



1 **Revealing the Causes of Groundwater Level Dynamics in Seasonally Frozen Soil Zones**

2 **Using Interpretable Deep Learning Models**

3 Han Li ^{a,b,c}, Hang Lyu ^{a,b,c*}, Boyuan Pang ^{a,b,c}, Xiaosi Su ^{a,b,c}, Weihong Dong ^{a,b,c}, Yuyu Wan ^{a,b,c},

4 Tiejun Song ^{a,b,c}, Xiaofang Shen ^{a,b,c}

5 ^aKey Laboratory of Groundwater Resources and Environment, Ministry of Education, Jilin

6 University, Changchun 130026, China

7 ^bJilin Provincial Key Laboratory of Water Resources and Environment, Jilin University,

8 Changchun 130026, China

9 ^cInstitute of Water Resources and Environment, Jilin University, Changchun, 130021, China

10 ^{*}Corresponding author

11 Hang Lyu

12 E-mail: lvhangmail@163.com



13 **Abstract**

14 Regional groundwater level prediction is crucial for water resource management,
15 especially in seasonally frozen areas. Accurate predicting groundwater levels during freeze–
16 thaw periods is essential for optimizing water resource allocation and preventing soil
17 salinization. Although deep learning models have been widely employed in groundwater level
18 prediction, they remain black boxes, making it difficult to simultaneously predict groundwater
19 levels and understand the dynamic causes. This study simulated the groundwater level
20 dynamics of 138 monitoring wells in the Songnen Plain, China, using a long short-term
21 memory (LSTM) neural network. The expected gradient (EG) method was applied to interpret
22 LSTM decision principles during different periods, revealing groundwater dynamics
23 mechanisms in seasonally frozen soil areas. The results showed that the LSTM model could
24 accurately simulate daily groundwater level trends, with 81.88% of monitoring sites achieving
25 NSE above 0.7 on the test set. The EG method revealed that atmospheric precipitation was the
26 primary source of groundwater recharge, while discharge occurred through evaporation, runoff,
27 and artificial extraction, forming three groundwater dynamics types: precipitation infiltration–
28 evaporation, precipitation infiltration–runoff, and extraction. During the freeze–thaw period,
29 groundwater levels in the precipitation infiltration–evaporation type decreased during the
30 freezing period and increased during the thawing period due to water potential gradient changes
31 driving soil–groundwater exchange. In contrast, the precipitation infiltration–runoff and
32 extraction types exhibited continuously increasing and decreasing trends, driven by recovery
33 after extraction and precipitation recharge. Our findings provide essential support for
34 groundwater resource assessment and ecological environmental protection in seasonally frozen
35 soil areas.

36 **Keywords:** Freezing-thawing process; Groundwater level dynamics; Seasonally frozen plain;
37 Interpretable deep learning models



38 **1. Introduction**

39 Groundwater levels are an external manifestation of the water balance within groundwater
40 systems, and changes in the groundwater level dynamics reflect the water budget of a region.
41 These changes also significantly impact regional ecological environments and the development
42 and utilization of water resources. A significant increase in groundwater levels may lead to
43 secondary soil salinization and marshland formation (Singh et al., 2012), while excessive
44 groundwater extraction may exacerbate water scarcity issues (Hao et al., 2014; Yang, 2012),
45 further causing geological problems such as land subsidence, ground collapse, and seawater
46 intrusion. Hence, gaining an in-depth understanding of the causes of groundwater level
47 dynamics and accurately simulating these changes can provide a theoretical basis for the
48 rational development and utilization of groundwater resources and for ecological environment
49 protection (Cai et al., 2022; Yating; et al., 2022).

50 Seasonally frozen soil areas are widely distributed globally. In China, they cover more
51 than half of the total land area, mainly in the northwest and northeast regions where water
52 scarcity is a prominent issue (Wang et al., 2019). Unlike non-frozen soils, seasonally frozen
53 soil is a unique water–soil system that contains ice, and changes in the ice content are
54 accompanied by the dynamic storage of liquid water and dynamic changes in heat (Wu et al.,
55 2023). The movement and storage behavior of groundwater in these regions differ from those
56 in warm, non-frozen areas (Ireson et al., 2013), as the freeze–thaw process results in more
57 frequent interactions between soil water and groundwater (Lyu et al., 2023; Lyu et al., 2022;
58 Miao et al., 2017; Daniel and Staricka, 2000). This leads to significant differences in the causes
59 of groundwater level dynamics between the freeze–thaw and non-freeze–thaw periods in
60 seasonally frozen soil areas, making it more challenging to accurately simulate the regional
61 groundwater levels.

62 Current models used for groundwater level simulations can be broadly divided into two



63 categories: physical models and machine learning models (Ao et al., 2021). Most physical
64 models are based on hydrodynamic mechanisms and the principle of water balance. However,
65 in regions with complex geological structures or groundwater level dynamics, the application
66 of physical models becomes challenging due to the lack of data describing the spatial
67 heterogeneity of aquifers and temporal changes in boundary conditions (Raghavendra. N and
68 Deka, 2014). Hence, there are few simulation studies on regional-scale groundwater level
69 dynamics in seasonally frozen soil areas. In comparison, machine learning models have
70 demonstrated significant advantages in simulating groundwater levels. These models explore
71 the nonlinear relationships between inputs (such as meteorological and topographic data) and
72 outputs (groundwater level) without the need to consider internal physical mechanisms (Rajaei
73 et al., 2019), nor do they require predefined parameters such as hydraulic characteristics or
74 boundary conditions (Ao et al., 2021). Despite this, machine learning models typically
75 outperform physical models in terms of simulation accuracy, particularly in medium-to-long-
76 term simulation studies (Rahman et al., 2020; Ebrahimi and Rajaei, 2017; Fienen et al., 2016;
77 Demissie et al., 2009). One of the most successful deep learning architectures for modeling
78 dynamic hydrological variables is the long short-term memory (LSTM) network (Jing et al.,
79 2023; Wu et al., 2021). The LSTM model, which is an improved version of the recurrent neural
80 network (RNN), can more effectively capture long-term dependencies in time-series data
81 (Hochreiter and Schmidhuber, 1997). In the seasonally frozen soil regions of Northwest China,
82 14 years of continuous groundwater level simulations have shown that the LSTM model can
83 effectively handle long-term data and accurately simulate groundwater levels in seasonally
84 frozen soil areas (Zhang et al., 2018).

85 Although numerous studies have demonstrated the accuracy and predictive power of data-
86 driven models in hydrological fields, these models are essentially black boxes and cannot
87 explicitly explain the underlying physical processes and mechanisms (Zhou and Zhang, 2023).



88 To address this limitation, researchers have proposed various methods to interpret deep learning
89 models. Two widely used methods in groundwater research are the expected gradient (EG)
90 method (Jiang et al., 2022) and the Shapley additive explanations (SHAP) algorithm (Lundberg
91 and Lee, 2018). The broad application of the SHAP method is mainly attributed to its ability to
92 reveal, from a local perspective, the contribution of each input variable to the corresponding
93 model output at each time step (Wang et al., 2022) and, from a global perspective, the overall
94 influence of input variables on the model output over the entire simulation period (Niu et al.,
95 2023; Liu et al., 2022). However, the limitation of the SHAP method is that its interpretation
96 of input factors is static and independent, making it ineffective in capturing the complex
97 interactions between groundwater levels and long-term recharge and discharge dynamics. In
98 contrast, the EG method (Jiang et al., 2022) calculates the EG values of the input variables over
99 a specified time range, allowing for a better quantification of the impact of dynamic input
100 variables on output variables at a particular time. This capability theoretically makes the EG
101 method advantageous in groundwater level simulations with dynamic characteristics,
102 particularly in explaining the temporal effects of meteorological changes on groundwater level
103 across different periods. Nevertheless, there are currently no dedicated studies on the use of the
104 EG method to explain the causes of groundwater level dynamics, and its effectiveness in
105 understanding the relatively complex mechanisms of groundwater level dynamics in seasonally
106 frozen soil areas requires further validation.

107 In this study, the seasonally frozen soil area of the Songnen Plain in Northeastern China
108 was taken as an example. Through an in-depth analysis of three years of continuous monitoring
109 data from phreatic wells in this region, combined with meteorological, hydrological, and soil
110 texture data, the LSTM model was used to simulate the groundwater level dynamics. The
111 reverse interpretation technique, i.e., the EG method, was applied to explore the decision
112 principles of the deep learning model in simulating water levels during the non-freeze–thaw



113 and freeze–thaw periods, thus revealing the mechanisms behind groundwater level dynamics
114 across different periods in seasonally frozen soil areas. The research findings can demonstrate
115 and extend the application of interpretable deep learning models in the groundwater field,
116 providing essential support for groundwater resource assessment and ecological environment
117 protection in seasonally frozen soil areas.

118 **2. Data and methodology**

119 Figure 1 shows the workflow of this study, including three main steps. First, the LSTM
120 model is used to establish a nonlinear relationship between meteorological factors, human
121 activities, and groundwater level depths (Fig. 1a). The daily air temperature, precipitation,
122 extraction volume, and snow depth were used as input variables to predict the groundwater
123 level depths. Subsequently, the EG method (Jiang et al., 2022) was applied to the trained LSTM
124 model to obtain the EG scores of the input factors at different time steps. The EG scores
125 quantify the influence of the meteorological inputs (air temperature, precipitation, and snow
126 depth) and human activities (extraction volume) on the groundwater level depths during the
127 simulation process (Fig. 1b). Finally, the causes of groundwater level dynamics during the non-
128 freeze–thaw and freeze–thaw periods in seasonally frozen soil areas were identified.

