



Towards resolving poor performance of mechanistic soil organic carbon models

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Abstract

The accuracy of soil organic carbon (SOC) models and their ability to capture the relationship between SOC and environmental variables are critical for reducing uncertainties in future projection of soil carbon balance. In this study, we evaluate the performance of two state-of-the-art mechanistic SOC models, the vertically resolved MIcrobial-MIneral Carbon Stabilisation (MIMICS) and Microbial Explicit Soil Carbon (MES-C) model, against a machine learning (ML) approach. By applying multiple interpretable ML methods, we find that the poorer performance of the two mechanistic models is associated both with the missing of key variables, and the underrepresentation of the role of existing variables. Soil cation exchange capacity (CEC) is identified as an important predictor missing from mechanistic models, and soil texture is given more importance in models compared to observations. Although the overall relationships between SOC and individual predictors are reasonably captured, the varying sensitivity across entire predictor range is not replicated by mechanistic models, most notably for net primary production (NPP). Observations exhibit a nonlinear relationship between NPP and SOC while models show a simplistic positive trend. Additionally, MES-C largely diminishes interacting effects of variable pairs, whereas MIMICS produces mismatches relating to the interactions between NPP and both soil temperature and moisture. Mechanistic models also fail to reproduce the interactions among soil moisture, soil texture, and soil pH, hindering our understanding on SOC stabilisation and destabilisation processes. Our study highlights the importance in improving the representation of environmental variables in mechanistic models to achieve a more accurate projection of SOC under future climate conditions.



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1. Introduction

Soil is the largest terrestrial carbon pool storing more organic carbon than plant and atmosphere combined (Jackson et al., 2017). It can act as either a carbon sink or source depending on the balance between carbon inputs in the form of plant litter and outputs through soil respiration and leaching (Terrer et al., 2021). As a key component of global carbon cycle, soil organic carbon (SOC) plays a crucial role in climate change mitigation. Various land management strategies have been implemented to enhance SOC sequestration as a means of partially offsetting rising atmospheric CO₂ levels (Paustian et al., 2016; Rumpel et al., 2018). However, the effectiveness of these strategies relies on accurately estimating current SOC content and its spatial distribution—a task that remains a significant challenge (Todd-Brown et al., 2014; Tian et al., 2015).

Mechanistic models are critical tools for predicting contemporary global SOC stocks and quantifying their responses to climate change (Todd-Brown et al., 2013; Viscarra Rossel et al., 2024). However, development on modelling the SOC in Earth System Models (ESMs) is slow over the last decade (Varney et al., 2024). Conventional SOC models such Century (Parton et al., 1987) and RothC (Jenkinson and Rayner, 1977) represent SOC decomposition as a firstorder process wherein carbon loss and CO₂ production are directly proportional to the pool size, and decomposition rate is modified mainly by soil temperature and water content, but independent of soil microbial biomass. Emerging theories demonstrate the importance of soil microbes on SOC stabilisation. Microbial organisms are central in SOC decomposition and microbial products are themselves an important source of stabilised SOC (Schmidt et al., 2011; Liang et al., 2017). Therefore, numerous SOC models with explicit representation of microbial activities have been developed aiming to improve the predictability of SOC and reduce uncertainties in the projection of the carbon-climate change feedback (Wieder et al., 2014; Abramoff et al., 2022; Chandel et al., 2023). The ability of microbial explicit models to better represent the mechanisms of SOC dynamics remains debated. For instance, Zhang et al. (2020) found that a microbial explicit model outperformed conventional models in predicting equilibrium forest SOC concentration on continental scale. However, Zhou et al. (2021) showed that the shift from conventional models to microbial explicit models didn't substantially improve the accuracy in simulating the responses of soil heterotrophic respiration, a key component of SOC dynamics, to soil rewetting. Additionally, microbial-explicit models introduced greater uncertainty to climate change projections compared with conventional models due partly to the complex model structure (Shi et al., 2018). Microbial-explicit models





incorporate advanced knowledge of SOC dynamics, but their uncertainties should be further reduced for better reliability.

Uncertainties of microbial explicit models arise from different sources. One major contributor is the poorly constrained parameter values (Xu et al., 2018; Gurung et al., 2020; Pierson et al., 2022). Besides, gaps in theoretical understanding are reflected in uncertain model structures, e.g., the selection of key variables and representation of SOC decomposition and formation processes (Bradford et al., 2016; Luo et al., 2016). SOC turnover is a complex progress regulated by many factors including environmental conditions, soil properties and plant traits (Stockmann et al., 2013), among which limited are considered by current mechanistic models. Temperature is the most widely incorporated variable in microbial-explicit SOC models, as it strongly influences decomposition processes, followed by soil moisture (Wang et al., 2013; Wieder et al., 2015; Abramoff et al., 2022). Soil texture, particularly clay and silt content, is also considered by some models for simulating adsorption and desorption processes (Georgiou et al., 2022). It is impractical to incorporate all possible factors into the mechanistic models, and it is still a challenge to determine which are essential for accurately simulating SOC dynamics and which can be reasonably excluded without compromising model reliability.

The effects of specific variables on SOC dynamics are complex and often interact in complex ways. For example, higher temperature stimulates soil microbial activities then accelerates SOC decomposition (Karhu et al., 2014; García-Palacios et al., 2021). Simultaneously, increasing temperature enhances plant growth leading to more carbon inputs to the soil, and the addition of fresh carbon may trigger either positive or negative priming effects to accelerate or depress microbial activities and then influence SOC mineralisation (Perveen et al., 2019; Bernard et al., 2022). Soil moisture also plays a dual role in SOC dynamics. Both excessive and insufficient soil moisture may reduce SOC decomposition by limiting oxygen availability to soil microorganisms or reducing diffusion ability of soluble SOC substrates and extracellular enzymes, respectively (Davidson and Janssens, 2006). Furthermore, the impact of a given variable on SOC can be altered by the inclusion of additional factors. For instance, when precipitation patterns remain steady, warming causes significant carbon losses from soil, however, the negative effect of warming will be offset, for example, if precipitation is reduced and severe drought occurs (Schindlbacher et al., 2012). Different models represent these dependencies in varying ways (Chandel et al., 2023). It remains unclear whether the relationships between individual predictors and SOC, as well as the combined effects of multiple factors, are accurately captured by these models.



