

# An algorithm to retrieve peroxyacetyl nitrate from AIRS

Joshua L. Laughner<sup>1</sup>, Susan S. Kulawik<sup>2</sup>, and Vivienne H. Payne<sup>1</sup>

<sup>1</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA

<sup>2</sup>Bay Area Environmental Research Institute/NASA Ames, Mountain View, California, USA

**Correspondence:** Joshua L. Laughner (josh.laughner@jpl.nasa.gov)

**Abstract.** Herein, we describe ~~a~~an approach to retrieve free tropospheric columns of peroxyacetyl nitrates (PANs) from radiances observed by the Atmospheric Infrared Sounder (AIRS). AIRS has provided daily global coverage since its launch in 2002, making the AIRS data a valuable long term record. Although the instrument is very radiometrically stable, the radiance noise level is large enough to present a challenge when retrieving a weak absorber such as PAN. To address this, ~~we focus on retrievals over land (to avoid interferences from low, warm clouds over ocean) and develop a decision tree quality filter trained spectral windows were selected to minimize interference from other species as much as possible and a set of filters was developed~~ to predict whether a PAN value retrieved from AIRS ~~will be~~is within 0.2 ppb or 50% of what would be retrieved from the Cross-track Infrared Sounder (CrIS) ~~and to remove spurious signals caused by specific surface features or clouds~~. We show that AIRS is capable of retrieving PAN plumes ~~with very high concentrations of PAN (such as those~~ from significant wildfires~~that match those retrieved from)~~ that have similar spatial extent as seen by CrIS and that PAN retrieved from AIRS has good correlation with CrIS given sufficient averaging. We conclude with recommendations for users to help ensure that these data are used appropriately.

## 1 Introduction

Acyl peroxy nitrates (APNs) are a family of air pollutants formed by the reaction of a peroxy radical with  $\text{NO}_2$ . Peroxyacetyl nitrate (PAN,  $\text{CH}_3\text{C}(\text{O})\text{OONO}_2$ ) is the most commonly considered member of this family, resulting from the reaction of a peroxyacetyl radical with  $\text{NO}_2$  (Singh and Hanst, 1981). PAN exists in equilibrium with its reactants and is more stable at colder temperatures. Because of this, PAN often acts as a temporary reservoir of nitrogen oxides ( $\text{NO}_x$ ), enhancing long range transport of  $\text{NO}_x$  to downwind regions (e.g., Singh et al., 1986; Moxim et al., 1996; Hudman et al., 2004). In addition to redistributing  $\text{NO}_x$  and the associated potential for photochemical production of secondary pollutants, PAN itself is toxic to plants and an eye irritant for humans (Gaffney and Marley, 2021).

PAN is neither a criteria air pollutant nor a designated hazardous air pollutant by the United States Environmental Protection Agency (Suh et al., 2000) or the World Health Organization (World Health Organization, 2021). As a result, routine in situ monitoring of PAN is rare. However, targeted campaigns such as the Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS, Alvarado et al., 2010), Western Wildfire Experiment for Cloud Chemistry, Aerosol Absorption, and Nitrogen (WE-CAN Juncosa Calahorrano et al., 2021a), or Fire Influence on Regional to Global Environments and Air Quality (FIREX-AQ, Warneke et al., 2023) include measurements of PAN to more fully constrain the nitrogen cycle in

the outflow of the phenomenon of interest for that campaign. Other campaigns focused on measuring background air, such as HIAPER Pole-to-Pole Observations (HIPPO, Wofsy, 2011) or the Atmospheric Tomography Mission (ATom, Thompson et al., 2022) include PAN measurements to quantify its effect on remote air.

30 Techniques for remote sensing of PAN have been developed in the last two decades. PAN has very similar absorption features to other members of the APN chemical family (e.g., peroxypropionyl nitrate, peroxy-*n*-butyryl nitrate, peroxy-*n*-valeryl nitrate, peroxyacryloyl nitrate, and peroxycrotonyl nitrate, Monedero et al., 2008). Thus, retrievals of “PAN” are in fact retrievals of a mixture of APNs. ~~The~~ However, PAN typically comprises the majority (75% to 90%) of APNs in both remote areas (Roberts et al., 1998, 2002; Wolfe et al., 2007; Fischer et al., 2014) and urban plumes (LaFranchi et al., 2009).  
35 The fraction may be lower in wildfire plumes; Peng et al. (2021) hypothesize that an unknown APN could explain discrepancies in NO<sub>x</sub>/CO ratios between their observations and model. Given the predominance of PAN as the majority APN, the convention is to refer to the ~~product as~~ satellite products as retrieving “PAN” or “PANs”, and we adopt that convention for this manuscript.

PAN has been retrieved from ground-based instruments as well as limb- and nadir- viewing space-based platforms. Several sites in the Network for Detection of Atmospheric Composition Change (NDACC) perform retrievals of PAN from ground-  
40 based spectra (Mahieu et al., 2021). From space, PAN in the upper troposphere/lower stratosphere has been retrieved from limb measurements from CRyogenic Infrared Spectrometers and Telescopes for the Atmosphere (CRISTA, Ungermann et al., 2016) on board two space shuttle flights in the 1990s, the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS, Glatthor et al., 2007; Moore and Remedios, 2010; Wiegeler et al., 2012; Fadnavis et al., 2014; Pope et al., 2016), and Atmospheric Chemistry Experiment-Fourier Transform Spectrometer (ACE-FTS, Tereszchuk et al., 2013). Nadir viewing in-  
45 struments, such as the Tropospheric Emission Spectrometer (TES, Alvarado et al., 2011; Payne et al., 2014), the Infrared Atmospheric Sounding Interferometer (IASI, Coheur et al., 2009; Clarisse et al., 2011; Franco et al., 2018), and the Cross-track Infrared Sounder (CrIS, Payne et al., 2022), provide the ability to retrieve column amounts of PAN sensitive to the mid-troposphere.

Consistent records of atmospheric trace gas concentrations are essential to monitor how air quality is changing over time. A  
50 major challenge in this respect is addressing instrument differences among satellites to produce records spanning multiple decades. The Community Long-term Infrared Microwave Combined Atmospheric Product System (CLIMCAPS) product (Smith and Barnet, 2020) invested significant effort in applying a consistent retrieval to radiances from both the Atmospheric Infrared Sounder (AIRS) and the various CrIS instruments as well as minimizing cross-correlations between retrieved variables (Smith and Barnet, 2019). CLIMCAPS produces records spanning the more than two decades since AIRS launched in 2002  
55 that include profiles of atmospheric temperature, H<sub>2</sub>O, CO, O<sub>3</sub>, CO<sub>2</sub>, HNO<sub>3</sub>, and CH<sub>4</sub>, but does not include PAN.

The Tropospheric Ozone and its Precursors from Earth System Sounding (TROPESS) project also focuses on applying a consistent retrieval algorithm for various trace gases to radiances from a variety of instruments. This includes thermal radiances observed by AIRS and CrIS, as well as radiances in other parts of the electromagnetic spectrum from the Ozone Monitoring Instrument (OMI) and, in the future, the Tropospheric Monitoring Instrument (TROPOMI). Cady-Pereira et al. (2024) demonstrated  
60 the capability with TROPESS to retrieve NH<sub>3</sub> from both AIRS and CrIS. They validated NH<sub>3</sub> from both instruments against aircraft data and found that, although the retrievals from the two instruments are broadly similar, there are differences in the

agreement with aircraft profiles. However, after accounting for the smoothing errors, the biases fall below 1 ppb. Pennington et al. (2025) evaluated O<sub>3</sub> trends in three TROPESS products using thermal radiances from AIRS and CrIS and combined thermal and ultraviolet radiances from AIRS and OMI. They compared these products to ozonesonde data, and found that trends in the bias of the retrieved O<sub>3</sub> was significantly less than the reported O<sub>3</sub> trends.

The ability to retrieve ~~troposphere~~ tropospheric columns of PAN from space has enabled scientific studies of various sources of air pollution. Several studies made use of the TES PAN retrievals to investigate factors driving PAN over Eurasia (Zhu et al., 2015; Jiang et al., 2016) and the tropics (Payne et al., 2017) as well as the prevalence of PAN in smoke-impacted air masses over North America (Fischer et al., 2018). Zhu et al. (2015) and Jiang et al. (2016) found that a combination of seasonal temperature, lightning, biomass burning, and microbial emissions influenced the PAN outflow from Eurasia, while Payne et al. (2017) found that the dominant factors in the tropics were biogenic emissions and lightning, with some influence from biomass burning during the study period. Juncosa Calahorrano et al. (2021b) used PAN retrieved from CrIS to quantify the chemical production of PAN in the outflow from the Pole Creek Fire in central Utah, USA. Shogrin et al. (2023) combined PAN values retrieved from TES and CrIS and found that PAN columns over Mexico City had no trend over a time period when NO<sub>2</sub> columns decreased. Shogrin et al. (2024) used PAN columns retrieved from CrIS to study whether there were statistically significant changes in PAN amounts over eight megacities during the COVID pandemic. They found a mix of increases, decreases, and no change in PAN columns among the megacities. More recently, Zhai et al. (2024) used PAN retrieved from IASI to study transport of PANs across the Pacific and concluded that the effect on ozone in the western US was less than 1 ppb. These studies provide examples of how space-based retrievals of PAN~~ean~~, particularly in synergy with other space-based trace gas observations, can provide valuable information about how the meteorological conditions, episodic events, and dominant chemical regime influence air quality in different regions.

In this work, we demonstrate the first retrieval of PAN from ~~the Atmospheric Infrared Sounder (AIRS)~~ AIRS. As AIRS was launched in 2002, this has the potential to provide the longest continual record of PAN from a nadir viewing instrument. Our approach is based on that of Payne et al. (2022). We begin with an overview of the AIRS and CrIS instruments, which are both used in this work. Then, we review the MUlTi-SpEctra, MUlTi-SpEcies, MUlTi-Sensors (MUSES) algorithm which provides a retrieval framework for this work. Next, we describe the specific MUSES configuration we use. Fourth, we address several challenges encountered in adapting the approach of Payne et al. (2022) to AIRS spectra. Finally, we close with recommendations to users of the new AIRS PAN product. Due to the computational cost of this retrieval, our analysis focuses on a few days with significant variation in PAN from major fires in the US and Australia. This product will be incorporated in the operational TROPESS data processing in the future (<https://disc.gsfc.nasa.gov/information/mission-project?keywords=tropess&title=TROPESS>, last accessed 11 Sep 2025), which will enable analysis on a longer timeseries of data.

	<u>AIRS</u>	<u>CrIS</u>
<u>Spectral resolution at 790 cm<sup>-1</sup> (cm<sup>-1</sup>)</u>	<u>0.355</u>	<u>0.625</u>
<u>Field of view diameter (km)</u>	<u>15 (Thrastarson et al., 2021)</u>	<u>14 (Zavyalov et al., 2011)</u>
<u>Spatial sampling (km)</u>	<u>13.5 (Schreier et al., 2010)</u>	<u>15 (Wang et al., 2013)</u>
<u>NEdT (K)</u>	<u>0.1 to 0.8 (Thrastarson et al., 2021)</u>	<u>~ 0.04 (Zavyalov et al., 2013)</u>

**Table 1.** Comparison of relevant AIRS and CrIS instrument characteristics. Spectral resolution was computed from the LIB files. All other values are from the cited references. NEdT stands for “noise equivalent differential temperature,” and the NEdT value for CrIS was estimated from Fig. 10 of (Zavyalov et al., 2013) for CrIS full resolution spectra.

## 2 Data sources and algorithm background

### 2.1 AIRS radiances

The Atmospheric Infrared Sounder (AIRS) instrument is carried on board the Aqua satellite. Aqua was launched in May 2002 and flies in a polar, sun synchronous orbit. For most of its mission, it had a local ascending equator crossing time of ~13:35. Starting in 2022, it began to drift to a later crossing time; as of early 2025, it has an equator crossing time of ~14:30 (https://aqua.nasa.gov/, last accessed 12 Mar 2025).

AIRS is a grating spectrometer, covering three spectral bands (~~3.74- $\mu$ m to 4.61- $\mu$ m, 6.20- $\mu$ m to 8.22- $\mu$ m, and 8.8- $\mu$ m to 15.4- $\mu$ m~~approximately 650 cm<sup>-1</sup> to 1140 cm<sup>-1</sup>, 1220 cm<sup>-1</sup> to 1610 cm<sup>-1</sup>, and 2170 cm<sup>-1</sup> to 2670 cm<sup>-1</sup>) with 17 detector arrays and a nominal spectral resolution of  $\lambda/\Delta\lambda = 1200$  (~~Aumann et al., 2003~~)(ranging between 1086 and 1570, Aumann et al., 2003; Thrastarson et al., 2021). The original calibration is described by Pagano et al. (2003) and an update is given in Pagano et al. (2020). AIRS’s radiometric calibration has been very stable over its lifetime, with  $< 2$  mK yr<sup>-1</sup> drift between 2017 (Aumann et al., 2019) and between -3 mK and +6 mK since (Aumann et al., 2023). Table 1 compares some of the relevant instrument characteristics of AIRS and CrIS. In this work, we use AIRS level 1B radiances from version 5 of the AIRIBRAD product (AIRS Project, 2020).

### 2.2 CrIS radiances and the CrIS PANs product

At time of writing, there are three operational Cross-track Infrared Sounder (CrIS) instruments. The first is on board the Suomi-NPP satellite, launched in October 2011, followed by copies on the JPSS-1/NOAA-20 and JPSS-2/NOAA-21 satellites, launched in Nov. 2017 and Nov. 2022, respectively. All three are in sun synchronous orbits with ascending local equator crossing times around 13:30. Unlike AIRS, CrIS is a Fourier transform spectrometer that observes nine fields of view in a  $3 \times 3$  array simultaneously. It performs an across-track scan of 30 view positions. The fields of view are ~15 km in diameter (Zavyalov et al., 2011).

Payne et al. (2022) used radiances from the CrIS instrument on board Suomi-NPP (S-NPP) (specifically the NASA version 2 level 1B radiances, Sounder SIPS and GES DISC, 2017) to retrieve PANs. CrIS measures in three spectral bands: long-wave IR (650 cm<sup>-1</sup> to 1095 cm<sup>-1</sup>), midwave IR (1210 cm<sup>-1</sup> to 1750 cm<sup>-1</sup>), and short-wave IR (2155 cm<sup>-1</sup> to 2550 cm<sup>-1</sup>,

115 Han et al., 2013). At launch, the CrIS S-NPP instrument was operated in “normal spectral resolution” mode, with the bands measuring at  $0.625\text{ cm}^{-1}$ ,  $1.25\text{ cm}^{-1}$ , and  $2.5\text{ cm}^{-1}$ , respectively. In Dec. 2014, it was switched to “full spectral resolution” (FSR) mode, with  $0.625\text{ cm}^{-1}$  resolution in all bands (Strow et al., 2021). The NASA FSR level 1B product begins a year later (Dec. 2015) after additional upgrades to CrIS calibration in Nov. 2015. Our work uses the MUSES algorithm (Sect. 2.3), which uses radiances from multiple CrIS bands to retrieve atmospheric trace gases and temperature. Currently, this requires the full  
120 spectral resolution product, thus we limit ourselves to CrIS data from Dec. 2015 on.

Payne et al. (2022) validated the CrIS PANs retrievals against PAN measurements taken during the ATom campaign. The measured profiles had GEOS-Chem profiles appended to the top. From the standard deviation of the differences between CrIS and aircraft free tropospheric PAN column averages, Payne et al. (2022) derived a single sounding uncertainty of 0.08 ppb for the CrIS PANs retrieval. (See Payne et al., 2022, for details on how this was done.) This was larger than the uncertainty calcu-  
125 lated by the MUSES optimal estimation (OE) algorithm, but ~~Payne et al. (2014)~~ Payne et al. (2022) attribute the discrepancy to pseudo-random error contributions from the retrieval of interfering species or the temperature profile. Such interferent-driven error ~~would not be~~ was not included in the uncertainty calculated by the ~~OE~~ MUSES algorithm, as ~~the OE for PAN retrievals,~~ the algorithm calculates uncertainty from noise only.

Further, the comparison with ATom found a negative bias (CrIS lower than aircraft) that correlated with the total column  
130 amount of water vapor. The relationship between water vapor and the CrIS PAN bias was further corroborated by examination of the pre-PAN retrieval spectral residuals, which found a positive residual correlated with water vapor column amounts. From the ATom comparisons, Payne et al. (2022) derived a bias correction for the CrIS PAN product,  $c = 0.05 + 0.035 \times 10^{-23} \times X$ , where  $X$  is the column density of water vapor in molec.  $\text{cm}^{-2}$  and  $c$  is the correction in ppb.

## 2.3 MUSES Retrieval

135 The MUlti-SpEctra, MUlti-SpEcies, MUlti-Sensors (MUSES) retrieval (Worden et al., 2007; Luo et al., 2013; Fu et al., 2018; Worden et al., 2019; Malina et al., 2024) is an optimal estimation retrieval with heritage tracing back to the TES retrieval (Bowman et al., 2006). It is instrument-agnostic, able to solve for the optimal state vector given radiances from a variety of instruments (e.g., AIRS, CrIS, the Ozone Monitoring Instrument [OMI], and the TROPOspheric Monitoring Instrument [TROPOMI]), or multiple instruments (e.g., AIRS+OMI, CrIS+TROPOMI).

140 The MUSES algorithm allows retrievals to be broken down into smaller steps, each of which define the spectral windows for which to minimize the radiance residuals, the atmospheric parameters to solve for, which of those parameters to update for the next step, along with a number of more technical options. The steps are defined in a “strategy table” which can be quickly edited to test different retrieval approaches. This step-wise design provides flexibility to fix some elements of the state vector while updating others in certain steps, which is particularly useful when retrieving state vector elements with large differences  
145 in the magnitude of their Jacobian matrices (e.g., atmospheric temperature vs. PAN) or which interfere with each other (e.g.,  $\text{O}_3$  vs. PAN). These steps are run sequentially; the final state of one step becomes the initial state for the next, save for any state vector elements which the strategy table indicates should not be updated.

