



1 Ensemble-based data assimilation improves hyperresolution 2 snowpack simulations in forests.

**3 Esteban Alonso-González¹, Adrian Harpold², Jessica D. Lundquist³, Cara Piske^{2,4},
4 Laura Sourp^{5,6}, Kristoffer Aalstad⁷ and Simon Gascoin⁵**

⁵ ¹Instituto Pirenaico de Ecología, Consejo Superior de Investigaciones Científicas
6 (IPE- CSIC), Jaca, Spain.

⁷ ²Department of Natural Resources and Environmental Science, University of
8 Nevada, Reno, Nevada, USA.

⁹ ³Civil and Environmental Engineering, University of Washington, Seattle,
10 Washington, USA.

¹¹ ⁴Airborne Snow Observatories Inc. Mammoth Lakes, California, USA.

¹² ⁵Centre d'Etudes Spatiales de la Biosphère (CESBIO), CNES/CNRS/IRD/UT3 Paul
13 Sabatier, Toulouse, France.

¹⁴ ⁶MAGELLIUM, Ramonville Saint-Agne, France

¹⁵ ⁷Department of Geosciences, University of Oslo, Oslo, Norway.

16 Corresponding author: Esteban Alonso-González (alonsoe@ipe.csic.es)

17 Abstract

18 Snowpack dynamics play a key role in controlling hydrological and ecological
19 processes at various scales, but snow monitoring remains challenging. Data
20 assimilation techniques are emerging as promising tools to improve uncertain
21 snowpack simulations by fusing state-of-the-art numerical models with
22 information rich, but noisy observations. However, the occlusion of the ground
23 below the forest canopy limits the retrieval of snowpack information from remote
24 sensing tools. Remote sensing observations in these environments are spatially
25 incomplete, impeding the implementation of fully distributed data assimilation
26 techniques. Here we propose different experiments to propagate the information
27 obtained in forest clearings, where it is possible to retrieve observations, towards
28 the sub-canopy, where the point of view of remote sensors is occluded. The
29 experiments were conducted in forests within Sagehen Creek watershed
30 (California, USA), by updating simulations conducted with the Flexible Snow Model
31 (FSM2) using airborne lidar snow data using the Multiple Snow data Assimilation



32 system (MuSA). The successful experiments improved the reference simulations
33 significantly both in terms of validation metrics (correlation coefficient from $R=0.1$
34 to $R \sim 0.8$ on average) and spatial patterns. Data assimilation configurations using
35 geographical distances and space of topographical dimensions, improved the
36 reference run. However, those creating a space of synthetic coordinates by
37 combining the spatiotemporal data assimilation with a principal components
38 analysis did not show any improvement, even degrading some validation metrics.
39 Future data assimilation initiatives would benefit from building specific localization
40 functions that are able to model the spatial snowpack relationships at different
41 resolutions.

42 **1 Introduction**

43 The seasonal snowpack is a crucial component in various ecological and
44 hydrological processes in mountain areas and cold regions (Han et al., 2024;
45 Slatyer et al., 2022), covering over 47 million square kilometers of the northern
46 hemisphere (Robinson & Frei, 2000) and 45% of global mountain areas (Gascoin et
47 al., 2024). It has significant implications for both the economy and ecology of
48 these areas, as well as for downstream regions (Barnett et al., 2005; Qin et al.,
49 2020; Sturm et al., 2017). However, accurately estimating the spatiotemporal
50 dynamics of the snowpack, in particular the snow water equivalent (SWE), remains
51 a challenging and unresolved issue (Tsang et al., 2022). These difficulties are only
52 increased in forested terrain, due to the complex relationships between snowpack
53 and canopy cover (Mazzotti, Essery, Moeser, et al., 2020).

54 The overlapping area between the snowpack and forested areas is estimated in at
55 least 19% of the terrain in the northern hemisphere, only accounting for the boreal
56 forest (Rutter et al., 2009). This estimation can only be higher considering the
57 overlapping area in alpine forests. Snow beneath the canopy behaves differently
58 than in open terrain (Dickerson-Lange et al., 2023; Safa et al., 2021; Varhola et al.,
59 2010). One major process is the interception of snowfall in the forest canopy,
60 limiting under canopy snow accumulation compared to clearings (Essery et al.,
61 2003). The intercepted snow will either sublimate, drip as liquid water or unload as
62 snow (Lundquist et al., 2021). In addition, the canopy cover changes the net
63 radiation available to melt the snowpack, both by shading the snow surface and
64 increasing the incoming longwave radiation (Lundquist et al., 2013). Generally,
65 this leads to increased ablation under the canopy in warmer environments from
66 longwave radiation compared to colder environments where shading from solar
67 radiation causes less ablation under canopy (Lundquist et al., 2013). This
68 relationship leads to differences between under canopy and open clearing



69 snowpack in most environments (Dickerson-Lange et al., 2017) that are
70 challenging to observe across complex terrain (Safa et al., 2021).

71 Direct observations of the snowpack under the forest are rare and challenging to
72 obtain (Kinar & Pomeroy, 2015). Deploying field based monitoring networks is a
73 complicated and expensive task. The harsh weather conditions of the remote areas
74 where the snowpack is present complicate the installation of monitoring networks,
75 with the number of automatic weather stations declining dramatically with higher
76 elevation (Matthews et al., 2020). Given the considerable complexity of the spatial
77 patterns of the seasonal snowpack, monitoring networks often suffer from a lack
78 of representativity (Herbert et al., 2024). In addition, monitoring SWE, which is the
79 key snow hydrological variable, is significantly more uncertain and costly to
80 measure than other variables such as snow depth, and remains an active research
81 topic (e.g., Gugerli et al., 2022; Orio-Alonso et al., 2023). The extensive spatial
82 extent of the seasonal snowpack and its temporal variability make monitoring
83 based on manual field campaigns challenging to deploy.

84 Remote sensing techniques are well established as snow cover monitoring tools
85 (Gascoin et al., 2024). Due to different remote sensing initiatives, it is possible to
86 monitor the dynamics of the snowpack even at continental scales at frequencies
87 approaching real time. Despite being traditionally restricted to the measurement
88 of snow cover properties such as the snow cover extent, fractional snow cover or
89 snow surface temperature, it is now possible to retrieve the snow depth, from
90 photogrammetry and lidar based sensors installed in traditional or remotely
91 piloted airborne platforms, or orbital sensors (Deschamps-Berger et al., 2020;
92 Harder et al., 2020; Painter et al., 2016). Unfortunately, most of these retrievals are
93 limited to observations in open terrain or clearings in forested areas, being limited
94 either spatially or temporally. Recent experiments based on airborne campaigns
95 have proven the potential of X- and Ku-band SAR technology to retrieve SWE
96 (Montpetit et al., 2024; Singh et al., 2024), a technology that is expected to be
97 implemented in the next generation of satellites in the near future (Derksen et al.,
98 2021). Unfortunately obtaining SWE observations in dense forest areas will remain
99 problematic (Tsang et al., 2022). One partial solution to observing snow under the
100 canopy is with airborne lidar systems that can partially penetrate the canopy and
101 retrieve the snow surface elevation. Recent work has processed lidar point clouds
102 to resolve under canopy snowpack and validated the results against field
103 observations (Kostadinov et al., 2019; Safa et al., 2021). Refinements to this
104 method offer promise for better resolving lidar returns from low canopy with the
105 snow surface (Piske et al., 2024) and creating datasets that can be used to train
106 models and improve other remote sensing snow products.



107 Numerical modeling of the snowpack allows simulating the complete state of the
108 snowpack, including the SWE, at any spatiotemporal resolutions. Modern
109 snowpack models of increasing complexity even represent the horizontal transport
110 of the snow caused by wind and avalanches, and the interactions with forests
111 (Mazzotti et al., 2020; Vionnet et al., 2021). However, numerical models often rely
112 on adjustable parameters to represent different physical processes, whose
113 transferability between different areas and model resolutions is usually complex,
114 leading to uncertain simulations (Essery et al., 2013). In addition, these models rely
115 on high resolution meteorological forcings, that are very challenging to generate
116 and constrain, in part due to the lack of dense in situ observations. An alternative
117 is to use meteorological downscaling techniques based on limited-area
118 atmospheric models (Alonso-González et al., 2021; Sharma et al., 2023). However,
119 the computational cost of regional atmospheric models increases significantly with
120 finer resolution, with the current state of the art at the kilometer scale (Rasmussen
121 et al., 2023). As such, dynamical downscaling is not yet a tractable option to couple
122 with high and hyper resolution snowpack simulations. A partial, and very
123 widespread, solution to this problem is to use simplified downscaling models that
124 rely on different assumptions and/or empirical approximations to generate high
125 resolution meteorological forcing fields. These may be predefined temperature
126 and precipitation lapse-rates, or using empirical relations between the
127 atmospheric variables and the underlying terrain (Fiddes & Gruber, 2014; Liston &
128 Elder, 2006; Reynolds et al., 2023). Despite their simplicity, these more heuristic
129 approaches may lead to a performance comparable with dynamically downscaled
130 meteorological products (Alonso-González et al., 2023; Gutmann et al., 2012; Krut
131 et al., 2022). Nonetheless, any (often considerable) remaining uncertainty in the
132 forcing will, together with the uncertainty in the snow model structure and
133 parameters, be propagated to the snowpack simulations, typically leading to
134 simulations that differ significantly from reality (Krinner et al., 2018).

135 Data assimilation (DA) is the exercise of merging noisy observations with uncertain
136 numerical models to exploit the strengths of both worlds (Evensen et al., 2022).
137 Thanks to DA, it is possible to constrain model uncertainty using partial information from
138 snowpack observations (Larger et al., 2020). Although DA may not be as
139 widespread in the snow sciences as in other disciplines, its use is becoming more
140 common with a number of operational and experimental initiatives (e.g. Giroto et
141 al., 2024; Mott et al., 2023). Using DA, it is possible to infer uncertain parameters to
142 improve the simulations so as to better match the observations, providing an
143 estimation of the model uncertainty. However, snow DA is still rarely used in
144 forested areas due to the lack of reliable remote sensing observations of the
145 snowpack under the canopy.



146 Canopy cover impedes the direct observation of the snowpack from space or
147 airborne sensors, which collaterally hampers the use of DA, and may even degrade
148 simulation outputs if implemented in its simplest form (Yatheendradas et al.,
149 2012). This is probably the reason that the majority of snow DA experiments have
150 been limited to arctic or alpine areas above the treeline, with only some
151 experiments approaching specifically the topic of snow DA in forested areas.
152 Smyth et al. (2022) tested the potential of a particle filter DA algorithm to improve
153 snowpack simulations generated by the Flexible Snow Model (FSM2) in the
154 presence of observations beneath the canopy. The results show that simulations
155 can be improved by assimilating data in snow models that consider canopy
156 interactions. However, the question of how to improve simulations of the
157 snowpack in case of a total occlusion of the snow view in certain regions of the
158 simulation domain (i.e. lack of local observations) remains unanswered. Pflug et
159 al., (2024) proposed a simplified three dimensional DA scheme to update the SWE
160 state variable at unobserved locations from remote observations in forest gaps
161 and tested their approach with a synthetic observing system simulation
162 experiment (OSSE). First, they used a one dimensional (purely temporal) Ensemble
163 Kalman Filter (EnKF) to update the cells where observations exist. In a second step
164 they updated the local unobserved pixels SWE using the ratio of the average
165 observations and average modeled SWE within a spatial window, generating a new
166 observation to be assimilated. Due to its simplicity, this heuristic procedure
167 succeeded in performing a promising synthetic assimilation experiment over a
168 very large area of North America at an affordable computational cost. Cho et al.
169 (2023) assimilated spatially coarse (5km²) airborne gamma ray based SWE
170 retrievals in forested environments, using a three-dimensional EnKF. These recent
171 works lay the foundations of snow data assimilation in forests, with great potential
172 to (i) improve snowpack simulations in forested watersheds, (ii) better understand
173 snow-forest processes, and (iii) identify shortcomings in snow-forest model
174 parameterizations. However, these previous works are based on necessarily
175 simplified approximations to limit the computational cost, synthetic experiments
176 or very coarse resolutions unable to capture the spatial variability present in
177 montane forests (Safa et al., 2021; Tennant et al., 2017). The emergence of new
178 technologies that allow the acquisition of snowpack observations at high and
179 hyper resolutions (Gascoin et al., 2024), make it necessary to adapt classical DA
180 techniques to maximize the value of the available information.

