

1 The “Golden Points” and nonequilibrium correction of 2 high-accuracy frost point hygrometers

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9 **Abstract.** We introduce a new retrieval protocol for chilled mirror hygrometer measurements that enables balloon-borne frost
10 point measurements in the upper troposphere/lower stratosphere of unprecedented accuracy under conditions of rapidly
11 changing humidity. Chilled mirror hygrometers measure the frost point (or dew point) by quantifying the saturation ratio of
12 the air with respect to the condensed phases of water (ice or liquid). To this end, they attempt to determine the thermodynamic
13 equilibrium of the mirror condensate with the vapor phase by measuring the mirror reflectance, which changes with the amount
14 of condensed material. In the rapidly changing environment along the balloon trajectory, however, the adjustment of the mirror
15 temperature to the new equilibrium point may lead to frequent, damped overshoots or nonequilibrium errors. For the Cryogenic
16 Frost Point Hygrometer (CFH), a balloon-borne chilled mirror instrument of reference quality, we (i) identify points in time
17 along the sounding profile when the mirror is in equilibrium with the gas phase, which we term ‘Golden Points’, and (ii) correct
18 the measurements under nonequilibrium conditions between these Golden Points. For (i), we identify the points where the
19 suitably smoothed mirror reflectance assumes an extreme value, i.e. a maximum or a minimum. At these extreme points, the
20 CFH mirror temperature represents the frost point with an accuracy better than 0.2 K (resulting from the uncertainties of the
21 mirror temperature sensor and of the precise timing of the Golden Points along the sounding profile). These accurately
22 determined frost points can be used to detect and correct offsets, biases and time lag errors in other humidity sensors flown
23 together with CFH on the same balloon payload, such as the FLASH-B fluorescence hygrometer or the thin-film capacitive
24 hygrometer of the Vaisala RS41 radiosonde. From the surface to approximately 28 km, a frost point uncertainty of 0.2 K
25 corresponds to less than 4 % uncertainty in H₂O mixing ratio (including the uncertainty of 0.3 hPa in the GPS-based pressure
26 measurement of the RS41 radiosonde), provided there is no outgassing from the balloon or the instrument components. For
27 (ii), we compute the time-derivative of the mirror reflectance, which is proportional to the nonequilibrium error. The
28 proportionality factor is related to a property of the mirror condensate, which we term ‘morphological sensitivity’, and allows
29 correction of the CFH nonequilibrium data. The sensitivity constant is determined using an a-priori reference, such as the RS41
30 radiosonde humidity measurements after they have been time-lag and bias-corrected by means of (i). Alternatively, under

suitable circumstances, the morphological sensitivity can also be derived from matching ascent and descent data, or from closely spaced Golden Points. Based on 70 nighttime CFH-RS41 tandem flights, the nonequilibrium analysis suggests that 18 % of the about 23'000 measurement points in the upper troposphere are associated with errors in the frost point of more than 0.2 K, 10 % with > 0.3 K, 4 % with > 0.5 K, and 1 % with > 1 K. Most profiles (68 %) have errors > 0.2 K in at least 5 % of their measurements. While these errors are consistent with the reported accuracy of the CFH instrument, there are occasions (~ 0.1 % of the measurement points and ~ 5 % of the flights) when the mirror temperature deviates by more than 3 K from the true atmospheric frost point or > 40 % error in H₂O mixing ratio in the tropopause region. Large errors of CFH are due to suboptimal control of the mirror temperature in certain measurement scenarios (such as large mixing ratio changes in the atmosphere or the presence of a coarse ice film on the mirror). We estimate that the nonequilibrium correction removes over 80 % of large nonequilibrium errors, which is superior to the low-pass filtering and time-lag correction techniques found in the literature. In particular, layers of extreme cold bias (< -3 K) can be identified and corrected, which is important for atmospheric trend detection. The study highlights that the output data of a chilled mirror hygrometer is to be considered from two perspectives, the mirror temperature and the mirror reflectance. Using both quantities enables frost point measurements that meet the target established by the World Meteorological Organization in 2024 for reference instruments used to measure water vapor in the atmosphere.

1 Introduction

Upper air hygrometry dates back to Dobson, Brewer and Cwiling (1946), who measured extremely low humidities at 12 km by means of ice condensate observed “just to increase and just to decrease”, thereby laying the foundation for the Golden Points approach developed here. Today, accurate measurements and long-term monitoring of atmospheric H₂O are of paramount importance for understanding the climate system, but are not trivial, especially in the upper troposphere/lower stratosphere (UT/LS) (Kley et al., 2000; Fahey et al., 2014), where the Earth's radiation balance is particularly sensitive to the distribution of water vapor (Solomon et al., 2010; Dessler et al., 2013). Measurements of H₂O in the UT/LS can be made in-situ using balloons and aircraft, or remotely using ground-based and satellite-based platforms (Kley et al., 2000; Kämpfer et al., 2013). These observations have improved our understanding of how ice clouds form via homogeneous or heterogeneous nucleation (e.g. Krämer et al., 2020), how they dehydrate/hydrate the air (e.g. Vömel et al., 1995; Khaykin et al., 2009; Reinares Martínez et al., 2021), and how water vapor enters the tropical lower stratosphere (e.g. Mote et al., 1996; Corti et al., 2006), where it contributes fundamentally to stratospheric chemistry, including the formation of polar stratospheric clouds (PSCs) and of the ozone hole (Solomon et al., 1986; Solomon, 1999).

Long-term monitoring for climate research requires not only high accuracy, but also long-term stability of the instrumentation. Hurst et al. (2016) reported that Aura/MLS (launched in 2004) and balloon-borne frost point hygrometers (FPH, CFH) started diverging in the early 2010s, with differences in some regions exceeding the combined uncertainty of the instruments. The discrepancies, albeit reduced, still persist in the most recent MLS data product (MLS v5), despite correcting for a drift in the

190 GHz sideband fraction of the MLS receiver (Livesey et al., 2021). The challenge of retrieving UT/LS water vapor on the global scale is also reflected in the data products from the European Centre for Medium-range Weather Forecasts (ECMWF), which have their highest uncertainty (biases > 10 %) in the tropopause region (Kaufmann et al., 2018; Brunamonti et al., 2019). Potential drifts, offsets, and temperature/pressure dependencies, are common hygrometry challenges (Buchholz et al., 2014; Sonntag et al., 2021), and large discrepancies between in-situ reference instruments have been revealed (e.g. Peter et al., 2006; Krämer et al., 2009; Fahey et al., 2014). A laboratory intercomparison in the AIDA climate chamber at the Karlsruhe Institute of Technology had to use the mean value of three core instruments (Tunable Diode Lasers (APic-T, JLH), Lyman- α 's (FISH, FLASH-B, HWV), and a chilled mirror (CFH)) as reference value, because of the lack of a metrological primary standard with SI-traceability for UT/LS conditions with low frost points at low temperatures and pressures (Fahey et al., 2014). Only recently have there been developments towards SI-traceable calibration under laboratory conditions representative of the UT/LS (e.g. Buchholz and Ebert, 2018; Sairanen et al., 2018; Lee et al., 2019; Brunamonti et al., 2023).

The WMO Commission for Instruments and Methods of Observation (CIMO) and the Global Climate Observing System (GCOS) have set *threshold* (i.e. ‘useful enough’), *goal* (i.e. ‘ideal’) and *breakthrough* (between *threshold* and *goal*, interpretable as optimal cost-benefit ratio) requirements for various essential variables. CIMO states, for H₂O measurements in the UT/LS with in-situ radiosonde sensors, the following requirements (WMO, 2024, their Annex 12.A):

- *threshold*: not specified;
- *breakthrough*: < 30 %RH 2 σ uncertainty in the UT and < 20 % 2 σ uncertainty in mixing ratio in the LS;
- *goal/optimum*: < 10 %RH 2 σ uncertainty in the UT and < 4 % 2 σ uncertainty in mixing ratio in the LS.

In comparison, GCOS has set significantly more stringent requirements for H₂O in the UT/LS (GCOS, 2025, their Section 2.3):

- *threshold*: < 0.5 ppmv or < 2 %RH 2 σ uncertainty (depending on the quantity of interest) at 250 m vertical resolution;
- *breakthrough*: < 0.25 ppmv or < 1 %RH 2 σ uncertainty at 100 m vertical resolution (based on the magnitude of the radiative forcing of stratospheric water vapor (about 0.24 Wm⁻² for 1 ppmv decadal change) reported by Solomon et al. (2010));
- *goal*: < 0.1 ppmv or < 0.5 %RH 2 σ uncertainty at 10 m vertical resolution (based on the magnitude of the stratospheric water vapor feedback (about 0.3 Wm⁻²K⁻¹) reported by Dessler et al. (2013), on interannual variability, on the data quality required to study supersaturation and dehydration processes, and on the vertical resolution required to study fine cirrus layers and complex tropopause profiles).

Note that in these guidelines the RH criteria are stated as absolute RH amount (WMO, 2019, their Paragraph 35.3.1.3 (b)), e.g. 15%RH, not 15 % of the observed value. The WMO OSCAR (Observing Systems Capability Analysis and Review tool) rolling requirements for atmospheric climate monitoring are also specified in terms of RH and are the same as the GCOS 2025 RH requirements (OSCAR, 2025, Variable ID 790). The currently available balloon and aircraft reference instruments just meet the GCOS 2025 breakthrough requirements and are far from achieving the GCOS 2025 goal. Since both atmospheric water

vapor (Calbet et al., 2022) and temperature (Podglajen et al., 2016) are subject to small-scale variability, it remains unclear whether it will be feasible to compare and validate instruments in-situ at the GCOS 2025 ‘goal’ level of accuracy and vertical resolution.

In this paper, we demonstrate that balloon-borne frost point hygrometers, which meet WMO 2024 ‘breakthrough’ and GCOS 2025 ‘threshold’ requirements in the UT/LS with the conventional averaging methods, are able to meet the WMO 2024 ‘goal’ and GCOS 2025 ‘breakthrough’ by using the retrieval protocol developed here, which actively utilizes the mirror reflectance information.

This paper is organized as follows. Section 2 presents the comparison of reference quality chilled mirror hygrometers with state-of-the-art capacitive thin-film humidity sensors and optical Lyman- α hygrometers, with an emphasis on the CFH chilled mirror hygrometer. In Sections 3 and 4, we introduce the ‘Golden Points’, i.e. measurements of unprecedented accuracy under rapidly changing humidity conditions at the minima and maxima of the mirror reflectance, where the mirror condensate is in equilibrium with the gas phase and the mirror temperature equals the frost point, and the nonequilibrium correction, which uses the time derivative of the mirror reflectance to correct measurements for non-equilibrium conditions between the Golden Points. This novel processing method for chilled mirror hygrometers makes active use of the mirror reflectance, improving the accuracy and vertical resolution of balloon-borne frost point measurements in the UT/LS. In Section 5, we present selected case studies highlighting the benefits of this new method in a variety of measurement scenarios, using auxiliary sensors or the chilled mirror itself for the nonequilibrium correction, and in Section 6, a statistical analysis illustrates the benefits of applying systematically the nonequilibrium correction to a large number of measurements across different sites (Appendix A) and provides a metric for methodological uncertainties.

2 Measurement methods and instruments

Balloon-borne measurements are easy to deploy and provide in-situ measurements from the ground to the middle stratosphere with high vertical resolution, including regions that are difficult to access, such as the tropical UT/LS or volcanic aerosol plumes (Kley et al., 2000). Several stations have been conducting routine balloon-borne measurements of stratospheric water vapor for several decades, with the station at Boulder considered a pioneer with well over 40 years of experience.

2.1 Balloon-borne hygrometry

Currently there are four types of hygrometers with state-of-the-art technology that can be flown on small meteorological rubber balloons for in-situ measurements in the UT/LS: (i) chilled mirror hygrometers such as CFH, FPH or SKYDEW (Vömel et al., 2016; Hall et al., 2016; Sugidachi et al., 2025); (ii) capacitive thin-film polymer sensors such as those in the Vaisala RS41 radiosonde (Survo et al., 2015; Vömel et al., 2022); (iii) Lyman- α fluorescence hygrometers such as FLASH-B (Lykov et al., 2011; Lykov and Khaykin, 2017); (iv) new developments of light-weight laser absorption spectrometers such as Pico-Light

126 H₂O (Ghysels et al., 2024) and ALBATROSS (Graf et al., 2021; Brunamonti et al., 2023). The chilled mirror instruments are
127 considered “best-in-class” and are used as a reference in several research and instrument intercomparison projects (e.g., Fahey
128 et al., 2014). In this paper, we compare the performance of the CFH with that of the Vaisala RS41 capacitive polymer sensor
129 and the FLASH-B Lyman- α hygrometer. In the remainder of this section, we provide relevant information on the chilled mirror
130 instruments and refer to Appendices B and C for instrumental details on RS41 and FLASH-B.

131 2.2 Chilled mirror instruments FPH and CFH

132 Balloon-borne chilled mirror instruments are suitable for multi-decadal measurements with low drift at relatively low cost
133 (Müller et al., 2016; Hall et al., 2016). The measurements taken regularly since 1980 in Boulder, Colorado (40° N) using the
134 “NOAA Frost Point Hygrometer” (FPH) constitute the longest uninterrupted time series of stratospheric water vapor (e.g.
135 Oltmans, 1985; Hurst et al., 2011a, 2016, 2023; Kiefer et al., 2023; NOAA, 2025). A close relative of FPH is the “Cryogenic
136 Frostpoint Hygrometer” (CFH), manufactured by EN-SCI, with similar measurement and long-term monitoring capabilities
137 (Vömel et al., 2007a, 2016; Hall et al., 2016; Davis et al., 2016; EN-SCI, 2025).

138 Balloon-borne chilled mirror hygrometry is the only known technique that can measure from the ground to the middle
139 stratosphere with high long-term stability. The accuracy of the H₂O mixing ratios derived from the dew/frost points measured
140 by CFH and FPH from the ground to an altitude of ~ 28 km is specified as better than 10-12 % after downsampling (Vömel et
141 al., 2007a; Hall et al., 2016). For the CFH and FPH data archived by NDACC, altitude-dependent uncertainty estimates are
142 provided (NDACC, 2020). Under conditions of good mirror frost control and a cloud-free troposphere these instruments offer
143 the potential to achieve the CIMO (but not GCOS) measurement goal of less than 4 % uncertainty in H₂O mixing ratio. For
144 example, FPH can achieve a mean uncertainty < 4 % at 250 m resolution in the benign background stratosphere between 19
145 km and 25 km altitude over Boulder, Colorado (Hall et al. (2016), see their Fig. 6b). The uncertainty increases to about 5 % at
146 ~ 28 km due to the growing influence of the radiosonde’s pressure uncertainty (0.5 hPa for iMet-1-RSB), whereas FPH can
147 satisfy $< 4\%$ uncertainty up to ~ 28 km if flown with RS41 (0.3 hPa pressure uncertainty). Above ~ 28 km, measurements
148 during balloon ascent often show an unrealistic increase in the H₂O mixing ratio caused by outgassing from the balloon skin
149 and the payload train (Brunamonti et al., 2018). With clouds in the troposphere, the onset of contamination may occur at
150 altitudes much lower than 28 km, in particular when the payload below the balloon performs a strong pendulum motion in
151 mixed-phased clouds, which increases the likelihood of droplets colliding with the inner walls of the inlet tubes and freezing
152 on them (Jorge et al., 2021).

153 In the absence of cloud contamination, FPH and CFH have their largest uncertainty in the UT/LS resulting from oscillations
154 in the mirror temperature induced by the frost control scheme (Vömel et al., 2007a; Hall et al., 2016). The FPH and CFH
155 controllers attempt to stabilize the voltage, which is a measure of the light reflected by the ice-covered mirror, i.e. the reflected
156 light intensity (reflectance). The controllers attempt to maintain a constant reflectance and thus, a constant amount of
157 condensed material, even when the water vapor concentration changes rapidly during a sounding. This results in oscillations

of the mirror temperature around the ambient frost point temperature. For CFH with ice on the mirror this is nominally within less than 0.3 % of the reflectance (at 1 σ and 1 s resolution), and similar for FPH (Vömel et al., 2007a; Hall et al., 2016). These damped oscillations of the frost layer are unavoidable with rapidly changing atmospheric conditions along the balloon trajectory. They are treated as an auto-correlated error component (i.e., error at time t is linearly related to error at $t - 1$ s) in the case of CFH (Vömel et al., 2016) or as random (i.e., normally distributed, uncorrelated ‘noise’) in the case of FPH (Hall et al., 2016). Occasionally, undamped instabilities in the electronic control system or, in rare cases, the loss of condensate, may occur, leading to measurement artifacts that do not represent actual atmospheric features (Vömel and Jeannet, 2013).

2.3 Operational principle of CFH

Figure 1 is a schematic diagram of CFH with its various elements. The performance of a chilled mirror hygrometer is constrained by its sensitivity to the condensate (in mV/($\mu\text{g}/\text{cm}^2$), see Eq. 2 in Section 4.1.1) and by the signal-to-noise ratio of its detection unit (in mV). The sensitivity to the condensate is given by the change in reflectance (in mV) per change in condensate coverage (in $\mu\text{g}/\text{cm}^2$) and depends on the morphology of the condensate and on the implemented reflectance detection scheme (Vetelino et al., 1996; Vömel et al., 2016). In CFH, the mirror is illuminated by a near-infrared light-emitting diode (LED), whose light is specularly reflected by the mirror and weakened by $\approx 12\%$ by the thin condensate (with coating of a few $\mu\text{g}/\text{cm}^2$). For simplicity’s sake, we will often use the term “layer thickness” to refer to the ice coverage, even though this does not fully capture the nature of the condensate, which may consist of various types of droplets or ice crystals that can grow to different unevenly thick along preferred nucleation sites (surface irregularities) or along small temperature gradients on the surface. Trace gas interferences (such as co-condensation of HNO_3 or CO_2) can be excluded as a source for systematic errors at atmospherically relevant concentrations (Thornberry et al., 2011; Vömel et al., 2016). CFH performs cleaning cycles with subsequent forced freezing (i.e. cooling the mirror to about 30 K below the frost point) twice per sounding (see e.g. Jorge et al. (2021), their Fig. 1). These are performed at -15°C (or -12.5°C for early instruments) and at -53°C , the former to ensure the transformation of dew to frost and the latter to prevent the formation of cubic or glassy (amorphous) ice (Vömel et al., 2007a). The phase of the condensate (liquid water or hexagonal ice) and associated saturation vapor pressure is therefore known at all times.

Under “good conditions”, i.e. for measurements with very stable PID control (the Proportional – Integral – Derivative controller used to regulate the mirror temperature), the total frost point uncertainty at the instrument’s 1-second resolution is given by the deviation of the mirror temperature T_m and the frost point T_{fp} , namely $|T_m - T_{fp}| < 0.2$ K (Fahey et al., 2014; Vömel et al., 2016). However, under rapidly changing conditions, the resulting controller oscillations can be well in excess of 0.2 K. Traditionally, the oscillations are smoothed out through time averaging. For example, for CFH a 25-second boxcar filter was used (corresponding to a vertical resolution of ~ 125 m), and for FPH a 50-second boxcar filter (~ 250 m), resulting in a total uncertainty of the average frost point of typically $|\bar{T}_m - \bar{T}_{fp}| < 0.51$ K (Vömel et al., 2007a; Hall et al., 2016).

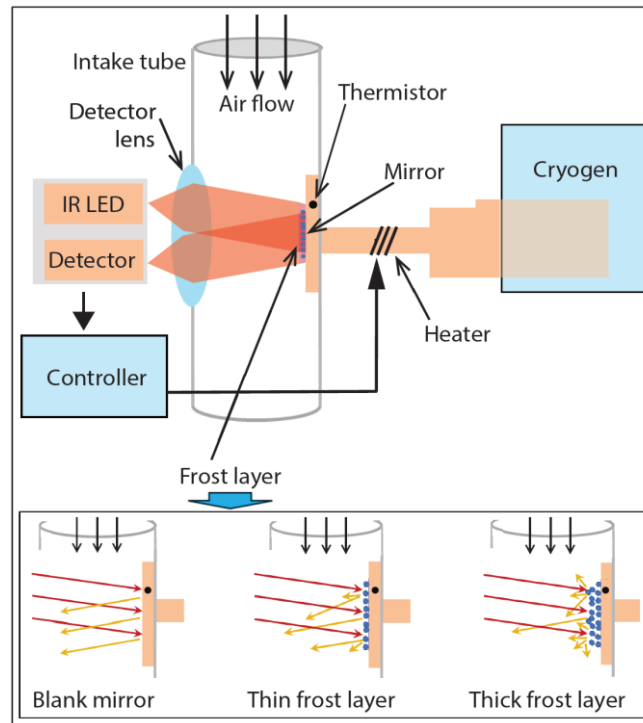


Figure 1. Frost point hygrometer and its various elements (not to scale). Top schematic: conceptual scheme (similar to Vömel et al. (2007a) and Hurst et al. (2023)). A photodetector measures the light reflected by the mirror (indicated by the voltage U_m) and is used to adjust the mirror temperature (T_m) within a feedback loop by adjusting the current through a heater coil, which diminishes the continuous cooling of the mirror by the evaporating cryogen (R23). A digital microcontroller manages this feedback loop, so that the mirror can be rapidly heated and cooled to bring its temperature as close as possible to the instantaneous frost point (T_{fp}). Bottom inserts illustrate qualitatively how deposit on the mirror increases optical turbidity, thereby reducing the measured specular reflectance.

2.4 CFH feedback control and controller stability

CFH uses a digital PID controller designed for fast response during ascent (Vömel et al., 2007a). The control (or “manipulated”) variable is the heating power (as a fraction of the maximum 12 W) applied to the heater coil wound around the mirror stem. This results in a change in mirror temperature through Joule heating of the cryogenically cooled cold finger. The process (or “feedback”) variable is the specular reflectance U_m of the mirror (with setpoint 2.5 V corresponding to 88% specular reflection). The mirror temperature T_m is the measurement output (in K), from which the amount of H_2O in the ambient gas phase is then derived. The feedback controller aims to maintain constant thickness of the condensate, which implies thermodynamic equilibrium with the gas phase (Barrett and Herndon, 1951; Mastenbrook and Oltmans, 1983). Assuming no instrumental problems, on a single measurement profile, the largest source of uncertainty is not the mirror temperature, but frost control stability (Vömel et al., 2016; Hall et al., 2016), which we describe and quantify in this work as nonequilibrium

error. It can range from < 0.1 K during stable frost control to a few K in case of unstable frost control (Vömel et al., 2016; see also Figure 7).

For long time series consisting of measurements from multiple balloon flights that have been downsampled to a coarse vertical resolution (e.g. for satellite comparison at a given pressure level as in Hurst et al. (2016)), the error introduced by the controller oscillations is considered to be random (Vömel et al., 2016). During a single balloon flight, however, the frost point measurements (reported at 1-second resolution in the telemetry) are not randomly distributed around the true value, but exhibit some autocorrelation due to the oscillatory behavior of the PID controller (Vömel et al., 2016). Put simply, the reported mirror temperature periodically alternates between “swings” that are too warm or too cold. The period and amplitude of the oscillations depend on the controller’s response to the transient dynamics of frost formation and evaporation, whose time scale is primarily governed by the difference between the ambient H_2O partial pressure and the ice vapor pressure (kinetic time scale $\tau_{\text{kin}} \sim p/\Delta p_{\text{H}_2\text{O}}$). Further, it depends on the cryogen and ambient air temperatures, on the ventilation, and on the morphology of the frost coverage. This difficulty is only partially remedied by taking mean values of atmospheric layers, e.g. several 100 m thick, as done by Vömel et al. (2007a; 2016) and Hall et al. (2016).

2.5 CFH mirror temperature accuracy

CFH uses a small bead thermistor embedded into a gold-plated copper mirror disk of 7 mm diameter and 1.27 mm thickness, whose calibration is traceable to NIST (Vömel et al., 2007a). Details on the calibration procedure, accuracy and long-term stability are provided by Vömel et al. (2007a; 2016), see also Hall et al. (2016). We note that all CFH instruments analyzed in this work (Table A1) have serial numbers $> 2\text{L}2825$, such that a mirror temperature bias (see Vömel et al., 2016) is not expected. Adding all components in quadrature, the mirror temperature uncertainty is < 0.11 K for ice films and < 0.20 K for liquid films, as the random uncertainty of the thermistor measurement is largest in the lower troposphere and negligible higher up (Vömel et al., 2016; see also Hall et al., 2016). The systematic error, i.e. the error component that cannot be reduced by averaging over multiple measurements, is estimated to be less than 0.11 K (Vömel et al., 2016), and its main component is the inhomogeneity of the temperature across the mirror, reported to be less than 0.1 K (Oltmans, 1985; Vömel et al., 2007a; Hall et al., 2016). For routine measurements, it is good practice to perform a ground check before each launch (Immler et al., 2010; Vömel et al., 2016).

With a stable PID control, i.e. close to thermodynamic equilibrium between condensate and vapor phase, the accuracy of the CFH dew point or frost point is therefore excellent, with an overall uncertainty of < 0.2 K for ice and < 0.3 K for liquid condensate. This corresponds to an error in the H_2O mixing ratio of < 4 % up to the middle stratosphere (~ 28 km), including the 0.3 hPa uncertainty of the RS41 radiosonde GPS-based pressure measurement (Vaisala, 2018b). This is also the basis for the temperature accuracy at the Golden Points presented in the next section. At the Golden Points all the oscillatory errors due to the PID controller, i.e. the main uncertainty of CFH, vanish. However, it is important to note that the accuracy of the frost point measurement depends not only on the instrument accuracy, but also on how well the position of a Golden Point along a

239 profile is determined, which is particularly important for instruments with large amplitudes in the controller oscillations (see
240 example in Section 5.7).

