~~The~~ Weak influence of ~~Amazonian~~ anthropogenic emissions on ~~new particle formation,~~ aerosol, cloud and ~~surface~~ rain in the Amazon rainforest

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Abstract. Anthropogenic emissions have been shown to affect new particle formation, aerosol concentrations, and clouds. Such effects vary with region, environmental conditions and cloud types. In the wet season of Amazonia, anthropogenic emissions emitted from Manaus, Brazil can significantly increase the cloud condensation nuclei (CCN) concentrations compared to the background of mainly natural aerosols. However, the regional response of cloud and rain to anthropogenic emissions in Amazonia remains very uncertain. Here we aim to quantify how ~~new particle formation,~~ aerosol concentration, cloud and rain respond to changes in anthropogenic emissions through parameterised new particle formation and primary aerosol emission in the Manaus region and to understand the underlying mechanisms. We ran the atmosphere-only configuration of the HadGEM3 climate model with a nested regional domain that covers most of the rainforest region (720 km by 1200 km with 3 km resolution) under ~~several regional emission scenarios~~ scaled regional emissions. The 7-day simulations show that, in the areas that are affected by anthropogenic emissions, when aerosol and precursor gas emissions are doubled from the baseline emission inventories, aerosol number concentrations increase by 13 %. The nucleation rate that involves sulfuric acid and biogenic compounds generally increases with pollution levels. However, ~~near~~ as it becomes closer to the source of the pollution, nucleation is suppressed ~~due to high primary aerosol emission~~, resulting in ~~smaller~~ lower nucleation and Aitken mode aerosol number concentrations. We also found that doubling the anthropogenic emission can increase the cloud droplet number concentrations (N_d) by 9 %, but cloud water mass and rain mass do not change significantly. Even very strong reductions in aerosol number concentrations by a factor of 4, which is an unrealistic condition, cause only 4 % increase in rain over the domain. If we assume our simulation has fine enough grid resolution and an accurate representation of the relevant atmospheric processes, the simulated weak response of cloud and rain ~~would imply that the~~ implies that their properties have a non-linear response to the changes in anthropogenic emissions and aerosol concentrations in the Amazonian convective environment ~~is non-linear and~~. It also implies that the convective environment is resilient to the ~~small~~ changes in N_d that occur in response to localised anthropogenic aerosol ~~emissions~~ perturbations.

1 Introduction

Anthropogenic aerosols contribute a high fraction of uncertainty in radiative forcing of climate change by acting as cloud condensation nuclei ~~(CCN) (Kaufman and Nakajima, 1993; Jones et al., 1994; Kaufman and Fraser, 1997; Kaufman et al., 1998; Pierce and A~~ (CCN; Jones et al., 1994; Wang and Penner, 2009). Several modelling studies have shown that anthropogenic emissions can affect aerosol concentrations and CCN ~~(Mscktelow et al., 2009; Laakso et al., 2013; Yu et al., 2013; Chang et al., 2017; Shrivastava et al.,~~ (Manktelow et al., 2009; Laakso et al., 2013; Yu et al., 2013; Shrivastava et al., 2019; Zhao et al., 2021). Changes in CCN concentration influence cloud properties such as cloud droplet number concentration (N_d), which then causes cloud adjustments of liquid water path (LWP) and cloud fraction (Twomey, 1977; Albrecht, 1989; Kamae et al., 2015). ~~Evidence for the effect~~ The influence of aerosol and pollution on cloud optical depth, cloud thickness, N_d and precipitation have been ~~confirmed~~ investigated by observational studies ~~(Kawamoto, 2006; Sporre et al., 2012; Gonçalves et al., 2015; de Sá et al., 2018; Fan et al., 2018; Do~~ (Sporre et al., 2012; Gonçalves et al., 2015; Fan et al., 2018; Douglas and L'Ecuyer, 2021). However, it is hard to interpret and quantify the influences of anthropogenic emissions on clouds, especially for convective clouds which involve complex relationship between aerosol particles, thermodynamic processes and cloud microphysics. In this study, we aim to investigate the extent to which the anthropogenic emissions affect aerosol concentration, cloud, rain, and the underlying mechanisms.

Despite the difficulties, many previous studies have investigated the relationship between aerosol and convective clouds. Cecchini et al. (2016) used the observations from GoAmazon2014/5 (Observations and Modeling of the Green Ocean Amazon 2014-2015) and showed that under polluted conditions, the warm-phase cloud droplet effective diameter had changes of 10 %-40 % and N_d was differ by a factor of 10 vertically compared to background conditions (Cecchini et al., 2016). An increased loading of aerosol particles can also influence the mass of liquid that condenses and/or freezes, releasing extra latent heat. Hence, the change in cloud microphysics has the potential to affect cloud dynamics (e.g., updraft velocity), cloud fraction etc. (Kawamoto, 2006; Rosenfeld et al., 2008; Marinescu et al., 2021). The response of clouds to increasing aerosol concentrations may depend on aerosol sizes. Fan et al. (2018) showed that extra particles, ~~even if their diameters are smaller than~~ as small as 50 nm diameter, from pollution plumes could form additional cloud droplets and release extra latent heat which would subsequently 'invigorate' deep convection in Amazonia. This process refers to the strengthening of convective updrafts (Andreae et al., 2004; Rosenfeld et al., 2008), and is a topic of much discussion (e.g. Lebo et al., 2012; Grabowski and Morrison, 2020, 2021; Igel and van den Heever, 2021; Varble et al., 2023). Koren et al. (2010) used satellite data from MODIS and found that more aerosols could cause taller clouds and larger anvils. A greater concentration of aerosol could also cause a higher cloud fraction (Koren et al., 2005, 2008, 2010) and cloud top height (Koren et al., 2012). Zaveri et al. (2022) found that the rapid growth of particles at a few nanometres in diameter could lead to the suppression of precipitation from shallow clouds and then, trigger shallow to deep cloud transition.

Increasing aerosol concentrations can produce also more smaller-size ice crystals. These extra ice crystals are formed by increased concentrations of cloud droplets due to high supersaturation levels in deep convective clouds (Khain et al., 2012; Fan et al., 2013; Herbert et al., 2015; Grabowski and Morrison, 2020). Such increase in ice ~~crystals~~ may affect graupel formation

55 ~~(van den Heever and Cotton, 2007; Khain et al., 2011; Wellmann et al., 2018; Li et al., 2021)~~ (van den Heever and Cotton, 2007; Khain et

and can form a greater anvil ~~(Fan et al., 2010; Morrison and Grabowski, 2011; Fan et al., 2013; Yan et al., 2014; Chen et al., 2017, 2020)~~
(Fan et al., 2010; Morrison and Grabowski, 2011; Yan et al., 2014).

The large number of complex interacting processes in deep convective clouds (activation, autoconversion, accretion, sedimentation, latent heat release etc.) implies that the effects of aerosol on precipitation in these clouds are likely buffered and vary with

60 region, background aerosols and environmental conditions ~~(Khain and Pokrovsky, 2004; Fan et al., 2007; Tao et al., 2007; Khain et al., 200~~
~~. The influence of aerosol~~ (Fan et al., 2007; Tao et al., 2007; Khain et al., 2008; Lee et al., 2008; Fan et al., 2009; Khain, 2009; Connolly et
. The impact of aerosols on deep convective systems is ~~also buffered by~~ overshadowed by strong large-scale ~~meteorology~~
meteorological forcing and dynamical feedbacks that appear to diminish aerosol-induced perturbations (Morrison, 2012;
Grabowski, 2018; Dagan et al., 2022). Nonetheless, studies have found both reduction of light rain in some clouds, and enhance-

65 ments of warm rain in others, due to increased aerosol concentrations ~~(Qian et al., 2009; Li et al., 2011; Wang et al., 2011; Fan et al., 2012,~~
(Wang et al., 2011; Fan et al., 2012; Tao et al., 2012). A continuous supply of CCN was found necessary to sustain storm
clouds and extra sub-micron aerosol activation was found to invigorate deep convective clouds (Ekman et al., 2004; Fan
et al., 2018), while adding large particles to the environment can cause a reduction of rain in mixed-phase clouds (Pan et al.,
2022). The suppression of ice clouds is because large CCN can directly activate and form warm rain (Feingold et al., 1999; Yin

70 et al., 2000; van den Heever et al., 2006). As a result of this complexity, the effects of anthropogenic emissions on clouds via
NPF and aerosols are still not well understood.

Amazonia is one of the most pristine environments in the present-day, especially during the wet season when rain cleans the
air, but the environment is still affected by pollution from cities like Manaus in central Amazonia. Aircraft measurements over
Manaus and the downwind forest have shown that around 20 % of the total particulate matter at 1 μm diameter are composed

75 of anthropogenic sources which include sulfates, nitrates and ammonium (Shilling et al., 2018). Observations from a research
tower downwind of Manaus showed that the total sub-micron particulate matter concentration is up to a factor of 2 higher in
polluted conditions than in background conditions (de Sá et al., 2018). Cirino et al. (2018) used observations from two towers
downwind of Manaus to show that the fractional contribution of organic gas molecules to aerosol mass increased when the
sites were further away from emission sources, implying the decreasing influences of pollution with longer distance from the

80 emission source. Glicker et al. (2019) reported higher particle concentrations during high-pollution days from observations
and their back-trajectory model showed that the high concentrations were due to emissions from Manaus. Other modelling
studies have also confirmed that anthropogenic emissions enhanced aerosol mass by a up to factor of 4 and enhanced number
concentrations by a factor of 5-25 downwind of Manaus (Shrivastava et al., 2019; Zhao et al., 2021).

To study the effects of anthropogenic emissions on aerosol and cloud over Amazonia, especially for deep convective clouds, we

85 use a regional model with high-resolution emissions and resolved convection nested inside a global model. We aim to answer
the following two questions:

(1) What are the effects of anthropogenic emissions on aerosol, cloud and rain in Amazonia?

(2) What are the mechanisms that drive changes in aerosol and cloud properties?

Our paper is organised as follows. Section 2 presents the observations and model configurations as well as simulation details used in this study. The results are shown in Sect. 3. Section 3.1 shows the comparison between the regional model results and observations. Section 3.2 and 3.3 describe the effects of anthropogenic emissions on aerosol particles, cloud and rain profiles. We discuss and conclude the results in Sect. 4.

2 Methods

2.1 GoAmazon2014/5 campaign and G-1 aircraft observations

The observations used in this study are from the 2-year field campaign Observations and Modeling of the Green Ocean Amazon 2014-2015 (GoAmazon2014/5) in central Amazonia (Martin et al., 2016, 2017). The campaign aimed to study the response of the Amazonian environment under pollution plumes transported from Manaus in 2014 and 2015. The campaign included aircraft measurements onboard a low-altitude G-159 Gulfstream I (G-1) in February, March, August, September and October 2014. There were 9 fixed research sites that collected observations in various environments such as urban, forest, and pasture, both upwind and downwind of Manaus in the form of transects of the pollution plume and the surrounding areas. The measured data include meteorology, aerosol, gas pollutants, and cloud properties (Martin et al., 2016, 2017).

We used the aircraft measurements of aerosol number concentrations onboard the G-1 aircraft with a time interval of 1 second on 11, 12, 14, 16 and 17 March 2014. There were 15 flights available in February and March 2014. The selected five days are within our regional model simulation time (11-18 March 2014). Figure 1 shows the flight tracks of the selected five days, which are mainly transects of the plume from Manaus. The measured aerosol particles with diameters greater than 3 nm ($N_{D>3nm}$), 10 nm ($N_{D>10nm}$) and 100 nm ($N_{D>100nm}$) are compared ~~with~~ to the model. $N_{D>3nm}$ and $N_{D>10nm}$ were measured using a Condensation Particle Counter (CPC) with diameter ranges of 3 nm - 3 mm and 10 nm - 3 mm, respectively. $N_{D>100nm}$ was measured with a Passive Cavity Aerosol Spectrometer Probe (PCASP). Full details of the instruments can be found in Martin et al. (2017). During the 5 days, most of the measurements were made below 2 km altitude, ~~and occasionally at~~ with a small fraction collected between 2-6 km altitude~~where particle number concentrations were between around 100 and 200 cm^{-3}, significantly lower than the concentrations~~. Below 2 km, the concentrations of particles with diameters greater than 3 nm ~~(is around~~ 18000 cm^{-3}~~) in the lowest~~, while between 2 – 6 km, the concentration is significantly smaller (100 - 200 cm^{-3}) compared to below 2 km~~layer.~~

We also used the aerosol size distributions measured at the T3 research tower (3.2 °S, 60.6 °W) which is southwest (downwind) of Manaus (Martin et al., 2016). The size distributions were measured using the Ultra-High Sensitivity Aerosol Spectrometer (UHSAS) for particles with diameters of 55-1000 nm. 3-hourly precipitation rates measured by the S-band Amazon Protection National System radar between 11-17 March 2014 are additionally used to evaluate the model.
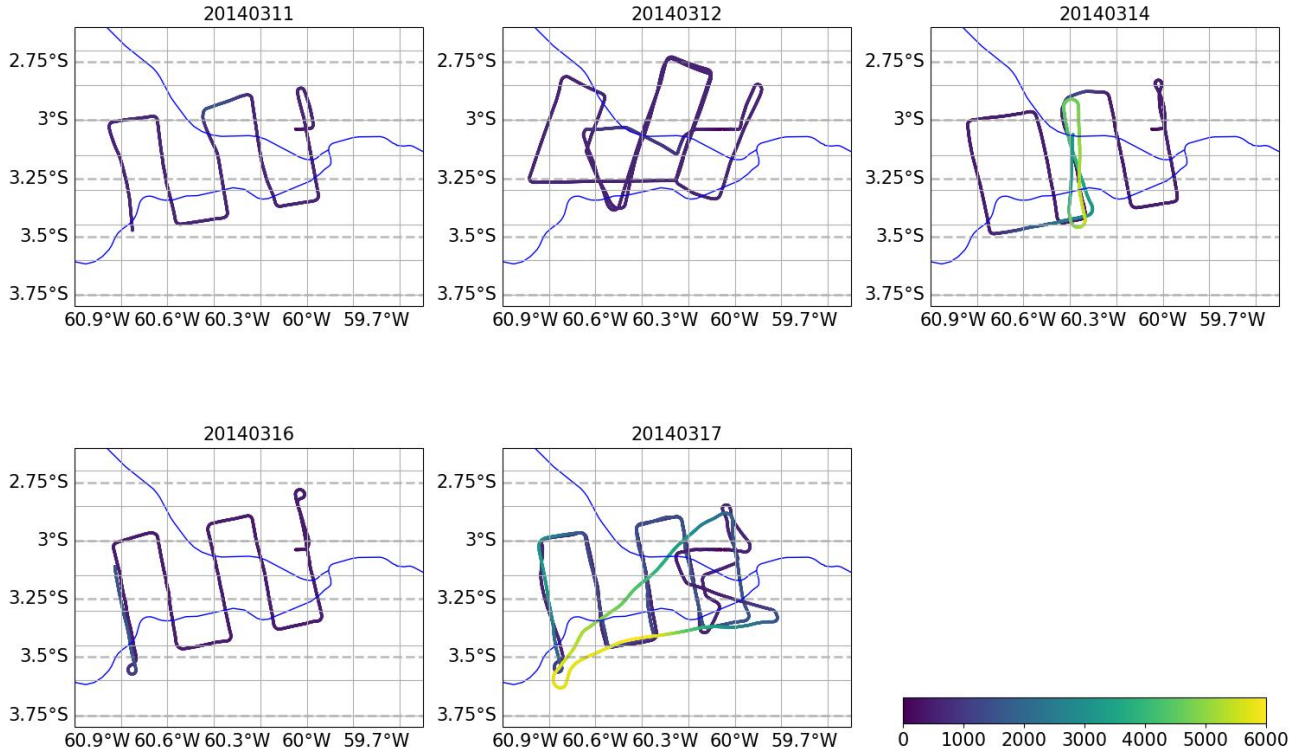
Figure 1. G-1 flight tracks on 11, 12, 14, 16 and 17 March 2014. The aircraft flew at below 2 km altitude on 11, 12 and 16 March and reached around 6 km on 14 and 17 March 2014. The colorbar indicates the flight altitude in m. The blue lines indicate the Rio Negro and the Amazon River.

