

Resolving effects of leaf pigmentation changes and plant residue on the energy balance of winter wheat cultivation in the ORCHIDEE-CROP model

Ke Yu¹, Yang Su^{1,2,3}, Ronny Lauerwald², Philippe Ciais¹, Yi Xi¹, Haoran Xu¹, Xianglin Zhang², Nicolas Viovy¹, Amie Pickering⁴, Marie Collard⁵, Daniel S. Goll¹

¹Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-UVSQ, Université Paris-Saclay, Gif-sur-Yvette, 91191, France

²UMR ECOSYS, INRAE AgroParisTech, Université Paris-Saclay, Palaiseau, 91120, France

³Département d'Informatique, École Normale Supérieure-PSL, Paris, 75005, France

⁴Game & Wildlife Conservation Trust (GWCT), The Allerton Project, Loddington, Leicestershire, United Kingdom

⁵Département durabilité, systèmes et perspectives, Centre wallon de Recherches agronomiques (CRA-W), 6800 Libramont, Belgique

Correspondence to: Ke Yu (ke.yu@lsce.ipsl.fr), Yang Su (yang.su@ens.fr)

Abstract. Crop management impacts climate not only through changes in carbon stocks and greenhouse gas budgets, but also through alterations in the surface energy budget. However, the latter aspect remains only partially represented in current cropping system models. Coupling dedicated crop models with land surface models provides a promising approach for quantifying these effects, but this effort is hindered by the simplistic representation of surface albedo as a combination of soil albedo and a static vegetation albedo controlled by vegetation cover. Here, we developed ORCHIDEE-CROP, a land surface model integrating the cropping system model STICS, by incorporating time-varying albedo from crop pigmentation during foliar yellowing and post-harvest crop residue soil cover. We further parameterized the effect of crop residues on surface roughness and soil evaporation affecting the surface energy budget and partitioning between latent and sensible heat fluxes. Using 10 site simulations, we quantified the impacts of these processes on soil temperature, soil moisture, water and heat fluxes of winter wheat crops. Incorporating foliar yellowing and post-harvest residue cover increased surface albedo by an average of 0.07 ± 0.03 during the foliar yellowing period and 0.02 ± 0.02 during the residue cover period, accordingly inducing surface cooling by -1.55 ± 0.93 °C and -1.90 ± 0.88 °C. During each period, sensible heat flux changed by -8.10 ± 4.18 W m⁻² and -7.44 ± 3.98 W m⁻², while latent heat flux decreased by -6.84 ± 1.41 W m⁻² and -5.15 ± 4.03 W m⁻². The spatiotemporal variability of these effects was driven by site-specific meteorology and soil properties. Simulations under dry scenarios reveal that crop residues left on the field can progressively increase plant-available water over multiple years under dry conditions. This study underscores that crop pigmentation has a minor influence on water-heat processes, while residues moderately modulate surface energy partitioning with significant spatial heterogeneity, highlighting the potential of residue management for climate

mitigation. The refined modelling framework enables simultaneous assessment of the biogeochemical and biophysical impacts of field operations on the Earth System.

1 Introduction

Agriculture and climate are interconnected by greenhouse gas, energy and water budgets (IPCC, 2014; Wu et al., 2016; Chen et al., 2024). These interactions create a dual challenge that agricultural systems are both vulnerable to climate change impacts (e.g., droughts, extreme weather) and significant contributors to global emissions. Adaptive management practices are therefore critical for enhancing agricultural resilience and sustainability while simultaneously reducing anthropogenic disturbances to the environment and climate. To quantify the complex spatiotemporal climate impacts of management practices, coupling land surface models with dedicated crop modules represents a promising strategy. These models are effective tools for simulating biophysical and biogeochemical processes at the agriculture-climate interface, such as crop physiological growth, yield dynamics, and the exchange of carbon, water, and energy between agroecosystems and the atmosphere (Brisson et al., 2003; Fisher et al., 2014; McDermid et al., 2017). By capturing these processes, land surface models provide essential insights for projecting future climate-agriculture feedback under varying management scenarios (Arora et al., 2023; Timlin et al., 2024). However, a key limitation arises from the inconsistency between the diversity and complexity of real-world agricultural management practices and their overly simplistic representations in current land surface models. This gap significantly hinders the ability of land surface models to reliably predict climate impacts, particularly at regional or farm-specific scales where management decisions are highly required (Fisher et al., 2020). A key example of the limited ability of land surface models in representing biophysical processes of agriculture-climate interactions is their treatment of crop albedo dynamics.

Surface albedo, as the fraction of incoming solar radiation that is reflected by the soil and crops, plays a critical role in both radiative and non-radiative climate processes (Seneviratne et al., 2018). Crops and their residues exhibit distinct albedo due to differences in plant traits (e.g., leaf pigmentation, canopy structure) and management practices (e.g., tillage, residue retention, irrigation). For example, existing research shows an averaged albedo of 0.16-0.19 for winter wheat (Şerban et al., 2011; Sieber et al., 2022; Lei et al., 2024), of 0.18-0.20 for soybean (Costa et al., 2007; Lei et al., 2024), and of 0.15-0.18 for corn (Jacobs et al., 1990; Lei et al., 2024). These differences in albedo directly affect global climate by changing the radiative forcing at the top of the atmosphere (Stephens et al., 2015). In addition, changes in surface albedo modify the surface energy balance, potentially redistributing energy between latent and sensible heat fluxes through non-radiative processes. However, land surface models generally use simplistic parameterizations of static soil and crop albedo. When temporal dynamics are included, they are typically limited to changes in the fractional coverage of soil and vegetation (Dalglish et al., 2016; Wu et al., 2016; Hoogenboom et al., 2024). Because they lack mechanisms to represent changes in crop pigmentation, these models are poorly suited to quantify climate effects of managements or crop breeding which affect crop residue or crop pigmentation (e.g., no tillage, pale crops) (Drewry et al., 2014).

64 Winter wheat, as a major cereal crop, undergoes distinct phenological stages that are accompanied by changes in canopy
65 pigmentation and surface reflectance (Zhang et al., 2022). During its growth cycle, winter wheat transitions from chlorophyll-
66 dominated green leaves to senescent yellow leaves after maturity, where reduced chlorophyll levels expose light-reflecting
67 carotenoids (Féret et al., 2017). Additionally, crop residues left on the field after harvest introduce additional albedo variability
68 compared with bare soil in conventional tillage systems, with the extent of this fluctuation depending on the baseline bare soil
69 albedo and residue management practices (Simão et al., 2021). Generally higher albedo of vegetation than bare soil in most
70 croplands in Europe possibly induces an increase in surface albedo when covering residues on the soil (Pique et al., 2023).
71 Such physiological development of winter wheat and residue coverage therefore affect the climate through changes in surface
72 albedo, potentially offsetting part of the warming caused by greenhouse gas emissions. However, it is difficult for current land
73 surface models to quantify and evaluate these effects because they are generally ignored or parameterized using simplified
74 assumptions (Davin et al., 2014; Seiber et al., 2022; Yu et al., 2024).

75 This study simulates the biophysical impacts of winter wheat phenology and residue management on surface energy balance
76 through albedo-mediated processes in the ORCHIDEE-CROP land surface model. To achieve this, we improved the model by
77 refining the representation of foliar pigmentation and crop residue effects on albedo dynamics, as well as their influence on
78 soil evaporation and surface roughness based on observations from 10 European cropland sites. Sect. 2 details the datasets and
79 methodology used for model parameterization. We briefly review the baseline modeling representation of surface albedo,
80 hydrology, roughness, and energy fluxes before introducing our modifications in ORCHIDEE-CROP. These improvements
81 enhance the representation of albedo dynamics, soil evaporation, and surface roughness, with two simulations for evaluating
82 the albedo-mediated impact of residue retention conducted under both current and dry scenarios. Sect. 3 presents the results of
83 these refinements, while Sect. 4 analyses the contribution of albedo changes, driven by foliar pigmentation and crop residues,
84 on modulating surface temperature, latent heat, and sensible heat fluxes. We also discuss the potential of crop residue
85 management for climate adaptation and mitigation. Finally, Sect. 5 summarizes our findings and conclusions. By incorporating
86 albedo dynamics influenced by crop pigmentation and residue management, this study enhances the realism of coupled
87 cropland surface models and demonstrates its impacts on field-level energy balance under present and future idealised climate.

88 **2 Data and methods**

89 The improvements of the ORCHIDEE-CROP model are illustrated in Fig. 1 and structured into six subsections: (1) Datasets
90 (Sect. 2.1); (2) Introduction of the ORCHIDEE-CROP model (Sect. 2.2); (3) New parameterization of the ORCHIDEE-CROP
91 model (Sect. 2.3); (4) Model calibration (Sect. 2.4); (5) Model simulations (Sect. 2.5); (6) Model evaluation (Sect. 2.6).

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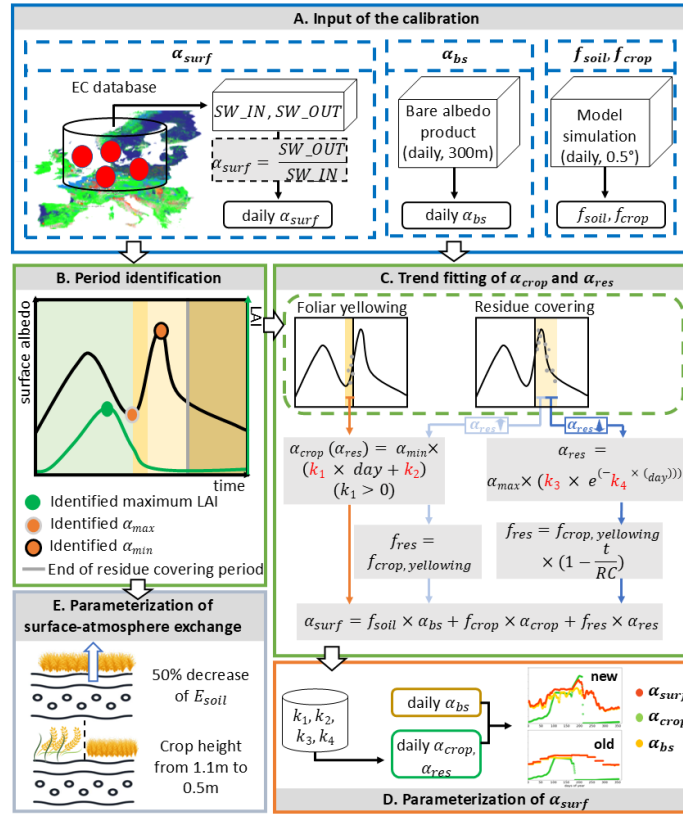


