



# Explainable ensemble machine learning revealing enhanced anthropogenic emissions of particulate nitro-aromatic compounds in eastern China

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**Abstract.** Nitro-aromatic compounds (NACs) are important atmospheric pollutants that impact air quality, atmospheric chemistry, and human health. Understanding the relationship between NACs formation and key environmental driving factors are crucial for mitigating their environmental and health impacts. In this work, we combined an ensemble machine learning (EML) model with the SHapley Additive exPlanation (SHAP) and positive matrix factorization (PMF) model to identify the key driving factors for ambient particulate NACs covering primary emissions, secondary formation, and meteorological conditions based on field observations at urban, rural, and mountain sites in eastern China. The EML model effectively reproduced ambient NACs and recognized that anthropogenic emissions (*i.e.*, coal combustion, traffic emission, and biomass burning) were the most important driving factors, with the total contribution of 49.3%, while significant influences from meteorology (27.4%), and secondary formation (23.3%) were also confirmed. Seasonal variations analysis showed that direct emissions presented positive responses to NACs concentrations in spring, summer, and autumn, while temperature had the largest impact in winter. By evaluating NACs formation and loss under various locations in winter, we found that anthropogenic sources played a dominant role in increasing NACs levels in urban and rural sites, while reduced ambient temperature along with secondary formation from gas-phase oxidation was the main reason for relatively high particulate NACs levels at the mountain site. This work provides a reliable modelling method for understanding the dominant sources and influencing factors for atmospheric NACs and highlights the necessity of strengthening emission sources controls to mitigate organic aerosol pollution.



## 1. Introduction

Nitro-aromatic compounds (NACs) exist as one of the key components of atmospheric organic aerosols that consist of both one or more nitro ( $-\text{NO}_2$ ) and hydroxyl functional groups ( $-\text{OH}$ ) attaching to a benzene ring. They can be classified into four categories based on the chemical structures and quantities of functional groups, including nitrophenol and its derivatives (NPs), nitrocatechol and its derivatives (NCs), nitrosalicylic acids (NSAs), and dinitrophenol and its derivatives (DNPs). As semi-volatile compounds, NACs are widely distributed in the air, cloud, surface water, fog, rain, and snow in earth environment (Leuenberger et al., 1988; Lüttke et al., 1999; Lüttke et al., 1997; Vanni et al., 2001). They are recognized as the major constituents of brown carbon (BrC) that affect radiative forcing and regional climate through strong absorption of visible and near-ultraviolet lights (Xie et al., 2017; Mohr et al., 2013). In addition, the photolysis of NACs can release OH radicals and produce HONO, increasing the atmospheric oxidative capacity and altering the nitrogen cycle (Bejan et al., 2006; Bejan et al., 2007; Cheng et al., 2009; Yang et al., 2024). Recent toxicology studies have also shown that NACs can react with haemoglobin and further affect cell metabolism, which pose risks to human health (Fernandez et al., 1992; Purohit and Basu, 2000). Therefore, elucidating the sources and sinks of NACs is of particular importance for comprehensively assessing their environmental, climate, and health effects.

The abundances of NACs in ambient air largely depend on primary emissions of anthropogenic activities including coal combustion (Lu et al., 2019a), biomass burning (Chow et al., 2016), traffic emissions (Delhomme et al., 2010), and industrial productions (Lu et al., 2021), with emission rates influenced by fuel types, combustion processes, and burning conditions. They can be also produced through in-situ nitration of anthropogenic volatile organic compounds (VOCs) initiated by OH and  $\text{NO}_3$  radicals in either the gas or aqueous phases (Harrison et al., 2005; Atkinson et al., 1989; Atkinson et al., 1992). In addition to phase partitioning, heterogeneous reaction of gas-phase NACs has been recently proposed as a formation pathway for the condensed-phase NACs (Wang et al., 2019). The secondary formation, phase partitioning, diffusion and transport, and wet and dry deposition of NACs strongly rely on meteorological conditions. Particularly, previous studies established a temperature (T)-dependent equilibrium for NACs between the gas and particle phases (Cai et al., 2022; Yuan et al., 2016). Higher relative humidity (RH) significantly facilitated the uptake of gas-phase NACs into aerosols (Vidović et al., 2018; Frka et al., 2016), while surface net solar radiation (SSR) inhibited NACs photolysis production and loss (Peng et al., 2023b). The complex and synergetic effects of primary emissions, secondary formation, and meteorological conditions on the abundances of NACs make it a challenge to quantify their impacts.

Traditionally, receptor models such as positive matrix factorization (PMF) and principal component analysis (PCA) are applied to apportion the major sources of NACs and their contributions. For example, Ren et al. (2022) and Yuan et al. (2021) have applied PMF model to reveal that the particulate NACs in China are closely associated with anthropogenic activities, particularly with the direct emissions from combustion sources. Li et al. (2016) combined PMF and PCA models and recognized that NACs from biomass burning are mainly responsible for urban haze events. Furthermore, statistical methods based on linear or multilinear regressions were also used to quantify the contributions of sources, meteorological conditions,



and other factors on the variations of particulate NACs (Cai et al., 2022; Wang et al., 2019; Chow et al., 2016). However, these methods are typically related to linear algorithms that ignore the multivariate nature and nonlinear relationships between NACs and the potential sources as well as the complex influences from meteorological conditions, and therefore advanced data analysis method is needed to uncover the hidden complicated relationships. Currently, machine learning (ML) methods, which are capable of simulating intricate and concealed nonlinear relationships and interactions among complex variables, have been widely used in predictions and evaluations of air pollutants (Chen et al., 2024; Wang et al., 2022a). Lundberg and Lee (2017) proposed a SHapley Additive exPlanation (SHAP) algorithm based on game theory to improve the model's interpretability, thereby providing a tool to explain and quantify the impacts of input variable values on model predictions. The interpretable ML methods in combination with SHAP have been recently applied to investigate the formation mechanism and influencing factors (Qin et al., 2022; Peng et al., 2023a). Given the complex nonlinear links between primary emissions, secondary formation, and meteorological conditions and the ambient particulate NACs, it is necessary to establish an effective and reliable evaluation method to comprehensively understand and assess the importance and contribution of each factor on the abundances of NACs under complicated atmospheric conditions.

In this study, particulate NACs at various sampling sites in eastern China in different seasons were compared and characterized. Based on the datasets of NACs, meteorological data, particle loading (*i.e.*, aerosol surface area data), and source apportionment results, an ensemble machine learning (EML) model combined with the SHAP approach was applied to reveal the key variables regulating the ambient NACs and the complex interrelationships. The purpose of this study is to (1) interpret the concentrations, compositions, and sources of particulate NACs at different sampling sites and seasons; (2) provide methods to identify the key driving factors for ambient NACs and quantify their relative contributions at various NACs concentration levels; and (3) elucidate the response of NACs to key driving factors under different pollution environments based on various sampling locations. This is the first attempt by combining PMF model results with the explainable EML method to build nonlinear relationships and identify the key driving factors of NACs. The findings highlight the critical roles of emission sources as well as the large impacts of temperature and secondary formation on ambient NACs and provide scientific basis for atmospheric pollution mitigation measures.