241 **3 The Golden Points of chilled mirror hygrometry**

242 In a Royal Society Bakerian Lecture in 1945, Dobson, Brewer and Cwilog (1946) described a chilled mirror hygrometer for
243 accurately determining the frost point. In practice, for their “eye-observation” instrument, they observed the two temperatures
244 at which the condensate “just increases” and “just decreases”, and then calculated the mean of these temperatures as being
245 “very near the true frost-point”. This sandwiching of the equilibrium is essentially the Golden Point method. They further
246 noted that, given the low temperatures in the UT/LS and the corresponding low H₂O vapor pressures, the growth/shrinking of
247 the frost is a slow process. They wrote that this method is more accurate than the classic visual inspection of the dew point
248 method (dating back to Daniell (1820)), where the temperature is measured when the condensate first appears and then
249 completely disappears. This is because frost occurs only after the surface has cooled to 2 - 3 K below the frost point, i.e., frost
250 formation requires massive supersaturation, which usually first leads to the formation of supercooled dew, from which the ice
251 then nucleates.

252 **3.1 Definition of Golden Points**

253 Manual operation and visual detection have since been replaced by automatic sensitive measurements with photo-electric
254 detection of frost on a mirror and a mirror temperature control loop, but the fundamental principle of chilled mirror hygrometry
255 remained the same: when the condensate grows, its temperature is too low, when it shrinks, its temperature is too high. A
256 modern frost point hygrometer attempts to automatically control the cooling power of its mirror such that the condensate
257 neither grows nor shrinks (Barrett and Herndon, 1951; Mastenbrook and Oltmans, 1983). However, in order to stabilize the
258 condensate under rapidly changing conditions during a balloon sounding, the instrument must regularly overshoot and
259 undershoot the frost point by oscillations in the mirror temperature. In a stable laboratory environment and with a well-tuned
260 feedback controller, small perturbations may lead to controller oscillations that decrease over time and may reach final
261 amplitudes of only a few milli-Kelvin.

262 While errors smaller than 0.1 K can also be achieved during balloon soundings, they may reach several K and not be symmetric
263 about the frost point due to the difference in frost formation and evaporation (Vömel et al., 2016). A chilled mirror hygrometer
264 is therefore unable to maintain the condensate in a continuous (or static) equilibrium with the partial pressure of H₂O in the
265 ambient air ($p_{\text{H}_2\text{O}}$). Instead, the condensate undergoes intermittently moments in which the net exchange of water molecules
266 with the gas phase vanishes. This occurs precisely when the condensate changes from growth to evaporation or vice versa,
267 which corresponds to an extreme value in condensate thickness and, thus, in the mirror reflectance voltage U_m . In such an

equilibrium-like moment, the net flux of H₂O is zero because the vapor pressure of the condensate and the H₂O partial pressure are equal ($p_{\text{vap}} = p_{\text{H}_2\text{O}}$). Therefore, only at these points does the chilled mirror hygrometer measure the true frost point.

For the sake of simplicity, in this article we refer to these equilibrium-like moments simply as “equilibrium,” even though the state with $p_{\text{vap}} = p_{\text{H}_2\text{O}}$ may only last for a fraction of a second. Specifically, all measurements with a net H₂O flux of zero between condensate and gas phase are referred to as the “*Golden Points*” of chilled mirror hygrometers. Formally, the relationship for the Golden Points reads

$$T_m = T_{\text{fp}} \Leftrightarrow \frac{dU_m}{dt} = 0, \quad (1)$$

where T_m is the mirror temperature (an accurate representation of the condensate temperature), T_{fp} is the frost point of the ambient air, t is the time, U_m is the feedback variable for the amount of condensate, and dU_m/dt is the measure of condensate growth. In the case of CFH, U_m is the mirror reflectance given by the voltage of the photodetector (in V), which in turn controls the mirror temperature T_m . We call Eq. 1 the “Golden Points equation”.

Golden Points occur in all chilled mirror hygrometer measurements, regardless of whether they are cooled cryogenically (e.g. Mastenbrook and Oltmans, 1983) or thermo-electrically (e.g. Fujiwara et al., 2003; Sugidachi et al., 2025), or whether their feedback measurement for condensate growth is specular reflectance (e.g. Vömel et al., 2007a), light scattering (e.g. Brewer et al., 1951), phase/frequency shifts of a surface acoustic wave (e.g. Hansford et al., 2006) or energy attenuation of alpha radiation (e.g. Rohrbough et al., 1967). Automatic chilled mirror hygrometers usually try to maintain their feedback variable U_m as close as possible to a predefined “setpoint” U_{set} , which is determined by a constant reflectance chosen to avoid a sudden loss of condensate while preserving good sensitivity to changes in condensate thickness. The effect of the feedback controller could lead to the misconception that $U_m = U_{\text{set}}$ is a sufficient condition for equilibrium, i.e. $T_m = T_{\text{fp}}$. This is generally not true, as $T_m = T_{\text{fp}}$ applies if and only if $dU_m/dt = 0$, which can occur far from the setpoint. Consequently, good chilled mirror instruments should have an optically sensitive ice film and measure mirror temperature and mirror reflectance simultaneously at high frequency, high accuracy, low noise and low reflex sensor drift. This is because excellent accuracy of the frost point measurement can only be achieved if the position of the Golden Point along the balloon trajectory is also precisely determined with well-synchronized temperature and reflectance time series. The accuracy is therefore not determined solely by the precision of the temperature sensor, but also by the accuracy of the position of the Golden Point. To meet the low noise condition, smoothing is often required. This concerns white noise from the electronics (on CFH, pre-launch clean mirror data indicates it contributes $\lesssim 10\%$ to the overall noise) and from the condensate itself (such as specular reflections of individual crystal faces), but above all spectral noise from problematic and unwanted signals that correspond to some eigenfrequency of the condensate-substrate-electronics system. In Fig. D1 we show that CFH with ice film sometimes appears to develop an eigenperiod of ~ 5 s, which is not an atmospheric signal but needs to be removed by an appropriate smoothing. In this work we apply a smoothing of the CFH reflectance signal of 3 s for the liquid films and 15 s for the ice films (which takes account of changes in condensation rate and film morphology). Figures D1 and D2 suggest that a smoothing interval of 15 s is the optimal

300 compromise between removing instrument artifacts and preserving natural features in the upper-air H₂O profile, and we
301 examine the effects of using shorter or longer smoothing intervals. Smoothing complicates the determination of the exact
302 timing of the Golden Point and must therefore be applied with caution in order not to reduce the accuracy of the frost point
303 T_{ip} .

304 Reporting the Golden Points to achieve maximum accuracy is not new: the first accurate measurements of stratospheric water
305 vapor performed by Dobson and colleagues (1946) were essentially the Golden Points of their manually operated hygrometer.
306 In this respect, to our knowledge, they are the inventors of the Golden Points method. In the age of fully automated chilled
307 mirror hygrometers, however, the method and its benefits fell into oblivion.

308 **3.2 Application of the Golden Points method**

309 A first example of using the Golden Points method is shown in Fig. 2, which compares the humidity measurements in the
310 midlatitude tropopause region of three instruments: the CFH chilled mirror, the FLASH-B Ly- α fluorescence instrument and
311 the thin-film humidity sensor of the RS41 radiosonde. Measuring the fine structure of UT/LS water vapor mixing ratio (on a
312 scale < 100 m) with high accuracy (< 10 %) is still a challenge even for state-of-the-art instruments, especially around the
313 tropopause, where an abrupt transition from moist tropospheric air to very dry stratospheric air takes place. Near the
314 tropopause, the differences between the instruments shown in Fig. 2 are more than 30 % in H₂O mixing ratio, which is far
315 from the target of < 4 % set by CIMO, let alone the target of 2 % set by GCOS. We show in this section that we can reduce
316 these discrepancies to < 15 % when applying physically meaningful corrections to the thin-film and Ly- α instruments, based
317 on the Golden Points of the chilled mirror instrument. As we will show in Section 5.2, we can further reduce the discrepancies
318 to ~ 5 %, when CFH controller instabilities leading to strong nonequilibrium states of the mirror are corrected by means of a
319 nonequilibrium correction of CFH.

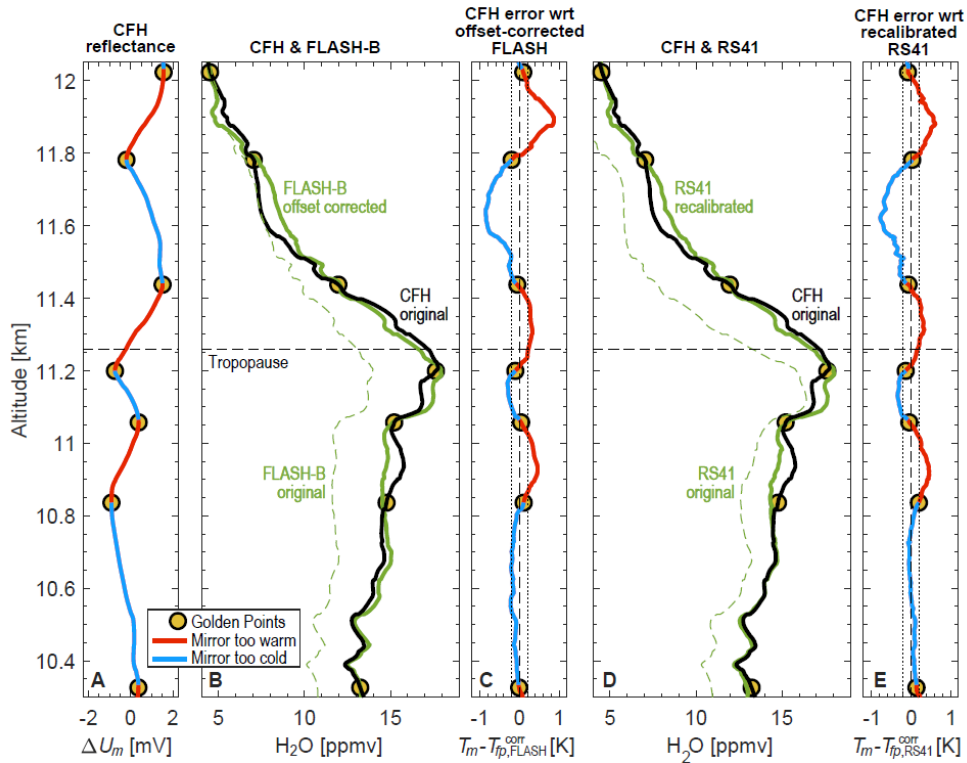


Figure 2. Sounding of the tropopause region over Lindenberg, eastern Germany, on 13 March 2017, 23 UT. Humidity was measured by three hygrometers on the same payload: CFH chilled mirror, FLASH-B Ly- α fluorescence instrument, and the thin-film polymer sensor of the RS41 radiosonde. **(A)** Mirror reflex signal (detector voltage $U_m - U_{\text{set}}$ in mV) with the Golden Points located at the extreme values, where $dU_m/dt = 0$. **(B)** Original data of CFH (thick black curve) and FLASH-B (thin dashed green curve) as well as offset-corrected FLASH-B data (thick green curve), smoothed with the same ± 10 s boxcar filter as the RS41 data. **(C)** Error of CFH frost point data with respect to the offset-corrected FLASH-B. **(D)** Original data of CFH (thick black curve, same as in panel B) and RS41 (thin dashed green curve) as well as time-lag and bias-corrected RS41 data (thick green curve). **(E)** Error of CFH frost point data with respect to the time-lag and bias-corrected RS41.

Figures 2B and 2D show the original data of the three instruments as thick black lines for CFH and as green dashed lines for the other two instruments. Both FLASH-B and RS41 suggest a much drier upper troposphere and lower stratosphere than CFH. However, the extreme values of CFH mirror reflectance ($dU_m/dt = 0$) indicate seven Golden Points in the selected altitude range, whose altitudes can be determined with sufficient accuracy (within better than ± 5 m). CFH has been shown to measure the frost point with less than 0.2 K error under equilibrium conditions in the laboratory (Fahey et al., 2014) and under good flight conditions (Vömel et al., 2016), which we assume to also apply to the equilibrium situation of the Golden Points (see further Section 5.5 for a discussion of the uncertainty at the Golden Points). This corresponds to an uncertainty in the water vapor mixing ratio at frost point temperatures around 205 K and a pressure around 200 hPa of less than 0.5 ppmv (or 3 % in

338 mixing ratio). Deviations in the original data of the other two instruments of several ppmv as shown in Fig. 2 therefore exceed
339 the uncertainty at the Golden Points by almost an order of magnitude.

340 FLASH-B usually requires recalibration between flights. As Fig. 2 shows, large residual errors may be related to a constant
341 offset in the fluorescence counts. Here, we determine the size of this offset from the CFH Golden Points. The FLASH-B
342 instrument ("FI05/12") in Fig. 2B can be corrected to match the Golden Points by assuming a constant offset (78.5 counts/s)
343 in the fluorescence count rate (compared to typical count rates in the tropopause region of several hundred counts/s) prior to
344 multiplication with the calibration constant (0.0256 ppmv/(counts/s)). This results in near-perfect agreement with the CFH
345 water vapor mixing ratio at all seven Golden Points. See Appendix C for details on the FLASH-B offset.

346 RS41 typically requires corrections of the time-lag and bias of the thin polymer film measurement. In general, Vaisala's RS41
347 product, which is corrected for time lag but not for bias, shows good agreement with CFH in the lower and middle troposphere.
348 Under the low relative humidities and low temperatures prevailing in the UT/LS, the RS41 generally still remains sensitive to
349 moisture, but residual time-lag and bias errors, along with increasing sonde-to-sonde variability, reduce the accuracy of the
350 RS41 measurement (Brunamonti et al., 2019; Poltera, 2022; see also Figure B1 in Appendix B). Once the relative humidity
351 above the tropopause drops below about 2 %RH, i.e., typically 1-3 km above the tropopause in midlatitudes, the RS41 lacks
352 responsiveness to humidity changes (Survo et al., 2015; Vömel et al, 2022) and cannot be used as tandem hygrometer anymore.
353 We apply our own processing to Vaisala raw data at the heated humidity sensor temperature (the Vaisala software provides
354 the raw *RH* and *T*, at both sensor and air temperatures) by performing the following steps (see Section 4.2 and Appendix B for
355 details):

- 356 - we apply a time-lag correction to the raw data of relative humidity from RS41 according to Miloshevich et al. (2004),
357 using a 2-parameter fit for a time constant of Arrhenius type (see Eq. B1);
- 358 - we smooth the time-lag corrected data with a boxcar filter (in this case with a full width of ± 10 s corresponding to about
359 ± 50 m in altitude);
- 360 - we apply a bias correction using a 6-parameter fit that minimizes the root mean square error (RMSE) between the frost
361 points determined from the time-lag corrected and smoothed RS41 data and the CFH Golden Points (see Eq. B2).

362 This procedure shifts the RS41 data from the profile originally provided by the Vaisala software (green dashed curve in Fig.
363 2D) to the time-lag and bias corrected profile (green solid curve in Fig. 2D). Thereafter, at the Golden Points the remaining
364 error between the corrected RS41 and CFH frost points remains below ± 0.2 K (vertical dotted lines in Fig. 2E).

365 While the agreement between CFH on the one hand and the offset-corrected FLASH-B or the time-lag and bias-corrected
366 RS41 on the other hand is excellent at the Golden Points, there are still discrepancies up to ± 0.9 K away from the Golden
367 Points (blue and red lines in Fig. 2C, 2E). These remaining discrepancies are due to the CFH controller oscillations. As we
368 will show in the next section, they can be further decreased to ± 0.4 K by applying a nonequilibrium correction to the CFH
369 data.

4 Reflectance-based nonequilibrium correction away from the Golden Points

This section presents details on the nonequilibrium correction, first introduced by Poltera et al. (2021). By adjusting the mirror temperature, T_m , chilled mirror hygrometers aim at establishing equilibrium between the H_2O partial pressure in the ambient air and the H_2O vapor pressure of the condensate (frost or dew). The vapor pressure satisfies the Clausius-Clapeyron equation $dp_{\text{vap}}/p_{\text{vap}} = \Delta H_{\text{vap}}/R \cdot dT/T^2$, where ΔH_{vap} denotes the heat of evaporation (≈ 51 kJ/mol for ice) and R the universal gas constant. For constant ΔH_{vap} , the Clausius-Clapeyron equation has the Arrhenius-type solution $p_{\text{vap}} \propto \exp(-\Delta H_{\text{vap}}/RT)$.

4.1 Quantifying the nonequilibrium

Under nonequilibrium conditions, if the mirror is too cold, the net H_2O flux towards the mirror is positive, the condensate grows, and the mirror reflectance decreases ($T_m < T_{\text{fp}} \Rightarrow dU_m/dt < 0$), and vice versa for too warm conditions. Determining equilibrium according to Eq. 1 is straightforward, but is only a consequence of a more general nonequilibrium equation. Quantifying nonequilibrium relies on three assumptions, which we describe in the following subsections.

4.1.1 Assumption I: mirror reflectance responds linearly to H_2O flux changes

The relationship $U_m(M_{H_2O})$ between the amount of specularly reflected light given by the voltage U_m (in V) and the amount of condensate on the mirror M_{H_2O} (in mol/m²) is a monotonically decreasing function. We assume that the relationship is linear over a small range of mirror reflectances around the setpoint U_{set} (Barrett and Herndon, 1951):

$$\frac{dU_m}{dt} = \frac{dU_m}{dM_{H_2O}} \cdot \frac{dM_{H_2O}}{dt} \equiv -A' \cdot \frac{dM_{H_2O}}{dt}. \quad (2)$$

We term $A' = -dU_m/dM_{H_2O}$ the “morphological sensitivity” of the mirror condensate (in V/(mol/m²)), which depends on the morphology of the condensate, e.g. ice crystal size and shape. Under many circumstances, within a flight segment, A' is approximately constant. For CFH, we estimate that the linearity holds for $|U_m - U_{\text{set}}| < U_{\text{set}}/100$ for ice condensates and $|U_m - U_{\text{set}}| < U_{\text{set}}/10$ for liquid water condensates, with $U_{\text{set}} = 2.5$ V. The assumption that A' is constant means that the morphology of the condensate near the setpoint remains stable over time, whereas abrupt morphology changes, such as the tearing off of pieces of the ice film violate this assumption (i.e., measurements at and shortly after such an event are considered artifacts). A similar linear relationship near the setpoint is assumed for chilled mirror hygrometers using light scattering from the condensate instead of specular reflectance from the mirror as feedback variable, such as SKYDEW (Sugidachi et al., 2025).

4.1.2 Assumption II: net H_2O flux is diffusion-controlled

A thin layer of thickness Δx_{diff} , Prandtl's boundary layer, is located above the mirror and its condensate, in which molecular gas phase diffusivity determines the time scale of mass transfer between the flowing air and the condensate. It can be approximated as $\Delta x_{\text{diff}} = \Delta x_{\text{diff}}(L, \text{Re}) \approx \frac{5}{3} L/\text{Re}^{1/2}$ (Schlichting and Gersten, 2017), where $L = 3.5$ mm is the distance from the

398 mirror edge to its center. We further simplify this (using $Re = \rho/\mu \times v/L$, with the dynamic viscosity of air $\mu \approx 0.017 \text{ mPa s}$)
 399 to $\Delta x_{\text{diff}} \approx 150 \text{ }\mu\text{m} \times (\rho(0)/\rho(z))^{1/2} \times (v_0/v)^{1/2}$, where $\rho(z)$ is the air density at altitude z , $\rho(0) \approx 1.3 \text{ kg/m}^3$, v is the balloon
 400 vertical velocity and $v_0 = 5 \text{ m/s}$. For most of the applications within the upper troposphere, $\Delta x_{\text{diff}} \approx 250 \text{ }\mu\text{m}$ is a sufficient
 401 approximation. Fick's first law (1855) for the H_2O molecular flux onto the mirror reads (Taylor and Krishna, 1993):

$$402 \quad \frac{dM_{\text{H}_2\text{O}}}{dt} = \frac{D_{\text{H}_2\text{O}}(\bar{p}, \bar{T})}{\Delta x_{\text{diff}} \cdot R \bar{T}} \cdot (p_{\text{H}_2\text{O}} - p_{\text{vap}}(T_{\text{ice}})), \quad (3)$$

403 where $dM_{\text{H}_2\text{O}}/dt$ is the H_2O molar flux onto the mirror, $D_{\text{H}_2\text{O}}$ is the diffusion coefficient of H_2O molecules in air (in m^2/s), R
 404 is the ideal gas constant, Δx_{diff} is the boundary layer thickness, $p_{\text{H}_2\text{O}}$ is the H_2O partial pressure in the ambient air and $p_{\text{vap}}(T_{\text{ice}})$
 405 the H_2O vapor pressure of the ice film on the mirror with temperature T_{ice} , or of the liquid in the case of dew. Furthermore, $\bar{p}$
 406 and $\bar{T}$ are the average pressure and temperature in the diffusion layer between the ice surface and the ambient air (Taylor and
 407 Krishna, 1993), taken here as $\bar{p} \approx p_{\text{air}}$ and $\bar{T} \approx T_{\text{air}}$. We approximate the gas phase diffusion coefficient of H_2O as $D_{\text{H}_2\text{O}}(p, T) \approx$
 408 $D_0 \cdot (p_0/p) \cdot (T/T_0)^2$, with the standard diffusion coefficient $D_0 = 0.21 \text{ cm}^2/\text{s}$, standard pressure $p_0 = 1013 \text{ hPa}$ and standard
 409 temperature $T_0 = 273 \text{ K}$ (Pruppacher and Klett, 2010). Finally, note that the H_2O partial pressure satisfies $p_{\text{H}_2\text{O}} = p_{\text{vap}}(T_p)$.

410 **4.1.3 Assumption III: mirror temperature equals condensate temperature**

411 Finally, we assume that the measured mirror temperature T_m is an accurate representation of the condensate temperature T_{ice}
 412 with negligible time-lag:

$$413 \quad T_{\text{ice}} = T_m. \quad (4)$$

414 Vömel et al. (2016) estimated temperature inhomogeneities to be less than 0.1 K, owing to the high heat conductivity (about
 415 $400 \text{ Wm}^{-1}\text{K}^{-1}$) of the gold-plated copper mirror of CFH (Vömel et al., 2007a) and the only few micrometers thick ice crystals
 416 on the mirror (Leu and Kayser, 2009) after the CFH cleaning cycles at -15°C dew point and -53°C frost point. Convective heat
 417 transfer from the flowing air to the mirror is not negligible and must be taken into account in the implementation of the PID
 418 controller (Vömel and Jeannet, 2013). Nevertheless, the temperature of the mirror surface and the ice film equilibrate within
 419 ~ 120 milliseconds when the total heat load changes (assuming a characteristic length scale $< 3.5 \text{ mm}$ for the copper mirror
 420 disk and $< 0.1 \text{ mm}$ for the thin ice film). Therefore, inhomogeneities in the mirror temperature and uncertainties of the
 421 thermistor are covered by the overall mirror temperature uncertainty of at most 0.11 K (Vömel et al., 2016), as long as dT_m/dt
 422 $\lesssim 0.9 \text{ K/s}$, which most often holds for CFH.

423 **4.1.4 The nonequilibrium equation**

424 When the deviation from the mirror reflectance setpoint is sufficiently well constrained by the micro-controller, the change in
 425 mirror reflectance is proportional to the net flux of H_2O molecules with a timescale governed by diffusive transport in the thin
 426 layer over the mirror. Then, combining Eqs. 2-4 yields the “nonequilibrium equation”:

$$\frac{dU_m}{dt} = A' \frac{D_{H_2O}(\bar{p}, \bar{T})}{\Delta x_{diff} \cdot R\bar{T}} \cdot (p_{vap}(T_m) - p_{vap}(T_{fp})). \quad (5)$$

Equation 5 is the quantification of nonequilibrium conditions on the mirror. If and only if the mirror reflectance assumes an extreme value (i.e., a local maximum or minimum, $dU_m/dt = 0$), the mirror temperature is at the frost (dew) point ($T_m = T_{fp}$ or T_{dp}) and the nonequilibrium vanishes, i.e., Eq. 5 relaxes to the Golden Point equation.

At the Golden Points, the mirror temperature is the most accurate estimate of the true atmospheric frost (dew) point within the sampling period of ± 1 s. In contrast, between the Golden Points the mirror is in a nonequilibrium state. Equation 5 provides a fundamental mathematical relationship between mirror temperature and mirror reflectance:

- When the mirror reflectance increases ($dU_m/dt > 0$), the mirror coverage decreases, the condensate evaporates, the mirror is too warm (i.e. the mirror temperature experiences a warm ‘excursion’).
- When the mirror reflectance decreases ($dU_m/dt < 0$), the mirror coverage increases, the condensate grows, the mirror is too cold (i.e. the mirror temperature experiences a cold ‘excursion’).
- When the mirror reflectance passes through a minimum ($dU_m/dt = 0$, $d^2U_m/dt^2 > 0$), the condensate changes from growth to evaporation as the mirror temperature is crossing the frost (dew) point.
- When the mirror reflectance passes through a maximum ($dU_m/dt = 0$, $d^2U_m/dt^2 < 0$), the condensate changes from evaporation to growth as the mirror temperature is crossing the frost (dew) point.
- If the reflectance of the mirror remains almost constant ($dU_m/dt \approx 0$, $d^2U_m/dt^2 \approx 0$) and the quality of the ice film is good, the condensate neither grows nor evaporates rapidly, and the mirror temperature is close to the frost (dew) point; due to the permanently changing atmospheric conditions along the balloon trajectory, such mirror reflectance ‘plateaus’ or ‘Golden Ranges’ occur much less frequently than mirror reflectance oscillations.

During a balloon sounding, chilled mirror hygrometers with accurate mirror temperature sensors and reflectance measurements with good signal-to-noise ratio pass through a series of Golden Points with accurate T_{fp} measurements. The number of Golden Points depends on:

- the setting (or ‘tuning’) of the feedback controller (i.e., desired response speed to a sudden change in the frost point)
- the airflow through the inlet tube
- the morphology of the condensate (fine vs. coarse frost, as well as patchy vs. homogeneous frost distribution)
- the state of the atmosphere (slow vs. fast varying frost point).

For CFH, the temporal sequence of Golden Points typically ranges from a few seconds in the lower troposphere to a few tens of seconds in the UT/LS. As we will see in the case studies in Section 5, the Golden Points enable the in-situ/flight recalibration of other sensors flown in tandem with CFH, such as the RS41, which in turn allows applying a nonequilibrium correction to the CFH data.

