

# Uncertainty Assessment in Deep Learning-based Plant Trait Retrievals from Hyperspectral data

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**Abstract.** Large-scale mapping of plant biophysical and biochemical traits is essential for ecological and environmental applications. Given their finer spectral resolution and unprecedented data availability, hyperspectral data, in concert with machine and particularly deep learning models, have emerged as a promising, non-destructive tool for accurately retrieving these traits. However, when deploying these methods on a large scale, reliably quantifying the associated uncertainty remains a critical challenge, especially when models encounter out-of-domain (OOD) data, i.e., samples that differ substantially from those of the training data, such as unseen geographical regions, species, biomes, data acquisition modalities, or scene components (e.g., clouds and water bodies). Traditional uncertainty quantification methods for deep learning models, including deep ensembles (deterministic and probabilistic) and Monte Carlo dropout, rely on the variance of predictions but often fail to capture uncertainty

in OOD scenarios, leading to overly optimistic and possibly misleading uncertainty estimates. To address this limitation, we propose a distance-based uncertainty estimation method (Dis\_UN) that quantifies prediction uncertainty by measuring the dissimilarity in the predictor space (spectral inputs) and embedding space (features learned by the deep model) between the training and test data. Dis\_UN leverages residuals as a proxy for uncertainty and employs dissimilarity indices in data manifolds to estimate worst-case errors via 95-quantile regression. We evaluate Dis\_UN using a pretrained deep learning model to predict multiple plant traits from hyperspectral images, analyzing its performance across OOD data, such as pixels containing spectral variations from urban surfaces, bare ground, water, clouds, or open surface waters. In this study, we target six leaf and canopy traits: leaf mass per area, chlorophylls, carotenoids, nitrogen content, equivalent water thickness, and leaf area index. Compared to scaled

variance-based methods, Dis\_UN provides (1) a superior estimation of uncertainty in OOD scenarios, achieving 36 % higher contrast (KS distances: 0.648 vs. 0.475) between non-vegetation pixels, particularly under mixed-pixel conditions at medium resolution (30 m); (2) uncertainty quantification without requiring normality or symmetry assumptions, accommodating asymmetric error patterns; (3) enhanced interpretability of uncertainty sources, as uncertainty is directly linked to sample dissimilarity from the training data; and (4) computational efficiency at inference (2.6–7.7× faster), requiring only a single forward pass compared to multiple passes for ensemble-based methods. Challenges remain for traits that are affected by spectral saturation. These findings highlight the advantages of distance-aware uncertainty quantification methods and underscore the necessity of diverse training datasets to minimize sampling biases and enhance model robustness. The proposed framework improves the reliability of uncertainty estimation in vegetation monitoring and offers a promising approach for broader applications.

## 1 Introduction

Plant functional traits, including structural, biochemical, physiological, and phenological properties, are key to understanding ecosystem structure, function, and resilience (Lavorel and Garnier, 2002; Reich, 2014; Funk et al., 2017). These traits regulate fundamental ecological processes, such as photosynthesis, nutrient cycling, stress response, and productivity (Serbin and Townsend, 2020). The large-scale mapping of plant traits, such as leaf chlorophyll, nitrogen, and water contents, is essential for a range of ecological and environmental applications. These include biodiversity monitoring, Earth system modeling, and vegetation health assessments (Briottet et al., 2022; Cavender-Bares et al., 2020; Houborg et al., 2015; Kissling et al., 2018; Sakschewski et al., 2015; Van Bodegom et al., 2014). However, traditional methods of measuring plant traits via field sampling are resource-intensive, spatially limited, and insufficient to capture global variability. In this respect, hyperspectral data from Earth observation (EO) satellites and airborne sensors have emerged as valuable sources for predicting functional plant traits (Cavender-Bares et al., 2017; Jetz et al., 2016). These sensors enable the measurement of reflectance across hundreds of narrow and contiguous wavelength bands that are sensitive to subtle biophysical, biochemical, and structural variations within plant canopies (Jacquemoud and Ustin, 2019). With the recent launch of hyperspectral satellite missions, such as Gaofen-5 (GF-5, Ge et al., 2022), Hyperspectral Imaging Satellite (HySIS, Garg et al., 2024), PRecursore IperSpettrale della Missione Applicativa (PRISMA, Cogliati et al., 2021), Environmental Mapping and Analysis Program (EnMAP, Chabrillat et al., 2024), and upcoming Surface Biology and Geology (SBG, Cawse-Nicholson

et al., 2021) and Copernicus Hyperspectral Imaging Mission for the Environment (CHIME, Nieke et al., 2023), the volume of hyperspectral data will provide unprecedented opportunities to map plant traits on a global scale, thus advancing ecosystem monitoring (Asner and Martin, 2016; Briottet et al., 2022; Hank et al., 2019).

Machine learning models, particularly deep learning, have been highly successful in predicting plant traits from hyperspectral data (Cherif et al., 2023; Pullanagari et al., 2021; Serbin et al., 2019; Singh et al., 2015; Wang et al., 2019, 2020). However, when these models are applied to unseen data, for example, from different geographical regions, biomes, with unknown scene components (e.g., clouds or shadows), or new sensors, it becomes crucial to assess the uncertainty of the predicted values. This is particularly important when the predictor space of the unseen data deviates from that of the training dataset, resulting in out-of-domain (OOD) observations. In addition, the relationship between predictors and the response variable may vary across different geographical regions or biomes due to spatial structures or non-stationarity. While some efforts have been made to quantify uncertainty in the context of hyperspectral plant trait retrieval (García-Soria et al., 2024; Singh et al., 2015; Wang et al., 2019), the results are often not comparable, as the definition and interpretability of the uncertainty estimates vary depending on the methods used. Uncertainty quantification is particularly prevalent in EO for vegetation monitoring, where training data are typically sparse and models are often applied to new, unseen regions; hence, data that are OOD (Kattenborn et al., 2022; Ploton et al., 2020; Meyer and Pebesma, 2021).

In addition to providing crucial information on the quality of OOD predictions, quantitative estimates of uncertainty are increasingly utilized in a range of downstream ecological and environmental applications and are often required by data assimilation schemes in order to appropriately weigh all available observations (Chernetskiy et al., 2017; Lewis et al., 2012; Mathieu and O’Neill, 2008). Furthermore, incorporating trait-level uncertainty into ecological models allows for realistic error propagation, thereby increasing the robustness of simulations related to vegetation dynamics, biodiversity assessments, and Earth system forecasts. For example, in the assessment of land surface phenology, recent studies have explored the propagation of plant trait prediction uncertainties to derived phenological metrics (Graf et al., 2023), enabling a more robust detection of changes in phenophases (e.g., due to the effects of climate change).

Uncertainty estimates are also valuable for identifying underrepresented conditions in the training set. By highlighting regions with high uncertainty, they can inform active learning strategies and guide targeted data acquisition campaigns, ultimately improving model generalization and data representativeness. The increasing importance of uncertainty estimates is reflected in the recent efforts of space agencies and data providers (Brown et al., 2021b; Gorroño et al., 2018,

2017; Goryl et al., 2023), and uncertainties are now a goal of the Analysis Ready Data (ARD) standards (CEOS, 2024<sup>TS2</sup>). In addition, recent reports from the European Commission (Camia et al., 2024) highlight uncertainty estimations as a specific quantitative requirement for various European Union land-related environmental and agricultural policies (Berger et al., 2025).

Uncertainty in model predictions arises from two primary sources: aleatoric uncertainty, which stems from inherent data variability and measurement noise, and epistemic uncertainty, which reflects the model’s lack of knowledge or representation related to the modeling choice (García-Soria et al., 2024; Lang et al., 2022; Martínez-Ferrer et al., 2022). While aleatoric uncertainty is irreducible as it originates from stochastic measurement errors, epistemic uncertainty can be mitigated by incorporating additional data, refining model complexity, or improving feature representation. Popular methods for estimating epistemic uncertainty, particularly for deep learning models, include bootstrapping (Efron and Tibshirani, 1993), Monte Carlo dropout (Gal and Ghahramani, 2016), and deep ensembles (Lakshminarayanan et al., 2017). These methods rely on variations in model predictions to estimate uncertainty. For example, with deep ensembles or bootstrapping, the uncertainty is estimated from the variance of the predictions obtained from multiple models trained with different subsets of the training data. As they are inherently based on model training data, the capacity of such approaches to estimate uncertainty in OOD data is limited. For example, multiple predictions of OOD data obtained from an ensemble approach might all be very biased, even if the variance is low, which would translate into an underestimation of the uncertainty (Gal, 2016). Instead of building on the variance in the predictions, uncertainty estimation for EO should focus on the dissimilarity between the training and new data. In other words, if an observation is very different from what the model has learned, it is likely to be very uncertain (Meyer and Pebesma, 2021; Linnenbrink et al., 2024). Therefore, there is a need for an uncertainty estimation approach that accounts for the dissimilarities between training and unseen data (Silvan-Cardenas and Wang, 2008; Khatami et al., 2017; Feilhauer et al., 2021).

Distance-based methods have emerged as promising solutions to address the challenges of uncertainty quantification, particularly in OOD scenarios. Earlier studies have applied similarity-based metrics in the context of classification (Silvan-Cardenas and Wang, 2008; Khatami et al., 2017; Feilhauer et al., 2021). These approaches remain tied to discrete, categorical problems, and shallow empirical models. More recently, distance-based methods have been extended to regression and spatial prediction tasks. For instance, Janet et al. (2019) proposed a low-cost uncertainty metric for predictions of chemical properties of unknown substances/materials based on the distance of new inputs from the training data in latent space, outperforming traditional uncertainty metrics, such as Monte Carlo dropout and ensembles, par-

ticularly for data points far from the training set. Meyer and Pebesma (2021) discussed the importance of defining an “area of applicability” for spatial models, emphasizing the use of dissimilarity metrics to assess model confidence when dealing with new data. Building on this, Papacharalampous et al. (2024) and Linnenbrink et al. (2024) illustrated the effectiveness of distance-based metrics in enhancing uncertainty quantification and improving the reliability of spatial predictions.

In the context of plant trait predictions from hyperspectral data, distance-based uncertainty metrics could offer similar advantages. Hence, this study aims to develop and evaluate a distance-based method for quantifying the uncertainty of deep learning models used for plant trait retrievals. Specifically, we propose a distance-based method, hereafter referred to as Dis\_UN, to evaluate a previously established multi-trait model when used for inference on OOD data (Cherif et al., 2023). Our approach uses residuals as proxies for total uncertainty and employs dissimilarity indices in data manifolds to predict uncertainty. By performing 95-quantile regression, we estimate the upper-bound limit of residuals. Specifically, with an established multi-trait model for trait predictions, we aim to:

- (a) evaluate the efficacy of this method in quantifying uncertainty for OOD vegetation samples at the local-scale for six leaf and canopy traits: leaf mass per area (LMA), chlorophylls (Chl), carotenoids (Car), nitrogen (N) content, equivalent water thickness (EWT), and leaf area index (LAI). To achieve this, we iterate over 50 datasets, each time training a multi-trait model that serves as the basis for generating and evaluating our distance-based uncertainty estimates.
- (b) demonstrate the method’s potential at the landscape-scale with hyperspectral scenes, investigating how the model performs when confronted with OOD observations, such as pixels containing spectral variation from urban surfaces, bare ground, water, clouds, or open surface waters.

