such as real-time technological services (e.g., mobile apps), emergency response systems, and the integration of blue green infrastructure through the "Sponge City Program." These efforts illustrate progress in combining engineering standards with nature based solutions to enhance urban resilience. Critically, the study does not delve into how social dynamics, such as local risk perceptions, cultural factors, or community engagement, are woven into these strategies, leaving an important gap in understanding the social dimensions of preparedness. Furthermore, the analysis remains focused on storm surges and rainfall, with limited attention to other relevant drivers which could exacerbate flood risks. While the study provides valuable insights, its emphasis on the Chinese context—characterized by strong central governance and rapid urbanization—limits—the generalizability of its findings to regions with differing socio-political and environmental conditions. Although climate change is acknowledged as a driver of future uncertainties, the study primarily emphasizes current practices, offering limited insight into adaptive pathways to address non-linear climatic feedback or cascading impacts.

Additionally, (Green et al., 2024) offer a detailed synthesis of compound flooding research, shedding light on critical challenges such as the absence of standardized methodologies and the limitations of current modeling frameworks in capturing the dynamic interplay of multiple flood drivers. Their recommendations emphasize the urgent need for inter-comparison projects and hybrid modeling approaches that bridge numerical and statistical techniques, aiming to enhance our understanding of spatiotemporal dependencies and climate driven uncertainties. Furthermore, the study advocates for integrating compound

240 flooding considerations into urban and coastal infrastructure planning, highlighting proactive measures such as blue green infrastructure, updated hazard maps, and early warning systems. However, while Green and colleagues (Green et al., 2024) identify key research gaps, they offer limited guidance on translating these findings into actionable governance frameworks or addressing socio-economic barriers to implementation. Moreover, the discussion on stakeholder collaboration and community resilience remains underexplored, despite their importance in operationalizing the proposed strategies. These limitations underscore the need for future research that connects methodological advancements with inclusive, context sensitive solutions to reduce compound flood risks.

While research has shed light on the interactions between multiple flood drivers and their cascading impacts, significant gaps remain in translating these insights into actionable frameworks. Many existing studies are either too broad to offer practical guidance or too narrow to address diverse contexts. Traditional approaches often fail to anticipate non-linear climate feedback or to incorporate adaptive strategies that account for the interconnected nature of social, environmental, and technical systems. Bridging these gaps requires integrated methodologies that prioritize inclusivity, scalability, and adaptability. Such frameworks must address both immediate challenges and the evolving uncertainties that define the risk landscape of CF.

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3-Recent studies have begun to explore these uncertainties, offering initial guidance while also exposing areas that require further investigation. For example, Van Den Hurk et al. (2023) emphasize the necessity of integrating compound event considerations into DRR, highlighting tools such as advanced hydrometeorological forecasting, decision-support systems, and responsive infrastructure as promising pathways to strengthen preparedness. However, the study remains largely general in scope: key aspects of CF—such as the interaction between storm surge and extreme rainfall—are only briefly addressed. Furthermore, while the authors advocate for scalable systems and interdisciplinary coordination, there is still limited clarity on how such approaches can be operationalized for CF across diverse institutional and geographic contexts. Their call for integrated strategies that combine physical, social, and statistical dimensions is compelling, yet still conceptual. Bridging this gap requires targeted research and practice-oriented methodologies capable of translating these frameworks into actionable solutions for CF preparedness under real-world constraints and rising climate pressures.

Chan et al. (2024) explore CF risks in Chinese coastal cities, with particular attention to the interplay between storm surges and extreme rainfall as key drivers. Their study documents a set of institutional responses co-developed by central and municipal governments, including the deployment of real-time information technologies (e.g., mobile apps), coordinated emergency protocols, and the implementation of blue-green infrastructure under the "Sponge City" initiative. These measures signal a noteworthy shift toward hybrid approaches that combine engineering design with ecosystem-based adaptation. Nevertheless, the analysis offers limited insight into how social processes—such as risk perception, local knowledge, or community involvement—are integrated into preparedness planning. This omission is critical, given the role of social dynamics in shaping preparedness. Furthermore, by focusing on only two interacting drivers, it offers targeted insights. This scope may constrain its applicability to broader CF scenarios. While the findings demonstrate meaningful progress, their emphasis on the Chinese context—marked by strong central governance and rapid urbanization—constrains their

transferability to regions with different socio-political and environmental settings. Although climate change is recognized, the focus on present measures leaves open questions about how preparedness can evolve under future compound conditions.

Building on recent advances (Green et al. 2025) offer a comprehensive synthesis of research on CE, outlining key

Building on recent advances, (Green et al., 2025) offer a comprehensive synthesis of research on CF, outlining key methodological challenges—particularly the absence of standardized approaches and the complexity of modelling interactions among multiple drivers. Their call for inter-comparison projects and hybrid modelling strategies represents a timely effort to consolidate fragmented knowledge and improve our capacity to characterize compound hazards under increasing climatic uncertainty. Importantly, the study also highlights the relevance of embedding CF scenarios into infrastructure planning, advocating for anticipatory measures such as Nature-based Solutions (NbS), updated hazard maps, and EWS. While these recommendations align with broader preparedness objectives, the discussion remains largely centred on technical and modelling domains, offering limited insight into the governance or societal mechanisms required to translate such measures into practice. As a result, the operational implications of these strategies—particularly in diverse or resource-constrained contexts—remain underexplored, underscoring the need for integrative approaches that connect methodological progress with inclusive, actionable frameworks.

As CF gains relevance, questions persist about how preparedness operates when multiple drivers interact across time and space. Traditional approaches—shaped by single-hazard assumptions—often struggle to reflect the overlapping processes, competing priorities, and the complex conditions that influence institutional frameworks, social dynamics, and individual decisions. This work contributes to ongoing efforts to understand how preparedness—understood a multidimensional process that integrates governance, infrastructure, NbS, and behavioural measures to reduce vulnerability before hazards occur—has been addressed so far, and how compound thinking is beginning to take form within the domain of FRM—while also reflecting on the directions such thinking may take as compound risks become increasingly prominent.

## 3. Methods

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This systematic literature review examinesexplores how preparedness strategies for CF are evolvinghave evolved in coastal and estuarine environments, where multiple flood drivers—such as storm surges, river floodingdischarge, and extreme rainfall—interact to ereategenerate heightened risksimpacts. To capture the complexity of these interactions and the preparedness efforts that addressrespond to them, the study wasis guided by two broad research questions designed to that frame the exploration examination of this multifaceted topic:

I. How are preparedness strategies evolving to integrate technical, environmental, and social dimensions in managing compound flood CF risks?

The goal is This question seeks to explore how eurrent strategies combine diverse studies conceptualise the integration of technical solutions, elements—such as resilient infrastructure, predictive models, and early warning systems, with

eritical EWS—with environmental and social components, including nature based solutions, community engagement, and risk perception. By examining these integrations, we assess It examines how well they address this integration is framed and how it responds to the complex and compounding risks associated with complexity introduced by multiple flood drivers interacting drivers. Instead of evaluating these strategies against a predefined framework, the analysis identifies recurring patterns and tensions within the broader context of FRM.

## II. What is the role of governance and multi-stakeholder collaboration in enhancing flood preparedness?

A key focus hereThe aim is to understand how governance frameworks and collaborations betweencollaborative arrangements among governments, local communities, and private actors shape preparedness efforts. ThisThe analysis includes examining participatory governance, the inclusionintegration of indigenous and local knowledge, and how these collaborative approaches contribute to the ways in which such interactions support more adaptive and inclusive flood managementFRM strategies.

By aligning with the SFDRR and concentrating on recent research trends, this study highlights the critical interplay between physical and social processes as essential to advancing preparedness strategies.

## 3.1. 3.1 Research approach and database overview

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The methodology follows the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) framework (Page et al., 2021), ensuring a structured and transparent approach to analyzing relevant literature. To identify relevant studies, we carried out a systematic search in the Web of Science (WoS) database, applying a multi-layered strategy aimed at capturing research related to preparedness for compound flooding in coastal areas, with a particular focus on community resilience and risk management FRM. This approach was informed by previous reviews on similar topics (Kuhlicke et al., 2023; Sun et al., 2024). No start date limit was applied; all records available in the WoS database up to September 2024 were included in the review. The search was organized into two main steps, combined using an OR operator, allowing articles that matched either blockword string to be included:

- **First Step:** A search based on topics (TS) that incorporated terms related to <u>compound floodingCF</u>, preparedness, and specific geographical features, enhanced by an Author Keywords (AK) query to ensure the inclusion of relevant terms connected to preparedness and flooding.
- Second Step: A more targeted search in the Title (TI) and Abstract (AB) fields, using terms directly related to <a href="mailto:eompound-floodingCF">eompound-floodingCF</a> and preparedness, further complemented by an Author Keywords (AK) query for technical terms.

The specific search syntax used in WoS is presented in Table 1. This comprehensive approach allowed us to capture a broad range of studies focused on preparedness for flooding in coastal areas, including compound events, while ensuring relevance through multiple layers of keyword filtering. The selection was limited to peer-reviewed articles in English, with no restrictions on publication date, for the available information.

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The initial analysis of search results from the Web of Science database provided a broad perspective on flooding preparedness research, capturing diverse topics and approaches. A total of 874 articles met the defined criteria, addressing key themes such as disaster preparedness, resilience, and flood management across various environments, including coastal and estuarine regions. The decision to use of the broadbroader term "coastal flooding" allowed for the inclusion of was intended to capture studies conducted before the terminologypublished prior to the widespread adoption of the compound events became widely adopted. As a resultevent framework. Consequently, the retrieved articles spanned multiple areas literature spans a wide range of knowledge, reflecting disciplinary approaches and timeframes. Many of these contributions focus on the diverse strategies employed to address hazard dimension of flood risk and a particularly through measures implemented during the preparedness phase of FRM. This broad scope highlights reinforces the importance of refiningneed to refine the analysis to focus more specifically on toward compound hazard scenarios configurations, ensuring relevance to coherence with the study specific objectives of this review.

Table 1. -Search strategy and terms used in the PRISMA flowchart detailing the systemic-based systematic review-process.

Search Structure	Search Terms
First Step	(TS= (("compound flood*" OR "coastal flood*" OR "compound coastal" OR "compound extreme*" OR "compound effect" OR "flood*" OR "inundation") AND ("preparedne*"preparedness" OR "disaster preparedness" OR "community resilience" OR "resilience" OR "coping capacity" OR "adaptive capacity" OR "early warning" OR "contingency planning" OR "community engagement" OR "decision making" OR "local knowledge" OR "indigenous knowledge" OR "traditional knowledge") AND ("estuar*" OR "delta*" OR "lowland*" OR "river mouth*" OR "wetland*" OR "tidal area*" OR "marshland*" OR "bay*" OR "transition zones")) AND AK=("preparedne*"preparedness" OR "disaster preparedness" OR "compound flood*" OR "coastal flood*" OR "compound coastal" OR "compound extreme*" OR "compound effect" OR "flood*" OR "inundation")"))
Second Step	(TI=("compound flood*" OR "coastal flood*" OR "combined risk" OR "compound effect" OR "compound climate") AND AB=("preparedne*"preparedness" OR "disaster preparedness" OR "resilience" OR "risk perception" OR "community resilience" OR "coping capacity" OR "early warning" OR "adaptive behavior" OR "contingency planning" OR "estuar*") AND AK=("preparedne*"preparedness" OR "disaster preparedness"))

The abbreviations include: Note: The asterisk symbol (\*) is used as a truncation operator to include all possible word endings (e.g., flood\* retrieves flood, floods, flooding). Search field abbreviations include Topics (TS), Author Keywords (AK), Title (TI), and Abstract (AB).