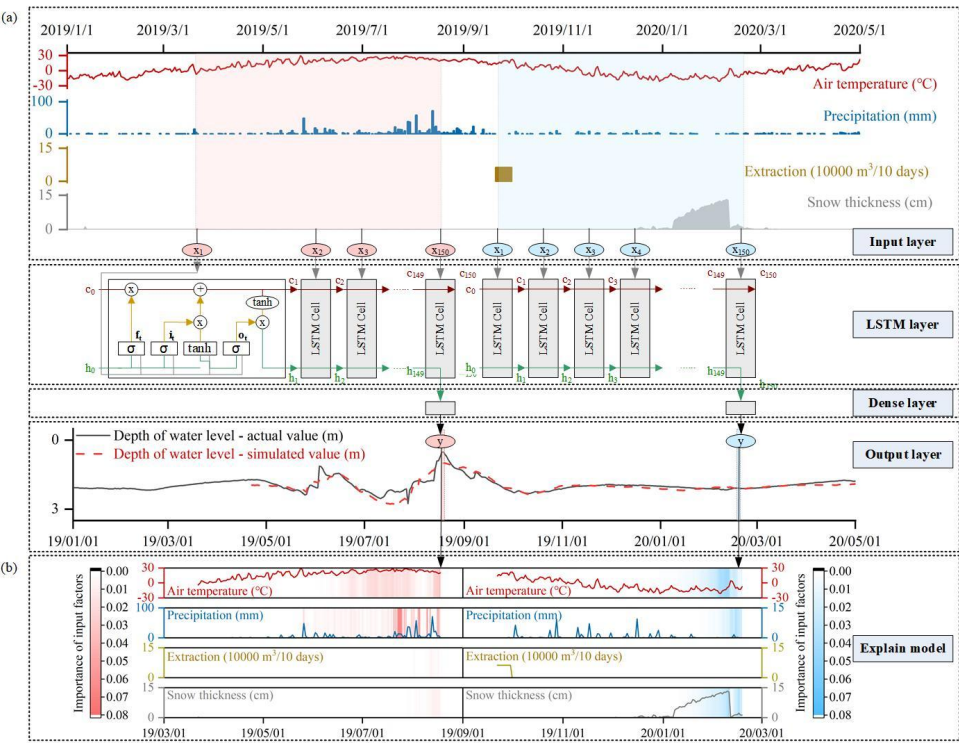


Fig. 1. Workflow of this study: (a) Model structure of the LSTM model, (b) EG scores of input factors during the non-freeze-thaw and freeze-thaw periods.

2.1. Study area

The Songnen Plain is one of the three major plains in Northeast China. It is higher on the periphery and lower at the center, with a total area of 182,800 km² (Fig. 2a). The study area is surrounded by hills and mountains in the west, north, and east of the Greater and Lesser Xingan, Zhangguangcai, and Changbai Mountains, respectively, and is connected to the West Liaohe Plain by the micro-uplifted Songliao watershed in the south. The topography of the Songnen Plain primarily comprises the eastern high plain, western piedmont sloping plain, western low plain, and valley plain (Fig. 2b). The soil texture in the region mainly includes sandy loam, sandy clay loam, clay loam, and loamy clay (Fig. 2c). The climate in the area can be mainly characterized by two main types: first, it features a typical East Asian continental monsoon



142 climate with hot, rainy summers and cold, dry winters; second, although the distribution of the
143 climatic factors in the Songnen Plain is significantly influenced by latitude, there is a distinct
144 east–west difference, with arid conditions in the west and humid conditions in the east (Li et
145 al., 2022). The long-term average temperature of the Songnen Plain is 3.8 °C, the long-term
146 average precipitation is 484.57 mm, and the long-term average evaporation is 1,498.1 mm. The
147 frost-free period ranges from 115 to 160 days. Freezing starts in mid-October from north to
148 south, and thawing begins in April from south to north. The freezing depth ranges from 1.5 to
149 2.4 m (Zhao et al., 2009) (Fig. 2d). The area is crisscrossed by rivers, with the Songhua River,
150 Nenjiang River, and their tributaries forming a centripetal drainage system. The lower reaches
151 of the Nenjiang River and Taoer River, as well as the Second Songhua River, flow through the
152 central plain from the north, west, and southeast, respectively.

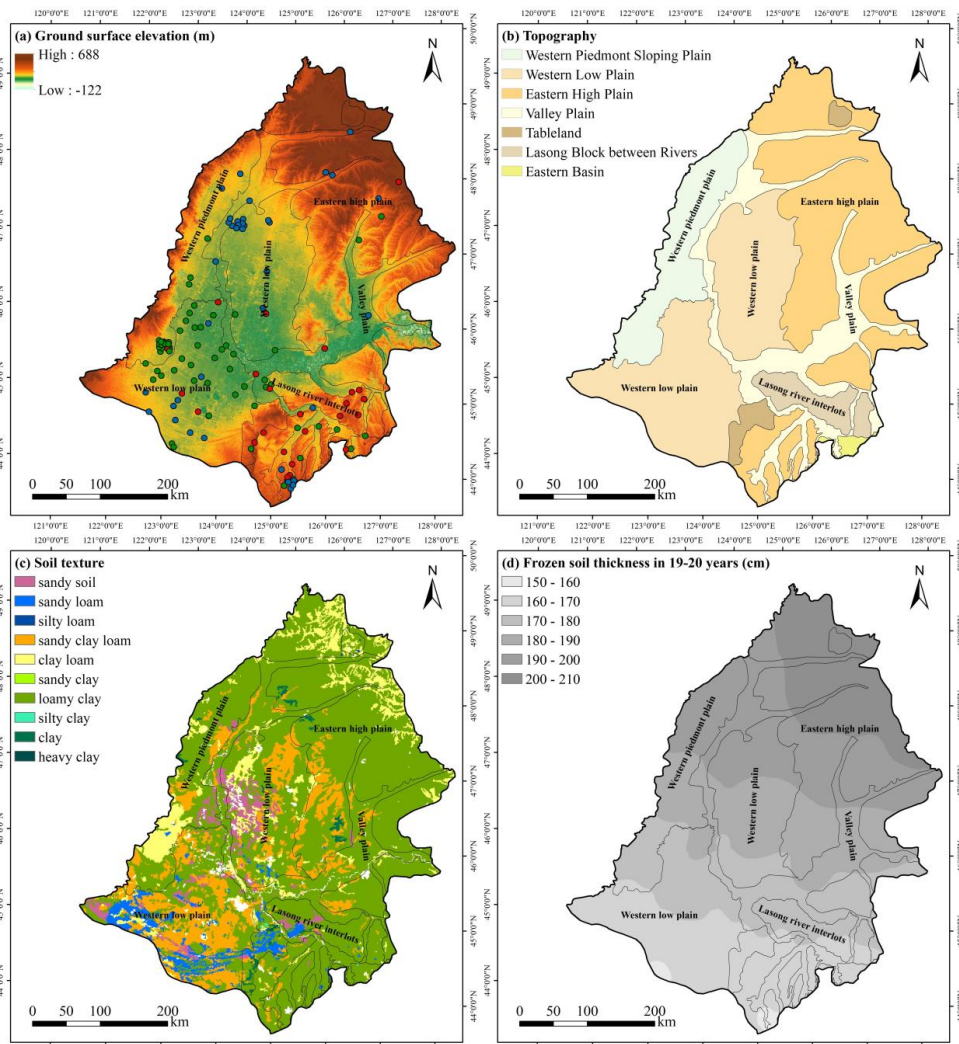


Fig. 2. Spatial distribution of the ground surface elevation (a), topography (b), soil texture (c) and frozen soil thickness (d) in the Songnen Plain, China.

2.2. Dataset and selection of representative groundwater level values

To simulate the dynamic changes in the groundwater level in seasonally frozen soil areas and to analyze the driving mechanisms of groundwater level dynamics during freezing and non-freezing periods, this study primarily used dynamic observational data from 2018 to 2021, including precipitation, air temperature, snow depth, groundwater extraction volume, and



161 groundwater levels, as well as static data such as ground surface elevation and soil texture. The
162 precipitation and air temperature data were obtained from the “ERA5 hourly data on single
163 levels from 1979 to present” dataset, provided by the European Centre for Medium-Range
164 Weather Forecasts (ECMWF). ERA5 is the fifth-generation re-analysis of the global climate
165 and weather data with a spatial resolution of $0.25^{\circ} \times 0.25^{\circ}$ and an hourly temporal resolution.
166 Daily snow depth data were sourced from the National Tibetan Plateau Data Center
167 (<http://data.tpdc.ac.cn>), with a spatial resolution of 25 km. The temporal and spatial resolution
168 of the groundwater extraction volume data was enhanced based on the spatial distribution and
169 water demand of major crops in the Songnen Plain, along with the precipitation data.
170 Groundwater level data from 138 phreatic wells were provided by the China Geological
171 Environment Monitoring Institute, while surface elevation data with a spatial resolution of 30
172 m were obtained from the Geospatial Data Cloud (<https://www.gscloud.cn/search>). Soil texture
173 data were sourced from the Resource and Environment Science and Data Center, compiled
174 from a 1:1,000,000 soil type map and soil profile data collected during the second national soil
175 survey of China.

176 To identify the causes of groundwater level dynamics during freezing and non-freezing
177 periods, representative groundwater levels were selected for analysis using the EG method at
178 different time periods. Based on the annual pattern of the groundwater level dynamics,
179 groundwater levels during the non-freezing period are influenced by human activities, flood-
180 season precipitation, and other factors, leading to greater fluctuations compared with that
181 observed in the freezing period. Therefore, selecting extreme values (either maximum or
182 minimum) as representative groundwater levels can effectively capture the peak or trough of
183 the groundwater level, reflecting the most significant state of groundwater recharge or
184 discharge during this period. Based on this, the trends in the groundwater level were analyzed
185 to identify the different dynamic characteristics during the non-freezing period. If the



186 groundwater level shows an overall uptrend, the maximum value represents the peak of the
187 recharge process; if it shows a downtrend, the minimum value reflects the maximum extent of
188 discharge.

189 However, during the freezing period, groundwater level fluctuations are relatively small,
190 and extreme values do not respond significantly to external factors. During this period,
191 groundwater levels may be influenced by soil freezing and thawing processes. Therefore, the
192 groundwater levels at critical moments of soil freezing and thawing were chosen as
193 representative values to more accurately reflect the response of groundwater level to
194 environmental changes. During the freezing period, after the “Beginning of Winter” solar term
195 (November 7–8), the average temperature continuously dropped to below 0 °C, and a thin ice
196 layer gradually formed on the surface; after the “Rain Water” solar term (February 18–20),
197 temperatures increased, and the frozen soil began to thaw in both directions; finally, the frozen
198 soil fully thawed around the “Grain Rain” solar term (April 19–21) in spring (Lyu et al., 2023).
199 Therefore, the groundwater level at the “Rain Water” solar term was chosen as the
200 representative groundwater level during the freezing period to capture the rapid response of the
201 groundwater level to rising temperatures and thawing of the frozen soil.

