



1           **Wildfires heat the middle troposphere over the Himalayas and Tibetan**  
2                           **Plateau during the peak of fire season**

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17    **Abstract**

18        Atmospheric pollutions from biomass burning contribute to climatic and cryospheric changes  
19    by influencing solar radiation and the albedos of snow and ice surfaces in the Himalayas and Tibetan  
20    Plateau (HTP). We utilize long-term MODIS fire products and ground-based and satellite-derived  
21    aerosol datasets to investigate the primary effect of wildfires from the south slopes of Himalayas on  
22    aerosol loading in the HTP. Results show consistent interannual and seasonal variation patterns, as  
23    well as statistically significant correlations, between AOD at 500 nm from AERONET stations  
24    (Pokhara, QOMS, Nam Co) and Himalayan fire counts. CALIPSO data reveal elevated smoke  
25    aerosol extinction coefficients at altitudes of 6~8 km (middle troposphere) in the southern HTP  
26    during the peak of fire season (March–April) in 2021. The intense wildfire activity in 2021 likely  
27    contributed to mid-tropospheric warming and alterations in the vertical temperature structure, as  
28    evidenced by a reduction in the absolute lapse rate, representing the rate of temperature decrease  
29    with altitude. This reduction was observed at QOMS, SETS, and Naqu stations when compared to  
30    2022. SBDART simulations estimated increased heating rates ( $0.38\sim1.32\text{ K day}^{-1}$ ) and atmospheric  
31    warming ( $15.03\sim22.43\text{ W m}^{-2}$ ) in the mid-troposphere due to smoke aerosols. Such warming affects  
32    regional atmospheric stability and modulating surface temperatures. It is crucial to research into the  
33    heating/cooling processes induced by aerosols and their influence on the vertical temperature  
34    structure to comprehensively understand the impacts of aerosols on regional climate and the  
35    hydrological cycle.



## 36 **1 Introduction**

37 Continued global warming is driving widespread and rapid changes in the atmosphere, oceans,  
38 cryosphere and biosphere (IPCC, 2023a). Aerosols in the atmosphere act as one of the main roles in  
39 global climate change. Aerosols can impact the Earth's radiative energy budget by altering the  
40 scattering and absorption of incoming solar radiation, as well as by affecting cloud properties,  
41 including both cloud micro- and macro-physics (IPCC, 2023b). Specifically, these aerosol effects  
42 can lead to large reductions in the amount of solar irradiance reaching Earth's surface, and a  
43 corresponding increase in solar heating of the atmosphere, and changes in the atmospheric  
44 temperature structure (Ramanathan et al., 2001a; Koren et al., 2004). The annual mean aerosol-  
45 induced atmospheric heating was observed to be considerable, exceeding  $> 0.5 \text{ K day}^{-1}$  over Asia  
46 (Ramachandran et al., 2020), with values ranging from 0.5 to  $0.8 \text{ K day}^{-1}$  over the Hindu Kush –  
47 Himalya – Tibetan Plateau region (Ramachandran et al., 2023). This significant aerosol-induced  
48 warming has profound implications for both the climate system and the hydrological cycle  
49 (Ramachandran et al., 2020).

50 The Tibetan Plateau and adjacent mountain ranges (that include Himalayan, Hindu Kush and  
51 Karakoram) are named the Third Pole because of comprising the largest global store of frozen water  
52 outside the polar regions (Yao et al., 2022). It functions as a water distribution system termed the  
53 Asian Water Tower (AWT), providing a reliable water supply to almost 2 billion people (Yao et al.,  
54 2022; Immerzeel et al., 2010). Snow and glacier melting are crucial hydrologic processes and  
55 primary sources of headwater in these areas, which are highly affected by temperature and  
56 precipitation changes linked to climate changes (Immerzeel et al., 2010). However, the Himalayas  
57 and Tibetan Plateau region (HTP) is sensitive and prone to climate change, experiencing amplified  
58 warming at twice the rate of the global average (Krishnan et al., 2019; Chen, 2015). Marked  
59 atmospheric warming is leading to strong cryosphere melt and intensification of the water cycle,  
60 which is accompanied with a changing environment and ecosystem (Yao et al., 2019). Meanwhile,  
61 black carbon (BC) dominated the aerosol absorption over this region (Ramachandran et al., 2020),  
62 along with the dust aerosols (Zhao et al., 2020), and its records show a continuous increase since  
63 1850s in the Tibetan Plateau (Kang et al., 2020). The elevated levels of BC can further enhance  
64 regional warming and contribute to an increase in snowmelt and ice discharge (Kang et al., 2020;



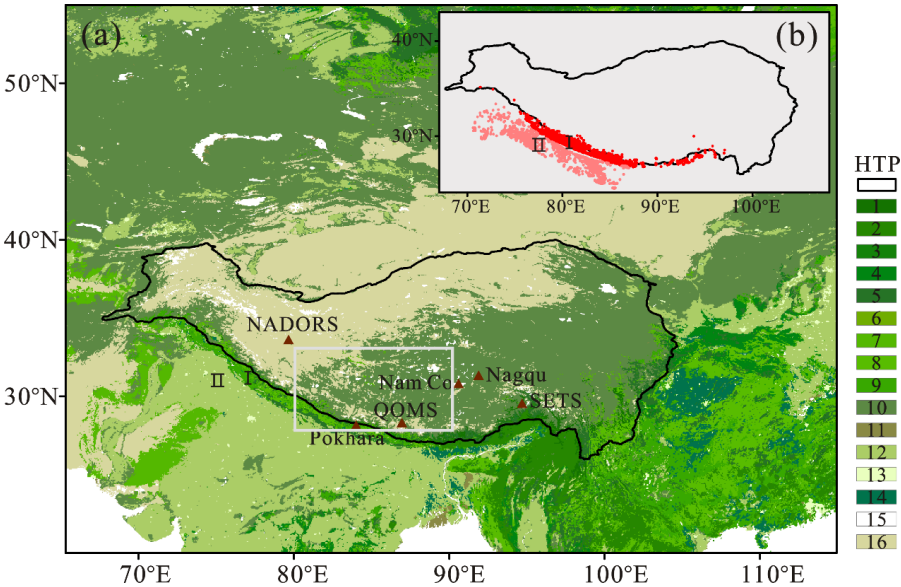
65 Ramachandran et al., 2020).

66 The high BC concentrations over the HTP region were attributed to the air pollution transported  
67 from South Asia in the previous studies (Li et al., 2016; Lüthi et al., 2015). The northern part of  
68 South Asia is known to be the regional hotspot of atmospheric brown clouds (ABCs) (Ramanathan  
69 et al., 2007), characterized by high aerosol optical depth (AOD) (Figure S1), which are mostly the  
70 result of biomass burning and fossil fuel combustion (Ramanathan et al., 2001b). These polluted air  
71 masses on the southern side of the Himalayas can be cross-border transported to the Tibetan Plateau  
72 via the combination of the westerlies and Indian monsoon (Lüthi et al., 2015; Kang et al., 2019).  
73 This phenomenon is substantiated by the presence of elevated concentrations of nitrogenous  
74 aerosols (Bhattarai et al., 2023) and carbonaceous aerosols (Cong et al., 2015) on the south edge of  
75 the Tibetan Plateau in the pre-monsoon period (March – May). In particular, biomass burning  
76 emissions from South Asia contributed up to 90% of BC mass over the Tibetan Plateau during the  
77 pre-monsoon season (Yang et al., 2021a). The biomass burning mainly includes the forest fires on  
78 southern slope of Himalayas (along the Himalayas in India and Nepal) and agricultural residues  
79 burning in the Indo-Gangetic Plain (Figure 1), both of which were pronounced in April every year  
80 generally (Vadrevu et al., 2012; Venkataraman et al., 2006). Aerosols emitted from vegetation  
81 burning sources are concentrated in the fire season (October/November to May) along the  
82 Himalayas in India and Nepal (Vadrevu et al., 2012; Venkataraman et al., 2006), with more than 80%  
83 of the wildfires occurring in March to May (Bhardwaj et al., 2016; You et al., 2018).