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Machine learning models are data-driven modelling approaches that excel at capturing nonlinear relationships and complex interactions between multiple predictors and target variable (Ryo and Rillig, 2017). A wide range of machine learning algorithms have been applied to SOC predictions, outperforming mechanistic models in terms of accuracy. For instance, Wang et al. (2024) trained both a random forest model and the process-based MIcrobial-MIneral Carbon Stabilization (MIMICS) model using around 1000 SOC observations in Australia, and found that random forest performed better in SOC prediction with greater R² and lower root mean square error (RMSE). Despite superior predictive accuracy, machine learning models are often criticised due to their lack of transparency and limited interpretability, earning them the label of a 'black box'. To address this issue, explainable artificial intelligence (XAI) methods have been developed to build trust in machine learning models by more transparently mapping the relationship between inputs and outputs (O'Loughlin et al., 2025). Wadoux and Molar (2022) applied various XAI methods in a case study mapping topsoil organic carbon in France, revealing how complex models can be interpreted by analysing predictor importance, their interactions, and the functional relationships between environmental covariates and SOC. XAI techniques can also be applied to diagnose mechanistic models by evaluating whether the representation of predictors in the models aligns with observations. Georgiou et al. (2021), for example, used machine learning models to predict the global SOC derived from observed profiles, observationally derived products (e.g., Harmonised World Soil Database, HWSD), and an ensemble of soil biogeochemical models, and disentangled the role of covariates in predicting different sources of SOC using XAI methods such as feature importance and partial dependence plots. They found that there was a mismatch between observations and models in the emergent role of environmental controls in explaining SOC variability with models overemphasizing the importance of temperature and primary productivity. However, their study didn't examine predictor interactions, and while the analysis of SOC profiles was conducted at plot-level, model-derived SOC were produced at a resolution of 1.9° × 2.5°, resulting in a lack of site-tosite correspondence and limiting the reliability of fine-scale interpretations.

Evaluating the representation of environmental variables in mechanistic models is pivotal for enhancing our understanding on SOC dynamics and guiding future model development. In this study, we compile global SOC profile data along with relevant environmental covariates to address three key questions: 1) How much spatial SOC variation can be explained by microbial-explicit mechanistic models compare to that of machine learning approaches? 2) Are there key environmental drivers missing from mechanistic models? 3) Are the effects of





- 138 existing individual variable and their interactions on SOC accurately captured by mechanistic
- 139 models?

140 2. Data and Methods

- 141 2.1 Model description
- 142 2.1.1 MIMICS
- 143 MIMICS (Figure 1a) contains two litter pools, metabolic (LIT_m) and structural (LIT_s) litter, and
- the partitioning of litter input into metabolic and structural pools is determined by litter quality.
- 145 Litter and SOC turnover are governed by two microbial functional types, r-selected (MIC_r) and
- 146 K-selected (MIC_k) microbes. SOC is divided into three pools: physically protected (SOC_p),
- 147 chemically protected (SOC_c) and available (SOC_a) carbon. The decomposition of litter pools
- and SOC pools follows forward Michaelis-Menten (FMM) kinetics where the maximum
- reaction velocity (V_{max}) and the half saturation constant (K_{m}) determine the rate of microbial
- 150 decomposition. Microbial growth efficiency (MGE) determines the partitioning of carbon
- 151 fluxes entering microbial biomass pools vs. heterotrophic respiration. Access of microbial
- enzymes to available substrates depends on the soil texture. Detailed description and equations
- of MIMICS can be found at Wieder et al., (2015), except that density-dependent microbial
- turnover is introduced to minimise an unrealistic oscillation (Zhang et al., 2020). Additionally,
- a soil moisture scalar $K(\theta, \psi)$ (see Supplementary Material) is introduced to the model to
- 156 consider the effect of soil moisture on SOC turnover. Vertical transport via bioturbation and
- diffusion was introduced to MIMICS allowing for simulation of SOC at depths (Wang et al.,
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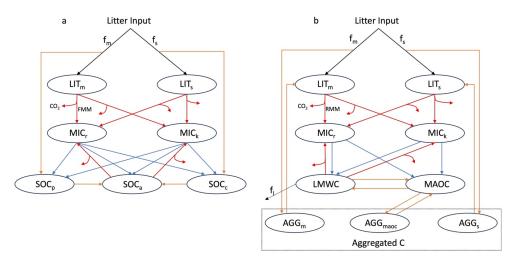


Figure 1. SOC pools and fluxes represented in **a)** MIMICS and **b)** MES-C. Black lines represent carbon getting into and out of the soil system via litter input and leaching (f₁) process. Litter inputs are partitioned into metabolic and structural litter pools (LIT_m and LIT_s, respectively) based on litter quality (f_m and f_s). Red lines represent decomposition of litter and SOC via two functional types of microbes (MIC_r and MIC_k) governed by forward Michaelis-Menten (FMM) and reversed Michaelis-Menten (RMM) kinetics in MIMICS and MES-C, respectively. Partitioning of C fluxes entering microbial biomass pools and heterotrophic respiration is determined by microbial growth efficiency. Blue lines represent the turnover of microbial biomass, which is partitioned into available, physically protected and chemically recalcitrant SOC pools (SOC_a, SOC_p and SOC_c, respectively) in MIMICS, and into light molecule weight carbon (LMWC) pool and mineral associated carbon (MAOC) pool in MES-C. Orange lines represent the protection/unprotection of SOC. In MES-C, SOC protection is explicitly represented in the form of MAOC, and aggregated C including aggregated metabolic C, aggregated structural C and aggregated mineral associated C (AGG_m, AGG_s and AGG_{maoc}, respectively).

2.1.2 Microbial Explicit Soil Carbon (MES-C) model

Emerging theories highlight SOC stabilisation in the form of aggregated and mineral associated C, which are thought more stable because of less accessibility to soil microbes. MIMICS has conceptual SOC pools but does not explicitly represent the aggregation and mineral-association processes, nor does it consider the finite capacity of soils to store SOC (Georgiou et al., 2022). Therefore, we introduce MES-C (Figure 1b) here to integrate recent advances in SOC stabilisation theories. MES-C has two litter pools, and the decomposition of litter and SOC are governed by two functional types of microbes, which are the same as in MIMICS. SOC is divided into three parts: light molecule weight carbon (LMWC), mineral associated organic carbon (MAOC) and aggregated organic carbon, where aggregated carbon includes three fractions: aggregated metabolic carbon (AGG_m), aggregated structural carbon (AGG_s) and





aggregated mineral associated carbon (AGG_{maoc}) (Laub et al., 2024). Decomposition of litter pools is similar to that in MIMICS but follows reversed Michaelis-Menten (RMM) kinetics. Protection of organic carbon in the form of MAOC is via adsorption process regulated by soil acidity, soil moisture, and maximum adsorption capacity relating to soil texture. Desorbed MAOC can either become available for microbial enzymes or be protected within soil aggregates. Vertical transport of SOC is same as that in MIMICS. More details and equations of MES-C can be found in the Supplementary Material.

