



An updated microphysical model for particle activation in contrails: the role of volatile plume particles

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Abstract

Global simulations suggest the mean annual contrail-cirrus net radiative forcing is comparable to that of aviation's accumulated CO₂ emissions. However, these simulations assume non-volatile particulate matter (nvPM) and ambient particles are the only source of condensation nuclei, omitting activation of volatile particulate matter (vPM) formed in the nascent plume. Here, we extend a microphysical framework to include vPM and benchmark this against a parcel model (pyrcel) modified to treat contrail formation. We explore how the apparent emission index (EI) of contrail ice crystals (AEI_{ice}) scales with EI_{nvPM}, vPM properties, ambient temperature and aircraft/fuel characteristics. We find model agreement within 20% in the previously defined "soot-poor" regime. However, discrepancies increase non-linearly (up to 60%) in the "soot-rich" regime, due to differing treatment of droplet growth. Both models predict that in the "soot-poor" regime, AEI_{ice} approaches 10¹⁶ kg⁻¹ for low ambient temperatures (< 210 K) and sulphur-rich vPM, which is comparable to estimates in the "soot-rich" regime. Moreover, our sensitivity analyses suggest that the point of transition between the "soot-poor" and "soot-rich" regimes is a dynamic threshold on EI_{nvPM} that ranges from 10¹³ kg⁻¹ – 10¹⁶ kg⁻¹ and depends sensitively on ambient temperature and vPM properties, underlining the need for vPM emission characterisation measurements. We suggest that existing contrail simulations omitting vPM activation may underestimate AEI_{ice}, especially for flights powered by engines with very low EI_{nvPM} (< 10¹³ kg⁻¹). Under these conditions, AEI_{ice} might be reduced by (i) reducing fuel sulphur content, (ii) minimising organic emissions and/or (iii) avoiding cooler regions of the atmosphere.



1. Introduction

The aviation industry contributes approximately 3.5% towards global anthropogenic effective radiative forcing (ERF) (Lee et al., 2021). This share is projected to increase as the sector is comparatively challenging to decarbonise (Grewe et al., 2021), notwithstanding anticipated compound annual growth in global passenger traffic of 3.6% from 2027 – 2043 (Airbus, 2024). Estimates for 2018 indicate that the largest contribution towards net aviation ERF (+100.9 mWm⁻²) is from contrail-cirrus (+57.4 mWm⁻²), with remaining contributions from accumulated CO₂ emissions (+34.3 mWm⁻²), followed by NO_x (+17.5 mWm⁻²) (Lee et al., 2021). The sign and magnitude of the contrail-cirrus ERF depend *inter alia* on optical thickness and spatiotemporal coverage (Kärcher, 2018; Schumann et al., 2012), which are determined by ice crystal microphysics and hence the contrail formation pathway.

Provided the aircraft exhaust plume satisfies the Schmidt-Appleman Criterion (SAC), linear contrail formation occurs within 1 s behind the engine exit plane (Schumann, 1996). In the nascent plume, exhaust gases mix with cooler ambient air resulting in a locally water-supersaturated environment. Under these conditions, particles in the plume can activate to form water droplets, which later nucleate ice homogeneously to form contrail ice crystals. Hence, this pathway is regulated by the number concentration of particles able to activate, which is controlled by their particle size distribution characteristics and chemical composition (Petters and Kreidenweis, 2007). If fewer particles are able to activate, the resulting ice crystals will be larger and less numerous (Teoh et al., 2022), which may decrease both the optical thickness and the spatiotemporal coverage of the cloud. Therefore, contrail-cirrus ERF is inherently sensitive to the characteristics of particles in the mixing plume.

Particles within an exhaust plume are either released by the engine (aircraft mode) or entrained during atmospheric mixing (ambient mode). Aircraft mode particles that evaporate (above) below 350 °C (623 K) (Saffaripour et al., 2020) are defined as (non-)volatile particulate matter (n)vPM. The largest vPM particles are formed from chemi-ions, which are generated during the combustion process (Yu and Turco, 1997). These chemi-ions scavenge sulphuric acid-water clusters and/or condensable particulate organic matter (POM) in the cooling plume to generate liquid aerosol particles with a number emission index $EI_{vPM} \sim 10^{17} \text{ kg}^{-1}$ (Yu et al., 1998). A smaller, more numerous population of vPM is generated from binary homogeneous nucleation of sulphuric acid and water (Kärcher and Fahey, 1997). However, these particles are efficiently scavenged by the larger particles, enhancing their growth depending on available sulphuric acid and/or POM (Kärcher et al., 2000). They are therefore unlikely to compete with the larger population for plume supersaturations, so we consider the larger population as plume vPM within this work. The geometric mean diameter (GMD) and hygroscopicity of plume vPM depends on the nature and availability of condensable gases in the aircraft exhaust (Kärcher et al., 2000); GMD_{vPM} is typically < 5 nm (Schumann et al., 2002) prior to contrail formation. Depending on the engine architecture, (semi-)vPM lubrication oil may also be released as larger droplets or gaseous emissions, with the latter capable of either nucleating new particles or contributing towards the condensable organic fraction (Decker et al., 2024; Ungeheuer et al., 2022). By contrast, EI_{nvPM} and GMD_{nvPM} do not change in young exhaust plumes, besides a minor increase to GMD_{nvPM} by accretion of condensable material. Under cruise



60 thrust settings, $GMD_{nvPM} \sim 35$ nm (Durdina et al., 2024) and exhibits dependence on fuel and combustor type (Moore et al., 2017; Voigt et al., 2021).

EI_{nvPM} varies by several orders of magnitude depending on the fuel and engine type (ICAO, 2024), with most engines operating in the “soot-rich” regime, where the majority of contrail ice crystals form via nvPM particles (Kärcher and Yu, 2009). Larger nvPM particles ($GMD_{nvPM} > GMD_{vPM}$) activate preferentially in the plume and can suppress water uptake on
65 plume vPM particles, defining “soot-rich” baseline conditions of approximately $EI_{nvPM} > 10^{15}$ kg⁻¹ (Kärcher, 2018). Upon reducing EI_{nvPM} , size differences no longer compensate for differences in relative abundance and concentrations of activated nvPM and plume vPM become comparable, characterizing the “transition regime” (Kärcher and Yu, 2009). Here, baseline conditions depend sensitively on the characteristics of all particle species. Recent developments in lean-burn technology have been shown to further reduce EI_{nvPM} into the “soot-poor” regime, where activated number concentrations become independent
70 of EI_{nvPM} as EI_{nvPM} decreases. “Soot-poor” baseline conditions have been shown to depend on the relative concentration of ambient particles and the characteristics of plume vPM, including EI_{vPM} and GMD_{vPM} (Yu et al., 2024). Nevertheless, while the role of vPM has been evidenced in model simulations of individual contrails, these effects have not yet been incorporated into global mean contrail-cirrus ERF estimates (Bier and Burkhardt, 2022; Teoh et al., 2024).

Generally, two complementary classes of models are used to describe contrail formation (Bier et al., 2022): those that
75 focus on jet dynamics and those that focus on plume microphysics. The former include 3D large eddy simulations (LES), which provide insight into the spatial heterogeneity within contrail mixing plumes (Lewellen et al., 2014). Inevitably, the high spatial resolution achieved in these simulations is achieved at the expense of detailed microphysical descriptions (Ferreira et al., 2024). To that end, several LES models prescribe water saturation as the critical condition for contrail ice formation (Paoli et al., 2013; Picot et al., 2015) or heterogeneous ice nucleation as the primary formation pathway (Khou et al., 2017, 2015),
80 both of which have been rejected by *in-situ* observations (Kärcher et al., 2015). More representative microphysical treatment can be achieved using 0D box- and parcel model simulations (Bier et al., 2022; Rojo et al., 2015; Yu et al., 2024). Here, the dilution of a parcel of exhaust air is simulated and microphysical phase transitions such as particle activation and homogeneous ice nucleation are tracked. While these models are unable to incorporate feedback between different plume parcels, which may otherwise lead to a diversity of particle history (Lewellen, 2020), they are configured for sophisticated treatment of complex
85 ice microphysics, which is critical for describing contrail properties (Yu et al., 2024).

Here, we undertake a literature review of the microphysical pathway to contrail formation, to better understand the role of plume vPM in contrail formation. We then extend two parcel models using detailed microphysics to account for activation of plume vPM. These models include: (i) a minimal microphysical framework developed by Kärcher et al (Kärcher et al., 2015), henceforth referred to using the shorthand K15 and (ii) a more complex numerical parcel model (pyrcel)
90 developed by Rothenberg and Wang (Rothenberg and Wang, 2016). The extended K15 model is designed for future integration into global contrail simulations, while the modified pyrcel model serves as a benchmark to highlight model discrepancies. After examining these discrepancies, we use the modified pyrcel model to perform a suite of sensitivity analyses, studying the



influence of the prescribed vPM size distribution characteristics and hygroscopicity, ambient temperature, and aircraft/fuel properties.

95 In Sect. 2, we provide an overview of elementary contrail thermodynamics (Sect. 2.1), the description of particle activation in contrail mixing plumes (Sect. 2.2) and implications for the SAC (Sect. 2.3). Next, we provide an overview of the underlying model framework (Sect. 3.1) and extensions (Sect 3.2 and Sect. 3.3), and the assumed particle characteristics used in this work (Sect. 3.4). We then evaluate the two models in Sect 4.1 and Sect 4.2, justifying use of the modified pyrcel model for several sensitivity analyses (Sect 4.3 – Sect. 4.6). Finally, we comment on several implications for future modelling studies
100 and wider impacts (Sect. 5).

2. Background

Here, we review the thermodynamic pathway to contrail formation and define the SAC framework (Sect. 2.1). We describe several fundamental principles of particle activation (Sect. 2.2) and use these to justify an extension to the original SAC framework (Sect. 2.3). Finally, we provide a theoretical framework for the parcel models used within this work (Sect. 2.4).

105 2.1 Thermodynamics of contrail formation

A cruising aircraft releases combustion emissions at a typical engine exhaust temperature $T_E \sim 600$ K, which depends on the engine architecture and bypass ratio (Cumpsty, 2003). The emissions are dispersed into the turbulent jet plume of the aircraft and are rapidly diluted by upper tropospheric air, cooling to the ambient temperature (T_A) within 1 s. We can use the partial pressure of water in the mixing plume ($p_{v,M}$) to navigate the transition between exhaust and ambient conditions. For isobaric
110 conditions, this property scales linearly between exhaust and ambient conditions (Rogers and Yau, 1996)

$$G = \frac{dp_{v,M}}{dT} = \frac{p_{v,E} - p_{v,A}}{T_E - T_A}, \quad (1)$$

where $p_{v,E}$ and $p_{v,A}$ represent the partial pressure of water in the exhaust and ambient air, respectively, and G is the gradient
115 of the mixing line. Provided we know the ambient conditions ($p_{v,A}$, T_A) and T_E , to fully constrain Eq. (1) we must also define either $p_{v,E}$ or G . Conveniently, the latter has been shown to depend on the aircraft and fuel properties (Schumann, 1996),

$$G = \frac{c_p \text{EI}_w P_T}{\varepsilon Q (1 - \eta)}, \quad (2)$$

120 where P_T is the ambient air pressure, c_p is the isobaric specific heat of air (1004 J kg^{-1}), EI_w is the mass emission index of water vapour, ε is the ratio of gas constants for water vapour and dry air (0.622), and η is the overall efficiency such that $(1 -$



η) represents the fraction of the total heat released per mass of fuel burned (Q) that is transferred to the exhaust gas. We can describe $p_{v,M}$ by re-arranging Eq. (1),

$$125 \quad p_{v,M} = p_{v,A} + G(T - T_A). \quad (3)$$

Often, it is useful to describe how the water or ice saturation ratio of the plume ($S_{v,M}$ and $S_{i,M}$, respectively) evolves during the mixing process (Fig. 1). Here, we define $S_{v,M}$ and $S_{i,M}$ within the mixing plume as follows,

$$130 \quad S_{v,M} = \frac{p_{v,M}}{p_{liq}(T)}, \quad (4)$$

$$S_{i,M} = \frac{p_{v,M}}{p_{ice}(T)}. \quad (5)$$

$p_{liq}(T)$ and $p_{ice}(T)$ represent the saturation vapour pressure above a plane surface of supercooled liquid water or ice, respectively (Murphy and Koop, 2005). In turn, we use Eq. (4) to define the liquid water supersaturation within the contrail mixing plume as $s_{v,M} = S_{v,M} - 1$.