Within each step, MUSES uses an iterative solver that applies the trust-region Levenberg–Marquardt scheme (Bowman et al., 2006) to minimize a cost function

$$J(\mathbf{x}) = [\mathbf{y} - \mathbf{F}(\mathbf{x}, \mathbf{b})]^T \mathbf{S}_\epsilon^{-1} [\mathbf{y} - \mathbf{F}(\mathbf{x}, \mathbf{b})] + (\mathbf{x} - \mathbf{x}_a)^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{x}_a) \quad (1)$$

where

- $\mathbf{x}$  is the retrieved state vector,
- $\mathbf{x}_a$  is the a priori state vector,
- $\mathbf{y}$  is the observation vector (i.e., AIRS or CrIS radiances),
- 155 –  $\mathbf{F}$  is the forward model that simulates radiances given the state vector and fixed parameters ( $\mathbf{b}$ ),
- $\mathbf{S}_\epsilon$  is the error covariance matrix for the observed radiance, and
- $\mathbf{S}_a$  is the prior error covariance matrix.

The Levenberg-Marquardt solver will iteratively update the state vector,  $\mathbf{x}$  along a direction in state space expected to minimize Eq. (1). It will continue until the convergence criteria are satisfied or the maximum number of iterations is reached.

160 An important distinction within MUSES is the difference between the a priori (or constraint) state vector and the initial state vector. The former is  $\mathbf{x}_a$  in Eq. (1) and is a mathematical constraint on the optimal state vector, the latter is the starting point of  $\mathbf{x}$  before the first iteration of the Levenberg-Marquardt solver. ~~These two can be the~~ This distinction is important within MUSES because it is a multi-step retrieval. The strategy table, mentioned above, defines which elements of the state vector will be retrieved in each step and whether or not the retrieved state for step  $i$  becomes the initial state for step  $i + 1$ . For

165 example, the retrieval may begin with an  $\text{H}_2\text{O}$  profile taken from a meteorological reanalysis as both the initial guess and the a priori constraint. An early step in the retrieval can then retrieve a new  $\text{H}_2\text{O}$  profile which is more consistent with the observed radiances. This new  $\text{H}_2\text{O}$  profile can then be used as an initial state for later steps (whether or not those steps retrieve  $\text{H}_2\text{O}$ ). This can be important for weak absorbers, such as PAN, which need the profiles of strong thermal IR absorbers to be accurate for the scene in question so that the relatively small absorption feature of the weak absorber can be identified. We note that,

170 for a given step, the initial state and a priori constraint can be the same but do not need to be; in particular for. For later steps of the retrieval, the initial state ~~will~~ may have been set by earlier retrieval steps ~~-(as in the example given with  $\text{H}_2\text{O}$ )~~ but the a priori constraint will remain the same for all steps. Or, the a priori constraint may be chosen to be a relatively simple profile to avoid imposing undue assumptions, while the initial state may be chosen to reflect a better estimate of the atmospheric state in that location to attempt to minimize the number of steps needed by the solver.

175 MUSES can use different radiative transfer models for  $\mathbf{F}$  in Eq. (1). For this work, we use version 1.2 of the Optimal Spectral Sampling (OSS) model (Moncet et al., 2008, 2015). OSS is designed to use an optimal set of absorption coefficients (per absorbing species and vertical layers) and weights that can be used to compute the radiance for each channel of a spectrometer

very efficiently, given the amounts of each absorbing ~~species~~species. These weights are computed by training OSS against a reference line-by-line spectroscopic model(~~Moncet et al., 2008, 2015~~). Determining those optimal absorption coefficients and weights requires it to be trained for a given instrument. In version 1.2, the absorption coefficients are calculated from the Line By Line Radiative Transfer Model (LBLRTM) version 12.4 (Clough et al., 2005; Alvarado et al., 2013). This allows OSS to efficiently simulate the radiances a specific instrument would observe by reducing the number of monochromatic wavelengths that must be modeled for a given instrument channel, but means that OSS must be trained for each instrument used in a retrieval separately. For details on the approach, readers are encouraged to review Moncet et al. (2008) and Moncet et al. (2015).

## 185 2.4 TROPESS products

The ~~Tropospheric Ozone and its Precursors from Earth System Sounding (TROPESS)~~ TROPESS project focuses on applying the MUSES algorithm to retrieve a range of atmospheric trace gases from a variety of space-based instruments, including AIRS, OMI, CrIS, and TROPOMI to date. ~~There are two main “streams” of TROPESS data.~~ Operational processing for TROPESS is set up to accommodate two distinct goals. The first is to provide a global record of ozone and related trace gases for the first  
190 ~20 years of the 21st century. The second is to support rapid iteration on and improvement of the underlying level 2 algorithms while processing more recent data. Due to the computational cost of these retrievals, meeting both goals requires two separate data streams.

The first is a “retrospective” or “reanalysis” stream that retrieves trace gas amounts from ~ 2002 through ~ ~~2021~~with a consistent 2021. This stream is processed with a version of the MUSES algorithm frozen at the time the retrospective processing  
195 began. The second is a “forward” stream that processes new radiances as they become available with the latest version of the MUSES algorithm, including updates to the algorithm made after the retrospective processing began. The forward stream serves the dual purpose of monitoring significant events ~~affected~~affecting air quality and serving as a test bed for improvements to the MUSES algorithm. Due to the difference in the algorithm versions, users must take care not to misinterpret changes in trends between the two streams.

200 Both streams use a “global survey” sampling approach to process a subset of all available soundings yet provide global coverage, which allows a balance between computational cost and spatial coverage. The default survey strategy processes one sounding in each  $x^\circ \times x^\circ$  box over land and one out of every four such boxes over ocean. For the current products,  $x$  is either  $0.7^\circ$  or  $0.8^\circ$ . In addition, TROPESS produces special collections with full data density for high interest events (e.g., the 2019–2020 Australian Bush Fires and 2020 US West Coast Fires) and a set of megacities around the world.

205 The CrIS PAN product described in Payne et al. (2022) and Sect. 2.2, with mostly minor updates, is now routinely produced as part of both the reanalysis (Bowman, 2023) and forward (Bowman, 2022) TROPESS streams~~-,~~ as well as special products. The reanalysis and forward streams provide twice daily (day and night) global coverage of PAN, using the global survey strategy described in the previous paragraph. Other species retrieved within the TROPESS project include methane, carbon monoxide, deuterated water (HDO), ammonia, and ozone.



Window number	Freq. range (cm <sup>-1</sup> )
1	772.5 to 775
2	780 to 781.875
3	793.75 to 796.875
4	800 to 802.5
5	804.375 to 805

**Table 2.** The microwindows selected for the AIRS PAN retrieval.

## 210 3 AIRS PAN retrieval development

### 3.1 AIRS PAN retrieval design: microwindows and retrieval order

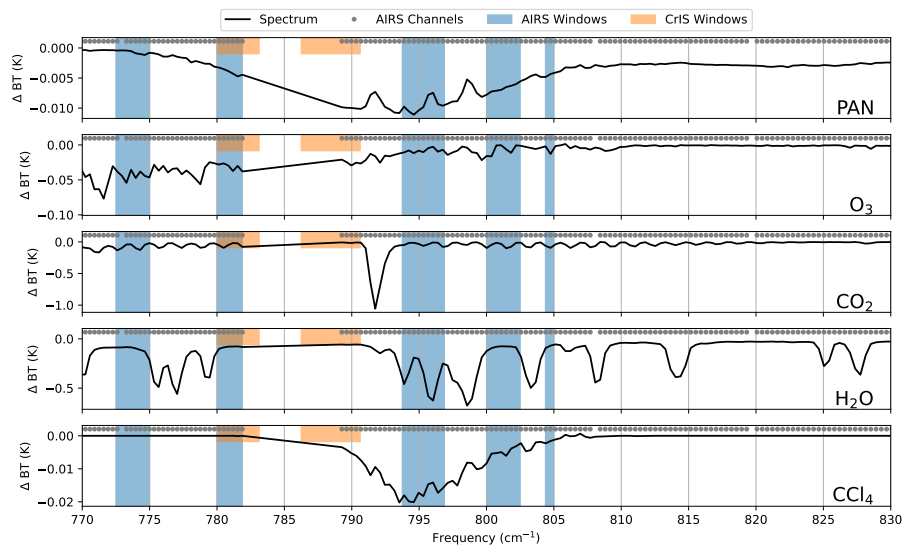
Retrievals using AIRS radiances have previously been implemented within the TROPESS MUSES algorithm (§2.3), thus the AIRS PAN retrieval can use the existing readers and [MUSES](#) OE framework. The components that must be added are (1) the desired windows, (2) the strategy table that instructs the MUSES algorithm to retrieve PAN, and (3) details about PAN  
215 retrievals copied from the CrIS PAN retrievals, such as the prior vector values.

For the existing CrIS PAN retrieval, Payne et al. (2022) chose two windows on the low frequency side of the PAN spectral feature (Fig. 1). However, parts of these windows fall in the AIRS “spectral gap,” where no radiance channels are available. Thus, we had to compromise between windows that will see sufficient signal for PAN absorption and windows that avoid signal from interfering species. Figure 1 shows the selected windows overlaid on simulated absorption features for the relevant  
220 species in this spectral range. The two windows on the left of the PAN feature (below 785 cm<sup>-1</sup>) only see a weak part of the signal from PAN, but are outside of the CCl<sub>4</sub> absorption. The center window at 795 cm<sup>-1</sup> is able to capture the core PAN absorption, but has interference from both water and CCl<sub>4</sub>. The two rightmost microwindows (above 800 cm<sup>-1</sup>) are able to avoid interference from water, but have minor to moderate interference from CCl<sub>4</sub>. CCl<sub>4</sub> [is not retrieved \(Table 3\) but is simulated in the radiative transfer as an interferent, using climatological profiles scaled by yearly scale factors derived from ground based observations. The base climatological profiles vary with latitude and longitude in 30° and 60° bins, respectively, and were developed from MOZART model output \(Brasseur et al., 1998\).](#)

Early tests with the three windows above 790 cm<sup>-1</sup> showed that omitting the 795 cm<sup>-1</sup> window gave erroneously high PAN column average values across much of the western United States during a period when the Pole Creek Fire was emitting PAN (among other species, Juncosa Calahorrano et al., 2021b). Similar tests also showed no benefit to adding additional  
230 microwindows above 805 cm<sup>-1</sup>. The two microwindows below 785 cm<sup>-1</sup> were added later to provide the retrieval with some radiance information with PAN but not CCl<sub>4</sub> absorption. The specific frequency ranges for each microwindow are given in Table 2.

Development of the strategy table was straightforward, requiring only the addition of a PAN retrieval step to the standard AIRS strategy table in use by TROPESS to generate AIRS products. Table 3 enumerates the steps included in this table; the





**Figure 1.** An illustration of the factors driving the selection of windows for the AIRS PAN retrieval. Each panel shows the simulated difference in brightness temperature for a 10% increase in the mixing ratio of one ~~specie~~ species at all altitudes as the black line. The AIRS channels are marked at the top of each panel as gray dots. The chosen windows for the AIRS retrieval are the full height blue boxes. For reference, the CrIS windows used by Payne et al. (2022) are the short, orange boxes.

235 PAN step added is step number 6. The choice to place the PAN retrieval immediately following the “strong features” step (no. 4) follows Payne et al. (2022). Step number 5 was also added to enable saving of spectral residuals in a wider range of frequencies centered on the PAN feature. Such a diagnostic is helpful to understand what factors might be affecting a given retrieval ~~and proved valuable for filtering (Sect. 3.2).~~

The a priori constraints used in the AIRS PANs retrieval are mostly the same as the Payne et al. (2022) CrIS PANs retrieval, 240 with the exception of surface emissivity. As in Payne et al. (2022), the PAN profile used as the a priori constraint for each sounding is selected from a set of 6 climatological profiles for each month (Fig. 2) and the initial PAN profile used as the starting point for the nonlinear optimization is a flat 0.3 ppb in the troposphere. Likewise, the a priori covariance for the PAN VMRs is the same as in Payne et al. (2022). These constraints derive from those used in the retrieval of PAN from the Tropospheric Emissions Spectrometer (Payne et al., 2014). For surface emissivity, we used the Combined ASTER MODIS 245 Emissivity over Land (CAMEL) database (Borbas et al., 2018; Feltz et al., 2018) for our initial and a priori constraint on surface emissivity. Payne et al. (2022) used the University of Wisconsin Cooperative Institute for Meteorological and Satellite Studies High Spectral Resolution database (Borbas et al., 2007). Note that all TROPRESS products starting from v1.16 now use the CAMEL database; this included the CrIS PAN retrievals we use for comparison in Sect. 3.3.

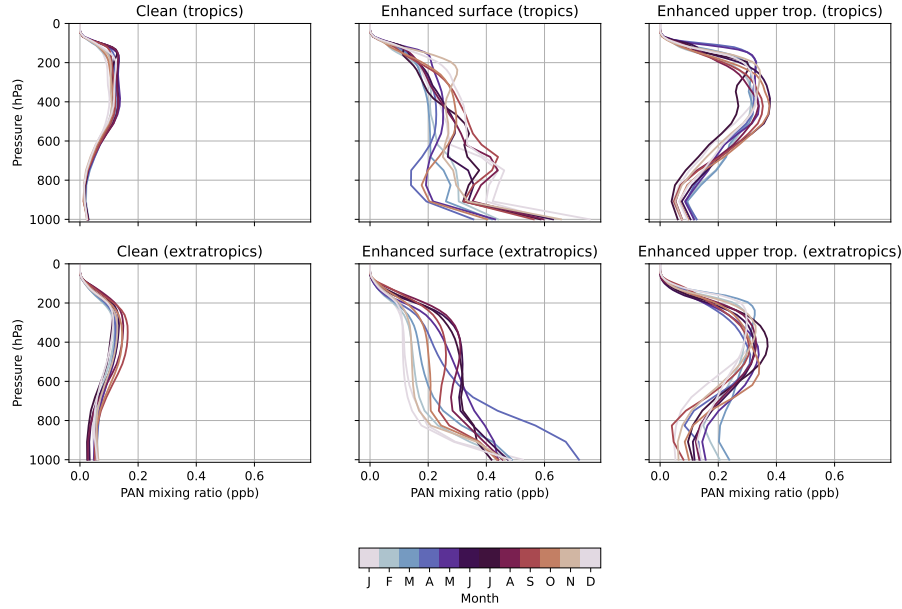
Step num.	Step name	Retrieved elements	Comment
1	Brightness temperature check	-	Initial check to determine whether to run step 2, 3, or neither
2	Cloud properties	Cloud extent, cloud pressure	Optional, depends on step 1
3	Surface temperature	Surface temperature	Optional, depends on step 1
4	Strong features	Atm. temp., surf. temp., H <sub>2</sub> O, HDO, N <sub>2</sub> O, CH <sub>4</sub> , cld. extent, cld. pres., surf. emissivity*	
5	Model residual check	-	This step does not update any values, it provides pre-PAN residuals useful for future development <u>and filtering</u>
6	PAN	PAN	
7	O <sub>3</sub> & H <sub>2</sub> O update	Surf. temp., H <sub>2</sub> O, O <sub>3</sub> , cld. extent, cld. pres., surf. emissivity*	
8	Surface refinement	Surf. temp., cld. extent, cld. pres., surf. emissivity*	This step gives a chance to refine surface temperature/emissivity*/cloud properties before retrieving NH <sub>3</sub>
9	NH <sub>3</sub>	NH <sub>3</sub>	
10	CO	CO, surf. temp., cld. extent, surf. emissivity*	

**Table 3.** The retrieval steps in the strategy table for this AIRS PAN retrieval ~~over land. As discussed in §3.2, this retrieval is not performed over oceans.~~ Retrieved elements annotated with a \* are only included over land.

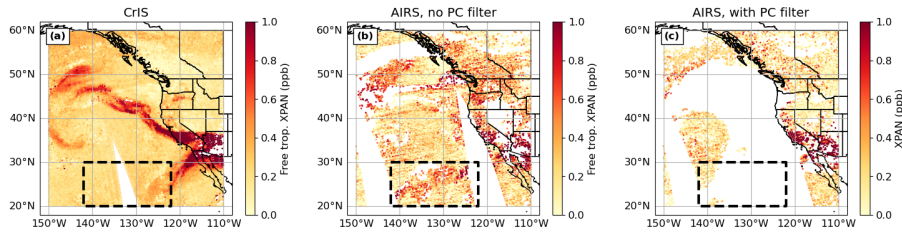
### 3.2 ~~Issues with~~ Addressing cloud interference over ocean

During development, we found that low, warm clouds over ocean would be misinterpreted by our AIRS retrieval as PAN. ~~We attempted to develop an effective method of filtering, but were not able to find a method that generalized successfully. Therefore, we encourage users of our initial version of the AIRS PAN product to limit their analyses to soundings over land only. Future work can investigate other methods of eliminating this bias from the ocean soundings~~ For the cases tested, we were able to filter out such soundings by decomposing the AIRS radiances into empirical orthogonal functions (EOFs) and filtering soundings for which the second principle component (PC) was below a threshold. This section ~~of the paper will demonstrate the problem in more detail along with our attempts to address it~~ describes that approach.

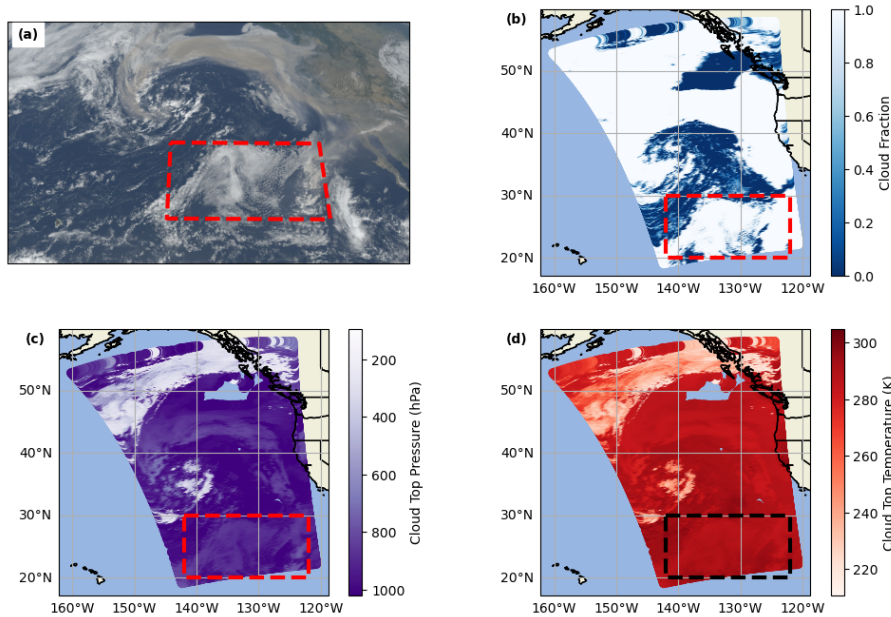
This issue of certain clouds appearing as PAN in the retrieval can be seen in Fig. 3, which shows free tropospheric column averages of PAN (which we will refer to as  $X_{\text{PAN}}$ ) from 11 Sept 2020. This was a period with major wildfires throughout the west coast of the United States. In the left panel,  $X_{\text{PAN}}$  retrieved from CrIS shows a reasonable plume structure, with clear advection of PAN from the fires on the west coast. We also see some of this in the AIRS retrievals—specifically, the enhanced



**Figure 2.** The different sets of PAN mole fraction profiles used as a priori constraints in the MUSES retrieval. Each panel represents a profile type, selected within MUSES based on the sounding location. Within each panel, the variation with month is shown by the differently colored profiles.



