

1 The Atmospheric Potential Oxygen forward Model Intercomparison Project  
2 (APO-MIP1): Evaluating simulated atmospheric transport of air-sea gas exchange  
3 tracers and APO flux products

4 Yuming Jin<sup>1,2</sup>, Britton B. Stephens<sup>1</sup>, Matthew C. Long<sup>3</sup>, Naveen Chandra<sup>4</sup>, Frédéric Chevallier<sup>5</sup>,  
5 Joram J.D. Hooghiem<sup>6</sup>, Ingrid T. Luijkx<sup>6</sup>, Shamil Maksyutov<sup>7</sup>, Eric J. Morgan<sup>8</sup>, Yosuke Niwa<sup>7</sup>,  
6 Prabir K. Patra<sup>4,9</sup>, Christian Rödenbeck<sup>10</sup>, Jesse Vance<sup>11</sup>

7 1. Earth Observing Laboratory, NSF National Center for Atmospheric Research, Boulder, CO 80301,  
8 USA

9 2. Advanced Study Program, NSF National Center for Atmospheric Research, Boulder, CO 80301

10 3. [C]Worthy, Boulder, CO 80302, USA

11 4. Research Institute for Global Change, Japan Agency for Marine-Earth Science and Technology,  
12 Yokohama, 236-0001, Japan

13 5. Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-UVSQ,  
14 Université Paris-Saclay, Gif-sur-Yvette, F-91198, France

15 6. Wageningen University, Environmental Sciences Group, Wageningen, 6700AA, The Netherlands

16 7. Earth System Division, National Institute for Environmental Studies, Tsukuba, 305-8506, Japan

17 8. Geosciences Research Division, Scripps Institution of Oceanography, University of California, San  
18 Diego, La Jolla, CA 92093, USA

19 9. Seto Inland Sea Carbon Neutral Research Center, Hiroshima University, Higashi-Hiroshima, 739-8529,  
20 Japan

21 10. Max Planck Institute for Biogeochemistry, Jena, 07745, Germany

22 11. Ebb Carbon, San Carlos, CA 94070, USA

23 Correspondence: Yuming Jin ([yumingjin@ucar.edu](mailto:yumingjin@ucar.edu))

## 24 Abstract

25 Atmospheric Potential Oxygen (APO, defined as  $O_2 + 1.1 \times CO_2$ ) is primarily a tracer of ocean  
26 biogeochemistry and fossil fuel burning. APO exhibits strong seasonal variability at mid-to-high  
27 latitudes, driven mainly by seasonal air-sea  $O_2$  exchange. We present results from the first  
28 version of the Atmospheric Potential Oxygen forward Model Intercomparison Project  
29 (APO-MIP1), which forward transports three air-sea APO flux products in eight atmospheric  
30 transport models or model variants, aiming to evaluate atmospheric transport and flux  
31 representations by comparing simulations against surface station, airborne, and shipboard  
32 observations of APO. We find significant spread and bias in APO simulations at eastern Pacific  
33 surface stations, indicating inconsistencies in representing vertical and coastal atmospheric  
34 mixing. A framework using airborne APO observations demonstrates that most atmospheric  
35 transport models (ATMs) participating in APO-MIP1 overestimate tracer diffusive mixing across  
36 moist isentropes (i.e., diabatic mixing) in mid-latitudes. This framework also enables us to  
37 isolate ATM-related biases in simulated APO distributions using independent mixing constraints  
38 derived from moist static energy budgets from reanalysis, thereby allowing us to assess  
39 large-scale features in air-sea APO flux products. Furthermore, shipboard observations show that  
40 ATMs are unable to reproduce seasonal APO gradients over Drake Passage and near Palmer  
41 Station, Antarctica, which could arise from uncertainties in APO fluxes or model transport. The  
42 transport simulations and flux products from APO-MIP1 provide valuable resources for  
43 developing new APO flux inversions and evaluating ocean biogeochemical processes.

## 44 Short Summary

45 We carry out a comprehensive atmospheric transport model (ATM) intercomparison project. This  
46 project aims to evaluate errors in ATMs and three air-sea  $O_2$  exchange products by comparing  
47 model simulations with observations collected from surface stations, ships, and aircraft. We also  
48 present a model evaluation framework to independently quantify transport-related and  
49 flux-related biases that contribute to model-observation discrepancies in atmospheric tracer  
50 distributions.

## 51 1. Introduction

52 Atmospheric potential oxygen (APO), defined as the weighted sum of  $O_2$  and  $CO_2$  concentration  
53 ( $APO \approx O_2 + 1.1 CO_2$ ), is an important tracer of fossil fuel burning and ocean biogeochemical  
54 processes (Stephens et al., 1998). APO is intended to be unaffected by terrestrial photosynthesis  
55 and respiration due to the cancellation of  $O_2$  and  $CO_2$  exchange at an approximate  $O_2:C$  ratio of  
56 -1.1 (Severinghaus, 1995). APO exhibits a large seasonal cycle driven mainly by air-sea  $O_2$   
57 exchange due to upper ocean biological activities, deep water ventilation, and thermally induced  
58  $O_2$  solubility changes. Seasonal APO variability is also slightly affected by the air-sea exchange  
59 of  $CO_2$  and  $N_2$  (Manning and Keeling, 2006). APO is decreasing in the atmosphere due to fossil  
60 fuel combustion, which acts as an  $O_2$  sink and  $CO_2$  source with a more negative  $O_2:CO_2$  ratio  
61 (global mean  $\sim -1.4$ ) compared to the assumed -1.1 ratio from terrestrial processes. Although  
62 fossil fuel combustion contributes to an annual interhemispheric gradient that has lower APO in  
63 the Northern Hemisphere, it has only a minor effect on the seasonal cycle globally (Keeling &  
64 Manning, 2014).

65 APO measurements provide critical constraints on seasonal air-sea  $O_2$  fluxes, which have been  
66 used to estimate air-sea gas exchange rates and ocean net community production (NCP), and to  
67 benchmark marine NCP in Earth system models (Naegler et al., 2007; Nevison et al., 2018,  
68 2012, 2015, 2016). APO has been used for improved partitioning of ocean and land carbon sinks  
69 (Friedlingstein et al., 2025; Manning and Keeling, 2006), to constrain ocean heat uptake and  
70 meridional heat transport (Resplandy et al., 2016, 2019), and to quantify fossil fuel emissions  
71 (Pickers et al., 2022; Rödenbeck et al., 2023). APO measurements are available at surface  
72 stations (e.g., Adcock et al., 2023; Battle et al., 2006; Goto et al., 2017; Keeling & Manning,  
73 2014; Manning & Keeling, 2006; Nguyen et al., 2022; Tohjima et al., 2019), on ship transects  
74 (e.g., Ishidoya et al., 2016; Pickers et al., 2017; Stephens et al., 2003; Thompson et al., 2007;  
75 Tohjima et al., 2012, 2015, 2024), and from aircraft (e.g., Bent, 2014; Ishidoya et al., 2012; Jin et  
76 al., 2023; Langenfelds, 2002; Morgan et al., 2021; Stephens et al., 2018, 2021).

77 Global-scale air-sea APO fluxes have been estimated from APO measurements and an ATM  
78 within a Bayesian inversion framework (Rödenbeck et al., 2008). ATMs are also used to forward  
79 transport APO fluxes simulated from ocean biogeochemistry models (Carroll et al., 2020; Yeager  
80 et al., 2022) and surface ocean dissolved oxygen (DO) measurements (Garcia and Keeling, 2001;

81 Najjar and Keeling, 2000) to compare with atmospheric observations, providing a basis for  
82 model and flux product evaluation (Jin et al., 2023; Keeling et al., 1998; Stephens et al., 1998).  
83 However, using atmospheric data to evaluate flux products and to derive fluxes through  
84 inversion is fundamentally limited by biases in ATMs, particularly in their representation of  
85 vertical transport and diabatic mixing (Jin et al., 2024; Naegler et al., 2007; Nevison et al., 2008;  
86 Schuh et al., 2019; Schuh and Jacobson, 2023; Stephens et al., 2007). The systematic  
87 uncertainties in transport modeling limit inversions of APO, CO<sub>2</sub>, and other greenhouse gases,  
88 underscoring the need for independent transport bias assessments to advance global carbon  
89 budget constraints.

90 To address uncertainty in ATMs for studying large-scale tracer atmospheric transport and the  
91 corresponding surface fluxes, several community model intercomparison (TransCom) projects  
92 have been established for various tracers including CO<sub>2</sub> (Baker et al., 2006; Gurney et al., 2003,  
93 2004; Law et al., 2008; Patra et al., 2008), N<sub>2</sub>O (Thompson et al., 2014), SF<sub>6</sub> (Denning et al.,  
94 1999), SF<sub>6</sub> and CH<sub>4</sub> jointly (Patra et al., 2011), as well as an age of air tracer (Krol et al., 2018).  
95 Blaine (2005) coordinated a TransCom O<sub>2</sub> experiment to compare model simulations of the O<sub>2</sub>  
96 seasonal cycle across the Scripps O<sub>2</sub> network. While this experiment provided valuable initial  
97 insights into ATM performance in simulating atmospheric O<sub>2</sub> from ocean fluxes, substantial  
98 advances in ATMs and more data collected also from aircraft and ships since then motivate an  
99 updated intercomparison study with more extensive model-data comparisons and analyses. More  
100 recently, CO<sub>2</sub> inversion intercomparisons have been coordinated through the OCO-2 MIP  
101 (Crowell et al., 2019; Peiro et al., 2022; Byrne et al., 2023) and the Global Carbon Project (e.g.,  
102 Friedlingstein et al., 2025). These experiments reveal substantial spread in forward tracer (e.g.,  
103 CO<sub>2</sub>) atmospheric distribution and inverted surface fluxes, driven by different ATMs and  
104 inversion setups. The spread in forward transport simulations stems from multiple factors,  
105 including the choice of wind fields from various reanalysis products or online simulation,  
106 regridding fine resolution meteorological data to coarse model grids, the advection scheme that  
107 governs large-scale mixing, and parameterized sub-grid processes, such as boundary layer  
108 mixing and deep convection. Despite the complexity of different transport pathways, long-lived  
109 tracers (e.g., CO<sub>2</sub> and O<sub>2</sub>) at mid-latitudes tend to show tracer distributions that are aligned with  
110 moist potential temperature ( $\theta_e$ ) surfaces. This is because  $\theta_e$  surfaces are preferential surfaces for

111 mixing, leading to rapid along- $\theta_e$  mixing and slow cross- $\theta_e$  mixing (Bailey et al., 2019; Jin et al.,  
112 2021; Miyazaki et al., 2008; Parazoo et al., 2011).

113 It is a critical challenge to accurately quantify the rate-limiting cross- $\theta_e$  mixing time-scales,  
114 which are largely driven by diabatic processes including moist convection and radiative cooling.  
115 Here, we define “diabatic mixing rates” as diffusivities that are inversely related to cross- $\theta_e$   
116 mixing time-scales. These mixing rates are important for determining the large-scale tracer  
117 distribution in ATMs. Jin et al. (2024) established a framework to calculate cross- $\theta_e$  mixing rates  
118 from ATMs and moist static energy (MSE) budgets from reanalysis based on a mass-indexed  
119 isentropic coordinate called  $M_{\theta_e}$  (Jin et al., 2021). This framework also allows cross- $\theta_e$  tracer  
120 gradients from airborne observations to provide independent constraints on diabatic mixing. Jin  
121 et al. (2024) tested four ATMs used in CO<sub>2</sub> inversions, showing that these models tend to have  
122 too fast mixing in the mid-latitudes of the Southern Hemisphere in the austral summer. The too  
123 fast mixing is also confirmed by the fact that models simulate smaller CO<sub>2</sub> gradients compared to  
124 airborne observations, which is an independent constraint on the mixing rate. The mixing rate  
125 constraint and CO<sub>2</sub> gradient constraint also have implications for biases in the inverse model  
126 estimates, indicating a too large summer-time Southern Ocean (SO) CO<sub>2</sub> sink. This framework  
127 provides a system for independently evaluating transport simulations and flux estimates.

128 Previous TransCom experiments focused primarily on tracers that only have significant sources  
129 and sinks over the land, and large seasonal flux cycles tied to the northern terrestrial biosphere.  
130 In contrast, APO is a tracer of surface ocean exchange with the largest seasonal variability  
131 observed over mid-to-high latitude oceans in both hemispheres. APO offers a distinct perspective  
132 for studying atmospheric mixing within and above the marine boundary layer, the long-range  
133 tracer transport into and out of the remote Southern Hemisphere, and the ability for inverting  
134 tracer flux over the SO from atmospheric measurements.

135 Here we use output from the APO-MIP1 (Stephens et al., 2025), which generated a suite of  
136 forward ATM simulations of APO and its components (air-sea O<sub>2</sub>, CO<sub>2</sub>, and N<sub>2</sub> flux, and fossil  
137 fuel CO<sub>2</sub> emission and O<sub>2</sub> uptake) from different source fields. This effort was initially motivated  
138 by a need to support the calibration of hemispheric-scale seasonal air-sea APO flux estimates  
139 from spatially and temporally sparse observations from airborne campaigns (e.g., Jin et al.,

2023), stations, and ships. Here we focus on the other goals of APO-MIP1 which were to use atmospheric APO observations to characterize errors in ATMs and APO flux products.

In Section 2, we describe APO measurements from surface stations, aircraft, and ships, and the experimental design of APO-MIP1. In Section 3.1, we evaluate simulations against observations, revealing large model spread and errors at eastern Pacific surface stations due to mixing uncertainties, while airborne column-average data show smaller cross-ATMs variability and errors. In Section 3.2, we analyze diabatic mixing rates, demonstrating that ATMs generally overestimate mid-latitude mixing in both hemispheres, allowing us to separate transport and flux-related biases. In Section 3.3, we examine simulations of shipboard data around Drake Passage and the Antarctic Peninsula, revealing that current ATMs and flux products underestimate meridional gradients in APO seasonal amplitude from 53-65°S. The models also fail to capture the APO contrast between Palmer Station flask samples and nearby in-situ ship data due to limitations in representing local topographic flows with coarse-resolution ATMs. In Section 3.4, we discuss the broader implications of our analysis for developing methods to identify processes that introduce transport biases and for improving atmospheric transport modeling.

## 2. Materials and Methods

### 2.1 Definition of APO

APO in the unit of per meg (see Keeling et al., 1998) is calculated from atmospheric observations of relative changes in the  $O_2/N_2$  ratio (per meg) and  $CO_2$  mole fraction (ppm) according to Stephens et al. (1998) as

$$APO \approx \delta(O_2/N_2) + \frac{1.1}{X_{O_2}} (CO_2 - 350), \quad (1)$$

with

$$\delta(O_2/N_2) = \left( \frac{(\frac{O_2}{N_2})_{sample}}{(\frac{O_2}{N_2})_{reference}} - 1 \right) \cdot 10^6. \quad (2)$$

163 The factor 1.1 represents the approximate exchange ratio of  $O_2$  to  $CO_2$  in terrestrial biospheric  
 164 processes (Severinghaus, 1995). We note that this ratio generally varies from 1.01 to 1.14 in  
 165 aboveground carbon pools across different temporal and spatial scales (Gallagher et al., 2017;  
 166 Hockaday et al., 2009; Keeling, 1988; Worrall et al., 2013). This ratio also exhibits diurnal  
 167 change and varies between respiration and photosynthesis in biosphere-atmosphere  $O_2$  and  $CO_2$   
 168 exchanges (Faassen et al., 2023, 2024). With our focus on seasonal variations, we use 1.1 as  
 169 representative of the  $O_2$  to  $CO_2$  exchange ratio during seasonal growth and decay of terrestrial  
 170 biota. A sensitivity test in Jin et al. (2023) showed that varying this ratio by  $\pm 0.05$  only leads to  
 171  $\pm 5.1\%$  changes in hemispheric average APO. The impact on APO seasonal cycle amplitude  
 172 (SCA) is  $\pm 1.44\%$  and  $\pm 0.41\%$  in the Northern and Southern Hemisphere, respectively.  $X_{O_2}$   
 173 (0.2094) is the reference dry-air mole fraction of  $O_2$  used in the definition of the  $O_2$  scale of the  
 174 Scripps  $O_2$  Program (Keeling et al., 2020).  $\delta(O_2/N_2)$  is expressed in units of per meg, while  $CO_2$   
 175 is converted from ppm units to per meg units by subtracting a reference value of 350 ppm and  
 176 then dividing by  $X_{O_2}$ . APO observations are typically expressed in per meg units, but they can be  
 177 converted to ppm equivalent units by multiplying by  $X_{O_2}$ .

