

The SAPRC Atmospheric Chemical Mechanism Generation System (MechGen)

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ABSTRACT

MechGen is a software system designed to derive gas-phase reaction mechanisms for reactive organic compounds under atmospherically relevant conditions for use in chemical models and for data analysis and interpretation. It has been used to derive versions of the SAPRC mechanisms used in airshed models, with SAPRC-22 being the most recent. MechGen derives fully explicit mechanisms for many types of organic compounds and their oxidation products when they react in the atmosphere in the presence of oxides of nitrogen and other pollutants, and then uses these explicit mechanisms to derive reduced or lumped mechanisms more suitable for use in airshed models. This paper gives an overview of the system, describes the procedures it uses to generate explicit and reduced mechanisms, and presents several types of applications. The assignments and estimates used to derive individual chemical reactions and assign rate constants are discussed in a separate companion paper. The system is publicly accessible for generating explicit mechanisms for single compounds and viewing associated documentation using a web-based interface. A separate terminal login is available for deriving mechanisms for multiple compounds, multi-generation mechanisms, and portions of lumped mechanisms for airshed models, as well as for system programming and management. MechGen is designed to accommodate updates to the chemical estimates and assignments that it uses to reflect our evolving knowledge of and ability to estimate atmospheric reactions of organic compounds.

35 **1. INTRODUCTION**

36 **1.1. Background**

37 Many hundreds of volatile organic compounds (VOCs) are emitted into the lower atmosphere,
38 from both anthropogenic and biogenic sources. Once emitted, they can undergo reactions to form
39 oxidized organic products, including gas-phase toxics, criteria pollutants, and secondary organic aerosol
40 (SOA). For example, in the presence of nitrogen oxides (NO_x), these reactions can generate radicals that
41 react to form ozone (O_3) and oxidized nitrogen compounds that affect air quality. The atmospheric
42 reaction mechanisms for most of these compounds are complex, particularly for larger molecules that
43 involve a large number of reactive intermediates and form a large number of oxidized organic products
44 that can continue to react in the atmosphere. In addition, in most cases these mechanisms involve
45 reactions with rate constants that have not been measured and must be estimated. Because of the
46 complexity, for practical reasons it is necessary either to greatly simplify the mechanisms for most VOCs,
47 use extensive lumping or reduction in VOC representations, or use an automated chemical mechanism
48 generation system to generate estimated mechanisms.

49 An overview of automated generation of reaction mechanisms was presented by Green (2019),
50 which included references to systems that have been developed. A notable example is the RMG system of
51 Green and co-workers (RMG, 2025), originally developed to model combustion systems (Gao et al.,
52 2016) but later extended to apply to other areas such as liquid-phase systems and heterogeneous catalysis
53 (Liu et al., 2021). RMG has an extensive set of tools, links to kinetics and other databases, and has
54 extensive documentation (RMG, 2025). Kirchner (2005) discussed an atmospheric chemical mechanism
55 generator program called CHEMATA, but that work focused on its application to condensed mechanisms,
56 and literature searches revealed no subsequent work with that system. The most comprehensive systems
57 specifically designed to predict detailed mechanisms for lower tropospheric air pollution models, are the
58 Generator for Explicit Chemistry and Kinetics of Organics in the Atmosphere (GECKO-A) system
59 (Aumont et al., 2005) and the SAPRC mechanism generation system (MechGen) that is the subject of this
60 paper.

61 MechGen was developed for deriving the portions of the SAPRC-90 through SAPRC-16
62 atmospheric chemical mechanisms (Carter, 1990, 2000, 2010a, b, 2016; Carter and Heo, 2012, 2013;
63 Venecek et al., 2018) that concerned reactions of C_{2+} organic compounds. The first version, used for
64 SAPRC-90 (Carter, 1990), generated mechanisms only for alkanes, using the procedures and estimates
65 documented by Carter and Atkinson (1985). When SAPRC-99 was being developed, the mechanism
66 generation system was re-written and extended to cover a much wider range of acyclic and monocyclic
67 compounds, including monoalkenes, alcohols, ethers, esters, aldehydes, ketones and organic nitrates in
68 addition to alkanes (Carter, 2000). That version was used to determine the net effects of these compounds
69 in the presence of NO_x that was incorporated into SAPRC-99, though reactions in the absence of NO_x
70 were not generated. A number of updates to the system were made when SAPRC-99 was updated to
71 SAPRC-07 (Carter, 2010a, b), including the ability to generate mechanisms for a wider variety of
72 compounds; however, it remained limited to generation of mechanisms in the presence of NO_x , and
73 aromatics were still not supported. The system was further updated for use in the development of the
74 SAPRC-16 (Carter, 2016; Venecek et al., 2018) mechanism and unpublished updated versions,
75 incorporating capabilities to generate reactions in the absence of NO_x , reactions of aromatics,
76 autoxidation reactions of peroxy radicals, and other enhancements. Further updates were made for the
77 current version, which has been used in the latest of the SAPRC mechanisms, SAPRC-22 (Carter, 2023).

78 The chemical basis of MechGen and the methods it uses to assign or estimate rate constants or
79 mechanisms are documented by Carter et al. (2025a). The current, and first publicly documented, version
80 of MechGen, v1.1, is available online, along with a comprehensive User Manual and a quick start guide.
81 These are available at the MechGen website (Carter, 2025) and GitHub page
82 (<https://github.com/SAPRC/MechGen>), and archived versions can also be downloaded from these
83 websites. This paper describes the software system and the procedures it uses for mechanism generation
84 and processing.

85 **1.2. Chemical Systems Represented**

86 MechGen is capable of generating fully explicit mechanisms for the atmospheric reactions of
87 most types of organic compounds emitted into or formed in the lower atmosphere, and of the intermediate
88 radicals they form. While temperature-dependent rate constants are provided for many reactions, for
89 others the rate constants or branching ratios are only applicable for conditions representing the
90 troposphere, i.e., around 298 K and 1 atm. MechGen is not currently designed for estimating mechanisms
91 for combustion modeling or for the low temperature and pressures characteristic of the upper troposphere.

92 Table 1 lists the types of stable compounds whose reactions can be generated, and shows the
93 types of initial atmospheric reactions that can be generated for them. Table 2 lists the types of reactions
94 that are generated, including reactions of intermediate radicals as well as reactions of stable compounds.
95 The reactions of stable compounds include H-atom abstractions by OH, NO₃, and Cl radicals, additions to
96 double bonds by these radicals and by O₃ and O³P, and reactions by photolysis. The types of radicals
97 generated include carbon-centered radicals that in most cases react primarily with O₂; peroxy radicals that
98 in most cases react with NO, NO₂, NO₃, HO₂, or other peroxy radicals and in many cases also have
99 unimolecular reactions; alkoxy radicals that can react with O₂ or by various types of unimolecular
100 reactions; and excited and stabilized Criegee intermediates formed in reactions of alkenes with O₃.
101 Measured rate constants or branching ratios derived from measured product yields are used when data are
102 available. In the absence of such data, which is typically the case, rate constants or branching ratios are
103 estimated using various structure-activity relationships (SARs) or other estimation methods. The details
104 of the types of reactions and how rate constants or branching ratios are assigned or estimated are given by
105 Carter et al. (2025a).

106 **1.3. System Software and Access**

107 The current version of MechGen is incorporated into an online Multi-User Object Oriented
108 (MOO) system that was originally developed as a programmable text-based virtual reality system (Curtis,
109 1997; Fox, 2004; Wikipedia, 2025). Features of the MOO object-oriented programming language, which
110 is similar to Python, made it well suited for mechanism generation applications compared to the original
111 Fortran version used for SAPRC-90 (Carter and Atkinson, 1985; Carter, 1990). The MOO system also
112 has online access capabilities that make it relatively straightforward to permit multiple users to access it
113 online simultaneously.

114 Since MechGen is written in an object-oriented language, the system employs various software
115 "objects" that include properties defining their characteristics and programs or subroutines controlling
116 their operations. The MechGen software objects referenced in this paper include reactant objects that
117 represent molecules or radicals that can be reacted; group objects that represent portions of the molecule
118 that determine how they react; reactor objects that are assigned to each user login that provides a user
119 interface and gives mechanism generation options; environment objects that contain concentrations of
120 atmospheric species that can be used to determine product yields from mechanisms; multi-generation
121 mechanism objects that control multi-generation mechanism derivations for a given reactant; and lumping

122 objects that control the full mechanism generation process and whether and how lumped mechanisms are
123 also produced. The MechGen system employs other types of objects, but a discussion of these details of
124 the software system is beyond the scope of this paper.

125 MechGen is accessible online via a web interface available at the MechGen website (Carter,
126 2025) or through a terminal interface such as Telnet (Postel and Reynolds, 1983), with instructions
127 available at the MechGen and MechGen GitHub sites. Terminal access provides the highest degree of
128 system capability, while web access is more user-friendly for basic operations and is better suited for
129 providing information about the system and the reactions being generated. Due to the high computational
130 demand, resource-intensive operations such as deriving multi-generation mechanisms, or generating
131 mechanisms for large or for large numbers of molecules, are not currently available using the online
132 system. To access the full capabilities of MechGen, users need to install the system locally by following
133 the instructions provided in the User Manual.

134 **1.4. Overview of Documentation**

135 The methods used by the current version of MechGen to derive or estimate rate constants and
136 mechanisms for gas-phase atmospheric reactions of organic are described by Carter et al. (2025a). While
137 that paper describes the chemical basis for the mechanisms MechGen generates, it does not explain the
138 system itself or its functionality. This paper fills that gap by discussing the following specific capabilities
139 of MechGen:

- 140 • Deriving unimolecular or bimolecular reactions of individual compounds and radicals under
141 atmospheric conditions, using assigned or estimated rate constants and products formed (Section
142 2);
- 143 • Deriving explicit single-generation mechanisms of a selected compound by reacting the
144 compound and all the rapidly reacting intermediates formed, but not reacting the stable, non-
145 radical products (Section 3.1);
- 146 • Deriving minimally reduced mechanisms that give the same predictions as the explicit
147 mechanism at a selected temperature, but with about 1/3 the numbers of reactions and 1/2 the
148 numbers of species (Section 3.2);
- 149 • Estimating yields of products formed when reacting a compound under selected environmental
150 conditions (Section 3.3);
- 151 • Deriving explicit multi-generation mechanisms of a selected compound by fully reacting the
152 compound and all stable reactive products formed in non-negligible yields (Section 4); and
- 153 • Optionally driving lumped mechanisms that use a limited number of lumped model species to
154 represent chemically similar compounds, and by using various approaches to reduce the numbers
155 of reactive intermediates in the mechanisms (Section 5).

156 Additional details about the methods and algorithms are also given in the Supplement. Details
157 concerning how to work with MechGen and a description of features not covered here are given in the
158 User Manual that is available at the MechGen website (Carter, 2025). The MechGen GitHub site
159 (<https://github.com/SAPRC/MechGen>) also contains the User Manual and downloadable software and
160 files used by MechGen (see Section 7.3). The examples shown here and the Supplement are based on the
161 current chemistry assignments and methods discussed by Carter et al. (2025a). The system is expected to
162 operate similarly when the chemistry assignments and estimates are updated in the future.

163 Table 1. Types of stable compounds whose reactions are supported by MechGen.

Type of Compound	Reactions [a]
Alkanes	OH, NO ₃
Alkenes (including those with multiple double bonds)	OH, O ₃ , NO ₃ , O ³ P
Alkynes	OH, NO ₃
Aromatic hydrocarbons	OH
Aldehydes, ketones, hydroperoxides, organic nitrates	OH, NO ₃ , hν
Alcohols, ethers, esters, other oxygenates	OH, NO ₃
Unsaturated aldehydes, ketones, hydroperoxides, and nitrates	OH, O ₃ , NO ₃ , O ³ P, hν
Phenols	OH, NO ₃
Furans and other unsaturated oxygenates	OH, O ₃ , NO ₃ , O ³ P
Amines	OH, NO ₃
Peroxynitrates	Unimolecular, OH, NO ₃ , hν
Bi- and polyfunctional compounds	OH, O ₃ , NO ₃ , O ³ P, hν, as applicable

164 [a] See Carter et al. (2025a) for more detail and types of compounds not supported.

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168 Table 2. Summary of types of reactions supported by MechGen.