**Figure 3.** Column average PAN between 825 and 215 hPa as retrieved for 2020-09-11 from both CrIS (on the Suomi-NPP satellite, left panel) and AIRS (middle and right panel panels). Compared to the middle panel, the right panel uses PC-based filter instead of the previous retrieval step's water quality check to filter for cloud impacts. The black box in both panels shows the location of the spurious plume in the AIRS retrievals that is the focus of discussion in Sect. 3.2.



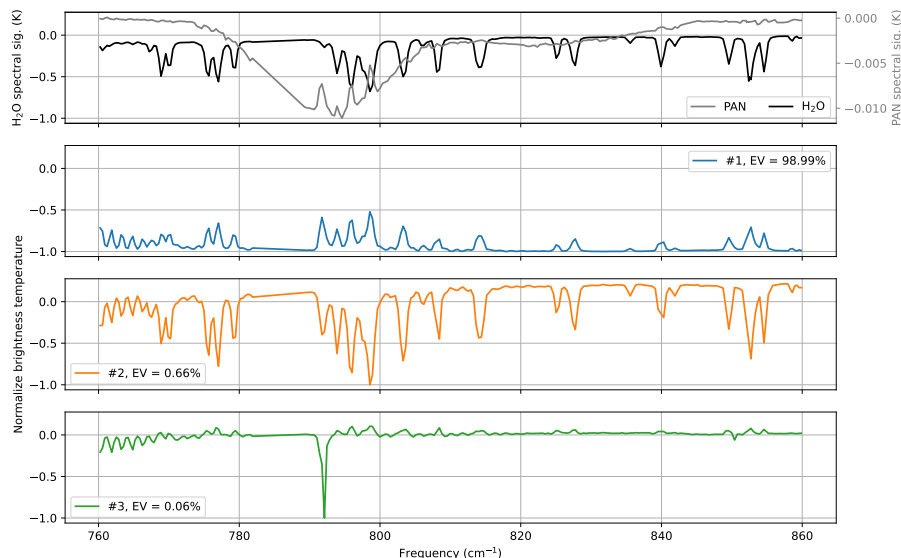
**Figure 4.** (a) RGB image from the GOES-17 (GOES-West) satellite as captured between 22:11 and 22:13 UTC on 11 Sept 2020. (b) Cloud fraction, (c) cloud top pressure, and (d) cloud top temperature from the MODIS-Aqua MYD06 product. In all panels, the red or black box encloses the same area as the black boxes in Fig. 3.

PAN in the southern half of California, most of Arizona, and the northwest corner of Mexico, as well as over the northern Pacific Ocean (Fig. 3b).

However, in the black box ( $142^{\circ}$  W to  $122^{\circ}$  W,  $20^{\circ}$  N to  $30^{\circ}$  N), CrIS shows mostly background column whereas the AIRS retrievals show an enhancement with an unusual structure (not a shape representative of transport from the fires).

265 (a) RGB image from the GOES-17 (GOES-West) satellite as captured between 22:11 and 22:13 UTC on 11 Sept 2020. (b) Cloud fraction, (c) cloud top pressure, and (d) cloud top temperature from the MODIS-Aqua MYD06 product. In all panels, the red or black box encloses the same area as the black boxes in Fig. 3.

When we check RGB imagery from the GOES-West Advanced Baseline Imager (<https://noaa-goes17.s3.amazonaws.com/index.html#ABI-L2-MCMIPC/2020/255/22/>, last accessed 8 Dec 2022), we clearly see that this plume “plume” seen by the  
 270 AIRS PAN retrieval matches the shape of the clouds in that area (Fig. 4a). Further, cloud properties from the MODIS-Aqua MYD06 product (MODIS Atmosphere Science Team, 2017) plotted in Fig. 4b–d show that this is a low, warm cloud. This clear spatial correlation between the cloud extent and the spurious PAN plume leads us to conclude that such low, warm clouds cause difficulties for our retrieval with the chosen spectral windows (Table 2). Similarly, in the plume around  $50^{\circ}$  N, AIRS sees enhanced  $X_{\text{PAN}}$  further west than CrIS (around  $150^{\circ}$  W) and more to the northwest of the state of Washington (near  $50^{\circ}$  N,  $125^{\circ}$  W). From the cloud properties shown in Fig. 4, these are also potential cases of erroneous impact from clouds.  
 275

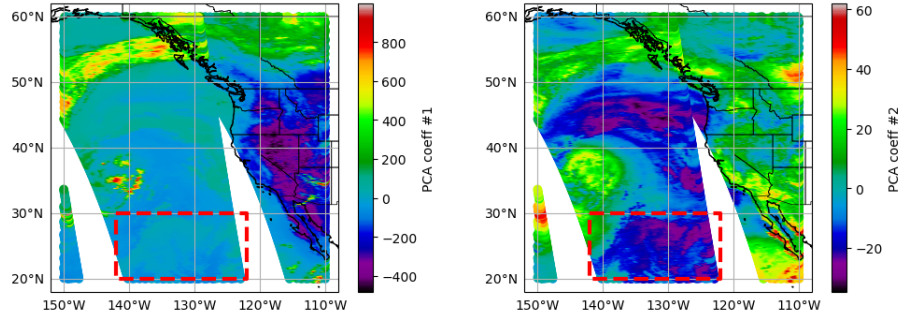


**Figure 5.** The results of the EOF decomposition on AIRS radiances over a domain covering 150° W to 110° W and 20° N to 60° N. The top panel ~~repeats~~ shows the spectral signatures of PAN and H<sub>2</sub>O from Fig. 1 for references. The remaining three panels show the first, second, and third EOFs, respectively, resulting from the decomposition. The legend in each panel reports the percent of variance explained by that EOF.

The AIRS data shown in Fig. 3 are those soundings which pass ~~several quality flags used for CrIS PAN~~ prototype quality flags chosen based on quality flags for other thermal retrievals, including sufficiently small radiance residual, surface temperature > 265 K, cloud top pressure (as retrieved in our algorithm) below the tropopause, and the quality of the H<sub>2</sub>O retrieval in step 4 of Table 3. (Note that these quality flags were for prototyping purposes only, and are not those used in the final product.)

280 Since these criteria were insufficient to remove the spurious plume, we investigated an approach inspired by Huang and Yung (2005). As they used an empirical orthogonal function (EOF) decomposition to study dominant patterns of variability in the AIRS data, we tested whether an EOF decomposition could identify the low, warm clouds causing the spurious PAN signal in our AIRS PAN retrieval. We do note that a cloud-clearing approach, like that used in CLIMCAPS (Smith and Barnett, 2020), could be one approach to address this issue. Such an approach combines radiances from multiple soundings to yield radiances  
 285 unimpacted by clouds. However, the MUSES algorithm is designed to operate on individual soundings. Therefore, we focused our efforts on the EOF decomposition as a way to screen out these cloud-affected soundings.

Figure 5 shows the first three EOFs resulting from a decomposition of the AIRS observed radiances (as stored by the MUSES algorithm in its output radiance files) within the domain covering 150° W to 110° W and 20° N to 60° N. Keeping in mind that the sign of an EOF is arbitrary, as it can be flipped by changing the sign of the principal component (PC) by which it is  
 290 multiplied, the first two EOFs contain many features which match up closely in shape to the H<sub>2</sub>O spectral features shown in the top panel. The third EOF appears to relate to CO<sub>2</sub>, as the dominant feature appears at approximately the same frequency as



**Figure 6.** The PC values for EOF 1 (left) and 2 (right) for each AIRS sounding in a domain covering 150° W to 110° W and 20° N to 60° N. The red box in both panels outlines the same area as the black and red boxes in Figs. 3 and 4.

the CO<sub>2</sub> feature shown in Fig. 1. These EOFs were computed from the window used in step 5 of the strategy table (Table 3), which spans 760 cm<sup>-1</sup> to 860 cm<sup>-1</sup>.

For each AIRS sounding, the observed radiances can be represented as the linear combination of the EOFs with the PCs as the coefficients. Figure 6 shows the values of the PCs for the first two EOFs needed to reconstruct the AIRS radiances for all the soundings in this domain. The second PC (Fig. 6, right panel) has a spatial pattern of negative value-values strikingly similar to the clouds seen in Fig. 4.

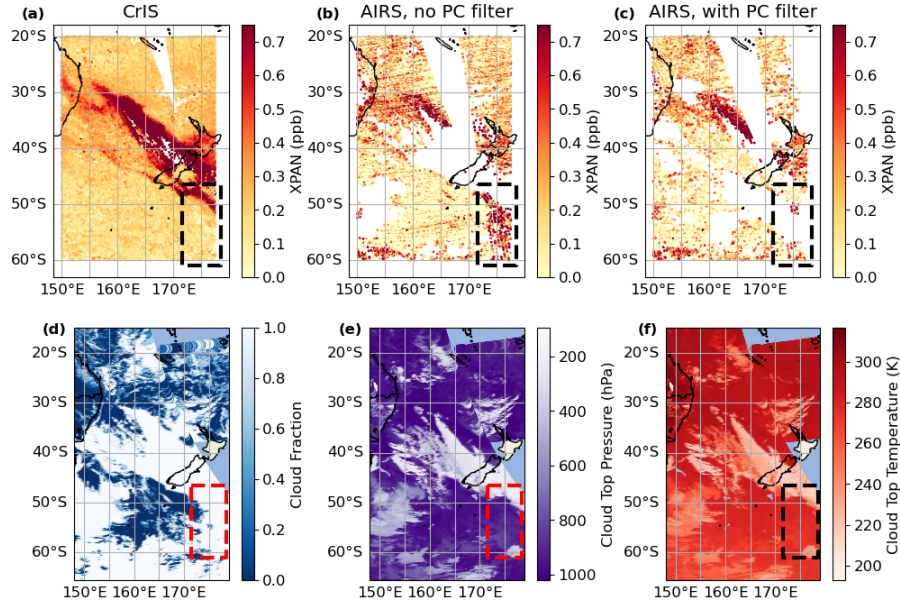
~~(a) AIRS  $X_{\text{PAN}}$  for 11 Sept 2020 over the northern Pacific Ocean and US West Coast, with a filter based on the value of PC 2 applied. (b)  $X_{\text{PAN}}$  retrieved from the CrIS instrument on 1 Jan 2020 over New Zealand. (c)  $X_{\text{PAN}}$  retrieved from AIRS over the same region as (b), with the same PC-based filter applied as in (a). (d) MODIS-Aqua cloud fraction, (e) cloud-top pressure, and (f) cloud-top temperature over the same region as (b). In panel (a), the black box denotes the same region as the boxes in Figs. 3, 4, and 6. In panels (b) to (f), the black or red box highlights a different area with low, warm clouds.~~

~~Our tests using this filter are shown in Fig. 7. In Fig. 7a3c, we show the AIRS  $X_{\text{PAN}}$  with a filter based on the values of PC 2 applied. Filtering out soundings with PC 2  $\leftarrow -10$  does remove  $\leq 0$  removes the spurious  $X_{\text{PAN}}$  signal. It also removes most of the soundings over land, but this is not a concern, as it is straightforward in the MUSES algorithm to apply different quality criteria for land and ocean.~~

As a next step, we ~~attempted to apply~~ applied this PC-based filtering to different region. We chose a PAN plume from the Australian Bush Fires in late 2019/early 2020. ~~However, for~~ For this Australian fire case, ~~the filtering approach failed to remove a different spurious signal. In Fig. 7b and c, we have the~~ we also see a collection of soundings with large  $X_{\text{PAN}}$  values retrieved from CrIS and AIRS, respectively. Again, we see a PAN signal in AIRS but not CrIS, identified values in the AIRS data but not the CrIS data, marked by the black box in these panels Fig. 7, panels a and b. The MODIS cloud properties (Fig. 7d-f) confirm that this is again a low, warm cloud.

~~Due to resource limitations, we were not able to investigate why~~ When we apply the PC-based filter ~~worked effectively for the northern Pacific case, but not the Australia/New Zealand case. The most likely explanation is that the EOFs derived from the northern Pacific radiances were not sufficiently general to apply to other parts of the world. If that is the case, then~~





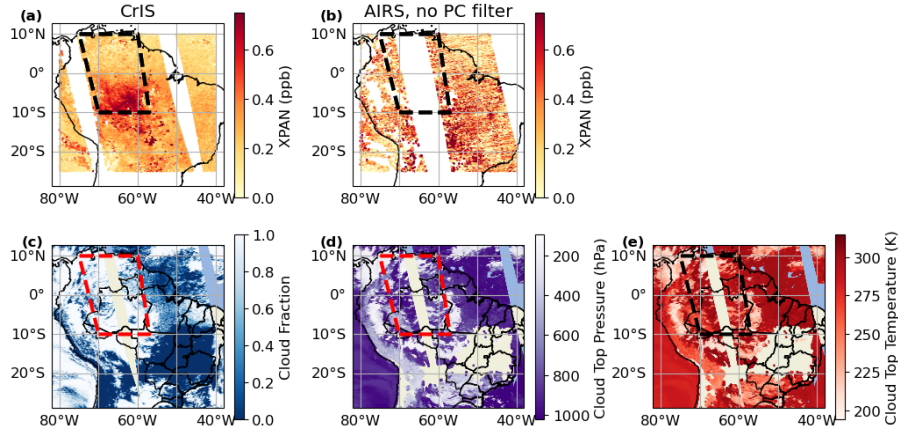
**Figure 7.** (a)  $X_{\text{PAN}}$  retrieved from the CrIS instrument on 1 Jan 2020 over New Zealand. (b)  $X_{\text{PAN}}$  retrieved from AIRS over the same region as (a), with parametric filtering, including a check of the quality of the water retrieval from a previous retrieval step applied. (c) As (b), but with the PC-based filter applied instead of the previous step's water quality check. (d) MODIS-Aqua cloud fraction, (e) cloud top pressure, and (f) cloud top temperature over the same region as (b). In all panels, the black or red box highlights an area with low, warm clouds.

incorporating a global set of radiances into the EOF-generation should result in a more robust filter. If not, then it will be necessary to generate a large set of test cases to identify a successful filtering method. The most efficient way to accomplish this is to implement this retrieval into the TROPES forward processing stream (Sect. 2.4). This will give us a much larger set of AIRS and CrIS, it correctly marks these soundings as bad quality and removes them (Fig. 7c).

320 Fortunately, it appears that soundings over land are not as susceptible to this issue with low, warm clouds. Figure 8 shows  $X_{\text{PAN}}$  values and AIRS radiances from which to derive this filter for later versions of this algorithm retrieved from both CrIS and AIRS again along with MODIS-Aqua cloud properties, this time over the Amazon. While the AIRS  $X_{\text{PAN}}$  (Fig. 8b) shows sporadic high values compared to CrIS (Fig. 8a), these erroneous high values appear to be random, rather than systematically located where the low, warm clouds are. In particular, the western swath shows mostly low  $X_{\text{PAN}}$  values despite the presence  
 325 of low, warm clouds. Therefore, we apply the PC-based filter only to ocean soundings.

Our hypothesis is that the reason the AIRS retrieval is affected by the low, warm clouds and CrIS is not is due either the difference in spectral windows used between the retrievals (Fig. 1), the difference in radiance noise between the instruments, or a combination of the two. Further, our hypothesis for why land soundings are much less impacted than ocean soundings is that it is more difficult to distinguish a low, warm cloud from an underlying ocean surface than a land surface.





**Figure 8.** (a)  $X_{\text{PAN}}$  retrieved from the CrIS instrument on 11 Sep 2020 over the Amazon. (b)  $X_{\text{PAN}}$  retrieved from AIRS over the same region as (a) without the PC-based filter applied. (c) MODIS-Aqua cloud fraction, (d) cloud top pressure, and (e) cloud top temperature over the same region as (a). In all panels, the black or red box highlights an area where MODIS-Aqua observed mostly low, warm clouds.

While the PC-based filter was successful in these cases, we note that it may need additional adjustment in the future. During testing, we found that filtering out soundings with  $\text{PC } 2 < -10$  was sufficient for the US West Coast Fires case (Fig. 3), but not the Australian Bush Fires case (Fig. 7), whereas requiring  $\text{PC } 2 < 0$  worked for both. Future work will examine whether the criterion of  $\text{PC } 2 < 0$  is sufficient globally, or if further refinement is necessary.

We also decided against directly using the MODIS MYD06 cloud product for our filter. This was purely for technical reasons; adding a dependency on the MODIS cloud products would complicate the TROPES data processing pipeline. Thus, we decided that the appropriate balance was to recommend users limit the use of this AIRS PAN product to land only, where this issue does not seem to occur, in order to avoid complicating the data processing note that it was necessary to use the 760  $\text{cm}^{-1}$  to 860  $\text{cm}^{-1}$  window, rather than the narrower windows used in the PAN retrieval step (Table 2). When we tested the latter, this PC-based filter was not effective in the Australian fires case. Therefore, we conclude that information available in the wider window provides the necessary data for the EOFs to correctly fit clouds.

### 3.3 Filtering and validation through comparison with CrIS

While focusing on AIRS PAN land retrievals avoids the the PC-based filter addresses the issue of interference from low, warm clouds (Sect. 3.2), it presents a new problem. is not a sufficient by itself as a quality filter. Ideally, quality filters would be derived by comparing the satellite product to in situ data and checking that the filters ensure good agreement between the satellite and in situ data. To this end, Payne et al. (2022) used aircraft profiles from the ATom campaign to validate the CrIS PAN product. This was ideal for CrIS, as the ATom flights provided profiles of PAN over the majority of the troposphere. However, we cannot use ATom to validate the AIRS PAN product as the the majority of the ATom profiles are over ocean (see Fig. 1 of Payne et al., 2022), and this is also true for HIPPO, a similar campaign that occurred before the start of the CrIS FSR

Region name	Training date	Testing date	Longitude bounds	Latitude bounds
Australia/NZ	1 Jan 2020	5 Jan 2020	150° W to 177.5° E	60°S to 20° S
US West Coast	13 Sept 2020	11 Sept 2020	150° W to 110° W	20° N to 60° N
Amazon	-	11 Sept 2020	80° W to 40° W	25° S to 10 ° N
Africa	-	11 Sept 2020	5° E to 45° E	30° S to 5° N

**Table 4.** Regions and dates used for the quality filter decision tree training and testing. A - in the “Training date” column indicates that no data from that region was used in training.

product (and so not be used by Payne et al., 2022). ~~Additionally, from~~ As we will lose any profile comparisons that occur over  
ocean clouds, this would likely limit the number of comparisons we can draw from HIPPO and ATom. To add to the challenge,  
from Fig. 3, we can see that outside of strong PAN plumes from, e.g., fires, the single sounding retrievals over land from AIRS  
have significant sounding-to-sounding noise, indicating that bulk statistics will be necessary for a meaningful comparison.