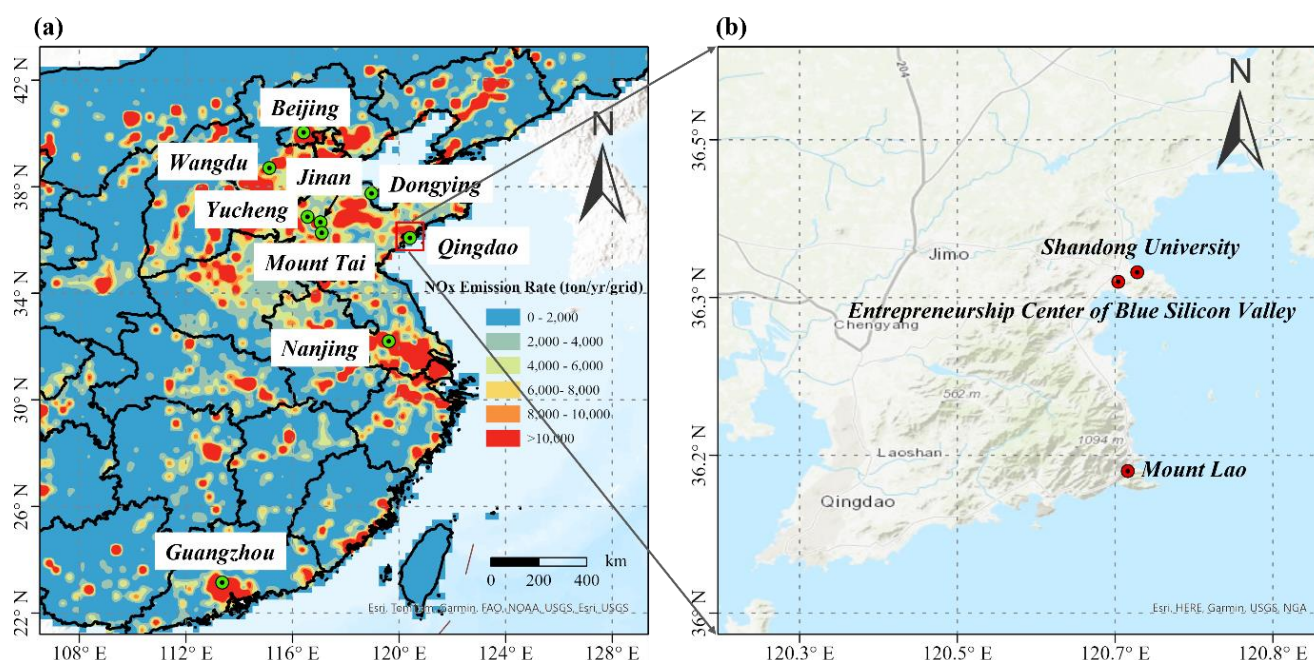
## 2. Materials and methods

### 2.1 Field observations

The field sampling and measurements were conducted at eleven sites in eastern China from 2014 to 2021 (Figure 1), including four urban sites in Jinan (36.67° N, 117.05° E), Guangzhou (23.14° N, 113.36° E), Nanjing (32.20° N, 119.62° E), and Beijing (40.03° N, 116.42° E), five rural sites in Dongying (37.75° N, 118.97° E), Wangdu (38.70° N, 116.57° E), Yucheng (36.87° N, 116.57° E), and Qingdao (including two sampling sites: Qingdao Campus of Shandong University (36.36° N, 120.69° E) and Entrepreneurship Center of Blue Silicon Valley (36.35° N, 120.67° E); see Fig. 1b), and two



95 mountain sites in Mount Tai ( $36.27^{\circ}$  N,  $117.10^{\circ}$  E, 1,534 m a.s.l.) and Mount Lao ( $36.15^{\circ}$  N,  $120.68^{\circ}$  E, 166 m a.s.l.). More detailed descriptions about the sampling site were provided in Table 1 and Text S1 in the Supporting Information (SI). It should be noted that the basic data in Jinan (except for autumn), Wangdu, Yucheng, Qingdao, and Mount Tai (winter in 2019 and summer) have been reported in our previous studies (Li et al., 2020a; Wang et al., 2018; Jiang et al., 2024; Li et al., 2024), which mainly demonstrate the pollution characteristics of particulate NACs and determine the major origins with traditional PMF model. In this work, we focus on applying an advanced machine learning algorithm to fully assess the key driving factors of particulate NACs in different sampling locations and seasons.



**Figure 1: (a) Map showing the sampling locations and (b) the different sampling sites located in Qingdao. The  $\text{NO}_x$  emission data in 2019 were downloaded from multi-resolution Emission Inventory for China (MEIC) website (available at: <http://meicmodel.org.cn>) (Li et al., 2017; Zheng et al., 2018).**

In urban and rural sites,  $\text{PM}_{2.5}$  samples were collected with a medium-volume sampler (TH-150A, Wuhan Tianhong, China) at a flow rate of  $100 \text{ L min}^{-1}$ , with daytime samples (08:00–19:30, LT) and nighttime samples (20:00–07:30) obtained daily. Two high-volume air samplers (TE-6070, Tisch, U.S.A.; TE-5170, Tisch, U.S.A.) at flow rates of  $994 \text{ L min}^{-1}$  and  $1000 \text{ L min}^{-1}$  were used to collect  $\text{PM}_{2.5}$  samples at Mount Tai (only in 2019) and Mount Lao, separately, with temporal resolutions of 3 hours during the daytime (07:00–22:00) and 9 hours during the nighttime (22:00–07:00 the next day). Fine particulate matters were collected on prior pre-baked quartz fiber filters (Pallflex, U.S.A.) and 613 samples were obtained totally. Blank samples were acquired before and after the filed campaigns without sucking air, and the filter samples were immediately placed in clean plastic boxes or wrapped with pre-baked aluminium foil and stored at  $-20^{\circ}\text{C}$  in darkness for subsequent chemical analysis.



115 Several major tracer gases ( $\text{SO}_2$ ,  $\text{NO}_2$ , and  $\text{O}_3$ ) were simultaneously monitored by online analyzers (excluding the Nanjing and Guangzhou sites) or downloaded from the China National Environmental Monitoring Centre (available at: <http://106.37.208.233:20035/>). Meteorological parameters, such as temperature and relative humidity, were recorded by automated meteorological stations or obtained from Weather Underground (available at: <https://www.wunderground.com>). Detailed information on the trace gas analyzers and meteorological stations was provided in the Text S1. Other hourly meteorological data for all sampling sites, including SSR, boundary layer height (BLH), and horizontal wind speed (WS\_H) and vertical wind speed (WS\_V) at a height of 10 m (a.g.l.) were taken from the European Centre for Medium-Range Weather Forecasts (ECMWF, ERA5 data). Particle number size distributions at Mount Tai were measured by a scanning mobility particle sizer (SMPS, Grimm, Germany), which were used to derive the aerosol surface area (Sa) data. Additionally, Sa data for the remaining sites were estimated by using machine learning algorithms based on the data of  $\text{PM}_{2.5}$  and meteorological parameters (details shown in the following Section 2.5).

## 2.2 Chemical analysis

The analytical protocol of particulate NACs in  $\text{PM}_{2.5}$  samples used in this study was adapted from those developed by Kitanovski et al. (2012) and Wang et al. (2018). Briefly, the filter samples were extracted ultrasonically or with a thermostatic orbital shaker with methanol (containing 30  $\mu\text{L}$  saturated ethylenediaminetetraacetic acid, EDTA) for 30 min and repeated three times. The extracts were filtered through 0.20  $\mu\text{m}$  polytetrafluoroethylene syringe filters (PTFE, Millex-FG, Millipore) to remove insoluble matters. They were then evaporated and concentrated using ultra-high purity nitrogen to dryness and the concentrates were re-dissolved in 300  $\mu\text{L}$  methanol containing the internal standard. All the sample solutions were analyzed by ultra-performance liquid chromatography coupled with a mass spectrometer detector (UHPLC-MS, Thermo Scientific, U.S.A.) operating in negative mode under selected ion monitoring (SIM) mode. Finally, the following twelve target compounds were identified based on retention time and spectra of the standards, including NPs (4-nitrophenol (4NP), 3-methyl-4-nitrophenol (3M4NP), 2-methyl-4-nitrophenol (2M4NP), and 2,6-dimethyl-4-nitrophenol (2,6DM4NP)), NCs (4-nitrocatechol (4NC), 4-methyl-5-nitrocatechol (4M5NC), 3-methyl-6-nitrocatechol (3M6NC), and 3-methyl-5-nitrocatechol (3M5NC)), NSAs (5-nitrosalicylic acid (5NSA) and 3-nitrosalicylic acid (3NSA)), and DNPs (2,4-dinitrophenol (2,4DNP) and 4-methyl-2,6-dinitrophenol (4M2,6DNP)). Standard curves, derived from the gradient concentrations of the authentic standard mixtures, were utilized to quantify the contents of the above twelve NACs. Noted that during summertime in 2014, only 10 NACs (except 2,4DNP and 4M2,6DNP) were quantified in  $\text{PM}_{2.5}$  samples collected from Jinan, Wangdu, Yucheng, and Mount Tai due to methodological reasons. Additionally, the average recovery rates for the filter samples were determined to be within the range of 81.5% to 106.5%, and the field blank signals of most species accounted for less than 3% of NACs in the ambient NACs, while NSAs and DNPs in the blanks contributed approximately 10% to the determined NACs, which were considered in the subsequent calculations. Detailed analytical methods are provided in Text S2 of the Supporting Information.





## 2.3 Positive matrix factorization model

The PMF model (EPA 5.0) is a useful tool for qualitatively identifying the NACs sources and quantitatively assigning their contributions to the total NACs and is employed in our study. The fundamental principles of the PMF model can be described as follows Eq. (1) (Paatero and Tapper, 1994):

$$X_{i \times j} = G_{i \times p} \cdot F_{p \times j} + E_{i \times j} \quad (1)$$

where  $X_{i \times j}$  represents the observed data matrix, with  $i$  samples and  $j$  chemical species.  $G_{i \times p}$  and  $F_{p \times j}$  are the source contribution matrix and source profile matrix, respectively.  $p$  is the number of sources contributing to the NACs.  $E_{i \times j}$  represents the residual error matrix capturing the difference between the measured and simulated data, which were calculated by minimizing the function  $Q$  based on Eq. (2):

$$Q = \sum_{i=1}^n \sum_{j=1}^m \left[ \frac{E_{i \times j}}{u_{i \times j}} \right]^2 \quad (2)$$

where  $u_{i \times j}$  is the uncertainty, which were determined through the comparison with detection limit. Detailed information can be seen in previous study by Zhang et al. (2018).

