

Research on the Extraction of Pre-Seismic Anomalies in Borehole Strain Data of the **Maduo** Earthquake Based on the SVMD-Informer Model

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Abstract. Earthquake is a major natural disaster triggered by the accumulation and release of crustal stress, and the accurate
10 extraction of pre-seismic anomaly signals is crucial to improve the earthquake prediction capability. In this study, an
anomaly detection method for borehole strain data based on the combination of Segmented Variational Modal
Decomposition (SVMD) and Informer network is proposed, and a pre-seismic anomaly extraction study is carried out for the
2021 Maduo Ms7.4 earthquake in Qinghai. The SVMD method effectively solves the memory limitation problem of
15 traditional Variational Modal Decomposition (VMD) when dealing with large-scale data through the sliding-window
mechanism, and at the same time maintains the correlation between the data. The Informer network significantly reduces the
computational complexity of the long-series prediction and realizes the high-precision one-time long-time series prediction
by utilizing its *ProbSparse* self-attention mechanism and self-attention distillation. By analyzing the borehole strain data
from the Mengyuan station, this study identifies the accelerated anomaly accumulation phenomenon in the two stages before
the **Maduo** earthquake: in the first stage, the number of anomalous days shows an accelerated growth starting from about
20 three months before the earthquake (February 13, 2021); in the second stage, the anomalous accumulation tendency is
further intensified since the second month before the earthquake (the end of March, 2021), and the accumulation curve
shows a typical S-shape growth characteristic. The results are highly consistent with the time windows of the index of
microwave radiation anomaly (IMRA), outward long-wave radiation (OLR) and geoelectric field anomalies, and with the
subsurface-to-atmosphere multilayer anomalies (e.g., Benionff strain, CO concentration, electron concentration anomalies,
25 etc.), which indicate that the borehole strain anomalies are closely related to the gestation process of the **Maduo** earthquake.
This study provides a new method for the extraction of pre-seismic anomalies based on machine learning, and provides an
important basis for understanding earthquake precursors.

1 Introduction

Earthquakes are caused by the release of accumulated stresses in the Earth's crust beyond the strength of the rocks during plate interactions and collisions. Once the stress in the earth's crust exceeds a certain critical threshold, the crust will rupture, releasing seismic waves reflected through the ground, which can cause great damage (Kanamori and Brodsky, 2001; Fan et al., 2021). They can damage infrastructure such as ground, transportation, and buildings, and may lead to secondary disasters such as volcanic eruptions (Seropian et al., 2021), tsunamis (Koshimura and Shuto, 2015), and landslides (Fan et al., 2019). Meanwhile, seismic hazards not only threaten human lives (Potter et al., 2015), but also have far-reaching impacts on socioeconomic development and quality of life (Peptan et al., 2023). Studies have shown that more than 80% of earthquakes of magnitude 5.0 and above in mainland China in 2022 occur within the annual seismic hazard zone (Yu et al., 2024a). Therefore, it is crucial to study the anomalies that precede earthquakes.

Researchers around the world have now explored a wide range of phenomena before and after earthquakes, covering different structural levels of the Earth, including the subsurface, surface and atmospheric realms. (Ma et al., 2022) identified geodetic anomalies prior to the Maduo earthquake through observed GPS values and computationally analyzed b-values, and verified that the pre-seismic anomalies of GPS data, b-values, and stress and strain accumulations were associated with the Maduo earthquake. (Liu et al., 2023) used outward longwave radiation (OLR) data to find that thermal infrared anomalies synchronized with the tidal stress cycle preceded the 2023 M7.8 earthquake in Turkey, possibly reflecting the thermal response of tectonic stresses as they accumulate to a critical state. (Guo et al., 2015) found significant anomalous ionospheric disturbances prior to the April 11, 2012 Sumatra Ms8.6 and Mexico Ms6.7 earthquakes using global total ionospheric electron content (TEC) data. In addition, other scholars have also studied fields such as geomagnetism (Li et al., 2019), microwave bright temperature (MBT) (Qi et al., 2021), CO (Cui et al., 2024), and electron density (Han et al., 2023). The above studies provide abundant data support and theoretical basis for the exploration of earthquake precursors, and are of great significance to our understanding of earthquake mechanisms and potential impacts.