Figure 1 The procedure of parameterization of crop and residue albedo (α_{surf} and α_{res}), soil evaporation (E_{soil}) and surface roughness (Z_0) in the ORCHIDEE-CROP model. Panel (A) illustrates the input datasets for albedo calibration. α_{soil} and α_{surf} are bare soil albedo (α_{soil}) and surface albedo (α_{surf}), respectively. f_{crop} and f_{soil} are the gridded fractions of crop (f_{crop}) and residues (f_{res}), respectively. SW_IN and SW_OUT are half-hourly incoming (SW_IN) and outgoing (SW_OUT) solar radiance observed at seven eddy-covariance (EC) sites. Panel (B) shows the identification of foliar yellowing and residue covering periods based on time series of α_{surf} (black curve) and leaf area index (LAI , green curve). α_{min} and α_{max} are the identified minimum and maximum α_{surf} . The shallow-green, orange, yellow and brown areas show the conceptual growing, maturity, residue covering and bare soil periods of winter wheat. Panel (C) describes trend fittings for crop albedo (α_{crop}) and α_{res} . Features in two plots have the same meanings with the ones in Panel (B). $f_{crop, yellowing}$ is the fraction of crop during the foliar yellowing period. RC is the duration of residue covering. k_1 , k_2 , k_3 and k_4 are the fitting parameters during foliar yellowing and residue covering periods. Day and t are the days of α_{surf} increase during residue covering period. Panel (D) illustrates parameterization of α_{surf} as a weighted combination of α_{crop} , α_{res} and α_{soil} in ORCHIDEE-CROP model. The daily α_{surf} in orange in the upper plot is derived from the new calibrated process based on k_1 - k_4 , compared to the old model (plot below). Panel (E) presents parameterization of surface-atmosphere exchange. E_{soil} is the soil evaporation and the dotted vertical line represents the harvesting date of winter wheat.

2.1 Datasets

2.1.1 Daily surface albedo from site observation

To derive surface albedo (α_{surf} , unitless) from site observations, we combined datasets from two sources: (1) eddy-covariance measurements from the Integrated Carbon Observation System (ICOS) Data Portal (Dumont et al., 2023; Schmidt et al., 2023; Buysse et al., 2023; Brut et al., 2023; Brümmer et al., 2023; Tallec et al., 2023; Bernhofer et al., 2023), and (2) satellite-derived products at farms belonging to the ClieNFarm project. From the ICOS network, we obtained the half-hourly incoming and outgoing solar radiance (SW_IN and SW_OUT ($W\ m^{-2}$)) measurements from 2000 to 2020 at eight wheat cultivated sites (BE-Lon, CH-Oe2, DE-Geb, DE-Kli, DE-RuS, FR-Aur, FR-Gri, FR-Lam) (Fig. S1). Data were quality-controlled and gap-filled using uniform methods (Pastorello et al., 2020). Details of the quality filtering and preprocessing procedures of α_{surf} are provided in Text S1.1. The daily shortwave mid-day α_{surf} was calculated from average values of SW_IN and SW_OUT from 11:00 to 13:00 Central European Summer Time at each site (Lin et al., 2022):

$$\alpha_{surf} = \frac{SW_OUT}{SW_IN} \quad (1)$$

At six farms across two ClieNFarm sites (UK-Rookery, UK-Winwick, UK-Allerton, BE-AH, BE-BM, BE-LB) where direct radiation measurements were unavailable, we estimated surface albedo at 5-day intervals and 300-m resolution using Sentinel-2 (S2) reflectance data. A 10% cloud-cover threshold was applied for quality filtering, and retrievals followed the framework developed by Lin et al. (2023). For the selected 10 sites, we chose only the years during which winter wheat was cultivated, and obtained a total of 33 winter wheat years.

2.1.2 Meteorological data from site observation

We utilized the half-hourly meteorological observations from flux towers in the winter wheat years at eight ICOS sites to force the ORCHIDEE-CROP model. The observations include SW_IN , surface pressure (Pa), near-surface specific humidity ($kg\ kg^{-1}$), rainfall rate ($kg\ m^{-2}\ s$), snowfall rate ($kg\ m^{-2}\ s$), near-surface air temperature (K), and near-surface eastward and northward wind components ($m\ s^{-1}$). The FLUXNET_DATA tool (<https://forge.ipsl.jussieu.fr/orchidee/wiki/Scripts/FluxnetValidation/DataProcessing>) was used to fill gaps in the flux-towers data using 6-hourly ERA-interim products (Vuichard and Papale, 2015). We then averaged all gap-filled variables to a 6-hour time step to meet the input requirements of the model. At the two ClieNFarm sites without flux observations, the meteorological variables from the hourly 0.25° ERA-interim product were extracted and interpolated to exact site coordinates to provide meteorological forcing data.

In 10-year simulations for the current climate scenario from 2010 to 2020 (Sect. 2.5), the processed climate forcing data from each year obtained from the ICOS Data Portal was used to drive the model. For the dry scenario, the driest year at each site was identified based on the lowest annual precipitation. All meteorological variables from this year were then used to force

the simulation. This approach ensures that the dry scenario is driven by a coherent and physically realistic set of meteorological conditions. Yearly-averaged meteorological variables in the driest year for each site are presented in Table S1.

2.1.3 Management practice, bare soil albedo and LAI

Management practices during the winter wheat cultivation year at all sites (Table S2) were obtained from a crop management database provided by site managers. Key practices, including sowing, harvesting, and tillage dates, were prepared as input for the ORCHIDEE-CROP model.

Bare soil albedo (α_{soil} , unitless) was prescribed using a high-resolution 5-day, 300-m European α_{soil} dataset derived from 10 m S2- α_{surf} observations covering the period 2018-2020 (Yu et al., in review). This dataset demonstrated sufficient skill in resolving daily soil dynamics at the field scale in fragmented European croplands. Since the model was run at the site scale, bare soil albedo values were extracted for each site location, and linear interpolation was applied to convert the data to a daily time step, aligned with the model temporal resolution.

Due to the absence of sporadic leaf area index (LAI , $m^2 m^{-2}$) measurements found at ICOS sites (Table S3), we used continuous satellite-based LAI datasets to identify the timing of peak LAI at those sites. LAI at each site was extracted from the 4-day, 500-m LAI product from the MODIS (MCD15A3H) (Myneni et al., 2015). Daily LAI dynamics were derived through linear interpolation combined with a Savitzky-Golay filter (window length=15) to smooth the trend and remove the outliers. MODIS-derived LAI was used to constrain the onset of crop maturity period, rather than to reproduce absolute LAI values. The LAI comparison between MODIS product and site measurements is shown in Fig. S2. We used the MODIS-derived LAI product rather than the S2-derived product, because the temporal coverage of the latter is insufficient to match the available site observations.

2.2 Introduction of the ORCHIDEE-CROP model

The ORCHIDEE-CROP model is a branched version of the process-based land surface model, ORCHIDEE (Krinner et al., 2005), which incorporates a generic crop phenology and harvest module, along with simplified nitrogen fertilization parameterization based on the process-based STICS formalism (Wu et al., 2016). It simulates biophysical and biogeochemical interactions in croplands, as well as plant productivity and harvested yield. A detailed model description is available in Wu et al. (2016). This study employs a previously developed model version calibrated against LAI , flowering and harvesting dates, and crop yield for both winter wheat and maize (<https://github.com/yangssu/ORCHIDEE-CROP.git>). In the present study, only winter wheat is considered. The derived climate and management information were used to drive the model as forcing data (Sect. 2.1.2 and 2.1.3). Winter wheat is the only vegetation type considered in this analysis. To assess the impacts of winter wheat physiological development and residue coverage on the surface energy balance and water-energy fluxes, we describe below the key processes governing surface energy balance (Sect. 2.2.1), α_{surf} dynamics (Sect. 2.2.2), hydrology (Sect. 2.2.3), the surface roughness (Sect. 2.2.4), as well as crop growth and development (Sect. 2.2.5).

2.2.1 Surface energy balance

The ORCHIDEE-CROP model simulates the surface energy budget by:

$$R_n = LE + H + G \quad (2)$$

Where the net radiation (R_n , $W m^{-2}$) governs land-atmosphere water and heat exchange, driven by the partitioning of LE , sensible heat fluxes (H , $W m^{-2}$) and soil heat fluxes (G , $W m^{-2}$). R_n is determined by the net short-wave radiation budget (S_n , $W m^{-2}$) and the net long-wave radiation budget (L_n , $W m^{-2}$) (Ducoudré et al., 1993).

$$R_n = S_n + L_n = (1 - \alpha_{surf}) \times SW_IN + L \downarrow - L \uparrow \quad (3)$$

Where $L \downarrow$ is the down-welling longwave radiation (a model input variable). $L \uparrow$ is the upwelling longwave radiation emitted by the land surface, which is estimated by surface temperature (T_{surf} , K) (a model input variable) based on Stefan-Boltzmann law.

Because α_{surf} directly influences R_n , its formulation in the ORCHIDEE-CROP model is described below.

2.2.2 Surface albedo dynamics

The model calculates α_{surf} in step t for both the visible and near-infrared domains by the area-weighted sum of foliar albedo (α_{crop} , unitless) and α_{soil} :

$$\alpha_{surf}(t) = (1 - f_{crop}(t)) \times \alpha_{soil}(t) + f_{crop}(t) \times \alpha_{crop,constant} \quad (4)$$

Where $f_{crop}(t)$ and $1 - f_{crop}(t)$ represent the fractions of winter wheat and bare soil covering the surface area of the model pixel; $\alpha_{crop,constant}$ of winter wheat is set as the default value of 0.1 and 0.3 for visible and near-infrared ranges of α_{crop} , respectively. $\alpha_{soil}(t)$ is derived from daily α_{soil} dataset (Sect. 2.1.4). Since α_{surf} affects radiation absorption, it influences soil moisture availability and, consequently, soil evaporation (E_{soil}).

2.2.3 Hydrology

The ORCHIDEE-CROP model computes soil water budget by considering the three reservoirs of canopy interception, snow packing and 11 soil layers (MacBean et al., 2020). The water fluxes at soil surface include water inputs from throughfall, snowmelt and irrigation, and water output from soil by surface runoff, bare soil evaporation and drainage. Here, we mainly describe the calculation of E_{soil} and the soil water content (SWC , $kg m^{-2}$) in different soil layers.