Thus, instead of relying on aircraft data directly, we decided to use the existing CrIS PAN product as a transfer standard  
by designing a quality filter that predicts whether the AIRS  $X_{\text{PAN}}$  value will be within a given threshold of the nearest CrIS  
 $X_{\text{PAN}}$  value. This provides the ~~necessary~~ large number of soundings needed for bulk statistics and implicitly makes the AIRS  
PAN product consistent with the CrIS PAN product, which can allow users to combine the two.

We chose to implement this quality filter ~~as a decision tree, using~~ using decision trees, with the Scikit Learn package  
(Pedregosa et al., 2011). Using ~~a simple decision tree~~ simple decision trees allowed us to investigate what variables were used  
to classify a sounding as good or bad quality during development. Using ~~a decision tree~~ decision trees rather than hand-tuned  
quality filter parameters allowed faster iteration and should, in principle, be more reproducible. Because we saw in Sect. 3.2 that  
ocean soundings required different filtering for clouds than land soundings, we also tested whether using a single decision tree  
for all soundings or separate decision trees for land and ocean soundings gave better results. We found that separate decision  
trees for land and ocean soundings retained more soundings with significant  $X_{\text{PAN}}$ , and that there was little difference in the  
correlation between AIRS and CrIS  $X_{\text{PAN}}$  using separate land/ocean trees or a single tree. Therefore, we chose to use separate  
decision trees. Appendix C shows a subset of results using a single decision tree, and describes the trade offs between using a  
single decision tree and separate decision trees.

~~The decision tree was~~ The decision trees were trained on AIRS and CrIS retrievals for one day from each of the 2019/2020  
Australian Bush Fires and 2020 US West Coast Fires. Ocean soundings that failed the PC-based filter (Sect. 3.2) were excluded  
from training. Two different days from these fires, plus retrievals over the Amazon and Africa were used for testing (Table 4).  
The data was divided into training and testing by days and regions rather than a random 70/30 or similar stochastic split to  
ensure that the training data included at least some soundings with significant  $X_{\text{PAN}}$ . Since plumes with significant  $X_{\text{PAN}}$  are  
outnumbered by background soundings, we were concerned that a fully random split would miss the plume soundings.

As inputs, the decision ~~tree~~ trees received 16 values commonly used by existing MUSES retrievals as quality metrics, listed  
in Table 5. It was trained to predict a binary flag indicating whether the AIRS  $X_{\text{PAN}}$  was within 0.2 ppb or 50% of the CrIS

375  $X_{\text{PAN}}$  from the CrIS sounding closest to it (by great circle distance). The CrIS soundings are restricted to those that pass basic quality flagging for modeled vs. observed radiance and a check for certain surface features that can cause erroneous retrievals. The CrIS  $X_{\text{PAN}}$  value compared against includes an averaging kernel adjustment to accommodate different vertical sensitivity between CrIS and AIRS. (see Fig. 15 for a summary of typical CrIS and AIRS  $X_{\text{PAN}}$  column averaging kernels.) Specifically, following Eq. (25) of Rodgers and Connor (2003),

$$380 \quad \hat{c}_{\text{CrIS,comp}} = c_{a,\text{AIRS}} + \mathbf{a}^T (\hat{\mathbf{x}}_{\text{CrIS}} - \mathbf{x}_{a,\text{AIRS}}) \quad (2)$$

~~where~~

where

- $c_{a,\text{AIRS}}$  is the a priori  $X_{\text{PAN}}$  from AIRS,
- $\mathbf{a}$  is the AIRS pressure-weighted column averaging kernel (i.e., one that includes the integration operator),
- 385 –  $\hat{\mathbf{x}}_{\text{CrIS}}$  is the CrIS posterior PAN profile,
- $\mathbf{x}_{a,\text{AIRS}}$  is the AIRS prior PAN profile.

Note that the CrIS  $X_{\text{PAN}}$  is not an input to the decision trees; it is used only in training. This permits the decision trees to be applied to AIRS soundings without a coincidence CrIS sounding.

Typically, it is important to “prune” decision trees (Esposito et al., 1997) by limiting the number of decision nodes it can include in order to prevent overfitting to the training data. We ~~testing-tested~~ pruning by limiting both the maximum depth (i.e., the number of nodes along any one path) and maximum number of leaf nodes (i.e., the number of end points for the model). However, we found that either method of pruning the decision trees caused the filter to screen out soundings with enhanced  $X_{\text{PAN}}$ . Our hypothesis is that, because these soundings are still in the minority of all soundings in the training data, limiting the decision tree’s size ~~made~~ gave it too little flexibility to account for these ~~someone~~ somewhat uncommon cases. That is,

395 because soundings with enhanced  $X_{\text{PAN}}$  are in the minority, a model limited in size lacked the flexibility to develop useful rules for these soundings, and instead was able to achieve better accuracy by simply classifying all such soundings as bad quality. Therefore, we proceed without limiting the model size.

~~Finally~~Additionally, we include ~~a single additional check on top of the decision tree: an explicit check~~ that the retrieved surface emissivity at  $1025 \text{ cm}^{-1}$  is  $> 0.94$ . This filter is similar to one used in Payne et al. (2022) to remove soundings

400 impacted by a silicate feature that produces a surface emissivity with a similar spectral shape to PAN. The same silicate feature also shows up as a low emissivity near  $1025 \text{ cm}^{-1}$  (see Appendix B). Although the decision ~~tree is~~ trees are trained on this value as an input, it still retains some soundings clearly affected by the silicate feature. Figure 9 shows CrIS  $X_{\text{PAN}}$  in panel (a), AIRS  $X_{\text{PAN}}$  in panels (c) and (d), and the emissivity value in panel (b). The red or black box identifies a region with low  $1025 \text{ cm}^{-1}$  emissivity values that has very high  $X_{\text{PAN}}$  values in the AIRS retrieval in panel (c). When we add an explicit filter

405 on the  $1025 \text{ cm}^{-1}$  emissivity, those few remaining soundings are removed.

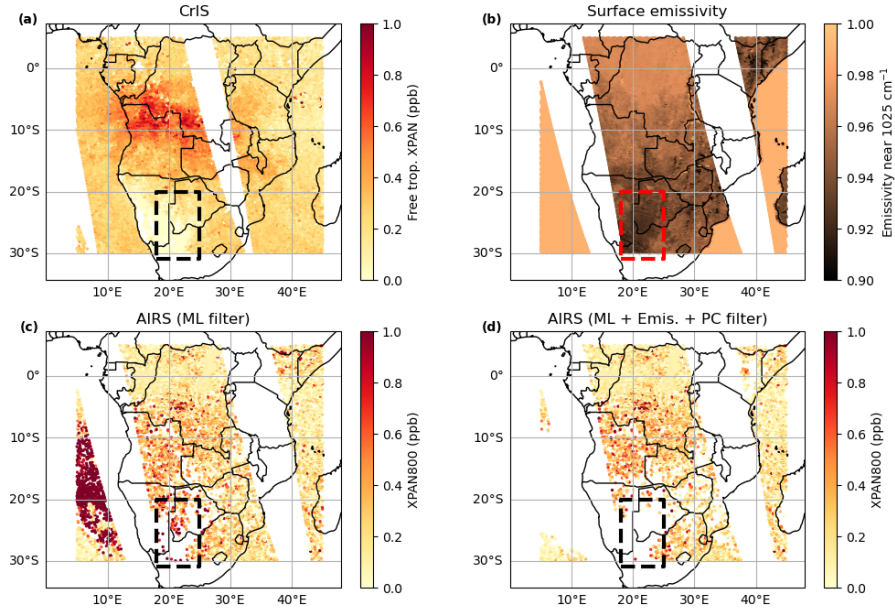
Short name	Description
Rad. resid. mean	Post-PAN retrieval mean of noise-normalized radiance residuals
Rad. resid. std. dev.	Post-PAN retrieval standard deviation of noise-normalized radiance residuals
Res. Norm. Init.	Quadrature sum of pre-PAN retrieval residual mean and standard deviation
Res. Norm. Final	Quadrature sum of post-PAN retrieval residual mean and standard deviation
Rad. Max. SNR	Maximum ratio of radiance to noise
$K \cdot dL$	Jacobian dotted with radiance residuals
$L \cdot dL$	Radiances dotted with radiance residuals
Cld. pres.	Cloud pressure
Cld. OD mean	Mean cloud optical depth between 975 and 1200 $\text{cm}^{-1}$
Cld. OD var.	Standard deviation of cloud optical depth between 975 and 1200 $\text{cm}^{-1}$
Mean surf. emis.	Mean difference between retrieved and a priori surface emissivity
Desert emis.	Value of retrieved surface emissivity nearest 1025 $\text{cm}^{-1}$
H <sub>2</sub> O self corr.	Consistency between H <sub>2</sub> O retrieved in two different steps
Atm. T quality	Quality flag for retrieved atmospheric temperature
O <sub>3</sub> quality	Quality flag for retrieved O <sub>3</sub> profile
H <sub>2</sub> O quality	Quality flag for retrieved H <sub>2</sub> O profile from step 4 (Table 3)

**Table 5.** Input variables for the quality filter decision ~~tree~~trees. Note that “O<sub>3</sub> quality” is not useful as O<sub>3</sub> is retrieved after PAN (see Table 3) but is included because it is a standard quality variable in the MUSES algorithm.

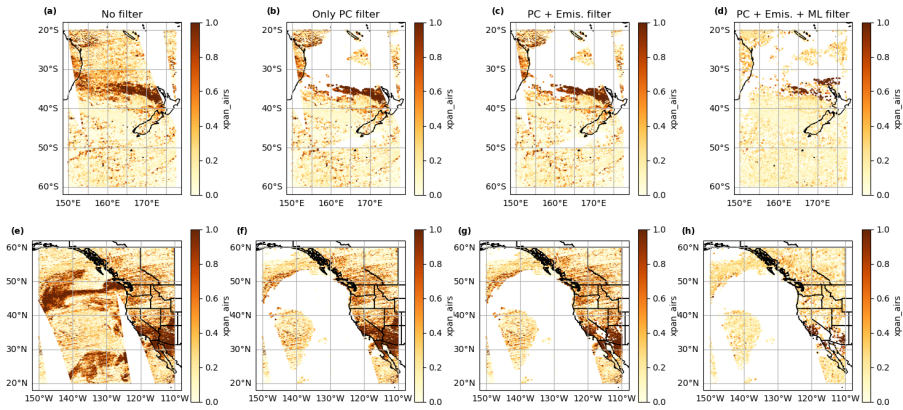
~~For the~~ The final quality filter will be a combination of the PC-based filter from Sect. 3.2, the emissivity-based filter, and the decision tree-based filter. Figure 10 shows how each of these filters affects the soundings passed as good quality for two days with clear PAN plumes. As discussed in Sect. 3.2, the PC-based filter is applied only to ocean soundings, where clouds cause a high bias in  $X_{\text{PAN}}$ . For these two scenes, the emissivity filter has a modest impact, removing some soundings in southern California, northeastern Arizona, and southeastern Utah (Fig. 10g). In both scenes, the decision tree-based filter does remove a number of the soundings with large  $X_{\text{PAN}}$  values (Fig. 10d,h). Therefore, in the public files, we will provide the information for users to adjust the quality flagging to suit their application; specifically the PC value used for flagging, the emissivity value used for flagging, and the binary flag produced by the decision trees.

For the rest of this section, we will focus on the performance of the combined ~~decision tree + emissivity~~ filter. Appendix A contains a brief exploration of the relationship between the input variables and predicted quality flag.

First, we examine the spatial distribution of PAN plumes in our filtered AIRS product versus CrIS. Figure 11 shows our filtered AIRS PAN data alongside the ~~standard TROESS CrIS PAN product~~ PAN retrieved from CrIS. The data shown here are from the four testing data region/day pairs in Table 4; thus, these are data that the decision ~~tree was~~ trees were not trained on. ~~We have also removed ocean soundings from the AIRS PAN plots due to the results shown in Sect. 3.2.~~ The first two rows show the Australian 2019/2020 Bush Fires and the 2020 US West Coast Fires, respectively. In both cases, we can see that the AIRS

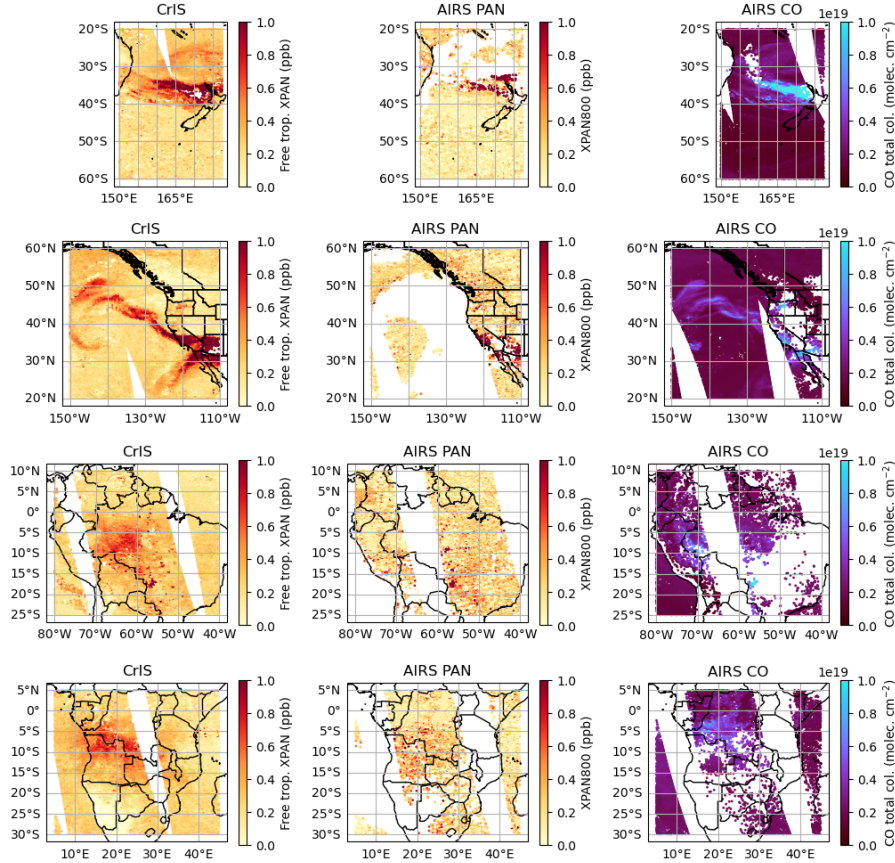


**Figure 9.** (a)  $X_{\text{PAN}}$  retrieved from CrIS on 11 Sept 2020 over Africa. (b) Surface emissivity at  $1025 \text{ cm}^{-1}$ . (c)  $X_{\text{PAN}}$  retrieved from AIRS with only the decision tree-machine-learning (ML)-tree-based filter applied. (d) Like (c), but with the emissivity-filter-emissivity- and PC-based filters added to the decision tree-tree-based filter. The red or black box in each panel indicates an area with the silicate feature known to bias our PAN retrievals.



**Figure 10.** (a) AIRS  $X_{\text{PAN}}$  data from the Australian Bush Fires on 5 Jan 2020 with no filtering applied. (b) As (a), with the PC-based filter applied. (c) As (a), with the PC- and emissivity- based filters applied. (d) As (a), with the PC-based, emissivity-based, and decision tree-based filters applied. (e)–(h) show the same filtering progression, but for 11 Sep 2020 over the US West Coast Fires.





**Figure 11.** Maps of  $X_{\text{PAN}}$  retrieved from CrIS (first column) and AIRS (second column) along with CO total column, also retrieved from AIRS (third column). Each row contains one of the testing region/day pairs from Table 4. The first and third columns are filtered by the standard TROPESS quality flag; the middle column uses the combined decision tree + PC + emissivity flag-filter described in Sect. 3.3.

PAN product matches the location of enhanced PAN plumes seen in the CrIS data very well. In the Australian Bush Fire case, AIRS correctly finds that the southern island of New Zealand did not see high  $X_{\text{PAN}}$  values at this time. In the US West Coast Fires case, the large  $X_{\text{PAN}}$  values in Arizona, central/southern California, and northwestern Mexico all align with those seen by CrIS, and pass the decision tree + emissivity filter are all in the same region where CrIS sees high  $X_{\text{PAN}}$  values. Likewise, in the Australian fires case, AIRS captures the PAN plume approaching New Zealand's northern island, though compared to CrIS, more of the plume is removed by our filtering criteria.

The last two rows of Fig. 11 show a day over the Amazon and central/southern Africa, respectively. These are regions not included in the training data for the decision tree-trees (Table 4), so these are a good test of whether the filter can generalize to new regions. Neither region has significant PAN plumes in the CrIS data. However, there are small enhancements to  $\sim 0.5$  ppb in both cases. In the Amazon, there are also a few soundings with  $\sim 1$  ppb  $X_{\text{PAN}}$  near  $55^\circ$  W,  $17.5^\circ$  S. AIRS does see this

1 ppb hotspot, though it also retrieves several soundings with  $> 1$  ppb further north, where CrIS does not. The Amazon hotspot in western Brazil cannot be seen in AIRS due to the swath gap. ~~However, the~~ The PAN hotspot seen by CrIS in the African test over Angola, Zambia, and the Democratic Republic of the ~~Conga is present~~ Congo is not as apparent in the AIRS PAN ~~as well, albeit with much more sounding-to-sounding variation; however, AIRS does appear to capture some enhancement in that area, particularly compared to further north, near the equator.~~

Helpfully, in most of these cases, when there is a strong PAN enhancement in CrIS, AIRS also sees an enhancement in CO. For example, in the Amazon test case, only the soundings with enhanced PAN at  $55^\circ$  W,  $17.5^\circ$  S also have a strong CO enhancement; while the false enhancements further north in the AIRS PAN do not. This implies that users looking for PAN plumes in the AIRS data can check for enhanced CO to distinguish whether a small PAN plume is likely real. This is not a  
 440 entirely self-sufficient condition, as it is possible to have a PAN plume without enhanced CO, but the presence of enhanced CO can give more confidence in an observed PAN plume. (See Sect. 4 for a summary of recommendations for use.)

