



# 1 Assessing raindrop evolution over northern Western Ghat 2 from stable isotope signature of rain and vapour

3 Sheena Sunil Nimya<sup>1,2</sup>, Sundara Pandian Rajaveni<sup>1</sup>, Saikat Sengupta<sup>1\*</sup>, Sourendra Kumar  
4 Bhattacharya<sup>3</sup>

5 <sup>1</sup>Center for Climate Change Research, Indian Institute of Tropical Meteorology, Ministry of Earth Sciences,  
6 Pune-411008, India

7 <sup>2</sup>Department of Earth, Atmospheric and Planetary Sciences, Purdue University, West Lafayette, IN, USA

8 <sup>3</sup> Institute of Earth Sciences, Academia Sinica, Taipei 11529, Taiwan

9 \*Correspondence to: Saikat Sengupta, email: saikat@tropmet.res.in

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11 **Abstract.** Isotope exchange between vapor and rain critically influences rain isotope values, which are useful in  
12 modeling raindrop evolution. A one-dimensional Below Cloud Interaction Model (BCIM) has been used to  
13 quantify sub-cloud processes affecting raindrop evolution in extratropical regions. However, its applicability has  
14 not been tested in a tropical monsoon region, where both advection of moisture and raindrop evaporation are  
15 significant. Here, we evaluate the applicability of BCIM using simultaneous surface measurements of rain and  
16 vapor isotopes over Pune, a tropical rain-shadow region, during the 2019 Indian Summer Monsoon. Analysis of  
17 these data indicates strong isotope exchange and significant raindrop evaporation in the sub-cloud layer. A  
18 Rayleigh ascent in BCIM overestimates rain isotope values (by about 6 ‰ for  $\delta D$ ), although model and  
19 observed values are well correlated. Using radiosonde-based temperature and humidity profiles and constructing  
20 vapour isotope profiles from a combination of satellite (Tropospheric Emission Spectrometer) data and the  
21 LMDZ model outputs, simulations improve. Further tuning of vapour isotope inputs while preserving the shape  
22 of the profiles yields still better agreement. Sensitivity studies reveal that model outputs are strongly influenced  
23 by vapour isotope profiles, and moderately by drop size and relative humidity. We used BCIM to estimate  
24 raindrop evaporation, which shows that, on average, 23 % of rain mass evaporated over Pune. Our results  
25 emphasize the importance of rain evaporation over the Indian continent during the Monsoon season, in  
26 particular, over complex orography, and illustrate the use of water isotopes to constrain this key process.

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## 54 1. Introduction

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56 The Intergovernmental Panel on Climate Change (IPCC) has emphasised the importance of recycled moisture in  
57 the atmosphere (IPCC, 2014). Moisture recycling includes processes by which a fraction of the precipitated  
58 water returns to the atmosphere and adds to the existing vapour that may cause further precipitation over the  
59 same area (Gray, 2012). These processes are soil evaporation, transpiration from plants, intercepted or  
60 condensed water on leaves, and evaporation from falling raindrops (Brubaker et al., 1993; Trenberth, 1999). The  
61 moisture recycling increases with the ambient temperature but is lessened with increasing humidity (Pranindita  
62 et al., 2022; Zaitchik et al., 2006; Zhang et al., 2021). Some earlier studies have estimated that a high  
63 precipitation recycling ratio, the ratio of recycled precipitation to total precipitation, operates over India (on  
64 average 15 %) during the Indian Summer Monsoon (ISM; June-September); this happens despite the high  
65 humidity that prevails over the subcontinent (Kumar et al., 2021; Pathak et al., 2014). Among the recycled  
66 moisture sources, raindrop evaporation is difficult to estimate because (1) determination of the parameters  
67 needed for estimating rain evaporation from various satellite data is not sufficiently accurate, and (2) station-  
68 based meteorological observations using Micro rain radars are limited (Dai et al., 2019; Li and Srivastava, 2001;  
69 Xie et al., 2016).

70 Stable isotopologues (mainly  $^1\text{H}_2^{18}\text{O}$ ,  $^1\text{H}^2\text{H}^{16}\text{O}$ ,  $^1\text{H}_2^{16}\text{O}$ ) of liquid and solid precipitation samples can be  
71 used to assess the magnitude of raindrop evaporation (Crawford et al., 2017; Rahul et al., 2016; Salamalikis et  
72 al., 2016; Wang et al., 2021; Xiao et al., 2021). Falling raindrops exchange isotopes with the ambient vapour;  
73 this happens throughout the fall but occurs mostly in the unsaturated sub-cloud layer. The magnitude of this  
74 exchange, which alters the rain isotope ratios, can, in principle, be used to quantify the extent of raindrop  
75 evaporation. Using satellite-based observations of vapour isotopologues ( $^1\text{H}^2\text{H}^{16}\text{O}$  and  $^1\text{H}_2^{16}\text{O}$ ) and an isotope  
76 mass balance model, Worden et al. (2007) estimated that in the tropics, during the October to March interval,  
77 nearly 20 % of the mass of a raindrop evaporates. However, they also mentioned that the satellite data used for  
78 this Estimate has limited temporal and spatial coverage. Therefore, these datasets may not be useful for  
79 estimating drop evaporation on a daily to monthly scale over some specific locations. In another approach,  
80 raindrop evaporation has been estimated from ground-based rain isotope observations and a set of empirical  
81 equations (Froehlich et al., 2008; Li et al., 2021; Wang et al., 2016; Zhu et al., 2021). However, such attempts  
82 are often inaccurate because they exclude many important cloud microphysical processes and associated  
83 isotopic fractionations. Normally, these processes are considered for simulating rain isotope values in various  
84 General Circulation Models (GCM; Risi et al., 2019; Yoshimura et al., 2008). The GCMs incorporate the  
85 isotope exchange scheme associated with evaporation (Stewart, 1975). Nevertheless, recent studies have shown  
86 that most of these GCMs over or underestimate raindrop evaporation in tropical India (Nimya et al., 2022;  
87 Sengupta et al., 2023). This is possibly due to the coarseness of grid sizes used in these GCMs, which are  
88 inadequate to capture the region-specific complexities of processes controlling the evaporation. This necessitates  
89 controlled isotope observations and region-specific models for a reasonable estimation of this parameter  
90 (Aemisegger et al., 2015).

91 Various modelling approaches were followed to estimate raindrop evaporation using paired  
92 observations of rain and vapour isotopes. For example, a bin resolved microphysical model was used to quantify  
93 drop evaporation during the Atlantic Tradewind Ocean–Atmosphere Mesoscale Interaction Campaign



94 (ATOMIC; Sarkar et al., 2023). Graf et al. (2019), based on surface rain and vapour isotope observations in  
95 Zurich, Switzerland, provided a rationale to evaluate various processes controlling the isotope values. They  
96 developed a simple one-dimensional model (Below Cloud Interaction Model, BCIM) which considers essential  
97 cloud microphysical processes during raindrop formation (vapour deposition, rimming etc.) as well as  
98 evaporative exchange processes below the cloud. That model, in principle, showcases the isotopic evolution of  
99 an ice/liquid drop that is released from a desired altitude and suffers the aforementioned processes enroute its  
100 fall to the ground. Although their model is capable of differentiating isotopic signals of different sub-cloud  
101 processes, it does not consider any moisture advection, updraft and downdraft. It is worthwhile to explore the  
102 efficacy of that model in a semi-tropical region during ISM when advected moisture fluxes play an important  
103 role (Das, 1986; Levine and Turner, 2012).

104 In the Western Ghat (WG) region, shallow convective (80 % of clouds occur below 4 km and 45 %  
105 below 2.5 km altitude) clouds predominate during the ISM (Konwar et al., 2014). Faster evaporation of smaller  
106 raindrops associated with intense rainfalls from these clouds provides significant positive energy feedback to  
107 form mesoscale convection (Konwar et al., 2014; Tao et al., 2012). Another study, based on drop size  
108 distributions, showed that raindrop evaporation prevails in the warm rain process (shallow clouds) in this region  
109 (Murali Krishna et al., 2021). However, these studies were limited to scanty observations. The question arises of  
110 whether one can determine the raindrop evaporation and its variation using an independent, accurate, and  
111 simpler method. Isotope ratios in rain and vapour provide such a method.

112 The current study investigates the applicability of the BCIM in a tropical Indian rain shadow region  
113 using paired observations of rain and vapour isotopes for a summer monsoon season. By a suitable choice of  
114 input parameters for the BCIM, we can estimate the raindrop evaporation in this tropical zone.

## 116 2. Experimental Methodology

### 117 2.1 Study area

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119 Rainwater and vapour samples (mostly on rainy days but even for some non-rainy days) were collected from the  
120 near-surface premise of the Indian Institute of Tropical Meteorology (18.53° N, 73.85° E), Pune during the  
121 summer monsoon of 2019. This region receives >90 % rainfall during the ISM and is situated at the lee (rain  
122 shadow) side of the Mountain (Fig. 1). A brief discussion on sources and mechanisms of the ISM rainfall in  
123 western India is given below. Rainfall in Western India occurs from mid-tropospheric low-pressure systems in  
124 episodes, each of which usually lasts for 2–3 days; these systems are locked in place during these periods and  
125 fed by moisture derived from the Arabian Sea (Wang et al., 2006; Rao, 1976). The geographic location of the  
126 region, its altitude (from mean sea level), rainfall variation across the WG mountains, and the altitude  
127 topographic profile across Pune are shown in Fig. 1.

128 There is a sharp variation of rainfall across the mountain from the coastal zone (30 mm day<sup>-1</sup>) to the lee  
129 side (12 mm day<sup>-1</sup>) which is a characteristic of orography-induced rainfall (Fig. 1). The surface air temperature  
130 varies from 23.1° C to 18.4° C during the ISM (Pattanaik et al., 2019).

Figure 1 consists of three panels. Panel (a) is a map of the Arabian Sea region showing the study area's location. Panel (b) is a topographic map of the study area with elevation contours and a color scale from 0 to 1600 masl. Panel (c) is a cross-section of the study area showing elevation profiles along the X-Y line.

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non-condensable gases are pumped out. The sampling was usually carried out for 3–4 hours, which was sufficient to obtain an adequate amount of water (the collected sample was at least double the minimum amount required for isotope analysis, ~1 ml). Most of the samples were collected during the rainy days (avoiding direct raindrop entry), but some were collected during the non-rainy period. The collected samples are transferred to 2 ml glass vials, which are directly used for isotopic measurement. Due to logistical problems, vapour samples could not be collected before mid-July. A total of 50 vapour samples were collected during the study period, and 29 of them coincided with rainy days. The liquid samples (both water and condensed vapour) were measured using a Liquid Water Isotope Analyser (Model Number TIWA-45-EP) manufactured by Los Gatos Research (LGR). This instrument measures liquid samples using Off-Axis integrated cavity output spectroscopy (OA-ICOS) with a routine precision of 0.1 ‰ and 1 ‰ for  $\delta^{18}\text{O}$  and  $\delta\text{D}$ , respectively (Rajaveni et al., 2024). The d-excess values defined as:  $\text{d-excess} = \delta\text{D} - 8 \cdot \delta^{18}\text{O}$  (Dansgaard, 2012) have a precision of 1 ‰. The daily rain isotope data are weighted by the amount of rainfall on that day.