The ORCHIDEE-CROP model calculates LE by accounting for snow sublimation, canopy interception and transpiration, E_{soil} and floodplain evaporation. A β -model is used to compute E_{soil} by inducing a series of aerodynamic and surface resistances.

$$E_{soil}(t) = (1 - \beta_1) \times (1 - \beta_5) \times \beta_4 \times r_{au} \times v \times C_d \times (q_{surf} - q_{air}) \times dt \quad (5)$$

Where β_1 , β_4 and β_5 are dimensionless scaling factors representing soil moisture and surface resistance effects for snow sublimation (β_1), soil evaporation (β_4) and floodplain evaporation (β_5), respectively; r_{au} ($kg m^{-3}$), v ($m s^{-1}$), C_d (-), q_{surf} ($kg kg^{-1}$), and q_{air} ($kg kg^{-1}$) are the air density, wind speed, drag coefficient, saturated surface air moisture, and specific humidity,

respectively. The bulk formulation yields evaporation in $\text{kg m}^{-2} \text{s}^{-1}$, which is integrated over the model time step (dt) to obtain values in kg m^{-2} . This quantity is then expressed in mm day^{-1} using the equivalence $1 \text{ mm} = 1 \text{ kg m}^{-2}$. Soil moisture dynamics are governed by a diffusive multi-layer soil hydrology scheme with 27 soil layers (up to 2 m), based on moisture diffusion principles (Richards, 1931; de Rosnay et al., 2002; Wu et al., 2016). It uses the 1D Richards equation with soil volumetric water content as the state variable in its saturated form, instead of the pressure head (Campoy et al., 2013).

2.2.4 Surface roughness

Surface roughness (Z_0 , m) plays a critical role in regulating near-surface turbulence and the water-heat exchange process between the land surface and the atmosphere (Meier et al., 2022; Chen et al., 2024). E_{soil} depends on Z_0 , which modulates atmospheric turbulence and moisture transfer. The ORCHIDEE-CROP model uses the averaged drag coefficients for momentum and heat transfer over winter wheat to compute the grid-averaged roughness height.

$$Z_0(t) = f_{crop}(t) \times \left(\frac{C_k}{\left(\frac{Z_{tmp}}{\max(H_c \times Z_{0,h}, Z_{0,bare})} \right)} \right)^2 \quad (6)$$

Where $Z_0(t)$ is the daily roughness height over each grid cell. C_k (-) is the von Karman constant, which equals to 0.41. Z_{tmp} (m) represents the maximum height of the first atmospheric layer and is determined by air pressure, air temperature, and air density. This variable represents the reference height used for flux calculations and is set to a minimum of 10 m above the ground. This ensures that the reference height remains above the canopy height, thereby maintaining the stability of the logarithmic function used in flux calculations. H_c (m) represents winter wheat height, which is treated as a time-invariant constant and set to 1.1 m in the ORCHIDEE-CROP model. For comparison, the maximum H_c of winter wheat during growing seasons measured at five sites has an average of 0.97 m (Table S3). $Z_{0,h}$ equals to $1/16$, which is utilized to estimate the roughness height above the canopy. $Z_{0,bare}$ (m) is set as 0.01 m for roughness length of bare soil. Z_0 for the bare soil can be derived by:

$$Z_0(t) = (1 - f_{crop}(t)) \times \left(\frac{C_k}{\left(\frac{Z_{tmp}}{Z_{0,bare}} \right)} \right)^2 \quad (7)$$

The ORCHIDEE-CROP model computes a grid effective roughness height (H_{rough} , m) for deriving water-heat fluxes. It combines the zero-plane displacement height (Z_{dis} , m) which is an equivalent height for the absorption of momentum, and the vegetation height weighted by the maximum f_{crop} ($f_{crop,max}$) of winter wheat within each grid (H_{ave} , m):

$$H_{rough} = H_{ave} - Z_{dis} \quad (8)$$

$$Z_{dis} = H_{ave} \times H_{dis} \quad (9)$$

$$H_{ave} = f_{crop,max} \times H_c \quad (10)$$

H_{dis} (m) is used to determine the Z_{dis} based on H_{ave} , which equals to 0.75.

2.2.5 Crop growth and development

Crop development in the ORCHIDEE-CROP model is based on the crop model STICS (Wu et al., 2016). For winter wheat, the crop module simulates nine developmental stages of crop growth and grain filling (Fig. 2.1 in Brisson et al., 2008). The timing and duration of each developmental stage are calculated based on growing degree days adjusted by limiting functions related to photoperiod, vernalization and environmental constraints (e.g., water and nitrogen). Transitions between stages occur when the threshold values of growing degree days are reached.

In our simulations, sowing dates were prescribed using observed, site-specific management information to ensure consistency with field observations. Subsequent phenological development, including flowering, maturity, and harvest, is driven by growing degree days following the ORCHIDEE-CROP phenology scheme which was calibrated using extensive wheat phenology records from 1941 DWD climate stations across Germany (Su et al., 2025). This approach ensures that modeled flowering and harvesting dates are on average, consistent with observed phenology across a wide range of environmental conditions. We further adjusted the delay between crop maturation and harvest at each site using observed harvest dates which vary depending on management practices and weather conditions (Table S2).

2.3 New parameterization of the ORCHIDEE-CROP model

Foliar yellowing of winter wheat after maturation and crop residues remaining on the field after harvest affect α_{surf} and turbulent fluxes, but these processes are not currently represented in the model. As such, the absence of these key processes presents a gap in understanding and modeling of the impacts of winter wheat on regional energy and water cycles. We enhanced the ORCHIDEE-CROP model by introducing empirical functions describing temporal dynamics of α_{surf} , β_4 and Z_0 as a function of time, f_{crop} and f_{res} for foliar yellowing and crop residues. In addition, to ensure consistency between simulated and observed crop development periods (from sowing to harvest) at each site, the duration between maturity and harvesting in ORCHIDEE-CROP was calibrated using recorded harvesting dates at each site. In each winter wheat year at each site, simulations were performed iteratively with potential maturity-harvest intervals ranging from 5 to 40 days at 2-day increments. The optimal duration for each case was identified when the simulated harvest date matched the recorded management date. The calibrated durations are summarized in Table S2. It means that the site-specific durations of foliar yellowing before harvesting vary across all sites in the new model, compared to the default 14 days in the old model.

The objective of this model development targets a realistic mean effect size expected among European croplands, rather than capturing in detail the site-specific effects. We focus on improving the representation of albedo properties of winter wheat during the foliar yellowing and residue covering period, while associated impacts on surface energy fluxes are evaluated qualitatively rather than through a full recalibration of the hydrological scheme.

2.3.1 Improving albedo dynamics during the foliar yellowing periods

The foliar yellowing period in this model is defined as starting from the maturity date (nmat) and ending on the harvesting date based on analysis of observed α_{surf} dynamics at 10 sites (Sect. 2.4.1).

We replaced Eq. (4) with an equation which uses time-varying $\alpha_{crop}(t)$ instead of a $\alpha_{crop,constant}$:

$$\alpha_{surf}(t) = (1 - f_{crop}(t)) \times \alpha_{soil}(t) + f_{crop}(t) \times \alpha_{crop}(t) \quad (11)$$

To simulate the increase in α_{crop} after maturation and before harvesting we chose the following function:

$$\alpha_{crop}(t) = \alpha_{yellowing,start} \times (k_1 \times t + k_2) \quad (12)$$

Where $\alpha_{yellowing,start}$ is the simulated α_{crop} on the first day during the foliar yellowing period. k_1 and k_2 represent the fitting parameters that are calibrated at the multi-site scale (see below).

Here, we assumed that f_{crop} remains constant during the foliar yellowing periods ($f_{crop,yellowing}$).

2.3.2 Improving albedo dynamics during the residue covering periods

The residue covering period starts from the harvesting date and ends either 90 days after harvesting, or earlier if tillage occurs (Sect. 2.4.1). As α_{surf} continuously increases after harvesting due to the presence of light-colored and evenly distributed straws, we used the segmentation function to describe α_{surf} dynamics from increase and subsequent decrease in α_{surf} during this period.

The α_{surf} at each time step can be represented by the area-weighted sum of α_{soil} and α_{res} :

$$\alpha_{surf}(t) = (1 - f_{res}(t)) \times \alpha_{soil}(t) + f_{res}(t) \times \alpha_{res}(t) \quad (13)$$

$$\begin{cases} \alpha_{res}(t) = \alpha_{yellowing,start} \times (k_1 \times t + k_2) & t \text{ in albedo increase phase;} \\ \alpha_{res}(t) = \alpha_{max} \times (k_3 \times e^{-k_4 \times t}) & t \text{ in albedo decrease phase;} \\ \alpha_{res}(t) = 0 & \text{otherwise;} \end{cases} \quad (14)$$

Where α_{max} is the simulated α_{crop} on the last day of the albedo increase phase. $(1 - f_{res}(t))$ represent the daily variation of bare soil fraction. k_3 and k_4 represent the fitting parameters that need to be calibrated at the site scale (see below).

Here, f_{res} during the albedo increase phase equals to $f_{crop,yellowing}$ and linearly decreases during the albedo decrease stage from $f_{crop,yellowing}$:

$$\begin{cases} f_{res}(t) = f_{crop,yellowing} & t \text{ in albedo increase phase;} \\ f_{res}(t) = f_{crop,yellowing} \times \left(1 - \frac{t}{RC}\right) & t \text{ in albedo decrease phase;} \\ f_{res}(t) = 0 & \text{otherwise;} \end{cases} \quad (15)$$

RC is the maximum residue covering period, either equals to 90 days or the tillage date.

2.3.3 Considering the impact of crop residue on soil evaporation during the residue covering periods

Crop residues covering part of soil surface decrease E_{soil} by increasing the soil-to-atmosphere resistance (Horton et al., 1996). The current ORCHIDEE-CROP model does not consider the impact of crop residue on E_{soil} . Previous site-scale observations generally show a 20-50 % reduction in E_{soil} in the presence of wheat residue compared with bare soil (Unger et al., 1968; Lascano, 1996; Ramos et al., 2024). Based on Eq. (5), we assumed a maximum initial reduction in soil conductance (β_4 , unitless) of 50 % under the highest f_{res} (Zhao et al., 2020; Raes et al., 2022), with a progressive increase of conductance as daily f_{res} declines during the residue cover period:

$$E_{soil}(t) = (1 - \beta_1(t)) \times (1 - \beta_5(t)) \times (1 - 0.5 \times f_{res}(t)) \times \beta_4(t) \times r_{au}(t) \times v(t) \times C_d(t) \times (q_{surf}(t) - q_{air}(t)) \times dt \quad (16)$$

The meanings of all variables are the same as the ones in Eq. (5).

Note that β_4 represents an empirical resistance factor and cannot be directly inferred from observations. Therefore, its value was constrained through sensitivity testing against published experimental reductions in E_{soil} rather than calibrated directly using site-level flux measurements (Fig. S3, Table S4).