Reactant(s) [a]	Type of reactions [b]
VOC + OH	H-atom abstraction. Addition to double, aromatic, and triple bonds
VOC + O ₃	Addition to double bonds and to N atoms in amines
VOC + NO ₃	H-atom abstraction. Addition to double bonds
VOC + O ³ P	Addition to double bonds
VOC + hν	Usually breaking the weakest bond, but depends on the compound
VOC Uni.	Unimolecular decompositions of peroxynitrates
Carbon-centered Radicals	O ₂ addition. H-abstraction from α-OH groups, unimolecular reactions of excited OH adducts, unimolecular decompositions
Peroxy or Acyl peroxy Radicals	Reactions with NO forming the corresponding alkoxy radical and organic nitrate; reactions with NO ₂ , NO ₃ , HO ₂ or other peroxy radicals; and unimolecular reactions
Alkoxy Radicals	α-H abstraction by O ₂ forming the corresponding carbonyl compound. β-scission and H-elimination decompositions. H-shift and ester rearrangement isomerizations
Criegee intermediates	Decompositions, stabilization, or rearrangements.

169 [a] "VOC" indicates any stable volatile organic compound supported by the system.

170 [b] See Carter et al. (2025a) for more detail concerning types of reactions.

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172 **2. REACTANT SPECIFICATION AND SINGLE-STEP REACTIONS**

173 **2.1. Creation and Specification of Reactants**

174 Reactants are created as objects within MechGen by specifying their structures. The structure of
175 an organic reactant or radical is specified by giving the "groups" in the molecule or radical, and indicating
176 the groups each are bonded to, and the type of bond. As listed in Table 3, groups are parts of molecules
177 that are treated as units in the system, and contain no more than one carbon, nitrogen, or halogen atom,
178 but can also contain one or several hydrogen or oxygen atoms. The leading or trailing "-", "=", "#", or "-a"
179 indicate groups that can bond to neighboring groups by single, double, triple bonds, or aromatic/allylic
180 bonds. Any groups with such designations can bond to other groups with such designations to form
181 chains. Mechanisms are generated and rate constants are estimated based on the groups present, the
182 groups they are bonded to, and in some cases on groups elsewhere in the molecule. Groups can be radical
183 or non-radical, with reactants containing a radical group referred to as radicals or intermediates. Examples
184 of reactant designations are given in Table 4, and additional discussion on reactant designation and
185 creation by specifying structures is available in the User Manual.

186 MechGen also includes objects representing elementary species that can react with reactants (e.g.,
187 OH or O₃), react with radicals (e.g., O₂ or NO), or be formed in reactions (e.g., H₂O or CH₄). These are
188 listed in Table 5.

189 **2.2. Single Step Reactions**

190 Single step mode consists of generating only the initial reaction(s) of a specified compound or
191 intermediate, without reacting the resulting products or intermediates. When reacting stable compounds, it
192 is necessary to specify the type of reaction (e.g., whether unimolecular or a bimolecular reaction with a
193 specified oxidant), whereas all possible reactions are automatically generated for radicals. Generating
194 reactions in single step mode is useful to obtain information on how the system estimates a compound's
195 reactions, since the results show not only the products formed and the rate constants or branching ratios
196 derived, but also documentation on the estimates or assignments used during reaction generation.

197 The algorithm for generating single step reactions is shown in Figure 1, and examples of output
198 are shown in Figure S1 in the Supplement. Note that Figure S1 shows not only the generated reactions but
199 also text documenting how the reactions were derived. Reaction types and derivation methods are
200 discussed by Carter et al. (2025a), and the specific procedures shown in Figure 1 depend on whether the
201 reactant is a stable compound or an intermediate, and also on the type of intermediate. Assigned reactions
202 and rate constants are used for reactants for which assignments are made, but for most reactants, reactions
203 and rate constants have to be estimated using methods discussed by Carter et al. (2025a). In some cases,
204 assignments are made for rate constants but not for mechanisms or branching ratios; in those cases, the
205 reactions are generated using the general estimation methods, but the rate constants output are the
206 assigned rather than the estimated values.

207 Some radicals are estimated to undergo unimolecular or O₂ reactions so rapidly that it is not
208 necessary to estimate their total rate constants to predict their atmospheric fates. For example, most alkyl
209 radicals are consumed only by reaction with O₂, and many "explicit" mechanisms simply replace them
210 with the peroxy radicals they form, resulting in these alkyl radicals not appearing in the generated
211 mechanism. MechGen does include these radicals and their reactions, though it flags them as being "fast"
212 and only outputs their branching ratios, when applicable.

213 For photolysis reactions, the system outputs a "photolysis set" name that gives absorption cross
214 sections and optionally quantum yields as a function of wavelength, and also a wavelength-independent
215 quantum yield if the quantum yields are not given in the photolysis set. The photolysis sets referenced by
216 MechGen are those used for the SAPRC-22 mechanism as listed and documented by Carter et al. (2025a),
217 and these files can be downloaded with other files needed to implement that mechanism (Carter 2024).
218 Single step reaction output also includes the calculated photolysis frequency for a representative solar
219 light intensity and spectrum, but this should not be considered part of the mechanism because it depends
220 on the light environment.

221 In the case of peroxy radicals, the system first derives unimolecular reactions if they are estimated
222 to be non-negligible for this radical, then derives the bimolecular reactions with NO, NO₂, NO₃, HO₂,
223 generic alkyl peroxy radicals (RO₂), and generic acyl (RCO₃) peroxy radicals in that order. Deriving all
224 the possible organic peroxy + peroxy reactions is impractical due to the large number of peroxy radicals
225 formed in realistic photooxidation systems and the fact that the other peroxy radicals present are unknown
226 at the time of mechanism generation. Instead, MechGen treats peroxy radicals as reacting with the totals
227 of all alkyl and all acyl peroxy radicals. The products from each reaction type include those formed from
228 the subject radical, plus counter species used to represent the type of co-products formed from the sum of
229 peroxy radicals it reacts with. Those counter species can be either generic alkoxy radicals, designated
230 "RO." or "RCO2" [representing RC(O)OO[·]]; or carbonyl or alcohol H-transfer products, designated "RO-
231 alpha-H" and "ROH" or "RCO-OH" (Carter et al., 2025a). They are included as products of these
232 reactions during reaction generation for tracking if desired, but are deleted as products when the
233 mechanisms are reduced or lumped.

234 The user-modifiable parameters that affect the single step reaction generation process are shown
235 in Table 6. The temperature affects the thermal rate constants. The pressure affects rate constants assigned
236 to falloff reactions and also estimated nitrate yields in the reactions of peroxy radicals with NO, and in
237 some cases, fractions of excited radicals that are stabilized. The presence of water affects estimated
238 reactions of low reactivity stabilized Criegee intermediates, as indicated in Figure 1 (Carter et al., 2025a).
239 When users select single step operations, all estimated reactions are displayed, allowing users to see
240 which reactions are considered and how their rate constants are estimated. However, negligible reactions
241 are removed when the outputs of single step reaction operations are processed when called during full
242 mechanism generation, as discussed in Section 3. In addition, reactions of non-acyl peroxy radicals with
243 NO₂ forming alkyl peroxy nitrates (shown in Figure S1c) are not included during full mechanism
244 generation by default because these peroxy nitrates are assumed to rapidly decompose at temperatures of
245 interest (Carter et al., 2025a). These reactions can be included by either increasing the kFastUni parameter
246 (see Table 8), or decreasing the reactor temperature such that the estimated rate constant is less than
247 kFastUni."

248 **2.3. User Modifiable Mechanism Assignments**

249 As indicated above, MechGen uses assigned rate constants and branching ratios when deriving
250 single-step mechanisms whenever possible, and otherwise uses estimates and SARs when no assignments
251 are available. While updates will be made as new data become available, the assignments will not always
252 be up to date. In addition, some users may wish to employ MechGen to derive mechanisms using recently
253 measured or newly derived rate constants and branching ratios, or to explore how varying uncertain
254 estimates impact mechanisms. To address this, MechGen allows users to add custom (or "user")
255 mechanism assignments, which can supplement or replace the default settings. The steps involved in
256 making or managing user assignments are described in the User Manual.

258 Table 3. List of groups and group designations used to specify C_{2+} organic reactants whose
 259 reactions can be generated using MechGen.

Type	Group designations [a]			
<u>Non-Radical Groups</u>				
Alkane	-CH3	-CH2-	-CH()-	-C()0-
Alkene	=CH2	=CH-	=C()-	=C=
Alkyne	#CH	#C-		
Aromatic or Allylic [b]	-aCH-	-aC()-		
Oxygenate	-CHO	-CO-	-OH	-O-
Nitrate, nitro, nitroso	-ONO2	-NO2	-NO	
Amino and amine-oxy	-NH2	-NH-	-N()-	-N[O]0-
Imine	=NH	=N-		
Criegee intermediates	CH2OO-			
	-C[OO]-syn-	-CHOO[syn]-	-CHOO[anti]	-syn-C[OO]--
Halogen	-F	-Cl	-Br	-I
<u>Radical or Reactive Intermediate Groups</u>				
Carbon centered radicals	-CH2.	-CH[.]-	-C[.]0-	=CH.
	=C[.]-	-pC[.]-	-CO.	
Allylic radicals [b]	-aCH2.	-aCH[.]-	-aC[.]0-	
Peroxy radicals	-CH2OO.	-CH[OO.]-	-C[OO.]0-	
	-CO[OO.]	=CHOO.	=C[OO.]-	-pC[OO.]-
Alkoxy radicals	-CH2O.	-CH[O.]-	-C[O.]0-	=CH[O.]
	=C[O.]-	-CO2.	-pC[O.]-	
Criegee intermediates [c]	-CHOO {excited}	-C[OO]- {excited}		
Radicals from amines	-NH.	-N[.]-	-NH2[O]	-NH[O]-
Carbenes	-CH[..]	-C[..]-		

260 [a] Bond types are indicated by "-" (single or aromatic), "=" (double), or "#" (triple). The number of
 261 bonds shown indicate if it is bonded to one or two or more groups. The "()" notation is not part of the
 262 group designation itself, but is used to indicate that the group is bonded to a 3rd or 4th group. An "a"
 263 or "p" prefix in the group name indicates that it has alternating single and double bonds. The "p"
 264 prefix is used for phenyl, phenoxy or phenyl peroxy radicals. Some groups can have different bond
 265 designations depending on their location in the molecule, e.g., "CH3-" or "-C[.]=" rather than "-CH3"
 266 and "=C[.]-". See examples in Table 4.

267 [b] Allylic groups are used in reactants with two or more resonance structures involving adjacent double
 268 bonds and radical centers. Allylic radical groups are used for the portions where that the radical center
 269 is located in at least some of the resonance structures. Aromatic groups are used for the portions
 270 where the radical center is not located in any resonance structures.

271 [c] The "{excited}" designation is given at the end of the structure designation and indicates how the
 272 excited intermediate was formed. This designation is also used following full reactant structure
 273 designations to indicate excited adducts formed when radicals add to double bonds, but in this case
 274 the excitation is associated with the entire molecule and not a single group.

275 Table 4. Examples of designations of selected representative compounds or intermediates.

Compound	MechGen Structures [a]
<u>Stable Compounds</u>	
propene	CH2=CH-CH3; CH3-CH=CH2
methyl acetylene	CH#C-CH3; CH3-C#CH
3,3-dimehtyl pentane	CH3-CH2-C(CH3)(CH3)-CH2-CH3; CH3-C(CH3)(CH2-CH3)-CH2-CH3
trans-3-methyl-2-pentene	CH3-^CH=C(vCH3)-CH2-CH3; CH3-CH2-C(^CH3)=CH-^CH3 [b]
cyclopropane	CH2*-CH2-CH2*; *CH2-CH2-*CH2 [c]
bicyclo [1.1.1] heptane	CH2*1-CH*2-CH2-CH*1-CH2*2; CH*12-CH2-CH(CH2*1)-CH2*2 [c]
toluene	CH3-aC*-aCH-aCH-aCH-aCH-aCH* [d]; CH3-C*=CH-CH=CH-CH=CH*
naphthalene	aC*12-aCH-aCH-aCH-aCH-aC*1-aCH-aCH-aCH-aCH*2
2-propyl nitrate	CH3-CH(CH3)-ONO2; CH3-CH(ONO2)-CH3
carbitol acetate	CH3-CH2-O-CH2-CH2-O-CH2-CH2-O-CO-CH3
<u>Intermediates</u>	
2-propyl radical	CH3-CH[.]CH3
2-propyl peroxy radical	CH3-CH[OO.]CH3
methyl allyl radical	CH3-aCH[.]aCH-aCH2 [d]; CH3-CH[.]CH=CH2; CH3-CH=CH-CH2.
OH + benzene adduct	HO-CH*-aCH[.]aCH-aCH[.]aCH-aCH[.]*
Excited Criegee int. from O3 + alkene	CH3-CH2-C[OO]-CH3 {*O3Ole}; CH3-C[OO]-CH2-CH3 {*O3Ole}
Stabilized Criegee int. with <i>syn</i> -CH ₃	CH3-syn-C[OO]-CH2-CH3; CH3-CH2-C[OO]-syn-CH3

276 [a] The first MechGen structure codes given are those generated by the system. If subsequent structures
277 are given, they are alternatives that can be used to create the same compound.