## 2 Methods

### 2.1 Distance-aware Uncertainty Quantification (Dis\_UN)

We introduce a distance-based approach to estimate the absolute residuals of a deep learning model (referred to as uncertainty) based on the degree of dissimilarity between the training data and new, unseen samples (Fig. 1). We acknowledge that error is not exactly the same as uncertainty in the probabilistic sense but can be a significant contributing component (JCGM, 2008; Widlowski, 2015). However, for the purposes of this analysis, we adopt this definition as we approximate the upper bound of the residuals. To accomplish

this, we adopt the “dissimilarity index” (DI) originally presented by Meyer and Pebesma (2021). Our proposed methodology builds on the data splits of a cross-validation obtained from evaluating the deep learning model. Specifically, we distinguished between two subsets: the training sets, which included the data used to train the deep learning model, and the test sets, which comprised unseen data (ODD) used for evaluation (Fig. 1). These splits enable the systematic quantification of uncertainty by comparing unseen samples with training data through several manifolds. Dis\_UN was subsequently modeled using 95-quantile regression with the calculated DIs serving as predictors. We applied this method to hyperspectral imagery to evaluate the estimated uncertainties for the OOD data. To assess the performance of Dis\_UN at the local-scale on OOD vegetation data, we used a one hold-out set. For landscape-scale OOD data, we assess if the uncertainty is elevated on unseen or unrelated scene components compared to the uncertainty of vegetated areas, such as clouds, shadows, urban areas, or waterbodies. In this setting, we benchmark our method against two state-of-the-art approaches: Monte Carlo dropout (MCdrop\_UN) and deep ensemble methods.

### 2.1.1 The Multi-trait Model

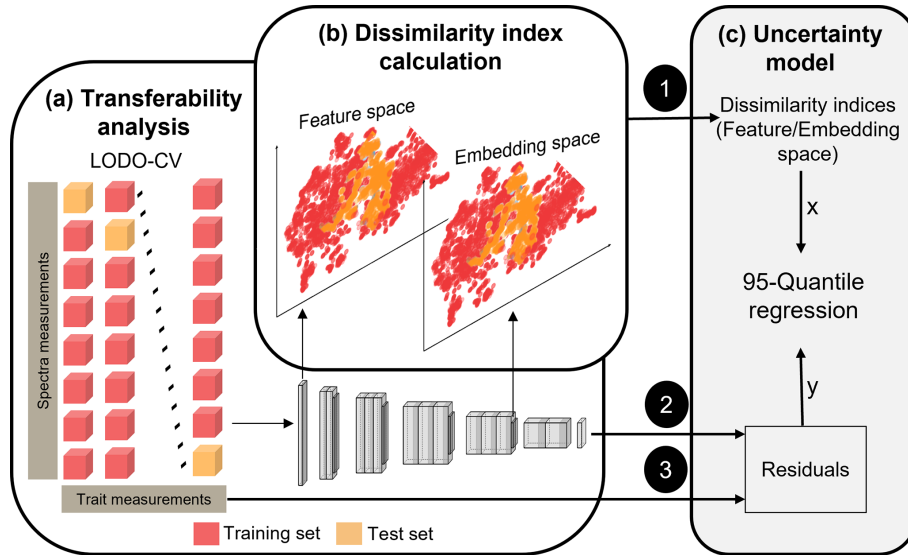
The deep learning model evaluated in our study was built upon a Convolutional Neural Network (CNN) originally proposed by Cherif et al. (2023). This model is based on the EfficientNet-B0 architecture with a customization that optimizes the model for one-dimensional spectral data, making it well-suited for predicting multiple plant traits from hyperspectral reflectance. The structure allows the model to capture both localized spectral features and broader spectral patterns, enabling it to learn the relationships between spectral signals and plant traits.

The dataset used for this model is a curation of multiple datasets, incorporating spectra and trait observations from diverse ecosystems, including forests, grasslands, shrublands, and agricultural regions (Tables S1 and S2 in the Supplement). Reflectance data spanning wavelengths from 400 to 2500 nm were collected using various hyperspectral sensors, including proximal field spectrometers and airborne imaging instruments. These datasets were gathered from both open-access repositories and privately shared contributions. In total, 50 datasets were integrated into this study (Herrmann et al., 2011; Pottier et al., 2014; Singh et al., 2015; Hank et al., 2015a, b, 2016<sup>TS3</sup>; Wang et al., 2016, 2020; Woher et al., 2018; Ewald et al., 2018, 2020; Cerasoli et al., 2018; Kattenborn et al., 2019; Van Cleemput et al., 2019; Brown et al., 2024, 2021a; Chlus et al., 2020; Burnett et al., 2020; Dao et al., 2021; Serbin et al., 2016, 2017, 2018, 2019a, b<sup>TS4</sup>; Brodrick et al., 2023; Chadwick et al., 2023; Zheng et al., 2023; Gravel et al., 2024;<sup>TS5</sup> Table S1). This curation resulted in a sparse dataset with limited trait observations and an imbalanced number of samples across the original datasets (Ta-

ble S3 in the Supplement). In line with Cherif et al. (2023) (Sect. S1 in the Supplement), all datasets were resampled to a common 1 nm spectral step across the 400–2500 nm range to harmonize diverse measurements. We chose to up-sample rather than down-sample as most datasets were originally acquired at a 1 nm spectral sampling interval, thereby minimizing data manipulation. To address known challenges associated with atmospheric water absorption in open-sky canopy reflectance spectra, we excluded the water absorption regions (1251–1529, 1801–2050, and 2451–2501 nm). The remaining three spectral segments were independently smoothed using a Savitzky-Golay filter (Savitzky and Golay, 1964) with a 65 nm window size. As no sensor-specific noise information was available, the same preprocessing procedure was consistently applied across all datasets to ensure comparability within the curated collection. A total of 1522 interpolated spectral bands were retained for analysis. The corresponding trait observations encompassed both biochemical (such as N and pigment contents) and structural traits (e.g., LMA and LAI) chosen to represent a diverse range of plant functions. For this analysis, we focused on six leaf and canopy traits: LMA, Chl, Car, N content, EWT, and LAI. This heterogeneous training set was intended to ensure broader ecological and environmental representativeness, thereby enhancing the model’s generalizability. Yet, the collected data do not provide a fully global representation due to the labor-intensive nature of data collection and the inherent bias in available data measurements (Table S3). To reduce the over-representation of large datasets during the training of the multi-trait CNN, we (i) performed random upsampling with replacement so that each source dataset contributed approximately equally per training epoch, and (ii) applied per-sample loss weights inversely proportional to the number of labeled samples in the corresponding source dataset, which down-weighted over-represented datasets and up-weighted under-represented ones (Cherif et al., 2023).

The feature space of the CNN model is constructed from the full spectral range of the reflectance data. This feature space allows the model to distinguish nuanced spectral differences, effectively mapping them to plant traits. Additionally, an embedding space is generated from the final convolutional layers of the model, producing a high-dimensional, condensed representation of the spectral data (Fig. S1 in the Supplement). This embedding effectively distills essential spectral patterns while enhancing the model’s ability to identify trait relationships.

The model was evaluated using a leave-one-dataset-out cross-validation (LODO-CV), ensuring that each dataset ( $n = 50$ ) was held out once as a test set. This cross-validation facilitated the assessment of the model performance across different vegetation types and spectral configurations (Figs. S2 in the Supplement). Using the LODO-CV and the obtained models’ residuals of the trait predictions, training samples were created to estimate the uncertainty in the multi-trait model. This dataset included (1) the feature



**Figure 1.** Overview of the distance-based uncertainty method (Dis\_UN) for assessing the uncertainty of a deep learning model, including: (a) Leave-one-dataset-out cross-validation (LODO-CV) on the deep learning model, (b) Training data generation for uncertainty estimation using the LODO-CV, and (c) uncertainty modeling. The uncertainty modeling incorporates the following inputs: dissimilarity indices between the training and the test samples in feature and embedding space of the multi-trait model, the trait predictions obtained from the deep learning models, and the true trait observations.

space, that is, the hyperspectral data, (2) the embedding space of the multi-trait model, (3) the trait predictions obtained from the deep learning models, and (4) the true trait observations (Fig. 1).

### 2.1.2 Dissimilarity Indices (predictors)

The DI, used as a predictor in this study, was calculated using the cosine distance, a well-suited metric for analyzing reflectance data. The cosine distance effectively captures the angular relationship between two spectra (Kruse et al., 1993), emphasizing the spectral shape while minimizing the influence of amplitude variations that occur uniformly across the spectrum. This helps to mitigate the brightness changes caused by heterogeneous illumination and internal shading (Feilhauer et al., 2010).

Formally, the cosine distance between a test spectrum  $x_i$  and a training spectrum  $z_j$  is defined as:

$$\text{CosineDist}(x_i, z_j) = 1 - \frac{x_i \cdot z_j}{\|x_i\| \cdot \|z_j\|} \quad (1)$$

This DI was calculated in both the feature and the embedding spaces of the models (Fig. S3 in the Supplement). As a first step, we calculated the cosine distances between each sample of the test dataset  $x_i$  and the samples of the training dataset  $z_j$ . These calculations were performed using the Python package FAISS (Douze et al., 2025), which is optimized for fast similarity search and clustering of large datasets. As a next step, each DI was calculated as the median of the distance distribution between a test sample and its

50 nearest neighbors in the training set:

$$\text{DI}_i = \text{median}\{\text{CosineDist}(x_i, z_j)\}_{j=1}^{50} \quad (2)$$

We chose 50 neighbors as a compromise between preserving local similarity and avoiding excessive signal dilution, given the relatively small size of the training dataset ( $\sim 7000$  samples). Smaller values would lead to very fine-grained distance distributions that are overly sensitive to individual training outliers, while larger values progressively dilute the local dissimilarity signal by incorporating increasingly dissimilar samples.

To ensure comparability across samples, the indices were normalized against the mean DI value of the entire training set (Meyer and Pebesma, 2021):

$$\text{DI}_i^{\text{norm}} = \frac{\text{DI}_i}{\mu_{\text{train}}}, \quad \text{with } \mu_{\text{train}} = \frac{1}{n} \sum_{j=1}^n \text{DI}_j$$

where  $n$  is the number of training samples (3)

As reference data for the uncertainty models (Dis\_UN), the residuals were used as a proxy for the uncertainty for each trait. This corresponds to the difference between the reference trait data and the trait predictions from the multi-trait models.

The two indices, representing dissimilarities in the feature and embedding spaces, and the absolute residuals were then used as input data for the supervised uncertainty estimation (Dis\_UN).