84 The more frequent wildland fires over India and Nepal Himalayas were observed in recent  
85 years, as a result of climate and land use changes (You and Xu, 2022; Vadrevu et al., 2012; You et  
86 al., 2018). The enhanced BC concentrations from more frequent wildfires in the region may further  
87 affect meteorological elements (Yang et al., 2021a) and destabilize the atmosphere over Himalayas  
88 (You and Xu, 2022). The reduction in net radiation at the near-surface layer caused by BC was  
89 reported to range from 8 to 16 W m<sup>-2</sup> over the Tibetan Plateau (Yang et al., 2021a). Aerosols  
90 originating from South Asian biomass burning were estimated using the WRF-Chem (Weather  
91 Research and Forecasting model coupled with Chemistry), resulting in a surface temperature  
92 decrease of 0.06°C over the Tibetan Plateau during pre-monsoon season (Yang et al., 2023). Aerosol  
93 effects characterized by high aerosol radiative forcing efficiency and high atmospheric heating rates



94 have also been observed, accounting for >50% of total warming (aerosols + green-house gases) of  
95 the lower atmosphere and surface over the HTP region (Ramachandran et al., 2023). Aerosol-  
96 induced atmospheric warming can modify regional atmospheric stability and vertical motions,  
97 influence large-scale circulation patterns, and disrupt the hydrological cycle, leading to substantial  
98 regional climate effects and subsequent impacts (Menon et al., 2002). The ‘heat-pump’ effect of the  
99 Tibetan Plateau (Wu et al., 2007) could be enhanced by this warming, potentially intensifying  
100 convection and precipitation in the Tibetan Plateau and downstream regions (Lau and Kim, 2018;  
101 Zhao et al., 2020). Therefore, it is essential to further evaluate the implications of vertical  
102 temperature profiles induced by biomass burning aerosols from South Asia. This is a key factor  
103 driving climate change and shaping the hydrological cycle and precipitation patterns over the HTP  
104 region (Ramachandran et al., 2023).



105  
106 Figure 1. Locations of AERONET and MWR stations (Table S1) overlaid with vegetation distribution (a)  
107 and fire counts on April 16, 2021, in the two primary biomass burning regions: forest fires in the  
108 Himalayas (Region I) and agricultural residue burning in the Indo-Gangetic Plain (Region II) (b).  
109 Vegetation categories: 1–5, Forest; 6–7, Shrublands; 8–9, Savannas; 10, Grasslands; 11, Permanent  
110 Wetlands; 12, Croplands; 13, Urban and Built-up Lands; 14, Cropland/Natural Vegetation; 15, Permanent  
111 Snow and Ice; 16, Barren.

112 Most studies have focused on observing the influence of biomass burning from South Asia on  
113 HTP in the last decade. However, there have been limited detailed investigations into distinguishing



114 between the different biomass burning sources, such as agricultural residue burning and wild forest  
115 fires, as well as their potential impacts on the atmospheric heating on the HTP. This study utilizes  
116 multi-year aerosol products from ground-based observations (AErosol RObotic NETwork,  
117 AERONET), fire point information from satellite data (Moderate Resolution Imaging  
118 Spectroradiometer, MODIS), and HYSPLIT (HYbrid Single-Particle Lagrangian Integrated  
119 Trajectory) model to investigate the primary biomass burning sources influencing the HTP. In  
120 addition, this paper provides observational evidence for the biomass burning aerosol-induced  
121 atmospheric warming in the middle troposphere during fire events over the HTP, using a  
122 combination of ground-based measurements (microwave radiometer instrument), satellite-based  
123 data (e.g., Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation, CALIPSO; Clouds  
124 and the Earth's Radiant Energy System, CERES) and modeling tool (Santa Barbara DISORT  
125 Atmospheric Radiative Transfer, SBDART). This study presents a pioneering, state-of-the-art  
126 analysis of the vertical profile of atmospheric warming associated with the transport of biomass  
127 burning aerosols, a crucial aspect for understanding the aerosol-atmosphere interactions and the  
128 aerosol-climate implications over the HTP region.

## 129 **2 Data and Methods**

### 130 **2.1 Measurement stations**

131 The Nam Co Station for Multisphere Observation and Research, Chinese Academy of Sciences  
132 (Nam Co) is located on the southeastern banks of the Nam Co Lake and at the central part of Tibetan  
133 Plateau. The geographic characteristics of Nam Co region include mountains, glaciers, lakes, rivers,  
134 and grassland, and thus can be considered as a representative of the diversity of Tibetan Plateau (Wu  
135 et al., 2018). The Qomolangma (Mt. Everest) Station for Atmospheric and Environmental  
136 Observation and Research, Chinese Academy of Sciences (QOMS) is located at the southern edge  
137 of the Tibetan Plateau and at the toe of Mount Everest. Glaciers and high mountain peaks are close  
138 to this region (Li et al., 2020). The Pokhara Valley (Pokhara) in the central Himalayas is one of the  
139 major metropolitan regions at the foothills of the Hindu Kush Himalaya mountains in Nepal  
140 (Ramachandran et al., 2023). QOMS and Pokhara can be taken as representative sites for the  
141 Himalayas. Additionally, stations with microwave radiometer (MWR) installed include Ngari



142 Desert Observation and Research Station, Chinese Academy of Sciences (NADOR), QOMS, the  
143 Naqu Station of Plateau Climate and Environment, Chinese Academy of Sciences (Naqu), and the  
144 South-East Tibetan plateau Station for integrated observation and research of alpine environment,  
145 Chinese Academy of Sciences (SETS). These stations are distributed across various regions of  
146 Tibetan Plateau, primarily covering the southern and central areas (Figure 1 and Table S1).

## 147 **2.2 Ground-based data**

148 The level 2.0 quality-controlled and cloud-screened data of the aerosol spectral deconvolution  
149 algorithm (SDA) AOD, particle volume size distribution ( $dV(r)/d\ln r$ ), single-scattering albedo  
150 (SSA), and aerosol radiative forcing (ARF) from AERONET version 3 are used in this study. The  
151 NASA AERONET is a worldwide ground-based observation network providing long-term and high-  
152 quality datasets of aerosol optical, microphysical, and radiative properties. An automatic sun/sky  
153 scanning radiometer (CIMEL) measured the solar radiation with  $1.2^\circ$  full field of view every 15 min  
154 at 340, 380, 440, 500, 675, 870, and 1,020 nm channel plus a 940 nm water vapor band (Holben et  
155 al., 1998). The AERONET retrieved aerosol properties have the highest accuracy for observations  
156 when solar zenith angle is between  $50^\circ$  and  $80^\circ$  (Dubovik et al., 2000), and only the data points in  
157 a day that are within this solar zenith angle range are chosen for analyses in the study. The  
158 uncertainty of AERONET direct measurement AOD is less than  $\pm 0.01$  for wavelengths  $> 0.44 \mu\text{m}$   
159 and is less than  $\pm 0.02$  for shorter wavelengths (Holben et al., 2001). The error in single-scattering  
160 albedo (SSA) is  $\pm 0.03$  when the AOD at  $0.44 \mu\text{m}$  is  $> 0.2$ , and errors in the estimates of particle  
161 volume size distribution ( $dV(r)/d\ln r$ ) are less than one tenth of the  $dV(r)/d\ln r$  values maximum  
162 (Dubovik et al., 2000). AERONET ARF values derived for the broadband solar spectrum ( $0.2 \sim 4$   
163  $\mu\text{m}$ ) are quality controlled, cloud screened, calibrated, and are retrieved for clear-sky atmosphere,  
164 and for aerosols present in the column (Ramachandran et al., 2023). To ensure that the effect of  
165 surface albedo on ARF can be neglected, the spectral average of the surface albedo in the wavelength  
166 range of  $0.44 \sim 1.02 \mu\text{m}$  is selected to be less than 0.3 for all the sites in the study. Data from all  
167 available AERONET sites in the study area (Figure 1 and Supplementary Table 1) are used for  
168 validation. These datasets are available for free from the AERONET website  
169 (<https://aeronet.gsfc.nasa.gov/>). Level 1.5 data are used for the period from June 2022 to June 2024  
170 at Pokhara and from September 2017 to May 2024 at Nam Co, respectively, due to the unavailability



171 of Level 2.0 data.

172 The temperature profiles at QOMS, SETS, Naqu and NADORS in 2021 and 2022 were  
173 measured by MWR operating in the K- and V-bands (20 - 60 GHz) (Ma Yaoming, 2024), which are  
174 sensitive to the low part of the atmosphere. The microwave radiometers instrument was an  
175 MWP967KV temperature, humidity, and liquid profiler, which operates with eight K-band (22.235,  
176 22.50, 23.035, 23.835, 25.00, 26.235, 28.0, and 30.0 GHz) and fourteen V-band (51.25, 51.760,  
177 52.28, 52.8, 53.34, 53.85, 54.4, 54.94, 55.50, 56.02, 56.66, 57.29, 57.96, and 58.80 GHz) microwave  
178 channels. It measures the radiation intensity of the sky in 22 different frequency channels. Only the  
179 zenith scan data are used to retrieve the thermodynamic profiles of the troposphere up to 10 km,  
180 which are normally available every 2 minutes. And the details of data information and quality can  
181 be found in Chen et al. (2024).