278 [b] The symbols "v" and "^" are used to indicate "cis" or "trans" configurations, analogous to "\\" and "/"
279 in Smiles notation.

280 [c] The symbol "*" is used to indicate ring closure. "*1", "*2", etc used for multiple ring structures,
281 analogous to "1", "2", etc. in Smiles notation.

282 [d] The symbol "aC" used to indicate carbon centers where with single and double bonds are in
283 resonance, as in aromatic species or allylic radicals.

284 Table 5. List of elementary species used by MechGen.

Type	Group designation				
Non-reacting products [a]	H2 HCHO	H2O NH3	HONO HCl	HNO3	CH4
Species that react with VOCs	OH Cl.	O3 Uni [b]	NO3	O3P	hν [b]
Species that react with radicals	O2	NO	NO2	RO2. [c]	RCO3. [c]
Counter species [c]	RO.	ROH	RCO2.	RCO-OH	RO-alpha-H [d]

285 [a] These species are treated as unreactive by MechGen.

286 [b] Pseudo-species used to indicate photolysis or unimolecular reactions of stable compounds.

287 [c] Generic species used as reactants (RO2., RCO3.) or products (RO., etc.) in peroxy + peroxy reactions.

288 [d] "RO-alpha-H" refers to the carbonyl formed when an α -hydrogen on an alkoxy radical is abstracted.

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Table 6. List of parameters that affect single-step mechanism generation.

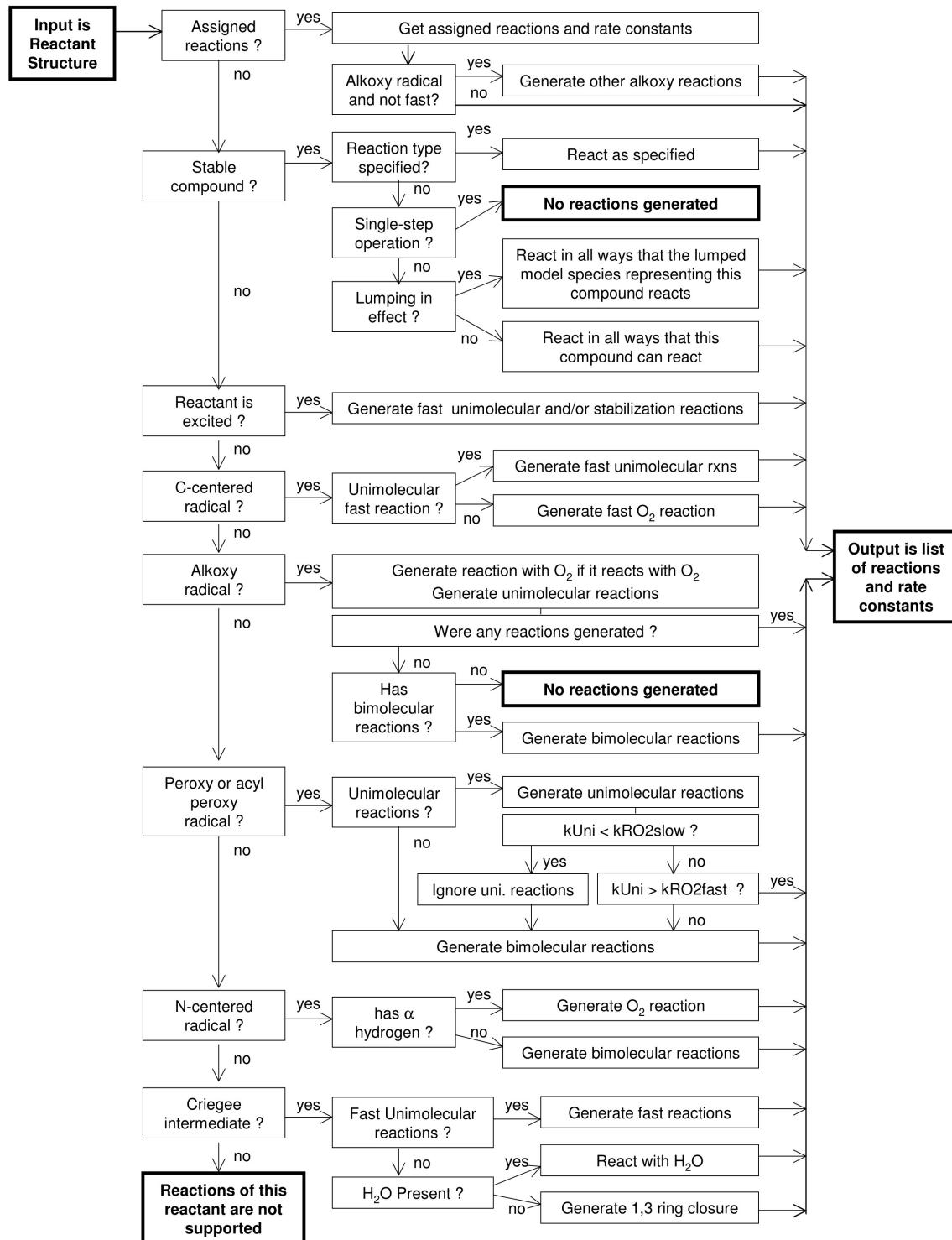
Parameter (code)	Affects	Default	Note
Temperature (T)	Thermal rate constants and O ₂ concentration	298K	
Pressure (P)	Pressure-dependent rate constants and O ₂ level	1 atm	
O ₂ fraction (O2)	Percentage of O ₂ in reacting environment	20.95%	[a]
Light Source (light)	Actinic flux values used to calculate rate constants for photolysis reactions in output displays	As used by Carter (1994)	[b]
Water present (H2O)	Parameter indicating whether water is present.	H ₂ O is present	[c]

293 [a] Affects output displays and estimates of product yields, but not reactions in explicit generated
294 mechanisms. However, this affects the full mechanism generation process discussed in Section 3.295 [b] The actinic fluxes are used to calculate rates of photolysis reactions given the absorption cross
296 sections and quantum yields assigned to the photolysis reactions. The photolysis frequencies are not
297 part of the mechanism but are included as outputs of single step mechanism generation and are also
298 used to derive overall product yields for various environments, as discussed in Section 3.3 and to
299 derive multi-generation mechanisms as discussed in Section 4.

300 [c] Currently applicable only to low reactivity Criegee intermediates (Carter et al., 2025a).

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304 Figure 1. Diagram of algorithm used to generate single-step reactions.

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306 **3. FULL MECHANISM DERIVATION**

307 **3.1. Description of Process**

308 Full mechanism derivation for a compound involves first reacting it through all, or a designated
309 subset, of its possible initial atmospheric reactions; and then reacting any reactive intermediates that are
310 formed. Stable products formed are not reacted, so the mechanism output reflects only a single generation
311 of reactions, as opposed to multi-generation mechanism derivations discussed in Section 4. Reactive
312 intermediates are defined as products that have radical or intermediate groups (see Table 3) or non-radical
313 compounds that undergo unimolecular reactions with rate constants greater than a specified value, which
314 is 0.0167 s^{-1} (1 min^{-1}) by default. The result is a sequence of reactions that were generated and their rate
315 parameters, lists of intermediates involved and products formed, and information about the products and
316 intermediates that may be useful.

317 In order to provide the capability to generate explicit mechanisms of appropriate sizes for specific
318 modeling applications, and to estimate relative yields of products formed under various conditions,
319 MechGen provides standard environments that specify concentrations of atmospheric species that can be
320 used for these purposes. The currently available standard environments are summarized in Table 7, with
321 additional information given in Section S2 of the Supplement.

322 The currently available standard environments listed in Table 7 all represent urban conditions, but
323 users can define new environments as described in the User Manual to include a wider range of
324 conditions. The default use of these environments is indicated in Table 7, with three environments
325 representing the range of urban conditions with regard to NO_x availability, and an additional environment
326 representing nighttime conditions. By default, the "Standard" or mid- NO_x environment is not used for
327 mechanism generation, as reactions important under those conditions would also be important under
328 either high or low NO_x conditions, if not both. The mid NO_x environment is useful, however, for
329 determination of relative product yields under urban conditions that are equally sensitive to both VOC
330 and NO_x . It is also the default environment used when deriving multi-generation mechanisms (see Section
331 4).

332 The user-modifiable options controlling full mechanism derivation are summarized in Table 8,
333 and the algorithm employed is shown in Scheme S1 of the Supplement, which outlines the overall
334 process, and Scheme S2, which details the portion that determines which reactions are deleted. A key
335 option affecting the sizes of generated mechanisms is the "MinYld" parameter, which determines which
336 competing reactions in the single-step reactions can be neglected. A related option concerns whether and
337 which environments are used during mechanism generation to estimate upper limit yields for competing
338 bimolecular reactions. The "MinYld" test is implemented by assigning an "upper limit yield" for the
339 initial reactant as unity, and estimating upper limit yields of intermediates whose reactions are generated
340 as the product of the assigned or estimated upper limit yield of the reactant forming it, multiplied by the
341 upper limit relative yield of the intermediate in the reactions of the reactant forming it. Upper limit yields
342 are also derived for stable products formed in the generated mechanisms, for the purpose of estimating
343 their approximate importance in the mechanism once it is generated. Reactions forming products with
344 estimated upper yields less than MinYld are neglected, unless there is only one reaction of the reactant.
345 When reactions are neglected, the rate constants and yields of the competing reactions are adjusted to
346 ensure no mass or moles are lost (see Scheme S2). Upper limit yields are also derived for non-reacting
347 products, but this is used for information purposes only.

348 The relative yields of products from reactions of the initial reactant or reacting intermediate can
349 depend on the environment. MechGen assumes constant temperature, pressure, and O₂ levels when doing
350 full mechanism generations. As a result, relative yields of products from reactants that undergo only
351 unimolecular reaction or with O₂ are treated as environment independent. However, environments
352 significantly affect yields of products from reactants that undergo other bimolecular reactions. In such
353 cases, either a single environment must be specified to calculate yields for the MinYld test, or upper limit
354 relative yields must be estimated. If no environment is specified, upper limit yields are derived by
355 assuming that each type of bimolecular reaction is equally important. If more than one environment is
356 used for mechanism generation, relative yields are determined for each environment, and the highest yield
357 among these environments is then used to derive upper limit yields for the MinYld test. Note that the total
358 of upper limit yields for competing reactions can exceed 100% unless only one environment is used.

359 As shown in Scheme S2, the MinYld test is not used for reactions of peroxy radicals with NO and
360 for photolysis reactions of initial reactants. The only reactions with NO that may be generated are
361 reactions of peroxy radicals forming alkoxy radicals or organic nitrates. Since the formation of alkoxy
362 radicals is always relatively more important, neglecting the nitrate-forming reaction using MinYld test
363 will result in underestimation of radical and NO_x sink processes without significantly reducing
364 mechanism size. Similarly, the MinYld test is not used for photolysis reactions because MechGen does
365 not generate many competing such reactions and deleting any of them may affect predictions of radical
366 sources without significantly reducing the size of the mechanism. Generally, it is unimolecular reactions
367 that tend to have many competing processes where some may be negligible according to the MinYld test.

368 For a given MinYld value, assuming no environments gives the largest mechanisms that are
369 applicable to a full range of conditions, while assuming a single environment gives the smallest
370 mechanisms that are suitable for that environment, but they may not be as accurate when applied to other
371 conditions. Using an appropriate set of environments produces mechanisms of intermediate size that may
372 be optimum if the environments used represent the range of conditions where the mechanism may be
373 used. As indicated in Table 8, the default is to use three environments that represent the range of urban
374 conditions. Use of additional environments may be appropriate if the mechanisms are to be used for more
375 remote scenarios.

376 An example of a mechanism derived using a full mechanism generation process is shown in
377 Figure S2 of the Supplement, with a representative subset displayed in Figure 2. These figures show
378 reactions generated for 1,3-butadiene under default conditions. Note that designations such as
379 "{*OHadd}" or "{*O3ole}" indicate excited intermediates. This output includes the rate constants at the
380 default temperature of 298 K ("k"), the branching ratio for various competing reactions ("Fac"), the
381 estimated upper limit weighting factor to determine which reactions can be neglected ("Weight"), and the
382 reactants and products involved of the reactions. If a rate constant is not listed, the reaction is assumed to
383 be fast and the reactant to be in steady state, making the fate of the reactant independent of the rate
384 constant. In such cases, the branching ratios for competing fast routes are given in the "Fac" column.