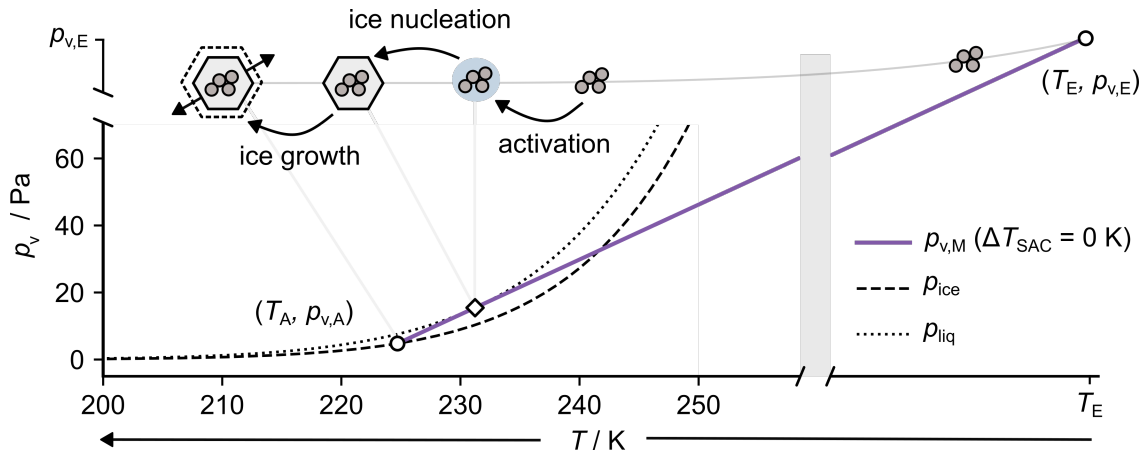


Figure 1: Partial pressure of water vapour (p_v) and temperature of the plume (T) during contrail mixing (purple line) under threshold conditions ($T_A = T_{SAC}$). Saturation vapour pressures above ice (p_{ice}) and water (p_{liq}) are also shown. Finally, a schematic representation of particle emission, activation and ice nucleation is shown. The latter two occur simultaneously within the original SAC framework. Here, we assume typical aircraft and fuel properties of (P_T , El_w , Q , η) of 25 kPa, 1.23 kg·kg⁻¹, 43.2 MJ and 0.3 for temperatures T_A and T_E of ~ 224 K and 600 K respectively.



To illustrate several features associated with contrail formation, we have shown an example of mixing behaviour for typical aircraft and fuel properties, see Fig. 1. The first feature is the crux of the SAC: contrail formation can only occur if $S_{v,M} > 1$ ($s_{v,M} > 0$). However, this represents only an approximate requirement for the activation of particles into liquid droplets, which we will discuss further in Sect. 2.2 and 2.3. Nevertheless, as originally defined, the SAC may be interpreted quantitatively as

$$\left. \frac{dp_{\text{liq}}(T)}{dT} \right|_{T_{\text{SAC}}} = G, \quad (6)$$

where the Schmidt-Appleman threshold temperature (T_{SAC}) as the temperature at which the contrail mixing line tangentially intersects p_{liq} . Under this definition, T_{SAC} represents the highest temperature at which contrail formation can occur in a water-saturated plume. The mixing behaviour presented in Fig. 1 is consistent with this threshold condition. Notably, for lower T_A and fixed $p_{v,A}$, the mixing line would be translated towards cooler T by an amount ΔT_{SAC} and the plume would become supersaturated during mixing. Hence, the parameter ΔT_{SAC} provides a useful indicator for the degree of supersaturation experienced by a contrail mixing plume. Importantly, because $p_{\text{liq}}(T)$ exceeds $p_{\text{ice}}(T)$ for all T , the SAC implies that the activation of particles into liquid droplets occurs before ice nucleation takes place.

This water saturation constraint is consistent with observations of young contrails (Kärcher et al., 2015) and implies a formation pathway of condensation followed by homogeneous ice nucleation (Murray et al., 2012). As such, we omit heterogeneous ice nucleation in our analysis, which would otherwise contradict this mechanism. The water saturation constraint is also motivated by particle properties because: (a) aircraft-emitted nvPM particles have previously been shown as inactive heterogeneous ice nuclei (Testa et al., 2024); (b) only a small subset of ambient tropospheric aerosol particles (mineral dust, ammonium sulfate and amorphous organic) are efficient heterogeneous ice nuclei (Kärcher et al., 2023) and these have low number concentrations at cruise altitudes (relative to lean-burn EI_{nvPM}); and (c) plume vPM is likely to be at least partly soluble and therefore inactive as heterogeneous ice nuclei. Therefore, in the context of nvPM, the water saturation constraint implies that particles first activate to form water droplets, after which they act as passive inclusions, with rates of homogeneous ice nucleation controlled by the ambient temperature and volume of adsorbed water. In the context of plume vPM and ambient particles, we extend this analysis and demonstrate that the process of ice formation is again strictly sequential, with particle activation occurring before homogeneous ice nucleation, see SI S1. For this reason, contrail formation is dictated by the conditions under which particle activation takes place, which are addressed in Sect. 2.2.



175 2.2 Aerosol particle activation and the κ -Köhler framework

The equilibrium saturation ratio over a particle may be described as a balance of two interactions (Seinfeld and Pandis, 1998). The first of these is the Kelvin (also commonly referred to as the “surface curvature”) effect. Consider the situation whereby a particle with (dry particle) diameter d_d is activated to form a pure liquid droplet with a (wet particle) diameter of d_w . Due to surface tension at the water-air interface, a decrease in the droplet diameter leads to an increase in the partial pressure of water vapour at the droplet surface, and hence an increase in the water saturation ratio (S_v). This effect is parameterized as

$$S_v = a_w e^{\left(\frac{4\sigma_s M_w}{RT \rho_w d_w}\right)}, \quad (7)$$

where σ_s is the surface tension of the liquid/air interface, M_w is the molar mass of water, ρ_w is the density of the liquid, R is the global gas constant, T is the temperature and a_w is the activity of water in solution (Petters and Kreidenweis, 2007). For particles that are entirely insoluble, the liquid surrounding the particle will be pure water and we can approximate $a_w = 1$, reducing Eq. (7) to the Kelvin equation (Pruppacher and Klett, 1980). This simplified treatment of insoluble particle activation has been revisited by several studies that consider multilayer adsorption mechanisms (Kumar et al., 2009; Sorjamaa and Laaksonen, 2007), although the application of these approaches is limited by the availability of experimental data. In contrast, if the particle is not entirely insoluble (for example, the insoluble core may contain a soluble coating), the value of a_w (and S_v) is reduced, thus defining the second, solute effect. In this case, the activity of water in solution may be treated using the parameterization proposed by Petters and Kreidenweis (Petters and Kreidenweis, 2007). Here, the particle is described using a hygroscopicity parameter (κ) which is related to the bulk material solubility (Sullivan et al., 2009), and a_w is given by

$$a_w = \frac{d_w^3 - d_d^3}{d_w^3 - d_d^3(1-\kappa)}, \quad (8)$$

where, for an internally mixed particle, κ is given as a volume-weighted sum over the individual components. Notice that Eq. (7) collapses to $a_w = 1$ if $\kappa = 0$, which is the approximate limit for a non-hygroscopic (insoluble) particle. Above this limit, κ is typically represented on a logarithmic scale, with slightly hygroscopic materials ($\kappa < 0.01$) less capable of water uptake than very hygroscopic materials ($\kappa > 0.5$) (Petters and Kreidenweis, 2007). Combining Eq. (7) and Eq. (8), we recover the final form of κ -Köhler theory,

$$S_v = \frac{d_w^3 - d_d^3}{d_w^3 - d_d^3(1-\kappa)} e^{\left(\frac{4\sigma_s M_w}{RT \rho_w d_w}\right)}. \quad (9)$$

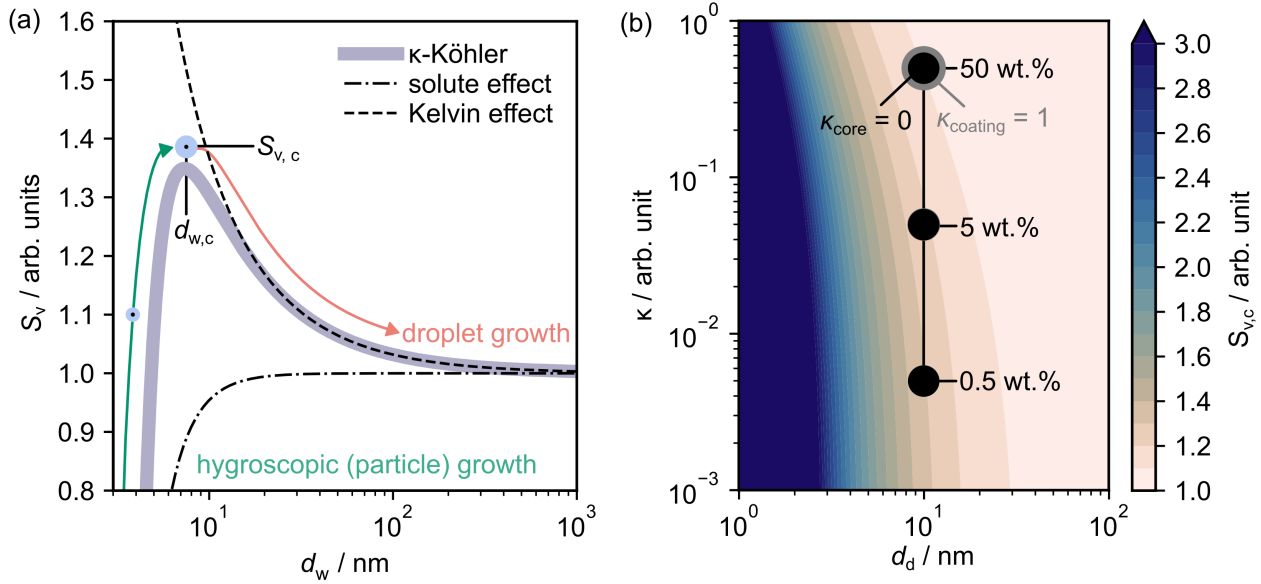


Figure 2: (a) Water saturation ratio (S_v) with increasing wet particle diameter (d_w) as the combination of the solute and Kelvin effects. The critical diameter ($d_{w,c}$) and the associated critical saturation ratio ($S_{v,c}$) mark the point at which a particle is considered activated (b) $S_{v,c}$ is shown as a function of the dry particle diameter (d_d) and particle hygroscopicity. Values for insoluble ($\kappa = 0$) particles with variable highly soluble ($\kappa = 1$) coatings have been shown for reference.