### 182 **2.3 Satellite data**

183 The MODIS active fire products are determined using thermal anomalies at 1 km pixels that  
184 are burning at the time of overpass under relatively cloud-free conditions using a contextual  
185 algorithm (<https://firms.modaps.eosdis.nasa.gov/>). The fire hotspot counts and fire radiative power  
186 (FRP) covering the Himalayas and Indo-Gangetic Plain regions from NASA, with a confidence  
187 level greater than 50% from January 2011 to June 2024, are used to explore their relationship with  
188 AOD.

189 The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) was  
190 launched in April 2006 equipped with Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP)  
191 and observes global aerosol and cloud vertical distributions at 532 and 1064 nm during both day  
192 and night (Winker et al., 2010), which provides the aerosol classification from backscatter and  
193 depolarization measurements, plus some geographical constraints (Omar et al., 2009). The CALIOP  
194 level 2 aerosol classification and lidar ratio selection algorithm defined six aerosol types: clean  
195 marine, dust, polluted continental, clean continental, polluted dust, and smoke (Omar et al., 2009).  
196 In this study, the vertical feature mask (VFM) data and Aerosol Profile data from Level 2 profile  
197 product Version 4 are used to obtain information about the aerosol/cloud features and aerosol types  
198 over the HTP region. Owing to the availability of CALIPSO data through June 2023, our analysis  
199 covered the period from 2011 to 2023.





200 The Clouds and the Earth's Radiant Energy System (CERES) Synoptic Radiative Fluxes  
201 product (SYN1deg, edition 4.1, <https://ceres.larc.nasa.gov/data/>) is used to derive the change in the  
202 aerosol radiative effect. In the CERES SYN product, radiative transfer calculations are performed  
203 using aerosol properties from the Model for Atmospheric Transport and CHemistry (MATCH),  
204 which are constrained by observations from MODIS and CERES. Two flux modes are employed:  
205 pristine (clear, no aerosols) and clear sky (clear, with aerosols and cloud-free skies) conditions at  
206 both the surface (SFC) and top of atmosphere (TOA) (Ramachandran et al., 2023). ARF is defined  
207 as the perturbation of the radiative flux caused by atmospheric aerosols (Dumka et al., 2016). The  
208  $ARF_{toa}$  is estimated by calculating the difference between the net clear-sky and net pristine-sky  
209 fluxes at the top of atmosphere, and the  $ARF_{atm}$  (atmospheric forcing) is determined as the difference  
210 between  $ARF_{toa}$  and  $ARF_{sfc}$  (Ramachandran et al., 2023).

## 211 **2.4 SBDART model and HYSPLIT**

212 SBDART is based on the discrete ordinate approach developed by the University of California, Sana  
213 Barbara (Ricchiazzi et al., 1998). The discrete ordinate method is employed to solve the radiative  
214 transfer equation for monochromatic, unpolarized radiation within a plane-parallel medium that  
215 exhibits scattering, absorption, and emission properties, with a defined bidirectional reflectance at  
216 the lower boundary (Stamnes et al., 2000). The SBDART model enables the simulation of plane-  
217 parallel radiative transfer for both clear and cloudy atmospheric conditions, spanning the region  
218 between the Earth's surface and the top of the atmosphere, including the vertical distribution of  
219 aerosols and the heating rates (Rai et al., 2019; Kedia et al., 2010; Dumka et al., 2016; Moorthy et  
220 al., 2009). In the present case, the model is run for clear sky conditions determined in the wavelength  
221 range of 0.25 ~ 4.0  $\mu m$ . The mid-latitude winter atmospheric standard profile is selected with input  
222 parameters including solar zenith angle, surface temperature and surface albedo. The average values  
223 of SSA, asymmetry parameter, extinction and AOD at QOMS in April 2021 (Table S2), derived  
224 from AERONET, are utilized as inputs for the SBDART model. Building on the methodology of  
225 Moorthy et al. (2009) to estimate the uncertainty in ARF and heating rate, we quantified the  
226 uncertainties associated with AOD, SSA and asymmetry parameters. The uncertainties in AOD  
227 values, SSA, and asymmetry factor were determined to be  $\pm 0.02$ ,  $\pm 0.01$ , and  $\pm 0.01$ , based on  
228 empirical data and error analysis. The overall uncertainties in ARF and heating rate calculations



229 using SBDART were approximately 15% and varied between 5% and 15%, respectively, as reported  
230 in previous studies on the Central Himalayas and the urban areas of South Asia (Pant et al., 2006;  
231 Panicker et al., 2010).

232 To track the transport of biomass burning aerosols emitted from Himalayas and IGP, we used  
233 the HYSPLIT (Version 5.3.0) model to calculate the forward trajectories of the air masses (Stein et  
234 al., 2015). The model is driven by the 1° by 1° horizontal resolution meteorological fields from the  
235 Global Data Assimilation System operated by NOAA (<https://ready.arl.noaa.gov/gdas1.php>). The  
236 72 hours forward trajectories are generated for each source region during the fire events in April  
237 and May 2021, with a time resolution of 1 hour and a starting altitude of 500-m above ground level.

## 238 2.5 Heating rate

239 The aerosol-induced atmospheric solar heating rate ( $K day^{-1}$ ) is derived from the aerosol  
240 radiative forcing in the atmosphere ( $ARF_{atm}$ ) (Ramachandran et al., 2023), which can be defined as  
241 the change between the atmospheric forcing with and without aerosols as:

$$242 \quad ARF_{toa} = (F_{aerosol}^{toa} - F_{no\ aerosol}^{toa}) \quad (1)$$

$$243 \quad ARF_{sfc} = (F_{aerosol}^{sfc} - F_{no\ aerosol}^{sfc}) \quad (2)$$

$$244 \quad ARF_{atm} = ARF_{toa} - ARF_{sfc} \quad (3)$$

245 Where  $ARF_{toa}$  and  $ARF_{sfc}$  are aerosol forcing at the top of atmosphere (TOA) and surface (SFC),  $F$   
246 denotes net short-wave radiative flux. Subscript ‘aerosol’ and ‘no aerosol’ mean conditions with and  
247 without aerosol. This study also employs clear-sky ARF values retrieved from AERONET  
248 measurements at both surface and TOA.

249  $ARF_{atm}$  in  $W m^{-2}$  indicates the amount of radiative flux (energy) due to the presence of aerosols  
250 within the atmospheric layers where positive forcing indicates the warming potential of the  
251 atmosphere (Kedia et al., 2010; Rai et al., 2019; Moorthy et al., 2009). The energy which is  
252 converted into heat is calculated as heating rate using the following equation:

$$253 \quad \frac{dT}{dt} = \frac{g}{C_p} \times \frac{ARF_{atm}}{\Delta P} \times 24(hr/day) \times 3600(sec/hr) \quad (4)$$

254 where  $dT/dt$  is the heating rate ( $K day^{-1}$ ),  $g$  is the acceleration due to gravity ( $9.8 m s^{-2}$ ),  $C_p$  is the  
255 specific heat capacity of the air ( $1006 J kg^{-1} K^{-1}$ ), and  $\Delta P$  is the pressure difference between the  
256 elevation of observation site and 10 km above sea level (ASL). This calculation takes into account



257 the mountain peaks in the HTP region, which can reach approximately 9 km ASL (Ramachandran  
258 et al., 2023).

### 259 **3 Results and discussion**

#### 260 **3.1 Effect of wildfires on aerosol load over HTP**

261 The AOD represents the vertical integral of aerosol concentration weighted with the effective  
262 cross-sectional area of the particles intercepting (by scattering and absorption) the solar radiation at  
263 the specific wavelength (Ramanathan et al., 2001a). A long-term record of AOD at 500 nm from  
264 AERONET is available for Pokhara (2011~2024), QOMS (2011~2024), and Nam Co (2011~2024),  
265 located on the southern slope of the Himalayas, the northern slope of the Himalayas, and within the  
266 interior of the Tibetan Plateau, respectively (Figure 1). Despite some missing days and months in  
267 the AOD records for certain years, distinct seasonal variations are clearly observed at three sites,  
268 with a pronounced annual peak during the period March–May (Figure 2). Meanwhile, the spectral  
269 deconvolution algorithm was applied to separate AOD into fine- and coarse-mode AOD (O'Neill et  
270 al., 2003). Similar annual peaks in fine-mode AOD were observed from March to May; however,  
271 no consistent seasonality was found in coarse-mode AOD at any site. Previous studies have  
272 demonstrated a similar annual seasonality of total AOD and fine-mode AOD at Nam Co, QOMS  
273 and Pokhara (Li et al., 2020; Ramachandran and Rupakheti, 2021), along with background aerosol  
274 loading characterized by annually low baseline AOD values of 0.029 at Nam Co and 0.027 at QOMS  
275 (Pokharel et al., 2019). Notably, the peaks of AOD and fine-mode AOD vary among the three sites:  
276 Nam Co experienced its peak in 2016, QOMS in 2021 and 2024, and Pokhara in 2016 and 2024,  
277 with no date available for Pokhara in 2021. During these extreme peaks, the monthly mean AOD  
278 increased by 5 to 10 times relative to the baseline values at Nam Co and QOMS, and by up to 50  
279 times at Pokhara site. It suggests that exogenous aerosol has a more significant influence on the  
280 southern slopes of the Himalayas compared to the Tibetan Plateau and the northern slopes of  
281 Himalayas.