181 The interactions between the canopy and the snowpack behavior pose challenges
182 for inferring the snow mass beneath the canopy directly from nearby observed
183 locations in forest clearings, preventing simple interpolation techniques
184 (Dharmadasa et al., 2024) or DA techniques designed to update the model states



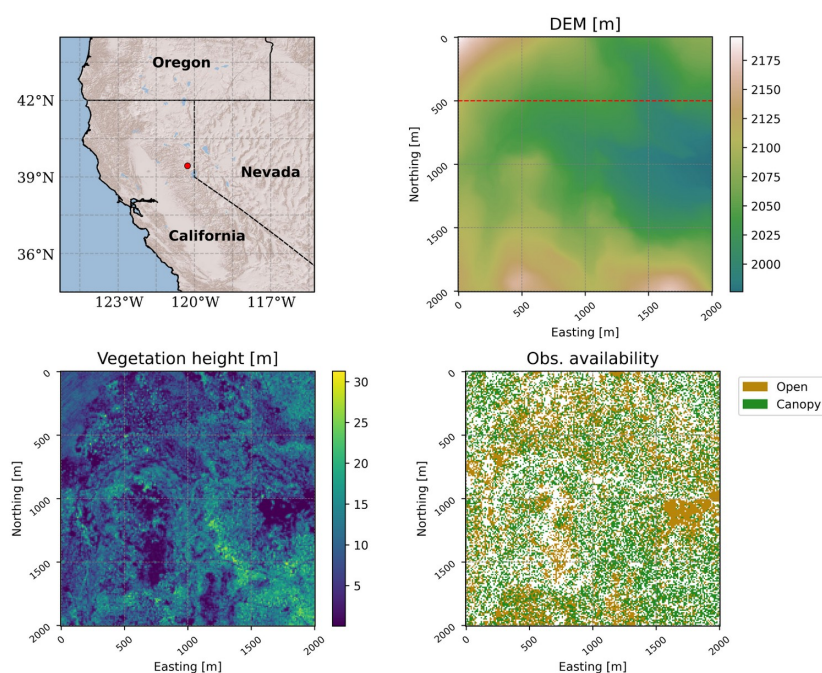
185 directly from the information obtained in nearby cells to work efficiently in this
186 context (Pflug et al., 2024). It is necessary to explore how the available information
187 can be transferred from the available observations in forest clearings to beneath
188 the canopy, where observations are typically either missing or highly uncertain. In
189 this work, we test a recently developed spatio-temporal snow DA methodology
190 (Alonso-González et al., 2023), specifically designed to update distributed
191 snowpack simulations from spatially incomplete observations such as in a forest
192 environment where the information from remote sensors is mostly available in
193 forest clearings. We combine that information with a unique post-processed lidar
194 dataset that resolves the under-canopy snowpack explicitly (Kostadinov et al.,
195 2019; Piske et al., 2024) to validate the model. The objective of this work is (i) to
196 explore the potential of lidar-derived real observations to update distributed
197 snowpack simulations at hyperresolution (10 m) scales in forest environments, and
198 (ii) to test different spatiotemporal DA configurations for estimating snow under
199 the canopy when only observations in forest gaps are available. Here we propose
200 different spatio-temporal DA configurations to propagate information under the
201 canopy where the observations are often not available.



203 **2 Data and Methods**

204 **2.1 Observed snow depth maps, vegetation parameters and** 205 **meteorological forcing**

206 The experiments proposed in this work were developed in the Sagehen Creek
207 forest (California, USA, Fig. 1). The observations consist of one airborne LiDAR
208 derived snow depth map collected by the National Center for Airborne Laser
209 Mapping on 21 March 2022 (Piske, 2022) and a snow off flight (Graup, 2021). From
210 all the available areas, we have manually selected a domain of ~2x2 km that
211 maximizes canopy heterogeneity and the observed snowpack data that are
212 incomplete due to dense canopy cover, since pixels with potential vegetation-
213 snowpack conflict were removed to increase the confidence in the snow depth
214 data. The Sagehen Creek site was used to develop and test a new method of under
215 canopy snow depth detection from airborne lidar (Kostadinov et al., 2019) that
216 resolves a considerable amount of snow information beneath the canopy (Fig. 1).
217 We use a slightly improved method to extract vegetation from the snow surface
218 described in Piske (2022). Based on nearby SNOTEL at a similar elevation (SNOTEL
219 Site: 539, Independence Camp, <https://wcc.sc.egov.usda.gov/nwcc/site?sitenum=539>, last accessed: 11-Nov-2024), the SWE was 43 cm on 21 March when
220 lidar was collected compared to a maximum annual SWE of 48 cm on 9 March,
221 2022. The native spatial resolution of the lidar dataset was 1 m which was
222 resampled to 10m for use in the DA analysis. The error variance of the
223 observations was assumed to be $\sigma^2 = 0.01 \text{ m}^2$ at 10m resolution based on previous
224 airborne LiDAR snow experiences that reported similar error metrics (Currier et al.,
225 2019; Harpold et al., 2014; Mazzotti et al., 2019; Painter et al., 2016). Future
226 initiatives may benefit from more sophisticated error models. In addition to the
227 snow depth observations, different vegetation parameters were computed from
228 the three-dimensional lidar data, including vegetation height, the Vegetation area
229 index and the in forest sky view factor based on methods described in Broxton et
230 al., (2015) and Broxton et al. (2021). This dataset was segmented into grid cells in
231 forest clearings (to be assimilated) and canopy-covered cells (to be used as
232 independent validation) based on this vegetation information. The meteorological
233 forcing was generated using MicroMet (Liston & Elder, 2006) forced by the ERA5
234 atmospheric reanalysis (Hersbach et al., 2020). The meteorological fields were
235 downscaled to the same geometry of the observations using a LiDAR based digital
236 elevation model (Sourp et al., 2024). The precipitation partitioning was estimated
237 using the psychrometric parameterization scheme proposed by Harder & Pomeroy
238 (2013).



240 Figure 1. Localization map, Digital elevation model, vegetation height and available observations
 241 (with its segmentation between canopy covered data used for validation or forest gaps to be
 242 assimilated). The red transect in the digital elevation map indicates the location of the profile used
 243 later for validation.

244 2.2 Data assimilation and computational setup



245 All DA experiments presented in this work were developed using the Multiple
246 Snow data Assimilation (MuSA) system (Alonso-González et al., 2022). MuSA is an
247 open-source DA toolbox designed primarily as a python wrapper around the
248 Flexible Snow Model (FSM2, Essery et al. (2024), but now providing support for
249 other numerical models as well while not necessarily being limited to snowpack
250 models. MuSA provides support to different DA algorithms, and simplifies the
251 implementation of new ones thanks to its modular design. In this work, the FSM2
252 model was chosen due to its already coupled canopy module that required only
253 minimal modifications of the original MuSA code to be activated. MuSA, and
254 therefore FSM2, was forced by the Micromet outputs, and provided with the
255 aforementioned lidar-derived vegetation parameter maps. It should be noted that
256 although in this work we focus on the MuSA snow depth outputs (as this is what
257 we can validate) posterior simulations include the full state vector of FSM2,
258 including SWE.

259 The spatio-temporal DA scheme is described in Alonso-González et al. (2023), and
260 therefore we only briefly introduce some key points here, its configuration, and
261 the new modifications implemented to improve its performance for the new
262 problem at hand. We only infer meteorological correction parameters and not
263 model states, leading to physically consistent (in terms of FSM2) simulations of the
264 modeled snow state across the snow season. As mentioned above, snowpack in
265 the forest gaps shows a different behavior than beneath the trees (Varhola et al.,
266 2010), so trying to infer canopy-occluded states directly from the information we
267 can obtain in the gaps could also introduce artifacts in the simulations. Crucially
268 the forcing perturbations will also be modified by the canopy scheme in FSM2, so
269 even if the above canopy forcing is constrained to be similar for neighboring cells
270 the forcing that the below canopy snowpack experiences will be different thanks to
271 the model physics.



272 As the first step in our workflow, we generated an ensemble of 100 FSM2
273 simulations by randomly drawing stationary (i.e. constant across the water year)
274 spatially correlated prior parameters to perturb the meteorological forcing,
275 particularly the precipitation and 2m air fields. The choice of perturbing only
276 precipitation and temperature was motivated by previous successful experiments
277 with a similar setup, albeit in non-forested environments (Alonso-González et al.,
278 2023; Alonso-González et al., 2022). Herein, the prior probability distributions that
279 we sampled using a random number generator were: a normal (additive
280 parameter) for the temperature bias and lognormal (multiplicative parameter) for
281 the precipitation scaling. These prior distributions were defined by: its mean ($\mu = 0$)
282 and standard deviation ($\sigma = 1$) in the case of the temperature and by the mean and
283 standard deviation ($\mu = 0$, $\sigma = 0.4$) of the *underlying* normal distribution in the case
284 of the precipitation. The latter results in log-normally distributed prior
285 multiplicative precipitation scaling parameters in the physical space whose median
286 is ~ 1 . The objective of the algorithm is to update these parameters by assimilating
287 observations to directly correct the temperature and precipitation fields and
288 indirectly update the corresponding snowpack states

289 For this purpose we have used a deterministic ensemble Kalman filter (DEnKF)-
290 based algorithm in iterative smoother mode, namely the Deterministic Ensemble
291 Smoother (DES, Sakov & Oke, 2008) with multiple data assimilation (DES-MDA,
292 Emerick, 2018). In this DES-MDA scheme, the update proceeds in two steps for
293 each grid cell $i = 1, \dots, N_g$ and $\ell = 0, \dots, (N_a - 1)$ MDA iteration. Firstly, the $N_p \times 1$
294 updated ensemble mean parameter column vector $\bar{\theta}_{\ell+1}^{(i)}$ is obtained using a Kalman
295 analysis equation of the form

$$\bar{\theta}_{\ell+1}^{(i)} = \bar{\theta}_{\ell}^{(i)} + \mathbf{K}_{\ell}^{(i)} \left[\mathbf{y}^{(i)} - \bar{\mathbf{y}}_{\ell}^{(i)} \right]$$



296 where $\bar{\theta}_\ell^{(i)}$ is the $N_p \times 1$ ensemble mean parameter column vector from the current
297 (prior for $\ell = 0$) iteration, the $N_p \times N_o^{(i)}$ matrix $\mathbf{K}_\ell^{(i)}$ is a localized and inflated
298 ensemble Kalman gain computed using ensemble covariances and the observation
299 error covariance, $\mathbf{y}^{(i)}$ is the $N_o^{(i)} \times 1$ *local* observation vector containing available
300 local observations that are within a yet to be defined distance-based neighborhood
301 $d < 2c$ (see the GC function below) of grid cell i , and the $N_o^{(i)} \times 1$ vector $\bar{\mathbf{y}}_\ell^{(i)}$ contains
302 the corresponding local ensemble mean predicted (i.e. modeled) observations
303 from the last iteration obtained at neighboring grid cells. We refer to Alonso-
304 Gonzalez et al. (2022) for the full form of the ensemble Kalman gain matrix in
305 particular and a more detailed overview of the implementation of spatio-temporal
306 DA using the DES-MDA in MuSA in general. Secondly, the $N_p \times N_e$ matrix $\Theta_{\ell+1}^{(i)'}
307 containing the updated ensemble of parameter vector anomalies (from the mean)
308 is obtained a modified Kalman analysis equation of the form$

$$\Theta_{\ell+1}^{(i)'} = \Theta_\ell^{(i)'} - 0.5\mathbf{K}_\ell^{(i)} \left[\mathbf{y}^{(i)} \mathbf{1}_{N_o^{(i)}}^T - \hat{\mathbf{Y}}_\ell^{(i)} \right]$$

309 where $\Theta_\ell^{(i)'}$ is the $N_p \times N_e$ matrix containing the ensemble of parameter vector
310 anomalies from the current iteration, $\mathbf{1}_{N_o^{(i)}}^T$ is a $1 \times N_o^{(i)}$ row vector of ones, and $\hat{\mathbf{Y}}_\ell^{(i)}$
311 is the $N_o^{(i)} \times N_e$ matrix of predicted observations from the current iteration. Once
312 the mean and anomaly update steps have been carried out, the $N_p \times N_e$ matrix
313 $\Theta_{\ell+1}^{(i)}$ (*without* the prime) containing the updated ensemble of parameter vectors is
314 obtained through the matrix sum

$$\Theta_{\ell+1}^{(i)} = \bar{\theta}_{\ell+1}^{(i)} \mathbf{1}_{N_p}^T + \Theta_{\ell+1}^{(i)'}$$

315 where $\mathbf{1}_{N_p}^T$ is a $1 \times N_p$ row vector of ones. Unlike the classic stochastic (perturbed
316 observation) ensemble Kalman scheme, this deterministic ensemble Kalman
317 scheme is less overconfident thanks to built-in model covariance inflation and also
318 avoids the need to factorize the observation error covariance that can be costly in
319 spatio-temporal problems (Emerick, 2018). In the loop over iterations above we
320 implicitly rerun the forward model, FSM2 in this case, with the updated parameter
321 values to generate an updated ensemble of hidden snowpack states including the
322 predicted snow depth observations to be assimilated.