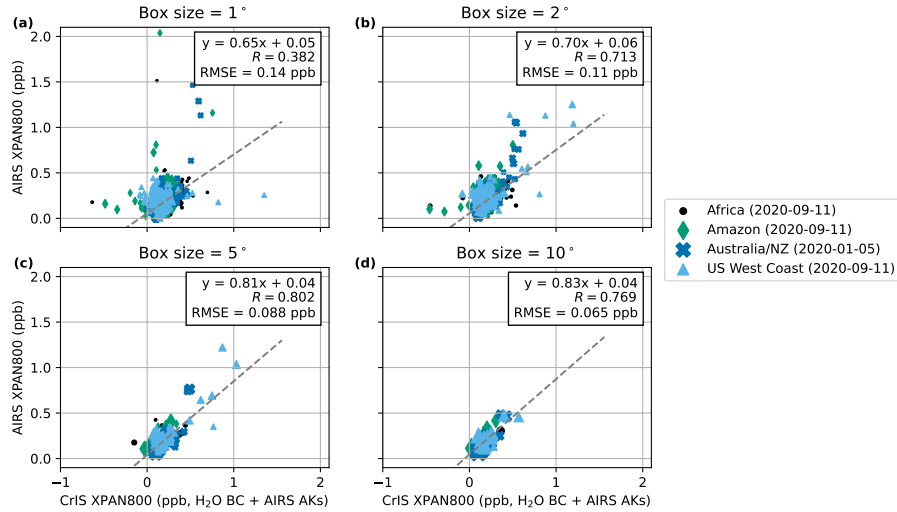
We also tested the correlation between AIRS and CrIS  $X_{\text{PAN}}$  with different amounts of spatial averaging. Figure 12 shows the results for four different spatial averaging box sizes. While the data from our test cases does have some fire-influenced observations, many of the observations vary primarily from large-scale seasonal or latitudinal variations. At  $1^\circ \times 1^\circ$ , the correlation is ~~very weak. It improves slightly somewhat weak. The correlation is more significant~~ at  $2^\circ \times 2^\circ$  ~~and~~,  $5^\circ \times 5^\circ$ , ~~but only approaches significant correlation to CrIS at and~~  $10^\circ \times 10^\circ$ . However, averaging to  $5^\circ \times 5^\circ$  or  $10^\circ \times 10^\circ$  is needed for the root mean squared error (RMSE) between AIRS and CrIS  $X_{\text{PAN}}$  values to drop below 0.1 ppb and for the visual correlation (especially for high values) to be apparent. This is a ~~significant fair~~ amount of averaging, but is not surprising, given the sounding-to-sounding variation seen in Fig. 11. Given the amount of observations, this will still provide useful PAN coverage.  
 450 We discuss recommendations for use based on this result in Sect. 4.

In Fig. 12d, we see that the AIRS  $X_{\text{PAN}}$  value is biased low compared to CrIS  $X_{\text{PAN}}$ . ~~This low bias is reduced, but not eliminated, if we do not apply the bias correction to the CrIS data. (The bias correction is  $0.05 + c_{\text{H}_2\text{O}} - 3.5 \times 10^{-25}$ , so is positive for any positive column of .)~~ It is not clear if this bias in the AIRS data is best parameterized as a function of  $\text{H}_2\text{O}$ , as was the case for CrIS, or if another parameter is a better predictor. Payne et al. (2022) were able to derive the CrIS bias correction through comparison between CrIS and in situ background  $X_{\text{PAN}}$  values. In this work, the need to average a significant number of AIRS soundings to reduce the random sounding-to-sounding noise makes it difficult to identify any relationship between AIRS  $X_{\text{PAN}}$  values and  $\text{H}_2\text{O}$  column amounts.

### 3.4 Uncertainty estimates and vertical sensitivity

The CrIS radiance noise is lower than the AIRS radiance noise, which is a significant advantage when retrieving species, such  
 460 as PANs, with only weak absorption features. Figure 13 shows per-channel median and 25th to 75th percentile noise equivalent spectral radiance (NESR) values ~~divided by the corresponding observed radiance value~~. Although we use different frequencies in the AIRS and CrIS retrievals, the AIRS NESR values are systematically greater than the CrIS values. Taking all of our test cases for comparing AIRS and CrIS (Table 4), we find that the median ratio of AIRS to CrIS NESR across all channels is  $\sim 5.9$ . Assuming that single sounding uncertainty scales linearly with radiance noise, that suggests that the AIRS single sounding



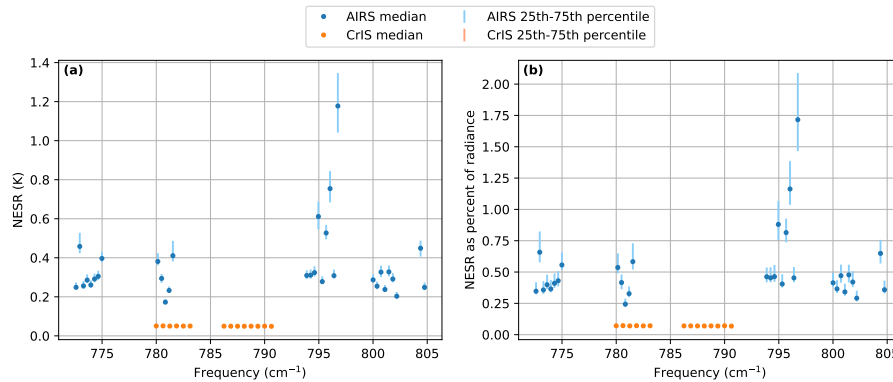


**Figure 12.** Correlation between AIRS  $X_{\text{PAN}}$  and CrIS  $X_{\text{PAN}}$  where the latter includes both the AIRS averaging kernel correction from Eq. (2) and the  $\text{H}_2\text{O}$  bias correction from Payne et al. (2022). The different test date/region pairs from Table 4 are represented by the different color series. Each marker represents the daily average of the AIRS and matched CrIS soundings in a box with the size of the marker increasing with the number of soundings in that box. Each box must have a minimum of 10 soundings to be included. The box size is the only difference between panels: (a)  $1^\circ \times 1^\circ$ , (b)  $2^\circ \times 2^\circ$ , (c)  $5^\circ \times 5^\circ$ , and (d)  $10^\circ \times 10^\circ$

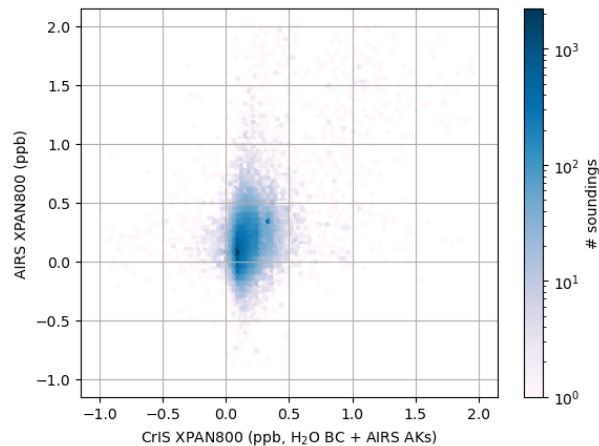
uncertainty in  $X_{\text{PAN}}$  should be approximately 0.5 ppb, that is, approximately six times the 0.08 ppb value Payne et al. (2022) calculated for CrIS. This aligns with the correlation between AIRS and CrIS  $X_{\text{PAN}}$  shown in Fig. 12, which shows that AIRS values below 0.5 ppb are dominated by random uncertainty without significant averaging. We also checked the correlation between individual AIRS and CrIS  $X_{\text{PAN}}$  values in Fig. 14, and similarly see that the values have a spread of  $\sim 0.5$  ppb. While we expect the error of individual soundings to vary depending on the specific atmospheric and surface conditions for each sounding, we believe 0.5 ppb to be a reasonable estimate of the typical uncertainty in the AIRS  $X_{\text{PAN}}$  data.

Figure 15 compares the pressure-weighted column averaging kernels and the sum across the rows of the averaging kernel (Cady-Pereira et al., 2024) for AIRS and CrIS for good quality land soundings within the US West Coast Fires domain on 2020-09-11. The averaging kernels shown are the medians of averaging kernels for soundings binned by surface temperature. For both instruments, maximum sensitivity shifts to lower pressure with decreasing surface temperature. However, compared to CrIS, AIRS maximum sensitivity decreases more quickly as surface temperature decreases. We suspect this is due to the greater noise present in the AIRS radiances, with AIRS sensitivity decreasing more with reduced thermal contrast due to the greater noise. However, we have not confirmed this hypothesis. Note that, for both instruments, the averaging kernels shown in the left panels incorporate the pressure weighting function, which is why the values are well below 1.

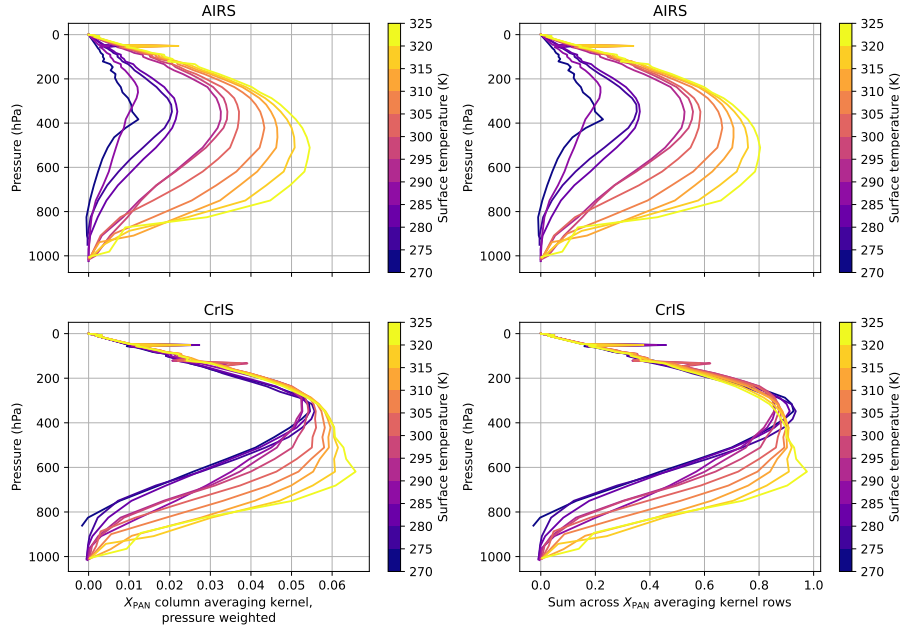
Figure 16 shows the overall degrees of freedom (DOF) of signal for both the AIRS and CrIS products in the 2020-09-11 US West Coast Fire scene. From Fig. 16 panels a and b, we can see that the DOFs for the CrIS PAN product are grouped around 1, indicating that there is essentially always enough information to retrieval a single piece of vertical information in the form of a



**Figure 13.** (a) Noise equivalent spectral radiance (NESR) from the channels in the spectral windows used in the CrIS and AIRS PAN retrievals. The circles give the median NESR value per channel and the error bars show the 25th to 75th percentile range. The medians and percentiles are computed over all soundings from the training and test data listed in Table 4. (b) As (a), but with the NESR values given as a percentage of the corresponding observed radiance.



**Figure 14.** A heatmap showing the distribution of AIRS  $X_{\text{PAN}}$  compared to the corresponding CrIS  $X_{\text{PAN}}$  with averaging kernel adjustment and  $\text{H}_2\text{O}$  bias correction. Unlike Fig. 12, there is no averaging, this is a comparison of individual soundings.

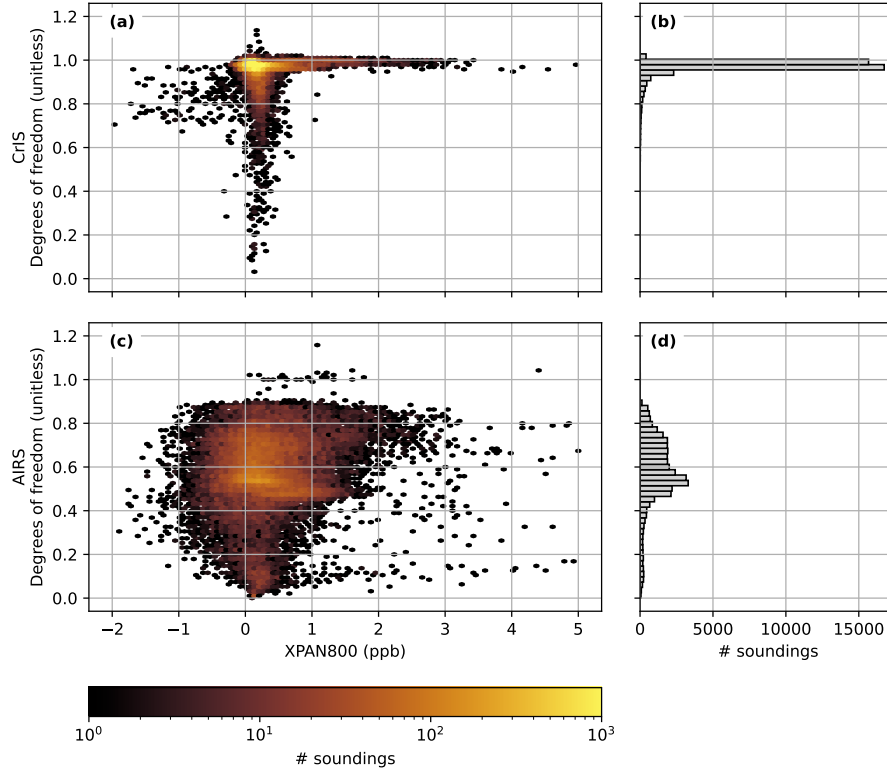


**Figure 15.** The left two panels show column averaging kernels for the free tropospheric column average quantities from AIRS (top) and CrIS (bottom). The values are the dot product of the free tropospheric pressure weighting function with the averaging kernel matrix; thus, the averaging kernels shown are weighted by each level’s contribution to the column average. The right panel shows the sum across rows of the averaging kernel (Cady-Pereira et al., 2024). This quantity estimates the sensitivity of the column to a given level, without the pressure weighting function. The kernels shown in all panels are the medians in 5 K surface temperature bins from the good-quality land soundings of the US West Coast Fires domain on 2020-09-11. For CrIS, good quality is defined using the standard MUSES quality flag. For AIRS, it uses both the machine-learning and PC-based, emissivity flags, and decision-tree based filters as described at the end of Sect. 3.3.

In contrast, Fig. 16 panels c and d show that the AIRS DOFs are lower (centered around  $\sim 0.5$ ) with a wider distribution. Greater AIRS  $X_{\text{PAN}}$  values do tend to be associated with greater DOFs. This implies that the AIRS product will retain influence from the prior, particularly in background conditions, but can detect sufficiently large PAN enhancements.

## 485 4 Recommendations for use

The primary benefit to a retrieval of PAN from AIRS is the longer record available from AIRS compared to CrIS. We envision two primary use cases for this product. The first use case is tracking long term changes in background PAN levels. Given the sounding-to-sounding variation in the AIRS  $X_{\text{PAN}}$  values, this will require significant averaging to discern trends in  $X_{\text{PAN}}$  from AIRS. However, Fig. 12 does show that the root mean squared error between AIRS and CrIS is  $< 0.1$  ppb when averaged to a  $5^\circ \times 5^\circ$  or  $10^\circ \times 10^\circ$  box, which is comparable to the CrIS PAN errors. This does not imply that the overall error is  $< 0.1$  ppb (as the AIRS and CrIS retrievals could have similar systematic errors), only that the AIRS and CrIS records will



**Figure 16.** (a) A 2D histogram of degrees of freedom of signal vs. retrieved  $X_{\text{PAN}}$  from CrIS. (b) A histogram of the CrIS degrees of freedom. (c) As (a), but for AIRS. (d) as (b), but for AIRS. All panels are from the 2020-09-11 scene over the US West Coast Fires shown in Fig. 3. No soundings were removed by filtering.

be consistent to within 0.1 ppb with similar averaging. Table 6 gives ranges of the number of points in each box size from Fig. 12. Based on this information, our first recommendation is that users interested in trends in background PAN from the AIRS product choose a spatiotemporal averaging window that has a median of at least 250-140 soundings passing our quality screening per window which will result in a typical difference versus CrIS of about 0.1 ppb. This is chosen as the median number of points (to two significant figures) in a  $5^\circ \times 5^\circ$  box (Table 6), as Fig. 12 shows this box size is sufficient to reduce the RMSE between AIRS and CrIS to  $< 0.1$  ppb. In principle, it should not matter whether the 250-140 soundings are accumulated by averaging in time or space, as we do not expect assume the AIRS-CrIS  $X_{\text{PAN}}$  differences to be spatially or temporally correlated. are similarly uncorrelated in time as in space. We expect this assumption to hold true as long as episodic events that significantly perturb PAN concentrations (such as wildfires) are not included in the time period averaged. We will test this assumption in the future as more data becomes available.

The second use case is investigating PAN from extreme events, such as wildfires, before the start of the CrIS PAN product. We showed in Fig. 11 that the AIRS PAN product does reliably see significant  $X_{\text{PAN}}$  values of 0.5 to 1 ppb. However, users

Box width	1st pct.	25th pct.	Median	75th pct.	99th pct.
1°	10.0	<del>11.0</del> <u>12.0</u>	<del>14.0</del> <u>15.0</u>	<del>18.0</del> <u>22.0</u>	<del>42.3</del> <u>46.0</u>
2°	10.0	<del>21.0</del> <u>23.0</u>	<del>34.0</del> <u>38.0</u>	<del>46.0</del> <u>58.0</u>	<del>132.</del> <u>154.</u>
5°	<del>11.4</del> <u>12.0</u>	<del>43.0</del> <u>62.0</u>	<del>97.0</del> <u>137.</u>	<del>204.</del> <u>238.</u>	<del>620.</del> <u>747.</u>
10°	<del>11.5</del> <u>25.0</u>	<del>102.</del> <u>150.</u>	<del>260.</del> <u>406.</u>	<del>539.</del> <u>642.</u>	<del>1690</del> <u>2140</u>

**Table 6.** Distribution of the number of points in the different sized boxes used for the AIRS-CrIS comparisons.

should be aware that there are many cases where a high AIRS  $X_{\text{PAN}}$  value within a small spatial area is false. Figure 14 shows  
505 that there is a large fraction of AIRS soundings with  $X_{\text{PAN}} > 0.5$  ppb that match with CrIS soundings with  $X_{\text{PAN}} < 0.5$  ppb. Therefore, users looking for PAN caused by extreme events should

1. ensure that high  $X_{\text{PAN}}$  values are spatially connected (as a contiguous plume is more likely to be a real signal than a spurious single-sounding error), and
2. check for other species expected to be generated by the event of interest, such as CO for wildfires.