## 178 2.2 Atmospheric measurements

179 The APO-MIP1 (Stephens et al., 2025) required model output sampled to match a collection of  
 180 surface station, airborne, and shipboard observations, and also accepted optional output at  
 181 additional locations, at higher time resolution, and for full 3-D fields, as shown in Tables S1-2.  
 182 Here we evaluate model APO simulations using observation data collected at 10 surface stations,  
 183 on 10 airborne campaigns from three projects, and one repeated shipboard transect from 50  
 184 cruises. We show sampling locations, and horizontal flight and ship tracks in Fig. 1. We use  
 185 surface station APO measurements (2009 to 2018) from 10 sampling sites mainly in the Pacific  
 186 from the Scripps  $O_2$  Program surface flask network (Keeling & Manning, 2014; Manning &  
 187 Keeling, 2006). The airborne measurements (Stephens et al., 2021) were made on the NSF  
 188 NCAR GV aircraft during the HIAPER Pole-to-Pole Observation project from 2009 to 2011  
 189 (HIPPO, Wofsy, 2011) and the  $O_2/N_2$  Ratio and  $CO_2$  Airborne Southern Ocean Study in 2016  
 190 (ORCAS, Stephens et al., 2018), and from the NASA DC-8 aircraft during the Atmospheric  
 191 Tomography Mission from 2016-2018 (ATom, Thompson et al., 2022). Shipboard measurements

were made on transects crossing the Drake Passage by the NSF ARSV Laurence M. Gould from 2012-2017 (Stephens, 2025). Details of surface station, airborne, and shipboard APO measurements are provided in Appendix A.

As the primary focus of this study is the APO seasonal cycle and its latitudinal distribution, we remove interannual trends from the observational data. For surface station and airborne measurements, we remove the long-term trend by subtracting a deseasonalized cubic spline fit (smoothing parameter of 0.8) derived from the global mean APO time series using Scripps O<sub>2</sub> Program data following Hamme & Keeling (2008). For the ship data, we apply a similar detrending procedure but use only South Pole Observatory (SPO) data to derive the long-term trend.

### 2.3 Components of APO in the atmosphere and prescribed surface fluxes

APO exhibits seasonal variations primarily driven by air-sea exchange ( $F_{APO}^{ocn}$ ), which comprises three components: air-sea exchange of O<sub>2</sub> ( $F_{O_2}^{ocn}$ ), CO<sub>2</sub> ( $F_{CO_2}^{ocn}$ ), and N<sub>2</sub> ( $F_{N_2}^{ocn}$ ). Additionally, APO is influenced by fossil fuel emission of CO<sub>2</sub> ( $F_{CO_2}^{ff}$ ) and consumption of O<sub>2</sub> ( $F_{O_2}^{ff}$ ), which together combine to form a sink for APO due to fossil fuel burning ( $F_{APO}^{ff}$ ). Fluxes are defined as positive to the atmosphere.

In this study, we primarily simulate APO by performing forward transport of these individual flux components in ATMs, except one inverse model flux product that provides net  $F_{APO}^{ocn}$  directly. We combined these components to calculate the net atmospheric APO anomalies in units of per meg as

$$\delta APO = \delta APO^{ocn} + \delta APO^{ff}, \quad (3)$$

with

$$\delta APO^{ocn} = \frac{1}{X_{O_2}} \cdot \Delta O_2^{ocn} - \frac{1}{X_{N_2}} \cdot \Delta N_2^{ocn} + \frac{1.1}{X_{O_2}} \cdot \Delta CO_2^{ocn}, \quad (4)$$

215 and

$$\delta APO^{ff} = \frac{1}{X_{O_2}} \cdot \Delta O_2^{ff} + \frac{1.1}{X_{O_2}} \cdot \Delta CO_2^{ff}. \quad (5)$$

216 where  $\Delta O_2^{ocn}$ ,  $\Delta N_2^{ocn}$ ,  $\Delta CO_2^{ocn}$ ,  $\Delta O_2^{ff}$ , and  $\Delta CO_2^{ff}$  represents the atmospheric fields in units of  
 217 deviations in ppm of each flux component ( $F_{O_2}^{ocn}$ ,  $F_{CO_2}^{ocn}$ ,  $F_{N_2}^{ocn}$ ,  $F_{O_2}^{ff}$ , and  $F_{CO_2}^{ff}$ ) that is forward  
 218 transport in the ATMs (Stephens et al., 1998). The  $\delta$  sign denotes tracers in units of per meg.

219 We utilize three distinct ocean APO flux products: (1) the Jena product, which directly provides  
 220  $F_{APO}^{ocn}$  from an atmospheric APO inversion framework that assimilates surface station  
 221 measurements (Rödenbeck et al., 2008); 2) the CESM product, an Earth System Model  
 222 simulation with prognostic ocean biogeochemistry (Yeager et al., 2022; Long et al., 2021a) that  
 223 generates separate flux components ( $F_{O_2}^{ocn}$  and  $F_{CO_2}^{ocn}$ ); and 3) the DISS product, which provides  
 224 separate observation-based flux components incorporates surface ocean dissolved oxygen  
 225 measurements (Garcia & Keeling, 2001; Resplandy et al., 2016) and pCO<sub>2</sub> data (Jersild et al.,  
 226 2017; Landschützer et al., 2016).  $F_{N_2}^{ocn}$  for CESM and DISS is estimated by scaling ocean heat  
 227 fluxes from CESM and ERA-5, respectively, using the relationship of Keeling et al. (1993). For  
 228 fossil fuel contributions, we employ the OCO2MIP product for CO<sub>2</sub> emissions (Basu & Nassar,  
 229 2021) and the GridFED database for coupled O<sub>2</sub> and CO<sub>2</sub> fluxes from fossil fuel combustion  
 230 (Jones et al., 2021). Details of each product are provided in Appendix B. All flux fields were  
 231 linearly interpolated from their original temporal and spatial resolution to 1° longitude × 1°  
 232 latitude with daily temporal resolution from 1986 to 2020. When flux data were unavailable in  
 233 the earlier portion of this time period (Jena and OCO2MIP), we set the corresponding fluxes to  
 234 zero. Participating modelers were requested to simulate at least from 2009 to 2018, following  
 235 three years of spin up from 2006 to 2008, and optionally longer (Table 1). In addition to Jena,  
 236 which is simulated directly, we construct the two  $\Delta APO^{ocn}$  products using Eq. 4 and two  $\Delta APO^{ff}$   
 237 products using Eq. 5, as described in Appendix B. Fig. 2 illustrates the seasonal and latitudinal  
 238 flux patterns of these three ocean APO flux products and the fossil fuel APO flux from GridFed,  
 239 which serves as our primary fossil fuel flux dataset in this study.

## 240 2.4 Atmospheric tracer transport models

241 We simulate each component of APO in the atmosphere using the flux fields described in Section  
242 2.3, and eight ATMs (see Table 1). All tracer atmospheric fields are modeled as tracer deviations  
243 against an arbitrary background with concentrations in ppm dry air mole fraction (as for CO<sub>2</sub>).  
244 These tracer mole fractions are later converted to deviations in units of per meg after subtracting  
245 the model-specific arbitrary reference according to Eq. 4. We describe key model parameters and  
246 setups below.

### 247 2.4.1 CAM-SD

248 The Community Atmosphere Model (CAM) version 6.0 is the atmospheric component of  
249 CESM2 (Danabasoglu et al., 2020). The version used here is run online with specified dynamics  
250 (SD), wherein the model is constrained with MERRA-2 reanalysis, and uncoupled from the other  
251 climate system components. Temperature and horizontal winds ( $u$  and  $v$ ) are nudged to  
252 MERRA-2, 8 times per day, with a normalized strength coefficient of 0.25. Shallow convection  
253 is parameterized following the Cloud-Layers Unified by Binormals framework (CLUBB, Golaz  
254 et al., 2002), and deep convection is parameterized following Zhang & McFarlane (1995). CAM  
255 has not been used for tracer inversions, but has been evaluated extensively for its dynamical  
256 properties (e.g., Bailey et al., 2019; Kay et al., 2012)

### 257 2.4.2 CAMS\_LMDZ

258 CAMS\_LMDZ refers here to the offline transport model from the Atmospheric General  
259 Circulation Model of Laboratoire de Météorologie Dynamique, called LMDz. LMDz is the  
260 atmospheric component of the Earth System Model of Institut Pierre-Simon-Laplace (IPSL). It is  
261 also used to drive the offline model CAMS\_LMDz, in which case its horizontal winds are  
262 nudged to those of the ERA5 reanalysis wind fields (Hersbach et al., 2020). From the computer  
263 code of LMDz, CAMS-LMDz only keeps the transport subroutines for advection (Hourdin &  
264 Armengaud, 1999), deep convection (Emanuel, 1991), thermals (Rio & Hourdin, 2008), and  
265 boundary-layer turbulence (Hourdin et al., 2006). All other processes are replaced by an archive  
266 of relevant meteorological variables (air mass fluxes, exchange coefficients, temperature, etc.)  
267 built with the full LMDz model at the target spatial resolution, thereby allowing relatively small

268 computing time and resources for the offline model. LMDz ensures the physical consistency of  
269 the archive of meteorological variables. The meteorological variables are stored as 3-hourly  
270 averages. CAMS\_LMDZ has been regularly participating in OCO-2 MIP (Byrne et al., 2023)  
271 and TransCom intercomparison studies.

### 272 2.4.3 CTE\_TM5

273 TM5 is a tracer transport model used for simulating atmospheric trace gas chemistry and  
274 transport (Krol et al., 2005). We refer to it as CTE\_TM5 because the model was run with the  
275 CarbonTracker-Europe (CTE) shell, but this does not alter the TM5 physics and chemistry. TM5  
276 advection is computed using the slopes advection scheme (Russell & Lerner, 1981) and in this  
277 work it is driven by ERA-5 reanalysis wind fields, making it an offline model. The convection is  
278 computed from the convective entrainment and detrainment fluxes from the ERA-5 reanalysis.  
279 Free tropospheric diffusion is computed using the formulation by Louis (1979). Diffusion in the  
280 boundary layer is computed using the parametrization by Holtslag & Boville (1993), where the  
281 diurnal variability in the boundary layer height is computed using Vogelesang and Holtslag  
282 (1996). TM5 is widely used in inversions and regularly participates in MIPs, for different tracers  
283 at different model resolutions and driven with different wind reanalysis products (for example,  
284 Byrne et al., 2023; Friedlingstein et al., 2025; Gaubert et al., 2019; Krol et al., 2018).

### 285 2.4.4 TM3

286 TM3 (Heimann and Körner, 2003) is an offline atmospheric tracer transport model, in the present  
287 runs driven by meteorological fields from the NCEP reanalysis (Kalnay et al., 1996). It was run  
288 here on a spatial resolution of 5 degrees longitude, about 3.8 degrees latitude, and 19 vertical  
289 layers. The advection uses the slopes scheme (Russell and Lerner, 1981), which is the same as in  
290 TM5. Boundary layer mixing is parameterized according to Louis (1979). Vertical mixing due to  
291 sub-gridscale cumulus clouds is calculated using the mass flux scheme of Tiedke (1989). TM3 is  
292 the ATM used in Jena APO inversion (Rödenbeck et al., 2008), which is one of the flux products  
293 used in this study.

#### 294 2.4.5 MIROC4-ACTM

295 MIROC4-ACTM is a new generation Model for Interdisciplinary Research on Climate (MIROC,  
296 version 4.0; Watanabe et al., 2008) atmospheric general circulation model (AGCM)-based  
297 chemistry-transport model (ACTM; Patra et al., 2018) . This AGCM is evolved from the Center  
298 for Climate System Research, University of Tokyo (CCSR) / National Institute for  
299 Environmental Studies (NIES) / Frontier Research Center for Global Change, JAMSTEC  
300 (FRCGC) AGCM version 5.7b (Numaguti et al., 1997). The MIROC4 AGCM propagates only  
301 explicitly resolved gravity waves into the stratosphere through the implementation of a hybrid  
302 vertical coordinate system compared to its predecessor AGCM5.7b. The MIROC4 AGCM  
303 online-simulated horizontal winds and temperature are nudged to the Japanese 55-year  
304 Reanalysis (JRA-55) at 6-hourly time intervals (Kobayashi et al., 2015). MIROC4-ACTM  
305 produces “age-of-air” up to about 5 years in the tropical upper stratosphere ( $\sim 1$  hPa) and about 6  
306 years in the polar middle stratosphere ( $\sim 10$  hPa), in agreement with observational estimates. The  
307 convective transport and inter-hemispheric transport of tracers in the model are validated using  
308  $^{222}\text{Rn}$  and sulphur hexafluoride ( $\text{SF}_6$ ), respectively (Patra et al., 2018).

#### 309 2.4.6 NICAM-TM\_gl5 and NICAM-TM\_gl6

310 NICAM-TM is an atmospheric transport model based on the Nonhydrostatic Icosahedral  
311 Atmospheric Model (NICAM) (Niwa et al., 2011; Satoh et al., 2014). In this study, we used the  
312 offline mode of NICAM-TM, which uses air mass fluxes, vertical diffusion coefficients and  
313 other meteorological variables; those data are calculated in advance by an online calculation of  
314 NICAM, in which horizontal winds are nudged toward the JRA-55 data. In NICAM, the air mass  
315 fluxes are calculated consistently with the continuity equation while conserving tracer masses,  
316 which do not require any numerical mass fixing (Niwa et al., 2011). For APO-MIP1, two  
317 horizontal resolutions were used: “glevel-5” (gl5) and “glevel-6” (gl6), whose mean grid  
318 intervals are 223 and 112 km, respectively. The number of the vertical model layers is 40 and the  
319 top of the model domain is at approximately 45 km. The vertical diffusion coefficients are  
320 calculated with the MYNN (Mellor and Yamada, 1974; Nakanishi and Niino, 2004) Level 2  
321 scheme (Noda et al., 2010). The cumulus parameterization scheme used in NICAM-TM is

Chikira & Sugiyama (2010). Model performance for atmospheric constituent transport can be found in Niwa et al. (2011, 2012).

#### 2.4.7 NIES

NIES-TM-FLEXPART is a coupled transport model combining Eulerian (NIES-TM) and Lagrangian (FLEXPART) models. It is a transport modeling component of the variational flux inverse modeling system NIES-TM-FLEXPART-Variational (NTFVAR, Maksyutov et al., 2021). The NIES Transport Model (NIES-TM) is an offline model, originally developed in the 1990s (Maksyutov et al., 2008). In this study, the NIES-TM v.21 is used, which improves SF<sub>6</sub> transport and tropopause height over the former v.08.1 (Belikov et al., 2013), as evaluated in Krol et al. (2018), due to (a) using ERA5 hourly wind data, including vertical wind on model coordinates, on 137 model levels and a 0.625° grid for preparation of the 4-hourly average mass fluxes on 42 hybrid-pressure levels, (b) transporting first-order moments (Russell & Lerner, 1981; Van Leer, 1977) for advection, (c) applying penetrative convection rate and turbulent diffusivity supplied by the ERA5 reanalysis (Hersbach et al., 2020). The version v.21 is the same as used in the OCO-2 MIP (Byrne et al., 2023). NIES-TM is coupled with the Lagrangian model FLEXPART (Stohl et al., 2005) to provide refinement to the near field transport during the last 3 days prior to the observation event as presented by (Belikov et al., 2016). FLEXPART model v.8.0 is driven by 6-hourly JRA-55 winds, interpolated to 40 hybrid pressure levels and 1.25°x1.25° resolution. The surface flux footprints are produced by FLEXPART at 1°x1° resolution and daily time step.

Table 1. Participating ATMs and model parameters.