202 **2.3. Research methods**

203 **2.3.1. LSTM model**

204 The LSTM neural network (Hochreiter and Schmidhuber, 1997) is an advanced RNN
205 widely applied in deep learning. It can store and associate previous information, effectively
206 addressing the issues of vanishing and exploding gradients that occur during the training of
207 long sequence data. The deep learning model used in this study comprises a single LSTM layer
208 and a dense layer. The LSTM layer is composed of recurrent cells arranged in a chain-like
209 structure, allowing information to be passed from the current time step to the next. The model
210 uses daily precipitation, air temperature, groundwater extraction volume, and snow depth from



the previous 150 days as input sequences to predict groundwater level depths. Each cell in the LSTM layer includes four components: the input gate (i_t), the forget gate (f_t), the output gate (o_t), and the cell state (c_t) (as shown in the LSTM layer in Fig. 1a). The input gate determines how much input information is transferred to the cell state. The forget gate primarily controls how much information from the previous cell state is discarded and how much is carried forward to the current moment. The output gate calculates the output based on the updated cell state from the forget and input gates. The cell state is used to record the current input, the previous cell state, and the information from the gate structures. In this study, we adopted the LSTM equations proposed by Graves et al. (2013), which are represented by the following key equations:

$$i_t = \sigma(W_{xi}x_t + W_{hi}h_{t-1} + b_t) \quad (1)$$

$$f_t = \sigma(W_{xf}x_t + W_{hf}h_{t-1} + b_f) \quad (2)$$

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_{xc}x_t + W_{hc}h_{t-1} + b_c) \quad (3)$$

$$o_t = \sigma(W_{xo}x_t + W_{ho}h_{t-1} + b_o) \quad (4)$$

$$h_t = o_t \odot \tanh(c_t) \quad (5)$$

where the input and output vectors of the implicit layer of the LSTM at time step t are x_t and h_t , respectively, the memory cell is c_t , and the values of the input, forget, and output gates are i_t , f_t , and o_t , respectively. W and b represent the learnable weight and bias terms to be estimated during the training period, respectively, $\sigma(\cdot)$ denotes the logistic sigmoid function, $\tanh(\cdot)$ is the hyperbolic tangent function, and \odot represents elementwise multiplication.

Before training the model, the air temperature, precipitation, groundwater extraction volume, and snow depth were normalized by mapping their values to a range between 0 and 1. The adaptive moment estimation (Adam) algorithm (Kingma and Ba, 2014) was employed during training, with an initial learning rate set to 0.03. The maximum training epoch number was configured to 100, and an early stopping strategy was applied to prevent overfitting. For



each individual groundwater monitoring well, 70% of the input–output data pairs were randomly sampled for training the LSTM model, and they were split into training and validation samples at a ratio of 7:3. The training samples were repeatedly used to update the model parameters until the loss function for the validation samples ceased to decrease. The remaining 30% of the data were used for an independent evaluation of the model performance. Random sampling allows for capturing the overall hydrometeorological variations observed across different time periods.

2.3.2. Model interpretations

In 2017, Sundararajan et al. developed the integrated gradients (IG) method (Sundararajan et al., 2017), which uses the gradient of the model’s output to the input factors to infer the specific contribution of the input variables to the output variable. The IG score for an input factor x (e.g., the precipitation at the i -th time step), representing the degree of contribution of the input variable to the output variable, is expressed as follows:

$$\phi_i^{IG}(f, x, x') = (x_i - x'_i) \int_{\alpha=0}^1 \frac{\partial f(x' + \alpha(x - x'))}{\partial x_i} d\alpha \quad (6)$$

where $\frac{\partial f(x' + \alpha(x - x'))}{\partial x_i}$ denotes the local gradient of the network f at the interpolation point from the baseline input (x' , when $\alpha = 0$) to the target input (x , when $\alpha = 1$).

However, the baseline input x' in the above formula is a hyperparameter that must be chosen carefully. In groundwater level studies, if the target input (e.g., a particular groundwater level observation) is close to the chosen baseline input (e.g., long-term average groundwater level), i.e., $x_i \approx x'_i$, the IG method may fail to capture the importance of current input factors, such as precipitation or evaporation, on groundwater level changes (Sturmfels et al., 2020). To address this issue, Jiang et al. (2022) developed the EG method, which is based on the IG method but assumes that the baseline inputs follow the basic distribution D sampled from a background dataset (such as the training dataset), thus avoiding the need to specify a fixed



260 baseline input. Given the baseline distribution D , the EG score ϕ_i^{EG} for the i -th input factor
261 can be calculated by integrating the gradients over all possible baseline inputs $x' \in D$, weighted
262 by the probability density function p_D . The EG score represents the influence of input factors
263 on the model output, with a higher absolute EG score indicating a greater impact of the
264 corresponding input factor on the model output, while an EG score close to zero suggests that
265 the input factor has little effect on the output. The EG score can be expressed as follows:

$$266 \quad \phi_i^{EG}(f, x) = \int_{x'} (\phi_i^{IG}(f, x, x') \times p_D(x')) dx' \quad (7)$$

267 The above expression involves two integrals, which, according to Erion et al. (2021), can
268 both be considered expectations. Thus, the equation can be reformulated as:

$$269 \quad \phi_i^{EG}(f, x) = E_{x' \sim D, \alpha \sim U(0,1)} \left[(x_i - x'_i) \int_{\alpha=0}^1 \frac{\partial f(x' + \alpha(x - x'))}{\partial x'_i} d\alpha \right] \quad (8)$$

270 2.3.3. Evaluation metrics

271 The evaluation metrics used in this study include the Nash–Sutcliffe efficiency (NSE)
272 coefficient and the root-mean-square error (RMSE). The NSE is used to assess the degree of
273 fit of the regression model. The RMSE quantifies how well the predicted values match the
274 observed values. If the NSE is close to 1 and the RMSE is close to 0, the model is more reliable.

$$275 \quad NSE = 1 - \frac{\sum_{i=1}^n (x_i - y_i)^2}{\sum_{i=1}^n (x_i - \bar{x}_i)^2} \quad (9)$$

$$276 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n}} \quad (10)$$

277 where x_i is the depth of the observed groundwater level, and \bar{x}_i is the average value of x_i ;
278 y_i is the groundwater level depth simulated by the LSTM model; and i denotes the specific
279 sample ordinal number, from 1 to n .

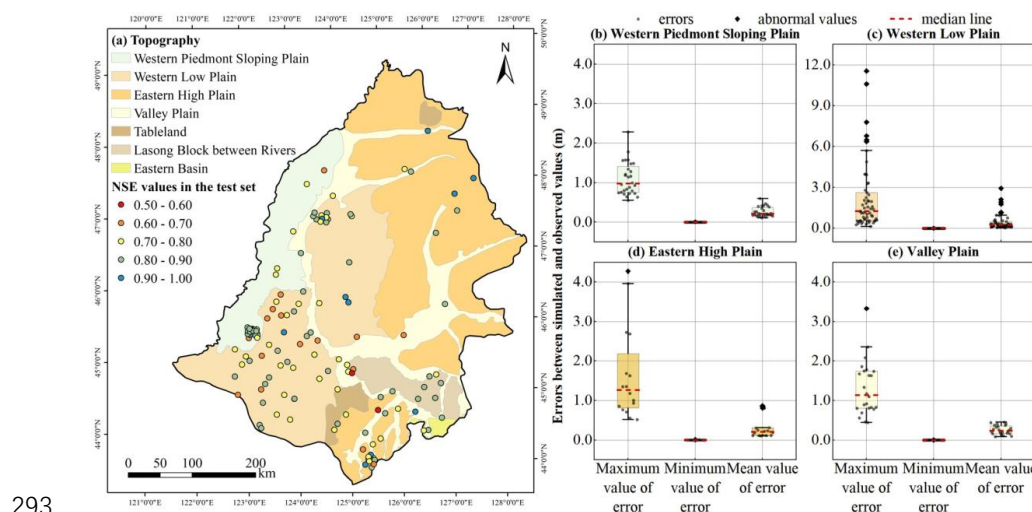
280 3. Results and discussion

281 3.1. Simulation Accuracy of Deep Learning Model for Groundwater Level

282 A data-driven model (LSTM model) was used to simulate the daily groundwater level



283 depth of 138 aquifer monitoring wells in the Songnen Plain, China, from 2019 to 2021. Overall,
284 the simulation accuracy of the groundwater level depth was relatively high across the western
285 piedmont sloping plain, the eastern high plain, and the valley plain regions. In these areas, the
286 NSE values at the monitoring points in the test set ranged from 0.53 to 0.96 (Fig. 3a), with
287 87.14% of the monitoring points showing NSE values greater than 0.7. Over the entire
288 simulation period (including the training and test sets), the maximum error between the
289 simulated and observed values at each monitoring point mainly ranged from 0.5 to 2.5 m (Fig.
290 3b, d, and e), with 94.29% of the monitoring points having an average error of less than 0.5 m.
291 The annual groundwater level fluctuation at the monitoring points in this region was relatively
292 small, ranging from 0.41 to 6.54 m.



293 **Fig. 3.** (a) Spatial distribution of the NSE values on the test set for 138 groundwater level
294 monitoring points in the Songnen Plain, China. (b)–(e) Maximum, minimum, and mean errors
295 between simulated and observed groundwater levels at monitoring points in the western
296 piedmont sloping plain, western low plain, eastern high plain, and valley plain during the
297 simulation period.

299 Monitoring points with NSE values below 0.7 accounted for only 18.11% of the total



300 monitoring points in the study area, primarily located in the southern part of the western low
301 plain (Fig. 3a). In this area, the average error between the simulated and observed values of the
302 groundwater level depth at all the monitoring points ranged from 0.04 to 2.93 m; however, the
303 maximum error reached 11.56 m (Fig. 3c). The annual groundwater level fluctuation at the
304 monitoring points in this region was highly significant, with 21.43% of the monitoring points
305 exhibiting fluctuations greater than 10 m. This extreme variation in the groundwater level led
306 to a lower simulation accuracy of the LSTM model. In the data used to train the LSTM model,
307 the number of samples with extreme groundwater level depth values was relatively small, while
308 the number of samples with moderate values was higher, causing the model to be more inclined
309 to fit data within the moderate range of the groundwater level depth. Consequently, the
310 prediction accuracy of the model for extreme values was relatively low. Nonetheless, for
311 monitoring points in the southern part of the western low plain with higher simulation errors,
312 the simulated and observed groundwater level depth still exhibited similar dynamic
313 characteristics. The LSTM model could accurately capture the trends in the groundwater level
314 changes, with no significant lag between the simulated and observed values (Fig. 4).