According to Eq. (9), S_v increases with d_w up to a maximum at $(d_{w,c}, S_{v,c})$, beyond which it declines, see Fig. 2a. These critical parameters may be estimated for a given particle type and at a given T by setting the derivative of Eq. (9) equal to zero and solving numerically. In the context of particle activation, it is more instructive to explore how d_w depends on the ambient saturation ratio, remaining mindful that the inverse function $d_w = d_w(S_v)$ is multivalued. To that end, as the ambient S_v is increased over a particle, initially growth is regulated by the solute effect and the particle diameter increases by slow, hygroscopic growth. Upon further increasing S_v , the relative magnitudes of the Kelvin and solute effects balance at the critical point $(S_{v,c}, d_{w,c})$ (Seinfeld and Pandis, 1998). Here, the particle is said to have been activated, and subsequent growth is regulated by kinetic droplet growth outlined in SI S2. In summary, a particle is considered activated to form a water droplet once the ambient S_v exceeds $S_{v,c}$.

Figure 2b shows that $S_{v,c}$ exhibits three key trends: (i) it increases with both d_d and κ ; (ii) it is more sensitive to changes in d_d than κ (i.e., $\frac{dS_{v,c}}{dd_d} \gg \frac{dS_{v,c}}{d\kappa}$); and (iii) it approaches 1 in the limit $(\kappa, d_p) \rightarrow (1, \infty)$, $S_{v,c} \rightarrow 1$, for which additional justification is provided in SI S3. In Figure 2b we have also shown how $S_{v,c}$ varies for a series of mixed particles with insoluble ($\kappa = 0$) cores and variable coatings of hygroscopic ($\kappa = 1$) material (0.5 wt.% - 50 wt.%) with similar total dry particle diameters $d_d = 10$ nm. These highlight the importance of soluble coatings when considering plume particle activation, which is a feature we will return to in Sect. 4.5.



2.3 Extended Schmidt-Appleman framework

As discussed in Sect. 2.1, the SAC assumes that contrails are formed if the mixing line becomes (super)saturated with respect to water during plume evolution ($S_v \geq 1$). However, as outlined in SI S3, this criterion cannot be reconciled with the minimum requirement for (even hygroscopic) particle activation.

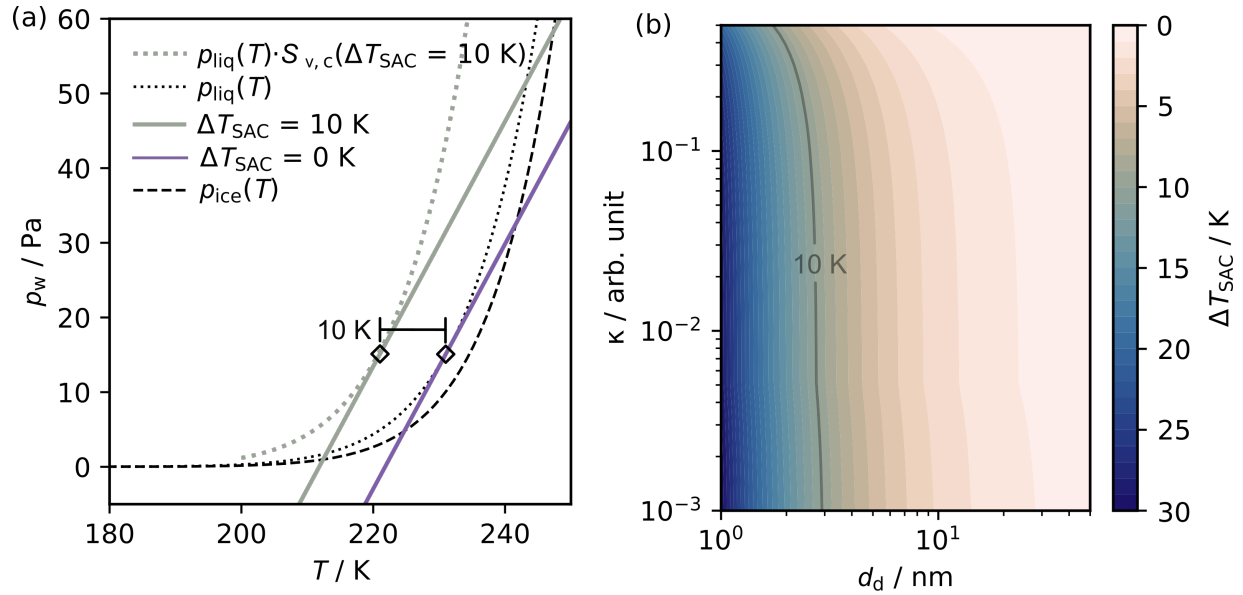


Figure 3: (a) Saturation vapour pressure above water (p_{liq}) (purple, dotted) and a contrail mixing line (purple, solid) for threshold conditions, $\Delta T_{SAC} = 0$ K. Particle properties (d_d, κ) have been chosen so that the modified saturation vapour pressure above water $p_{liq} \cdot S_{v,c}(d_d, \kappa)$ (green, dotted) translates threshold conditions by 10 K, so $\Delta T_{SAC} = 10$ K (green, solid). (b) Extension of panel (a) to show dependence of ΔT_{SAC} on d_d and κ . Combinations of particle properties resulting in $\Delta T_{SAC} = 10$ K have been shown (green, solid). In all cases, $S_{v,c}$ is estimated conservatively using $T = 220$ K.

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Instead, suppose that a contrail mixing contains a single particle mode with properties (d_d, κ). Now, the criterion for contrail formation is that the contrail mixing line must contact $p_{liq} \cdot S_{v,c}(T, d_d, \kappa)$ at least once during the mixing process. Figure 3a shows that when these particle properties are included, the threshold requirement for activation (previously water saturated conditions, black dotted line) is elevated (green dotted line). Using Eq. (9), the particle dependence of $\Delta T_{SAC} = \Delta T_{SAC}(T, d_d, \kappa)$, can be determined and is shown in Fig. 3b. Alternatively, we can consider the parameter $\Delta T_{SAC}(T, d_d, \kappa)$ presented in Fig. 3b as an *effective* Schmidt-Appleman temperature, $T_{SAC}(d_d, \kappa)$, the temperature below which contrail formation takes place when the plume contains particles with properties d_d and κ . Figure 3b also enables us to estimate for which particle types the SAC framework (as outlined in Sect. 2.1) remains applicable, under a specified temperature uncertainty.

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245 For particles with $\kappa > 0.6$ and/or $d_d > 20$ nm, $\Delta T_{\text{SAC}}(T, d_d, \kappa) < 2$ K, which is close to the typical uncertainty associated with low-altitude temperature measurements during *in-situ* campaigns, $\Delta T = \pm 1.9$ K (Bräuer et al., 2021). Therefore, for “soot-rich” emissions, where the typical nvPM particles have $d_d > 20$ nm, the condition for applying the original SAC is valid within measurement uncertainty. This explains the success of this approach over the past decades and across various measurement campaigns (Schumann et al., 2017). However, with reduced soot emissions, exhaust material will be enriched
250 with smaller plume vPM particles. Under these circumstances, the SAC is less effective at predicting the temperature at which *most particles* activate, see Fig. 3b.

3. Model

Here, we outline the fundamental relations used to describe a parcel model for contrail formation (Sect. 3.1), including a basic overview of the original K15 model. Next, we outline several extensions made to the K15 model, so that it can be applied to
255 treat plume vPM activation (Sect. 3.2). Then, we outline modifications made to a preexisting cloud parcel model, pyrcel (Rothenberg and Wang, 2016), to simulate the early stages of contrail formation (Sect. 3.3). Finally, we describe the parameter space used in our model simulations (Sect. 3.4).

3.1 Contrail parcel model

As discussed in Sect. 2.2, the critical parameter governing uptake of water onto particles is the plume supersaturation, S_v . The
260 supersaturation within a parcel of air can be described as

$$S_v = \frac{p_T}{p_{\text{liq}} \varepsilon} w_v, \quad (10)$$

where ε is the ratio of the molar mass of water (18.02 gmol⁻¹) to the molar mass of dry air (28.97 gmol⁻¹) and w_v is the water vapour mixing ratio, the mass of water vapour per unit mass of dry air contained within the parcel. We assume that contrail mixing occurs adiabatically at constant atmospheric pressure so that upon differentiating Eq. (10) with respect to time and
265 collecting like-terms, we have

$$\frac{dS_v}{dt} = \frac{p_T}{p_{\text{liq}} \varepsilon} \frac{dw_v}{dt} - S_v \frac{1}{p_{\text{liq}}} \frac{dp_{\text{liq}}}{dt}, \quad (11)$$

$$\frac{dw_v}{dt} = - \frac{dw_c}{dt}. \quad (12)$$

Equation (12) is a statement of mass conservation: any change in the water vapour content of the parcel must have an equal, but opposite effect on the condensed liquid content, $\frac{dw_c}{dt}$, provided there is no entrainment. Although we will later explicitly
270 describe entrainment of ambient aerosol within the mixing contrail plume, we assume that entrainment of ambient tropospheric water vapour does not impact the contrail plume evolution. This is because the plume vapour mixing ratio at the plateau in particle activation is typically several orders of magnitude larger than background levels. Therefore, the entrainment of small



quantities of ambient tropospheric air is assumed to have a negligible impact on the final droplet number concentration, and as such, this process is omitted from Eq. (11). We can generalize Eq. (11) in the form

$$275 \quad \frac{dS_v}{dt} = P_w - L_w, \quad (13)$$

where P_w represents the rate of change of supersaturation without particles in the plume, and L_w represents the rate of change of supersaturation resulting from (a) particle activation to form water droplets and (b) growth of droplets and/or ice crystals. Our description for P_w derives from the description of contrail mixing outlined in Sect. 2.1 and is given by (Kärcher et al., 2015)

$$280 \quad P_w = \frac{dS_{v,M}}{dt} = \frac{d}{dT} \left(\frac{p_{v,M}}{p_{liq}} \right) \frac{dT}{dt}, \quad (14)$$

where dT/dt is the cooling rate in the plume. Using the description of mixing provided by Kärcher et al., 2015, next we will outline the equations necessary to estimate the cooling rate. For a full description of the parameters and underlying approximations, the reader is directed to the original text and references therein. The contrail mixing plume cools as it is diluted with ambient air according to the dilution factor

$$D(T) = \frac{T - T_A}{T_E - T_A}, \quad (15)$$

290 which describes how the cross-sectional area of the plume decreases with decreasing ambient temperature. The temporal evolution of the plume is then described by

$$\frac{dT}{dt} = -\beta \frac{T_E - T_A}{\tau_m} D^{1+1/\beta}, \quad (16)$$

$$295 \quad t = \tau_m D^{-1/\beta}, \quad (17)$$

where τ_m is the timescale over which the contrail mixing parcel is unaffected by entrainment and β is a constant dilution parameter. Therefore, if we have knowledge of the aircraft and ambient conditions, using Eq. (15) – (17), we are now able to describe P_w within the contrail mixing parcel at a given temperature. These relations also govern particle dilution within the cooling contrail mixing parcel. To that end, the total particle number concentration of aircraft (n_T) and ambient ($n_{a,T}$) particle modes are respectively



$$n_T = \frac{D(T)\rho_a(T)}{\mathcal{N}_0} EI, \quad (18)$$

$$n_{a,T} = \frac{T_A}{T} [1 - D(T)] n_{a,0}, \quad (19)$$

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where ρ_a is the mass density of ambient air, EI represents the emission index of the aircraft mode, $n_{a,0}$ represents the ambient number concentration far from the plume and $\mathcal{N}_0$ is the mass-based mixing ratio according to the K15 model. Particle types are assumed to conform to lognormal particle size distributions and described using a fixed (total) hygroscopicity parameter, κ . For this reason, only a fraction of the total particles within a given mode will be able to activate under a given set of ambient conditions. The activated number concentration can be estimated by integrating over the particle size distribution using Eq. (9), using a methodology outlined in SI S4. The second term in Eq. (13), L_w , may be expressed as (Korolev and Mazin, 2003)

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$$L_w = \frac{P_T}{e_s^0 \varepsilon} \frac{dw_c}{dt}; \quad \frac{dw_c}{dt} = \frac{4\pi\rho_w}{\rho_a} \sum_{i=1}^n n_{w,i} r_{w,i}^2 \dot{r}_{w,i}, \quad (20)$$

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where $n_{w,i}$ is the number concentration of droplets, $r_{w,i}$ is the droplet radius and $\dot{r}_{w,i}$ is the droplet growth rate of these droplets, see SI S2. In Eq. (20), we have summed over contributions from each of the droplets at a given time t . Another useful formulation of Eq. (20) is

$$L_w = \frac{P_T}{p_{liq} \varepsilon} \frac{4\pi\rho_w}{\rho_a} \int_0^\infty r_0 \frac{dn_w}{dr_0} \int_{-\infty}^t \dot{n}_w(t_0) r_w^2(t, t_0) \dot{r}_w(t, t_0) dt_0, \quad (21)$$

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where $\dot{n}_w(t_0) dt_0$ is the number density of aerosol particles that activate between times t_0 and $t + dt_0$, $4\pi r_w^2(t, t_0)/v$ is the volumetric flux of water towards water droplets at time t , that first formed at time $t_0 < t$, and $\dot{r}_w(t, t_0)$ is the growth rate of these droplets at time t (Kärcher and Lohmann, 2002a). We have also integrated over the size distribution of activated particles, $\frac{dn_w}{dr_0}$. In Eq. (21), rather than summing over contributions from each droplet at a given time t , we have instead integrated over each time $t_0 < t$ and estimated the projected contribution towards the final time t . Equations (20) and (21) are therefore equivalent representations, that are suited to different applications.