To refine the initial dataset and enhance its focus and relevance, we used the Python package *litstudy\_LitStudy*. This tool facilitated the selection and in-depth analysis of the identified publications through visualizations, bibliographic network analysis, and natural language processing techniques (Heldens et al., 2022). Figure 1 illustrates the *word cloud* generated by *litstudy\_LitStudy*, highlighting key themes centered on adaptation, risk management, and community resilience. Prominent terms such as "risk," "adaptation," "communities," and "vulnerability" emerged, reflecting the focus on preparedness strategies. Technical aspects of flood management, including forecasting and urban water governance, were also evident, with clusters emphasizing predictive models, early warning systems EWS, and urban delta management. Additionally, ecological themes underscored the role of natural systems, particularly wetlands and floodplains, in flood mitigation. However, the word cloud analysis also revealed clusters related to ecological studies on biodiversity and disasters such as tsunamis and earthquakes, which were beyond the scope of this work. To address these divergences, the WOS query was adjusted to remove terms that did not align with the primary objectives of the study. Through this process, 152 articles were excluded, narrowing the dataset to 722 publications.



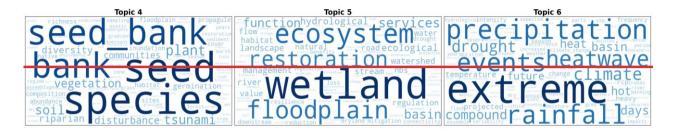




Figure 1. Word Cloud Visualization of Topics Generated from the Systematic Review of Articles. Word clouds provided by litstudy highlight key themes such as compound flooding, risk management, and community resilience, while also identifying unrelated topics excluded from further analysis.

3.2 Beyond the dominant themes aligned with flood preparedness, the word cloud also revealed peripheral clusters related to ecological studies—particularly those focused on seed banks, germination processes, and plant propagation—as well as hazards of tectonic origin, such as earthquakes and tsunamis. While thematically adjacent, these topics fall outside the scope of climate-related flood dynamics (Hendry, 2021). Our focus is on CF events arising from the interaction of meteorological, hydrological, and oceanographic drivers under climate variability and change, in coastal settings. To ensure conceptual coherence and maintain a consistent basis for comparison, studies addressing tectonic hazards or unrelated ecological processes were systematically excluded. The following keywords were removed from the search in the Topic (Ts) field: earthquake, species, tsunami, seed bank, habitat, germination, mangrove, irrigation, lake, soil, bank, food insecurity, organic matter, trees, sediment, dam, ice jam, drought, groundwater, energy. This refinement led to the removal of 152 publications, resulting in a final dataset of 722 articles. The choices underpinning this step are acknowledged and further examined in the limitations section.

## **TOPIC 1 TOPIC 2** TOPIC 3 displacement storm surge coastal surfactant drivers varning to recas **TOPIC 4** TOPIC 5 TOPIC 6 compound: seed bankbank wetlar precipitation **See**Oplant floodplain **TOPIC 7** TOPIC 8 coastalsea rise level

Figure 1. Word cloud visualization of the topics identified in the reviewed articles. Topics were derived using the Python package LitStudy, which applies natural language processing and bibliographic network analysis to extract thematic structures from scientific texts. The resulting word clouds highlight dominant themes related to CF, adaptation, and risk management. Terms associated with thematically unrelated domains—such as oil recovery, seed banks, and tectonic hazards—were also detected and removed to ensure conceptual consistency across the analysis.

## 3.2. Article screening and data analysis using Active Learning Process (ALP)

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Subsequently, the Python library ASReview Lab, an open-source machine learning tool, was used to streamline the systematic screening and labeling of large-scale textual datasets relevant for this study. ASReview focuses on the title and abstract screening phase—a critical bottleneck in systematic reviews—by combining human expertise with machine learning to prioritize relevant records efficiently.

The process begins with the researcher uploading the dataset containing metadata (titles, abstracts, and other relevant information) into the software. Initial *prior knowledge* is provided by selecting at least one relevant record and one irrelevant record, which serves as the foundation for training the first machine learning model. The model predicts the relevance of remaining records based on their textual features (titles and abstracts) while purposefully excluding author names and citation networks to prevent bias. This cycle, known as *Researcher-In-The-Loop (RITL)*, involves iterative collaboration between the

reviewer and the machine learning model. The system ranks records by predicted relevance and presents them to the reviewer for labeling. The reviewer assigns binary labels (1 for relevant, 0 for irrelevant), and the model is retrained after each labeling session to refine its predictions. This process continues until a user-defined stopping criterion is met, such as the reviewer's confidence that all relevant records have been identified. By prioritizing the most probable records first, ASReview significantly reduces the effort required for title and abstract screening while maintaining transparency and control in the decision-making process. Studies have shown that this methodology can reduce screening time by up to 95% without compromising review quality (Van De Schoot et al., 2021).

To further enhance the efficiency of the review process, we incorporated a fine-tuned BERT (Bidirectional Encoder Representations from Transformers) model, a state-of-the-art natural language processing tool renowned for its ability to capture nuanced contextual relationships within text. BERT's bidirectional architecture enables it to process entire sentences in context, making it particularly effective for tasks such as document classification. By fine-tuning the model on a subset of labeled data specific to our study, we automated the initial classification of articles retrieved from the Web of Science database. While BERT provided an automated pre-screening, this step did not replace the critical role of the human reviewer. Instead, the pre-labeled data served as input for ASReview, which facilitated an iterative *Researcher In The Loop* (RITL) process. In this process, the reviewer actively validated and refined the classification results, ensuring that relevant studies were accurately identified. The synergy between BERT's robust text analysis capabilities and the reviewer's expertise not only accelerated the screening of large datasets but also preserved the rigor and reliability of manual review. This combined approach enhanced the reproducibility of the methodology and reduced the inherent subjectivity of manual review.

After applying this methodology to the initial dataset, 49 articles were selected for their relevance and prioritized for an indepth review. These articles were identified based on their alignment with the research questions, ensuring that only those with the greatest potential to contribute meaningfully to the study were included for further analysis. It is important to note that, considering the complexity involved in preparing for simultaneous or interacting drivers of flooding in such scenarios, this nuanced aspect of preparedness is likely only now gaining prominence as a focal point of investigation. Therefore, the scope of the search for relevant articles was kept broad, aiming to encompass various perspectives related to coastal flood preparedness. Figure 2 provides a visual summary of the systematic review methodology applied, following the PRISMA framework. It outlines the key stages, starting from the identification of 874 articles in the Web of Science database, through the screening process using tools like *LitReview* and *ASReview*, to the final inclusion of 49 articles for full text analysis.

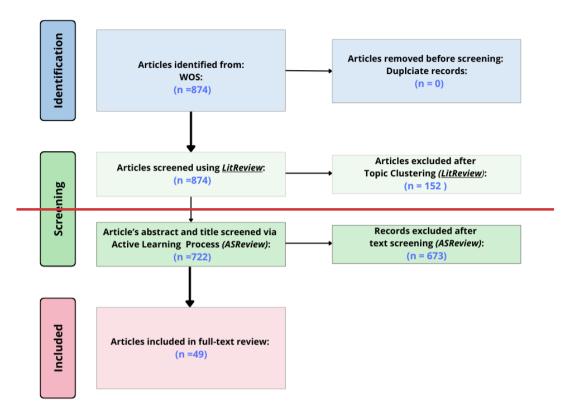


Figure 2. Flowchart of the Review Process Following the PRISMA Framework. From 874 articles identified in WOS, 152 were excluded through topic clustering (*LitReview*), and 673 more were removed after title and abstract screening (*ASReview*). This resulted in 49 articles included for full-text review, forming the final dataset.

### 4 Results

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## 4.1 Preparedness research: Emerging trends

An examination of the research areas associated with the initial dataset reveals a predominant focus on Environmental Sciences, Ecology, and Meteorology and Atmospheric Sciences (see Figure 3). These fields are strongly represented, reflecting the emphasis on the physical and environmental dimensions of flood preparedness. However, a significant gap becomes evident in the limited presence of social sciences.

Although research on risk modeling, water management, and ecological resilience is well represented, there is comparatively less prominence given to socio economic resilience, governance, and community engagement. This imbalance may stem from the historical focus on technical and environmental solutions in flood preparedness, particularly in coastal regions, where infrastructural approaches have often been prioritized. The broad scope of the search, intended to capture various aspects of flooding, may have further contributed to the underrepresentation of studies addressing social vulnerability, participatory

435 governance, and policy innovation. Closing this gap is crucial for fostering a more comprehensive understanding of resilience, integrating both technical and socio-economic dimensions.

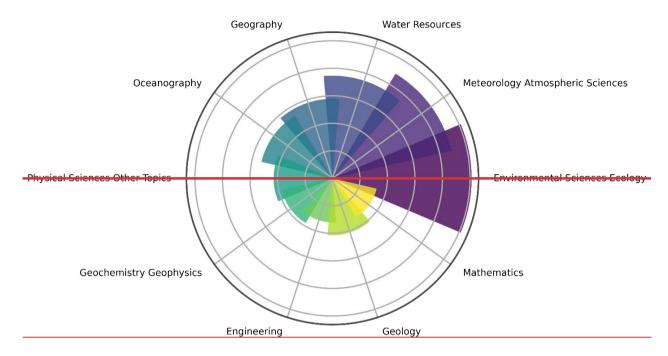


Figure 3. Disciplinary Coverage of Reviewed Articles: Contributions from Various Fields. This visualization depicts the strong representation of Environmental Sciences, Ecology, and Meteorology, alongside the limited inclusion of Social Sciences in the dataset.

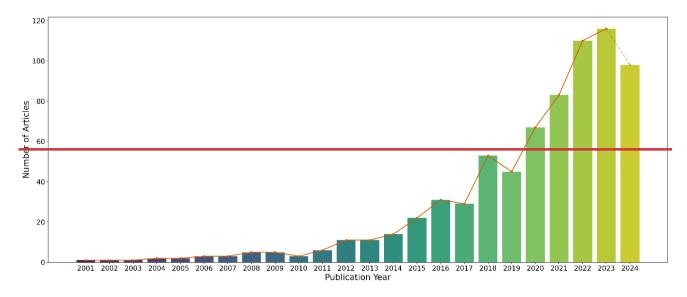
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A closer analysis reveals a marked increase in publications addressing these challenges starting around 2012 (see Figure 4). In parallel, it is important to acknowledge that this increase also reflects a general exponential growth of scientific production in the past decade. This is marked by an increase in the average individual outputs, the number of authors per paper, and the total number of people pursuing academic careers (Ioannidis et al., 2018). As recently highlighted by (Priem et al., 2022), more than 60% of all the world scientific publications have been produced after the year 2000. The general global expansion of academic publishing is surely affecting also the trends emerged in this research.

However, as shown in Fig. 4, the year 2012 has marked a shift in the scientific production about the topics at the core of our research. Prior to this, research in the area was relatively limited, with a gradual rise in published papers. This notable growth in scientific attention after 2012 aligns with a broader shift in natural hazard research paradigms, particularly following significant developments in climate risk frameworks. The surge in publications, particularly after 2015, coincides with the growing recognition of the need for integrated approaches that address the complexities of compound flooding and other interconnected hazards. The evolution of research in this area reflects a deeper commitment to understanding and managing the multifaceted risks posed by these events.