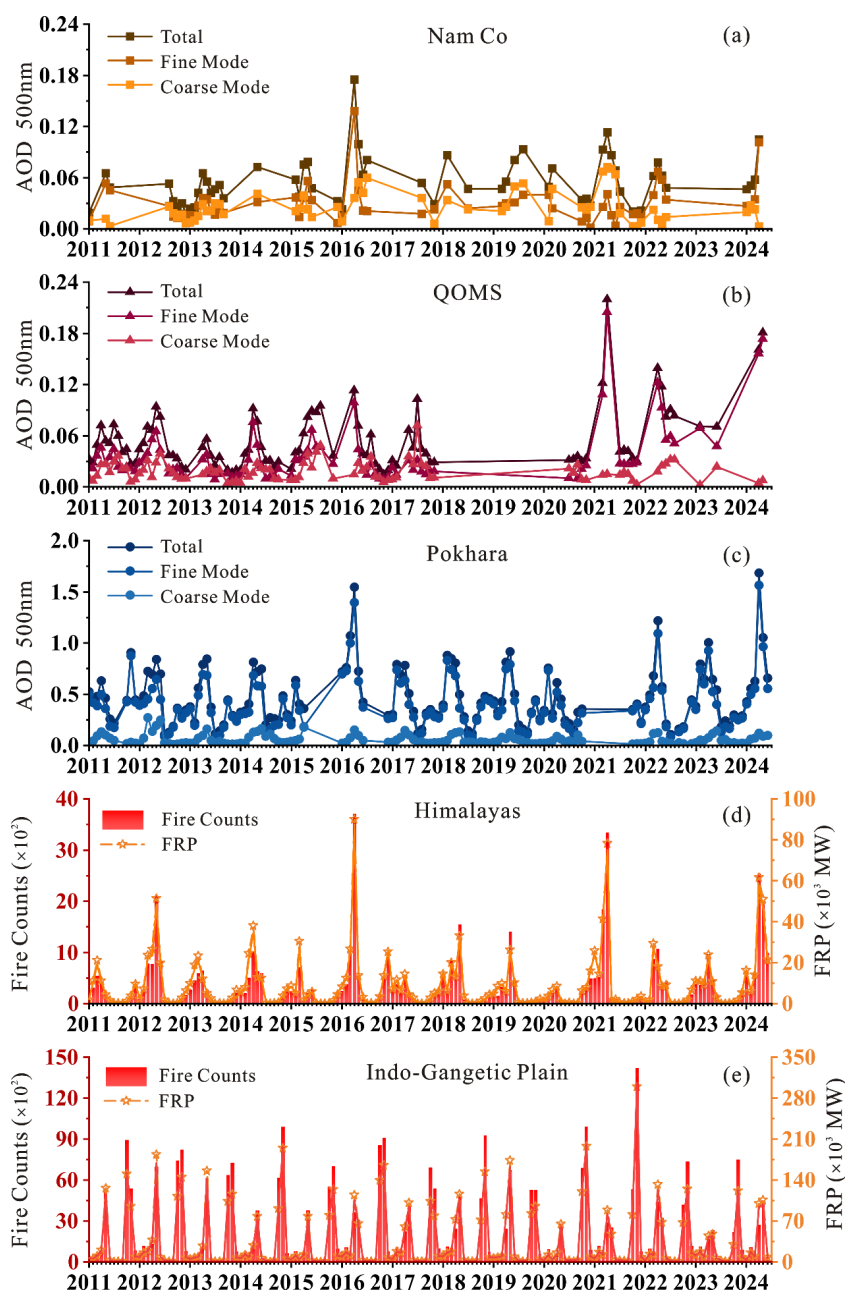


Figure 2. Temporal variations of monthly mean AERONET AOD at 500 nm, fine-mode AOD 500 nm and coarse-mode 500 nm at Nam Co (a), QOMS (b) and Pokhara (c); and time series of monthly averaged fire counts and FRP from MODIS Active Fire Product on the Himalayas (d) and Indo-Gangetic Plain (e).

The fire counts and FRP values provide information about the fire frequencies and emissions.

The monthly total active fire counts and FRP from January 2011 to June 2024 over Himalayas and



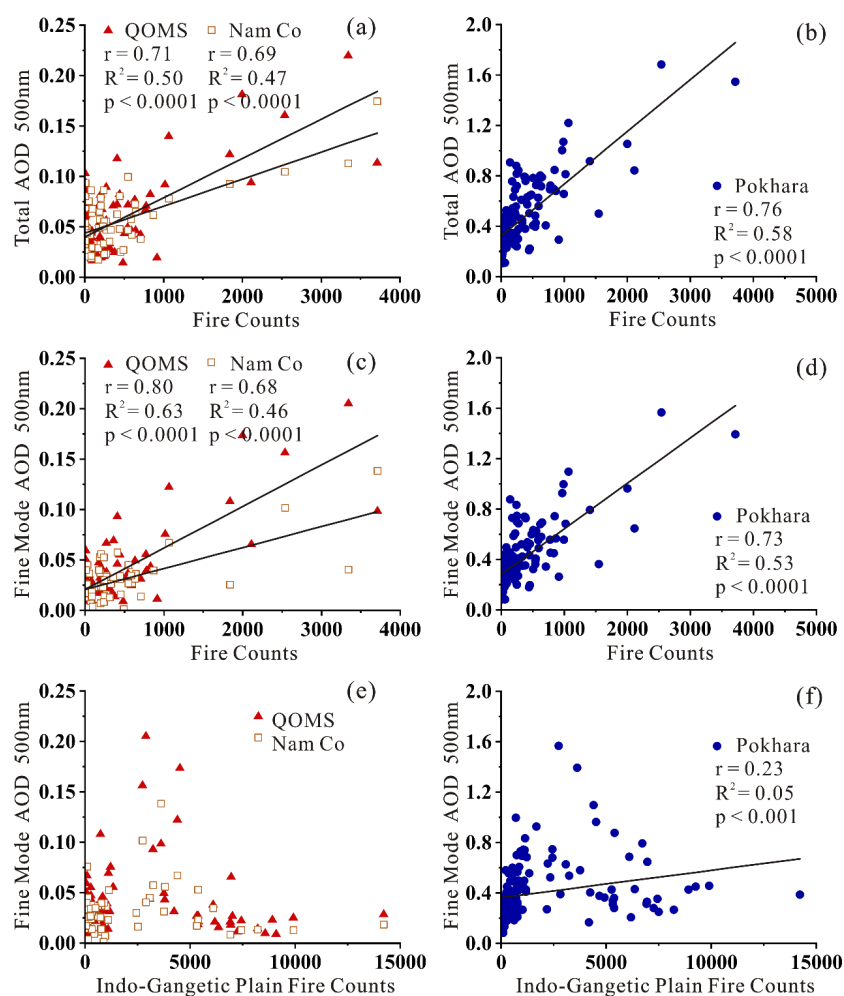
288 Indo-Gangetic Plain were detected by MODIS with a confidence level exceeding 50%, which  
289 exhibits similar interannual and seasonal patterns in both regions (Figure 2). In the Himalayas, fire  
290 activity exhibits a single annual peak occurring between March and May, whereas the Indo-Gangetic  
291 Plain demonstrates a bimodal pattern with two distinct peaks, one in April/May and the other in  
292 October/November, corresponding to the two major harvest seasons (Venkataraman et al., 2006).  
293 The fire counts showed notable occurrences during fire seasons of 2016, 2021 and 2024 in the  
294 Himalayas, with total numbers of 5427, 5700 and 5534, respectively. The three peaks in fire counts  
295 are consistent with the high concentrations of fine-mode aerosols observed in April at QOMS. In  
296 contrast, there was only a relatively high value in fire counts and FRP observed in November 2021  
297 in the Indo-Gangetic Plain region. The wildfires in the Himalayas exhibit pronounced interannual  
298 variability, whereas the interannual fluctuations in the Indo-Gangetic Plain are relatively stable,  
299 primarily influenced by anthropogenic agricultural activities (Vadrevu et al., 2012; Kaskaoutis et al.,  
300 2014).