2.2 Global and regional model configurations

We used a nested regional model located in central Amazonia, embedded in a global model. The global model is the atmosphere-only configuration of the Hadley Centre Global Environment Model version 3 (HadGEM3). Both the global and regional models are based on the Unified Model (UM version 11.6), and both models are coupled to the UKCA (United Kingdom Chemistry and Aerosol) model (Planche et al., 2017; Gordon et al., 2018, 2023). The global and regional model are coupled in a one-way manner that allows the global model to drive the regional model with information including aerosols, trace gases, and meteorology conditions (temperature, 3D wind, cloud liquid, cloud ice, humidity and rain), while the global model is not affected by the regional model.

The global model uses the GA7.1 (Global Atmosphere v7.1) configuration of the UM with the Even Newer Dynamics for General atmospheric modelling of the environment (ENDGame) dynamical core (Wood et al., 2014; Walters et al., 2019). The

resolution is N96 (around 135 km) in the horizontal direction and there are 85 vertical levels up to 80 km in altitude. Parameterised convection is used in the global model (Fritsch and Chappell, 1980; Gregory and Rowntree, 1990; Stratton et al., 2009; Derbyshire et al., 2011; Walters et al., 2019).

The nested regional model domain is centred at (3.1°S, 62.7°W). The centre locates in the downwind of Manaus. The domain is 1200 km (east to west direction) by 720 km (north to south direction) with 3-km horizontal resolution. There are 70 vertical model levels with the highest altitude at 40 km. The lowest 64 levels extend from the surface to 20 km in altitude, which is the main region of interest for aerosol-cloud interactions. The regional model uses explicit convection which allows heat transfer and tracer transport to be resolved on the model grid, though smaller scale convection (e.g. shallow convection) is not resolved at 3-km resolution.

2.3 Aerosol, chemistry and emissions

The aerosol-chemistry scheme (UKCA) uses the GLOMAP-mode (Global Model of Aerosol Processes) two-moment aerosol microphysics model which allows aerosol to form from gaseous precursors, grow to larger sizes, and be transported and removed (Mann et al., 2010). The aerosol particles are represented by four water-soluble modes (nucleation, Aitken, accumulation and coarse) and an insoluble Aitken mode, which are specified by the number and mass (or equivalently size) with a fixed-width log-normal distribution. The particle chemical composition includes sulfate, sea-salt, black carbon and organic carbon. Aerosol particles are scavenged by two processes: impaction scavenging due to precipitation (washout) below clouds and scavenging during rain formation (rainout). Rainout refers to the collision and coalescence of cloud droplets which contain aerosols. When these rain droplets are formed and fall to the surface, the aerosols inside are assumed to be deposited. The removal processes of aerosols are size-dependent, and are controlled by a collection efficiency look-up table (Mann et al., 2010; Kipling et al., 2013).

UKCA uses an online chemistry scheme (StratTrop) which involves 84 species with 81 of them having chemical reactions (Archibald et al., 2020), including several chemical reactions with anthropogenic gas species (ammonia, ethane, nitrogen monoxide etc.). StratTrop chemistry scheme can well represent reactions associated with pollution plumes from Manaus and the biogenic emissions from the surrounding forest in Amazonia, and subsequently affects NPF in this study. In the UKCA model, the oxidant tracers (OH, O_3 and NO_3) can react with other chemical components, be transported, and deposited. The StratTrop scheme has been used in global modelling studies (Mulcahy et al., 2020), and was firstly incorporated in a regional modelling of Gordon et al. (2023).

Most of the emissions of anthropogenic gases and aerosols are obtained from the high-resolution (0.1°by 0.1°) EDGAR (Emissions Database for Global Atmospheric Research) inventories (Janssens-Maenhout et al., 2015). The fine grid resolu-

160 tion of these emissions allows us to resolve the Manaus pollution plume in our model. The emissions we use in the model are monthly means for the year 2010 and Table 1 shows all the included species. A diurnal cycle is applied for NO, BC and OC to simulate the time variation of traffic. ~~CMIP6 emission inventories provide CH$_4$, and monoterpene, isoprene and natural SO$_2$ are from CMIP5 inventories. The marine source of~~ The emission of marine DMS has been parameterised based on Lana et al. (2011) and the land source is from biomass burning (van der Werf et al., 2006; Lamarque et al., 2010; Granier

165 et al., 2011; Diehl et al., 2012). The emitted CH$_4$ from biomass burning data have been generated by the JULES model (Mangeon et al., 2016). ~~Monoterpene~~ Monoterpenes and isoprene are emitted by vegetation and have been obtained from monthly mean emission inventories ~~that include vegetation (Guenther et al., 1995; Pacifico et al., 2012).~~ generated by the JULES model (Pacifico et al., 2012). We use offline isoprene and monoterpene emissions because our study mainly focuses on the influence of anthropogenic emissiosns and the vegetation cover is unlikely to change significantly within a short time period. The benefits

170 of using a land-surface model with interactive vegetation cover and BVOC emissions would be helpful, but the benefits would be limited under the context of our study. Diurnal variability has been applied to isoprene emissions by scaling them hourly. We do not apply a diurnal cycle to monoterpenes fields. Natural SO$_2$ comes from volcanic eruptions (Stier et al., 2005). ~~The primary aerosols are emitted into~~ Primary biofuel aerosol, biomass burning aerosol, and anthropogenic sulfate aerosol are emitted in the UKCA model ~~at~~ as lognormal modes with a fixed geometric mean diameter of 150 nm~~.~~

175 , while primary aerosol particles from fossil fuel are emitted at 60 nm.

Table 1. Gaseous species and aerosol emissions that are anthropogenic

Species names and primary aerosol emissions			
BC	OC	SO$_2$	NH$_3$
	CH$_3$CHO	CH$_3$COCH$_3$	CH$_2$O
~~NOx~~NO$_x$			
CO	C$_3$H$_8$	C$_2$H$_6$	Biomass burning aerosol

~~The UKCA aerosol-chemistry model is coupled to the CASIM (Cloud-AeroSol Interacting Microphysics) cloud microphysics scheme in the regional domain of the modelfor both stratiform and resolved convective cloud. CASIM is a two-moment cloud microphysics model with five types of hydrometeor (cloud droplets, rain, ice, snow and graupel; Shipway and Hill, 2012; Hill et al., 2015; .Aerosol number concentration and concentrations of~~ Our model also includes natural primary aerosol (sea salt and primary

180 marine organic aerosol). The parameterisation of sea salt aerosols follows Gong (2003), while primary marine organic aerosol emissions are based on Gantt et al. (2012). Dust emission is parameterised based on (Marticorena and Bergametti, 1995).

Monoterpenes are a class of BVOC (Biogenic Volatile Organic Compound) consisting of several compounds, but they are emitted and treated as one tracer in the UKCA model. We assume it to be the main BVOC for biogenic nucleation. Recent work has suggested that isoprene is an important BVOC involved in NPF (Kuhn et al., 2010; Bardakov et al., 2024; Curtius et al., 2024; Shen et al

185 . However, isoprene is not used in the NPF process in this work because HOM formation from isoprene with NO$_x$ is not available in our model configuration, and this fairly new NPF mechanism has not been parameterised or tested in global models

(Curtius et al., 2024; Shen et al., 2024). Nevertheless, not incorporating isoprene-NO_x is expected to be within the uncertainty of our assumption for monoterpenes.

In the parameterisations, the concentrations of monoterpene are used to derive the concentrations of highly-oxygenated molecules which are used to obtain NPF rates (HOM1 and HOM2, Ehn et al., 2014; Kirkby et al., 2016; Tröstl et al., 2016; Stolzenburg et al., 2018) . HOM1 is an oxidation product of monoterpenes, oxidised by OH with a yield of 100 % (Riccobono et al., 2014). The unrealistically high yield is because the nucleation rate and yield could not be separately constrained in the chamber experiments and so the yield has been subsumed into the nucleation rate. HOM2 is the chemical species are used by CASIM to calculate a weighted mean hygroscopicity for cloud droplet nucleation (Gordon et al., 2020). CASIM then activates aerosols based on the mean gridbox updraft velocity and the activated prognostic N_d is advected with the resolved wind fields (Grosvenor et al., 2017; Miltenberger . A diagnostic maximum supersaturation is calculated to activate aerosols within the parameterization of (Abdul-Razzak and Ghan, 2000) . The prognostic N_d is replaced by newly activated droplets if the newly activated concentration exceeds the existing concentration. Scavenging rates of aerosols during convection are calculated from precipitation rates derived from the autoconversion and accretion rates in CASIM (Miltenberger et al., 2018a). oxidation product of monoterpenes by OH and O_3, and HOM2 concentrations are obtained by a steady-state approximation (Franchin et al., 2015; Gordon et al., 2016). A steady state assumes that ion concentrations remain constant over time, given a fixed recombination coefficient, first-order loss term, and coagulation sink (Franchin et al., 2015). This approximation is based on the CLOUD chamber experiments. Yields of HOM2 are 1.2 % when monoterpenes are oxidised by OH and 2.9 % by O_3 and the concentrations of HOM2 are used to derive nucleation rates (Gordon et al., 2016).

2.4 New particle formation

New particle formation (NPF) represents the conversion processes from gas vapour to particle phases. The NPF mechanisms include a scheme that includes We incorporate the following schemes in the UKCA model: the nucleation of sulfuric acid and organic gas molecules (H_2SO_4-Org, Riccobono et al., 2014) and the pure biogenic nucleation, which uses purely oxidised organic gas molecules (Kirkby et al., 2016). The ability of biogenic vapour to nucleate depends on vapour volatility (Weber et al., 1995; Vehkamäki et al., 2002; Riccobono et al., 2014; Dunne et al., 2016; Kirkby et al., 2016; Tröstl et al., 2016; Simon et a . NPF NPF process in the UKCA model includes the initial formation of a cluster at a diameter of 1.7 nm and the subsequent growth to 3 nm by condensation (Kerminen and Kulmala, 2002) . We apply the Kerminen and Kulmala (2002) method to simulate particle growth from 1.7 nm to 3 nm via the condensation of H_2SO_4-H_2O and HOM1 (or HOM2). The whole NPF process produces aerosol particles up to 3 nm in diameter.

Our model overestimates the Our model tends to overestimate the total aerosol number concentrations in the free and upper troposphere(Ranjithkumar et al., 2021). . In our test simulations with H_2SO_4-Org and pure biogenic nucleation mechanisms, the total particle number concentrations in the free troposphere were overestimated by more than a factor of 10 if we allow NPF to occur at all altitudes. The overestimation was even stronger with binary nucleation (H_2SO_4-H_2O) and the H_2SO_4-Org nucleation schemes. We also found significantly overestimated particle concentrations from NPF at low altitudes in our test

220 simulations, possibly due to the limitations of the model's mixing scheme close to heterogeneous forest. Additionally, NPF was rarely observed in the Amazonian boundary layer in previous studies (Krejci et al., 2003; Rizzo et al., 2010; Andreae et al., 2018; Wimmer). Therefore, in our simulations only, we switch off all new particle formation (H_2SO_4-Org nucleation and pure biogenic nucleation) above 1 km altitude and below 100 m altitude, so that our model has a better representation of the observed particle number concentrations (Andreae et al., 2018; Shilling et al., 2018). After switching off NPF at these altitudes, the model

225 produced much lower aerosol concentrations than with NPF. ~~Although, we do find NPF at these altitudes to be the dominant source of aerosols smaller than 100 nm in diameter (Fig. A1, A4 and A5) as expected, we parameterise the~~ We implement the NPF processes as follows.

~~Based on the CLOUD chamber experiments, we use the~~ The inorganic-organic (H_2SO_4-Org) combined nucleation mechanism ~~which~~ has been parameterised in GLOMAP (Riccobono et al., 2014). ~~New particles are formed via gas-to-particle conversion~~

230 ~~and condensation of stable~~ The formation and the subsequent growth of new particles uses highly-oxygenated molecules ~~(Ehn et al., 2014; Kirkby et al., 2016; Tröstl et al., 2016; Stolzenburg et al., 2018; Bianchi et al., 2019) and can continue to grow through the condensation of HOM1 and~~ (HOM1; Ehn et al., 2014; Kirkby et al., 2016; Tröstl et al., 2016; Stolzenburg et al., 2018; Bianch and H_2SO_4. ~~The HOM1 is an oxidation product of monoterpenes, oxidised by OH (Gordon et al., 2016). Here, monoterpene is a type of BVOC (Biogenic Volatile Organic Compound) emitted from forests by the UKCA model. Nucleation rates~~ Nucleation

235 rates (in $cm^{-3}\,s^{-1}$) at 1.7 nm diameter are derived using the concentrations of HOM1 and H_2SO_4.

$$J_{H_2SO_4-Org_{1.7nm}} = \exp(-(T-278)/10) \times (0.5 \times k \times [H_2SO_4]^2 \times [HOM1]), \tag{1}$$

where ~~, $J_{H_2SO_4-Org_{1.7nm}}$ represents nucleation rate at 1.7 nm in $cm^{-3}\,s^{-1}$,~~ [HOM1] and [H_2SO_4] represent the concentrations in molecules per cm^{-3}, k is kinetic factor with a constant value ~~(3.27×10^{-21} $cm^6\,s^{-1}$) (Riccobono et al., 2014)~~ (3.27×10^{-21} $cm^6\,s^{-1}$; Riccobono et al., 2014). The nucleation rates are multiplied with a temperature dependency $\exp(-(T-$

240 $278)/10)$ ~~) and therefore~~ so that nucleation rates vary with altitude (Gordon et al., 2016; Simon et al., 2020).

We also include the pure biogenic nucleation mechanism following ~~Kirkby et al. (2016); Gordon et al. (2016)~~ Kirkby et al. (2016) and Gordon et al. (2016), but the biogenic nucleation is not expected to significantly influence the particle concentrations between 100 m and 1 km altitude compared to the H_2SO_4-Org mechanism. This NPF parameterisation produces particles at 1.7 nm diameter using HOM2. ~~In the parameterisations, HOM2 is oxidised from monoterpenes by OH and O_3, and~~

245 ~~HOM2 concentrations are obtained by a steady-state approximation (Gordon et al., 2016). Yields of HOM2 are 1.2 % when monoterpenes are oxidised by OH and 2.9 % by O_3 and the concentrations of HOM2 are used to derive nucleation rates for particles at 1.7 nm in diameter following Gordon et al. (2016).~~ The nucleation rate sums up the neutral and ion-induced nucleation rate. In this study, for simplicity, the ion-induced nucleation uses a constant ion concentration of 400 cm^{-3} ([Ion] in eq. 2),

250 $$J_{Bio_{1.7nm}} = \exp(-(T-T_0)/10) \times (A_1 \times ([HOM2]/10^7)^{\frac{A_2+A_5}{[HOM2]/10^7}} + [Ion] \times A_3 \times ([HOM2]/10^7)^{\frac{A_4+A_5}{[HOM2]/10^7}}), \tag{2}$$

where $J_{BiO_{1.7nm}}$ is the nucleation rate at 1.7 nm in cm^{-3} s^{-1}, T is temperature in K, T_0 is a constant temperature (278 K), HOM2 represents the concentrations of HOM2 in molecules per cm^{-3}, and A_{1-5} are constant parameters (Gordon et al., 2016). The nucleation rates are also multiplied with a temperature dependency $\exp(-(T - T_0)/10)$.