323 The Gaussian assumptions inherent in this ensemble Kalman method make it
324 more robust against ensemble collapse (where a single member carries all the
325 posterior probability) than particle methods which are more widely used for snow
326 DA (Alonso-Gonzalez et al., 2022). In particular, we have used an iterative version
327 of DES, that performs the update of the parameters in multiple data assimilation
328 (MDA) steps, creating the DES-MDA used here (Emerick, 2018). The MDA is a form
329 of likelihood tempering (Murphy, 2023) that helps relax the undesirable effects of
330 the linear assumption inherent to EnKF based algorithms. In nonlinear DA
331 problems such as the one tackled here, previous work has shown that these MDA
332 iterations lead to significant improvement of the results compared with non-
333 iterative versions of the algorithm (Aalstad et al., 2018; Alonso-González et al.,
334 2022). In this work, based on previous studies (Alonso-González et al., 2022 and
335 references therein), the number of iterations was fixed to 4. To accommodate the
336 Gaussian assumption, we employed analytical Gaussian anamorphosis (Bertino et
337 al., 2003) to log transform the precipitation parameter distribution to a normal
338 distribution and perform the update in Gaussian space. After the update, the
339 parameters are mapped back to the model space using the exponential function
340 before generating the new ensembles.



341 The spatial propagation of information may happen through two main
342 mechanisms in the DES-MDA: observation error correlations or prior correlations
343 (van Leeuwen 2019). Since observation error correlations are more challenging to
344 specify and arguably less general than prior correlations, we will focus only on the
345 latter. A key component of the scheme is to draw random prior parameters for
346 each cell that are correlated with other cells in the domain, reflecting similarities
347 among different regions of the simulation domain. In the general DA literature,
348 this is typically done by computing the pairwise geographic (Euclidean) distance to
349 map the proximity of the cells. The pairwise distance matrix is then used to
350 generate a covariance matrix. In this work we have used the 5th-order piecewise
351 rational function proposed by Gaspari and Cohn (GC) (Gaspari & Cohn, 1999), as is
352 often done in DA to generate and localize the covariance matrix. The GC
353 localization function depends on an important hyperparameter, the correlation
354 length scale, that in practice controls how far information can be transferred
355 spatially. Crucially, this length scale will affect both the posterior results and the
356 computational cost since a larger length scale results in a greater number of
357 neighbors with non-zero correlation. The GC function defines a distance-based
358 correlation as follows :

$$\rho(d, c) = \begin{cases} -\frac{1}{4} \left(\frac{d}{c}\right)^5 + \frac{1}{2} \left(\frac{d}{c}\right)^4 + \frac{5}{8} \left(\frac{d}{c}\right)^3 - \frac{5}{3} \left(\frac{d}{c}\right)^2 + 1, & \text{for } 0 \leq \left(\frac{d}{c}\right) \leq 1, \\ \frac{1}{12} \left(\frac{d}{c}\right)^5 - \frac{1}{2} \left(\frac{d}{c}\right)^4 + \frac{5}{8} \left(\frac{d}{c}\right)^3 + \frac{5}{3} \left(\frac{d}{c}\right)^2 - 5 \left(\frac{d}{c}\right) + 4 - \frac{2}{3} \left(\frac{d}{c}\right)^{-1}, & \text{for } 1 \leq \frac{d}{c} \leq 2, \\ 0, & \text{for } \frac{d}{c} > 2. \end{cases}$$



359 where, d is the pairwise distance between cells and c is the correlation length
360 scale. This function is used for localization, with two important roles: first, it
361 reduces spurious long range correlations that arise due to the limited size of the
362 ensemble (Morzfeld & Hodyss, 2023), and second, to save considerable
363 computational costs since relatively distant locations can be ignored when
364 updating a particular cell. . Note that without localization, the spatio-temporal DA
365 problem would essentially be intractable, especially in this context with a relatively
366 large domain and a high spatial density of observations. In addition to ensemble
367 collapse, this is another motivation for using the ensemble Kalman method over
368 particle techniques here, since more developed localization methods exist for the
369 former (Evensen 2022). Despite being the typical spatial snow DA configuration
370 (e.g. De Lannoy et al., 2012; Magnusson et al., 2014) and references herein), there
371 is no reason to restrict the distance mapping to the geographic (northing and
372 easting dimensions) space, since an arbitrary number of dimensions can be used
373 to define a feature space and generate the distance matrix. It is widely
374 acknowledged that snowpack redistribution is strongly dominated by the
375 topographic characteristics of the terrain, such as concavity, slope, and elevation
376 as well as vegetation parameters (e.g. Dharmadasa et al., 2023; Essery & Pomeroy,
377 2004; Revuelto et al., 2014; Zheng et al., 2019). In the context of snow DA, it is
378 possible to map the similarities between cells using a multidimensional feature
379 space of topographical (or any other) dimensions. The only two considerations to
380 be taken into account are that these feature dimensions may have different units, and
381 that they can be potentially correlated. This may generate a space of non-
382 orthogonal dimensions where using the Euclidean distance directly may lead to a
383 spurious similarity mapping (Curriero, 2006). It is possible to overcome these
384 issues by using the Mahalanobis distance, a generalization of the Euclidean
385 distance that includes a covariance-based normalization attempting to address
386 these two problems in a single step. Alternatively, it may be possible to generate
387 other spaces using synthetic transformed orthogonal dimensions in a potentially
388 lower dimensional space from the previously scaled topographical dimensions
389 using a principal components analysis or multidimensional scaling approaches
390 (e.g. Aversano et al., 2019; Murphy et al., 2015), and compute the pairwise
391 Euclidean distance matrix in the new synthetic space.



392 Whichever approach is used to define the space that enables information to be
393 spread, it is necessary to generate a pairwise distance matrix to compute a prior
394 covariance matrix. The previous version of MuSA generated the complete distance
395 matrix, which is highly memory and time intensive with poor scalability. The
396 reason for this is that the computational cost and the size of the matrix scales
397 quadratically with the number of cells, further complicating subsequent linear
398 algebra operations. However, it is not necessary to compute the full distance
399 matrix since localization ensures that long distances will be ignored in the analysis
400 as the corresponding elements in the covariance matrix will be 0 beyond a certain
401 distance that is controlled by the GC length scale hyperparameter. This makes the
402 distance and the subsequent covariance matrix very sparse, opening new
403 possibilities to make the otherwise expensive prior sampling more tractable. As
404 such, in MuSA we have now implemented the capability of mapping the distances
405 using a k-dimensional tree (k-d tree) space-partitioning data structure, as
406 implemented in the SciPy python module (Virtanen et al., 2020). This allows MuSA
407 to ignore all distances beyond the GC hyperparameter value, generating a sparse
408 distance matrix. Unfortunately only Minkowski metrics (which includes the
409 Euclidean distance) are available so far with the k-d tree implementation. As such,
410 this method is not compatible with Mahalanobis spaces in the current MuSA
411 version, and therefore it was not used for all the experiments proposed here. In
412 addition, we have implemented the capability of computing the distance matrix
413 cell by cell, which has proven to be very memory efficient with a very manageable
414 loss of efficiency that is compatible with Mahalanobis, or any other, distance
415 metric. Since the distance matrix, and the generated prior covariance matrix, are
416 very sparse, we have now migrated most MuSA linear algebra routines to the
417 SciPy.sparse module. This allows for the use of sparse linear algebra, enabling us
418 to sample even in very large domains while, depending on the GC
419 hyperparameter, maintaining an affordable computational cost. All these
420 modifications are included in a new MuSA version (v2.2), compatible with the use
421 of arbitrary masks, even non-contiguous ones within the same simulation domain,
422 indicating over which cells to perform the analysis. This allows simulations to be
423 performed only in the areas of interest such as. above a certain elevation or within
424 a certain complex basin geometry), while still performing spatio-temporal
425 assimilation by propagating the information between the selected cells at a
426 considerably reduced computational cost.



427 The last step of the prior sampling requires approximating the square root of the
428 covariance matrix via Cholesky factorization. As noticed by previous research
429 (Alonso-González et al., 2023; Curriero, 2006), the use of non-Euclidean distances
430 (e.g. using the Mahalanobis distance) leads easily to non-positive definite
431 covariance matrices, making it impossible to compute the Cholesky factor. We
432 have increased the numerical stability of the prior sampling in MuSA by
433 regularizing the prior covariance matrix, adding small values to the elements of its
434 diagonal. These diagonal elements are increased iteratively up to a limit defined by
435 the user (from 1e-6 to a maximum of 0.1 in this study), following a technique
436 known as jitter as is commonly done in the Gaussian Process machine learning
437 community (Neal, 1999; Rasmussen & Williams, 2005). The remaining steps,
438 including the DES-MDA update itself, remain the same as in the previous version of
439 MuSA, despite a few minor updates with the intention of improving the I/O
440 performance by optimizing the compression routines. All these modifications are
441 packed as a new version, whose code has been released together with this work
442 (Alonso-González et al., 2024).

443 2.3 Experimental design

444 We propose different experiments to evaluate the potential of ensemble-based
445 data assimilation techniques to update hyperresolution simulations in forest
446 environments. First, as a reference, we generated a deterministic reference run
447 without any DA for comparison with the updated simulations. Then, different
448 experiments were developed in an effort to find a MuSA configuration that is able
449 to exploit dispersed hyperresolution information in forested terrain. Here we are
450 not aiming to find a generalistic optimal configuration, since each specific case will
451 require a different configuration, depending on the resolution of the simulations,
452 the spatial density of the observations, the domain, and the availability of
453 computational resources. We propose 3 different information propagation
454 schemes, and two different GC hyperparameters for each, leading to 6 different
455 simulations:

- 456 • Using Euclidean distances in the geographical space. We developed two
457 different simulations where the Euclidean distance over the northing and
458 easting dimensions is used to map the similarities among cells, using the
459 values of 50 (Eu50) and 100 (Eu100) m for the GC hyperparameter.



- 460 • Using the Mahalanobis distance in a topographical space. Here, we propose
461 two experiments where in addition to northing and easting, we included
462 elevation, the Topographic Position index, the Diurnal Anisotropic Heat
463 index and the slope to define a topographical space. Since we have
464 separated the data beneath the canopy and in the forest gaps, using them
465 for assimilation and validation data, it is not instructive to include
466 dimensions based on vegetation parameters. In fact, due to the GC function,
467 it might even prevent the information transfer towards the canopy covered
468 cells. The open cells that are geographically (or topographically) distant, and
469 nearby geographically (or topographically) cells under the canopy, would be
470 equally far away in Mahalanobis distance from a given open observed cell in
471 that hypothetical space including vegetation parameters. The distances
472 were computed using the Mahalanobis distance (Ma), and the GC
473 hyperparameters tested were 0.5 (Ma0.5) and 1 (Ma1).
474 • Using Euclidean distances in a synthetic topographical space. Here we
475 included a PCA (after z-score standardization) analysis over the
476 topographical space to generate an orthogonal space that ensures a
477 positive definite covariance matrix by sorting the cells prior to computing
478 the covariance matrix. This saves significant computational cost since it
479 allows for distance mapping using the new k-d tree implementation. The
480 number of principal components was selected automatically using the
481 algorithm proposed by Minka (2000), which in practice resulted in 5
482 components. The GC hyperparameters tested were 0.5 (PCA0.5) and 1
483 (PCA1).

484 For each of the experiments, we have computed the cell wise Continuous Ranked
485 Probability Score (CRPS, Hersbach, 2000), a generalization of the mean absolute
486 error for probabilistic simulations:

$$\text{CRPS}(F, x^*) = \int_{\mathbb{R}} [F(x) - H(x - x^*)]^2 dx$$

487 Where $F(x)$ is the predicted cumulative distribution function of the snowpack state
488 variable x to be evaluated, x^* is the reference (ground truth) value for the state
489 obtained from observations, and $H(x - x^*)$ is the Heaviside function resulting in 1 if
490 $x \geq x^*$ and 0 otherwise. We have used a normal approximation of the posterior
491 snow depth distribution defined from the posterior mean and standard deviation
492 derived from the ensemble together with the observations to compute the cell by
493 cell mean CRPS and standard deviation (SD). We have also computed the spatial



494 bias, which is the mean error of all cells used for validation, where error is the
495 difference between the posterior mean and the observations. In addition, we
496 computed the correlation (R) and root mean square error (RMSE) between the
497 posterior mean and observations across the domain. To evaluate the spatial
498 patterns of each of the experiments, we calculated the variograms of each
499 simulation. To quantify how far the variogram curves are from the one obtained
500 from the observations under the forest canopy, we used the discrete Frechet
501 distance (FrDist) as an indicator of similarity between the variogram curves.



502 **3 Results**

503 **3.1 Validation metrics of the reference run and DA experiments**

504 Compared with the deterministic reference simulation, both the Euclidean (Eu) and
505 Mahalanobis (Ma) experiments improved the quantitative error metrics
506 considerably (Table 1). The marked improvement in R (from R = 0.1 to R ~0.8 on
507 average for all the Eu and Ma experiments) is especially notable, and, combined
508 with the lower Frechet distance values (FrDist = 0.29 for the reference, while FrDist
509 = 0.005 on average for the Eu and Ma experiments), indicates a significant
510 improvement of the spatial patterns of the simulation. RMSE values also improved
511 significantly (RMSE improvement ~30%). The bias remained lower and close to zero
512 (bias mean = -0.07 m) for the reference simulation compared with the Eu and Ma
513 experiments (bias mean ~ 0.13 m), suggesting a slight overestimation of the snow
514 mass in the updated simulations. However, the RMSE in the reference run (RMSE =
515 0.32) compared with the Ma and Eu experiments (RMSE = 0.2) suggest many cells
516 in the reference run exhibit higher errors than the ones of the Eu and Ma
517 experiments. The CRPS, which is the only uncertainty-aware metric considered that
518 accounts for both the precision and accuracy of the ensemble, showed lower
519 values for the Eu50 (CRPS = 0.12), but followed closely by the other experiments,
520 except the PCA0.5 and PCA1.