510 ~~A heatmap showing the distribution of AIRS  $X_{\text{PAN}}$  compared to the corresponding CrIS  $X_{\text{PAN}}$  with averaging kernel adjustment and bias correction. Unlike Fig. 12, there is no averaging, this is a comparison of individual soundings.~~

These two criteria should help users filter out false positive high  $X_{\text{PAN}}$  values. ~~We also~~ When using other species of interest, users need not restrict themselves to TROPES products—any good-quality dataset will be useful in this regard.  
515 Users interested in extreme events with large  $X_{\text{PAN}}$  values should note that the decision tree-based filter can remove soundings with clear PAN enhancements. Custom filtering using only the PC- and emissivity- based filters can be used in such cases to recover the soundings with enhanced PAN; however, users must be aware that the difference with respect to CrIS will likely be larger in such a case. Further, while we believe that the PC-based filter is able to remove most cloud-affected soundings, there may be cases where it is not fully effective. Thus, we encourage users to engage with the algorithm team if there is concern about whether a signal of interest in the AIRS PAN is correct. As stated in Sect. 3.4, users should use a 0.5 ppb uncertainty per  
520 sounding when using individual soundings in their analysis.

## 5 Conclusions

We have demonstrated the ability to retrieve free tropospheric column amounts of PAN from AIRS spectra ~~over land~~. This is more challenging than the existing CrIS retrieval due to the higher radiance noise in AIRS than CrIS and the presence of a gap in the AIRS spectra on the low-frequency side of the PAN spectral feature. The AIRS PAN retrieval is also sensitive to low,  
525 warm clouds over oceans, which cause spurious PAN signals in the AIRS PAN retrieval. ~~As a result, the~~ These spurious signals have been successfully removed with a PC-based filter in testing, but further adjustment may be needed to make this filter fully effective at removing these signals.

The AIRS product does have larger errors than the CrIS product and requires care in its application. This is mitigated by the use of a decision ~~tree~~tree-based quality filter trained to identify AIRS soundings with  $X_{\text{PAN}}$  values significantly different than the nearest CrIS sounding and by averaging sufficient numbers of AIRS soundings. ~~We recommend averaging 250~~ For studies of background PAN concentrations, we recommend averaging at least 140 AIRS soundings which will result in a  $\sim 0.1$  ppb error relative to the existing CrIS PAN product. This product opens up the potential for a global record of free tropospheric PAN amounts from 2002 to the present, potentially allowing the evaluation of trends in background PAN ~~over land~~ for over two decades.

This product is planned for inclusion in the TROPESS forward stream. ~~This will,~~ provided AIRS continues to operate. This would allow us to evaluate its performance over a larger range of times than was possible during development. Future work ~~can~~could take advantage of that data set to ~~explore methods of effectively filtering out low, warm~~ further test the effectiveness of the PC-based filter on the effects of clouds over ocean ~~or even~~. This can also enable us to explore alternative methods of retrieving PAN from AIRS taking advantage of, e.g., more advanced machine learning methods trained to directly retrieve  $X_{\text{PAN}}$ , that may reduce the sounding-to-sounding noise. An interesting experiment would be to test whether a well-designed machine learning approach could be trained to directly predict the  $X_{\text{PAN}}$  value CrIS would retrieve given only the AIRS radiances.

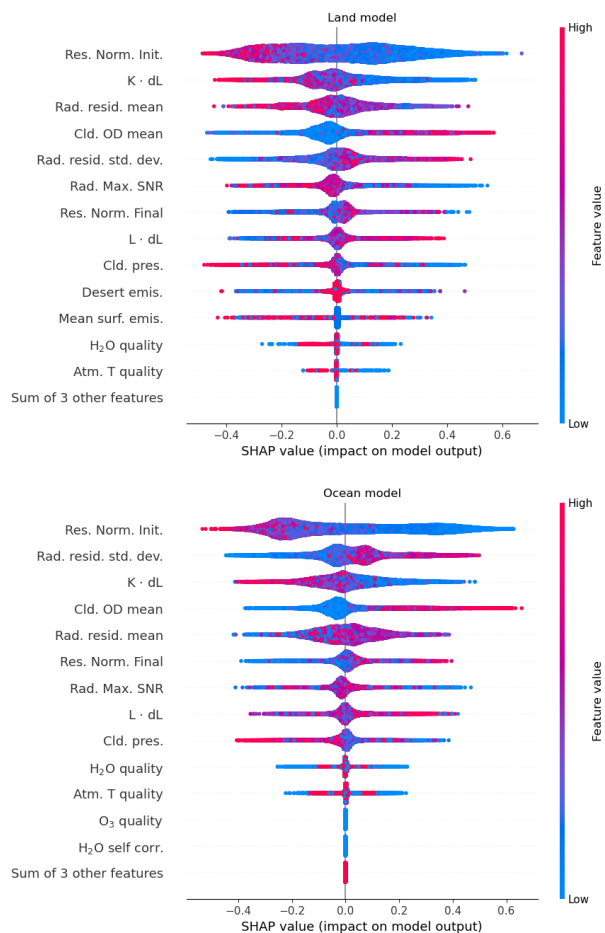
*Code and data availability.* A Jupyter notebook to reproduce the figures in this paper is available at <https://doi.org/10.5281/zenodo.15305278> (NASA-TROPESS, 2025). The data used by that notebook are available at <https://doi.org/10.22002/stfqh-edj29> (Laughner et al., 2025). AIRS level 1B radiances (AIRS Project, 2020) were obtained from [https://airs11.gesdisc.eosdis.nasa.gov/data/Aqua\\_AIRS\\_Level1/AIRIBRAD.005/](https://airs11.gesdisc.eosdis.nasa.gov/data/Aqua_AIRS_Level1/AIRIBRAD.005/) (last access 12 Mar 2025). CrIS level 1B radiances (Sounder SIPS and GES DISC, 2017) were obtained from [https://sounder.gesdisc.eosdis.nasa.gov/data/SNPP\\_Sounder\\_Level1/SNPPCrISL1B.2](https://sounder.gesdisc.eosdis.nasa.gov/data/SNPP_Sounder_Level1/SNPPCrISL1B.2) (last access 12 Mar 2025). GOES imagery were obtained from <https://noaa-goes17.s3.amazonaws.com/index.html#ABI-L2-MCMIPC/2020/255/22/> (last accessed 8 Dec 2022). MODIS cloud properties from the MYD06 product (collection 6.1, MODIS Atmosphere Science Team, 2017) and the associated geolocation MYD03 product were downloaded from the Level-1 and Atmosphere Archive and Distribution System (LAADS DAAC, [https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/products/MYD06\\_L2/](https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/products/MYD06_L2/), last accessed 1 Sept 2023).

## Appendix A: Decision tree explainability

We use SHapley Addition exPlanations (Lundberg and Lee, 2017) to investigate what values most contributed to the decision ~~tree~~s-trees prediction of AIRS quality in Sect. 3.3. The results are shown in Fig. A1. Since ~~this is a classification decision tree~~these are classification decision trees, the SHAP value represents an increase or decrease in the probability of the sounding being classified as “good,” with positive SHAP values indicating a high “good” probability.

Some of the relationships shown in Fig. A1 make physical sense:

- Res. Norm. Init., the pre-PAN residual, follows the expected pattern where smaller residuals are more likely to yield a good sounding. Since this is the pre-PAN retrieval residual, this suggests that a successful retrieval is highly dependent on



**Figure A1.** Beeswarm plot showing the Shapley values for the 13 input variables to the quality filtering decision ~~tree~~trees that have non-zero contributions to the output flag. The meaning of each input variable’s short name is given in Table 5.

560 the previous steps minimizing the observation/model mismatch from other atmospheric parameters. This is a reasonable relationship, as PAN is a weaker absorber than the trace gases optimized in a previous step (Table 3).

- $K \cdot dL$ , which represents maximum of the absolute value of the dot product of the residuals with the Jacobian, is essentially a summary of the residual weighted towards frequencies with strong absorbance. Therefore, it is likewise sensible that decreasing values of this quantity increase the chance of a sounding being classified as “good.”

565 – Rad. resid. mean, the mean of the post-PAN residual, should indicate how well the posterior solution matches the observed radiances. This is also a sensible metric, as it indicates how well the optimization algorithm minimized the cost function, so lower values should correlate with a higher probability of the sounding being marked as good quality, which is what we see in the land model.



Several of the other relationships from Fig. A1 are less clear. For example, mean cloud optical depth and the maximum  
 570 signal-to-noise (SNR) ratio seem backwards: higher cloud optical depth and lower SNR seem unlikely to correlate with good  
 soundings, as generally more optically thick clouds should obfuscate the radiances of interest and lower SNR spectra should  
 be more difficult to extract a signal from. However, we must remember that ~~this decision tree is~~ these decision trees are trained  
 to predict if AIRS returned  $X_{\text{PAN}}$  similar to CrIS. Thus, we interpret this behavior to mean that these are cases where AIRS  
 and CrIS return similar  $X_{\text{PAN}}$  values due to these factors. Optically thick clouds likely mean that both instruments are not able  
 575 to obtain much information about the trace gas columns, and therefore return similar values. Likewise, low SNR spectra will  
 have a low information content, thus both retrievals are more likely to return the prior. Since we use a consistent prior in both  
 retrievals, this would result in similar  $X_{\text{PAN}}$ .

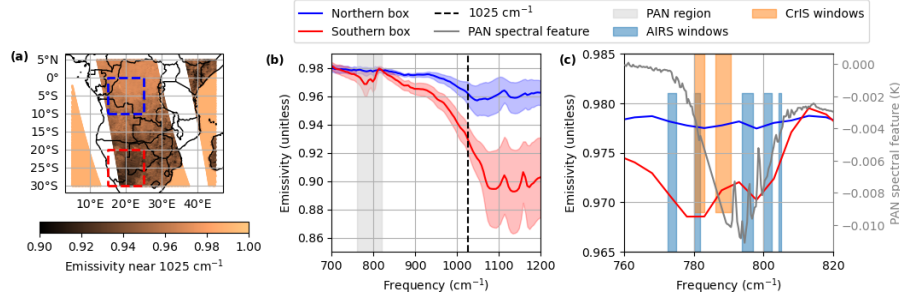
Rad. resid. std. dev. shows another unexpected relationship. This is the standard deviation of the post-PAN radiance residuals.  
Larger values generally indicate that there is a lot of variation in how well the posterior state matched the observed radiances.  
 580 This can occur if, e.g., narrow features in the radiances were not fit well by the posterior state causing specific frequencies to  
have large residuals. Interestingly, both models associate greater values with improved likelihood of a sounding being good  
quality. This may indicate that there are narrower absorption features than PAN which the optimization can try to fit incorrectly  
with PAN, and thus the decision tree is identifying that soundings were these non-PAN absorption features are not erroneously  
fit are more likely to be good quality. However, this is speculative. Alternatively, it may be similar to mean cloud optical depth  
 585 and SNR, where this is simply identifying cases where the posterior is similar to the prior. Since the AIRS and CrIS retrievals  
use the same prior, this would result in consistent values for the same reasons as mentioned for cloud optical depth and SNR.

For the remaining features, we mostly see them centered on zero impact, with the long tails to either end having a mix of  
 high and low input values. This indicates that there is not a clear correlation between these input values and the predicted  
 quality.

## 590 **Appendix B: Physical interpretation of emissivity interference**

Figure 9 showed that, in the region with low emissivity near  $1025 \text{ cm}^{-1}$ , very high AIRS  $X_{\text{PAN}}$  was retrieved, but CrIS  
 retrievals returned very low  $X_{\text{PAN}}$ . These opposed effects of the silicate feature discussed in relation to Fig. 9 arises from the  
 relative shapes of the emissivity and PAN spectral features and the position of the AIRS and CrIS microwindows.

Figure B1a shows the surface emissivity near  $1025 \text{ cm}^{-1}$  again, and panel (b) shows the spectral shape of the emissivity  
 595 feature in two boxes marked in panel (a). From this, it is clear how the low emissivity near  $1025 \text{ cm}^{-1}$  used in our quality  
 filtering corresponds to a dip in the emissivity in the frequency range of the PAN feature (the gray shading in panel b). Panel (c)  
 expands the PAN frequency range and shows the emissivity features along with the PAN feature and AIRS and CrIS windows.  
 Due to steps prior to the PAN step, the emissivity versus frequency is set to a straight line between 780 and 810  $\text{cm}^{-1}$  with no  
 fitting inside the 780-810  $\text{cm}^{-1}$  window. We can see that the AIRS windows fall primarily on frequencies where the slopes of  
 600 the PAN and southern box's emissivity features versus frequency have the same sign. Thus, in AIRS, the silicate emissivity  
 feature present in the southern box is fit by the retrieval as additional PAN, as increasing the absorbance due to PAN will



**Figure B1.** (a) A map of surface emissivity at  $1025\text{ cm}^{-1}$ , as in Fig. 9, but with two boxes indicating areas with different emissivity values. (b) Spectral shape of the emissivity values in the two boxes marked on panel (a). The lines indicate the mean emissivity and the shaded areas  $\pm 1$  standard deviation within each box. The grey area marks the frequency range plotted in panel (c). (c) The mean emissivity from the same boxes as (b), with the PAN spectral feature and microwindows from Fig. 1 overlaid. The AIRS and CrIS microwindows are offset vertically solely to make them distinguishable where they overlap.

generally reduce the residuals. However, the second CrIS window covers frequencies where the PAN and emissivity features have opposite slopes versus frequency. For CrIS therefore, the retrieval may attempt to invert the PAN feature to produce the concave down shape seen in the emissivity, resulting in negative  $X_{\text{PAN}}$  values over regions with this feature.

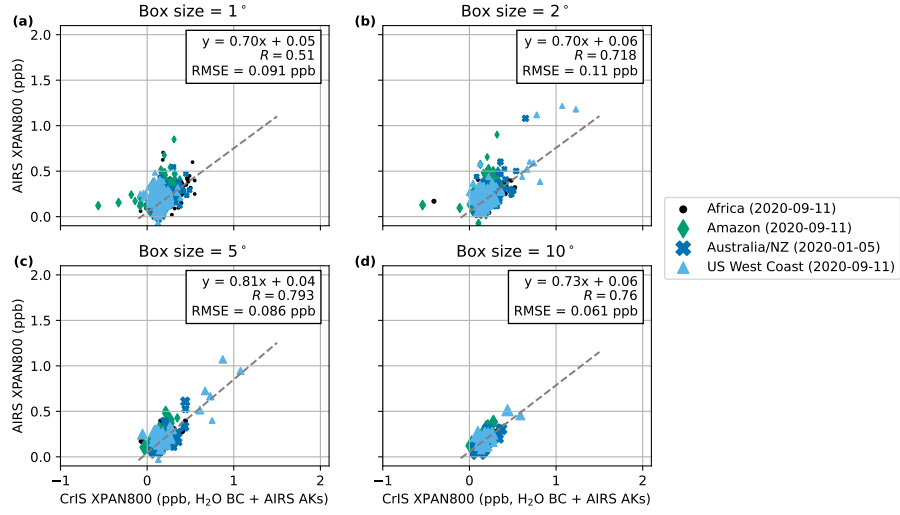
## 605 Appendix C: Using a single decision tree for quality filtering

For the decision tree-based filter described in Sect. 3.3, we tested using a single decision tree for both land and ocean soundings instead of separate trees for those two categories of soundings. In principle, a single decision tree would be preferable, as it would both simplify implementation and eliminate concerns that mixed land/ocean soundings or soundings near a coast could be filtered using the less applicable decision tree. This section shows why we elected to use separate decision trees instead.

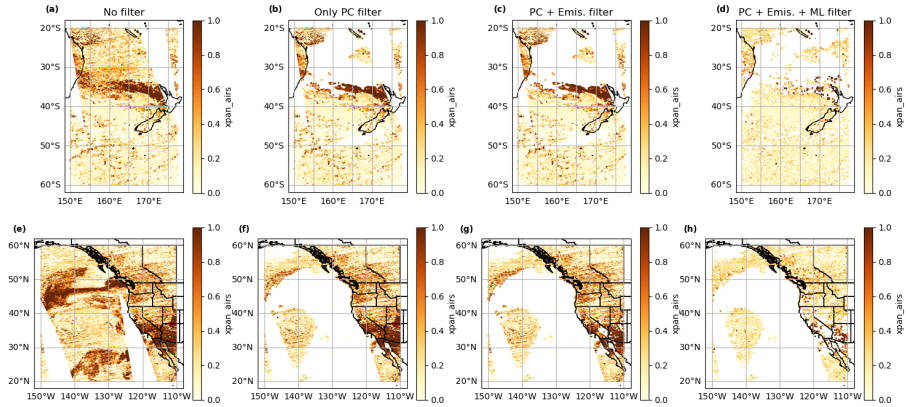
610 Figure C1 shows the correlation between AIRS and CrIS  $X_{\text{PAN}}$  with different levels of averaging when using the single decision tree in the filter, instead of separate decision trees for land and ocean soundings. Comparison to Fig. 12 shows that, on the gross scale represented by the averaging boxes used here, neither option is clearly better than the other. Using a single decision tree instead of separate trees yields similar  $R$  and RMSE values, with the single decision tree performing better at some levels of averaging and the separate trees performing better for others.

615 However, when we compare Fig. C2 to Fig. 10, we can see in panels d and h that the single decision tree (Fig. C2) removes more of the PAN plumes compared to the separate trees (Fig. 10). This was the main reason we chose to use separate decision trees to filter land and ocean soundings, as the ability to examine plumes from extreme events is one of our primary use cases.

*Author contributions.* VP developed the initial concept and secured funding. SK performed the initial experiments to test if the AIRS PAN retrieval was practical and additional tests to determine the best choice of microwindows. JL identified the possible sets of microwindows to



**Figure C1.** This figure is the same as 12, except the filtering uses a single decision tree trained on and applied to both land and ocean soundings, rather than separate land and ocean trees.