2.3.4 Considering the impact of crop residue on surface roughness during the residue covering periods

The initial version of the ORCHIDEE-CROP model assumed that Z_0 after harvest equals to that of bare soil coverage, omitting that (parts of the) stalks remain. To represent the impact of crop residues on Z_0 during the residue covering periods, we assumed that the H_c of plant changes from 1.1 m for winter wheat to 0.5 m for crop residues which has been identified as an optimal cutting height for harvest efficiency and soil and water conservation (MacMaster et al., 2000). f_{crop} is replaced with f_{res} . The new Z_0 and H_{rough} are therefore computed by:

$$Z_0(t) = f_{res}(t) \times \left(\frac{C_k}{\left(\frac{Z_{tmp}}{\max(0.5 \times Z_{0,h}, Z_{0,bare})} \right)} \right)^2 \quad (17)$$

$$H_{rough} = f_{crop,max} \times 0.5 - f_{crop,max} \times 0.5 \times H_{dis} \quad (18)$$

These two formulations remain identical to Eqs. (7) and (8), but with adjusted parameter values for canopy height and fractional cover to represent crop residues.

2.4 Model calibration

The parameters of α_{surf} dynamics were calibrated using data from 10 sites with 33 winter wheat site-years. α_{surf} and LAI observations were applied to identify foliar yellowing and residue covering periods at the available sites (Table S2).

2.4.1 Identifying the periods of foliar yellowing and residue covering from site observation

At the site scale, we assumed that foliar yellowing (i.e., α_{surf} increase) begins at crop maturity because no observational evidence supports a temporal gap between these two phases.

In the model, α_{surf} starts to increase after a delay following the maximum LAI and decreases after harvest. Post-harvest α_{surf} dynamics were divided into two phases: (1) an initial α_{surf} increase driven by light-colored, evenly distributed straw, followed by (2) a α_{surf} decline due to the dominating effects of decomposition of residues.

α_{surf} dynamics were parameterized by identifying critical temporal thresholds. The minimum α_{surf} between the LAI peak and harvest was used to define the onset of foliar yellowing, which is approximately 22.3 days (95% bootstrap CI: [20.6-23.6] days, n=33) pre-harvest computed by site observation. This delay is longer than the default 14-day interval between maturity and harvest used in the old ORCHIDEE-CROP model. The maximum α_{surf} , constrained to occur within 30 days after harvest, divided residue-driven α_{surf} dynamics into an increasing phase (harvest to maximum α_{surf}) and a decline phase from post-maximum α_{surf} to tillage. Without tillage, the residue covering period was assumed to persist for 90 days after harvest (Kriauciuniene et al., 2012). On average, the maximum α_{surf} occurs 13.4 days (95% bootstrap CI: [10.5-15.9] days, n=33) after harvest based on site identification, while the configuration in the old ORCHIDEE-CROP model does not consider the timing of maximum α_{surf} . Due to the lacking information of maximum α_{surf} during residue covering period in the old model, we utilized 15 days after harvesting as the boundary of these two phases in the ORCHIDEE-CROP model at all sites for simplification.

2.4.2 Parameter optimization of albedo dynamics

Daily α_{surf} and α_{soil} observations, together with information on bare soil exposure, were utilized to calibrate the empirical parameters k_1 , k_2 , k_3 and k_4 for α_{crop} and α_{res} (Eqs. 12 and 14). The $\alpha_{yellowing,start}$ (Eq. 12) was replaced by the α_{surf} observation at the beginning of the foliar yellowing period. The simulated f_{crop} from the old model and the calculated f_{res} (Eq. 13) were used because observations of fractional-cover changes were unavailable.

Data collected from 10 sites with 28 winter wheat years (n = 485 daily α_{surf} observation) were used to calibrate k_1 , k_2 , k_3 and k_4 in Eqs. 12 and 14. Data from the remaining five winter wheat years (n = 179 daily α_{surf} observation) were used for model testing. Finally, calibrated k_1 , k_2 , k_3 and k_4 were incorporated into the ORCHIDEE-CROP model to simulate the albedo dynamics affected by foliar yellowing and residue covering for all 10 sites.

2.5 Model simulations

Simulations were performed for 10 sites encompassing 14 farms (Table S2) with the new ORCHIDEE-CROP model version and with the original version of this model (Table 1) for the years for which observations were available. The experiments aimed to evaluate the impacts of the parameterizations of α_{surf} , β_4 and Z_0 on water-energy cycles during the foliar yellowing

and residue covering periods. In the following, ORC-D refers to the default ORCHIDEE-CROP model configuration prior to any modifications, where “D” denotes the default setup. To assess the respective impacts of the five model modifications, we used five different configurations of the improved model. Configuration ORC-AE includes modifications to α_{surf} , β_4 and Z_0 during foliar yellowing and residue covering periods, where “A” refers to surface albedo and “E” to energy-related parameters. Configuration ORC-A includes only the modified α_{surf} , while ORC-E incorporates adjustments to both β_4 and Z_0 . To further disentangle the individual impacts of β_4 and Z_0 , ORC- Z_0 modifies only the Z_0 , and ORC- β_4 refers to only the modification of the β_4 . The prepared climate forcing data (Sect. 2.1.2), soil texture (sand, silt and clay contents) and crop management information (i.e., sowing date, tillage date, residue covering periods) (Sect. 2.1.3) for each site were used to drive the model. Note that all other management practices prescribed in this model (e.g., nitrogen fertilization) were set as default due to the lack of historical implementation records. There is no irrigation consideration in this analysis.

Table 1 Overview of simulation experiments and model configurations.

Names of experiments	Periods	Surface albedo	Parameter of soil conductance	Surface roughness	Crop & residue fraction	Test purpose
ORC-D		-	-	-	-	Control simulation
ORC-AE	Foliar yellowing	√	-	-	√	Combined impacts of surface albedo, soil resistance and surface roughness
	Residue covering	√	√	√	√	
ORC-A	Foliar yellowing	√	-	-	√	Isolated impact of surface albedo
	Residue covering	√	-	-	√	
ORC-E	Foliar yellowing	-	-	-	√	Combined impact of soil resistance and surface roughness
	Residue covering	-	√	√	√	
ORC- β_4	Foliar yellowing	-	-	-	√	Isolated impact of soil resistance

	Residue covering	-	√	-	√	
ORC- Z_0	Foliar yellowing	-	-	-	√	Isolated impact of surface roughness
	Residue covering	-	-	√	√	

* ‘-’ means there is no change, whereas ‘√’ denotes that the corresponding parameter is modified relative to ORC-D;

*The temporal evolution of foliar and residue albedo, soil resistance parameter, surface roughness, as well as changes in crop and residue fractions, follows the parameterizations described in Sect. 2.3, unless stated otherwise.

In addition, we conducted a 10-year simulation (2010-2020) under both current and idealised dry scenarios to quantify the cumulative effects of residue soil cover on water and heat fluxes for the new and old models at six sites if 10-year climate forcing data is available (Table S2). The meteorological forcing data for both scenarios followed the methodology described in Sect. 2.1.2, where the current climate scenario used observed annual climate data from ICOS, and the dry scenario was driven by climate forcing from the driest year (defined as the year with the lowest annual rainfall). The meteorological forcing from the driest year was repeated throughout the simulation period to represent persistent dry conditions. At each site, the sowing and harvesting dates were averaged across the years for which observations were available. The residue covering periods were assumed to persist for 60 days following winter wheat harvest in each year.

2.6 Sensitivity analysis

We performed sensitivity analyses of the major parameters (i.e. k_1 , k_4 , β_4 , H_c , duration of α_{surf} increase during residue covering period (D_{up})) linking to the α_{surf} variation and the responses of the energy and water budgets, particularly for the ones without enough constraints from field observations. The sensitivity analysis was conducted by changing the parameters by $\pm 10\%$, $\pm 20\%$ and $\pm 30\%$ from the initial calibrated values. Their impacts of these parameter changes on T_{surf} , H , E_{soil} and LE were evaluated.

2.7 Model evaluation

We evaluated the performance of ORCHIDEE-CROP with and without modification against observations of α_{surf} at site scale. The sites used for evaluation were independent from the ones used for calibration of α_{surf} .

We computed the coefficient of determination (R^2) and RMSE as quality indicators of model performance in the simulation-observation comparison of α_{surf} during the site calibration and model simulation processes (Wright 1921, Carbone and Armstrong 1982):

$$R^2 = 1 - \frac{\sum_i^n (y_i - f_i)^2}{\sum_i^n (y_i - \bar{y})^2} \quad (19)$$

$$RMSE = \sqrt{\sum_i^n \frac{(f_i - y_i)^2}{n}} \quad (20)$$

Where n and i are the number of data and the data i in n in dataset; y_i and f_i are the value of observed data i and the value of fitted data i ; $\bar{y}$ is the mean of the observed data.

With the calibration of the simulation of harvesting date for all sites, we further compared the simulated surface temperature (T_{surf}), LE , H from the old and new models against observation during the foliar yellowing and residue covering periods. For each variable, a paired t-test was also used to assess the null hypothesis that the mean paired difference between the simulations from the old and new models differed significantly from zero.

3 Results

3.1 Evaluation of surface albedo dynamics in the refined ORCHIDEE-CROP model

During the albedo calibration phase, the estimated parameter values of k_1 , k_2 , k_3 and k_4 (Eqs.12 and 14) are 0.013 (95% CI: 0.012-0.013), 1.005 (95% CI: 0.998-1.017), 0.895 (95% CI: 0.889-0.902) and 0.0022 (95% CI: 0.0017-0.0026), respectively. Using these calibrated parameters, the relationship between observed surface albedo (α_{surf}) and the parameterized α_{surf} representation used to constrain the model shows a moderate correlation with an R^2 of 0.54 during the foliar yellowing period and of 0.62 during the residue covering period for five testing sites. The corresponding RMSE values are 0.04 and 0.03, respectively (Fig. 2). The least-squares regression in these two fitting models captures the overall trend in the data better than models that consider them constant, but falls short of reproducing full variation, as it minimizes average errors rather than explaining outliers.

The refined ORCHIDEE-CROP configuration (ORC-AE), represents the new model version incorporating the modified α_{surf} together with the refined soil conductance (β_4) and surface roughness (Z_0) parameterizations, was used to simulate α_{surf} dynamics at all 33 site years, which were evaluated independently against observations (Fig. S4a,b). This independent evaluation demonstrates substantially stronger agreement, with significantly higher Pearson correlation coefficients compared to the default model configuration (ORC-D).