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Finally, the contrail mixing behaviour can be described using P_w in combination with either expression for L_w . The formulation in Eq. (20) is used in traditional cloud parcel models using discretized particle and droplet size distributions, which enables Eq. (13) to be solved iteratively as a function of time. This forms the basis for the pyrcel model, which is described in more detail in Sect. 3.3. On the other hand, in the K15 model, the formulation in Eq. (21) is solved analytically at each timestep, assuming that particle activation and droplet growth at time $t < t_0$ does not affect S_v at time t_0 . At each time t , we estimate the critical droplet number concentration required to prevent any further change in the plume saturation ratio ($\frac{dS_v}{dt} = 0$). Using Eq. (21), we can define the mean supersaturation loss rate per droplet as $R_w = L_w / n_w(t)$ and reformulate this critical droplet

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number concentration as $n_w^{(2)}(t) = \frac{P_w}{R_w}$. As time evolves and S_v increases, so too will the number concentration of droplets, $n_w^{(1)}(t)$. At some threshold time, the number concentration of droplets will be equal to the critical droplet number concentration i.e., $n_w^{(1)}(t) = n_w^{(2)}(t)$. Assuming the plume saturation ratio has equilibrated, no further activation can take place after this time (Twomey, 1959). Hence, this condition defines a lower bound for the maximum number of water droplets that can form during the mixing process, providing an estimate for the contrail ice crystal number concentration. This process forms the basis of the K15 model approach, for which several extensions are described in Sect. 3.3.

3.2 Model extensions for K15

The original K15 model only considers aircraft-emitted nvPM and ambient particles, both of which contain a single particle type and are described using assumed lognormal particle size distribution characteristics and κ . This approach is applicable to nvPM particles because their size distribution characteristics do not change significantly over time and κ is dominated by the insoluble nvPM fraction. This approach is also applicable to ambient solution droplets, because once entrained into the plume, these droplets are readily activated due to the high ($S_v \gg 1$) plume supersaturations. Therefore, small changes in their size distribution characteristics that are not captured by the K15 model are relatively insignificant. Considering this, our first extension to the K15 model is to introduce plume vPM (Yu et al., 1998) as an additional particle type. We define plume vPM to consist of condensable gaseous material including (but not limited to) sulphuric acid, organic material, and engine lubrication oil. Simulations show that the size distribution characteristics of plume vPM evolve over time due to condensational growth and coagulation (Kärcher et al., 2000). However, here we are concerned with the contrail formation phase 0.1 – 1 s into plume dilution, so we prescribe plume vPM properties expected at these timescales. As such, our model cannot be used to *predict* the properties of plume vPM. Instead, we *prescribe* plume vPM using a fixed emission index, κ and particle size distribution characteristics, and evaluate the model sensitivity to these variables under typical ranges. In SI S5 we also investigate the use of a more complex ambient particle description comprising 7 independent particle types. The particle size distribution characteristics and hygroscopicity of these particle types are estimated using outputs from the global aerosol-climate model ECHAM-HAM (Zhang et al., 2012). Overall, we suggest that incorporating these additional ambient particle types could enhance AE_{ice} by an order of magnitude when most ice crystals form via ambient particles. Next, there are several microphysical processes within the K15 model which are described using analytical approximations. Our extensions focus on either improving the accuracy of these approximations or removing them altogether in favour of numerical solutions. Firstly, the analytical approximation used to estimate the activated number concentrations for a given particle type can lead to overpredictions for $S_v < S_{v,c}$ (GMD, κ , T) (SI S6). To address this, we updated the methodology to estimate activated particle number concentrations using an exact analytical solution.

Secondly, the K15 model estimates $S_{v,c}(T, d_d, \kappa)$ using an approximate analytical solution derived from Eq. (9). We compared this with the full numerical solution and show that the K15 approximation systematically underpredicts critical



365 supersaturations ratios for κ (< 0.2), with larger discrepancies upon reducing GMD (SI S7). Hence, we solve Eq. (9) numerically when identifying critical supersaturations, in line with Bier and Burkhardt, 2022.

The aforementioned approximations each indirectly relate to the P_w term, however the final approximation relates to the L_w term. The methodology for estimating contrail ice crystal number concentrations outlined in K15 relies on analytical solutions for both P_w and L_w . In SI S8 we overview the relevant equations used to estimate L_w and give an account of the various approximations used in its derivation. After considering these, we suggest the following modification. The solution to L_w provided in K15 is valid only for when particle activation occurs more quickly than droplet growth i.e., the “slow-growth” regime (Kärcher and Lohmann, 2002b). After incorporating a plume vPM particle mode, this condition is not necessarily satisfied, so we use a regime-independent analytical solution (Kärcher et al., 2006).

375 There are two outstanding limitations in the extended K15 model that cannot be resolved. First, we found no means of introducing the Kelvin correction into the solution for L_w (via the droplet growth equation). Omitting the Kelvin effect in the droplet growth equation acts to increase the radial growth rate (SI S2). Hence, the ability for droplets to deplete the plume supersaturation is increased and so a lower droplet number concentration is required to quench the ambient supersaturation. This effect is relevant for smaller particles such as plume vPM, where the magnitude of the Kelvin correction is increased. Second, an analytical solution for the inner integral of Eq. (21) requires that L_w is independent of the plume supersaturation, so that droplet formation at time $t_0 < t$ does not deplete the plume supersaturation at time t . However, as defined in Eq. (21), L_w is coupled to the plume supersaturation via the droplet growth equation. We anticipate this may be problematic when two or more particle types with comparable number concentrations but different $S_{v,c}$ coexist. Here, one particle type will preferentially activate and grow, subsequently depleting the supersaturation available for the second particle type and thereby inhibiting its activation and growth. For this reason, the extended K15 does not account for the temporal depletion of supersaturation or competition between particle types.

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3.3 Model modifications for Pycel

Pycel is a 0D cloud parcel model used to simulate the evolution of a parcel of air as it ascends adiabatically at a constant updraft speed (Rothenberg and Wang, 2016). The model is initialized by defining the aerosol population using lognormal particle size distributions, which are discretized into bins. These particle properties are used in combination with the initial ambient conditions to establish an equilibrium wet particle size distribution. Next, the conservation relations Eq. (11, 13, 21) are iterated forward in time and the wet particle radii within each bin are tracked using a Lagrangian grid. For a fuller description of the underlying model mechanics, the reader is directed to the original paper and references therein (Rothenberg and Wang, 2016). In contrast to the K15 model, pycel explicitly considers the feedback of water vapour between different droplet populations and P_w . However, pycel was originally written to describe the formation of warm-phase clouds, therefore several modifications were made for application to contrail formation.

Firstly, we replace the original P_w term (deriving from parcel updraft) with the definition in Eq. (14). Secondly, we remove the time-dependence of altitude and pressure, under the assumption of an adiabatic expansion at constant atmospheric pressure as described in Sect. 3.1. Finally, we derive initial particle number concentrations for the aircraft modes from the associated emission indices using Eq. (18, 19). After these changes, the modified pycel model and extended K15 models provide an identical description of P_w . However, the coupling of P_w to L_w is fully represented only within the modified pycel model.

Additionally, we also extended pycel to include homogeneous ice nucleation within growing water droplets. For a complete description of the relations used to arrive at an estimate for the homogeneous ice nucleation temperature, the reader is directed to (SI S1). In brief, we estimate the rate of homogeneous ice nucleation within a droplet using its water activity (Koop et al., 2000), identified using Eq. (8) as in Lewellen, 2020. The probability for ice nucleation within the droplet is then determined by assuming a pulse-like freezing process according to the methodology employed in K15. If the probability equals unity, we assume that the supercooled droplet freezes. The growth of ice crystals by deposition of (ice) supersaturated water vapour is then described using equations analogous to those in SI S2, where water saturation in the numerator is replaced with ice saturation, which represents the driving force for vapour deposition. For additional information on the exact form of these expressions, the reader is directed towards Pruppacher and Klett, 1980. As outlined above, our implementation is sufficiently flexible to capture homogeneous ice nucleation events within droplets that may not (yet) be considered activated ($d_w < d_{w,c}$).

3.4 Assumed particle properties

We use a conservative parameter space for the properties of each particle mode, see Table 1. We note that both EI_{nvPM} and the particle size distribution characteristics can change with use of sustainable aviation fuel (SAF) (Märkl et al., 2024; Schripp et al., 2022), however these fall within larger uncertainties in our simulations. Recently, contrail parcel model simulations have been performed assuming a smaller GMD_{nvPM} , consistent with the primary particle diameter rather than the aggregate particle diameter (Yu et al., 2024). While the ice-nucleating ability of larger soot particles has been shown to depend on particle



morphology (Marcolli et al., 2021), there is a paucity of data available for activation and ice nucleation on surrogate aircraft
 430 nvPM. Therefore, here we choose to identify GMD_{nvPM} as the aggregate particle diameter in line with other studies (Kärcher
 et al., 2015). Nevertheless, we evaluate the model sensitivity to the choice of nvPM primary particle or aggregate diameter in
 detail (see SI S9) and find that (i) AEI_{ice} predictions agree within 40% and (ii) the functional behaviour is similar. Finally, we
 assume that the nvPM mode contains a 1% wt. coating of soluble material as observed for ground-based emissions testing at
 moderate fuel sulphur content (FSC) (Gysel et al., 2003), and is therefore characterised by $\kappa = 0.005$ in accordance with the
 435 original K15.

The properties of the ambient mode are taken directly from the original K15 model inputs. We argue that these
 properties are sufficient to investigate the competition between the three modes under most conditions, however a more
 sophisticated description would be required to examine sensitivity if most ice crystals formed via ambient particles (Bier et
 al., 2023), see SI S5.