### 2.3 Satellite and ground-based meteorological observations

The rainfall data (cumulated over 24 hours) are obtained from the Pune observatories of the IMD (available at the National Data Centre ([www.imdpune.gov.in/ndc\\_new/ndc\\_index.html](http://www.imdpune.gov.in/ndc_new/ndc_index.html))). Apart from daily rainfall, hourly rainfall, daily average temperature and relative humidity data for Pune observatory were also obtained from the IMD. The daily gridded data (zonal and meridional wind, specific humidity, air temperature, and cloud liquid water content) from the European Centre for Medium-Range Weather Forecasts Reanalysis (ERA-5) dataset with a resolution of  $0.25^\circ \times 0.25^\circ$  (Hersbach et al., 2020) are also used. The Interpolated Outgoing Longwave Radiation (OLR) data ( $2.5^\circ \times 2.5^\circ$ ) from NOAA (<https://psl.noaa.gov/data/gridded/data.olrcdr.interp.html>) are used in this study. The upper-air radiosonde measurements (relative humidity, temperature) were obtained from the University of Wyoming repository (<http://weather.uwyo.edu/upperair/sounding.html>) in February 2023. The radiosonde data were available over Pune at 00 UTC and 12 UTC for the entire study period. The two profiles are averaged to make a representative daily profile for the study period. The typical uncertainty of temperature and relative humidity is  $0.5^\circ\text{C}$  (Jensen et al., 2016) and 5 % (Xu et al., 2023), respectively. Tropospheric Emission Spectrometer (TES) Level 2 (Nadir-Lite-Version 6) retrievals of HDO and  $\text{H}_2\text{O}$  profiles for the available period (2005–2007) are used to construct a mean vapour  $\delta\text{D}$  profile. The details of quality control criteria and biases associated with TES observations are discussed by Herman et al. (2014) and Worden et al. (2011). Grid point observations of  $\delta\text{D}$  by TES have a precision of ~10–15 ‰, which reduces to 1–2 ‰ when the data are averaged over a larger region (Lee et al., 2011; Pradhan et al., 2019).

To decipher the moisture sources for vapour/rain at and around our study area, 48 h air mass back trajectory analysis was carried out at 850 mb pressure level using the NOAA Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Hess, 1997). The model tracks the movement of air parcels backward from a given location for a desired period. The Global Data Assimilation System (GDAS;  $1^\circ \times 1^\circ$ ; Kanamitsu, 1989) dataset is used for back-trajectory analyses.

### 2.4 Isotope Model BCIM



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196 As mentioned before, to understand water vapour isotope exchange in the sub-cloud layer, we used the Below  
197 Cloud Interaction Model (BCIM) proposed by Graf et al. (2019). Various parameterisation schemes used in the  
198 BCIM have been discussed in the aforementioned earlier study. A brief description of this model, as applicable  
199 for Pune (shallow cloud processes), is provided for completeness. The model comprises a single vertical column  
200 that extends from the ground level to the point at which a single hydrometeor is introduced at the base of the  
201 cloud, and follows its fate. Within this column, the hydrometeor descends under the influence of gravity,  
202 undergoes growth or evaporation (depending upon the ambient humidity and temperature), changes its isotopic  
203 composition through equilibrium and kinetic isotope exchange with surrounding vapour, and finally reaches the  
204 surface as rain. The final isotopic composition of the hydrometeor is estimated following four steps of  
205 calculations: (1) setting up the initial condition, (2) estimation of the initial isotopic composition of the  
206 hydrometeor, (3) micro-physics of falling hydrometeor, and (4) tracking the changes in isotopic composition  
207 along the vertical fall trajectory. To estimate the initial isotopic composition of the drop and its evolution, the  
208 model requires temperature, humidity and vapour isotope depth profiles for a given day as input parameters. The  
209 drop is assumed to form in equilibrium (at relative humidity, RH=100 %) at the cloud base and starts its  
210 journey. The input parameters applicable to the vapour can be introduced into the model in two different ways:  
211 (1) the profiles can be calculated based on the idealised (moist) adiabatic ascent of an air parcel from the surface  
212 to the top of the column following a Rayleigh model; isotope values at various pressure levels are then estimated  
213 from the Rayleigh distillation equation, and (2) the pressure level specific values of the aforementioned  
214 parameters, if available from radiosondes and any model, can be introduced directly into the BCIM.

215 Apart from temperature, humidity and vapour isotopes, the model also requires a drop diameter of the  
216 initial hydrometeor (given in Section 4.3.1.1). Next, the isotopic composition of the introduced hydrometeors is  
217 estimated. They are assumed to be formed in equilibrium from the vapour at this altitude, and their composition  
218 is calculated from the isotopic composition of this vapour at ambient temperature. Subsequently, these drops  
219 grow or diminish as they fall. The isotopic composition of the falling hydrometeor at a given altitude is then  
220 estimated from the composition of the surrounding vapour by using isotope mass balance and diffusive transport  
221 involving appropriate fractionation factors (Graf et al., 2019).

222 The mass and temperature of the hydrometeor are calculated along its fall trajectory through the  
223 microphysics of the falling hydrometeor. The terminal velocities are estimated using Foote and du Toit (1969).  
224 To calculate the change in mass and temperature between two pressure levels, the temperature, pressure, and  
225 humidity values are interpolated between the two levels. These changes are estimated as per Pruppacher and  
226 Klett (2010). It is important to mention here that many processes considered in BCIM do not occur for the  
227 shallow convective clouds in Pune (Utsav et al., 2017). Therefore, the BCIM as given in Graf et al. (2019) is  
228 modified in the present study.

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### 230 **3. Results**

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232 Measured rain and vapour isotope ratios ( $\delta^{18}\text{O}$  and d-excess) on a daily scale are plotted in Fig. 2a and 2b. The  
233 general pattern of variations in vapour and rain  $\delta^{18}\text{O}$  values is similar; both decrease significantly and  
234 consistently after mid-August. The vapour  $\delta$ -values are lower than the rain. In contrast, the d-excess values of



vapour are always much higher. The  $\delta^{18}\text{O}$  and d-excess values of rainwater range from  $-10.8\text{‰}$  to  $1.5\text{‰}$  and  $-2\text{‰}$  to  $12\text{‰}$ , while those of the vapour range from  $-19\text{‰}$  to  $-9\text{‰}$  and  $10\text{‰}$  to  $30\text{‰}$ , respectively. The mean and  $0.5\sigma$  standard deviation of  $\delta^{18}\text{O}$  and d-excess values of rainwater are  $-1.3 \pm 1.2\text{‰}$  and  $3.9 \pm 1.3\text{‰}$ , while those of the vapour are  $-12.5 \pm 1.25\text{‰}$  and  $18.3 \pm 2.55\text{‰}$ , respectively. The  $\delta^{18}\text{O}$  (Fig. 2a) and d-excess (Fig. 2b) time series show four interesting features: (1) For the four date ranges: 27-29 July, 24-27 July, 4-8 September, 19-27 September, significant and consistent decrease in isotope values are observed in both rain and vapour phases (marked 1, 2, 3, 4 in Fig. 2a), (2) On 19 September, the vapour shows sudden decrease (marked A in Fig. 2a), (3) Gradual decrease in vapour  $\delta^{18}\text{O}$  values and increase in d-excess values are observed with progress of monsoon, especially more in the later part, and (4) Rain d-excess values remained essentially constant with time but  $\delta^{18}\text{O}$  of both rain and vapour started decreasing beginning from early September onwards.