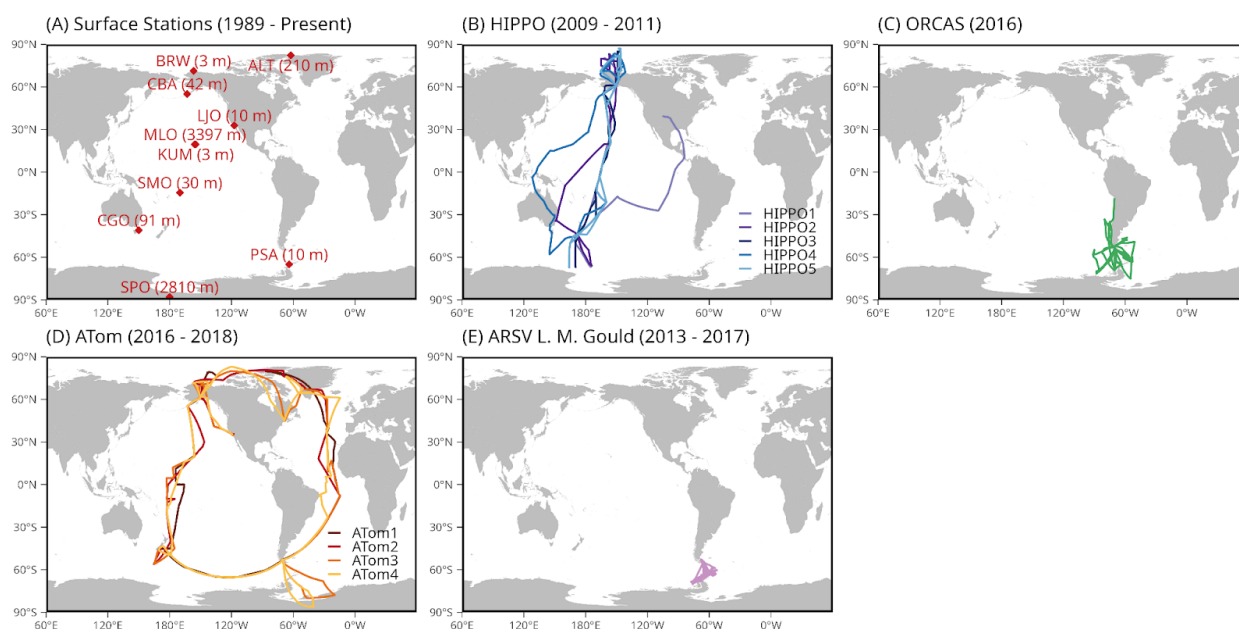
Abbreviation	Model System	Grid (latitude × longitude × levels)	Meteorology	Run start, valid period	Reference(s)
CAM-SD	Community Atmospheric Model	0.9° × 1.25° × 56	MERRA-2	1986, 1989-2019	Danabasoglu et al., 2020
CAMS_LMDZ	Copernicus	1.875° × 3.75° × 39	ERA5	1986,	Chevallier, 2013;

	Atmosphere Monitoring Service			1991-2020	Chevallier et al., 2005, 2010
CTE_TM5	CarbonTracker Europe	$1^{\circ} \times 1^{\circ} \times 25$	ERA5	2000, 2003-2020	Luijkx et al., 2017
Jena_TM3	TM3	$4^{\circ} \times 5^{\circ} \times 19$	NCEP	1986, 1989-2020	Heimann & Körner, 2003
MIROC4- ACTM	MIROC4- ACTM	$2.8^{\circ} \times 2.8^{\circ} \times 67$	JRA-55	1986, 1991-2020	Chandra et al., 2022; Patra et al., 2018
NICAM- TM_g15	NICAM-based Transport Model	$\sim 223 \text{ km} \times 40$	JRA-55	1986, 1989-2020	Niwa et al., 2011, 2017
NICAM- TM_g16		$\sim 112 \text{ km} \times 40$			
NIES	NIES-TM- FLEXPART	$3.75^{\circ} \times 3.75^{\circ} \times 42$ (NIES-TM); $1^{\circ} \times 1^{\circ} \times$ 40 (FLEXPART)	JRA-55	2000, 2003-2020	Belikov et al., 2016; Maksyutov et al., 2021

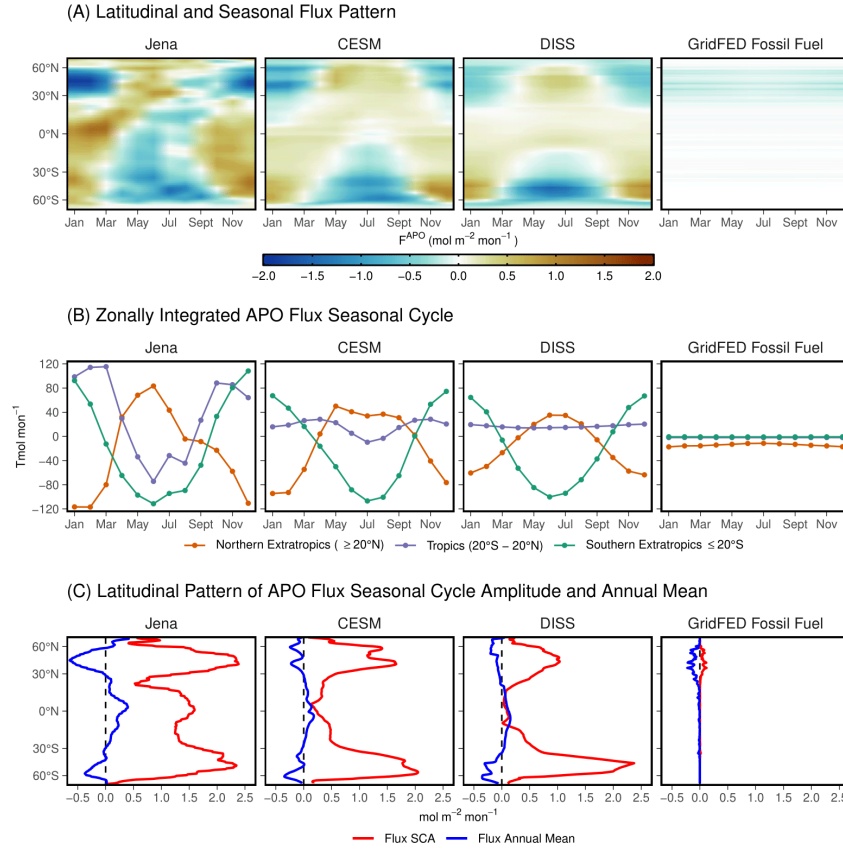
## 342 2.5 Outputs from transport models

343 For each ATM, we required simulations for all species sampled to match with the observation  
344 locations and times in a subset of the full ObsPack CO<sub>2</sub> files GLOBALVIEWplus v7.0 ObsPack  
345 (Schuldt et al., 2021), excluding the model spin-up period. This subset corresponds to existing  
346 APO observations that are analyzed in this study from Scripps O<sub>2</sub> Program surface stations, NSF  
347 NCAR airborne observations, and NSF NCAR and AIST/JMA shipboard programs. The full list  
348 of these records is in Table S1. We note that, while the HIPPO, ORCAS, ATom, and Gould  
349 ObsPack files contain CO<sub>2</sub> observations from different instruments, their 10-sec sampling times  
350 align with the NSF NCAR APO measurements, except during calibration periods for either  
351 instrument.

352 We also received optional output, which includes the full set of ObsPack files, 3-D atmospheric  
 353 fields, meteorological variables, additional ship data, and output at additional fixed sites (Table  
 354 S2). Further details are provided in the APO-MIP1 protocol available at Stephens et al. (2025).  
 355 We obtained output matching the full set of ObsPack files from four ATMs, which will be useful  
 356 for future network design. We obtained daily mean 3-D gridded concentration fields from six  
 357 ATMs. These fields support the calculation of diabatic mixing rates, which we use to evaluate  
 358 ATMs and the flux products, following the method of Jin et al. (2024). Details are in Section 3.2.  
 359 We also received hourly (from two versions of NICAM) or 3-hourly (from NIES) output for an  
 360 extensive list of sites with past or ongoing APO measurements, and co-located samples for ship  
 361 sampling programs of NIES VOS, AIST R/V Mirai, and UEA Cap San Lorenzo (Hamburg Süd)  
 362 from three models. These data are not analyzed in this study, but are made available at Stephens  
 363 et al. (2025).



365 Figure 1: Geographic distribution of APO observations used in this study: (A) Scripps O<sub>2</sub>  
 366 Program surface stations (red diamonds) with station codes and inlet elevation in meters above  
 367 sea level; (B) HIPPO (1 to 5) airborne campaign horizontal flight tracks covering the Pacific  
 368 Ocean; (C) ORCAS aircraft measurements concentrated in the Drake passage; (D) ATom (1 to 4)  
 369 airborne campaign horizontal flight tracks covering the Pacific and Atlantic Oceans; and (E)  
 370 Ship-based measurements from the RV *Laurence M. Gould* operating in the Drake passage.



371

372 Figure 2: Comparison of APO flux patterns from the three air-sea flux products (Jena, CESM,  
 373 and DISS) and fossil fuel emissions (GridFed), averaged from 2009 to 2018. (a) Hovmöller  
 374 diagrams showing the spatiotemporal distribution of APO fluxes ( $\text{mol m}^{-2} \text{mon}^{-1}$ ) as a function of  
 375 latitude and month. (b) Seasonal cycles of zonally integrated fluxes for three latitude bands:  
 376 Northern Extratropics ( $\geq 20^\circ\text{N}$ , orange), Tropics ( $20^\circ\text{S}-20^\circ\text{N}$ , lavender), and Southern  
 377 Extratropics ( $< 20^\circ\text{S}$ , green). (c) Latitudinal profiles of flux seasonal cycle amplitude (SCA, red)  
 378 and annual mean flux (blue). For the annual mean profiles (blue lines in panel C), only the  
 379 latitudinal gradients should be interpreted, as the global means may contain biases in the ocean  
 380 flux products, which are not the focus of this paper.

## 381 3. Results and discussion

### 382 3.1 APO model-observation comparisons at surface stations and along aircraft 383 flight tracks

#### 384 3.1.1 APO seasonal and latitudinal variations at surface stations

385 We show observations and model simulations of APO seasonal cycles at 10 surface stations of  
386 the Scripps O<sub>2</sub> program network in Fig. 3. We present annual mean values, seasonal cycle  
387 amplitudes (SCA), and phase from both observations and model simulations at these surface  
388 stations in Fig. 4, with model-observation differences shown as colors. Observations show clear  
389 meridional gradients in APO annual means (Fig. 5A), with higher values in the Southern  
390 Hemisphere than Northern Hemisphere, and a southern tropical “bulge” evident at SMO and in  
391 the airborne data centered on 15°S (Battle et al., 2006; Gruber et al., 2001; Stephens et al., 1998).  
392 The APO SCA shows higher values in the high latitudes of both hemispheres, with larger  
393 amplitudes in the Southern Hemisphere compared to the Northern Hemisphere, yet reaches its  
394 maximum at the northern mid-latitude station Cold Bay (CBA) (Fig. 4B). The seasonal phase  
395 exhibits an approximately 6-month difference between hemispheres, while remaining relatively  
396 uniform within each hemisphere (Fig. 4C).

397 The higher annual mean APO in the Southern Hemisphere and the southern tropical “bulge” is a  
398 result of southward O<sub>2</sub> and CO<sub>2</sub> transport by the oceans, further amplified by net APO uptake in  
399 the Northern Hemisphere from fossil fuel burning (Keeling & Manning, 2014; Stephens et al.,  
400 1998). The larger APO SCA in mid- to high-latitudes reflects more pronounced seasonal flux  
401 cycles resulting from larger marine net primary production (NPP) and sea surface temperature  
402 changes in these regions. The thermal and biological effects on APO SCA are further enhanced  
403 at eastern Pacific coastal sites (e.g., LJO and CBA), where the shallow marine boundary layer  
404 traps high-APO air masses during summer. The 180-days phase difference between the two  
405 hemispheres is a result of different seasonal heating and cooling, as well as the biological cycle.

### 406 3.1.2 Biases in APO-MIP1 simulations at surface stations

407 APO-MIP1 simulations of APO annual means and seasonal cycles at surface stations broadly  
408 agree with observations (Figs. 3-4). Simulations driven by CESM fluxes show the best  
409 agreement with observed APO features. For annual mean spatial patterns (Fig. 4A), CESM- and  
410 DISS-driven simulations show comparable performance in representing the southern tropical  
411 “bulge” and north-south gradient in annual means, while significantly outperforming simulations  
412 using the Jena flux model in northern stations. The main limitation of simulations using CESM  
413 fluxes is an overestimation of annual mean APO values across Pacific sites in the Southern  
414 Hemisphere, and an underestimation at LJO. Simulations using DISS fluxes also underestimate  
415 the annual mean APO at LJO.

416 APO SCA is well represented in simulations driven by CESM flux, but the SCA at LJO is  
417 significantly underestimated in all ATMs except CAM-SD. The underestimation is caused by an  
418 overly weak summer-time APO peak (Fig. 3), which also leads to the small annual mean  
419 presented above. Simulations using DISS flux generally underestimate SCA, especially in the  
420 high latitudes. Simulations using Jena flux, however, generally overestimate the SCA in the mid-  
421 to high-latitudes. We find largest SCA biases and cross-ATMs spread at LJO and CBA when  
422 using the Jena flux. The biases and model spread are closely related to underrepresentation in  
423 ATMs, and will be discussed in the next section. We note that the model biases and spread  
424 observed at surface stations are smaller than those reported in the previous TransCom-O<sub>2</sub>  
425 experiment (Blaine, 2005), indicating improved atmospheric transport modeling.

426 Phase simulations using CESM flux are consistent with observations at most stations, except at  
427 two northern low-latitude stations, KUM and MLO, where we find too late seasonal minimum  
428 day by up to two weeks. Simulations using DISS flux show even larger biases, with earlier  
429 seasonal minimum days at all southern and northern low-latitude stations.

### 430 3.1.3 Impact of ATM mixing biases

431 We find APO-MIP1 simulations have large model spread and biases at two northern  
432 mid-latitudes stations, LJO and CBA (Fig. 3), especially simulations using Jena fluxes. We note  
433 that the interdependence of transport models and fluxes in inversions can be seen for the Jena

flux product simulations at LJO (Figs. 3-4). As expected, we see good agreement with observations for the Jena flux product transported by the same model used in the Jena APO inversion (Jena\_TM3). However, all other ATMs overestimate summertime APO, and consequently SCA, for the Jena flux product at LJO, CBA, and BRW. All other ATMs also simulate too negative wintertime APO at LJO. These biases suggest a stronger regional APO source in the Jena flux product that could have resulted from too rapid dilution of surface flux signals at LJO in both summer and winter.

Surface station simulations using CESM flux (Figs. 3-4) also reveal elevated model spread and observation deviations at LJO and CBA. At LJO, all ATMs underestimate summertime APO, and consequently SCA, implying too weak upwind outgassing fluxes. The relative magnitude of simulated summer-time peaks for CESM at LJO and CBA maintains a consistent pattern across different flux products, with CAM-SD consistently showing the highest values and Jena\_TM3 the lowest, regardless of the flux product used, suggesting consistent biases in the ATMs.

This substantial cross-ATMs variability highlights the challenges in accurately representing complex atmospheric vertical transport processes in regions where strong temperature inversions and stratocumulus clouds significantly influence vertical mixing (Naegler et al., 2007; Nevison et al., 2008). The Jena flux product, derived from an inversion that assimilates these station data, relies on the TM3 tracer transport model (Rödenbeck et al., 2008). Previous studies indicate that TM3 consistently overestimates vertical mixing over the Eastern Pacific, leading to larger inverted seasonal fluxes to match station observations (Jin et al., 2023; Naegler et al., 2007). Our analysis suggests that in comparison to Jena\_TM3, vertical mixing is weaker in the two versions of NICAM, CAM-SD, MIROC4-ACTM, and CTE\_TM5, which show larger summer-time APO anomalies at LJO and CBA. This pattern is consistent across the three flux products considered.

The larger model spread at northern coastal sites (e.g., LJO, CBA, and BRW) also highlights the limitations of current coarse-resolution ATMs in representing horizontal coastal flows and land-sea breezes. At LJO, samples are collected only during steady west wind (from the ocean) conditions (Keeling et al., 1998). However, ATMs failed to capture the actual small-scale atmospheric conditions associated with on-shore winds during episodic storm systems, which leads to significant underestimation of oceanic influence (Keeling et al., 1998). APO, as a tracer

of air-sea gas exchange, is particularly sensitive to the dilution effects in coarse-resolution models.

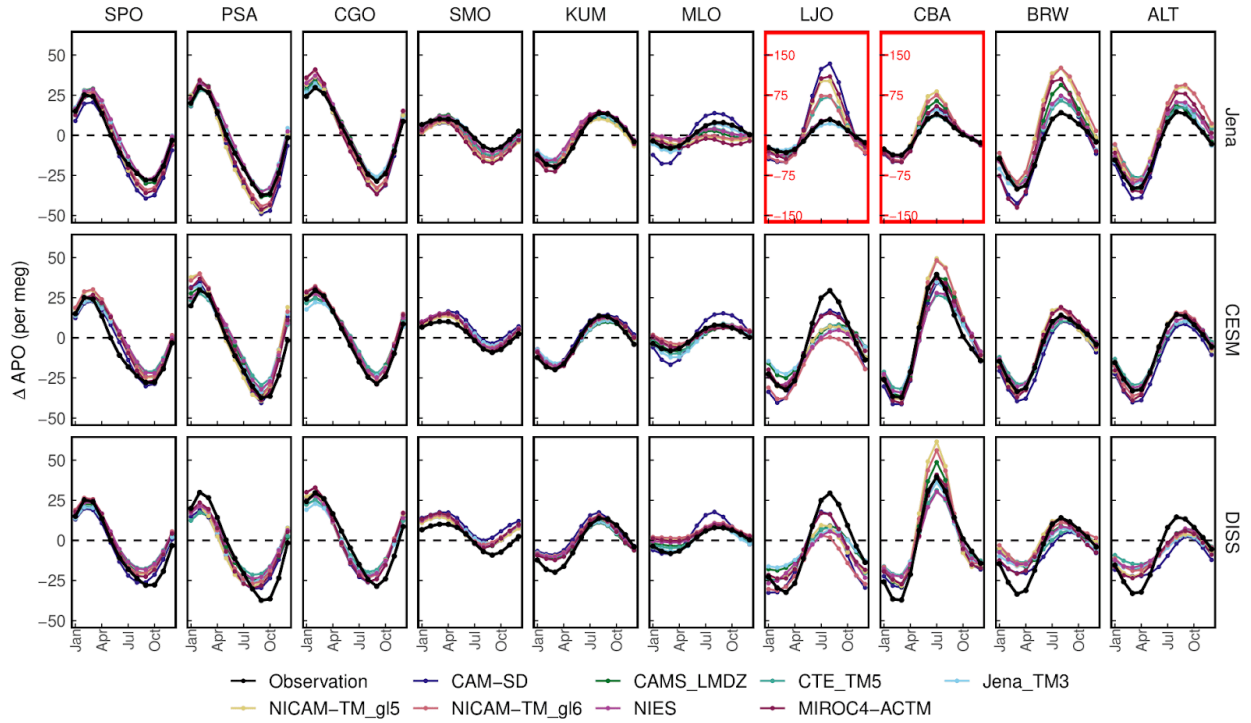
#### 3.1.4 APO seasonal and latitudinal variations along flight tracks and biases in APO-MIP1

We present zonal averages of APO annual means, SCA, and seasonal minimum days derived from airborne data, grouped into 10-degree latitude and 100-mbar bands in Fig. 5A-C (full seasonal cycles in Fig. S1). We further calculate these three metrics as column-average (black) and at 900-mbar (blue) in Fig. 5D-F, where we also compare them with surface station data (shown as red points). The airborne data show patterns similar to those seen at surface stations but provide detailed vertical structures. The vertical profiles consistently show larger SCA at low altitudes, indicating that the main drivers of SCA are near the surface, while annual means and seasonal phases remain uniform across altitudes. Airborne column averages show increasing SCA and decreasing annual means from low to high latitudes, with similar SCA and annual mean values north of 50°N (Fig. 5D-E), whereas station observations show peaks in the mid-latitudes (LJO and CBA) due to high-APO air masses being trapped below the summer marine boundary layer. This trapping effect is also evident in airborne data interpolated to 900-mbar.