A cloud condensation sink term is additionally added to UKCA to suppress nucleation rates in cloudy regions (Kazil et al., 2011; Wang et al., 2023). The calculation of cloud condensation sink follows the study of Wang et al. (2023). Commonly, a condensation sink that allows gases to condense onto existing aerosol particle surfaces instead of nucleating new particles is used. The addition of a cloud condensation sink enables gases to also condense onto cloud hydrometeor surfaces. It is obtained by assuming constant values for cloud droplet and ice crystal number concentrations (both at 100 cm^{-3}), which are used along with cloud liquid and ice water content to derive the radii of hydrometeors. We then obtain the condensation sink using Fuchs and Sutugin (1971):

$$CCS = 4\pi D_v \times N_{hyd} \times (r_{cloud} + r_{ice}), \tag{3}$$

where, CCS denotes cloud condensation sink in s^{-1}, D_v is the gas diffusion coefficient, N_{hyd} is a constant concentration of cloud hydrometeors (droplets or ice; 100 cm^{-3}), r_{cloud} and r_{ice} are the radii of cloud droplets and ice, respectively. The cloud condensation sink is added to the condensation sink derived from background particles. The total condensation sink will more realistically influence the concentration of condensable gases and newly formed particles in this convective environment.

2.5 Coupling between aerosol and cloud microphysics

The UKCA aerosol-chemistry model is coupled to the CASIM (Cloud-AeroSol Interacting Microphysics) cloud microphysics scheme in the regional domain of the model for both stratiform and resolved convective cloud. CASIM is a two-moment cloud microphysics model with five types of hydrometeor (cloud droplets, rain, ice, snow and graupel; Field et al., 2023). Aerosol number concentration and the concentrations of the chemical species are used by CASIM to calculate a weighted mean hygroscopicity for cloud droplet nucleation (Gordon et al., 2020). CASIM then activates aerosols based on the mean gridbox updraft velocity and the activated prognostic N_d is advected with the resolved wind fields (Grosvenor et al., 2017; Miltenberger et al., 2018a). A diagnostic maximum supersaturation is calculated to activate aerosols within the parameterization of (Abdul-Razzak and Ghan, 2000). The prognostic N_d is replaced by newly activated droplets if the newly activated concentration exceeds the existing concentration. We use a temperature dependent ice nucleation scheme, which is not sensitive to aerosol, to form ice in the CASIM model (Cooper, 1986).

Rain formation (autoconversion and accretion) from cloud droplets follows Khairoutdinov and Kogan (2000). The self-collection of rain droplets (with rain droplets) and cloud droplets (with cloud droplets) is based on Beheng (1994). Scavenging rates of aerosols during precipitation are calculated from precipitation rates derived from autoconversion and accretion rates in CASIM (Miltenberger et al., 2018a).

2.6 Simulation details

The global and regional models were run from 11 to 18 March 2014, covering ~~the~~ five research flights during GoAmazon2014/5 in the Amazonian wet season (Martin et al., 2016, 2017). The global model was run 69 days prior to the start of the regional simulation for the initialisation of the aerosol fields.

Table 2. Summary of model simulations detailing the different anthropogenic emissions and nucleation mechanisms used.

	Gas emission	Primary aerosol emission	Biogenic nucleation	H_2SO_4-Org nucleation
CTL	✓	✓	✓	✓
offREG			✓	✓*
0.5×emis	✓0.5×	✓0.5×	✓	✓
1.5×emis	✓1.5×	✓1.5×	✓	✓
2×emis	✓2×	✓2×	✓	✓
5×emis	✓5×	✓5×	✓	✓
Prim_emis		✓	✓	
0.25×aero	✓	✓	✓	✓
4×aero	✓	✓	✓	✓
CTL 1-month	✓	✓	✓	✓
offREG 1-month			✓	✓*
CTL+Bn	✓	✓	✓	✓

~~Note~~Note*: The H_2SO_4-Org nucleation relies on H_2SO_4, an anthropogenic gas precursor emitted in the regional model and advected from the global model through the model boundaries. When anthropogenic emissions in the regional domain are set to zero in the 7-day and 1-month simulations (offREG and offREG 1-month), H_2SO_4-Org nucleation will still occur due to the small amount of H_2SO_4 advected from the global model. However, the height-, time- and domain-mean H_2SO_4-Org nucleation rate at 100 m - 1 km in the regional model is reduced by a factor of 3000 after removing all anthropogenic emissions. Consequently, even though H_2SO_4-Org nucleation is included in these 2 simulations, the resulting nucleation rates are too small to produce a signaficant number of aerosols.

Table 2 summarises the simulations. All the simulations use NPF between 100 m and 1 km and include the cloud condensation sink.

The control (CTL) emission simulation includes both anthropogenic gas and primary aerosol emissions, and the offREG (off regional) simulation has anthropogenic emissions switched off in the regional domain. The species that are switched off in offREG (see Table 1) include anthropogenic gas emissions and primary aerosol emissions, as well as NO, NVOC from anthropogenic sources, BC and OC. Because the H_2SO_4-Org nucleation mechanism is strongly controlled by the concentrations

of H_2SO_4 and the advection from the global model cannot supply enough H_2SO_4 below 1 km to this region for nucleation, switching off emissions in the regional domain almost disables this nucleation process. We perturb all anthropogenic emissions by factors of 0.5, 1.5, 2 and 5 in additional simulations to understand the sensitivity of aerosols and cloud properties. The effects of primary anthropogenic aerosol emissions can be determined from the Prim_emis (primary emission) simulation where only anthropogenic primary aerosol emissions are kept and the H_2SO_4-Org nucleation is switched off in the regional domain to prevent secondary aerosol formation from anthropogenic gas precursors (H_2SO_4). The primary aerosol contribution to the total particle concentration and cloud properties can be derived with the equation $100\% \times (\text{Prim\_emis} - \text{offREG})/\text{CTL}$. Two ~~extra~~ additional simulations were performed in which the ~~the~~ aerosol concentrations passed from UKCA to the CASIM aerosol activation process were scaled down by a factor 4 (simulation 0.25×aero) and ~~scaled~~ up by a factor of 4 (simulation 4×aero) ~~. The~~ relative to the CTL simulation. The variable we scaled is the "N_i" in Equation 13 in the study of Abdul-Razzak and Ghan (2000), where "i" represents an index over the aerosol modes. The scaling is applied after aerosol concentrations are passed into the CASIM model from the UKCA model, thus influencing the cloud activation process without changing the aerosol number concentrations generated by the UKCA model. The purpose of these simulations was to force a direct change in cloud droplet numbers compared to the perturbations achieved by changing emissions. As shown ~~below~~ in the results section, the 7-day simulations ~~of the six emission scenarios~~ with the six scaled loadings of the anthropogenic emissions showed an insignificant response of cloud properties to reductions in aerosol emissions, therefore the CTL and offREG simulations were also run for a month so that a longer-term effect on the clouds could be quantified. We ran an extra simulation (CTL+Bn) to examine the effect of binary nucleation (H_2SO_4-H_2O) following Vehkamäki et al. (2002). In this simulation, binary nucleation is switched on in addition to the processes used in the CTL simulation. As binary nucleation is most effective in the upper troposphere, it is permitted at all altitude above 100 m, and due to its strong temperature dependence it would be negligible below 100 m if it were permitted there.

3 Results

3.1 Comparison with observations

Figure 2 shows the timeseries of the observed and simulated particle number concentrations with diameters greater than 3 nm ($N_{D>3nm}$), 10 nm ($N_{D>10nm}$) and 100 nm ($N_{D>100nm}$) over the 5 days with aircraft observations from 11 to 17 March 2014. As shown in Fig. 1, the G-1 aircraft measured particle number concentrations in Manaus pollution plumes and for most of the time flew downwind of the city. Therefore, several peaks in particle number concentrations were observed during the flights. The modelled results in the CTL and offREG simulations are interpolated according to the flight time, coordinates and altitude for comparison with the observations.

All the observed particle concentrations ($N_{D>3nm}$, $N_{D>10nm}$ and $N_{D>100nm}$) exhibit strong temporal as well as spatial variations that are related to pollution plumes from Manaus. Among the five days of measurement, 16 and 17 March have the greatest number concentrations for all particle size ranges (around 11000 cm^{-3} for $N_{D>3nm}$, 3200 cm^{-3} for $N_{D>10nm}$, 270

cm^{-3} for $N_{D>100nm}$ averaged over time) which implies that the downwind air was most polluted on 16 and 17 March and the plumes were most distinct from the surrounding environments. The background number concentrations are around 1000 cm^{-3}

325 for $N_{D>3nm}$ and $N_{D>10nm}$, and around 300 cm^{-3} for $N_{D>100nm}$ during the five days. The least polluted day is 12 March when the time-mean particle number concentrations are 1300 cm^{-3} ($N_{D>3nm}$), 900 cm^{-3} ($N_{D>10nm}$), and 75 cm^{-3} ($N_{D>100nm}$), and the variability of $N_{D>3nm}$ is about 8 times smaller than the time-mean $N_{D>3nm}$ on 16 and 17 March. On the other two days (11 and 14 March), the time-mean particle number concentrations are factors of around 1.6-3 smaller than concentrations on 16 and 17 March for $N_{D>3nm}$ and $N_{D>10nm}$, and factors of 0.6-1.1 for $N_{D>100nm}$.
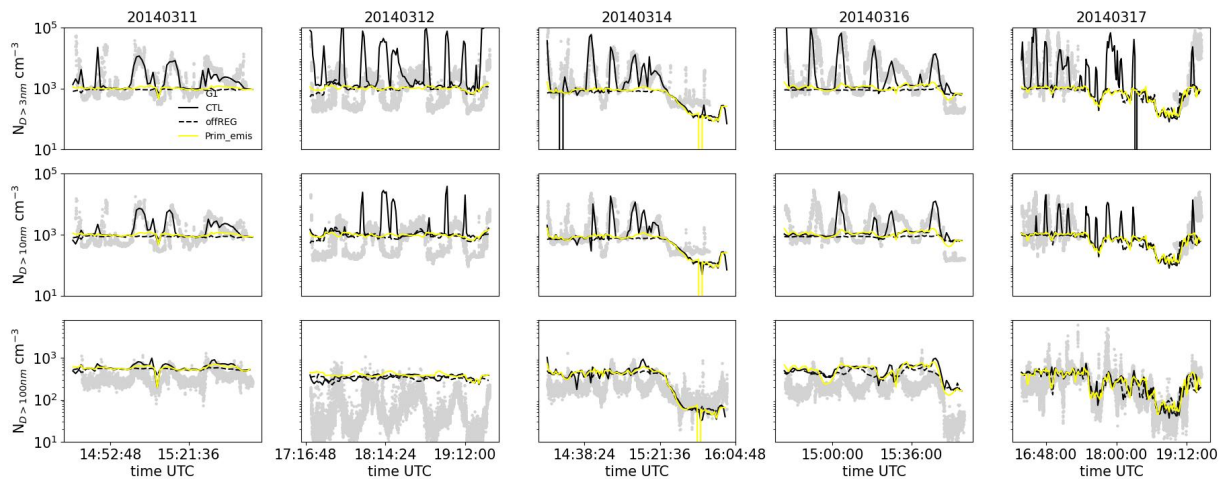
Figure 2. Timeseries of observed (grey dots) and simulated (CTL - black solid, offREG - black dashed and Prim_emis - yellow; solid lines) particle number concentrations with diameters greater than 3 nm (upper row), 10 nm (middle row) and 100 nm (lower row) on 11, 12, 14, 16 and 17 March 2014. The observations were measured onboard the G-1 aircraft during the GoAmazon2014/5 campaign and model data are interpolated according to the G-1 flight tracks. The concentrations are presented in log-scale.

330 The CTL simulation reproduces most of the observed in-plume number concentrations for $N_{D>3nm}$ and $N_{D>10nm}$, and ~~most of the temporal evolution for~~ the general trend for $N_{D>100nm}$, except for 12 March. However, the magnitude of concentrations and the temporal variability is not well captured in the first 3 days (11, 12 and 14 March 2014) for $N_{D>100nm}$. The modelled particle concentrations of the three size ranges well reproduce the observations on 11, 14, 16, and 17 March 2014, but the particle concentrations are overestimated on 12 March 2014. Of all the five days, the simulations are the closest to the observations on

335 11 March, with an mean bias of -8 % for $N_{D>3nm}$; for $N_{D>10nm}$ the bias was around -3 %; the model overestimates $N_{D>100nm}$ by 70 %. On 14 and 16 March, particle number concentrations are generally overestimated by the model by between 15 % and 20 % for $N_{D>3nm}$, underestimated by around 25 % to 28 % for $N_{D>10nm}$, and overestimated by between 63 % and 130 % for $N_{D>100nm}$. ~~Overall, the model captures the spatial and temporal variations well.~~ On 17 March 2014, $N_{D>3nm}$ and $N_{D>10nm}$ are underestimated by around 20 % and 40 %, and $N_{D>100nm}$ is overestimated by 10 %. The comparisons are worse on 12

340 March for all three size ranges, with the modelled particle concentrations being factors of 11 ($N_{D>3nm}$), 2 ($N_{D>10nm}$) and 3.6 ($N_{D>100nm}$) too high. This discrepancy is related to large number of nucleation mode aerosol (Fig. A2). The burst of nucleation mode aerosols in the model is likely caused either by the residuals of particles of all 3 size modes from 11 March that have not been scavenged within a day (by 12 March) because the background particle concentrations are around a factor of 3 higher than observed, or because the surface emissions in UKCA on 12 March are higher than reality. The CTL simulation produces

345 similar magnitudes of precipitation compared to the precipitation measurement by the S-band radar during GoAmazon2014/5 (Fig. A3), but whether the modelled rain removed the same number of aerosol particles as in reality remains unknown. ~~Overall, the modelled results reproduce most of the observed temporal and spatial variations for $N_{D>100nm}$ during the five days, but with some overestimations.~~

We also compared the aerosol size distributions at the location of the T3 research tower (3.2 °S, 60.6 °W) for the CTL

350 and CTL+Bn (binary nucleation H_2SO_4-H_2O) simulations (see Fig. A4 and A5). The results show that in the simulation with binary nucleation in the upper troposphere (CTL+Bn), the modelled aerosol size distributions are closer to the observations than without upper tropospheric nucleation in both the simulation and the model spinup period (CTL simulation), although CTL+Bn does not perfectly reproduce the observations. The time series of the particle number concentrations (Fig. A1) show that the CTL+Bn simulation significantly overestimated the observed particle (diameters greater than 3 nm) number concentrations by

355 factors of between around 2 and 44, producing too many particles. These results imply the ~~importance~~ efficiency of nucleation in the upper troposphere. The CTL simulation has more realistic particle concentrations than CTL+Bn and is able to reproduce the temporal and spatial evolution of the aircraft measurements. Thus, we use this simulation (CTL) as a baseline for our sensitivity test to anthropogenic emissions.