521 Unfortunately, despite the convenience of using a PCA preprocessing step, the
522 experiments using PCA exhibited only a slight improvement in some metrics while
523 degrading other indicators. In particular, they exhibited a slight improvement in
524 the correlation values (R=0.20 and 0.46 for PCA0.5 and PCA1 respectively), while all
525 other metrics were similar to the reference (e.g. bias), with a FrDist being
526 equivalent or significantly degraded relative to the reference for the PCA0.5 (FrDist
527 = 0.021) and PCA1 (FrDist = 0.046), respectively. This suggests not only that
528 absolute error metrics were not improved, but even that spatial patterns were not
529 adequately simulated with the PCA approach.

530 Table 1: Validation metrics of the experiments

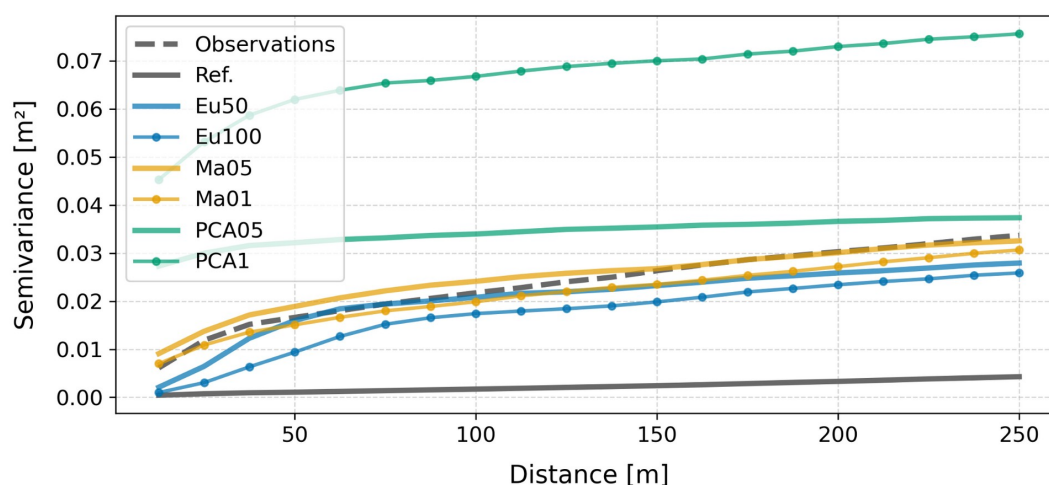
Exp.	RMSE	R	Bias	CRPS [mean(+/- SD)]	FrDist
Ref.	0.32	0.10	-0.07	-	0.029
Eu50	0.20	0.84	0.12	0.12 (+/-	0.006



				0.09)	
Eu100	0.22	<u>0.85</u>	0.15	0.13 (+/- 0.12)	0.009
Ma0.5	0.22	0.76	0.10	0.14 (+/- 0.10)	<u>0.003</u>
Ma1	0.24	0.81	0.16	0.15 (+/- 0.12)	<u>0.003</u>
PCA0.5	0.33	0.20	-0.03	0.19 (+/- 0.13)	0.021
PCA1	0.33	0.46	0.08	0.21 (+/- 0.18)	0.046



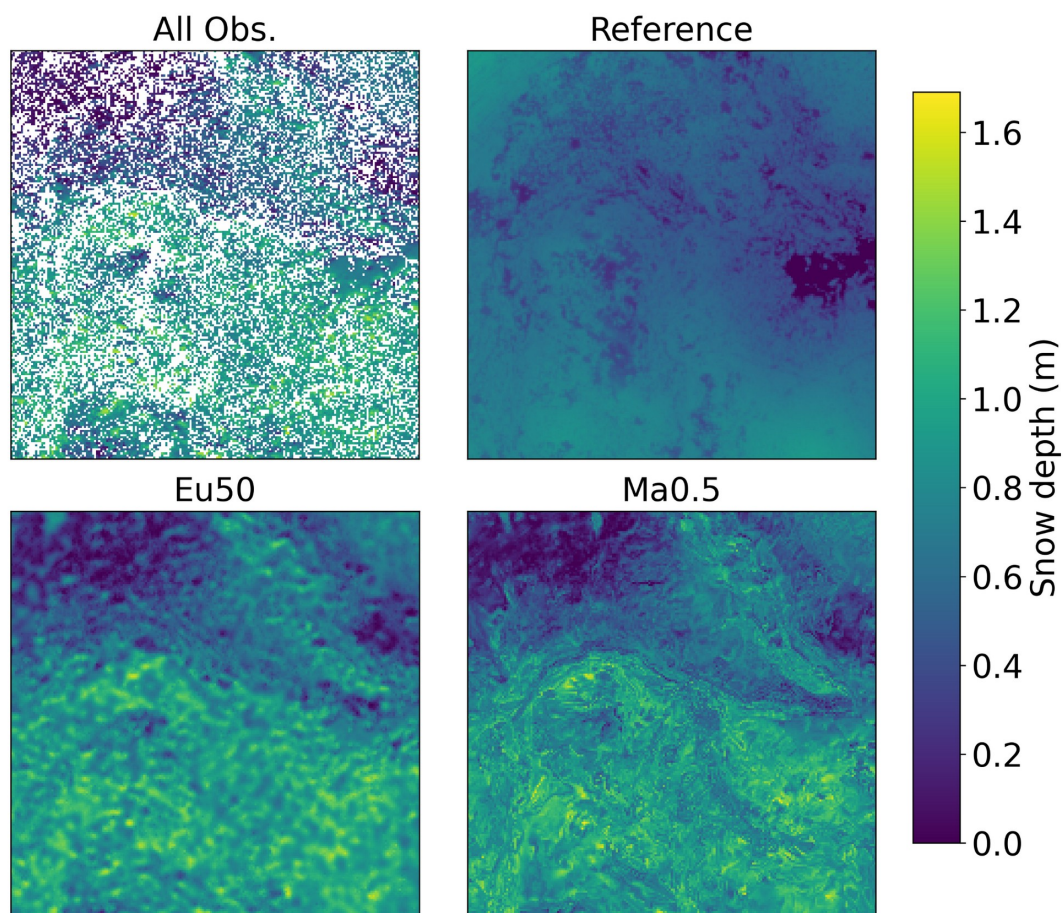
531 Among the Eu experiments, Eu50 exhibited slightly better or similar error metrics
532 than the Eu100. However, the differences were minimal, suggesting there is
533 flexibility in choosing the GC hyperparameters, in this case at least, in terms of
534 validation metrics. A similar conclusion can be drawn from the validation metrics
535 of the Ma experiments, where there was not a clearly superior simulation.
536 Similarly, Eu and Ma yielded comparable performance according to these error
537 metrics. However, the FrDist metric was consistently lower in the Ma experiments
538 compared with the Eu experiments, suggesting a better representation of the
539 spatial patterns, while the remaining error metrics were slightly better or similar
540 for the Eu experiments. This superior performance in representing the spatial
541 patterns was evident in the snow depth semivariograms of the experiments (Fig.2),
542 where Ma experiments exhibited a semivariance much closer to the observations,
543 even reproducing accurately the nugget effect exhibited by the observations,
544 suggesting a better representation of the small scale patterns. In any case, the
545 variograms of the Eu and Ma experiments exhibit a closer shape to the one
546 obtained from the observations, compared with the one obtained in the reference
547 run, which is nearly flat.



548 Figure 2: Snow depth spatial semivariance derived from the lidar-derived observations, the
549 reference run and different experiments.



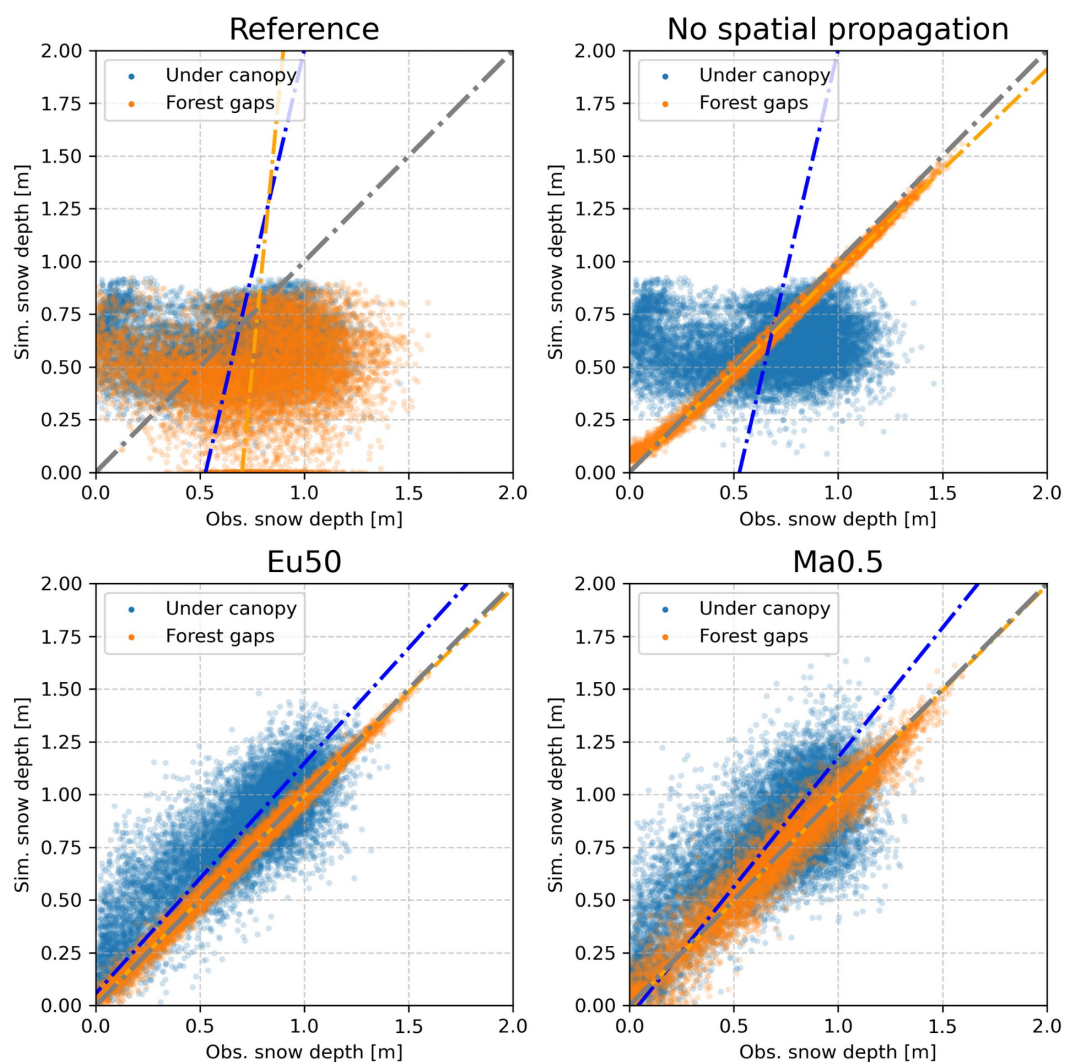
550 When examining the distributed posterior mean simulations, these considerations
 551 about the spatial patterns become evident (Fig. 3). First, there was a very limited
 552 spatial variability in the deterministic reference run, as reflected quantitatively by
 553 the Frechet distance and qualitatively by the variograms. Among the Eu50 and
 554 Ma0.5 posterior maps, there is a clear difference in its snow depth spatial patterns.
 555 While the large scale patterns were similar in both simulations, and close to the
 556 observations, the small scale patterns were different. In Eu50 small scale patterns
 557 of the posterior mean were clearly affected by the shape of the GC function, since
 558 the blurrier horizontal patterns are reminiscent of the Gaussian-like shape of this
 559 function. On the other hand, Ma0.5 small scale patterns, which do not depend
 560 solely on geographic distance, are considerably more intricate, which also explains
 561 the lower FrDist error metric.





562 Figure 3: Distributed snow depth observations, reference simulation and posterior mean
563 simulations of the Eu50 and Ma0.5 experiments

564 While both in Ma0.5 and Eu50 point scale comparison with observations show a
565 similar overall R metric and distribution, it is worth noting the differences shown in
566 Fig.4. In Ma0.5, the cells with local observations (i.e. the cells in the forest gaps,
567 which include assimilated information) exhibit slightly larger residuals ($R = 0.99$
568 and $R=0.97$ for Eu50 and Ma0.5 respectively). These differences suggest that the
569 influence of the GC hyperparameter makes both schemes not fully comparable.
570 This is a consequence of the varying number of observations used to update the
571 parameters of each cell that differ for each experiment, depending on how much
572 space falls within the correlation length scale of the GC function in each case.