In this study, ten species, including 4NP, 3M4NP, 2M4NP, 4NC, 4M5NC, 3M6NC, 5NSA, 3NSA, NO<sub>2</sub>, and O<sub>3</sub>, were input into the PMF study, and the optimal number of source factors was determined to be four. Specific details of this model can be found in Text S3 and Figure S1.

## 2.4 Ensemble machine learning model

Four widely employed ML algorithms, including random forest (RF), extreme gradient boosting (XGBoost), light gradient boosting machine (LightGBM), and multilayer perceptron (MLP) were considered firstly in this study. RF is an ensemble learning method that constructs multiple decision trees, with the strength in its interpretability (Wang et al., 2022b; Petkovic et al., 2017). XGBoost, a gradient boosting learner that optimizes performance and efficiency, has a strength in stability and precision (Si and Du, 2020; Gui et al., 2020). LightGBM is a gradient boosting framework designed for high efficiency and scalability (Ju et al., 2019; Ma et al., 2022). MLP, as a class of feedforward artificial neural network, has advantages in flexibility and the ability to handle non-linear relationships (Reifman and Feldman, 2002). Detailed information about these four ML algorithms is provided in Text S4.1.

For these four ML algorithms, the whole dataset (613 rows) was randomly divided, with 80% allocated to the training set and the remaining 20% to the testing set. Then, GridSearch and 10-fold cross-validation were employed to prevent overfitting and tune the optimal hyperparameters. The performances of model outcomes were evaluated using the coefficient of determination ( $R^2$ ), mean absolute error (MAE), and root mean squared error (RMSE), and the optimal hyperparameters were listed in Table S1. As shown in Figure S2, XGBoost and LightGBM models exhibited better performances, with the



lower MAE (6.82 and 7.38 ng m<sup>-3</sup>, respectively) and RMSE values (11.05 and 12.07 ng m<sup>-3</sup>, respectively) than the other two ML models. Meanwhile, the modelled NACs by the XGBoost and LightGBM algorithms were highly consistent with the observed data, with R<sup>2</sup> values of 0.88 and 0.86, respectively. The RF model also performed well with an R<sup>2</sup> value of 0.85, while the MLP model demonstrated inadequate forecasting performance (R<sup>2</sup> = 0.60).

180 Due to the unique strengths and limitations of different ML algorithms, the integrated EML model enhanced the predictive performance by leveraging the strengths of each ML algorithm while mitigating their weaknesses (Opitz and Maclin, 1999). Therefore, the base learners (RF, XGBoost, and LightGBM) were selected and integrated into the EML model framework based on a ridge regression model (Carneiro et al., 2022), and 10-fold cross-validation was applied to prevent overfitting. The validation results showed that EML model exhibited higher accuracy and precision, with the R<sup>2</sup> of 0.91, and with MAE, 185 and RMSE of 6.11, and 9.94 ng m<sup>-3</sup>, respectively (Fig. S3), making it the preferred option for the subsequent analysis. Additionally, multi-target predictions were conducted by using the EML model with different functional groups compounds, including NPs, NCs, and NSAs, simultaneously set as target variables. Different types of NACs also exhibited very good predictive performances with R<sup>2</sup> of 0.90, 0.85, and 0.93 for NPs, NCs, and NSAs, respectively, confirming the computational accuracy and the strong ability to solve non-linear relationships (shown in Table S2).

190 Furthermore, to quantify the contributions of input features to target variables, the SHAP algorithm was employed in this study, which evaluated the specific impacts of four different sources, meteorological conditions (T, BLH, RH, SSR, WS\_H, and WS\_V), and heterogeneous reaction represented by the aerosol surface area on ambient NACs based on cooperative game theory. Detailed information about the EML assessment method and SHAP algorithm was introduced in Text S4.2 and S4.3 in the SI, respectively. The latest scikit-learn packages (<https://scikit-learn.org/stable/>) are used for running the ensemble machine learning model and the SHAP algorithm (<https://shap.readthedocs.io/en/latest/>) is applied for quantify 195 each feature's contribution to NACs concentrations, which are performed in a Python (v 3.11) environment.

## 2.5 Aerosol surface area density (Sa) prediction

The aerosol surface area density, as an indicator of particle loading and an important parameter that has an impact on heterogeneous formation of NACs (Wang et al., 2019), was only available at the field measurements in winter at Mount Tai 200 in 2019 (n = 723). Considering the complex relationship of Sa with particle mass concentrations, humidity, and temperature, this study established a prediction model based on RF training algorithm due to its superior predictive capability to obtain Sa data from different sampling sites. First, increased particle concentrations typically contribute to larger Sa in the ambient atmosphere (Quinn et al., 2008). Additionally, higher RH facilitates hygroscopic growth of aerosols, which should also be taken into account (Sinclair et al., 1974). Meteorological conditions, such as temperature, also affect the suspension and 205 deposition of aerosols, indirectly impacting Sa levels significantly (Chen et al., 2019). Consequently, the observational Sa data (hourly from 1 December to 31 December, 2019; n = 723) at Mount Tai served as target variables for training, while PM<sub>2.5</sub> concentrations, RH, and T were selected as input features. The entire dataset was randomly divided into two parts: 80%



for training and RF model development, and 20% reserved for evaluation. The optimal RF model hyperparameters were set to 180 decision trees and a maximum tree depth of 13. To quantitative verify the accuracy and precision of the trained RF model, we compared the observed and simulated Sa (only test data set) and found that they exhibited a strong correlation, with the  $R^2$  of 0.90, RMSE of  $27.07 \mu\text{m}^2 \text{cm}^{-3}$ , and MAE of  $20.75 \mu\text{m}^2 \text{cm}^{-3}$  (shown in Figure S4). This suggested that the trained RF model is applicable for simulating Sa concentrations at other sites, with the simulated Sa data presented in Figure S5. It needs to note that the estimated Sa data for different sampling sites in this study inherently propagate potential uncertainties into the subsequent prediction results of particulate NACs with the EML model.

## 3. Results and discussion

### 3.1 Seasonal and spatial variations of NACs

As shown in Figure 2, the concentrations of fine particulate NACs exhibited distinct seasonal variations, with the highest total concentrations appearing in winter ( $42.0 \pm 38.3 \text{ ng m}^{-3}$ , mean  $\pm$  standard deviation), followed by autumn ( $18.3 \pm 22.8 \text{ ng m}^{-3}$ ), spring ( $13.5 \pm 11.2 \text{ ng m}^{-3}$ ), and summer ( $10.6 \pm 9.2 \text{ ng m}^{-3}$ ). The above seasonal variation trend is consistent with other whole-year observations in urban Xi'an, Beijing, and Hong Kong, China (Yuan et al., 2021; Chow et al., 2016; Wang et al., 2021; Yang et al., 2020), rural Flanders, Belgium (Kahnt et al., 2013), and Mount Wuyi, China (Ren et al., 2023). Such a large seasonal difference in NAC abundances can be attributed to discrepant emission intensities, formation rates, and meteorological conditions. As seen in Table 1,  $\text{NO}_2$ , which acts as an important nitration agent in NAC formation and a major tracer of traffic emissions, also showed higher concentrations in winter, autumn, and spring when compared to summer. Meanwhile,  $\text{SO}_2$  and CO, which share common anthropogenic sources such as coal combustion and biomass burning, also exhibited higher levels in cold seasons than the hot season at the same site. In addition, the lower temperature in winter was favourable for gas-to-particle partitioning of NACs and their precursors. Additionally, the accumulation of NACs during cold season was exacerbated by relatively weak radiation and the presence of lower mixed boundary layer. Conversely, during summer, strong light intensity, favourable diffusion conditions, and the abundant oxidants facilitate the photolysis and dilution of NACs. Furthermore, the abundance of NACs also varied greatly with locations. In general, elevated NACs concentrations were observed at urban and rural sites when compared with mountain environments. It is because that there were higher emissions and/or formation rates at urban and rural sites especially under the conditions of high  $\text{NO}_x$  and intensive anthropogenic VOCs, while mountain sites were affected by anthropogenic emissions rarely and to a small extent.