440 For the plume vPM mode, we assume a fixed emission index $EI_{vPM} = 10^{17} \text{ kg}^{-1}$ in line with modelling and
 observational evidence (Arnold et al., 2000; Haverkamp et al., 2004; Schumann et al., 2002; Sorokin and Mirabel, 2001).
 Similarly, we identify a provisional size range of $1 \text{ nm} < GMD_{vPM} / \text{nm} < 4$ and GSD of 1.3 (Kärcher et al., 2000; Yu et al.,
 1998). These are the characteristics at the timescale of particle activation and droplet growth in the nascent contrail mixing
 plume ($\sim 1 \text{ s}$); however, we can also estimate the (maximum) equilibrium plume vPM diameters (d_{vPM}) that would be reached
 445 at $t \gg 1$ using a mass balance. Among other factors, the characteristics of the plume vPM particle size distribution are
 constrained by the quantity of available sulphuric acid and POM. The former contribution is produced by oxidation of FSC,

$$EI_{H_2SO_4} = \frac{98}{32} \varepsilon_s FSC, \quad (21)$$

450 where ε_s is the conversion efficiency of sulphur to sulphuric acid, which is typically on the order of 3% (Yu et al., 2024). The
 latter contribution represents the sum of condensable gaseous emissions, which has previously been estimated in the range 1
 – 40 mg/kg for relevant, combustion-related organics (Yu et al., 1999) in addition to potential non-combustion lubrication oil
 emissions of 110 mg/kg (Decker et al., 2024). As outlined in Sect. 1, we can assume that the plume vPM mode can be treated
 as monomodal, as the smaller mode (i) requires a higher critical supersaturation for activation and (ii) is effectively scavenged
 455 by the larger mode. Conservation of mass enables us to estimate d_{vPM} , for the plume vPM mode (Kärcher et al., 2000)

$$\frac{\pi}{6} d_{vPM}^3 \rho_{vPM} EI_{vPM} = \frac{98}{32} \varepsilon_s FSC + EI_{POM}, \quad (22)$$

where ρ_{vPM} is the density of the volatile mode. Using Eq. (22), we can therefore estimate d_{vPM} for given EI_{POM} and FSC, which
 460 will be explored in Sect. 4.5. Concerning particle hygroscopicity, we therefore assume a mixed plume vPM mode comprising
 sulphuric acid ($\kappa = 0.5$) and condensable POM, for which we use a conservative lower bound of $\kappa = 0$ in line with measurements



of non-hygroscopic lubrication oil droplets (Ponsonby et al., 2024). However, we acknowledge that the value of κ for condensable POM is likely to vary with changes in fuel and/or engine architecture. To that end, we evaluate our sensitivity analyses in the extreme cases of both sulphur-rich plume vPM (volume mixing ratio of 95:5 sulphuric acid: condensable POM, $0.05 \cdot \kappa_{\text{POM}} + 0.95 \cdot \kappa_{\text{H}_2\text{SO}_4} \sim 0.5$) and organic-rich plume vPM (volume mixing ratio of 5:95 for sulphuric acid: condensable POM, $0.95 \cdot \kappa_{\text{POM}} + 0.05 \cdot \kappa_{\text{H}_2\text{SO}_4} \sim 0.01$).

Table 1: Assumed characteristics and associated ranges for each particle type used within our parcel model simulations. List of references in table: [1] (Arnold et al., 2000) [2] (Haverkamp et al., 2004) [3] (Schumann et al., 2002) [4] (Sorokin and Mirabel, 2001) [5] (Kärcher et al., 2000) [6] (Yu et al., 1998) [7] (Ponsonby et al., 2024) [8] (ICAO, 2024) [9] (Durdina et al., 2024) [10] (Petzold et al., 2005) [11] (Kärcher et al., 2015)

Mode properties	EI / kg^{-1}	GMD / nm	GSD / no units	κ / no units
Plume vPM	10^{17} [1 – 4]	1 – 4 [5]	1.3 [6]	0 – 0.5 [7]
nvPM	$10^{12} - 10^{16}$ [8]	35 [9]	2.0 [9]	0.005 [10]
ambient [11]	600 cm^{-3}	30	2.2	0.5

4. Results and discussion

Here, we present the results of both the extended K15 model and modified pyrcel models. Based on these results, we then use the modified pyrcel model to run a set of sensitivity analyses and explore how the apparent emission index of ice crystals (AEI_{ice}) within a contrail depends upon particle properties (Sect. 4.3 and 4.5), T_A (Sect. 4.4) and aircraft parameters (Sect. 4.6).



4.1 Contrail mixing behaviour

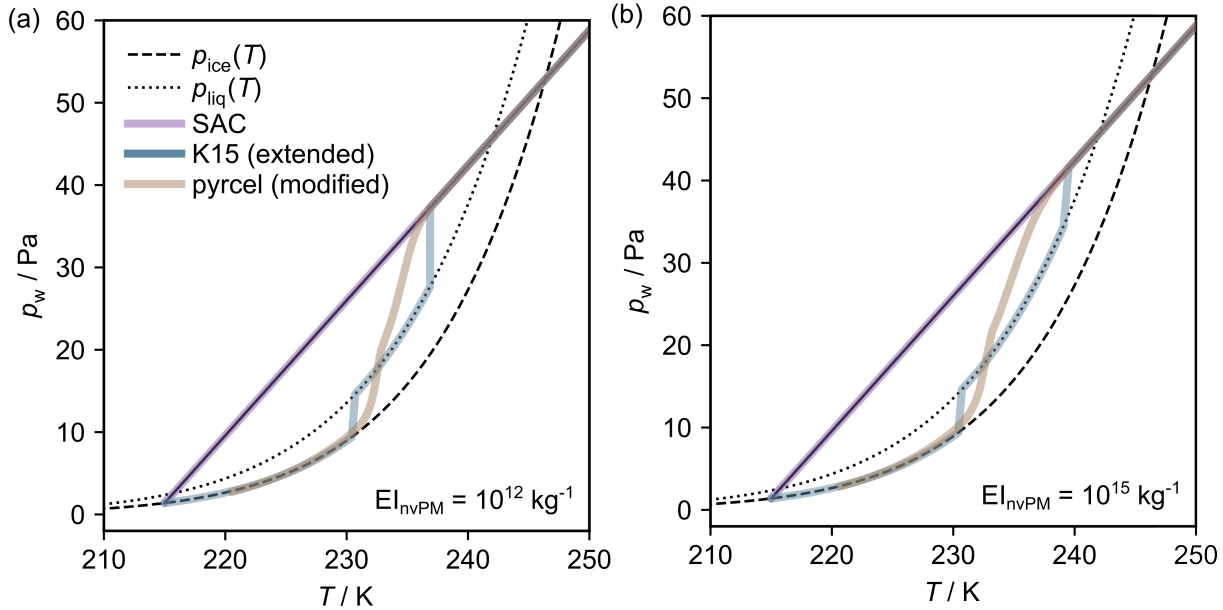


Figure 4: Contrail mixing lines simulated with assumed EI_{nvPM} of (a) 10^{12} kg^{-1} and (b) 10^{15} kg^{-1} . For both scenarios, the mixing lines are simulated using the original SAC framework (purple lines), the extended K15 model (blue lines), and the modified pyrcel model (brown lines). Each of the simulations has been set to terminate at ice saturated conditions. These model predictions have been made with plume vPM ($EI_{vPM} = 10^{17} \text{ kg}^{-1}$).

Figure 4 shows the typical mixing behaviour as predicted by extended K15 and modified pyrcel models. These are compared to profiles in the absence of any particle intervention (SAC-type mixing behaviour), which is encapsulated by P_w . In both the extended K15 model and modified pyrcel models, the partial pressure of water within the plume follows a similar trend. When the conditions in the plume are subsaturated (i.e., $S_v < 1$), both models align with SAC-type mixing behaviour since very few particles can activate. Hence, $L_w \sim 0$ and the mixing is dominated by P_w . However, once the plume becomes supersaturated, a proportion of the particles activate to form aqueous solution/water droplets. Here, L_w gradually increases to a maximum, before equilibrating with P_w at water saturated conditions.

This process of “activation-relaxation” (see warmer-temperature vertical regions of blue line in Fig. 4) is approximated within the extended K15 model by estimating the supersaturation at which this takes place and an associated timescale, see SI S8. Notice that because particles require supersaturated conditions to activate ($S_{v,c} > 1$), the onset temperature for “activation-relaxation” within the extended K15 model occurs after the point of intersection between the contrail mixing line and p_{liq} . These findings are in qualitative agreement with the modified pyrcel outputs, although they cannot be directly compared. Moreover, the temperature difference is more pronounced for lower EI_{nvPM} ($\sim 2, 5 \text{ K}$ for $EI_{nvPM} = 10^{15} \text{ kg}^{-1}$, 10^{12} kg^{-1}).



1) as the number-weighted particle diameter is smaller which increases $S_{v,c}$. This again highlights the importance of considering particle properties when determining the point at which most particles activate (see Sect. 2.3).

4.2 Peak plume supersaturation

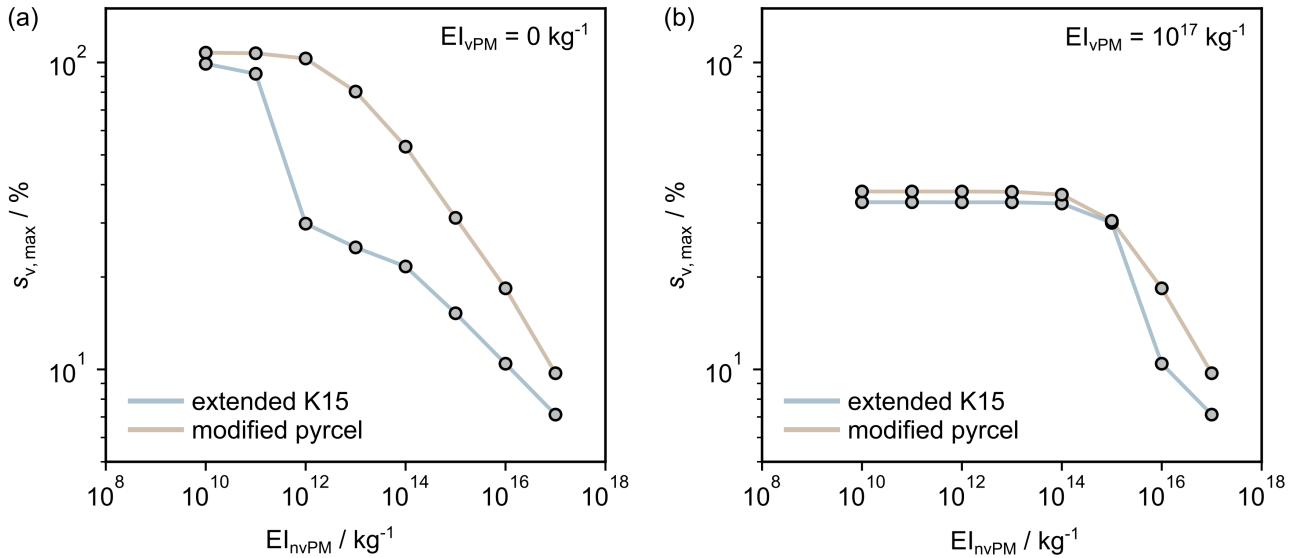


Figure 5: The maximum plume supersaturation ($s_{v,max}$) across a range of EI_{nvPM} as predicted by the modified pyrcel (brown lines) and extended K15 (blue lines) models. These model predictions have been made (a) without; and (b) with the presence of plume vPM ($EI_{vPM} = 10^{17} \text{ kg}^{-1}$).

On average across EI_{nvPM} , the maximum plume supersaturations predicted by the extended K15 model are ~10–70 % lower than those predicted by the modified pyrcel model, see Fig. 5. Without plume vPM, the largest discrepancies occur when competition between nvPM and ambient particles is largest (at $EI_{nvPM} \sim 10^{12} \text{ kg}^{-1}$, see Fig. 5a). However, when vPM is included, model discrepancies are largest when most contrail ice crystals form via nvPM, see Fig. 5b. Given both pyrcel and the extended K15 model employ an identical treatment of P_w Eq. (14), these model discrepancies must arise from the treatment of L_w . It follows that underestimating the supersaturation at which activation relaxation takes place implies the extended K15 likely overestimates the ability for water droplets to deplete the plume supersaturation. In the final part of Sect. 3.2, we comment on a fundamental limitation of the extended (and original) K15 model that could lead to this discrepancy, which centres around the treatment of L_w . In brief, preparing an analytical solution to Eq. (21) demands that (a) the plume supersaturation is unaffected by particle activation and subsequent droplet growth between adjacent timesteps and (b) the Kelvin effect is discarded from the droplet growth equations. A combination of these effects accounts for the observed behaviour shown in Fig. 5, which underlines the principal limitations of the extended K15 model.