### 2.1.3 Dis\_UN Model Training

We developed a distinct Dis\_UN model for each plant trait, utilizing the DIs calculated for each sample of the test datasets within the LODO-CV as predictors. We partitioned the dataset into training and validation subsets using an 80/20 hold-out split for evaluating the model performance. The Dis\_UN models were trained using 95-quantile regression, a statistical technique that allows for the estimation of the 95th percentile of the target distribution (Koenker and Hallock, 2001). This approach is particularly advantageous for uncertainty quantification, as it focuses on the upper tail of the error distribution, providing insight into how large the errors can be in the worst-case scenarios (up to 5% of the cases, JCGM, 2008). Quantile regression better captures variability because it directly models specific points in the distribution of the target variable, allowing it to represent tail behaviors that mean-based approaches inherently overlook or smooth out. To further support the choice of the 95th quantile, we conducted a sensitivity analysis across a range of quantiles ( $\tau$  between 75 and 99) for all traits (Fig. S4 in the Supplement). The results showed that  $\tau = 0.95$  provides a good balance between capturing a high proportion of large errors and avoiding overly wide and unstable uncertainty bounds. Lower quantiles ( $\tau \leq 0.93$ ) tended to underestimate the extent of potential errors, missing a fraction of extreme cases, while higher quantiles ( $\tau \geq 0.97$ ) led to unnecessarily conservative bounds that can fluctuate sharply. This balance makes the 95th quantile a robust choice for representing worst-case uncertainty across variables, avoiding both underestimation and overconservatism. Additionally, the empirical coverage analysis (Fig. S4 top panels) provides a direct validation of Dis\_UN's calibration, demonstrating that the 95th percentile predictions achieve their target coverage of approximately 95% across all traits. The fit criterion for quantile regression is the pinball loss function, which is specifically designed to penalize deviations from the predicted quantile (Koenker and Hallock, 2001).

We addressed potential imbalances in the distribution of the target variable using a histogram-based weighting scheme. We divided the target variable (residuals for each trait) into five bins according to its distribution. Samples in less populated bins, which typically correspond to more extreme or uncommon uncertainty values, were assigned higher weights. These weights were calculated as the inverse of the proportion of samples within each bin, effectively emphasizing the importance of accurately predicting higher levels of uncertainty. This weighting scheme was integral to the training process, as it enhanced the model's sensitivity to the tails of the distribution, where uncertainty is usually highest. Additionally, we applied data transformations on the training data (calculated DIs), as the dissimilarity indices exhibit long-tailed distributions and varying value ranges (Fig. S3). Specifically, a Box-Cox transformation was applied to the

predictor variables, followed by a standardization to normalize their distribution and reduce skewness.

## 2.2 State-of-the-Art Uncertainty Estimation Methods

Monte Carlo Dropout and deep ensembles are considered the gold standard methods for quantifying the epistemic uncertainty (Abdar et al., 2021; Liu et al., 2023). Below, we outline these approaches.

### 2.2.1 Monte Carlo Dropout for Uncertainty Estimation (MCdrop\_UN)

Monte Carlo dropout is a state-of-the-art method that approximates the posterior distribution in Bayesian deep learning (Gal and Ghahramani, 2016). The core concept of MCdrop\_UN is to use dropout not only during the training phase but also during inference, thus enabling the estimation of model uncertainty in a simple, probabilistic manner without requiring significant architectural modifications. Originally, dropout was introduced as a regularization method and was implemented by randomly deactivating a fraction of neurons during training (Srivastava et al., 2014). In MCdrop\_UN, this randomness is extended to inference, allowing the creation of an ensemble of predictions without having multiple models.

To quantify the uncertainty, multiple forward passes are performed on the input data while keeping dropout active. Each pass generates a different set of neuron activations, effectively simulating different subnetworks. By aggregating these predictions, the mean serves as the final output, while the variability among the predictions (i.e., the standard deviation) reflects the epistemic uncertainty. In our analysis, we calculated the standard deviation of 50 repeated forward passes of the multi-trait model on unseen data with a dropout rate of 0.5, enabled during inference. A dropout rate of 0.5 means that each neuron has a 50% probability of being turned off during a forward pass. This rate is widely adopted in practice because it provides a good balance between preserving sufficient network capacity and introducing stochasticity for both regularization and uncertainty quantification (Kendall and Gal, 2017; Gal and Ghahramani, 2016).

### 2.2.2 Deep Ensembles for Uncertainty Estimation

Deep ensembles represent another approach for uncertainty estimation in deep learning (Deng et al., 2022). Two main variations of deep ensembles exist in the literature (Ashukha et al., 2020; Lakshminarayanan et al., 2017). We here refer to them as deterministic deep ensembles `Ens_det_UN` and probabilistic deep ensembles `Ens_prob_UN`. In our experiments, we employed both `Ens_det_UN` and `Ens_prob_UN` to provide a comprehensive comparison.

### Deterministic Deep Ensembles for Uncertainty Estimation (Ens\_det\_UN)

The deterministic ensemble leverages the power of multiple independently trained models to achieve more reliable predictions and uncertainty quantification. The key idea behind this method is to train several models from scratch, each with random initialization and potentially using different subsets of data, often achieved through bootstrapping. By using different random seeds for weight initialization, each model takes a unique path through the parameter space, ensuring a diverse collection of models. During inference, all the models in the ensemble make predictions for the same input data. The final prediction is calculated by averaging the outputs of all ensemble members, while the variance among these predictions provides an estimate of the epistemic uncertainty. For our analysis, we utilized the mean and standard deviation of the predictions from 50 independently trained models, which were established during the LODO-CV process of the multi-trait model, as described in Sect. 2.1.1.

### Probabilistic Deep Ensembles for Uncertainty Estimation (Ens\_prob\_UN)

Probabilistic ensembles extend this framework by having each model predict not only a mean value but also an associated variance by minimizing the negative log-likelihood loss (NLL) (Lakshminarayanan et al., 2017; Lang et al., 2022). In this setting, each model outputs the parameters of a probability distribution, where  $\mu_k(x)$  and  $\sigma_k(x)$  are the predicted mean and variance of the  $k$ th ensemble member, respectively. For an ensemble of  $K$  models, the total predictive uncertainty is expressed as follows:

$$\text{Var}_{\text{tot}} = \frac{1}{M} \sum_{k=1}^M \sigma_k^2 + \frac{1}{M} \sum_{k=1}^M \mu_k^2 - \left( \frac{1}{K} \sum_{k=1}^M \mu_k \right)^2 \quad (4)$$

For our analysis, we also utilized the mean and standard deviation of the predictions from 50 independently trained probabilistic models.

## 2.3 Evaluation

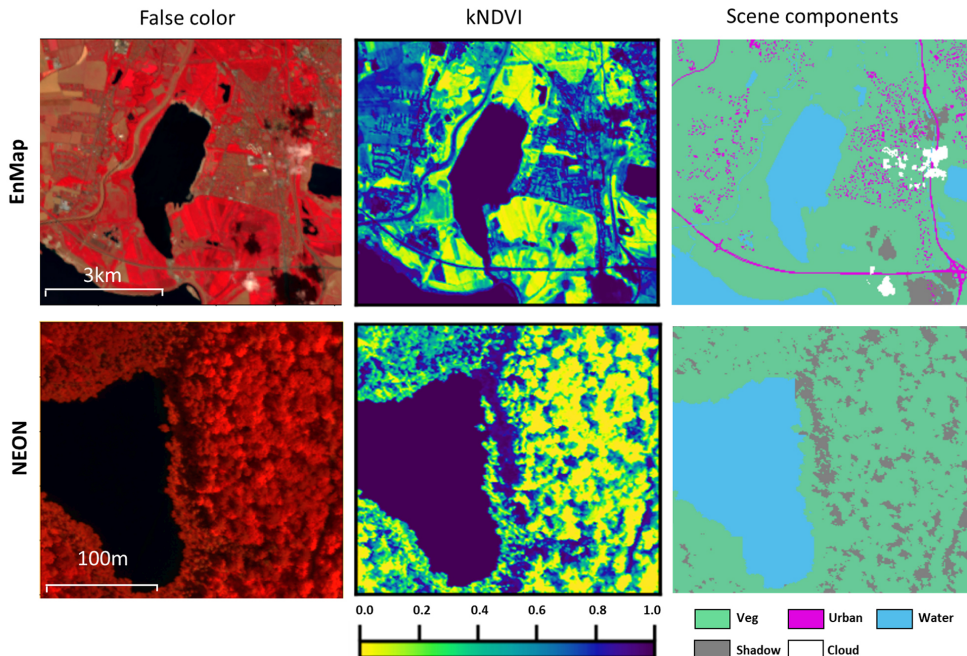
### 2.3.1 Evaluation Using Hyperspectral Imagery (HSI): EnMAP and NEON

To evaluate the different uncertainty estimation methods under extreme OOD conditions, we selected two distinct hyperspectral datasets, each sourced from a different sensor platform: the EnMAP satellite and the National Ecological Observatory Network (NEON) airborne observation platform (AOP), each differing in spatial resolution and environmental context. The first dataset is sourced from the Environmental Mapping and Analysis Program (EnMAP, Chabril-

lat et al., 2024). The EnMAP mission carries a hyperspectral instrument on a satellite platform and has been designed as a scientific precursor for future more operational spectroscopy missions. EnMAP captures spectral data across the visible, near-infrared (VNIR), and short-wave infrared (SWIR), covering wavelengths from 420 to 2450 nm and comprising 224 spectral bands in total. This dataset offers a spatial resolution of 30 m and a swath width of 30 km, enabling analysis of medium-scale land cover features. The dataset includes a clip of a scene of the city of Leipzig captured on 27 June 2022 (Fig. 2). This product was downloaded in L2A format (orthorectified and atmospherically corrected, De Los Reyes et al., 2023) from the EnMAP portal (<https://planning.enmap.org/>, last access: 16 February 2026). The second dataset comes from NEON's AOP, which provides high spatial and spectral resolution hyperspectral imagery collected annually over various ecological monitoring sites across the United States (Kampe et al., 2010). The NEON AOP spectrometer covers a spectral range from 380 to 2510 nm, resulting in approximately 426 spectral bands with 6 nm spectral sampling (Kampe et al., 2010; Wang et al., 2020). The NEON dataset features a spatial resolution of about 1 m, which allows for the detailed analysis of fine-scale land cover features. This image is a clipped section of a scene at Little Rock Lake (LIRO) from NEON's Great Lakes Domain (D05) captured on 16 August 2020, and processed as a Level 3 (L3): Mosaicked and orthorectified Surface Directional Reflectance (Fig. 2, see details in Karpowicz and Kampe, 2022a and b). This product was downloaded from the NEON portal (<https://data.neonscience.org/data-products/DP3.30006.001>, last access: 12 March 2025). Both datasets include a mix of scene components, such as vegetation, urban areas, water bodies, clouds, and shadows, leading to pixels with mixed signals from different elements. This diversity was intentionally selected to evaluate the robustness of uncertainty estimation methods in detecting OOD samples.

### 2.3.2 Scene Components' Identification

To identify the different components within each scene, we utilized a combination of data sources: OpenStreetMap (OSM: <https://download.geofabrik.de/>, last access: 12 March 2025) and manual labeling for certain features, such as clouds, cloud shadows, and tree shadows. The land cover data for urban areas and water bodies were primarily derived from OSM. The maps were resampled to match the spatial resolution of the hyperspectral images. The urban areas included only buildings and highway features. Manual labeling was employed for features like clouds, cloud shadows, and tree shadows. Particularly for tree shadows of the NEON scene, we delineated cloud and tree shadows with a carefully selected threshold of the NIR band ( $\sim 824$  nm). For cloud detection and delineation, we used an aggregation band of RED ( $\sim 650$  nm) and BLUE ( $\sim 444$  nm). The final scene compo-



**Figure 2.** Two study cases for uncertainty inference. On the left, a false color representation of a spaceborne scene from EnMAP with 30 m spatial resolution (South of Leipzig, Germany) and an airborne scene from the NEON observatory with 1 m spatial resolution (Little Rock Lake (LIRO) from NEON’s Great Lakes Domain (D05), US). In the middle is the kernel normalized difference vegetation index (kNDVI, Camps-Valls et al., 2021), and on the right side a map of the scene components.

ment maps were generated by integrating the OSM data with the manually labeled elements (Fig. 2).