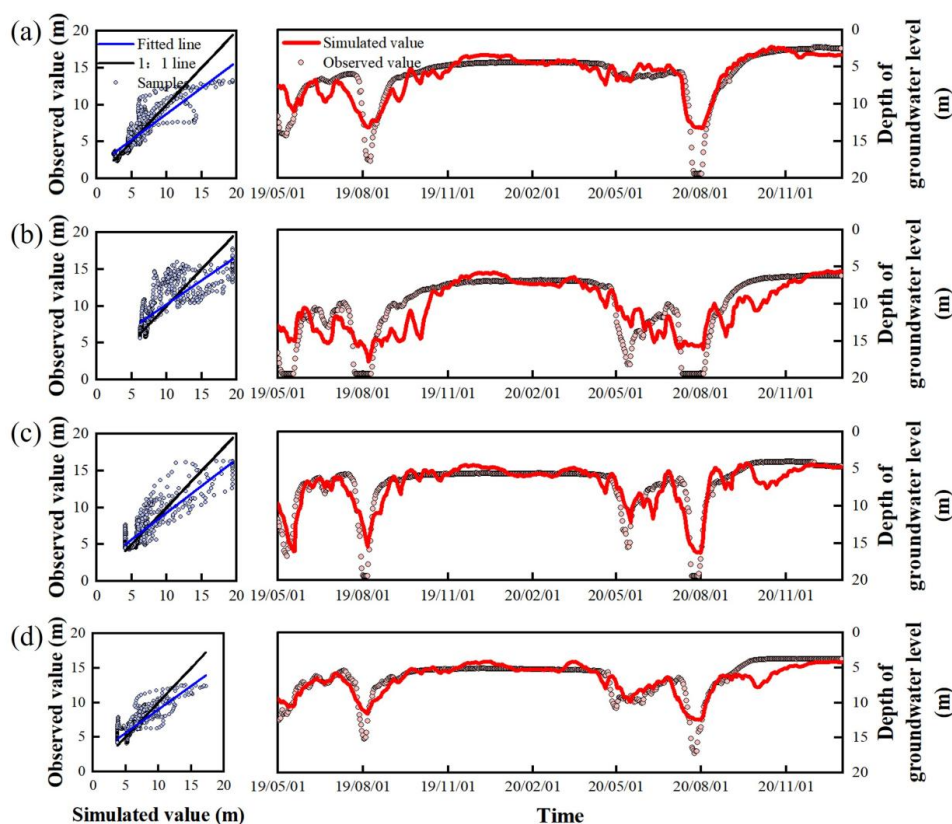


Fig. 4. Comparison of the simulated and observed groundwater level depths at typical points in the western low plain (NSE values on the test set < 0.7).

Overall, most of the groundwater monitoring points in the Songnen Plain, China, showed NSE values greater than 0.7 on the test set, indicating a relatively high simulation accuracy of the groundwater level depth based on the LSTM model. This suggests that the network structure of the LSTM model could accurately capture the dynamic relationships between the air temperature, precipitation, extraction volume, snow depth, and groundwater level.

3.2. Dynamic Characteristics of Regional Groundwater Level and their Distribution Laws

3.2.1. Dynamic Characteristics of Annual Groundwater Level and their Spatial Distribution Laws

Based on the characteristics of the annual groundwater level dynamic curves in the



327 Songnen Plain, China, the annual groundwater level dynamics can be categorized into three
328 types (Fig. 5).

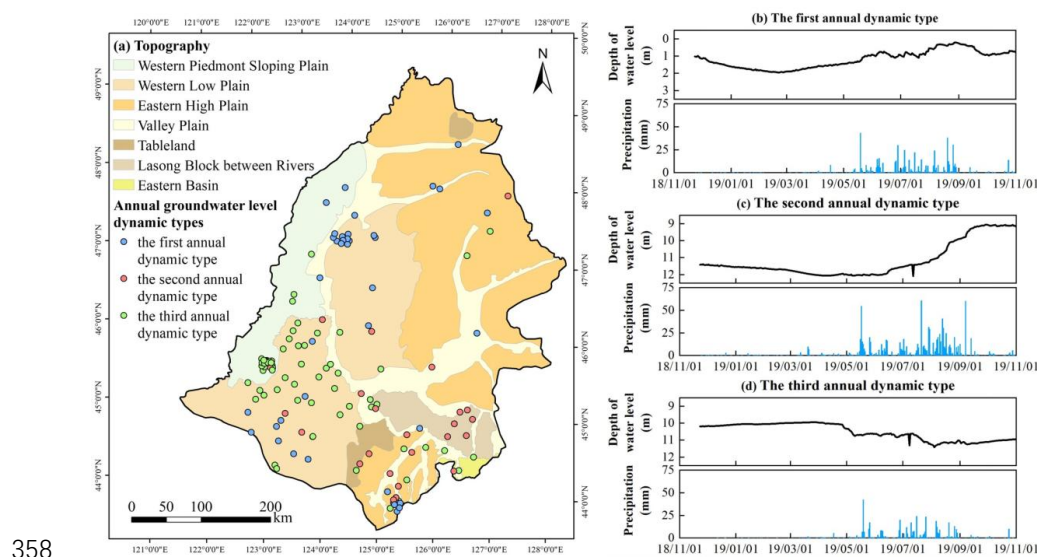
329 The monitoring wells located in areas with a shallow groundwater level (less than 7 m) in
330 the northern part of the western low plain and valley plain (Fig. 5a) exhibited annual
331 groundwater level fluctuations of less than 4 m. Typically, the dynamic change in the
332 groundwater level is as follows: during the dry season from January to April, precipitation is
333 almost zero, and the groundwater level depth is significantly greater compared with those in
334 the other months; with the onset of the rainy season (May to August), precipitation increases,
335 causing the groundwater level to rise; after the rainy season ends (September to December),
336 the groundwater level depth gradually increases with decreasing precipitation (Fig. 5b). This
337 dynamic type of the groundwater level is the first annual dynamic type in the Songnen Plain,
338 with its corresponding monitoring wells accounting for 29.0% of all wells in the study area.

339 The monitoring wells located on Tableland, the Lasong Block between rivers, and the
340 eastern high plain (Fig. 5a) have relatively greater groundwater level depths, ranging from
341 approximately 5 to 11 m. From January to May each year, groundwater levels show a
342 continuous decline; with the increase in precipitation, the groundwater level begins to gradually
343 rise, reaching their annual peak in early October (Fig. 5c). The timing of the groundwater peak
344 is delayed by 1 to 2 months compared with the first dynamic type, indicating that the response
345 of the groundwater level to precipitation is slower (Fig. 5b and c). The annual groundwater
346 level fluctuation is within 5 m. This dynamic type is the second annual dynamic type in the
347 Songnen Plain, with its corresponding monitoring wells accounting for only 18.1% of all wells
348 in the study area.

349 In agricultural irrigation areas, such as the southern part of the western low plain and the
350 western piedmont sloping plain (Fig. 5a), the groundwater level depth typically ranges from 5
351 to 20 m. The dynamic curves of the groundwater level in the aquifer monitoring wells in these



352 areas exhibit distinct periodicity, showing a funnel-like and sawtooth pattern. The lowest
353 groundwater levels typically occur in May or August, while the highest level typically occurs
354 in November or later (Fig. 5d). During the irrigation season, groundwater levels drop
355 significantly, with annual fluctuations being generally within 15 m. This dynamic groundwater
356 type is widely distributed in the study area, with its corresponding monitoring wells accounting
357 for 52.9% of all wells, representing the third annual dynamic type in the Songnen Plain.



359 **Fig. 5.** (a) Spatial distribution of different annual groundwater level dynamic types in the
360 Songnen Plain, China; (b–d) Dynamic curves of different annual groundwater types and their
361 corresponding precipitation variations. (b) The first annual dynamic type is represented by an
362 unconfined aquifer monitoring well, numbered 230204210070, located in the western low plain;
363 (c) The second annual dynamic type is represented by an unconfined aquifer monitoring well,
364 numbered 220182210411, located in the Lasong Block between rivers; (d) The third annual
365 dynamic type is represented by an unconfined aquifer monitoring well, numbered
366 220802210145, located in the western piedmont sloping plain.



367 **3.2.2. Dynamic Characteristics of Groundwater Level During the Freeze–thaw Period**
368 **and their Spatial Distribution Laws**

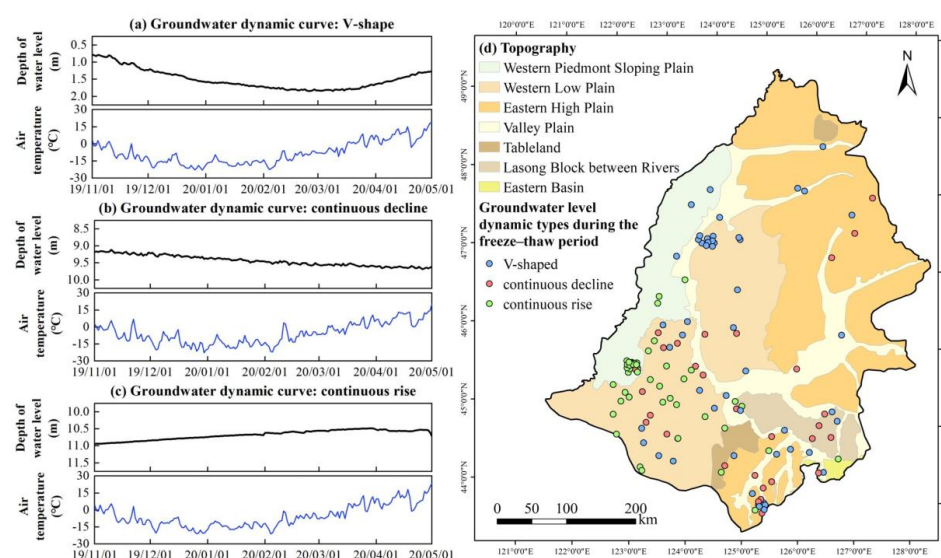
369 Free–thaw processes increase the frequency of interactions between soil water and
370 groundwater (Lyu et al., 2022; Miao et al., 2017; Daniel and Staricka, 2000). As a typical
371 seasonally frozen soil region, the Songnen Plain, China, exhibits three main forms of the
372 dynamic curves of the groundwater level during the freeze–thaw period: “decline during
373 freezing, rise during thawing,” “continuous decline,” and “continuous rise” (Fig. 6). The
374 monitoring points of the different dynamic types during the freeze–thaw period accounted for
375 38.4% (V-shaped), 23.2% (continuous decline type) and 38.4% (continuous rise type),
376 respectively.