**Figure C2.** This figure is the same as 10, except the filtering uses a single decision tree trained on and applied to both land and ocean soundings, rather than separate land and ocean trees.

620 use and carried out the other work described in this paper with input from VP and SK. JL wrote the manuscript, VP and SK reviewed the manuscript.

*Competing interests.* The authors declare no competing interest.

*Acknowledgements.* This research was carried out at the Jet Propulsion Laboratory (JPL), California Institute of Technology, under a contract with NASA (80NM0018D0004). Computational support was provided by the TROPES Scientific Computing Facility at JPL. Parts of the  
625 results in this work make use of the colormaps in the CMasher package (van der Velden, 2020).

## References

- AIRS Project: AIRS/Aqua L1B Infrared (IR) geolocated and calibrated radiances V005, <https://doi.org/10.5067/YZEXEVN4JGGJ>, 2020.
- Alvarado, M. J., Logan, J. A., Mao, J., Apel, E., Riemer, D., Blake, D., Cohen, R. C., Min, K.-E., Perring, A. E., Browne, E. C., Wooldridge, P. J., Diskin, G. S., Sachse, G. W., Fuelberg, H., Sessions, W. R., Harrigan, D. L., Huey, G., Liao, J., Case-Hanks, A., Jimenez, J. L.,  
630 Cubison, M. J., Vay, S. A., Weinheimer, A. J., Knapp, D. J., Montzka, D. D., Flocke, F. M., Pollack, I. B., Wennberg, P. O., Kurten, A., Crounse, J., Clair, J. M. S., Wisthaler, A., Mikoviny, T., Yantosca, R. M., Carouge, C. C., and Le Sager, P.: Nitrogen oxides and PAN in plumes from boreal fires during ARCTAS-B and their impact on ozone: an integrated analysis of aircraft and satellite observations, *Atmospheric Chemistry and Physics*, 10, 9739–9760, <https://doi.org/10.5194/acp-10-9739-2010>, 2010.
- Alvarado, M. J., Cady-Pereira, K. E., Xiao, Y., Millet, D. B., and Payne, V. H.: Emission Ratios for Ammonia and Formic Acid and Observations of Peroxy Acetyl Nitrate (PAN) and Ethylene in Biomass Burning Smoke as Seen by the Tropospheric Emission Spectrometer (TES), *Atmosphere*, 2, 633–654, <https://doi.org/10.3390/atmos2040633>, 2011.  
635
- Alvarado, M. J., Payne, V. H., Mlawer, E. J., Uymin, G., Shephard, M. W., Cady-Pereira, K. E., Delamere, J. S., and Moncet, J.-L.: Performance of the Line-By-Line Radiative Transfer Model (LBLRTM) for temperature, water vapor, and trace gas retrievals: recent updates evaluated with IASI case studies, *Atmospheric Chemistry and Physics*, 13, 6687–6711, <https://doi.org/10.5194/acp-13-6687-2013>, 2013.
- Aumann, H., Chahine, M., Gautier, C., Goldberg, M., Kalnay, E., McMillin, L., Revercomb, H., Rosenkranz, P., Smith, W., Staelin, D., Strow, L., and Susskind, J.: AIRS/AMSU/HSB on the Aqua mission: design, science objectives, data products, and processing systems, *IEEE Transactions on Geoscience and Remote Sensing*, 41, 253–264, <https://doi.org/10.1109/TGRS.2002.808356>, 2003.  
640
- Aumann, H. H., Broberg, S., Manning, E., and Pagano, T.: Radiometric Stability Validation of 17 Years of AIRS Data Using Sea Surface Temperatures, *Geophysical Research Letters*, 46, 12 504–12 510, <https://doi.org/10.1029/2019gl085098>, 2019.
- Aumann, H. H., Broberg, S. E., Manning, E. M., Pagano, T. S., and Wilson, R. C.: 20 years of atmospheric infrared sounder (AIRS) data: status, climate trends, and future data continuity, in: *Earth Observing Systems XXVIII*, edited by Xiong, X. J., Gu, X., and Czapla-Myers, J. S., p. 20, SPIE, <https://doi.org/10.1117/12.2677646>, 2023.  
645
- Borbas, E. E., Knuteson, R. O., Seemann, S. W., Weisz, E., Moy, L., and Huang, H.-L.: A high spectral resolution global land surface infrared emissivity database, in: *Joint 2007 EUMETSAT Meteorological Satellite Conference and the 15th Satellite Meteorology & Oceanography Conference of the American Meteorological Society*, Amsterdam, the Netherlands, 2007.  
650
- Borbas, E. E., Hulley, G., Feltz, M., Knuteson, R., and Hook, S.: The Combined ASTER MODIS Emissivity over Land (CAMEL) Part 1: Methodology and High Spectral Resolution Application, *Remote Sensing*, 10, 643, <https://doi.org/10.3390/rs10040643>, 2018.
- Bowman, K.: TROPES CrIS-JPSS1 L2 Peroxyacetyl for Forward Processing, Summary Product V1, <https://doi.org/10.5067/6HTQB4F81S08>, 2022.
- Bowman, K.: TROPES CrIS-SNPP L2 Peroxyacetyl Nitrate for Reanalysis Stream, Summary Product V1, <https://doi.org/10.5067/VU8MI4QOA4NI>, 2023.  
655
- Bowman, K., Rodgers, C., Kulawik, S., Worden, J., Sarkissian, E., Osterman, G., Steck, T., Lou, M., Eldering, A., Shephard, M., Worden, H., Lampel, M., Clough, S., Brown, P., Rinsland, C., Gunson, M., and Beer, R.: Tropospheric emission spectrometer: retrieval method and error analysis, *IEEE Transactions on Geoscience and Remote Sensing*, 44, 1297–1307, <https://doi.org/10.1109/tgrs.2006.871234>, 2006.
- Brasseur, G. P., Hauglustaine, D. A., Walters, S., Rasch, P. J., Müller, J., Granier, C., and Tie, X. X.: MOZART, a global chemical transport model for ozone and related chemical tracers: 1. Model description, *Journal of Geophysical Research: Atmospheres*, 103, 28 265–28 289, <https://doi.org/10.1029/98jd02397>, 1998.  
660

- Cady-Pereira, K. E., Guo, X., Wang, R., Leytem, A. B., Calkins, C., Berry, E., Sun, K., Müller, M., Wisthaler, A., Payne, V. H., Shephard, M. W., Zondlo, M. A., and Kantchev, V.: Validation of MUSES NH<sub>3</sub> observations from AIRS and CrIS against aircraft measurements from DISCOVER-AQ and a surface network in the Magic Valley, *Atmospheric Measurement Techniques*, 17, 15–36, <https://doi.org/10.5194/amt-17-15-2024>, 2024.
- Clarisse, L., R'Honi, Y., Coheur, P.-F., Hurtmans, D., and Clerbaux, C.: Thermal infrared nadir observations of 24 atmospheric gases, *Geophysical Research Letters*, 38, <https://doi.org/10.1029/2011gl047271>, 2011.
- Clough, S., Shephard, M., Mlawer, E., Delamere, J., Iacono, M., Cady-Pereira, K., Boukabara, S., and Brown, P.: Atmospheric radiative transfer modeling: a summary of the AER codes, *Journal of Quantitative Spectroscopy and Radiative Transfer*, 91, 233–244, <https://doi.org/10.1016/j.jqsrt.2004.05.058>, 2005.
- Coheur, P.-F., Clarisse, L., Turquety, S., Hurtmans, D., and Clerbaux, C.: IASI measurements of reactive trace species in biomass burning plumes, *Atmospheric Chemistry and Physics*, 9, 5655–5667, <https://doi.org/10.5194/acp-9-5655-2009>, 2009.
- Esposito, F., Malerba, D., Semeraro, G., and Kay, J.: A comparative analysis of methods for pruning decision trees, *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 19, 476–493, <https://doi.org/10.1109/34.589207>, 1997.
- Fadnavis, S., Schultz, M. G., Semeniuk, K., Mahajan, A. S., Pozzoli, L., Sonbawne, S., Ghude, S. D., Kiefer, M., and Eckert, E.: Trends in peroxyacetyl nitrate (PAN) in the upper troposphere and lower stratosphere over southern Asia during the summer monsoon season: regional impacts, *Atmospheric Chemistry and Physics*, 14, 12 725–12 743, <https://doi.org/10.5194/acp-14-12725-2014>, 2014.
- Feltz, M., Borbas, E., Knuteson, R., Hulley, G., and Hook, S.: The Combined ASTER MODIS Emissivity over Land (CAMEL) Part 2: Uncertainty and Validation, *Remote Sensing*, 10, 664, <https://doi.org/10.3390/rs10050664>, 2018.
- Fischer, E. V., Jacob, D. J., Yantosca, R. M., Sulprizio, M. P., Millet, D. B., Mao, J., Paulot, F., Singh, H. B., Roiger, A., Ries, L., Talbot, R., Dzepina, K., and Pandey Deolal, S.: Atmospheric peroxyacetyl nitrate (PAN): a global budget and source attribution, *Atmospheric Chemistry and Physics*, 14, 2679–2698, <https://doi.org/10.5194/acp-14-2679-2014>, 2014.
- Fischer, E. V., Zhu, L., Payne, V. H., Worden, J. R., Jiang, Z., Kulawik, S. S., Brey, S., Hecobian, A., Gombos, D., Cady-Pereira, K., and Flocke, F.: Using TES retrievals to investigate PAN in North American biomass burning plumes, *Atmospheric Chemistry and Physics*, 18, 5639–5653, <https://doi.org/10.5194/acp-18-5639-2018>, 2018.
- Franco, B., Clarisse, L., Stavrakou, T., Müller, J., Van Damme, M., Whitburn, S., Hadji-Lazaro, J., Hurtmans, D., Taraborrelli, D., Clerbaux, C., and Coheur, P.: A General Framework for Global Retrievals of Trace Gases From IASI: Application to Methanol, Formic Acid, and PAN, *Journal of Geophysical Research: Atmospheres*, 123, <https://doi.org/10.1029/2018jd029633>, 2018.
- Fu, D., Kulawik, S. S., Miyazaki, K., Bowman, K. W., Worden, J. R., Eldering, A., Livesey, N. J., Teixeira, J., Irion, F. W., Herman, R. L., Osterman, G. B., Liu, X., Levelt, P. F., Thompson, A. M., and Luo, M.: Retrievals of tropospheric ozone profiles from the synergism of AIRS and OMI: methodology and validation, *Atmospheric Measurement Techniques*, 11, 5587–5605, <https://doi.org/10.5194/amt-11-5587-2018>, 2018.
- Gaffney, J. S. and Marley, N. A.: The Impacts of Peroxyacetyl Nitrate in the Atmosphere of Megacities and Large Urban Areas: A Historical Perspective, *ACS Earth and Space Chemistry*, 5, 1829–1841, <https://doi.org/10.1021/acsearthspacechem.1c00143>, 2021.
- Glatthor, N., von Clarmann, T., Fischer, H., Funke, B., Grabowski, U., Höpfner, M., Kellmann, S., Kiefer, M., Linden, A., Milz, M., Steck, T., and Stiller, G. P.: Global peroxyacetyl nitrate (PAN) retrieval in the upper troposphere from limb emission spectra of the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS), *Atmospheric Chemistry and Physics*, 7, 2775–2787, <https://doi.org/10.5194/acp-7-2775-2007>, 2007.

- 700 Han, Y., Revercomb, H., Cromp, M., Gu, D., Johnson, D., Mooney, D., Scott, D., Strow, L., Bingham, G., Borg, L., Chen, Y., DeSlover, D., Esplin, M., Hagan, D., Jin, X., Knuteson, R., Motteler, H., Predina, J., Suwinski, L., Taylor, J., Tobin, D., Tremblay, D., Wang, C., Wang, L., Wang, L., and Zavyalov, V.: Suomi NPP CrIS measurements, sensor data record algorithm, calibration and validation activities, and record data quality, *Journal of Geophysical Research: Atmospheres*, 118, <https://doi.org/10.1002/2013jd020344>, 2013.
- Huang, X. and Yung, Y. L.: Spatial and spectral variability of the outgoing thermal IR spectra from AIRS: A case study of July 2003, *J. Geophys. Res. Atmos.*, 110, <https://doi.org/10.1029/2004jd005530>, 2005.
- 705 Hudman, R. C., Jacob, D. J., Cooper, O. R., Evans, M. J., Heald, C. L., Park, R. J., Fehsenfeld, F., Flocke, F., Holloway, J., Hübler, G., Kita, K., Koike, M., Kondo, Y., Neuman, A., Nowak, J., Oltmans, S., Parrish, D., Roberts, J. M., and Ryerson, T.: Ozone production in transpacific Asian pollution plumes and implications for ozone air quality in California, *Journal of Geophysical Research: Atmospheres*, 109, <https://doi.org/10.1029/2004jd004974>, 2004.
- 710 Jiang, Z., Worden, J. R., Payne, V. H., Zhu, L., Fischer, E., Walker, T., and Jones, D. B. A.: Ozone export from East Asia: The role of PAN, *Journal of Geophysical Research: Atmospheres*, 121, 6555–6563, <https://doi.org/10.1002/2016jd024952>, 2016.
- Juncosa Calahorrano, J. F., Lindaas, J., O'Dell, K., Palm, B. B., Peng, Q., Flocke, F., Pollack, I. B., Garofalo, L. A., Farmer, D. K., Pierce, J. R., Collett, J. L., Weinheimer, A., Campos, T., Hornbrook, R. S., Hall, S. R., Ullmann, K., Pothier, M. A., Apel, E. C., Permar, W., Hu, L., Hills, A. J., Montzka, D., Tyndall, G., Thornton, J. A., and Fischer, E. V.: Daytime Oxidized Reactive Nitrogen Partitioning in Western U.S. Wildfire Smoke Plumes, *Journal of Geophysical Research: Atmospheres*, 126, <https://doi.org/10.1029/2020jd033484>, 2021a.
- 715 Juncosa Calahorrano, J. F., Payne, V. H., Kulawik, S., Ford, B., Flocke, F., Campos, T., and Fischer, E. V.: Evolution of Acyl Peroxynitrates (PANs) in Wildfire Smoke Plumes Detected by the Cross-Track Infrared Sounder (CrIS) Over the Western U.S. During Summer 2018, *Geophys. Res. Lett.*, 48, <https://doi.org/10.1029/2021gl093405>, 2021b.
- LaFranchi, B. W., Wolfe, G. M., Thornton, J. A., Harrold, S. A., Browne, E. C., Min, K. E., Wooldridge, P. J., Gilman, J. B., Kuster, W. C., Goldan, P. D., de Gouw, J. A., McKay, M., Goldstein, A. H., Ren, X., Mao, J., and Cohen, R. C.: Closing the peroxy acetyl nitrate budget: observations of acyl peroxy nitrates (PAN, PPN, and MPAN) during BEARPEX 2007, *Atmospheric Chemistry and Physics*, 9, 7623–7641, <https://doi.org/10.5194/acp-9-7623-2009>, 2009.
- Laughner, J., Kulawik, S., and Payne, V.: Supporting data for "An algorithm to retrieve peroxyacetyl nitrate from AIRS" (1.2), <https://doi.org/10.22002/stfqh-edj29>, 2025.
- 725 Lundberg, S. M. and Lee, S.-I.: A Unified Approach to Interpreting Model Predictions, in: *Advances in Neural Information Processing Systems 30*, edited by Guyon, I., Luxburg, U. V., Bengio, S., Wallach, H., Fergus, R., Vishwanathan, S., and Garnett, R., pp. 4765–4774, Curran Associates, Inc., <http://papers.nips.cc/paper/7062-a-unified-approach-to-interpreting-model-predictions.pdf>, 2017.
- Luo, M., Read, W., Kulawik, S., Worden, J., Livesey, N., Bowman, K., and Herman, R.: Carbon monoxide (CO) vertical profiles derived from joined TES and MLS measurements, *Journal of Geophysical Research: Atmospheres*, 118, <https://doi.org/10.1002/jgrd.50800>, 2013.
- 730 Mahieu, E., Fischer, E. V., Franco, B., Palm, M., Wizenberg, T., Smale, D., Clarisse, L., Clerbaux, C., Coheur, P.-F., Hannigan, J. W., Lutsch, E., Notholt, J., Cantos, I. P., Prignon, M., Servais, C., and Strong, K.: First retrievals of peroxyacetyl nitrate (PAN) from ground-based FTIR solar spectra recorded at remote sites, comparison with model and satellite data, *Elementa: Science of the Anthropocene*, 9, <https://doi.org/10.1525/elementa.2021.00027>, 2021.
- Malina, E., Bowman, K. W., Kantchev, V., Kuai, L., Kurosu, T. P., Miyazaki, K., Natraj, V., Osterman, G. B., Oyafuso, F., and Thill, M. D.: Joint spectral retrievals of ozone with Suomi NPP CrIS augmented by S5P/TROPOMI, *Atmospheric Measurement Techniques*, 17, 5341–5371, <https://doi.org/10.5194/amt-17-5341-2024>, 2024.