4.3 Sensitivity to EI_{nvPM}

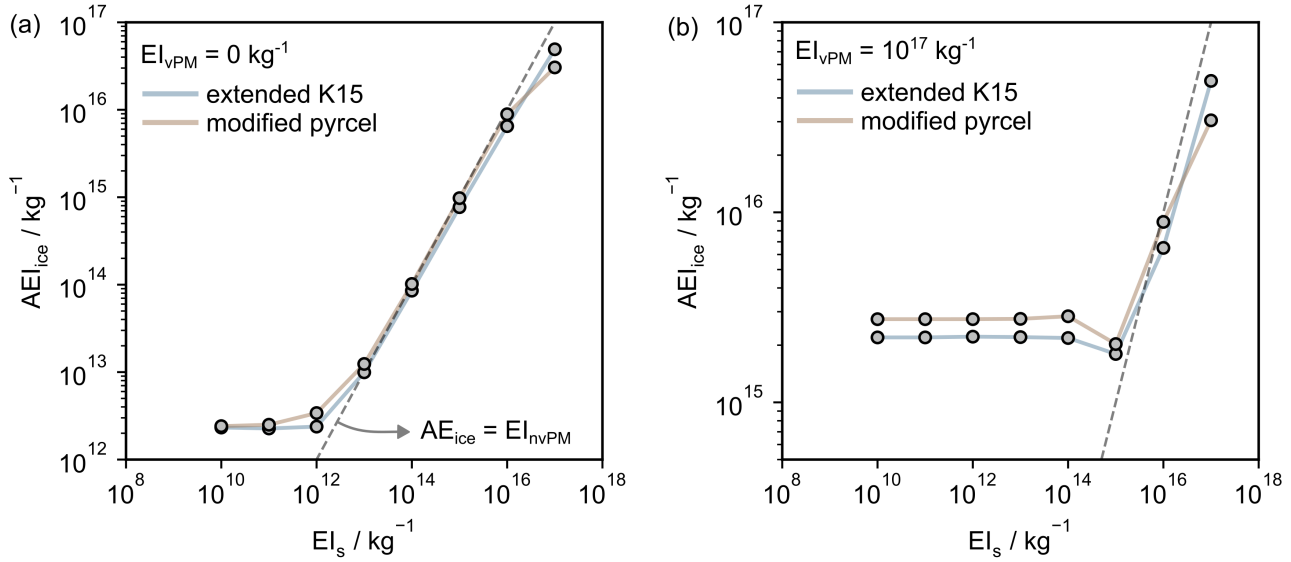


Figure 6: The dependence of contrail AEI_{ice} on EI_{nvPM} as predicted by the modified pyrcel and extended K15 models. Model predictions have been made without (a) and with (b) inclusion of plume vPM.

In Fig. 6, we show how the ice crystal number concentration scales with EI_{nvPM} in both the extended K15 and modified pyrcel models. This is presented in terms of AEI_{ice} , using Eq. (18), so that the results can be more readily compared to other model predictions. Without plume vPM, we find the model agreement for AEI_{ice} is within $\sim 30\%$ when $EI_{nvPM} < 10^{16} \text{ kg}^{-1}$, see Fig. 6a). When plume vPM is included, we find that under this range of EI_{nvPM} , differences in model predictions for AEI_{ice} and $S_{v,max}$ exhibit similar trends, see Fig. 5b).

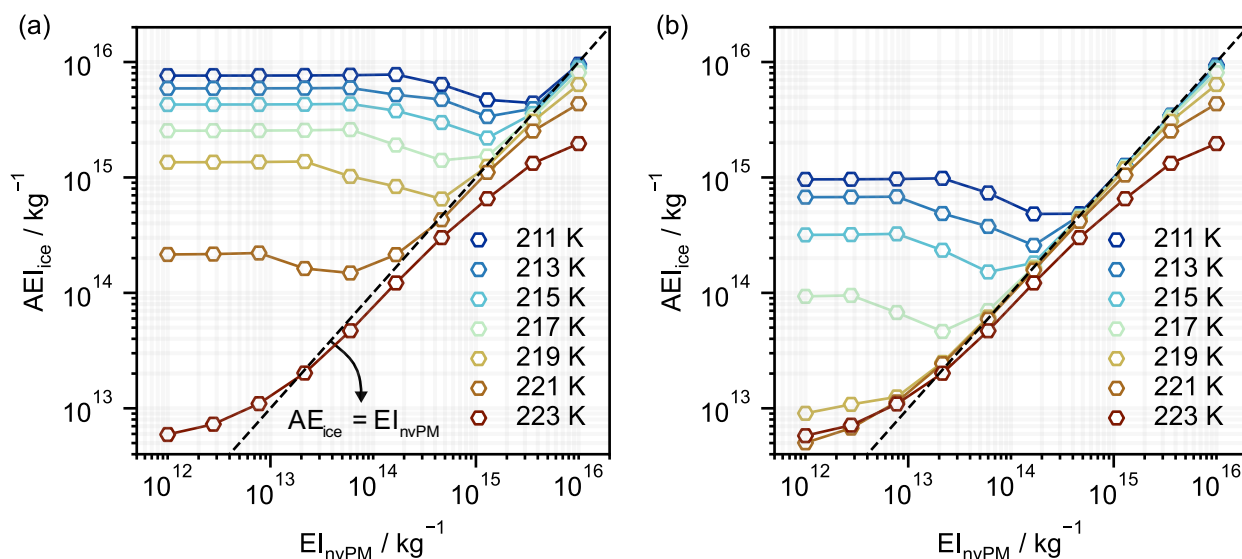
For $EI_{nvPM} \geq 10^{16} \text{ kg}^{-1}$, the extended K15 predicts higher AEI_{ice} than the modified pyrcel model because the extended K15 model does not consider feedback of water vapour between water droplets and ice crystals. To illustrate this effect, suppose we identify a temperature that is warm enough to avoid homogeneous ice nucleation but nonetheless cool enough to promote substantial particle activation, we find that the characteristics of the activated nvPM particles are different in the limit of small and large EI_{nvPM} . Note, these limiting values depend on particle size distribution characteristics and properties specified for the contrail mixing line, however in the case of Fig. 6b, they are separated at $EI_{nvPM} \sim 10^{16} \text{ kg}^{-1}$. To that end, for $EI_{nvPM} \geq 10^{16} \text{ kg}^{-1}$, the available water vapour in the plume is distributed among many particles and activated nvPM particles typically have values $d_w - d_d < 200 \text{ nm}$. Comparatively, for $EI_{nvPM} < 10^{16} \text{ kg}^{-1}$, the nvPM wet particle diameters are distributed quasi-normally and have values $d_w - d_d > 200 \text{ nm}$. For this reason, the condition for homogeneous ice nucleation is satisfied for each activated nvPM particle for $EI_{nvPM} < 10^{16} \text{ kg}^{-1}$, since this is sensitive to the relative difference between wet and dry particle diameters, $d_w - d_d$. Hence, we find that the AEI_{ice} is always at least as large as the apparent emission index of activated



535 particles. By comparison, for $EI_{nvPM} \geq 10^{16} \text{ kg}^{-1}$, given the smaller activated nvPM particles have comparatively low $d_w - d_d$, their size is more sensitive to changes in local supersaturation. Therefore, when the largest activated nvPM particles begin to nucleate ice, this can result in smaller activated nvPM particles evaporating at their expense. In general terms, this is referred to as the Wegener-Bergeron-Findeisen (WBF) process (Korolev, 2007) and provides a rationale for the opposite trend observed in Fig. 6 and Fig. 5 for $EI_{nvPM} \geq 10^{16} \text{ kg}^{-1}$.

540 We have previously discussed the importance of vapour feedback between growing droplets and the evolving contrail mixing plume as a source of model agreement, highlighting the coupled nature of growing droplets. These effects are exacerbated by the WBF process, which implies that coupled feedback of water vapour occurring between ice crystals and growing droplets can also contribute to model disagreement. Only the modified pyrcel model is able to describe the kinetic treatment of vapour feedback between hydrometeors and the contrail mixing plume. For this reason, we can place more
545 confidence in model predictions made by the modified pyrcel model than the extended K15 model. Additionally, inclusion of the Kelvin effect in the radial growth equation is only represented in the modified pyrcel model, which is critical for describing water uptake on plume vPM. Hence, we choose to use the modified pyrcel model for the following sensitivity studies.

4.4 Sensitivity to ambient temperature



550 **Figure 7: The dependence of contrail AEI_{ice} on T_A (211 – 213 K, corresponding to ΔT_{SAC} of 2 – 13 K) and EI_{nvPM} for (a) sulphur-rich and (b) organic-rich plume vPM.**



An evaluation of the extended K15 model sensitivity across a range of T_A and EI_{nvPM} revealed several key findings (Fig. 7).
 Firstly, AEI_{ice} follows a quasi-linear relationship with EI_{nvPM} only when EI_{nvPM} exceeds a temperature-dependent threshold that
 ranges between 10^{13} kg^{-1} and 10^{16} kg^{-1} . In this quasi-linear region, most ice crystals form via nvPM particles, which defines
 the “soot-rich” regime. Increasing T_A decreases the peak plume supersaturation so a reduced proportion of the nvPM particles
 are able to activate (Bräuer et al., 2021), which has a similar effect to decreasing GMD_{nvPM} (see SI S9). The threshold EI_{nvPM}
 marks the point at which an equal number of contrail ice crystals are formed via nvPM particles and other particles in the
 plume. Therefore, below this threshold EI_{nvPM} , the majority of ice crystals do not form via nvPM particles, which defines the
 “soot-poor” regime. Owing to the results in Fig. 7, “soot-poor” conditions always satisfy the baseline condition $EI_{nvPM} \leq 10^{12}$
 kg^{-1} . Here, for warmer T_A ($\sim T_{SAC}$), most contrail ice crystals form via ambient particles and AEI_{ice} approaches an asymptotic
 limit governed by the assumed ambient particle number concentration. Recall that most ambient particles assumed in our
 simulations (see, Table. 1) are able to activate at $\Delta T_{SAC} < 1 \text{ K}$ (see, Fig. 3). As T_A is reduced further ($< T_{SAC}$), the increased
 peak plume supersaturation enables a greater proportion of plume vPM particles to activate (in addition to the ambient
 particles) and the limiting value of AEI_{ice} increases. Generally, the position of the threshold EI_{nvPM} increases with decreasing
 T_A as a larger proportion of vPM particles are able to activate and therefore compete with nvPM particles for the plume
 supersaturation (Yu et al., 2024). These findings suggest that the EI_{nvPM} threshold separating the “soot-rich” and “soot-poor”
 regime should not be treated as a fixed value, but rather a dynamic threshold that varies with T_A .