After applying the selection methodology to the initial dataset, 49 articles were identified as highly relevant and prioritized for in-depth analysis. These works were selected based on their alignment with the research questions, ensuring that only studies with the greatest potential to meaningfully inform the review were retained. Given the complexity of addressing interacting flood drivers, preparedness strategies that explicitly target compound hazard processes have only recently begun to gain traction. As noted by Serinaldi et al. (2022), persistent ambiguity in the terminology means that such phenomena are repeatedly examined under broader categories—such as coastal flooding—without being explicitly labelled as compound. To address this conceptual overlap and ensure a comprehensive perspective, the scope of the review was deliberately expanded to include a wider range of coastal flood preparedness literature. Relevance to compound processes was then assessed during the full-text analysis.

Figure 2 summarizes the systematic review process following the PRISMA framework, from the initial identification of 874 records in the Web of Science database, through screening via tools such as LitStudy and ASReview, to the final inclusion of 49 full-text articles. Each study was reviewed to extract core characteristics—geographic context, flood drivers, and preparedness aspects highlighted. Emphasis was placed on the treatment of conceptual uncertainties, methodological difficulties, and attempts at operationalization. The analysis also incorporated the limitations acknowledged by the authors.

These steps were implemented to reduce subjective judgement during the screening phase and to enhance the transparency and reproducibility of the review process. While ASReview and BERT improve efficiency and consistency by reducing manual effort and limiting subjective choices, the final output still depends on earlier decisions—such as how search queries are formulated, and which records are initially labelled as relevant. These aspects are further discussed in the limitations section.

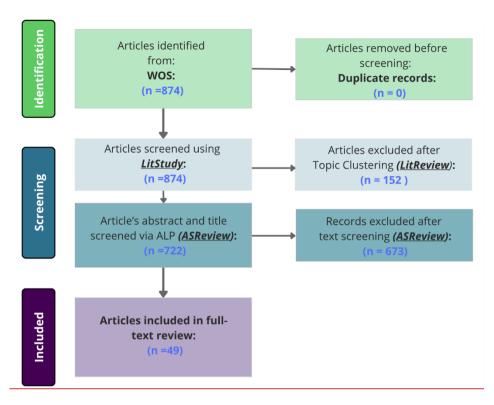


Figure 2. Review workflow following the PRISMA framework. A total of 874 records were retrieved from Web of Science. No duplicates were identified. Topic clustering using LitStudy supported the refinement of the search strategy by identifying thematically unrelated content, leading to the exclusion of 152 records through targeted keyword removal. The remaining articles were screened using ASReview for title and abstract relevance. A final set of 49 articles was selected for full-text review. The integration of automated tools contributed to a structured and coherent selection process.

## 4. Results

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## 4.1. Literature Trends and Research Growth

The initial corpus of 874 articles provides a broad overview of how flooding and preparedness have been approached across disciplines. Although heterogeneous in content, the dataset reveals consistent patterns in the framing of these topics. A preliminary analysis of disciplinary categories indicates a marked concentration in Environmental Sciences, Ecology, and Atmospheric Sciences (see Figure 3). This distribution reflects a prevailing emphasis on physical processes and environmental modelling FRM. In contrast, contributions associated with the Social Sciences appear underrepresented, suggesting a limited engagement with institutional, behavioural, and socio-economic dimensions.

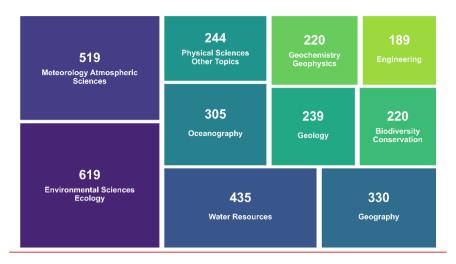


Figure 3. Distribution of research fields in the corpus. Research areas follow the classification scheme provided by Web of Science, which may assign multiple categories to a single publication. This overlap leads to a total count that exceeds the number of unique articles. The number of displayed categories may vary depending on user-defined parameters in the visualization tool. Environmental Sciences, Ecology, and Meteorology appear most frequently, suggesting a predominant focus on biophysical dimensions, while Social Sciences are notably less represented.

The observed asymmetry may reflect how research trajectories have developed over time, shaped by differing priorities as well as methodological, theoretical and disciplinary challenges. Historically, flood risk has been addressed through technical and hazard-centered frameworks, with a strong emphasis on hydrometeorological drivers, modelling, and structural measures, leaving less space for analysing how societies perceive, experience, and respond to flood events (Lechowska, 2022). Sociopolitical dimensions are often treated as secondary, rather than central to how risks are understood and managed. Furthermore, inconsistent terminology and conceptual ambiguity, especially in definitions of multi-hazard and compound events, have contributed to the "fragmentation of the literature," generating redundancy and confusion that hinder interdisciplinary collaboration (Serinaldi et al., 2022; Green et al., 2025). Methodological constraints such as limited data availability, lack of standardization, and the context-dependence of social indicators also restrict their integration (Girons Lopez et al., 2017; Vanelli et al., 2022). Importantly, social and behavioural science research on these topics has been underfunded until the last decade. This undermined not only the theoretical but also the disciplinary development of risk perception, preparedness and communication studies. A more integrated approach is needed to inform preparedness strategies that reflect both the physical dynamics of CF and the ways in which societies experience and respond to them.

Beyond disciplinary orientation, observing the temporal distribution of publications offers a sense of how academic attention to the topic has developed over time (see Figure 4). Around 6% of studies were published between 1994 and 2011, followed by approximately 9% during 2012–2015. The remaining 85% concentrate in the period from 2016 to 2024. This steep increase does not imply a transformation in research focus, but it provides a structured basis to examine whether the expansion in volume has been accompanied by a broadening in scope, methods, or thematic emphasis. In this regard, early contributions—especially those prior to 2010—were often fragmented and typically addressed single hazards such as riverine flooding, storm surge, or sea-level rise Figure 4. Yearly Distribution of

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The analysis of preparedness related terms in the dataset (see Figure 5) suggests a compelling narrative of thematic evolution, shedding light on the shifting priorities and emerging challenges in this domain. Early research efforts, particularly before 2010, were fragmented and focused predominantly on isolated hazards such as riverine flooding, storm surges, or sea level rise. These studies largely neglected the interdependencies between multiple drivers, resulting in a siloed understanding of flooding phenomena and limited integration of systemic risk perspectives. The years following 2010 marked a pivotal transformation in the field, as the limitations of hazard specific approaches became increasingly apparent. Terms such as "compound," "multi hazard," and "risk management" gained prominence, reflecting a growing recognition of the interconnected nature of natural hazards and the need for integrated frameworks. Global initiatives, such as the Sendai Framework introduced in 2015, reinforced this paradigm shift by advocating for multi hazard, multisectoral approaches to disaster preparedness, emphasizing the importance of addressing cascading risks and systemic vulnerabilities in a coordinated manner.

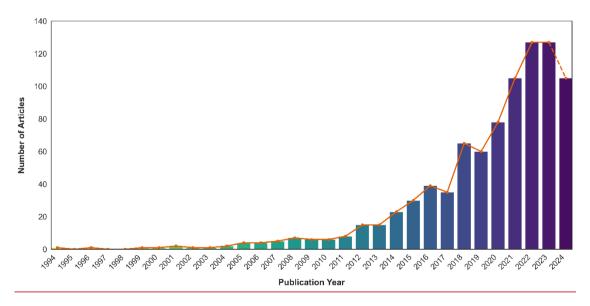
To fully understand the evolution of preparedness strategies, it is crucial to consider how research approaches changed during this transition. Early studies, conducted prior to 2010, primarily treated riverine and coastal flooding as distinct phenomena, focusing on variables such as storm surges, sea level rise, and tides (Burch et al., 2010; Slinger et al., 2007; Zaalberg et al., 2009). These studies tended to overlook the interdependencies among drivers, resulting in a compartmentalized understanding of flooding processes and a limited engagement with systemic risk perspectives. The period after 2010 marked a notable shift, as the shortcomings of hazard-specific approaches became more evident. Concepts such as "compound," "multi-hazard," and "risk management" began to gain traction, reflecting growing awareness of the interconnected nature of natural hazards. This conceptual shift was further supported by global initiatives promoting multi-hazard and cross-sectoral approaches to disaster preparedness, with particular attention to cascading effects and systemic vulnerabilities.

From 2012 onwards, references to preparedness and compound events become increasingly visible, marking a subtle but important evolution in research framing. Yet, this trend should be interpreted in light of broader shifts affecting academic production. As noted by Ioannidis et al. (2018), recent decades have seen a sharp rise in publication rates, greater international collaboration, and the expansion of the global research community. Priem et al. (2022) estimate that over 60% of all scientific articles have been published since 2000, underscoring how structural transformations in the research field may amplify certain patterns. In this context, the surge in publications related to compound risks may reflect not only an emerging awareness of systemic dynamics but also the momentum of a more prolific and interconnected academic environment.

Consistent with these trends, the post-2012 period is characterised not only by a quantitative expansion in CF and preparedness research, but also by a gradual diversification of its conceptual and methodological landscape. This growth aligns with a broader reconfiguration of natural hazard studies, catalysed by the formal introduction of *compound events* in the IPCC's

SREX report (IPCC, 2012). A notable consolidation of this trend is evident after 2015, coinciding with the adoption of the SFDRR, which marked a strategic shift from *disaster management* to *disaster risk management*. By prioritising anticipatory action, early warning, and systemic resilience, Sendai advanced a multi-hazard and risk-informed approach that aligns closely with the emerging discourse on CF. This convergence between policy and scientific agendas likely contributed to the increased academic focus on CF and preparedness as interdependent concerns. During this transition, various disciplinary perspectives began to confront the limitations of univariate risk characterisation: Yasuhara et al. (2011), for instance, explored the combined impacts of climate and geophysical extremes on coastal infrastructure, introducing the notion of "compounded natural hazards"; Watkins, (2013) called attention to temporally clustered extremes and "wild" fluctuations, challenging the assumptions of traditional hazard modelling; and Zheng et al. (2013) demonstrated statistical dependence between storm surge and rainfall, undermining the reliability of univariate models in FRM. While emerging from distinct domains, these studies collectively signal a transition toward more integrated representations of compound events.

This initial framing was further elaborated by Leonard et al. (2014), who emphasized the multivariate nature of CF and the need for analytical tools capable of capturing such complexity. Freire et al. (2016) subsequently underscored the importance of preparedness in transitional ecosystems, particularly estuarine regions where tides, river flows, wind, and waves converge. Their work highlighted the socio-economic complexities of these systems and emphasized the need for integrated, multi-hazard preparedness strategies capable of addressing the cascading impacts of CF.



<u>Figure 4.</u> These studies did not explicitly address preparedness for compound flooding, reflecting a fragmented approach to risk management. The conceptual breakthrough came with the introduction of "compound events" in the IPCC's Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation (SREX) in 2012, which defined these events as the combination of multiple physical processes.