301 The long-term temporal variations of fire counts and FRP over Himalayas present a distinct  
302 consistency with the temporal variations in total AOD and fine-mode AOD at Nam Co, QOMS and  
303 Pokhara sites (Figure 2). There are significant positive correlations between fire counts over  
304 Himalayas and AOD at Pokhara ( $r = 0.76$ ,  $p < 0.001$ ), QOMS ( $r = 0.71$ ,  $p < 0.001$ ) and Nam Co ( $r$   
305  $= 0.69$ ,  $p < 0.001$ ) for the whole study period (2011~2024). Additionally, the fine-mode AOD  
306 variations at these three sites are also well correlated with fire counts over the Himalayas (Pokhara,  
307  $r = 0.73$ ,  $p < 0.001$ ; QOMS,  $r = 0.80$ ,  $p < 0.001$ ; and Nam Co,  $r = 0.68$ ,  $p < 0.001$ ; Figure 3). However,  
308 a weak relationship exists between the fine-mode AOD at Pokhara, and fire counts over IGP ( $r =$   
309  $0.23$ ,  $p < 0.001$ ), and no correlation was observed between fire counts over IGP and fine-mode AOD  
310 at both Nam Co and QOMS (Figure 3). The similar influence of forest fires and agricultural residue  
311 fires on aerosol properties at Pokhara was investigated by Ramachandran and Rupakheti (2021). In  
312 our study, these relationships suggest that the aerosol loading, particularly fine particles, in the HTP  
313 region is primarily influenced by wildfires occurring on the southern slopes of the Himalayas.  
314 Moreover, the monthly average aerosol volume size distributions of April from 2011 to 2024 at  
315 QOMS were presented in Figure S2. In general, the aerosol particle size distributions show a  
316 bimodal lognormal pattern with radius smaller  $0.6 \mu\text{m}$  as fine-mode aerosol and with radius larger



317 than 0.6  $\mu\text{m}$  as coarse-mode aerosol (Yang et al., 2021b; Zheng et al., 2017). It is clear that the  
318 volume sizes with peak volume concentrations for fine-mode aerosols in April were higher in 2016,  
319 2021 and 2024 than in other years at QOMS (Figure S2). The years characterized by elevated fine-  
320 mode aerosol levels correspond to the periods with maximum AOD and fine-mode AOD values  
321 discussed in the preceding paragraph. The peak volume concentrations of fine-mode aerosols were  
322 the highest in 2021, with values of 0.024  $\text{um}^3/\text{um}^2$ . The result is consistent with the previous study  
323 of biomass burning event at Nam Co and QOMS stations (Pokharel et al., 2019), which supported  
324 the prevalence of high fine mode AOD, and fine particles associated with biomass burning during  
325 the pre-monsoon. There were also maximum values of fine-mode AOD which were attributed to the  
326 fine particles generated by the biomass burning during extreme fire events in Australia (Yang et al.,  
327 2021b). Therefore, it indicates that fine-mode volume concentrations significantly increased during  
328 the wildfire seasons.

329 Additionally, 72 hours forward trajectories were obtained via the HYSPLIT model for each of  
330 the 30 days in April and May, with starting points at sites located on the southern slopes of the  
331 Himalayas and within the IGP region. It presents that the Tibetan Plateau predominately receives  
332 air masses originating from the southern slopes of Himalayas in April (accounting for 26 to 28 out  
333 of 30 days) and May (approximately 29 out of 30 days), rather than from the IGP region (Figure  
334 S3). Additionally, an increase in levoglucosan recorded in the Zangsegangri (ZSGR) ice core from  
335 the Tibetan Plateau was also attributed to wildfires, which were associated with the higher FRP  
336 levels compared to those from anthropogenic burnings (You et al., 2018). In our study, monthly  
337 mean FRP values on the southern slopes of Himalayas are significantly higher than those from IGP  
338 over the period from 2011 to 2024 (Figure S4). Thus, wildfires occurring on the southern slopes of  
339 Himalayas act as the principal driver of aerosol loading over the HTP region.



340

341 Figure 3. Correlations between monthly AOD at 500 nm at QOMS, Nam Co, and Pokhara with monthly  
 342 fire counts over the Himalayas (a–d) and the Indo-Gangetic Plain (e–f).

### 343 3.2 The increasing temperature in the middle troposphere associated with smoke

344 The extinction properties of the five aerosol types (dust, smoke, clean continental, polluted  
 345 dust and polluted continental), along with the annual mean extinction values for all aerosol types  
 346 from 2011 to 2023 during peak fire season (March and April), were extracted from CALIPSO L2  
 347 aerosol profile product for the selected study domain in HTP (gray rectangle in Figure 1). The  
 348 extinction coefficient of smoke aerosols in 2021 was significantly larger than in other years (Figure  
 349 4), which corresponds to the interannual variations in fire counts across the Himalayas. Figure 5



shows the extinction coefficient and occurrence frequency of each aerosol type in March and April from 2021 to 2023 for the same selected region. During the peak of fire season, smoke was abundant at heights from roughly 6 to 8 km and presents the same larger extinction coefficient at these heights in 2021 than other years. However, the occurrence frequencies of smoke are distinct in different years, which may be correlated with the classes and intensity of wildfires in the Himalayas in different years. Meanwhile, CALIPSO observations taken on 12 April, 15 April and 28 April 2021 over HTP region revealed the cloud/aerosol mask and distribution of the aerosol types (Figure 6). During these observations, smoke was the dominant aerosol type at altitudes between 6 and 10 km in the mid-troposphere over the Himalayas and the southern Tibetan Plateau. In specific conditions, mixtures of dust and smoke were frequently classified as polluted dust (Kim et al., 2018; Omar et al., 2009), which is intended to verify the significant similar layers between polluted dust and smoke on 28 April 2021. The similar vertical distribution of smoke aerosol was also reported, suggesting that wildfire emissions from crown fires in the forest canopy can reach altitudes exceeding 5 km and up to 8 ~ 9 km in the mid-troposphere and can sometimes even be uplifted to the free troposphere and lower stratosphere under strong thermal convection in the Himalayas (Vadrevu et al., 2012).