4.1.5 Linearized form of the nonequilibrium correction

In order to improve applicability in control theory with the heat balance equation ($dT_m/dt \propto$ applied heat) and facilitate the use for frost point hygrometers, which measure temperatures, we rewrite the nonequilibrium equation (Eq. 5) by means of the linearized form of the Clausius-Clapeyron equation, $p_{\text{vap}}(T_m) - p_{\text{vap}}(T_{\text{fp}}) \approx \Delta H_{\text{vap}}/R \cdot p_{\text{vap}}(T_m)/T_m^2 \cdot (T_m - T_{\text{fp}})$, valid for $|T_m - T_{\text{fp}}|$ smaller than 1 – 2 K, to obtain a linearization of dU_m/dt in terms of the temperature deviation:

$$\frac{dU_m}{dt} \approx A' \cdot \frac{D_{\text{H}_2\text{O}}(\bar{p}, \bar{T})}{\Delta x_{\text{diff}} \cdot R \bar{T}} \cdot \frac{\Delta H_{\text{vap}}}{R} \cdot \frac{p_{\text{vap}}(T_m)}{T_m^2} \cdot (T_m - T_{\text{fp}}). \quad (6)$$

Once the morphological sensitivity, $A' = -dU_m/dM_{\text{H}_2\text{O}}$, of the mirror condensate is known, Eq. 6 can be used to quantify the temperature error of the mirror, $T_m - T_{\text{fp}}$, as all the other terms in this equation are known physical constants or measured quantities. The least well-known quantity is the thickness of the boundary layer, which during balloon ascent in the upper troposphere is typically $\Delta x_{\text{diff}} \approx 250 \mu\text{m}$ (see Section 4.1.2). However, Δx_{diff} can be thicker in situations of poor ventilation ($\Delta x_{\text{diff}} \propto v_{\text{flow}}^{-1/2}$), such as under prelaunch conditions, or thinner in situations of strong ventilation, such as directly after the burst of the balloon.

We can rewrite Eq. 6 as

$$\frac{dU_m}{dt} \approx A \cdot \frac{\bar{T}}{T_m} \cdot \chi_{\text{H}_2\text{O}}(T_m) \cdot \frac{T_m - T_{\text{fp}}}{T_m}, \quad (7)$$

where A is the “effective sensitivity”, namely $A = A' \cdot D_0 \cdot p_0 \cdot \Delta H / (\Delta x_{\text{diff}} \cdot (RT_0)^2) \approx A' \cdot 84 \text{ mol}/(\text{m}^2\text{s})$ in V/s. This parameter is assumed constant within a flight segment, and combines the morphological sensitivity A' (in $\text{V}/(\text{mol}/\text{m}^2)$), the thickness of Prandtl’s boundary layer ($\Delta x_{\text{diff}} \approx 250 \mu\text{m}$), the heat of evaporation of ice ($\Delta H_{\text{vap}} \approx 51 \text{ kJ/mol}$) and the universal gas constant ($R = 8.3145 \text{ J}/(\text{K mol})$). Furthermore, $\chi_{\text{H}_2\text{O}}(T_m) = p_{\text{vap}}(T_m)/\bar{p}$ is the H_2O mixing ratio estimated from the mirror temperature. The correction of the mirror temperature is then easily written as

$$T_{\text{fp}}(t) \approx T_m(t) - \frac{B(t)}{A} \cdot \frac{dU_m}{dt}(t), \quad (8a)$$

where $B(t) = T_m^2/(\bar{T} \cdot \chi_{\text{H}_2\text{O}}(T_m))$ in K is a function of temperatures and of the water vapor mixing ratio.

Equation 8a allows to perform a nonequilibrium correction of the CFH measurement between the Golden Points directly in terms of frost point, the primary measured quantity of frost point hygrometers. However, this requires knowledge of the morphological sensitivity $A' = -dU_m/dM_{\text{H}_2\text{O}}$, which can differ by more than an order of magnitude from flight to flight and even changes after each CFH cleaning cycle (as shown in Section 6.1). Different A' reflect large variability in ice film morphology due to slightly different conditions at the time of ice nucleation and growth (and possibly sintering) on the mirror following the cleaning cycles. Therefore, obtaining A' typically requires measurements by a second, independent instrument on the same platform, such as RS41 or FLASH, to establish an in-flight nonequilibrium correction of CFH.

485 However, there are a number of causes of residual errors that are not corrected by the nonequilibrium correction, even when
486 excluding measurements affected by contamination of the inlet tube by hydrometeors or outgassing of the balloon skin, or by
487 strong electronic interference. Such residual errors include: (i) uncertainties in dU_m/dt due to stray light, which can be largely
488 avoided by flying only at night; (ii) abrupt jumps in U_m due to break-off of parts of the ice film, deposition of cloud particles
489 on the mirror, or the sudden onset of specular reflection of individual crystal faces, all of which occur very rarely, and are
490 typically flagged and discarded in the NDACC CFH processing (Vömel et al., 2016, their Appendix A); (iii) an uncertainty in
491 A due to a lack of responsiveness of the second sensor to humidity changes, which is regularly the case for RS41 when the
492 relative humidity above the tropopause drops below 2 %RH, so that the correction of CFH in the stratosphere must rely on the
493 sensitivity A determined for the second ice film in the upper troposphere. While point (iii) may appear to be a major drawback
494 in using RS41-derived sensitivities A to correct CFH in the stratosphere, this work will show below that A derived in the upper
495 troposphere can be used very well deep in the stratosphere (provided the thickness of the Prandtl Boundary layer, Δx_{diff} , is
496 adapted appropriately, see Section 5.6.1).

497 **4.2 Using auxiliary sensors for the nonequilibrium correction of CFH**

498 Throughout the troposphere and stratosphere, the CFH measurements are excellent at the Golden Points, i.e. T_{fp} accurate to
499 ± 0.2 K, corresponding to errors in H_2O vapor pressure or mixing ratio of less than 3.5 % (except where measurements are
500 contaminated (Jorge et al., 2021)). By contrast, nonequilibrium states of the controller may cause much larger errors just a few
501 meters above or below the Golden Points. Realizing the CIMO and GCOS goals requires measurements of the atmospheric
502 frost point with low uncertainty and high vertical resolution. For this, we need to correct the nonequilibrium errors between
503 the Golden Points, which can be achieved by a second sensor on the same payload after recalibrating it using the Golden
504 Points. This could be a sensor with higher vertical resolution than the Golden Points, but with lower accuracy than CFH.
505 Sensors with sufficient capabilities include the humidity sensor of the RS41 or the FLASH-B hygrometer, while certain other
506 sondes may not be suitable, because of a slow response in the upper troposphere and insufficient stratospheric RH sensitivity,
507 such as the often-used iMet-1 radiosonde (e.g. Hurst et al., 2011b). As we will discuss in Section 4.3, under certain
508 circumstances, correction can be achieved without the help of other sensors, i.e. by means of a self-correction of the frost point
509 hygrometer. However, this is usually not possible when Golden Points are far apart. Instead, another well-calibrated sensor is
510 needed to correct the measurement errors.

511 In Sections 5.1 and 5.2, we present case studies of nonequilibrium correction with the auxiliary sensors RS41 and FLASH-B.
512 The corresponding correction methodologies of RS41 and FLASH-B by means of the CFH Golden Points are described in
513 Appendices B and C respectively.

514 Using an auxiliary sensor for this purpose, we apply the nonequilibrium correction according to the following scheme:

- 515 - identify the Golden Points along the humidity profile measured by a chilled mirror instrument;

- construct an a-priori estimate of the frost point profile by means of an auxiliary sensor on the same balloon payload, which is corrected by means of the Golden Points of the chilled mirror instrument.
- use this a-priori estimate to determine the effective sensitivity A ;
- construct an a-posteriori by correcting the CFH mirror temperature using A in Eq. 8a, called “nonequilibrium corrected CFH”.

The a-posteriori is our best approximation for the frost point. We use the deviation between the a-priori and the a-posteriori as an estimate of the uncertainty of the frost point resulting from residual errors in the nonequilibrium correction of CFH and the correction of the auxiliary sensor data based on the CFH Golden Points. For the details of time-lag and bias correction of each flight section using the CFH Golden Points see Appendix B. The details of offset correction for FLASH-B is given in Appendix C.

The effective sensitivity A can then be determined from a least squares fit using the scaled time derivative of the mirror reflectance $B \cdot dU_m/dt$ as function of the difference of corrected auxiliary sensor data, $T_{fp,aux}^{corr}$ and the CFH mirror temperature T_m :

$$B \cdot \frac{dU_m}{dt} \approx A \cdot (T_m - T_{fp,aux}^{corr}). \quad (8b)$$

Note that Eq. 8b is equivalent to Eq. 8a, but with the still unknown true frost point T_{fp} approximated by the known corrected auxiliary sensor ($T_{fp,aux}^{corr}$). Figure 3D below shows a textbook example of the determination of A immediately before the launch of a balloon sonde using RS41 as auxiliary sensor (for the liquid film, i.e. with $T_{dp,RS41}^{corr}$ instead $T_{fp,aux}^{corr}$), resulting in $A = 773$ V/s. In Section 6, we estimated A in flight for 70 soundings from the tropics to the polar regions, and found for the liquid film and the first and second ice films a median value of $A \approx 6000$ V/s, albeit with a high degree of variability (700-34000 V/s, see Fig. 11). An effective sensitivity of 6000 V/s is an average value for a good ice film and translates to a morphological sensitivity $A' \approx 71.4$ V/(mol/m²) ≈ 40 μ V/(ng/cm²) under typical balloon ascent ventilation conditions. With detectable changes (at S/N = 2) in CFH reflectance of about 20 μ V/s during nighttime flights, this means (using Eq. 8a with $B = 3 \times 10^7$ K and $dU_m/dt = 20$ μ V/s) that CFH can achieve measurements with a precision of 0.1 K in frost point or 100 ppbv H₂O in the lower stratosphere at 1 s temporal resolution (or 40 ppbv at 5 s resolution).

4.3 Nonequilibrium correction of CFH without other sensors

In addition to using a second instrument for non-equilibrium correction, there are other options. These are based on the fact that, for a given ice film, a reference between (at least two) Golden Points is required in order to evaluate the sensitivity parameter A of the ice film and then perform the nonequilibrium correction. These options are:

- Use of the self-correction of the chilled mirror instrument when descent data of good quality are available in addition to ascent data, to identify features in humidity in the uppermost kilometers of a sounding. See example in Section 5.3.

- Use of extreme conditions, such as after the Hunga Tonga eruption, where CFH shows large nonequilibrium deviations: precise a-priori H₂O mixing ratios between the enhanced plume regions that the optical backscatter sonde COBALD helped to identify are not of great importance compared to the benefits of applying the nonequilibrium correction. See example in Section 5.6.2.

However, these possibilities are only applicable to particular circumstances. Another option with general applicability is to modify the feedback controller of the chilled mirror instrument in order to obtain more closely spaced Golden Points. This may relax the requirement for an external reference and enable a nonequilibrium self-correction. This is the case with the recent development of SKYDEW (Sugidachi et al., 2025), see Section 5.7. The SKYDEW (FW v1.0) data product, which is based only on the Golden Points, has a vertical resolution of 150 m - 250 m, whereas a higher vertical resolution (< 50 m) and similar accuracy (< 0.5 K) could be achieved with a proper nonequilibrium correction.

5 Nonequilibrium correction under various conditions

We illustrate the application of the nonequilibrium correction using case studies that represent different situations during a balloon sounding: prelaunch or “laboratory-like” conditions in a static atmosphere, measurements in the UT/LS in a dynamic atmosphere, and comparing ascent and descent data to validate measurements in the stratosphere. These case studies demonstrate that

- crossing the controller setpoint, i.e. the preset reflectance value, does not imply that the condensate is in equilibrium with the gas phase, but only that the condensate has the predefined thickness at this instant;
- chilled mirror instruments do not exhibit a first-order time-lagged response, so that the concept of an e-folding time constant is not appropriate;
- smoothing the mirror temperature or mixing ratio with a low-pass filter and using the residuals as an estimate for the controller error does not always provide an accurate representation of the measurement uncertainty.

Not all instruments or flight segments suffer from nonequilibrium errors to the same extent. While the nonequilibrium errors of some soundings are less than 0.1 K (an example is shown in Fig. 7A), so that the total uncertainty of the frost point measurement at 1 s resolution (including the uncertainty of the mirror calibration) is better than 0.2 K, for other soundings the nonequilibrium errors can reach several degrees Kelvin for hundreds of meters, so that the conventional technique of segment-wise averaging does not allow to retrieve the frost point if no correction of the nonequilibrium error is applied (see Section 5.4 on low-pass filtering).

5.1 Nonequilibrium correction under prelaunch conditions

Figure 3 shows CFH prelaunch data in outside air shortly before the balloon was released. During prelaunch, a small fan is temporarily installed on the upper inlet tube (Vömel et al., 2016), but the ventilation it creates is less than the airflow during the balloon ascent, resulting in pronounced oscillations in the CFH measurement. At the Golden Points, CFH compares well

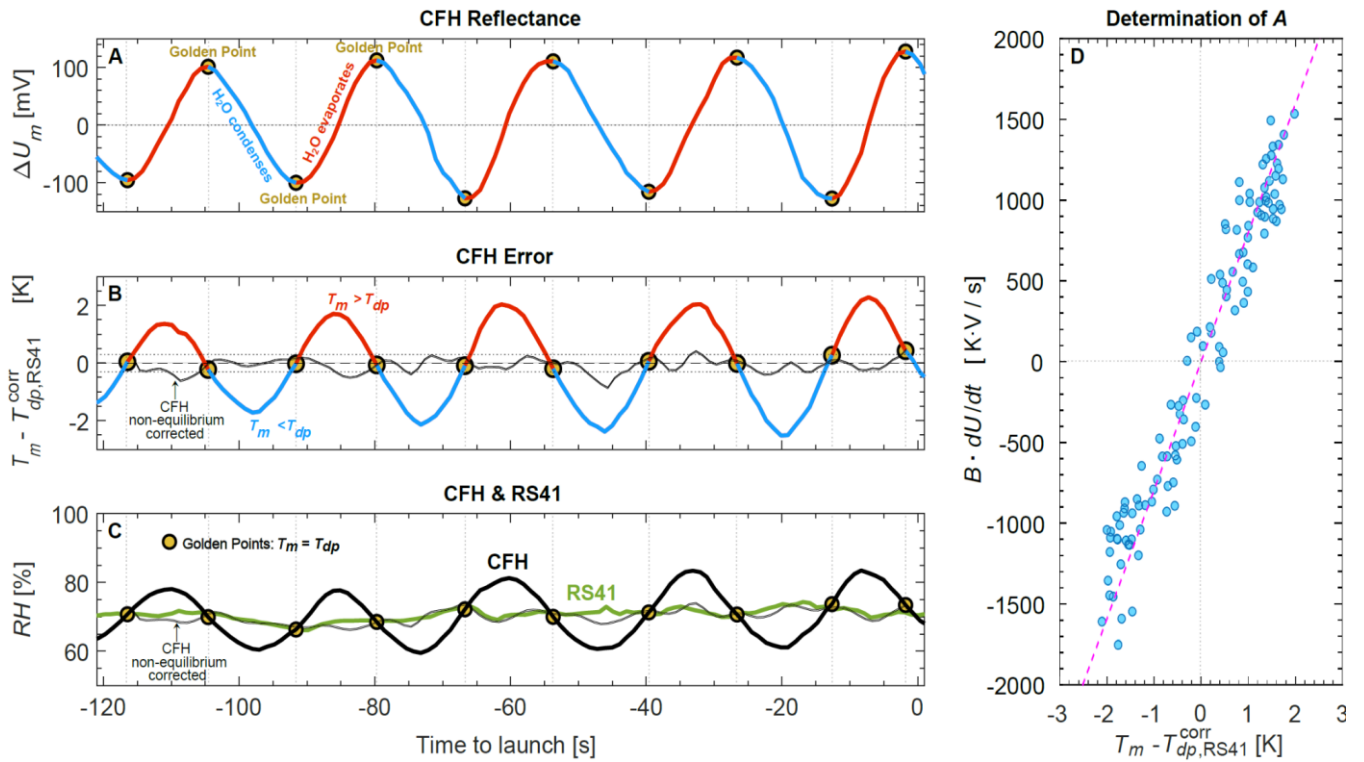


Figure 3: Nonequilibrium correction applied to prelaunch measurements of CFH and RS41 before the sounding in Lindenberg on 13 March 2017. **(A)** CFH mirror reflectance deviation from setpoint $\Delta U_m = U_m - 2.5$ V. Color coding: red where reflectance increases as the condensate evaporates, blue where reflectance decreases as the condensate grows. Circles: Golden Points, where the reflectance reaches an extreme value as the condensate reaches equilibrium with ambient air. **(B)** Nonequilibrium error of CFH, i.e., difference between mirror temperature T_m and the dew point from RS41 (in K). Horizontal dotted lines at ± 0.3 K: 2σ accuracy that we assert for the Golden Points of the CFH instrument when the coverage on the mirror is liquid dew instead of solid frost (i.e., in the lower troposphere). **(C)** Green line: RH (in %) from Vaisala’s RS41 output. Thick black line: RH from CFH calculated using CFH mirror temperature T_m and air temperature (4.3 °C, measured by RS41). Circles: Golden Points indicating the times when CFH mirror temperature is at the dew point. Thin black lines in (B) and (C): RH from CFH corrected for nonequilibrium errors according to Eq. 8b (without correction of RS41). **(D)** Scatter plot of the scaled time derivative of CFH mirror reflectance $B \cdot dU_m/dt$ (see Eq. 8b) versus the difference of the CFH mirror temperature and the dew point derived from the RS41 measurement (blue circles). Magenta line: fitted effective sensitivity $A = 773$ V/s.

with the humidity measured by RS41 (after applying a 3.5-s synchronization shift Δ_{tele} discussed in Appendix B). In this example with a nearly constant ambient dew point, the strong oscillations observed in the signal are caused by mirror temperature oscillations resulting from a controller instability, i.e., “nonequilibrium excursions”, and not by a time-lagged response to changes in the dew point. In this special case without external dew point changes, the nonequilibrium errors in mirror temperature are nearly symmetric, but with a slight negative bias due to the nonlinearity of the Clausius-Clapeyron equation. If, instead of nonequilibrium correction, the H_2O mixing ratio or relative humidity is averaged over two periods (e.g.,

Vömel et al., 2007b), this would provide an accurate representation of the dew point, but the temporal resolution would still be about three times coarser than the Golden Points sampled with Eq. 1. A proper nonequilibrium correction using Eq. 8a further increases the temporal resolution (here by a factor of 3 compared to using solely the Golden Points), enabling dew/frost point retrievals with similar measurement uncertainty but higher temporal resolution. The relative humidity of the nonequilibrium-corrected CFH agrees well with RS41; some residual differences might be due to both instruments actually measuring slightly different air due to small disturbances caused by the handling of the payload during the launching procedure. Figure 3 illustrates that when the reflectance passes through the setpoint, this does not indicate that thermodynamic equilibrium is attained as discussed in Section 3. For example, the reflectance is at the setpoint at $t = -20$ s, yet the mirror temperature is at maximum distance from the dew point. Thermodynamic equilibrium is only reached when the reflectance remains constant or when it reaches a minimum or a maximum (e.g., at $t = -40$ s). Figure 3D shows how the nonequilibrium correction term can be determined by means of a scatter plot of the change in reflectance (scaled by B) over the mirror temperature deviation from the RS41 dew point. The slope of the magenta line is the resulting effective sensitivity $A = 773$ V/s with an estimated uncertainty of 10 %.

5.2 Nonequilibrium correction under in-flight conditions

Figure 4 shows the results of the intercomparison sounding of CFH, FLASH and RS41 above Lindenberg, which we already discussed in Fig. 2, but now including the nonequilibrium-corrected CFH data. We applied the procedure outlined in Section 4.2 to the CFH original data, i.e., the nonequilibrium correction of CFH using the time-lag and bias corrected RS41 measurements of the same flight (Appendix B). The differences between the CFH original data (data points on black curve) and the nonequilibrium-corrected CFH data (blue curve) amount to 6 %. Although this correction is small compared to the recalibrations of RS41 and FLASH-B (dashed and solid green curves in Fig. 4), a 6 % change still exceeds the CIMO and GCOS goals, making it worthwhile to apply the nonequilibrium correction.

While the flight segment between 11.1 km and 11.4 km could at first be confused with a time-lag error of CFH, the undershoots between 11.55 km and 11.8 km and between 10.8 and 11.1 km show that the original CFH data suffer from controller/mirror oscillations, and not from a slow response of its mirror temperature signal. Conversely, the RS41 and FLASH-B hygrometers provide information about the actual humidity structure, but suffer from a dry bias in this flight section and have to be corrected first (Appendices B, C). Figure 4 also shows the profiles of the time-lag and bias-corrected RS41 (green curve) and the offset-corrected FLASH-B (orange curve). The discrepancies between these corrected profiles and the original CFH amount to ~ 15 %, which is reduced to ~ 5 % by the nonequilibrium correction of CFH (blue shaded area). This demonstrates the necessity and validity of the nonequilibrium correction of CFH for quantifying the frost point.

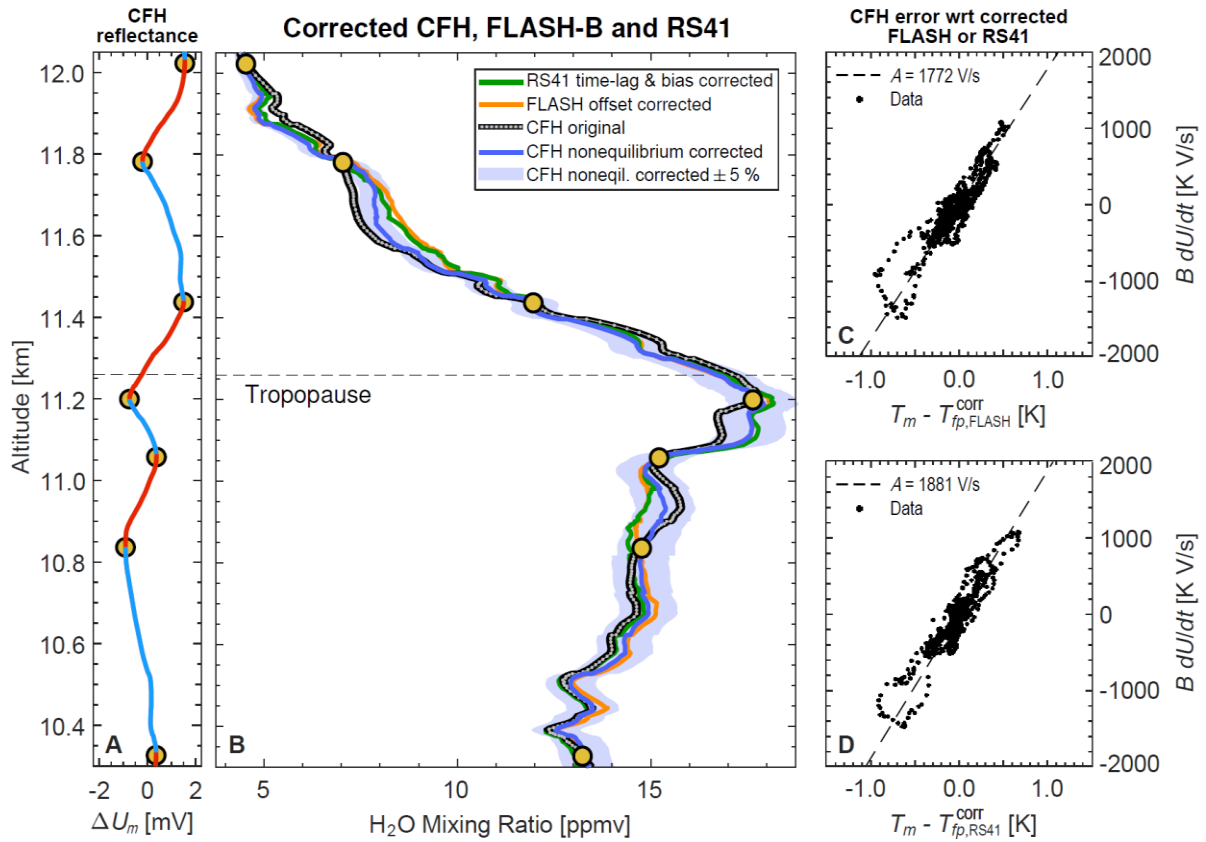


Figure 4. CFH-FLASH tandem sounding launched with a 2000 g rubber balloon on 13 March 2017 at 23 UT from Lindenberg Observatory (52.21°N, 14.12°E) together with a Vaisala RS41 radiosonde. **(A)** CFH mirror reflectance voltage $U_m - 2.5$ V with Golden Points at the extremes (maxima and minima of U_m) separating sections of the balloon trajectory where the CFH mirror is too warm (red) or too cold (blue). **(B)** H₂O mixing ratio measured by CFH, FLASH and RS41. Orange curve: offset-corrected FLASH. Green curve: time-lag and bias corrected RS41. Black curve with gray data points: CFH original data. Blue curve: nonequilibrium corrected CFH. Light blue shading: $\pm 5\%$ around nonequilibrium corrected CFH. **(C)** Scatter plot of the scaled time derivative of CFH mirror reflectance $B \cdot dU_m/dt$ (see Eq. 8b) over the difference of the CFH mirror temperature and the offset-corrected FLASH frost point measurements yielding an effective sensitivity $A = 1772$ V/s. **(D)** Same as (C) but using the bias- and time-lag corrected RS41 frost point measurements yielding a very similar effective sensitivity $A = 1881$ V/s.

The two examples in this and the previous sections show that the use of mirror reflectance is a powerful diagnostic tool. Not only can the atmospheric frost point be determined at the Golden Points, but the time derivative of the reflectance also shows whether the mirror is too warm or too cold, allowing for appropriate correction. We therefore strongly recommend that chilled mirror instruments always provide the measured reflectance signal in addition to the mirror temperature.