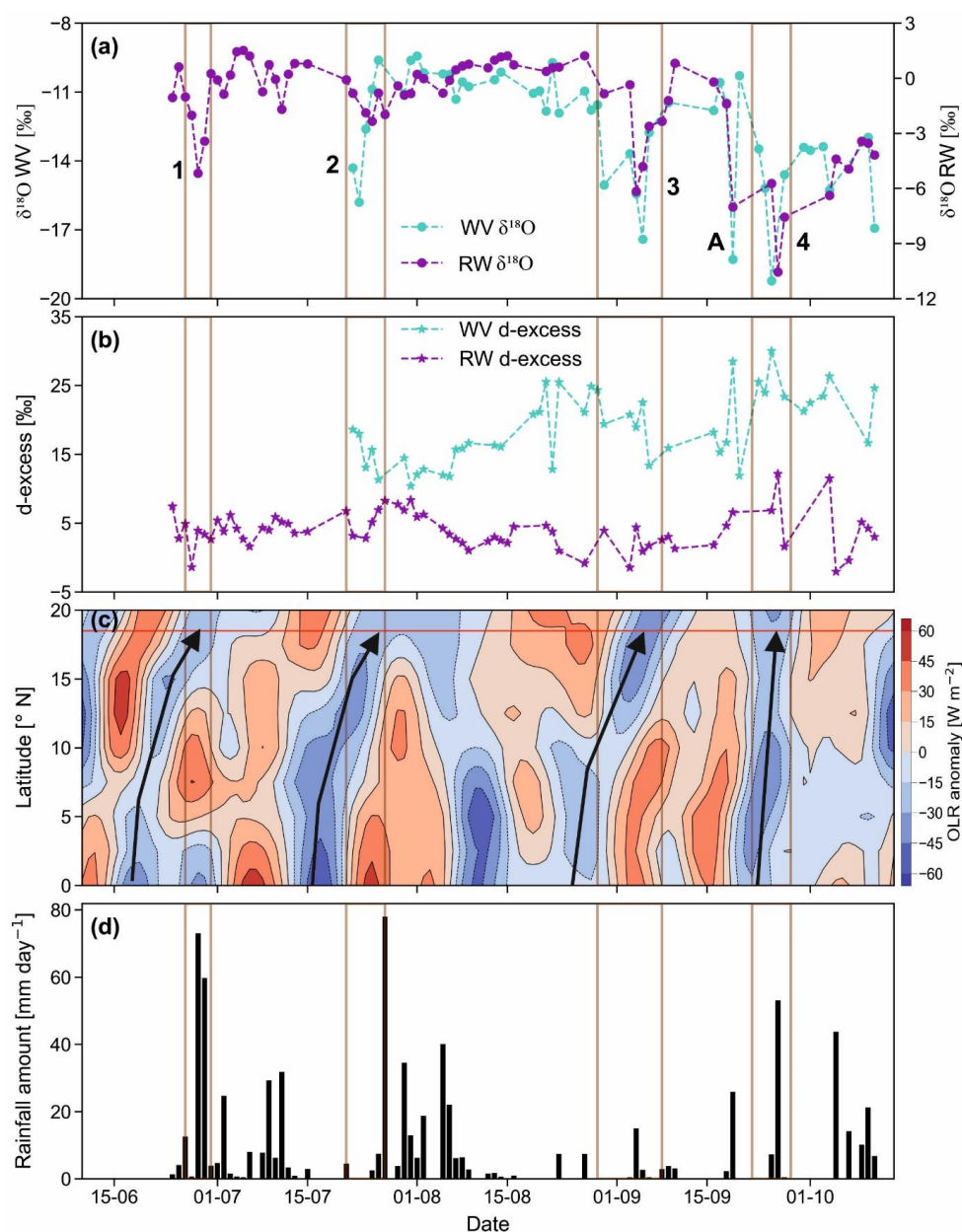
The rain and vapour isotopic depletion in the tropics is often associated with mesoscale convection (Lekshmy et al., 2014; Risi et al., 2008; Sengupta et al., 2020). We define depleted-isotope events as those where isotope ratios of a group of samples fall below the overall mean ( $\mu$ )- $0.5$  standard deviation ( $\sigma$ ; Sengupta et al., 2020). To examine the extent to which the depleted (more negative) isotope events are related to large convective events, a latitude-time Hovmöller plot of daily OLR anomaly (averaged over the longitude  $70^\circ\text{E}$  -  $75^\circ\text{E}$ ) is displayed in Fig. 2c. The OLR values are often used as a proxy for convection in tropical and subtropical regions. Since cloud top temperatures (colder is higher) are an indicator of cloud height, negative OLR anomaly means colder cloud top temperatures or higher cloud thickness. This, in turn, implies extensive coverage by deep cloud systems characteristic of mesoscale convection and rain. A time synchronous association of low OLR and low isotope events thus indicates mesoscale convection affecting isotope values. Fig. 2c indicates four such isotope-depleting mesoscale events (marked as 1, 2, 3 and 4). In addition, we also see one depleted isotope event without such association (marked as A in the Fig. 2c). We note from Fig. 2d that major rainfall occurred during the months of July and August; the relative humidity at the surface during the whole period varied from  $57\%$  to  $99\%$ , and the surface temperature varied from  $22^\circ\text{C}$  to  $32^\circ\text{C}$  (not shown). It is evident from the figure that deep convection is associated with high rainfall for three events (1, 2, and 4). A recent study, based on a year-long continuous measurement of atmospheric vapour, also noticed such isotopic depletion during high rainfall events over a northern tropical station in Sri Lanka (Wu et al., 2025).

As mentioned, an increasing trend ( $13\text{‰}$  to  $30\text{‰}$ ) in the vapour d-excess values associated with a decrease in the  $\delta^{18}\text{O}$  values is noted with the progress of the monsoon (Fig. 2b). In contrast, the rain d-excess values were reasonably constant within a small range. The increase in vapour d-excess (and decrease in the  $\delta^{18}\text{O}$ ) is large and could be ascribed to significant recycling of the moisture with contribution from some evaporative sources (discussed later). We are not certain about the source at this stage. Risi et al. (2023) have discussed the possibility of down-drafted vapours as the source of such anomalously low isotope ratios in the case of Sahelian squall lines. Earlier studies over some Indian sites have shown that changes in moisture sources are often associated with a concomitant change in isotope values in rain and vapours (Deshpande et al., 2010; Midhun et al., 2018). We investigated the possibility of this by forty-eight hours of air-parcel back trajectory analysis (Supplementary Fig. S1), which shows that moisture for the 2019 summer monsoon season was derived mainly from the Arabian Sea. However, this does not rule out the possibility of minor contributions from





274 continental moisture sources or down-drafted moisture characterised by low isotope ratios and high d-excess  
275 values (Risi et al., 2010).



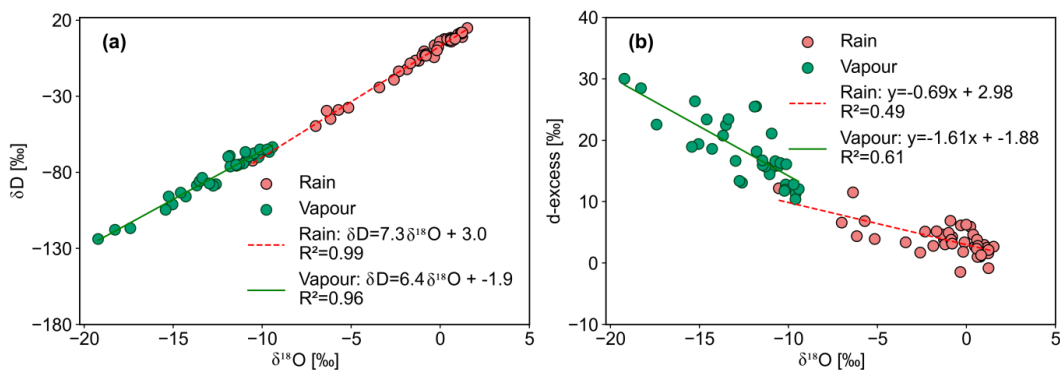
276  
277 **Figure 2.** The time series of  $\delta^{18}\text{O}$  (a) and d excess values, (b) of the rainwater (RW) and water vapour (WV), (c) OLR  
278 anomaly ( $\text{W m}^{-2}$ ), and (d) daily rainfall (mm over 24 h; d) in Pune. The four shaded vertical bars (numbered 1, 2, 3, and 4)  
279 denote synchronous low OLR values and low isotope values (i.e., less than their respective  $\mu-0.5\sigma$  values). These periods are  
280 defined as low-isotope events. A indicates one isolated low isotope value without low OLR. Thick arrows show how  
281 convective cloud bands (indicated by low OLR anomaly) traverse to the sampling region over Pune.  
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Fig. 3a shows the local meteoric water line (LMWL) using rainwater samples and the local water vapour line (LWVL) using vapour samples, both pertaining to the monsoon period. The LMWL equation is  $\delta D_r = (7.3 \pm 0.1) \delta^{18}O + (3.0 \pm 0.3)$  and the LWVL,  $\delta D_v = (6.4 \pm 0.2 \delta^{18}O) - (1.9 \pm 3.0)$ . The slope and intercept of the LMWL values are lower than those of the Global Meteoric Water Line (GMWL), which are 8.0 and 10.0, respectively (Dansgaard, 2012; Gat, 1996). This difference, though small, suggests some amount of below-cloud evaporation of the rains. At Roorkee, a high-latitude Indian Station, Saranya et al. (2018) found an LMWL with a lower slope (5.4) but a higher intercept (27) compared to our Pune values. They attributed these changes to the contribution of evaporation from water bodies nearby and moisture recycling during the monsoon. Rahul et al. (2016) got a similar slope (7.4) but a lower intercept (1.5) in Bangalore (southern central India, at a high altitude of ~1 km). The slopes of meteoric water lines provide a signature of evaporation processes associated with kinetic fractionations occurring during rainfall events.

The d-excess values of rain samples suffering evaporation generally bear a negative relationship with  $\delta^{18}O$  values (Bonne et al., 2014; Munksgaard et al., 2020). This is seen in our study (Fig. 3b) where rain d-excess increases with a decrease in  $\delta^{18}O$  values. In addition, the vapour d-excess values also show a statistically significant negative correlation with  $\delta^{18}O$  values (Fig. 3b;  $R^2 = 0.61$ ;  $p = 0.001$ ), probably indicating contribution of vapour derived from rain evaporation (Kurita, 2013; Risi et al., 2021). Correlation studies can be indicative, but the causative factors behind the above variations can be explored with the help of a process-based model. Below, the role of local meteorological factors and rain-vapour isotope exchange will be explored with the help of BCIM.



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**Figure 3.** A cross-plot of (a)  $\delta D$  and  $\delta^{18}O$  of rain and vapour; (b) a cross-plot of d-excess and  $\delta^{18}O$  of rain and vapour. Mean regression lines and correlation coefficients are shown inside the plots.

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#### 307 4. Discussion

##### 308 4.1 Influence of local meteorological parameters on isotopes

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Water isotopes in the tropics often vary with rainfall, humidity, and temperature (Dansgaard, 2012; Lee and Fung, 2008). Scatter plots between the vapour d-excess values and local meteorological parameters such as rainfall amount, relative humidity, specific humidity and temperature are shown in Supplementary Fig. S2. The d-excess of vapour shows only a marginal positive correlation with temperature ( $R^2=0.16$ ;  $p$ -value=0.03; not



significant) and a small negative correlation with relative humidity ( $R^2=0.22$ ;  $p$ -value=0.01; marginally significant).

It is known that temperature and relative humidity of air have opposite controls on raindrop evaporation (Lee and Fung, 2008; Stewart, 1975). No significant correlations (not shown) are found between the rainwater isotopes and rainfall. This is contrary to the anti-correlation found in other climate zones (Lee and Fung, 2008). The absence of correlation in tropics is also found in many recent studies (Chakraborty et al., 2016; Moerman et al., 2013; Vimeux et al., 2011). In fact, a correlation is often found with the regional convective activities (Kurita, 2013; Lekshmy et al., 2018). Risi et al. (2023) have noted that in the tropics, most of the precipitation falls under deep convective systems, which are controlled by different microphysical processes (like rain evaporation, diffusive liquid-vapour exchanges, and mesoscale downdrafts) connected through mesoscale circulations.

#### 4.2 Rain-vapour isotope exchange and rain evaporation

The micro-physical process of evaporative exchange during the fall of raindrops causes isotopic enrichment in the rain. Though important, raindrop evaporation cannot be easily quantified. As discussed before, evaporation is reflected in the higher  $\delta$ -values and lower d-excess values (mean~2 ‰) of the rain samples. Froehlich et al. (2008) used d-excess values of precipitation in the Alpine region to derive the extent of evaporation using assumed end-member values of the regional vapours.