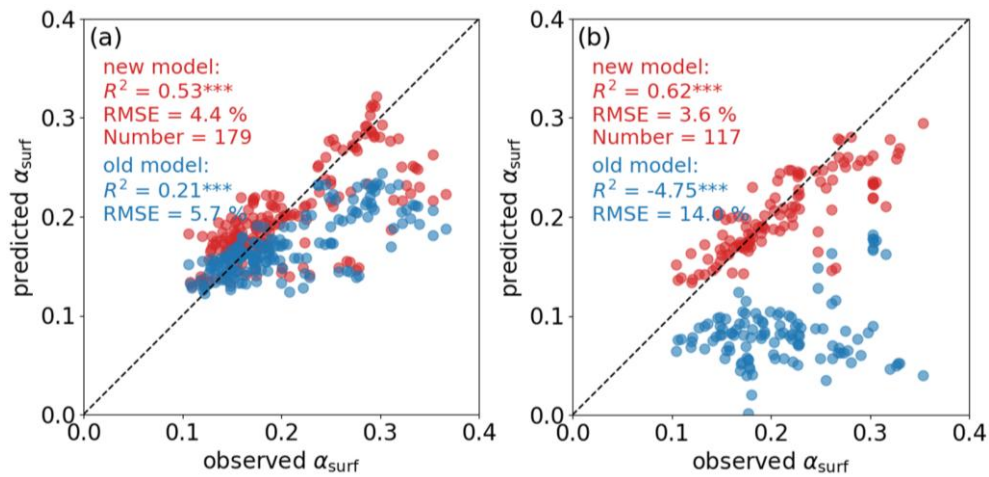


Figure 2 The comparison of daily surface albedo (α_{surf}) predictions derived from calibration models with and without explicit albedo parameterizations against independent observation from five sites in Europe during (a) the foliar yellowing and (b) residue covering periods. Coefficient of determination (R^2) and root mean square error (RMSE) are shown in the upper-left corners of each panel. ‘***’ shows the comparison passes the significance level of 0.01. The dotted black line is the 1:1 line.

ORC-AE captured observed α_{surf} evolution during the foliar yellowing and residue covering periods across all five sites. Using FR-Gri as an example (Fig. 3), ORC-D without considering their effects on α_{surf} dynamics shows substantial biases in α_{surf} during the same period. The results of α_{surf} at all five sites are presented in Fig. S5. α_{surf} increases during spring as crops *LAI* develops due to the enhanced reflected solar radiation of leaves compared to darker soil followed by a stable period before crops reach maturity. After the crop has reached maturity, the yellowing of aboveground crop biomass increases α_{surf} . This increase is reversed after harvest as dead and senescent plant material (i.e., residues) starts decomposing. Although the refined model can describe α_{surf} trends in both foliar yellowing and residue covering periods, substantial biases in α_{surf} remain for other periods which were not addressed in this study. These discrepancies result from inaccuracies of estimating bare soil albedo (Yu et al., in review), from the growth of weeds which are not resolved in ORCHIDEE-CROP, and from the simplistic representation of vegetation albedo dynamics before maturity is reached.

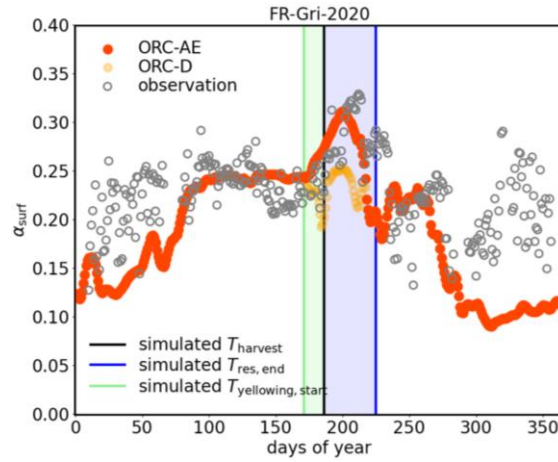
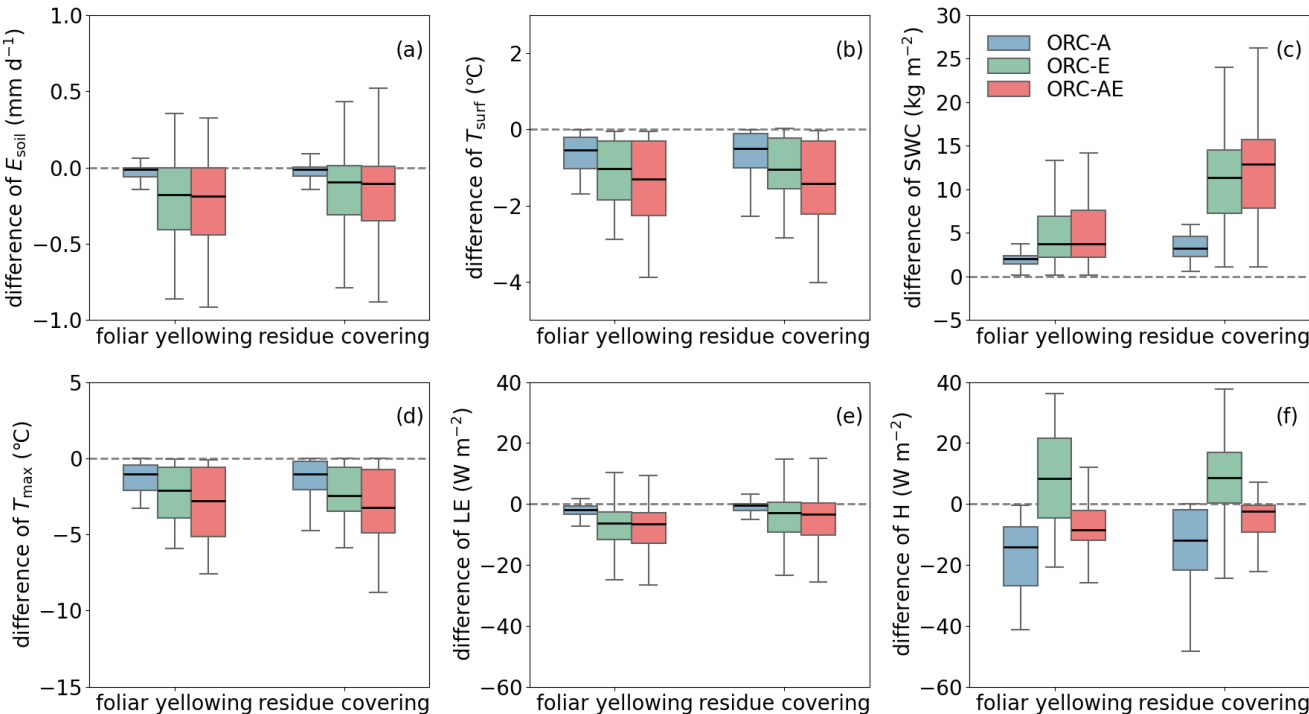


Figure 3 The comparison of surface albedo (α_{surf}) predicted from the old (orange dots, ORC-AE) and new (red dots, ORC-D) ORCHIDEE-CROP models and observations at Grignon site in France (gray dots) in 2020. ORC-AE represents the new model version with effects of the modified α_{surf} and the refined soil conductance (β_4) and surface roughness (Z_0), while ORC-D suggests the initial version of the model. The observed α_{surf} is computed from site radiation measurements through the Integrated Carbon Observation System (ICOS) Data Portal. The green, black and blue solid lines are the simulated start of the foliar yellowing period (shallow green area) ($T_{yellowing, start}$), harvesting date ($T_{harvest}$) and the end of residue covering period (shallow blue area) ($T_{res, end}$), respectively.

3.2 The impact of improved surface albedo, surface roughness and soil conductance on soil evaporation, surface temperature and soil water content

Compared with the standard version of ORCHIDEE-CROP (ORC-D), statistically significant changes in averaged surface temperature (T_{surf}) are detected across sites in ORC-AE and ORC-E ($p < 0.05$ in t-test), resulting from modifications in surface albedo (α_{surf}), surface roughness (Z_0), and soil conductance (β_4) (Table S5). In contrast, modifying albedo alone (ORC-A) does not lead to statistically significant changes in soil evaporation (E_{soil}) during the foliar yellowing period ($p > 0.05$). Soil water content (SWC) generally does not exhibit statistically significant changes ($p > 0.05$) in any of the three model configurations. This indicates that, except for SWC , site-averaged differences in the targeted variables are detectable and exhibit consistent signs and magnitudes across sites.

ORC-AE leads to a statistically significant reduction in E_{soil} relative to ORC-D during foliar yellowing period, with an averaged decrease of $-0.18 \pm 0.08 \text{ mm d}^{-1}$ (Fig. 4a). A slightly smaller but consistent reduction in E_{soil} ($-0.17 \pm 0.14 \text{ mm d}^{-1}$) occurs during the residue covering period. Changes in β_4 and Z_0 (ORC-E) exert significant impacts on E_{soil} that are comparable in magnitude to those simulated by ORC-AE during both periods, whereas changes in α_{surf} alone (ORC-A) cause relatively minor and statistically insignificant reductions in E_{soil} for the same periods. The influence of crop residues on E_{soil} disappears within approximately 40 days after residue coverage (Fig. S6a, Fig. S7a).



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Figure 4 The average effect of model improvements for yellowing and residues cover on daily soil evaporation (E_{soil}), surface temperature (T_{surf}), the total soil water content up to 2 m (SWC), the maximum daily surface temperature (T_{max}), the latent heat flux (LE) and the sensible heat fluxes (H) across 12 sites. Shown are the simulated differences between three configurations of the refined model and old model: ORC-A represents the effect of the modified surface albedo (α_{surf}), ORC-E shows the effect of refined soil conductance (β_4) and surface roughness (Z_0), and ORC-AE indicates the effect of both modifications. The solid and dotted black lines within each box indicate the mean and median daily values across sites and timepoints, respectively. The box spans the interquartile range, covering the 25th percentile (lower bound) to the 75th percentile (upper bound). Black crossbars at the top and bottom represent the dataset's minimum and maximum values. The grey dotted vertical line in each plot represents zero on the y-axis.

The reduced E_{soil} in ORC-AE leads to a modest but not significant increase in SWC over 2 m soil depth during the residue covering period among all sites ($13.30 \pm 8.02 \text{ kg m}^{-2}$) (Fig. 4c, Table S5). The combined effect is comparable to the sum of the individual contributions from α_{surf} (ORC-A) and from β_4 and Z_0 (ORC-E), suggesting an approximately additive effect. Although statistically insignificant, this weak water conservation signal persists toward the end of year, with gradual infiltration into deeper soil layers (Fig. S8).

Incorporating changes in α_{surf} , β_4 and Z_0 in ORC-AE results in a statistically significant reduction in T_{surf} during foliar yellowing and residue covering periods with averaged cooling of -1.55 ± 0.93 °C and -1.90 ± 0.88 °C over all sites, respectively, relative to ORC-D simulation (Fig. 4b). The factorial simulation experiments show that this cooling effect is mainly driven by changes in E_{soil} and Z_0 (ORC-E), whereas increased α_{surf} alone produces a weaker and statistically insignificant T_{surf} reductions. Interaction among α_{surf} , β_4 and Z_0 (ORC-AE) lead to a dampened overall effect compared with the individual effects of these factors on T_{surf} . The reduction in T_{surf} gradually weakened over approximately 60 days as residue cover decreased (Fig. S6d, Fig. S7d).