377 At monitoring points with a “V-shaped” groundwater level dynamic curve, characterized
378 by “decline during freezing, rise during thawing” (Fig. 6a), the groundwater level fluctuated
379 by approximately 0.2–0.9 m during the freeze–thaw period. The time when the groundwater
380 level reached its maximum depth roughly coincided with the time when the soil reached its
381 maximum frozen thickness. These monitoring wells are primarily distributed in areas with a
382 shallow groundwater level in the northern part of the western low plain and the valley plain,
383 with a few located in the southern part of the western low plain. At the beginning of the freezing
384 period, groundwater level depths at these wells were typically within 5 m (Fig. 6d).

385 For the continuous decline and continuous rise types, the dynamic curves of the
386 groundwater level during the freeze–thaw period exhibited either a “continuous decline” or
387 “continuous rise” (Fig. 6b and c), with the rate of change remaining consistent throughout both
388 the freezing and thawing periods. Monitoring points with the continuous decline in the
389 groundwater level were mainly distributed in areas with deeper groundwater levels, such as the
390 eastern high plain and the Lasong Block between rivers, where the groundwater level depth
391 ranged from 4.52 to 11.51 m at the start of the freezing period (Fig. 6d). In contrast, monitoring



392 wells with a continuous rise in the groundwater level during the freeze–thaw period were
393 mainly found in agricultural irrigation areas such as the southern part of the western low plain
394 and the western piedmont sloping plain, where the groundwater level depth at the beginning of
395 the freezing period ranged from 4.71 to 19.91 m (Fig. 6d).



396
397 **Fig. 6.** (a–c) Dynamic curves of different groundwater types during the freeze–thaw period and
398 corresponding changes in air temperature; (d) Spatial distribution of different groundwater
399 level dynamic types during the freeze–thaw period in the Songnen Plain, China. The dynamic
400 curves of the groundwater level exhibiting patterns of (a) V-shaped, (b) continuous decline, and
401 (c) continuous rise correspond to the unconfined aquifer monitoring wells numbered
402 230204210070, 220182210411, and 220802210145, respectively.

403 3.3. Main Controlling Factors and Identification of Causes for Various Groundwater Level 404 Dynamic Types

405 After the application of the EG method to the trained models for the 138 groundwater
406 level simulations, the EG scores (ϕ_i^{EG}) were obtained for precipitation, air temperature,
407 extraction volume, and snow depth within 150 days prior to the representative groundwater



level values for each annual and freeze–thaw period groundwater level dynamic type (Figs. 7 and 8).

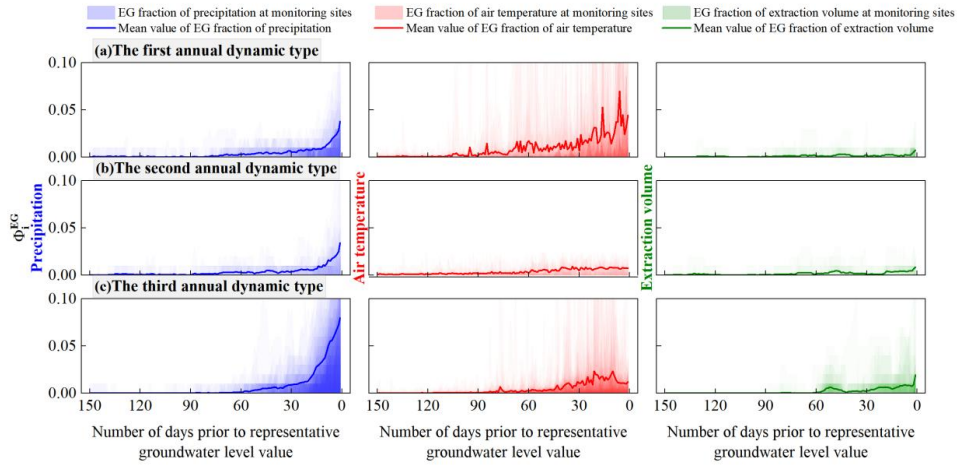


Fig. 7. EG scores (ϕ_i^{EG}) of the precipitation, air temperature, and extraction volume for different annual groundwater level dynamic types in the study area at different time steps.

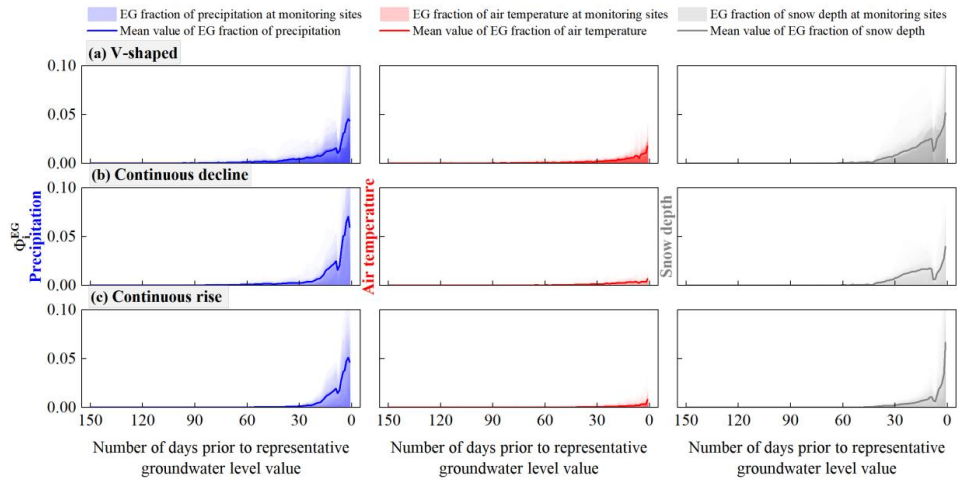


Fig. 8. EG scores (ϕ_i^{EG}) of the precipitation, air temperature, and snow depth for different groundwater level dynamic types during the freeze–thaw period in the study area at different time steps.

3.3.1. Main Controlling Factors and Identification of Causes for Annual Groundwater



418 **Level Dynamic Types**

419 Within 90 days before the representative groundwater level values, the average EG scores
420 for the precipitation and air temperature in the first annual dynamic type ranged from 0 to 0.04
421 and from 0 to 0.07, respectively, while the average EG score for the extraction volume did not
422 exceed 0.01 (Fig. 7a). This indicates that the groundwater level depth in this dynamic type was
423 significantly influenced by precipitation and air temperature, while the effect of extraction was
424 negligible. Thus, the changes in the groundwater level depth may be related to the precipitation
425 infiltration–evaporation process. When a clear peak in precipitation occurred (Fig. 9b), the EG
426 score also increased significantly (exceeding 0.15), and the groundwater level rose accordingly
427 (Fig. 9e). Precipitation directly recharged the groundwater. Within the 90 days when
428 precipitation influenced the representative groundwater level value, a total precipitation of
429 408.09 mm led to an overall rise in the groundwater level by 1.12 m (Fig. 9b and e). During
430 periods without precipitation, the air temperature continued to rise (Fig. 9a), reflecting higher
431 soil evaporation. At this time, the EG score for the air temperature was also relatively high
432 (ranging from 0.10 to 0.20), and the groundwater level showed a slight decline (Fig. 9e). This
433 suggests that evaporation was the primary discharge mechanism for groundwater in this
434 dynamic type. Therefore, based on the groundwater recharge and discharge mechanisms, the
435 first annual groundwater dynamic type is summarized as the precipitation infiltration–
436 evaporation type.

437 In contrast, in the second annual dynamic type, only the precipitation had a significant
438 impact on the groundwater level depth within 90 days before the representative groundwater
439 level value (with the EG scores ranging from 0 to 0.03), while the average EG scores for the
440 air temperature and extraction volume remained between 0 and 0.01 (Fig. 7b). Precipitation
441 almost consistently recharged the groundwater during the 90 days before the representative
442 groundwater level values (with an average EG score of approximately 0.012), causing a gradual

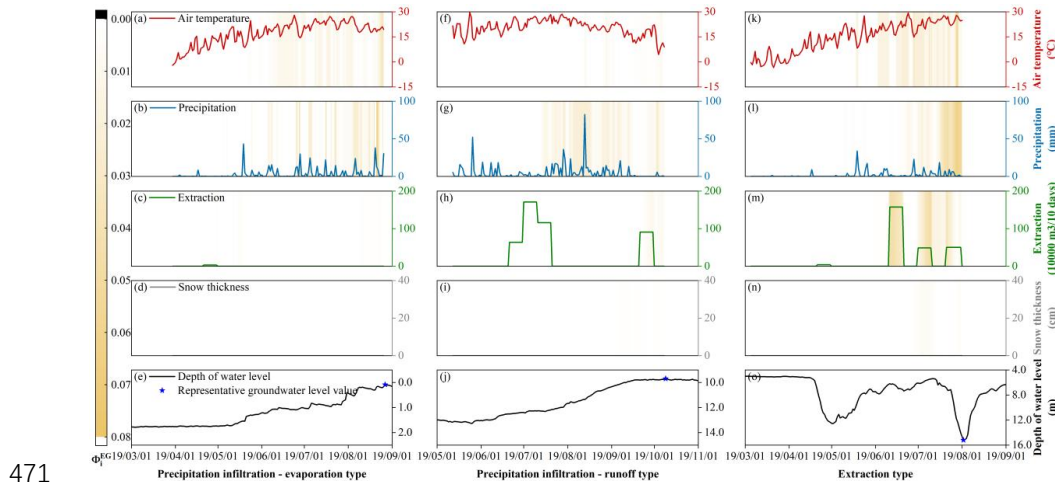


443 rise in the groundwater level (Fig. 9j). However, the rate of groundwater rise was relatively
444 slow, with an average value of approximately 0.02 m/d. The air temperature fluctuated
445 significantly over the 90-day period (Fig. 9f), ranging from 4.41 to 28.57 °C, but had no
446 significant impact on the groundwater level (Fig. 9j). The EG score during periods of high
447 temperatures was also below 0.01, indicating that evaporation had little effect on the
448 groundwater level. There was some groundwater extraction in local areas around July and
449 October (Fig. 9h); however, it had a minimal impact on the groundwater level, with the EG
450 scores remaining below 0.01. The relatively deep groundwater level (nearly 13 m) suggests
451 that this groundwater type was primarily discharged through runoff. Therefore, the second
452 annual groundwater dynamic type was classified as the precipitation infiltration–runoff type.