- MODIS Atmosphere Science Team: MYD06\_L2 MYD06\_L2 MODIS/Aqua Clouds 5-Min L2 Swath 1km and 5km, [https://doi.org/10.5067/MODIS/MYD06\\_L2.061](https://doi.org/10.5067/MODIS/MYD06_L2.061), 2017.
- Moncet, J.-L., Uymin, G., Lipton, A. E., and Snell, H. E.: Infrared Radiance Modeling by Optimal Spectral Sampling, *Journal of the Atmospheric Sciences*, 65, 3917–3934, <https://doi.org/10.1175/2008jas2711.1>, 2008.
- Moncet, J.-L., Uymin, G., Liang, P., and Lipton, A. E.: Fast and Accurate Radiative Transfer in the Thermal Regime by Simultaneous Optimal Spectral Sampling over All Channels, *Journal of the Atmospheric Sciences*, 72, 2622–2641, <https://doi.org/10.1175/jas-d-14-0190.1>, 2015.
- Monedero, E., Salgado, M., Villanueva, F., Martín, P., Barnes, I., and Cabañas, B.: Infrared absorption cross-sections for peroxyacyl nitrates (nPANs), *Chemical Physics Letters*, 465, 207–211, <https://doi.org/10.1016/j.cplett.2008.10.020>, 2008.
- Moore, D. P. and Remedios, J. J.: Seasonality of Peroxyacetyl nitrate (PAN) in the upper troposphere and lower stratosphere using the MIPAS-E instrument, *Atmospheric Chemistry and Physics*, 10, 6117–6128, <https://doi.org/10.5194/acp-10-6117-2010>, 2010.
- Moxim, W. J., Levy, H., and Kasibhatla, P. S.: Simulated global tropospheric PAN: Its transport and impact on  $\text{NO}_x$ , *Journal of Geophysical Research: Atmospheres*, 101, 12 621–12 638, <https://doi.org/10.1029/96jd00338>, 1996.
- NASA-TROPESS: NASA-TROPESS/airs-pan-2025-notebook: Version 1.2 (first revision), <https://doi.org/10.5281/ZENODO.15305278>, 2025.
- Pagano, T., Aumann, H., Hagan, D., and Overoye, K.: Prelaunch and in-flight radiometric calibration of the Atmospheric Infrared Sounder (AIRS), *IEEE Transactions on Geoscience and Remote Sensing*, 41, 265–273, <https://doi.org/10.1109/tgrs.2002.808324>, 2003.
- Pagano, T. S., Aumann, H. H., Broberg, S. E., Cañas, C., Manning, E. M., Overoye, K. O., and Wilson, R. C.: SI-Traceability and Measurement Uncertainty of the Atmospheric Infrared Sounder Version 5 Level 1B Radiances, *Remote Sensing*, 12, 1338, <https://doi.org/10.3390/rs12081338>, 2020.
- Payne, V. H., Alvarado, M. J., Cady-Pereira, K. E., Worden, J. R., Kulawik, S. S., and Fischer, E. V.: Satellite observations of peroxyacetyl nitrate from the Aura Tropospheric Emission Spectrometer, *Atmospheric Measurement Techniques*, 7, 3737–3749, <https://doi.org/10.5194/amt-7-3737-2014>, 2014.
- Payne, V. H., Fischer, E. V., Worden, J. R., Jiang, Z., Zhu, L., Kurosu, T. P., and Kulawik, S. S.: Spatial variability in tropospheric peroxy-acetyl nitrate in the tropics from infrared satellite observations in 2005 and 2006, *Atmospheric Chemistry and Physics*, 17, 6341–6351, <https://doi.org/10.5194/acp-17-6341-2017>, 2017.
- Payne, V. H., Kulawik, S. S., Fischer, E. V., Brewer, J. F., Huey, L. G., Miyazaki, K., Worden, J. R., Bowman, K. W., Hints, E. J., Moore, F., Elkins, J. W., and Juncosa Calahorrano, J.: Satellite measurements of peroxyacetyl nitrate from the Cross-Track Infrared Sounder: comparison with ATom aircraft measurements, *Atmos. Meas. Tech.*, 15, 3497–3511, <https://doi.org/10.5194/amt-15-3497-2022>, 2022.
- Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., Blondel, M., Prettenhofer, P., Weiss, R., Dubourg, V., Vanderplas, J., Passos, A., Cournapeau, D., Brucher, M., Perrot, M., and Duchesnay, E.: Scikit-learn: Machine Learning in Python, *Journal of Machine Learning Research*, 12, 2825–2830, 2011.
- Peng, Q., Palm, B. B., Fredrickson, C. D., Lee, B. H., Hall, S. R., Ullmann, K., Campos, T., Weinheimer, A. J., Apel, E. C., Flocke, F., Permar, W., Hu, L., Garofalo, L. A., Pothier, M. A., Farmer, D. K., Ku, I.-T., Sullivan, A. P., Collett, J. L., Fischer, E., and Thornton, J. A.: Observations and Modeling of  $\text{NO}_x$  Photochemistry and Fate in Fresh Wildfire Plumes, *ACS Earth and Space Chemistry*, 5, 2652–2667, <https://doi.org/10.1021/acsearthspacechem.1c00086>, 2021.
- Pennington, E. A., Osterman, G. B., Payne, V. H., Miyazaki, K., Bowman, K. W., and Neu, J. L.: Quantifying biases in TROPESS AIRS, CrIS, and joint AIRS+OMI tropospheric ozone products using ozonesondes, *Atmospheric Chemistry and Physics*, 25, 8533–8552, <https://doi.org/10.5194/acp-25-8533-2025>, 2025.

- 775 Pope, R. J., Richards, N. A. D., Chipperfield, M. P., Moore, D. P., Monks, S. A., Arnold, S. R., Glatthor, N., Kiefer, M., Breider, T. J., Harrison, J. J., Remedios, J. J., Warneke, C., Roberts, J. M., Diskin, G. S., Huey, L. G., Wisthaler, A., Apel, E. C., Bernath, P. F., and Feng, W.: Intercomparison and evaluation of satellite peroxyacetyl nitrate observations in the upper troposphere–lower stratosphere, *Atmospheric Chemistry and Physics*, 16, 13 541–13 559, <https://doi.org/10.5194/acp-16-13541-2016>, 2016.
- Roberts, J. M., Williams, J., Baumann, K., Buhr, M. P., Goldan, P. D., Holloway, J., Hübler, G., Kuster, W. C., McKeen, S. A., Ryerson, T. B.,  
780 Trainer, M., Williams, E. J., Fehsenfeld, F. C., Bertman, S. B., Nouaime, G., Seaver, C., Grodzinsky, G., Rodgers, M., and Young, V. L.: Measurements of PAN, PPN, and MPAN made during the 1994 and 1995 Nashville Intensives of the Southern Oxidant Study: Implications for regional ozone production from biogenic hydrocarbons, *Journal of Geophysical Research: Atmospheres*, 103, 22 473–22 490, <https://doi.org/10.1029/98jd01637>, 1998.
- Roberts, J. M., Flocke, F., Stroud, C. A., Hereid, D., Williams, E., Fehsenfeld, F., Brune, W., Martinez, M., and Harder, H.: Ground-based  
785 measurements of peroxy-carboxylic nitric anhydrides (PANs) during the 1999 Southern Oxidants Study Nashville Intensive, *Journal of Geophysical Research: Atmospheres*, 107, <https://doi.org/10.1029/2001jd000947>, 2002.
- Rodgers, C. D. and Connor, B. J.: Intercomparison of remote sounding instruments, *J. Geophys. Res. Atmos.*, 108, <https://doi.org/10.1029/2002jd002299>, 2003.
- Schreier, M. M., Kahn, B. H., Eldering, A., Elliott, D. A., Fishbein, E., Irion, F. W., and Pagano, T. S.: Radiance Comparisons  
790 of MODIS and AIRS Using Spatial Response Information, *Journal of Atmospheric and Oceanic Technology*, 27, 1331–1342, <https://doi.org/10.1175/2010jtecha1424.1>, 2010.
- Shogrin, M. J., Payne, V. H., Kulawik, S. S., Miyazaki, K., and Fischer, E. V.: Measurement report: Spatiotemporal variability of peroxy acyl nitrates (PANs) over Mexico City from TES and CrIS satellite measurements, *Atmospheric Chemistry and Physics*, 23, 2667–2682, <https://doi.org/10.5194/acp-23-2667-2023>, 2023.
- 795 Shogrin, M. J., Payne, V. H., Kulawik, S. S., Miyazaki, K., and Fischer, E. V.: Changes to Peroxyacyl Nitrates (PANs) Over Megacities in Response to COVID-19 Tropospheric NO<sub>2</sub> Reductions Observed by the Cross-Track Infrared Sounder (CrIS), *Geophysical Research Letters*, 51, <https://doi.org/10.1029/2023gl104854>, 2024.
- Singh, H. B. and Hanst, P. L.: Peroxyacetyl nitrate (PAN) in the unpolluted atmosphere: An important reservoir for nitrogen oxides, *Geophysical Research Letters*, 8, 941–944, <https://doi.org/https://doi.org/10.1029/GL008i008p00941>, 1981.
- 800 Singh, H. B., Salas, L. J., and Viezee, W.: Global distribution of peroxyacetyl nitrate, *Nature*, 321, 588–591, <https://doi.org/10.1038/321588a0>, 1986.
- Smith, N. and Barnet, C. D.: Uncertainty Characterization and Propagation in the Community Long-Term Infrared Microwave Combined Atmospheric Product System (CLIMCAPS), *Remote Sensing*, 11, <https://doi.org/10.3390/rs11101227>, 2019.
- Smith, N. and Barnet, C. D.: CLIMCAPS observing capability for temperature, moisture, and trace gases from AIRS/AMSU and CrIS/ATMS,  
805 *Atmospheric Measurement Techniques*, 13, 4437–4459, <https://doi.org/10.5194/amt-13-4437-2020>, 2020.
- Sounder SIPS and GES DISC: Suomi NPP CrIS Level 1B Full Spectral Resolution V2, <https://doi.org/10.5067/9NPOTPIPLMAW>, 2017.
- Strow, L. L., Hepplewhite, C., Motteler, H., Buczkowski, S., and DeSouza-Machado, S.: A Climate Hyperspectral Infrared Radiance Product (CHIRP) Combining the AIRS and CrIS Satellite Sounding Record, *Remote Sensing*, 13, 418, <https://doi.org/10.3390/rs13030418>, 2021.
- Suh, H. H., Bahadori, T., Vallarino, J., and Spengler, J. D.: Criteria air pollutants and toxic air pollutants., *Environmental Health Perspectives*,  
810 108, 625–633, <https://doi.org/10.1289/ehp.00108s4625>, 2000.

- Tereszczuk, K. A., Moore, D. P., Harrison, J. J., Boone, C. D., Park, M., Remedios, J. J., Randel, W. J., and Bernath, P. F.: Observations of peroxyacetyl nitrate (PAN) in the upper troposphere by the Atmospheric Chemistry Experiment-Fourier Transform Spectrometer (ACE-FTS), *Atmospheric Chemistry and Physics*, 13, 5601–5613, <https://doi.org/10.5194/acp-13-5601-2013>, 2013.
- Thompson, C. R., Wofsy, S. C., Prather, M. J., Newman, P. A., Hanisco, T. F., Ryerson, T. B., Fahey, D. W., Apel, E. C., Brock, C. A.,  
815 Brune, W. H., Froyd, K., Katich, J. M., Nicely, J. M., Peischl, J., Ray, E., Veres, P. R., Wang, S., Allen, H. M., Asher, E., Bian, H., Blake, D., Bourgeois, I., Budney, J., Bui, T. P., Butler, A., Campuzano-Jost, P., Chang, C., Chin, M., Commane, R., Correa, G., Crounse, J. D., Daube, B., Dibb, J. E., DiGangi, J. P., Diskin, G. S., Dollner, M., Elkins, J. W., Fiore, A. M., Flynn, C. M., Guo, H., Hall, S. R., Hannun, R. A., Hills, A., Hints, E. J., Hodzic, A., Hornbrook, R. S., Huey, L. G., Jimenez, J. L., Keeling, R. F., Kim, M. J., Kupc, A., Lacey, F., Lait, L. R., Lamarque, J.-F., Liu, J., McKain, K., Meinardi, S., Miller, D. O., Montzka, S. A., Moore, F. L., Morgan, E. J., Murphy,  
820 D. M., Murray, L. T., Nault, B. A., Neuman, J. A., Nguyen, L., Gonzalez, Y., Rollins, A., Rosenlof, K., Sargent, M., Schill, G., Schwarz, J. P., Clair, J. M. S., Steenrod, S. D., Stephens, B. B., Strahan, S. E., Strode, S. A., Sweeney, C., Thames, A. B., Ullmann, K., Wagner, N., Weber, R., Weinzierl, B., Wennberg, P. O., Williamson, C. J., Wolfe, G. M., and Zeng, L.: The NASA Atmospheric Tomography (ATom) Mission: Imaging the Chemistry of the Global Atmosphere, *Bulletin of the American Meteorological Society*, 103, E761–E790, <https://doi.org/10.1175/bams-d-20-0315.1>, 2022.
- 825 Thrastarson, H. T., Fetzer, E., Ray, S., Hearty, T., and Smith, N.: Overview of the AIRS Mission: Instruments, Processing Algorithms, Products, and Documentation, 2nd Edition, [https://docs.server.gesdisc.eosdis.nasa.gov/public/project/AIRS/Overview\\_of\\_the\\_AIRS\\_Mission.pdf](https://docs.server.gesdisc.eosdis.nasa.gov/public/project/AIRS/Overview_of_the_AIRS_Mission.pdf), last accessed 12 Sep 2025, 2021.
- Ungermann, J., Ern, M., Kaufmann, M., Müller, R., Spang, R., Ploeger, F., Vogel, B., and Riese, M.: Observations of PAN and its confinement in the Asian summer monsoon anticyclone in high spatial resolution, *Atmospheric Chemistry and Physics*, 16, 8389–8403,  
830 <https://doi.org/10.5194/acp-16-8389-2016>, 2016.
- van der Velden, E.: CMasher: Scientific colormaps for making accessible, informative and 'cmashing' plots, *The Journal of Open Source Software*, 5, 2004, <https://doi.org/10.21105/joss.02004>, 2020.
- Wang, L., Tremblay, D. A., Han, Y., Esplin, M., Hagan, D. E., Predina, J., Suwinski, L., Jin, X., and Chen, Y.: Geolocation assessment for CrIS sensor data records, *Journal of Geophysical Research: Atmospheres*, 118, <https://doi.org/10.1002/2013jd020376>, 2013.
- 835 Warneke, C., Schwarz, J. P., Dibb, J., Kalashnikova, O., Frost, G., Al-Saad, J., Brown, S. S., Brewer, W. A., Soja, A., Seidel, F. C., Washenfelder, R. A., Wiggins, E. B., Moore, R. H., Anderson, B. E., Jordan, C., Yacovitch, T. I., Herndon, S. C., Liu, S., Kuwayama, T., Jaffe, D., Johnston, N., Selimovic, V., Yokelson, R., Giles, D. M., Holben, B. N., Goloub, P., Popovici, I., Trainer, M., Kumar, A., Pierce, R. B., Fahey, D., Roberts, J., Gargulinski, E. M., Peterson, D. A., Ye, X., Thapa, L. H., Saide, P. E., Fite, C. H., Holmes, C. D., Wang, S., Coggon, M. M., Decker, Z. C. J., Stockwell, C. E., Xu, L., Gkatzelis, G., Aikin, K., Lefer, B., Kaspari, J., Griffin, D., Zeng, L., We-  
840 ber, R., Hastings, M., Chai, J., Wolfe, G. M., Hanisco, T. F., Liao, J., Campuzano Jost, P., Guo, H., Jimenez, J. L., and Crawford, J.: Fire Influence on Regional to Global Environments and Air Quality (FIREX-AQ), *Journal of Geophysical Research: Atmospheres*, 128, <https://doi.org/10.1029/2022jd037758>, 2023.
- Wiegele, A., Glatthor, N., Höpfner, M., Grabowski, U., Kellmann, S., Linden, A., Stiller, G., and von Clarmann, T.: Global distributions of C<sub>2</sub>H<sub>6</sub>, C<sub>2</sub>H<sub>2</sub>, HCN, and PAN retrieved from MIPAS reduced spectral resolution measurements, *Atmospheric Measurement Techniques*,  
845 5, 723–734, <https://doi.org/10.5194/amt-5-723-2012>, 2012.
- Wofsy, S. C.: HIAPER Pole-to-Pole Observations (HIPPO): fine-grained, global-scale measurements of climatically important atmospheric gases and aerosols, *Philosophical Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences*, 369, 2073–2086, <https://doi.org/10.1098/rsta.2010.0313>, 2011.

- Wolfe, G. M., Thornton, J. A., McNeill, V. F., Jaffe, D. A., Reidmiller, D., Chand, D., Smith, J., Swartzendruber, P., Flocke, F., and Zheng, W.: Influence of trans-Pacific pollution transport on acyl peroxy nitrate abundances and speciation at Mount Bachelor Observatory during INTEx-B, *Atmospheric Chemistry and Physics*, 7, 5309–5325, <https://doi.org/10.5194/acp-7-5309-2007>, 2007.
- Worden, J., Liu, X., Bowman, K., Chance, K., Beer, R., Eldering, A., Gunson, M., and Worden, H.: Improved tropospheric ozone profile retrievals using OMI and TES radiances, *Geophysical Research Letters*, 34, <https://doi.org/10.1029/2006gl027806>, 2007.
- Worden, J. R., Kulawik, S. S., Fu, D., Payne, V. H., Lipton, A. E., Polonsky, I., He, Y., Cady-Pereira, K., Moncet, J.-L., Herman, R. L., Irion, F. W., and Bowman, K. W.: Characterization and evaluation of AIRS-based estimates of the deuterium content of water vapor, *Atmospheric Measurement Techniques*, 12, 2331–2339, <https://doi.org/10.5194/amt-12-2331-2019>, 2019.
- World Health Organization: WHO global air quality guidelines. Particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>), ozone, nitrogen dioxide, sulfur dioxide and carbon monoxide. Executive summary., <https://iris.who.int/bitstream/handle/10665/345334/9789240034433-eng.pdf?sequence=1>, last accessed 11 Mar 2025, 2021.
- Zavalyov, V., Esplin, M., Scott, D., Esplin, B., Bingham, G., Hoffman, E., Lietzke, C., Predina, J., Frain, R., Suwinski, L., Han, Y., Major, C., Graham, B., and Phillips, L.: Noise performance of the CrIS instrument, *Journal of Geophysical Research: Atmospheres*, 118, <https://doi.org/10.1002/2013jd020457>, 2013.
- Zavalyov, V. V., Fish, C. S., Bingham, G. E., Esplin, M., Greenman, M., Scott, D., and Han, Y.: Preflight assessment of the cross-track infrared sounder (CrIS) performance, in: *Sensors, Systems, and Next-Generation Satellites XV*, edited by Meynart, R., Neeck, S. P., and Shimoda, H., SPIE, <https://doi.org/10.1117/12.897674>, 2011.
- Zhai, S., Jacob, D. J., Franco, B., Clarisse, L., Coheur, P., Shah, V., Bates, K. H., Lin, H., Dang, R., Sulprizio, M. P., Huey, L. G., Moore, F. L., Jaffe, D. A., and Liao, H.: Transpacific Transport of Asian Peroxyacetyl Nitrate (PAN) Observed from Satellite: Implications for Ozone, *Environmental Science and Technology*, 58, 9760–9769, <https://doi.org/10.1021/acs.est.4c01980>, 2024.
- Zhu, L., Fischer, E. V., Payne, V. H., Worden, J. R., and Jiang, Z.: TES observations of the interannual variability of PAN over Northern Eurasia and the relationship to springtime fires, *Geophysical Research Letters*, 42, 7230–7237, <https://doi.org/10.1002/2015gl065328>, 2015.