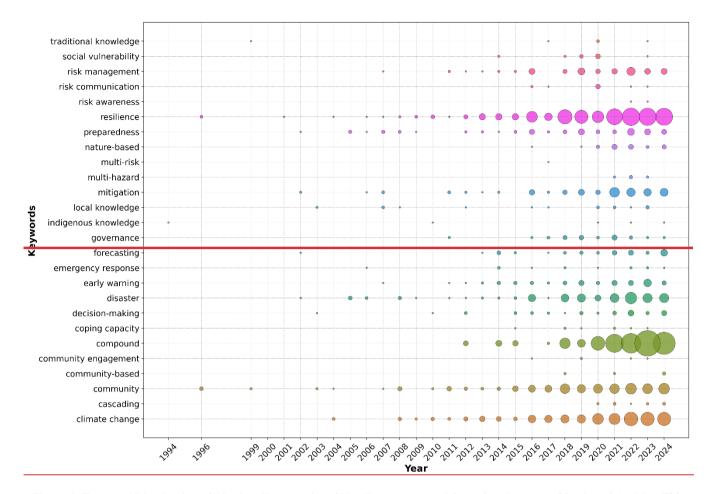


Figure 5. Temporal Distribution of Absolute Frequencies of Key Terms extracted from the Abstracts of Reviewed Articles. This bubble plot illustrates the occurrence of key terms over time, suggesting shifts in research focus and the introduction of new topics within the reviewed articles. The size of each bubble represents the frequency of a specific term during a particular year.

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The term "compound event" gained further prominence with Leonard and colleagues (Leonard et al., 2014), who refined this definition, emphasizing the multivariate nature of compound flooding. (Freire et al., 2016) subsequently underscored the importance of preparedness in transitional systems, particularly estuarine regions where tides, river flows, wind, and waves converge. Annual distribution of published articles. A marked increase is observed after 2012, with sustained growth consolidating from 2015, a sharp rise from 2018, and a peak in 2022–2023. The value for 2024 refers to records indexed up to September, as the search preceded the end of the year.

Figure 5 offers additional insights into the temporal evolution of thematic emphasis, capturing how certain research domains have gradually gained prominence while others have remained secondary. Although the presence of specific keywords does not guarantee conceptual depth, their distribution provides a useful proxy for identifying shifting priorities within the field.

Terms linked to *compound events*, *preparedness*, and *uncertainty* appear with increasing frequency, suggesting a gradual incorporation of systemic and anticipatory dimensions. In contrast, references to *local knowledge* and *community engagement* remain sparse, showing limited integration of community-based perspectives. The distribution is not uniform: while certain themes gain presence, others persist at the margins. This pattern outlines a field in expansion, but not necessarily in balance—where some domains continue to be explored more systematically than others.

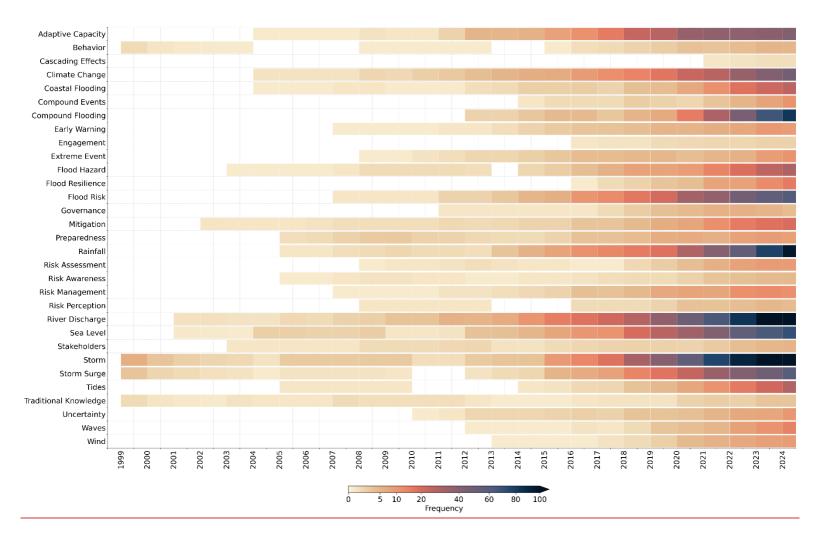


Figure 5. Temporal Evolution of Data-Driven Research Themes. The heatmap illustrates the changing prominence of key terms identified through frequency analysis of the abstract corpus. Color intensity represents a 5-year trailing moving average of each term's frequency, calculated to smooth annual fluctuations and capture underlying trends. A non-linear scale is employed to enhance the visibility of variations at lower frequencies, while all values above 100 are saturated to the maximum color intensity. This visualization allows for the identification of emerging, persistent, or declining research topics. Colormap: "lipari\_r" from Scientific Colour Maps (Crameri et al., 2020).

Compound events and preparedness now appear more consistently, reflecting a growing concern with the interconnected nature of hazards and the need to plan. Their rise suggests a move away from hazard-specific views toward more integrated framings. Uncertainty remains a common reference, but often in narrow terms—linked to models or data—without fully addressing its social or institutional implications. In contrast, local knowledge and community engagement appear less frequently. These topics are mentioned but rarely placed at the core of FRM frameworks. The observed pattern reflects not only an expansion in thematic scope, but also a progressive convergence toward a shared vocabulary that mirrors shifts in international agendas and interdisciplinary discourse.

Their work highlighted the socio-economie complexities of these systems and emphasized the need for integrated, multi-hazard preparedness strategies capable of addressing the cascading impacts of CF.

The trends post 2020 illustrate a period of thematic diversification, with increasing emphasis on community centered and ecosystem based approaches. Terms such as "community," "local knowledge," and "nature based" solutions reflect a growing understanding of the need to balance technical solutions with social and environmental considerations. Simultaneously, terms like "resilience" and "mitigation" remain central, emphasizing the dual focus on reducing vulnerability and enhancing adaptive capacity. However, the relatively modest visibility of "governance" and "cascading" highlights persistent gaps in addressing the interconnected and feedback driven risks associated with compound flooding. Similarly, the underrepresentation of localized and traditional knowledge systems suggests an ongoing need to integrate diverse perspectives into preparedness frameworks.

The upward trajectory in the frequency and diversity of key terms signals a maturing research landscape, transitioning from fragmented hazard-specific studies to interdisciplinary, systems-based frameworks. However, this evolution remains incomplete. The limited attention to social vulnerability, participatory governance, and localized knowledge indicates that technical and infrastructural solutions continue to dominate preparedness efforts. Moving forward, the research community must embrace the inherent complexity of compound floodingCF by developing adaptive, community-driven strategies that integrate governance, equity, and cascading impacts into preparedness frameworks. Such an approach will not only strengthen resilience but also ensure that preparedness strategies are robust, inclusive, and sustainable, effectively addressing the increasing challenges posed by climate change.

## 4.2. Overview of Selected Articles

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From the detailed review of the 49 articles identified through systematic screening, 45 were identified as directly relevant to the study's focus on preparedness for compound floodingCF in coastal regions. These studies offer critical insights into the integration of technical, environmental, and social dimensions in managing compound floodCF risks, as well as the role of governance and multi-stakeholder collaboration. The Although informative, the remaining four articles, while insightful, focused on addressed either non-coastal contexts or broader themesaspects of disaster preparedness, making themand were

therefore considered less central to the objectives of this research. Table 2 categorizes the selected articles by country and organizes them into four thematic clusters: Perceptions and Behavioural Responses, Compound Events Forecasting, Governance and Policy, and Participatory and Innovative Methods for Risk Management. These clusters represent an approximation to the primary thematic focus of each study, aligned with the objectives of this analysis. study's scope. Figure 6 synthesizes key findings from the selected articles. Panel a) illustrates the geographic distribution of studies, distinguishing those specifically addressing compound flooding preparedness from those focused on broader coastal flooding contexts. This distribution highlights the global research landscape, reflecting varying regional priorities and challenges in flood preparedness. Panel b) identifies the principal flood drivers considered in compound flooding studies, shedding light on the technical aspects prioritized in current preparedness frameworks, such as storm surges, river discharge, and rainfall. Lastly, panel c) aggregates the total number of studies by country, revealing geographic trends and disparities in research efforts. Together, these panels form a cohesive snapshot of the academic landscape, laying the groundwork for a deeper exploration of how preparedness strategies are evolving and the role of governance in enhancing resilience against complex flood risks. By analysing key studies, this review sheds light on the challenges and limitations of existing approaches, offering insights that can inform more adaptive, inclusive, and actionable strategies to enhance resilience and preparedness in coastal regions increasingly affected by complex flood risks.

To facilitate comparative analysis, Table 2 organizes the studies by country and groups them into four broad thematic clusters, based on their primary analytical emphasis. This structure enables a cross-cutting view of how different dimensions of preparedness—social, institutional, and technical—have been explored in the literature, and how these vary across geographic and temporal contexts. The table is intended as a mapping tool to support further synthesis and discussion, not as a definitive typology. Perceptions and behavioural responses are addressed in studies from a broad range of geographic contexts. Forecasting and modelling are covered primarily in recent contributions from China. Governance and participatory approaches appear in fewer cases but span multiple regions. Finally, case studies are concentrated in a small set of countries, with many others absent from the sample.

Table 2. Overview Classification of Selected Studies Grouped studies by Key Topies thematic focus, geographic area, and Geographic Context-publication year.

Key Topic	Geographic focus	Year and References
	Spain	2008 (Raaijmakers et al., 2008)Raaijmakers et al. (2008)
Perceptions and Behavioural Responses behavioural responses	Botswana	2011 (Motsholapheko et al., 2011); 2018 (King et al., 2018)King et al. (2018); Motsholapheko et al. (2011);
responses ochaviourar responses	Vietnam	2015 (Casse et al., 2015); 2016 (McElwee et al., 2017); 2020 (Ngo et al., 2020)Casse et al. (2015); McElwee et al. (2017); Ngo et al. (2020)

	Netherland	2016 (De Boer et al., 2016); 2020 (Mol et al., 2020) De Boer et al. (2016); Mol et al. (2020);
	Fiji	<del>2016 (Nolet, 2016)</del> Nolet, (2016)
	France	2016 (Rambonilaza et al., 2016); 2019 (Lemée et al., 2019); 2022(Lemée et al., 2022)Lemée et al. (2019, 2022); Rambonilaza et al. (2016)
	Indonesia	2018 (Maryati et al., 2019) Maryati et al. (2019)
	USA	2019 (De Koning et al., 2019); 2020 (Johns et al., 2020); 2024(Richmond and Kunkel, 2024)De Koning et al. (2019); Johns et al. (2020); Richmond and Kunkel, (2024)
	Myanmar	2020 (Lwin et al., 2020) Lwin et al. (2020)
	Brazil	2022(Pereira Santos et al., 2022)Pereira Santos et al. (2022)
	Italy	2023 (Sacchi et al., 2023)Sacchi et al. (2023)
	Bangladesh	2023 (Faruk and Maharjan, 2023)Faruk and Maharjan, (2023)
	Nigeria	<del>2024 (Michael, 2024)</del> <u>Michael, (2024)</u>
Compound events forecasting	China	2020 (Du et al., 2020); 2023 (Guo et al., 2023; Yu et al., 2023); 2024 (Chan et al., 2024; Sun et al., 2024; Xu et al., 2024)Chan et al. (2024); Du et al. (2020); Guo et al. (2023); Sun et al. (2024); Xu et al. (2024); Yu et al. (2023)
	Mozambique	<del>2023 (Matos et al., 2023)</del> <u>Matos et al., (2023)</u>
	Netherland	2005 (Gerritsen, 2005); 2022 (Oukes et al., 2022) Gerritsen, (2005); Oukes et al. (2022)
	Botswana	2017 (Shinn, 2018)Shinn, (2018)
Governance and Policy Policy	China	2017 (Liang et al., 2017); 2023 (Xie et al., 2023)Liang et al. (2017); Xie et al. (2023)
	Canada	2019 (Chang et al., 2020) Chang et al. (2020)
	UK	2024 (Coletta et al., 2024)Coletta et al. (2024)
	Netherland	2007 (Slinger et al., 2007)Slinger et al. (2007)
	Botswana	2015 (Motsholapheko et al., 2015) Motsholapheko et al. (2015)
	UK, Netherland, USA, Indonesia	2015 (Jeuken et al., 2015) Jeuken et al. (2015)
Participatory and Innovative	USA	2015 (Cheung et al., 2016) Cheung et al. (2016)
Methods innovative methods for Risk management FRM	Portugal	2016 (Freire et al., 2016) Freire et al. (2016)
management rivivi	Ghana	2017 (Yankson et al., 2017) Yankson et al. (2017)
	Italy, Portugal	2018 (Martinez et al., 2018)Martinez et al. (2018)
	China	2022 2023 (Chan et al., 2023) Chan et al. (2023)
	Vietnam	2020 (Binh et al., 2020)Binh et al. (2020)
	Bangladesh	<del>2022 (Azad et al., 2022)</del> Azad et al. (2022)

The Thematic topics servewere identified through qualitative content analysis of each study's aims, methodological approach, and main findings. This grouping intends to highlight recurring analytical concerns across contexts and periods. The resulting classification is meant as a preliminary and illustrative framework for organizing the studies, without implying, rather than a definitive categorization.