2.1.3 Random Forest

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Random forest (RF) is a tree-based ensemble machine learning algorithm that builds multiple decision trees during training and average their outputs to make predictions for regression tasks (Breiman, 2001). Each tree is trained on a random subset of data, and a random subset of predictors is considered at each split. This approach reduces overfitting and enhances the model's ability to be generalised. It also allows for robust error estimation based on the use of out-of-bag (OOB) data (the observations excluded from the training sample). RF is particularly well-suited for explainable machine learning techniques due to its interpretable architecture (Jennath and Asharaf, 2025), enabling a comprehensive understanding of predictors' influence and interactions on model outputs.

Two random forest models, one trained using a broad set of environmental predictors (Table 1) (RF_{env}), and one trained only using the inputs for MES-C (RF_{inp}) as predictors, are used in this study. RF_{env} helps assess if there are important predictors missing from mechanistic models. RF_{inp} enables a direct comparison between machine learning and mechanistic models with the same set of predictors and helps evaluate whether the representation of predictors in mechanistic models is consistent with observations.

212 2.2 Data

2.2.1 Predictors

MIMICS requires soil temperature, soil moisture, carbon input and soil clay content as inputs, while MES-C additionally requires soil silt content and soil pH. Carbon input is represented by net primary production (NPP) in this study. Predictors used for the random forest models were collected from four categories, including climate, soil properties, terrain and vegetation, which have previously been found to be important for SOC prediction (McBratney et al., 2003). After checking for collinearity and correlation, 13 predictors were used (Table 1).



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NPP was extracted from MODIS (MOD17A3HGF V6.1) (Running and Zhao, 2021), and the above/belowground components was estimated using the ratio of aboveground to belowground biomass carbon density from gridded global maps (Spawn et al., 2020). Fraction of belowground NPP allocated to different soil layers follows a negative exponential function (Wang et al., 2021). (Bio)climatic variables were sourced from WorldClim 2 (Fick and Hijmans, 2017). Evapotranspiration was from the Global Aridity Index and Potential Evapotranspiration Database: Version 3 (Trabucco and Zomer, 2019). Elevation was the same as used to produce WorldClim 2 data, downloaded from the WorldClim 2 website (https://www.worldclim.org/data/worldclim21.html, last accessed: 7 March 2025). Soil properties (e.g., pH, clay and silt content) were extracted from SoilGrids 2.0 (Poggio et al., 2021) where each property is modelled independently using environmental covariates. Soil properties were reported for multiple soil layers, and we harmonised them to every 30 cm interval using weighted averages. Soil temperature and moisture were extracted from ERA5-Land (Muñoz-Sabater et al., 2021) and were standardised to every 30 cm interval. SOC was assumed to be at steady state in this study, and we used the mean annual value of time-series predictors to represent the average environmental conditions. All data were resampled to ~1 km resolution using bilinear interpolation and extracted using longitude and latitude of observed SOC profiles. If the extraction returned a non-numeric value, it was replaced by the average of the adjacent eight grid cells. Profiles were excluded if no valid value was obtained after this adjustment.

All environmental variables along with units and time period used for both mechanistic models and random forest models are listed in Table 1.

Table 1. Variables used for random forest and mechanistic models

Variable	Description	Unit	Time Period	Model
AMT	Annual Mean Temperature	°C	1970-2000	RF_{env}
MTWQ	Mean Temperature of Wettest Quarter	°C	1970-2000	RF_{env}
AP	Annual Precipitation	mm	1970-2000	RF_{env}
PS	Precipitation Seasonality		1970-2000	RF_{env}
PDQ	Precipitation of Driest Quarter	mm	1970-2000	RF_{env}
PCQ	Precipitation of Coldest Quarter	mm	1970-2000	RF_{env}
ET	Reference Evapotranspiration	mm day ⁻¹	1970-2000	RF_{env}
Soil Temp.	Soil Temperature	°C	1990-2020	RF _{inp} ; MIMICS; MES-C
Soil Moist.	Soil Moisture Content	mm ³ mm ⁻³	1990-2020	RF _{inp} ; MIMICS; MES-C
Soil Moist.	Soil Moisture Content	mm ³ mm ⁻³	1990-2020	RF _{inp} ; MIMICS; ME





Clay	Soil clay content	%	All models
Silt	Soil silt content	%	RF_{env} ; RF_{inp} ; $MES-C$
pН	Soil pH in H ₂ O		RF_{env} ; RF_{inp} ; $MES-C$
CEC	Cation Exchange Capacity	cmol kg ⁻¹	RF_{env}
NPP	Net Primary Production	g C m ⁻² year ⁻¹ 2001-2020	All models
Elevation		m	RF_{env}

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2.2.2 SOC observations

SOC profile data were obtained from WoSIS (snapshot 2019) (Batjes et al., 2020). Profiles sampled from unvegetated regions were removed based on MODIS global International Geosphere-Biosphere Programme (IGBP) map (MCD12Q1.061, https://doi.org/10.5067/MODIS/MCD12Q1.061). We selected profiles with SOC less than 120 g kg⁻¹ (Cotrufo et al., 2019) to restrict our study on mineral soils which consist primarily of mineral particles such as clay, silt and sand. To better represent SOC at depths, we selected profiles with at least two observed layers. Based on data availability, SOC values were harmonized at 30 cm intervals up to a depth of 1.5 m using a spline function from *ithir* package in R (Malone et al., 2017). To maintain consistency with the resolution of predictors, profiles were resampled to ~1km by averaging those located within the same 1km × 1km grid cell. SOC typically decreases with depth, and profiles showing increasing SOC with depth were excluded by fitting the vertical SOC data to the following equation (Jobbágy and Jackson, 2000) and removing those with slope (k) greater than 1,

$$\log Y = k \log d + I$$

Where Y (g kg⁻¹) is cumulative SOC content, d (m) is soil depth, and k and I are fitted slope and intercept parameters, respectively. Profiles with missing predictor values (Table 1) were also excluded. This process resulted in a final dataset of 37,691 profiles, and their spatial distribution is shown in Figure 2a. SOC profiles are unevenly distributed with most of them coming from the North Hemisphere. SOC at 0–30 cm and 30–60 cm was reported for all profiles, while SOC for deeper layers was available for fewer profiles (Figure 2b). SOC distribution at all layers is positively skewed, and SOC at top 30 cm is significantly greater than deeper layers with a mean value at 16.59 g kg⁻¹.





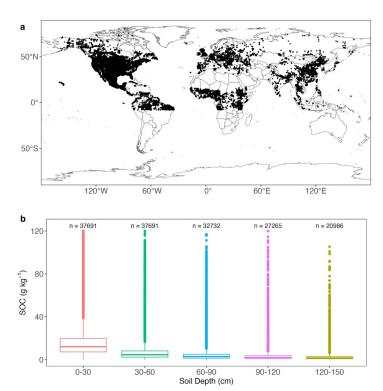


Figure 2. a) Spatial distribution of 37691 SOC profiles used in this study; **b)** box plots of SOC at depths. For box plots, centre lines represent the median value, upper and lower box boundaries represent the respective third and first quartiles, and the whiskers extend to the smallest and largest values within 1.5 times the interquartile range.