5.3 Nonequilibrium correction versus treating CFH as time-lagged instrument

Chilled mirror hygrometers are actively controlled instruments and typically follow a second order response with multiple overshoots (e.g. Jacobs, 1993), i.e., the mirror temperature satisfies a second-order differential equation of the form $\tau^2 d^2 T_m / dt^2 + 2 \zeta \tau dT_m / dt + T_m = f_{\text{ext}}(t)$. Here, τ is the system's natural period of oscillation and ζ is its damping factor, which are defined, among other things, by the Joule heating of the mirror along with its thermal inertia, the PID settings, the H₂O gas phase kinetics, as part of the control system. Further, f_{ext} is the external forcing that results from the changing ambient humidity. Typically, the PID parameters are tuned such that the resulting system follows a damped oscillator response. This 2nd order differential equation with oscillatory behavior and the nonequilibrium equation (Eq. 5) are coupled and must be satisfied simultaneously. As dU_m / dt satisfies the physical constraint given by Eq. 8b, this could be interpreted as 1st order behavior (erroneously assuming the general relationship $dU_m / dt \propto dT_m / dt$), unless one recognizes that the mirror temperature is actually a more complex function of the reflectance signal, $T_m = T_m(U_m)$, via the heating power applied by the controller (in response to ambient frost point changes).

Depending on the size of the damping factor ζ , the controller behavior can be overdamped ($\zeta > 1$, sluggish approach to the instantaneous equilibrium without oscillations), critically damped ($\zeta = 1$, faster approach to equilibrium without oscillations), underdamped ($1 > \zeta > 0$, oscillatory approach to equilibrium with over- and undershoots), or unstable ($0 > \zeta$, oscillations increase), assuming the forcing term to be constant. Figures 3 and 5 show that CFH often operates in the underdamped regime. Due to changes in the forcing term, it can happen that the mirror temperature oscillations are strongly underdamped for a few seconds and approach instability. Conversely, there are situations where the mirror temperature oscillations are overdamped and very close to a first order response. Under these latter conditions, a simple correction can be used to describe CFH, mimicking a time-lag similar to the behavior of RS41, and an exponential approximation to equilibrium is assumed (as in Miloshevich et al. (2004)). This approach has been applied in the past (e.g. Vömel et al., 2007b; 2016; Hasebe et al., 2013) to match the measurements of stratospheric humidity features observed during both balloon ascent and parachute descent.

We revisit a CFH flight from Lindenberg in May 2014, where humidity features measured in the stratosphere during ascent and descent have been approximately matched in altitude using a time constant of 10 seconds (Vömel et al., 2016). This assumes a first-order response for the mirror temperature, $T_{\text{fp}}(t) = T_m(t) + \tau_{\text{CFH}} dT_m / dt(t)$, where τ_{CFH} is the time constant for the apparent time-lag (here 10 s), or equivalently $\chi_{\text{H}_2\text{O}}(t) = \chi_{\text{H}_2\text{O},m}(t) + \tau_{\text{CFH}} d\chi_{\text{H}_2\text{O},m} / dt(t)$ in terms of water vapor mixing ratio.

Figure 5 compares the raw data (panel A) with the time-lag correction $\tau_{\text{CFH}} = 10$ s (panel B), and the nonequilibrium correction, Eq. 8a (panel C), which is based on the Golden Points and nonequilibrium correction (panel D). We determine the CFH nonequilibrium self-correction by using the CFH descent data to establish the a-priori for the ascent data and vice versa. Figure 5 reveals that at altitudes where both the ascent and descent exhibit simultaneous Golden Points, also both frost points agree well, and without any lag. The nonequilibrium correction provides a better match of the ascent and descent features in stratospheric humidity than the time-lag correction. This is because the nonequilibrium correction makes use of the mirror

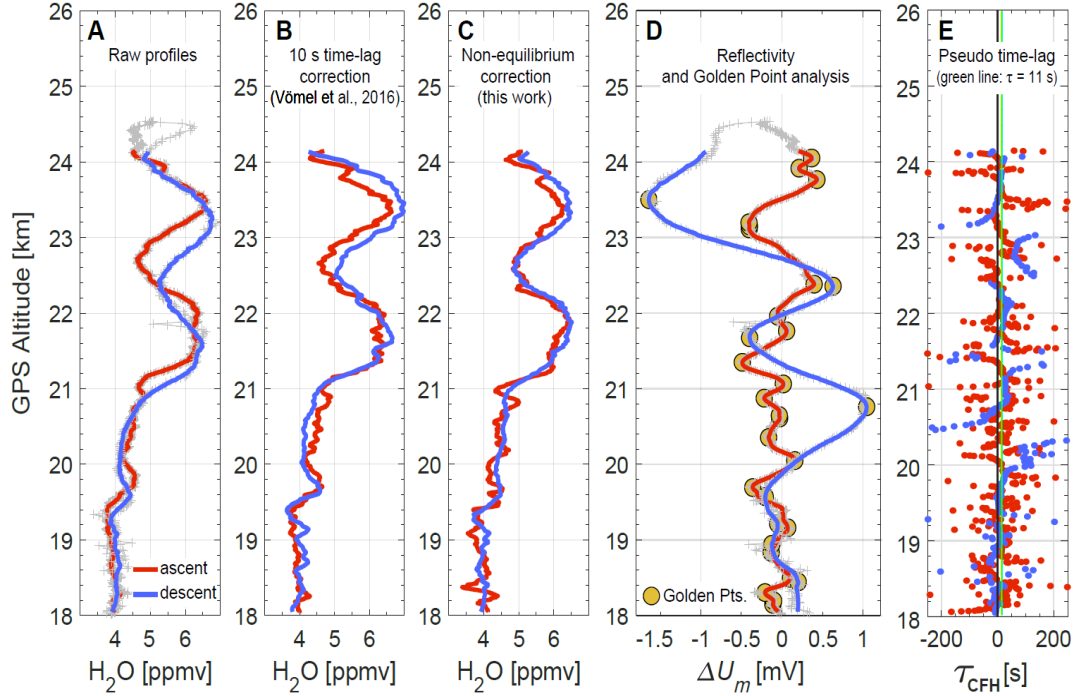


Figure 5. Water vapor mixing ratios during ascent and descent measured by CFH on 20 May 2014 22UT over Lindenberg (serial 2L3407 with FW 6.40 on LI133). **(A)** Raw signal of H₂O mixing ratio ascent with smoothed mirror temperature (using a second-order, 15 s half-width, Savitzky-Golay filter) versus GPS altitude (air temperature and air pressure from iMet1-RSB radiosonde, serial 14246; telemetry software (Strato 9.54) applied an offset of 2.9 hPa to the pressure measurement). **(B)** Time-lag corrected mixing ratio profiles, using an e-folding time constant of 10 s, as in Vömel et al. (2016). **(C)** Nonequilibrium corrected mixing ratio profiles, using an effective sensitivity $A = 800$ V/s for ascent and $A = 2300$ V/s for descent. **(D)** Raw signal of mirror reflectance profiles (smoothed with a second-order, here in the stratosphere with a Savitzky-Golay filter with 21 s half width instead the standard 15 s) and associated Golden Points. **(E)** Ascent and descent profiles of the pseudo time lag constant τ_{noneq} , i.e. the mathematical time constant that would be required to reproduce the atmospheric frost point profile after applying the nonequilibrium correction. The median of all τ_{noneq} is 11 s (green line), i.e. close to the e-folding time constant of 10 s used for the time-lag treatment in panel (B).

691 The nonequilibrium correction is valid everywhere, whereas applying a time-lag correction is valid only within flight sections
692 where the nonequilibrium excursions can be approximated by a first order response, such as in subsections of the flight shown
693 in Fig. 5. To illustrate that the nonequilibrium error is qualitatively different from a time-lag error, but may appear locally as
694 a time-lag error, we rewrite the nonequilibrium correction (Eq. 8a) in the mathematical form of a first order response: $T_{fp}(t) =$
695 $T_m(t) + \tau_{noneq} dT_m/dt$ with the nonequilibrium ‘pseudo time-constant’ $\tau_{noneq} = B(t)/A \cdot (-dU_m/dT_m)$. The term $-dU_m/dT_m$ (in V/K)
696 contains the reflectance measurement U_m .

697 Therefore, τ_{noneq} (in s) can take both positive and negative values (see Fig. 5E) and is highly variable over time, which is not a
698 physically meaningful quantity. Only the median value of the pseudo-time constant τ_{noneq} of 11 seconds agrees well with the
699 10 seconds used by Vömel et al. (2016), but with a standard deviation of 37 seconds around the median.

700 For these reasons, it is generally not appropriate to treat the response of chilled mirror instruments in the same manner as the
701 response of polymer thin film sensors (as in e.g. WMO, 2024, their Table 12.7), because high-quality chilled mirror
702 hygrometers do not suffer from time-lag of their mirror temperature (the thermistor measuring the mirror condensate
703 temperature has a characteristic time in the order of 0.12 s for CFH, see Section 4.1.3), but from nonequilibrium errors.

704 **5.4 Nonequilibrium correction versus low-pass filtering**

705 The AquaVIT-1 intercomparison of atmospheric water vapor measurement techniques considered CFH as one of the ‘core’
706 instruments to construct a metrological reference (Fahey et al., 2014), and the SPARC water vapor assessment II (WAVAS),
707 which investigated biases and drifts of water vapor satellite retrievals with respect to frost point hygrometers, accepted CFH
708 as a reference instrument (Kiefer et al., 2023), as did the WMO 2022 Upper-Air Instrument Intercomparison Campaign
709 (Dirksen et al., 2024). Due to the generally high quality, it is often not necessary to apply corrective measures for errors of the
710 CFH. Yet, averaging over extended sections (> 125 m in the stratosphere) of the balloon ascent profile by applying a Gaussian
711 filter is part of the standard procedure for CFH data processing. This is intended to quantify and reduce uncertainty, at the
712 expense of reducing vertical resolution, as described by Eqs. 1-5 in Vömel et al. (2016).

713 Figure 6 compares the averaging by Gaussian filtering with the nonequilibrium correction using the example of a CFH-RS41
714 tandem flight on February 21, 2020 in Ny-Ålesund on Spitsbergen (Svalbard, Norway). The figure shows a nearly isothermal
715 profile section (208 K - 210 K air temperature) in the lowest stratosphere in polar winter. Since the relative humidity is
716 sufficiently high ($S_{ice} > 0.1$), the RS41 radiosonde remains sensitive to moisture (e.g. Survo et al., 2015) and can be used as a
717 reference for estimating the effective sensitivity parameter A in the stratosphere.

718 The effect of averaging and smoothing with the Gaussian filter can be seen by comparing the original CFH data ($T_{m,raw}$, gray
719 crosses in Fig. 6B) and the pink “CFH NDACC” curve (with a vertical resolution provided in the NDACC data file ranging
720 from 137 m to 261 m for the flight section shown in Fig. 6). Gaussian filtering reduces here the noise in the mirror temperature,
721 but does not correct the controller’s nonequilibrium errors. The changes due to Gaussian filtering amount to ± 0.2 K in the frost

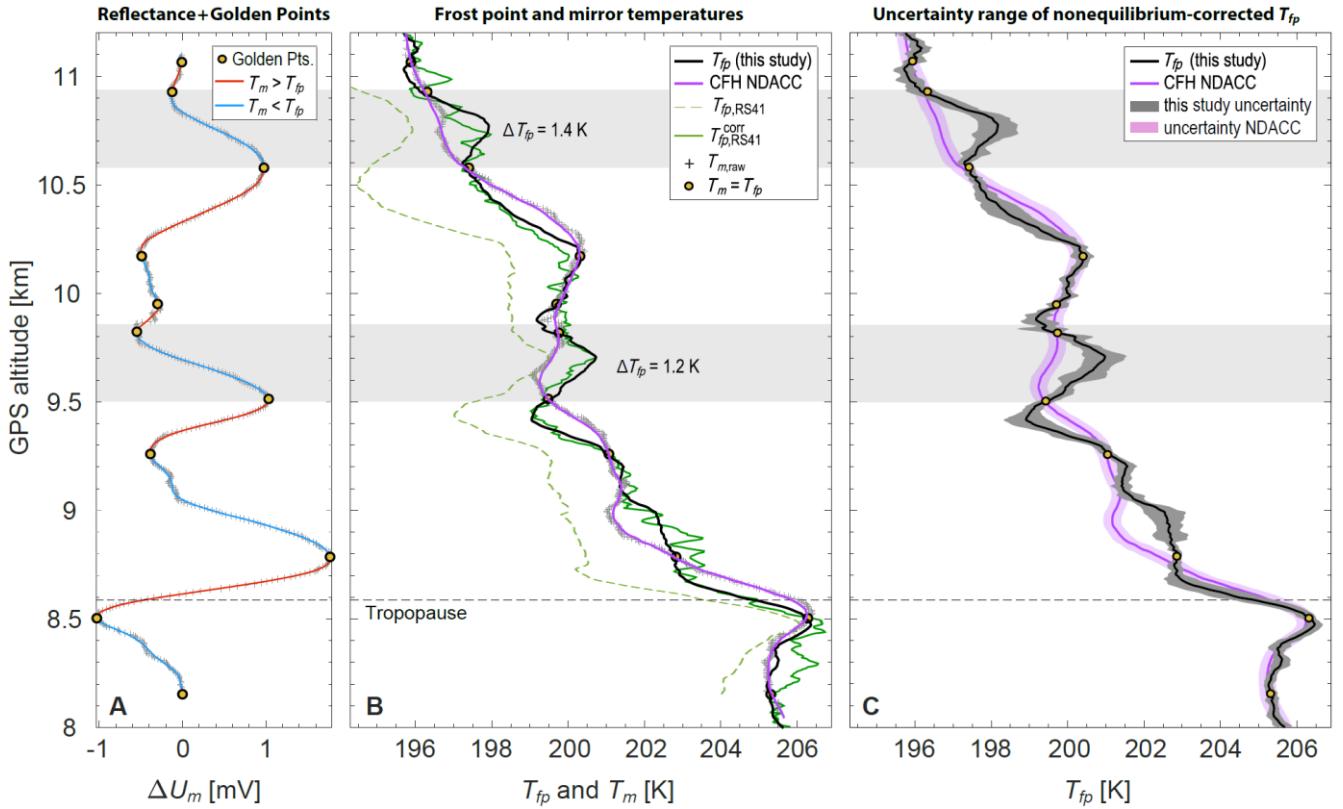


Figure 6. Frost point profiles in the lowermost stratosphere from the sounding in Ny-Ålesund on 2020-02-21 19UT. **(A)** CFH mirror reflectance. Raw reflectance data (gray +), smoothed mirror reflectance colored red when mirror too warm and blue when mirror too cold, Golden Points (yellow circles). **(B)** Raw CFH mirror temperature (gray +), CFH Golden Points (yellow circles), RS41 frost point (Vaisala product, dashed green), RS41 time-lag and bias-corrected frost point for best fit at the CFH Golden Points (solid green), nonequilibrium corrected CFH mirror temperature using the mirror reflectance smoothed by a 15-s half-width Savitzky-Golay filter to calculate the effective sensitivity A from the corrected RS41 (solid black). The nonequilibrium corrected CFH profile (black solid line) fits to the stratospheric humidity features captured by the RS41 (green solid lines) much better than the NDACC Revision 0 (NDACC, 2020) profile (purple line). **(C)** Lines are the nonequilibrium-corrected CFH mirror temperature (black) and NDACC Revision 0 profile (purple) identical to panel (B). Dark gray shading: total uncertainty range of the CFH nonequilibrium correction (see Eq. 9). Purple shading: uncertainty range provided by NDACC ($\pm 2\sigma$). The uncertainty ranges do not overlap in parts of the profile (e.g., gray-shaded sectors) with discrepancies up to 0.8 K (15 % in H_2O mixing ratio).

point temperature and about ± 1 % in S_{ice} . In contrast, the nonequilibrium errors actually caused by controller oscillations are about a factor of 5 larger, as the comparison of the original CFH data (gray crosses) and the black curve “ T_{fp} ” shows. The nonequilibrium corrected CFH profile, T_{fp} , is closer to the moisture characteristics measured by the RS41 than to $T_{m,raw}$ or to the Gaussian filtered curve. This example also shows that the actual atmospheric humidity profile between the Golden Points can be highly variable (e.g. the two moist features at altitudes highlighted by gray shadings in Fig. 6B). In this case, Gaussian

740 filtering of the mirror temperature profile fails to capture the structure of the atmospheric humidity field seen by both RS41
 741 and the nonequilibrium corrected CFH, and its uncertainties appear to be underestimated at certain altitudes (see Figure 6C).
 742 Similarly, a first-order time lag treatment cannot capture the detailed structures of atmospheric moisture, the same is true for
 743 a mere interpolation of the Golden Points. Finally, 250 m boxcar averages (as used by NOAA) of the mirror temperature with
 744 a center of 9.75 km and 10.75 km would also lead to a dry bias of about 1 K at the frost point, and the associated uncertainty,
 745 i.e., the standard error of the 250 m linear fit (Hall et al., 2016) would be underestimated.

746 Good control of the frost on the mirror is a tradeoff between producing many Golden Points ($dU/dt = 0$) and minimizing the
 747 nonequilibrium error (small $|dU/dt|$). A strongly oscillating PID controller producing Golden Points at < 100 m vertical
 748 resolution, e.g. SKYDEW with its current PID implementation (Sugidachi et al., 2025), would be able to capture detailed
 749 humidity structures as in Fig. 6. However, PID controllers with strong oscillations tend to have a larger oscillation amplitude
 750 and thus a larger uncertainty (~ 0.5 K) at the Golden Points (defined as the maximum mirror temperature difference ± 1 s
 751 around Golden Points), as well as larger nonequilibrium errors. On the other hand, CFH has a well-tuned controller for
 752 background conditions of tropospheric and stratospheric humidity, with mostly small nonequilibrium errors. Nevertheless,
 753 rapid frost point changes can cause large nonequilibrium errors on CFH, with Golden Points more than 100 m apart. Thus, it
 754 is unclear whether PID control is the most appropriate approach to frost control of chilled mirror hygrometers. Control
 755 algorithms designed to ‘seek’ Golden Points might be a valid alternative to PID control, but we restrain from discussing this
 756 further, as we are not aware of any implementation of this type yet. In any case, no matter the control approach, control-
 757 induced oscillations can be corrected with Eq. 5.

758 5.5 Uncertainty of the nonequilibrium correction

759 In this section, we describe two metrics to quantify the uncertainty of the nonequilibrium correction procedure described in
 760 Section 4.2. The first metric quantifies uncertainty by comparing the final (i.e., nonequilibrium corrected) CFH frost point
 761 estimate to the a-priori reference estimate. The second metric quantifies the uncertainty of the nonequilibrium correction
 762 method itself.

763 For the first metric, we quantify the uncertainty as the root mean square error (RMSE) between the a-priori reference profile
 764 (e.g., RS41 corrected for residual time-lag and bias errors using the CFH Golden Points), and the a-posteriori atmospheric frost
 765 point profile, i.e., CFH corrected for nonequilibrium errors. We term this uncertainty (at 2σ) u_{REF} , with $u_{\text{REF}} = 2 \times \text{RMSE}$ (e.g.,
 766 in Fig. 6B, $u_{\text{REF}} = 2 \times 0.36 \text{ K} = 0.72 \text{ K}$). From the 70 CFH-RS41 tandem flights analysis in Section 6, we find a root mean
 767 square error $< 0.16 \text{ K}$ between the CFH corrected and RS41 corrected profiles at 125 m vertical resolution. Together with the
 768 mirror temperature uncertainty $u_{T_m} = 0.11 \text{ K}$ (at 2σ) for ice films (Section 2.5), this gives an overall uncertainty $< 0.4 \text{ K}$ (at
 769 2σ) for the nonequilibrium corrected frost point profiles (when using, prior to correction, a 15-s half-width second-order
 770 Savitzky-Golay filter for sampling the Golden Points and calculating the derivative of the mirror reflectance signal, and a 25-
 771 s full-width boxcar filter for smoothing the raw relative humidity signals). An uncertainty in frost point $< 0.4 \text{ K}$ at 125 m

vertical resolution reduces to < 0.2 K at 500 m vertical resolution (as $500 \text{ m} = 4 \times 125 \text{ m}$, i.e. the uncertainty is reduced by a factor $4^{1/2}$). Together with an uncertainty of 0.3 hPa in RS41 pressure from GPS (Vaisala, 2018b), this translates to less than 4% uncertainty in water vapor mixing ratio (see also Hall et al., 2016).

For the second metric, we quantify the uncertainty of the nonequilibrium correction ($B/A \times dU_m/dt$) using a sensitivity analysis, where we vary the half-width of the Savitzky-Golay filter (used to smooth the reflectance signal) by a factor 0.5, 1 and 2 (i.e., 7 s, 15 s, 30 s), while keeping all other parameters constant. For each filter width, we sample the CFH Golden Points, find best fits for the RS41 time-lag and bias correction parameters, calculate the effective sensitivity parameter A , and finally calculate the nonequilibrium corrected CFH profile. We estimate the (2σ) uncertainty of the nonequilibrium correction as the maximal deviation in the final correct CFH profiles between all Savitzky-Golay filter widths: $u_{SG} = \max(T_{fp,corr\{7 \text{ s}, 15 \text{ s}, 30 \text{ s}\}}) - \min(T_{fp,corr\{7 \text{ s}, 15 \text{ s}, 30 \text{ s}\}})$. Adding in quadrature the mirror temperature uncertainty (2σ) u_{T_m} and the statistical uncertainty (2σ) u_m of the 25 s average (calculated as in Dirksen et al. (2014), their Eq. A2, then multiplied by 2), we calculate the total uncertainty u (2σ) of the nonequilibrium corrected frost point as:

$$u = \sqrt{u_{SG}^2 + u_{T_m}^2 + u_m^2} . \quad (9)$$

The total uncertainty u is depicted as gray shaded area ($\pm u$) in Fig. 6C. The mean value for the total uncertainty for the flight section (8 - 10.2 km) is 0.32 K, while the standard deviation of non-equilibrium corrected CFH data (black lines in Fig. 6) with respect to time-lag and bias corrected RS41 data is 0.36 K.

The second metric u can be used to validate the first metric. The nonequilibrium corrected CFH data may be considered valid only in-flight segments where $|T_{fp,RS41}^{corr} - T_{fp,CFH}^{corr}| < u$. This is the best ad-hoc method to validate the uncertainty of our retrieval in the absence of an SI-traceable sensor of similar or better accuracy as CFH. It also allows detection of measurement artifacts, such as contamination from the wake of the balloon or strong anomalies in airflow through the tube. We note that this (rather conservative) validation technique has not been applied to this work.

Filtering widths from ± 7 s to ± 30 s give a reasonable range of structure-preserving smoothing filters for the mirror reflectance. Excessive smoothing (e.g., by ± 300 s) would strongly smooth out features from the reflectance data, resulting in erroneous dU_m/dt estimates and ‘fantasy’ Golden Points (see Figure D2). As a consequence, the fitted time-lag and bias corrected RS41 as well as the fitted effective sensitivity parameter A would not be meaningful. No smoothing would retain all noise from the reflectance data, which may lead to erroneous dU_m/dt estimates as well as a large amount of incorrectly sampled Golden Points due to noise. Moreover, no smoothing or undersmoothing might pick-up high frequency oscillations with full anti-correlation of T_m and U_m . Some CFH flights show an eigenfrequency of 5 s (see Figure D1), which are not of atmospheric origin and would thus produce an incorrect correction.

For the Golden Points, we calculate uncertainty (2σ) as:

$$u_{\text{GP}} = \sqrt{u_{\text{timing}}^2 + u_{T_m}^2}, \quad (10)$$

where u_{timing} is the ± 1 s timing uncertainty in sampling the Golden Points, using the same uncertainty metric as Sugidachi et al. (2025, their Eq. 14, then multiplying by 2). From our analysis of 70 flights presented in Section 6, we find, at 2σ , $u_{\text{timing}} = 0.12$ K for the liquid condensate, 0.16 K for the first ice condensate and 0.15 K for the second ice condensate. This translates, using Eq. 10, to a total (2σ) uncertainty u_{GP} of the Golden Points of 0.23 K for the liquid film and 0.19 K for the ice films, i.e. < 0.3 K at the dew point and < 0.2 K at the frost point. Figures 2 and 7 showcase that the vast majority of CFH Golden Points remain within these uncertainty limits if shifted by ± 1 s. This is because $|dT_m/dt|$ is generally well-constrained by CFH's PID controller, and heat equilibrates rapidly (~ 120 ms) across the mirror disk (Section 4.1.3).

5.6 Flight-to-flight variability

A well-adjusted CFH is one for which the combined effect of all sources of uncertainty in frost point (or dew point) measurements is better than 0.2 K, and systematic errors, which most likely affect long-term climate series, are demonstrably smaller than 0.1 K (Vömel et al., 2016). In fact, the nonequilibrium analysis of the present work confirms this performance for a majority of the analyzed CFH data points (for statistics see Section 6.2).