3.3 The impact of improved surface albedo, surface roughness and soil conductance on latent and sensible heat flux

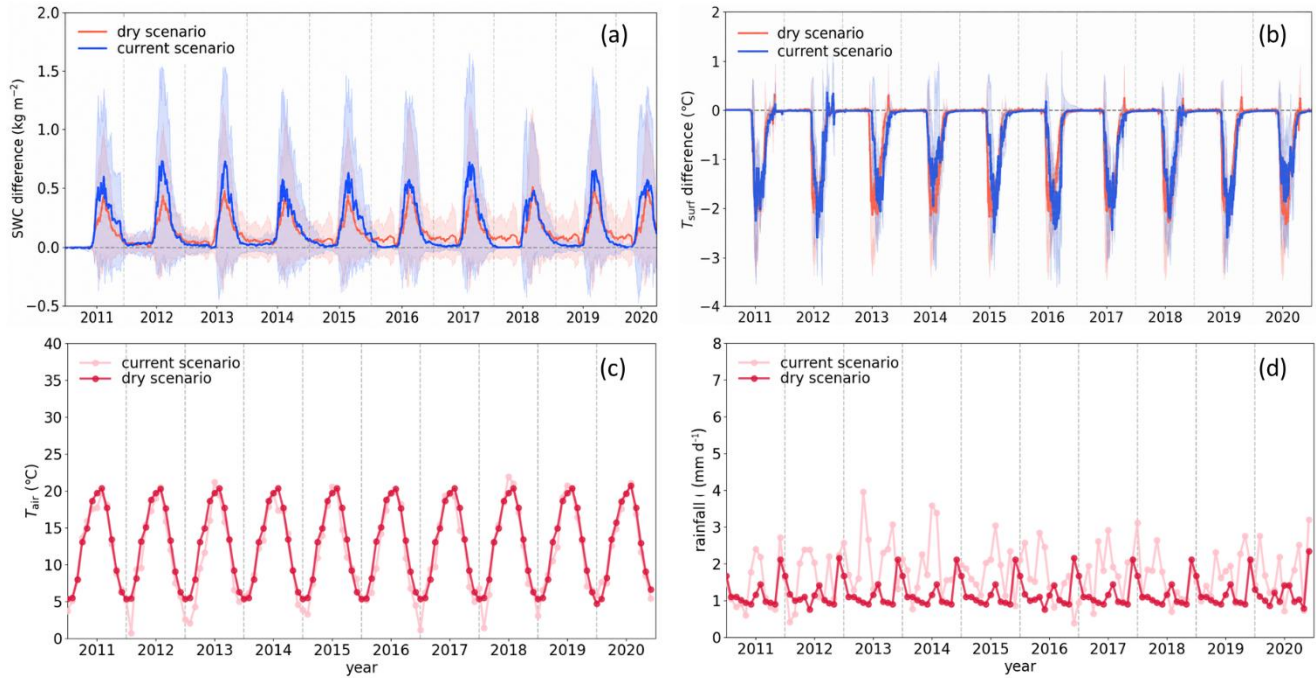
Relative to the ORC-D simulation, statistically significant changes in latent heat fluxes (LE) induced by modifications in surface albedo (α_{surf}), surface roughness (Z_0), and soil conductance (β_4) are detected across sites for ORC-AE, ORC-A, and ORC-E configurations ($p<0.05$ in t-test) (Table S5). Changes in sensible heat fluxes (H) are also statistically significant for ORC-AE and ORC-A, whereas modifications in Z_0 and β_4 alone (ORC-E) do not produce statistically significant changes in H ($p>0.05$). This suggests that the modified model configurations capture systematic variations in surface water and energy exchanges when averaged across sites.

Modified α_{surf} , β_4 and Z_0 in ORC-AE leads to statistically significant reductions in LE during the foliar yellowing and residue covering periods, with the changes of -6.84 ± 1.41 W m⁻² and -5.15 ± 4.03 W m⁻², respectively (Fig. 4e). This response is primarily controlled by changes in β_4 and Z_0 , represented by comparable reductions in LE by ORC-E. While α_{surf} alone (ORC-A) plays a more limited role, the effect remains statistically significant. The corresponding changes in the H are also statistically significant and of similar magnitude to the changes in LE induced by the combined effect of α_{surf} , β_4 and Z_0 during the foliar yellowing and residue covering periods, with averaged decreases of -8.10 ± 4.18 W m⁻² and of -7.44 ± 3.98 W m⁻², compared to the ORC-D simulation (Fig. 4f). In both periods, direct radiative effects associated with increased α_{surf} (ORC-A) contribute most strongly to the reduction in H , whereas changes in the surface-atmosphere energy exchange (ORC-E) leads only to a slight and statistically insignificant increase in H . Consistent with T_{surf} response, the influence of crop residues on H weakens over approximately 60 days of residue cover (Fig. S6c, Fig. S7c).

3.4 Effect of soil residues on soil water availability on multi-year timescale

The 10-year simulation under current climate shows a minor short-lived increase in SWC at the soil depth of 0.25 m (relative root depth of winter wheat in ORCHIDEE-CROP model) due to the presence of crop residues. Averaged across the simulation period and the six sites, total SWC is 0.24 ± 0.60 kg m⁻² ($2.81\pm6.15\%$) higher than in the simulation without crop residues (Fig. 5a). No clear carry-over effect of SWC retention from one growing season to the next is observed at any site. This occurs because increased drainage losses compensated for reduced bare soil evaporation (Fig. S9). Soil temperature (T_{soil}) at 0.25 m soil depth penetrable by winter wheat roots decreased by an average of -0.37 ± 0.77 °C ($7.56\pm5.96\%$) over the 60 days of residue

485 covering periods across sites, with no consistent temporal evolution during the 60-day residue covering periods over 10 years
 486 (Fig. 5b). The largest reduction in T_{soil} occurs shortly after harvest, coinciding with the largest increase in α_{surf} due to residue
 487 presence compared to simulation without residue effects.
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489 **Figure 5** The 10-year cumulated effect of new parameterization of surface albedo (α_{surf}), surface roughness (Z_0) and soil
 490 conductance (β_4) on (a) soil water content (SWC) and (b) simulated daily soil temperature (T_{soil}) at 12.5 % soil depth under
 491 current and dry scenarios at six sites from 2011 to 2020. Monthly temperature and rainfall are obtained from the meteorological
 492 variables of 6-hourly 0.5° CRU-JRA product (v2.4), shown in (c) and (d). Shown are differences between results from ORC-
 493 AE and ORC-D. ORC-AE represents the new model version with effects of the modified α_{surf} and the refined β_4 and Z_0 ,
 494 while ORC-D suggests the initial version of the model.
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 497 In the dry scenario, the meteorological forcing corresponds to a single dry year that is repeatedly applied over the 10-year
 498 simulation. This idealized experiment is designed to explore the sustained response of the system under persistent dry
 499 conditions, rather than to represent future climatic conditions or interannual climate variability.

500 Under these conditions, the presence of crop residue shows a similar increase in SWC at 0.25 m soil depth under current climate,
 501 with an average increase of $0.23 \pm 0.53 \text{ kg m}^{-2}$ ($3.01 \pm 5.97\%$) compared to simulations without residues (Fig. 5a).

502 Although no systematic temporal evolution is observed in near-surface SWC (at the soil depth of 0.25 m), deeper soil layers
 503 (50% soil depth) exhibit a slight gradual increase of soil moisture over the simulation period (not shown) due to the sustained

reduced E_{soil} which increases downward water transport. The decrease in E_{soil} by crop residues allows more water to remain in the soil, leaving more residual moisture available for the next growing season. Average T_{soil} at 0.25 m soil depth decreases with a statistically significant trend by an average of -0.42 ± 0.80 °C ($-8.42 \pm 3.58\%$) over years (Fig. 5b), consistent with the sustained effects of residue-induced surface cooling.

3.5 Sensitivity of energy and water processes to parameters

Sensitivity analyses reveal pronounced nonlinear responses of land surface water and energy processes to variations in model parameters (Fig. S10). For T_{surf} , k_1 exhibits the highest sensitivity, as it governs both the rate and maximum magnitude of the 15-day in surface albedo and consequently modulates available surface radiation during the residue covering period (Fig. S10a). A $\pm 30\%$ perturbation in k_1 induces 8.69% and 30.78% enhancements in surface cooling, respectively. This reflects a nonlinear response, as k_1 controls not only the rate of albedo increase but also the initial value of the subsequent decay phase (Sect. 2.3.2). Combined with the gradual decline in residue fraction over time, changes in k_1 modify the cumulative contribution of residue albedo to surface radiation, leading to enhanced cooling in both cases. In contrast, T_{surf} is only weakly affected by the hydrological parameter β_4 (-4.46% / $+2.98\%$ change in surface cooling for $\pm 30\%$ variations), consistent with the scenario simulations (Fig. 4b). The parameter D_{up} also exerts only a limited influence on T_{surf} (-8.35% / $+2.53\%$ change in cooling for $\pm 30\%$ variations).

For E_{soil} , β_4 is the dominant control (Fig. S10b). A decrease or increase in β_4 from the baseline by 30% results in a 62.48% reduction or 100.85% increase in the decline of E_{soil} , respectively. The parameters k_1 , k_4 , and D_{up} also influence E_{soil} , with a $\pm 30\%$ variation leading to -32.40% / -35.53% , -17.72% / $+22.35\%$, and -29.49% / -18.57% changes, respectively.

For H , D_{up} exerts the strongest control, followed by k_1 and β_4 (Fig. S10c). Adjusting these parameters by $\pm 30\%$ alters H by -10.53% / $+56.34\%$, -42.55% / $+36.77\%$, and -27.60% / $+27.33\%$, respectively. β_4 is also the most sensitive parameter controlling LE , reflecting the dependence of LE on E_{soil} . $\pm 30\%$ variations in β_4 yield $+89.78\%$ / -62.16% changes in LE (Fig. S10d). In contrast, the strong sensitivity of E_{soil} to k_1 does not propagate to LE , with only -2.66% / $+8.21\%$ changes observed under equivalent k_1 perturbations (Fig. S10c and d).