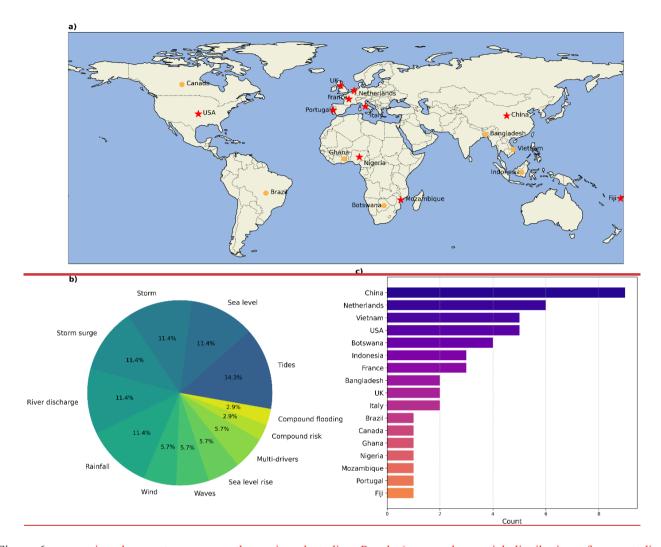


Figure 6 summarizes key patterns across the reviewed studies. Panel a) maps the spatial distribution of case studies, distinguishing those explicitly addressing CF preparedness (stars) from those examining coastal flooding more generally (dots). The distribution is not spatially uniform and reflects how research attention has been allocated geographically. Panel b) captures how the contributing elements of compound events are reported. While several studies specify individual drivers—such as storm surge, river discharge, or rainfall—others refer instead to categories like multi-drivers, CF, or compound risk, without detailing specific components. Panel c) shows the number of studies by country. The distribution is heterogeneous, with research activity concentrated in a limited number of contexts.

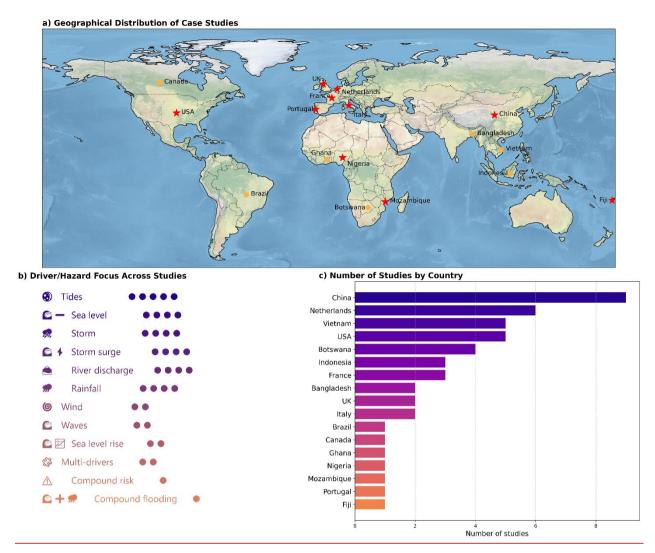


Figure 6. Global Perspectives on Flood Preparedness Studies: (a) Geographic distribution of studies, with red stars highlighting research focused on compound floodingCF and orange circles indicating those centred on coastal flooding preparedness. (b) Primary flood drivers (b) Representation of contributing elements in CF studies, represented in a pie chart to emphasize the most frequently addressed factors. Categories include individual drivers (e.g., storm surge, river discharge, rainfall) as well as more general terms (e.g., multi-drivers, CF, compound risk). (c) Total number of studies by country, visualized in a bar chart to showcase regional trends and disparities in research efforts.

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By analysing key studies, this review sheds light on the challenges and limitations of existing approaches, offering insights that can inform more adaptive, inclusive, and actionable strategies to enhance resilience and preparedness in coastal regions increasingly affected by CF risks.

In addition to its descriptive layout, Figure 6 reflects structural patterns in how CF preparedness has been approached. The simultaneous presence of defined drivers (e.g., storm surge, river discharge) and broader categories (e.g., multi-drivers, CF,

compound risk) indicates that compound processes are represented at varying levels of abstraction, often without explicit articulation of their components. In several cases, the compound nature of the hazard is acknowledged but not formally disaggregated, resulting in formulations that remain general in scope. The dominant focus lies on hydrometeorological variables directly linked to flood generation, such as coastal water levels and rainfall. However, a few studies mention, tangentially, other less frequent related drivers—such as *groundwater flooding* (Green et al., 2025)—that, while relevant in broader compound event typologies, remain marginal within the selected corpus. This fact suggests a prevailing emphasis on short-term, high-intensity interactions, with less attention to slower or antecedent climatic processes. Spatially, the concentration of case studies in a small number of countries defines a selective empirical base that influences not only what is analysed, but also how CF is framed. Rather than pointing to a unified field, the figure reveals a multiplicity of entry points and analytical choices shaped by context, data availability, and disciplinary orientation.

## 4.2 Evolution of preparedness strategies and integration of different dimensions

A marked transition from isolated, hazard-focused measures to integrated approaches that simultaneously address technical, environmental, and social dimensions has been identified. This shift reflects an evolving recognition that CF risks—emerging from the interplay of multiple drivers such as storm surges, rainfall, and sea-level rise—cannot be effectively mitigated through traditional, siloed interventions. The following analysis delineates this temporal evolution and provides evidence from the literature to explicitly address the research question.

• Pre-2010: Technical Dominance

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690 Preparedness Publications describing preparedness efforts before 2010 were dominated by hazard-specific, infrastructure-based solutions aimed at mitigating singular risks. These measures, while technically robust, often excluded environmental and social dimensions, limiting their capacity to address the systemic nature of CF. For instance, the Netherlands' Delta Plan (Gerritsen, 2005) epitomized this approach with its focus on advanced dyke systems, storm surge barriers, and hydraulic modelling. Though effective in managing storm surges and sea-level rise, these interventions lacked adaptability to cascading effects or simultaneous hazards. Environmental considerations were peripheral, limited to augmenting engineered defenses defences with natural dunes, while social engagement was minimal, typically confined to rudimentary has been conducted with different types of awareness and preparedness campaigns. This singular focus created blind spots, particularly in anticipating the compounded mainly aimed at addressing conflicts (e.g. with NGOs or other organisations questioning ecological and environmental impacts of multiple drivers, such as storm surges coinciding with heavy rainfall-the programme).

• 2010–2020: Transitioning Toward Integration

The period between 2010 and 2020 marked a pivotal transition, driven by the recognition of limitations in traditional methods. Emerging hybrid approaches sought to integrate technical, environmental, and social strategies, although still in its early stages. For example, Portugal (Freire et al., 2016) adopted WebGISGIS-based hazard mapping to enhance flood preparedness, while Fiji (Nolet, 2016) emphasized the preservation of wetlands and mangroves as natural buffers against flooding. Social dimensions gained prominence, with efforts in China (Liang et al., 2017) leveraging informal networks and community-based initiatives to enhance urban preparedness. However, these advancements were often fragmented, and frameworks for addressing the interaction of multiple flood drivers—such as urban runoff, tidal forces, and extreme rainfall—remained underdeveloped. Despite these challenges, this period laid the groundwork for a broader understanding of CF as a complex, multi-dimensional risk requiring collaborative solutions.

## • Post-2020: Toward Holistic and Adaptive Approaches

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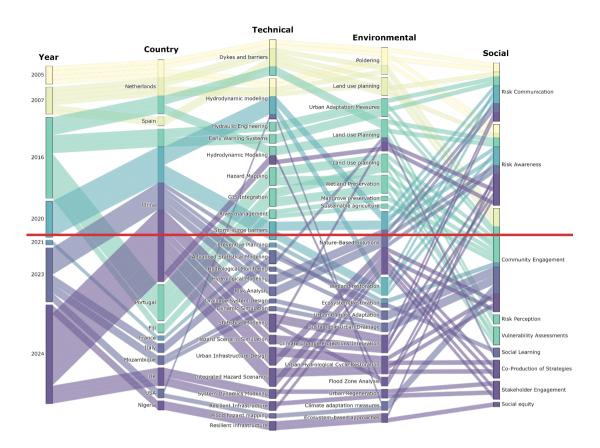
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Post-2020, preparedness strategies have embraced the complexity of CF, integrating advanced technical tools with adaptive, community-focused approaches. Coupled hazard models and bivariate statistical analyses now enable planners to simulate interactions between multiple drivers. For instance, China (Sun et al., 2024) employs hydrodynamic models to predict cascading impacts, while <u>case studies in</u> the UK (Coletta et al., 2024) <u>combinescombine</u> socio-hydrological frameworks with blue-green infrastructure to mitigate long-term flood risks.

Nature based solutions (NbS)NbS have emerged as central to these strategies. Programs like China's Sponge City initiative (Chan et al., 2024) integrate wetlands and mangroves into urban hydrology restoration, while Nigeria (Michael, 2024) incorporates indigenous practices and gender-focused adaptations to address systemic vulnerabilities. These examples highlight the increasing importance of aligning environmental restoration with technical and social measures. Social inclusion now defines modern preparedness, with participatory governance and equitable decision-making shaping interventions. Case studies in Mozambique (Matos et al., 2023) integrates community surveys into planning, amplifying local knowledge, while other cases in Italy (Sacchi et al., 2023) applies apply behavioural psychology to address biases in risk perception. Such initiatives reflect a shift from reactive measures to anticipatory frameworks that prioritize resilience.

Figure 7 further reinforces the narrative of this temporal evolution, emphasizing the increasing complexity and interconnectedness of technical, environmental, and social dimensions. Historically, flood preparedness has focused on technical solutions such as risk assessments, forecasting models, and early warning systems EWS that consider multiple flood drivers. Techniques like hydrodynamic modeling and statistical frameworks have greatly enhanced the prediction of flood zones and inundation scenarios, which are pivotal for mitigation planning (Xu et al., 2024).