2.3 Parameter optimization

To optimise parameters for MIMICS and MES-C, SOC profiles were divided into 12 distinct clusters by maximising the similarity of 12 environmental variables (Table 1) within each cluster, using *k*-means clustering (Hartigan and Wong, 1979). The underlying assumption here was that profiles sharing similar environmental conditions would exhibit a similar SOC content, which was previously proved to be more effective than using plant functional types in aggregating SOC for parameter optimisation (Wang et al., 2024). The number of clusters was determined by minimising the sum of the within-cluster sum of squares of all clusters (WCSSE), a process facilitated by the 'ClusterR' package in R (Version 4.2.0). Six parameters directly control SOC decomposition rates are optimised in MIMICS (Table S2). To reduce the effect of parameter numbers on model performance comparison, six relatively sensitive parameters are optimised for MSE-C (Table S3). An effective global optimisation algorithm called the shuffled complex evolution (SCE-UA, version 2.2) method (Duan et al., 1993) was





applied to each cluster for parameter optimisation by minimising the residual sum of squares between the observed and modelled values at all depths.

Two parameters of random forest models, the number of trees and the number of predictors at each node (mtry), were optimized by increasing coefficient of determination (R^2). The number of trees for both RF_{env} and RF_{inp} were set to 500, and mtry was set as default, which is the square root of the number predictors.

2.4 Model evaluation

We randomly selected 80% of observations (training data) from each cluster (see Section 2.3) to train the models while the remaining 20% of observations (test data) were used for validation. This procedure was repeated 10 times for cross-validation. SOC profiles with mean annual soil temperature below 0 °C (108 profiles) were excluded during training because both mechanistic models cannot represent permafrost soils, but we applied the trained RF and mechanistic models to these soils for reference.

The performance of models was evaluated at each 30 cm soil interval using mean absolute error (MAE), root mean square error (RMSE) and R². MAE and RMSE closer to 0 and a R² closer to 1 reflect better performance. Considering the different numbers of predictors used in RF and mechanistic models, we also used Akaike information criterion (AIC) to compare performance of different models,

$$AIC = n \times \ln(RMSE) + 2p$$

where n is the number of profiles, and p is the number of predictors. Models with lower AIC show a better trade-off between fit and complexity.

2.5 Explainable artificial intelligence techniques

To apply explainable artificial intelligence (XAI) techniques to diagnose the performance of the mechanistic models, we first optimised parameters for each cluster (see Section 2.3) using all SOC profiles to obtain modelled SOC values. The modelled SOC of MIMICS and MES-C were then used as target variable in separate random forest models. XAI techniques were applied to these two random forest models as well as RF_{inp}. The results were then compared to identify key differences.

2.5.1 Permutation variable importance

RF-based permutation variable importance (PVI) is employed to assess the contribution of each variable in predicting SOC. PVI measures the decrease in model performance when the values of a specific predictor are randomly shuffled while keeping other predictors unchanged.





- 320 By disrupting the relationship between the permuted variable and SOC, the prediction accuracy
- 321 of RF typically declines if the variable is important. The magnitude of RF performance
- reduction is measured using mean squared error (MSE).

2.5.2 SHAP

Shapley Additive exPlanations (SHAP) (Lundberg and Lee, 2017) were used to quantify the contribution of each predictor to the models' prediction. Derived from cooperative game theory, SHAP values fairly allocate the model's prediction among all predictors by considering all possible predictor combinations and their interactions. This approach ensures that each predictor's influence is measured based on its marginal impact. Unlike PVI evaluating a predictor's global importance by measuring the drop in overall model performance when its values are randomly shuffled, SHAP values assess predictor contribution at the individual prediction level. The sum of all SHAP values for a given instance, along with the model's baseline prediction (the average prediction across all SOC sites) equals the predicted SOC value. The absolute SHAP value indicates the strength of a predictor's influence while its direction (positive or negative) shows whether the predictor pushes the prediction higher or lower.

2.5.3 PDP and ICE

Partial dependence plots (PDPs) are used in machine learning to visualise and interpret the relationship between one or more predictors and the target variable while accounting for the average effect of all other predictors in the model. A one-dimensional PDP depicts the marginal effect of a single predictor on SOC, and the partial dependence values are computed by evenly varying the predictor values across its observed range while keeping the other predictors constant and averaging the predicted outcomes over all data instances. In addition to the average effect of a single predictor, individual conditional expectation (ICE) plots (Goldstein et al., 2015) are employed to capture the heterogeneity in the relationship between predictor and SOC by plotting individual curves for each SOC instance. To address overlapping and improve interpretability, we converted ICE plots into density plots allowing for a clearer visualisation of predictor effects while preserving the variability among observations. Two-dimensional PDPs are also employed to investigate interaction effects between pairs of predictors.





3. Results

3.1 Model Evaluation

Random forest models consistently outperform mechanistic models out-of-sample in predicting global SOC content with lower AIC, MAE and RMSE at all soil layers (Table 2), along with higher R^2 indicating greater explained SOC variations. This advantage is particularly evident in RF_{env} which incorporates a broad set of environmental predictors. MIMICS and MES-C perform comparably in terms of MAE and RMSE, but MIMICS shows slightly higher R^2 . Vertically, predictability decreases with depth with the highest accuracy observed in the topsoil, a trend consistent across all models. In focusing on the utility of XAI techniques below, we hereafter focus our analysis on the 0-30 cm soil, noting that the same techniques are similarly applicable for lower soil layers, and indeed will show a greater discrepancy between mechanistic and ML models (based on results in Table 2).

Table 2. Minimum and maximum performance metrics of SOC predictions for test data. Values in brackets are the averages of 10 cross-validation simulations.