3.2 Effects of anthropogenic emissions on aerosol

360 In this section, we investigate the influence of anthropogenic emissions along the G-1 aircraft flight tracks on $N_{D>3nm}$, $N_{D>10nm}$ and $N_{D>100nm}$ particles. We also evaluate the effects of emissions on aerosol and cloud profiles in the regional domain but only the areas that are affected by pollution, which we define according to the total gas-phase sulfur species. High-sulfur regions are defined according to the instantaneous column integrated gas-phase sulfur content from ~~both~~ the gas-phase H_2SO_4 (sulfric acid) and SO_2 in the lowest 2 km, calculated as $\int_{z=0}^{z=2}(1000\rho_z S_z)\,dz$. Here z is altitude, ρ_z is air density at a

365 height of z, and S_z denotes the gas-phase sulfur mass mixing ratio obtained from both H_2SO_4 and SO_2. A threshold value of 6×10^{-5} g m^{-2} is chosen to represent polluted conditions. These high-sulfur regions defined by the CTL simulation are used also for the other simulations (offREG, 0.5×emis, 1.5×emis, 2×emis 5×emis, 0.25×aero and 4×aero) for consistency, irrespective of the gas-phase sulfur content in ~~the~~ other simulations. Here, we only analyse the data to the west of the red line in the regional domain for each simulation ~~(in~~ Fig. 3 ~~)~~ because it represents the regions downwind of Manaus that are likely

370 affected by Manaus pollution. The areas to the east of the red line are not included in the following analyses, but are needed as a part of the regional domain in order to allow space for air mass entering the regional domain at the eastern boundary to evolve before reaching the regions of interest. We understand that gas-phase sulfur alone may not be able to mark all the regions that

are affected by anthropogenic emissions in the domain, but it has the closest relationship to NPF of all the emissions in our simulations. Figure 3 shows example definitions of where the high-sulfur values (within the contours) are at 21 UTC on 14

375 March 2014; most of the high-sulfur regions are around the Amazon river. Although the high-sulfur regions evolve with time, Manaus, Tapauá and other riverside areas (where most of the cities are located) are always the most polluted regions in the regional domain.
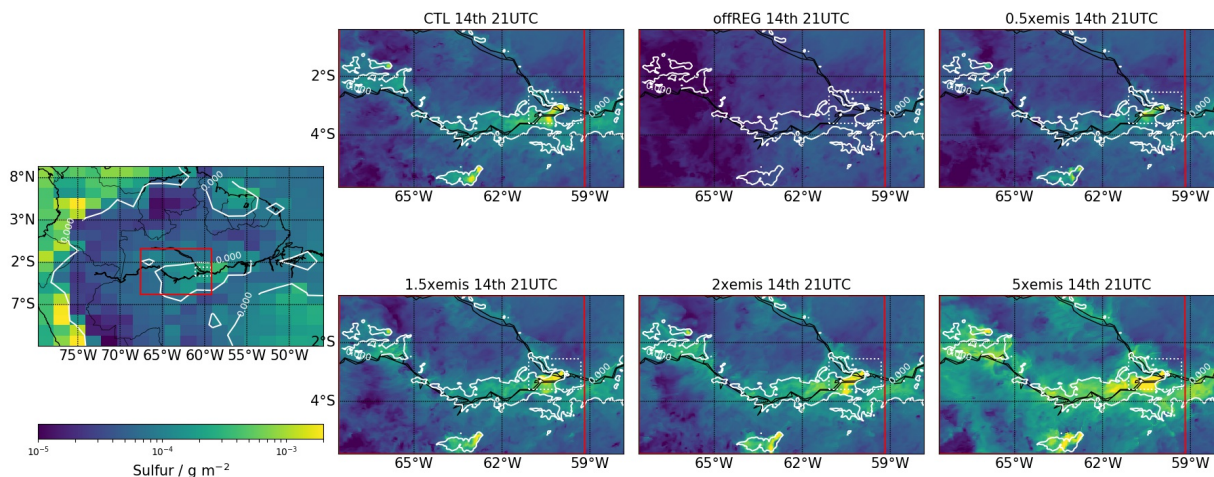
Figure 3. Maps of column integrated gas-phase sulfur (g m^{-2}) at 21 UTC on 14 March 2014 in the CTL simulation in the global model (left), and in the CTL, offREG, 0.5×emis, 1.5×emis, 2×emis and 5×emis simulations in the regional model. The dotted rectangles mark where the G-1 aircraft flew in March 2014. The white solid contours in all the maps denote column integrated gas-phase sulfur equal to 6×10^{-5} g m^{-2} in the CTL simulation. The area within the red box in the map of the global model and the area to the east of the red vertical lines in the regional model mark the high-sulfur region at 21 UTC on 14 March 2014.

Figure 2 also shows the particle number concentrations along the flight tracks when both anthropogenic gas and primary aerosol emissions are switched off in the regional domain (offREG simulation) and when anthropogenic gas emission and H$_2$SO$_4$-Org

380 nucleation are switched off in the regional domain (Prim_emis simulation). In the offREG simulation, the temporal and spatial variations of N$_{D>3nm}$ and N$_{D>10nm}$ are very small compared to the much larger variations in the CTL simulation. Although N$_{D>100nm}$ in the offREG simulations captures the background values, it misses most of the peak values in N$_{D>3nm}$ and N$_{D>10nm}$. The lack of temporal and spatial variability in the offREG simulation indicates that the variability shown in the CTL simulation is caused by emission and NPF in the region, especially for N$_{D>3nm}$, which is reduced by 70-90 %, and N$_{D>10nm}$,

385 which is reduced by 50-70 % during the 5 days compared to the CTL simulation. N$_{D>100nm}$ is least affected (6-20 % reduction) by anthropogenic emissions. Switching off anthropogenic emissions causes a reduction in the mean nucleation rates (biogenic and H$_2$SO$_4$-Org) along the track by up to a factor of 2.4×10^5 (16 March). On the same day, the condensation sink is reduced by a factor of 125 in the offREG simulation, suggesting that the effect of anthropogenic emissions on nucleation is substantial. N$_{D>100nm}$ has both increases and reductions in number concentrations when we switch off anthropogenic emissions with the

390 reductions dominating for most of the time. The increases in N$_{D>100nm}$ at certain times may be caused by the suppression of

NPF when there are no anthropogenic emissions, which thereby allows more condensable gases for particle growth (Sullivan et al., 2018), but we did not perform simulations that would allow the investigation of these changes. The occurrence of both increases and decreases in $N_{D>100nm}$ for CTL vs offREG implies that the effect of anthropogenic emissions in our simulations on CCN is quite variable.

395 The temporal and spatial variations of $N_{D>3nm}$ and $N_{D>10nm}$ in the Prim_emis simulation are similar to those in the offREG simulation (Fig. 2). For most of the time, the Prim_emis simulation reproduces the observed $N_{D>100nm}$ while missing some peak concentrations. Compared to the offREG simulation, the Prim_emis simulation has a few more overlaps with the CTL for $N_{D>10nm}$ and $N_{D>100nm}$, indicating the contribution of large primary anthropogenic aerosol particles. The ~~missing peak~~ absence of peaking concentrations in Prim_emis ~~show~~ shows that the discrepancies between the Prim_emis and CTL
400 simulations are mainly caused by NPF induced by the anthropogenic emissions (H_2SO_4-Org mechanism). The contribution of primary aerosols to the region in which the G-1 aircraft flew is less than 3 % for $N_{D>3nm}$, between 1 % and 10 % for $N_{D>10nm}$, and less than 20 % for $N_{D>100nm}$. The contribution of primary aerosol to the total mean particle concentrations in the lowest 4 km of the atmosphere in the high-sulfur region of the regional domain is around 0.5 %. Thus, the majority of the changes in concentrations are caused by the combination of precursor gas emission and NPF.

405 To better understand the response of aerosols and clouds to anthropogenic emissions using our model, we increased the anthropogenic gas and primary aerosol emissions (1.5×emis, 2×emis, and 5×emis simulations), which amplifies the differences in emissions between the offREG and CTL simulations. We also test the effect of reducing the emissions (0.5×emis). Figure 4. a, b and c shows the vertical profiles of aerosol number concentrations averaged over the high-sulfur regions. The concentrations have similar shapes with height in all the six simulations. The number concentrations are the greatest below 2 km for all three
410 modes of aerosol in the six simulations. In the CTL simulation the height-mean concentrations below 2 km are 130 cm^{-3} for nucleation mode, 530 cm^{-3} for Aitken mode, and 430 cm^{-3} for accumulation mode. Because we prevented NPF above 1 km altitude, the particle concentrations are very low in the upper troposphere, e.g. the total aerosol number concentration is 44 cm^{-3} at 14 km altitude in CTL simulation while the CTL+Bn simulation, which has NPF in the upper troposphere, has around 1700 cm^{-3} at 14 km altitude. Above 2 km, the aerosol number concentration quickly falls to very low concentrations ~~at~~ until 6
415 km ~~in altitude and remains at very low concentrations~~ altitude and the concentration remains very low above 6 km in the CTL simulation.
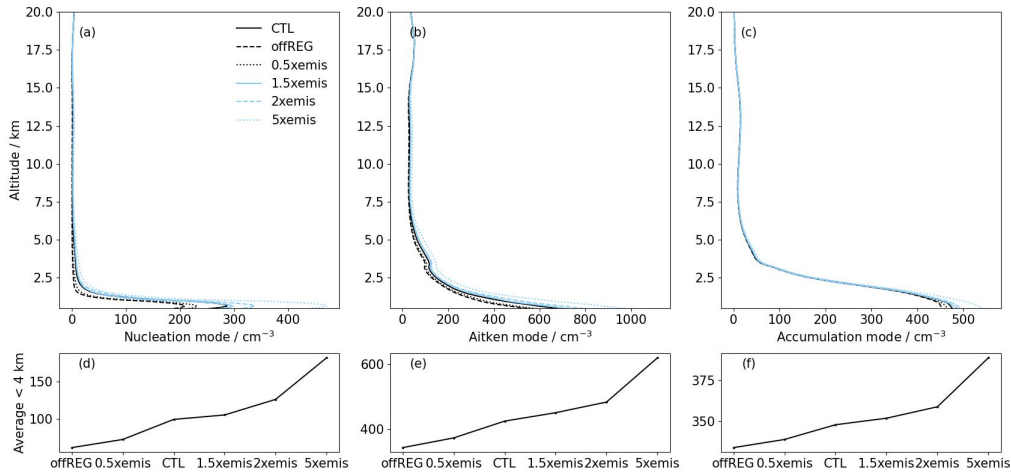
Figure 4. Profiles of (a) nucleation, (b) Aitken and (c) accumulation mode aerosol number concentrations, averaged over time and the area of the high-sulfur region (upper panel). Results are shown for the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), and 5×emis (light blue dotted) simulations. The results are from the 3-hourly instantaneous model output. The lower panel is the nucleation mode (d), Aitken mode (e), and (f) accumulation mode aerosol concentration averaged over the lowest 4 km altitude of the profiles in the upper panel for the six simulations.

The influences of anthropogenic emissions on aerosol concentrations are quantified by the ratios of changes in aerosol concentrations to the factors of changes in anthropogenic emissions from the CTL simulation. Then, the means of the ratios are obtained, and later in the paper, we refer to the mean ratios as changes per unit of anthropogenic emissions~~, although the relationship may not be linear. The~~. The relationship between anthropogenic emissions and aerosol is not linear, but we use the mean ratio as a proxy to examine the overall influence of emissions on aerosol and clouds. The calculation is as follows $(\mathrm{Conc_{5xemis}} - \mathrm{Conc_{CTL}})/(5-1)$ if taking 5×emis simulation as an example. The most significant changes in aerosol number concentration due to anthropogenic emissions among the six simulations exist in the lowest 4 km altitude. The height-mean nucleation mode aerosol number concentration below 4 km altitude changes by -38 to 82 $\mathrm{cm^{-3}}$ in the five simulations with varied anthropogenic emissions compared to the CTL simulation (Fig. 4.d). On average, the nucleation mode aerosol concentration increases by 29 $\mathrm{cm^{-3}}$ (29 % of the concentration in the CTL simulation) per unit increase in anthropogenic emissions. Similarly, Aitken mode changes by between -82 and 196 $\mathrm{cm^{-3}}$ in each ~~emission scenario~~ simulation with scaled loadings of anthropogenic emissions and the concentration on average increases by 68 $\mathrm{cm^{-3}}$ per unit increase in anthropogenic emissions (16 %; Fig. 4.e). The changes of accumulation mode range by -15 to 41 $\mathrm{cm^{-3}}$ and on average the accumulation mode concentration increases by 12 $\mathrm{cm^{-3}}$ (4 %) for each unit increase in anthropogenic emissions (Fig. 4.f). The total aerosol number, which also includes the insoluble Aitken mode and coarse mode, increases by around 113 $\mathrm{cm^{-3}}$ (13 %) for each unit increase in anthropogenic emissions.
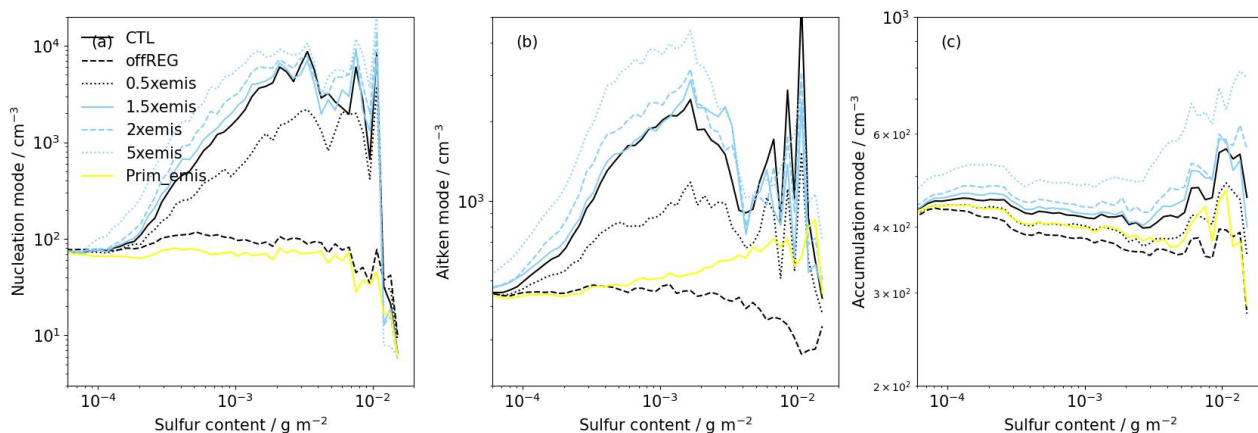
Figure 5. Dependence of (a) nucleation, (b) Aitken and (c) accumulation mode aerosol number concentrations in the lowest 2 km altitude on column integrated gas-phase sulfur content. The mean concentrations are ~~averaged over~~ presented for 100 gas-phase sulfur content bins. Results are shown for the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted), Prim_emis and (yellow) simulations. The results are from the 3-hourly instantaneous model output.

Figure 5 and 6 show the relationship between aerosol number concentration, nucleation rate, condensation sink, sulfuric acid and gas-phase sulfur content in the lowest 2 km altitude, respectively. The lowest 2 km is used because this is where most of the pollution persists. The concentrations and rates are binned by gas-phase sulfur content and each bin contains a mean. In all the simulations with anthropogenic gas emissions (CTL, 0.5×emis, 1.5×emis, 2×emis, and 5×emis), the concentrations of nucleation mode and Aitken mode aerosol increase with increasing gas-phase sulfur content until around 2-3×10^{-3} g m^{-2}. ~~Subsequently, the~~ The concentrations significantly decrease in the largest gas-phase sulfur content bin. Meanwhile, the accumulation mode aerosol number concentration remains relatively steady and starts to increase where the gas-phase sulfur content is above 3×10^{-3} g m^{-2}. The concentrations of accumulation mode aerosol are also reduced in the largest gas-phase sulfur content bin, although the extent of reduction in concentration is much smaller than that of nucleation and Aitken mode aerosol.

The changes in nucleation mode aerosol concentration with gas-phase sulfur content are closely related to the H_2SO_4-Org nucleation rate and sulfuric acid concentration, while Aitken and accumulation mode aerosols are less affected by nucleation rate. Overall, as anthropogenic emission in the regional domain increase, we find increases in aerosol particle concentrations for all size ranges, H_2SO_4-Org nucleation rate and condensation sink in each gas-phase sulfur content bin. Although, the H_2SO_4-Org nucleation rate should be suppressed by a higher condensation sink as gas-phase sulfur content increases, it is also enhanced by higher concentrations of sulfuric acid. This significant increase in the sulfuric acid concentration compensates for the suppression due to the condensation sink as gas-phase sulfur content becomes larger.