453 In the third annual dynamic type, the precipitation, air temperature, and extraction volume
454 had a significant impact on groundwater level within a shorter period before the representative
455 groundwater level values (within 60 days), with the average EG scores in the ranges of 0–0.08,
456 0–0.02, and 0–0.02, respectively (Fig. 7c). This dynamic type is mainly distributed in
457 agricultural irrigation areas, such as the southern part of the western low plain and the western
458 piedmont sloping plain (Fig. 5a). The main crops in these areas are rice, soybeans, and corn
459 (You et al., 2021), and their water demand is concentrated in the summer, particularly between
460 June and August (Zhenxiang; et al., 2022). During this period, the air temperature shows a
461 fluctuating uptrend (Fig. 9k), with the EG scores reaching a maximum of 0.02, indicating that
462 high temperatures increase soil evaporation and crop transpiration. This leads to a higher water
463 demand from the crops; however, the low rainfall was insufficient to meet this demand during
464 these periods (Fig. 9l, with a daily maximum precipitation of only 33.80 mm), necessitating
465 additional groundwater extraction for irrigation to maintain crop growth (Fig. 9m). As a result,
466 the EG score for the extraction volume reached approximately 0.20 during this period, and
467 groundwater level decreased accordingly (Fig. 9o). This dynamic type indicates that



468 groundwater recharge comes from precipitation infiltration, and groundwater extraction is the
469 main discharge mechanism. Thus, the third annual groundwater dynamic type was classified
470 as the extraction type.



471 **Fig. 9.** Observed values and EG scores (ϕ_i^{EG}) of the precipitation, air temperature, extraction
472 volume, and snow depth within 150 days before the representative groundwater level values
473 for various annual groundwater level dynamic types, as well as the corresponding annual
474 groundwater level depth dynamic curves. The precipitation infiltration–evaporation type,
475 precipitation infiltration–runoff type, and extraction type are represented by monitoring wells
476 230204210072, 220183210399, and 220821210024, with representative groundwater level
477 values corresponding to August 27, 2019, October 9, 2019, and August 2, 2019, respectively.

479 3.3.2. Main Controlling Factors and Identification of Causes for Groundwater Dynamic 480 Level Types During the Freeze–Thaw Period

481 A further analysis focused on the groundwater dynamic types during the freeze–thaw
482 period. In the V-shaped dynamic type, the average EG scores for precipitation and snow depth
483 within 60 days before the representative groundwater level values ranged from 0 to 0.05, while
484 the average EG score for the air temperature within 30 days before the representative
485 groundwater level values ranged from 0 to 0.02 (Fig. 8a). This suggests that the air temperature,



486 precipitation, and snow depth had a combined effect on the groundwater level depth of the V-
487 shaped dynamic type during the freeze–thaw period. Within 30 days before the representative
488 groundwater level values, the air temperature ranged from $-21.10\text{ }^{\circ}\text{C}$ to $4.40\text{ }^{\circ}\text{C}$, with the
489 overall temperature being below $0\text{ }^{\circ}\text{C}$ (Fig. 10b). As the air and soil temperatures dropped
490 below $0\text{ }^{\circ}\text{C}$, the effective soil porosity decreased significantly due to water freezing, and the
491 low-temperature suction related to the soil water potential between ice and water in the frozen
492 soil increased gradually (Lyu et al., 2022). Under the combined effect of the capillary force and
493 low-temperature suction, groundwater migrated upward continuously, thereby increasing the
494 groundwater level depth (Fig. 10e). During this period, the snow depth increased with the
495 decrease in temperature, reaching a maximum value of 13.22 cm on February 9, 2020 (Fig.
496 10d). The maximum EG score for the snow depth reached 0.03, indicating that snow had an
497 impact on the groundwater level depth during the freeze–thaw period. When the air temperature
498 exceeded $0\text{ }^{\circ}\text{C}$, the snow thawed rapidly (Fig. 10d), and the snow and frozen soil thaw water
499 infiltrated to recharge the groundwater, causing the groundwater level to rise for the first time
500 (Fig. 10e).

501 For the continuously declining and continuously rising dynamic types, only precipitation
502 and snow depth affected the groundwater level depth during the freeze–thaw period. In the
503 continuously declining groundwater dynamic type, the precipitation and snow depth influenced
504 the groundwater level depth over a longer period before the representative groundwater level
505 values (within 60 days), with the average EG scores below 0.07 and 0.04, respectively (Fig.
506 8b). In the continuously rising groundwater dynamic type, the average EG scores for the
507 precipitation and snow depth within 30 days before the representative groundwater level values
508 ranged from 0 to 0.05 and from 0 to 0.07, respectively, indicating that precipitation and snow
509 depth affected the groundwater level depth in this dynamic type during the freeze–thaw period
510 (Fig. 8c). Compared with precipitation and snow depth, the impact of the air temperature on



the groundwater level in both dynamic types was negligible (Fig. 8b and c), with the average EG scores ranging from 0 to 0.01.

In both the freeze–thaw dynamic types, the air temperature fluctuated significantly over the past 150 days (Fig. 10f and k), whereas the EG scores remained below 0.01, indicating that the freeze–thaw effects had no significant impact on groundwater levels. Snow depth continued to increase during the winter when the air temperature was below 0 °C (Fig. 10i and n). When the air temperature rose above 0 °C, the snow gradually thawed, and the meltwater had some recharging effect on groundwater levels (with maximum EG scores reaching 0.04). However, due to the limited amount of snow and the high groundwater levels, the impact of snowmelt on the groundwater level was gradual and limited, failing to significantly alter the original trends in the continuously declining or continuously rising groundwater levels (Fig. 10j and o). Therefore, the causes of the continuously declining and continuously rising groundwater level dynamic types were related to the recovery process of the annual groundwater levels.

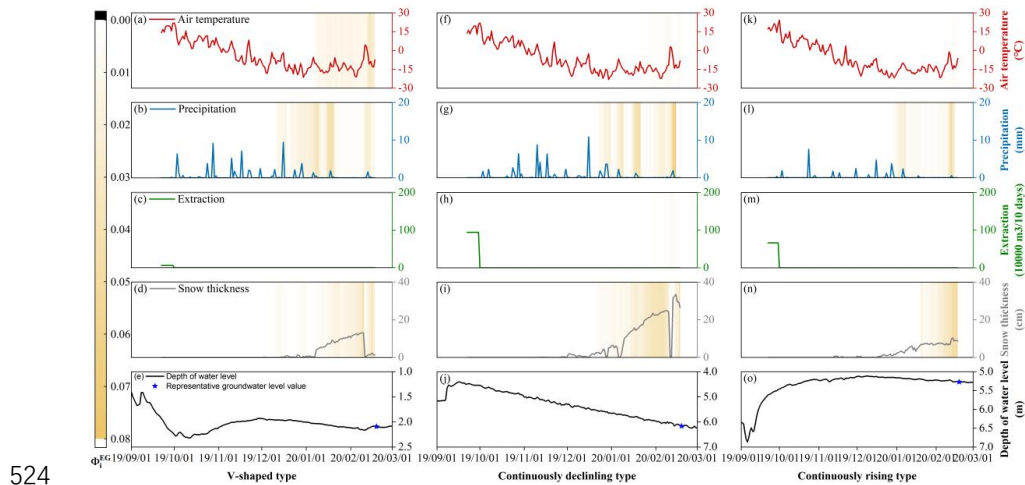


Fig. 10. Observed values and EG scores (ϕ_i^{EG}) of the precipitation, air temperature, extraction volume, and snow depth within 150 days before the representative groundwater level values for various groundwater level dynamic types during the freeze–thaw period, as well as the corresponding annual groundwater level depth dynamic curves. The V-shaped, continuous



529 decline, and continuous rise types are represented by monitoring wells 220106210371,
530 220182210410, and 220821210024, respectively. The representative groundwater level
531 corresponds to February 19, 2020.

532 ***3.4. Regional Distribution Characteristics of the Dynamic Causes of Groundwater Level in***
533 ***the Songnen Plain, China***

534 Based on the dynamic variations and spatial distribution characteristics of the groundwater
535 levels in the study area, groundwater monitoring points where the groundwater levels dropped
536 in the freezing period and rose in the thawing period, driven by soil freeze–thaw processes,
537 typically showed a precipitation infiltration–evaporation dynamic in terms of the groundwater
538 level dynamics during the year (Figs. 5b and 6a). These points were mainly distributed in areas
539 with shallow groundwater level depths, such as the northern part of the western low plain and
540 valley plain (Figs. 11a and 12a). Groundwater level dynamics unaffected by soil freeze–thaw
541 processes generally showed two trends: continuous decline or continuous rise (Fig. 6b and c).
542 Monitoring points with a continuous decline trend were mainly located in areas with a
543 significant groundwater level depth, such as the eastern high plain and the Lasong Block
544 between the rivers, where the annual groundwater level dynamics showed typical dynamic
545 characteristics of precipitation infiltration–runoff type (Fig. 5c). The monitoring points in
546 agricultural irrigation areas in the southern part of the western low plain and the western
547 piedmont sloping plain showed a continuous rise in the groundwater level during the freeze–
548 thaw period (Fig. 12a), and the dynamic type of the groundwater level in the year was mainly
549 the extraction type (Fig. 5d). Therefore, the “continuous decline” groundwater dynamic during
550 the freeze–thaw period was the recession phase of the groundwater level after the flood season
551 peak in the precipitation infiltration–runoff-type groundwater, while the “continuous rise”
552 groundwater dynamic was the recovery phase of the groundwater level after the extraction in
553 the extraction-type groundwater.



554 However, under the classification based on the freeze–thaw period, the proportions of the
555 V-shaped, continuous decline, and continuous rise types accounted for 38.4%, 23.2%, and 38.4%
556 of all monitoring points, respectively. These proportions did not completely align with the
557 annual classification of the precipitation infiltration–evaporation (29.0%), precipitation
558 infiltration–runoff (18.1%), and extraction (52.9%) types. This discrepancy can be partly
559 attributed to differences in the groundwater level depth. In some extraction monitoring points,
560 although the annual groundwater level dynamics showed typical extraction characteristics,
561 because the groundwater level at these monitoring points was shallow, the soil freezing and
562 thawing processes still had a significant impact on it, resulting in a V-shape water level change
563 at these points during the freeze–thaw period. The presence of such monitoring points increased
564 the proportion of the V-shape type during the freeze–thaw period, while reducing the proportion
565 of the continuous-rise type. Thus, the proportions of the freeze–thaw and annual classifications
566 were not entirely consistent, particularly in areas with a shallow groundwater level depth,
567 where soil freezing and thawing caused groundwater levels at some points of the extraction
568 type to exhibit V-shaped variations during the freeze–thaw period.