Model	Depth(cm)	MAE (g kg ⁻¹)	RMSE (g kg ⁻¹)	\mathbb{R}^2	AIC
_	0-30	6.12-6.36 (6.21)	9.83-10.36 (10.08)	0.50-0.55 (0.54)	17253-17649 (17437)
	30-60	3.51-3.68 (3.59)	6.42-7.25 (6.78)	0.43-0.48 (0.46)	14035-14961 (14448)
$RF_{env} \\$	60-90	2.44-2.63 (2.51)	4.84-5.84 (5.25)	0.35-0.49 (0.43)	10347-11571 (10864)
	90-120	1.93-2.01 (1.97)	4.25-5.03 (4.60)	0.29-0.42 (0.35)	7909-8835 (8338)
	120-150	1.59-1.74 (1.66)	3.42-4.51 (3.96)	0.33-0.41 (0.36)	5190-6349 (5781)
	0-30	7.32-7.64 (7.40)	11.49-12.21 (11.86)	0.33-0.37 (0.35)	18416-18870 (18650)
	30-60	4.09-4.33 (4.19)	7.38-8.49 (7.82)	0.23-0.32 (0.28)	15081-16134 (15512)
$RF_{inp} \\$	60-90	2.85-3.07 (2.92)	5.71-6.64 (6.02)	0.20-0.29 (0.25)	11416-12403 (11750)
	90-120	2.20-2.26 (2.24)	4.81-5.50 (5.11)	0.15-0.24 (0.19)	8575-9305 (8896)
	120-150	1.77-1.96 (1.88)	3.92-5.06 (4.48)	0.12-0.22 (0.17)	5742-6818 (6294)
	0-30	8.34-8.53 (8.43)	12.94-13.46 (13.14)	0.17-0.21 (0.19)	19315-19618 (19431)
	30-60	4.64-4.88 (4.74)	8.39-9.01 (8.66)	0.10-0.13 (0.12)	16053-16588 (16287)
MIMICS	60-90	3.34-3.56 (3.44)	6.21-7.16 (6.61)	0.09-0.12 (0.10)	11948-12930 (12365)
	90-120	2.81-2.99 (2.92)	4.76-6.03 (5.35)	0.07-0.10 (0.09)	8501-9871 (9142)
	120-150	2.70-2.81 (2.77)	4.28-5.05 (4.69)	0.06-0.09 (0.07)	6082-6769 (6479)
	0-30	8.17-8.68 (8.51)	12.79-13.85 (13.40)	0.14-0.16 (0.15)	18523-19833 (19508)
	30-60	4.41-4.93 (4.74)	8.53-9.47 (9.03)	0.04-0.07 (0.05)	15580-16969 (16544)
MES-C	60-90	3.39-3.75 (3.61)	6.56-7.52 (6.89)	0.04-0.06 (0.05)	11954-13250 (12588)
	90-120	2.76-2.97 (2.85)	4.90-6.23 (5.51)	0.04-0.06 (0.05)	8659-10045 (9258)
	120-150	1.83-2.06 (1.98)	4.03-5.03 (4.60)	0.04-0.06 (0.05)	5837-6755 (6351)





3.2 Relative predictor importance

Figure 3 illustrates the relative importance of predictors for SOC prediction. In the larger set of 12 predictors (Figure 3a), observations suggest that AMT is the most influential factor, followed by annual precipitation. Soil CEC also ranks highly, while other soil properties make minimal contributions to observed SOC prediction. Notably, NPP and elevation have relatively lower importance in predicting observed SOC. When predictors are restricted to the mechanistic models' inputs (Figure 3b), NPP and soil temperature are the two most important predictors, and this is reflected in the PVI of observations and modelled SOC (Figure 3b for observations, Figure 3c for MES-C and Figure 3d for MIMICS), although the gap of importance between these two predictors is larger for MES-C compared to observations or MIMICS. Soil texture (e.g., clay and silt content) ranks lowest in predicting observed SOC but is given more importance in both mechanistic models. In contrast, soil pH and soil moisture show lower contributions in mechanistic models compared to observations.

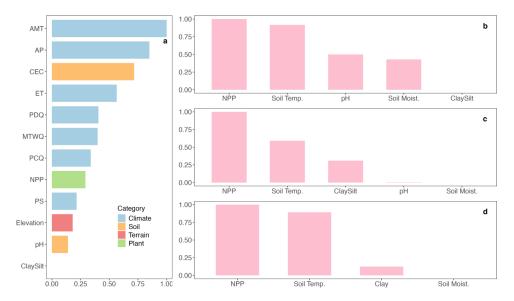


Figure 3. Permutation variable importance (PVI) of predictors on SOC. **a)** PVI of 13 predictors on observed SOC; **b)** PVI of MES-C inputs on observed SOC; **c)** PVI of MES-C inputs on SOC modelled by MES-C; **d)** PVI of MIMICS inputs on SOC modelled by MIMICS. Definitions of variables can be found in Table 1.





3.3 SHAP values

SHAP values quantifying the contributions of inputs of mechanistic models are shown in Figure 4. Positive SHAP values mean that the predictor likely pushes predicted SOC higher while negative SHAP values mean that the predictor pushes predictions lower. Each point represents an individual SOC instance, and the vertical jitter helps visualise data density.

Of the inputs of mechanistic models, soil temperature and NPP are two most important variables for SOC observations as indicated by their greater mean absolute SHAP values (values in brackets in Figure 4a). When examining the relationship between SOC observations and different predictors (Figure 4a), higher soil temperature tends to reduce SOC, while higher soil clay and silt content tends to stimulate SOC accumulation. Lower soil pH (higher acidity) is associated with higher observed SOC values, though this relationship is less pronounced than that of soil temperature. There is no obvious pattern of the relationship between either soil moisture or NPP and SOC observations. The relationships between soil temperature, soil texture, soil pH and SOC are captured by mechanistic models (Figure 4b for MES-C, Figure 4c for MIMICS) but with lower magnitudes as reflected by narrower ranges of SHAP values. Notably, there is a clear positive linear relationship between NPP and SOC in mechanistic models (Figure 4b, c), which is different from observations.

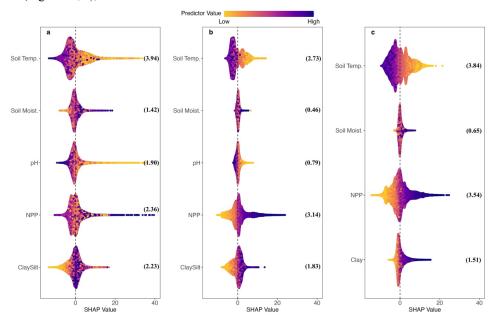


Figure 4. SHAP values showing the impacts of predictors on **a**) observed SOC; **b**) modelled SOC by MES-C; **c**) modelled SOC by MIMICS. The jitter along vertical axis is used to reduce point overlap and visualise data density. The vertical dashed line represents SHAP values at 0.





Numbers in brackets are mean absolute SHAP values for each predictor. Full names of predictors can be found in Table 1.

3.4 ICE plots

ICE plots illustrate the partial dependence of each SOC instance on a single predictor. To enhance visualisation, ICE plots are converted into heatmaps with the colours showing the density of curves passing through each grid cell (Figure 5). Mean partial dependence values across all instances are also shown in Figure 5 (purple lines).