The offREG simulation generally exhibits relatively small changes in aerosol concentrations, nucleation rate, condensation sink and sulfuric acid compared to other simulations with varied anthropogenic emission (CTL, 0.5×emis, 1.5×emis, 2×emis, and

5×emis) as gas-phase sulfur content increases. The concentrations and rates in offREG simulation are usually several factors to orders of magnitude smaller than those in the other five simulations, except for condensation sink. These low concentrations indicate the importance of anthropogenic emissions from a small region on particles through the H_2SO_4-Org nucleation process
455   in our model setup.
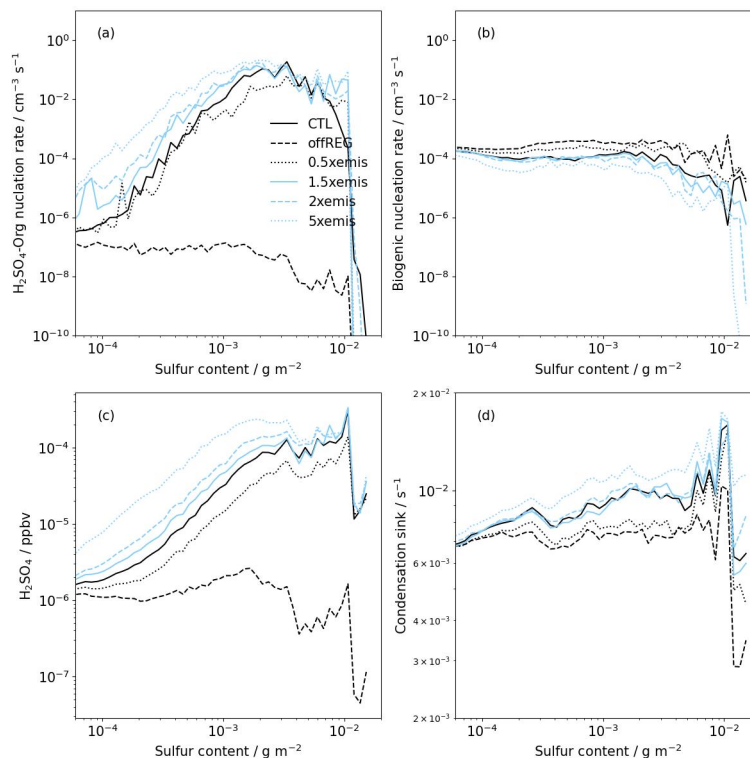
Figure 6. Correlations of (a) H_2SO_4-Org nucleation rate, (b) biogenic nucleation rate, (c) sulfuric acid concentration, and (d) condensation sink in the lowest 2 km altitude with column integrated gas-phase sulfur content. The mean rates and concentrations are ~~averaged over presented for~~ 100 gas-phase sulfur content bins. Results are shown for the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted) simulations. The results are from the 3-hourly instantaneous model output.

As gas-phase sulfur content increases, the concentrations of nucleation and Aitken mode aerosol have a reduction of around a factor of 4 between 4~~and 5~~×10^{-3} and $1×10^{-2}$ g m^{-2} of the gas-phase sulfur content. ~~This reduction is~~ The reductions in this range are partly due to the rapidly increasing primary aerosol emissions in this gas-phase sulfur content range~~(~~, but primary aerosol does not explain the significant reduction in the largest gas-phase sulfur content bin ($\geq 1×10^{-2}$ g m^{-2}; Fig. 5.b and c;
460   yellow). In absence of anthropogenic gas emissions and H_2SO_4-Org nucleation, the Prim_emis simulation showed an increase in primary Aitken and accumulation mode aerosol number concentration with increasing gas-phase sulfur content between 4 and 5×10^{-3} g m^{-2}. The amplified anthropogenic emissions in this gas-phase sulfur content range can suppress nucleation and

accelerate coagulation, resulting in more accumulation mode aerosols. The reduction of sulfuric acid by around a factor of 2 in this gas-phase sulfur content range also implies that more aerosols act as a sink for sulfuric acid, which then suppresses aerosol nucleation.

In the largest gas-phase sulfur content bin, the aerosol concentration of all sizes, nucleation rate, condensation sink, and sulfuric acid have significant reductions. ~~These~~ It is related to several factors. Firstly, these data are collected very close to the pollution sources which are usually below 100 m where nucleation is not permitted. ~~Additionally, emissions of primary aerosol near the source~~ ~~can also suppress sulfuric acid concentration and nucleation rate. The~~ , resulting in a reduction in nucleation rates. Secondly, the sulfur content is derived from the gas-phase SO_2 and H_2SO_4 with SO_2 being the dominant contributor (see Fig. A6), therefore the model grids with the highest SO_2 do not always coincide with those with the highest aerosol concentration. Also, as oxidation takes at least a few hours, H_2SO_4 or particles cannot be formed quickly very close to the source, partly resulting in low particle concentrations, H_2SO_4 and condensation sink. Thirdly, the largest bin contains ~~much less data and thus~~ less than 0.001 % of all data points in the lowest 2 km altitude across all time steps, which may not accurately represent the concentrations~~and rates~~, nucleation rates and condensation sink. As a result, the model grids that fall into the largest gas-phase sulfur content bin ($\geq 1\times10^{-2}$ g m^{-2}) has the highest gas-phase SO_2 while the other 7 variables/tracers (particle concentrations, nucleation rates, condensation sink and H_2SO_4) have very low values.

3.3 Effects of anthropogenic emissions on cloud properties

Figure 7 shows the profiles of droplet number concentration (N_d) and ice number concentration (N_i) averaged over time and the cloudy areas in high-sulfur regions. The cloudy areas are defined as model grids with total cloud water content greater than 0.1 g kg^{-1} and are defined separately for each simulation. In the CTL simulation, the mean N_d in cloudy areas increases with height until around 1.3 km where it reaches a maximum of 135 cm^{-3}, then the concentration decreases until around 10 km altitude. N_d profiles in ~~the~~ other simulations have similar shapes. Most of the differences that are caused by anthropogenic emissions that occur below 4 km and the relative magnitude follows the variations in aerosol concentrations in each simulation. The height-mean N_d below 4 km altitude increase with increasing emissions, with ~~values~~ concentrations of 84 cm^{-3} in offREG, 87 cm^{-3} in 0.5×emis, 95 cm^{-3} in CTL, 98 cm^{-3} in 1.5×emis, 102 cm^{-3} in 2×emis simulations, and 120 cm^{-3} in 5×emis. While the 0.25×aero simulation has a mean N_d of 36 cm^{-3}, which is a factor of approximately 0.38 of the CTL simulation and the 4×aero simulation has a mean N_d of 224 cm^{-3} (a factor of 2.4 of the CTL). Concluding from all the six simulations with varied emissions, the height-mean N_d in the lowest 4 km over time and cloudy areas in high-sulfur regions increases by around 9 cm^{-3} for each unit increase in anthropogenic emissions (equivalent to 9 % of the CTL simulation), but the latter two simulations produce more significant changes in N_d because we forced it.
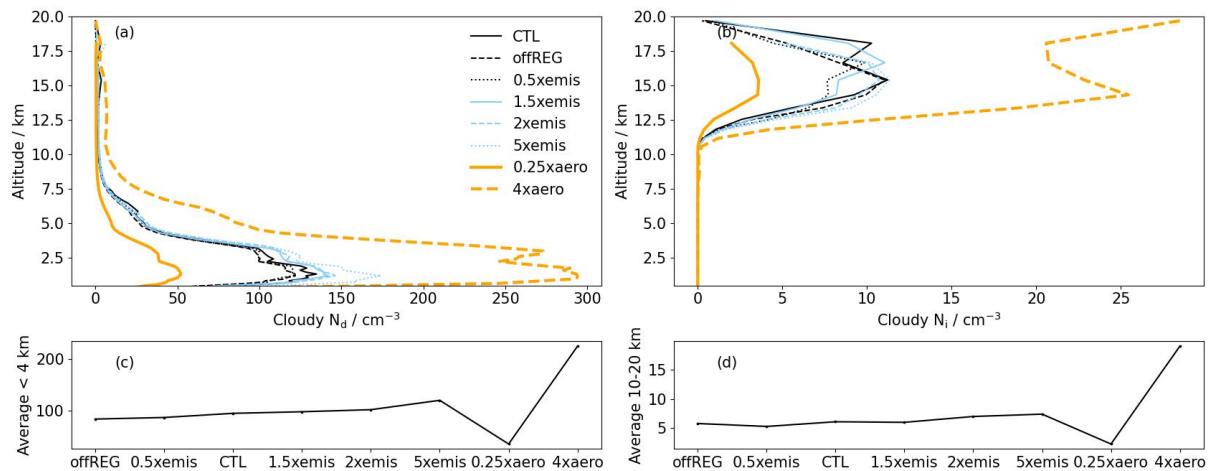
Figure 7. Profiles of (a) N_d and (b) N_i, averaged over time and over the cloudy area of the high-sulfur region in the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted), 0.25×aero (thick orange solid line) and 4×aero (thick orange dashed) simulations (upper panel). The lower panel is the N_d (c) averaged over the lowest 4 km altitude of the profiles in the upper panel for the eight simulations, and N_i (d) averaged between 12-20 km altitude of the profiles in the upper panel for the eight simulations. The results are from the 3-hourly instantaneous model output.

The in-cloud ice number concentration (N_i) is negligible from the surface to around 11 km in altitude, from which height it increases and peaks at around 15 km (11 cm^{-3} in the CTL simulation). Changing the anthropogenic emissions in the regional domain does not have a clear effect on N_i between 12-20 km. Averaged over height between 12-20 km, N_i in the simulations with the six ~~emission scenarios~~ scaled loadings of anthropogenic emissions have similar values (roughly 6 cm^{-3}) and the differences are negligible. However, in the 0.25×aero simulation, N_i is reduced by a factor of 3 compared to the CTL simulation and in the 4×aero simulation it is increased by a factor of 3.

The profiles of in-cloud liquid and ice mass mixing ratios averaged over the high-sulfur regions exhibit several peaks at 3 km, 6 km and around 13 km in altitude for the eight simulations (Fig. 8). The cloud water is liquid phase below 4 km altitude, mixed phase between 4 and 10 km, and ice phase above 10 km altitude (Fig. A7). Cloud liquid water mass mixing ratio is similar among the eight simulations and it quickly increases with altitude from 1 km to 3 km reaching a maximum (0.46 g kg^{-1}), then decreases with height. Some clearer (but still not obviously systematic) differences among the eight simulations are shown for cloud ice mass mixing ratio which exists above about 5 km altitude, allowing the mixed-phase cloud to reach 0.6 g kg^{-1} at around 6 km and cloud ice mass to become 0.61 g kg^{-1} at 14 km altitude. The results show that the variations of cloud ice mass with height are not affected by changes in anthropogenic emissions by factors of between 0 and 5 relative to the CTL simulation or when N_d is significantly reduced or increased (0.25×aero and 4×aero).
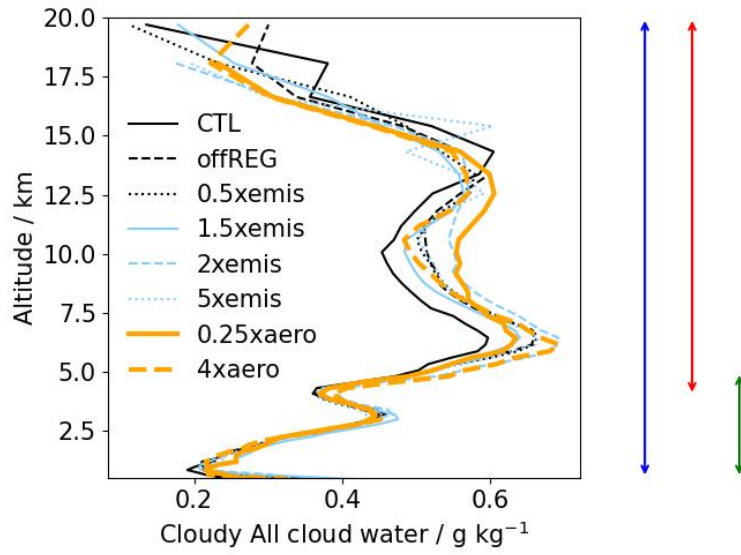
Figure 8. Profiles of total cloud water mass mixing ratio (cloud liquid, ice crystal, snow, and graupel), averaged over time and over the cloudy area of the high-sulfur region in the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted), 0.25×aero (thick orange solid) and 4×aero (thick orange dashed) simulations. The results are from the 3-hourly instantaneous model output. The three arrows indicate the vertical extent of the cloud heights that we use to identify deep cloud (blue), shallow cloud at high altitude (red), and shallow clouds at low altitude (green) in Fig. 9.
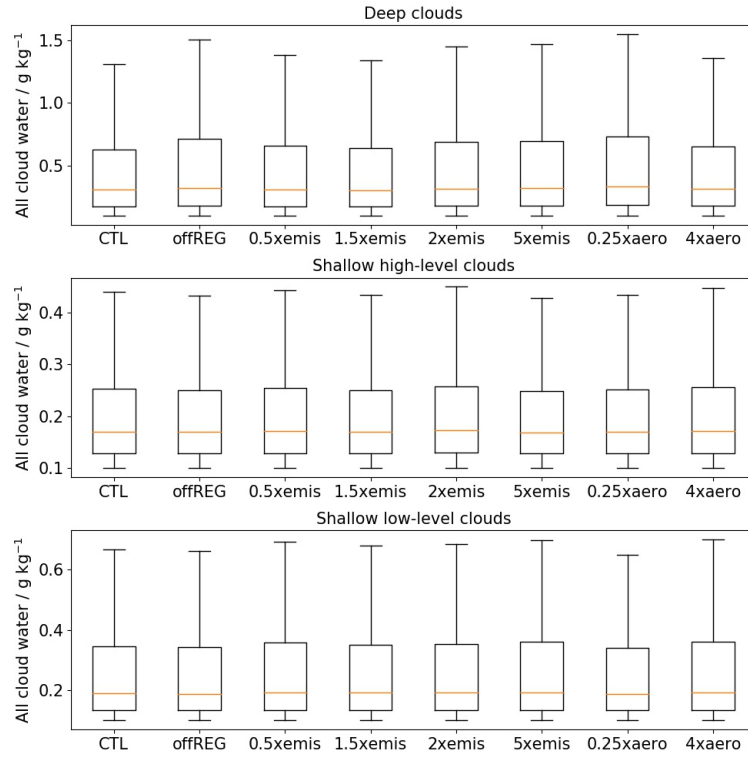
Figure 9. Box plots of total cloud water mass mixing ratios from all the 3-hourly instantaneous output in the cloudy area of the high-sulfur regions in the CTL, offREG, 0.5×emis, 1.5×emis, 2×emis, 5×emis, 0.25×aero and 4×aero simulations for deep clouds (cloud thickness greater than 3 km; top), shallow clouds at high altitude (cloud thickness smaller than 3 km and at above 4 km in altitude; middle), and shallow clouds at low altitude (cloud thickness smaller than 3 km and at below 5 km in altitude; bottom).

The distributions of total cloud liquid and ice mass mixing ratios are shown as box plots in Fig. 9 based on 3-hourly instantaneous output in the six ~~emission scenarios~~ simulations with scaled loadings of anthropogenic emission, 0.25×aero and 4×aero simulations separated into deep clouds (thickness greater than 3 km), shallow clouds (thickness smaller than 3 km) situated below 5 km altitude, and shallow clouds situated above 4 km altitude. All three cloud categories have the same cloud water mass mixing ratio for the ~~lower whiskers (minimum ;~~ minimum (0.1 g kg^{-1}). ~~For deep clouds , the lower whisker, lower quartile (the edge of the lower 25 %) and median values in the eight simulations generally differ by less than 0.03 g kg^{-1}, while the upper quartile values differ by at most 0.08 g kg^{-1} and upper whisker values differ by 0.2 g kg^{-1}~~ Although deep clouds show the largest variability in cloud water mass mixing ratio, the differences between CTL and other simulations are not large. The maxima for the upper quartile (the edge of the upper 75 %) and ~~upper whisker (maximum)~~ the maximums for deep clouds occur in the offREG and 0.25×aero simulations which have the least cloud droplets~~due to the least number of CCN~~. The water content in shallow clouds at low and high altitudes similarly shows no systematic dependence on aerosol concentrations. Overall the box plots show that the occurrence of 'extreme' values is random under varied anthropogenic emissions.
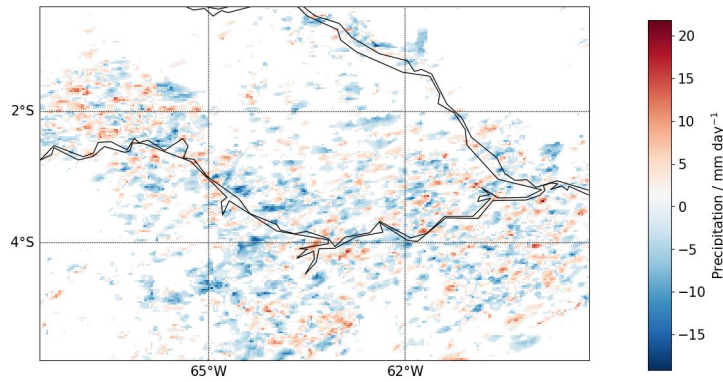
Figure 10. The map of the differences between CTL and 0.25×aero simulations for the time-mean surface rain rates in all the high-sulfur regions between 12 and 18 March 2014 with 3-hourly mean model output.
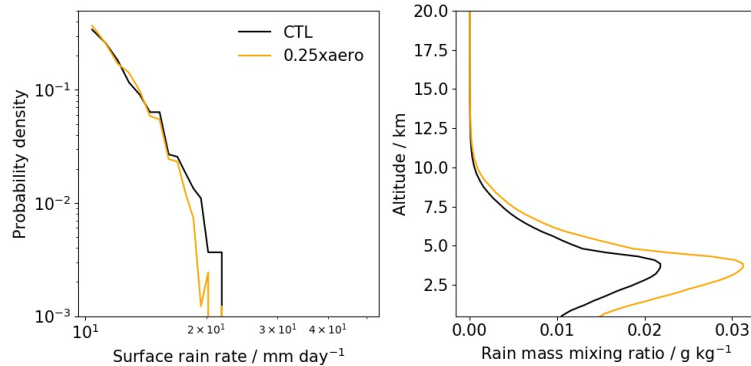
Figure 11. Histograms of surface rain rate (left) and profiles of rain mass mixing ratio (right) in high-sulfur regions in the CTL (black) and 0.25×aero (orange) simulations. The results are from the 3-hourly mean model output. The area under each line equals to 1.