4. Discussion

4.1 Surface energy and land-atmosphere interaction jointly regulate surface temperature during foliar yellowing and residue covering periods

Foliar yellowing and residue coverage cool the surface across all sites by regulating the surface energy balance and redistributing net radiation to latent and sensible energy fluxes (Fig. 4a). Compared with the initial expectation that would dominate the impact of α_{surf} , the larger surface temperature (T_{surf}) decrease in ORC-E than that in ORC-A reveals that the cooling from the surface-atmosphere water-heat exchange due to modified soil conductance (β_4) and surface roughness (Z_0) drives the change in T_{surf} . Previous work indicated that no-tillage systems with residue coverage at the European scale cooled

the surface by approximately 2 °C during hot summer days due to increased α_{surf} (Davin et al., 2014). Our simulations at site scale further highlight that the local changes in turbulent processes can exert a comparable control over T_{surf} , relying on different spatial scales, climate conditions, phenological stages and surface properties (McDermid et al., 2019). While foliar yellowing and residue coverage induce an albedo-driven redistribution of surface energy budget across all 10 European sites, the interplay between available surface energy and the surface-atmosphere water-heat exchange causes substantial variation in the magnitude and duration of cooling. The increased Z_0 during winter wheat growth and residue covering periods enhances surface-atmosphere turbulent heat exchange, potentially promoting more efficient heat dissipation and surface cooling (Winckler et al., 2019; Meier et al., 2022). For example, when isolating the impact of increased Z_0 due to foliar yellowing and residue coverage (ORC- Z_0), both contribute more to the cooling impact than ORC- β_4 across all sites (Fig. S11a). However, the persistence of this surface cooling also depends on the hydrological fluxes between surface and atmosphere. Crop residues potentially warm the surface by decreasing soil evaporation (E_{soil}) (Hu et al., 2018). This effect can occur through reductions in β_4 caused by shielding the soil from direct solar radiation and wind (Fig. S11a), and by reducing near-surface wind speed and enhancing aerodynamic drag via increasing Z_0 (Fig. 4a, Fig. S11b). Similar to residue coverage, foliar yellowing has a comparable influence on E_{soil} due to the continuous coverage provided by winter wheat (Fig. 4a). The associated decline in transpiration, driven by reduced stomatal conductance under lower net radiation, may contribute to surface warming (not shown). Residue-induced suppression of E_{soil} during the residue covering period and radiation-induced decline in transpiration during foliar yellowing, both redirect energy partitioning from latent heat flux (LE) towards sensible heat flux (H), likely offsetting surface cooling (Fig. 4f) (Ramos et al., 2024).

553 4.2 Distinct controls on LE and H during foliar yellowing and residue covering periods

To isolate the drivers of turbulent flux changes during crop yellowing and residue cover periods, we analyzed results from two key model configurations: the surface-atmosphere interaction experiments (ORC-E) and the radiative forcing experiments (ORC-A). Our simulations revealed that the surface-atmosphere interactions (ORC-E) dominate the reduction in LE during both periods (Fig. 4e and Fig. S6b), with this effect jointly mediated by changes in β_4 and Z_0 (Fig. S11d), while α_{surf} -driven radiative impact is less important. In contrast, the reduced availability of surface energy with a higher albedo during crop yellowing and the residue cover period, together with the subsequently altered turbulent fluxes, contributed to a modest reduction in H during these periods due to their opposing effects (Fig. 4f, Fig. S6c). During this process, the enhanced H is primarily mediated by Z_0 (Fig. S11c).

The different underlying drivers behind changes in LE and H can be explained by the following: LE represents the energy needed for water vaporization and is therefore constrained by available energy and moisture transport efficiency (Chen et al., 2020). In contrast, H is driven by direct surface-atmosphere heat transfer via conduction and convection, governed by the land-air temperature gradient and turbulent heat exchange (Myhre et al., 2018). For example, we found that during the residue covering period, changes in β_4 and Z_0 (ORC-E) tend to increase H , while the albedo-induced reduction in net radiation (ORC-

567 A) acts in the opposite direction by limiting the available energy for sensible heating (Fig. 4f). These results highlight that
 568 changes in LE and H are not controlled by a single mechanism but emerge from the combined and counteracting effects of
 569 radiative forcing and surface-atmosphere coupling. This finding is consistent with earlier findings pointing to a critical role of
 570 surface-atmosphere interactions in shaping energy partitioning and near-surface thermal dynamics (Koster et al., 2004;
 571 Seneviratne et al., 2010; Dare-Idowu et al., 2021; Denissen et al., 2022; Hsu et al., 2023).
 572 The individual impacts of α_{surf} (ORC-A) and surface-atmosphere exchange (ORC-E) on the changes in LE and H differ from
 573 their combined effect of both (ORC-AE), especially during the residue covering period (Fig. 4). This indicates a strong
 574 nonlinear interaction between energy and water dynamics driven by crop residues (Hsu et al., 2021). For example, the
 575 decreased LE reduces H during the residue covering period by weakening near-surface turbulence, thereby producing a residual
 576 negative impact on H that could not be explained by ORC-A and ORC-E individually (Fig. 4f, Table S5). The complex
 577 feedback mechanisms between radiation partitioning and surface-atmosphere coupling require modelling approaches which
 578 take into account both interactions (Hsu et al., 2021; Hsu et al., 2022).
 579 It should be noted that in both periods, the averaged difference in surface energy fluxes (i.e. LE and H) between the new and
 580 old models across all sites are generally modest (Fig. 4e and f) and are of similar magnitudes to the model uncertainty (Table
 581 S5), which masks a substantial spatiotemporal variability in the effects of yellowed leaves and covered residues. Temporally,
 582 residue-induced albedo enhancement peaks within the first 15 days after harvest and weakens as residues decompose, causing
 583 short-lived but locally significant perturbations in water and heat fluxes (Fig. 3, Fig. S5-S6). The residue impact on the water-
 584 heat processes persists for approximately one additional month after residue removal through tillage or natural decomposition
 585 (Fig. S7). Spatial variations in climate, soil properties, and management practices amplify local differences in residue effects.
 586 For example, we found that during the residue covering period, BE-Lon exhibits the largest mean relative decrease in LE in
 587 the new model compared to the old model ($-27.42 \pm 26.20\%$), whereas the change at DE-Geb is minimal ($5.73 \pm 64.90\%$). This
 588 contrast arises because the higher soil moisture and sandy texture at BE-Lon support greater evaporative fluxes, whereas the
 589 limited soil water and clay-rich soil at DE-Geb favor energy dissipation as sensible rather than latent heat (Dumont et al., 2023;
 590 Buysse et al., 2023). In addition, long-term simulations show the accumulated residue impact on heat budgets. The 10-year
 591 simulation under the dry scenario shows a low but statistically significant cooling trend, with a yearly average of -0.3 °C (Fig.
 592 5). This demonstrates that even modest instantaneous flux changes can produce meaningful long-term surface cooling effects.

593 **4.3 Implication for climate change mitigation and adaptation**

594 With approximately 60 % of global croplands having higher T_{surf} than surrounding natural biome types, elevated temperatures,
 595 particularly during extreme heat and drought events, pose a major issue for crop yields and food security (Lobell et al., 2012;
 596 Hatfield et al., 2015; Lesk et al., 2022; Chen et al., 2024). Our simulations across all sites suggest that residue coverage
 597 alleviates heat stress by significantly decreasing maximum surface temperature (T_{max}) during approximately 30-day residue
 598 covering period and for up to 50 days afterward (Figs. S6e and S7e). This result aligns with previous findings on the cooling

599 effect of crop residues (Davin et al., 2014; Webber et al., 2018; Su et al., 2022). Reduced surface T_{max} after crop harvest
 600 mitigates heat stress on soil biota, providing a more favorable growing environment for subsequent crop cycles (Hatfield et al.,
 601 2015). Sustained temperatures above 30 °C can stress mesophilic soil microbes, inhibiting decomposition and nutrient cycling
 602 (Pietikäinen et al., 2005). Our simulations show that residue cover reduces T_{max} beyond 30 °C on 26 % of days during the
 603 residue period and 3.8 % of days afterward, underscoring its role in regulating soil thermal condition. This buffering effect
 604 modifies microbial activity and soil organic matter decomposition rates depending on local climatic and environmental
 605 conditions, thereby influencing greenhouse gas emissions from soil respiration (Knight et al., 2024).
 606 The increase in H during residue covering period reveals an increased surface-air temperature gradient caused by reduced
 607 T_{surf} , possibly leading to warming of the lower atmosphere which cannot be quantified by the land surface model only (Fig.
 608 4, Fig. S6c, Fig. S7c). This shift in energy partitioning from LE to H , driven by reduced E_{soil} due to residue coverage, might
 609 mitigate surface warming while potentially intensifying regional heat stress. Consequently, it introduces uncertainty regarding
 610 the effectiveness of crop residues as a climate mitigation strategy. Notably, the use of fixed air temperature forcing data
 611 partially explains the increase in H because the constant air temperature ignores atmospheric feedback (Luyssaert et al., 2014).
 612 As a result, the climate mitigation potential of residue management remains uncertain, and its regional impact on energy
 613 partitioning cannot be fully quantified. It is essential to consider not only surface cooling but also changes in air temperature,
 614 as the latter is more directly linked to climate dynamics. A coupled simulation, feasible with ORCHIDEE-CROP, would be
 615 needed for future studies.
 616 Soil water content (SWC) dynamics play a key role in regulating the land-atmosphere interactions, as the increase in surface
 617 soil SWC , as due to residue effects on roughness and soil resistance, can decrease H partitioning via promoting E_{soil} (Myhre
 618 et al., 2018). The 10-year simulations further highlight that residue coverage has the potential to increase SWC and thereby
 619 mitigate drought stress, particularly under drier conditions with fewer rainfall events (Fig. 5, Fig. S9). In such condition, SWC
 620 remains high within the upper 1 m soil for extended periods of up to three months. An earlier review suggested that dry soil
 621 condition might result in soil compaction and reduced hydraulic conductivity (Singh et al., 2018). This limits infiltration and
 622 slow downward water percolation, which might hinder the underlying mechanism responsible for upper-soil SWC retention
 623 simulated by the ORCHIDEE-CROP model. Notably, soil texture modulates this effect. For example, rapid water infiltration
 624 into deeper layers in sandy soils with high drainage reduces surface SWC availability for cooling and plant uptake (Fatichi et
 625 al., 2020; Wankmüller et al., 2024), highlighting how soil hydraulics constrain residue efficacy. The sustained SWC also fosters
 626 soil biota activity (e.g., fungi, earthworms), which enhance soil structure through aggregate formation and organic matter
 627 decomposition (Li et al., 2009). Improved soil structure increases water-holding capacity, creating a positive feedback loop
 628 where residue-induced SWC retention supports biological activity, which in turn amplifies long-term moisture retention. This
 629 synergy reveals that residue effects on SWC persist beyond immediate rainfall events, particularly in water-limited systems.
 630 External factors such as tillage timing and precipitation frequency also affect the biophysical impacts of crop residues
 631 (Konapala et al., 2020). Early tillage, as observed at the FR-Gri site in 2018 (three weeks after harvest), retains more SWC in
 632 the topsoil and removes the residue cover, thereby temporarily enhancing E_{soil} and LE after the end of residue covering (Fig.

633 S12). The strong soil water retention capacity of the silt-loam soil at this site further contributed to this effect (Houot et al.,
634 2000). This process underscores the importance of management practices in regulating the diverse hydrological and thermal
635 effects of residue covering. Targeted strategies are also essential for optimising water use, particularly facing warmer and drier
636 climates (Swain et al., 2025).