385 The counter species such as "RCO2." and "RCO-OH", shown in Figures S1 and S2, are used for
386 reactions of peroxy radicals with other peroxy radicals, as indicated in Table 5. The output shown in
387 Figure S2 does not include the assigned or estimated Arrhenius parameters for calculating rate constants
388 at different temperatures for some reactions, but this information can be obtained by selecting other
389 output formats for the reactions as discussed in the User Manual.

390 The numbers of reacting intermediates, stable products, and reactions for mechanisms derived for
391 representative compounds using various mechanism generation options are discussed in Section 3.4 and
392 ratios of these are shown in Figure S7 in the Supplement. In most cases, the ratios of the numbers of

393 reacting intermediates in the explicit mechanisms are about 30-50% the numbers of reactions, tending to
394 be smaller when no environments or lower MinYld values are used, with no clear dependence on
395 mechanism sizes. The ratios appear to be higher for the representative aromatics than for other types of
396 compounds, at least for these examples. The ratios of numbers of stable products to numbers of reactions
397 tend to be in the 30-40% range and are less variable than the ratios for intermediates.

398 **3.2. Derivation of Minimally Reduced Mechanisms**

399 The numbers of reactions and intermediates in generated mechanisms can be significantly
400 reduced by combining parallel reactions of the same reactants and by eliminating intermediates that
401 always rapidly form the same product(s) regardless of the environment. Combining parallel reactions
402 gives product yields derived from the ratios of the rate constants for individual reactions to the total rate
403 constant, and reduces the numbers of reactions, but does not affect the numbers of species in the
404 mechanism. The numbers of predicted stable products cannot be reduced without further lumping, but
405 many reacting intermediates whose fates do not depend on environmental conditions can be removed by
406 assuming steady state so that they can be replaced by the products they form. This results in reducing the
407 total number of intermediates by factors of 3 or higher (see below).

408 The intermediates removed by this process include species that undergo only unimolecular
409 reactions or reactions with O₂, H₂O, or by stabilization, meaning their products do not depend on the
410 environment if O₂, temperature, water content, and pressure are assumed constant. These include all
411 carbon-centered radicals, all excited intermediates, almost all alkoxy and nitrogen-centered radicals, and
412 many Criegee intermediates. These all react fast enough on the time scale involved with atmospheric
413 modeling that they can be assumed not to build up in concentration, so replacing them by their products
414 should not significantly affect model predictions.

415 However, this process does not eliminate peroxy or acyl peroxy radicals that do not undergo fast
416 unimolecular reactions, since they are consumed primarily or at least significantly by bimolecular
417 reactions involving NO_x and other peroxy species, whose relative concentrations depend on the reacting
418 environment. Nevertheless, as discussed below, this results in the removal of most reactive intermediates
419 in the explicit generated mechanisms.

420 Vereecken and Nozière (2020) calculated that most hydroperoxy-substituted peroxy radicals
421 should interconvert to other such radicals with relatively high rate constants, and these are incorporated
422 into the estimated mechanisms produced by MechGen (Carter et al., 2025a). These interconversion
423 reactions are not considered when estimating whether competing reactions may be negligible because
424 they are not net sink processes for such radicals. However, including these rapid interconversion reactions
425 in the processed or lumped mechanisms used in models can potentially cause numerical "stiffness"
426 problems in model simulations and also needs to be taken into account when product yields are estimated,
427 as discussed in Section 3.3.

428 Therefore, as part of this initial processing, rapidly interconverting hydroperoxy-peroxy radicals
429 are identified and represented in the processed mechanism by a lumped radical species representing the
430 sets of interconverting radicals that are assumed to be in equilibrium. Reactions forming these radicals are
431 represented as forming this lumped radical species, and reactions of these radicals are replaced by
432 reactions of this lumped species, forming products of the interconverting radicals with yields multiplied
433 by the equilibrium fraction of the radical derived from the rate constants involved. This process is
434 discussed in Section S3.1 of the Supplement. This removes these rapid interconversion reactions from the
435 mechanism, while retaining their effects on overall product yields.

436 Mechanisms processed this way are referred as "minimally reduced" or "processed" mechanisms.
437 They should give essentially the same predictions in models as the explicit generated mechanisms as long
438 as the temperature and O₂ levels are constant at the defaults set for the reactor when the mechanism was
439 generated (see Table 6), and as long as the steady state approximation is appropriate for the reacting
440 intermediates removed during the minimal reduction process. This has been verified in test calculations
441 using representative chemical systems.

442 MechGen automatically derives a minimally processed mechanism after any successful full
443 mechanism generation process. These are used as the input when deriving product yields, deriving multi-
444 generation mechanisms, or when deriving lumped mechanisms using various approaches, as discussed in
445 the following sections. The algorithm employed to derive minimally reduced mechanisms from the
446 explicit generated mechanisms is outlined in Section S3.2 of the Supplement.

447 The minimal reduction process tends to reduce the numbers of reactions by factors of 2 - 2.5, and
448 the numbers of reacting intermediates by factors of 3 - 5. This is shown in Figure S8 in the Supplement,
449 which shows plots of these ratios against a measure of the mechanism sizes for the mechanisms of the 27
450 representative compounds and mechanism generation options discussed in Section 3.4. The extent of
451 reduction in numbers of reactions is variable and has no clear dependence on the mechanism size, but the
452 reduction in numbers of intermediates is less variable and clearly decreases with mechanism size. Again,
453 the two representative aromatics appear to be outliers in this regard, having much greater reductions in
454 numbers of intermediates. This may be related to the fact that the aromatics tend to have the larger
455 numbers of intermediates than comparably sized mechanisms for other compounds (see Figure S7).

456 Representative examples of MechGen output for a minimally processed mechanism are shown in
457 Figure 3 and Figure S3, which use the explicit mechanism from Figure 2 or S2 as the starting point.
458 Figure S3 shows the complete output for the products of the reactions of 1,3-butadiene in the default
459 standard environment, while Figure 3 shows the first parts of the species and reaction listings. The format
460 aligns with that used by the SAPRC modeling software (Carter, 2024), which is similar to formats used
461 by other modeling software systems. The top part of the figures shows the names used for reactants and
462 products in the mechanisms and their corresponding structures. Intermediates are named using the name
463 of the reactant followed by "-" and a sequence number, while stable species use various naming methods.
464 Compounds that are important in emissions or the atmosphere are generally given 2-8 character SAPRC
465 names based on abbreviating their actual names; compounds that may not be as important but where
466 permanent names are or have been needed when developing SAPRC mechanisms are given permanent
467 "ORG-nnnn" names; and others are given temporary "VOC-nnnn" names that are applicable only to this
468 processed mechanism. Additionally, processed mechanisms using actual structures strings to name the
469 reactants and products can also be output, as discussed in the User Manual.

470 **3.3. Estimation of Product Yields**

471 The relative yields of products formed in the generated mechanisms can be determined if the
472 conditions of the environment where the compound reacts are specified and assumed to be constant.
473 Derivations of product yields for specified environments are useful to provide a means for assessing
474 which types of products are important and how their yields vary with environmental conditions. It is also
475 useful for improving the efficiency and optimizing the size of multi-generation mechanisms, as discussed
476 below in Section 4.

477 The algorithm MechGen uses to derive relative product yields from a processed mechanism is
478 described in Section S3.3 of the Supplement. It uses in part the fact that most radical intermediates can be
479 ordered such that intermediates are formed only by reactions of intermediates above it on the list. This

480 assumption is generally true for mechanisms derived using MechGen, with the exceptions of
481 interconversion of phenoxy and phenyl peroxy radicals due to their reactions with O_3 and NO,
482 respectively, and to interconversions of hydroperoxy-substituted peroxy radicals due to rapid H-shift
483 reactions, as discussed by Carter et al (2025a) and Vereecken and Nozière (2020). The most rapid of the
484 hydroperoxy-peroxy interconversions are removed by employing an equilibrium approximation, but some
485 such interconversions are too slow for this approximation to be appropriate, as discussed in Section S3.1.
486 For such cases, a matrix inversion procedure derived from the steady-state equations for the pseudo-first-
487 order reactions of the interconverting radicals is employed, as discussed in Section S3.3.

488 Figure S4 in the Supplement shows an example of product yield output corresponding to the
489 processed mechanism shown in Figure 3 and S3 and the explicit mechanism in Figure S2. Selected
490 portions of this output are shown in Figure 4. This product yield output consists of three parts:

- 491 • The first part summarizes the environments used and, for the web system, gives links to obtain
492 their oxidant concentrations.
- 493 • The second part shows the fractions of the compound that react with the various oxidants, and is
494 not shown if compound reacts with only one reactant. For this example, most of the reaction is
495 with OH, except in the nighttime environment where reaction with NO_3 dominates.
- 496 • The third part shows the product yields for the various environments, sorted in descending order
497 by average yield in the environments, with organic products hyperlinked in the web output so
498 they can be readily created and reacted if desired, and with inorganic products such as NO_2 (e.g.,
499 from peroxy + NO reactions), HO_2 , O_2 , and H_2O included. The entry for "NO-loss" shows how
500 many moles of NO are consumed by reaction with peroxy radicals, which is directly responsible
501 for O_3 formation when organics react in the presence of NO_x .

502 If the reactant forms non-volatile or semi-volatile products, the product yield output will also
503 include mass percent yields of various volatility bins. If a representative atmospheric organic PM level is
504 specified as a reactor option ($50 \mu\text{g m}^{-3}$ is the default), the product yield output will also include total
505 SOA yields corresponding to that organic PM level. This is discussed in Section S4 of the Supplement.
506 The total mass yield of non-volatile products is also output. Note that these mass bin yields will sum up to
507 more than 100% because of the oxygen and nitrate groups added to the molecules during the oxidation
508 process.

509 **3.4. Effects of Varying Full Mechanism Derivation Options**

510 The mechanisms derived using the full mechanism operation depend on the values of the MinYld
511 parameter and the use of environments, both of which affect which reactions and intermediates are
512 neglected due to low relative yields. The effects of different choices in this regard are discussed in this
513 section. This was examined by varying MinYld and environment options when deriving mechanisms for
514 the representative compound α -pinene, and by comparing results for a more limited set of choices for 27
515 representative compounds of various types (listed in Table S3 in the Supplement). These include the
516 series of n-alkanes from propane through hexadecane, various C_8 alkanes, alkenes, oxygenates, and
517 aromatics, and two terpenes.

518 Figure 5 shows the effects of varying the MinYld parameter and environment options on the
519 numbers of explicit reactions, stable products, and peroxy intermediates in mechanisms generated for α -
520 pinene for various environment options. As expected, the number of reactions increases as the MinYld is
521 decreased, with no tendency to level off at either the high or low MinYld range. As also expected, using

522 no environments resulted in the largest mechanisms, while using the three default environments (shown in
523 Table 7) resulted in about three times fewer reactions in the case of α -pinene, and using only one
524 environment reduces the number of reactions by almost an additional factor of two. This is because
525 estimated upper limit yields are highest if no environments are used and increase with the numbers of
526 environments if more than one is used. Note that the numbers of peroxy radicals are important because
527 they determine the minimum size of the processed mechanisms, even if product lumping is employed.

528 Although removing reactions through the MinYld test does not affect mass balance because they
529 are replaced by competing reactions determined to be more important, these removals will change
530 predicted product yields, reducing the potential accuracy of the mechanism. This can be assessed by
531 assuming that a mechanism derived with very small MinYld values and no environments can approximate
532 the mechanism derived without deletions. Comparing product yields predicted by a mechanism generated
533 with a given set of options with those predicted by this reference mechanism gives an indication of the
534 effects of these deletions on predictions. The total changes in product yields are quantified as:

535
$$\text{Total yield change (Set, Envt)} = \sum_{\text{Products}} | \text{Yield}(\text{Product, Set, Envt}) - \text{Yield}(\text{Product, Ref}) |$$

536 where "Set" refers to a mechanism derived for a compound using the mechanism generation options being
537 considered, "Envt" is a representative environment, "Ref" refers to reference mechanism for the
538 compound derived with the lowest MinYld and no environments, and "Yield" is the molar yield of
539 product per mole VOC reacted under the conditions of that environment. The summation includes all
540 organic products with yields greater than 0.0005 moles per mole VOC reacted and also amounts of NO
541 consumed, and NO₂, HO₂ and OH radicals formed. Yields for products less than 0.0005 moles per mole
542 VOC reacted are insignificant individually and thus excluded in the summation, though not necessarily
543 insignificant collectively. The values for the total yield change can range from zero to a maximum of the
544 total moles of products formed per mole reactant, which is usually more than one.