We also calculate APO annual means, SCA, and phases using aircraft simulations from APO-MIP1 (full seasonal cycles in Fig. S1) and compare simulated and observed column averages (1000-400 mbar average) in Fig. 6, with biases in column averages and vertical profiles shown in Figs. S2 and S3-5, respectively. Airborne observation-model comparisons complement those using surface station data. We find similar model biases to those seen in surface data, for example, larger SCA at northern high latitudes with the Jena flux product and smaller SCA at high latitudes with the DISS flux product. The airborne data also reveal three key biases that are not resolved at surface stations. Observations suggest a consistent near-zero annual mean APO in the Southern Hemisphere (south of 30°S), with a spike between 40° and 50°S. However, all three flux products show gradually decreasing annual mean APO south of 30°S, with CESM and DISS flux products showing a smaller spike in magnitude between 40° and 50°S. Simulations using CESM and DISS flux products show larger annual mean values in the northern mid-latitudes (40° - 60°N). Additionally, simulations using the Jena flux product in the low northern latitudes show

492 a seasonal minimum day similar to the Southern Hemisphere phase. This bias is caused by  
493 low-latitude flux features in the Jena inversion that largely replicate the Southern Hemisphere  
494 cycle, likely due to limited observational constraints in this region (Jin et al., 2023).

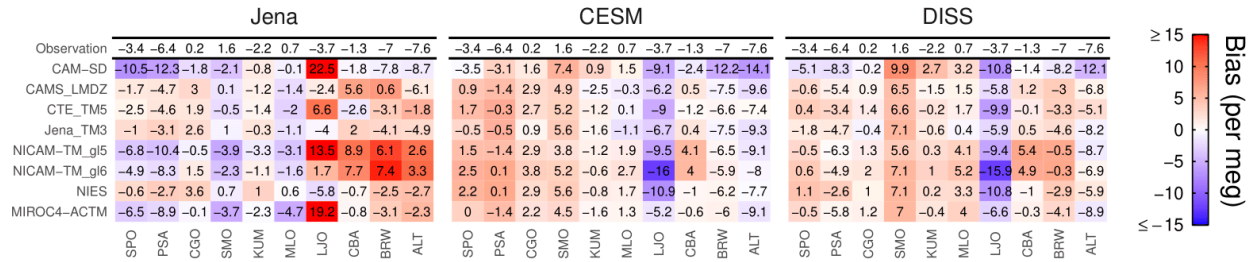
495 Our analysis demonstrates that global airborne measurements provide distinct advantages over  
496 station data for evaluating large-scale flux patterns due to the reduced sensitivity of column  
497 averages to boundary-layer ATM transport uncertainties. While surface stations show substantial  
498 cross-model spread in simulated APO (Figs. 3-4), column-averaged airborne simulations (Fig. 6)  
499 reveal remarkable consistency across ATMs when driven by the same flux product. This  
500 consistency suggests that column-averaged measurements effectively integrate over local  
501 transport features that often dominate surface observations. Here we establish CESM as the most  
502 realistic flux product among the three products. The better agreement between observations and  
503 CESM-driven simulations provides a more reliable baseline for isolating and quantifying  
504 transport-related discrepancies in individual ATMs.



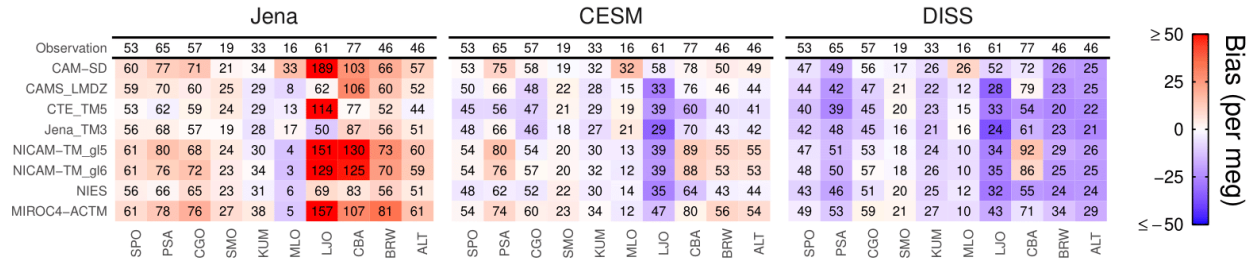
505

506 Figure 3: Comparison of simulated and observed APO seasonal cycles at 10 surface stations  
 507 (Fig. 1A), organized from southern high-latitudes (left) to northern high-latitudes (right). In each  
 508 panel, the black line represents observations, while colored lines show simulations from different  
 509 transport models. Each row of panels corresponds to the three different flux products (Jena,  
 510 CESM, and DISS). In each panel, the y-axis shows APO anomalies in per meg units, and the  
 511 x-axis shows months from January to December. We note that, for LJO and CBA simulations  
 512 using the Jena fluxes, a different y-axis range (three times larger) is used compared to the other  
 513 panels. Observations and model simulations at each station are first detrended using a  
 514 multiple-station weighted average trend. We calculate monthly mean seasonal APO from 2009 to  
 515 2018 for both observations and model simulations.

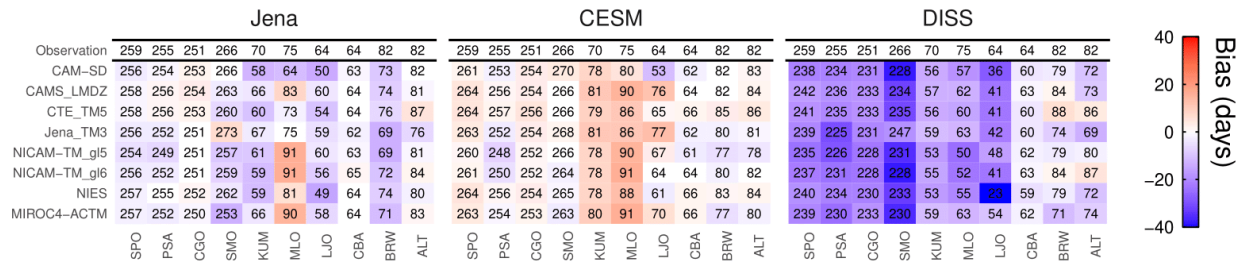
(A) APO Annual Mean (per meg)



(B) APO Seasonal Cycle Amplitude (per meg)



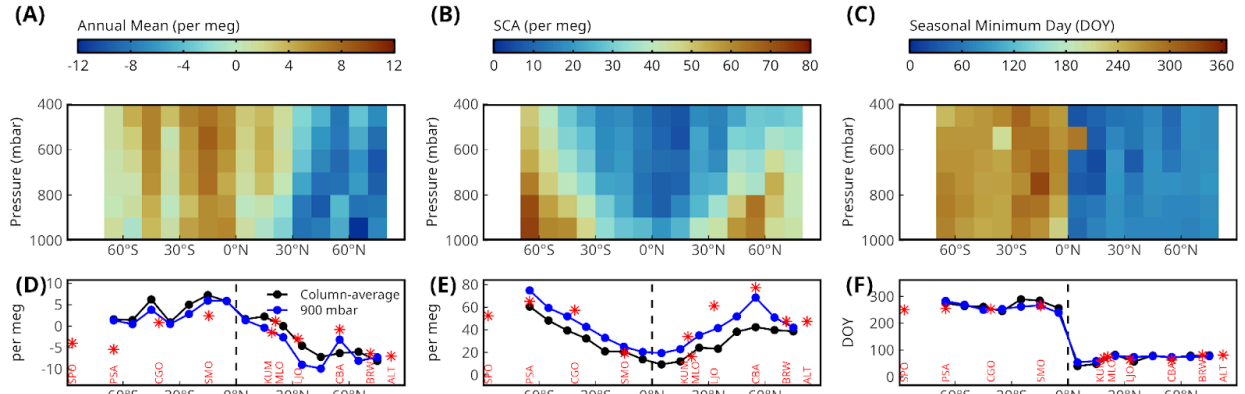
(C) APO Seasonal Minimum Day



516

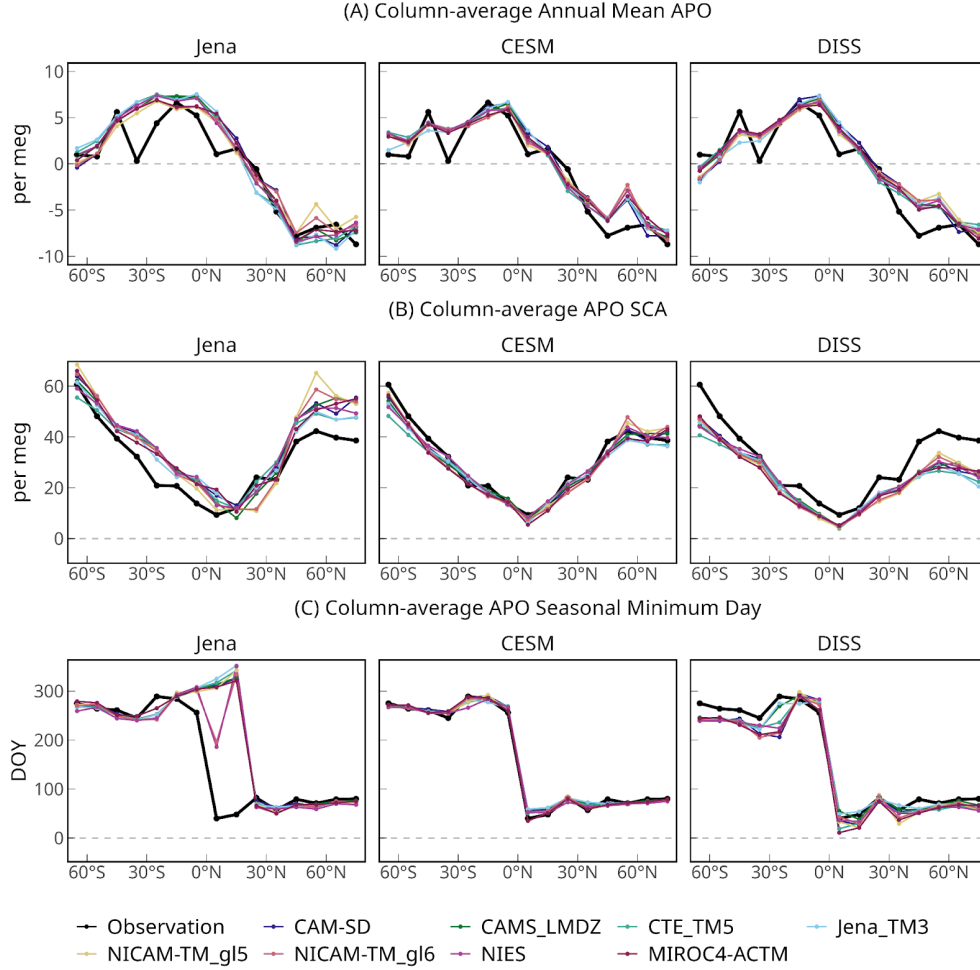
517 Figure 4: Evaluation of APO (A) annual mean relative to a multi-station global mean, (B)  
 518 seasonal cycle amplitude, and (C) seasonal minimum day across surface stations using different  
 519 flux-transport model combinations. For each panel, results are organized by flux products  
 520 (JENA, CESM, DISS) in columns and transport models in rows, with observations on the top.  
 521 The metrics are printed in black, with background colors indicating biases relative to  
 522 observations. Positive bias is shown in red, and negative bias is shown in blue. Stations from left  
 523 to right are organized by latitudes from south to north.

524



525

526 Figure 5: APO annual means (A and D), SCA (B and E), and seasonal minimum day (C and F)  
 527 derived from airborne observations. In A-C, we show latitude-pressure distributions, with data  
 528 binned into 10 deg latitude by 100-mbar pressure boxes. In D-F, we show 1000-400 mbar  
 529 column-averaged (black) and 900-mbar interpolated (blue) values, and also surface station  
 530 observations (2009 to 2018). Annual mean is derived from a two-harmonic fit with constant  
 531 offset, where the global multi-station trend has been subtracted to detrend the airborne  
 532 observations and center the values around zero globally. SCA is calculated as the peak-to-trough  
 533 amplitude of the two-harmonic fit, and seasonal minimum day is calculated as the day of  
 534 seasonal trough of the two-harmonic fit.



535

536 Figure 6: Comparison of column-average (1000-400 mbar) APO features across latitude from  
 537 aircraft observations and model simulations using three different flux products (Jena, CESM, and  
 538 DISS). The figure is organized into three sets of panels showing (A) annual mean APO relative  
 539 to a multi-station global mean, (B) SCA, and (C) seasonal minimum day. For each feature, we  
 540 show latitudinal distributions of observations (black lines) and model simulations (colored lines).  
 541 We note that the global mean value has been subtracted from the annual mean values (A) at each  
 542 latitude to highlight spatial patterns. We show the column-average (400-1000 mbar) seasonal  
 543 cycles of observed and simulated APO for each 10° latitude band in Fig. S1.

### 544 3.2. Evaluation of diabatic mixing rates diagnosed from transport models

545 In this section, we evaluate the mixing timescale across mid-latitude moist isentropes of each  
 546 ATM using the framework developed in Jin et al. (2024). This framework was applied to identify

biases in four ATMs in the mid-latitude Southern Hemisphere using two independent constraints: (1) diagnosed diabatic mixing rates, and (2) cross-isentrope  $\text{CO}_2$  gradients. Here we extend the framework to use APO gradients, to include two more reanalysis products, and the analysis in the Northern Hemisphere. We evaluate six of the eight ATMs participating in APO-MIP1 that provide 3-D atmospheric fields (CAM-SD, CTE\_TM5, Jena\_TM3, NICAM-TM\_gl5, NICAM-TM\_gl6, and MIROC4-ACTM), which are required to diagnose diabatic mixing rates. Diabatic mixing rates and APO gradients are diagnosed based on the mass-indexed isentropic coordinate  $M_{\theta_e}$ , which was first introduced by Jin et al. (2021). For each pair of transport models and flux products, we resolve cross- $M_{\theta_e}$  diabatic mixing rates and cross- $M_{\theta_e}$  APO gradients in the mid-latitudes of both hemispheres. We use observation-based diabatic mixing constraints diagnosed from four meteorological reanalyses, and observed APO gradient constraints calculated from three airborne campaigns. The detailed methodology for calculating  $M_{\theta_e}$  surfaces, diabatic mixing rates, and cross- $M_{\theta_e}$  APO gradients is provided in Appendix C.

We show the climatological monthly mean diabatic mixing rates of two  $M_{\theta_e}$  surfaces in the Southern Hemisphere in Fig. 7, as well as schematics of the geographic distribution of the two  $M_{\theta_e}$  surfaces. For each ATM, mixing rates in Fig. 7 are calculated from APO and averaged over three realizations diagnosed from using three flux products. The reanalysis mixing rates are calculated from moist static energy (MSE) budget and shown as average and  $1\sigma$  spread over the four reanalysis products. The six ATMs and the reanalyses show diabatic mixing rates with clear seasonal cycles, suggesting more rapid mixing across isentropes in the austral winter than summer. ATMs generally overestimate diabatic mixing rates, especially in the summer and winter, when there are large cross- $M_{\theta_e}$  APO gradients that lead to well-defined mixing rates. Among the six ATMs, CTE\_TM5 and Jena\_TM3 show too rapid mixing that is biased high in all seasons. The other four ATMs align better with reanalysis, but still show significant overestimation for most of the year. MIROC4-ACTM shows the best performance. These findings align with Jin et al. (2024), which previously identified that the southern hemisphere summer-time mixing rates are overestimated in ATMs used for  $\text{CO}_2$  inversions, with consistent results for the three ATMs (MIROC4-ACTM, Jena\_TM3, and CTE\_TM5) being used in both studies.

576 We find that biases in diagnosed diabatic mixing rates correlate with biases in cross- $M_{\theta_e}$  APO  
 577 gradients in each season, with stronger diabatic mixing leading to smaller APO gradients (Fig.  
 578 8). Fig. 8 shows the ATM-diagnosed diabatic mixing rates and simulated APO gradients (points)  
 579 across six transport models and three flux products at two  $M_{\theta_e}$  surfaces ( $30$  and  $45 \times 10^{16}$  kg  $M_{\theta_e}$ )  
 580 for three selected 2-month periods in the Southern Hemisphere. The points suggest clear linear  
 581 relationships between diagnosed mixing rates and simulated APO gradients for each flux product  
 582 (shown as fit lines for each flux product). The linear relationships persist across all seasons and  
 583  $M_{\theta_e}$  surfaces, though with varying slopes depending on the underlying fluxes (Fig. 8). ATMs  
 584 generally underestimate cross- $M_{\theta_e}$  absolute APO gradients (i.e., a closer to zero gradient) at both  
 585  $M_{\theta_e}$  surfaces, corresponding to the overestimation of diabatic mixing rates in these models. For  
 586 each flux product, biases in cross- $M_{\theta_e}$  APO gradients are always larger in fast mixing ATMs  
 587 (e.g., Jena\_TM3 and CTE\_TM5) compared to slow mixing ATMs (e.g., two versions of  
 588 NICAM-TM, MIROC4-ACTM, and CAM-SD), with MIROC4-ACTM showing the best  
 589 agreement. For each transport model, the simulated gradient shows clear spread across different  
 590 flux products. The largest spread occurs in austral winter and spring (Fig. 8C-D), when  
 591 simulations with the DISS fluxes show much larger gradients compared to CESM or Jena fluxes.  
 592 We note that the direct comparison of simulated and observed gradients for individual models is  
 593 complicated by the interplay of ATM biases and flux product biases.