Figure 3 compared the abundances of particulate NACs across different sites in the same season by similar analytical methods. During springtime, the average NACs concentration in Jinan in this work was comparable to that reported in urban Rome, Italy (Cecinato et al., 2005) and significantly higher than those in other urban, rural, and mountain sites over the world (Fig. 3a). Summertime NACs measured in urban Jinan and Guangzhou also showed elevated concentrations when

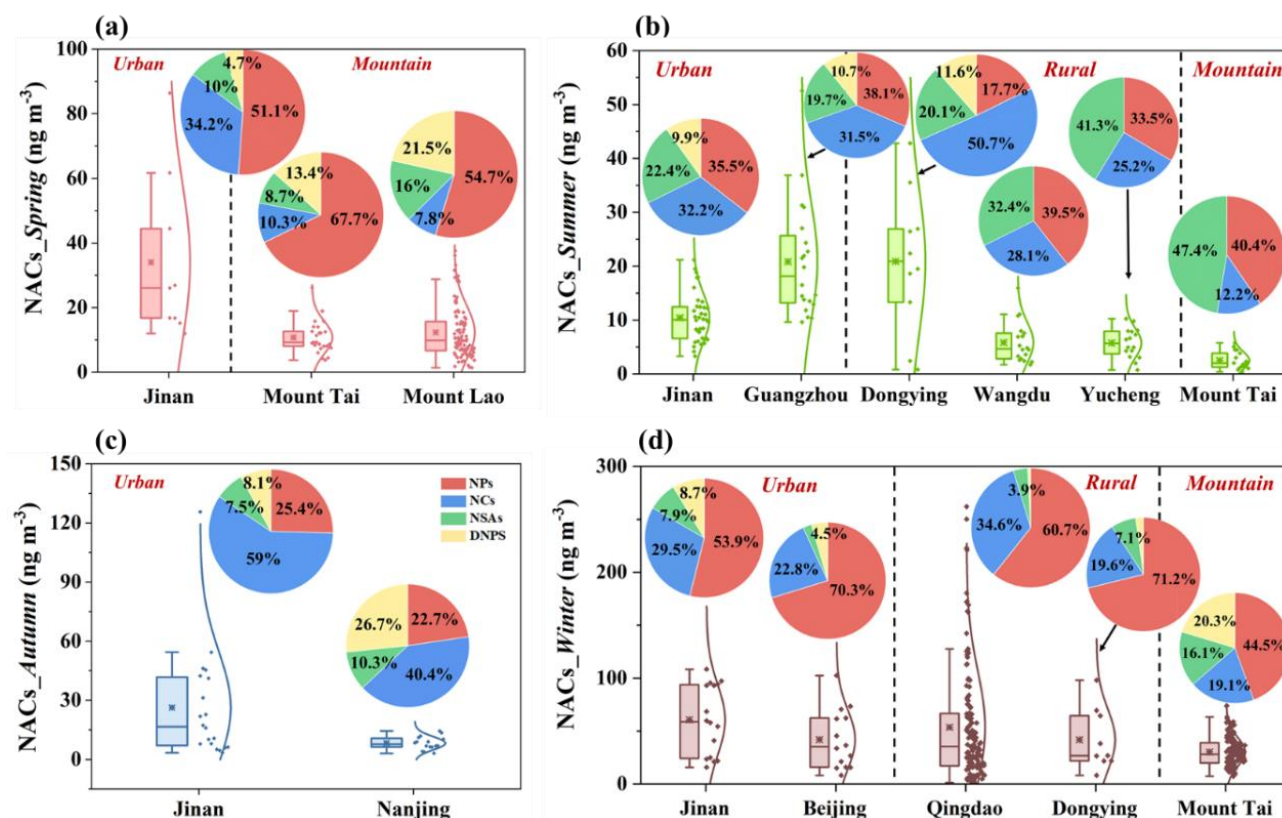




compared with those observed in other locations (Ren et al., 2022; Ikemori et al., 2019), which is mainly attributed to the large anthropogenic emissions in these two cities (Fig. 3b). Moreover, particulate NACs concentrations also showed higher levels in rural Dongying, where it was significantly affected by biomass burning activities during this campaign, as confirmed by Zhang et al. (2021). Lower summertime particulate NACs concentrations were detected in rural sites (*i.e.*, Wangdu and Yucheng), similar to the results in previous studies conducted in rural Xianghe, China (Teich et al., 2017) and urban Los Angeles, U.S.A. (Zhang et al., 2013) in summer. In addition, the average NACs level in Jinan in northern China in autumn (Fig. 3c) was generally comparable to that measured in urban Beijing and Xi'an (Li et al., 2020b; Yuan et al., 2021), but higher than those observed in urban Hong Kong (Chow et al., 2016) and Nanjing (this study) in southern China, where was relatively rarely affected by coal combustion and biomass burning and experienced frequent rainfall. Moreover, the wintertime observations in northern China in this study were as high as those in most previous studies, further indicating the high emissions and concentrations of NACs caused by intensified combustion activities for heating (Fig. 3d).

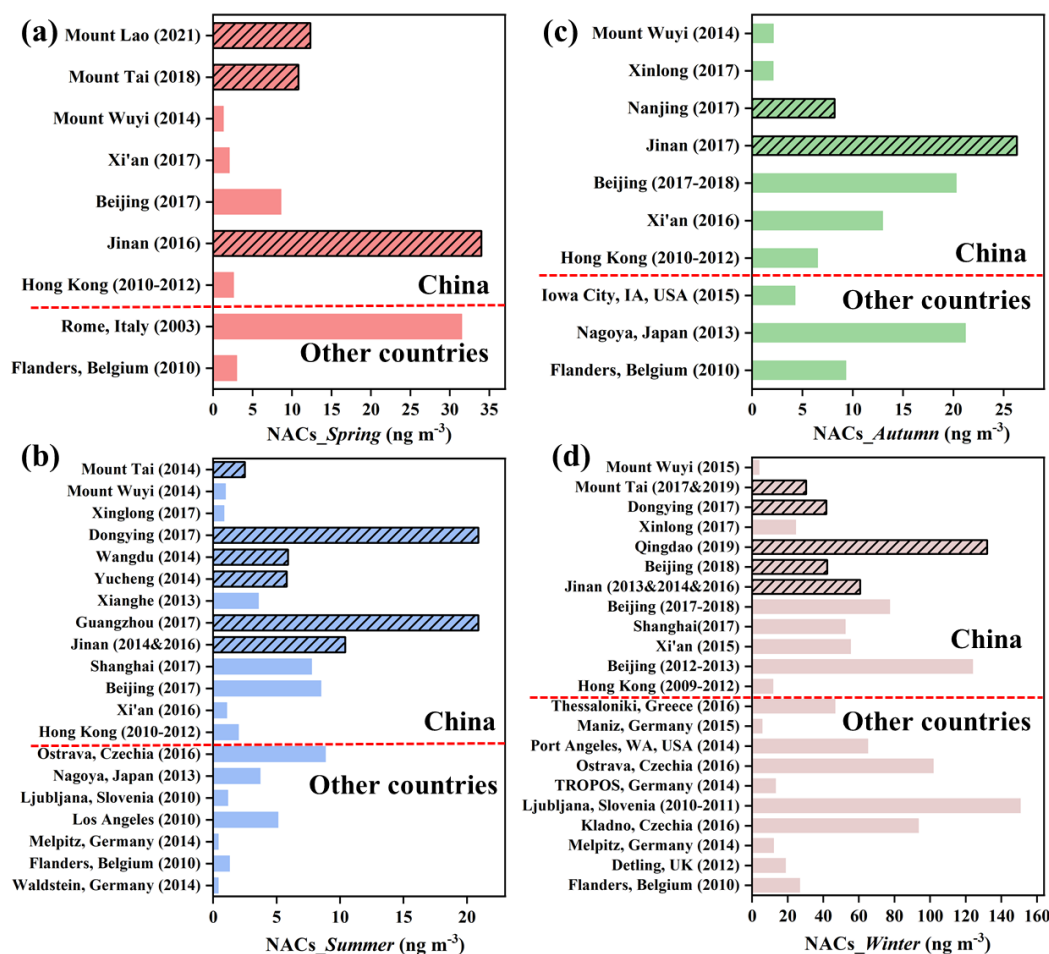
**Table 1: Sampling information and levels (average  $\pm$  standard deviation) of trace gases (SO<sub>2</sub> (unit: ppb), NO<sub>2</sub> (unit: ppb), O<sub>3</sub> (unit: ppb), and CO (unit: ppm)), and meteorological parameters (T (unit: °C) and RH (unit: %)).**