569 In the northern part of the western low plain, where groundwater level was shallow (less
570 than 5 m), the predominant annual groundwater dynamic was the precipitation infiltration–
571 evaporation type (Fig. 11a). Due to the proximity of the groundwater level to the surface, the
572 groundwater levels in these areas are more sensitive to meteorological factors. The dynamic
573 curves of the groundwater level show a characteristic in that the high water level period
574 corresponds to the rainy season. Specifically, in the Songnen Plain, peak precipitation and
575 groundwater level in this dynamic type occur simultaneously, typically between July and
576 August (Fig. 11d and f). The annual variation in the groundwater level was small, generally
577 less than 4 m (Fig. 11c). During the freeze–thaw period, the groundwater level dynamics in this
578 type exhibited a V-shaped pattern, with the groundwater level declining during the freezing



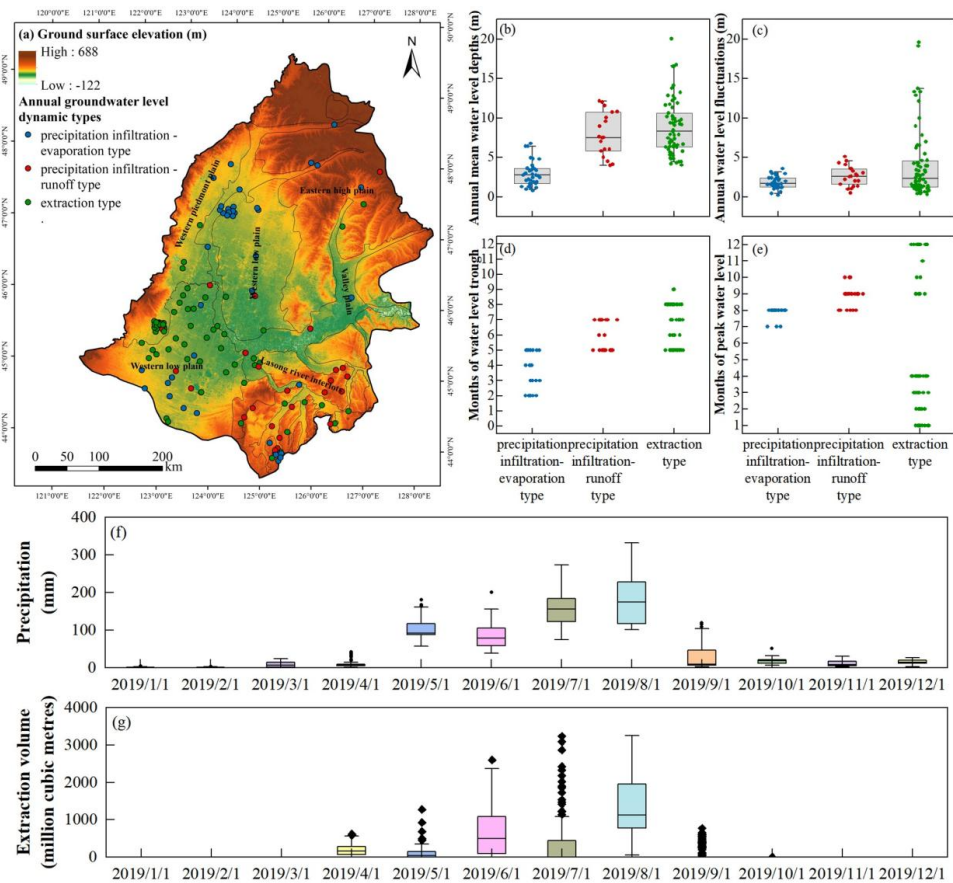
579 period and rising during the thawing period, with a fluctuation range of 0.2–0.9 m. However,
580 this V-shaped variation in the groundwater level is not accidental. At monitoring points with V-
581 shaped dynamics, the initial groundwater level depth and soil freezing depth at the beginning
582 of the freezing period were in the ranges of 0–5 m (Fig. 12d) and 1.6–2.1 m (Fig. 12c),
583 respectively. The soil was predominantly silty clay, with a maximum capillary rise height of up
584 to 5 m (Rui, 2004). Therefore, the initial groundwater level depth at these points was generally
585 less than the sum of the soil freezing depth and the maximum capillary rise height (Fig. 12a).
586 This means that during the freezing period, the low-temperature suction caused by soil freezing
587 and the pre-existing capillary forces in the soil form a complete hydraulic connection between
588 the frozen layer and the groundwater, causing the groundwater to continuously migrate toward
589 the freezing front during the freezing period.

590 Groundwater monitoring points exhibiting the precipitation infiltration-runoff type were
591 mainly distributed in the eastern high plain and the Lasong Block between rivers. In these areas,
592 the groundwater level is deeper, typically ranging from 5 to 12 m (Fig. 11b), and runoff is the
593 primary mode of groundwater discharge. The deeper groundwater level prolongs the infiltration
594 time of precipitation, resulting in a delayed response of the groundwater level dynamics to
595 precipitation recharge. Groundwater level peaks typically occur between August and October
596 (Fig. 11d), lagging behind the precipitation peak by approximately one month (Fig. 11f). Due
597 to the low recharge rate, groundwater level fluctuations are relatively moderate, with annual
598 variations generally within 4 m (Fig. 11c). During the freeze–thaw period, groundwater
599 monitoring points with continuously declining trends have greater initial groundwater level
600 depths, ranging from 4.52 to 11.51 m at the beginning of the freezing period (Fig. 12d). The
601 soil freezing depth in this dynamic type was shallower (between 1.6 and 1.8 m), and the soil
602 was still primarily silty clay (Fig. 12b and c). The greater groundwater level depth and
603 shallower soil freezing depth prevented a complete hydraulic connection between the frozen



604 soil and groundwater (Fig. 12a), resulting in the groundwater level being unaffected by the soil
605 freeze–thaw process.

606 In the agricultural irrigation areas of the southern part of the western low plain and the
607 western piedmont sloping plain, the groundwater level depth corresponding to the extraction
608 types typically ranged from 5 to 20 m (Fig. 11b). During the agricultural irrigation period,
609 significant groundwater extraction led to a marked decline in the groundwater level (Fig. 11c).
610 The low groundwater level period coincided with the peak extraction period, typically between
611 June and August (Fig. 11e and g). In areas with substantial groundwater extraction, a
612 groundwater depression cone had already formed, with annual groundwater level fluctuations
613 reaching up to 15 m (Fig. 11c). During the freeze–thaw period, the groundwater level dynamics
614 exhibited a continuous rising trend. In the southern part of the western low plain and the
615 western piedmont sloping plain, the initial groundwater level depth at the beginning of the
616 freezing period and the soil freezing depth were in the ranges of 5–20 m (Fig. 12d) and 1.6–
617 1.8 m (Fig. 12c), respectively, with the soil primarily comprising silty clay and sandy clay loam
618 (with a maximum capillary rise height of 3 m) (Fig. 12b). In this region, the initial groundwater
619 level depth was generally greater than the sum of the soil freezing depth and the maximum
620 capillary rise height, causing the hydraulic connection between the vadose and saturated zones
621 to be severed (Fig. 12a), and the groundwater level was unaffected by the soil freeze–thaw
622 process.



623

624 **Fig. 11.** (a) Spatial distribution of the ground surface elevation and three dynamic types of
625 annual groundwater level (precipitation infiltration-evaporation type, precipitation infiltration-
626 runoff type, and extraction type) in Songnen Plain, China. The correlation between the three
627 dynamic types of annual groundwater level and (b) annual mean groundwater level depths, (c)
628 annual water level fluctuations, (d) months of peak water level and (e) months of water level
629 trough. (f) and (g) Monthly distribution of the precipitation and extraction volume in Songnen
630 Plain, China in 2019, respectively. Each point in (b)–(e) represents a groundwater level
631 monitoring point.

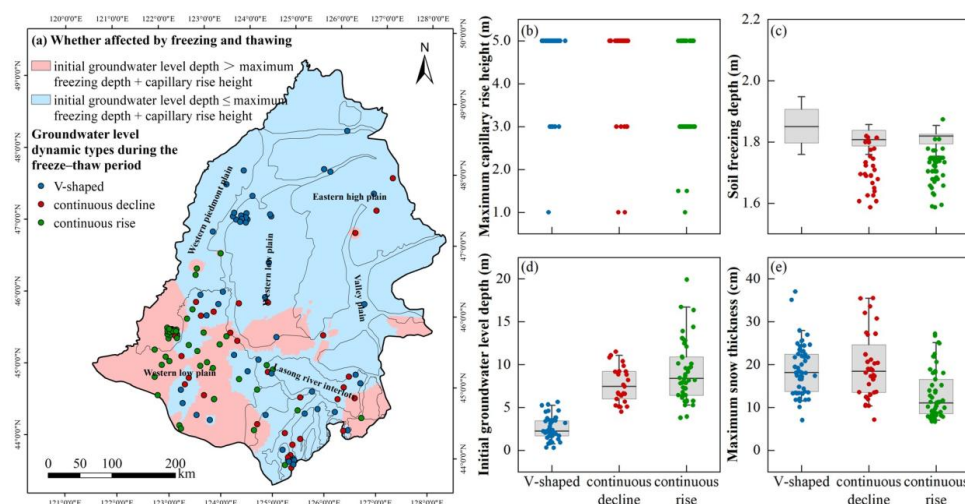


Fig. 12. (a) Spatial distribution of whether the groundwater level is affected by the soil freeze–thaw process and the three groundwater level dynamic types during the freeze–thaw period (V-shaped, continuously declining, and continuously rising) in the Songnen Plain, China. Correlations between the groundwater level dynamic types in the three freeze–thaw period and (b) maximum capillary rise height of the soil, (c) the soil freezing depth, (d) the initial groundwater level depth at the start of the freezing period, and (e) maximum snow thickness. Each point in (b)–(e) represents a groundwater monitoring well.