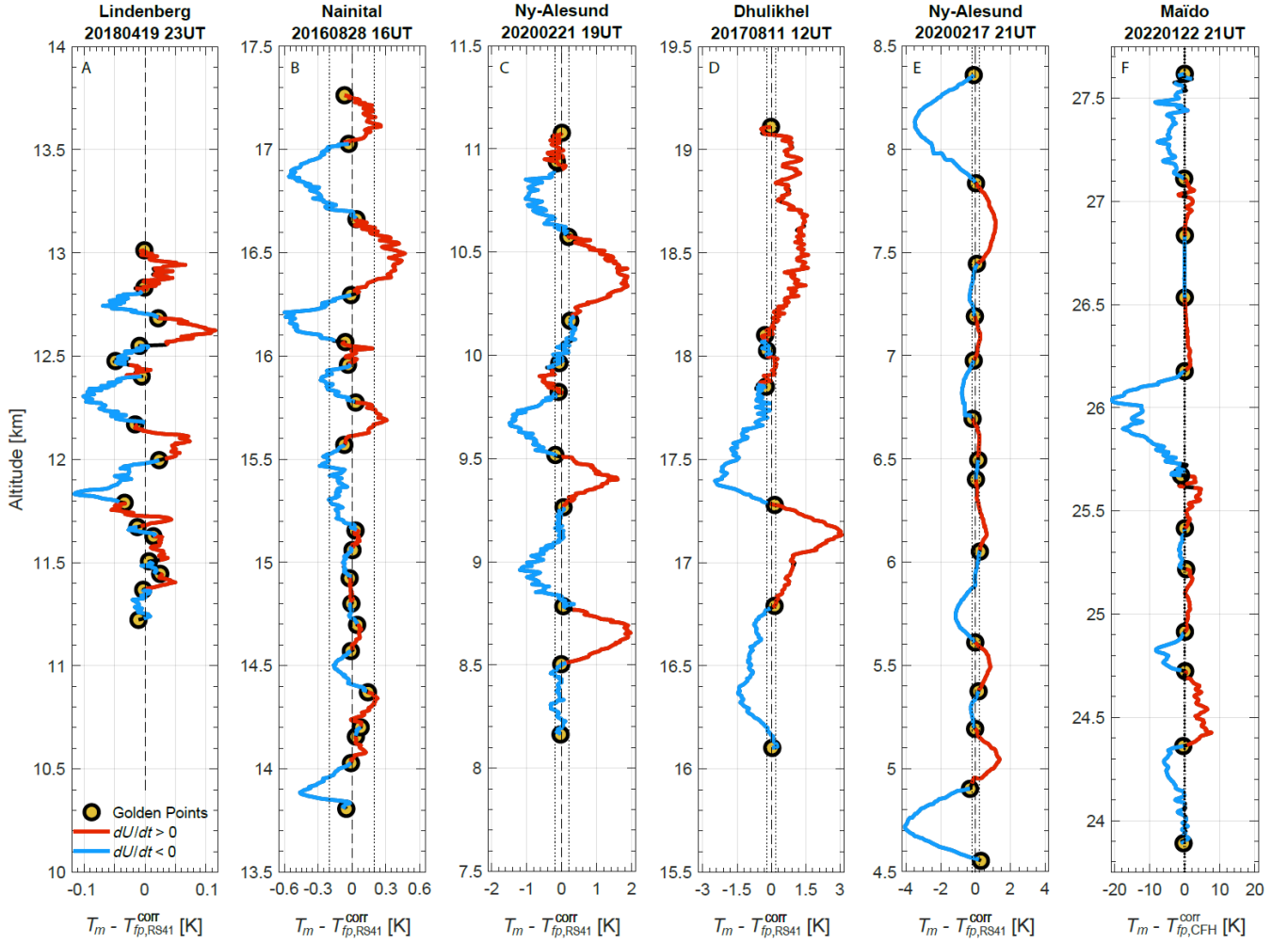
As mentioned earlier, not all instruments or flight legs are equally affected by nonequilibrium errors. As shown by Eq. 5 ($1/A' \cdot dU/dt \sim \chi_{\text{H}_2\text{O}}(T_m) - \chi_{\text{H}_2\text{O}}(T_{\text{fp}})$), the reasons for this are the quality of the ice film, which is determined by the properties and cleanliness of the mirror, by the condensate thickness at the PID setpoint and by the cleaning cycles (all described by A'), as well as the properties of the vertical H_2O profile ($\chi_{\text{H}_2\text{O}}$), which determines the history in the integral part of the PID with a fixed setpoint (at low H_2O mixing ratios, a minute change in reflectance corresponds to larger deviations from frost point than at high H_2O mixing ratios). Subsequently, we discuss a few selected cases with widely varying performance and in Section 6 we discuss the statistics of the 70 analyzed soundings.

Figure 7 shows a collection of nonequilibrium errors obtained from six flights. The magnitude of the error in frost point temperature increases from left to right and varies between less than 0.1 K to several K, and from being symmetric to highly asymmetric in terms of frost point. Three of these six examples are subsequently discussed in more detail (Sections 5.6.1 and 5.6.2).

5.6.1 Case Study: Stratospheric streamer with enhanced H_2O over Lindenberg

Figure 7A shows the CFH measurements at Lindenberg (52.21°N , 14.12°E) on 19 April 2018 below the hygropause. About 10 km higher, in the stratosphere, the same sounding showed a significant increase in the H_2O mixing ratio of 1.5 ppmv above the background value of 4.8 ppmv at an altitude of 22.0 km - 22.5 km. This anomaly is unusual and the question arises as to whether this is a false measurement. In order to directly compare the measurements during the ascent and descent, we show the H_2O profile as a function of potential temperature in Fig. 8. In fact, the anomaly can also be found in the descent data

832 between 535 K and 550 K. The CFH nonequilibrium correction corroborates the very good altitude agreement during ascent
833 and descent.

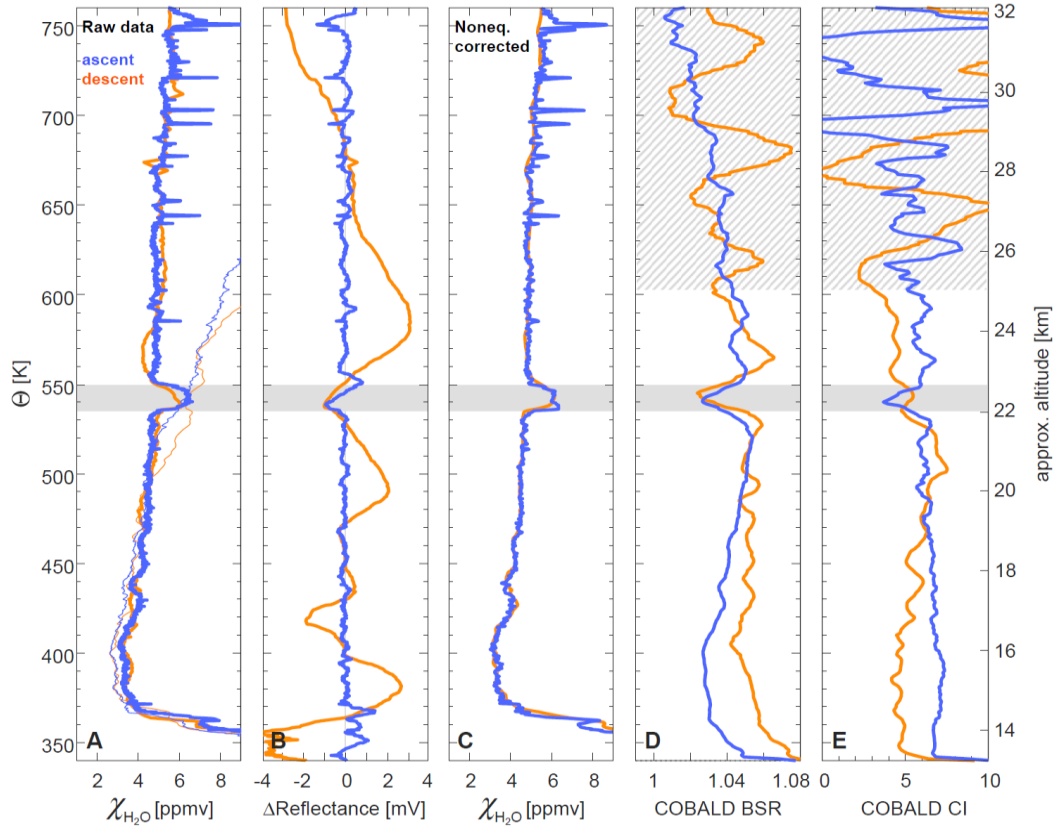


834

835 **Figure 7:** Four-kilometer slabs of various soundings with CFH, sorted according to nonequilibrium error. Slabs start after the
836 second cycle (at $T_{fp} = -53^{\circ}\text{C}$) and end at the hygropause. We use the higher reliability of RS41 below the hygropause to correct
837 CFH in panels A-E. An exception is panel F, which shows the CFH measurement in the core of the Hunga Tonga-Hunga
838 Ha'apai plume, for which CFH was corrected assuming a background mixing ratio of 5 ppmv. Panels A and F will be discussed
839 in detail in the following subsections.

840 For the nonequilibrium correction of the ascent, we use the morphological sensitivity A' determined for the upper troposphere
841 in Fig. 7A. The validity of this procedure is not self-evident, as the morphology of the ice film may have changed during the
842 10 km interval of ascent. However, the RS41 provides no information above 20 km, so assuming the same morphology is the

most useful assumption. We also use a constant morphological sensitivity A' for the descent, but the much higher ventilation due to the fast descent has to be taken into account. We take account of the about five times faster descent rate resulting in enhanced ventilation of the mirror by virtue of $\Delta x_{\text{diff}} \propto \text{Re}^{-1/2} \propto v_{\text{flow}}^{-1/2}$, which reduces the Prandtl layer thickness Δx_{diff} and enhances the effective sensitivity A by $5^{1/2}$ relative to the ascent of the balloon. The excellent agreement between the humidity measured during ascent and descent strengthens the validity of these assumptions.



848

Figure 8: Balloon sounding on 19 April 2018 in Lindenberg (52.21°N, 14.12°E) showing remarkable H₂O and particle backscatter anomalies (gray shading) in measurements by CFH and the backscatter sonde COBALD during ascent (blue lines) and descent (orange lines). **(A)** H₂O mixing ratios retrieved from CFH raw data. Thin lines show the RS41 data (Vaisala product). In this sounding, RS41 lost sensitivity above potential temperature level 500 K (≈ 20.5 km altitude). **(B)** CFH reflectance signal. **(C)** Nonequilibrium correction of the CFH mixing ratio, making the blue ascent and the orange descent lines almost coincide. **(D)** COBALD backscatter ratio (BSR_{455nm}) at 455 nm, with 10 K (potential temperature) moving average. **(E)** COBALD Color Index $\text{CI} = (\text{BSR}_{940\text{nm}} - 1) / (\text{BSR}_{455\text{nm}} - 1)$, also with 10 K moving average. Hatched area: above 600 K the backscatter signal is too noisy during descent, possibly due to excessive swinging of the dangling payload.

The aerosol backscatter probe COBALD (Brabec et al., 2012) and an electrochemical ozone probe (ECC) flew on the same payload. The ECC provides some evidence of this anomaly with a local decrease in ozone concentration around 540 K, but

859 unfortunately suffered from technical problems and will therefore not be discussed further. The COBALD data show a
860 minimum in the backscattering (both in the 940 nm and 455 nm channels) in the same layer as the H₂O anomaly (Fig. 8D).
861 CFH and COBALD measurements consistently show that the air mass at 540 K has a different origin than the rest of the
862 profile. High humidity and low number density of aerosol particles point to the polar vortex as a possible region of origin. The
863 air from there is “old”, meaning that methane oxidation is advanced, which increases the H₂O mixing ratio, and the particle
864 number density is low because large particles have precipitated on the transport route to the poles. This is confirmed by the
865 COBALD color index, which shows a minimum of about 4-5 in this layer. This fits a lognormal distribution of aerosol particles
866 with a width $\sigma = 1.6$ and a mode radius of 40-50 nm, whereas the typical mid-latitudinal mode radius of 60-80 nm yields a
867 color index of about 6-7. Finally, the RS41 was not able to detect the stratospheric H₂O anomaly, not even qualitatively, as it
868 already lost sensitivity to humidity at lower altitudes (i.e. the humidity signal cannot be distinguished from the noise in the
869 capacitance measurement).

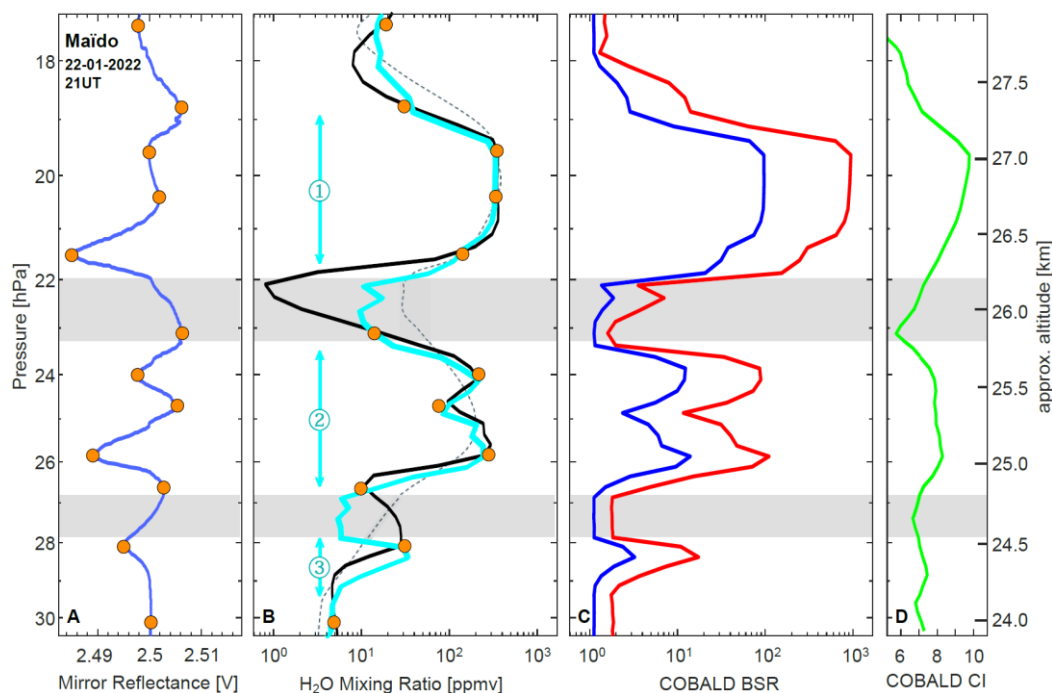
870 So, the CFH / COBALD tandem with nonequilibrium CFH correction reveals that locally elevated H₂O over Lindenberg at
871 about 540 K (22.5 km) together with a significant change in the aerosol properties is not an artifact. Rather, it is a true
872 atmospheric signal most likely caused by an erosion of the polar vortex in spring 2018 creating a thin layer with high water
873 vapor and low aerosol and ozone concentrations.

874 5.6.2 Case Study: Hunga Tonga-Hunga Ha’apai stratospheric plume

875 Figure 9A shows the CFH measurements from Maïdo Station on Reunion Island (21°S, 55°E) on the evening of 22 January
876 2022. The massive explosive eruption of the submarine volcano Hunga Tonga-Hunga Ha’apai (HTHH) (21°S, 175°W) injected
877 50-150 Tg H₂O (e.g. Vömel et al, 2022; Khaykin et al., 2022; Millán et al., 2022) and about 0.4 Tg SO₂ into the stratosphere
878 (Millán et al., 2022). The Tonga volcano Rapid Response Experiment (TR²Ex) at the Maïdo observatory enabled in-situ
879 balloon-borne measurements in the core of the main volcanic plume as it moved over Réunion Island 6 to 8 days after the
880 eruption.

881 The H₂O profile shown by Evan et al. (2023) is the thin dotted line in Fig. 9B; it has only a coarse vertical resolution (see
882 polygonal line with 250 m linear elements in Fig. 3 of Evan et al.) and exhibits only the two H₂O maxima. The original data
883 show much more structure, but also a minimum that extends to an unrealistic 1 ppmv (bold black line in Fig. 9B). The
884 correlation with the aerosol measurements (Fig. 9C) has a linear correlation coefficient $R^2 = 0.61$ (in $\log \text{BSR}_{940 \text{ nm}}$ over
885 $\log \chi_{\text{H}_2\text{O}}$). Applying the CFH nonequilibrium correction to the data with high vertical resolution increases the level of
886 correlation between the aerosol and H₂O profiles to $R^2 = 0.86$. We assumed a reference water vapor mixing ratio value of 5.5
887 ppmv at 27.5 hPa as a-priori for the nonequilibrium correction, in line with a background value for the COBALD aerosol
888 measurement at that altitude and with Aura/MLS background H₂O profiles the days before. Due to the large deviations in frost
889 point, the nonequilibrium correction was performed in partial pressure instead of frost point (i.e. Eq. 5 instead of Eq. 6). No

890 RS41 or FLASH was present on that flight. In view of the large nonequilibrium errors, the uncertainty in the reference water
891 vapor mixing value ($< 10\%$) is not of great importance compared to the benefits of applying the nonequilibrium correction.



892

893 **Figure 9:** CFH-COBALD tandem measurements launched from the Maïdo Observatory in Réunion Island (21°S, 55°E)
894 ascending into the main plume of the volcanic eruption of the Hunga Tonga–Hunga Ha'apai (HTHH) submarine volcano on
895 22 January 2022 at 21UT. **(A)** CFH reflectance signal (blue line) and Golden Points (orange circles). **(B)** CFH raw data of
896 H₂O mixing ratio (black line); nonequilibrium-corrected CFH mixing ratio (cyan); CFH data with 250-m smoothing (thin
897 dotted line) as used by Evan et al. (2023). **(C)** Aerosol backscatter ratio measured by COBALD at 940 nm (BSR_{940nm}, red line)
898 and 455 nm (BSR_{455nm}, blue line). **(D)** Aerosol Color Index measured by COBALD, $CI = (BSR_{940nm} - 1) / (BSR_{455nm} - 1)$. Gray
899 shaded areas mark the largest errors in the H₂O profile that occur in the H₂O minima (i.e. in regions of the atmosphere
900 unperturbed by the volcanic plume). H₂O mixing ratios (this work), backscatter ratios and color index in panels B, C, and D
901 are displayed with 0.25 hPa (i.e., 50 - 100 m) binning.

902 The nonequilibrium correction allows us to compute the RH profile and compare it with the backscatter ratio (BSR, Fig. 9C)
903 and color index (CI, Fig. 9D) of the COBALD sonde, both in high resolution. It is interesting to compare the three layers with
904 increased H₂O mixing ratio between 19-22 hPa (layer 1), 24-26 hPa (layer 2) and 28-29 hPa (layer 3). In layer 1, there is a
905 clear increase in the color index to values of $CI = 8.5-10$, which indicates effective particle radii of $0.35-0.5\ \mu\text{m}$ (assuming
906 H₂SO₄-H₂O droplets at $RH_{ice} = 40\%$ and lognormal width $\sigma = 1.6$). In layer 2, the color index is slightly lower, with values
907 around 8, in line with effective particle radii of about $0.3\ \mu\text{m}$. In layer 3, there is no clear correlation between H₂O mixing ratio
908 and color index, but $CI \sim 7$ suggests effective particle radii of about $0.15\ \mu\text{m}$ (similar to unperturbed regions with $CI \sim 6$, $\sigma =$

1.8 and $RH_{ice} = 1\%$). While the backscatter ratio is influenced by mixing with unperturbed air during an atmospheric transport time of about one week since the eruption occurred, the color index is virtually unaffected by mixing. Therefore, the difference between the color indices of the three layers suggests that much more H_2SO_4 conversion occurred in layer 1 than in layer 3. The H_2SO_4 then condenses onto existing particles or forms new particles that coagulate with existing ones, both of which contribute to particle growth. The likely reason for the faster conversion of SO_2 to H_2SO_4 is the higher humidity in layer 1, which exceeds that in layer 3 by about an order of magnitude. The combination of the high-resolution CFH and COBALD measurements in Fig. 9 and their interpretation is fully consistent with and supports the work of Asher et al. (2023).

Since the CFH reflectance measurement and COBALD's backscatter measurement arise from completely different measurement principles, the strong correlation is an impressive indicator for the validity of the nonequilibrium correction. The gray shaded areas indicate the largest errors in the H_2O profile, which occur in the H_2O minima. The resulting errors of up to 20 K in the frost point and more than a factor of 10 in the H_2O mixing ratio between the H_2O -enriched layers 1 and 2 are in flagrant violation of the target set by the WMO. However, it must also be clear that ignoring the unperturbed regions outside of the H_2O -enriched layers, as done by Vömel et al. (2022) and Evan et al. (2023), has little influence on the overall assessment of this extraordinary eruption.

5.7 Nonequilibrium self-correction

As mentioned in Section 4.3, a dense array of Golden Points along the vertical profile of a balloon ascent can enable a self-correction of a chilled mirror instrument. Self-correction accomplishes independence of other instruments that have higher vertical resolution (at lower accuracy), such as FLASH-B or RS41. Recently, there has been a development in this direction in the form of the Peltier-based chilled mirror hygrometer SKYDEW (Sugidachi et al., 2025). While the Golden Points of CFH are typically separated by a few hundred meters (see multiple examples in Figs. 2-9), SKYDEW's Golden Points are typically 15 m apart in the troposphere and 20-25 m in the stratosphere. Figure 10 illustrates this feature of SKYDEW that has the potential to lead to a better constraint of the frost point. However, Fig. 10B also shows that the very large amplitude of the nonequilibrium excursions leads to strong temporal changes, dT_m/dt , at the Golden Points. This renders the retrieval of precise timings of the Golden Points along the balloon trajectory difficult. Even sampling time differences between T_m and U_m of less than 1 s can cause SKYDEW to suffer large uncertainties in the Golden Point timing, leading to incorrect Golden Points temperatures. This demonstrates that the perceived advantage of a denser Golden Point array, in terms of vertical resolution, can easily become a disadvantage in terms of frost point accuracy at the Golden Point on instruments with large nonequilibrium excursions.

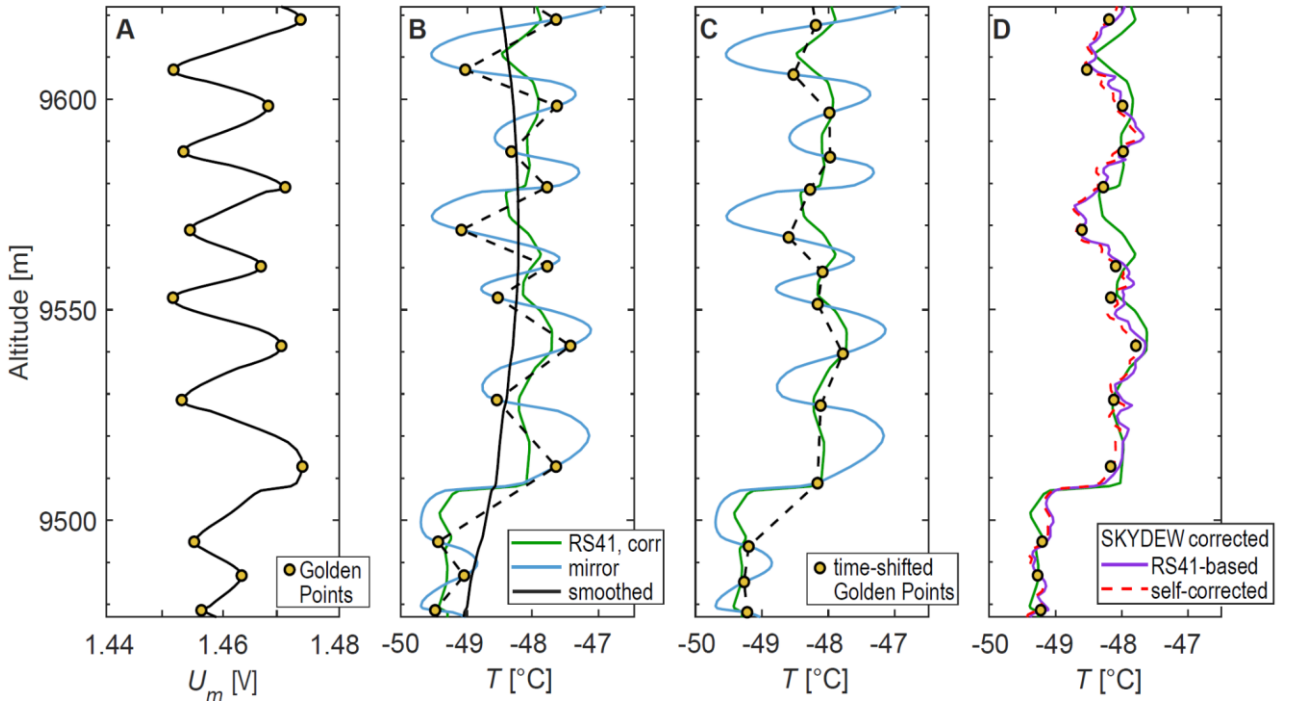


Figure 10: Self-correction procedure of SKYDEW (serial 20570, firmware 1.0), launched in tandem with a Vaisala RS41-SGP (V1021230) from the Lindenberg Observatory on 18 July 2023 at 21UT. **(A)** SKYDEW mirror signal (upscaled from 1 Hz to 5 Hz with linear interpolation to allow sub-second synchronization of U_m and T_m , then smoothed with a gaussian filter with an equivalent sample size of about 2 s in order to retain high temporal resolution) and the detected Golden Points (minima/maxima). SKYDEW uses light scattering instead of reflectance as its feedback signal, such that, in contrast to CFH, the mirror signal increases as the mirror condensate is growing and vice versa. **(B)** SKYDEW mirror temperature (blue) with Golden Points marked in yellow. The linear interpolation between Golden Points is shown as a black dashed line. The Golden Points 'zig-zagging' effect is not an atmospheric effect and is due to a misalignment of 0.4 s between the mirror temperature and mirror signal values provided in the telemetry frame. The black line is a gaussian smoothing of the Golden Points linear interpolation (with an equivalent sample size of about 25 s or 125 m), similarly to Sugidachi et al. (2025) for the upper troposphere. **(C)** Effect of correcting for a 0.4 s delay in the mirror temperature telemetry signal on the position of Golden Points and linear interpolation thereof **(D)**. SKYDEW nonequilibrium corrected mirror temperature, using RS41 time-lag and bias-corrected, and SKYDEW smoothed (panel (B)) as a-priori reference to determine A.

In Fig. 10C, we have shifted the mirror temperature data by 0.4 s (about 2 m vertical distance) relative to the reflectance signal, which can remove most of the atmospherically meaningless oscillation of the Golden Points for this particular case (i.e., T_m is shifted such that the RMS difference between the maxima-only and minima-only polygonal lines is minimized). After this synchronization of U_m and T_m , the Golden Points of SKYDEW can be used without further nonequilibrium correction, albeit with a vertical resolution of 15-25 m and a sampling uncertainty of ± 1 s in the Golden Points (Sugidachi et al., 2025) associated

with a steep slope dT_m/dt . For finer structures to be resolved, SKYDEW requires a nonequilibrium correction, e.g. by means of a time-lag and bias-corrected RS41 in the same way as done for CFH (violet solid line in Fig. 10D).