### 2.3.3 Uncertainty Evaluation Metrics

To evaluate the performance of our uncertainty estimation methods, we employed different metrics for OOD on local- and landscape-scale analyses. These metrics quantify how well each model’s predicted uncertainties align with actual residuals, as well as the model’s ability to identify OOD samples based on elevated uncertainty predictions when compared to vegetated areas. For the local-scale OOD analysis, we used the Expected Normalized Calibration Error (ENCE, Eq. 1, Levi et al., 2022; Scalia et al., 2020) as a primary metric to assess how well predicted uncertainties aligned with the actual residuals of the deep learning model predictions. Lower ENCE values signify better model calibration, whereas higher values indicate poorer alignment between predictions and actual residuals, meaning that the model’s uncertainty predictions do not accurately reflect the observed errors. The ENCE was computed as Eq. (5):

$$\text{ENCE} = \frac{1}{K} \sum_{i=1}^K \frac{|\text{RMSE}(b_i) - \text{UE}(b_i)|}{\text{UE}(b_i)} \quad (5)$$

where  $K$  is the total number of bins,  $\text{RMSE}(b_i)$  is the root mean squared in bin  $i$ , and  $\text{UE}(b_i)$  is the uncertainty estimation in bin  $i$ .

To evaluate the extent to which the predicted uncertainty range matches the observed range for each trait, we also computed a quantile-based ratio (QuRatio, Eq. 6). This metric quantifies the proportion of the actual uncertainty range that the model’s predictions cover and is calculated as Eq. (6):

$$\text{QuRatio}(\%) = \frac{\text{qu95}(\text{pred\_UN}) - \text{qu5}(\text{pred\_UN})}{\text{qu95}(\text{obs\_UN}) - \text{qu5}(\text{obs\_UN})}, \quad (6)$$

where  $\text{qu95}$  and  $\text{qu5}$  represent the 95th and 5th quantiles, respectively, of the predicted uncertainty values ( $\text{pred\_UN}$ ) and observed uncertainty values ( $\text{obs\_UN}$ ).

For the OOD analysis, we aimed to evaluate the model’s ability to detect OOD samples by predicting elevated uncertainty values. To quantify this, we used two statistical metrics: the Kolmogorov–Smirnov (KS) statistical test and the related KS distance. These metrics measure the degree of separation between the distributions of predicted uncertainties for different scene components, specifically between vegetated and non-vegetated pixels (Wacker and Landgrebe, 1972). For each OOD component, we balanced the sample count by sampling to match the least represented component within each category (vegetated and non-vegetated). This approach ensures a fair comparison as different categories are not equally represented in a scene. We then calculated and compared the distribution of predicted uncertainties across these samples. The KS distance, ranging from 0 to 1, quantifies the maximum difference between the empirical cumu-

lative distribution functions of the two distributions, with 0 indicating identical distributions and larger values indicating stronger separation. Higher KS values thus reflect a stronger ability of the model to distinguish OOD samples through uncertainty predictions.

### 3 Results

#### 3.1 Uncertainty for OOD Vegetation data

To ensure a consistent comparison between Dis\_UN and the variance-based approaches, we scaled the ensemble- and dropout-based uncertainties to the 95 % confidence interval, i.e.,  $1.96 \times \sigma$  (Fig. 3). Results with the default scale, i.e.,  $1 \times \sigma$  as commonly used, are presented in Fig. S5 in the Supplement. The MCdrop\_UN method showed the strongest underestimation of residuals, independent if the uncertainty estimate was scaled or not, and only covered 3.1 % to 7.9 % (QuRatio) of the observed residual variability (Figs. 3 and S6 in the Supplement, Table S4 in the Supplement). This reflects a very narrow predicted interval that does not correspond well to the actual errors. The ENCE values, used to quantify the uncertainty prediction calibration, were the highest among all methods (13.1–20.8), indicating a weak relation between actual residuals and predicted uncertainty (Table S5 in the Supplement).

The two ensemble-based methods exhibited better calibration only after scaling the predicted uncertainty ( $1.96 \times \sigma$ ). Ens\_det\_UN achieved the closest alignment with observed residuals among the variance-based methods, with ENCE values between 0.12 and 0.65 and QuRatio between 43.2 % to 60.1 %. Note that without scaling, which is the common approach in literature, the ensemble approaches greatly underestimate the range of the observed residuals (Figs. S5 and S6).

The distance-based method, Dis\_UN, provided a broader range of uncertainty estimates. For traits like LMA and N, Dis\_UN produced uncertainties that exceeded the observed range of residuals (QuRatio 107.4 %, 86.7 %; Table S4), indicating a more robust approach of the upper-bound error estimation. For other traits, such as Chl, LAI, EWT, and Car, Dis\_UN captured 22.6 % to 77.9 % of the observed range (Table S4), suggesting that it achieves a more reliable and well-calibrated uncertainty estimate. Dis\_UN's ENCE values ranged from 0.44 to 0.53 (Table S5), with data points clustering below the 1 : 1 line across all traits. This pattern indicates a tendency to overestimate uncertainties, ensuring a conservative uncertainty estimation in which the predicted uncertainties encompass the residuals.

Across the different vegetation types represented in the training samples, grassland pixels consistently displayed lower uncertainty values, particularly with Dis\_UN, while more heterogeneous vegetation types, such as shrubland and forest, exhibited a broader spread in uncertainty (Fig. 3).

Across different plant traits, we observed different relationships between modeled uncertainty and the spectral and embedding distances, respectively, as indicated by the regression coefficients of the 95-quantile regression. The standardized spectral distance consistently shows higher coefficients compared to embedding space distance across all traits (Table S6 in the Supplement). For instance, the spectral distance coefficient for LMA is 53.74, significantly higher than the embedding distance coefficient of 7.07. Similarly, for EWT, the spectral distance coefficient is 4.36, while the embedding distance contributes only 0.86. This trend is also observed across traits like carotenoid content, where spectral distance (0.81) outweighs embedding distance (0.10). These differences suggest that spectral distance provides a stronger signal in the regression model than the distances in the embedding space.

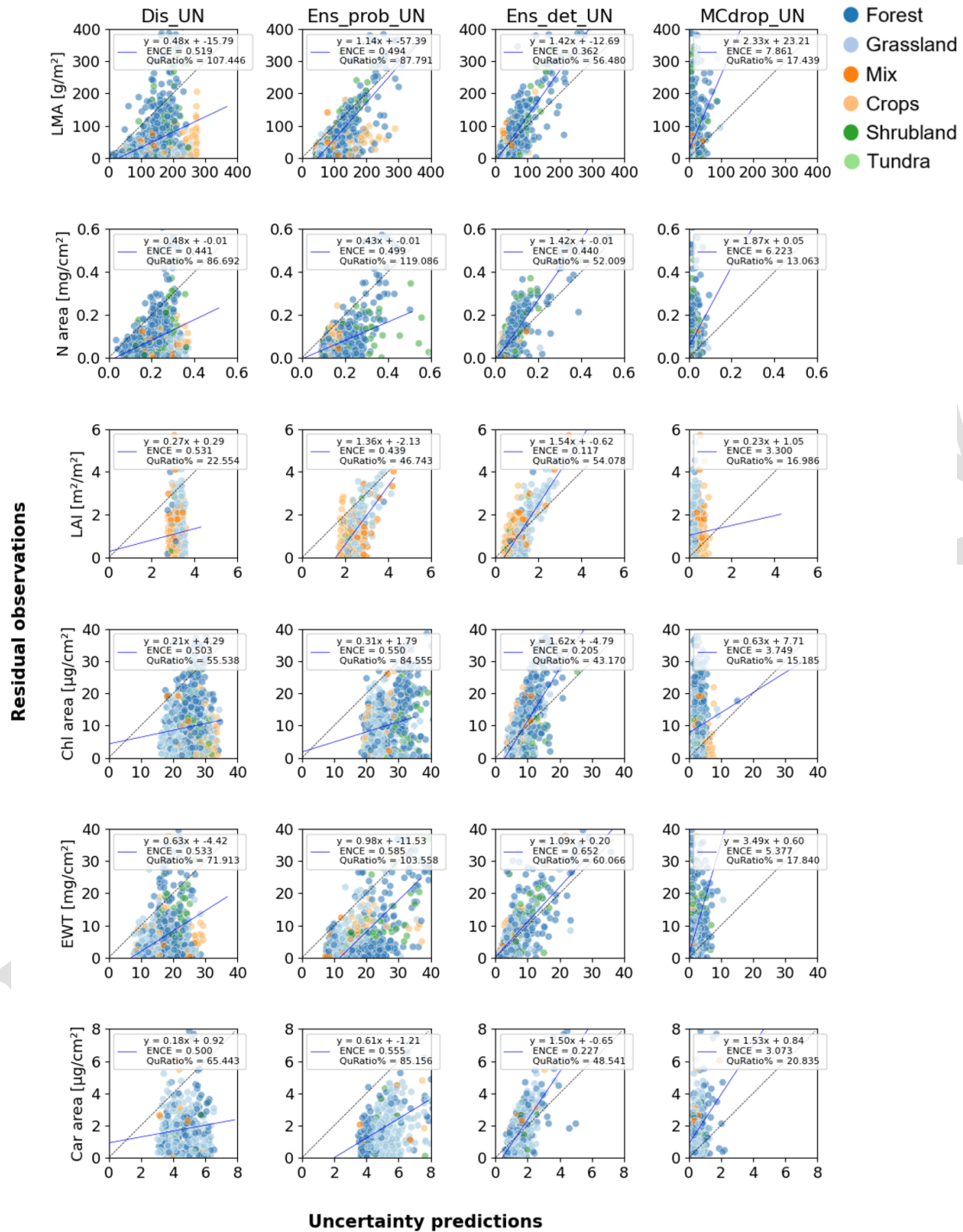
#### 3.2 Uncertainty for OOD data

Predicted uncertainty values from the Dis\_UN model consistently differed across different scene components, with vegetation components showing lower uncertainty and OOD components, such as clouds or water bodies, exhibiting higher uncertainty (Figs. 4 and 5). In the EnMAP scene (Fig. 4), notable spatial variations were observed in the predicted uncertainties from the Dis\_UN method across the different traits, particularly for non-vegetative pixels, including shadows, clouds, and waterbodies. These components showed the largest uncertainty, as reflected by their respective KS distances. Uncertainty predictions for traits such as LMA, N, and EWT as well as pigments followed similar patterns, with clear differentiation between vegetation and non-vegetation pixels, achieving a higher KS distance of 0.66.