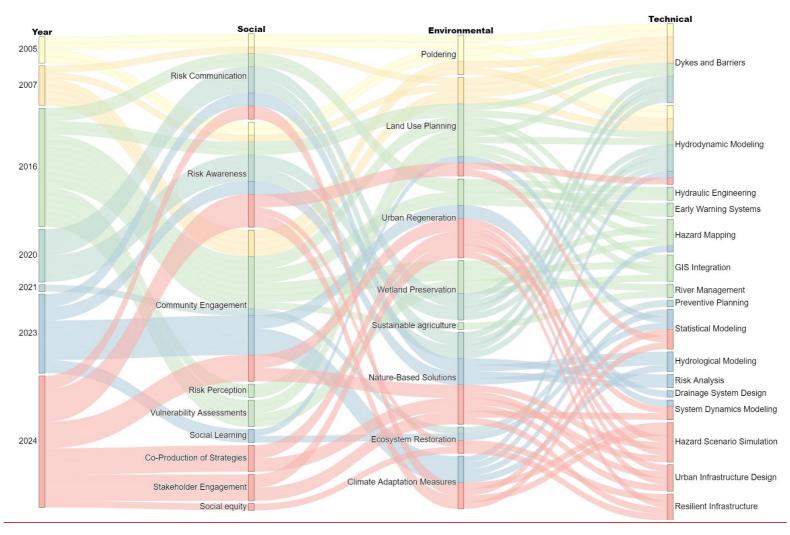


Figure 7. Temporal Evolution of Technical, Environmental, and Social Dimensions in Preparedness Strategies for CF. This visualization presents the evolution of preparedness strategies for empound flooding CF, comprising technical, environmental, and social dimensions. It illustrates connections between countries, methodologies, and thematic areas, showing trends, shifts in focus, and the increasing integration of interdisciplinary approaches.

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However, An interactive version of this review identifies a crucial gap: while figure is available at: https://doi.org/10.5281/zenodo.15848355 (Gomez et al., 2025).

While technical advancements have flourished, their integration into local risk reduction efforts remains insufficient. Coastal and estuarine communities often lack awareness of the compounded risks they face, and technical insights frequently fail to translate into actionable community plans. Moreover, as Sacchi and colleagues (Sacchi et al., 2023)Sacchi et al. (2023) notes, individuals tend to oversimplify their risk assessments in the face of compound climate-related hazards, focusing on a single dominant factor instead of considering the complexity of multiple interacting drivers. This cognitive simplification often leads to incomplete evaluations, weakening mitigation and preparedness efforts. Without fully harmonizing these dimensions, compound flooding risks may still exceed the capacity of even the most advanced preparedness efforts. Furthermore, these strategies suggest distinct regional trends influenced by economic contexts, institutional capacities, and socio environmental priorities. High, middle, and low income countries demonstrate varying approaches to integrating these dimensions into flood risk management. Trends not only reflect the availability of resources but also highlight contextual challenges and opportunities for enhancing preparedness across income levels, can lead to incomplete evaluations, weakening mitigation and preparedness efforts.

# • .High Income Countries: Technological Innovation and Policy Integration

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High income countries often leverage advanced technological capabilities and well established institutional frameworks to manage compound flood risks. For example, the Netherlands (Gerritsen, 2005) employs state of the art hydraulic modelling, dyke systems, and storm surge barriers under its Delta Plan. These approaches prioritize technical resilience, integrating engineered solutions with environmental strategies such as the use of natural dunes and inland lakes. Social strategies in these contexts tend to focus on public awareness campaigns and targeted communication to enhance risk perception. The UK (Coletta et al., 2024) exemplifies a shift toward holistic preparedness, combining blue green infrastructure with socio-hydrological models to address long term impacts.

Participatory approaches engage stakeholders in scenario building, fostering community awareness and improving flood perception. However, while high income countries demonstrate strong technical and environmental integration, gaps in addressing equity and marginalized populations persist, particularly in tailoring solutions to diverse community needs.

## Middle Income Countries: Bridging Infrastructure and Nature Based Solutions

Middle income countries exhibit a growing emphasis on hybrid approaches that combine technical measures with emerging nature based solutions (NbS). For instance, China's Sponge City Program (Chan et al., 2024) integrates wetlands, mangroves, and green infrastructure into urban planning to restore hydrological cycles and mitigate flood risks. Advanced statistical models and coupled hazard scenarios (Sun et al., 2024) also enable precise planning for cascading impacts. Similarly, Portugal (Freire et al., 2016) demonstrates progress in integrating hazard mapping, early warning systems, and sustainable land use practices to enhance preparedness. However, social strategies in middle income countries often remain fragmented. In China (Liang et

al., 2017), informal networks and social capital play a role in urban preparedness, yet systematic integration of these efforts into broader frameworks is limited. Middle income countries face the dual challenge of balancing rapid urbanization with the need for equitable and sustainable preparedness measures.

Low Income Countries: Community Based Adaptations and Indigenous Knowledge

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In low income countries, resource constraints often necessitate community driven, localized strategies that prioritize social dimensions and leverage indigenous knowledge. For example, Mozambique (Matos et al., 2023) employs community surveys to inform planning, amplifying local voices and fostering participatory decision making. Nigeria (Michael, 2024) highlights gender focused strategies, incorporating women as key agents in preparedness through flood resistant marketplaces and makeshift ferry systems. These efforts underscore the critical role of community solidarity and informal networks in mitigating systemic vulnerabilities.

Environmental strategies in low income countries are often rooted in ecosystem based approaches. For instance, Nigeria integrates NbS with indigenous practices to improve resilience, such as using elevated market stalls to reduce flood impacts (Michael, 2024). However, technical measures remain limited, with reliance on rudimentary interventions like sandbags and moisture barriers. Institutional capacity and funding constraints present significant barriers to scaling integrated approaches in these regions.

A regional analysis reveals diverse trajectories shaped not only by economic resources, but also by institutional maturity, environmental priorities, and sociocultural dynamics:

- Europe: Across European contexts, preparedness strategies for CF reflect a longstanding institutional investment in technical and infrastructural solutions, coupled with a gradual evolution toward more integrated, socio-environmentally attuned approaches. Countries such as the Netherlands, the United Kingdom, Portugal, France, Spain, and Italy exhibit high levels of technological maturity, as evidenced by the widespread implementation of hydrodynamic modelling, flood scenario simulations, and GIS-based hazard mapping. In the Dutch case, the Delta Plan stands as an example where engineered infrastructures—including dykes, storm surge barriers, and inland retention basins—are embedded within a broader framework of land-use regulation and polder-based environmental management (Gerritsen, 2005).
  - However, the robustness of these systems does not lie solely in their technological sophistication but in their increasing capacity to accommodate cross-sectoral integration. The UK, for instance, has advanced toward hybrid strategies that combine blue-green infrastructure with socio-hydrological models, aiming to bridge long-term climate adaptation with real-time operational planning (Coletta et al., 2024). Urban regeneration and climate-responsive drainage schemes reflect this shift, supported by institutionalized participatory mechanisms that incorporate stakeholder perspectives into scenario development and decision-making processes.

Yet, despite these advances, persistent limitations emerge when interrogating the extent to which preparedness strategies address structural inequalities and heterogeneous vulnerabilities. While public awareness campaigns and targeted

communication have improved risk perception at the population level, equity-oriented planning remains marginal. The institutional focus on technical optimization often overlooks the differentiated capacities of communities to engage with, respond to, or benefit from these interventions. As such, even in high-capacity settings, preparedness may fall short in ensuring inclusive resilience, particularly when solutions are generalized across diverse social landscapes without adequate consideration of marginalized groups or localized knowledge systems.

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- Asia: Particularly in rapidly urbanizing regions such as China, strategies suggest an emergent synthesis of technical innovation and environmentally grounded interventions. The evolution of FRM in these settings reflects both the imperative to address multi-hazard contexts and the institutional ambition to operationalize them. China's Sponge City Program exemplifies this trajectory, combining hydrodynamic engineering with NbS including wetlands, mangroves, and permeable surfaces to restore urban hydrological cycles and reduce flood vulnerability (Chan et al., 2024). This paradigm shift is further supported by the integration of advanced statistical modelling, dynamic simulation, and multi-driver scenario analysis (Sun et al., 2024), enabling more granular assessments of cascading impacts and compound interactions. Nevertheless, the consolidation of these technical and environmental dimensions has not been mirrored by a corresponding strengthening of the social axis of preparedness. While informal networks and local capacities—such as those observed in Chinese urban neighbourhoods—often contribute to adaptive behaviours and bottom-up responses (Liang et al., 2017), their institutional anchoring remains weak. Top-down governance structures tend to dominate, resulting in fragmented or ad hoc social strategies that lack consistent incorporation into formal planning frameworks. As a result, preparedness in the region is characterized by a high degree of technical and environmental ambition but constrained by the challenge of embedding equity and participation within multilevel governance regimes. The task of reconciling rapid urban transformation with inclusive and sustainable adaptation remains unresolved, particularly under conditions of spatial heterogeneity and institutional centralization.
- Small Islands: In countries like Fiji (Nolet, 2016), preparedness efforts unfold within highly localized social and ecological systems, where institutional capacities are often limited but experiential knowledge and community cohesion form the backbone of adaptive responses. Rather than relying on large-scale infrastructure or data-intensive modelling, these contexts prioritize community-based adaptations grounded in long-standing interactions with the environment. Mangrove preservation, sustainable agriculture, and traditional land management practices constitute core components of environmental strategies, not merely as substitutes for technical solutions, but as culturally embedded mechanisms of hazard mitigation.

Social strategies are similarly shaped by proximity, trust, and informal governance. Community engagement is not treated as a procedural add-on but as a constitutive element of planning and response. The involvement of traditional leaders, local NGOs, and intergenerational knowledge-sharing reinforces preparedness at a scale that is responsive to both lived experience and rapidly changing climatic stressors. These processes are further supported by flexible governance

arrangements that, while lacking in formal institutionalization, are often more attuned to community priorities and perceptions of risk.

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However, the very characteristics that enable these adaptive practices—local embeddedness, flexible authority structures, and reliance on social capital—also expose their fragility in the face of compound hazards and external dependencies. Technical measures, when present, are typically rudimentary, and financial or logistical constraints limit the capacity for broader systematization or upscaling. The challenge, therefore, is not the absence of preparedness, but the structural disconnect between localized adaptive strengths and the mechanisms required for integration into FRM frameworks.

- Africa: Strategies are largely shaped by resource scarcity, institutional fragility, and a persistent reliance on socially embedded forms of adaptation. Rather than emerging from centralized planning or technologically intensive systems, responses in countries such as Mozambique and Nigeria are grounded in the agency of communities and the mobilization of traditional knowledge. Participatory planning mechanisms—such as community surveys and localized vulnerability assessments—serve both as data collection tools and as platforms for amplifying local voices, particularly in contexts where formal governance structures are weak or unevenly distributed (Matos et al., 2023).
  - Social dimensions acquire prominence in these environments. In Nigeria, for example, gender-focused initiatives have positioned women as central actors in the design and operation of informal adaptation infrastructures, such as flood-resilient marketplaces and makeshift transport systems (Michael, 2024). These practices exemplify the operational role of informal networks, collective memory, and culturally grounded knowledge in sustaining adaptive capacity amid chronic underinvestment. Environmental strategies similarly reflect a bottom-up logic, with NbS adapted to context-specific needs. The integration of ecosystem-based practices—such as mangrove use, agroecological land management, and elevated market structures—is not secondary but central to flood mitigation efforts. However, such strategies are rarely supported by robust technical systems. Where technical measures do exist, they often take the form of ad hoc or temporary solution interventions (e.g., sandbags, drainage trenches), lacking the integration and predictive power of more sophisticated modelling or EWS.
  - This reliance on community-based and nature-oriented strategies, while effective in many localized instances, underscores a deeper systemic tension: the mismatch between the scale of emerging compound risks and the institutional and financial architectures available to address them. The result is a paradoxical condition in which preparedness is both widespread and precarious—rich in social capital yet constrained in scalability and formalization.
- North America: In the USA, hydrodynamic simulations, flood hazard mapping, and scenario-based planning have been widely institutionalized, forming the technical backbone of FRM frameworks. These tools have enabled the identification of multi-driver hazard zones and the design of resilient infrastructure systems capable of responding to a range of compound threats (Curtis et al., 2022; De Koning et al., 2019). Yet, while technical sophistication remains a defining feature, recent developments point to a gradual reconfiguration of priorities. Increasingly, flood preparedness is expanding to encompass participatory governance, equity-driven policies, and knowledge co-production with communities

<u>disproportionately affected by climate-related hazards. Stakeholder-based policy frameworks—often implemented at state</u> and municipal levels—now seek to bridge expert-driven planning with local experiential knowledge.