Sampling site	Sampling period	Season	N	SO <sub>2</sub>	NO <sub>2</sub>	O <sub>3</sub>	CO	T	RH
<i>Jinan</i>	2016.04.12-2016.04.27	Spring	9	13.7 $\pm$ 7.7	43.7 $\pm$ 23.2	79.3 $\pm$ 19.9	0.9 $\pm$ 0.3	20.1 $\pm$ 2.5	37.2 $\pm$ 13.5
	2014.09.04-2014.09.21	Summer	37	14.7 $\pm$ 14.7	26.7 $\pm$ 13.7	42.6 $\pm$ 26.3	1.0 $\pm$ 0.6	24.0 $\pm$ 4.3	66.9 $\pm$ 16.4
	2016.06.27-2016.07.11	Autumn	20	4.4 $\pm$ 1.8	35.2 $\pm$ 15.8	21.7 $\pm$ 14.5	0.8 $\pm$ 0.4	11.7 $\pm$ 3.0	44.8 $\pm$ 12.2
	2017.10.22-2017.11.01	Winter	16	21.4 $\pm$ 9.6	26.3 $\pm$ 12.1	30.2 $\pm$ 17.6	1.1 $\pm$ 0.1	8.6 $\pm$ 3.8	36.3 $\pm$ 11.7
	2013.11.26-2014.01.05								
	2016.02.19-2016.03.07								
<i>Guangzhou</i>	2017.06.28-2017.07.08	Summer	20	3.0 $\pm$ 0.5	20.0 $\pm$ 3.8	13.0 $\pm$ 13.2	0.6 $\pm$ 0.08	27.1 $\pm$ 3.0	79.3 $\pm$ 11.2
<i>Nanjing</i>	2017.10.22-2017.10.31	Autumn	16	3.4 $\pm$ 0.8	30.2 $\pm$ 11.0	23.5 $\pm$ 15.8	0.5 $\pm$ 0.1	14.1 $\pm$ 3.3	69.6 $\pm$ 15.2
<i>Beijing</i>	2018.01.15-2018.01.31	Winter	14	3.7 $\pm$ 3.0	21.1 $\pm$ 13.2	21.2 $\pm$ 9.9	0.7 $\pm$ 0.5	-3.3 $\pm$ 4.4	36.4 $\pm$ 13.5
<i>Yucheng</i>	2014.06.09-2014.06.20	Summer	16	3.2 $\pm$ 3.0	20.9 $\pm$ 12.5	45.9 $\pm$ 18.9	0.7 $\pm$ 0.1	24.4 $\pm$ 3.5	69.3 $\pm$ 15.3
<i>Wangdu</i>	2014.06.19-2014.06.29	Summer	18	7.0 $\pm$ 5.6	14.2 $\pm$ 7.6	56.9 $\pm$ 23.3	0.5 $\pm$ 0.2	27.0 $\pm$ 4.4	55.4 $\pm$ 18.1
<i>Dongying</i>	2017.06.04-2017.06.15	Summer	10	3.5 $\pm$ 1.5	5.1 $\pm$ 2.2	77.0 $\pm$ 28.5	0.5 $\pm$ 0.2	27.7 $\pm$ 3.6	60.2 $\pm$ 11.9
	2017.01.15-2017.01.23	Winter	9	4.6 $\pm$ 3.6	11.6 $\pm$ 5.2	21.8 $\pm$ 7.1	1.5 $\pm$ 0.6	-2.6 $\pm$ 1.9	76.9 $\pm$ 13.7
<i>Qingdao</i>	2019.01.10-2019.02.23	Winter	132	3.7 $\pm$ 2.1	16.8 $\pm$ 9.3	22.4 $\pm$ 11.1	0.8 $\pm$ 0.4	3.9 $\pm$ 5.5	64.0 $\pm$ 18.0
	2019.11.11-2019.12.25								
<i>Mount Tai</i>	2018.03.22-2018.04.05	Spring	25	2.1 $\pm$ 1.4	2.1 $\pm$ 1.3	72.7 $\pm$ 8.9	0.4 $\pm$ 0.1	8.5 $\pm$ 4.0	67.4 $\pm$ 18.5
	2014.07.27-2014.08.06	Summer	17	2.6 $\pm$ 2.0	2.7 $\pm$ 0.8	70.3 $\pm$ 18.6	0.3 $\pm$ 0.1	19.7 $\pm$ 2.6	86.9 $\pm$ 8.9
	2017.11.28-2017.12.09	Winter	157	2.0 $\pm$ 1.3	4.1 $\pm$ 2.7	40.9 $\pm$ 7.6	0.3 $\pm$ 0.2	-3.8 $\pm$ 3.3	51.8 $\pm$ 20.5
	2019.12.01-2019.12.31								
<i>Mount Lao</i>	2021.04.16-2021.05.19	Spring	97	1.0 $\pm$ 0.8	7.7 $\pm$ 3.7	50.32 $\pm$ 12.2	0.3 $\pm$ 0.1	16.7 $\pm$ 3.6	56.0 $\pm$ 22.6



**Figure 2:** Box plots of NACs concentrations and pie charts of their compositions at urban, rural, and mountain sites in different seasons.

The composition of particulate NACs also varied according to the sampling locations and seasons. As shown in the pie chart in Fig. 2, NPs and NCs were the most abundant species among the four categories of NACs at most urban and rural sites due to their higher emission factors, abundant precursors, and longer atmospheric lifetimes than other NACs. The dominance of NPs and NCs in this study is in coincidence with those in other locations in previous studies (Cai et al., 2022; Li et al., 2020c; Wang et al., 2019). However, the fractional composition of NACs in spring and winter at mountain sites, being dominated by NPs and DNPs, differed from that at other types of sites. Previous studies have suggested that DNPs could be formed from further oxidation of NPs by acquiring a nitro group (Yuan et al., 2016), thus the elevated DNPs fractions at mountain sites are mainly attributable to the secondary formation processes. Additionally, it should be noted that the increased proportions of NSAs were observed in rural and mountain sites in summer (Fig. 2b), which is in good agreement with recent study in urban Nanjing (Cao et al., 2023) and rural Indo-Gangetic Plain (IGP) (Rana and Sarkar, 2024). The dominance of NPs and NSAs at these remote sites may arise from aged plumes or intensive photochemical oxidation of aromatic precursors in the presence of  $\text{NO}_x$  (Jang and Kamens, 2001). The above results suggest that the difference in particulate NACs compositions across various locations and seasons was probably associated with anthropogenic emissions and secondary formation processes.



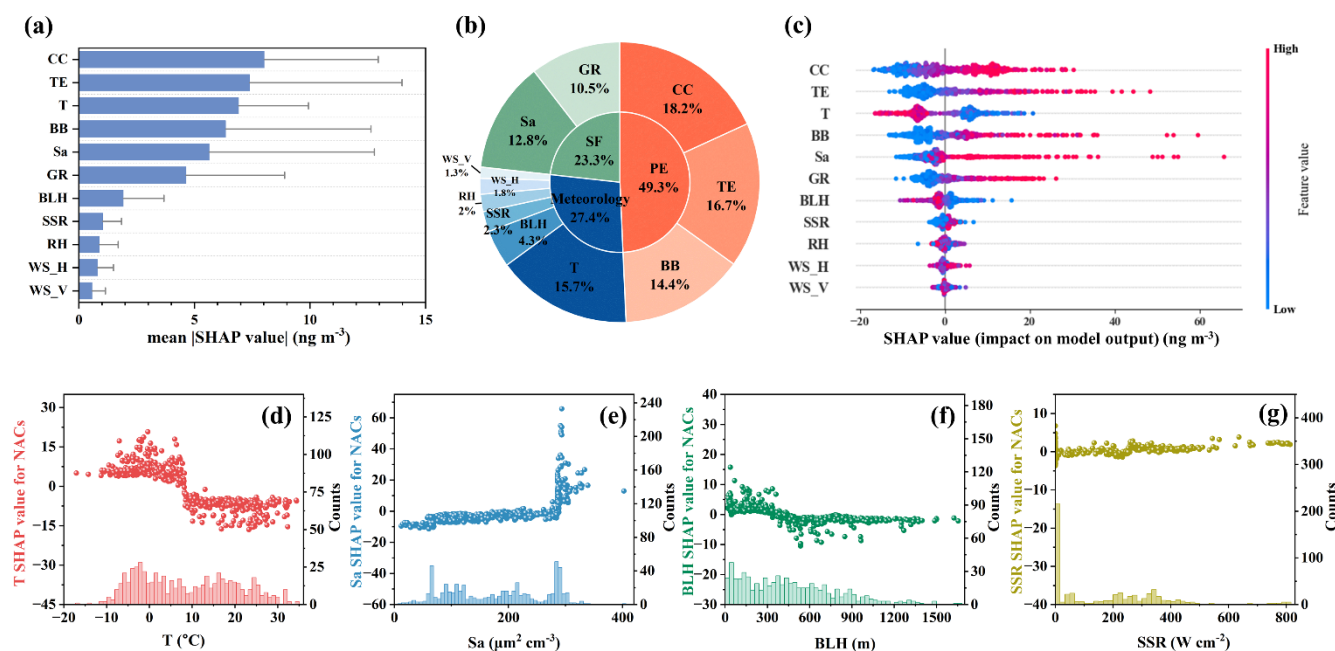
270 **Figure 3: Comparison of particulate NACs measured in this study (marked with filled black lines) with previous studies conducted in China and other countries in (a) spring, (b) summer, (c) autumn, and (d) winter.**