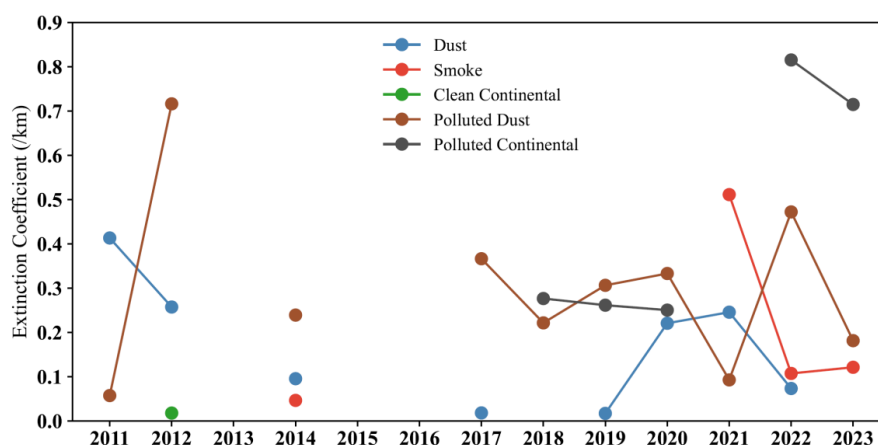
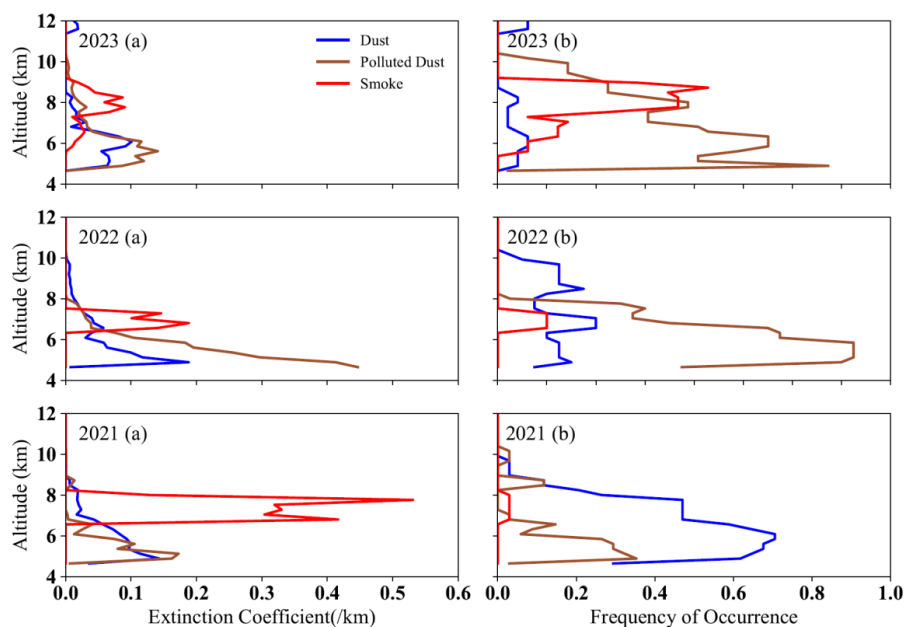
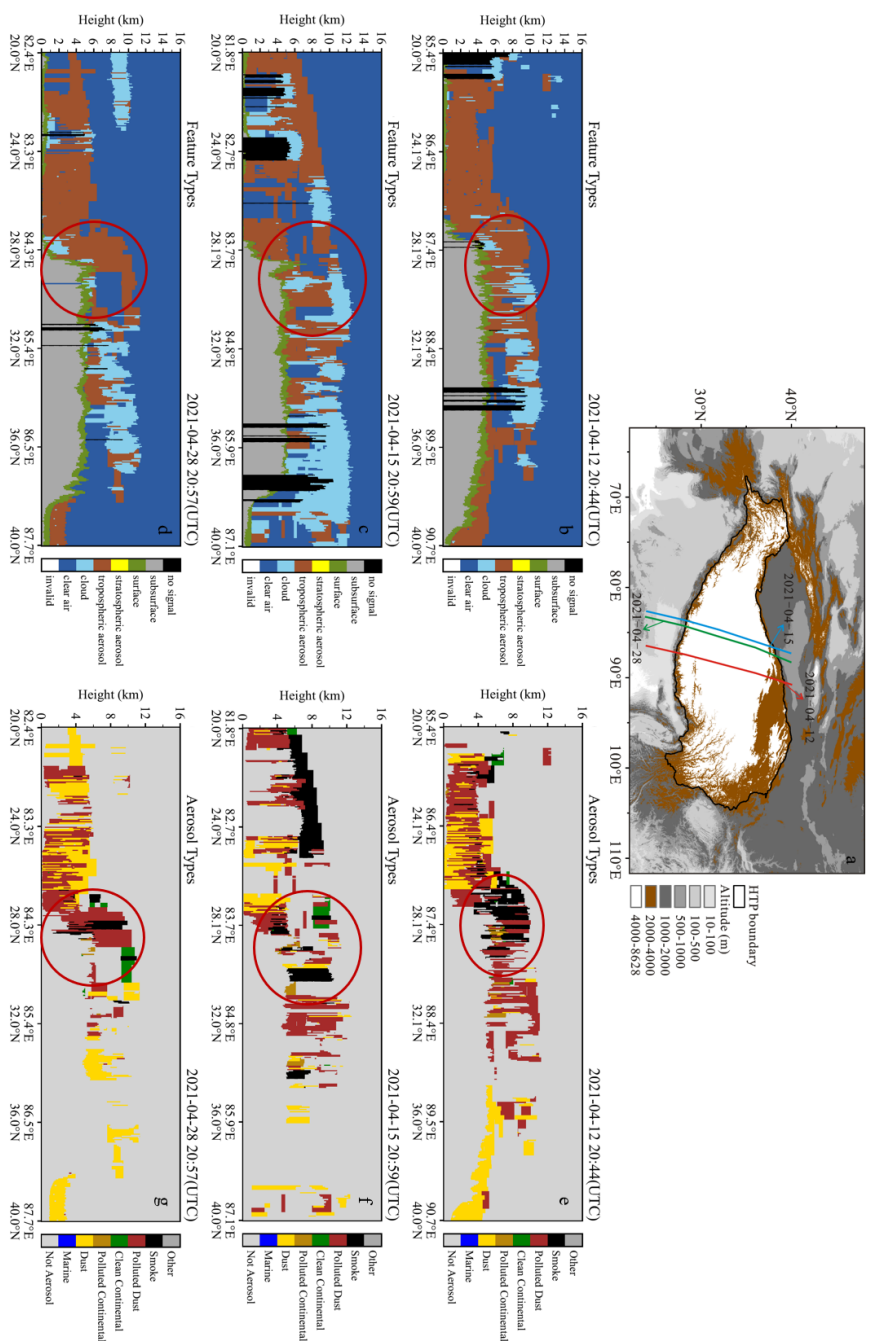


Figure 4. Averaged aerosol extinction coefficient at 532 nm for March and April from 2011 to 2023 over the southern HTP (gray rectangle in Figure 1).





368  
369 Figure 5. The vertical distribution of averaged aerosol extinction coefficient at 532 nm (a) and occurrence  
370 frequency profile of each aerosol type (b) during the peak of fire season (March and April) from 2021 to  
371 2023 over the south of HTP (gray rectangle in Figure 1).



372 Figure 6. (a) CALIPSO observations of aerosol and cloud layers over the HTP region on April 12, 15,  
373 and 28, 2021. (b–d) Vertical feature mask (VFM) indicating the primary layer type (aerosol or cloud),  
374 and (e–g) VFM for aerosol layers, showing aerosol subtypes.



375 Wildfire smoke particles, mainly consisting of organic carbon (OC) and black carbon (BC)  
376 (Andreae, 2019), have the capacity to absorb solar radiation and contribute to stratospheric warming  
377 when injected into the stratosphere (Yu et al., 2021; Stocker et al., 2021). Observations and modeling  
378 of absorbing aerosols, originating from smoke or urban air pollution, have revealed their ability to  
379 warm the atmosphere while reducing the amount of sunlight reaching the Earth's surface (Satheesh  
380 and Ramanathan, 2000; Koren et al., 2004). At QOMS, the mean SSA values in April are 0.87, 0.87,  
381 0.85, 0.83 at wavelengths of 0.44 $\mu\text{m}$ , 0.675 $\mu\text{m}$ , 0.87 $\mu\text{m}$ , and 1.02 $\mu\text{m}$  (Table S2), respectively. Low  
382 SSA values were also detected at Pokhara site, with most values around 0.9 (Figure S5), which may  
383 be attributed to high BC concentrations (Ramachandran et al., 2020) and indicates a tendency  
384 towards a warming effect (Li et al., 2022; Liu, 2005). In addition, the lower SSA values ( $< 0.8$ ) were  
385 measured at observation sites located in Tibetan Plateau (Wang et al., 2024; Tian et al., 2023),  
386 suggesting stronger aerosol absorption and a significant aerosol warming effect. In the study, smoke  
387 aerosols were predominantly observed at altitudes between 6 and 8 km in the middle troposphere  
388 over the southern HTP area during peak fire seasons. We investigated the vertical temperature  
389 structure by assessing the rate of decrease in temperature with increasing altitude during March and  
390 April for 2021 (a year marked by intense wildfires) and 2022 (a year with relatively weak wildfires).  
391 The temperature profiles at QOMS, SETS, and Naqu stations exhibit smaller absolute values of  
392 slope  $K$  in 2021 compared to those in 2022, excluding the NADOR data (Figure 7). This suggests  
393 that the intense wildfire activity influences the temperature structure, resulting in a reduced vertical  
394 temperature lapse rate, likely due to the warming effect of smoke in the middle troposphere. Because  
395 of the constraints of transport routines (Figure S3), the impact of smoke on the temperature structure  
396 is weaker at the NADOR site, which is situated in the southwest of HTP (Figure 1).

397 To elucidate the effect of smoke layers on the aerosol radiative forcing, we used the realistic  
398 CALIPSO smoke extinction profiles (Figure 4) and calculated the heating rates using the SBDART  
399 model in both the cases with and without measured vertical profiles (Rai et al., 2019; Kedia et al.,  
400 2010; Dumka et al., 2016; Moorthy et al., 2009). The heating rates obtained by including smoke  
401 aerosol vertical profiles exhibit structures consistent with aerosol extinction at different altitudes  
402 observed, presenting higher than that in the case without aerosols vertical profiles (Figure 8). In the  
403 2021 case, the presence of smoke aerosols results in an enhanced atmospheric absorption, leading