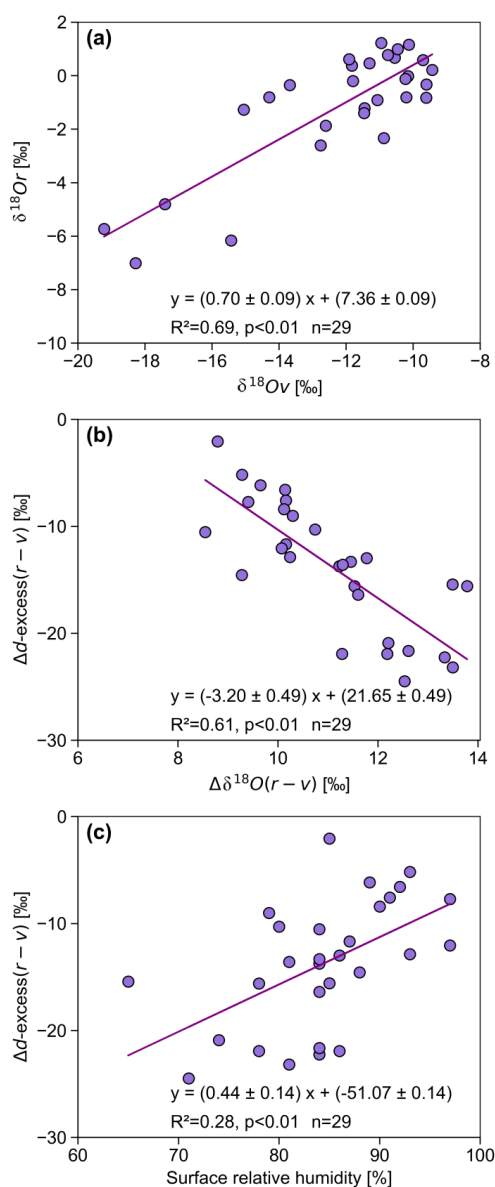
To inspect the isotope exchange between the rain and ambient vapour, the isotope data for the dates when both rain and vapour samples were collected are analysed here. A strong correlation between rain and vapour  $\delta^{18}\text{O}$  values is found (Fig. 4a;  $R^2=0.64$ ,  $p < 0.01$ ,  $n=29$ ), suggesting a genetic connection between them. Sinha and Chakraborty (2020) also found significant positive relations ( $R^2>0.8$ ) between rain and vapour  $\delta^{18}\text{O}$  values over Andaman Island. However, they did not find any anti-correlation between rain  $\delta^{18}\text{O}$  and rain d-excess, as we did (Fig. 3b). The current study exhibits a reasonable anti-correlation between the differences in d-excess ( $\Delta d\text{-excess}$  (r-v)) and  $\delta^{18}\text{O}$  ( $\Delta \delta^{18}\text{O}$  (r-v)) of rain and vapour (Fig. 4b). This would be expected if evaporation of rain contributes a significant amount of vapour because the inherited vapour is lower in  $\delta^{18}\text{O}$  but higher in d-excess compared to the rain.

As raindrops evaporate, the newly formed vapour may get down-drafted to the low level vapour, and therefore, the two phases at the ground would exhibit opposite changes. Interestingly, in the case of tropical precipitation, we do not expect a substantial contribution from rain evaporation to the background vapour because the latter is a large reservoir. It has been shown in several earlier studies that the total rain is derived from only a few percent of the overhead vapour mass (Pathak et al., 2014; Rahul et al., 2016). Earlier studies have also shown that vapour d-excess values do not exhibit any systematic change in central or southern WG stations, although, surprisingly, their rain  $\delta^{18}\text{O}$  values exhibit slight but gradual depletion (1 ‰ to -10 ‰) in the later part of the monsoon (Lekshmy et al., 2018; Rahul et al., 2016). The negative correlation found in this study suggests that the ground-level vapour gets a significant contribution from drop evaporation. How can moisture generated by drop evaporation over the falling path contribute to the ground-level vapour?. This is possible when there is a strong downdraft associated with intense monsoon rains (Risi et al., 2023). In a modelling study, Mandke et al. (1999) pointed out that deep convective cloud systems contain both upward and downward



354 components. The downward motion is driven by the evaporation of falling precipitation and the dragging of the  
355 ambient air and vapour by big droplets. This downdraft brings moisture down from above and increases the  
356 vapour d-excess at the surface (Risi et al., 2010; Kurita, 2013; Aemisegger et al., 2015). The existence of drop  
357 evaporation is further supported by a relation between  $\Delta d$ -excess ( $r-v$ ) and surface relative humidity (RH;  
358  $R^2=0.31$ ; Fig. 4c). The difference between rain and vapour isotopes is more in lower RH and less in higher RH,  
359 as expected (Stewart, 1975). A similar analysis (Xing et al., 2020) in China also found that the change in  
360 isotopic composition is large when RH is less than 60 %.

361

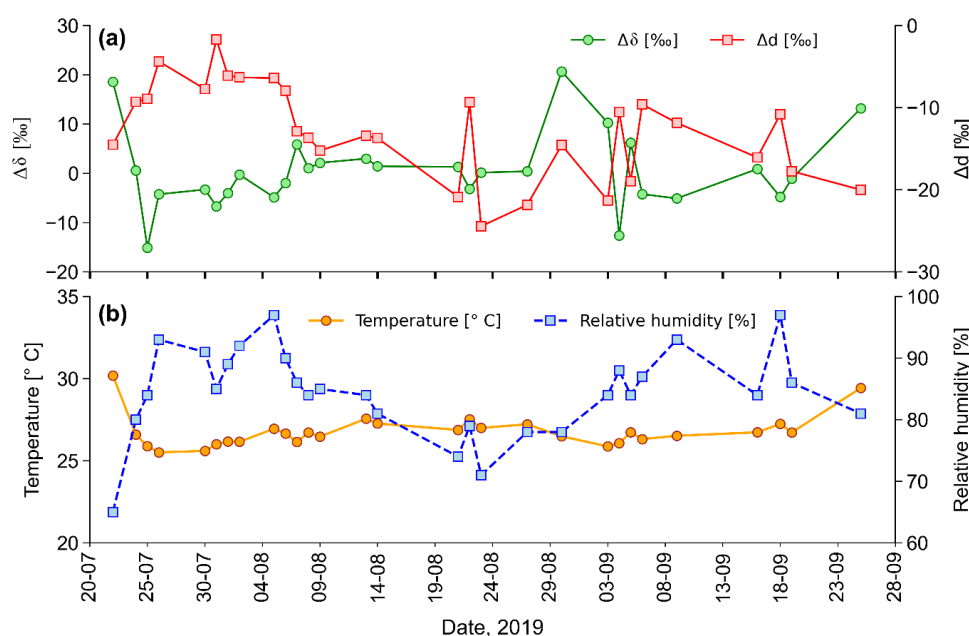


362



**Figure 4.** The correlations between (a)  $\delta^{18}\text{O}$  of rain ( $\delta^{18}\text{O}_r$ ) and  $\delta^{18}\text{O}$  of vapour ( $\delta^{18}\text{O}_v$ ) at the ground level; (b) the difference in d-excess of rain and vapour ( $\Delta$  d-excess(r-v)) and  $\delta^{18}\text{O}$  ( $\Delta\delta^{18}\text{O}(\text{r-v})$ ); (c) difference in the d-excess of rain and vapour ( $\Delta$  d-excess (r-v) ) and ground level relative humidity (RH).

Falling raindrops and the water vapour in the atmospheric column constitute an interacting two-phase system, especially below the cloud base. On the way down, the water molecules are constantly exchanged between these two phases depending on the ambient RH and temperature. This makes the system evolve towards an isotopic steady state. The difference between isotopes of vapour in equilibrium with raindrops and the observed vapour (at the ground level, defined as  $\Delta\delta$  and  $\Delta d$ ) is useful to quantify the departure from equilibrium. Graf et al. (2019) demonstrated the importance of a  $\Delta\delta$ - $\Delta d$  plot to represent the effect of sub-cloud processes, such as evaporation and equilibration, which influence the water isotopes. In our case, the expected equilibrium vapour isotope values were estimated by using the standard fractionation formula (Horita and Wesolowski, 1994) at the ambient temperature. The time series of  $\Delta\delta$  values (Fig. 5a) for the Pune precipitation samples varied between -20 ‰ and 20 ‰ (omitting one outlier) respectively. For  $\Delta d$ , the time series shows negative values in all cases (ranging from 0 to -20 ‰). The close-to-equilibrium samples correspond mostly to the high-humidity period in July (Fig. 5b). Fifteen samples indicate the influence of below-cloud evaporation with positive  $\Delta\delta$  values associated with strongly negative  $\Delta d$  values (up to -20 ‰).



**Figure 5.** (a) Time series of  $\Delta\delta$  and  $\Delta d$  of the rain samples collected during 2019 monsoon (July to September) in Pune.  $\Delta\delta$  and  $\Delta d$  values (total points=29) as defined in the text following Graf et al. (2019). (b) Time series of daily average surface temperature and relative humidity recorded at IMD Pune observatory during the study period.



A  $\Delta\delta$ - $\Delta d$  scatter plot based on these observed data (Fig. 6d and 6h and Fig. 7d and 7h show that none of the rain samples is in equilibrium with the corresponding ground-level vapour. About 63 % of the sample pairs fall in the lower right quadrant of the diagram, where the raindrop evaporation is relatively more significant, as per Graf et al. (2019). We note that the observed rainfall amount was low (less than 5 mm) for these samples, which is consistent with a substantial evaporation effect. Nine samples have negative  $\Delta\delta$  and  $\Delta d$  values, indicating incomplete equilibration with near-surface vapour. The crucial driving factors for below-cloud processes seem to be the size of raindrops and the intensity of precipitation. This is primarily because raindrops with larger diameters correspond to increased intensity and have shorter residence times in the atmospheric column. As a result, they experience reduced evaporation while descending toward the ground.

The regression line for  $\Delta d/\Delta\delta$  has a slope of -0.43 (Fig. 6d). This is more than the slope of -0.3 reported by Graf et al. (2019) for their study area, Zurich, Switzerland. Their study was based on short-time intra-event samples in a mid-latitude region, whereas daily samples in a tropical region are used in the current study. A set of complex processes operates to dictate the value of the slope, and Graf et al. (2019) pointed out that the slope could represent a balance between below-cloud evaporation and equilibration of rainfall. They suggested that it would be insightful to explore the slope for other climatic regions, hinting that the slope will help assess the evaporation magnitude.

From the analysis of our data, it seems that drop evaporation is more important in our case (for the same change of  $\Delta\delta$ , the change in  $\Delta d$  is comparatively bigger). Simulation experiments by Graf et al. (2019) showed that at high humidity (lower evaporation), the change of  $\Delta d$  is negligible, leading to a lower slope. Conversely, when the temperature is higher, the slope is higher due to higher evaporation. Below, we explore how accurately the BCIM can simulate rainwater isotopes in our tropical location.