545 Figure 6a shows the total yield changes predicted for the mid-NO_x standard urban environment
546 for all the α -pinene mechanisms shown in Figure 5, as a function of the MinYld parameter. The yields
547 predicted by the reference mechanism derived using a very low MinYld value of 0.01% and no
548 environments (with ~26,000 explicit reactions) are used as the standard. As expected, yield changes
549 increase as the value of MinYld increases, though the dependence on environment options is relatively
550 small except at higher MinYld values. The total changes exceed 0.05 moles product per mole α -pinene
551 reacted when MinYld > 1%, but are around 0.01 moles or less when the default MinYld value of 0.5% is
552 used. Decreasing MinYld to 0.1% resulted in changes being decreased to 0.003 moles or less.

553 Figure 6b shows the effects of MinYld on moles of NO consumed per mole of α -pinene reacted,
554 and Figure 6c shows effects on the yields of organic products in the condensed phase, assuming
555 equilibrium and atmospheric aerosol levels of 50 $\mu\text{g m}^{-3}$ (as discussed in Section S4 of the Supplement).
556 The effects on NO consumption reflect effects on O₃ formation because reactions of intermediates with
557 NO are the processes responsible for O₃ formation in the lower atmosphere (e.g., see Finlayson-Pitts and
558 Pitts Jr., 1999). The amount of organic products predicted to be in the condensed phase assuming
559 equilibrium provides an indication of the effect of the VOC's reaction on SOA formation, though this
560 should be considered to be highly approximate because effects on SOA depend on environmental
561 conditions, estimates of vapor pressure are uncertain, and the equilibrium approximation is an
562 oversimplification. Figure 6b and c indicate that, for α -pinene at least, the apparent effects on both O₃ and
563 SOA are relatively small, being only 0.3% or less when the default MinYld parameter is used.

564 Figure 6 shows effects of MinYld on product yield changes for α -pinene under mid- NO_x urban
565 conditions. The effects on yield changes for other standard environments incorporated in MechGen are
566 shown in Figure S6 in the Supplement. The total yield changes for the daytime environments are similar,
567 though the effect on NO consumption is lower under lower NO_x conditions because there is less NO
568 consumed. The total yield changes are much less under nighttime conditions, presumably because fewer
569 reactions tend to be important. Note that no NO is present in the nighttime scenario, and MechGen
570 predicts that α -pinene forms much lower yields of low-volatility products at night compared to daytime.

571 The effects of varying MinYld and environment options for other compounds are shown in Figure
572 7. This shows effects on (a) numbers of explicit reactions, and (b) total yield changes, for the mid- NO_x
573 environment for 27 representative compounds of various types (listed in Table S3 in the Supplement).
574 Plots showing ratios of numbers of reacting intermediates or stable products to numbers of reactions for
575 these compounds with different mechanism generation options are shown on Figure S7. As expected, the
576 mechanism size increases with the size of the molecules, but the type of molecule is also important, as
577 indicated by the large variability for the representative C_8 compounds. Highly branched compounds and
578 aromatics tend to have the smallest generated mechanisms, whereas cyclic alkenes, such as terpenes, tend
579 to have the largest. The effects on product yield tend to correlate with mechanism size, though the results
580 are variable and the correlation is relatively weak (~50%) except at the lowest MinYld level.

581 Table 7. Standard environments available for determinations of which reactions are negligible
 582 during mechanism generation and for estimation of product yields.

Scenario	High NO _x	Standard (mid NO _x)	Low NO _x	Nighttime
Description [a]	Maximum VOC reactivity (MIR)	Equal sensitivity of O ₃ NO _x levels 1/10 those to VOC and NO _x (EBIR) giving maximum O ₃ (MOIR NO _x / 10)		EBIR scenario at nighttime
Default use [b]	Gen, PY	PY, MGen Component concentrations (molec/cm ³)	Gen, PY	Gen, PY
OH	7.51e+6	1.11e+7	3.69e+6	2.86e+5
O ₃	1.78e+12	2.26e+12	9.20e+11	2.90e+12
O ³ P	5.50e+4	2.84e+4	8.85e+3	~ 0
NO	9.58e+10	1.59e+10	4.12e+9	7.43e+5
NO ₂	3.15e+11	8.63e+10	1.32e+10	4.88e+10
NO ₃	8.54e+6	9.92e+6	1.25e+6	2.25e+9
HO ₂	~ 0	6.00e+8	7.17e+8	1.27e+8
Total RO ₂ 's	~ 0	4.59e+8	6.69e+8	2.35e+8
Total RCO ₃ 's	~ 0	7.30e+7	7.27e+7	1.04e+8

583 [a] See Section S2 in the Supplement for more information on these scenarios. All these represent urban
 584 conditions similar to those used to derive the (Carter, 1994) reactivity scales except that the total
 585 VOC levels were reduced to more closely represent modern ambient conditions.

586 [b] Gen = used to determine negligible reaction during full mechanism generation; PY = used for
 587 determination of product yields; MGen = used for multi-generation mechanism derivations discussed
 588 in Section 4.

589 Table 8. List of parameters that affect full mechanism generation.

Parameters	Affects	Default
<u>Parameters that affect all full mechanism generation operations</u>		
Minimum yield (MinYld)	Reactions with estimated maximum yields below this value are excluded during full mechanism generation. See Scheme 2 in the Supplement. [a]	0.5%
Environmental conditions	Sets of environmental conditions to determine upper limit yields involving bimolecular reactions and for displaying product yields. Users can select which environments, if any, are used for mechanism generation.	High NO _x Low NO _x Nighttime
Lumping	Set of lumping options that control generation and processing for lumped mechanisms. See Section 5.	No Lumping
kFastUni	Minimum unimolecular rate constant for a non-radical product to be treated as an intermediate and reacted.	$1.67 \times 10^{-2} \text{ s}^{-1}$
kRO2slow	Minimum rate constant for unimolecular reactions of peroxy radicals not to be neglected.	$1.0 \times 10^{-3} \text{ s}^{-1}$
kEqConv	Peroxy radical interconversion rate constant above which the interconverting radicals can be treated as in equilibrium when the mechanisms are processed.	30 s ⁻¹
kRO2fast	Total unimolecular rate constant for peroxy radicals above which bimolecular reactions are ignored. [a]	50 s ⁻¹
Explicit radical list	Organic radicals that are treated as final products and not reacted during "fully react" operations.	None (list empty)
<u>Parameters that affect only multi-generation operations. See Section 4</u>		
Reacting environment	Environment used to estimate product yields to determine if the organic product should be reacted.	Standard (mid NO _x)
MGminYld	Minimum estimated yield for a product formed in a multi-generation operation to react.	0.01%
MinVP	Minimum estimated vapor pressure for a product formed in a multi-generation operation to react.	$1.0 \times 10^{-13} \text{ atm.}$
RxnHours	Reaction time used to estimate amounts of products reacted in the environment for the purpose of determining if its products may be non-negligible.	6 hours
<u>Parameter affecting estimates of SOA formation. See Section S4 in the Supplement.</u>		
PM	Concentration of organic particle matter in $\mu\text{g}/\text{m}^3$	50 $\mu\text{g}/\text{m}^3$

590 [a] These tests are not used when hydroperoxy-substituted peroxy radicals rapidly isomerize to form an
591 another such radical that can isomerize back to the initial radical, resulting in no net loss of the
592 radical, making competing reactions potentially non-negligible.

Rxn	k	Fac	Weight	Reaction
1	6.30e-11	95%	95.0%	CH2=CH-CH=CH2 + OH -> .aCH2-aCH-aCH[.] -CH2-OH {*OHadd}
2	3.31e-12	5%	5.0%	CH2=CH-CH=CH2 + OH -> CH2=CH-CH(CH2.)-OH {*OHadd}
3	3.15e-18	50%	50.0%	CH2=CH-CH=CH2 + O3 -> CH2=CH-CHO + CH2OO {*O3Ole}
4	3.15e-18	50%	50.0%	CH2=CH-CH=CH2 + O3 -> HCHO + CH2=CH-CHO {*O3Ole}
...				
7		100%	95.0%	.aCH2-aCH-aCH[.] -CH2-OH {*OHadd} -> .aCH2-aCH-aCH[.] -CH2-OH
8		65%	61.7%	.aCH2-aCH-aCH[.] -CH2-OH + O2 -> CH2=CH-CH[OO.] -CH2-OH
9		35%	33.3%	.aCH2-aCH-aCH[.] -CH2-OH + O2 -> .OOC2-CH=CH-CH2-OH
10	8.64e-12	95%	58.5%	CH2=CH-CH[OO.] -CH2-OH + NO -> CH2=CH-CH[O.] -CH2-OH + NO2
11	4.80e-13	5%	3.2%	CH2=CH-CH[OO.] -CH2-OH + NO -> CH2=CH-CH(ONO2) -CH2-OH
12	2.30e-12	100%	61.7%	CH2=CH-CH[OO.] -CH2-OH + NO3 -> CH2=CH-CH[O.] -CH2-OH + NO2 + O2
13	1.61e-11	100%	61.7%	CH2=CH-CH[OO.] -CH2-OH + HO2 -> CH2=CH-CH(CH2-OH) -O-OH + O2
...				
16	2.45e+8	100%	169.6%	CH2=CH-CH[O.] -CH2-OH -> CH2=CH-CHO + .CH2-OH
17	1.16e+0	100%	33.3%	.OOC2-CH=CH-CH2-OH -> HO-aCH[.] -aCH-aCH[.] -CH2-O-OH
18	8.47e-12	93%	30.8%	.OOC2-CH=CH-CH2-OH + NO -> .OCH2-CH=CH-CH2-OH + NO2
...				
91		14%	6.8%	CH2=CH-CHO {*O3Ole} -> CH2=CH-CHO [syn]
92		28%	13.8%	CH2=CH-CHO {*O3Ole} -> CH2=CH-CHO [anti]
93		59%	29.5%	CH2=CH-CHO {*O3Ole} -> .CH2-CHO + HCO.
...				

593 Figure 2. Portions of MechGen output showing representative results of a full mechanism
 594 generation operation for the reactions of 1,3-butadiene with default options. The full
 595 output is shown in Figure S2 in the Supplement.

596
 597

```

.ACT
13-BUTDE      ! CH2=CH-CH=CH2
ACROLEIN      ! CH2=CH-CHO
VOC-0014      ! CH2=CH-CH*-O-O*
ORG-4682      ! CH2=CH-CH(ONO2) -CH2-OH
ORG-1004      ! CH2=CH-CH(CH2-OH) -O-OH
ORG-1048      ! CH2=CH-CO-CH2-OH
...
.

.STS
13-BUTDE-1    ! CH2=CH-CH[OO.] -CH2-OH
...

.RXN
R) 1.12e-11 -1.053 0.00 ;13-BUTDE + OH = #.62 13-BUTDE-1 + #.33 13-BUTDE-2 +
#.05 13-BUTDE-3
R) 1.34e-14 4.537 0.00 ;13-BUTDE + O3 = #.5 ACROLEIN + #.14 VOC-0014 +
#.36 13-BUTDE-8 + #.09 H2O + #.08 OH + #.5 HO2 +
#.54 CO + #.12 CO2 + #.5 HCHO + #.21 HCHO2 +
#.09 H2
R) 1.10e-13 ;13-BUTDE + NO3 = #.32 13-BUTDE-6 + #.18 13-BUTDE-7 +
#.5 13-BUTDE-5
R) 9.13e-12 ;13-BUTDE-1 + NO = #.95 ACROLEIN + #.05 ORG-4682 +
#.95 HO2 + #.95 NO2 + #.95 HCHO
R) 2.30e-12 ;13-BUTDE-1 + NO3 = ACROLEIN + O2 + HO2 + NO2 + HCHO
R) 1.61e-11 ;13-BUTDE-1 + HO2 = ORG-1004 + O2
R) 1.60e-11 ;13-BUTDE-1 + RCO3 = #.8 ACROLEIN + #.2 ORG-1048 +
O2 + #.8 HO2 + #.8 HCHO
...

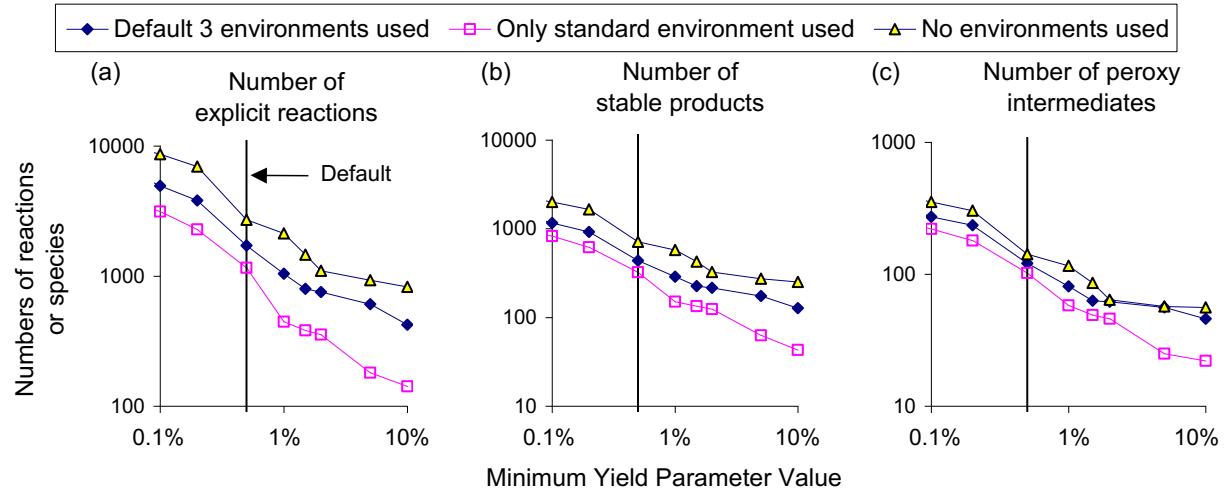
```

598 Figure 3. Portions of MechGen output showing the minimally reduced processed mechanism for
 599 the reactions of 1,3-butadiene with default options. The complete output is shown in
 600 Figure S3 in the Supplement.