594 To evaluate flux products independently of transport model biases, we leverage both diabatic  
 595 mixing rates and APO gradients. For each flux product, the intersection between the mixing  
 596 rate-gradient linear fit and the MSE-diagnosed mixing rate indicates the expected APO gradient  
 597 with realistic mixing characteristics. Therefore, we can evaluate large-scale flux features in the  
 598 flux products by comparing this expected gradient to the observed gradient. Our analysis in Fig.  
 599 8 suggests that CESM is the most realistic flux product in the mid-latitude Southern Hemisphere  
 600 in all seasons. The expected CESM gradients (intersections of thin blue line and vertical gray  
 601 band) fall within the observation uncertainty range in all seasons and surfaces except austral  
 602 summer at the  $30 \times 10^{16}$  kg  $M_{\theta_e}$  surface (Fig. 8A), which suggests a slight underestimation of  
 603 uptake in the CESM product. The expected gradients of the Jena flux product also generally fall  
 604 within the observation uncertainty range, but shows an even larger underestimation in Fig. 8A.  
 605 The expected gradients of the DISS flux product have large biases in the mid-latitude Southern  
 606 Hemisphere. The expected gradient is significantly larger in the austral winter (Fig. 8C-D), and

significantly smaller at the  $30 \times 10^{16}$  kg  $M_{0e}$  surface in austral summer (Fig. 8A) and austral spring (Fig. 8E), suggesting seasonal biases in the flux pattern.

Biases in expected gradients relative to observed gradients result from errors in the magnitude and spatial distribution of air-sea APO flux, specifically the difference in flux magnitudes between regions north and south of the target  $M_{0e}$  surface. For instance, a positive expected gradient bias during austral summer at the  $30 \times 10^{16}$  kg  $M_{0e}$  surface (Fig. 8A) in the DISS product could stem from underestimated outgassing in high southern latitudes, excessive outgassing in lower latitudes, or both. In addition, a flux product could produce realistic expected gradients despite underestimating absolute fluxes both north and south of the  $M_{0e}$  surface if the difference remains correct. Resolving these inherent ambiguities requires additional observational constraints from surface stations, ships, and aircraft, which we addressed in Section 3.1.

While the focus of Jin et al. (2024) was on the mid-latitude Southern Hemisphere, we extend our analysis of the mid-latitude diabatic mixing rates to the Northern Hemisphere at the  $45 \times 10^{16}$  kg  $M_{0e}$  surface (Fig. 9). ATMs also generally overestimate diabatic mixing rates in the Northern Hemisphere, except during summer (JJA). Whereas MSE-diagnosed mixing rates peak in northern summer, ATM-diagnosed mixing rates have their seasonal minimum at this time. We note that APO gradients in ATMs are close to zero during JJA, leading to poorly defined diabatic mixing rates. We carry out the same transport model and flux product analyses in the Northern Hemisphere in January to March (Fig. 10A) and August to October (Fig. 10B). MIROC4-ACTM still demonstrates the closest agreement with reanalysis data in both seasons, and CTE\_TM5 shows the largest mixing rate bias. We note that TM3 and TM5 are based on similar parameterization schemes, but TM3 outperforms TM5. In both seasons, the expected gradients inferred from CESM flux align with the airborne observations, while Jena and DISS overestimate and underestimate expected gradients, respectively.

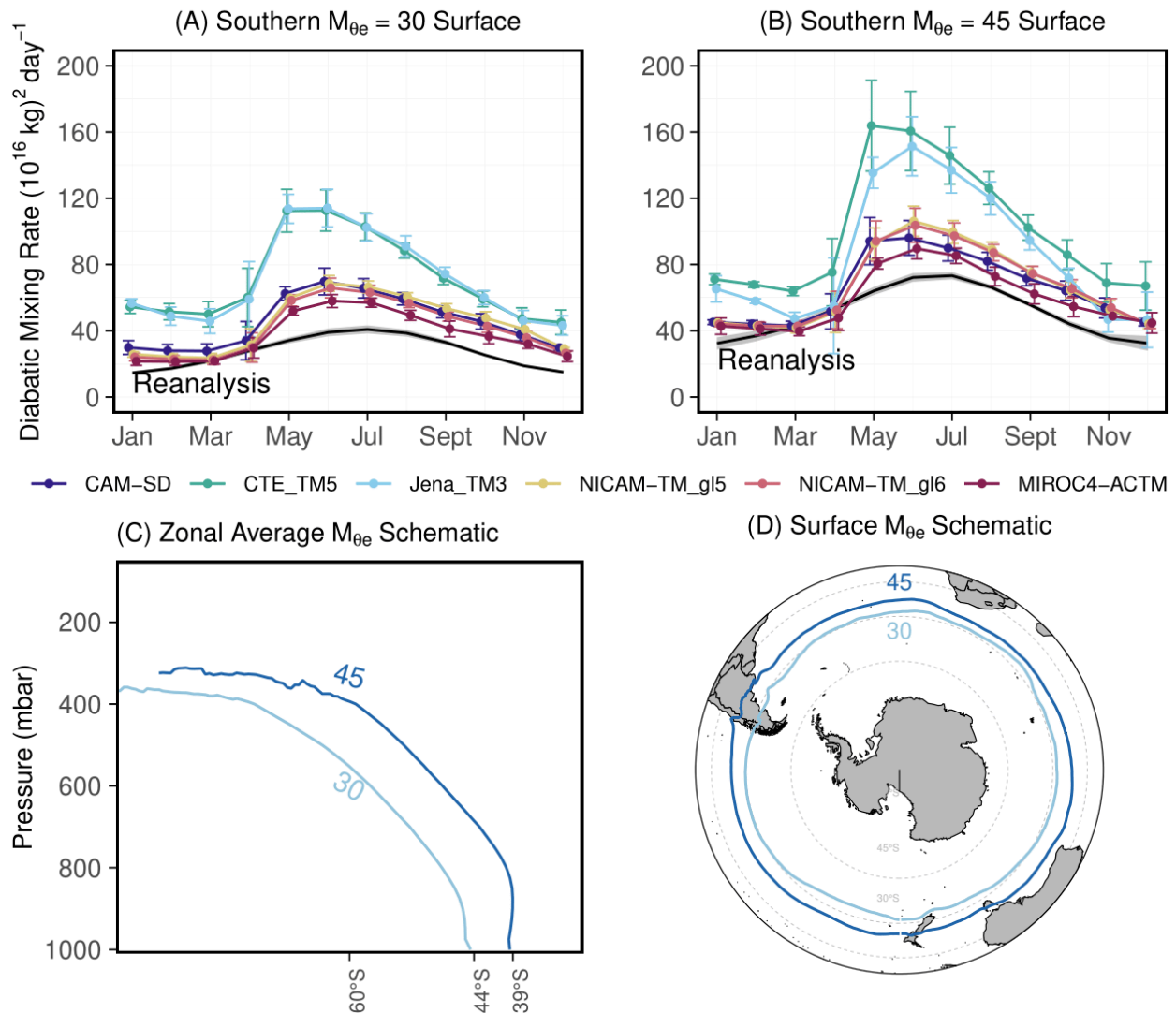
Our attempt to diagnose mixing rates in ATMs in the Northern Hemisphere mid-latitudes using ocean tracers alone is partly limited by the predominantly land surface. We find both summer and winter peaks in seasonal diabatic mixing rates in the northern mid-latitudes, driven by strong convection. Over land, convection peaks in summer due to strong surface heating that creates unstable atmospheric conditions. Over the ocean, however, convection peaks in winter due to larger air-sea temperature differences. Our ATM-diagnosed mixing rates in the Northern

Hemisphere may not capture the summer peak because atmospheric mixing processes over land may not be adequately reflected in transport of air-sea APO flux signals, which occurs initially over the ocean. This limitation is particularly significant in the Northern Hemisphere, where zonal mixing is slower (2-4 weeks) due to topographic blocking and stationary wave patterns. We plan to diagnose the land and ocean contrast in atmospheric diabatic mixing in the next APO-MIP1 by also forward transporting land tracers (e.g., CO<sub>2</sub> sources/sinks from the land biosphere). Our method is more robust in the Southern Hemisphere mid-latitudes due to faster zonal mixing (1-2 weeks) and the predominantly ocean surface. We also note that the distinct thermal capacities of land and ocean in the Northern Hemisphere create more complex surface M<sub>0e</sub> outcrops with larger latitudinal shifts across seasons (Jin et al., 2021), as shown in Fig. 9C. We, however, account for these shifts in our analysis.

Our analysis reveals that the ATM-diagnosed diabatic mixing rate primarily reflects an intrinsic characteristic of the transport model, at least in the Southern Hemisphere, showing little sensitivity to the underlying flux pattern, tracers, and land-ocean differences, particularly in models with smaller mixing rates (i.e., two versions of NICAM-TM, MIROC4-ACTM, and CAM-SD). These four models demonstrate consistent mixing rates across different flux products (Figs. 8 and 10). This consistency is further supported by our analysis of diagnosed mixing rates for individual APO components ( $\Delta O_2^{ocn}$ ,  $\Delta N_2^{ocn}$ ,  $\Delta CO_2^{ocn}$ ,  $\Delta O_2^{ff}$ , and  $\Delta CO_2^{ff}$ ) transported by ATMs with smaller mixing rates, which yields similar mixing rates despite these tracers having distinct signs, seasonal patterns, and magnitudes (Fig. S6). However, ATMs with faster mixing rate (e.g., Jena\_TM3 and CTE\_TM5) show large variability both across flux products (Fig. 9-10) and across tracers (Fig. S6). Notably, these two models exhibit approximately 50% slower diagnosed mixing rates for the fossil fuel CO<sub>2</sub> tracer ( $\Delta CO_2^{ff}$ ) compared to the other ocean flux tracers in the austral summer at the  $30 \times 10^{16}$  kg M<sub>0e</sub> surface. We note that the fossil fuel CO<sub>2</sub> tracer has its main source in the Northern Hemisphere, and its mixing at the mid-latitude Southern Hemisphere preferentially occurs in the upper troposphere. In contrast, the air-sea flux tracers have significant sources/sinks over the Southern Ocean with rapid cross-isentrope mixing preferentially in the lower troposphere. This behavior suggests that these models simulate distinctly different mixing patterns between the planetary boundary layer (0-2 km) and the free troposphere. Specifically, these models appear to have excessive vertical mixing in the boundary

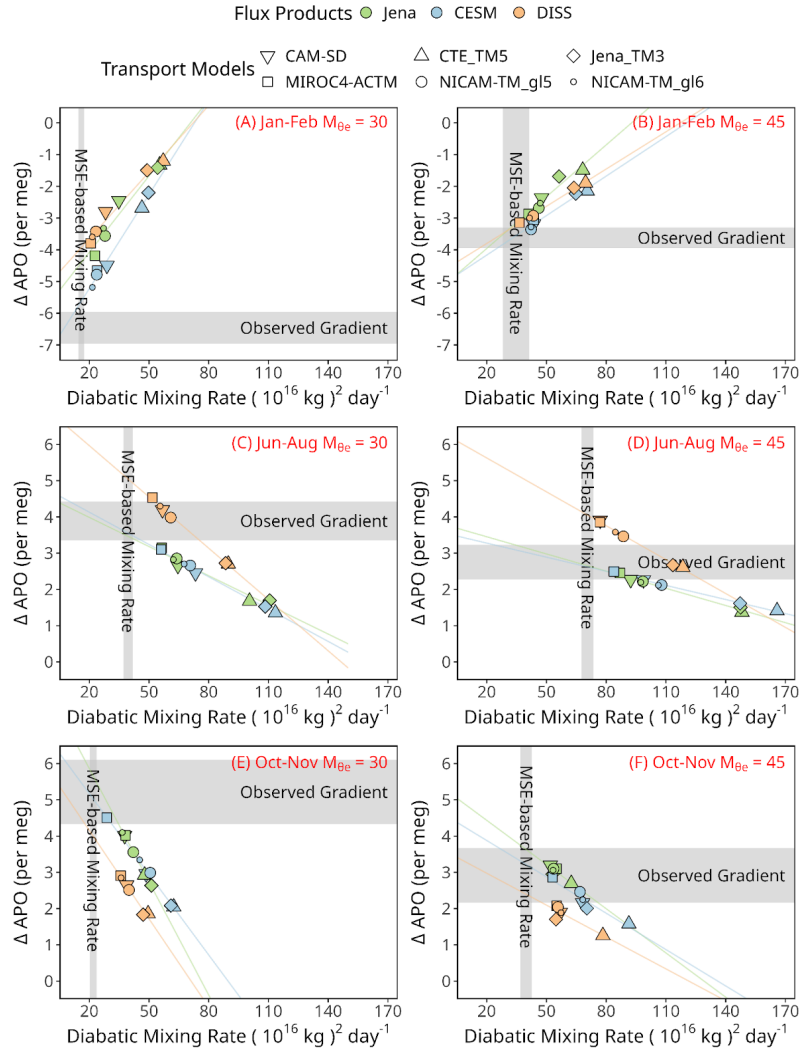
667 layer while maintaining more realistic transport in the free troposphere. Our method, however,  
668 assumes a constant cross- $M_{0e}$  diabatic mixing rate over the entire  $M_{0e}$  surface. The excessive  
669 boundary layer mixing causes the diagnosed mixing rates in these models to be overly sensitive  
670 to the specific vertical distribution of air-sea APO flux components.

671 Our evaluation of ATMs using simulations from APO-MIP1 advances the original framework of  
672 Jin et al. (2024) in two key aspects. First, we expand the experimental design by increasing the  
673 number of participating ATMs to six and employing three different flux fields with each ATM,  
674 generating 18 model realizations. This comprehensive matrix of simulations enables a more  
675 systematic evaluation of both transport and flux-related biases. We demonstrate how atmospheric  
676 tracer observations can be leveraged to independently evaluate and distinguish between biases in  
677 surface fluxes and atmospheric transport models. Second, we enhance the robustness of our  
678 MSE-diagnosed mixing rate calculations by incorporating two additional reanalysis products and  
679 computing mixing rates at the native high resolution of each reanalysis, rather than averaging to  
680 a coarser grid before the calculation. One limitation in our method is that we only use  $M_{0e}$   
681 calculated from MERRA-2 for each of the transport models rather than using  $M_{0e}$  calculated  
682 from the individual transport model, which in principle can be done by interpolating the  
683 temperature and humidity from parent reanalysis to the ATM grid. This limitation would lead to  
684 slight inconsistency between the actual  $M_{0e}$  in the model and the value we assigned to it.  
685 However, the differences between  $M_{0e}$  calculated from different reanalyses remain small and our  
686 method ensures consistency in geography of each  $M_{0e}$  surface (Jin et al., 2021).



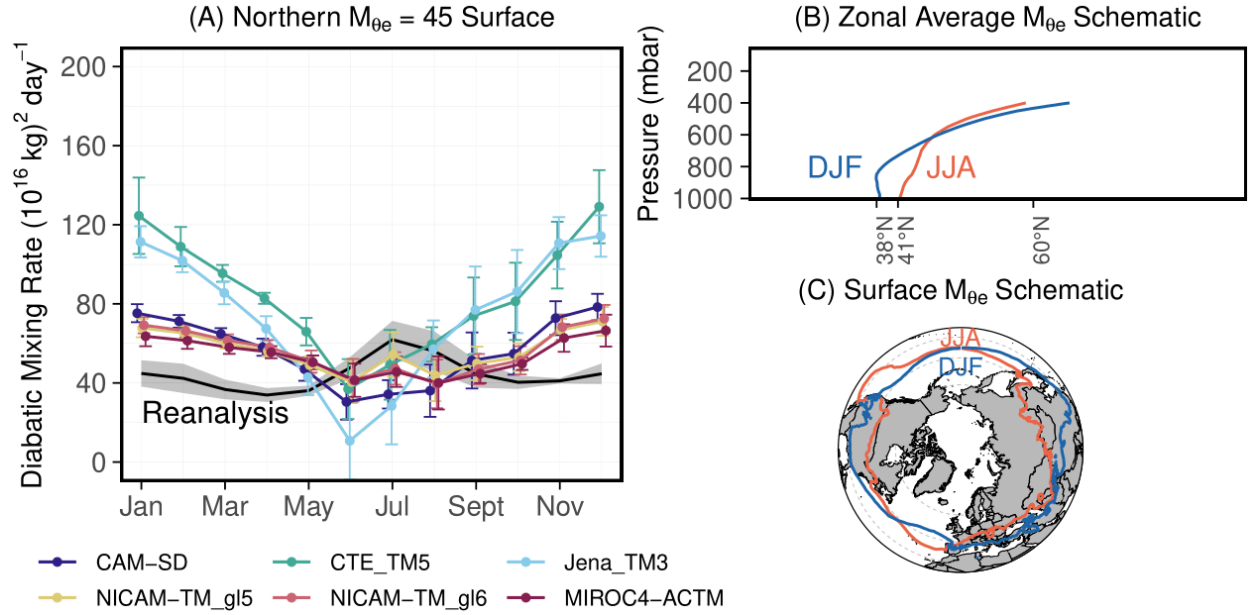
687

688 Figure 7: Climatological monthly diabatic mixing rates across the (A) 30 and (B) 45 ( $10^{16} \text{ kg}$ )  
 689  $M_{\theta e}$  surfaces in the Southern Hemisphere. ATM-diagnosed mixing rates are derived from six  
 690 ATMs in APO-MIP1 that provide 3-D APO fields. Error bars represent the  $1\sigma$  spread across the  
 691 30 and  $45 \times 10^{16} \text{ kg } M_{\theta e}$  of three flux products used here. Black lines represent MSE-diagnosed  
 692 mixing rates as the average of four reanalysis MSE budgets, while the gray shaded regions  
 693 represent the 1-sigma spread. (C) Schematic showing latitude-pressure distribution of  
 694 troposphere zonal annual average  $M_{\theta e}$ , and (D) annual average near-surface  $M_{\theta e}$  contours of the  
 695 30 and 45 ( $10^{16} \text{ kg}$ ) surfaces, computed from MERRA-2 reanalysis for the year 2009. These two  
 696  $M_{\theta e}$  surfaces have very small seasonal meridional variability.