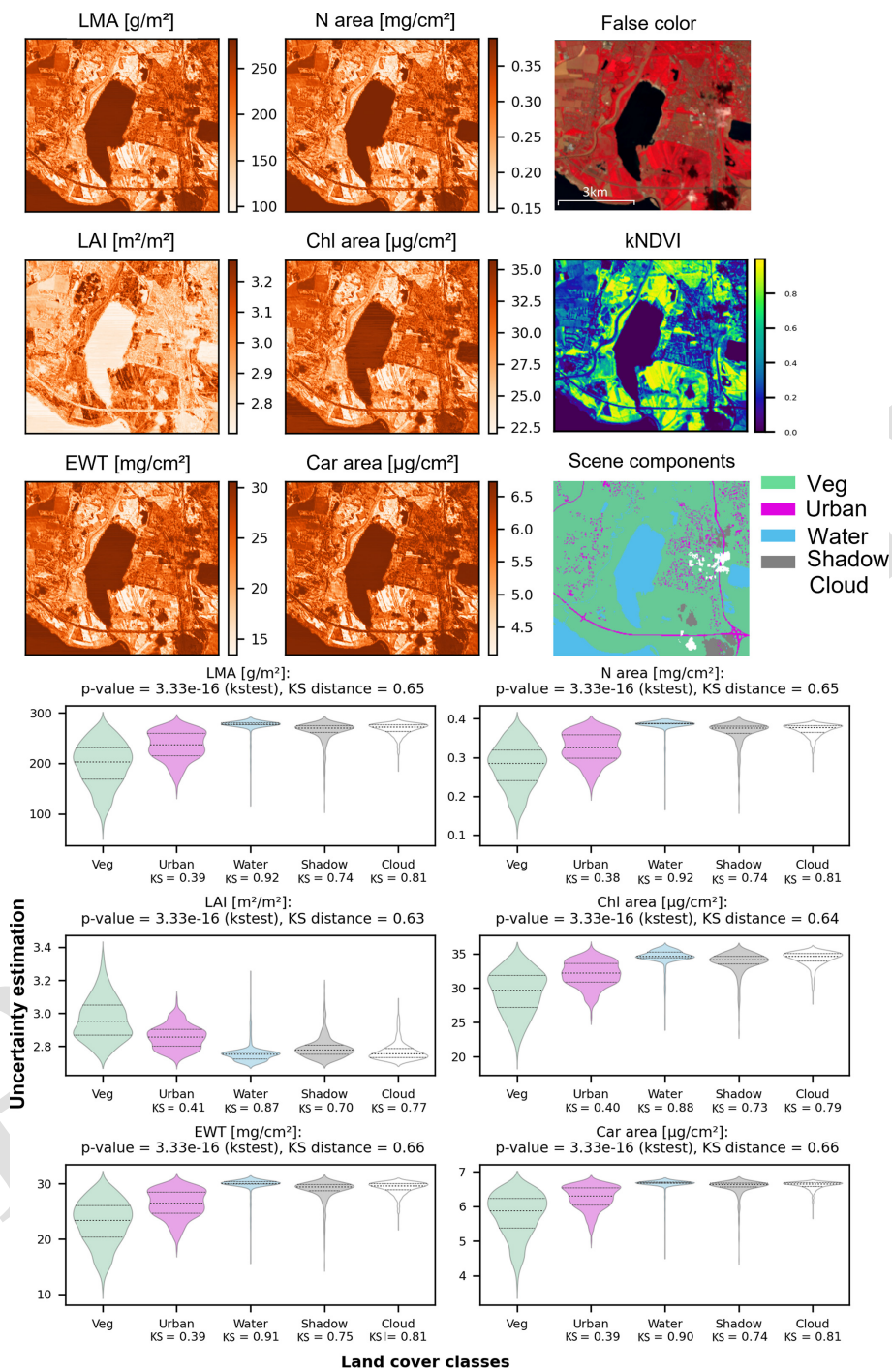
Among the traits, LAI showed distinct uncertainty patterns. The coefficient of variations (CV) of the predicted uncertainty values varied between 11.34 % and 23.27 % for all the traits except for LAI showing a uniform predicted values of CV 4.79 %. Despite the low variation, LAI exhibited relatively lower uncertainty for water, shadow, and cloud pixels and elevated uncertainties in vegetated areas. Across all traits, uncertainty value distributions from OOD samples (cloud, urban, and shadow) were significantly different when compared to vegetated samples (KS test,  $p < 0.005$  for all traits).

Similar results were obtained for the NEON scene (Fig. 5), with predicted uncertainties consistently lower for vegetation components and higher for OOD scene components like tree shadows and water. The KS distances were higher than those of the EnMAP scene ranging between 0.77 to 0.83 among traits. Tree-covered areas (forest) exhibited greater variance with bimodal distribution, while water pixels had the highest uncertainty levels.

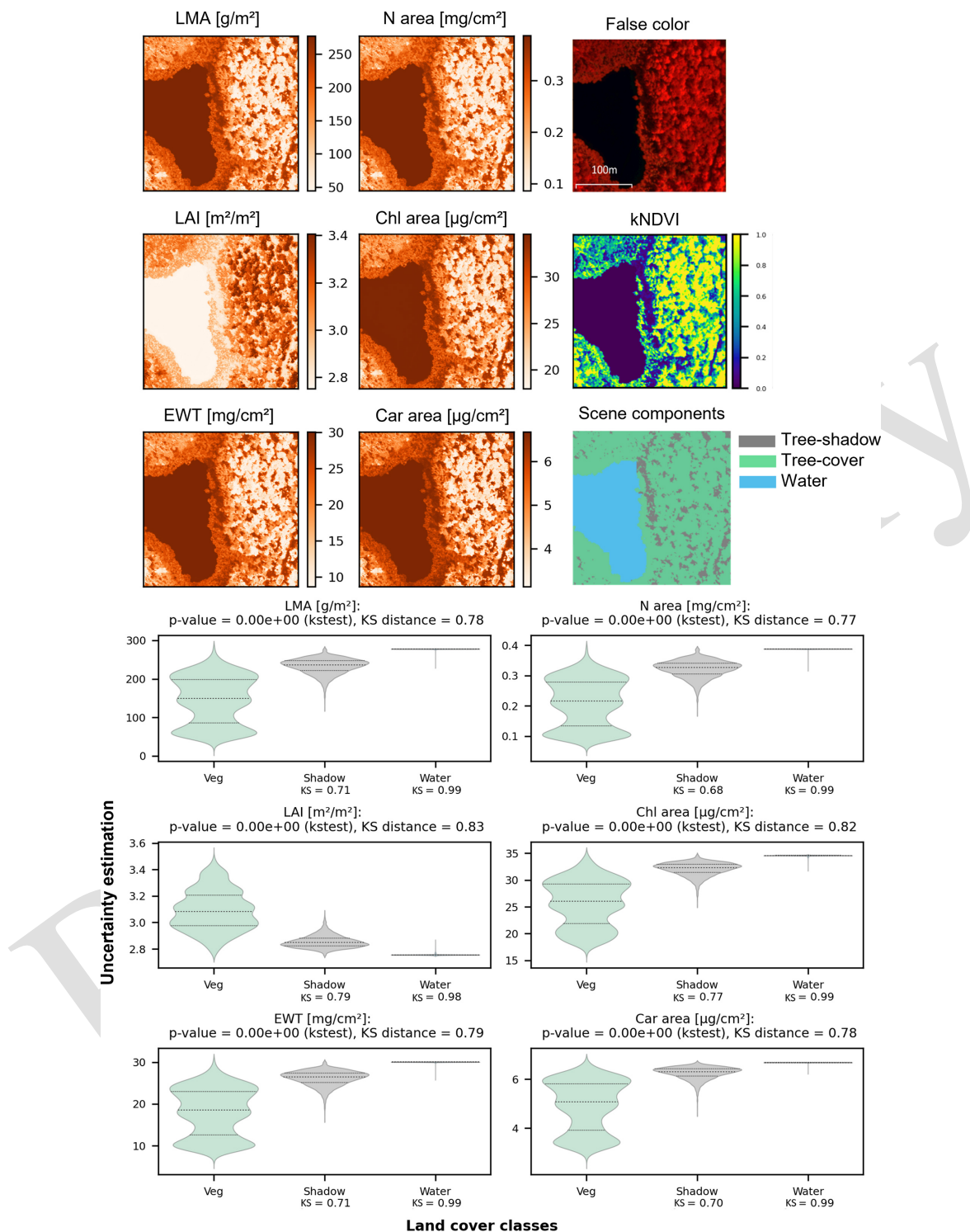
Consistent with the findings from the OOD vegetation analysis (Sect. 3.1), MCdrop\_UN displayed the lowest differences between scene components, with the smallest KS



**Figure 3.** Scatter plots comparing the predicted uncertainties ( $x$  axis) from four methods – distance-based (Dis\_UN), probabilistic ensemble (Ens\_prob\_UN), deterministic ensemble (Ens\_det\_UN), and Monte Carlo dropout (MCdrop\_UN) calibrated by a factor of  $1.96 \times$  standard deviation – against observed residuals ( $y$  axis) of the multi-trait models across six traits: Leaf Mass per Area (LMA), Nitrogen content, Chlorophyll content (Chl), Equivalent Water Thickness (EWT), Leaf Area Index (LAI), and Carotenoid content (Car). Each point represents a sample colored by vegetation type. For each trait–method combination, the regression line (blue) is compared to the 1 : 1 line (black) to visualize alignment between predicted and observed errors. Model calibration is quantified by the Expected Normalized Calibration Error (ENCE), while the ratio of predicted to observed uncertainty ranges (QuRatio) indicates the coverage of residual variability (see also Tables S4 and S5). Note that the default definition of uncertainty with the ensemble and dropout approaches systematically underestimate the observed residuals (Fig. S5).



**Figure 4.** EnMAP scene (right panel) Description of the scene including false-color composite highlighting vegetation in red, kernel normalized difference vegetation index (kNDVI) map showing higher values for denser vegetation area, and component scene map. (Left panels) Spatial distribution of uncertainty estimation for six plant traits: Leaf Mass per Area (LMA), Nitrogen content (N), Leaf Area Index (LAI), Chlorophyll content (Chl), Equivalent Water Thickness (EWT), and Carotenoid content (Car), showing how uncertainty varies across the scene. (Bottom panel) Trait-wise violin plots of predicted uncertainty distributions from randomly sampled pixels across the scene components. To evaluate the plausibility of uncertainty estimates, Kolmogorov–Smirnov (KS) distances measure the separability between vegetation and non-vegetation distributions, while KS  $p$ -values indicate whether these differences are statistically significant.



**Figure 5.** NEON scene (Right panels) Description of the scene including false-color composite highlighting vegetation in red, kernel normalized difference vegetation index (kNDVI) map showing higher values for denser vegetation area, and component scene map. (Left panels) Spatial distribution of uncertainty estimation for six plant traits: Leaf Mass per Area (LMA), Nitrogen content (N), Leaf Area Index (LAI), Chlorophyll content (Chl), Equivalent Water Thickness (EWT), and Carotenoid content (Car), showing how uncertainty varies across the scene. (Bottom panels) Trait-wise violin plots of predicted uncertainty distributions from randomly sampled pixels across the scene components. To evaluate the plausibility of uncertainty estimates, Kolmogorov–Smirnov (KS) distances measure the separability between vegetation and non-vegetation distributions, while KS  $p$ -values indicate whether these differences are statistically significant.

distances relative to the vegetation-related uncertainty distribution (Figs. 6 and 7, Figs. S7 and S8 in the Supplement). In contrast, the spatial pattern of estimated uncertainties was generally similar for `Ens_prob_UN`, `Ens_det_UN`, and `Dis_UN`, showing lower uncertainties for vegetation and higher uncertainties for water and cloud-shadow pixels. However, `Dis_UN` provided a clearer contrast between non-vegetated and vegetated pixels. Yet, `Ens_prob_UN` predictions exhibited lower sensitivity to non-vegetated OOD areas.