Mid NOx	High NOx	Low NOx	Night	Explicit product or reacted
98.0%	97.6%	97.6%	6.7%	OH reacted
1.9%	2.2%	2.3%	6.4%	O3 reacted
0.1%	0.2%	0.1%	86.9%	NO3 reacted
63.97%	78.14%	49.30%	117.28%	NO2
89.31%	93.43%	78.80%	13.06%	HO2.
67.42%	82.55%	51.98%	0.07%	NO-loss
5.00%	-	17.48%	82.13%	O2
57.16%	61.01%	47.01%	59.51%	HCHO
54.74%	58.34%	45.00%	58.91%	CH2=CH-CHO
26.48%	17.03%	28.71%	13.59%	HCO-CH=CH-CH2-O-OH
3.74%	-	13.83%	0.95%	CH2=CH-CH(CH2-OH)-O-OH
3.10%	11.98%	0.87%	2.61%	HCO-CH=CH-CH2-OH
...				SOA mass yield from products for atm PM = 50 ug/m3
0.06%	-	0.16%	-	(lower yield products not shown)

601 Figure 4. Portions of MechGen output showing estimated product yields the reactions of 1,3-
 602 butadiene with OH, O₃ and NO₃ radicals for various environments, derived using default
 603 mechanism generation options and environments.

604
 605



606
 607 Figure 5. Effects of varying the MinYld parameter and environment options on the numbers of
 608 explicit reactions in full mechanisms generated for α -pinene.

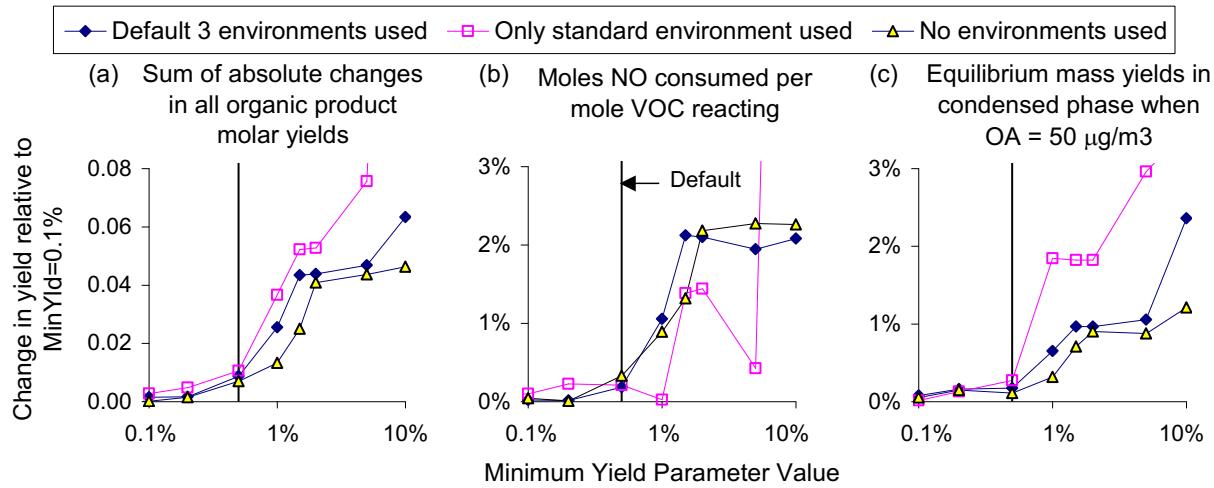


Figure 6. Effects of varying the *MinYld* parameter and environment options on relative changes in yields of selected groups of products from α -pinene when reacted under mid- NO_x urban environmental conditions.

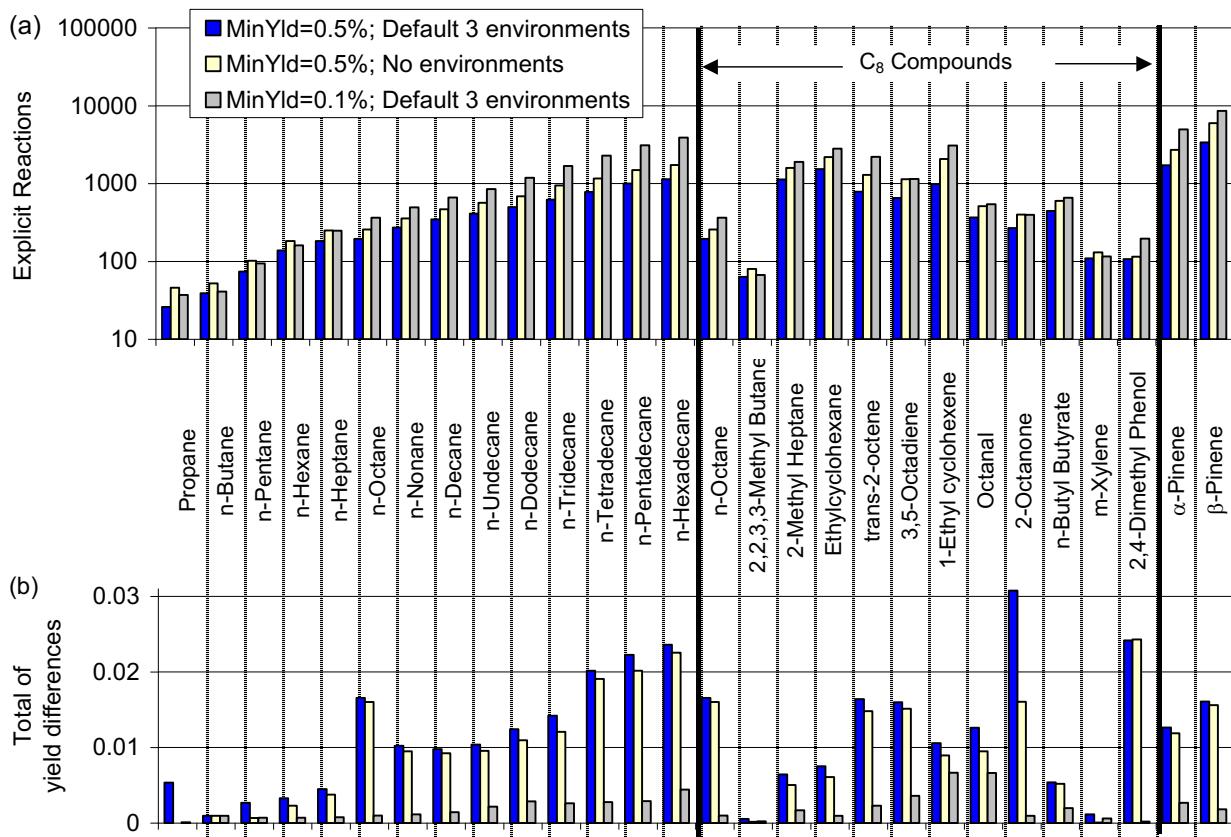


Figure 7. Numbers of explicit reactions and sum of differences in product yields relative to the largest generated mechanisms for the various mechanism generation options.

619 **4. DERIVATION OF MULTI-GENERATION MECHANISMS**620 **4.1. Description of Process and Outputs**

621 The full mechanism generation process discussed above produces only a single generation
 622 mechanism because it does not react the stable products formed. MechGen can also derive multi-
 623 generation mechanisms where all reactive products formed in non-negligible yields, including those
 624 formed after reacting multiple generations, are reacted. It involves carrying out full mechanism generation
 625 for a selected starting compound, then determining the stable products formed, then generating
 626 mechanisms for products in non-negligible yields, then repeating the procedure until no reactive, non-
 627 negligible products remain. This would be relatively straightforward, except that reacting all products
 628 regardless of their yields would result in unmanageably large mechanisms, dominated by reactions and
 629 species of negligible importance under conditions of interest. Therefore, much of the multi-generation
 630 derivation by MechGen focuses on determining which products are in fact non-negligible and which don't
 631 have to be reacted.

632 The procedures used for deriving multi-generation mechanisms are described in the User Manual.
 633 They involve accessing the system using the terminal interface, creating a software object to control the
 634 process for a specified compound and to store the results, and then using it to carry out the operations and
 635 transmit the results. These objects have several parameters that control which products are formed in
 636 sufficiently high yields to react and which can be treated as reactive. One of these is the specification of
 637 an environment used to estimate product yields and another is the "MGminYld" parameter that
 638 determines which yields are treated as negligible. In addition, to determine which product can be treated
 639 as reactive, a "MinVP" parameter gives the minimum vapor pressure for product compound to react in the
 640 gas phase, and a "RxnHours" parameter determines the time for compounds to react and form products.
 641 These options can be changed by the users, but by default, the environment used for the multi-step
 642 mechanism generation is the first one listed to derive product yields as discussed Section 3.3. The default
 643 values of MGminYld, MinVP, and RxnHours are 0.01%, 10⁻¹³ atm, and 6 hours, respectively (Table 8).
 644 These need to be specified to minimize the numbers of totally negligible reactions and processes in the
 645 multi-generation mechanisms that are derived.

646 The algorithm MechGen uses to derive multi-generation mechanisms is summarized below, and a
 647 flowchart showing the process is given in Scheme S6 in the Supplement. The process starts by generating
 648 full single-generation mechanisms for the initial reactant and for all products formed in non-negligible
 649 yields. Each mechanism generation is followed by deriving minimally reduced mechanisms, estimating
 650 product yields for the selected environment, and determining what products are formed in sufficiently
 651 high yield to react. The estimated upper limit yields are derived based on:

652
$$\text{Yield of initial reactant} = \text{Reactivity correction for initial reactant} = 100\%$$

653
$$kPUni = kUni + khv + \sum_{OX} kox \times [OX]_{\text{environment}}$$

654
$$\text{Reactivity correction for reacting product} = 1 - e^{-kPuni \times RxnTime}$$

$$\text{Est. yield of product} = \frac{\text{Est. yield of reactant forming it}}{\times \text{Reactivity correction for reactant forming the product}} \times \text{Yield of product from reactant}$$

655 where "kPUni" is the pseudo-unimolecular rate constant for the reactions of a reactant in the environment,
656 "kUni" and "khv" are the unimolecular and photolysis rate constants for the reactant (if applicable), "OX"
657 and "kox" refer to all oxidants with which the compound reacts and their rate constants, "RxnTime" is
658 derived from "RxnHours" to be consistent with the units used for kPUni, and "Est." refer to upper limit
659 estimates. The yields of products from reactants in the environment are derived as discussed in Sections
660 3.3, and the kPUni values are derived by multiplying the rate constants for the initial reactions multiplied
661 by the concentration of the oxidant with which it reacts in the environment, if applicable. The reactivity
662 correction factors are calculated as fractions in the environment and are used to account for the fact that
663 slower reacting compounds form lower amounts of products during the reaction time in the environment.
664 The formula for calculating fractions reacted, derived from integrating the kinetic differential equation, is

665
$$\text{Factor Reacted (Reactant, Environment)} = 1 - e^{-kPUni(\text{Reactant, Environment}) \times \text{RxnTime}}$$

666 This gives near 100% fractions reacted for rapidly reacting compounds, and fractions that are
667 approximately proportional to the kPUni for slowly reacting compounds. Note that the estimated upper
668 limit yields tend to decrease as the number of generations of reactions increases.