Alternatively, SKYDEW can be self-corrected: by using the polygonal line defined by the neighboring Golden Points as a-priori reference in the nonequilibrium correction procedure, we can determine the sensitivity A and obtain the dashed red line in Fig. 10D. The good agreement (< 0.15 K) with the nonequilibrium corrected profile based on RS41 demonstrates the high potential of this procedure, which allows SKYDEW to be nonequilibrium corrected without a second independent sensor. However, in the absence of an additional sensor suited for the stratosphere, it remains unclear if the self-correction procedure presented here would work at 10 km higher altitudes. Also, due to the steep slopes dT_m/dt at the Golden Points, even with synchronized U_m and T_m , the noise in the measurements leaves SKYDEW's Golden Points with finite errors that can be quantified from Fig. 10C with ± 1 s Golden Point timing uncertainties to be about ± 1 K in this case (see Section 5.5). This calls for a better feedback control to reduce the amplitude of the nonequilibrium excursions, or for sub-second telemetry.

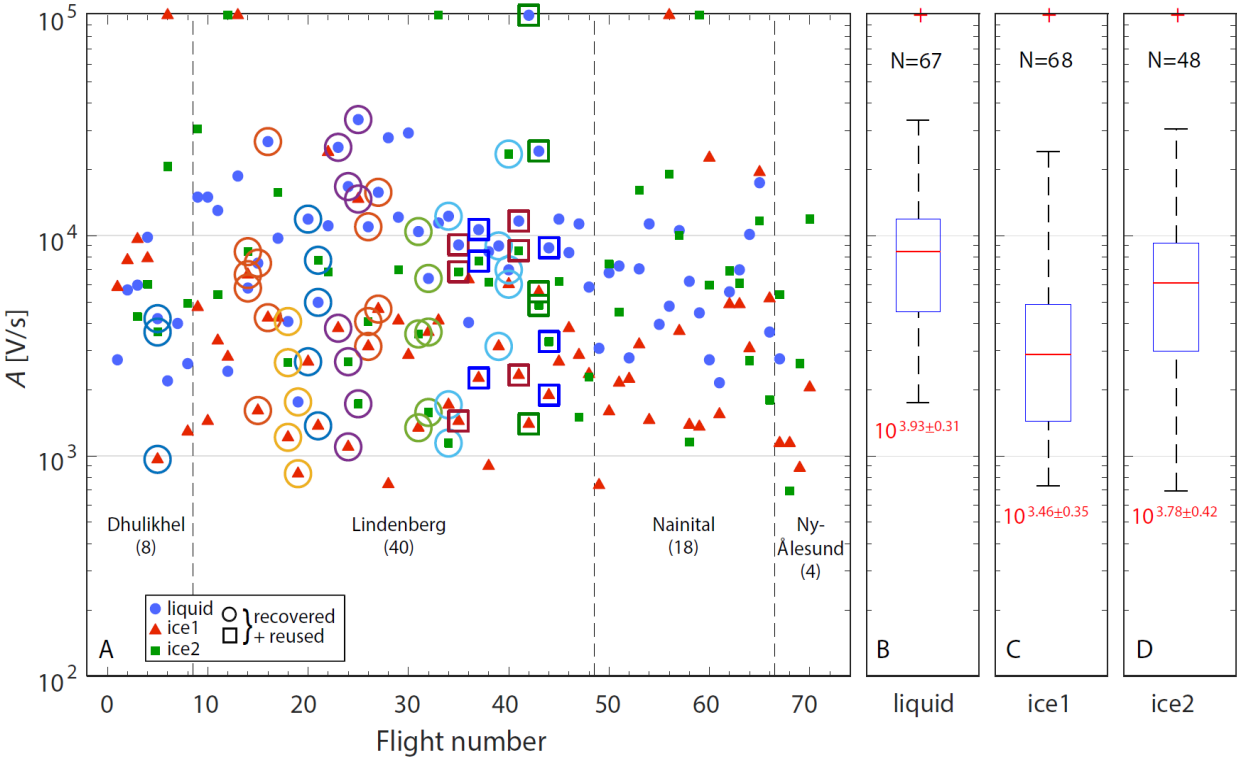
6 CFH nonequilibrium error statistics

In order to statistically evaluate the nonequilibrium error of CFH, we have analyzed a total of 70 RS41-CFH nighttime tandem soundings according to the procedure described in Section 4.2. A complete list of these 70 soundings is provided in Appendix A. Of these, 26 were performed during the StratoClim 2016-2017 campaigns in India and Nepal (Brunamonti et al., 2018), 40 during routine soundings in Lindenberg flown together with a COBALD backscatter sonde (Brabec et al., 2012; Vömel et al., 2016) between March 2016 and May 2019, and 4 during development and test flights for other instruments in Ny-Ålesund in February 2020 (Jorge et al., 2020). Except for one flight in Lindenberg, the CFH PID controller version is '6.44', which is the standard version. Before data analysis, we eliminated flight segments that were rejected based on an NDACC quality check Revision 0 (i.e., flagged as 'not valid'), e.g. due to mirror cleaning cycles, contamination or temperature/reflectance artifacts (NDACC, 2020; see also Vömel et al. (2016)). Furthermore, we restricted the analysis to night time measurements, since CFH daytime profiles tend to suffer from incomplete solar filtering and are more susceptible to noise (Hall et al., 2016) in the reflectance signal that would affect the quality of the reflectance-based statistical analysis.

Based on these 70 soundings, we show in Section 6.1 that there is a large film-to-film variability of the effective sensitivity parameter A , which makes a separate treatment of each sounding inevitable. Section 6.2 shows that the deviations between the reported mirror temperature and the nonequilibrium-corrected frost point are typically better than 0.5 K (corresponding to uncertainties < 9 % in the H_2O mixing ratio in the lower stratosphere). Greater deviations can occur when the morphology of the ice on the mirror is poor (e.g., coarse ice crystals), resulting in low A' (Eq. 2) and/or if the H_2O mixing ratio in the atmosphere changes significantly. In these cases, the nonequilibrium correction can remove 80 % - 90 % of the nonequilibrium error. This, in turn, allows a reduction in the uncertainty (at a given vertical resolution) of the frost point estimates that occur under nonequilibrium conditions, and helps discern true atmospheric features from measurements artifacts.

987 **6.1 Large film-to-film variability of the effective sensitivity parameter A**

988 Figure 11 shows the effective sensitivity parameter A derived for the three condensate layer types (liquid film, first and second
 989 ice film) for each of the 70 flights. The values span about two orders of magnitude and vary from film-to-film and from flight-
 990 to-flight. This large variability does not allow the use of a fixed value of A for all flights, not even for instruments that have
 991 been recovered and flown again, using the identical mirror and optical hardware. This complicates the design of feedback
 992



993
 994 **Figure 11:** Sensitivities (in V/s) derived from 70 CFH-RS41 tandem soundings. **(A)** Sensitivity A of each individual soundings
 995 for the liquid film (blue), first ice film (red) and second ice film (green). Soundings with recovered and reused instruments are
 996 shown with frames. The sensitivities span about 2 orders of magnitude and vary from film-to-film and from flight-to-flight.
 997 **(B)-(D)** Distribution of the sensitivities shown as boxplots in log-scale for each of the three condensate layers. The box shows
 998 the median (horizontal red line, second quartile q_2), the 25% (q_1) and the 75% (q_3) percentiles, i.e. 50 % of all data are in the
 999 interquartile range q_3-q_1 (blue box) The whiskers show the mild outliers of A in logarithmic scale between 1% and 99% (or,
 1000 more accurately, between $q_1-1.5\times(q_3-q_1)$ and $q_3+1.5\times(q_3-q_1)$). Numbers in red display are the median +/- the robust standard
 1001 deviation (defined as half the difference between the 84.13% and the 15.87% percentiles). The first ice film has the lowest
 1002 median effective sensitivity (~ 2884 V/s), the liquid film the highest median effective sensitivity (~ 8511 V/s), and the second
 1003 ice film an intermediate ~ 6026 V/s.

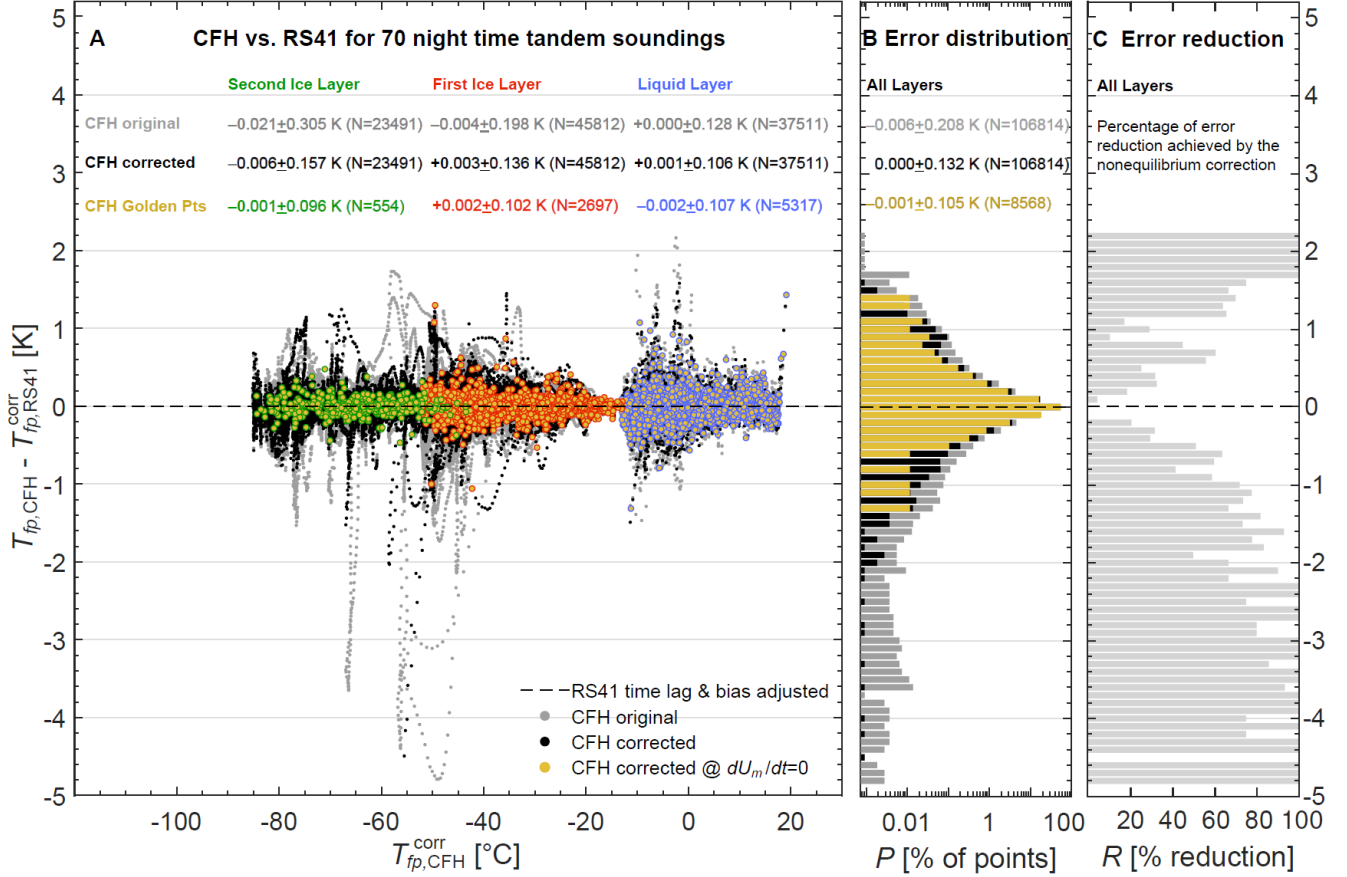
1004 controllers of consistently good performance, i.e. with the same sufficiently large A . Most likely, the variability is due to
 1005 different film morphologies, themselves associated with the mirror surface properties (impurities, coatings, etc.), prevailing
 1006 temperatures, ventilation, ambient H_2O molecule number density, cooling rate, supersaturation and possibly
 1007 coalescence/sintering experienced during film formation. Variability in balloon ascent rate might play a minor role as well, as
 1008 the boundary layer thickness of the airflow over the mirror is part of the effective sensitivity and depends on the flow conditions
 1009 inside the inlet tubes. Over 95% of the derived effective sensitivity values are larger than 1000 V/s in the CFH data set we
 1010 analyzed, which generally results in small mirror temperature deviations from equilibrium, as $(p_{\text{vap}}(T_m) - p_{\text{vap}}(T_{\text{fp}})) \propto 1/A$
 1011 dU_m/dt).

1012 The first ice-film (triggered by the cleaning cycle at -15°C mirror temperature) has the smallest effective sensitivity, likely due
 1013 to an ice film with fewer and larger ice crystals, which is potentially “patchier”. Films with large ice crystals are known to
 1014 deteriorate the detection limit and response time of optical frost point hygrometers (Pragnell, 1993; Vömel et al., 2016). The
 1015 second ice film (formed at -53°C mirror temperature) has the largest variability in effective sensitivity. This might be due to
 1016 large flight-to-flight differences in prevailing conditions during condensate formation, mirror impurities, or increased
 1017 variability in boundary layer thickness on the mirror, which increases as the Reynold’s number decreases in the UT/LS (Jorge
 1018 et al., 2021; see also Section 4.1.2). The liquid film (at mirror temperatures above -15°C) has the highest effective sensitivity
 1019 and the least scatter in effective sensitivity, possibly because of a higher consistency of dew morphology.

1020 6.2 Correction statistics of CFH vs. RS41

1021 For each of the analyzed flight sections (liquid layer, first and second ice layer), the optimization solver described in Appendix
 1022 B provides individual time-lag and bias parameters for the RS41, from which we calculate a corrected RS41 profile, the
 1023 effective sensitivity A and the nonequilibrium-corrected CFH profile (and for liquid films also the sub-second time delay Δ_{delay} ,
 1024 see Appendix B). In general, the corrected RS41 and CFH profiles agree well, although measurement noise, residual correction
 1025 errors, contamination, and subscale atmospheric variability lead to a certain degree of uncertainty, with frost point differences
 1026 > 1 K in some cases. Figure 12 shows the difference between individually corrected RS41 and CFH with and without
 1027 nonequilibrium correction for all 106,814 data points. The data were retrieved with 1-s resolution between the ground and the
 1028 tropopause, where the corrected RS41 provides more reliable measurements than in the stratosphere, as showcased in Fig. B1.
 1029 In order to ensure flight-to-flight consistency in vertical resolution and improve the accuracy of the estimated nonequilibrium
 1030 error when it is large, the boxcar filter is kept constant (5 s for liquid films, 25 s for ice films) and the nonequilibrium error is
 1031 derived from the vapor pressure instead of the frost point (i.e. Eq. 5 instead of Eq. 6). The error due to the nonequilibrium of
 1032 CFH (light gray points) is generally small, but there are cases with errors of 1-5 K or $> 10\%$ in mixing ratio. These measurement
 1033 errors benefit most from the nonequilibrium correction, which can reduce the error by more than 80% (change from gray bars
 1034 to black bars in Fig. 12B). Measurements with the largest nonequilibrium errors occur mostly in the presence of the second ice
 1035 film (or UT/LS). They are clearly negatively biased (dry bias) due to the kinetics of the ice film with slow condensate growth

and faster condensate evaporation (Clausius-Clapeyron equation). However, as Fig. 12A shows, the mean bias of the original CFH measurements for the second ice film in these 70 soundings is very low, namely only -21 mK, and reduces further to -6 mK after the nonequilibrium correction. The benefits of the nonequilibrium and Golden Points method are seen in the standard deviation, which reduces from ± 305 mK for the original data to ± 157 mK for the nonequilibrium corrected data and ± 96 mK for the Golden Points.



1041

Figure 12: Error statistics based on 106814 CFH-RS41 tandem measurement data points. **(A)** Frost point differences (in K) between CFH (denoted by $T_{fp,CFH}$) and RS41, which was individually time-lag and bias-corrected by means of the CFH Golden Points ($T_{fp,RS41}^{corr}$), as a function of temperature (a-posteriori minus a-priori). Gray points: uncorrected CFH mirror temperature ($T_{fp,CFH} = T_m$). Black points: nonequilibrium-corrected CFH ($T_{fp,CFH} = T_{fp,CFH}^{corr}$). Yellow circles with colored borders: CFH Golden Points for the different condensate types (liquid film blue, first ice film red, second ice film green). Text inserts: mean values $\pm$ standard deviations for each data type $T_{fp,CFH}$ and each type of condensate. **(B)** Probability distribution of frost point differences (in K) across all condensate types for original data (gray), nonequilibrium-corrected data (black), and Golden Points (yellow). Each bar in the histogram represents the occurrence probability in a 0.1-K interval. **(C)** Reduction of the probability of a given error to occur in percent calculated as ratio of the occurrence with and without nonequilibrium correction $R = 1 - P(T_{fp,CFH}^{corr} - T_{fp,RS41}^{corr}) / P(T_m - T_{fp,CFH}^{corr})$.

1051

1052 The text insert in Fig. 12 lists individually for all three condensate types the mean bias and standard deviation, corresponding
 1053 to the root mean square error (RMSE) between corrected RS41 and CFH (original, corrected and Golden Points). For the
 1054 second ice layer (UT/LS) the nonequilibrium correction achieves about 45% reduction in RMSE, for the first ice layer 30%
 1055 reduction, and for the liquid layer about 20% reduction. Interestingly, there are a few Golden Points with $|T_{\text{fp,CFH}} - T_{\text{fp,RS41}}^{\text{corr}}| >$
 1056 1 K, while one might expect this to be smaller than the uncertainty of 0.2 K. However, we first note the fitting procedure for
 1057 each condensate film with two parameters for the time-lag correction and six parameters for the bias correction leaves some
 1058 room for outliers, whereas the vast majority of Golden Points deviates less than 0.2 K (see logarithmic bar diagram in Fig.
 1059 12B). For the liquid film the nonequilibrium correction is the least efficient and the RMSE at the Golden Points is the largest.
 1060 Despite the time-delay correction in the optimization routine described in Appendix B, the ± 1 s uncertainty in sampling the
 1061 Golden Points likely plays a bigger role for the liquid film, as CFH typically oscillates much more strongly (i.e. large $|dT_m/dt|$
 1062 at the Golden Points). In addition, the noise in the resistance measurement induces a small random uncertainty in the lower
 1063 troposphere (Section 2.5).

1064 Figure 12B depicts the logarithmically plotted distribution of CFH-RS41 errors across all condensate types, demonstrating the
 1065 effectiveness of the nonequilibrium correction (change from gray to black histogram) and the superior quality of the Golden
 1066 Points (yellow histogram). In particular, note the effectiveness in eliminating most of the extremely dry outliers, i.e. some
 1067 layers of extreme-low bias can be identified and corrected, which is important for trend detection. The figure also reveals that
 1068 nonequilibrium errors do not affect measurement accuracy when averaged over a large number of soundings (-6 mK bias over
 1069 all soundings over all layers), which is indicative of appropriate PID control on average, as noted also in Vömel et al. (2016).

1070 For CFH, the combined effect of all sources of uncertainty in frost point (or dew point) measurements is specified to be better
 1071 than 0.2 K under good conditions, and systematic errors, which most likely affect long-term climate series, are smaller than
 1072 0.1 K (Vömel et al., 2016). Figure 12 confirms this performance for a majority of 89 % of the measurement points. For 11 %
 1073 of the about 10^5 measurement points, the nonequilibrium analysis revealed errors in the frost point estimated from the raw
 1074 mirror temperature (i.e., $|T_m - T_{\text{fp,RS41}}^{\text{corr}}|$) of more than 0.2 K, for 5.5 % of more than 0.3 K, for 2.2 % of more than 0.5 K, and
 1075 for 0.6 % larger of more than 1.0 K. For the subset of points with the second ice film (near the tropopause, about 23000 points),
 1076 37 % have errors in frost point larger than 0.1 K, 18 % larger than 0.2 K, 10 % larger than 0.3 K, 4 % larger than 0.5 K, and 1
 1077 % larger than 1.0 K. As mentioned earlier, because of different ice film qualities not all instruments or flight legs are equally
 1078 affected by nonequilibrium errors. Since 40 of the 70 analyzed soundings have been launched from Lindenberg under the
 1079 optimal launch conditions at the GRUAN Lead Center and the moderate midlatitude flight conditions, these statistics might
 1080 be slightly worse for other stations and flight campaigns with lower H₂O mixing ratio, such as in the tropical UT/LS. In fact,
 1081 for the subset of points with the second ice film (near the tropopause), only 32% of all flights have errors in frost point < 0.2
 1082 K (for at least 95.4% of their measurements points). All of these flights achieving this level of performance were launched
 1083 from Lindenberg, where about 2/3 of the flights satisfy the < 0.2 K criterion.

1084 7 Conclusions

1085 This study shows how measurements with errors in the frost point > 0.2 K from reference quality chilled mirror hygrometers,
1086 such as CFH, are caused by controller instabilities leading to nonequilibrium states of the chilled mirror, and how they can be
1087 systematically corrected. Measurements by chilled mirror hygrometers are a composite of oscillating mirror temperature T_m
1088 and mirror reflectance U_m signals. Oscillations around the dew/frost point are inherent to the chilled mirror measuring
1089 technique and cannot be avoided. Regardless of the control approach, the H_2O partial pressure can be calculated according to
1090 the nonequilibrium equation $p_{\text{vap}}(T_m) - p_{\text{vap}}(T_{fp}) \propto (p/A') \cdot (dU_m/dt)$ (Eq. 5).

1091 Golden Points: most accurate chilled mirror data

1092 A fundamental characteristic of chilled-mirror hygrometers that the actual dew/frost point is only measured when the
1093 condensate (liquid water or ice) is in equilibrium with the ambient gas phase, i.e. at the Golden Points ($dU_m/dt = 0$). For CFH,
1094 the 2σ uncertainty is less than 4% in the mixing ratio at the Golden Points. Away from the Golden Points, when the condensate
1095 is not in equilibrium ($dU_m/dt \neq 0$), the measurement error is proportional to the change in mirror reflectivity per time,
1096 independently of the controller action. When the reflectance increases, the condensate is evaporating and the mirror is too
1097 warm. Conversely, when the reflectance decreases, the condensate is growing and the mirror is too cold. The Golden Points
1098 are a powerful feature of chilled mirror hygrometers as they provide physical confirmation of thermodynamic equilibrium (or
1099 equilibrium-like points in time). As such, they strengthen the use of chilled mirror hygrometers as reference instruments with
1100 high accuracy and negligible drift, suited for long-term records of H_2O in the upper troposphere and lower stratosphere.

1101 Nonequilibrium correction: H_2O profiles of improved accuracy and vertical resolution

1102 Correcting the nonequilibrium states of CFH requires an estimate of the morphological sensitivity A' , which contains
1103 information about the frost (or dew) morphology on the mirror. When CFH is flown together with a second humidity sensor
1104 with potentially lower accuracy but a temporal resolution better than the spacing of the Golden Points, this sensor can first be
1105 recalibrated using the accurate CFH Golden Points, and then the recalibrated sensor can in turn be used to correct the
1106 nonequilibrium states of CFH. Such a second sensor can be a high-performance thin film sensor like the RS41 or an optical
1107 hygrometer like FLASH-B. Except for contaminated flights (hydrometeors in the inlet or outgassing of the balloon envelope),
1108 we found from 70 night time soundings that the non-equilibrium correction achieves a 60–100 % reduction in the frost point
1109 error for errors greater than 1 K, provides a significant improvement in measurement accuracy for 68% of the flights, and
1110 results overall in a 2σ uncertainty of less than 0.4 K at a vertical resolution of 125 m or less than 0.2 K (i.e., 4 % in the mixing
1111 ratio) at 500 m vertical resolution from the ground to the middle stratosphere. This shows that the target uncertainty of $< 4\%$
1112 in the mixing ratio set by the WMO in 2024 is achievable when applying a nonequilibrium correction. The method achieves
1113 higher accuracy and vertical resolution, and is less prone to outliers, than traditional averaging techniques (that do not account
1114 for the mirror reflectance) for cooling mirror devices such as CFH, for which a 2σ uncertainty of 10 % in the mixing ratio is
1115 specified. Attempts to consider chilled mirror instruments as time-lagged (i.e. following a first-order response with time

constants of several seconds), as found sometimes in literature, are generally inappropriate, because chilled mirrors are actively controlled devices and usually follow a higher order response with multiple overshoots. Furthermore, attempts to remove nonequilibrium errors with downsampling techniques or low-pass filtering over several hundreds of meters are prone to bias in case of large and/or asymmetric nonequilibrium errors, and reduce vertical resolution.

Self-correction: Under suitable circumstances, a nonequilibrium correction can be applied without the use of an auxiliary humidity sensor. One approach is to derive an a-priori profile from an array of closely spaced Golden Points with mirror temperature oscillations of sufficiently large amplitude in-between, given the Golden Points positions can be determined precisely. Another approach is to derive the morphological sensitivity by matching ascent and descent data in the stratosphere, given the presence of atmospheric/non-atmospheric features in regions of moderate enough flow rate.

Recommendations for future instrumentations and data treatment

Looking ahead, it would be interesting to investigate new hygrometer designs that make optimal use of the Golden Points concept. In particular, feedback control could be improved in two ways: minimize nonequilibrium errors such that a nonequilibrium correction is not required, or enable robust nonequilibrium self-correction.

Improved PID controller to minimize nonequilibrium errors: it is now clear that the error remains small, $|T_m - T_{ip}| \propto |dU_m/dt|/A' < 0.2$ K (Eq. 8a), when the morphological sensitivity A' is large and the change in reflectivity $|dU_m/dt|$ is small, ideally in conjunction with sufficiently frequent Golden Points and an average nonequilibrium error remaining close to zero along the flight path (see Fig. 7A-B for examples with Golden Points every ~ 150 m). Maximizing A' through an improved mirror design, such as a hydrophilic micropattern structure printed or deposited on the mirror, could increase the consistency and morphological sensitivity of the mirror condensate. Furthermore, the introduction of an adjustable setpoint could help reduce non-equilibrium deviations and thus improve the performance of chilled mirror hygrometers.