When focusing on mean partial dependence, the relationship between observed SOC and soil texture (clay and silt content) is generally captured by both mechanistic models (Figure 5d, i, n). The relationship between observed SOC and soil moisture is captured by both models at lower moisture levels, but they fail to reproduce the degree of the increasing trend of SOC at higher moisture levels (Figure 5b, g, l). While both observed and modelled SOC are relatively stable when mean annual soil temperature is at higher end (above 15 °C), there is a sharp decrease of observed SOC when soil temperature is between 0 to 5 °C, which is not well captured by the mechanistic models (Figure 5a, f, k). Both MIMICS and MES-C exhibit a weaker response at lower temperature, which is more pronounced in MES-C. Additionally, average observed SOC initially decreases slightly with NPP until about 600 g C m⁻² yr-¹ before increasing, whereas modelled SOC increase with NPP continuously (Figure 5c, h, m). Regarding soil pH, observed SOC decreases sharply with increasing pH until pH around 6, and then becomes steady at pH > 6. However, SOC simulated by MES-C has much lower sensitivity to pH than the observed data when pH < 6 (Figure 5e, j).

ICE curves showing partial dependence of observed SOC on soil temperature are closely concentrated around the mean partial dependence curve with a clear decreasing trend (Figure 5a), indicating a systematic influence of soil temperature on observed SOC variations. The density distribution of ICE curves for both MES-C and MIMICS modelled SOC (Figure 5f, k) show similar pattern to observed SOC at higher soil temperatures but spread widely when soil temperature is below 10 °C, suggesting greater variability in model responses under cooler conditions.

The relationship between observed SOC and NPP is clearly nonlinear and not monotonic yet both models appear to represent it as a monotonic positive trend (Figure 5c, h, m), as also reflected in Figure 4. When NPP exceeds 500 g C m⁻² yr⁻¹, there is a distinct density hotspot of ICE curves at specific NPP values. However, at lower NPP values, the ICE curves form two branches with comparable density. The density distribution of ICE curves for MES-C aligns

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reasonably well with that of observed SOC except for a much denser, unimodal distribution at lower NPP instead of the bimodal fork seen in the observed relationship. In contrast, the ICE curves of MIMICS deviate more significantly, showing a tightly packed distribution at low NPP but a wider and evenly spread distribution at high NPP.

Overall, the density distributions of ICE curves for MES-C and MIMICS modelled SOC with respect to soil moisture are comparable to that of observed SOC (Figure 5b, g, l). Similarly, the density distributions of ICE curves for soil texture (clay and silt content) in mechanistic models show consistent patterns with observations, though the curves for MIMICS spread wider at higher soil clay content (Figure 5d, i, n). For soil pH, ICE curves related to observed SOC are more widely dispersed at low pH values with a substantial number of observed SOC greater than 30 g kg⁻¹, but the curves are more concentrated with SOC values below 30 g kg⁻¹ for MES-C (Figure 5e, j).



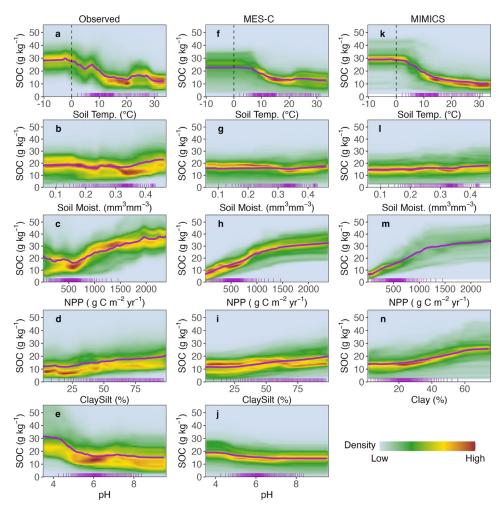


Figure 5. Density representation of ICE with respect to different predictors and **a-e**) observed SOC; **f-j**) modelled SOC by MES-C; **k-n**) modelled SOC by MIMICS. The purple curves represent the mean partial dependence across all instances, and short ticks along bottom axis represent percentiles of the predictor. Vertical dashed lines indicate the soil temperature at 0 °C. Note that SOC greater than 56 g kg⁻¹ (around 1% of data) are not shown here.

3.5 2-D partial dependence plots

The 2-D partial dependence plots for different pairs of predictors are shown in Figure 6. To prevent overinterpretation, the calculations are restricted to data points within observed predictor space (Figure 6 f-s). Additionally, the density distributions of predictor pairs are visualised using contours (Figure 6 a-e) to highlight regions with higher data concentration.

When considering the interactive effects of NPP and soil temperature (Figure 6f, k, p), observed SOC is lower at high temperature and low NPP, and achieve its highest level when



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soil temperature is between 10-20 °C and NPP is above 1500 g C m⁻² yr⁻¹. This pattern is captured by MES-C, though its predicted SOC are smaller than observed. However, MIMICS predicts the highest SOC values at 0-10 °C and NPP around 1000-1500 g C m⁻² yr⁻¹, deviating from observations. When considering combined effects of NPP and soil moisture (Figure 6g, 1, q), at low NPP values, observed SOC increases noticeably once soil moisture exceeds 0.35 mm mm⁻³, whereas modelled SOC remain stable across the entire soil moisture range. Additionally, there is a distinct difference of SOC responses to soil moisture at high NPP values. Observed SOC is highest at lower soil moisture, whereas MIMICS predicts higher SOC at higher soil moisture. MES-C predicts relatively constant SOC across moisture space at high NPP levels. When examining the combined effects of soil temperature and moisture (Figure 6h, m, r), both MES-C and MIMICS predict highest SOC at low temperature and high soil moisture, aligning well with observations. However, SOC values predicted by MES-C are smaller than both observed and MIMICS predicted SOC. Additionally, both MES-C and MIMICS capture the pattern where SOC decrease with increasing soil temperature at constant soil moisture level, but keep relatively constant once soil temperature exceeds 10 °C. When soil moisture is held constant, observed SOC shows a slight increasing trend with higher soil clay and silt content (only soil clay content in MIMICS), a pattern that both MES-C and MIMICS successfully replicate (Figure 6i, n, s). However, when focusing on the interactive effects between pH and moisture (Figure 6j, o), observed SOC at pH below 5 is significantly greater than that at higher pH values across soil moisture space, which is not captured by MES-C, indicating underrepresentation of the effects of soil pH in the model.



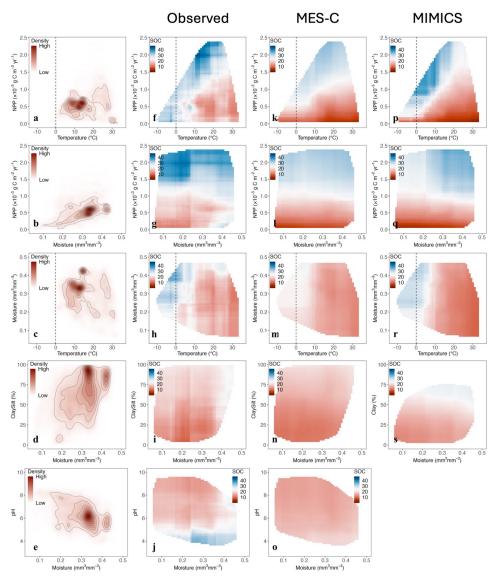


Figure 6. Partial dependence plots of predictor pairs. **a-e)** Contours showing density distribution of predictor pairs; **f-j)** 2-D PDPs for observed SOC; **k-o)** 2-D PDPs for SOC modelled by MES-C; **p-s)** 2-D PDPs for SOC modelled by MIMICS. Vertical dashed lines represent soil temperature at 0 °C. Unit of SOC is g kg⁻¹.