669 The standard environments used (or not used) when carrying out the full, single-generation
670 mechanism derivations for individual compounds, as discussed in Section 3.1, do not necessarily need to
671 be the same as the one required to carry out the multi-generation process. If standard environments are
672 used during the single-generation mechanism derivation process for individual compounds, they should
673 also represent the environment used for the multi-generation process. This is assured if the environment
674 used for the multi-generation process is one of those used for the single-generation process, or if no
675 environments are used for the single-generation process. By default, the three standard environments used
676 for single-generation processes incorporate the conditions of the default standard environment for multi-
677 generation derivations, as indicated in Table 7.

678 The results of this process consist of lists of reacting species, their reactions and rate constants,
679 and lists of low reactivity, low volatility, or low yield products that are not reacted. As discussed in the
680 User Manual, these results can be transmitted to users in several types of files. These include: (1) files
681 containing lists of reactants, non-negligible products, and counter species representing atom numbers and
682 total masses of low yield products and their approximate yields; (2) files giving information about the
683 reactants and products in terms of groups in the molecules; (3) files with listings of the explicit and
684 processed reactions of the reacting compounds; and (4) files that can be used as input to prepare the
685 mechanisms for model simulations using SAPRC box modeling software (Carter, 2024). The model
686 preparation input files come in two forms: one containing the minimally reduced processed mechanisms
687 for all the reactants, and the other giving pseudo-unimolecular reactions of the reactants that are
688 applicable only to the conditions of the environment used to generate the mechanism. The specific types
689 of information that can be obtained are listed in Table S4 of the Supplement.

690 **4.2. Examples of Results**

691 To illustrate the results of multi-generation mechanism derivation operations and their
692 dependence on selected options, mechanisms were derived for several example compounds ranging from
693 propane to α -pinene using a standard set of options, and additional multi-generation mechanisms were
694 derived for α -pinene using differing sets of options. The compounds and mechanism derivation options
695 are summarized in Table 9, which gives the sets of options, the numbers of explicit reactions, information
696 on lost or low yield carbon, and estimated SOA formation for the mechanisms. In the cases where the
697 compound or MGminYld parameter was varied, the single-generation mechanisms for individual
698 reactants were derived using the three default environments listed in Table 7 and the default mechanism

699 generation options given in Table 8. In the cases where the environments were varied, the single-
700 generation mechanisms were derived using the stated environment only, with defaults used for the other
701 parameters. Table 9 shows that, as expected, the results depend significantly on the MGMinYld parameter
702 and the multi-generation environment used.

703 The pseudo-unimolecular reaction output was used to prepare input for the SAPRC box modeling
704 software (Carter, 2024) to carry out model simulations for the evolution of product concentrations and
705 negligible atom counter species over time, under conditions of the environment used to derive the multi-
706 generation mechanism. The use of this output is equivalent to assuming that the atmospheric oxidant
707 levels are constant at the levels associated with the environment, as given in Table 7, and at constant light
708 intensity with photolysis rates calculated for the light associated with the reactor. The model simulations
709 were carried out for 6 hours of simulated time, with the starting reactant being initially present at 1 ppm
710 mixing ratio, with no dilution or variation in reaction conditions or oxidant species. The calculated
711 concentrations were used in conjunction with separate MechGen output giving structural information
712 about the compounds (Carter et al., 2025a) for the purpose of computing total yields of compounds with
713 different structures (structural counter species), and total carbons in compounds that were not reacted
714 because of estimated low yields or reactivities.

715 Figure 8 presents concentration-time results for the highest yield structural counter species or C₁
716 products for α -pinene, and these results for all representative compounds listed in Table 9 are given in
717 Figure S10 of the Supplement. These mechanisms were all generated using a MGminYld value of 0.001%
718 and the mid NO_x urban standard environment, which are the defaults. These figures also show the O/C
719 ratios for the reactant and products as a function of time. The structural counter species are listed and
720 briefly described in Table S4 in the Supplement. Concentrations relative to the amount of starting
721 material reacted at the times are shown at 30-minute intervals up to 2 hours of simulation time, then at 2-
722 hour intervals after that. The evolution of the ratio of total carbon in low yield unreacted compounds
723 (NegC) to total reacted carbon is also shown in Figure 8 or S10, except for cases when they are near zero.

724 Figure 8 and S10 show that the O/C ratios increase with time, as expected due to ongoing
725 oxidation. The yields of the C₁ products also increase significantly and make relatively high contributions
726 to the total products formed by the end of the simulations. Relative concentrations of other types of
727 products tend to go down with time after the first half hour, particularly more reactive products such as
728 aldehydes. As expected, the distribution of products significantly depends on the type of compound. Note
729 that these simulations treat formaldehyde as a non-reacting product, while in fact a significant fraction of
730 it would react to form CO and CO₂ by the end of the simulations.

731 Examples of effects of using different environments on multi-generation mechanisms derived for
732 α -pinene are shown in Table 9 and Figure 9. In those examples, the single-generation mechanisms were
733 derived using only the subject environment rather than the three default environments, which is the reason
734 that the number of reactions derived for α -pinene in these calculations is smaller than in those where the
735 compound or MGminYld parameter was varied (see Figure 5), though the overall product yields are
736 essentially the same. As discussed earlier, the standard environment represents intermediate NO_x
737 conditions, and thus includes both high and low NO_x reactions. Therefore, as shown in Table 9, the
738 mechanism derived for it has more reactions and reactants than those derived separately for high and low
739 NO_x conditions. On the other hand, fewer types of reactions are predicted to be important in the nighttime
740 environment, so significantly smaller mechanisms are derived. As shown in Figure 9, the concentrations
741 of compounds with hydroperoxide groups are highest under low NO_x conditions and the yields of N-
742 containing compounds are lower, though the yields of nitrates or peroxyacylnitrates (PANs) are not as
743 strongly affected as one might expect based on the relative NO_x levels in the environments. The yields of
744 compounds with ester, alcohol, or peroxy acid groups are significantly lower under nighttime conditions,

745 though the predicted levels of the other compounds are generally within the ranges predicted for the
 746 daytime environments. Further discussion of the mechanistic implications of these results is beyond the
 747 scope of this paper, but this is worthy of additional study.

748 The effects of varying the MGminYld parameter on products or counter species in the α -pinene
 749 mechanisms are shown in Figure S11 in the Supplement. Increasing MGminYld raises yields of neglected
 750 compounds (reflected by NegC), resulting in a slight decrease in yields of most products, with the yields
 751 decreasing by ~10% when MinYld is increased from 0.25% to 1%. This means that yields in multi-
 752 generation mechanisms are necessarily lower limits unless very low values of MGminYld are used to
 753 minimize the amount of untreated carbon (NegC).

754
 755

756 Table 9. Summary of multi-generation mechanism derivation examples.

Compound	Options [a]		Mech Size	Neg /Rct'd	SOA	
	MGminYld	Environ [b]	Reactions	Reacted	C [c]	[d]
Propane			299	37	0.03%	~0
n-Butane			1203	109	0.2%	0.01%
1-Butene	0.01%	Mid NO _x	1381	126	0.3%	0.02%
n-Octane	(default)	urban	50235	1466	6%	7%
Trans-2-octene		(default)	24570	945	4%	5%
α -Pinene			82375	1695	12%	52%
		High NO _x	20819	1252	8%	36%
		Mid NO _x	56446	1683	12%	52%
		Low NO _x	44933	1337	9%	51%
		Night	5961	95	0.3%	7%
	0.02%		56952	1088	16%	49%
	0.01%	Mid NO _x	82375	1695	12%	52%
	0.005%		116426	2587	9%	54%

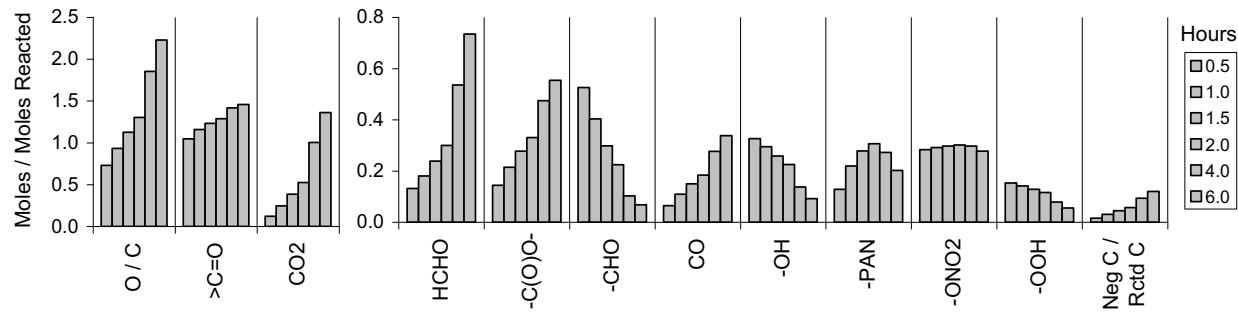
757 [a] All other options were held at defaults shown in Table 8.

758 [b] Environment(s) used when deriving the multi-generation mechanism.

759 [c] Ratio of moles carbon in compounds that are not reacted due to low yields divided by moles
 760 carbon in the reacting starting compound after reacting for RxnTime = 6 hours.

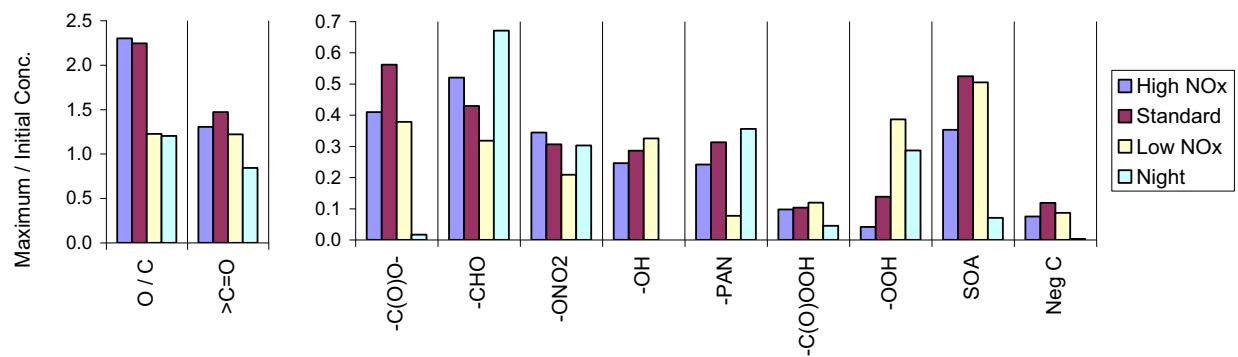
761 [d] SOA = total moles of all low-volatility products multiplied by their estimated fractions in the
 762 particle phase when total atmospheric SOA levels were set at 50 $\mu\text{g m}^{-3}$ (see Section S4).

763
 764
 765
 766



767
768 Figure 8. Moles of various types of products or functional groups formed as a function of time for
769 6-hour simulations using multi-generation mechanisms for α -pinene. Products are
770 ordered from high to low maximum yields.

771
772
773



774
775 Figure 9. Effects of varying the environment on maximum molar concentrations of various types of
776 products or functional groups for the simulations using multi-generation mechanisms for
777 α -pinene.

778
779

780 **5. DERIVATION OF LUMPED MECHANISMS**

781 Though smaller than the explicit mechanisms, the minimally reduced mechanisms are still too
782 large for use in practical modeling applications involving complex atmospheric mixtures. Further
783 reduction is necessary, both by using a limited number of lumped model species to represent chemically
784 similar compounds, and by using various approaches to reduce the numbers of reactive intermediates in
785 the mechanisms. MechGen can optionally be used to derive lumped mechanisms for organics that are
786 consistent with the lumping approaches used in recent SAPRC mechanisms (Carter, 2010a, b, 2023;
787 Carter and Heo, 2013). As indicated in Table 8, the lumping approach must be specified as one of the
788 options used for full mechanism generation. The default lumping option is "explicit" or no lumping,
789 which involves generating all reactions expected to be non-negligible and then preparing only minimally
790 reduced processed mechanisms from the results, with no additional processing. This was used for all the
791 examples discussed in this paper. Other lumping options currently available in MechGen are briefly
792 summarized below, with additional details given in the User Manual, as well as relevant SAPRC
793 mechanisms papers and reports. A more complete discussion of lumping algorithms is beyond the scope
794 of the present paper and will be presented in more detail in a subsequent paper (see also Carter, 2023).