### 4.3 Application of BCIM with appropriate input parameters

#### 4.3.1 Setting the boundary condition of the model

As mentioned before, to estimate hydrometeor isotopic composition, the BCIM requires vertical profiles of temperature, humidity and vapour isotope as input parameters. The vertical profiles can be introduced into the model in two ways: (1) Vertical ascent assumption. Here, the profiles can be calculated based on an idealised Rayleigh model having moist adiabatic ascent of air parcels from the surface to the top of the column, and (2) the T, RH profiles can be constructed based on available sounding data and isotope profiles can be derived from simulations conducted using isotope-enabled atmospheric models (Pfahl et al., 2012). These are discussed below (Sections 4.3.2 and 4.3.3).

##### 4.3.1.1 Formation height and drop size assignment

The formation height of the drop is an important factor and should be fixed by considering the most probable altitude range. This parameter is not known a priori, but we can infer this from the cloud liquid water content analysis. An earlier study by Kumar et al. (2014) pointed out that a peak of Cloud Liquid Water Content (CLWC) is often present at 850 mb during the monsoon season over western India. The CLWC data for a period of 29 days of the study period obtained from the ERA-5 dataset also show a peak at  $850 \pm 50$  mb (Supplementary



Fig. S3). Here, we consider the CLWC peak at  $850 \pm 50$  mb (about 1 km above ground from Pune) as the drop introduction height for our case, where the RH reaches the value of 100 % (following Graf et al., 2019).

The BCIM also requires an initial drop size at the formation height. Unfortunately, no disdrometer or MRR observations are available in the study area during 2019. We, therefore, adopted an empirical procedure, known as the Marshall-Palmer relationship, to estimate the mean drop size at the ground. This was done by a weighing procedure. First, we estimated the hourly mean drop size of the raindrops at the ground level from the hourly rain rate data available from an IMD observatory at Shivajinagar, Pune, located about 4 km away from our study area. Next, we calculated the 24 h mean drop size by taking a weighted average of the size and using rain rates as the weights. The surface drop sizes thus calculated vary from 0.61 to 1.80 mm for various days. The drop diameter at the ground is next provided as an input, and then the initial size at the drop height (about 1.5 km above ground) is estimated iteratively in BCIM using the microphysics part of the model. This procedure was adopted for each day.

### 4.3.2 Results of simulation

#### 4.3.2.1 Run-1: Rayleigh ascent assumptions

As mentioned above, the model needs vertical background profiles of atmospheric temperature (T), relative humidity (RH),  $\delta D_v$ , and d-excess, dv. In Rayleigh simulations, various profiles were calculated from the moist-adiabatic ascent of an air parcel with surface values of temperature ( $T_0$ ), relative humidity ( $h_0$ ),  $\delta D_v$  ( $\delta v, 0$ ) and dv ( $dv, 0$ ) of each sampling day as inputs (see isotope profiles in Supplementary Fig S4a and b). The surface values of  $\delta D$  and d-excess of vapour were taken from our vapour measurements along with the daily temperature and humidity data obtained from the IMD publication (Section 2.3). The results for the set of calculations using the Rayleigh ascent assumption (designated as Run-1) are shown in Fig. 6(a-d). In this set of figures, we compare observed and model rain  $\delta D$  (Fig. 6a),  $\delta^{18}O$  (Fig. 6b), and d-excess (Fig. 6c) values. We also construct  $\Delta\delta$ - $\Delta d$  diagrams for both observed and model values and compare them in Fig. 6d. Although observed and model isotope values (Fig. 6a and 6b) show strong correlation ( $R^2=0.86$  and  $0.79$ , respectively), the model values are mostly overestimated (the plotted points lie below the 1:1 line). The overestimations of isotopes (for  $\delta^{18}O$  and  $\delta D$ ) affect the d-excess values considerably more; the points lie far to the right, and no correlation exists between the observed and model d-excess values (Fig. 6c). This is because the d-excess parameter is more sensitive to departure from equilibration due to dominance of evaporation, which means that a small departure of delta values would magnify the discrepancy in case of d-excess. We also note that most of the model data points in a  $\Delta\delta$ - $\Delta d$  cross-plot do not agree with the observed ones. However, they do fall in the lower right quadrant, which is consistent with high raindrop evaporation. We also note that the  $\Delta\delta$  and  $\Delta d$  model values (Fig. 6d, Run-1) show smaller variations compared to the observations. The  $\Delta\delta D$  of the model simulations varies from 0 ‰ to 5 ‰ and  $\Delta d$  from 0 ‰ to -5 ‰, while the observed values have variations of about 25 ‰ (higher by a factor of 5). These comparisons show that the Rayleigh ascent model fails to reproduce the evolution of the rain isotopes in our region.

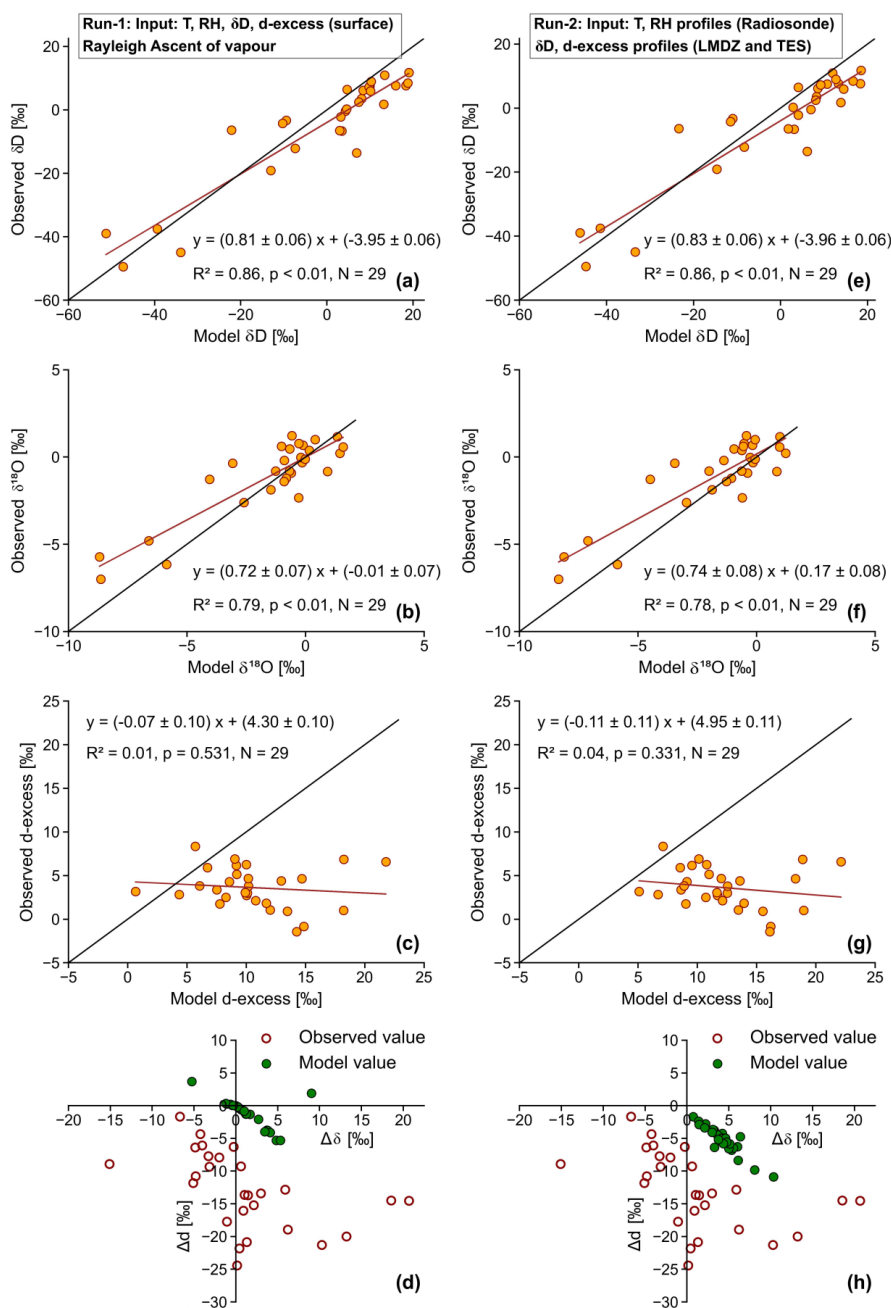
#### 4.3.2.2 RH and T from Radiosonde and isotope profiles from TES and LMDZ (Run-2)





466 Rayleigh ascent in Run-1 assumes that the source of vapour aloft is the rising air parcel, and the isotope values  
467 along with RH and T should reflect that. But this did not yield a good fit. The simulation can possibly be  
468 improved if we use RH and T data from local radiosonde observations and different isotope profiles. For the  
469 present period, the radiosonde data were available only at a few specific pressure levels, and hence, appropriate  
470 interpolations were carried out. To obtain the vertical profiles of vapour isotopes, we first use the isotope  
471 outputs of a GCM, LMDZ for Pune (Dr. Camille Risi; personal communication). These values are used in  
472 BCIM as inputs, and the simulated rainwater and vapour composition were compared with the observed values.  
473 We found that a wide difference exists between the observed and model rain/vapour isotopic values. We suspect  
474 that the LMDZ model may not be able to simulate the vapour isotope ratios accurately. This limitation was  
475 noted by Risi et al. (2021) in a recent study involving large-eddy simulation; they observed that for high  
476 precipitation areas, the convective or mesoscale downdrafts bring more depleted vapour from above into the  
477 sub-cloud layer. Therefore, as an alternative, we used the  $\delta D_v$  profiles modified from TES observations. These  
478 profiles are constrained by using the measured ground-level vapour isotope ratios as a boundary condition while  
479 maintaining the shape of the profile. The procedure is discussed in the light of our analysis period.

480 Firstly, TES vapour  $\delta D$  data are not available for 2019. Moreover, it is also known that the data have  
481 large uncertainty within the boundary layer (Nimya et al., 2022). This necessitates the derivation of vapour  
482 isotope profiles, which would merge with the TES observations at upper layers. The TES provides  $\delta D$  values of  
483 moisture at 17 pressure levels with a  $5.3 \text{ km} \times 8.4 \text{ km}$  footprint during the years 2005-2009. Based on these, we  
484 derived an average TES profile, which is deemed to be representative of the mean monsoon values constructed  
485 by averaging TES observations over a box ( $16^\circ$ - $20^\circ$  N;  $72^\circ$ - $76^\circ$  E) for the ISM period.