573 Figure 4: Scatterplot based comparison of the under canopy (withheld) and forest gaps
574 (assimilated) observations, with the reference simulation, and the posterior mean of a data
575 assimilation experiment without and with the spatial propagation of the information enabled
576 (experiments Eu50 and Ma0.5)



577 However, these error metrics should be taken with care. Most of them (except
578 CRPS) used the posterior mean as an optimal point estimate of the updated
579 simulation. This assumption was adopted for simplicity but may compromise the
580 interpretation of the results. Posterior simulations are not deterministic
581 simulations and come with an uncertainty estimate inherent in the posterior
582 ensemble. To investigate this issue, we extracted a longitudinal profile along the
583 easting dimension, including both the deterministic reference simulation and the
584 posterior mean, but for the latter we now included the associated uncertainty
585 represented by ± 1 posterior standard deviation (which accounts for
586 approximately 68% of the posterior probability, Fig. 5). In addition, we included a
587 representation of the observations obtained both beneath the canopy and in
588 forest gaps. The profile highlights the differences of using the GC function in the
589 Euclidean or topographic space, with Eu exhibiting a much smoother surface
590 compared with the sharper Ma profile. Both profiles exhibited a similar
591 performance if we account for the uncertainty. In terms of the posterior mean,
592 Ma0.5 was able to accurately capture snow depth in large areas beneath the
593 canopy (e.g. Fig.5 from 1000 to 1250), while maintaining most of the observations
594 in at least the range of its standard deviation. Both Eu50 and Ma0.5 improved the
595 reference run, which exhibited an evident underestimation and lack of
596 heterogeneity along this profile, with only a few observations approaching the
597 simulated reference values.

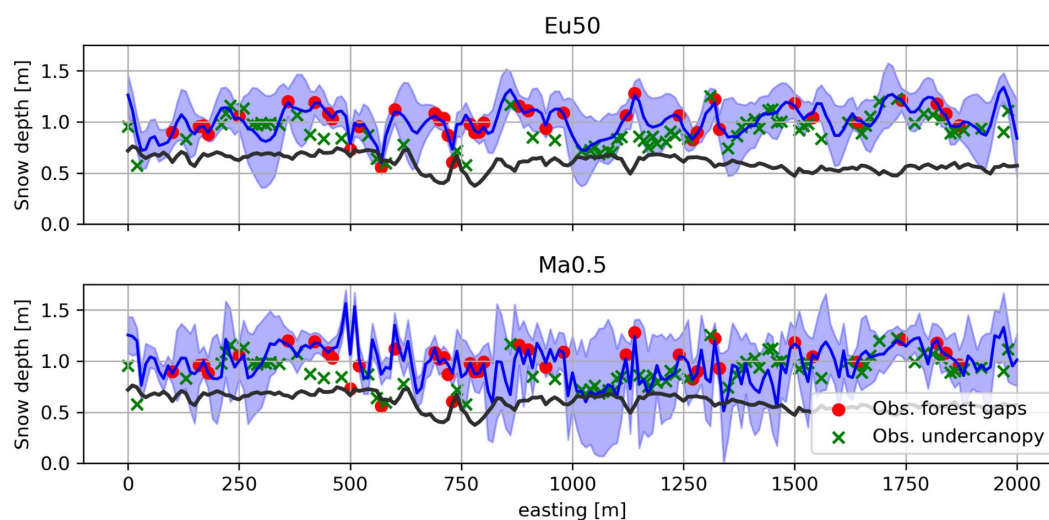




Figure 5: Snow depth profile showing the match between the reference run (black line), the Eu50 and Ma0.5 experiments and the observations for the horizontal profile delineated by the red line shown in Figure 1. The dark blue line is the posterior mean and the shaded area the posterior standard deviation.

Although the aim of the present work is to explore how to propagate the information spatially, it is tempting to analyze the posterior distribution of the parameters (Fig. 6). On average for all cells, using the experiment Ma0.5 as a reference, the multiplicative precipitation parameter was 1.06 (± 0.30) and the additive temperature parameters was -0.04 (± 0.73).

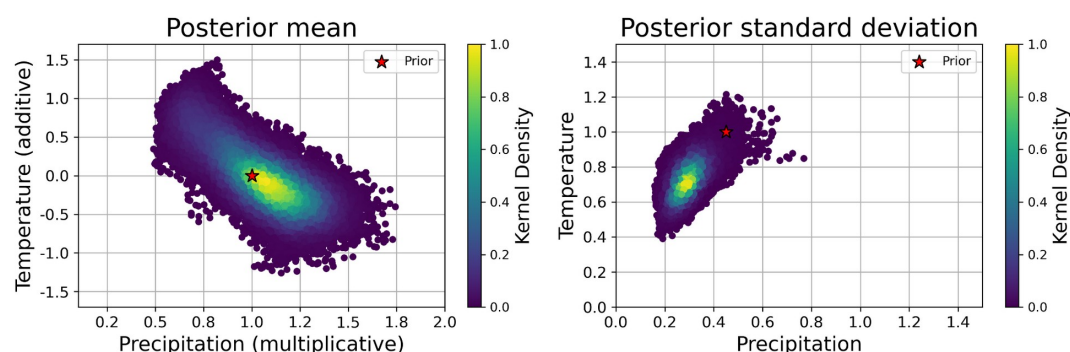


Figure 6: Posterior distributions of perturbation parameters in the model space for the Ma0.5 experiment, each point represents a grid cell.

4 Discussion



610 The results shown here demonstrate the potential of ensemble-based DA
611 experiments to improve hyper resolution snowpack simulations in forested terrain,
612 by updating the canopy covered cells from information retrieved in clearings.
613 Recall that the DA schemes proposed herein are theoretically independent of the
614 underlying numerical model, meteorological forcing or site. As such, in practice any
615 other snow or land surface model forced by meteorological data generated by any
616 downscaling tool at any geographical location may benefit from the proposed
617 techniques. The aim of this work is not to perform the best possible simulation,
618 but to explore whether it is possible to improve snowpack simulations in forested
619 areas by means of DA. Future initiatives may choose to explore the added value of
620 including additional forcing corrections or internal model parameters in the
621 parameter vector since there is, in theory, not any particular limitation on this
622 provided that a large enough ensemble is computationally feasible.

623 All experiments were performed using the Centre National D'Etudes Spatiales
624 (CNES) supercomputing infrastructure. For reference, the Ma0.5 experiment took
625 one day and eight hours to complete, using 6 nodes with 10 CPUs each to solve the
626 40401 cells (201 cells in each geographical direction) that compose the domain
627 using the aforementioned DA scheme. This estimate of computational cost, which
628 could be considered very affordable, especially given the iterative nature of the
629 assimilation algorithm and the relatively low number of processors involved,
630 should be treated with some caution. The computational time varied significantly
631 between experiments, as in practice the I/O increases with the GC
632 hyperparameter, which effectively defines a search radius. In addition, MuSA
633 benefits from distributed systems that share I/O bottlenecks among their nodes,
634 so the computational scheme can also be very relevant. On the other hand, other
635 DA experiments with a lower density of observations will see their computational
636 cost dramatically reduced, independent of the GC hyperparameter.



637 Most of the DA configurations managed to improve the posterior simulations
638 compared with the deterministic reference simulation, with different
639 configurations showing similar error metrics. However, the PCA based
640 experiments, despite their desirability given the orthogonal properties of the
641 synthetic coordinate system, did not perform as expected. We hypothesize that the
642 limitations found may come from the fact that the new set of coordinates do not
643 explicitly preserve the Cartesian northing and easting information by mixing them
644 with other dimensions, relaxing the relations between nearby cells in the Euclidean
645 space (Davis & Curriero, 2019). However, the same could be said when using the
646 Mahalanobis distance, but the performance of the Ma experiments was clearly
647 superior compared to the PCA ones. A potential reason may be the fact that, to
648 ease the positive-definiteness of the PCA-based covariance matrix by sorting the
649 cells in a lower dimensional space, we used the Minka algorithm to reduce the
650 dimensionality of the synthetic coordinate system. This dimension reduction
651 comes in practice with a loss of information. However, this is very unlikely, since in
652 practice it resulted in only one dimension being removed, which represented a
653 very low percentage of the total variance of the system. This requires further
654 research to fully understand how the information can be effectively propagated in
655 different spaces. A potential future approach may be the use of multidimensional
656 scaling techniques, instead of PCA, that have shown previous success in
657 geostatistics (R. R. Murphy et al., 2015). The challenges previously encountered in
658 generating non-positive definite covariance matrices have been substantially
659 mitigated. Previous research has proposed to enforce positive definiteness in
660 covariance matrices by using (potentially iterative) methods based on
661 eigendecomposition, to make any negative eigenvalues of the covariance matrix
662 become nonnegative (e.g. Davis & Curriero, 2019 and references herein), which
663 imposed a considerable computational burden, particularly for large matrices.
664 However, regularizing the covariance matrix with the introduction of the jitter
665 technique (where small values are iteratively added to the diagonal) has proven to
666 be both highly effective and computationally efficient. Whether the results of prior
667 sampling differ significantly between these two approaches to regularize the
668 covariance matrix remains an open question for future investigation.



669 The fact that in these experiments we update meteorological correction
670 parameters only, and not snowpack states, allows the numerical model to resolve
671 the snow-canopy interactions. This prevents the posterior simulations to be
672 degraded by the fact that in reality the snowpack beneath the canopy behaves
673 differently than in open terrain (Pflug et al., 2024; Varhola et al., 2010), by updating
674 only parts of the simulation that we assume to be similar independently of the
675 canopy cover (such as the precipitation or temperature), and letting the model to
676 resolve the parts that can't be constrained (such as snow states), due to the lack of
677 information. Since the main objective of this experiment was to explore how the
678 information can be propagated effectively from clearings towards the canopy
679 covered cells, we split the observation dataset in two, keeping the cells beneath
680 the canopy for validation. This has not allowed us to include vegetation
681 parameters in the distance mapping of the Ma experiments, as the cells inside and
682 outside the forest would have been too far away in Mahalanobis space, and
683 therefore due to the localization, the information would not have been transmitted
684 from the clearings towards the sub-canopy. Some vegetation model parameters
685 could have been included in the inference, but because the information is located
686 in the forest gaps, they could not have been constrained. However, given the
687 success of the experiments, future research would benefit from assimilating data
688 also in canopy-covered cells, if a proper error model is developed. State of the art
689 remote sensing techniques are able to retrieve at least a partial information of the
690 snowpack in forested terrain (Mazzotti et al., 2019), or even snow cover
691 information from satellites (Xiao et al., 2022). This may be used not just to further
692 improve the posterior simulations but as a tool to infer internal model parameters
693 spotting weakness in canopy/snow models or their parameters. It should be noted
694 that these spatio-temporal techniques are compatible with joint DA initiatives,
695 where more than one type of observation is assimilated into the same simulation,
696 potentially only spatially spreading some of them (Mazzolini et al., 2024). This may
697 include the ingestion of under canopy in situ observations jointly with remotely
698 sensed retrievals of any kind. It is worth noting that, due to the assimilation of only
699 a single incomplete snow distribution map, the posterior simulations exhibit
700 equifinality (Beven & Freer, 2001), which prevents us from exploring in detail which
701 of these components is more dominant over the other since they are correlated
702 (Fig. 6). Adding other data sources and using more varied information could help
703 address this issue in future studies. In any case, the mean posterior values
704 obtained were close to unity for precipitation (in the physical space) and close to
705 zero for temperature, suggesting that it is not the total amount of precipitation
706 that is biased, but rather the small-scale redistribution of the meteorological
707 forcing.