Improved PID controller for self-correction ability: a-priori profiles can be derived from dense arrays of Golden Points and quasi-symmetric mirror temperature oscillations of sufficiently large amplitude. The timing of the Golden Points is particularly important in such an approach. New designs with live detection of the Golden Points by a microcontroller (i.e., sampling T_m and U_m at an internal rate $\gg 1$ Hz and transmission of the data in telemetric batches) could reduce the uncertainty of the Golden Points positions and be useful for sensors with large $|dU_m/dt|$, provided any time lag between U_m and T_m can be well characterized. Enforcing mirror temperature oscillations at a fixed frequency (e.g., 1/60 Hz) and suppressing this artificial, non-atmospheric frequency in the non-equilibrium-corrected data could be another promising approach to the Golden Points.

Data archive: correction for nonequilibrium requires the composite T_m and U_m chilled mirror signal, we therefore recommend reporting both T_m and U_m in chilled mirror data products (e.g., from NDACC). The U_m signal is also important for data quality check.

1148 **Table A1.** Compilation of the CFH soundings examined in this work. Rows 71-75 list experimental flights discussed in the
1149 paper which have not been included in the statistical analysis in Section 6.
1150

#	Location Lat/Lon/Alt	Launch Date Sonde /Telemetry	ID	CFH	COBALD ECC	Comment
1	Dhulikhel (28°N, 86°E, 1.53 km asl)	2017-0803-193618 RS41-SGP N1231083 / MW41 2.6.0	KU004	2L4608	407 2Z23304	ice2 disqualified, suspected issue with ice film (sudden jumps in reflectivity)
2	Dhulikhel	2017-0804-181842 RS41-SGP M2150158 / MW41 2.6.0	KU005	2L3105	/ 2Z24833	contamination in stratosphere (Jorge et al., 2021)
3	Dhulikhel	2017-0805-180925 RS41-SGP M2150161 /MW41 2.6.0	KU006	2L4804	/ 2Z24835	
4	Dhulikhel	2017-0806-184452 RS41-SGP M2150058 / MW41 2.6.0	KU009	2L4802	/ 2Z24836	contamination in stratosphere (Jorge et al., 2021)
5	Dhulikhel	2017-0807-164514 RS41-SGP L4010085 / MW41 2.6.0	KU010	2L4805	/ 2Z23303	
6	Dhulikhel	2017-0809-164921 RS41-SGP M2150135 / MW41 2.6.0	KU016	2L4817	/ 2Z24832	
7	Dhulikhel	2017-0810-162905 RS41-SGP M2910579 / MW41 2.6.0	KU020	2L5819	/ 2Z21360	- ice1 disqualified, suspected electronic interference (large scatter in U_m and/or T_m) - ice2 disqualified, suspected issue with ice film (sudden jumps in reflectivity)
8	Dhulikhel	2017-0812-165315 RS41-SGP M2910614 / MW41 2.6.0	KU028	2L5901	/ /	- contamination in stratosphere (Jorge et al., 2021) - ice2 disqualified, suspected contamination already in UT (see also Jorge et al., 2021)
9	Lindenberg (52°N, 14°E, 0.11 km asl)	2016-0310-225428 RS41-SGP L1810106 / MW41 2.2.1	LI177	2L3410	329 6A	
10	Lindenberg	2016-0412-230433 RS41-SGP L1810201 / MW41 2.2.1	LI179	2L3411	317 6A27198	
11	Lindenberg	2016-0509-230241 RS41-SGP L2140145 / MW41 2.2.1	LI181	2L4125	316 6A27194	
12	Lindenberg	2016-0524-225443 RS41-SGP L2130396 / MW41 2.2.1	LI182	2L4124	330 6A	
13	Lindenberg	2016-0620-224858 RS41-SGP L2210016 / MW41 2.2.1	LI184	2L4322	327 6A24934	CFH CodeVersion (FW) 6.31 instead of 6.44
14	Lindenberg	2016-0824-225100 RS41-SGP L4150434 / MW41 2.2.1	LI189	2L4123	313 6A24930	
15	Lindenberg	2016-1017-233051 RS41-SGP L4150053 / MW41 2.4.0	LI193	2L4123	332 6A24914	
16	Lindenberg	2016-1123-013454 RS41-SGP M3010873 / MW41 2.5.0	LI195	2L4123	310 6A24915	- ice2 disqualified, suspected electronic interference (large scatter in U_m and/or T_m) - FLASH-B on separate balloon/telemetry
17	Lindenberg	2016-1215-224907 RS41-SGP M3021294 / MW41 2.5.0	LI197	2L4319	331 6A24917	FLASH-B on separate balloon/telemetry
18	Lindenberg	2017-0313-225417 RS41-SGP M3021177 / MW41 2.6.0	LI202	2L4824	335 6A25756	with FLASH-B Basis of Fig. 2, 3 and 4

19	Lindenberg	2017-0404-230657 RS41-SGP M5120052 / MW41 2.6.0	LI204	2L4824	307 6A25705	ice2 disqualified, suspected issue with ice film (sudden jumps in reflectivity)
20	Lindenberg	2017-0426-225644 RS41-SGP M3021164 / MW41 2.6.0	LI205	2L4805	308 6A28584	ice2 not formed before tropopause
21	Lindenberg	2017-0517-225625 RS41-SGP N1320827 / MW41 2.6.0	LI207	2L4805	328 6A27142	
22	Lindenberg	2017-0713-225908 RS41-SGP N1240466 / MW41 2.6.0	LI211	2L5221	251 6A27145	
23	Lindenberg	2017-0823-224605 RS41-SGP N1250508 / MW41 2.6.0	LI214	2L5025	320 6A27175	
24	Lindenberg	2017-0921-230719 RS41-SGP N1320473 / MW41 2.6.0	LI216	2L5025	324 6A25698	
25	Lindenberg	2017-1018-230326 RS41-SGP N1230981 / MW41 2.6.0	LI218	2L5025	322 6A25677	
26	Lindenberg	2017-1122-225803 RS41-SGP N3610074 / MW41 2.6.0	LI220	2L4123	142 6A25734	
27	Lindenberg	2017-1213-012935 RS41-SGP N1230976 / MW41 2.6.0	LI222	2L4123	142 6A25674	ice2 not formed before tropopause
28	Lindenberg	2018-0130-225943 RS41-SGP N3450039 / MW41 2.6.0	LI224	2L5802	314 6A25678	
29	Lindenberg	2018-0213-230051 RS41-SGP N3450052 / MW41 2.6.0	LI225	2L4806	221 6A25671	
30	Lindenberg	2018-0320-225918 RS41-SGP N3610105 / MW41 2.6.0	LI228	2L5724	315 6A25740	
31	Lindenberg	2018-0419-230315 RS41-SGP N3620534 / MW41 2.6.0	LI230	2L5404	311 6A27171	Basis of Fig. 8 and D1, used in Fig. 7
32	Lindenberg	2018-0508-225243 RS41-SGP N3650142 / MW41 2.6.0	LI231	2L5404	318 6A27179	
33	Lindenberg	2018-0614-225802 RS41-SGP N4220205 / MW41 2.6.0	LI233	2L5405	321 6A27174	
34	Lindenberg	2018-0702-224539 RS41-SGP N4140431 / MW41 2.6.0	LI235	2L5109	323 6A27172	
35	Lindenberg	2018-0725-020459 RS41-SGP N3450409 / MW41 2.6.0	LI236- PCFH	2L5225	309 6A27150	PCFH on separate balloon/telemetry
36	Lindenberg	2018-0807-233126 RS41-SGP N4220213 / MW41 2.6.0	LI237	2L5725	321 6A27173	ice2 not formed before tropopause
37	Lindenberg	2018-0911-230724 RS41-SGP N1330373 / MW41 2.6.0	LI239	2L5223	247 6A27146	
38	Lindenberg	2018-1008-225428 RS41-SGP N3450345 / MW41 2.6.0	LI241	2L5403	200 6A28550	
39	Lindenberg	2018-1108-215536 RS41-SGP N4230671 / MW41 2.6.0	LI243	2L5109	334 6A28554	- ice2 disqualified, suspected electronic interference (large scatter in U_m and/or T_m) - FLASH-B on separate balloon/telemetry
40	Lindenberg	2018-1115-225242 RS41-SGP N4230671 / MW41 2.6.0	LI244	2L5109	317 6A28553	FLASH-B on separate balloon/telemetry
41	Lindenberg	2018-1212-165531 RS41-SGP N4230683 / MW41 2.6.0	LI246- PCFH	2L5225	/ 6A28556	- with PCFH - COBALD 321 with second PCFH on separate balloon/telemetry (RS41-SGP N4230642, MW41 2.6.0)
42	Lindenberg	2018-1213-224808 RS41-SGP N4120605 / MW41 2.6.0	LI247- PCFH	2L5401	309 6A28552	- with PCFH - FLASH-B on separate balloon/telemetry
43	Lindenberg	2019-0129-224937 RS41-SGP N4230684 / MW41 2.6.0	LI249	2L5401	333 6A28547	FLASH-B on separate balloon/telemetry
44	Lindenberg	2019-0214-224606 RS41-SGP N4120862 / MW41 2.6.0	LI250	2L5223	334 6A28633	FLASH-B on separate balloon/telemetry

45	Lindenberg	2019-0401-192403 RS41-SGP N4040977 / MW41 2.6.0	LI254	2L5414	317 6A28588	SKYDEW on separate balloon/telemetry
46	Lindenberg	2019-0507-230037 RS41-SGP N4040956 / MW41 2.11.0	LI258	2L5413	323 6A28593	
47	Lindenberg	2019-1111-194522 RS41-SGP N4140423 / MW41 2.11.0	LI270- PCFH	2L5709	98 /	- with PCFH - second PCFH on separate balloon/telemetry - FLASH-B on separate balloon/telemetry
48	Lindenberg	2019-1114-165619 RS41-SGP N4130711 / MW41 2.11.0	LI271- PCFH	2L5710	322 /	- with PCFH - second PCFH on separate balloon/telemetry - FLASH-B on separate balloon/telemetry
49	Nainital (29°N, 79°E, 1.81 km asl)	2016-0805-172327 RS41-SGP M2220114 / MW41 2.3.0	NT003	2L4706	426 2Z26202	- Flight discarded in Section 6.2 (suspected instrumental issue with RS41) - ice2 disqualified, suspected contamination already in UT (this work)
50	Nainital	2016-0806-175640 RS41-SGP M2150142 / MW41 2.3.0	NT004	2L4607	424 2Z29725	
51	Nainital	2016-0808-162950 RS41-SGP M2220112 / MW41 2.3.0	NT005	2L4702	423 2Z29577	
52	Nainital	2016-0811-185812 RS41-SGP M2150060 / MW41 2.3.0	NT007	2L4604	421 2Z29727	- contamination in stratosphere (Jorge et al., 2021) - ice2 disqualified, suspected contamination already in UT (see also Jorge et al., 2021)
53	Nainital	2016-0812-161956 RS41-SGP M2150162 / MW41 2.3.0	NT009	2L4703	417 2Z29735	
54	Nainital	2016-0815-165308 RS41-SGP M2150133 / MW41 2.3.0	NT011	2L4707	411 2Z29742	- contamination in stratosphere (Jorge et al., 2021) - ice2 disqualified, suspected contamination already in UT (see also Jorge et al., 2021)
55	Nainital	2016-0816-182642 RS41-SGP M2150157 / MW41 2.3.0	NT013	2L4621	339 2Z29736	only liquid layer (early burst)
56	Nainital	2016-0817-152947 RS41-SGP M2150144 / MW41 2.3.0	NT015	2L4612	425 2Z29584	
57	Nainital	2016-0818-160401 RS41-SGP M2150141 / MW41 2.3.0	NT017	2L4709	428 2Z29715	
58	Nainital	2016-0819-172849 RS41-SGP M2220113 / MW41 2.3.0	NT018	2L5108	410 2Z29729	
59	Nainital	2016-0821-155047 RS41-SGP M2150074 / MW41 2.3.0	NT023	2L4712	409 2Z29736	
60	Nainital	2016-0823-163310 RS41-SGP M2150143 / MW41 2.3.0	NT025	2L4710	340 2Z29724	
61	Nainital	2016-0826-181759 RS41-SGP M2220109 / MW41 2.3.0	NT027	2L4713	414 2Z29726	ice2 disqualified, suspected electronic interference (large scatter in U_m and/or T_m)
62	Nainital	2016-0828-161051 RS41-SGP M2150139 / MW41 2.3.0	NT028	2L4609	408 2Z29723	Used in Fig. 7
63	Nainital	2016-0830-154419 RS41-SGP M2150134 / MW41 2.3.0	NT029	2L4603	420 2Z29731	contamination in the stratosphere (Jorge et al., 2021).
64	Nainital	2016-1108-185019 RS41-SGP M2220111 / MW41 2.3.0	NT031	2L5110	418 2Z24831	
65	Nainital	2016-1110-144350 RS41-SGP M2150138 / MW41 2.3.0	NT033	2L4708	416 2Z21260	
66	Nainital	2016-1111-153634 RS41-SGP M2150140 / MW41 2.3.0	NT034	2L3809	413 2Z21364	Flight discarded in Section 6.2 (suspected instrumental issue with CFH)
67	Ny-Alesund (79°N, 12°E, 0.02 km asl)	2020-0208-164950 RS41-SGP P1040630 / MW41 2.11.0	NA052 -PCFH	2L6222	620 6A34990	with PCFH

68	Ny-Alesund	2020-0217-203731 RS41-SGP P1130424 / MW41 2.11.0	NA053 -PCFH	2L6221	621 6A34994	- no liquid layer (frost on mirror at launch) - with PCFH Used in Fig. 7
69	Ny-Alesund	2020-0221-190958 RS41-SGP P0940864 / MW41 2.11.0	NA054 -PCFH	2L6220	617 6A35354	- no liquid layer (frost on mirror at launch) - with PCFH - FLASH-B on separate balloon/telemetry (RS41-SGP P1130447, MW41 2.11.0) Basis of Fig. 6, D2 and E1, used in Fig. 7
70	Ny-Alesund	2020-0226-191613 RS41-SGP P1130442 / MW41 2.11.0	NA055 -PCFH	2L6722	619 6A35356	- no liquid layer (frost on mirror at launch) - with PCFH
E-1	Lindenberg	2014-0520-225119 IMet1-RSB 14246 / Strato 9.54	LI133	2L3407	205 2Z7378	CFH CodeVersion (FW) 6.40 instead of 6.44 Basis of Fig. 5
E-2	Dhulikhel	2017-0811-115104 RS41-SGP L4150443 / MW41 2.6.0	KU024	2L5917	/ 2Z23305	Launched 1h before sunset, considered as daytime flight Used in Fig. 7
E-3	Lindenberg	2021-1007-180851 RS41-SGP S2330258 / MW41 2.15.0	LI304- PCFH	2L6711	322 6A35222	- PCFH on separate balloon/telemetry - FLASH-B on separate balloon/telemetry (RS41-SGP S2330252, MW41 2.15.0) Mentioned in Appendix C
E-4	Maïdo Observatory (21°S, 55°E, 2.16 km asl)	2022-0122-210033 IMet1-RSB 14246 / Strato 9.66	LM031	2L7812	574 Z38481	Basis of Hunga Tonga sounding in Fig. 9, used in Fig. 7
E-5	Lindenberg	2023-0718-210609 RS41-SGP V1021230 / MW41 2.15.0	LSD00 6	SKYDEW S/N 20570	329 6A40261	SKYDEW CodeVersion (FW) 1.0. Basis of SKYDEW sounding in Fig. 10

Appendix B: Vaisala RS41 capacitive thin-film polymer sensor

RS41 is an operational radiosonde manufactured by Vaisala (Vaisala, 2018a, 2018b) and the successor of RS92 (Vaisala, 2013), see also (Nash et al., 2011; Dirksen et al., 2024). The performance of radiosondes has greatly improved since Elliott and Gaffen (1991) questioned their usefulness for climate studies. The capacitive thin-film humidity sensor of the Vaisala RS41 radiosonde, for example, remains sensitive to humidity as long as the relative humidity is greater than about 1-2 % RH (Survo et al., 2015; Vömel et al., 2022; Khaykin et al., 2022). This suggests that it can be utilized within certain UT/LS research topics, such as the study of deep overshooting convection, polar stratospheric clouds or moist volcanic plumes. However, in the tropopause region and in the stratosphere the RS41 (with the Vaisala MW41 sounding software) may exhibit residual time-lag and bias errors that can result in errors of 20 % or more (e.g. Brunamonti et al., 2019; Khordakova et al., 2022), which can be improved using a universal correction (Poltera, 2022). In addition, there is sonde-to-sonde variability, which introduces additional uncertainty in the low humidity regime of the tropopause region and the stratosphere (Brunamonti et al., 2019; Vömel et al., 2022). Nevertheless, the RS41 measurements can be time-lag and bias-corrected to match the CFH Golden Points on a case-by-case basis. This allows constructing an a-priori estimate for the nonequilibrium correction of CFH. In addition, it provides information on the behavior of the RS41 humidity sensor in environments that are challenging to reproduce in the laboratory, such as the UT/LS.

Using a recalibrated RS41 for the nonequilibrium correction of CFH

Using an RS41 as auxiliary sensor, we apply the nonequilibrium correction procedure described in Section 4.2 according to the following scheme:

- (1) identify the Golden Points along the humidity profile measured by CFH;
- (2) construct an a-priori estimate of the frost point profile by means of an RS41 on the same balloon payload, which is
 - synchronized to the CFH telemetry (i.e. correcting for a mismatch of typically about $\Delta_{\text{tele}} = 3.5$ s (Holger Vömel, pers. comm., 2019; Poltera, 2022));
 - time-lag-corrected by means of a 2-parameter Arrhenius-type equation ($\sim \exp(\Delta E/RT)$) for the time constant, see Eq. B1;
 - and bias-corrected by means of the Golden Points of the chilled mirror instrument using 6 parameters (in the form of an offset and a scaling-factor), see Eq. B2;
- (3) use this a-priori estimate to determine the effective sensitivity A of CFH;
- (4) construct an a-posteriori by correcting the CFH mirror temperature using A in Eq. 8a, called “nonequilibrium corrected CFH”.

The a-posteriori is our best approximation for the “true” frost point. We use the deviation between the a-priori and the a-posteriori as an estimate of the uncertainty of the frost point resulting from residual errors in the nonequilibrium correction of CFH and in the time-lag and bias correction of the RS41 data based on the CFH Golden Points.

Time-lag correction for RS41

The RS41 humidity measurement can be used to construct the CFH nonequilibrium correction, even though it is often less accurate in the upper troposphere and in the stratosphere (e.g. Brunamonti et al., 2019; Sun et al., 2021; Lee et al., 2021; Khordakova et al., 2022) and fails to reproduce the CFH Golden Points, as shown by the green dashed in Fig. 2A. Therefore, RS41 must first be corrected for time-lag and bias by means of the Golden Points, before it can be used to correct the nonequilibrium states of CFH. This utilizes the high precision of the corrected RS41 data.

The capacitive RS41 humidity sensor operates on the principle of the change in the electrical capacitance of a thin polymer film due to moisture absorption. When atmospheric humidity changes, the H_2O molecules must diffuse into or out of the polymer film, which takes time, causing the sensor to react with a diffusive delay and with a damped response to changes in the external humidity. This is best described by in terms of an Arrhenius-type temperature dependence (e.g. Denton et al., 1985) of the characteristic time for diffusion through the layer

$$\tau_{\text{RS41}}(T) = \tau_{\infty} \cdot \exp\left(\frac{E_a}{RT_{\text{RS41}}}\right), \quad (\text{B1})$$

where τ_{RS41} is the corresponding time constant, T_{RS41} is the temperature of the RS41 humidity sensor in K (aimed to be at 5 K above ambient temperature), E_a is the diffusion activation energy (in J/mol) and τ_{∞} is a pre-factor (in s). The Arrhenius

description ($\sim \exp(\Delta E/RT)$) captures the physics of the instrument better than a polynomial dependence on temperature, as previously assumed by Miloshevich et al. (2004) or a simple exponential dependence ($\sim \exp(-cT)$) used by Vaisala (Antikainen and Paukkunen, 1994) and the research community (Leiterer et al., 2005; Dirksen et al., 2014). In particular, at low temperatures, the Arrhenius dependence provides a more realistic description and appears to better reproduce recent laboratory measurements at temperatures lower than previously tested (Von Rohden et al., 2021, 2022; see also Dirksen et al. (2020)). Since E_a and τ_∞ may vary slightly between different copies of RS41 due to small differences in design, such as film thickness, we fit Eq. B1 individually for each flight segment along with other parameters and use this to correct RS41 for its time-lag. The equation for time-lag deconvolution is found in Miloshevich et al. (2004), their Eq. 4.

Bias correction for RS41

The bias is corrected by means of the 6-parameter fit mentioned in Section 3.2, namely in terms of an offset S_0 and a scaling factor ξ , following the rationale of the correction of the temperature dependence in ice chamber laboratory experiments applied by Vaisala to their radiosonde humidity sensors in the past (Wang et al., 2002; Miloshevich et al., 2001, 2004):

$$\begin{aligned} S_{corr}(S, T) &= (S - S_0) \times \xi \\ &= (S - (a_0 + a_1 T_{RS41} + a_2 T_{RS41}^2)) \times (b_0 + b_1 T_{RS41} + c_0 \cdot S), \end{aligned} \quad (B2)$$

where S is the H₂O saturation ratio (with respect to liquid water or ice, depending on the condensate on the mirror) measured with RS41 (after time-lag correction), T_{RS41} is the measured temperature of the RS41 humidity sensor, and a_i , b_i and c_0 are six bias correction parameters. Equation B2 is also fitted individually for each flight segment together with other parameters and this is used to correct RS41 for its bias.

Details of the implementation of the nonequilibrium correction

To account for changes of the film morphology after each cleaning cycle of each sounding, we subdivide each flight into three segments: the liquid film segment (from balloon launch to the first CFH cleaning cycle at $T_m = -15$ °C), the segment with the first ice film (from the first to the second cleaning cycle), and the segment with the second ice film (from the second cleaning cycle at $T_m = -53$ °C to the hygro-pause). We conservatively limit the analysis to below the hygro-pause, since the detection limit of 1–2 %RH of the RS41 polymer humidity sensor (e.g. Brunamonti et al., 2019; Vömel et al., 2022) was reached just above the hygro-pause in some of the Lindenberg flights and because the uncertainty of the time-lag correction of capacitive thin-film sensors is greatest during desorption from the thin-film polymer in the first kilometers above the hygro-pause (Dirksen et al., 2014). Therefore, nonequilibrium correction above the hygro-pause in the stratosphere must rely on the nonequilibrium parameters obtained in the upper troposphere below the hygro-pause, which is justified as long as the morphology of the ice film does not change during further ascent.

For each flight segment (liquid film, first ice film, and second ice film until the tropopause), we extract the local minima and maxima after smoothing the reflectance signal (3-point half-width second-order Savitzky-Golay filter for the liquid film, 5-point for the first ice film, and 15-point for the second ice film). This filter aims to reduce random noise (e.g., microscopic fluctuations in ice crystal shape and size, stray light from the ambient or from the instrument’s light source, and noise of the electronics), while not causing a time shift, i.e. keeping the Golden Points at their original position in the profile. To avoid local extremes due to noise, neighboring maxima and minima are required to differ from each other by at least 3% of the range of all reflectance values in the flight segment. Golden Points and portions of flight segments where the mirror is suspected to be blank or contains only very little condensate are discarded (i.e., the reflectance must be smaller than $U_m = 2.5 \text{ V} + 0.95 \cdot (U_{m,\text{clean}} - 2.5 \text{ V})$, where $U_{m,\text{clean}}$ is the signal value of the blank mirror determined during instrument preparation).

We then employ a numerical optimization solver (Storn and Price, 1996) to adjust the RS41 data to best match the CFH Golden Points. This involves fitting nine correction parameters for the RS41:

- the two time-lag correction parameters τ_∞ and E_a (Eq. B1);
- six bias correction parameters $a_0, a_1, a_2, b_0, b_1, c_0$ (Eq. B2);
- the width of a boxcar filter for flight segments with ice, N_{boxcar} , that is used to smooth the CFH and RS41 relative humidity data in the same manner;
- a time-delay parameter for flight segments with liquid condensate, Δ_{delay} , which accounts for any sub-second mismatch in time between mirror temperature and reflectance.

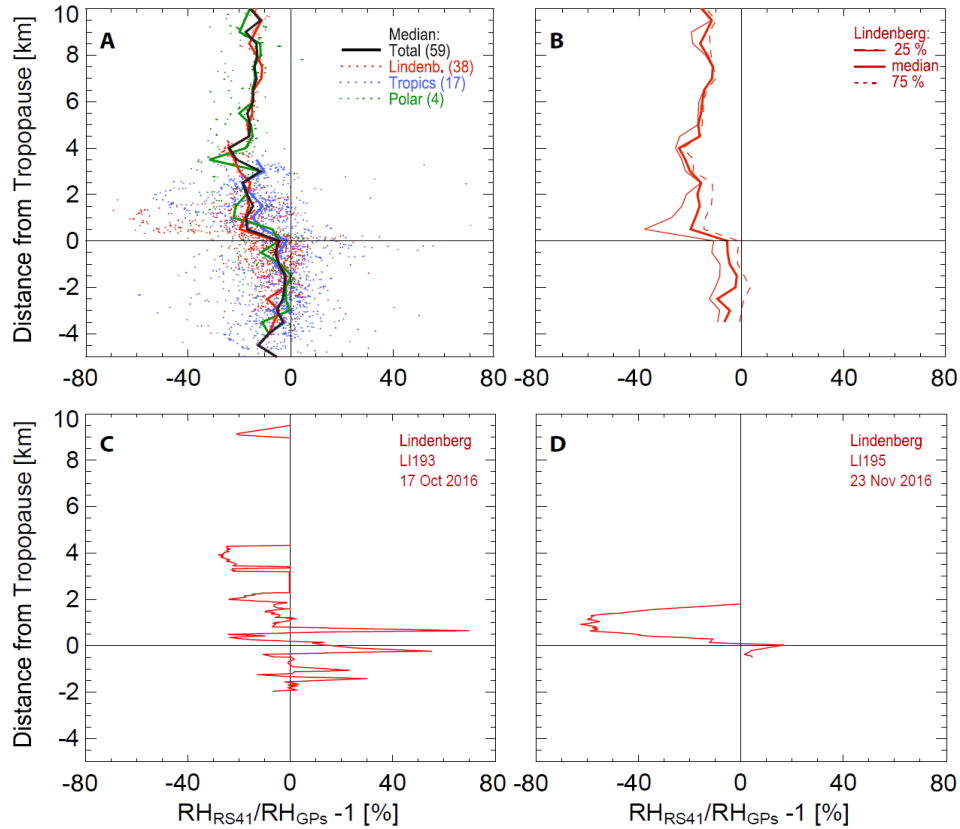
The solver is given lower and upper bounds for each of the parameters (Poltera, 2022, Table A.1), and then searches for the parameters that best align the RS41 with the CFH data at all Golden Points within the flight segment. In the solver, the RS41 raw data of RH (at the humidity sensor temperature) is first time-lag corrected (as in Miloshevich et al. (2004)) and then smoothed with the boxcar filter. The resulting smoothed RH profile is then bias-corrected and transformed to frost point. The CFH mirror temperature is first converted to RH to be comparable with the RS41 measurements; then the CFH RH is synchronized (sub-second time shifted) with RS41 by means of Δ_{delay} (after Δ_{tele} has been taken into account), smoothed with the same boxcar filter as the RS41, then transformed back to frost point. The formulation by Murphy and Koop (2005) is used for the saturation vapor pressure over ice, and the ITS-90 compatible form of Wexler’s formulation (Hardy, 1998) for the saturation vapor pressure over water, which is the saturation vapor pressure of liquid water used by Vaisala for the RS41 (Survo et al., 2014). For air pressure, the RS41 GPS-based data product from Vaisala is used. The solver minimizes the RMSE (or, equivalently, the standard deviation) between the corrected RS41 frost point ($T_{\text{fp,RS41}}^{\text{corr}}$) and the CFH frost point (T_m) at the location of the CFH Golden Points.