A ~~maps~~ map of differences of surface rain rate between the CTL and 0.25×aero simulations are shown in Figure 10. The rain
520 rates have been averaged over the simulation period 12-18 March 2014. Because the high-sulfur regions evolve with time, the map shows all the locations where rain rate has ever occurred in the regions that meet the 'high-sulfur' threshold during the 7-day simulations. The perturbations to surface rain ~~mostly occur~~ occur mostly close to the Amazon river where cities are located. Averaged over time, the surface rain in high-sulfur regions is increased by 0.16 mm day^{-1} in the 0.25×aero simulation from the CTL simulation (4 % increase).

525 The distributions of surface rain rate in the CTL and 0.25×aero simulations are shown in Fig. 11. The histograms of surface rain rate differ between CTL and 0.25×aero but only for the upper end of the distribution above 16 mm day^{-1}. Similarly, the histograms of surface rain mass mixing ratios for all the eight simulations (Fig. A8) show that the changes are clear only for the maximum values (greater than 2 g kg^{-1}), while light rain is rarely affected. Although the differences in surface rain rate

seem small between the CTL and 0.25×aero simulations, the profiles of rain mass mixing ratio in Fig. 11 and Fig. A7 show
530 that 0.25×aero exhibited at least twice as much as the change in other simulations vs the CTL simulation. The changes in rain
mass mixing ratio in the 0.25×aero from the CTL simulation are statistically significant (p value is 0.04). Therefore, rain is
only appreciably affected when the total aerosol number concentration is reduced significantly (0.25×aero).
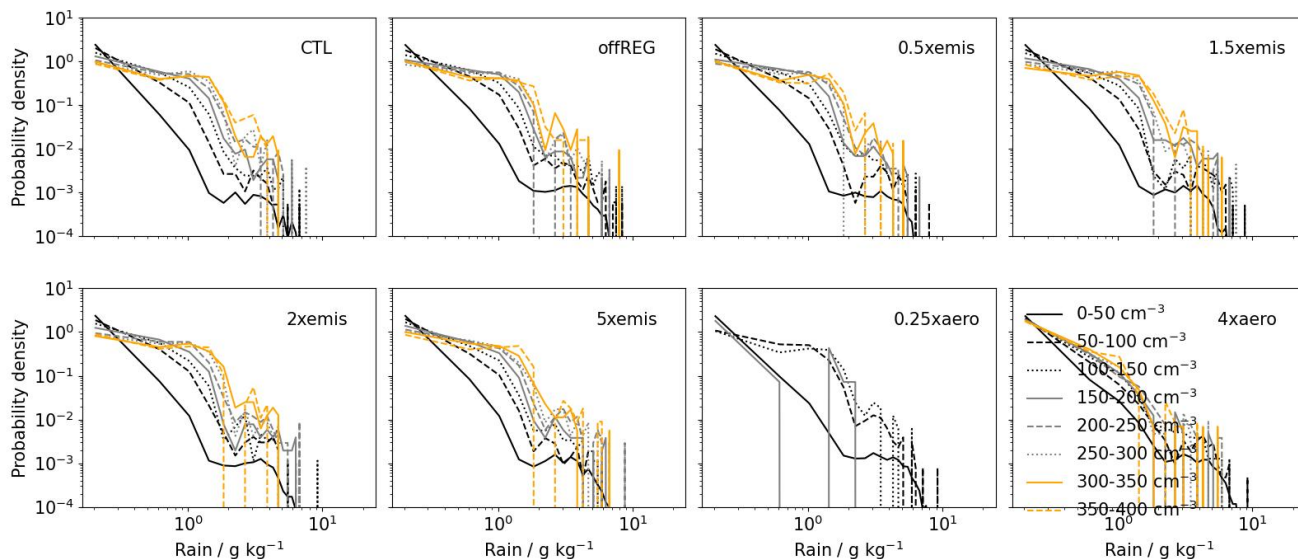
Figure 12. Histograms of surface rain mixing ratio in the high-sulfur regions in the CTL, offREG, 0.5×emis, 1.5×emis, 2×emis, 5×emis, 0.25×aero and 4×aero simulations. Rain results are separated into several column-mean cloud droplet number concentration bins for each simulation (0-50 cm^{-3} in black solid, 50-100 cm^{-3} in black dashed, 100-150 cm^{-3} in black dotted, 150-200 cm^{-3} in grey solid, 200-250 cm^{-3} in grey dashed, 250-300 cm^{-3} in grey dotted, 300-350 cm^{-3} in yellow solid, and 350-400 cm^{-3} in yellow dashed). The results are from the 3-hourly instantaneous model output. The area under each line equals to 1.

Surface rain mass mixing ratios in each simulation are decomposed into several column-mean cloud droplet number concentration bins in Fig. 12 to understand the relationship between cloud droplet concentrations and rain. The probability of ~~a~~ the
535 surface rain mass mixing ratio smaller than 0.4 g kg^{-1} decreases as cloud droplet concentration increases, while the probability of the rain between 0.4 and 3 g kg^{-1} tends to be larger as cloud droplet concentrations increase. It implies that with relatively light to moderate rain (< 0.4 g kg^{-1}) a higher droplet number concentration suppresses rain, while a high cloud droplet number concentration is necessary to generate or sustain a heavier rain (0.4-3 g kg^{-1}). Such effects are less significant in the 4×aero simulation compared to the others. The probability of rain becomes similar in different cloud droplet number concentration
540 bins, i.e. rain is suppressed because of too many droplets formed from aerosols.

To improve the statistical significance of any changes, two 1-month CTL and offREG simulations were run from 11 March to 10 April 2014 (Fig. A9). The results are similar to the six 1-week simulations in that N_d, ice and liquid cloud mass mixing ratio and rain mass mixing ratio are not significantly different between the CTL 1-month and offREG 1-month simulations. For

example, the differences in N_d between the CTL 1-month and offREG 1-month simulations are 10 cm^{-3} (10 % of the CTL 1-month simulation) when averaged over time, height below 10 km altitude, and cloudy area of the high-sulfur regions. The mean difference for N_i above 10 km altitude is -0.2 cm^{-3} (-31 %) and for the total cloud mass mixing ratio at all altitudes the difference is -0.03 g kg^{-1} (-7.2 %). Rain mass mixing ratio differences are 0.002 g kg^{-1} (16 %) below 10 km altitude in the high-sulfur regions. The histograms of surface rain mass mixing ratio in the polluted regions in the two simulations show that rain mass only differs from other simulations when rain is greater than around 3 g kg^{-1} (Fig. A8) with higher frequencies of greater rain mixing ratios in the month long simulations. This is likely because the longer sampling time allows the occurrence of more extreme rain rates.

4 Discussion and conclusions

We investigated the influences of anthropogenic emissions on aerosol particles, clouds and rain in central Amazonia using a regional model nested within a global atmosphere-only model, and we scaled the anthropogenic emissions in the regional domain relative to a control simulation. The baseline simulation (CTL) compared well with the observations for particles smaller than 10 nm in diameter in the areas where G-1 aircraft flew (mostly below 2 km) and the model captures the variability across the plume transects for these aerosol particles. However, the model sometimes does not reproduce the magnitude and temporal variability for particles greater than 100 nm. Possible reasons are listed below:

(1) It may be related to the absence of some primary sources such as natural pollen, or additional anthropogenic emissions from the Manaus region.

(2) Upper tropospheric nucleation (including NPF from the organic compounds formed by isoprene with NO$_x$ (Kuhn et al., 2010; Bardakov)), along with subsequent downward transport, has been shown to be important for determining low-level particle concentrations (Clarke et al., 1998, 1999a; Clarke and Kapustin, 2002; Merikanto et al., 2009; Wang et al., 2016; Williamson et al., 2019; Curtius et al.,), and it is important for Amazonia during the dry season (Andreae et al., 2018), but we expect the absence of isoprene-NO$_x$ mechanism to be within the uncertainty of current NPF mechanism. However, in our test simulations, switching on upper tropospheric nucleation caused an overestimation of particle concentrations compared to the observations which are available mostly below 2 km altitude and occasionally at 2-6 km altitude. We therefore disabled nucleation above 1 km to achieve consistency between the model and observations in March 2014.

Switching off anthropogenic emissions in the regional domain (CTL to offREG simulation) caused reductions of aerosol number concentrations along the flight tracks by around -70 % to -90 % of the $N_{D>3nm}$, -50 % to -70 % for $N_{D>10nm}$, and reductions of up to -20 % for $N_{D>100nm}$ particles along the flight tracks. The aerosol reductions resulted from decreases in both primary and nucleated particles, with the latter being the dominant factor. The overall positive correlation between particle number concentrations and anthropogenic emissions in Amazonia was also found in Shrivastava et al. (2019) and Zhao et al. (2021). Primary aerosol had a very small

contribution to the smallest particles ($N_{D>3nm}$) and it contributed to around 10 % and less than 20 % of the $N_{D>10nm}$ and $N_{D>100nm}$ particles, respectively. Overall the primary aerosol contributed to around 0.5 % of the height-mean total particle concentrations in the CTL simulation below 4 km altitude in the high-sulfur regions in the regional domain (Fig. 4). In this study, both the pure biogenic nucleation mechanism from monoterpenes and the nucleation mechanism that uses H_2SO_4-Org to create new particles were used. The simulations showed that after suppressing upper tropospheric nucleation, the H_2SO_4-Org nucleation rate was much more sensitive to changes in anthropogenic emissions (primarily SO_2) than pure biogenic nucleation in the lowest 4 km altitude. The H_2SO_4-Org nucleation mechanism in our study was therefore the more important factor in controlling the particle concentration variations along the flight tracks, though the contribution would be smaller if upper tropospheric nucleation was included.

To quantify the effects of anthropogenic emissions on aerosol, cloud and rain, we focused on the regions that are strongly affected by anthropogenic emissions in the regional domain (termed high-sulfur regions) defined by an instantaneous column-integrated gas-phase sulfur content (from H_2SO_4 and SO_2) below 2 km altitude that exceeds 6×10^{-5} g m^{-2} in the CTL simulation. We then compared the changes in aerosol, cloud and rain properties among the simulations in the high-sulfur regions. The high-sulfur regions are dependent on the time and intensity of emissions as well as the wind fields.

For each unit increase in anthropogenic emissions in the regional domain (e.g. from CTL to 2×emis), the equivalent total aerosol number concentrations in the high-sulfur region increased by approximately 13 % averaged over time. The positive relationship between aerosol and anthropogenic emissions has also been found from some observational studies in which days of clean and polluted air in Amazonia were compared (Martin et al., 2016, 2017) and has also been found in the modelling studies (Shrivastava et al., 2019; Zhao et al., 2021).

In the high-sulfur regions, we then analysed the relationship between particle concentration, nucleation rate, condensation sink and sulfuric acid with the column integrated gas-phase sulfur content in the lowest 2 km altitude. Similar to the domain- and time-mean profiles, anthropogenic emissions enhance the H_2SO_4-Org nucleation rates and particle concentrations for all size ranges, but they do not increase monotonically with the increasing column integrated gas-phase sulfur content. The nucleation mode aerosol, Aitken mode aerosol, H_2SO_4-Org nucleation rate and sulfuric acid concentration reach a plateau and subsequently have a reduction of around a factor of 4 at the gas-phase sulfur content ranging between 4×10^{-3} and 1×10^{-2} g m^{-2}. The reduction in nucleation rates between 4×10^{-3} and 1×10^{-2} g m^{-2} is related to the increasing primary aerosol emission as it gradually becomes closer to the source of the pollution, but not where gas-phase sulfur content is very high. The extra primary aerosols can act as a sink for sulfuric acid and subsequently suppress nucleation and accelerate coagulation, resulting in lower nucleation and Aitken mode aerosol concentrations. Zhao et al. (2021) also showed that nucleation was suppressed near the pollution source

610 in Manaus. ~~The suppression on condensable gases, nucleation and tiny aerosols is most evident near the source of the pollution. The~~ In our model, all the variables have significant decrease when gas-phase sulfur content is greater than 1×10^{-2} g m^{-2} in Fig. 5 and 6. The model grids with gas-phase sulfur content greater than 1×10^{-2} g m^{-2} contain mainly SO_2 and very few particles or little H_2SO_4, causing significant reductions in nucleation rates and condensation sink. Figure A11 shows the ratio of Aitken mode to insoluble Aitken mode aerosol concentrations as a function of distance from Manaus (the pollution source)

615 at around 550 m altitude for the 6 experiments with scaled emission loadings. The ratios are low within approximately 20 km downwind of Manaus, while the ratio increases significantly at distances of 100-200 km from Manaus. It suggests suppressed NPF in very high sulfur regions and enhanced NPF in moderate sulfur regions, which is consistent to results showed in Fig. 5 and 6. The biogenic nucleation rate exhibits a slight decreasing trend with gas-phase sulfur content and level of anthropogenic emissions due to the corresponding increase in condensation sink. Additionally, the lack of upper tropospheric nucleation

620 ~~prohibits~~ prevents the majority of biogenic nucleation, making it a less significant factor in influencing particle concentrations in Amazonia under this model setup (Merikanto et al., 2009; Kirkby et al., 2016; Gordon et al., 2016; Wang et al., 2023). However, it is important to take the upper tropospheric biogenic nucleation into account in future studies to better understand the sources of aerosol particles in Amazonia.

We also investigated the influences of anthropogenic emissions on clouds. In the lowest 4 km altitude, the cloudy N_d increased

625 by 9 % for each unit increase of anthropogenic emissions. Higher anthropogenic emissions resulted in more cloud droplets because greater aerosol concentrations can produce more CCN which subsequently enhance cloud droplet concentrations ~~(Zhao et al., 2006; Kawamoto and Suzuki, 2012; Polonik et al., 2020; Pöhlker et al., 2021)~~(Cao et al., 2023). Reducing the aerosol concentration caused a reduction of N_d by a factor of 2 in the 0.25×aero simulation ~~which reduced the aerosol concentration by a factor of 4 compared with~~ compared to the CTL simulation~~. Similarly, ,~~ while increasing the aerosol concentration by

630 a factor of 4 results in more than doubled N_d. For ice particle number concentrations (N_i), we ~~only~~ found reductions in the 0.25×aero simulation and increase in the 4×aero simulation which were caused by changes in N_d, ~~and allowed different number droplets to freeze,~~ while the rest of the simulations had similar N_i because ~~they had~~ of similar N_d. ~~The relationship between cloud droplets and ice may have been weakened because~~ Although our model does not have aerosol-aware heterogeneous ice nucleation~~was not interactively correlated with aerosol concentrations in the model. However, N_d is likely to~~,

635 aerosol number concentration may still influence ice indirectly through cloud droplet number concentration which can affect the number concentration of ice crystals ~~through the homogeneous freezingof droplets~~formed via homogeneous freezing. The correlation between N_i and N_d in the 0.25×aero and 4×aero simulations is consistent with previous studies that have shown that ice concentrations are affected by cloud droplet concentrations (Fan et al., 2013; Herbert et al., 2015; Grabowski and Morrison, 2020).