708 Among the experiments that improved the simulations compared with the
709 deterministic reference run, there was not a clearly superior experiment
710 depending on the GC correlation length scale hyperparameter. Similar conclusions
711 could be drawn from the findings in Cho et al. (2023), who tested different
712 correlation length scales for their Gaussian decay-based localization function,
713 showing that the differences were always lower than the improvement compared
714 with their reference simulation. This suggests some flexibility in the choice of this
715 hyperparameter, which may be complex especially when using non-Euclidean
716 distances, and often limited by the availability of considerable computing
717 resources. When comparing the Eu and Ma experiments, it was also difficult to
718 spot differences if considering only quantitative error metrics. However, the spatial
719 patterns at smaller scales seem more realistic when using the Ma configuration, as
720 also found in Alonso-González et al. (2023). This is based on the fact that the snow
721 spatial patterns are correlated with the characteristics of the terrain, since it
722 controls its distribution by modulating accumulation and melt processes in both
723 open and forested terrain (Geissler et al., 2024; Revuelto et al., 2014). It should be
724 noted that the proposed domain is relatively small exhibiting a limited
725 topographical complexity. Other experiments over larger areas of increasing
726 topographical complexity may benefit from the increasing topographical
727 variability. A potential limitation of this method will be found in non-complex
728 terrain, as is typical in high latitude areas, where the topographical control of the
729 snowpack dynamics may be less clear, although still very relevant (Bennett et al.,
730 2022). In any case, snowpack in these areas exhibits less spatial variability, so we
731 hypothesize that the use of Euclidean distance to map cell similarity is likely to be
732 sufficient in these environments and/or at coarser resolutions. Alternatively, it is
733 possible to use snow climatologies or observations to perform a more direct cell
734 similarity mapping based on the persistence of the spatial patterns of the snow
735 (Alonso-González, et al., 2023; Mazzolini et al., 2024). Despite the fact that
736 developing snow cover climatologies in forest environments is significantly more
737 challenging than in open terrain due to the aforementioned limitations of satellites
738 to retrieve information beneath the canopy, it is possible to generate maps of the
739 snow distribution in forested terrain by combining different techniques such as
740 ground observations, lidar and field campaigns (Geissler et al., 2023). The
741 generation of such products requires a significant effort in logistics that prevent its
742 operational exploitation as a real time monitoring tool. In addition, such field
743 methods will not be able to retrieve information at other times than the
744 observation time itself. A promising application of the assimilation scheme
745 presented here is to exploit such products to map the similarity between cells in
746 forested terrain, allowing the significant effort needed for these initiatives to be



747 exploited to generate gap-free re-analyses or near real time updated simulations.

748 In this work, we have explored the effect of using the GC function to create a prior
749 covariance matrix in different spaces. However, what remains to be investigated is
750 the potential benefit of using different covariance (or kernel) functions. It is
751 possible that other functions may offer a more accurate representation of
752 snowpack correlograms across various spatial scales and resolutions, especially in
753 topographical Mahalanobis spaces. One obvious source of inspiration is to take
754 advantage of the extensive literature on kernels developed by the Gaussian
755 process community (Rasmussen & Williams, 2005). In particular, kernels with
756 compact support—those that become zero beyond a certain boundary— (Barber,
757 2020) could be of special interest since they will behave similarly to the GC
758 function, helping in limiting the computational cost and preventing spurious
759 correlations among the ensembles. Given the increasing availability of snow depth
760 information over large domains (Magnusson et al., 2024; Painter et al., 2016) , it
761 will be beneficial for the snow DA community to explore which kernel functions
762 better approximate the empirical snowpack spatial variability in different spaces
763 and resolutions. Given that snowpack exhibits persistent spatial patterns in both
764 forest and open terrain (Geissler et al., 2024; Helfricht et al., 2014), there is
765 potential to find a single flexible kernel configuration, ideally depending on a very
766 limited number of parameters, to be widely used in both spatiotemporal DA and
767 observation interpolation initiatives.

768 **5 Conclusions**

769 In this work, we have explored the potential of the observations obtained in forest
770 clearings to be used to update spatially complete snow simulations in forest
771 environments by means of spatio-temporal ensemble-based data assimilation. Six
772 different experiments were conducted in the Sagehen Creek (California, USA) using
773 different data assimilation configurations, demonstrating the potential obvious
774 benefits of spatiotemporal DA in forest environments. While most of the
775 experiments greatly improved the reference snow simulations, those relying on a
776 set of synthetic dimensions generated by a PCA were clearly inferior. Future
777 research may benefit from exploring other dimension reduction techniques such
778 as multidimensional scaling.

779 Among the remaining successful experiments, there was not a clearly superior
780 configuration , in that the differences among them were significantly lower than
781 the improvement compared with the reference run. This suggests some flexibility
782 on the selection of the critical hyperparameters of the DA. However, we found that



783 in terms of both qualitative and quantitative error metrics, those experiments built
784 on a cell similarity mapping based on the Euclidean distance were slightly more
785 accurate in terms of absolute validation metrics, but with a more realistic
786 representation of the spatial variance when using the Mahalanobis distance in a
787 topographical space. This suggests that this latter technique is better suited for
788 preserving spatial relationships in complex terrain. The critical differences found in
789 the implementation of a prior covariance function in different spaces, suggests the
790 importance of future research investing effort in development of specific kernels
791 with the aim of improving distributed snowpack simulations from spatially
792 incomplete observations in forested and/or complex terrain.

793 **Acknowledgments**

794 We acknowledge the Centre National d'Études Spatiales (French Space Agency,
795 CNES), for providing access to the supercomputing resources through the TREX
796 cluster. Esteban Alonso-González acknowledges funding from an European Space
797 Agency Climate Change Initiative (ESA-CCI) Research Fellowship (SnowHotspots
798 project). Kristoffer Aalstad acknowledges funding from the ERC-2022-ADG under
799 grant agreement No 01096057 GLACMASS, and an ESA-CCI Research Fellowship
800 (PATCHES project). Adrian Harpold and Cara Piske were supported by NSF EAR
801 #2012310 and EAR #1723990 to process and develop the datasets"

802 **Open Research**

803 MuSA (v2.2) is open source and can be found at Alonso-González et al. (2024). Future
804 versions of MuSA will be submitted to <https://github.com/ealonsogzl/MuSA>. The
805 assimilated airborne lidar snow depth data can be found at Piske (2022).

806 **Author Contribution**

807 Conceptualization was by EAG, AH, SG and JL. Methodology was by EAG and KA. Software
808 was by EAG, KA and LS. Validation and formal analysis was by EAG. Investigation was by
809 EAG and KA. Resources were provided by AH. Data Curation and visualization was by EAG.
810 Writing the original draft was led by EAG with key contributions from all authors. All
811 authors contributed to the review & editing of the original draft.

812 **References**

813 Aalstad, K., Westermann, S., Schuler, T. V., Boike, J., & Bertino, L. (2018). Ensemble-based

814 assimilation of fractional snow-covered area satellite retrievals to estimate the snow



815 distribution at Arctic sites. *Cryosphere*, 12(1), 247–270. <https://doi.org/10.5194/tc-12-247->
816 2018

817 Alonso-González, E., Gutmann, E., Aalstad, K., Fayad, A., Bouchet, M., & Gascoin, S. (2021). Snowpack
818 dynamics in the Lebanese mountains from quasi-dynamically downscaled ERA5 reanalysis
819 updated by assimilating remotely sensed fractional snow-covered area. *Hydrology and Earth*
820 *System Sciences*, 25(8), 4455–4471. <https://doi.org/10.5194/hess-25-4455-2021>

821 Alonso-González, Esteban, Aalstad, K., Baba, M. W., Revuelto, J., López-Moreno, J. I., Fiddes, J., et al.
822 (2022). The Multiple Snow Data Assimilation System (MuSA v1.0). *Geoscientific Model*
823 *Development*, 15(24), 9127–9155. <https://doi.org/10.5194/gmd-15-9127-2022>

824 Alonso-González, Esteban, López-Moreno, J. I., Ertaş, M. C., Şensoy, A., & Şorman, A. A. (2023). A
825 performance assessment of gridded snow products in the Upper Euphrates. *Cuadernos de*
826 *Investigación Geográfica*, 49(1), 55–68. <https://doi.org/10.18172/cig.5275>

827 Alonso-González, Esteban, Aalstad, K., Pirk, N., Mazzolini, M., Treichler, D., Leclercq, P., et al. (2023).
828 Spatio-temporal information propagation using sparse observations in hyper-resolution
829 ensemble-based snow data assimilation. *Hydrology and Earth System Sciences*, 27(24), 4637–
830 4659. <https://doi.org/10.5194/hess-27-4637-2023>

831 Alonso-González, Esteban, Mazzolini, M., & Aalstad, K. (2024, November). ealonsogzl/MuSA: WRR
832 submission (Version v2.2). Zenodo. <https://doi.org/10.5281/zenodo.14065646>

833 Aversano, G., Bellemans, A., Li, Z., Coussement, A., Gicquel, O., & Parente, A. (2019). Application of
834 reduced-order models based on PCA & Kriging for the development of digital twins of
835 reacting flow applications. *Computers & Chemical Engineering*, 121, 422–441.
836 <https://doi.org/10.1016/j.compchemeng.2018.09.022>

837 Barber, J. (2020, June 5). Sparse Gaussian Processes via Parametric Families of Compactly-supported
838 Kernels. arXiv. <https://doi.org/10.48550/arXiv.2006.03673>



- 839 Barnett, T. P., Adam, J. C., & Lettenmaier, D. P. (2005). Potential impacts of a warming climate on
840 water availability in snow-dominated regions. *Nature*, 438(7066), 303–309.
841 <https://doi.org/10.1038/nature04141>
- 842 Bennett, K. E., Miller, G., Busey, R., Chen, M., Lathrop, E. R., Dann, J. B., et al. (2022). Spatial patterns
843 of snow distribution in the sub-Arctic. *The Cryosphere*, 16(8), 3269–3293.
844 <https://doi.org/10.5194/tc-16-3269-2022>
- 845 Bertino, L., Evensen, G., & Wackernagel, H. (2003). Sequential Data Assimilation Techniques in
846 Oceanography. *International Statistical Review*, 71(2), 223–241.
847 <https://doi.org/10.1111/j.1751-5823.2003.tb00194.x>
- 848 Beven, K., & Freer, J. (2001). Equifinality, data assimilation, and uncertainty estimation in
849 mechanistic modelling of complex environmental systems using the GLUE methodology.
850 *Journal of Hydrology*, 249(1), 11–29. [https://doi.org/10.1016/S0022-1694\(01\)00421-8](https://doi.org/10.1016/S0022-1694(01)00421-8)
- 851 Broxton, P. D., Harpold, A. A., Biederman, J. A., Troch, P. A., Molotch, N. P., & Brooks, P. D. (2015).
852 Quantifying the effects of vegetation structure on snow accumulation and ablation in
853 mixed-conifer forests. *Ecohydrology*, 8(6), 1073–1094. <https://doi.org/10.1002/eco.1565>
- 854 Broxton, Patrick D., Moeser, C. D., & Harpold, A. (2021). Accounting for Fine-Scale Forest Structure is
855 Necessary to Model Snowpack Mass and Energy Budgets in Montane Forests. *Water*
856 *Resources Research*, 57(12), e2021WR029716. <https://doi.org/10.1029/2021WR029716>
- 857 Cho, E., Kwon, Y., Kumar, S. V., & Vuyovich, C. M. (2023). Assimilation of airborne gamma
858 observations provides utility for snow estimation in forested environments. *Hydrology and*
859 *Earth System Sciences*, 27(21), 4039–4056. <https://doi.org/10.5194/hess-27-4039-2023>
- 860 Currier, W. R., Pflug, J., Mazzotti, G., Jonas, T., Deems, J. S., Bormann, K. J., et al. (2019). Comparing
861 Aerial Lidar Observations With Terrestrial Lidar and Snow-Probe Transects From NASA's
862 2017 SnowEx Campaign. *Water Resources Research*, 55(7), 6285–6294.



- 863 <https://doi.org/10.1029/2018WR024533>
- 864 Curriero, F. C. (2006). On the Use of Non-Euclidean Distance Measures in Geostatistics. *Mathematical*
- 865 *Geology*, 38(8), 907–926. <https://doi.org/10.1007/s11004-006-9055-7>
- 866 Davis, B. J. K., & Curriero, F. C. (2019). Development and Evaluation of Geostatistical Methods for
- 867 Non-Euclidean-Based Spatial Covariance Matrices. *Mathematical Geosciences*, 51(6), 767–791.
- 868 <https://doi.org/10.1007/s11004-019-09791-y>
- 869 De Lannoy, G. J. M., Reichle, R. H., Arsenault, K. R., Houser, P. R., Kumar, S., Verhoest, N. E. C., &
- 870 Pauwels, V. R. N. (2012). Multiscale assimilation of Advanced Microwave Scanning
- 871 Radiometer-EOS snow water equivalent and Moderate Resolution Imaging
- 872 Spectroradiometer snow cover fraction observations in northern Colorado: SATELLITE-
- 873 OBSERVED SNOW DATA ASSIMILATION. *Water Resources Research*, 48(1).
- 874 <https://doi.org/10.1029/2011WR010588>
- 875 Derksen, C., King, J., Belair, S., Garnaud, C., Vionnet, V., Fortin, V., et al. (2021). Development of the
- 876 Terrestrial Snow Mass Mission. In *2021 IEEE International Geoscience and Remote Sensing*
- 877 *Symposium IGARSS* (pp. 614–617). <https://doi.org/10.1109/IGARSS47720.2021.9553496>
- 878 Deschamps-Berger, C., Gascoin, S., Berthier, E., Deems, J., Gutmann, E., Dehecq, A., et al. (2020).
- 879 Snow depth mapping from stereo satellite imagery in mountainous terrain: evaluation
- 880 using airborne laser-scanning data. *The Cryosphere*, 14(9), 2925–2940.
- 881 <https://doi.org/10.5194/tc-14-2925-2020>
- 882 Dharmadasa, V., Kinnard, C., & Baraër, M. (2023). Topographic and vegetation controls of the
- 883 spatial distribution of snow depth in agro-forested environments by UAV lidar. *The*
- 884 *Cryosphere*, 17(3), 1225–1246. <https://doi.org/10.5194/tc-17-1225-2023>
- 885 Dharmadasa, V., Kinnard, C., & Baraër, M. (2024). A new interpolation method to resolve under-
- 886 sampling of UAV-lidar snow depth observations in coniferous forests. *Cold Regions Science*



887 *and Technology*, 220, 104134. <https://doi.org/10.1016/j.coldregions.2024.104134>

888 Dickerson-Lange, S. E., Gersonde, R. F., Hubbard, J. A., Link, T. E., Nolin, A. W., Perry, G. H., et al.

889 (2017). Snow disappearance timing is dominated by forest effects on snow accumulation in

890 warm winter climates of the Pacific Northwest, United States. *Hydrological Processes*, 31(10),

891 1846–1862. <https://doi.org/10.1002/hyp.11144>

892 Dickerson-Lange, S. E., Howe, E. R., Patrick, K., Gersonde, R., & Lundquist, J. D. (2023). Forest gap

893 effects on snow storage in the transitional climate of the Eastern Cascade Range,

894 Washington, United States. *Frontiers in Water*, 5. <https://doi.org/10.3389/frwa.2023.1115264>

895 Emerick, A. A. (2018). Deterministic ensemble smoother with multiple data assimilation as an

896 alternative for history-matching seismic data. *Computational Geosciences*, 22(5), 1175–1186.