For flight segments with ice films, we prescribe $\Delta_{\text{delay}} = 0$, while for flight segments with liquid films we prescribe $N_{\text{boxcar}} = 1$, because sub-second delay errors are less significant than time-lag errors at lower temperatures, while the opposite is true at higher temperatures. The solver is used to fit the remaining 9 parameters simultaneously to obtain the best match with the CFH Golden Points. From the 70 soundings discussed in Section 6, the residual fitting error (RMSE) is 0.1 K (1σ) for the Golden

Points. After that, the derived corrected frost point $T_{\text{fp,RS41}}^{\text{corr}}$ serves as the atmospheric reference frost point profile, from which we derive the effective sensitivity parameter A of CFH by minimizing the residual error of Eq. 8b, i.e. of $A \cdot (T_m(t) - T_{\text{fp,RS41}}^{\text{corr}}(t)) = B(t) \cdot dU_m/dt(t)$.

RS41 performance in the UT/LS

Figure B1 demonstrates that residual time-lag and bias errors, along with increasing sonde-to-sonde variability, reduce the accuracy of RS41 measurements in the stratosphere.



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Figure B1: Comparison of $(\text{RH}_{\text{RS41}} - \text{RH}_{\text{GP}})/\text{RH}_{\text{GP}}$ of the RS41 manufacturer product with 3042 Golden Points (GP) of CFH. The GP have an uncertainty of 0.2 K in the frost point corresponding to an uncertainty of less than 3.5% in the value of RH. **(A)** Scatter plot of $(\text{RH}_{\text{RS41}} - \text{RH}_{\text{GP}})/\text{RH}_{\text{GP}}$ for 3042 GP during 59 RS41-CFH tandem soundings after the second cleaning cycle (i.e., second ice film). These are the soundings listed in Table A1, excluding flights with apparent contamination problems. Launch sites are color coded. Lines show median values in 500-m steps. **(B)** 25%, 50%, and 75% percentiles for the 38 soundings from Lindenberg, showing the reduced RS41 data quality with a pronounced dry bias directly above the tropopause and larger sonde-to-sonde variability. **(C+D)** Two examples of Lindenberg soundings illustrating the RS41 sonde-to-sonde-variability.

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Appendix C: The Lyman- α hygrometer FLASH-B

FLASH-B (Fluorescent Lyman- α Stratospheric Hygrometer for Balloon) is a compact light-weight balloon-borne hygrometer for the upper troposphere and the stratosphere manufactured by CAO (Central Aerological Observatory, Russia; Yushkov et al., 1998; 2001; Lykov et al., 2011). It is based on the Lyman- α fluorescence technique for fast measurements of low concentrations of water vapor (Kley and Stone, 1978; Bertaux and Delannoy, 1978). FLASH-B measures in open configuration with downward-facing optics. Measurements are only possible at night to protect the instrument's photocathode, and during ascent outgassing typically restricts the validity of the measurements up to the tropopause region (Ghysels et al., 2016). The measuring range is 0.5-1000 ppmv at pressures between 5 hPa and 300 hPa. At higher atmospheric pressure, Lyman- α absorption by H₂O vapor becomes significant and in the lower troposphere fluorescence counting is strongly attenuated, which is a general limitation of the Lyman- α fluorescence method and not specific to FLASH-B (Kley and Stone, 1978; Bertaux and Delannoy, 1978). The overall calibration error is estimated to be 4 % (1σ), and the measurement accuracy for a fluorescence signal integrated over 4 s is typically 5.5 % under stratospheric conditions. The overall uncertainty (1σ) is < 10 % for H₂O mixing ratios of more than 3 ppmv and < 20 % below 3 ppmv (e.g. Vömel et al., 2007b; Lykov et al., 2011; Lykov and Khaykin, 2017).

The performance of FLASH-B has been assessed in the laboratory during the AquaVIT-1 hygrometer intercomparison campaign (Fahey et al., 2014) in the stratospheric chamber AIDA in Karlsruhe (Germany), and in the atmosphere by comparisons with the MIAWARA microwave radiometer (Deuber et al., 2005), the FPH (Vömel et al., 2007b) and CFH (Khaykin et al., 2013) chilled mirror hygrometers, and against the tunable diode laser IR-absorption spectrometer Pico-SDLA H₂O (Ghysels et al., 2016). These comparisons showed average relative deviations less than 2.4 % in the lower stratosphere (Kalnajs et al., 2021), which is well within the instrumental uncertainties of the instruments involved. Similar to FLASH-A, the aircraft version of the instrument, also the balloon version is not an absolute water vapor instrument and requires to be recalibrated between flights, with the challenge being the long-term stability of the UV-light source and the aging of the MgF₂ window (Meyer et al., 2015), as well as possible interferences, such as stray-light interference (e.g. Sitnikov et al., 2007) or an offset in fluorescence counts (Poltera, 2022), see below.

Using a recalibrated FLASH for the nonequilibrium correction of CFH

With its fast response and a measurement precision of about 5.5 %, FLASH-B is particularly suited for the study of fine-scale processes in the UT/LS and stratosphere, such as horizontal moisture transport and overshooting convection in the tropics (Khaykin et al., 2009; 2016; Liu et al., 2010), or water vapor (re-)distribution and PSC-dehydration in the Arctic (Maturilli et al., 2006; Karpechko et al., 2007; Lukyanov et al., 2009; Khaykin et al., 2013; Engel et al., 2014). The calibration procedure is described by Vömel et al. (2007b) and Lykov et al. (2011). Calibration is performed at CAO (Central Aerological Observatory, Russia), or, if the station has the necessary calibration equipment, such as the Lindenberg Observatory, directly at the sounding station (Lykov and Khaykin, 2018). Alternatively, in-flight calibration by comparing the FLASH-B

fluorescence counts with an accurate estimate of the water vapor mixing ratio at 50 hPa (e.g. from CFH or Aura/MLS) during balloon descent (Sergey Khaykin, personal communication, 2021), or at 300 hPa (e.g. from CFH or RS41) during balloon ascent (Peter Oelsner, personal communication, 2022) is also possible. Large discrepancies (Dirksen, 2022) between pre-flight calibration coefficients derived in the laboratory and in-flight calibration coefficients derived from comparison with RS41 and CFH can be explained by a constant offset in fluorescence count rate (Poltera, 2022). As an example, although the accuracy of the original FLASH-B measurement cannot reproduce the CFH Golden Points, as shown by the green dashed line in Fig. 2B, the FLASH humidity measurement can be used to construct the CFH nonequilibrium correction by means of the introduction of an offset in fluorescence count rate (see Figure 4). This requires fewer recalibration parameters than the RS41 recalibration (2 vs. 10) and without residual time-lag uncertainty, since FLASH is an optical measurement.

Offset-correction of FLASH

While it is known (Sitnikov et al., 2007) that the airplane version of the instrument, FLASH-A, which resides in a closed compartment, must be corrected for stray light, which results in an offset, the balloon instrument measures in an open configuration with downward-facing optics. Therefore, no offset is expected for FLASH-B (Fahey et al., 2014). However, the offset estimated in Section 3.2 (78.5 counts/s) must be considered appropriate for that particular flight, as also confirmed by a FLASH PI (Sergey Khaykin, personal communication, 2021). This suggests that the standard calibration of FLASH-B, which assumes a simple proportionality of H₂O mixing ratio and count rate at 50 hPa, was inadequate for the measurements shown in Fig. 2. We identified two other flights where offset corrections of the fluorescence signal were required, albeit to a much lesser extent than in Fig. 2 (about 2.5 counts/s (with calibration constant 0.1812 ppmv/(counts/s)) for the FLASH instrument "FI07/49" flown in Ny-Ålesund on February 21, 2020, and about 27.1 counts/s (with calibration constant 0.0318 ppmv/(counts/s)) for "FI05/16" on October 7, 2021, in Lindenberg, resulting in errors of up to 10 % and 20 %, respectively, see Fig. 3.9 of Poltera (2022)). Nevertheless, it is currently unclear whether an offset correction is only required for certain specimens of this instrument or is a general property of FLASH-B.

The original FLASH-B equation (Lykov et al., 2017; Lykov and Khaykin, 2018) can be extended by introducing a constant offset in fluorescence count rate as additional calibration parameter:

$$S = S_{\text{offset}} + \frac{1}{K_{\text{cal}}} \cdot T_Q(p, T) \cdot T_{\text{H}_2\text{O}}(\chi_{\text{H}_2\text{O}}, p, T) \cdot T_{\text{O}_2}(p, T) \cdot \chi_{\text{H}_2\text{O}}, \quad (C1)$$

where S is the fluorescence counts signal (in counts/s) and $\chi_{\text{H}_2\text{O}}$ is the H₂O mixing ratio. The O₂ and H₂O Beer-Lambert transmission terms satisfy $\ln(T_{\text{O}_2}) = -\sigma_{\text{O}_2} \cdot 0.21 \cdot n_{\text{air}} \cdot L$ and $\ln(T_{\text{H}_2\text{O}}) = -\sigma_{\text{H}_2\text{O}} \cdot \chi_{\text{H}_2\text{O}} \cdot n_{\text{air}} \cdot L$, where $n_{\text{air}} = p/(k_B T)$ is the air molecule number density and 0.21 is the mixing ratio of oxygen. The effective Lyman- α absorption cross sections σ_{O_2} and $\sigma_{\text{H}_2\text{O}}$ (i.e., the convolution of the line-shape emission of the lamp and the molecular absorption cross sections of O₂ and H₂O) and the Lyman- α absorption path length L for FLASH-B are $\sigma_{\text{O}_2} = 1.00985 \cdot 10^{-20} \text{ cm}^2$, $\sigma_{\text{H}_2\text{O}} = 1.4552 \cdot 10^{-17} \text{ cm}^2$ and $L = 5 \text{ cm}$. The quenching term is $T_Q(p, T) = Q(p, T)/Q(1000 \text{ hPa}, 0^\circ\text{C})$, where $Q(p, T) = n_{\text{air}} / (1 + n_{\text{air}} \cdot k_{q, \text{air}}/A_{0,0})$ with quenching rate

coefficient $k_{q,\text{air}}$ and the Einstein transition probability $A_{0,0}$ taken as $k_{q,\text{air}} = 2.3 \cdot 10^{-11} \text{ cm}^3/\text{s}$ and $A_{0,0} = 1.26 \cdot 10^6 \text{ s}^{-1}$ (Lykov et al., 2017). Equation C1 has been solved by an approximate fit by Lykov et al. (2017), which limits the applicability to $p < 300$ hPa. However, Eq. C1 has an analytical solution, which extends the range of applicability to about $p < 500$ hPa :

$$\chi_{\text{H}_2\text{O}} = - \frac{1}{\sigma_{\text{H}_2\text{O}} \cdot n_{\text{air}} \cdot L} \cdot W_0 \left(- \frac{\sigma_{\text{H}_2\text{O}} \cdot n_{\text{air}} \cdot L}{T_Q \cdot T_{\text{O}_2}} \cdot K_{\text{cal}} \cdot (S - S_{\text{offset}}) \right), \quad (\text{C2})$$

where $W_0(x)$ is the 0th (i.e. principal/upper) branch of the *Lambert W*-function, which solves $W_0(x) \cdot \exp(W_0(x)) = x$ (Poltera, 2022). The solution for the upper branch W_0 of the *Lambert W*-function is only valid when $W_0(x) \geq -1$ and $x \geq -e^{-1}$, i.e. when $\chi_{\text{H}_2\text{O}} \cdot \sigma_{\text{H}_2\text{O}} \cdot n_{\text{air}} \cdot L \leq 1$ and when $K_{\text{cal}} \cdot (S - S_{\text{offset}}) \cdot \sigma_{\text{H}_2\text{O}} \cdot n_{\text{air}} \cdot L \leq e^{-1} \cdot T_Q \cdot T_{\text{O}_2}$.

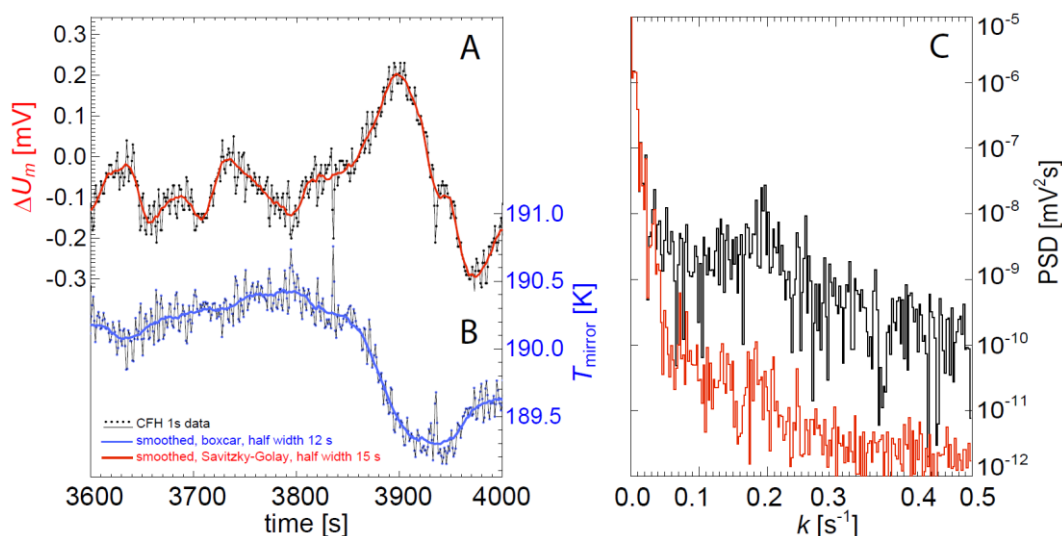
The FLASH-B calibration parameters K_{cal} in 1/(counts/s) and S_{offset} in counts/s can be fitted by Eq. C2 within the extended measurement range of FLASH-B ($p < 500$ hPa and $\chi_{\text{H}_2\text{O}} < 1000$ ppmv), using a reference water vapor mole fraction $\chi_{\text{H}_2\text{O},\text{ref}}$. This allows for in-flight calibration of FLASH-B with a tandem hygrometer used as reference. For the soundings shown in Figs. 2 and 4, we have used the tandem CFH water vapor mixing ratio at the Golden Points as reference $\chi_{\text{H}_2\text{O},\text{ref}}^{\text{Golden Pts}}$, together with the GPS-based air pressure and temperature measurements of the RS41, and have fitted $K_{\text{cal}} = 0.0256 \text{ ppmv}/(\text{counts/s})$ and $S_{\text{offset}} = 78.5 \text{ counts/s}$. FLASH does not directly monitor the lamp power, but the PMT voltage, lamp current and internal temperature typically remains very stable during the soundings at air pressures below 500 hPa (as in the sounding on 13 March 2017 shown in Figs. 2 and 4), indicating that the intensity of the hydrogen-glow discharge lamp is stable and justifies the use of a constant value for K_{cal} . However, the large positive offset of 78.5 counts/s required to minimize the RMSE of the fit warrants a brief discussion. Hydrogen-glow discharge lamps with a mixture of hydrogen and helium are known to suffer from interfering light (often termed ‘stray light’ in the literature) of the helium line at 318 nm, which overlaps with the spectrum of measured hydroxyl fluorescence after exposition of the water molecules to Lyman- α radiation. The FLASH-B lamp uses a special MgF_2 window filter aimed at suppressing the 270–320 nm band emission while still allowing 50 % transmission at the 121.6 nm line. Despite the presence of this filter, in a closed chamber with reflecting surfaces, the reflection of light from the helium line introduces an additive offset to the fluorescence signal (Vömel et al., 2007b; Fahey et al., 2014). We speculate that (i) also reflections from surfaces of the FLASH balloon instrument could cause a constant positive offset in the fluorescence counts, resulting in the observed offset, or (ii) the offset originates from the instrument's electronics.

Appendix D: Uncertainty of reflectance and mirror temperature smoothing

In this appendix we first provide details on the uncertainties in determining the Golden Points and the subsequent nonequilibrium correction by means of case studies. Specifically, we show the impact arising from noise suppression when applying different choices of half widths of the Savitzky-Golay filter. In the second part of the appendix, we compare this with the uncertainty determined by low-pass filtering as used by NDACC for their products.

1367 Noise in CFH measurements and smoothing of reflectance data

1368 The determination of the Golden Points and the non-equilibrium correction require a reliable estimate of the derivative of the
1369 reflectance signal U_m . Figure D1 shows a stratospheric segment of the sounding from Lindenberg on 20 April 2018. As shown
1370 by the Fig. D1A, the 1s reflectance data may contain small regular oscillations, which render the data much too noisy to allow
1371 for a meaningful calculation of the derivative dU_m/dt . The 1-second mirror temperature and reflectance data of CFH are strictly
1372 anti-correlated (see Fig. D1A,B). This anti-correlation means that it is impossible for the rapid change in mirror temperature
1373 to be a response to the rapid change in reflectance. Although the origin of this quasi-5-s oscillation is unclear, it is clearly not
1374 an atmospheric feature. A smoothing using a Savitzky-Golay second order filter with a 15-s half width removes most of the $\sim$
1375 5 s oscillations but preserves the structure of U_m on a time scale larger than 30s. This is also confirmed by the Fourier transform
1376 of the 1s and smoothed U_m data shown in Fig. D1C. The amplitude of the Fourier transformed U_m data remains almost
1377 unchanged for $k < 0.03 \text{ s}^{-1}$, whereas the amplitude at higher frequencies ($> 0.2 \text{ s}^{-1}$) is removed by 99%.

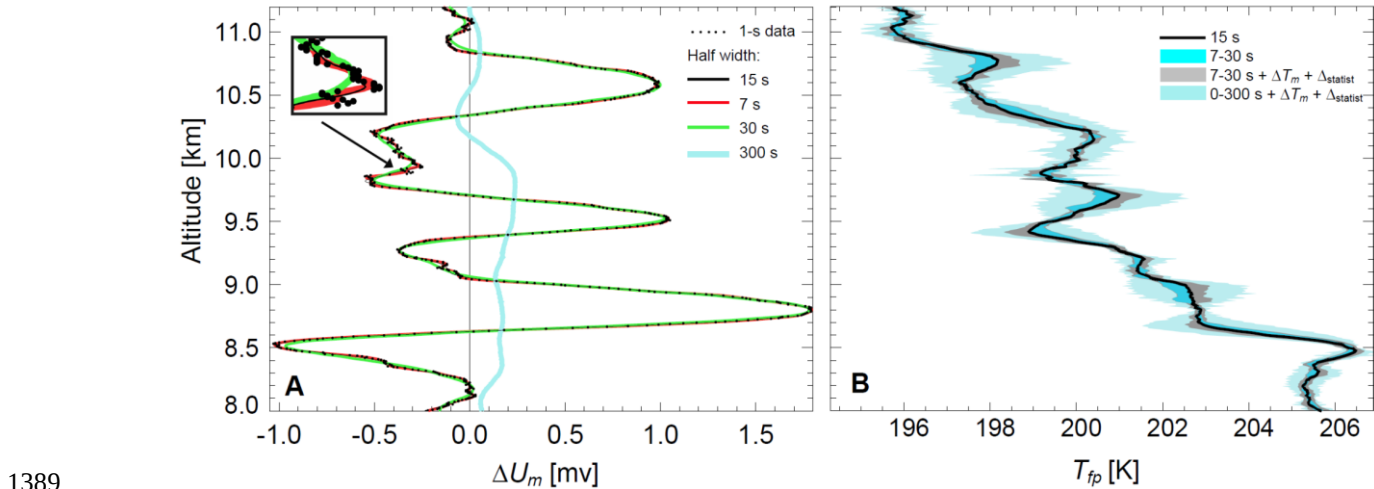


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1379 **Figure D1:** Smoothing of CFH data applied to sounding LI230 (2018-04-20) in Lindenberg (stratospheric segment from 3600
1380 to 4000 s). **(A)** 1-s mirror temperature data (dots and thin black line) and 25-s boxcar-smoothed mirror temperature. **(B)** 1-s
1381 mirror reflectance data (dots and thin black line) and with Savitzky-Golay filter (15-s half width) smoothed data. **(C)** Fourier
1382 transform of the 1-s (black) and 25-s smoothed (red) reflectance data.

1383 For a sounding in Ny-Ålesund on 21 Feb. 2020 (same as Fig. 6), the influence of smoothing with different widths of the
1384 Savitzky-Golay filter is shown for 8 to 10.2 km in Fig. D2. The 1-s U_m data is also clearly too noisy. Conversely, using a very
1385 wide Savitzky-Golay filter with half-width of 300 s removes all the structure of mirror reflectance signal, leading to a
1386 meaningless effective sensitivity parameter A and dU_m/dt , therefore, no or false non-equilibrium correction. In the end this

1387 leads to large deviations (light blue area in Fig. D2C) compared to the results with smoothing by Savitzky-Golay filter width
 1388 of 7 s, 15 s and 30 s shown by the dark blue area of Figure D2C.



1390 **Figure D2:** Sensitivity of non-equilibrium correction using different smoothing widths for a sounding in Ny-Ålesund on 21
 1391 Feb. 2020 (same as Fig. 6). **(A)** Reflectance smoothed by using a Savitzky-Golay filter with different widths ranging from 7
 1392 s to 300 s. **(B)** The uncertainty of the non-equilibrium corrected CFH frost points. Black line: 15-s filtering. Dark blue shading:
 1393 range of smoothing of reflectance with 7 s, 15 s and 30 s Savitzky-Golay filter; grey shading: range of smoothing of reflectance
 1394 with 7-15-30 s Savitzky-Golay plus mirror temperature uncertainty and statistical uncertainty (similarly to NDACC, see also
 1395 Figure 6 and Eq. 9); light blue shading: 0-7-15-30-300 s Savitzky-Golay plus mirror temperature and statistical uncertainties.

1396 Uncertainty of low-pass filtering as used by NDACC for their products

1397 Figure 6C shows the low-pass filtered CFH mirror temperature data (T_m) together with its (2σ) total uncertainty for the sounding
 1398 in Ny-Ålesund (21 Feb. 2020) as archived on NDACC (revision R0). For CFH mirror temperature data archived at NDACC
 1399 (Revision 0) (2020), the profiles are first flagged for non-valid data (such as cleaning cycles, detachment of pieces of the ice-
 1400 film and balloon spikes) and the phase of the mirror condensate (liquid water or hexagonal ice) is determined, allowing to
 1401 calculate the H_2O partial pressure in subsequent processing steps (Vömel et al., 2016, their Appendix A). The mirror
 1402 temperature is used to calculate the raw H_2O mixing ratio profile, which is then smoothed with a Gaussian filter using a mirror
 1403 temperature dependent kernel width (Vömel et al., 2016, their Sect. 2.1). The filter is applied on the mixing ratio instead of
 1404 mirror temperature because it is less bias prone in case of large oscillations (Vömel et al., 2007b). The kernel width is reported
 1405 in the NDACC data files, and varies typically from 3 s in the lower troposphere to 30 s in the middle stratosphere, which
 1406 corresponds to an effective vertical resolution of about $(\times (2\pi)^{1/2} \times 5 \text{ m/s})$ 40 m to 400 m (Dirksen et al., 2014, their Eq. A4;
 1407 Vömel et al., 2016). Flights with good frost control are somewhat finer resolved, e.g., about 165 m at -85 °C mirror temperature
 1408 (typical lower stratospheric frost point value around 56 hPa or 21 km).

1409 The uncertainty of the downsampled (i.e., Gaussian-smoothed) value, which is the uncertainty of the layer average, is treated
1410 similarly as the statistical uncertainty of an average, using a formula adapted for Gaussian kernels (Gatz and Smith, 1995;
1411 Vömel et al., 2016). This formula however underestimates uncertainty, because the underlying 1-s data has some degree of
1412 auto-correlation due to PID controller oscillations (which are related to the nonequilibrium error described in this paper). For
1413 this reason, NDACC increases the uncertainty of the layer average by a scaling factor, which varies somewhat from about 1 at
1414 ground to about 3 in the middle stratosphere (Vömel et al., 2016). The (scaled) layer average uncertainty is added in quadrature
1415 to 0.11 K (conservative, 1σ) calibration uncertainty, resulting in the total uncertainty of the NDACC frost point product shown
1416 in Fig. 6C.

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1418
1419 **Data availability.** The data related to this article is available online at: <https://doi.org/10.3929/ethz-b-000732964> (Poltera et
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1421 **Author contributions.** Yann Poltera prepared the manuscript with contributions from all co-authors. Beiping Luo provided
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