This shift, however, is irregular and still emergent. While initiatives exist that foreground community engagement and interdisciplinary collaboration, these are constrained by institutional inertia, political fragmentation, or inconsistencies in funding and policy continuity. As such, the integration of social and environmental dimensions into technically mature systems remains partial. This configuration reveals not a deficiency of capacity, but a strategic inflection point—one in which the challenge is less about technological innovation than about embedding that innovation within frameworks capable of recognizing and responding to the layered vulnerabilities that CF discloses.

# 4.3 Governance and multi-stakeholder collaboration in enhancing preparedness

Governance and multi-stakeholder collaboration emerge as central themes in <u>the</u> flood preparedness literature, reflecting the interplay between policy frameworks, community engagement, and technical advancements. These elements collectively define the capacity of communities to respond to CF events by aligning resilience strategies with localized realities.

• Governance: Centralization and inclusivity

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Governance frameworks significantly influence the success of preparedness strategies, but their effectiveness often depends on reconciling centralized efficiency with inclusive decision-making. In China, for example, centralized flood management policies, such as large-scale relocation initiatives, have shown technical efficiency but often frequently lack the community engagement needed for widespread acceptance (Yu et al., 2023). This gap underscores the importance of participatory governance models that bridge top-down planning with local needs. Moreover, fostering collaboration and information sharing across sectors is essential to enhance disaster prevention and relief efforts (Guo et al., 2023).

By contrast, projects like the Thamesmead urban regeneration initiative in the UK demonstrate the benefits of stakeholderdriven governance. By actively integrating technical expertise with local knowledge, these models foster trust, enhance public acceptance, and ensure that resilience measures align with community priorities (Coletta et al., 2024). Such approaches highlight how participatory governance can address the challenges of implementing adaptive strategies while maintaining social legitimacy.

Multi-Stakeholder Collaboration: Strengthening collective capacity

Collaboration among diverse actors—government agencies, NGOs, private sectors, and local communities—is critical for managing the complex risks of compound flooding.CF. In China, the Sponge City Program exemplifies the integration of nature based solutions (NbS), such as wetlands and green infrastructure, with urban planning to mitigate flood risks while restoring hydrological cycles (Chan et al., 2024). Similarly, in Fiji, traditional leadership structures, including chiefs and

religious leaders, play a vital role in disseminating preparedness messages, strengthening local resilience through cultural trust (Nolet, 2016).

However, challenges persist in ensuring equitable collaboration. While participatory mapping in Portugal successfully integrates technical and local knowledge for <u>risk managementFRM</u> (Freire et al., 2016), many regions still rely heavily on top-down approaches that limit community involvement. This <u>fact</u> is particularly evident in urban projects, where technical solutions often overshadow the inclusion of marginalized voices, reducing the overall effectiveness of resilience strategies. For instance, while China's application of hydrodynamic models emphasizes technical precision, it often overlooks meaningful opportunities for community participation, which limits the integration of local perspectives into flood resilience strategies (Xu et al., 2024).

# • Governance and Technology: Effective preparedness

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Addressing compound floodingCF risks requires a seamless integration of governance and technological advancements. Advances in hydrodynamic modelling and predictive tools, such as those used in China (Du et al., 2020; Xu et al., 2024), have significantly enhanced predictive accuracy, enabling more efficient resource allocation during flood events. However, as demonstrated by the Sponge City Program, the full potential of these technologies is realized only when combined with governance frameworks that prioritize inclusivity and community engagement (Chan et al., 2024). Furthermore, the success of early warning systemsEWS depends not only on technical accuracy but also on the accessibility of information conveyed to at-risk populations. Studies from the USA highlight that clear, actionable communication is crucial for ensuring timely community responses to compound hazards (Richmond and Kunkel, 2024). Without such transparency, even the most advanced predictive models' risk being underutilized, leaving vulnerable communities exposed to preventable losses. Similarly, as observed in Italy, these tools often regularly fail to translate into actionable governance frameworks, thereby limiting their effectiveness at the community level (Sacchi et al., 2023).

The integration of participatory governance with cutting-edge technology not only enhances predictive capabilities but also fosters trust among stakeholders, ensuring resilience measures are both scientifically robust and socially relevant. This highlights the importance of hybrid approaches that balance technological precision with the lived realities of vulnerable populations, bridging the gap between technical expertise and local needs.

#### Governance Challenges: Addressing fragmentation and enhancing coordination

As CF risks grow increasingly complex, fragmented governance frameworks exacerbate vulnerabilities and undermine resilience. Figure 8 illustrates the interconnected roles of key actors identified in the literature—local governments, NGOs, research institutions, and traditional leaders—in shaping governance strategies for preparedness. However, the lack of cohesive

coordination among these entities highlights a critical barrier: sectors often operate in isolation, focusing on single hazards rather than addressing the interconnected nature of compound risks (Sakie Trogrlie and Hochrainer Stigler, 2024)(Šakić Trogrlić and Hochrainer-Stigler, 2024).

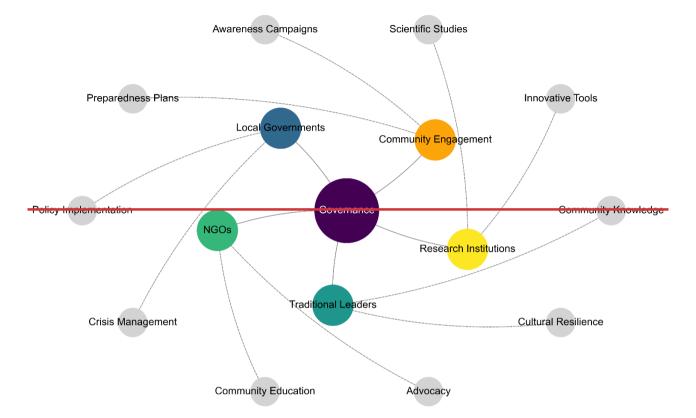


Figure 8. Governance Dimensions and Actor Interactions in Preparedness Strategies for CF-This diagram illustrates the fragmented roles of key actors—local governments, NGOs, traditional leaders, research institutions, and communities—in shaping governance strategies for preparedness. Approaches are often silocd, focusing on individual hazards and sectors, with limited interaction across different areas and levels of governance, resulting in unclear responsibilities for compound events.

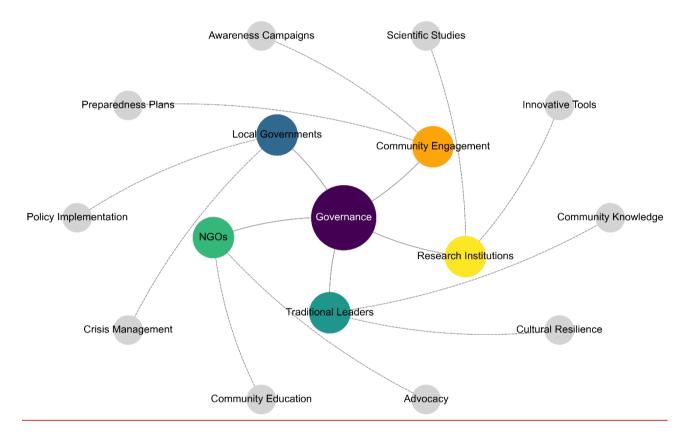
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While scientific advancements, such as hydrodynamic modelling and flood forecasting, have significantly improved the understanding of compound hazards, their application in actionable governance remains limited. For example, in China, despite progress in predictive tools, these advancements are rarely integrated into community-specific strategies (Xu et al., 2024). Similarly, Mozambique's urban resilience initiatives, though infrastructure-focused, fail to achieve their full potential due to the exclusion of community participation (Matos et al., 2023). These examples underscore how fragmented governance not only limits inter-agency collaboration but also hinders the equitable allocation of resources, leaving vulnerable populations inadequately supported.

A recurring challenge lies in the failure to institutionalize cross-sectoral coordination. As represented in <u>Figure 8</u>, research institutions play a pivotal role in generating valuable data on compound hazards. However, without clear mechanisms to translate these insights into policy, their potential impact is diminished. This disconnect is especially evident in <u>early warning systems EWS</u>, where technical precision often does not align with accessible, community-focused communication (Richmond and Kunkel, 2024). The resulting mismatch between technical capabilities and the needs of at-risk communities perpetuates preventable vulnerabilities.



945 <u>Figure 8. Governance Dimensions and Actor Interactions in Preparedness Strategies for CF. This diagram illustrates the fragmented roles of key actors—local governments, NGOs, traditional leaders, research institutions, and communities—in shaping governance strategies for preparedness. Approaches are often siloed, focusing on individual hazards and sectors, with limited interaction across different areas and levels of governance, resulting in unclear responsibilities for compound events.</u>

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To address these gaps, governance must evolve beyond siloed approaches and embrace systemic frameworks that incorporate multi-hazard or compound thinking into policy and practice. Collaborative models, such as China's Sponge City Program, exemplify the benefits of aligning technical solutions with participatory governance to address interconnected and cascading risks (Chan et al., 2024). However, these remain exceptions rather than norms. Bridging the gap between science and policy requires harmonized frameworks that integrate cross-sectoral coordination and prioritize inclusive, locally grounded solutions.

Such approaches must emphasize the co-production of knowledge, equitable resource distribution, and communication strategies tailored to community needs.

#### **5 Conclusions**

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This review exploredSeveral mechanisms identified in the literature could support this transition, including policy incentives that promote joint planning, shared funding schemes for inter-agency projects, and formal cooperation platforms that institutionalize collaboration among governments, civil society, and research institutions (Matczak and Hegger, 2021; Nordbeck et al., 2023). Additionally, coordinated data-sharing mechanisms—such as the exchange of historical and real-time information across institutional and spatial boundaries—can support timely communication and collective decision-making across administrative levels (Šakić Trogrlić et al., 2022). Embedding these mechanisms into preparedness strategies is essential not only to improve coordination, but to ensure that responses are inclusive, locally grounded, and operationally viable. Such approaches must prioritize the co-production of knowledge, the redistribution of decision-making power, and communication strategies tailored to community needs, moving from fragmented planning toward adaptive governance frameworks that reflect the complexity of CF risks.

#### 5. Discussion

This review began with the premise that CF presents a qualitatively distinct challenge for FRM and preparedness strategies. By examining how preparedness is addressed in 49 studies across diverse geographic and institutional settings, we identified recurrent patterns, conceptual tensions, and operational gaps. This final section reflects on the implications of those findings, returning to the two guiding research questions.

RQ1: How are preparedness strategies—are evolving to integrate technical, environmental, and social dimensions; alongside the role of governance and multi-stakeholder collaboration in enhancing preparedness for in managing CF risks?