486

487 **Figure 6.** Scatter plot showing observed and simulated (a) rain  $\delta^{18}O$ , (b) rain  $\delta D$ , (c) rain d-excess, and (d) same data in

488  $\Delta\delta$ – $\Delta d$  diagram. Rayleigh ascent of a surface air parcel is assumed here (named as Run-1). Similar plots for Run-2 are

489 shown in **Fig. 6e–h**, in which input profiles of T, RH, and vapour  $\delta D$  and d-excess values are obtained by adopted TES and

490 LMDZ outputs (see text).

491



To derive the vertical profiles for each of our sampling days, we use our daily surface measurements as boundary values. The average TES profile (as mentioned above) is modified through a curve-fitting technique where the shape of the average profile is slightly altered while being constrained to pass through the surface value. A 4<sup>th</sup> order Polynomial of the type:  $Ah^4 + Bh^3 + Ch^2 + Dh + E$  (where  $h$  is the altitude in meters) was fitted to the average profile after adjusting its surface value so that a smooth shape is obtained ( $D/H$  decreasing with height following the average pattern). The polynomial coefficients (five in number) were calculated for three cases: (1) for the maximum observed surface  $D/H$  value, (2) for the mean surface value, and (3) for the minimum observed value, giving us three sets of  $A$ ,  $B$ ,  $C$ ,  $D$  and  $E$  values. The constants for each day were next estimated by interpolation using these three sets. Obviously, this method of interpolation, constrained by surface vapour measurements, assumes that the vapour aloft is related to the surface value, and this assumption may not be correct. But it, at least, allows us to check if the surface constraints yield better rain isotope ratios at the ground (using BCIM) while being consistent with the TES measurements of vapour aloft.

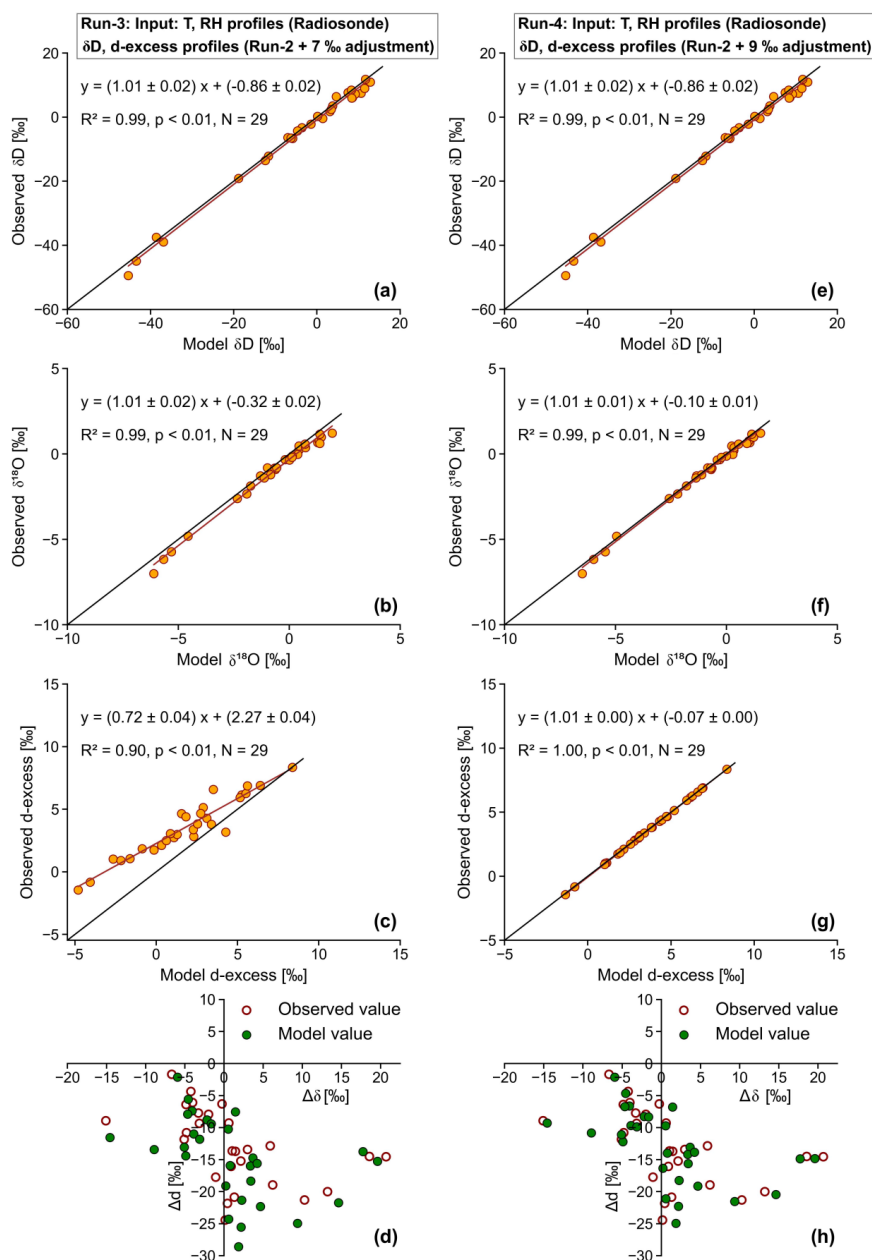
Unfortunately, the vapour  $\delta^{18}\text{O}$  values at various pressure levels are not available from TES (which gives only the  $\text{HDO}/\text{H}_2\text{O}$  ratio). Therefore, we adopted a derivation technique using the vapour isotope profiles simulated by the LMDZ model. In this technique, daily average vapour  $\delta^{18}\text{O}$  and  $\delta\text{D}$  values were obtained from the LMDZ model outputs over our study region for the sampling dates at each height. For each day, two profiles (for  $\delta^{18}\text{O}$  and  $\delta\text{D}$ ) were constructed, and polynomials were fitted. Next, the d-excess profiles were constructed from these two profiles. Each of the daily d-excess profiles was then constrained by using the surface d-excess vapour value for that day to obtain the fitted d-excess Polynomial for that day. The rationale is that even though the individual profiles of  $\delta\text{D}$  and  $\delta^{18}\text{O}$  provided by LMDZ do not predict well the rain isotope ratios (as seen by our trial), the d-excess based on these two isotope ratios should be reasonably good. The obtained vapour d-excess and  $\delta\text{D}$  profiles are shown in Fig. S4c and S4d, Run-2. These profiles were subsequently employed in BCIM (named Run-2) to generate the daily-scale  $\delta^{18}\text{O}$ ,  $\delta\text{D}$  and d-excess values of surface rain isotope ratios (Fig. 6e-6h). However, the results do not show much improvement compared to the Run-1 (Fig. 6e-g) despite showing a larger variability in the  $\Delta\delta$ - $\Delta\text{d}$  plot (Fig. 6h); the  $\Delta\delta$  values varied from -4.7 ‰ to 11 ‰ and  $\Delta\text{d}$  from -1.8 ‰ to -12.4 ‰. Additionally, in this case, all the data points fell in the 3<sup>rd</sup> quadrant of the  $\Delta\delta$ - $\Delta\text{d}$  cross plot (Fig. 6h and Fig. 6d). Both Run-1 and Run-2 simulations fail to yield a good match between the observations and model (especially the d-excess) values.

#### 4.3.4 Vapour $\delta^{18}\text{O}$ correction in the profile (Run-3 and Run-4)

The main source of error in Run 1 and Run 2 could be improper vapour isotope profiles. It is possible that the true profile for a given date may not coincide with the surface-measured value in extrapolation, as assumed by the boundary constraint. In other words, the vapour aloft may not be derived entirely from the surface vapour as measured at our sampling location. One possible explanation could be a significant contribution from the small-scale local surface moisture having a different isotopic composition (evaporation or evapotranspiration from water bodies or trees within a few hundred meters). However, this possibility can be ruled out as a study using satellite data showed that due to high humidity and low temperature during ISM, evaporation/ evapotranspiration ( $\sim 0.5 \text{ mm day}^{-1}$ ) adds a negligible amount of moisture compared to the advective fluxes in this region (Pathak et al., 2014). Our investigation is also limited by the absence of upper air



531  $\delta D$ ,  $\delta^{18}O$  values from an independent observation or model on a daily scale. Due to this limitation, we adopted a  
532 forward modelling approach.



533

534

535 **Figure 7.** Scatter plot showing observed and BCIM simulated (a, e) rain  $\delta D$ , (b, f) rain  $\delta^{18}O$ , (c, g) rain d-excess; in (d, h)  
536 data are cast in the form of  $\Delta\delta$ - $\Delta d$  diagram (for definition of  $\Delta$  values see text). In the panels (a, b, c and d), the input vapour  
537  $\delta^{18}O$  values in the profile are reduced at each level appropriately so that maximum reduction is  $\sim 7$  ‰ at the fall height; in the



panels (e, f, g and h) the reduction is ~9 %.

Keeping the D/H ratios nearly the same, we tuned the vapour  $\delta^{18}\text{O}$  input profile to achieve a reasonable agreement for each date. Two such tunings are attempted. In both, we reduced the  $\delta\text{D}$  values slightly and increased the  $\delta^{18}\text{O}$  moderately while keeping the shapes similar to Run-2. In Run-3 (Fig. 7a-d), the vapour  $\delta^{18}\text{O}$  value is increased at each interval in such a way that the d-excess of the drop decreased to ~8.2 ‰ (on average) from the measured surface value of about 17 ‰ (on average). In the second trial, Run-4 (Fig. 7e-h), the d-excess decrease was made slightly less (average d-excess ~10.7 ‰). These changes are shown in the vapour isotope profiles given in supplementary Fig. S4(f) and S4(h).

We recognise that it is difficult to validate the vapour  $\delta\text{D}$  or d-excess profiles constructed by the above method due to a lack of height-specific observations. However, the available aircraft-based vapour isotope observations suggest that both d-excess and  $\delta\text{D}$  values of vapour decrease with altitude and thus provide some evidential support to the assumed decrease (Sodemann et al., 2017). With the above choices, simulations of rain isotopes improve (Fig. 7) considerably (both in terms of the uncertainty of the slope of the regression line and the correlation coefficient). Between the two alternatives of Run-3 and Run-4, Run-4 is found to be superior in the matter of comparison of the model with observations; the average  $\Delta\text{d}$  (observation-model) difference decreases from 2.1 to 0.4. Additionally, there is considerable improvement in the  $\Delta\delta$ - $\Delta\text{d}$  cross plot (see Fig. 7d and 7h).

The tuning exercise suggests that the adoption of the  $\delta^{18}\text{O}$  profiles or the d-excess profiles based on TES  $\delta\text{D}$  and LMDZ  $\delta\text{D}/\delta^{18}\text{O}$  values (Run-2) was slightly in error. We found that, on average, the adopted  $\delta^{18}\text{O}$  should be increased by about 0.4 ‰, and the adopted  $\delta\text{D}$  decreased by about 3.5 ‰. Consequently, the model d-excess should be changed on average by about -7 ‰ (ranging from +3 ‰ to -17 ‰). A preliminary inspection has shown that the situation would not improve had we taken another isotope-enabled GCM, IsoGSM2 simulation (instead of LMDZ) for  $\delta^{18}\text{O}$ -calibration; in fact, IsoGSM2 simulates higher values for both the vapour isotopes ( $\delta\text{D}$  and d-excess; results not shown).