897 <https://doi.org/10.1007/s10596-018-9745-5>

898 Essery, R., & Pomeroy, J. (2004). Vegetation and topographic control of wind-blown snow

899 distributions in distributed and aggregated simulations for an arctic tundra basin. *Journal of*

900 *Hydrometeorology*, 5(5), 735–744. [https://doi.org/10.1175/1525-](https://doi.org/10.1175/1525-7541(2004)005<0735:VATCOW>2.0.CO;2)

901 [7541\(2004\)005<0735:VATCOW>2.0.CO;2](https://doi.org/10.1175/1525-7541(2004)005<0735:VATCOW>2.0.CO;2)

902 Essery, R., Pomeroy, J., Parviainen, J., & Storck, P. (2003). Sublimation of Snow from Coniferous

903 Forests in a Climate Model. *Journal of Climate*, 16(11), 1855–1864.

904 [https://doi.org/10.1175/1520-0442\(2003\)016<1855:SOSFCF>2.0.CO;2](https://doi.org/10.1175/1520-0442(2003)016<1855:SOSFCF>2.0.CO;2)

905 Essery, R., Morin, S., Lejeune, Y., & B Ménard, C. (2013). A comparison of 1701 snow models using

906 observations from an alpine site. *Advances in Water Resources*, 55(n/a), 131–148.

907 <https://doi.org/10.1016/j.advwatres.2012.07.013>

908 Essery, R., Mazzotti, G., Barr, S., Jonas, T., Quaife, T., & Rutter, N. (2024). A Flexible Snow Model (FSM

909 2.1.0) including a forest canopy. *EGUsphere*, 1–37. [https://doi.org/10.5194/egusphere-2024-](https://doi.org/10.5194/egusphere-2024-2546)

910 2546



- 911 Evensen, G., Vossepoel, F. C., & van Leeuwen, P. J. (2022). *Data Assimilation Fundamentals: A Unified*
912 *Formulation of the State and Parameter Estimation Problem*. Cham: Springer International
913 Publishing. <https://doi.org/10.1007/978-3-030-96709-3>
- 914 Fiddes, J., & Gruber, S. (2014). TopoSCALE v.1.0: Downscaling gridded climate data in complex
915 terrain. *Geoscientific Model Development*, 7(1), 387–405. [https://doi.org/10.5194/gmd-7-387-](https://doi.org/10.5194/gmd-7-387-2014)
916 2014
- 917 Gascoin, S., Luoju, K., Nagler, T., Lievens, H., Masiokas, M., Jonas, T., et al. (2024). Remote sensing
918 of mountain snow from space: status and recommendations. *Frontiers in Earth Science*, 12.
919 <https://doi.org/10.3389/feart.2024.1381323>
- 920 Gaspari, G., & Cohn, S. E. (1999). Construction of correlation functions in two and three dimensions.
921 *Quarterly Journal of the Royal Meteorological Society*, 125(554), 723–757.
922 <https://doi.org/10.1002/qj.49712555417>
- 923 Geissler, J., Rathmann, L., & Weiler, M. (2023). Combining Daily Sensor Observations and Spatial
924 LiDAR Data for Mapping Snow Water Equivalent in a Sub-Alpine Forest. *Water Resources*
925 *Research*, 59(9), e2023WR034460. <https://doi.org/10.1029/2023WR034460>
- 926 Geissler, J., Mazzotti, G., Rathmann, L., Webster, C., & Weiler, M. (2024). ClustSnow: Utilizing
927 temporally persistent forest snow patterns under variable environmental conditions.
928 <https://doi.org/10.22541/essoar.172222597.78203131/v1>
- 929 Giroto, M., Formetta, G., Azimi, S., Bachand, C., Cowherd, M., De Lannoy, G., et al. (2024).
930 Identifying snowfall elevation patterns by assimilating satellite-based snow depth retrievals.
931 *Science of The Total Environment*, 906, 167312.
932 <https://doi.org/10.1016/j.scitotenv.2023.167312>
- 933 Graup, L. (2021). Preserving Mountains with Forest Management, CA 2020. *National Center for*
934 *Airborne Laser Mapping (NCALM)*. <https://doi.org/10.5069/G96H4FMX>



- 935 Gugerli, R., Desilets, D., & Salzmänn, N. (2022). Brief communication: Application of a muonic
936 cosmic ray snow gauge to monitor the snow water equivalent on alpine glaciers. *The*
937 *Cryosphere*, 16(3), 799–806. <https://doi.org/10.5194/tc-16-799-2022>
- 938 Gutmann, E. D., Rasmussen, R. M., Liu, C., Ikeda, K., Gochis, D. J., Clark, M. P., et al. (2012). A
939 comparison of statistical and dynamical downscaling of winter precipitation over complex
940 terrain. *Journal of Climate*, 25(1), 262–281. <https://doi.org/10.1175/2011JCLI4109.1>
- 941 Han, J., Liu, Z., Woods, R., McVicar, T. R., Yang, D., Wang, T., et al. (2024). Streamflow seasonality in a
942 snow-dwindling world. *Nature*, 629(8014), 1075–1081. [https://doi.org/10.1038/s41586-024-](https://doi.org/10.1038/s41586-024-07299-y)
943 07299-y
- 944 Harder, P., & Pomeroy, J. (2013). Estimating precipitation phase using a psychrometric energy
945 balance method. *Hydrological Processes*, 27(13), 1901–1914.
946 <https://doi.org/10.1002/hyp.9799>
- 947 Harder, P., Pomeroy, J. W., & Helgason, W. D. (2020). Improving sub-canopy snow depth mapping
948 with unmanned aerial vehicles: lidar versus structure-from-motion techniques. *The*
949 *Cryosphere*, 14(6), 1919–1935. <https://doi.org/10.5194/tc-14-1919-2020>
- 950 Harpold, A. A., Guo, Q., Molotch, N., Brooks, P. D., Bales, R., Fernandez-Diaz, J. C., et al. (2014).
951 LiDAR-derived snowpack data sets from mixed conifer forests across the Western United
952 States. *Water Resources Research*, 50(3), 2749–2755. <https://doi.org/10.1002/2013WR013935>
- 953 Helfricht, K., Schöber, J., Schneider, K., Sailer, R., & Kuhn, M. (2014). Interannual persistence of the
954 seasonal snow cover in a glacierized catchment. *Journal of Glaciology*, 60(223), 889–904.
955 <https://doi.org/10.3189/2014JoG13J197>
- 956 Herbert, J. N., Raleigh, M. S., & Small, E. E. (2024). Reanalyzing the spatial representativeness of
957 snow depth at automated monitoring stations using airborne lidar data. *The Cryosphere*,
958 18(8), 3495–3512. <https://doi.org/10.5194/tc-18-3495-2024>



- 959 Hersbach, H. (2000). Decomposition of the Continuous Ranked Probability Score for Ensemble
960 Prediction Systems. *Weather and Forecasting*, 15(5), 559–570. [https://doi.org/10.1175/1520-](https://doi.org/10.1175/1520-0434(2000)015<0559:DOTCRP>2.0.CO;2)
961 0434(2000)015<0559:DOTCRP>2.0.CO;2
- 962 Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., et al. (2020). The
963 ERA5 global reanalysis. *Quarterly Journal of the Royal Meteorological Society*, 146(730), 1999–
964 2049. <https://doi.org/10.1002/qj.3803>
- 965 Kinar, N. J., & Pomeroy, J. W. (2015). Measurement of the physical properties of the snowpack.
966 *Reviews of Geophysics*, 53(2), 481–544. <https://doi.org/10.1002/2015RG000481>
- 967 Kostadinov, T. S., Schumer, R., Hausner, M., Bormann, K. J., Gaffney, R., McGwire, K., et al. (2019).
968 Watershed-scale mapping of fractional snow cover under conifer forest canopy using lidar.
969 *Remote Sensing of Environment*, 222, 34–49. <https://doi.org/10.1016/j.rse.2018.11.037>
- 970 Krinner, G., Derksen, C., Essery, R., Flanner, M., Hagemann, S., Clark, M., et al. (2018). ESM-
971 SnowMIP: assessing snow models and quantifying snow-related climate feedbacks.
972 *Geoscientific Model Development*, 11(12), 5027–5049. [https://doi.org/10.5194/gmd-11-5027-](https://doi.org/10.5194/gmd-11-5027-2018)
973 2018
- 974 Kruyt, B., Mott, R., Fiddes, J., Gerber, F., Sharma, V., & Reynolds, D. (2022). A Downscaling
975 Intercomparison Study: The Representation of Slope- and Ridge-Scale Processes in Models
976 of Different Complexity. *Frontiers in Earth Science*, 10.
977 <https://doi.org/10.3389/feart.2022.789332>
- 978 Largeron, C., Dumont, M., Morin, S., Boone, A., Lafaysse, M., Metref, S., et al. (2020). Toward Snow
979 Cover Estimation in Mountainous Areas Using Modern Data Assimilation Methods: A
980 Review. *Frontiers in Earth Science*, 8. Retrieved from
981 <https://www.frontiersin.org/article/10.3389/feart.2020.00325>
- 982 Leeuwen, V., & Jan, P. (2019). Non-local Observations and Information Transfer in Data Assimilation.



- 983 *Frontiers in Applied Mathematics and Statistics*, 5. <https://doi.org/10.3389/fams.2019.00048>
- 984 Liston, G. E., & Elder, K. (2006). A meteorological distribution system for high-resolution terrestrial
985 modeling (MicroMet). *Journal of Hydrometeorology*, 7(2), 217–234.
986 <https://doi.org/10.1175/JHM486.1>
- 987 Lundquist, J. D., Dickerson-Lange, S. E., Lutz, J. A., & Cristea, N. C. (2013). Lower forest density
988 enhances snow retention in regions with warmer winters: A global framework developed
989 from plot-scale observations and modeling. *Water Resources Research*, 49(10), 6356–6370.
990 <https://doi.org/10.1002/wrcr.20504>
- 991 Lundquist, J. D., Dickerson-Lange, S., Gutmann, E., Jonas, T., Lumbrazo, C., & Reynolds, D. (2021).
992 Snow interception modelling: Isolated observations have led to many land surface models
993 lacking appropriate temperature sensitivities. *Hydrological Processes*, 35(7), e14274.
994 <https://doi.org/10.1002/hyp.14274>
- 995 Magnusson, J., Gustafsson, D., Hüsler, F., & Jonas, T. (2014). Assimilation of point SWE data into a
996 distributed snow cover model comparing two contrasting methods. *Water Resources*
997 *Research*, 50(10), 7816–7835. <https://doi.org/10.1002/2014WR015302>
- 998 Magnusson, J., Bühler, Y., Quéno, L., Cluzet, B., Mazzotti, G., Webster, C., et al. (2024). High-
999 resolution hydrometeorological and snow data for the Dischma catchment in Switzerland.
1000 *Earth System Science Data Discussions*, 1–24. <https://doi.org/10.5194/essd-2024-374>
- 1001 Matthews, T., Perry, L. B., Koch, I., Aryal, D., Khadka, A., Shrestha, D., et al. (2020). Going to
1002 Extremes: Installing the World’s Highest Weather Stations on Mount Everest. *Bulletin of the*
1003 *American Meteorological Society*, 101(11), E1870–E1890. <https://doi.org/10.1175/BAMS-D-19->
1004 0198.1
- 1005 Mazzolini, M., Aalstad, K., Alonso-González, E., Westermann, S., & Treichler, D. (2024). Spatio-
1006 temporal snow data assimilation with the ICESat-2 laser altimeter. *EGUsphere*, 1–29.