637 4.5 Uncertainty and Limitations

638 Despite improvements to the ORCHIDEE-CROP model in simulating crop albedo changes associated with foliar yellowing
639 and crop residues, as well as their biophysical climate impacts, uncertainties and limitations remain.

640 α_{surf} shows the most consistent improvement across sites, reflecting its direct control by the new parameterizations for foliar
641 yellowing and residue covering (Fig. 2, Fig. S4a,b). However, degraded performance is observed at two sites (DE-Geb in 2014
642 and FR-Aur in 2019; Fig. S5a,c). At these sites, the refined configuration simulates higher α_{surf} during foliar yellowing and
643 residue covering than those estimated from site radiometer measurements. Surface conditions shown by the site photos suggest
644 that the discrepancy may be related to limitations in the spatial representativeness of point-scale observations, which is further
645 investigated in Text S1.2. T_{surf} also improves significantly, especially during the foliar yellowing period (Fig. S4c,d). In
646 contrast, LE and H exhibit moderate improvement during the residue covering period and little to no improvement during the
647 crop growth and foliar yellowing periods (Fig. S4e-g). This performance is expected, as LE and H during the growing season
648 are primarily governed by transpiration processes that are not recalibrated in this study. During the residue covering period,
649 remaining biases in LE and H likely arise from unrepresented processes associated with residue cover, such as water
650 interception and retention by residues and soil hydraulic heterogeneity. Limitation in the representation of land-atmosphere
651 coupling in ORCHIDEE also contributes to persistent uncertainties in simulated turbulent fluxes (Sect. 4.3).

652 The ORCHIDEE-CROP model exhibits biases in simulating water and energy fluxes, and the performance of the new model
653 in reproducing water-energy fluxes does not improve relative to the previous version when evaluated against site-level
654 measurements at six sites (Fig. S4). Both the new and old models overestimate LE and H during foliar yellowing periods, while
655 underestimating LE and overestimating H during residue covering periods. This overestimation during foliar yellowing periods
656 might come from excessively strong vegetation-atmosphere coupling for crops within ORCHIDEE itself compared with
657 observation (Zhang et al., 2022). Observations indicate that LE is higher during periods with residue coverage than during
658 periods of bare soil exposure (Fig. S13). However, it remains unclear to what extent the observed difference in LE is driven
659 by differences in weather conditions rather than by the presence or absence of residues. One possible source of bias during the
660 residue covering period may be the simplification of model parameters. In the present version of ORCHIDEE-CROP, the
661 effects of crop residues on surface-atmosphere coupling are quantified by modulating β_4 and Z_0 . Both variables are represented
662 using uniform assumptions to balance model complexity against the scarcity of data available for parameterization. Specifically,
663 the impact of residues on β_4 was represented using an initial reduction factor of 0.5 at the beginning of the residue covering
664 (Sect. 2.3.3). The residue influence on Z_0 was prescribed using a fixed residue height (0.5 m), derived from the average of

665 measurements across five winter-wheat sites (Sect. 2.3.4, Table S3). These parameter choices are applied uniformly across all
666 sites and therefore cannot explicitly represent local variation in residue characteristics, soil properties, atmospheric conditions
667 and management practices. As a result, the model is incapable of fully resolving site-specific residue impacts, which potentially
668 contributes to the bias of simulated LE and H at certain sites.

669 The sensitivity analysis also highlights the uncertainties associated with parameter selection (Fig. S10). The β_4 and k_1 exert
670 strong control over the spatiotemporal partitioning of available energy between LE and H . The use of fixed parameter values
671 and limited calibration based on only a few sites inevitably contributes to model uncertainty and constrains the representation
672 of local variability.

673 We manually adjusted the total conductance associated with soil evaporation, transpiration and interception in ORCHIDEE-
674 CROP by scaling it with $1+f_{soil}$ during residue cover periods (Chapin et al., 2011). The simulations showed improved
675 agreement with observed T_{surf} , LE and H (Fig. S14), indicating that the net biophysical impact of residue cover enhanced
676 surface-atmosphere water and heat exchanges despite inhibiting soil evaporation, which is not well described in the current
677 model. A test of quantifying the residue impact on E_{soil} at 15 field experiments distributed globally showed that residue cover
678 exceeding 80% resulted in an approximately 20-30% reduction in E_{soil} during the residue covering period (not shown).
679 Therefore, the assumed 50% initial decline in β_4 in the improved model (Eq.16) might overestimate residue impacts,
680 potentially explaining the lower E_{soil} and LE simulated across sites in the new model compared with both the initial model
681 version and observations (Fig. S4).

682 While our modelling shows minor effects of crop residues on SWC, we did not account for effects such as changes in soil
683 texture or organic matter content (Singh et al 2018), which could improve soil water availability to crops. In addition, we did
684 not account for interactions with other managements such as tillage, which are often co-applied. In summary, the present
685 simulations are particularly well suited for assessing the biophysical impacts of residue cover on the surface energy balance,
686 while the representation of soil-water responses remains an area requiring further model development and the corresponding
687 results should therefore be considered with caution.

688 For example, specific hydrological processes impacted by crop residues were not accounted for in this study, such as water
689 interception on the surface of residues, and uptake or release of water by residues (Kozak et al., 2007, Swella et al., 2015).
690 Rainfall interception by residues alters both the timing and magnitude of soil-water inputs and enhances evaporation from
691 residue surfaces, thereby modifying surface moisture conditions and heat exchange processes (Mitchell et al., 2012; Thapa et
692 al., 2021). However, contrasting evaporation mechanisms operating in soil and residue layers introduce additional complexity
693 into surface evaporation processes. The omission of these processes likely increases model uncertainty in biophysical
694 simulations under variable rainfall conditions.

695 The input data used to drive the model introduces several structural uncertainties. First, while site-based meteorological forcing
696 (e.g., air temperature, wind speed, humidity) provides high representativeness, meteorological observations and direct radiation
697 measurements were unavailable at two ClieNFarm sites comprising six farms (UK-Rookery, UK-Winwick, UK-Allerton, BE-
698 AH, BE-BM, and BE-LB; Table S2). For these sites, simulations were instead driven by the hourly 0.25° ERA-interim product

699 and surface albedo was derived from Sentinel-2 observations. This substitution inevitably limits the ability of our model to
700 reproduce site-specific water and energy dynamics. A comparison between satellite-derived albedo at 300 m spatial resolution
701 and albedo calculated from shortwave radiation measurements representing only a few m² at eight sites reveals systematic
702 differences (Fig. S15). These differences are likely attributable to spatial scale mismatch, cloud screening effects, and surface
703 heterogeneity, which together dampen albedo variability in the satellite product. While satellite-based albedo enables the
704 inclusion of these six field sites, the substituted values introduce additional uncertainty in the simulated surface energy fluxes.
705 Second, the bare soil albedo dataset used to estimate surface albedo carries uncertainties related to quality-restricted training
706 samples (Yu et al., in review). For example, the vegetation index thresholding used to identify bare soil may also include mixed
707 surfaces containing crop residues, introducing biases into soil albedo retrievals. Also, the spatial aggregation from 300 m to
708 0.5° smooths the field variability of bare soil albedo, reducing the reliability of the model simulation. Third, the incomplete
709 representation of management practices in our model, including fertilizer and pesticide application as well as physical
710 operations such as tillage, limits the model's capacity to reproduce observed variations in crop phenology, soil water content,
711 and surface fluxes across years.

712 Based on these concerns, evaluating residue effects requires a context-specific approach that accounts for the interactions
713 among climate, soil, and crop characteristics. Process-based land surface models provide an effective framework for such
714 assessments across multiple scales, as they explicitly represent the coupled biophysical and ecological mechanisms controlling
715 surface energy and water exchanges. This approach provides opportunities to guide residue management strategies under
716 diverse environmental settings. Future model developments should incorporate explicit residue modules and site-specific
717 parameterization to better capture spatial heterogeneity in residue impacts on energy and water fluxes. For example, integrating
718 the canopy interception modules developed by crop models to ORCHIDEE-CROP is a good strategy to better represent the
719 residue impact on the hydrological dynamics, such as CropSyst and RZWQM (Kozak et al., 2007). Moreover, an open-sourced
720 global database derived from dedicated field trials monitoring energy exchange is required for parametrizing and evaluating
721 these model developments.

722 **5. Conclusion**

723 In this study, we improved the simulation of winter wheat cultivar in the ORCHIDEE-CROP model by taking into account the
724 impact of foliar yellowing and crop residues on water and energy balance of winter wheat cultivations based on observations
725 from 10 cropland sites. The simulated surface energy budget and partitioning of latent and sensible heat fluxes show a cooling
726 impact of residues and crop yellowing. A complex interplay between radiative and turbulent processes controls the strength of
727 these effects. The improved ORCHIDEE-CROP model, which includes representations of land surface albedo, surface
728 roughness, and soil conductance for residue covering, provides a valuable tool for quantifying biophysical impacts and guiding
729 localized residue management strategies from regional to global scales. Future model developments should refine the
730 representation of crop residue effects on soil physio-chemical properties and interactions with management practices such as

tillage in order to improve simulations of plant water availability. By identifying optimal residue management practices, this approach can contribute to sustainable agriculture and support policy development in the context of climate warming.

Code and data availability

The model code used in this study is archived at <https://doi.org/10.5281/zenodo.15230286> (Yu et al., 2025). All the data and the codes for data analysis and figure creation are available at <https://doi.org/10.5281/zenodo.15234443> (Yu 2025a). All data used in this study are publicly available. The original ecosystem flux data at available sites can be derived from the Integrated Carbon Observation System (ICOS) Data Portal at <https://data.icos-cp.eu/>. The MODIS LAI data (MCD15A3H) can be downloaded from Land Processes Distributed Active Archive Center (<https://lpdaac.usgs.gov/products/mcd15a3hv006/> DOI: <https://doi.org/10.5067/MODIS/MCD15A3H.006>). The MODIS aerosol product (MO(Y)D04_L2) can be downloaded from Level-1 and Atmosphere Archive & Distribution System Distributed Active Archive Center (<https://ladsweb.modaps.eosdis.nasa.gov/> DOI: https://doi.org/10.5067/MODIS/MOD04_L2.061). The Sentinel-2 bare soil albedo datasets can be downloaded from <https://doi.org/10.5281/zenodo.15271053> (Yu 2025b).

Author contributions

DG, YS, RL, and PC conceptualized and designed the study. KY modified and implemented the model, conducted formal analysis of the results, and led the writing of the original draft with contributions from all co-authors. AP and MC contributed data curation by providing management information from ClieNFarm sites. All authors participated in reviewing, editing, and finalizing the manuscript.

Competing interests

The contact author has declared that none of the authors has any competing interests.

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