More specifically, for the EnMAP scene (30 m spatial resolution, where mixed pixels are more prevalent), `Dis_UN` achieved substantially higher contrast than all variance-based methods. Across the six traits, `Dis_UN` attained KS distances ranging from 0.63 to 0.66 (mean: 0.648) when comparing vegetation versus non-vegetation (water, clouds, shadows, urban) uncertainty distributions. In comparison, scaled `Ens_prob_UN` achieved KS distances of 0.43–0.51 (mean: 0.475), scaled `Ens_det_UN` achieved 0.05–0.50 (mean: 0.337), and scaled `MCdrop_UN` achieved 0.12–0.32 (mean: 0.212). This represents a 36% higher contrast for `Dis_UN` compared to the best-performing ensemble method (`Ens_prob_UN`) and a 206% improvement over `MCdrop_UN`. Critically, `Dis_UN` maintained consistently high KS distances across all traits (CV KS: 1.8%), while ensemble methods showed higher variability (CV KS 7%–48%).

For the NEON scene (1 m spatial resolution, where less sub-pixel variation is present), we observed similar patterns, while overall, the contrast in uncertainty estimates was higher among scene components with the `Dis_UN`. `Dis_UN` achieved KS distances of 0.78–0.83 (mean = 0.795), while scaled `Ens_det_UN` and scaled `Ens_prob_UN` reached 0.14–0.64 (mean = 0.465) and 0.43–0.51 (mean = 0.470), respectively. `MCdrop_UN` again performed weakest, with KS distances of 0.25–0.46 (mean = 0.328).

## 4 Discussion

Understanding and accurately quantifying uncertainty is essential for assessing the reliability of model predictions, particularly in OOD scenarios where the model encounters unseen data. In this section, we evaluate the performance of different uncertainty estimation methods at both the local- and landscape-scales, highlighting their strengths and limitations in capturing uncertainty across various vegetation types and scene components.

### 4.1 Local-Scale Uncertainty in OOD Vegetation data

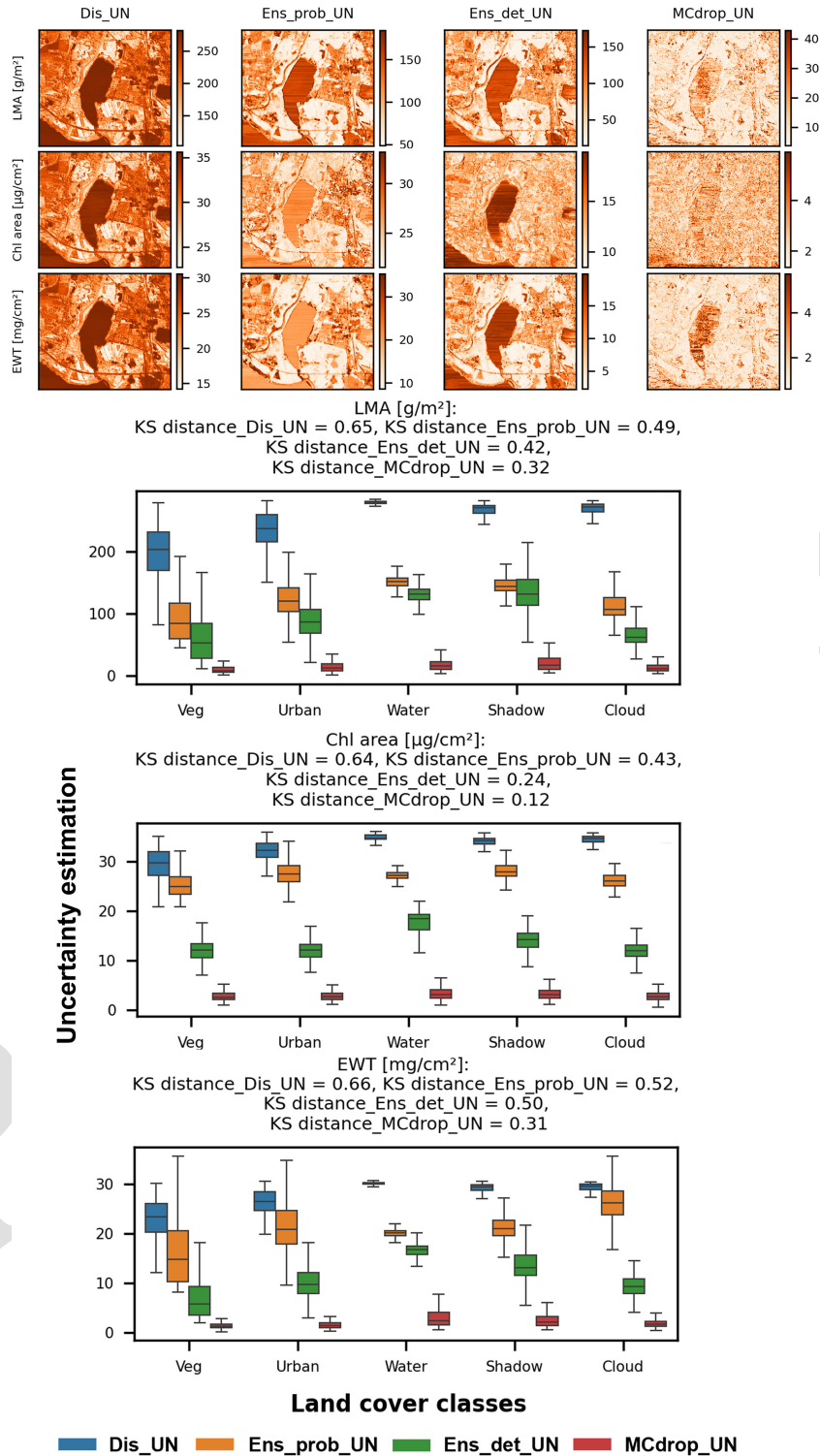
Applying the ensemble and Monte Carlo methods with their default settings resulted in a critical underestimation of observed residuals (Fig. S5). After scaling variance-based uncertainties to approximate 95% confidence intervals ( $1.96 \times \sigma$ ), the ensemble approaches showed substantially improved

alignment with observed residuals (Fig. 3), in contrast to their unscaled performance shown in Fig. S5. The improved performance of both ensemble methods for OOD vegetation samples indicates the average alignment under natural distribution shifts (Gustafsson et al., 2023), that is, when new data differ in source or environmental conditions but still represent the same underlying object class (vegetation). However, these methods do not automatically adapt to distributional changes if not validated with an independent set.

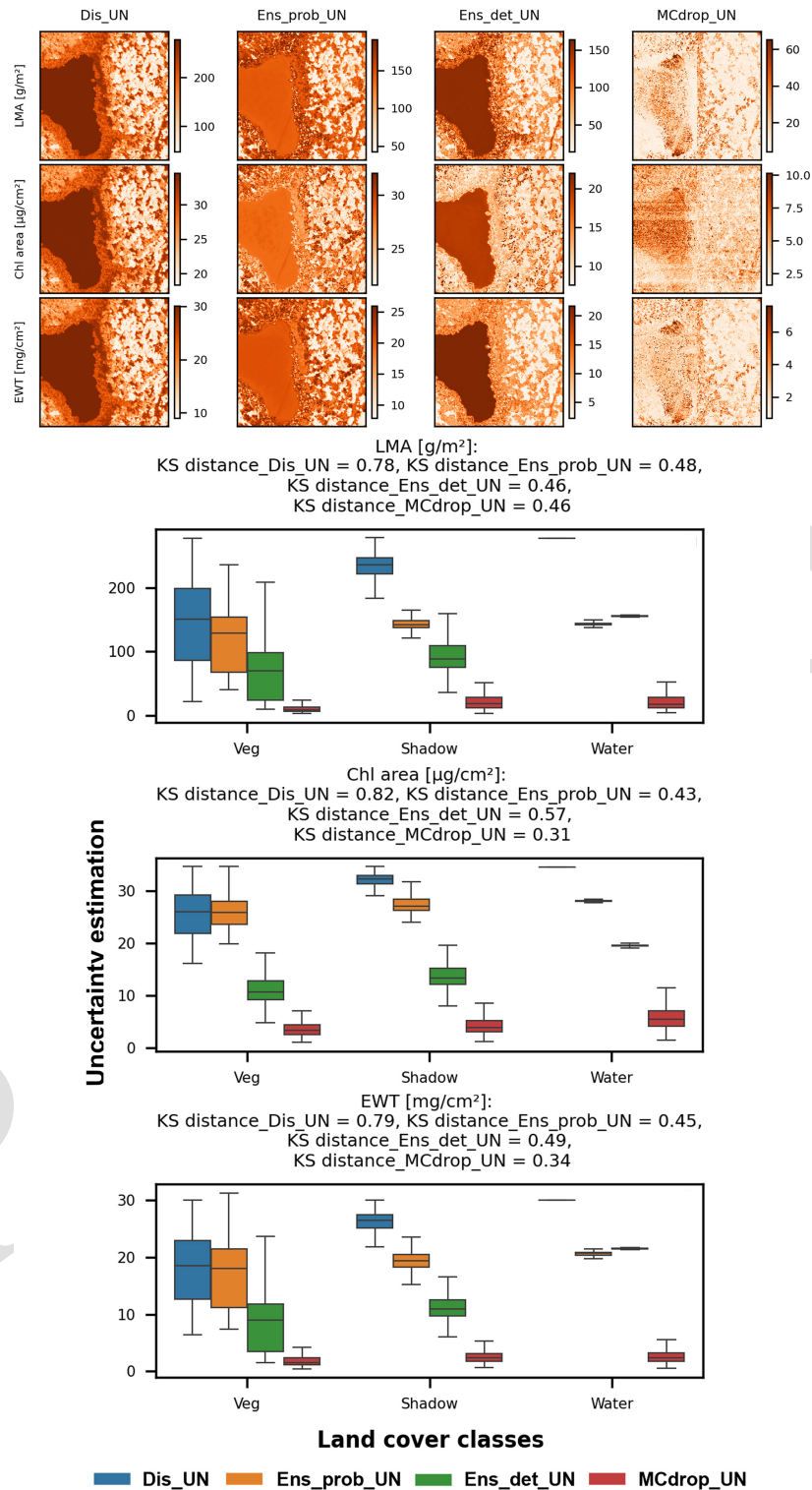
This limitation highlights the need for post-hoc scaling and introduces practical challenges in operational settings: practitioners must determine appropriate scaling factors for each trait and application context, requiring either assumptions of normality or empirical calibration on held-out data, which may not be available for novel sensors or ecosystems. Recent studies have increasingly emphasized the need for robust recalibration strategies for variance-based uncertainty methods, particularly under distributional shift (Liu et al., 2021; Ovadia et al., 2019; Palmer et al., 2022). While a comprehensive evaluation of such calibration strategies lies beyond the scope of this study, our results underscore that naïve application of variance-based methods without careful consideration of error distribution characteristics can lead to systematically biased uncertainty estimates.

However, the Monte Carlo approach continued to perform poorly (high ENCE values) even after scaling, indicating its failure to capture variability within samples from different vegetation types. The observed low alignment between predicted uncertainty and residuals suggests that the uncertainty estimates produced by these models do not fully represent the model’s errors (Fig. 3). This underestimation, especially for higher predicted values, is a known limitation of Monte Carlo-based approaches (Hu et al., 2022; Klotz et al., 2022; Liu et al., 2021), which tend to be optimistic in their uncertainty estimates.