#### 4.3.5 Sensitivity analysis and uncertainty estimates

In the context of point #4, we examine the effects of the formation height on isotopes, keeping all other parameters the same. We increase the formation height by 1 km (from 1.5 km to 2.5 km) and run the BCIM. To form the drop at a higher altitude, we need to change the RH profile so that the RH=100 % level is reached at the new height. A simplified RH profile is used by approximating the real profile with a straight line, where the surface RH value is taken as one end member, and the 100 % level is taken at the new height. We found that the simulated values of the rain isotope ratios did not change significantly, and similarly, the raindrop evaporation fraction also did not change. We provide detailed uncertainty estimates of the model rain isotope values in Supplementary Information (SI-1). The uncertainty values for  $\delta\text{D}_{\text{rain}} = 3.5$  ‰ and  $\text{d-excess}_{\text{rain}} = 2$  ‰.

We also did a detailed sensitivity analysis (see Supplementary information, SI-2) to study the effects of variation in temperature, relative humidity, vapour isotopes, and drop size using the BCIM. These analyses show that vapour isotope values, RH, Temperature and drop sizes are the dominant factors controlling the model rain isotope ratios.



578 **4.3.6 Estimate of raindrop evaporation**

579

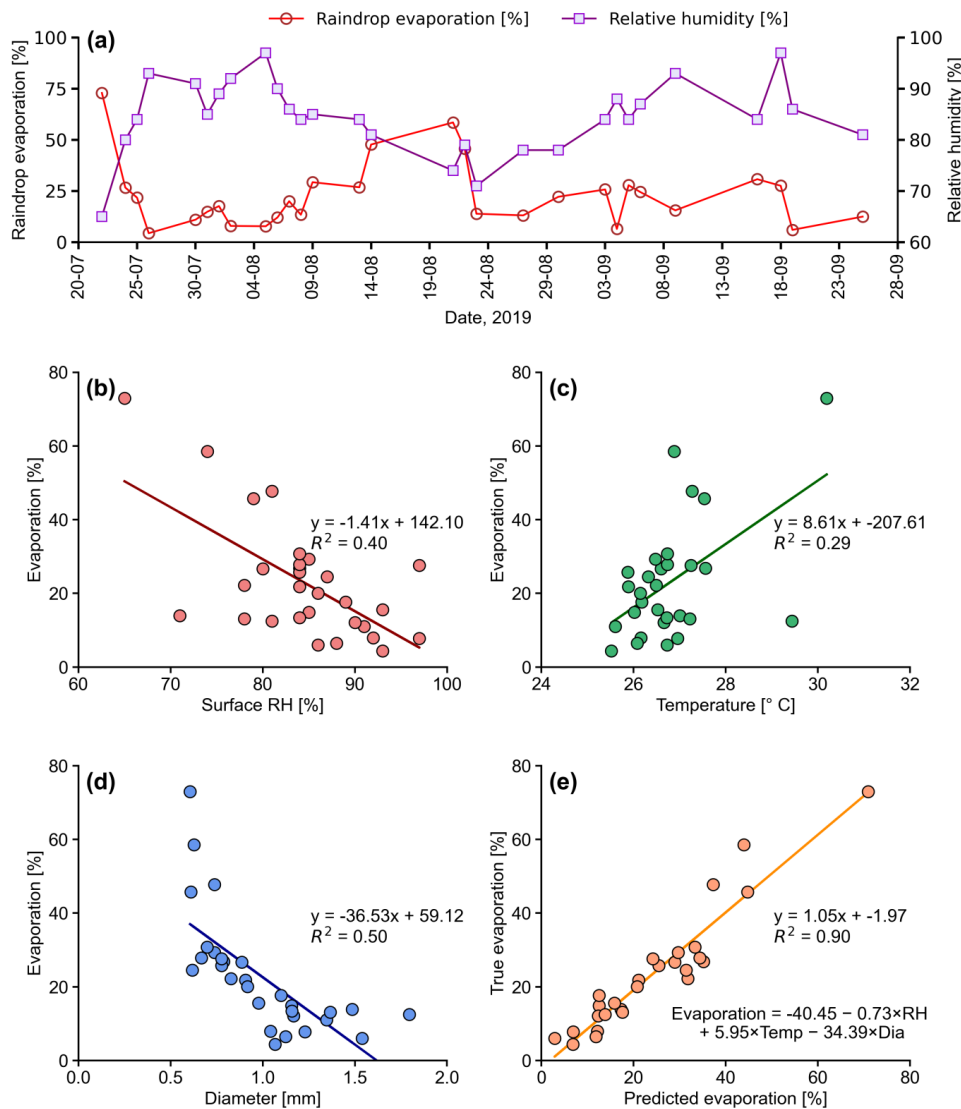
580 Our analysis shows that with minor tuning of vapour profiles, the BCIM can be used to simulate the rain isotope  
581 ratios in Pune. For tuning, the d excess of the vapour needs to be reduced, on average, by about 7 ‰ compared  
582 to the observed ground vapours. Assuming the validity of this tuning, we find that the rains suffer substantial but  
583 variable evaporation in the Pune region. We see from the output of BCIM that the mass of the drop reduces as it  
584 falls. The ratio of final mass/initial mass (or remaining fraction of mass of the hydrometeor relative to the initial  
585 mass, i.e.,  $m/m_0$ ) can then be used to estimate the mass loss suffered by the drop on its way down for each day.  
586 The difference ( $1-m/m_0$ ) of the drop then represents the effective rain evaporation. Defining rain evaporation in  
587 this way, a time series of evaporation values is displayed in Fig. 8a, which varies from 4 % to 73 % (average  
588 ~23 %, omitting one outlier). As expected, drop evaporation is inversely related to the surface humidity (Fig.  
589 8b) and drop diameter (Fig. 8d) but directly proportional to the temperature (Fig. 8c).

590 The evaporation was relatively high (59 % and 73 %) for two days (22 July and 21 August) when  
591 humidity was low (65 % and 74 %), and the temperature was high (30° C and 27° C) along with drop diameter  
592 being small; the combined effect resulted in high evaporation fraction (Fig. 8a). In general, the deduced  
593 evaporation fractions are high ( $23 \pm 16$  %) in this region. This inference is consistent with the observed anti-  
594 correlation between d-excess and  $\delta^{18}\text{O}$  of rain samples (Fig. 3b) as expected in drop evaporation when d-excess  
595 of the raindrop decreases while its  $\delta^{18}\text{O}$  increases.





596



597

598 **Figure 8.** (a) Time series of raindrop evaporation estimated from the BCIM using the simulation in Run-4 and surface  
599 relative humidity. The regression between raindrop evaporation with (b) RH, (c) temperature, and (d) drop diameter. (e)  
600 Multiple regression analysis yields a joint equation:  $\text{Evaporation (\%)} = -40.45 - 0.73 \times \text{RH} + 5.95 \times \text{temperature} - 34.39 \times \text{drop}$   
601  $\text{diameter}$

602

603 A multi-variate regression analysis shows that we can fit the evaporation fraction (in %) as a function of three  
604 surface variables: RH (%), temperature (°C) and drop diameter (mm) as below (Fig. 8e):

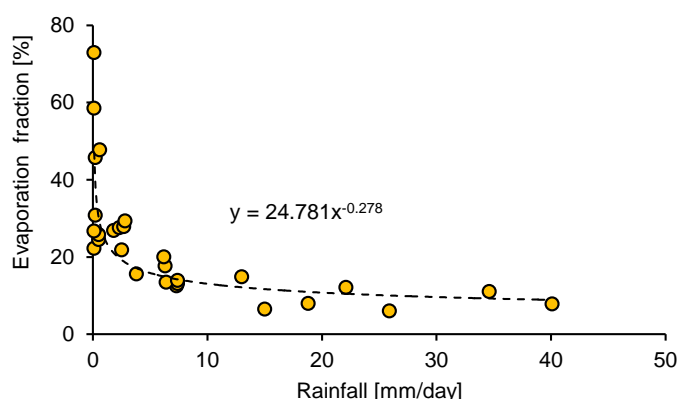
605  $\text{Evaporation Fraction} = 40.45 - 0.73 \times \text{RH} + 5.95 \times \text{Temperature} - 34.39 \times \text{Diameter}$  ( $R^2 = 0.88$ ) (1)

606 As we see, these three parameters control the total variance of the error and among them, the RH is the



major one because the observed temperature does not vary much ( $26.8 \pm 1.0$  °C), being only about 4 %, while for RH, the variation is larger ( $84.5 \pm 7.2$  %) on the order of 8.5 %. The diameter variation is also rather small ( $1.0 \pm 0.3$  mm). From the above relation, we estimate an uncertainty of  $\pm 10$  % for the model evaporation fraction (assuming errors of 5 % in RH, 0.5° C in T, and 0.3 mm in diameter).

To explore the influence of drop evaporation on rainfall amount, we plot evaporation as a function of rainfall in Fig. 9 which shows that the two parameters are related by a power law where an increase in the drop evaporation causes a reduction in the rainfall. However, for large rainfall, the evaporation influence is less; for smaller rainfall range (less than 5 to 10 mm/day), the evaporation change affects the rainfall significantly. For example, even for a minor increase in evaporation, say from 20% to 30%, the rainfall decreases from 2.1 to 0.5 (mm/day). The reason is that smaller rainfall is usually associated with smaller drops which suffer relatively more evaporation, considering other parameters (RH, Temperature) constant.



**Figure 9.** Scatter plot showing relationship between the drop evaporation estimated in this study and rainfall in Pune. The black dashed line indicates the best-fit power law.