4.5 Sensitivity to plume vPM properties

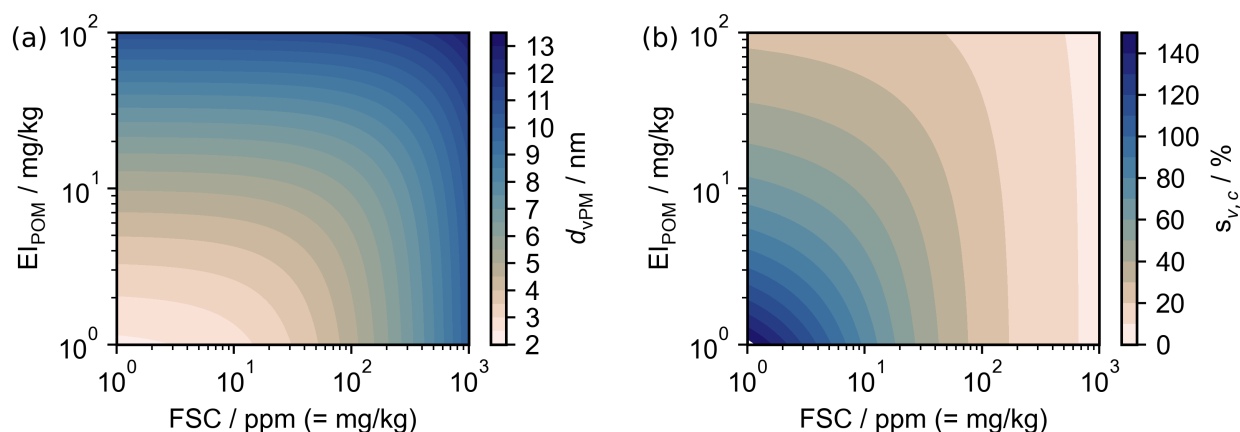


Figure 8: (a) equilibrium volatile particle modal diameter as a function of fuel sulphur content and the emission index of organic particulate matter, assuming a monomodal distribution and omitting condensation of plume vPM on nvPM, (b) peak plume supersaturation required to activate volatile particles described in (a). We have approximated $EI_{vPM} = 1 \times 10^{17}$, $\varepsilon = 3\%$ and ρ_{vPM} as a mass-weighted sum of the densities of sulphuric acid and POM (1800 kgm^{-3} and 1500 kgm^{-3} , respectively), assuming ideal mixing.



Before continuing with our analysis, we emphasise that d_{vPM} calculated using Eq. (22) provide us with an *upper bound* on the diameter of the volatile mode prior to maximum plume supersaturation; due to the kinetics of particle growth, this diameter is likely reached only after a timescale of minutes-hours (Kärcher et al., 2000). Furthermore, it is possible that some volatile material may condense on nvPM (if present), which would further reduce d_{vPM} . Therefore, the equilibrium plume vPM diameters quoted in Fig. 8a should only be interpreted as upper bounds.

Figure 8a shows that d_{vPM} increases with the EI_{POM} and FSC. For high FSC values (~ 1000 ppm), d_{vPM} can reach values upwards of 10 nm. By contrast, for low FSC the size becomes limited by POM, without which d_{vPM} reaches 2–3 nm at most. In Fig. 8b, we have used the hygroscopicity parameter for sulphuric acid and POM (assuming $\kappa_{\text{POM}} = 0$), to show how the critical saturation ratio depends on both FSC and POM. For low FSC and EI_{POM} , critical supersaturations exceeding 100% are required for particle activation. However, the presence of nvPM typically precludes such high supersaturations (see Fig. 5), therefore given that $\text{GMD}_{\text{vPM}} \leq d_{\text{vPM}}$, volatile contributions to AEI_{ice} are likely low in the “soot-rich” regime. On the other hand, with increasing FSC, plume vPM activation becomes increasingly likely as there are fewer nvPM particles available to quench the plume supersaturation.

As discussed, the results presented above are valid only for providing insight into the approximate equilibrium behaviour of plume vPM formation. Within a real contrail mixing plume, the time available for volatile particle growth by accretion of POM and/or sulphuric acid is reduced and kinetics become important. To our knowledge, the dependence of volatile mode characteristics on POM (including lubrication oil) and FSC has not been investigated for cruise altitude, although the significance has been elucidated in models of ground-based volatile particle growth (Jones and Miake-Lye, 2024; Wong et al., 2014, 2015).

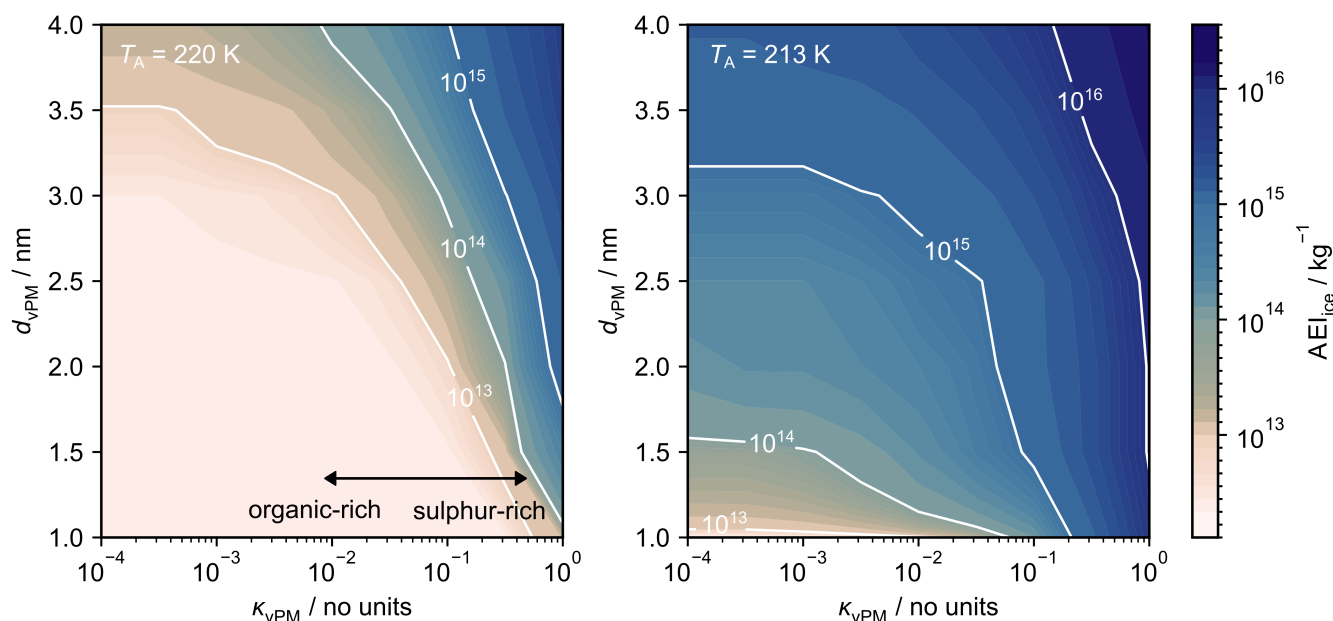


Figure 9: The dependence of contrail AEI_{ice} on the plume vPM properties (d_{vPM} and κ_{vPM}) at ambient temperatures of: (a) 220 K; and (b) 213 K. For both scenarios, the assumed particle properties are outlined in Table 1, EL_{vPM} and EL_{lvPM} are assumed to be 10^{17} kg^{-1} and 10^{12} kg^{-1} respectively (in line with the “soot-poor” baseline conditions described in Sect. 4.4) and the contrail AEI_{ice} is simulated using the modified pyrcel model with model time steps of 0.1 ms and a maximum duration of 0.5 s.

Figures 8 and 9 demonstrate the sensitivity of the modified pyrcel model to the properties of the plume vPM mode in the “soot-poor” regime, subject to our assumptions on the hygroscopicity of the condensable POM. Our results suggest that a sulphur-rich plume vPM mode can lead to $AEI_{ice} > 10^{15} \text{ kg}^{-1}$, even when $d_{vPM} < 2 \text{ nm}$. Conversely, when the plume vPM mode is organic-rich, the AEI_{ice} only rises above 10^{15} kg^{-1} under extremal conditions (i.e., low T_A and high d_{vPM}). For most other cases, $AEI_{ice} \ll 10^{15} \text{ kg}^{-1}$ and the organic-rich plume vPM mode competes with ambient particles for plume supersaturation. Therefore, if soot particle emissions could be reduced in the vicinity of 10^{12} kg^{-1} , provided the volatile particle mode is largely composed of sulphuric acid, we anticipate only a small reduction in AEI_{ice} values relative to “soot-rich” exhausts. On the other hand, if the volatile particle mode is largely composed of organic material, we find that AEI_{ice} values could be reduced by a factor of up to 100, with benefits ultimately constrained by the size of the plume vPM particles and the properties of entrained ambient particles.



4.6 Sensitivity to aircraft parameters

The final sensitivity analysis will focus on the gradient of the SAC contrail mixing line (Kärcher et al., 2015), which contains important information about aircraft and fuel properties, see Sect. 2.1. Simultaneously, we will explore the sensitivity to ambient temperature, so that the resulting output, $AEI_{ice}(G, T_A)$, provides a useful reference for estimating AEI_{ice} for various combinations of aircraft properties and ambient conditions under “soot-poor” conditions, as defined in Sect. 4.4. For both these scenarios, a sulphur-rich volatile mode was prescribed, as in Sect. 3.4.

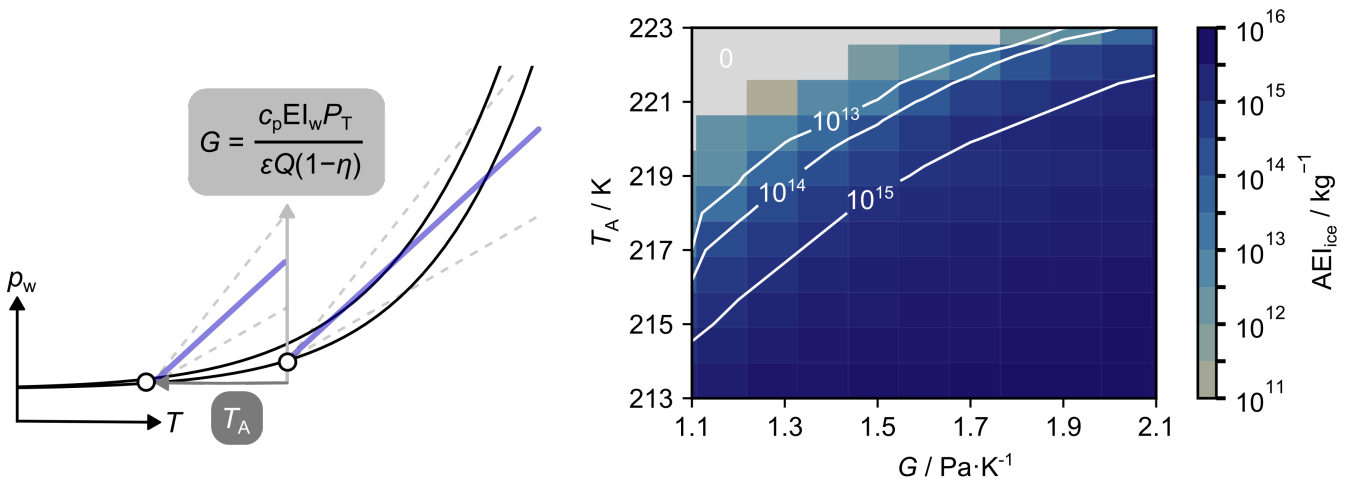


Figure 10: The dependence of contrail AEI_{ice} on T_A and the gradient of the contrail mixing line, as defined in Eq. (1). The simulation has been performed for sulphur-rich plume vPM and EI_{nvPM} of 10^{12} kg^{-1} , in line with the “soot-poor” baseline conditions described in Sect. 4.4. The region shaded in red corresponds to $AEI_{ice} = 0$. Physically this represents mixing lines that do not intersect the water saturation line.

Figure 10 shows how the AEI_{ice} varies as a function of G and T_A for “soot-poor” baseline conditions as described in Sect. 4.4 and sulphur-rich plume vPM. For a fixed ambient temperature, increasing G leads to an increased peak plume supersaturation and hence an increase in AEI_{ice} . For ambient temperatures with $\Delta T_{SAC} > 10 \text{ K}$ (here, $T_A > 215 \text{ K}$), enhancements of up to three orders of magnitude are observed within the prospective range of G values for kerosene-based fuel (1.1 – 2.2). This aligns with results from the original SAC framework, namely that parameters such as overall efficiency (Schumann, 2000), cruise altitude (Gryspeerd et al., 2024) and water vapour emissions are critical for estimating resulting contrail ice crystal properties, and hence the radiative impact of contrail-cirrus.