### 3.2 Key driving factors of NACs variation identified by the SHAP approach

Based on the outputs from the PMF model, four major sources of NACs, including coal combustion (CC), traffic emission (TE), secondary formation associated with gas-phase reaction (GR), and biomass burning (BB), were identified from the samples collected at the 11 sampling sites, on average accounting for 41.0%, 29.7%, 21.5%, and 7.8%, respectively (Text S3). The corresponding source profiles are presented in Fig. S6. With the data of source apportionment, meteorological parameters (*i.e.*, T, RH, wind speed, surface solar radiation, and boundary layer height), and particle loading (*i.e.*, Sa), we further quantified the impacts of different primary emissions (PE), meteorological factors, and secondary formation (SF, including gas-phase reaction (GR) and heterogeneous reaction represented by aerosol surface area (Sa)) on the variations of NACs via the SHAP algorithm. The mean absolute SHAP values can be used to rank the major driving factors in the production (including emission and formation) and loss of fine particulate NACs across all samples, with a larger absolute



SHAP value representing a higher influence on NAC levels. As shown in Fig. 4a, coal combustion ranked as the most important factor affecting NAC concentrations, exhibiting an average contribution of  $8.0 \pm 4.9 \text{ ng m}^{-3}$ , followed by traffic emission, temperature, biomass burning, aerosol surface area, gas-phase reaction, boundary layer height, and surface solar radiation, with the SHAP values of  $7.4 \pm 6.6$ ,  $6.9 \pm 3.0$ ,  $6.3 \pm 6.3$ ,  $5.6 \pm 7.1$ ,  $4.6 \pm 4.3$ ,  $1.9 \pm 1.8$ , and  $1.0 \pm 0.8 \text{ ng m}^{-3}$ , respectively. The mean SHAP values of the remaining factors were less than  $1.0 \text{ ng m}^{-3}$ , which had minor impacts on ambient NACs in this work. Overall, the major primary emissions including coal combustion, traffic emission, and biomass burning together contributed 49.3% to the variation of NACs during the sampling periods, while meteorological conditions and secondary formation explained 27.4% and 23.3%, respectively (Fig. 4b).



**Figure 4:** (a) The ranking of the importance for all input variables calculated via SHAP algorithm (average absolute contribution), (b) the impacts of driving factors on variations of NACs from SHAP analysis during the whole sampling periods, (c) SHAP summary plots for all samples with the shift in colour of the scatter plot from blue to red indicating an increase in driving factor values, and the relationships between the SHAP values and parameter values for (d) temperature, (e) aerosol surface area, (f) boundary layer height, and (g) surface net solar radiation with the right y axis corresponding to the frequency distribution of the measured variables.

Figure 4c-g show the SHAP values for each feature and each sample and display the relationships between the SHAP value and the feature value to investigate its influence on the variation of NACs. As shown in Fig. 4c, among the different primary sources, coal combustion, traffic emission, and biomass burning all exhibited a strong positive correlation with predicted NACs, in alignment with previous studies which suggest the important roles of anthropogenic emissions in driving high NACs concentrations. For example, our previous study determined that the emission factors of particulate NACs for residential coal combustion were  $0.2\text{--}10.1 \text{ mg kg}^{-1}$ , with the total emission of 178 Mg in China in 2016 (Lu et al., 2019a). Particulate NACs were also detected from direct traffic emissions, with the emission factor reaching up to  $89.6 \text{ } \mu\text{g km}^{-1}$



(Nojima et al., 1983; Lu et al., 2019b). Furthermore, the emission factor of NACs from biomass burning can exceed 10 mg kg<sup>-1</sup>, which make them regarded as key tracers of biomass-burning organic aerosols (Wang et al., 2017; Iinuma et al., 2010). Gas-phase reaction also demonstrated a positive association with NACs formation, mainly attributed to the fact that oxidation and nitration of precursors acted as important formation pathways for atmospheric NACs. Additionally, temperature as the leading meteorological contributor, showed a negative response to NACs formation (see Fig. 4d). This result confirms the recent finding that the concentrations of particulate NACs largely depended on the temperature-dependent partitioning between the gas and particle phase and were prone to produce at low ambient temperatures via enhanced transfer from the gas phase (Al-Naiema and Stone, 2017; Yuan et al., 2016). With the increase in aerosol surface area, its SHAP values increased accordingly (Fig 4e), which is similar to the previous study in Beijing where high Sa would facilitate gas/particle partitioning of NACs and their precursors and subsequent heterogeneous reactions (Wang et al., 2019). The relationship between boundary layer height and their SHAP values showed a nonlinear response (Fig. 4f), with a decreasing trend in low-BLH regimes (0–600 m), accompanied by a relatively constant SHAP value in high-BLH regimes (> 600 m). In low-BLH conditions, increasing BLH levels reduced the concentrations of NACs due to the enhanced diffusion and dilution (Krautstrunk et al., 2000). When BLH was above 600 m, the BLH ceased to affect the surface concentrations of NAC. As to surface solar radiation, its SHAP values did not present an obvious correlation with its levels (Fig. 4g). Generally, high solar radiation can promote the secondary formation of some NACs (Vione et al., 2001), but it also acts as a sink for NACs via photolysis during the daytime (Bejan et al., 2020).

### 3.3 Driving forces of NAC variation for different seasons and functional types

To make clear the dominant driving factors for the variations of fine particulate NACs in different seasons, the contribution of each factor during four seasons was compared in Figure 5. As shown in Figure 5a-c, overall, primary emissions were still the main drivers, accounting for 45.9%–62.5% of NACs across the four seasons, with the lowest impacts appearing in winter. Among the four identified sources, coal combustion and traffic emission had large impacts on the variation of NACs, with a total contribution of 67.3%–80.8%. Particularly, enhanced contribution from primary emissions in particular traffic emissions was noticed in autumn. As to meteorological parameters, according to the absolute SHAP values, temperature had the greatest impacts with a contribution of 52.9%–68.3%. Additionally, the impacts of secondary formation to ambient NACs shows minimal fluctuation across different seasons except winter, which with a little high contribution (27.8%). Then, we further compare the positive or negative feature importance of various driving factors on the variations in particulate NACs. As shown in Fig. 5d-g, the impacts in spring, summer, and autumn were significantly different from that in winter. The most crucial driving factor in spring was coal combustion, contributing 6.9 ng m<sup>-3</sup> to ambient NACs, followed by surface solar radiation and horizontal wind speed. In summer, the main influencing factor was traffic emissions, with the SHAP value of 2.6 ng m<sup>-3</sup>, followed by heterogeneous reaction (0.87 ng m<sup>-3</sup>) (Fig. 5e). Particularly, traffic emission stood out and exhibited very high positive contribution to NAC levels in autumn with the SHAP value of 12.5 ng m<sup>-3</sup> (Fig. 5f).



However, during wintertime, temperature ranked first among all driving factors, with a contribution of 5.6 ng m<sup>-3</sup> (Fig. 5g), followed by biomass burning (2.9 ng m<sup>-3</sup>), gas-phase reaction (2.1 ng m<sup>-3</sup>), and coal combustion (1.9 ng m<sup>-3</sup>). This difference implied that the low ambient temperature in winter strongly affected the emissions intensity and gas to particle partitioning, which enhanced the production of particulate NACs. The dominance impact of temperature rather than combustion sources in winter was also related to the relatively higher fraction of atmospheric samples from Mount Tai in this season. Moreover, the significant enhancements of primary emissions (*i.e.*, biomass burning and coal combustion) and secondary formation in winter when compared with autumn and summer indicate that the air masses in winter mainly associated with substantial emissions of anthropogenic pollutants and subsequent oxidation processes.