795 SAPRC-11 lumping is the approach used in the SAPRC-11 mechanism (Carter and Heo, 2013),
796 and is essentially the same as that used for SAPRC-07 (Carter, 2010a, b) except as discussed by Carter
797 and Heo (2013). All organic products are represented by one of ~50 lumped or explicit model species,
798 and all peroxy intermediates are represented by ~30 chemical operators that represent products formed
799 and how their yields may change with NO_x conditions. (An "operator" in this discussion refers to a model
800 species that does not correspond directly to a chemical species but is added to represent overall effects of
801 various reactions [e.g., Carter, 2020a,b]). In addition, all acyl peroxy, phenoxy, and phenyl peroxy
802 intermediates are represented using lumped radical model species in all current SAPRC mechanisms.
803 Note that the peroxy operator method as originally implemented for SAPRC-07 and SAPRC-11 does not
804 provide for peroxy radical isomerization reactions, so strictly speaking they are not compatible with
805 mechanisms currently generated using MechGen, which includes such reactions when predicted. This
806 issue is addressed by assigning an "effective NO" concentration to take competitions between
807 unimolecular and bimolecular reactions into account when determining yields of operators representing
808 the products formed, as discussed by Carter (2023). The default effective NO for SAPRC11 lumping is
809 0.5 ppb, reflecting intermediate NO_x urban conditions.

810 SAPRC-22 lumping is the approach used in the "standard" version of SAPRC-22 mechanism
811 (Carter, 2023). This approach represents organic products using ~110 lumped or explicit model species,
812 with the numbers of lumped species increased in part to better represent NO_x recycling and SOA
813 formation potentials. Peroxy radicals are represented using a modified version of the operator approach
814 used for SAPRC-07 and -11, in which operator yields are calculated at both low and high effective NO
815 levels, and an extra operator species is added for each reaction where the yields are different at different
816 NO levels, so the lumped mechanism is applicable for a wide range NO conditions. This lumping
817 approach was used to derive the SAPRC-22 mechanism (Carter, 2023), using procedures discussed in the
818 User Manual.

819 If SAPRC-22 lumping is selected, users have the option to generate SAPRC-22-compatible
820 lumped mechanisms that represent selected specific organic compounds explicitly in models, rather than
821 having them lumped as in the standard SAPRC-22 mechanism. The products formed in the reactions of
822 these explicitly represented compounds will then be lumped to be compatible with SAPRC-22 and these
823 lumped reactions can be output along with the rest of the SAPRC-22 mechanism to be used as input to the
824 SAPRC model preparation programs (Carter, 2024). These expanded versions of SAPRC-22 can then be

825 used in airshed models to evaluate the effects of the selected compounds on O₃ or other measures of air
826 quality, or to allow comparisons of their predicted concentrations with observations.

827 If any type of SAPRC lumping is selected, the mechanism generation process will exclude the
828 reactions of acyl peroxy, phenoxy, or phenyl peroxy radicals, as these are all represented by lumped
829 model species, so their explicit reactions are not used when deriving the lumped mechanism. In addition,
830 if a non-explicit lumping method is employed, the types of initial reactions the organic compounds
831 undergo are determined by the types of initial reactions of the lumped model species used to represent
832 them, which is part of the input used to define the lumping approach, as discussed in the User Manual. In
833 some cases, this is a subset of the types of initial reactions generated when the default "explicit" (no
834 lumping) option is in effect.

835 **6. DISCUSSION**

836 Because of the complexity of the atmospheric reactions of most organic compounds, automated
837 mechanism generation systems such as MechGen provide an important link from chemical kinetic and
838 mechanistic data and theories to model predictions of chemical transformations in the atmosphere. As
839 discussed by Kaduwela et al. (2015) and Ervens et al. (2024), the stages of mechanism development for
840 models that provide this link consist of (1) compiling and evaluating available basic mechanistic data; (2)
841 developing SARs and other estimation methods needed to develop complete mechanisms; (3) deriving
842 detailed mechanisms that incorporate these data and estimation methods; (4) reducing the detailed
843 mechanisms so they can be used in various modeling applications; and (5) evaluating the predictions of
844 the mechanisms against observations. MechGen is a tool that can provide the link between mechanistic
845 data and theory and detailed mechanisms, and can also be used for mechanism reduction. The
846 development of the more recent SAPRC mechanisms for airshed models (Carter, 2010a, b, 2023; Carter
847 and Heo, 2013) was undertaken with this approach in mind, using MechGen to derive the SAPRC lumped
848 mechanisms from the explicit mechanisms for the many compounds that are represented.

849 Detailed mechanisms derived by MechGen can also be used in analyses of laboratory (e.g., Li et
850 al., 2022) or ambient data, providing an alternative or supplement to the Master Chemical Mechanism
851 (MCM) (Bloss et al., 2005; Jenkin et al., 1997, 2003; Saunders et al., 2003), which has been widely used
852 for this purpose. MechGen offers the flexibility of being applicable to any compound within the scope of
853 its predictive capabilities, whereas MCM, although comprehensive, is a manually-developed mechanism
854 that covers a finite number of compounds. Another alternative without this limitation is the GECKO-A
855 mechanism generation system (Aumont et al., 2005), which also has some online functionality
856 (<https://geckoa.lisa.u-pec.fr/>, <https://www2.acom.ucar.edu/modeling/gecko>).

857 One advantage of using MechGen is its relatively user-friendly online interface that allows new
858 users to easily examine predictions for single-step reactions or complete mechanisms for selected
859 compounds without extensive training. It also offers terminal-based access that can be obtained using
860 accounts created using the online system to use features of the system not available to web users, and
861 users can download and install their own copies of this software to use full features, including resource-
862 intensive operations like multi-generation mechanism derivation. This is discussed in the User Manual
863 that is available at the MechGen web site (Carter, 2025). MechGen output can serve as input to the
864 SAPRC box modeling software (Carter, 2024) to carry out model simulations with either minimally
865 reduced detailed mechanisms or extended versions of the SAPRC-22 mechanism (Carter, 2023) with
866 selected compounds represented explicitly. It can also be used for comparing its predictions with those of
867 GECKO-A or incorporated in MCM to assess differences in predictions that indicate areas where
868 additional experimental, theoretical, or SAR development work are needed.

869 One of the reasons that MechGen has not been as widely used as MCM or GECKO-A for
870 applications other than SAPRC mechanism development is that it has not been described or documented
871 in the peer-reviewed literature until now, including the continuous revisions that it has undergone. Most
872 researchers have either not been aware of MechGen or have not had a stable version to cite if they do use
873 it. An additional factor that may inhibit its use as an alternative to MCM is that MechGen outputs
874 mechanisms in the format used by the SAPRC model simulation software, which is not as widely used as
875 other modeling software systems. This paper, along with the recently published paper of Carter et al.
876 (2025a), addresses its lack of adequate documentation. Future updates to MechGen will be documented
877 and made available as separate versions to ensure reproducibility. We are developing software converting
878 MechGen mechanism output to other formats and have already released a converter for the F0AM
879 modeling system (Wolfe et al., 2016), which is available from the MechGen GitHub repository.

880 MechGen, along with GECKO-A and MCM, represent the current state of the science in
881 atmospheric reactions of organics in the lower troposphere, though they all require updates in some
882 respects to be consistent with recent advances in the continuously evolving science in this area. They all
883 incorporate different estimates or assumptions regarding some uncertain processes, though they also have
884 many assumptions and estimates in common that may not necessarily be correct. The assignments and
885 estimates incorporated in MechGen that need to be updated are discussed by Carter et al. (2025a).
886 Although GECKO-A is also continually being updated, it does not yet incorporate H-shift isomerization
887 (including autoxidation) reactions of peroxy radicals, which are important for many compounds such as
888 monoterpenes (Li et al., 2022). On the other hand, GECKO-A has a more detailed representation of
889 peroxy + peroxy and photolysis reactions. Comparing MechGen predictions with those of GECKO-A and
890 MCM can help identify areas where further experimental, theoretical, or SAR development work is most
891 needed, though this does not rule out the possibility that they all share assumptions or estimates for these
892 highly complex and uncertain mechanisms that may in fact be incorrect.

893 The atmospheric chemistry of organics and development of SARs or estimation methods
894 continues to be an active area of research, and MechGen and other mechanism generation systems need to
895 be periodically updated to continue to represent the state of the science and thus continue to be
896 appropriate tools for developing mechanisms for models. Updating the estimates for the many types of
897 chemical systems that need to be represented, testing the associated software changes, and evaluating the
898 predictions against available data, is a time-consuming process, making it essentially impossible for any
899 system to be completely up to date at any point of time. This is one reason why comparisons of
900 predictions of different mechanism generation systems or mechanisms are important. Carter et al. (2025a)
901 discuss the many types of estimates and points out the areas where updates are needed and planned.

902 MechGen is designed to accommodate updates to the underlying chemistry assignments and
903 SARs. Modifying chemical assignments or parameters used in existing SARs is straightforward and
904 discussed in the User Manual, but adding or deleting types of reactions or adding or changing the
905 structures of SARs will require programming changes. Since implementing such updates would result in
906 new versions of the system that will give different predictions, it is important that older versions be
907 archived and made available so that previous work that used these versions can be reproduced or
908 evaluated. This was not the case for previous versions of MechGen, but will be for newer versions going
909 forward.

910 The development and maintenance of MechGen has largely been the work of the primary author
911 (Carter), who is nearing full retirement. Unique features and strengths of MechGen (including its
912 integration with other SAPRC tools and models) have led to a growing base of MechGen users, and as
913 this user base has grown, so has the need for a collaborative team to carry it into the future. Steps to
914 ensure the sustainability of this resource thus far have included the publication of the chemical basis of
915 the MechGen system (Carter et al., 2025a), this paper describing the software system, the development of
916 a GitHub page (<https://github.com/SAPRC/MechGen>), publications describing the use of MechGen
917 beyond the derivation of SAPRC mechanisms (Jiang et al., 2020; Li et al., 2022), and dissemination of
918 knowledge through the growing user community. In addition, the MechGen is written in a programming
919 language (MOO) that is no longer widely used or maintained, so conversion to a more actively supported
920 platform would enhance ongoing maintenance and collaborative development in the future. The
921 development of collaborative teams, including those working on the chemical mechanism estimates and
922 databases, as well as the software, will ensure that MechGen remains a valuable, adaptable, and robust
923 mechanism generation system well into the future.

924 **SUPPLEMENTARY INFORMATION**

925 The Supplementary Information published with this manuscript contains additional information
926 and details on procedures discussed this paper. These include the following: additional output examples
927 (Section S1); derivation of the standard environments currently available in MechGen (Section S2);
928 additional details concerning procedures used (Section S3); the method used to estimate fractions of semi-
929 volatile products in the condensed phase (Section S4); additional information regarding multi-generation
930 mechanisms (Section S5); and additional information on the results with the representative compounds
931 (Section S6).

932 **USER MANUAL**

933 A complete User Manual describing the operation of the MechGen system using both the web
934 and terminal interfaces is available with the MechGen source code (see below) and at the MechGen web
935 site (Carter, 2025) and MechGen GitHub page (<https://github.com/SAPRC/MechGen/tree/master/docs>). It
936 discusses how to obtain, install, and configure the system on user's own computer. Updated versions of
937 the user manual will be made available on the MechGen and GitHub sites when the source code or
938 documentation has been updated. A quick start web user guide for new users is also available at the
939 MechGen web site and the SAPRC MechGen GitHub page, where it will also be updated when
940 appropriate.

941 **CODE AND DATA AVAILABILITY**

942 The MechGen source code (v1.1, last updated July, 25, 2025) and its documentation, including
943 the full User Manual and Quick Start Guide, are available on Zenodo via Carter et al. (2025b) at
944 <https://zenodo.org/records/16622705>. Detailed system information, including online access and further
945 development, is provided on the MechGen website (<https://mechgen.cert.ucr.edu/>), while the GitHub
946 repository at <https://github.com/SAPRC/MechGen> offers the latest software releases and installation
947 resources, with updates archived on Zenodo.

948 All the source code and data used by MechGen are contained within a single MOO code database
949 file as described in available MOO documentation (Curtis, 1997; Fox, 2004; Wikipedia, 2025). The
950 program source code and the data can be viewed and modified by downloading and installing the
951 MechGen database and the MOO server software as described in the User Manual. Once the system is
952 installed and configured, the user can access the system using Telnet and log in as a systems programmer
953 (see User Manual) and use MOO commands to view or modify the source code (Curtis, 1997; Fox, 2004).

954 The data used by MechGen to implement the chemical assignments and SARs are described by
955 Carter et al. (2025a). The detailed parameters, mechanism assignments, and rate constants used are given
956 in tables in the paper or its Supplementary Information.

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