4. Discussion

4.1 Model performance

Machine learning models have been widely used in predicting global SOC content/stocks including both SOC in mineral and organic soils, and most of them achieved good results with R² at 0.5-0.6 (Luo et al., 2021; Chen et al., 2024; Nyaupane et al., 2024), something we have replicated here. In this study, both predictor selection and model choice significantly affect the predictability of global SOC. The performance of random forest models in predicting global SOC is significantly better than mechanistic models (Table 1). This is due to the intrinsic advantage of machine learning models which do not rely on the predefined assumptions about the relationships between predictors and SOC but just identify and generalise the statistical relationships by minimising a loss function (Irrgang et al., 2021), such as mean square error (MSE) in this study. The performance of MIMICS is slightly better than MES-C with higher R² and lower MAE and RMSE. Compared to MIMICS, MES-C has a more complex structure with a greater emphasis on the physical SOC protection mechanisms, particularly reflected as a detailed representation of aggregated and mineral-associated SOC pools. While this detailed description incorporates emergent theories of SOC dynamics, the increased number of parameters may also lead to more uncertainties in SOC prediction.

Vertically, MAE and RMSE of all models decrease with soil depths, which is expected as SOC concentration decreases with depths. In terms of R², it is highest in the top 30 cm soil showing better predictability of SOC here. Though the layer thicknesses are different, the dramatic decline of R² beyond 30 cm soils is found in many digital mapping studies (Kempen et al., 2011; Wadoux et al., 2023), which is partially explained by the fact that climatic covariates such as mean annual temperature, mean annual precipitation represent better the conditions for surface layers but have less correlation with subsurface SOC (Minasny et al., 2013). Additionally, the declining SOC predictability with depths in mechanistic models underscores the ongoing challenge of unravelling SOC turnover processes in subsurface soils.

Since all models perform best in the top 30 cm, our discussion will hereafter focus only on SOC in the 0-30 cm soil.

4.2 Relative variable importance

When all predictors used in RF_{env} are considered, climatic conditions show great influence on spatial variations of global SOC (Figure 3a), a finding aligning with previous studies (Luo et al., 2021; Nyaupane et al., 2024). CEC, which indicates soils' ability to retain exchangeable cations, is the most important soil property in predicting global SOC but not considered by





most mechanistic models. CEC is found to be more important than clay content in explaining SOC stabilisation mechanisms because it is strongly associated to available soil surface area where SOC may be adsorbed as MAOC (Solly et al., 2020). As mechanistic modelling advances toward incorporating stabilization and decomposition mechanisms of distinct SOC fractions rather than just bulk SOC, it becomes increasingly important to account for CEC in the models for more mechanistically accurate and realistic predictions of SOC.

When considering only the inputs of mechanistic models, NPP and soil temperature are more important than soil properties in predicting SOC, which is different from the finding by Georgiou et al. (2021) that soil texture is the most influential factor. In addition to the differences in model structure described in Section 2.1, this discrepancy compared to their study may be due to the selection of data. A more recent snapshot of WoSIS dataset with more than 37,000 SOC profiles is used in our study, which is a much larger collection than analysed in their study (around 10,000 profiles). Moreover, the results shown in our study focus mainly on SOC in top 30 cm soil whereas their study examines SOC down to 1 meter, which potentially leads to the high importance of soil texture on observed SOC since the influence of soil properties becomes stronger in deeper soils (Luo et al., 2021). Although clay content is widely adopted by mechanistic models because of the ease of measurement, it is a poor proxy for the surface area of soils available for adsorption of SOC (Bailey et al., 2018). In contrast, SOC stabilisation, particularly the adsorption process, is associated with CEC and regulated by soil pH conditions, and more realistic representation of pH effect should be achieved to enhance the importance of pH in mechanistic models (Rowley et al., 2018).

4.3 Relationships with individual predictor variables

SOC in cold regions respond more strongly to temperature increase compared with SOC in warm regions (Koven et al., 2017), reflected by the sharp decrease of SOC at lower temperature (0 to 10 °C) (Figure 5a). This strong sensitivity is primarily driven by the alleviation of low-temperature suppression on soil microbial activities, which accelerates SOC decomposition once temperature rises. Moreover, cold regions tend to have a higher proportion of unprotected SOC which is more temperature sensitive and readily decomposable compared to mineral-associated SOC (Georgiou et al., 2024), and the breakdown of unprotected SOC under warmer climate intensifies the SOC loss in cold regions. However, both MIMICS and MES-C exhibit a weaker response in replicating the sharp decrease of SOC at low temperature (Figure 5f, k), which is more pronounced in MES-C. This suggests that the mechanistic models, particularly in cold regions, have limited sensitivity to soil temperature. Since temperature primarily



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influences SOC turnover via microbial activities in the mechanistic models, incorporating more realistic parameters that reflect the temperature sensitivity of microbial processes at different temperature scales could improve model representation (Huang et al., 2018).

Observed SOC at lower moisture levels is relatively steady but shows a slight increase at higher soil moisture levels (Figure 5b), while the modelled SOC remains constant over entire moisture range (Figure 5g, l). It's broadly recognised that the relationship between soil moisture and microbial activities is governed by the trade-off between oxygen availability and physical accessibility of SOC substrates to microbes (Singh et al., 2021), which is considered by the moisture function we used in both mechanistic models. However, the moisture function is primarily established and validated on data from incubated experiments (Ghezzehei et al., 2019), and their effectiveness at large spatial scale remains unknown. The failure of mechanistic models to reproduce the increase of SOC at higher moisture levels may be due to an inaccurate representation of optimal moisture range where soil microbial activities are maximised.