- 1007 <https://doi.org/10.5194/egusphere-2024-1404>
- 1008 Mazzotti, G., Currier, W. R., Deems, J. S., Pflug, J. M., Lundquist, J. D., & Jonas, T. (2019). Revisiting
1009 Snow Cover Variability and Canopy Structure Within Forest Stands: Insights From Airborne
1010 Lidar Data. *Water Resources Research*, 55(7), 6198–6216.
1011 <https://doi.org/10.1029/2019WR024898>
- 1012 Mazzotti, G., Essery, R., Webster, C., Malle, J., & Jonas, T. (2020). Process-Level Evaluation of a Hyper-
1013 Resolution Forest Snow Model Using Distributed Multisensor Observations. *Water Resources*
1014 *Research*, 56(9), e2020WR027572. <https://doi.org/10.1029/2020WR027572>
- 1015 Mazzotti, G., Essery, R., Moeser, C. D., & Jonas, T. (2020). Resolving Small-Scale Forest Snow Patterns
1016 Using an Energy Balance Snow Model With a One-Layer Canopy. *Water Resources Research*,
1017 56(1), e2019WR026129. <https://doi.org/10.1029/2019WR026129>
- 1018 Minka, T. (2000). Automatic choice of dimensionality for PCA. *Advances in Neural Information*
1019 *Processing Systems*, 13.
- 1020 Montpetit, B., King, J., Meloche, J., Derksen, C., Siqueira, P., Adam, J. M., et al. (2024). Retrieval of
1021 snow and soil properties for forward radiative transfer modeling of airborne Ku-band SAR
1022 to estimate snow water equivalent: the Trail Valley Creek 2018/19 snow experiment. *The*
1023 *Cryosphere*, 18(8), 3857–3874. <https://doi.org/10.5194/tc-18-3857-2024>
- 1024 Morzfeld, M., & Hodyss, D. (2023). A Theory for Why Even Simple Covariance Localization Is So
1025 Useful in Ensemble Data Assimilation. *Monthly Weather Review*, 151(3), 717–736.
1026 <https://doi.org/10.1175/MWR-D-22-0255.1>
- 1027 Mott, R., Winstral, A., Cluzet, B., Helbig, N., Magnusson, J., Mazzotti, G., et al. (2023). Operational
1028 snow-hydrological modeling for Switzerland. *Frontiers in Earth Science*, 11. Retrieved from
1029 <https://www.frontiersin.org/articles/10.3389/feart.2023.1228158>
- 1030 Murphy, K. P. (2023). *Probabilistic Machine Learning: Advanced Topics*. MIT Press. Retrieved from



- 1031 <http://probml.github.io/book2>
- 1032 Murphy, R. R., Perlman, E., Ball, W. P., & Curriero, F. C. (2015). Water-Distance-Based Kriging in
1033 Chesapeake Bay. *Journal of Hydrologic Engineering*, 20(9), 05014034.
1034 [https://doi.org/10.1061/\(ASCE\)HE.1943-5584.0001135](https://doi.org/10.1061/(ASCE)HE.1943-5584.0001135)
- 1035 Neal, R. M. (1999). Regression and Classification Using Gaussian Process Priors. In J. M. Bernardo, J.
1036 O. Berger, A. P. Dawid, & A. F. M. Smith (Eds.), *Bayesian Statistics 6: Proceedings of the Sixth*
1037 *Valencia International Meeting June 6-10, 1998* (p. 0). Oxford University Press.
1038 <https://doi.org/10.1093/oso/9780198504856.003.0021>
- 1039 Orio-Alonso, A., Alonso-González, E., Díez-González, C., Gómez-García, P., & Martínez-Ruiz del Árbol,
1040 P. (2023). Estimation of the Snow Water Equivalent Using Muon Scattering Radiography.
1041 *Geophysical Research Letters*, 50(14), e2023GL104128. <https://doi.org/10.1029/2023GL104128>
- 1042 Painter, T. H., Berisford, D. F., Boardman, J. W., Bormann, K. J., Deems, J. S., Gehrke, F., et al. (2016).
1043 The Airborne Snow Observatory: Fusion of scanning lidar, imaging spectrometer, and
1044 physically-based modeling for mapping snow water equivalent and snow albedo. *Remote*
1045 *Sensing of Environment*, 184, 139–152. <https://doi.org/10.1016/j.rse.2016.06.018>
- 1046 Pflug, J. M., Wrzesien, M. L., Kumar, S. V., Cho, E., Arsenault, K. R., Houser, P. R., & Vuyovich, C. M.
1047 (2024). Extending the utility of space-borne snow water equivalent observations over
1048 vegetated areas with data assimilation. *Hydrology and Earth System Sciences*, 28(3), 631–648.
1049 <https://doi.org/10.5194/hess-28-631-2024>
- 1050 Piske, C. (2022). Forest-Snow Interactions in a High Elevation Critical Zone, CA 2022. National Center
1051 for Airborne Laser Mapping (NCALM). Retrieved from <https://doi.org/10.5069/G9ZG6QF7>
- 1052 Piske, C. R., Carroll, R., Boisrame, G., Krogh, S. A., Manning, A. L., Underwood, K. L., et al. (2024).
1053 Lidar-Derived Forest Metrics Predict Snow Accumulation and Ablation in the Central Sierra
1054 Nevada, USA. <https://doi.org/10.22541/au.171799893.36954583/v1>



- 1055 Qin, Y., Abatzoglou, J. T., Siebert, S., Huning, L. S., AghaKouchak, A., Mankin, J. S., et al. (2020).
1056 Agricultural risks from changing snowmelt. *Nature Climate Change*, 10(5), 459–465.
1057 <https://doi.org/10.1038/s41558-020-0746-8>
- 1058 Rasmussen, C. E., & Williams, C. K. I. (2005). *Gaussian Processes for Machine Learning*. The MIT Press.
1059 <https://doi.org/10.7551/mitpress/3206.001.0001>
- 1060 Rasmussen, R. M., Chen, F., Liu, C. H., Ikeda, K., Prein, A., Kim, J., et al. (2023). CONUS404: The
1061 NCAR-USGS 4-km Long-Term Regional Hydroclimate Reanalysis over the CONUS. *Bulletin of*
1062 *the American Meteorological Society*, 104(8), E1382–E1408. [https://doi.org/10.1175/BAMS-D-](https://doi.org/10.1175/BAMS-D-21-0326.1)
1063 21-0326.1
- 1064 Revuelto, J., López-Moreno, J. I., Azorin-Molina, C., & Vicente-Serrano, S. M. (2014). Topographic
1065 control of snowpack distribution in a small catchment in the central Spanish Pyrenees:
1066 Intra- and inter-annual persistence. *Cryosphere*, 8(5), 1989–2006. [https://doi.org/10.5194/tc-](https://doi.org/10.5194/tc-8-1989-2014)
1067 8-1989-2014
- 1068 Reynolds, D., Gutmann, E., Krut, B., Haugeneder, M., Jonas, T., Gerber, F., et al. (2023). The High-
1069 resolution Intermediate Complexity Atmospheric Research (HICAR v1.1) model enables fast
1070 dynamic downscaling to the hectometer scale. *Geoscientific Model Development*, 16(17),
1071 5049–5068. <https://doi.org/10.5194/gmd-16-5049-2023>
- 1072 Robinson, D. A., & Frei, A. (2000). Seasonal Variability of Northern Hemisphere Snow Extent Using
1073 Visible Satellite Data. *The Professional Geographer*, 52(2), 307–315.
1074 <https://doi.org/10.1111/0033-0124.00226>
- 1075 Rutter, N., Essery, R., Pomeroy, J., Altimir, N., Andreadis, K., Baker, I., et al. (2009). Evaluation of
1076 forest snow processes models (SnowMIP2). *Journal of Geophysical Research: Atmospheres*,
1077 114(D6). <https://doi.org/10.1029/2008JD011063>
- 1078 Safa, H., Krogh, S. A., Greenberg, J., Kostadinov, T. S., & Harpold, A. A. (2021). Unraveling the



- 1079 Controls on Snow Disappearance in Montane Conifer Forests Using Multi-Site Lidar. *Water*
1080 *Resources Research*, 57(12), e2020WR027522. <https://doi.org/10.1029/2020WR027522>
- 1081 Sakov, P., & Oke, P. R. (2008). A deterministic formulation of the ensemble Kalman filter: an
1082 alternative to ensemble square root filters. *Tellus A*, 60(2), 361–371.
1083 <https://doi.org/10.1111/j.1600-0870.2007.00299.x>
- 1084 Sharma, V., Gerber, F., & Lehning, M. (2023). Introducing CRYOWRF v1.0: multiscale atmospheric
1085 flow simulations with advanced snow cover modelling. *Geoscientific Model Development*,
1086 16(2), 719–749. <https://doi.org/10.5194/gmd-16-719-2023>
- 1087 Singh, S., Durand, M., Kim, E., & Barros, A. P. (2024). Bayesian physical–statistical retrieval of snow
1088 water equivalent and snow depth from X- and Ku-band synthetic aperture radar –
1089 demonstration using airborne SnowSAR in SnowEx’17. *The Cryosphere*, 18(2), 747–773.
1090 <https://doi.org/10.5194/tc-18-747-2024>
- 1091 Slatyer, R. A., Umbers, K. D. L., & Arnold, P. A. (2022). Ecological responses to variation in seasonal
1092 snow cover. *Conservation Biology*, 36(1), e13727. <https://doi.org/10.1111/cobi.13727>
- 1093 Smyth, E. J., Raleigh, M. S., & Small, E. E. (2022). The Challenges of Simulating SWE Beneath Forest
1094 Canopies are Reduced by Data Assimilation of Snow Depth. *Water Resources Research*, 58(3),
1095 e2021WR030563. <https://doi.org/10.1029/2021WR030563>
- 1096 Sourp, L., Gascoin, S., Jarlan, L., Pedinotti, V., Bormann, K. J., & Baba, M. W. (2024). Evaluation of high
1097 resolution snowpack simulations from global datasets and comparison with Sentinel-1
1098 snow depth retrievals in the Sierra Nevada, USA. *EGUsphere*, 1–22.
1099 <https://doi.org/10.5194/egusphere-2024-791>
- 1100 Sturm, M., Goldstein, M. A., & Parr, C. (2017). Water and life from snow: A trillion dollar science
1101 question.
- 1102 Tennant, C. J., Harpold, A. A., Lohse, K. A., Godsey, S. E., Crosby, B. T., Larsen, L. G., et al. (2017).



- 1103 Regional sensitivities of seasonal snowpack to elevation, aspect, and vegetation cover in
1104 western North America. *Water Resources Research*, 53(8), 6908–6926.
1105 <https://doi.org/10.1002/2016WR019374>
- 1106 Tsang, L., Durand, M., Derksen, C., Barros, A. P., Kang, D.-H., Lievens, H., et al. (2022). Review article:
1107 Global monitoring of snow water equivalent using high-frequency radar remote sensing.
1108 *The Cryosphere*, 16(9), 3531–3573. <https://doi.org/10.5194/tc-16-3531-2022>
- 1109 Varhola, A., Coops, N. C., Weiler, M., & Moore, R. D. (2010). Forest canopy effects on snow
1110 accumulation and ablation: An integrative review of empirical results. *Journal of Hydrology*,
1111 392(3), 219–233. <https://doi.org/10.1016/j.jhydrol.2010.08.009>
- 1112 Vionnet, V., Marsh, C. B., Menounos, B., Gascoin, S., Wayand, N. E., Shea, J., et al. (2021). Multi-scale
1113 snowdrift-permitting modelling of mountain snowpack. *The Cryosphere*, 15(2), 743–769.
1114 <https://doi.org/10.5194/tc-15-743-2021>
- 1115 Virtanen, P., Gommers, R., Oliphant, T. E., Haberland, M., Reddy, T., Cournapeau, D., et al. (2020).
1116 SciPy 1.0: Fundamental Algorithms for Scientific Computing in Python. *Nature Methods*, 17,
1117 261–272. <https://doi.org/10.1038/s41592-019-0686-2>
- 1118 Xiao, X., He, T., Liang, S., Liu, X., Ma, Y., Liang, S., & Chen, X. (2022). Estimating fractional snow cover
1119 in vegetated environments using MODIS surface reflectance data. *International Journal of*
1120 *Applied Earth Observation and Geoinformation*, 114, 103030.
1121 <https://doi.org/10.1016/j.jag.2022.103030>
- 1122 Yatheendradas, S., Lidard, C. D. P., Koren, V., Cosgrove, B. A., De Goncalves, L. G. G., Smith, M., et al.
1123 (2012). Distributed assimilation of satellite-based snow extent for improving simulated
1124 streamflow in mountainous, dense forests: An example over the DMIP2 western basins.
1125 *Water Resources Research*, 48(9). <https://doi.org/10.1029/2011WR011347>
- 1126 Zheng, Z., Ma, Q., Jin, S., Su, Y., Guo, Q., & Bales, R. C. (2019). Canopy and Terrain Interactions



- 1127 Affecting Snowpack Spatial Patterns in the Sierra Nevada of California. *Water Resources*
- 1128 *Research*, 55(11), 8721–8739. <https://doi.org/10.1029/2018WR023758>