In contrast, the distance-based quantile regression method (`Dis_UN`) provides a complementary perspective. It demonstrated a stronger alignment with predicted uncertainty values and the residuals of the multi-trait model, but with exaggerated values (Fig. 3, worst-case uncertainty). This indicates that the conservative estimates provided by `Dis_UN` effectively contain the residuals, a valuable characteristic for uncertainty modeling, as highlighted by Brown et al. (2021b). A key advantage of the `Dis_UN` method is the dissociation of predicted uncertainty values of different vegetation types (Fig. 3), which enhances interpretability. This can be attributed to the incorporation of spectral distance as a predictor (feature space). By leveraging the spectral distance, the `Dis_UN` method quantifies how far a given sample is from typical spectral signatures in the training dataset, allowing for a more accurate adjustment of the predicted uncertainty intervals. The regression analysis supports this observation, highlighting the higher importance of the scaled spectral distance compared to embedding space distance in predicting residuals across all traits (Table S6). For instance, the spectral



**Figure 6.** EnMAP scene: Comparison of uncertainty estimations for three traits (Leaf Mass per Area (LMA), Chlorophyll content (Chl), and Equivalent Water Thickness (EWT)) using four methods: distance-based (Dis\_UN), probabilistic ensemble (Ens\_prob\_UN), deterministic ensemble (Ens\_det\_UN), and Monte Carlo dropout (MCdrop\_UN). All state-of-the-art methods were scaled by  $1.96 \times$  standard deviation: (Upper panel): Spatial maps of the predicted uncertainty distribution across the scene, allowing comparison of how each method assigns uncertainty to different scene components. (Bottom panels): Trait-wise box plots of uncertainty distributions from the different methods, based on randomly sampled pixels across scene components (vegetation, cloud, shadow, urban, and water). Associated Kolmogorov–Smirnov (KS) distances quantify the separability between vegetation and non-vegetation uncertainty distributions.



**Figure 7.** NEON scene: Comparison of uncertainty estimations for three traits (Leaf Mass per Area (LMA), Chlorophyll content (Chl), and Equivalent Water Thickness (EWT)) using four methods: distance-based (Dis\_UN), probabilistic ensemble (Ens\_prob\_UN), deterministic ensemble (Ens\_det\_UN), and Monte Carlo dropout (MCdrop\_UN). All state-of-the-art methods were scaled by  $1.96 \times$  standard deviation. (Upper panels): Spatial maps of the predicted uncertainty distribution across the scene, allowing comparison of how each method assigns uncertainty to different scene components. (Bottom panels): Trait-wise box plots of uncertainty distributions from the different methods based on randomly sampled pixels across scene components (vegetation, shadow, water). Associated Kolmogorov–Smirnov (KS) distances quantify the separability between vegetation and non-vegetation uncertainty distributions.

distance coefficients for traits such as LMA, EWT, and Car are significantly higher than the corresponding coefficients for embedding distance. This indicates that spectral distance contributes more strongly to the regression model’s ability to predict uncertainty. The prominence of spectral distance can be explained by its sensitivity to spectral variability that is not exclusively driven by trait variation, which becomes particularly important under OOD conditions. While the embedding space is optimized to capture trait-relevant features learned during training, it may abstract away spectral characteristics that are not directly informative for trait prediction.

The predicted uncertainty was directly related to the vegetation characteristics. For example, grassland samples tended to have lower uncertainty across most traits compared to forest and shrubland (Fig. 3). This can be explained by the fact that grassland is one of the more highly represented land cover types in the dataset (1403 of 5573 samples, Table S2), and from a radiative transfer point of view, it is considered structurally simpler and more homogeneous compared to more complex vegetation types like forests and shrublands (Asner, 1998; Ollinger, 2011; Brown et al., 2024). Grasslands typically exhibit lower 3D canopy complexity and reduced geometric BRDF components, which may reduce spectral variability and residual errors (Jacquemoud et al., 2009). Forests and shrublands are structurally more complex, often containing many scene components beyond green leaves, such as bare ground in canopy gaps, stems, bark, canopy shadow, and other non-photosynthetic components, that contribute to the spectral measurements but are not directly related to the plant traits being measured. The behavior of uncertainty also varied across different traits, influenced by both the inherent properties of each trait, the trait variability, and representativeness of data samples from various vegetation types (e.g., forest, grassland, crops). For example, the uncertainty modeling for LMA and N showed a better fit to the training data compared to LAI, Chl, and Car content (Figs. 3 and S9 in the Supplement). This can be attributed to differences in how these traits influence spectral reflectance. LAI is highly prone to spectral saturation, where the spectral signal becomes less sensitive to changes in the trait, reducing sensitivity to variation (Brantley et al., 2011; Gamon et al., 1995; Sellers, 1985; Wang et al., 2005). In contrast, traits that primarily affect specific spectral regions, such as Chl and Car, which mainly influence the visible spectrum, may not be fully captured by the distance-based approach, potentially leading to an underestimation of uncertainty.

#### 4.2 Landscape-Scale Uncertainty in OOD data

We further tested the performance of these methods on the landscape-scale with two scenes from distinct sensors, each containing a variety of scene components that are not typically in the training data. This served as a proof of concept to assess models’ behavior under extreme OOD conditions, as it is hard to visually validate the predictions with no refer-

ence data. In such cases, a higher range of uncertainty should indicate regions where the model is not confident.

##### 4.2.1 Comparison with other methods

Despite being trained exclusively on vegetation samples, the Dis\_UN method demonstrated robust performance in detecting shifts in the distribution of different scene components, even if they are not vegetation. The Dis\_UN performance was comparable to that of the ensemble methods and superior to that of the MCdrop\_UN approach, as evidenced by the KS distance metric (Figs. 6 and 7). The Dis\_UN method and both ensemble methods showed similar trends in uncertainty estimation; regions with high and low uncertainty values were consistently identified by both methods. This comparability with Ens\_det\_UN is expected, given that the same trained models were used to develop both methods. However, while Dis\_UN produced markedly higher contrast in predicted uncertainty between vegetated and non-vegetated areas, the Ens\_prob\_UN method appeared less sensitive to these strongly OOD pixels, assigning lower uncertainty values to them. The Dis\_UN method exhibited a broader range of uncertainty, allowing for finer differentiation across different regions. However, when examining the spatial uncertainty maps, the Dis\_UN method produced more homogeneous boundaries within the scene components, highlighting its ability to provide more consistent spatial representations of uncertainty (Figs. 4–7). Results from the Kolmogorov-Smirnov (KS) test further demonstrated that the Dis\_UN method produced distinct uncertainty distributions for vegetated and non-vegetated pixels, with non-vegetated areas consistently showing higher residuals across all traits (Figs. 4 and 5).

In contrast, the MCdrop\_UN method consistently demonstrated the weakest performance, raising concerns about its reliability in these contexts, particularly when assessing model uncertainty. One key issue is that low standard deviation values may create a false sense of confidence in the model’s predictions, suggesting better trait model performance than what is actually the case. This can be misleading, as the narrow range of uncertainty estimates does not necessarily reflect the true error in the model’s outputs. Such behavior has been observed in previous studies (e.g., Pullanagari et al., 2021; Padarian et al., 2022; García-Soria et al., 2024), where dropout-based uncertainty appeared overly optimistic in comparison to other uncertainty assessment methods. To avoid potential misinterpretations, it is crucial to consider alternative metrics and methods when evaluating uncertainty estimation approaches. For instance, relying solely on standard deviation values or similar variance-based metrics may overlook important aspects of model performance, such as the method’s ability to capture OOD uncertainty or account for heterogeneity in complex datasets.

Furthermore, the variation in spatial resolution reveals a critical operational advantage of Dis\_UN. The distance-

based method maintains robust OOD detection during sub-pixel variation. At 30 m resolution (EnMAP), individual pixels frequently contain mixtures of vegetation and non-vegetation components, for example, urban pixels containing street trees, or forest edges mixing canopy and bare ground. Such mixed pixels exhibit intermediate spectral signatures that fall between pure scene components. For variance-based methods, particularly ensemble approaches, these mixed-signature pixels can produce moderate prediction variance that fails to clearly flag them as problematic, since ensemble members may converge on intermediate predictions with modest disagreement (Figs. 6 and S7c). This is evident in the low minimum KS values for ensemble methods at 30 m (as low as 0.05), indicating poor contrast for certain traits where mixed pixels dominate the scene. In contrast, Dis\_UN’s distance-based predictors explicitly measure dissimilarity relative to the training set, which consists predominantly of pure vegetation samples. Mixed pixels, even if spectrally intermediate, are recognized as dissimilar from the pure vegetation training manifold, resulting in elevated uncertainty predictions. This mechanism remains effective regardless of pixel purity, explaining Dis\_UN’s consistent performance (mean KS: 0.648 at 30 m) and its particularly strong advantage over ensemble methods at coarser resolution (36 % higher than Ens\_prob\_UN at 30 m vs. 69 % higher at 1 m).

At higher spatial resolution (NEON, 1 m), where less sub-pixel variation is present than in the EnMAP scene and component boundaries are sharper, the performance of variance-based methods improves relative to the medium-resolution case (EnMAP) (mean KS  $\approx$  0.33–0.47). However, despite this improvement, Dis\_UN still achieved the highest separability (mean KS = 0.795), indicating a stronger and more consistent contrast between vegetated and non-vegetated components. Importantly, the improved ensemble performance at 1 m resolution remains resolution-dependent and cannot be assumed in operational medium-resolution satellite applications, which dominate current global monitoring systems (e.g., EnMAP and PRISMA at 30 m).

Beyond enhancing uncertainty prediction performances, the proposed distance-based uncertainty estimation method provides substantial computational advantages over variance-based approaches. Unlike variance-based methods that require multiple forward passes to compute prediction variance, the distance-based approach allows for straightforward application once the uncertainty model is trained. This eliminates the need for repeated inference runs, making it significantly more computationally efficient. Such efficiency is particularly valuable for large-scale remote sensing applications, where fast and scalable uncertainty estimation is crucial. Though, it is important to distinguish between the training and inference costs of the proposed method (Tables S7 and S8 in the Supplement). In our experimental setup, the training time of the distance-based uncertainty model was of a similar order to that of deep ensembles, as

we adopted a leave-one-dataset-out (LODO) transferability analysis to explicitly evaluate out-of-distribution conditions, requiring the training of 50 models. However, this design reflects a specific validation strategy rather than an intrinsic requirement of the approach. In practice, distance-based uncertainty estimation can be integrated into more conventional validation schemes, such as  $k$ -fold cross-validation, thereby substantially reducing the training overhead.

#### 4.2.2 Uncertainty Patterns of Dis\_UN Across scene components and Spatial Resolutions

For the EnMAP scene, with 30 m spatial resolution, the uncertainty maps from the Dis\_UN approach showed a variation in uncertainty among traits. This is expected because the different traits depend on distinct spectral regions, which are affected differently by various scene components (e.g., water, urban areas, or vegetation). Higher uncertainty was particularly evident in non-vegetative land cover types such as water, cloud, and cloud-shadow regions, which are clearly OOD relative to the model’s primary focus on vegetation. These areas lack spectral similarity to vegetation and therefore result in increased uncertainty in the model predictions. In contrast, urban areas exhibited lower uncertainty, a pattern that can be explained by the mixed component in such areas. Urban areas often contain green spaces, like trees and meadow patches. As a result, these mixed pixels are closer to vegetation data of the training set and do not show as strong uncertainties as pure non-vegetative classes like water. These results highlight that the method works as expected, independently from the land cover types and scene components, so that with gradual dissimilarity from vegetation spectra, the uncertainty increases. As shown here at the example of the 30 m EnMap data, such an uncertainty quantification is particularly important to evaluate the robustness of a prediction in complex scenes, where more than one land cover type can be present per pixel and the resolution of the sensor and existing land cover products are not detailed enough to explicitly resolve the scene component.