640 Our simulations explored how changes in aerosol affected cloud and rain water mass mixing ratios. The responses of total cloud water and rain mass mixing ratios were not statistically different among the various perturbation simulations. This absence of significant effects from aerosol may be explained by the multiple complex processes of aerosol-deep convection interactions that can buffer the effects of aerosol concentration perturbations. Connolly et al. (2013) stated that aerosols affected deep

convective clouds in a non-linear way which caused complex changes of cloud and rain. Similar non-linear relationships have been addressed by Ekman et al. (2007) and van den Heever and Cotton (2007). ~~The lack of substantial effects may also be associated with missing or over-simplified process representation in our~~ There is a possibility that using a more complex cloud microphysics scheme ~~, for example~~ may contribute to different responses of cloud and rain. For example, the lack of prognostic supersaturation ~~(Fan et al., 2018). Additionally, Furtado and Field (2022) constructed an universal distribution for the rainfall fluctuations that is unlikely to be affected by aerosol perturbations when the rainfall is segregated by N_d~~ in this work may break the continuity of the evolution of the clouds and consequently the results may be different compared to ~~with~~ prognostic supersaturation (Fan and Khain, 2021). The 3 km resolution does not resolve all convection in the model and the transport of heat and moisture may be limited at smaller scales. The current temperature-dependent ice formation scheme, which is not aware of aerosol particles, will limit the model's ability to simulate cold rain. Additionally, Furtado and Field (2022) showed a surface rainfall frequency probability function based on the Met Office Unified Model and this distribution was not altered by aerosol or cloud droplet number concentrations. Their results imply that ~~the effects of aerosol may influence the rainfall distribution but aerosols will not significantly change the distribution~~ even if aerosol may affect rainfall amount in individual model grids, rainfall distribution is an invariant property of their model.

In contrast, reducing and increasing the concentrations of aerosol by a factor of 4 in the activation process (0.25×aero and 4×aero simulations) produced changes in N_d and N_i (relative to the baseline CTL simulation) that were at least a factor of 2 greater than in the other ~~emission scenarios~~ simulations with scaled anthropogenic emissions. The mean rain rate in 0.25×aero was then increased by around 4 % relative to the CTL simulation in the high-sulfur regions, but the histograms of rain rate did not show significant differences between the CTL and 0.25×aero simulations. The much greater response of rain in the 0.25×aero simulation implies that the perturbations to aerosol and N_d were not large enough in the six ~~anthropogenic emission scenarios~~ simulations with scaled anthropogenic emissions to have triggered significant changes. ~~However, the~~ The 4×aero simulation ~~also shows insignificant changes in rain when there are many more background aerosols~~, even though it has significant increases in N_d compared to the CTL simulation, shows insignificant changes in rain and the rain is suppressed ~~for~~ in all the cloud droplet number concentration bins (Fig. 12). This pattern shows the non-linearity of rain as cloud droplet concentration increases: rain has already been suppressed as much as it can be at the aerosol concentrations of the offREG simulation, which may explain the lack of change in rain at higher aerosol concentrations.

Analyses in regions with the column integrated gas-phase sulfur content lower than 6×10^{-5} g m^{-2} are not included in this study. These regions generally exhibit minimal sensitivity to the perturbations in anthropogenic emissions (see Fig. A10) because all regions that are potentially affected by anthropogenic emissions are already included in the high-sulfur regions. As the high-sulfur regions vary with time, the remaining areas with gas-phase sulfur content lower than the threshold are usually not affected by the anthropogenic plume and therefore are not the focus of the analysis.

Review studies (Rosenfeld et al., 2008; Tao et al., 2012; Fan et al., 2016) have highlighted the potentially complex relationships among aerosols, clouds, and precipitation, and similar messages have been conveyed by some modelling studies although ~~the~~

their focus was not on the environment in Amazonia (Seifert et al., 2012; Fan et al., 2016; Alizadeh-Choobari, 2018; Barthlott et al., 2022; Furtado and Field, 2022). For example, Alizadeh-Choobari (2018) investigated mid-latitude cloud systems and pointed out that aerosols could cause a redistribution of rain and that the response of rain to aerosol loadings depended on rain intensity. Barthlott et al. (2022) used the ICON model and found that the microphysical effects of higher CCN caused narrower cloud droplet distributions and reduced rain rates over Germany. However, using the COSMO weather forecast model, Seifert et al. (2012) found that aerosols had a negligible effect on surface precipitation over Germany. Evaporation was shown to be enhanced with more aerosols because of the formation of more smaller sized cloud droplets which may subsequently release aerosols to the atmosphere (Leung et al., 2023). ~~However, shallow~~ Shallow cumulus clouds were found to be more sensitive to such enhanced evaporation than large congestus clouds because large congestus clouds are more likely to go through warm-phase invigoration rather than enhanced evaporation (Leung et al., 2023).

Overall, the relationships between ~~anthropgoenic~~ anthropogenic emissions, aerosols, clouds and rain are complex ~~and no clear correlations were found for~~, and the perturbations of anthropogenic emissions do not show systematic changes in cloud liquid water, cloud ice water or rain ~~to any changes in anthropogenic emissions~~. The insensitivity is potentially ~~because~~ due to the environment (even when ~~switching~~ we switched off all anthropogenic ~~emission) already has~~ emissions) already having a lot of background aerosols ~~even without anthropogenic emissions~~ for cloud activation in the regional domain ~~and the small perturbations of~~. Under this condition, the subsequent changes in N_d ~~as a result of small changes in aerosols~~ are small and eventually result in insignificant changes in other cloud properties. However, we found distinct responses of clouds when the number of aerosols was directly reduced by a factor of 4 with a subsequent reduction in N_d by a factor of 2. This indicates that local anthropogenic emissions do not exert a strong control over CCN and cloud droplet concentrations within convective clouds over the scale of the regional domain, although it is possible that the anthropogenic emissions might have more impact further downwind since this would allow more time for growth of nucleated aerosols to CCN sizes (Wang et al., 2023).

The limitations of this study lie in the ~~missing~~ absence of upper tropospheric NPF mechanism, simplified warm cloud microphysics, and missing ~~aerosol interactive~~ aerosol-aware heterogeneous ice nucleation microphysics. We manually prevent NPF outside of the layer between 100 m and 1 km in altitude so that the regional model has a better representation of the observed aerosol particle concentrations. The compromise ~~inhibits the descent~~ reduces the contribution of newly formed aerosols from the upper troposphere and their possible interactions with deep convection in the free and upper troposphere (Ekman et al., 2004; Yin et al., 2005; Fan et al., 2018). Not including isoprene and nitrates in NPF may introduce some inconsistencies between the simulations and the real world. Additionally, including extra primary aerosols (such as pollen, bacteria and spores), as well as sulfate compounds in the flooded areas that may lead to secondary sulfate production, could improve the representation of $N_{D>100nm}$ in the Amazon. However, these primary biological aerosol particles may have limited impact on cloud droplet number concentration due to their low concentrations in this region and their role in activating aerosols to form cloud droplets (Heald and Spracklen, 2009; Pöschl et al., 2010). Andreae et al. (1990) has shown that although the forest has a large sulfur gas emission, the concentrations of aerosol are more mainly associated with marine and anthropogenic sulfate aerosol. Other relevant studies have not quantified the contribution of sulfur from floodplain to secondary aerosol

formation (Brinkmann and Santos, 1974; Andreae and Andreae, 1988; Jardine et al., 2015). The simplified warm cloud microphysics and lack of aerosol-dependent heterogeneous ice nucleation might prevent liquid and ice water content changes in response to aerosol concentration changes. Thus, this study may not fully represent

The study provides insights into the response of cloud aerosols, cloud properties, and precipitation to changes in aerosol.
anthropogenic emissions in a small region, but it is limited by some simplified or not included processes in the model. Nevertheless, we do not expect these limitations to significantly affect our conclusions. We recommend future studies to investigate how the background aerosol particles affect the aerosol-cloud interaction in this region by removing anthropogenic emissions globally. It is also recommended that future studies focus on the response of a single cloud to anthropogenic emissions using a higher resolution (e.g. large-eddy simulation) in order to better understand the physical processes of the affected cloud, in a similar way to the study of Miltenberger et al. (2018b) which developed an ensemble to evaluate the response of cloud properties. Nevertheless, our Additionally, having a thorough investigations of the influences of cloud microphysical processes (e.g. ice formation, autoversion and accretion) on cloud and rain properties will improve our understanding of the complex environment. Parameterising isoprene nitrates nucleation based on the most recent results is recommended for future studies in this region. In conclusion, our study provides a more detailed understanding from a modeling perspective of the effects of anthropogenic emissions and NPF to CCN and cloud droplet concentrations in the Amazonia wet season.

Data availability. The observations have been obtained from GoAmazon2014/5 campaign and can be accessed through this url https://www.arm.gov/resea
Model codes are available at Met Office Science Repository Service https://code.metoffice.gov.uk/trac/home (contact scientific_partnerships@metoffice.go
to access the codes). Raw model data are available through JASMIN service (http://www.jasmin.ac.uk/, last access: 9 January 2025). We have
uploaded a subset of simulation data that were used to produce the figures to Zenodo (doi:10.5281/zenodo.7213371).