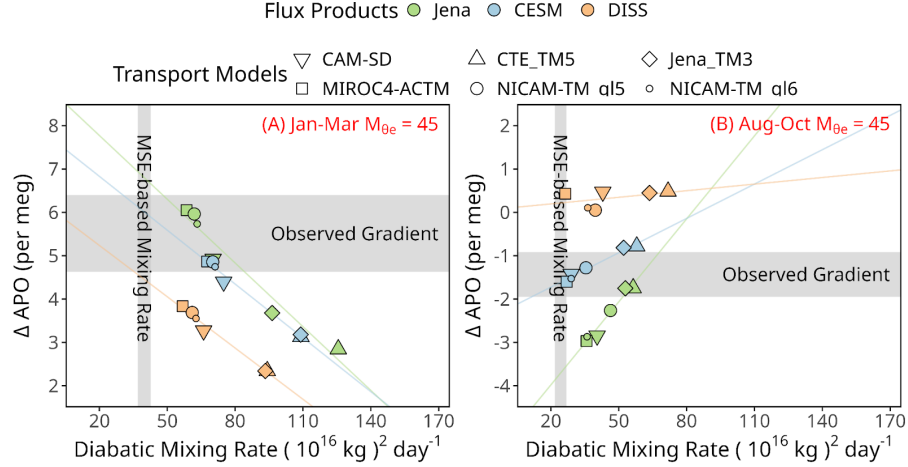
697

698 Figure 8: Using MSE-based diabatic mixing rates and airborne observations of cross-isentrop  
699 APO gradients to evaluate ATMs and flux models. Each panel compares model-diagnosed  
700 diabatic mixing rates (x-axis) and cross- $M_{\theta_e}$  APO gradients (y-axis) at the  $30 \times 10^{16} \text{ kg } M_{\theta_e}$   
701 surface (A, C, E,  $\sim 44^\circ \text{S}$  surface outcrop) and at  $45 \times 10^{16} \text{ kg } M_{\theta_e}$  (B, D, F,  $\sim 39^\circ \text{S}$  surface  
702 outcrop). Results are shown for three seasonal periods: Jan-Feb (A-B), Jun-Aug (C-D), and  
703 Oct-Nov (E-F) based on available airborne campaigns. Points represent individual model  
704 simulations, with colors indicating flux products (Jena, CESM, DISS) and symbols denoting  
705 different ATMs. Vertical gray bands show the  $1\sigma$  range of MSE-based mixing rates derived from  
706 four reanalysis products. Horizontal gray bands indicate the  $1\sigma$  range of observed APO gradients  
707 after spatial and temporal bias correction. Colored lines show linear fits of mixing rates and APO  
708 gradients for each flux product across different transport models.



709

710 Figure 9: (A) Similar to Fig. 7, but showing climatological monthly diabatic mixing rates across  
 711 the  $45 (10^{16} \text{ kg}) M_{0e}$  surface in the Northern Hemisphere. We note that JJA diabatic mixing rates  
 712 in ATMs are poorly constrained due to close-to-zero cross- $M_{0e}$  APO gradients. (B)  
 713 Latitude-pressure distribution of zonal average  $45 \times 10^{16} \text{ kg } M_{0e}$  surfaces during boreal summer  
 714 (JJA) and winter (DJF). The two  $M_{0e}$  surfaces end at the tropopause, which is higher in the  
 715 summer in the mid-latitudes. (C) Corresponding Earth surface outcrops of the JJA and DJF  $45 \times$   
 716  $10^{16} \text{ kg } M_{0e}$  surfaces. Unlike in the Southern Hemisphere where seasonal meridional variations in  
 717  $M_{0e}$  surfaces are small, the Northern Hemisphere shows pronounced seasonal shifts due to  
 718 different land/ocean heating and cooling cycles.



719

720 Figure 10: Similar to Fig. 8, but showing diabatic mixing rates and cross- $M_{0e}$  APO gradients in  
 721 the Northern Hemisphere late winter / early spring (A) and late-summer / early fall (B) of the 45  
 722  $\times 10^{16} \text{ kg } M_{0e}$  surface. We choose January to March and August to October due to sufficient  
 723 aircraft sampling and maximum cross- $M_{0e}$  APO gradients in these months.

### 724 3.3. Shipboard model-observation comparison over the Drake Passage

725 The APO-MIP1 simulations could not reproduce latitudinal variations in APO seasonal cycle  
 726 amplitude observed from shipboard measurements from 53 to 65°S over the Drake Passage and  
 727 adjacent to Tierra del Fuego and the Antarctic Peninsula. Observations reveal a strong  
 728 meridional SCA gradient ( $-2.1 \text{ per meg deg}^{-1}$ , with deg positive northward), with SCA increasing  
 729 sharply towards higher southern latitudes (Fig. 11). Model simulations substantially  
 730 underestimate this latitudinal gradient (Fig. 11), showing weaker slopes averaged across ATMs  
 731 of  $-1.2$  (Jena),  $-0.5$  (CESM), and  $0.8$  (DISS) per meg  $\text{deg}^{-1}$ . Notably, these gradients remain  
 732 generally consistent across different ATMs for each flux product ( $\pm 0.26$ ,  $\pm 0.13$ , and  $\pm 0.29$  per  
 733 meg  $\text{deg}^{-1}$ , respectively), suggesting this may predominantly be a result of zonal-scale latitudinal  
 734 biases in flux seasonality. Underrepresentation of enhanced summertime productivity along the  
 735 coast of the Antarctic Peninsula in flux products could also play a role. However, the Gould  
 736 typically only transits waters with elevated chlorophyll south of approximately 62°S while the  
 737 gradient biases appear further north. Furthermore, seasonally, the SCA biases are caused more by  
 738 underestimation of the winter/spring drawdown in APO at high latitudes, rather than the smaller  
 739 underestimation of summertime APO enhancement (Figs. S10-11). For CESM, this bias could

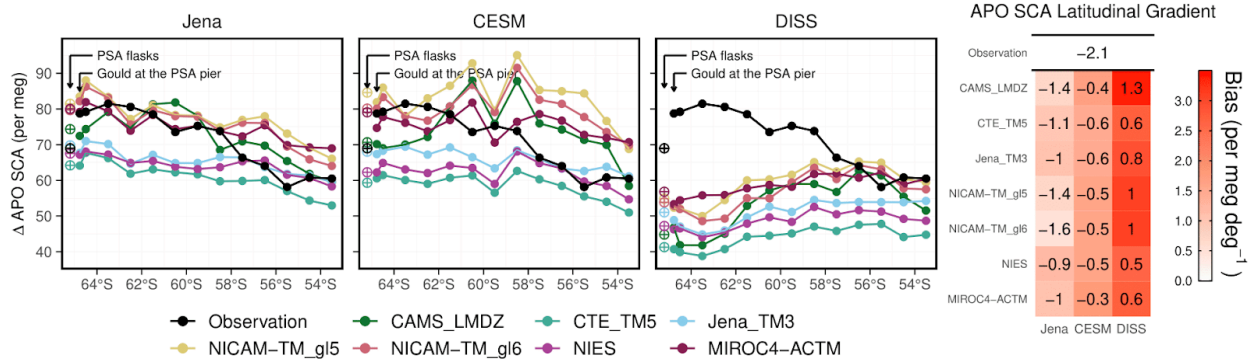
originate from incomplete process representation in the ocean biogeochemistry model and the underestimation of winter mixed-layer depths in the Pacific sector of the Southern Ocean, which has historically been a problem for Earth System Models (Sallée et al., 2013). The Jena flux product provides the closest match to the observed SCA gradient. However, several limitations remain, which likely stem from the coarse spatial resolution, limited atmospheric observational constraints over the Southern Ocean, and underrepresentation of mixing patterns around the PSA station (see details below and in SI). The DISS flux product is biased due to its underlying assumptions and sparse observational constraints, as discussed in Jin et al. (2023).

Across ATMs, we find systematic differences of up to  $\pm 20\%$  in simulated mean SCA for the entire ship transects over the Drake Passage, independent of the input flux field, with CTE\_TM5 consistently producing the smallest SCA and NICAM-TM\_gl5 showing the largest. These differences across ATMs are likely caused by differences in marine boundary-layer ventilation in the models. Near-surface mixing over the Southern Ocean is challenging to model, owing to complex boundary-layer structure, strong wind shear, frequent storm systems, SST variations, and poorly represented clouds (Hyder et al., 2018; Knight et al., 2024; Lang et al., 2018; Truong et al., 2020). The coarse-resolution models used here may struggle to capture such phenomena, and the resulting variations in the concentration or dilution of flux signals near the surface drives differences in mean APO SCA. The systematic spread also likely reflects biases in the representation of large-scale diabatic mixing over the high southern latitudes. Models with strong diabatic mixing rates, such as TM5, tend to dilute the meridional gradient of seasonal amplitude through excessive mixing with lower-latitude air masses that have smaller SCAs, resulting in reduced amplitudes at high southern latitudes.

We find that observed SCA at PSA ( $64.5^\circ\text{S}$ ) from SIO flask measurements ( $\sim 70$  per meg, averaged from 2012 to 2017) is significantly smaller than nearby ship data from  $64^\circ\text{S}$  to  $65^\circ\text{S}$  ( $\sim 80$  per meg). However, model simulations suggest similar values for both locations. The shipboard measurements are closely tied to the SIO  $\text{O}_2$  calibration scale, and any remaining scale differences would be unlikely to affect the seasonal APO SCA. Rather, the observed SCA difference occurs because SIO flask samples collected at PSA predominantly sample descending air masses from the east that have passed over Anvers Island and the Antarctic Peninsula, with peaks above 2000 m (characterized by small APO SCA), whereas the ship samples marine

boundary layer air including that over highly productive ocean regions (large APO SCA). As shown in Figs. S7-9, the SIO flasks are collected from the Terra Lab, on the east side of the station, with a wind selection criteria of 5-205°. Even while docked at Palmer (left-most points in Fig. 11), the Gould measurements show elevated SCA compared to PSA flask samples, because the pier is located to the west of the station with samples filtered to exclude air influenced by the station (Figs. S7-9). None of the ATMs, regardless of the flux product used, could reconstruct this feature, even though the models were sampled at the flask collection times. This difference is consistent with that seen between 900-mbar airborne samples and PSA flasks (Fig. 5E). The systematic bias points to the lack of resolution or physics that would be necessary, in either the reanalysis products or the ATMs, to accurately capture fine-scale circulation patterns, particularly the distinct air mass origins affecting ship versus station measurements. We note that the Jena flux product has been optimized to match seasonal APO cycles at Cape Grim Observatory (41°S) and at PSA (64.5°S), which may be the reason for its better performance on the SCA latitudinal gradient. It may do even better if the shipboard data were used in the inversion or if the effective sampling altitude of the SIO flasks at PSA were better accounted for.

Our analysis underscores the need for improvements in both ocean biogeochemistry models and ATMs. Future ocean process model developments should include improving accuracy of winter mixed-layer depths and higher-resolution ocean models with enhanced process representation to capture the fine-scale productivity patterns in the Southern Ocean. Additionally, current atmospheric transport models require improved resolution and physics to better represent the complex circulation patterns characteristic of coastal regions.



791

792 Figure 11: Latitudinal distribution of APO SCA across the Drake Passage region (53°S-65°S)  
 793 derived from ship observations and model simulations. We calculate SCA by grouping  
 794 observations and model simulations into 1 deg latitude bands, shown as points. Model results are  
 795 color-coded by ATM and organized by flux products in separate panels. The full seasonal cycles  
 796 of observed and simulated APO of these latitude bands are shown in Fig. S10. We also show  
 797 SCA observed and simulated for the PSA flask record as open crossed circles (~64.5°S, shifted  
 798 0.7° south for visibility), and for ship data while the Gould is docked at or close to the PSA pier  
 799 (left-most points, calculated by selecting data from 64.82°S to 64.72°S and 64.1°W to 64.0°W).  
 800 The right-most three bands (53°S to 55°S) are typically downwind of Tierra del Fuego (Figs.  
 801 S7-9). Both observational and model data for each latitude band or at PSA were detrended using  
 802 corresponding cubic smooth spline fits from SPO. SCA was calculated using two-harmonic fits.  
 803 The rightmost panel shows the SCA latitudinal gradients (per meg deg<sup>-1</sup>) from 53°S to 65°S, with  
 804 red shading indicating model biases relative to observations. The gradient is calculated as linear  
 805 fits of SCA from 53°S to 65°S for each ATM and flux product pair, and the observations. We  
 806 exclude CAM-SD in this analysis because the ship data simulation is only available from 2012 to  
 807 2015 (i.e., missing 2016 to 2017 data).

### 808 3.4. Implications for APO and CO<sub>2</sub> inversions and ATM development

809 Our study motivates a community effort to conduct APO inversions. Estimates of spatial and  
 810 temporal variations in APO fluxes can improve our understanding of ocean biogeochemical  
 811 processes and heat transport, and support verification of fossil-fuel emission estimates (Pickers et  
 812 al., 2022; Rödenbeck et al., 2023). Currently, only one global-scale APO inversion product from  
 813 Jena CarboScope (Rödenbeck et al., 2008) exists. This product shows excessive seasonal flux

814 amplitudes (Fig. 2) in the southern low-latitudes ( $\sim 30$  to  $0^\circ\text{S}$ ) and northern mid-latitudes ( $\sim 30$   
815 to  $60^\circ\text{N}$ ) relative to the other two flux products, which show better consistency with aircraft  
816 observations in their forward transport simulations (Fig. 6). These biases in Jena APO inversion  
817 partly result from limitations in the TM3 model, which exhibits excessive vertical mixing,  
818 particularly in the eastern North Pacific, too rapid diabatic mixing in the southern mid-latitudes,  
819 and underrepresentation of monsoon dynamics primarily due to coarse resolution (Jin et al.,  
820 2023). The large spread and biases in ATMs shown in this study highlight the importance of  
821 developing APO inversions using different ATMs and methodologies, as this will improve our  
822 ability to fully assess methodological uncertainties and potential biases in inverted air-sea APO  
823 flux estimates.

824 We encourage future inversion efforts to also assimilate column-mean data from airborne  
825 campaigns, in addition to sparse surface stations, especially for studying climatological seasonal  
826 fluxes. Our study finds that forward simulations from ATMs generally show large spread at  
827 northeastern Pacific sites, particularly at LJO and CBA (Fig. 2), where simulations are sensitive  
828 to model representation of the marine boundary layer and vertical mixing. The Scripps APO  
829 observation network consists mainly of stations along a Pacific transect close to the primary  
830 oceanic sources and sinks. Given this limited spatial coverage and our findings of significant  
831 vertical mixing biases (e.g., at CBA and LJO) and local wind-direction biases (e.g., at LJO and  
832 PSA) in ATMs at the station level, APO inversions that rely solely on these surface observations  
833 may be subject to large representation errors. Airborne data, however, provide larger surface  
834 footprints and column average metrics that are much less sensitive to vertical mixing biases. Our  
835 analysis shows that ATMs are generally consistent with each other in simulating large-scale  
836 annual and seasonal column-mean features along flight tracks (Fig. 6). Thus, inversions  
837 configured to assimilate airborne column-mean observations would be promising. Further  
838 improvement could also be achieved by incorporating shipboard observations to expand zonal  
839 coverage, such as from the Gould, across the Atlantic (Pickers et al., 2017), and in the Western  
840 Pacific (Tohjima et al., 2012). The study of Jin et al. (2023) used a different configuration of the  
841 Jena inversion that also assimilated Japanese ship-based observations across the western Pacific  
842 (Tohjima et al., 2012) from  $40^\circ\text{S}$  to  $50^\circ\text{N}$ . Forward transport of APO fluxes in that configuration  
843 aligns better with station and airborne data compared to the configuration used in this study,  
844 particularly in reducing the SCA bias in the tropics, suggesting better flux representations.