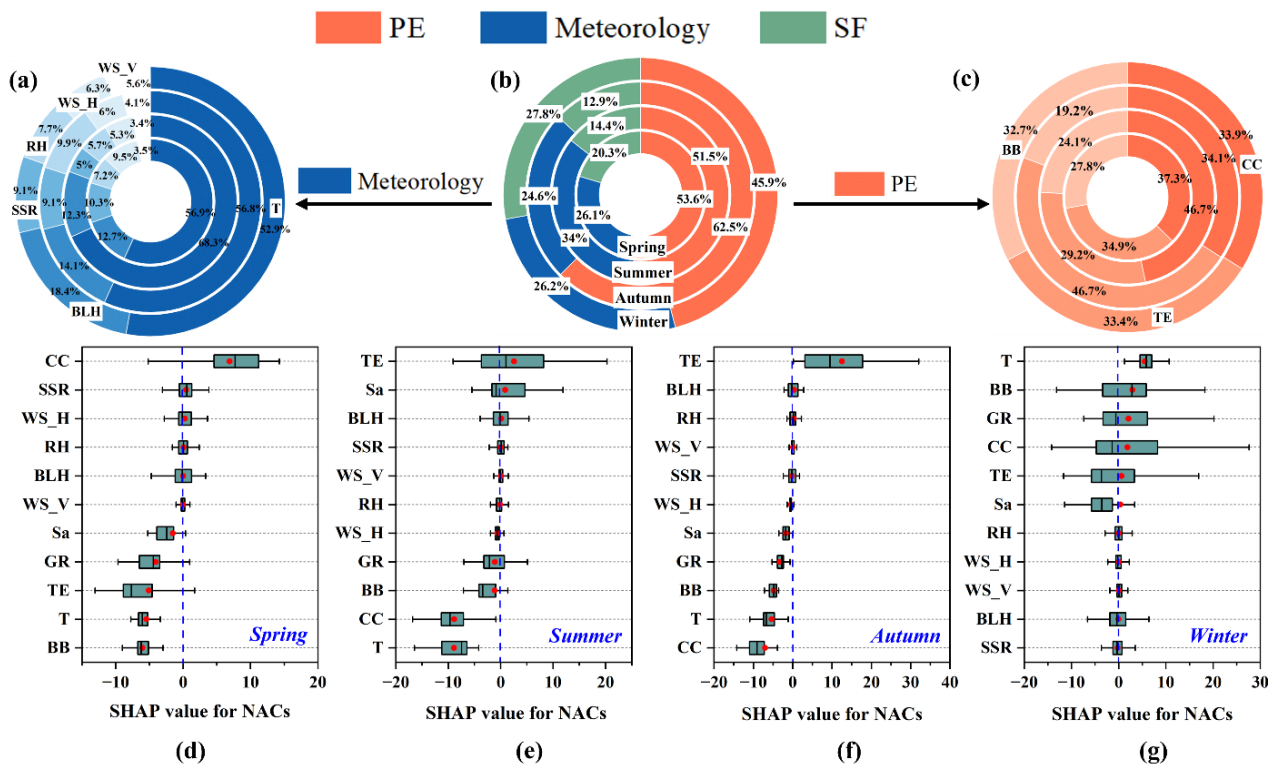
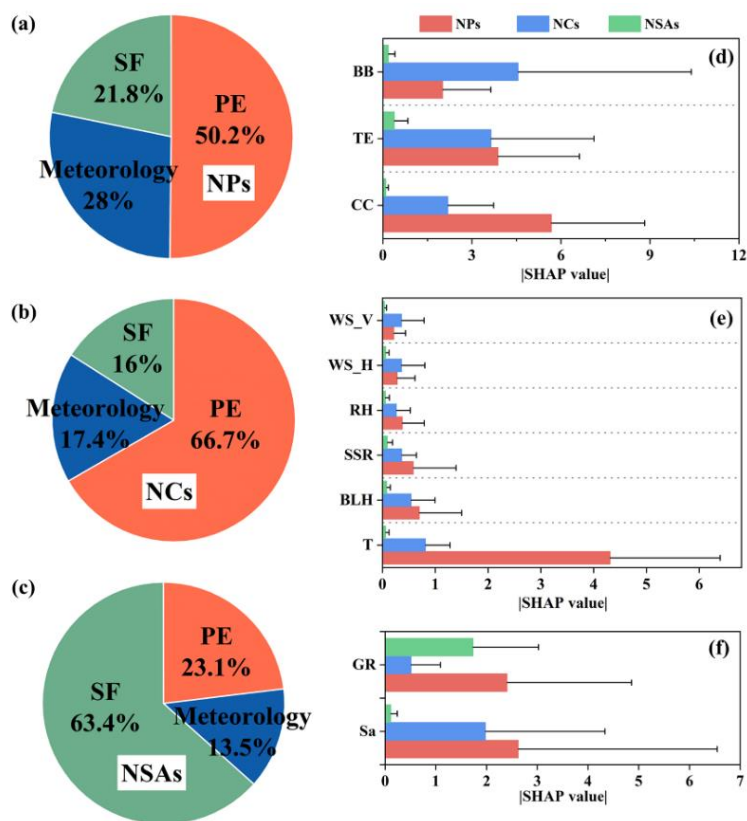


Figure 5: The absolute contributions of (a) meteorological conditions, (b) all factors, and (c) primary emissions on the variations of NACs in four seasons from SHAP analysis and box plots with the order of SHAP values for each driving factor in (d) spring, (e) summer, (f) autumn, and (g) winter.



**Figure 6: The impacts of primary emissions (PE), meteorological conditions, and secondary formation (SF) on the variations of (a) NPs, (b) NCs, and (c) NSAs from SHAP analysis and relative importance of (d) primary emissions, (e) meteorological conditions, and (f) secondary formation.**

In addition, we also explore the differences in dominant driving factors for NACs with different functional groups (*i.e.*, NPs, NCs, and NSAs) based on the model of multi-objective variables. Generally, it is evident that primary emissions played important roles in the variations of all three types of NACs including NPs, NCs, and NSAs, with the highest contribution of 66.7% for NCs, followed by NPs (50.2%) and NSAs (23.1%) (Fig. 6a-c). Meteorological parameters also exhibited high contributions to the variations of NPs with the mean value of 28.0%, followed by NCs (17.4%) and NSAs (13.5%). Additionally, secondary formation contributed the most to particulate NSAs levels, with a proportion of 63.4%, which was obviously higher than NPs (21.8%) and NCs (16.0%). Specifically, as shown in Fig. 6 and Fig. S7a, coal combustion had the largest impact on NPs, followed by temperature and traffic emissions, with the average absolute SHAP values of  $5.7 \pm 3.1$ ,  $4.3 \pm 2.1$  and  $3.9 \pm 2.7$   $\text{ng m}^{-3}$ , respectively. This result aligns with previous studies that highlighted the intensive emissions of particulate NPs from coal combustion and traffic sources, with emission factors of  $0.01\text{--}0.9$   $\text{mg kg}^{-1}$  and  $1.7\text{--}26.7$   $\mu\text{g km}^{-1}$ , respectively (Lu et al., 2019b; Lu et al., 2019a). Besides, the semi-volatile characteristic and relatively high saturated vapor pressures of NPs make them more susceptible to the change in temperature, as confirmed previously observational study (Li et al., 2020a). For NCs, biomass burning showed a dominant effect on their variation, with the average absolute SHAP value



of  $4.6 \pm 5.8 \text{ ng m}^{-3}$ , contributing approximately 1.3 and 2.1 times more than traffic emissions and coal combustion, respectively (see Fig. 6 and Fig. S7b). The predominance of biomass burning in the source of NCs is in accordance with previous studies. As reported by previous study of Wang et al. (2017), NCs were the most abundant compounds detected in freshly emitted particulate NACs during biomass burning periods. Apart from direct emissions, early measurements also identified cresol and substituted cresols from widespread wood burning activities, which were mainly produced from the pyrolysis of lignin. The oxidation of cresol, methylated cresol and methyl-catechols emitted from biomass burning-related sources is also expected as an important contributor to NCs (Inuma et al., 2010). As to NSAs, they exhibited a strong positive association with gas-phase reaction (Fig. S7c), with the average absolute value of  $1.7 \pm 1.3 \text{ ng m}^{-3}$ , suggesting that these compounds were mainly produced through the oxidation and nitration of precursors, which is consistent with other field observations (Yuan et al., 2021). Furthermore, aerosol surface area also contributed significantly to the variation of NACs, especially for NPs ( $2.6 \pm 3.9 \text{ ng m}^{-3}$ ) and NCs ( $2.0 \pm 2.4 \text{ ng m}^{-3}$ ) (Fig. 6f), indicating the important contribution from heterogeneous formation.

### 3.4 Response of NACs to driving factors in different locations

To understand the combined impacts of three categories of driving factors (*i.e.*, primary emissions, secondary formation, and meteorological conditions) on the variations of NACs, more than 300 samples collected in wintertime that covered multiple sites were selected for further analysis. Based on the discrepancies in geographical locations and emission intensity of air pollutants, these sampling sites were categorized into three scenarios, *i.e.*, urban (Jinan and Beijing), rural (Dongying and Qingdao), and mountain (Mount Tai) areas, respectively.