## 5. Summary and Conclusions

We analysed isotope ratios of daily rain and atmospheric vapour samples collected from surface level at Pune, a tropical rain shadow region in Western India, during the summer monsoon season (June-early October) of 2019. The key findings are listed below:

1. The vapour isotopes show considerable temporal variation (with  $\delta^{18}\text{O}$  from  $-19.2$  ‰ to  $-9.4$  ‰ and  $\delta\text{D}$  from  $-123.7$  ‰ to  $-63.4$  ‰). Among the diversity of variations, there were four events extending over a few days when both rain and vapour isotope ratios were considerably lower (for example, rain values were less than the mean  $-0.5$  standard deviation; with  $\delta^{18}\text{O} < -2.6$  ‰). These events seem to indicate intimate relations with regional meteorological characteristics.
2. We note that the low rain isotope events are found to be synchronous with negative OLR anomalies. Negative OLR anomalies in the tropical monsoon zones of India are known to be associated with large-scale convections which uplift air masses to great heights (Sengupta et al., 2020); We surmise that in such cases, the rain formation takes place in an environment of cold



- temperatures (larger fractionations) and low  $\delta$ -values of ambient vapour. Both these factors would yield low rain  $\delta$ -values.
3. A gradual increase in the d-excess values of vapour and a small but notable decrease in  $\delta^{18}\text{O}$  values in the later part of the monsoon (after mid-August) were noted. The very high vapour d-excess in September is especially noticeable. In contrast, the rain d-values are not significantly different. We also find a strong anti-correlation between vapour  $\delta^{18}\text{O}$ -d-excess values.
  4. The above observations suggest increased moisture recycling in the form of vapour contribution from evaporation of raindrops and/or local vapour supply. However, local-scale vapour supply cannot be a large factor based on an earlier study in central India (Pathak et al., 2014). Therefore, we strongly believe that downdraft of depleted vapour is the main source of low isotope (and high d-excess) surface vapour (Risi et al., 2023). The depleted vapour in the sub-cloud region can originate from raindrop evaporation.
  5. To quantify the sub-cloud processes altering the rain isotope values, we used the Below Cloud Interaction Model BCIM. Upon reasonable tuning of the input parameters, we obtained a notable agreement between the observed and model rain isotope values at the ground level.
  6. In the  $\Delta\delta$ - $\Delta d$  ( $\Delta$  is defined by rain-equilibrium vapour minus the ambient vapour following Graf et al) cross plot, the majority of the data points lie in the 3<sup>rd</sup> quadrant, which signifies the dominance of raindrop evaporation over Pune and the adjoining region during our study period. The cross-plot is indicative of drop evaporation but cannot quantify the magnitude. The slope of the points (about -0.43), however, suggests that evaporation is intense. This is because a higher slope in the cross-plot is caused by a relatively magnified effect of d-excess difference between the rain (and corresponding equilibrated vapour) and the ambient vapour which is due to a larger evaporation. For reference, Graf et al. (2019) found that the slope was lower at a value of -0.3 for Zurich.
  7. Since the BCIM is found to be applicable to our study area, we estimate the raindrop evaporation parameter from the model output. An event-to-event quantification of raindrop evaporation is the key finding of our study. The model gives a net reduction of the drop mass at the ground level, and we can define the relative reduction as a measure of the effective rain evaporation. Using this innovative technique, the model shows that, on average, about 23 % (varying from 4 % to 73 %) of the rain evaporates in the sub-cloud layer. There are four abnormally large values (46, 48, 58, and 73 %) of evaporation. The largest value is probably due to low RH (~65 %) on that day, but as for the other days, probably a combination of smaller drop size and lower RH played a role. Excluding these four values, the average evaporation is  $18 \pm 8$  % (range of 4 to 30 %).

It is instructive to compare our results to the evaporation estimates obtained in similar studies carried out in other climatic regimes. Sarkar et al. (2003), in a steady state one-dimensional model study of rain in the North Atlantic Trade Wind region (Barbados), found a high value of 63% ( $63 \pm 23$  %) for raindrop evaporation which is three times more than our average value of 23% ( $23 \pm 16$  %). The reason for this is a large difference in drop size and RH. A comparison reveals that their drop size was much smaller (from 125  $\mu\text{m}$  to 6  $\mu\text{m}$ ) in comparison to ours (from 0.61 to 1.80 mm). The drops were so small (smaller than 300  $\mu\text{m}$ ) in some cases (4 February, 2020), that they completely evaporated (evaporation ~ 100%) during the fall leading to very small rain. In addition, in their sampling region, the RH was also lower, ranging from 65% to 80%, compared to ours



675 (65% to 97%). Lower drop size and lower RH lead to higher raindrop evaporation. In addition, the drop sizes  
676 varied over a larger range, leading to a larger variability compared to our study.

677 In another study, rain and vapour isotopes were measured in a cold-front passage over Zurich during  
678 19-25 July 2011, and the data were interpreted by an isotope-enabled regional weather prediction model  
679 COSMOiso (Aemisegger et al., 2015). The authors showed that by switching off the raindrop evaporation, the  
680 rainfall increased by about 75% because the cooling induced by evaporation causes diminished convective  
681 activity. The estimated average evaporation in their study was about 40% (Dr. F. Aemisegger, personal comm.).  
682 This value is also twice our value. The reason is probably lower drop size and lower RH; as stated in their paper:  
683 “weak rainfall intensities (small droplets and thus lower falling velocities), and the possibly lower relative  
684 humidity in the air column above could have contributed to the evaporative enrichment of precipitation”.

685 The tracer-based technique and the BCIM, which we used, are associated with a series of limitations-

- 686 a) We used TES satellite data averaged over 2005-2009 to guide our choice of vapour isotope  
687 profiles, but the year of analysis was 2019. In this matter, there is no way to ascertain the degree of  
688 deviation of the true profile from the adopted ones in Run-2.
- 689 b) The  $\delta^{18}\text{O}$  profiles were adopted based on the  $\delta\text{D}$  and  $\delta^{18}\text{O}$  profiles obtained from the LMDZ  
690 model. As noted, this did not give us good agreement with the observations.
- 691 c) The isotope profiles were constructed using ground observations as boundary values. However,  
692 this also resulted in a mismatch with the observed values, and we had to tune to lower  $\delta^{18}\text{O}$  values  
693 and higher d-excess values to achieve good agreement. It should be mentioned here that Risi et al.  
694 (2023) also discussed a similar idea in their study of water isotopes in tropical squall lines, that  
695 convective downdrafts can introduce depleted vapour produced by rain re-evaporation in the  
696 boundary layer. Moreover, the vapour samples were collected for a duration (about a few hours)  
697 that did not coincide exactly with the longer rain collection period (about 24 hours).
- 698 d) The raindrop formation height was assumed to be the same for all rainy days, and the drops were  
699 all introduced at a constant level, considered to be the cloud base at  $\text{RH}=100\%$ . However, it is  
700 well known that raindrops do not all form at the same height, even on a single day. With this  
701 assumption, we are neglecting alterations in isotope ratios produced inside the cloud by various  
702 microphysical processes. However, since we are concerned with sub-cloud processes, this is not a  
703 serious problem.

704 Considering these limitations, we provide detailed uncertainty estimates of the model rain isotope values in  
705 Supplementary Information (SI-1) and raindrop evaporation estimates (Section 4.3.6). The uncertainty values  
706 for  $\delta\text{D}_{\text{rain}} = 3.5\%$ , for  $\text{d-excess}_{\text{rain}} = 2\%$ , and drop evaporation estimate is 10%.

707 Presence of evaporation during ISM has been postulated earlier in several theoretical models, but this  
708 study provides, for the first time, a quantitative estimate of rain evaporation on a day-to-day basis in the Indian  
709 monsoon season using combined rain vapour isotope data. However, a  $\sim 25\%$  raindrop evaporation applies only  
710 to the highly humid Pune region. The average seasonal rainfall in Pune is about 55 cm (for ISM), and if  $\sim 25\%$   
711 of this is evaporated, it would mean considerable cooling of the boundary layer leading to localized downdrafts,  
712 formation of cold pools, and changes in atmospheric stability. The cooling can also hinder efficient formation of  
713 convection (Hwong and Muller, 2024) and can have a large effect on the precipitation patterns in the tropics  
714 (Bacmeister et al., 2006; Sarkar et al., 2023). Given the large share of precipitation recycling found in this study for



Pune, the question arises, how large precipitation recycling is at larger scales, i.e., regional or continental scales, as well as in other seasons over India. We need to have a comprehensive program for carrying out such analysis, aided with appropriate BCIM input parameters, to understand the evaporation of raindrops over various climatic subdivisions in India. Moreover, high-frequency observation of vapour and rain isotopes would be useful to quantify this fraction during various convective events associated with low-pressure systems during ISM. As mentioned above, raindrop evaporation is an important parameter in modelling the energy and moisture budget in monsoon rainfall prediction.

#### Data Availability

Observed rain and vapour isotope data are available upon communication with the corresponding author. The upper-air radiosonde measurements were obtained from the University of Wyoming repository (<http://weather.uwyo.edu/upperair/sounding.html>). The daily gridded data (zonal and meridional wind, specific humidity, air temperature, and cloud liquid water content) are available from the European Centre for Medium-Range Weather Forecasts Reanalysis (ERA-5; <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>). The rainfall data (cumulated over 24 hours) are obtained from the Pune observatories of the IMD (available at the National Data Centre ([www.imdpune.gov.in/ndc\\_new/ndc\\_index.html](http://www.imdpune.gov.in/ndc_new/ndc_index.html))). Apart from daily rainfall, hourly rainfall data and daily average temperature and relative humidity data for the Pune observatory were also obtained from the IMD using the above link. The datasets for 48 h air mass back trajectory analysis at 850 mb pressure level are obtained from the NOAA Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPPLIT) model (<https://www.ready.noaa.gov/HYSPLIT.php>). We received daily outputs of LMDZ isotope-enabled GCMs, which were provided by Dr. Camille Risi by personal communication. The Interpolated Outgoing Longwave Radiation (OLR) data from NOAA (<https://psl.noaa.gov/data/gridded/data.olrcdr.interp.html>) is used in this study. Tropospheric Emission Spectrometer (TES) Level 2 (Nadir-Lite-Version 6) retrievals of HDO and H<sub>2</sub>O profiles for the available period (2005–2007; <https://tes.jpl.nasa.gov/tes/data>) are used to construct the vapour  $\delta D$  profile.

#### Author Contribution

SSN carried out all rain and vapour isotopic measurements and part of the data analyses, installed and ran the model BCIM. SPR analysed the majority of the isotopic data, performed all controlled runs in the BCIM, and constructed most of the figures. SS conceptualized the scientific plan and methodology and wrote the initial draft of the manuscript. SKB contributed to data analysis and interpretation of model outputs, corrected the manuscript, and provided useful comments and suggestions.

#### Code Availability

We carried out data analysis and plots using licensed versions of Microsoft Excel and Python, the latter being freely available from <https://www.python.org/downloads/>. The code of the model, BCIM, is freely available from <https://git.app.uib.no/Harald.Sodemann/bcim>.

#### Competing interests

The authors declare that they have no conflict of interest.



756 **Acknowledgements**

757 The Indian Institute of Tropical Meteorology, Pune (IITM), is fully supported by the Earth System Science  
758 Organization (ESSO) of the Ministry of Earth Sciences, India. This work forms part of the Ph.D. thesis of SSN,  
759 who thanks IITM for a fellowship. SPR thanks IITM for a research associateship. We thank Director IITM for  
760 his constant encouragement. The NASA Langley Research Centre and the Atmospheric Science Data Centre are  
761 acknowledged for the TES dataset. A fruitful discussion with Dr. Camille Risi is also acknowledged.

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