While most of the traits showed a similar spatial pattern in the predicted uncertainties (Figs. 4 and 5), also when compared to the range of uncertainty values of training data samples (Figs. S10 and S11 in the Supplement), LAI was distinguishingly different. Traits, such as LMA, EWT, and N, exhibit lower uncertainty in areas with dense canopies, where a strong leaf signal is present. This contrasts with LAI, which shows greater uncertainty in dense vegetation, likely due to saturation effects, where increases in leaf area are no longer detectable by the sensor. This saturation issue is common for LAI that have limited sensitivity in dense vegetation conditions (Asner et al., 2003; Mutanga et al., 2023) and is reflected in our training data (Fig. S12 in the Supplement). Specifically, scatter plots of observed and predicted LAI against NDVI show that while LAI observations continue to increase with NDVI up to  $\sim$  6, the predicted values

plateau around  $LAI \approx 4-5$  once NDVI exceeds  $\sim 0.8$ . This indicates that the model systematically underestimates high-LAI cases, producing a compressed predictive distribution and a right-skewed residual pattern. This behavior diverges from that of other traits, where uncertainties were typically higher in OOD regions due to substantial deviations between predicted trait values and the training data distributions of the multi-trait model (Figs. S13 and S14 in the Supplement). In the case of LAI, high values produce spectrally similar signals across ecosystems, reducing distances in both feature and embedding spaces, while low-LAI samples are more spectrally variable due to background effects (e.g., soil, litter, and understory). This explains the negative regression coefficients observed in Table S6 and the unique behavior of LAI uncertainty predictions: higher uncertainties were detected in densely vegetated areas, while OOD pixels such as water, shadow, and urban regions showed lower and less variable uncertainty.

For the EnMAP scene, we identified pure vegetated pixels representing different vegetation types, including crops, tree cover, and grassland. As discussed in Sect. 4.1, grassland pixels exhibited the lowest uncertainty with minimal variation, likely due to their simpler spectral properties. Crops showed the highest uncertainty values, but with lower variation, while tree cover and shrubland displayed high variability in predicted uncertainty, reflecting their greater spectral and structural complexity (Fig. S7c). We observed a similar pattern for the 1 m resolution NEON data. Uncertainty in the vegetated areas (forest) exhibited greater variability (bimodal distribution, Fig. 5) in the NEON scene compared to vegetated areas in the EnMAP scene. This suggests that uncertainty in forested regions may be more sensitive to fine-scale shadows and canopy structural complexity, resulting in a more complex spectral response. These small-scale confounding factors, such as those from canopy gaps and canopy structures, substantially affect the spectral response (Nagendra and Rocchini, 2008).

### 4.3 Challenges in training uncertainty models

Training uncertainty models for trait prediction in remote sensing is subject to substantial challenges due to the sparse and non-uniform distribution of available trait data. This lack of comprehensive data coverage is particularly problematic at the low and high ends of the trait distribution. Very low trait values are often associated with weak signals, while high trait values can lead to saturation effects, both resulting in high uncertainty estimation, when using Dis\_UN. This data sparsity not only limits the model’s ability to make robust trait predictions but also prevents the training of models to robustly estimate uncertainty across the full trait range. Moving forward, it is crucial to collect more data, particularly from the tails of the trait distributions (e.g., very high or low values). This means not only gathering data from plants at the peak of their growing season, when they exhibit high

vitality, but also from senescent or stressed plants, which are typically underrepresented in current datasets (Schiefer et al., 2021; Brown et al., 2020). Such efforts underscore the importance of data sharing within the scientific community to improve the robustness and reliability of uncertainty models (Cherif et al., 2023).

### 4.4 Challenges in comparing and interpreting the uncertainty of state-of-the-art methods

Comparing and interpreting the uncertainty estimates produced by different state-of-the-art methods presents challenges, particularly due to the underlying assumptions of each approach (Ovadia et al., 2019). Traditional methods, such as ensemble techniques and MCdrop\_UN, often assume Gaussian uncertainty, implying that prediction errors are symmetrically distributed around the mean (i.e.,  $\text{mean} \pm \text{std}$ ) (Hu et al., 2022; Klotz et al., 2022). However, this assumption does not hold true for many plant trait distributions, which are inherently skewed and variable due to ecological and physiological factors across diverse vegetation types, including forests, grasslands, and crops. Models that cannot account for this asymmetry will produce biased or inaccurate uncertainty estimates, as they assume that the data’s spread around the mean is similar on both sides. For instance, Klotz et al. (2022) emphasize the importance of accounting for asymmetric distributions in natural data, noting how uncertainty estimates can be improved by modeling heavy tails and skewed data. While their focus was on hydrological modeling, similar asymmetries are present in plant trait values, making their insights applicable to our context. When plant trait distributions are skewed, their corresponding uncertainty estimates should reflect this asymmetry. Our approach addresses this by not assuming any specific distributional form. Instead, we estimate the upper bound of residuals directly using the 95th quantile of absolute errors, which allows for modeling extreme deviations. This approach focuses on extreme residuals, allowing for a more conservative and distribution-agnostic uncertainty estimate.

Moreover, Janet et al. (2019) reveal that traditional uncertainty estimation methods, such as MCdrop\_UN and ensemble techniques, often produce overly confident predictions in OOD regions. In our study, MCdrop\_UN and ensemble methods exhibited similar tendencies, particularly when applied to OOD samples with unfamiliar spectral characteristics due to different sensor properties and scene elements like water and clouds. This overconfidence in OOD regions is problematic as it can lead to a false sense of reliability in model predictions where the model is actually less certain.

Variance-based approaches have notable limitations in uncertainty estimation. While they provide a measure of dispersion, their uncertainties do not always scale appropriately with the actual errors, making direct interpretation and practical application challenging (Fig. 3). The ensemble methods, in particular, has been observed to underestimate uncertainty,

providing an optimistic assessment of model performance (Janet et al., 2019; Meyer and Pebesma, 2021). This can be misleading, especially in cases where the predictor is inherently biased but the variations within the ensemble are small, giving a false and often optimistic impression of reliability. Additionally, uncertainty is trait-specific, influenced by the intrinsic nature of the trait being predicted. Thus, interpreting uncertainty in these models requires careful consideration of both the method used and the specific trait characteristics to avoid misinterpretation and ensure the reliability of predictions. Unlike conventional methods, which largely reflect the uncertainty inherent in the training data, the distance-based approach adapts to new data by comparing it with the training set, offering a more comprehensive and flexible assessment of uncertainty.

#### 4.5 Outlook: Uncertainty in the Context of Global Trait Mapping

Estimating uncertainty from remote sensing-based machine learning algorithms remains challenging, as current methods struggle to capture the full complexity and diversity of real-world data, and datasets are often limited in representativeness and quantity. Despite these issues, the distance-based method shows promise due to its increased interpretability compared to more complex probabilistic approaches. The distance-based method offers a clearer view of where and why high uncertainty arises, particularly in areas substantially different from the training data. By measuring the distance from known data, it intuitively identifies OOD regions, providing insights into the model's reliability under shifting conditions. At the same time, it is important to recognize that distance-based uncertainty estimation cannot by itself overcome data-intrinsic limitations. Structural traits, such as leaf area index, are affected by the long-recognized problem of spectral saturation, where top-of-canopy reflectance becomes insensitive to additional foliage at high canopy densities (e.g., Sellers, 1985; Myneni et al., 1995; Gitelson, 2004; Steltzer and Welker, 2006; Zheng and Moskal, 2009; Xu et al., 2020). In such cases, saturation arises from the inherent distribution of the data and constrains both training and inference. Distance-based uncertainty is, therefore, best understood as a diagnostic tool that reveals these information gaps, rather than as a mechanism to eliminate them. Progress will require more sophisticated sensing strategies, where recent work has shown promising directions (Mutanga et al., 2023; Wang et al., 2022). However, no purely optical method fully overcomes saturation, as this limitation is rooted in the physics of canopy reflectance. This limitation, in turn, motivates the continued development of distance-aware uncertainty methods that more explicitly link the training samples to unseen data. Importantly, such methods are not limited to vegetation trait retrieval but can be applied and extended to a wide range of applications and datasets where robustness un-

der distribution shift and reliable uncertainty quantification are crucial.

Looking forward, further refinement of the distance-based method could involve testing on more diverse datasets and exploring hybrid approaches that combine it with complementary probabilistic techniques.

In addition, while directional errors were not explicitly modeled in this study, analyzing signed residuals could help reveal trait- or vegetation-specific biases. The systematic underestimation of high LAI values due to spectral saturation (Fig. S13, Sect. 4.2.2) exemplifies such directional errors. We recognize this as a valuable avenue for future research and recommend that future developments in uncertainty modeling explore the use of signed residuals and the estimation of both lower and upper quantiles.

## 5 Conclusion

Accurate methods for predicting uncertainty from machine learning models are lacking for vegetation monitoring. As an example of trait prediction from hyperspectral data, this study demonstrates that traditional uncertainty quantification methods, such as ensemble and dropout Bayesian approaches, often struggle to adapt to unseen (OOD) data, resulting in overconfident or misleading uncertainty estimates. The presented distance-based method, however, offers improved adaptability across land cover types. Compared to scaled variance-based methods, Dis\_UN demonstrates four key operational advantages: (1) superior estimation of uncertainty in OOD scenarios, particularly under mixed-pixel conditions at medium resolution (30 m) common in operational satellite monitoring; (2) uncertainty quantification without requiring normality or symmetry assumptions, accommodating asymmetric error patterns; (3) enhanced interpretability of uncertainty sources, as uncertainty is directly linked to sample dissimilarity from the training data; and (4) computational efficiency at inference (2.6–7.7× faster), critical for processing large-scale hyperspectral data. Our results highlight the importance of incorporating diverse datasets to mitigate distributional biases. Furthermore, the proposed uncertainty method was successfully tested across two scenes acquired by different sensors with varying resolutions across different biomes and land cover types, demonstrating its robustness across heterogeneous conditions. Accordingly, such an approach could be used for prediction of uncertainty in large-scale assessments, where OOD data is prevalent and reliable uncertainty estimation is crucial.

*Code availability.* The code for this study is available at: [https://github.com/echerif18/Multi\\_trait\\_Uncertainty/](https://github.com/echerif18/Multi_trait_Uncertainty/) (last access: [TS9](#)). The code of the multi-trait model (Cherif et al., 2023) is available at: <https://github.com/echerif18/multiTraitPredictions> (last access: [TS10](#)).

*Data availability.* The data used in this study are available on Hugging Face: <https://doi.org/10.57967/hf/7838> [TS11](#).

*Supplement.* [TS12](#) The supplement related to this article is available online at [the link will be implemented upon publication].

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*Competing interests.* The contact author has declared that none of the authors has any competing interests.

*Disclaimer.* The view expressed in this publication can in no way be taken to reflect the official opinion of the European Space Agency. [TS13](#)

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