5. Implications

Here, we contextualise several of the key findings from Sect. 4 for global contrail simulations (Sect. 5.1), contrail mitigation (Sect. 5.2) and engine and fuel technologies (Sect 5.3).

5.1 Global contrail simulations

640 Global contrail simulations have hitherto relied on nvPM (and ambient particle) properties to parameterize AEI_{ice} in young contrails (Bier and Burkhardt, 2022; Teoh et al., 2024). In the “soot-poor” regime, we find that including activation of plume vPM might enhance AEI_{ice} by up to three orders of magnitude. Increased AEI_{ice} is correlated with contrail RF (Burkhardt et al., 2018), implying that current global contrail simulations may underestimate global contrail forcing. While the extended K15 and modified pyrcel models are both too computationally demanding to be directly integrated into global contrail
645 simulations, we suggest that the extended K15 model could be incorporated in these simulations by preparing multidimensional lookup tables. Importantly, as the AEI_{ice} predictions are sensitive to the assumed properties of plume vPM and these are highly uncertain at present, a global contrail simulation relying on the extended K15 model would serve only as a sensitivity study.

5.2 Contrail mitigation

Operational contrail mitigation has hitherto focussed on circumventing regions where $s_i > 0$ in order to mitigate contrail
650 *persistence*. Our simulations imply that under “soot-poor” conditions and for flights within 5 K of T_{SAC} , increasing the ambient temperature by 2 K may reduce AEI_{ice} by up to a factor of 10 (see Fig. 9). If this behaviour could be experimentally validated, an analogous strategy might be possible by circumventing cooler regions of the upper troposphere, to mitigate contrail *formation* under “soot-poor” conditions. Moreover, maximum predictive biases between the European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 atmospheric reanalysis model and In-service Aircraft for A Global Observing
655 System (IAGOS) observations of T_A and s_i are -0.2% and -5.5%, respectively (Wolf et al., 2025), which implies that atmospheric requirements for contrail *formation* can be predicted more accurately than for contrail *persistence*. Assuming an upper tropospheric lapse rate of -6.5 K/km per the ICAO standard atmosphere (ICAO, 1993), a 2 K temperature difference corresponds to approximately 10 flight levels (1000 ft/305 m). This is equivalent to the reduced vertical separation minima between aircraft in the FL 290 - FL 410 flight band (ICAO, 2012), which implies that it might be possible to adjust T_A by
660 making relatively small changes in elevation. Using T_A data from ECMWF, we have explored this further in SI S10. Nevertheless, avoidance of local cold-spots would likely involve reducing aircraft cruise altitudes, consequently increasing (a) the likelihood for aircraft emissions to perturb clouds in the mixed-phase regime, (b) the number of turbulence events (Dehghan et al., 2014) and (c) direct emissions of CO₂. Therefore, we reiterate that this approach is subject to both inherent model uncertainties and the aforementioned concerns (a) – (c), which need to be resolved before exploring this further.

665



5.3 Engine and fuel technologies

Aircraft powered by lean-burn combustors have EI_{nvPM} that range between 10^{10} and 10^{12} kg^{-1} (ICAO, 2024), which coincides with our definition for the “soot-poor” regime given in Sect. 4.4. Under these conditions, AEI_{ice} is controlled by the properties of plume vPM emissions and T_A (see Fig. 9). Therefore, AEI_{ice} can be reduced practically by (a) avoiding cooler ambient temperatures (see Sect. 5.1) and (b) reducing the mass of condensable volatile material. To that end, we suggest the removal of lubrication oil emissions and diminution of FSC. In Sect. 4.4, we demonstrate that FSC scales with the mass of condensable sulphuric acid. Therefore, for fixed EI_{vPM} , increasing FSC may produce plume vPM particles with higher GMD_{vPM} and higher κ_{vPM} . This intuition agrees with results collated across various flight campaigns, showing that EI_{vPM} for particles larger than 5 nm increase with increasing FSC (Schumann et al., 2002). In the “soot-poor” regime, AEI_{ice} and hence the potential contrail climate impact increase with GMD_{vPM} and κ_{vPM} . Therefore, we would advise that FSC is reduced as low as practicably possible, as FSC levels of several ppm (assuming $EI_{POM} = 0 \text{ kg}^{-1}$) are sufficient to produce plume vPM particles with d_{vPM} on the order of several nm (see Sect. 4.5). We note that comparable FSC reductions have been successfully demonstrated in other sectors (International Maritime Organization, 2020) and that the largest benefits would be achieved if low FSC fuels were used in lean-burn engines, where AEI_{ice} values are most sensitive to the properties of plume vPM emissions.

Finally, measurements show that SAF can reduce both EI_{nvPM} and GMD_{nvPM} relative to conventional fuel (Moore et al., 2017; Voigt et al., 2021). Under conditions where most contrail ice crystals form via nvPM particles, both changes would reduce AEI_{ice} as fewer particles are able to activate at a given supersaturation. These effects have previously been reported using contrail parcel model simulations to compare with ECLIF (Emission and CLimate Impact of alternative Fuels) measurements (Yu et al., 2024). Additionally, for variable EI_{nvPM} and fixed plume vPM properties, decreasing GMD_{nvPM} would increase the position of the minimum in Fig. 9 (see SI S9).



6. Conclusions

Here, we extended two parcel models to describe particle microphysics during the early stages ($t < 1$ s) of contrail plume evolution. Firstly, the minimal framework originally developed by Kärcher et al., 2015 was extended to account for plume vPM by incorporating an additional particle mode. We replaced several analytical approximations with numerical solutions and increased the accuracy of others, so that the model could be more applicable for describing the activation of plume vPM. Secondly, using similar parameterizations for the mixing process but a higher-fidelity description of L_w , a cloud parcel model (pyrcel) (Rothenberg and Wang, 2016) was modified to treat contrail formation and used as a benchmark for model comparison.

We found the extended K15 model systematically underpredicted the peak plume supersaturations when compared to the modified pyrcel model. This is likely because it does not incorporate the Kelvin effect and therefore overpredicts droplet growth rates. Generally, the estimated AEI_{ice} from both models are within 30% for $EI_{nvPM} \leq 10^{16} \text{ kg}^{-1}$ when activation of plume vPM is excluded. However, when plume vPM activation is included, the modified pyrcel model predicts higher ice crystal number concentrations by $\sim 20\%$ for $EI_{nvPM} \leq 10^{13} \text{ kg}^{-1}$. Discrepancies are more pronounced for $EI_{nvPM} > 10^{13} \text{ kg}^{-1}$ due to differing treatment of kinetics and water vapour competition between hydrometeors between models.

Using the modified pyrcel model, we performed several sensitivity studies and found that across EI_{nvPM} , the AEI_{ice} decreases with decreasing peak plume supersaturations as predicted by the original SAC mixing line. This is controlled by local ice supersaturation (s_i), ambient relative humidity, T_A and the gradient of the mixing line. Therefore, decreasing the overall efficiency and water vapour emissions results in fewer contrail ice crystals being produced. Also, we find that the threshold between “soot-rich” and “soot-poor” conditions (as defined in Sect. 4.4) is sensitive to the properties of plume vPM (EI_{vPM} , GMD_{vPM} , K_{vPM}) and T_A , and therefore should be treated as a dynamic threshold. Notably, we find that the threshold EI_{nvPM} increases with decreasing T_A as an increasing proportion of vPM particles are able to compete with nvPM particles for the plume supersaturation. In the “soot-poor” regime, including plume vPM activation leads to AEI_{ice} enhancements by up to three orders of magnitude; these are maximised if the material is highly hygroscopic (e.g., sulphur-rich).

As the aviation industry reduces EI_{nvPM} and therefore approaches the “soot-poor” regime, we find that existing contrail-cirrus models that do not extend to include plume vPM activation will underestimate AEI_{ice} and therefore global climate forcing. Future research should be directed towards (i) ground-based and *in-situ* measurements focussing on the “soot-poor” regime and its transition towards the “soot-rich” regime, with near-field characterisation of *both* nvPM and plume vPM properties, (ii) laboratory measurements of the activation properties and/or ice nucleating ability of particles representative of plume vPM present in nascent contrail exhausts and (iii) validation of plume aerosol and contrail formation models (parcel model/LES simulations) using *in-situ* observations.



Notation

SAC	Schmidt-Appleman Criterion
nvPM	Non-volatile particulate matter
vPM	Volatile particulate matter
EI_{vPM}, EI_{nvPM}	Number emission index of vPM, nvPM
SAF	Sustainable aviation fuel
GMD	Geometric mean diameter
GSD	Geometric standard deviation
K15	Microphysical model developed by Kärcher et al (Kärcher et al., 2015)
T_E	Gas temperature at the engine exit plane
T_A	Ambient temperature
T	Plume temperature
G	Gradient of average contrail mixing line
$p_{v,M}$	Partial pressure of water vapour in the contrail mixing plume
$p_{v,E}$	Partial pressure of water vapour at the engine exit plane
$p_{v,A}$	Partial pressure of water vapour in the ambient environment
c_p	Isobaric specific heat capacity of air
EI_w	Mass emission index of water vapour
P_T	Total air pressure
ε	Ratio of gas constants for water vapour and dry air
Q	Total heat released per mass of fuel burned
η	Overall aircraft efficiency
p_{liq}, p_{ice}	Saturation vapour pressure above a plane surface of supercooled water, ice
$S_{v,M}, S_{i,M}$	Water, ice saturation ratio in a contrail mixing plume
$S_{v,M}$	Water supersaturation in a contrail mixing plume
T_{SAC}	SAC temperature
ΔT_{SAC}	Difference between the ambient and SAC temperatures ($T_{SAC} - T_A$)
S_v	Water saturation ratio above a liquid droplet
a_w	Water activity
σ_s	Surface tension at the droplet/air interface



M_w	Molar mass of water
ρ_w	Density of water
R	Global gas constant
d_w	Wet particle diameter
d_d	Dry particle diameter
κ	Hygroscopicity parameter
$d_{w,c}, r_{w,c}$	Critical wet particle diameter, radius
$S_{v,c}$	Critical water saturation ratio
w_v	Water vapour mixing ratio
w_c	Mixing ratio of condensed water vapour
P_w	Water (super)saturation production rate in the absence of particles
L_w	Water (super)saturation depletion rate due to particle activation and droplet growth
β	Plume dilution parameter
D	Plume dilution factor
τ_m	Timescale over which contrail mixing is unaffected by entrainment
$\mathcal{N}_0$	Mass-based mixing factor
n_T	Total number concentration of aircraft-mode particles
$n_{a,T}$	Total number concentration of ambient-mode particles
$n_{a,0}$	Ambient particle number concentration
ρ_a	Mass density of ambient air
$\dot{i}_w$	Radial droplet growth rate
n_w	Droplet number concentration
$\dot{n}_w$	Rate of change of droplet number concentration
FSC	Fuel sulphur content
POM	Particulate organic matter
$EI_{H_2SO_4}$	Mass emission index of sulphuric acid
EI_{POM}	Mass emission index of POM
ε_s	Conversion efficiency of sulphur to sulphuric acid
d_{vPM}	vPM equilibrium diameter
ρ_{vPM}	Density of condensed vPM



Competing Interests

730 The authors declare that they have no conflict of interest.

Code and data availability

Code for the modified pyrrel model and extended K15 model is available at the following addresses:
<https://github.com/jponvc/pyrrel-for-contrails> and <https://github.com/jponvc/extended-K15-model>.

Author contribution

735 JP and MEJS conceptualized the project. JP developed the software, conducted the initial investigation and wrote the original manuscript. All the authors developed the methodology and edited the manuscript. MEJS supervised the project and acquired funding.

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