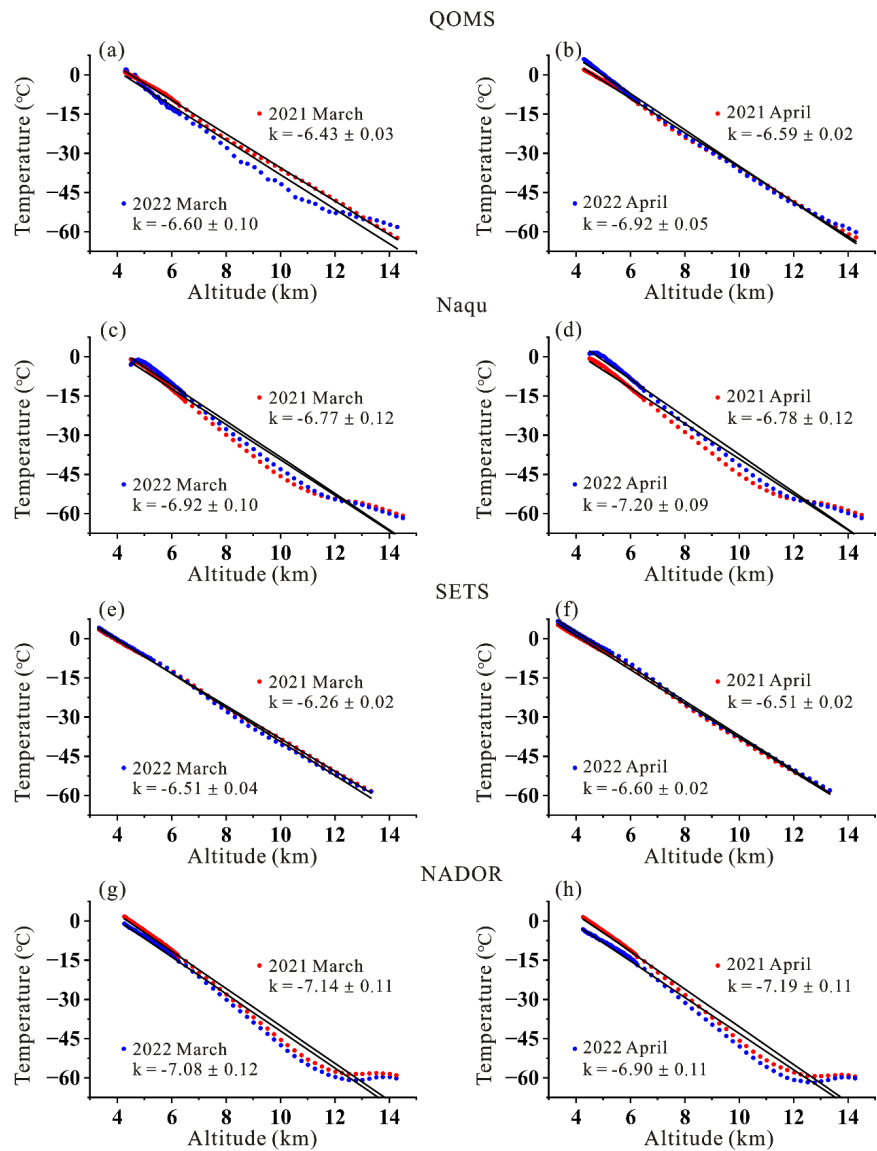


404 to layer warming with heating rate reaching up to  $1.66 \pm 0.09 \text{ K day}^{-1}$  at the 6 to 8 km level (in the  
405 middle troposphere) (Figure 8a). Specially, these heating rates at smoke layer with different aerosol  
406 extinctions exhibit differences, with increases of  $0.61 \sim 1.32 \text{ K day}^{-1}$  in 2021 case,  $0.38 \sim 0.67 \text{ K}$   
407  $\text{day}^{-1}$  in 2022 case, and  $0.51 \sim 0.74 \text{ K day}^{-1}$  in 2023 case in the middle troposphere, compared to the  
408 scenario without smoke aerosols. The results demonstrate a substantial absorption of solar short-  
409 wave radiation by smoke aerosols, which is consistent with the heating rates values ( $\sim 0.5$  to  $1.9 \text{ K}$   
410  $\text{day}^{-1}$ ) reported in previous studies (Dumka et al., 2016), where a significant contribution of BC  
411 fraction was identified. This is also comparable to previously reported values ranging from 0.45 to  
412  $0.7 \text{ K day}^{-1}$  due to BC within the so-called “atmospheric brown clouds” (ABCs) between 500 and  
413 3000 m asl over the Indian Ocean in March 2006 (Ramanathan et al., 2007). The average heating  
414 rate of smoke aerosols modeled by SBDART is  $0.64 \pm 0.25 \text{ K day}^{-1}$ , which is slightly higher than  
415 the mean value for March and April ( $0.41 \pm 0.49 \text{ K day}^{-1}$ ) from 2011 to 2023 at QOMS, but lower  
416 than the corresponding average for March and April ( $1.13 \pm 0.57 \text{ K day}^{-1}$ ) at Pokhara (Table S3),  
417 both of which were retrieved from AERONET. Indeed, the solar heating rates associated with the  
418 smoke are high over the HTP region, as evidenced by both model simulations and observational  
419 measurements.

420 However, the heating rate near the surface ( $\sim 1.12 \text{ K day}^{-1}$ ) is less than that ( $\sim 1.16 \text{ K day}^{-1}$ )  
421 without smoke aerosol case (Figure 8a), indicating the potential cooling of  $\sim 0.04 \text{ K}$  near the ground.  
422 This may be attributed to the reduction in solar radiation reaching the surface due to the solar  
423 absorption by smoke layer (Ramanathan et al., 2001a) in the middle troposphere, which  
424 subsequently alters air temperature near the ground (Liu, 2005). Here, the net gain of shortwave  
425 radiation flux at the surface is notably reduced with smoke layer compared to the net radiation flux  
426 simulated in the absence of smoke aerosol vertical profiles (Figure 8b). The ARF profiles are  
427 influenced by the vertical distribution of aerosols, presenting a markedly different pattern in the  
428 2021 case due to the input of a high AOD of the smoke layer (Figure 8c). The ARF above the smoke  
429 layer is approximately  $-14.05 \text{ W m}^{-2}$ ,  $-8.94 \text{ W m}^{-2}$ , and  $-9.52 \text{ W m}^{-2}$  in the 2021, 2022 and 2023  
430 cases, respectively. And the ARF values below the smoke layer are found to be  $-36.48 \text{ W m}^{-2}$ ,  $-23.97$   
431  $\text{W m}^{-2}$ , and  $-24.86 \text{ W m}^{-2}$  for the same cases, respectively. The average atmospheric warming of  
432 smoke layer is about  $15.03 \sim 22.43 \text{ W m}^{-2}$ , suggesting a reduction in solar flux at the surface caused



433 by the absorption of smoke aerosols.



434  
435 Figure 7. Temperature lapse rates with increasing altitude observed at the QOMS, SETS, Naqu, and  
436 NADOR stations during March and April of 2021 and 2022.

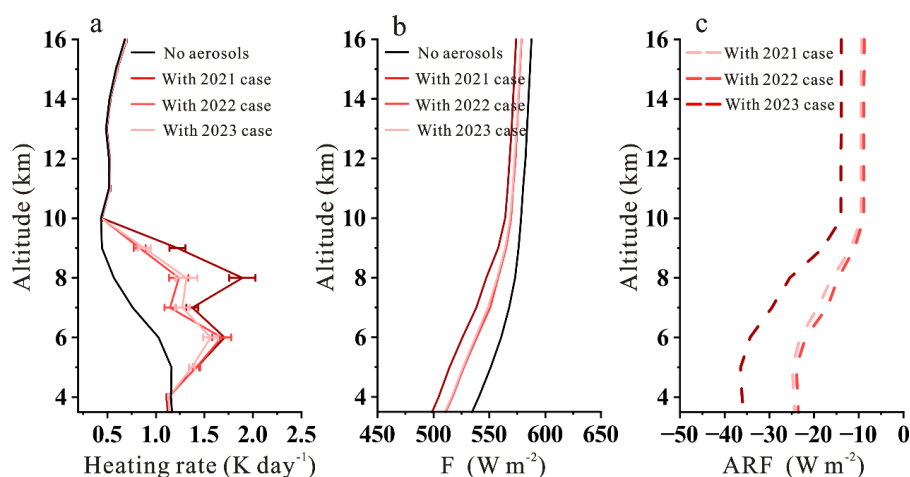
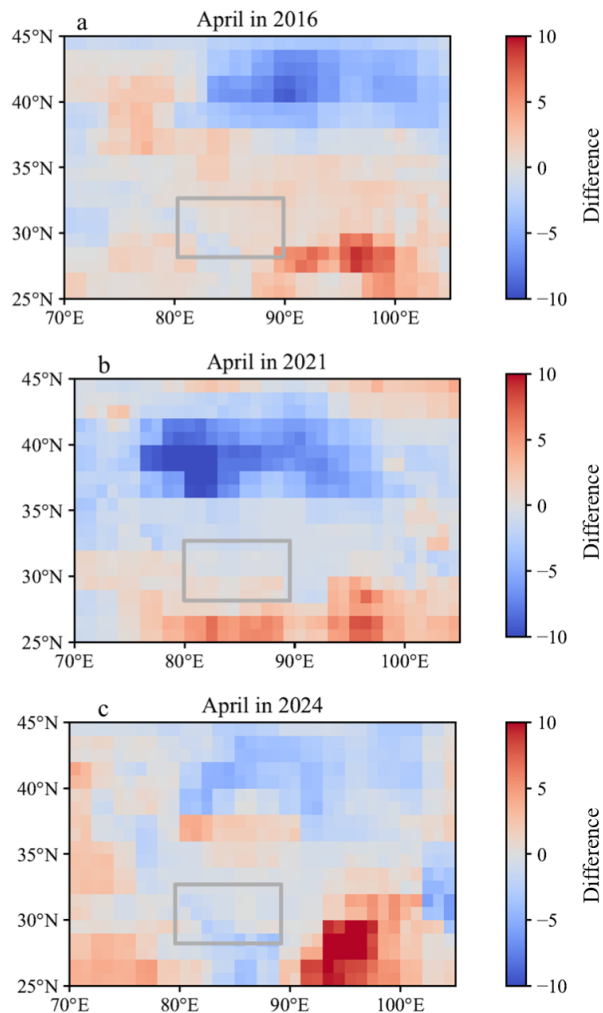


Figure 8. Vertical profiles of heating rate (a), net down radiation flux  $F$  (b) and ARF (c) without and with aerosol vertical profiles (2021 case, 2022 case and 2023 case), simulated with the SBDART model.