Biases in diabatic mixing diagnosed from ATMs (Section 3.2) imply that CO<sub>2</sub> inversions using these ATMs are also likely biased. A previous study showed that summer-time Southern Ocean CO<sub>2</sub> estimates from inversion products are correlated with corresponding simulated summer-time cross-isentrope CO<sub>2</sub> gradients in inversions (Long et al., 2021b). The simulated gradients are shown to be biased too small due to too rapid diabatic mixing bias in ATMs leading to an overestimation of Southern Ocean CO<sub>2</sub> uptake in the summer (Jin et al., 2024). It is likely that biases in ATMs also contribute to the large spread found in OCO-2 MIP and Global Carbon Project (GCP) inversion ensembles (Byrne et al., 2023; Crowell et al., 2019; Friedlingstein et al., 2025; Peiro et al., 2022). We identify several priority areas for understanding biases in ATMs, particularly the inconsistency between diabatic mixing rates diagnosed from the MSE budgets of parent reanalysis and the tracer fields of coarser resolution ATMs identified here. These inconsistencies likely stem from several potential sources: (1) regridding of original reanalyses to the coarser resolution of the ATM grid, (2) for online GCMs using nudging, incomplete matching of the input meteorology, and (3) for offline models, recalculation or parameterization of convective mass fluxes in the coarser ATM. The first potential source of error from regridding could be evaluated by comparing MSE-based diabatic mixing rates from the parent and regridded fields as long as all components of MSE were included in the regridding. The second potential source of error from nudging could be evaluated by comparing MSE-based diabatic mixing rates from the regridded parent model and the nudged online simulation. Finally, the third potential source of error from recalculating or parameterizing vertical mass fluxes could be evaluated by comparing the MSE-based diabatic mixing rates from the regridded parent model and the tracer-based mixing rates from the ATM. It is notable that diabatic mixing rates diagnosed from two online models, MIROC4-ACTM and CAM-SD, which do not require regridding, are generally consistent with observations, with MIROC4-ACTM showing the best performance among all models (Figs. 7-10).

An important consideration is that the real atmosphere mixes MSE and tracers at different spatial and temporal scales. In the Northern Hemisphere, APO fluxes initially mix vertically over oceans, while strong CO<sub>2</sub> fluxes initially mix vertically over land. In contrast, MSE fluxes mix initially over both land and ocean. Due to the large land area in the Northern Hemisphere, the zonal mixing time scale is much longer (~ 2-4 weeks) so that diabatic mixing rates diagnosed from APO or CO<sub>2</sub> tracers could differ from each other and from those diagnosed from MSE

876 tracers. In the Southern Hemisphere mid-latitudes, these potential differences are much smaller  
877 due to the predominance of ocean and rapid zonal mixing ( $\sim 1$ -2 weeks). In general, the  
878 timescales for diabatic mixing are longer than the timescales of zonal mixing, which support our  
879 approach of using tracer fluxes over both ocean and land to evaluate zonal-mean diabatic mixing.  
880 Future work should also develop metrics for quantifying along-isentrope (adiabatic) transport to  
881 complement our understanding of tracer mixing across isentropes. The timescales of adiabatic  
882 mixing influences tracer gradients along isentropic surfaces, which in turn affects diabatic  
883 mixing differently in the upper versus lower troposphere. It is also necessary to examine the  
884 sensitivity of mixing rates to model resolution, particularly vertical levels at the interface  
885 between the boundary layer and free troposphere, and boundary layer schemes. These ATM  
886 improvements are essential for enhancing both forward simulations and inverse estimates of  
887 surface fluxes.

## 888 4. Summary and Outlook

889 We conducted the Atmospheric Potential Oxygen forward Model Intercomparison Project  
890 (APO-MIP1) to generate forward simulations of APO and its components using different flux  
891 products and eight ATMs. This effort provides model APO simulations at surface stations, along  
892 aircraft flight paths, and on ships that can be directly compared with observations. Additionally,  
893 we provide 3-D APO fields from six of the eight ATMs. We use simulations from APO-MIP1 to  
894 evaluate eight ATMs and three flux products by comparing simulations against observations  
895 from surface stations, aircraft, and ships.

896 We find that model simulations of APO seasonal cycles using a given flux product show  
897 considerable summer-time spread at northern surface stations, particularly at two eastern Pacific  
898 stations, LJO and CBA (Fig. 3). The bias stems from challenges in accurately representing  
899 complex atmospheric vertical transport processes, marine boundary layer mixing, and coastal  
900 horizontal mixing in these regions. These findings highlight the limitations of current APO  
901 inversions that rely on a single ATM (i.e., TM3 used in Jena APO inversion) and sparse surface  
902 observations. However, model simulations of column-average APO resolved from sampling  
903 aircraft tracks are consistent across different ATMs, emphasizing the importance of airborne  
904 measurements for constraining large-scale flux features.

905 Using airborne observations and a moist-isentropic coordinate framework, we demonstrate that  
906 most ATMs overestimate diabatic mixing rates in the mid-latitudes of both hemispheres when  
907 compared to mixing rates derived from energy budgets of reanalyses. Among all ATMs used  
908 here, Jena\_TM3 and CTE\_TM5 show the largest biases. These constraints also enable us to  
909 separate flux biases from transport-related biases, allowing independent evaluation of flux  
910 models, which show that the CESM flux product is the best among the three flux products used  
911 in this study. This prognostic model outperforms two observation based products because of  
912 sparse atmospheric and surface observations, limitations in ATM used in atmospheric inversion,  
913 and because seasonal APO fluxes are driven by physical and biological processes that CESM  
914 represents well.

915 We encourage the broader community to develop new APO inversions, which could provide  
916 independent constraints on ocean biogeochemical processes and improve our understanding of  
917 the ocean carbon sink. Model simulations from APO-MIP1 can be used in other applications,  
918 including the calibration of methods for estimating seasonal air-sea APO fluxes from global  
919 atmospheric observations (e.g., Jin et al., 2023), constraining the representation of regional to  
920 global marine production in Earth system models (e.g., Nevison et al., 2012, 2015, 2018), and for  
921 understanding ESM biases in seasonal air-sea CO<sub>2</sub> exchange related to both thermal and  
922 non-thermal forcings. The transport simulations can also support the evaluation of long-term  
923 trends in O<sub>2</sub>:CO<sub>2</sub> ratios over the Southern Ocean based on surface station gradients, useful for  
924 assessing biogeochemical responses to climate change.

925 We expect APO-MIP1 to continue evolving as an active collaboration examining atmospheric  
926 tracer transport and air-sea O<sub>2</sub> flux estimates. The current implementation excluded the air-sea  
927 CO<sub>2</sub> component and long-term flux trends from the Jena flux product, and does not include  
928 interannual and long-term flux trends in the DISS flux product, making these simulations  
929 unsuitable for interpreting interannual to long-term air-sea O<sub>2</sub> fluxes features. Thus, we only  
930 analyze APO seasonal cycles and meridional gradients here. The next phase of APO-MIP1 will  
931 address these limitations by incorporating updated inversion flux fields based on a larger set of  
932 atmospheric APO observations and including interannual variability. We will expand the scope  
933 by including terrestrial O<sub>2</sub> flux fields for O<sub>2</sub>-specific analyses and seasonal-only component  
934 fluxes to investigate rectifier effects. The seasonal rectifier effect refers to the creation of

935 non-zero annual mean atmospheric concentration gradients at surface stations even with  
936 balanced seasonal O<sub>2</sub> fluxes. This occurs when fluxes correlate with seasonal variations in  
937 atmospheric mixing. For example, strong summer O<sub>2</sub> outgassing combined with shallow PBL  
938 heights concentrates APO near the surface, while higher winter PBL dilutes the O<sub>2</sub> uptake signal,  
939 resulting in observed annual mean APO gradients even when the annual mean flux is zero.  
940 Additionally, we plan to update air-sea O<sub>2</sub> fluxes derived from surface ocean dissolved oxygen  
941 measurements by replacing Garcia and Keeling (2001) with fluxes calculated from recent  
942 machine learning interpolation of dissolved oxygen products (Gouretski et al., 2024; Ito et al.,  
943 2024; Sharp et al., 2023). We encourage broader participation from diverse modeling groups in  
944 the next phase of APO-MIP1.

## 945 **Appendix A: Surface station, airborne, and shipboard APO measurements.**

946 The surface station APO observations from the Scripps O<sub>2</sub> program have been described in  
947 (Keeling et al., 1998). Briefly, flask triplicates have been collected at biweekly to monthly  
948 frequency during clean background air conditions at a network of sites for over three decades,  
949 and returned to Scripps for analysis using interferometric and mass-spectrometric techniques.  
950 Here we use monthly data that was averaged from roughly bi-weekly data. The flask  
951 measurements are first adjusted to the middle of each month, parallel to the mean seasonal cycle  
952 for that station, before averaging. The APO-MIP1 output for these stations was reported  
953 matching the ObsPack CO<sub>2</sub> files from the Scripps O<sub>2</sub> Program, to take advantage of the  
954 established ObsPack format. These CO<sub>2</sub> measurements correspond to the same flask air on which  
955 O<sub>2</sub> is measured. The model output is treated in the same way as the observations to generate  
956 monthly means.

957 Airborne APO measurements from HIPPO, ORCAS, and ATom campaigns were made in situ  
958 with the NSF NCAR Airborne Oxygen Instrument (AO2), using a vacuum-ultraviolet absorption  
959 technique to measure O<sub>2</sub> and a single-cell infrared gas analyzer to measure CO<sub>2</sub> (Stephens et al.,  
960 2021). AO2 produces measurements every 2.5 s, which are averaged to 10 sec frequency for  
961 merging with other aircraft data. To correct for flight-specific sampling offsets, the in situ AO2  
962 data were adjusted to agree with flask measurements collected during each flight using the NSF

963 NCAR / Scripps Medusa flask sampler on a flight-by-flight average basis (Jin et al., 2023;  
964 Stephens et al., 2021).

965 HIPPO and ATom had nearly pole-to-pole coverage, and from near surface (150 - 300 m) to  
966 above the tropopause. HIPPO consisted of five campaigns between 2009 and 2011, and most  
967 data were collected above the Pacific. ATom consisted of four campaigns between 2016 and  
968 2018, and each campaign had a Pacific transect and an Atlantic transect. ORCAS was a 6-week  
969 campaign with dense temporal sampling over the Drake Passage and ocean areas adjacent to the  
970 tip of South America and the Antarctic Peninsula. The APO-MIP1 output for these aircraft  
971 measurements was reported matching the ObsPack CO<sub>2</sub> files for each campaign. These data are  
972 also at 10 sec frequency but correspond to different instruments with different calibration  
973 intervals. To match the observed and model time series, we mask observations when model  
974 output is not available, and vice versa. We also exclude any stratospheric data, with the  
975 stratosphere defined as water vapor concentrations below 50 ppm and either ozone  
976 concentrations exceeding 150 ppb, or detrended N<sub>2</sub>O levels (normalized to 2009) below 319 ppb  
977 (Jin et al., 2021). Water vapor and ozone were measured by the NOAA UAS Chromatograph for  
978 Atmospheric Trace Species instrument (Hintsa et al., 2021). N<sub>2</sub>O was measured by the Harvard  
979 Quantum Cascade Laser System instrument (Santoni et al., 2014). We filter the airborne data to  
980 exclude continental or urban boundary-layer air sampled while landing, taking off, or conducting  
981 missed approaches at airports (Jin et al., 2021).

982 Shipboard APO measurements from the ARSV *L. M. Gould* were made in situ during over 90  
983 transects of Drake Passage on 50 cruises between 2012 and 2017 using a fuel-cell method for O<sub>2</sub>  
984 and a two-cell non-dispersive infrared gas analyzer for CO<sub>2</sub>. The instrumentation was similar to a  
985 previously developed tower system (Stephens et al., 2003), but adapted and optimized for  
986 shipboard use. The instrument produces measurements at 1 min frequency. The cruises occurred  
987 in all months of the year but are more sparse during austral winter. The Gould operated almost  
988 exclusively between Punta Arenas, Chile and Palmer Station, Antarctica, in support of  
989 resupplying and transferring personnel to Palmer Station. The cruises span from 53° to 65°S in  
990 all months, and extend as far as 70°S during summer months. The APO-MIP1 output for the  
991 Gould was reported matching the ObsPack CO<sub>2</sub> file from the NOAA underway pCO<sub>2</sub> system.  
992 This system measures atmospheric CO<sub>2</sub> for 15 min every two hours. To match the observed and

993 model time series, we first calculate hourly means for each and then mask observations when  
994 model output is not available, and vice versa.

995 The resolved APO annual mean and seasonal cycles have negligible measurement uncertainty  
996 compared to model spread because we average data over long time series for stations and over  
997 large spatial domains for aircraft and ships, effectively reducing the already small short-term  
998 instrument imprecision.

## 999 **Appendix B: APO flux products**

### 1000 **B.1. Air-sea APO flux products**

1001 The first air-sea APO flux product (Jena) is air-sea APO flux from the Jena CarboScope APO  
1002 Inversion (version ID: apo99X\_v2021), which is available directly as  $F_{APO}^{ocn}$  (update of  
1003 Rödenbeck et al., 2008). In this inversion, the posterior fluxes (variable name: apoflux\_ocean)  
1004 were optimized to best match observed APO at 9 stations in the Scripps O<sub>2</sub> Program surface  
1005 network (Manning and Keeling, 2006) and at 2 stations from the National Institute for  
1006 Environmental Studies (Tohjima et al., 2012). The prior air-sea CO<sub>2</sub> flux was not included in the  
1007 forward simulations here. We note that the exclusion of prior air-sea CO<sub>2</sub> flux has only minimal  
1008 impact on the simulated APO seasonal cycle and north-to-south annual gradient but reduces the  
1009 tropical “bulge” of annual mean by approximately 1 per meg and results in close to zero  
1010 long-term APO trend. The Jena product is available from 1999 to 2020 originally with spatial  
1011 resolution of 2° latitude × 2.5° longitude at daily intervals, converted to 1° × 1°. The Jena  
1012 inversion used the TM3 transport model, which is also one of the models participating in  
1013 APO-MIP1. In the case of TM3 forward transport simulation, the Jena inversion posterior fluxes  
1014 have been re-run forward through the ATM, and thus this combination of fluxes and transport  
1015 should agree well at the surface stations used for inversion optimization.

1016 The second air-sea APO flux product (CESM) uses air-sea O<sub>2</sub>, CO<sub>2</sub>, and N<sub>2</sub> flux components  
1017 from the Community Earth System Model (CESM2) Forced Ocean-Sea-Ice (FOSI) simulation  
1018 (Yeager et al., 2022), which is forced by atmospheric fields from JRA55-do reanalysis (Tsujino  
1019 et al., 2018) and prognostic ocean biogeochemistry using the Marine Biogeochemistry Library

(MARBL, Long et al., 2021a). The model directly produces  $F_{O_2}^{ocn}$  and  $F_{CO_2}^{ocn}$ , while  $F_{N_2}^{ocn}$  is calculated by scaling the ocean heat flux ( $Q$ ,  $W\ m^{-2}$ ) output using the relationship from Keeling and Shertz (1992) following

$$F_{N_2}^{ocn} = - \frac{1}{1.3} \cdot \frac{dS}{dT} \cdot \frac{Q}{C_p}, \quad (B1)$$

where  $dS/dT$  ( $mol\ kg^{-1}\ C^{-1}$ ) is the temperature derivative of solubility using solubility coefficients from Hamme & Emerson (2004).  $C_p$  represents the specific heat capacity of seawater, which is assumed to be  $3993\ J\ kg^{-1}\ C^{-1}$ . The factor of  $1/1.3$  is to adjust the seasonal amplitude due to the temporal lag between tracer flux and heat flux, as proposed by Jin et al. (2007).

These three CESM flux components have a resolution of  $1^\circ$  latitude  $\times$   $1^\circ$  longitude grid with the North Pole displaced to Greenland. All fields are available from 1958 to 2020, but we only use fluxes from 1986 to 2020.  $F_{O_2}^{ocn}$  and  $F_{CO_2}^{ocn}$  are output from the model at daily resolution, whereas  $F_{N_2}^{ocn}$  is calculated from monthly model heat fluxes then interpolated to daily resolution. This version of CESM was designed to initialize a seasonal-to-multiyear large ensemble (SMYLE) of coupled simulations for evaluating predictability. It is forced by observed meteorology starting in 1958, at which point it branches off of a FOSI configuration using JRA55-do atmospheric fields as surface boundary conditions (Yeager et al., 2022). The FOSI simulation consists of six consecutive cycles of 1958-2018 forcing, with the sixth cycle (used for SMYLE) extended through 2020. Annual mean heat fluxes from this configuration show a small cooling drift over the historical period, and thus the inferred annual mean and long-term trend of  $O_2$  and  $N_2$  flux should not be interpreted as realistic.