As shown in Fig. 7 and Fig. S8, there were large discrepancy in the dominant driving factors for fine particulate NACs across different locations. In urban areas, primary emissions exhibited positive impacts on ambient NACs, with the mean SHAP value of  $17.2 \text{ ng m}^{-3}$ . Among the 11 variables, traffic emission ranked first in urban areas, contributing  $14.9 \text{ ng m}^{-3}$  to the NAC variation, followed by coal combustion ( $7.7 \text{ ng m}^{-3}$ ). The direct emissions from traffic sources have been reported as one of the significant contributors to the particulate NACs in urban areas (Delhomme et al., 2010; Sjögren et al., 1995). The observed enhancement of traffic emissions in this study is mostly responsible for the heavily trafficked urban districts in Jinan and Beijing, especially at rush hours. In rural areas, the integrated impacts of primary sources also contributed positively to particulate NACs, with mean SHAP values of  $15.2 \text{ ng m}^{-3}$ . Coal combustion and biomass burning turned to be the most important driving factors, with the mean SHAP value of 6.1 and  $5.6 \text{ ng m}^{-3}$ , respectively, which can be attributed to emissions from central- and residential-heating activities in cold seasons. In contrast, at mountain area, the mean contribution of primary sources reduced to  $-5.7 \text{ ng m}^{-3}$ , and gas-phase oxidation dominated secondary formation significantly affected NAC levels with the mean contribution of  $5.9 \text{ ng m}^{-3}$ . This is ascribed to rare anthropogenic emission sources at the top of mountain, where multiple oxidations and nitrations reactions became remarkable. The relatively high concentrations of particulate NACs at the mountain site were also probably associated with the air masses transport from



anthropogenic activities in urban regions (Jiang et al., 2022), with the mean SHAP value of  $2.1 \text{ ng m}^{-3}$  for biomass burning. These results indicate that primary sources served as the predominant contributors to particulate NACs in urban and rural areas, suggesting the necessity of making control policy on long-term reduction of anthropogenic emissions for addressing the NAC pollution. Additionally, temperature ranked high among all driving factors, with mean contributions of 3.3, 5.6, and  $5.9 \text{ ng m}^{-3}$  for urban, rural, and mountain areas, respectively, suggesting the enhanced partitioning of the gas-phase NACs into the particle phase under low temperature during wintertime. Besides, heterogenous reaction represented by aerosol surface area also presented positive contributions at urban and rural areas, with the mean values of 5.3 and  $4.5 \text{ ng m}^{-3}$ , respectively. Severe polluted sites in urban and rural areas tended to have increased particle number and high aerosol surface area density, which facilitated the partitioning of NACs and related precursors from gas phase to particle phase and the heterogeneous reaction processes. However, heterogenous reaction showed negative impacts on NACs formation at the mountain site, which may be affected by various factors, such as relatively low atmospheric particulate matter levels, diffusion, and transport processes. The above machine-learning results reveal the combined but differential contributions of primary sources, secondary formation, and meteorological conditions to the variations of ambient NACs in different locations. Particularly, this study provides a foundation for developing more precisely targeted control strategies on NACs with implications to mitigate particulate matter pollutions.

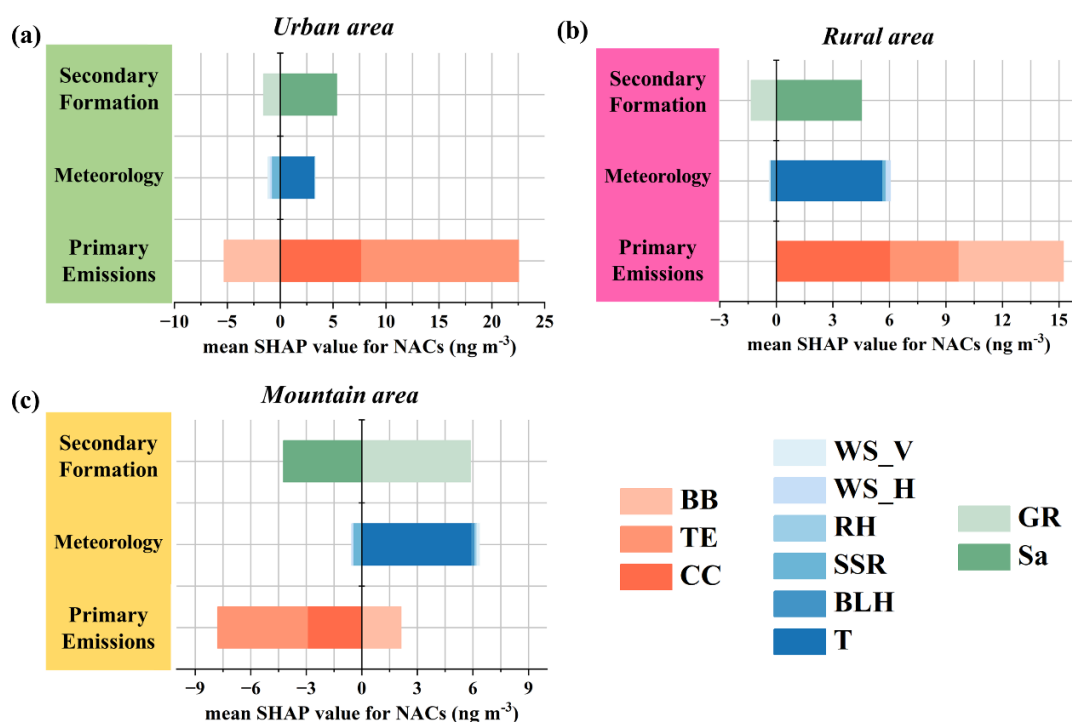


Figure 7: The combined contributions of sources (i.e., coal combustion, traffic emission, and biomass burning), meteorological conditions (i.e., T, BLH, SSR, WS\_V, WS\_H, and RH), and secondary formation (i.e., gas-phase reaction and heterogeneous reaction represented by the aerosol surface area) to the variations of NACs in (a) urban, (b) rural, and (c) mountain areas.



#### 4. Conclusions and implications

In this study, we applied a multi-target variable ensemble machine learning framework coupled with SHAP algorithm to explore the impacts of primary emissions, secondary formation, and meteorological conditions on fine particulate NACs in atmosphere at urban, rural, and mountain sites based on field-observation datasets. The EML model showed satisfactory performance that could effectively capture and interpret the variations of ambient NACs during all the sampling periods. Overall, primary emissions including coal combustion, traffic emission, and biomass burning were the most important variables for NAC variations, contributing 49.3% in total, while meteorological conditions and secondary formation accounted for 27.4 and 23.3%, respectively. Among the driving factors, primary emissions and secondary formation contributed positively to the enhancement of NACs, while temperature and BLH (< 600 m) displayed negative impacts. Our results indicate that the main influencing factors of particulate NACs varied across the four seasons and various locations due to differences in pollutant emission intensities and meteorological conditions. Anthropogenic emissions represented primary sources of NACs in spring, summer, and autumn, whereas temperature turned to be the most critical factor in winter, which may be associated to the higher sample coverage from Mount Tai among all the samples. In addition, the main drivers of NACs differed significantly among functional groups. Coal combustion and temperature served as the dominant driving factors for NPs, and biomass burning had the largest impact on ambient NCs. Meanwhile, gas-phase oxidation emerged as the most important contributor to the variation of NSAs. A detailed analysis in NACs formation and loss for different locations (including urban, rural, and mountain areas) during wintertime revealed significant impacts of temperature, secondary formation, and biomass burning on NACs at the mountain site. In contrast, the major contributors in urban areas were traffic emissions and coal combustions and in rural areas were coal combustions and temperature.

This study integrated multiple field measurements with interpretable ensemble machine learning to investigate the impact of primary emissions, secondary formation, and meteorological conditions on atmospheric particulate NACs. The result elucidates the nonlinear atmospheric processes of particulate NACs and provides new insights into their sources and influencing factors in various atmospheric environments. Particularly, the integration of a data-driven ensemble machine learning model and SHAP analysis method proved as a potent tool for rapidly diagnosing the driving factors for organic aerosols, which is helpful for the control strategies targeting aerosol pollution. In future research, utilizing larger-scaled datasets and deep learning techniques are required to achieve more comprehensive and precise predictions and understanding on atmospheric NACs and other organic components.

#### Data availability

The datasets used and analyzed during the current study are available from Mendeley (<https://doi.org/10.17632/fp9zkv28p2.1>; Li and Wang (2025)).





## Author contributions

XW conceptualized the paper, designed the research, and edited the manuscript. ML, TL, and YW carried out the analysis and designed the figures. Sampling and laboratory analyses were conducted by ML, YJ, and RL. Data interpretation was supported by YZ, WN, JG, LX, QZ, and WW. The original manuscript was written by ML.

## 450 Competing interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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