730 Appendix A

A1
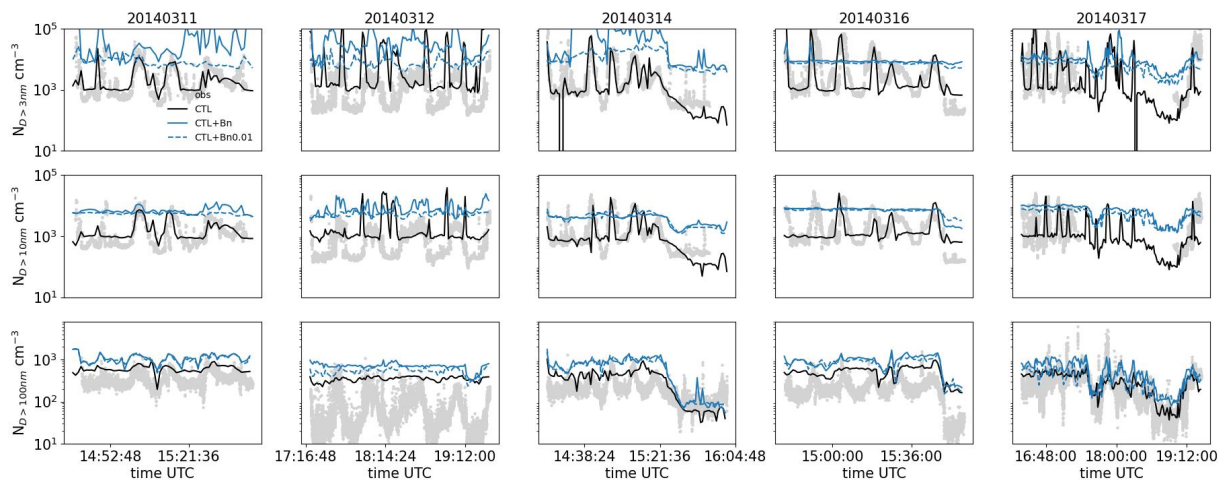
Figure A1. Time series of observed (grey dots) and modelled (CTL~~and~~, CTL+Bn and CTL+Bn0.01; solid lines) particles number concentrations with diameters greater than 3 nm (upper row), 10 nm (middle row) and 100 nm (lower row) on 11, 12, 14, 16 and 17 March 2014. The observations were measured onboard the G-1 aircraft during GoAmazon2014/5 campaign and model data are interpolated according to the G-1 flight tracks. Black solid lines are for the CTL simulation, ~~and~~ blue solid lines are for the CTL+Bn simulation where binary nucleation (H_2SO_4-H_2O) is switched on above 100 m altitude~~.~~, and blue dashed lines are for the CTL+Bn0.01 simulation which is based on the CTL+Bn simulation, but the binary nucleation rate is reduced by a factor of 100.
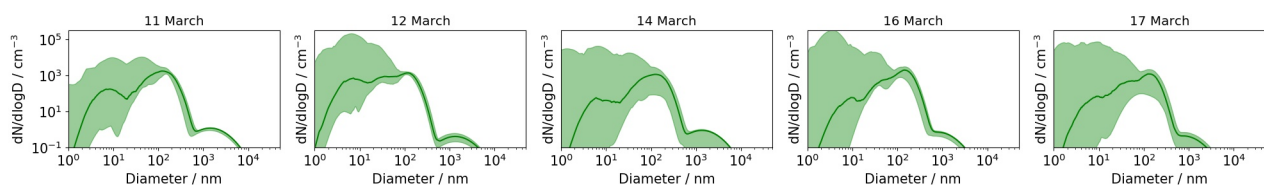
Figure A2. The medians of particles size distributions on 11, 12, 14, 16 and 17 March 2014 in the CTL simulation. The model data have been interpolated according to the time, coordinates and altitudes of the G-1 flight tracks. Shades represent the 97.5 % and 2.5 % of the distributions at all the interpolated time.
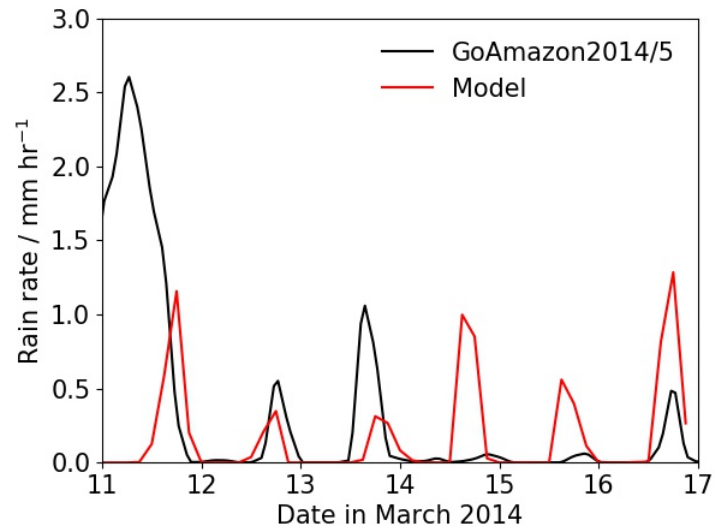
Figure A3. Precipitation rate observed by S-band Amazon Protection National System radar at 3.2°S, 60.6°W during GoAmazon2014/5 from 11 to 17 March 2014 (black) and precipitation rate from the model in CTL simulation (red) averaged over the radar domain (approximately 2° by 2°W domain that centres at 3.2°S, 60.6°W).
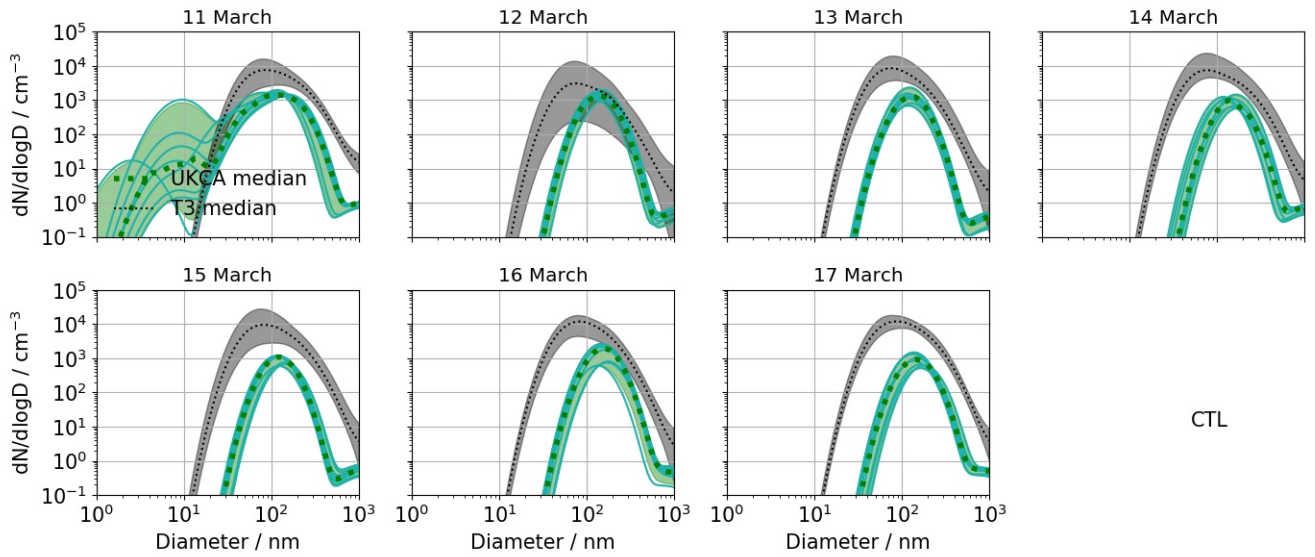
Figure A4. Aerosol size distributions from 11 to 17 March in the CTL simulation (light green lines and green dotted lines) and measured at T3 research tower (black dotted lines; 3.2°S, 60.6°W) for the aerosols with diameters between 55 nm and 1000 nm. The light green lines indicate individual 3-hourly instantaneous model output for each day and the green dotted lines are the medians of the instantaneous result for each size bin. The observations have time resolution of 10 seconds and the black dotted lines are the medians of the observations for each size bin. The shaded grey and green area are the 97.5 % and 2.5 % percentiles for the observations and the CTL simulation.
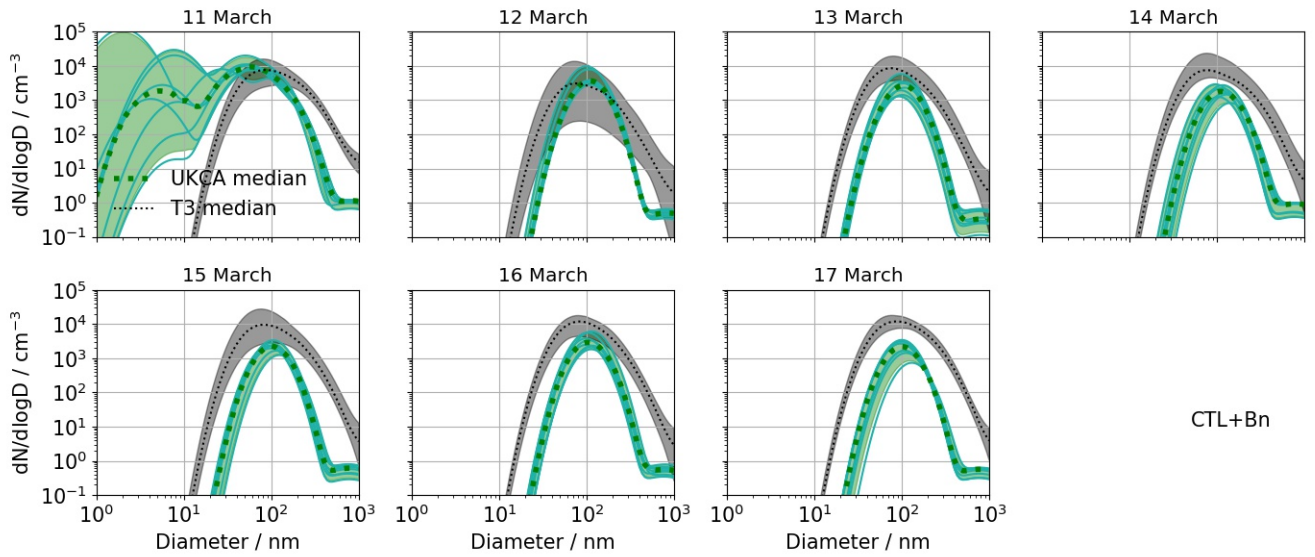
Figure A5. Aerosol size distributions from 11 to 17 March in the CTL+Bn simulation (light green lines and green dotted lines) and measured at T3 research tower (black dotted lines; 3.2°S, 60.6°W) for the aerosols with diameters between 55 nm and 1000 nm. The light green lines indicate individual 3-hourly instantaneous model output for each day and the green dotted lines are the medians of the instantaneous result for each size bin. The observations have time resolution of 10 seconds and the black dotted lines are the medians of the observations for each size bin. The shaded grey and green area are the 97.5 % and 2.5 % percentiles for the observations and the CTL+Bn simulation.
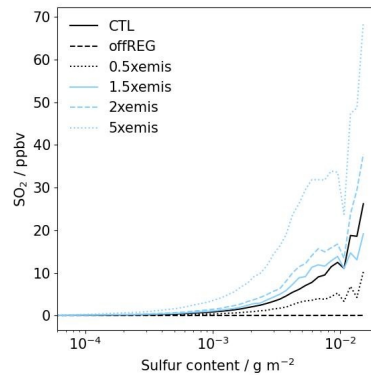
Figure A6. Correlations of SO_2 concentration in the lowest 2 km altitude with column integrated gas-phase sulfur content. The mean rates and concentrations are presented for 100 gas-phase sulfur content bins. Results are shown for the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted) simulations. The results are from the 3-hourly instantaneous model output.
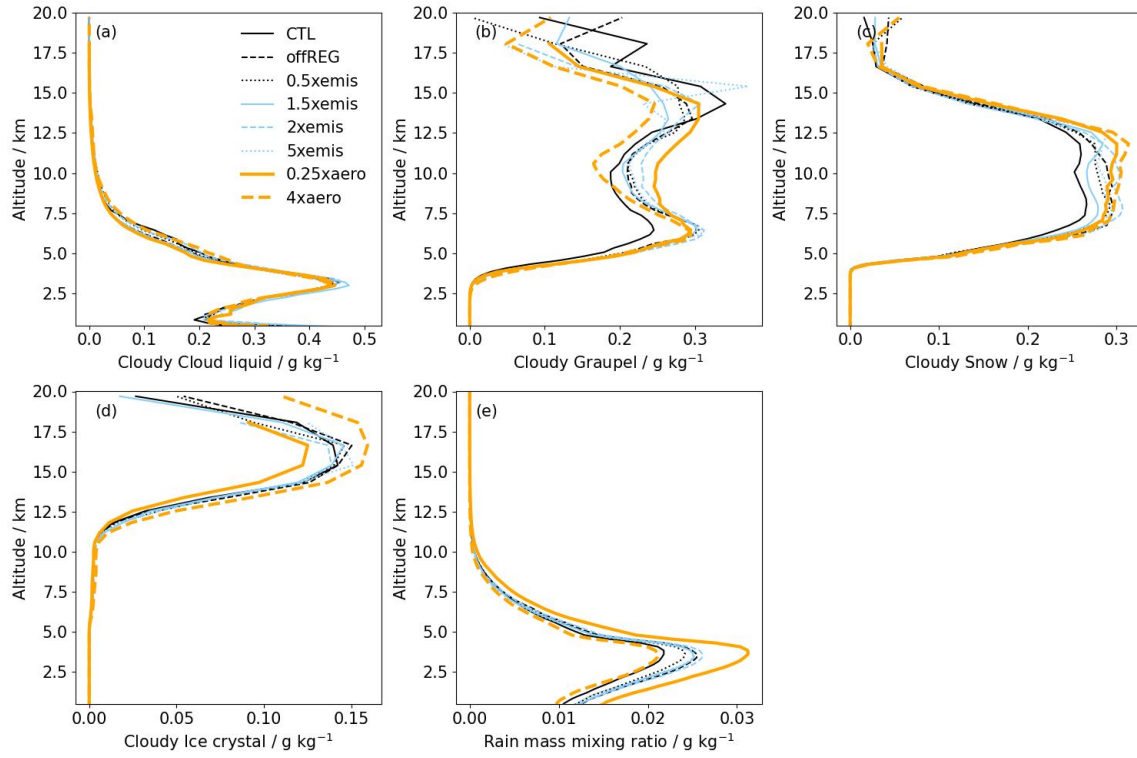
Figure A7. Profiles of (a) cloud liquid, (b) graupel, (c) snow and (d) ice crystal mass mixing ratio, averaged over time and over the cloudy area of the high-sulfur region in the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted), 0.25×aero (thick orange solid) and 4×aero (thick orange dashed) simulations. Profiles of (e) rain mass mixing ratio are averaged over time and the area of the high-sulfur region for the eight simulations.
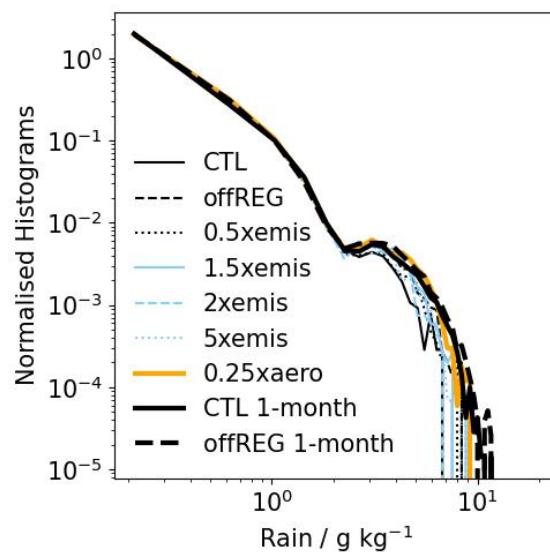
Figure A8. The histograms of surface rain mass mixing ratios in high-sulfur regions in the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), 5×emis (light blue dotted), 0.25×aero (thick orange solid) and 4×aero (thick orange dashed) simulations. The figure also includes the CTL (thick black solid) and offREG (thick black dashed) simulations that have been run for 1 month. The area under each line equals to 1.
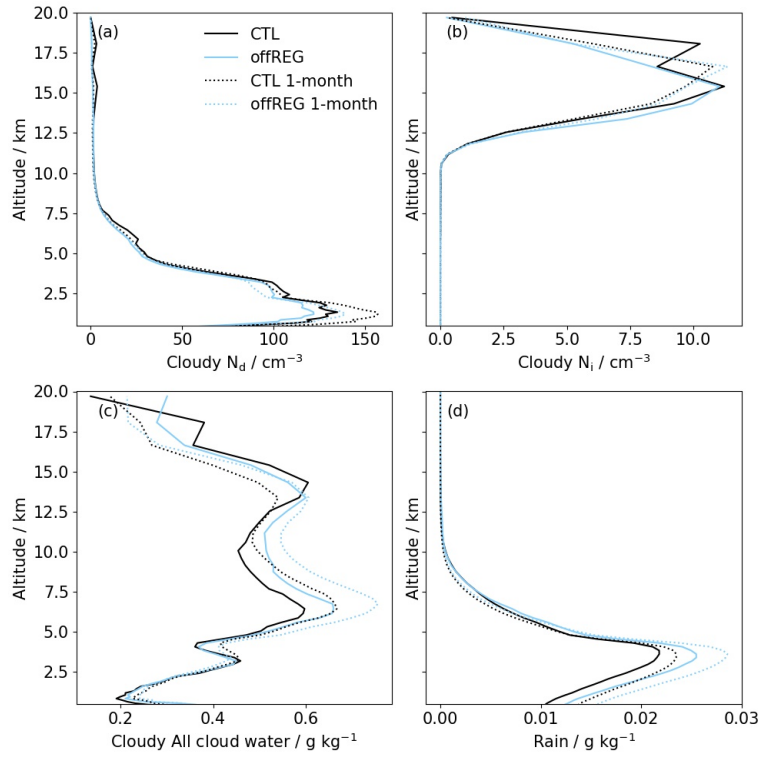
Figure A9. Profiles of (a) N_d, (b) N_i and (c) total cloud water mass mixing ratio, averaged over time and over the cloudy area of the high-sulfur region in the CTL (solid black) and offREG (solid light blue) simulations that are run for 7 days (solid), and two ~~extra~~ additional simulations that are run for 1 month, CTL 1-month (dotted black), and offREG 1-month (dotted light blue). Profiles of rain mass mixing ratio (d) are averaged over time and the area of the high-sulfur region for the four simulations.
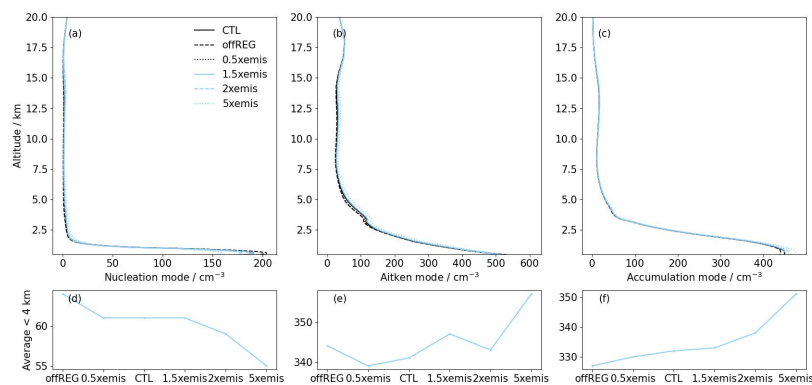
Figure A10. Profiles of (a) nucleation, (b) Aitken and (c) accumulation mode aerosol number concentrations, averaged over time and the area where the gas-phase sulfur content is smaller than 6×10^{-5} g m^{-2} (upper panel). Results are shown for the CTL (black solid), offREG (black dashed), 0.5×emis (black dotted), 1.5×emis (light blue solid), 2×emis (light blue dashed), and 5×emis (light blue dotted) simulations. The results are from the 3-hourly instantaneous model output. The lower panel is the nucleation mode (d), Aitken mode (e), and (f) accumulation mode aerosol concentration averaged over the lowest 4 km altitude of the profiles in the upper panel for the six simulations.
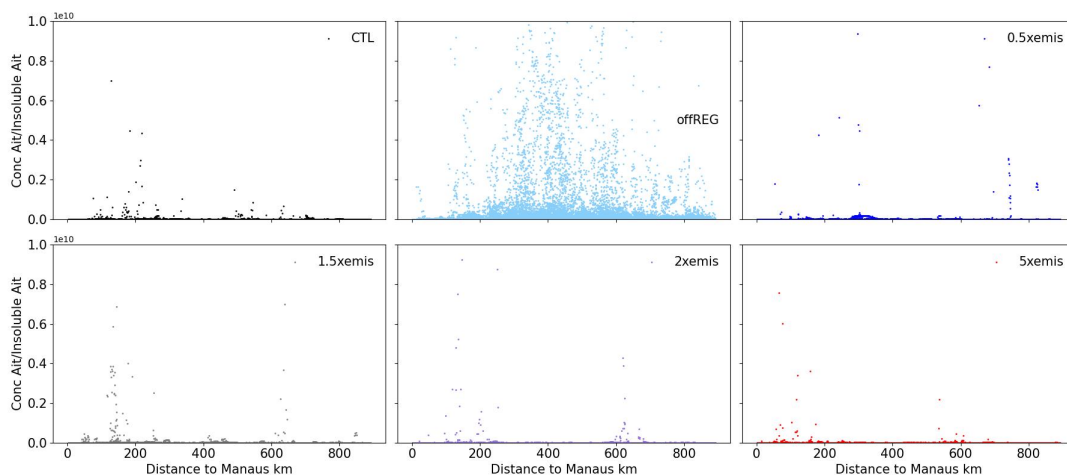
Figure A11. The ratios of Aitken mode to insoluble Aitken mode aerosol number oncentrations against distance from Manaus in CTL, offREG, 0.5×emis, 1.5×emis, 2×emis, and 5×emis simulations.

Author contributions. XW, KSC, DPG and HG designed and led this research. The regional configuration of UM-UKCA was provided by HG and DPG. HG provided the codes for the inorganic-organic nucleation mechanism and helped produce the model-observation comparison (Fig. 2). XW ran the model simulations, analysed the model results, and wrote the paper with insights, comments, and edits from KSC, HG and DPG.

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