The analysis shows an emerging shift from hazard-specific and sectoral approaches toward more integrative preparedness strategies. On the *technical side*, advances in hydrodynamic modelling, compound flooding (CF). The findings reveal a clear shift toward integrative approaches, incorporating resilient infrastructure, predictive models, early warning systems, and nature based solutions (NbS) with community engagement and risk perception aspects event simulations, and EWS are improving anticipatory capacity. However, significant gaps these tools often remain in operationalizing these advancements into frameworks that are actionable, inclusive, and adaptable to siloed and dependent on limited driver combinations, typically in bivariate frameworks (e.g., rainfall + storm surge), which limit their ability to capture the full complexity of CF. From an *environmental perspective*, there is growing incorporation of ecosystem-based approaches—particularly NbS—that offer multifunctional benefits for flood mitigation and ecological resilience. These interventions are being increasingly recognized

not only as protective measures but as integral components of adaptive preparedness planning. In terms of the *social dimension*, a broader acknowledgment is emerging regarding the role of community awareness, trust in authorities, and the value of local contexts knowledge in shaping effective responses. Some studies engage with participatory approaches or co-production of knowledge, although these remain relatively limited and regularly subordinated to technical objectives. Crucially, as recent studies (e.g., Sacchi et al. (2023)) point out, the effectiveness of EWS in CF contexts is often compromised by the way information is interpreted and acted upon. Even when forecasts are technically robust, the multiplicity of drivers/hazards can generate confusion, leading individuals and institutions to focus on a single dominant driver while overlooking other contributing factors. This cognitive simplification, coupled with the lack of integrated communication channels across agencies, weakens the operational relevance of alerts and hampers timely decision-making.

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Governance emerged as a decisive factor, with fragmented coordination and top down approaches frequently undermining the effectiveness of CF preparedness efforts. While initiatives like China's Sponge City Program and the Thamesmead regeneration project in the UK demonstrate the potential of participatory governance, such examples are rare. The lack of cohesive frameworks limits cross sectoral collaboration and equitable resource allocation, leaving many vulnerable populations inadequately supported. Addressing these gaps requires governance models that not only integrate multi-hazard concepts but also actively empower local stakeholders through shared decision making processes.

Behavioural insights, such as cognitive biases and missing links between knowledge, attitudes and behaviours further complicate efforts to increase preparedness. Many strategies fail to account for how individuals and communities interpret complex risks, leading to oversimplified or reactive responses that weaken resilience. Effective preparedness must bridge these gaps by improving risk communication and integrating behavioural dynamics into strategy design, ensuring that actions are both scientifically grounded and socially relevant.

Advancing CF preparedness also requires translating research and predictive models into practical, community driven solutions. Cascading impacts, non-linear climate feedback, and systemic vulnerabilities demand adaptive frameworks capable of anticipating complex interactions. Co production of knowledge between scientists, policymakers, and communities is essential for aligning technical innovation with local priorities and ensuring the implementation of sustainable, context-sensitive strategies.

Overall, preparedness for compound flooding must evolve into a holistic, adaptive process that unites technical precision, participatory governance, and behavioural insights. By fostering collaboration across disciplines and empowering communities, preparedness strategies can more effectively address the multifaceted risks of CF, building both immediate and long-term resilience in an era of growing climate uncertainty.

Despite these trends, integration across dimensions remains partial. In many cases, technical solutions are prioritized, and social or environmental aspects are appended rather than embedded. Moreover, compound logic is frequently cited but rarely translated into operational frameworks capable of addressing slow-onset or cascading impacts. This suggests that while

1015 preparedness strategies are evolving, they have not yet achieved full integration across the technical, environmental, and social domains.

# RQ2: What is the role of governance and multi-stakeholder collaboration in enhancing flood preparedness?

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The review suggests that governance structures and multi-stakeholder collaboration play an influential—but highly uneven—role. In some countries, governance frameworks have evolved to support cross-sector coordination and participatory planning. Initiatives such as China's Sponge City programme and the UK's Thamesmead regeneration project illustrate how co-produced strategies and hybrid infrastructures can foster locally grounded and adaptive preparedness. These examples show the potential of inclusive governance to bridge technical and social dimensions of FRM. However, such integrative efforts remain the exception. In many cases, preparedness continues to be hampered by fragmented institutional arrangements, overlapping mandates, and limited coordination across agencies and levels of government. This misalignment weakens the capacity to operationalize compound thinking. Four cross-cutting themes emerge.

First, while centralized governance structures can facilitate technical efficiency—particularly in countries like China—they often struggle to incorporate local needs and knowledge. The absence of participatory mechanisms weakens their legitimacy and adaptability. Conversely, stakeholder-driven models, such as the Thamesmead initiative in the UK, demonstrate how inclusive governance can enhance public trust, align interventions with community priorities, and support more flexible, adaptive planning.

Second, collaboration among diverse actors—government agencies, NGOs, private sectors, and local communities—proves essential for addressing the multidimensional nature of CF. Successful examples, such as Portugal's participatory mapping, highlight the value of integrating formal and informal systems. In other contexts, like Fiji, community-based governance and traditional authority structures play a central role in sustaining localized preparedness, even in the absence of formal institutional frameworks. However, many regions still rely heavily on top-down approaches that marginalize local perspectives, limiting the effectiveness and legitimacy of resilience strategies.

Third, technological advancements—such as hydrodynamic modelling and EWS—are enhancing predictive capacity. Yet, their effectiveness depends on the governance frameworks in which they are embedded. Where these tools are deployed without adequate community engagement or accessible communication strategies, their potential remains underutilized. This is evident in both high-capacity settings like Italy and emerging initiatives in countries like China and Mozambique.

Finally, the review underscores a persistent governance barrier: fragmented governance undermines coordination, slows down policy translation, and weakens preparedness. Despite the proliferation of actors and tools, many strategies remain siloed, focusing on individual hazards rather than interconnected drivers and hazards. Figure 8 illustrates how misalignment among key actors leads to unclear responsibilities, duplication of efforts, and missed opportunities for co-produced solutions.

In sum, while governance and multi-stakeholder collaboration are widely recognized as key elements of flood preparedness, their actual impact depends on their capacity to promote integration across sectors, support meaningful participation, and

reflect the complexity of CF hazard. Moving from isolated initiatives to broader institutional change requires embedding these principles into planning frameworks and aligning them with the realities of diverse and unequal territories.

#### 6. Limitations

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While this review offers a comprehensive synthesis of how preparedness strategies are evolving in response to CF risks, several limitations must be acknowledged. These limitations stem not only from the characteristics of the available literature but also from the methodological and interpretive choices made throughout the process.

First, the scope of the analysis is shaped by the selection criteria used. Although the systematic search aimed to capture a broad range of studies on CF preparedness, the terminology surrounding compound events remains ambiguous. As a result, relevant contributions framed under alternative terms may have been overlooked. This semantic ambiguity continues to pose a challenge for delineating the contours of an evolving research area.

Second, while the screening process combined machine learning tools (ASReview, BERT) with human judgement to minimize bias and improve transparency, it remains susceptible to subjective decisions—particularly in the labelling of borderline cases and the interpretation of "compound". Furthermore, the reliance on abstracts and titles during the early stages of screening may have led to the omission of studies that substantively engage with CF preparedness but do not make this explicit in their metadata.

Third, the analysis of preparedness strategies relied heavily on the content of peer-reviewed articles, many of which focus on theoretical frameworks or modelling approaches rather than grounded, empirical documentation of preparedness practices. As such, the review may underrepresent informal or practice-based knowledge, especially in low-resource settings where scientific publication may not reflect the full range of community efforts and governance dynamics.

Fourth, the review emphasizes coastal and estuarine contexts, in line with its research objective. While this focus allows for greater depth, it limits the generalizability of findings to other environments where CF also occurs, such as inland regions or urban basins exposed to simultaneous pluvial and fluvial drivers.

Fifth, although this review aimed to reflect a balance among technical, environmental, and social dimensions, the underlying literature remains structurally skewed toward technical approaches. Social and behavioural perspectives—despite their recognized importance in shaping preparedness—are less frequently addressed in ways that allow for meaningful comparison. This imbalance may stem from systemic barriers, including funding schemes that prioritize technological innovation, disciplinary silos, and limited availability of empirical social data. As a result, aspects such as trust, participation, and local knowledge—critical to the design and effectiveness of preparedness strategies—are often underrepresented. This gap constrains not only the integrative capacity of the review but also the potential to assess how preparedness operates in real-world, socially embedded contexts.

Finally, this study does not provide a formal meta-analysis or quantitative synthesis, as the heterogeneity of methods, definitions, and scales across studies makes such aggregation analytically problematic. Instead, the emphasis was placed on qualitative synthesis and thematic integration. While this approach enables interpretive depth, it may limit reproducibility and comparability across reviews.

## 7. Future research and reflections

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The literature reveals a field in conceptual and methodological evolution. The proliferation of compound event frameworks has widened the lens through which flooding is viewed, yet many studies stop short of embracing this complexity in actionable terms. A vast majority of the analysed studies does not incorporate behavioural insights into preparedness frameworks. This omission is critical: if individuals—and institutions— simplify risk without including compound dynamics, then communication, EWS, and planning efforts must be adapted to counteract such tendencies.

Operationalizing more integrative preparedness also requires facing persistent limitations in data availability, model interoperability, and transferability. Comparative analysis is hindered by heterogeneous methodologies and inconsistent definitions, particularly regarding what qualifies as "compound". While standardization may help address some of these issues, the diversity of CF contexts demands a parallel investment in methodological pluralism and context-sensitive planning. Future research should also explore how to balance and integrate green, blue, and gray (engineered) infrastructures in ways that reflect local needs, environmental conditions, and available resources. Such integrative approaches can enhance both technical robustness and social legitimacy in preparedness strategies.

Rather than being treated merely as a phase within the DRR cycle, preparedness should be understood as a systemic and socially embedded process, as emphasized in the SFDRR. Enhancing it involves more than developing tools or protocols—it calls for inclusive mechanisms that enable those at risk to act as co-producers of their own safety. This process is shaped by power relations, timing mismatches, and epistemic hierarchies that influence whose knowledge is recognized and who holds decision-making authority. The failure to integrate community insights or redistribute decision-making power limits the transformative potential of preparedness. When local perspectives are sidelined or authority remains concentrated, meaningful change becomes unlikely. When local perspectives are sidelined, transformative change becomes unlikely. In this sense, governance fragmentation reflects not only institutional limitations but also deeper asymmetries in how risk is conceptualized and addressed.

To move forward, several directions emerge. First, CF preparedness must explicitly incorporate behavioural research—not only to understand individual perceptions, but to inform the design of EWS, participatory tools, and adaptive learning mechanisms. Second, operational strategies must be stress-tested against real-world constraints—such as limited data, scarce resources, and unclear mandates—particularly in under-resourced contexts. Third, governance must evolve to facilitate co-production through shared platforms, iterative learning, and both vertical and horizontal coordination.

Finally, preparedness should be conceived as both anticipatory—by integrating uncertainty into planning—and reflexive—by allowing for continuous adjustment based on evolving conditions and knowledge. Rather than prescribing fixed solutions, it should enable adaptive coordination across sectors, institutions, and scales, while empowering communities as active agents in managing CF risk.

<u>Data availability</u>. An interactive version of Figure 07, illustrating the evolution of preparedness strategies, is available at: <a href="https://doi.org/10.5281/zenodo.15848424(Gomez et al., 2025)">https://doi.org/10.5281/zenodo.15848424(Gomez et al., 2025)</a>.

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AS: conceptualization, methodology, writing (review and editing). MJMdJ: validation, writing (review and editing). All authors contributed to the interpretation of results and provided feedback on the manuscript.

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