The third air-sea APO flux product (DISS) uses bottom-up air-sea  $O_2$  and  $CO_2$  flux estimates derived primarily from dissolved gas measurements.  $F_{O_2}^{ocn}$  consists of a seasonal component calculated from the dissolved  $O_2$  measurement based climatology of Garcia & Keeling (2001), with seasonal amplitude scaled by 0.82 according to Naegler et al. (2006), and an annual mean component from the ocean inversion of Resplandy et al. (2016) for 21 regions using transport

from MITgcm-ECCO. Bent (2014) reported that the 0.82 scaling factor significantly improved agreement between GK flux and HIPPO observations, based on simulations using one ATM (a different MIROC4-ACTM configuration). However, our results show that applying this 0.82 scaling factor actually leads to an underestimation of modelled column-mean APO SCA when comparing with the combined HIPPO, ORCAS, and ATom observations at high latitudes in both hemispheres. The seasonal component ( $1.125^\circ \times 1.125^\circ \times$  monthly) was linearly regridded to  $1^\circ \times 1^\circ \times$  daily resolution. For the annual mean component, the original regional values (21 regions) were spatially interpolated to  $1^\circ \times 1^\circ$  resolution while conserving the total sum within each region, then temporally interpolated to daily values. We use  $F_{CO_2}^{ocn}$  from the machine learning interpolation of pCO<sub>2</sub> based air-sea CO<sub>2</sub> fluxes (Jersild et al., 2017; Landschützer et al., 2016). The version of this product that we used provides fluxes from 1982 to 2020, with resolution of  $1^\circ$  latitude  $\times$   $1^\circ$  longitude  $\times$  monthly, which we interpolated to daily. We use Eq. B1 to calculate  $F_{N_2}^{ocn}$  with heat fluxes from ERA5 reanalyses (Hersbach et al., 2020), which is available from 1979 onwards, with resolution of  $0.25^\circ$  latitude  $\times$   $0.25^\circ$  longitude  $\times$  monthly. Sea-surface temperature (SST) estimates required to calculate dS/dT (Eq. B1) are from World Ocean Atlas (WOA) v2018 with resolution of  $1^\circ$  latitude  $\times$   $1^\circ$  longitude  $\times$  monthly. SST is available as a 1981 to 2010 climatology but we use it repeatedly for 1986 to 2020.

## B.2. Fossil fuel APO uptake products

We used two products for  $F_{APO}^{ff}$ . The first product (GridFED) uses fossil CO<sub>2</sub> emission and O<sub>2</sub> uptake fluxes from Jones et al. (2021), downloaded from Jones et al. (2022). This product is available from 1959 to 2020, with resolution of  $0.1^\circ$  latitude  $\times$   $0.1^\circ$  longitude  $\times$  monthly, which we interpolate to daily.

The second product (OCO2MIP) use  $F_{CO_2}^{ff}$  as prepared for the OCO-2 Model Intercomparison Project (MIP) version 10, downloaded from Basu & Nassar (2021), with resolution of  $1^\circ$  latitude  $\times$   $1^\circ$  longitude  $\times$  hourly. This  $F_{CO_2}^{ff}$  product uses fossil fuel CO<sub>2</sub> emission from ODIAC (Oda et al., 2018) for 2000 to 2019. For 2020, the flux was scaled from 2019 using the ratio of 2020 to

1072 2019 global emissions reported by Liu et al. (2020).  $F_{O_2}^{ff}$  is not available from this product, but  
 1073 we scale the atmospheric field of  $\Delta CO_2^{ff}$  by a factor of -1.4 to estimate  $\Delta O_2^{ff}$  (Keeling, 1988;  
 1074 Steinbach et al., 2011). We primarily use GridFED, except for CAMS\_LMDZ where we use  
 1075 OCO2MIP instead, because  $F_{O_2}^{ff}$  from GridFED is missing for years after 2015. The differences  
 1076 between these two products are negligible compared to the magnitude of ocean-driven APO  
 1077 variations, for the seasonal metrics considered here.

## 1078 **Appendix C: Calculation of $M_{\theta_e}$ , cross- $M_{\theta_e}$ diabatic mixing rates and APO** 1079 **gradients**

1080 The mass-indexed moist isentropic coordinate  $M_{\theta_e}$  is defined as the total dry air mass under a  
 1081 specific moist isentropic surface ( $\theta_e$ ) in the troposphere of a given hemisphere. Surfaces of  
 1082 constant  $M_{\theta_e}$  are parallel to surfaces of constant  $\theta_e$  but the relationship changes with season, as  
 1083 the atmosphere warms and cools.  $M_{\theta_e}$  surfaces have air mass ( $10^{16}$  kg) as the unit, and are  
 1084 adjusted to conserve dry air mass below the surface at any instant in time.  $M_{\theta_e}$  is calculated as a  
 1085 function of  $\theta_e$  and time following

$$1086 \quad M_{\theta_e}(x, t) = \sum M_x(t) | \theta_{e_x} < \theta_e, \quad (C1)$$

1087 where  $x$  indicates an individual grid cell of the atmospheric field,  $M_x(t)$  is the dry air mass of  
 1088 each grid cell  $x$  at time  $t$ , and  $\theta_{e_x}$  is the equivalent potential temperature of the grid cell. For a  
 1089 given  $\theta_e$  threshold, the corresponding  $M_{\theta_e}$  value is calculated by integrating the air mass of all  
 1090 grid cells with  $\theta_e$  value smaller than the threshold. We only integrate air mass in the troposphere,  
 1091 which is defined here as potential vorticity unit (PVU) smaller than 2. At each time step, this  
 1092 calculation yields a unique value of  $M_{\theta_e}$  for each value of  $\theta_e$  as well as a 3-D field of atmospheric  
 1093  $M_{\theta_e}$ . Following the spatial pattern of  $\theta_e$ ,  $M_{\theta_e}$  values generally increase from low to high altitudes  
 1094 and from poles to equator. We generate daily  $M_{\theta_e}$  fields using four different reanalysis products  
 1095 (MERRA-2, JRA-55, JRA-3Q, and ERA5) at their native resolution, avoiding potential  
 1096 information loss from grid interpolation (Gelaro et al., 2017; Hersbach et al., 2020; Kobayashi et  
 1097 al., 2015; Kosaka et al., 2024).

1098 The calculation of diabatic mixing rates in ATMs is based on a box model approach, which uses  
 1099  $M_{\theta_e}$  as boundaries. A schematic of the box model is available as Fig. 1 of Jin et al. (2024). The  
 1100 box model invokes tracer air mass balance, which recognizes tracer inventory change ( $M_i$ , Tmol)  
 1101 of each  $M_{\theta_e}$  box equal to the sum of surface fluxes ( $F_i$ , Tmol day<sup>-1</sup>) and the diabatic transport  
 1102 between boxes ( $T_{i,i+1}$ , Tmol day<sup>-1</sup>, positive poleward). The transport term is considered as a  
 1103 diffusive system, which is parameterized as the product of diabatic mixing rate across the  $M_{\theta_e}$   
 1104 boundary ( $D_{i,i+1}$ , (10<sup>16</sup> kg)<sup>2</sup> day<sup>-1</sup>) and the tracer concentration ( $\chi_{i+1}$ , Tmol tracer per kg air mass)  
 1105 gradient between two boxes. The full mass balance follows

$$\frac{\partial M_i}{\partial t} = \begin{cases} F_i + T_{i,i+1} & \text{if } i = 1 \\ F_i + T_{i,i+1} - T_{i-1,i} & \text{if } i > 1, \end{cases} \quad (C2)$$

1108 with

$$T_{i,i+1} = D_{i,i+1} \cdot \frac{\chi_{i+1} - \chi_i}{\Delta M_{\theta_e}}. \quad (C3)$$

1110 In these equations,  $i$  is the number label of the box and is set to be 1 at the highest latitude,  $\Delta M_{\theta_e}$   
 1111 is the distance in  $M_{\theta_e}$  coordinates between box centers, which for evenly spaced boxes as used  
 1112 here, is the same as the total air mass of each box. In this study, we set the range of each  $M_{\theta_e}$  box  
 1113 to be  $15 \times 10^{16}$  kg air mass, and therefore  $\Delta M_{\theta_e}$  equals the same value. The diabatic mixing rate  
 1114 ( $D$ ) can be expressed as

$$D_{i,i+1}(t) = \frac{\left[ \sum_{i'=1}^{i'=i} \left( \frac{dM_{i'}(t)}{dt} - F_{i'}(t) \right) \right]}{[\chi_{i+1}(t) - \chi_i(t)]} \cdot \Delta M_{\theta_e}. \quad (C4)$$

1117 This method effectively reconstructs large-scale tracer transport features ( $T$ ) in ATMs, as  
 1118 demonstrated in Jin et al. (2024). We note that the diabatic mixing rate is a property of the  
 1119 corresponding  $M_{\theta_e}$  and is theoretically insensitive to the choice of box sizes. We calculate  
 1120 climatological monthly average (2009 to 2018) diabatic mixing rates for each of the six transport  
 1121 models using the 3-D APO fields from transporting each of the three flux products (Figs. 7 and  
 1122 9). To assign  $M_{\theta_e}$  at the model grid locations and times for each ATM, we always use  $M_{\theta_e}$  from

1123 MERRA-2 interpolated to the ATM grid, to ensure spatial consistency. Using other reanalyses  
 1124 only leads to small ( $< 5\%$ ) differences in ATM-diagnosed diabatic mixing rates (Jin et al., 2024).

1125 Independent observational constraints on ATM-diagnosed mixing rates are calculated from moist  
 1126 static energy (MSE) budgets of four meteorological reanalyses (Figs. 7 and 9). MSE is a measure  
 1127 of static energy that is conserved in adiabatic ascent/descent and during latent heat release due to  
 1128 condensation, and naturally aligns with surfaces of  $\theta_e$  or  $M_{\theta_e}$ . This diagnostic approach offers  
 1129 more robust mixing rate estimates than tracer-based methods in part because MSE maintains  
 1130 consistent, non-zero gradients at each reanalysis time step, unlike chemical tracers. Additionally,  
 1131 MSE-based mixing rates are directly diagnosed from reanalysis on the original grid, avoiding  
 1132 potential artifacts introduced when these fields are interpolated to coarser transport model grids,  
 1133 and any recalculation of vertical mass fluxes and subgrid-scale mixing parameterizations in  
 1134 ATMs.

1135 The MSE-diagnosed mixing rate calculation adapts our tracer box model framework. In this  
 1136 adaptation, we replace tracer inventory ( $M_i$ , Tmol) by MSE ( $S_i$ , J), replace surface tracer flux ( $F_i$ ,  
 1137 Tmol day $^{-1}$ ) by surface heat flux ( $Q_i$ , J day $^{-1}$ ), and add an additional term to account for  
 1138 atmospheric radiative energy balance ( $R_i$ , J day $^{-1}$ ), following

$$1139 \quad D_{i,i+1}(t) = \frac{\left[ \sum_{i'=1}^{i'=i} \left( \frac{dS_{i'}(t)}{dt} - Q_{i'}(t) - R_{i'}(t) \right) \right]}{[\chi_{i+1}(t) - \chi_i(t)]} \cdot \Delta M_{\theta_e} \quad (C5)$$

1140

1141 We note that the gradient on the denominator in Eq. C5 represents the MSE density gradient (J  
 1142 per kg air mass) across the  $M_{\theta_e}$  surface. The calculation of these terms requires air temperature,  
 1143 specific humidity, surface heat flux, including surface sensible and latent heat flux, and radiative  
 1144 imbalance from reanalysis. Further details on the process to diagnose mixing rate from both  
 1145 ATMs and reanalyses can be found in Jin et al. (2024).

1146 The cross- $M_{\theta_e}$  APO gradient was calculated using data grouped into two adjacent boxes in the  
 1147  $M_{\theta_e}$  space, with box centers spanning  $15 \times 10^{16}$  kg air mass across the target surface boundary.  
 1148 For each box, we calculate the average APO concentration by trapezoidal integration of  
 1149 detrended APO as a function of  $M_{\theta_e}$  and dividing by the  $M_{\theta_e}$  range (Jin et al., 2021). We carry out  
 1150 the calculation for each airborne campaign, using the observations, model flight track output, and

1151 3-D model fields. Flight-track estimated cross- $M_{0e}$  APO gradients are not directly comparable to  
1152 simulated gradients from full 3-D fields, due to spatial and temporal coverage biases in airborne  
1153 observations. We correct for both biases in the APO airborne observations and model flight track  
1154 output (detailed in Supplement Text S1).

## 1155 **Code and Data Availability**

1156 The 10 components of air-sea APO flux and fossil fuel APO uptake products, and the output of  
1157 ATM forward transport simulations of these 10 components, including ATM samples at surface  
1158 stations, ship transects, aircraft measurements, and 3-D atmospheric fields, are available at  
1159 <https://doi.org/10.5065/f3pw-a676> (Stephens et al., 2025). APO observations at surface stations  
1160 from the Scripps  $O_2$  network are available at <https://doi.org/10.6075/J0WS8RJR> (Keeling, 2019).  
1161 All HIPPO 10-s merge data are available from Wofsy, 2017. Here we use updated HIPPO AO2  
1162 data from (Stephens et al., 2021a, 2021b, 2021c, 2021d, 2021e). All ORCAS 10-s merge data are  
1163 available at Stephens (2017). Here we use updated ORCAS AO2 data from Stephens et al.  
1164 (2021f). All ATom 10-s merge data are available at <https://doi.org/10.3334/ORNLDAAC/1925>  
1165 (Wofsy, 2021), including the version of AO2 data used here.  $O_2$  and  $CO_2$  measurements from  
1166 ARSV Gould are available at <https://doi.org/10.26023/FDDD-PC3X-4M0X> (Stephens, 2025).  
1167 Note that airborne  $O_2/N_2$  data are all on the Scripps  $O_2$  Program SIO2017  $O_2/N_2$  scale defined on  
1168 March 16, 2020, surface station data are on the SIO2023  $O_2/N_2$  scale defined on August 30, 2024,  
1169 and shipboard data are on the SIO2023  $O_2/N_2$  scale defined on August 30, 2024. Airborne  $CO_2$   
1170 measurements are on the WMO X2007  $CO_2$  scale, while station and shipboard  $CO_2$  data are on  
1171 the WMO X2019  $CO_2$  scale. The use of different scales has only minor impacts on interpreting  
1172 APO seasonal cycles and latitudinal gradients. Code used to produce input flux files and to  
1173 post-process submitted ObsPack files is available at <https://doi.org/10.5065/f3pw-a676> (Stephens  
1174 et al., 2025).

## 1175 **Acknowledgments**

1176 We would like to acknowledge the efforts of the full HIPPO, ORCAS, and ATom science teams  
1177 and the pilots and crew of the NSF NCAR GV and NASA DC-8, as well as the NSF NCAR and  
1178 NASA project managers, field support staff, and logistics experts. Atmospheric  $O_2$  measurements

on HIPPO were supported by NSF grants ATM-0628519 and ATM-0628388. ORCAS was supported by NSF grants PLR-1501993, PLR-1502301, PLR-1501997, and PLR-1501292. Atmospheric O<sub>2</sub> measurements on ATom 1 were supported by NSF grants AGS-1547626 and AGS-1547797. Atmospheric O<sub>2</sub> measurements on ATom 2-4 were supported by NSF AGS-1623745 and AGS-1623748. The recent atmospheric measurements of the Scripps O<sub>2</sub> program have been supported via funding from the NSF and the National Oceanographic and Atmospheric Administration (NOAA) under Grants OPP-1922922, OPP-2329254, and NA20OAR4320278, respectively. The atmospheric O<sub>2</sub> measurements from ARSV Laurence M. Gould were supported by NSF grants ANT-0944761, PLR-1341425, and PLR-1543511. For sharing O<sub>3</sub>, N<sub>2</sub>O, and H<sub>2</sub>O measurements, we thank Jim Elkins, Eric Hintsa, and Fred Moore for ATom-1 N<sub>2</sub>O data; Ru-Shan Gao and Ryan Spackman for HIPPO O<sub>3</sub> data; Ilann Bourgeois, Jeff Peischl, Tom Ryerson, and Chelsea Thompson for ATom O<sub>3</sub> data; Stuart Beaton, Minghui Diao, and Mark Zondlo for HIPPO and ORCAS H<sub>2</sub>O data; and Glenn Diskin and Joshua DiGangi for ATom H<sub>2</sub>O data. YJ would like to acknowledge the Advanced Study Program Postdoctoral Fellowship in the NSF National Center for Atmospheric Research. This material is based upon work supported by the NSF National Center for Atmospheric Research, which is a major facility sponsored by the U.S. National Science Foundation under Cooperative Agreement No. 1852977. The work of FC was granted access to the HPC resources of CCRT under the allocation CEA/DRF, and of TGCC under the allocation A0130102201 made by GENCI. NC and PKP are supported by the Environment Research and Technology Development Fund (grant no. JPMEERF24S12205) and Arctic Challenge for Sustainability II (ArCS-II) project (grant no. JPMXD1420318865). YN is supported by JSPS KAKENHI (grant no. JP22H05006, JP80282151) and the Environment Research and Technology Development Fund (grant no. JPMEERF24S12210). IL and JH were supported by the Netherlands Organisation for Scientific Research (grant no. VI.Vidi.213.143 and NWO-2023.003).

## Author Contributions

YJ and BS carried out the research and wrote the paper with input from all co-authors. YJ, BS, and MC designed the research. MC prepared input fluxes for the transport models. BS provided airborne and shipboard observation data. EM provided surface station and airborne observation

1208 data. YJ, FC, NC, JH, IL, SM, YN, PP, CR, and JV provided forward transport model  
1209 simulations. All authors contributed to reviewing and editing the text.

## 1210 Competing Interests

1211 The contact author has declared that none of the authors has any competing interests.

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