Meanwhile, CERES Satellite derived ARF in the atmosphere was studied in Ramachandran et al. (2023), showing the positive forcing of atmospheric aerosols over the IGP and Himalayas. In this study, we focus on extreme wildfire events and calculated the anomaly in  $\text{ARF}_{\text{atm}}$  values during the peak of fire season. The anomaly is determined by subtracting the monthly average  $\text{ARF}_{\text{atm}}$  for April across all other years (2011 to 2024) from the monthly mean  $\text{ARF}_{\text{atm}}$  values in the year of the wildfire events. Positive anomalies in  $\text{ARF}_{\text{atm}}$  values are observed over the southern part of the HTP region (Figure 9), indicating that the intense smoke emitted by wildfires on the southern slopes of the Himalayas exerts a positive radiative forcing on the atmosphere, potentially contributing to atmospheric warming. It should be noted that the aerosol radiative forcing data from CERES represents the radiative characteristics of all aerosols in the atmosphere, which is not limited to smoke aerosol but also encompasses the effects of other aerosol types. As a result, the values exhibit a broad range of variability.



451 Figure 9. CERES satellite-derived differences in ARF ( $\text{W m}^{-2}$ ) between intense wildfire events (2016  
452 (a), 2021 (b), and 2024 (c)) and the April monthly averages for other years from 2011 to 2024.

453 **4 Conclusion and Perspective**

454 Incorporating long-term MODIS fire products, ground-based and satellite-derived aerosol  
455 datasets, and model simulations, this study investigates the predominant biomass burning species  
456 affecting the HTP region and their potential impact on atmospheric warming. The AOD at 500 nm  
457 from AERONET at Pokhara, QOMS, and Nam Co exhibited distinct and consistent interannual and  
458 seasonal patterns in alignment with the fire counts and FRP in Himalayas, characterized by a  
459 pronounced annual peak during the period March-May and notable fire events in 2016, 2021 and



460 2024. Moreover, significant positive correlations were observed between Himalayas fire counts and  
461 both total AOD and fine-mode AOD at Pokhara ( $r = 0.76$  and  $r = 0.73$ , respectively;  $p < 0.001$ ),  
462 QOMS ( $r = 0.71$  and  $r = 0.80$ , respectively;  $p < 0.001$ ) and Nam Co ( $r = 0.69$  and  $r = 0.68$ ,  
463 respectively;  $p < 0.001$ ) for the whole study period (2011-2024). In contrast, no consistent  
464 interannual or seasonal variations or significant correlations were detected between the AOD at  
465 these sites and the fire counts and FRP over IGP. Combined with forward trajectories analyses, these  
466 findings indicate that wildfires on the southern slopes of Himalayas serve as the primary driver of  
467 aerosol loading over the HTP region.

468 For the southern HTP region, the multi-year-average (2011 to 2023) extinction values of  
469 various aerosol types during peak fire seasons (March and April) were derived from CALIPSO L2  
470 aerosol profile product. The extinction coefficient of smoke aerosols in 2021 was significantly larger  
471 than in other years, corresponding with the interannual variability in fire counts across the  
472 Himalayas. During the peak fire season, smoke was particularly abundant at altitudes between  
473 approximately 6 and 8 km in the mid-troposphere, where the extinction coefficients in 2021 were  
474 also notably elevated relative to other years. Meanwhile, during several wildfire event cases,  
475 CALIPSO observations showed that smoke was the predominant aerosol type, primarily distributed  
476 at altitudes between 6 and 10 km in the mid-troposphere over the Himalayas and the southern  
477 Tibetan Plateau region. The intense wildfire activity in 2021 likely increased the mid-tropospheric  
478 temperature and altered the vertical temperature structure, as evidenced by reduced absolute  
479 temperature lapse rate (slope  $K$ ) values—the rate of temperature decreases with increasing  
480 altitude—observed at the QOMS, SETS, and Naqu stations compared to 2022. Utilizing realistic  
481 CALIPSO-derived smoke extinction profiles, heating rates were calculated with the SBDART  
482 model under two scenarios with and without the measured smoke aerosol vertical profiles. The  
483 presence of smoke aerosols enhanced atmospheric absorption, leading to layer warming with the  
484 heating rate increasing by 0.38 to 1.32 K day<sup>-1</sup> (mean =  $0.64 \pm 0.25$  K day<sup>-1</sup>) in the middle  
485 troposphere compared to the scenario without smoke aerosols. Moreover, the average atmospheric  
486 warming within the smoke layer was approximately 15.03 ~ 22.43 W m<sup>-2</sup>, a finding further  
487 supported by the low SSA values observed at the QOMS and Pokhara sites, thereby indicating the  
488 warming effect of smoke aerosols.





489       The impact of aerosols is important for climate change, hydrological cycle and cryosphere in  
490       the HTP region. The mid-troposphere warming induced by smoke aerosols, as observed in our study,  
491       is a significant factor contributing to altering regional atmospheric stability and modulating surface  
492       temperatures. This warming is also expected to influence the melting dynamics of snowpacks and  
493       glaciers, as well as precipitation patterns, thereby impacting water availability and quality in the  
494       AWT to some extent. A more comprehensive investigation into the atmospheric heating and cooling  
495       processes induced by various aerosol types, along with the corresponding vertical temperature  
496       structure in the HTP, is crucial for advancing our understanding of how the climate responds to  
497       aerosol effects in the context of global warming.

498

#### 499   **Data availability**

500       The AERONET dataset is accessible at <https://aeronet.gsfc.nasa.gov/> (Nasa, 2016). The  
501       MODIS Collection 6 Hotspot / Active Fire Detections MCD14ML is distributed from NASA Fire  
502       Information for Resource Management System (FIRMS), and can be accessed  
503       at <https://earthdata.nasa.gov/firms> (Lancemodis, 2021; Nasa, 2021). CALIPSO's Level 2 Lidar  
504       Vertical Feature Mask (CAL\_LID\_L2\_VFM-Standard-V4-20) and 5 km Aerosol Profile products  
505       (CAL\_LID\_L2\_05kmAPro-Standard-V4-20) are available through Atmospheric Sciences Data  
506       Centre (ASDC) at <https://subset.larc.nasa.gov/calipso/login.php>. The CERES SYN1deg-Day Terra-  
507       Aqua-MODIS Edition 4A (SYN1deg Level 3) dataset can be obtained from  
508       <https://ceres.larc.nasa.gov/data/> (Nasa/Larc/Sd/Asdc, 2017). The elevation data from GEneral  
509       Bathymetric Chart of the Ocean (GEBCO's) current gridded bathymetric data set can be  
510       downloaded from <https://www.gebco.net/>. The MODIS Land Cover Type Yearly Climate Modeling  
511       Grid (CMG), including the dominant land cover type product (MCD12C1), is available through the  
512       Level-1 and Atmosphere Archive & Distribution System Distributed Active Archive Center  
513       (LAADS DAAC) at <https://ladsweb.modaps.eosdis.nasa.gov/search/order/1>. Ground-based  
514       microwave radiometers for temperature over Tibetan Plateau (Ma Yaoming, 2024), along with the  
515       Tibetan Plateau boundary dataset (Yili, 2019), are provided by National Tibetan Plateau Data Center  
516       (<http://data.tpdac.ac.cn>). HYSPLIT model is available via NOAA's Air Resources Laboratory's (ARL)  
517       website (<https://www.ready.noaa.gov/HYSPLIT.php>).



518 **Author contributions**

519 CZ designed and conceived the research. QP drafted the initial manuscript. YY and AC contributed  
520 to the SBDART method. ZC provided a portion of the data. CZ, QP, YY, AC, XW, HZ, and GW  
521 reviewed and edited the manuscript.

522 **Competing interests**

523 The authors declare that they have no conflict of interest.

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