3.5. Limitations of existing models

A deep learning model was successfully developed in this study to simulate the groundwater level in the seasonally frozen ground regions of Northeast China, with 81.88% of the monitoring wells in the study area achieving an NSE > 0.7 on the test set. A common issue with deep learning models is that they are often considered black-box models, making it difficult to interpret their internal decision-making processes, which limits their credibility and interpretability in practical applications (Gunning; et al., 2019). In groundwater level simulation studies, this research is the first to apply the EG method to quantify the importance of input factors in simulating groundwater level during non-freezing and freezing periods,



649 revealing the driving forces behind groundwater level dynamics in different seasons. The
650 introduction of this method offers a novel approach to understanding the groundwater level
651 dynamics in seasonally frozen regions.

652 We opted for a local modeling approach (i.e., training a separate model for each
653 groundwater monitoring well) rather than a regional approach (training a single model with
654 data from multiple monitoring wells). This decision was based on our goal to identify the
655 contribution patterns of the input factors (precipitation, air temperature, extraction volume, and
656 snow depth) to groundwater level at the regional scale, including the duration of their influence
657 and the significance of their impact. From a prediction standpoint, a regional model might be
658 more suitable for areas where data are scarce or incomplete (Frame et al., 2022; Nearing et al.,
659 2021), as it can learn more general relationships between input and output factors from
660 historical data (Kratzert et al., 2019). However, regional models are associated with the issue
661 of multicollinearity between static factors, and this issue must be addressed. Collinear input
662 factors may share a substantial amount of information, making it difficult for the model to
663 accurately distinguish the independent influence of each input factor on the output, leading to
664 challenges in interpreting the impact of inputs on the output. Therefore, using regional models
665 to explain the causes of groundwater level dynamics in seasonally frozen regions could be more
666 challenging than using local models. Nevertheless, we acknowledge the advantages of regional
667 models. Future research could further explore how to address the multicollinearity issues
668 associated with static factors in regional models. In conclusion, we successfully combined deep
669 learning models with the EG method to reveal the causes of groundwater level dynamics in
670 seasonally frozen regions.

671 **4. Conclusions**

672 Through the application of interpretable deep learning methods, this study revealed the
673 causal mechanisms of the dynamic change in the groundwater level in seasonally frozen soil



674 areas. The groundwater level change characteristics at 138 monitoring sites were analyzed in-
675 depth through high-precision simulations based on the LSTM model. Combined with the
676 application of the EG method, this study elucidated the differences and causes of groundwater
677 level dynamics during the freeze–thaw period and throughout the year. The main findings of
678 the study are as follows:

679 First, the LSTM model demonstrated a high accuracy in simulating groundwater level
680 trends in seasonally frozen soil areas, with the NSE values on the test set ranging from 0.53 to
681 0.96. This indicated that the model could effectively capture the complex changes in the
682 groundwater level.

683 Second, through the application of the EG method, this study identified three main types
684 of groundwater level dynamics in the Songnen Plain of China throughout the year, namely,
685 precipitation infiltration–evaporation type, precipitation infiltration–runoff type, and extraction
686 type, accounting for 29.0%, 18.1%, and 52.9% of the total, respectively. During the freeze–
687 thaw period, these types manifested as “V-shaped,” continuously declining, and continuously
688 rising trends, accounting for 38.4%, 23.2%, and 38.4%, respectively.

689 The recharge sources for all three types of annual groundwater level dynamics originated
690 from precipitation infiltration, while the discharge pathways were evaporation, runoff, and
691 artificial extraction, respectively. During the freeze–thaw period, a “V-shaped” groundwater
692 level trend indicated a significant influence of the soil freeze–thaw process on the groundwater
693 level. In contrast, the continuously declining and continuously rising trends reflected the
694 recovery processes following groundwater extraction and precipitation recharge, both of which
695 were unaffected by freeze–thaw processes. These dynamic types reflected the change patterns
696 of the groundwater level driven by multiple factors at different time scales.

697 The dynamic changes in the groundwater level in seasonally frozen soil areas were a
698 complex process influenced by various factors, such as aquifer properties, boundary conditions,



699 and freeze–thaw processes. In this context, deep learning models required more sophisticated
700 model structures and more input variables to more accurately capture the patterns in water level
701 fluctuations. To better understand the predictive mechanisms and feature importance within
702 these models, interpretative techniques, such as the EG method, are particularly valuable. In
703 this study, the EG method was applied to reveal the causal mechanisms behind groundwater
704 level dynamics, and future research may explore other advanced interpretability techniques to
705 further enhance our understanding of the model results. We believe that with the continuous
706 advancement of artificial intelligence, these methods will reveal even more valuable
707 information hidden within deep learning models. Moreover, the simulation accuracy of deep
708 learning models should not be the sole research focus, but rather a prerequisite. The true
709 significance of deep learning in the field lies in helping researchers gain deeper insights into
710 hydrological processes and ultimately discovering previously unknown laws and patterns.

711 Overall, this study demonstrated the significant potential of the EG method in explaining
712 the causal mechanisms behind groundwater level dynamics in seasonally frozen soil areas. This
713 approach not only resolves the conflict between model accuracy and interpretability but also
714 provides a new perspective for hydrological research. With the future introduction and
715 optimization of more interpretability methods, the EG method is expected to further reveal the
716 complexities of hydrological processes in seasonally frozen regions, providing more scientific
717 evidence for groundwater resource management and environmental protection.

718 **Credit authorship contribution statement**

719 Han Li: Conceptualization, Investigation, Formal analysis, Data curation, Visualization,
720 Writing–original draft. Hang Lyu: Conceptualization, Validation, Formal analysis, Resources,
721 Investigation, Data curation, Visualization, Supervision. Boyuan Pang: Investigation,
722 Visualization. Xiaosi Su: Investigation, Supervision. Weihong Dong: Resources, Data curation.
723 Yuyu Wan: Resources, Data curation. Tiejun Song: Data curation. Xiaofang Shen: Data



724 curation.

725 **Declaration of interests**

726 The authors declare that they have no known competing financial interests or personal
727 relationships that could have appeared to influence the work reported in this paper.

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733

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857 **Figure captions**

858 **Fig. 1.** Workflow of this study: (a) Model structure of the LSTM model, (b) EG scores of input
859 factors during the non-freeze–thaw and freeze–thaw periods.

860 **Fig. 2.** Spatial distribution of the ground surface elevation (a), topography (b), soil texture (c)
861 and frozen soil thickness (d) in the Songnen Plain, China.

862 **Fig. 3.** (a) Spatial distribution of the NSE values on the test set for 138 groundwater level
863 monitoring points in the Songnen Plain, China. (b)–(e) Maximum, minimum, and mean errors
864 between simulated and observed groundwater levels at monitoring points in the western
865 piedmont sloping plain, western low plain, eastern high plain, and valley plain during the
866 simulation period.

867 **Fig. 4.** Comparison of the simulated and observed groundwater level depths at typical points
868 in the western low plain (NSE values on the test set < 0.7).

869 **Fig. 5.** (a) Spatial distribution of different annual groundwater level dynamic types in the
870 Songnen Plain, China; (b–d) Dynamic curves of different annual groundwater types and their
871 corresponding precipitation variations. (b) The first annual dynamic type is represented by an
872 unconfined aquifer monitoring well, numbered 230204210070, located in the western low plain;
873 (c) The second annual dynamic type is represented by an unconfined aquifer monitoring well,
874 numbered 220182210411, located in the Lasong Block between rivers; (d) The third annual
875 dynamic type is represented by an unconfined aquifer monitoring well, numbered
876 220802210145, located in the western piedmont sloping plain.

877 **Fig. 6.** (a–c) Dynamic curves of different groundwater types during the freeze–thaw period and
878 corresponding changes in air temperature; (d) Spatial distribution of different groundwater
879 level dynamic types during the freeze–thaw period in the Songnen Plain, China. The dynamic
880 curves of the groundwater level exhibiting patterns of (a) V-shaped, (b) continuous decline, and
881 (c) continuous rise correspond to the unconfined aquifer monitoring wells numbered



230204210070, 220182210411, and 220802210145, respectively.

Fig. 7. EG scores (ϕ_i^{EG}) of the precipitation, air temperature, and extraction volume for different annual groundwater level dynamic types in the study area at different time steps.

Fig. 8. EG scores (ϕ_i^{EG}) of the precipitation, air temperature, and snow depth for different groundwater level dynamic types during the freeze–thaw period in the study area at different time steps.

Fig. 9. Observed values and EG scores (ϕ_i^{EG}) of the precipitation, air temperature, extraction volume, and snow depth within 150 days before the representative groundwater level values for various annual groundwater level dynamic types, as well as the corresponding annual groundwater level depth dynamic curves. The precipitation infiltration–evaporation type, precipitation infiltration–runoff type, and extraction type are represented by monitoring wells 230204210072, 220183210399, and 220821210024, with representative groundwater level values corresponding to August 27, 2019, October 9, 2019, and August 2, 2019, respectively.

Fig. 10. Observed values and EG scores (ϕ_i^{EG}) of the precipitation, air temperature, extraction volume, and snow depth within 150 days before the representative groundwater level values for various groundwater level dynamic types during the freeze–thaw period, as well as the corresponding annual groundwater level depth dynamic curves. The V-shaped, continuous decline, and continuous rise types are represented by monitoring wells 220106210371, 220182210410, and 220821210024, respectively. The representative groundwater level corresponds to February 19, 2020.

Fig. 11. (a) Spatial distribution of the ground surface elevation and three dynamic types of annual groundwater level (precipitation infiltration–evaporation type, precipitation infiltration–runoff type, and extraction type) in Songnen Plain, China. The correlation between the three dynamic types of annual groundwater level and (b) annual mean groundwater level depths, (c) annual water level fluctuations, (d) months of peak water level and (e) months of water level



907 trough. (f) and (g) Monthly distribution of the precipitation and extraction volume in Songnen
908 Plain, China in 2019, respectively. Each point in (b)–(e) represents a groundwater level
909 monitoring point.

910 **Fig. 12.** (a) Spatial distribution of whether the groundwater level is affected by the soil freeze–
911 thaw process and the three groundwater level dynamic types during the freeze–thaw period (V-
912 shaped, continuously declining, and continuously rising) in the Songnen Plain, China.
913 Correlations between the groundwater level dynamic types in the three freeze–thaw period and
914 (b) maximum capillary rise height of the soil, (c) the soil freezing depth, (d) the initial
915 groundwater level depth at the start of the freezing period, and (e) maximum snow thickness.
916 Each point in (b)–(e) represents a groundwater monitoring well.