At lower NPP levels, observed SOC responds in two distinct ways to increasing NPP (Figure 5c), indicating that NPP influences SOC accumulation through different mechanisms under different environmental conditions. Increasing NPP contributes more carbon inputs to soils and then benefits SOC accumulation, but the transformation of litters into SOC is dependent on litter quality and regulated by soil microbial communities. For example, highquality litters, which decomposes rapidly, will enhance microbial growth and lead to greater necromass production, ultimately promoting the formation of stable SOC (e.g., MAOC) (Cotrufo et al., 2013). Meanwhile, addition of fresh carbon may stimulate microbial growth and enzyme production to accelerate decomposition of existing SOC (Guenet et al., 2018). Therefore, the balance between increased C input and dual role of microbial communities in both building and decomposing SOC may result in the divergent SOC responses to increasing NPP, depending on environmental conditions. Both mechanistic models assign higher microbial CUE values when consuming higher-quality litters. However, litter quality in both models is determined by lignin:N ratio, which is now challenged by evidence that great variation exists between the decomposition dynamics of lignin and bulk litter (Yi et al., 2023), and using lignin may not fully represent the difference of litter quality. To check if the underrepresentation of NPP is caused by SOC profiles from managed soils, we removed SOC from croplands and didn't find great difference in the NPP-SOC relationship (Figure S1). However, we acknowledge that using NPP as a proxy for carbon input in croplands causes





598 uncertainties, and further study should account for human activities to better simulate C 599 dynamics in managed soils.

Both MIMICS and MES-C captured the positive relationship between soil clay content and SOC (Figure 4). However, MES-C shows a more consistent partial dependence with observations as indicated by more concentrated distribution of ICE curves (Figure 5d, i, n). This improved representation may be attributed to the explicit representation of adsorption and desorption processes which enhance model's sensitivity to soil texture. The partial dependence of SOC on soil acidity is not well captured by MES-C at lower pH values (Figure 5e, j). This limitation arises partly because the model accounts for pH effects only in adsorption process but not in microbial activity, which is constrained in acid environment leading to accumulation of observed SOC (Malik et al., 2018).

4.4 Combined effects of covariate pairs

Though SOC variation may be dominated by specific variables under certain environmental conditions, it's generally the result of a combined influence of multiple factors acting together. A good representation of the combined effects is pivotal to improve model accuracy and reduce uncertainties in future carbon-climate change feedback.

Overall, both mechanistic models capture the general distribution of SOC along soil temperature and NPP gradients. However, they struggle to replicate the distribution of highest SOC values, which is less pronounced in MES-C and mismatched in MIMICS (Figure 6f, k, p). This is potential due to the weak response of microbial activities to temperature changes in cold regions within the models, and a greater temperature sensitivity should be achieved by applying more realistic parameter values. The inconsistency between observed and modelled SOC distribution along moisture and NPP gradient is more distinct (Figure 6g, l, q). Low soil moisture limits the accessibility of SOC substrates to microbes, and positive priming effect is found to be lowest in dry conditions (Wang et al., 2016), which together leads to accumulation of observed SOC in these regions. However, caution is needed to interpret the results here. It's unlikely that regions with extremely low soil moisture can sustain such high NPP levels, and the data points within these conditions are sparse in our study (Figure 6b). Further studies should employ different sources of environmental variables to ensure a more robust evaluation of model representation of the complex interactions.

While the positive effect of soil moisture on observed SOC in cold regions is captured by models, with weaker effect in MES-C though, the effect of soil moisture is not shown in warm regions for both mechanistic models (Figure 6h, m, r). This suggests that mechanistic models



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may overemphasise the role of soil temperature on SOC in warm regions, and the change of soil moisture within observed range fails to affect SOC variation here. Additionally, in regions with higher clay content, observed SOC increases noticeably at higher moisture levels (> 0.35 mm³ mm⁻³) compared to lower levels (Figure 6i), indicating a stronger control of soil moisture beyond this threshold. However, this pattern is not reflected in the mechanistic models, which instead show a steady SOC level across the entire soil moisture range at a given clay content (Figure 6n, s). A similar trend is observed in the interaction between pH and soil moisture, where observed SOC increases sharply once soil moisture exceeds a threshold, with the threshold being lower at lower pH values (Figure 6j). However, this pattern is absent in MES-C, which instead shows relatively constant SOC levels across the entire pH and moisture range (Figure 6o). Soil mineral particles, pH and moisture are highly interacted to regulate SOC via adsorption and desorption processes (Kramer and Chadwick, 2018). However, their representation in mechanistic models remain a subject under debate and varies greatly among models. In particular, the parameterisation of soil moisture effect on adsorption is still in its very initial stages and is currently considered by only a limited number of models (Chandel et al., 2023). Therefore, further experimental studies are required to better quantify these interactions and establish more universally applicable parameterisations.

5. Conclusion

In this study, we applied a random forest and two mechanistic models, vertically resolved MIMICS and MES-C to predict global SOC content in mineral soils. Random forest consistently outperformed mechanistic models regardless of whether a broad set of predictors was adopted, or the same predictors as mechanistic models' inputs were used. Climate conditions show greatest influence on SOC variations, and CEC is an important soil property in predicting global SOC but is not considered by mechanistic models.

When predictors are restricted to mechanistic inputs, both MIMICS and MES-C show NPP and soil temperature as the most dominant controls on SOC variation, aligning with observations. However, both models assign greater importance to soil texture and underestimate the effect of soil pH. Moreover, although both mechanistic models reasonably capture the mean partial dependence of SOC on each variable, they fail to reproduce the varying magnitude of effects across the full range of each predictor — most notably for NPP. Unlike observations, which show a nonlinear relationship between NPP and SOC, mechanistic models simplify this into a positive linear relationship.





Notable discrepancies are also observed in the interactive effects of variable pairs on SOC 663 664 between observations and models. In MES-C, these interactions are largely diminished, while 665 in MIMICS, mismatches occur in the combined effects between NPP and both soil temperature 666 and moisture. Notably, mechanistic models fail to accurately represent the interactions between 667 soil moisture, soil texture and soil pH, highlighting the need for further experimental studies to 668 better quantify their effects on SOC, particularly in relation to adsorption and desorption 669 processes. 670 Our study reveals that the poor performance of mechanistic models is associated both with the missing of key drivers of SOC, and the underrepresentation of the effects of existing 671 672 variables. We suggest that further refinement of microbial-explicit mechanistic models is 673 required before incorporating them into large-scale modelling frameworks to ensure a more 674 reliable projection of future carbon — climate feedback. 675 Code availability. The codes of the vertically resolved MIMICS and MES-C used in this 676 study can be accessed at https://github.com/Wanglingfei170/MES-C. Codes for data analysis 677 and machine learning can be accessed by contacting the corresponding author. 678 Data availability. All data used in this study are publicly available and references are cited in 679 the main text. Author contribution. LW, GA, YPW and AP: conceptualisation; LW, GA and YPW: 680 methodology; LW: investigation; LW: formal analysis, visualisation and writing - original 681 draft preparation; LW, GA, YPW, AP, PC and DSG: writing – review and editing. 682 683 **Competing interests.** The authors declare that they have conflict of interest. Acknowledgements. LW is grateful to the China Scholarship Council and the University of 684 685 New South Wales for financial support during her PhD study. 686 687 688 689 690





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