Since the implementation of the U.S. Earth Lens Program, a large amount of open data has been accessed and utilized, and the Plate Boundary Observations (PBO) program has contributed to the development of strain techniques. Borehole strain observations have received unprecedented attention because of their high resolution and sensitivity (Lou and Tian, 2022; Roeloffs, 2010; Barbour and Agnew, 2012). Borehole strain observation is superior to GPS and laser strain gauges in capturing short- and medium-term strain changes and pre-seismic anomalies (Qiu and Shi, 2004), and is an important means to study crustal deformation and stress field changes. As the main observation equipment of China's digital seismic observation network, China's self-developed YRY-4 four-component borehole strain gauge is usually installed at the bottom of bedrock at 40 meters, and has the capability of minute-level strain sampling, which can continuously record high-resolution stress and strain changes (Qiu et al., 2013). The high-resolution recordings provided by borehole strain gauges allow us to capture minute strain changes, thus providing accurate data to gain insight into crustal deformation processes (Lou and Tian, 2022). In addition, the borehole strain gauge not only provides four-component data, but also records

ancillary observations such as solid tides, air temperature, and air pressure (Chi, 2009; Tang et al., 2023b). In addition to the application in earthquake precursor research, the borehole strain observation data also play an irreplaceable role in the research fields of slow earthquakes, co-seismic stress triggering, tremor, earth free oscillation, and seismic wave propagation, and many unique results have been achieved (Qiu, 2014).

65 Numerous scholars have accumulated rich research experience and results in extracting and identifying pre-seismic anomalous signals using borehole strain observation data. (Chi, 2013) inferred that these anomalies were closely related to the strain precursors in the preparation of two earthquakes by analyzing the tidal anomalies recorded by borehole strain gauges during the preparation of Wenchuan and Lushan strong earthquakes. (Zhu et al., 2020) studied the anomalous characteristics of the borehole strain data before the Wenchuan earthquake by using principal component analysis. By
70 analyzing the first eigenvalue and the first eigenvector of the borehole strain data, the characteristics of pre-earthquake crustal strain changes are revealed. (Yu et al., 2020) constructed a complex network using multi-channel singular spectrum analysis (MSSA) using borehole strain data from the southwestern terminus of the Sichuan-Yunnan Longmenshan rift zone, and the results showed that the network provides a powerful tool for earthquake precursor monitoring. (Wu, 2012) revealed the intrinsic evolutionary characteristics of the seismic process through quantitative simulation of cluster sub-statistical
75 equations and established a link between them and the dynamic change of earthquake precursors. (Liu et al., 2014) used the S-transform method to analyze the observation data of four-component borehole strain gauges at Guzantai before and after the Lushan Ms7.0 earthquake, and found that two clusters of high-energy clusters in the time-frequency domain may be related to the seismic activity in Lushan. In addition, (Chi et al., 2019) extracted the Wenchuan earthquake borehole strain data anomalies by decomposing the borehole strain signal into multiple modes using VMD and using a new state-space
80 model to determine the number of decomposed modes, and then calculating eigenvalues used to detect anomalies associated with the earthquake by using the anomaly detection method of principal component analysis (PCA). These studies show that borehole strain observations have significant advantages in precursor anomaly extraction and seismic correlation analysis.

Borehole strain observation can capture the subtle phenomena during seismic activities in a timely manner, and its observation data can reflect the stress-strain changes in rocks, thus providing a potential method for extracting strain
85 anomalies before earthquakes. However, due to the high precision and wide bandwidth characteristics of borehole strain observation, it is highly susceptible to interference from external environmental factors. To address this problem, researchers have conducted in-depth studies on how to remove external interference from borehole strain data. (Qiu et al., 2011) extracted pre-earthquake borehole strain anomalies by using high-pass filtering to remove the interference from seasonal variations and long-period signals. Subsequently, (Zhang et al., 2019) performed first-order difference processing on the
90 borehole strain data to significantly attenuate the low-frequency effects such as solar and lunar gravitational tidal forces in the measured data, and meanwhile, wavelet transform based on the first-order difference data enhanced the short-periodic component of the strain signal. In a recent study, (Zhu et al., 2020) used harmonic analysis to eliminate the effects of solid tidal and seasonal trends on borehole strain data. (Yu et al., 2021) used state-space modeling to remove the strain response due to seasonal trends, barometric pressure, solid tides, and water level variations, thus effectively isolating non-tectonic

95 disturbances. (Li et al., 2024a) successfully extracted the pre-seismic anomalies of the 7.0 magnitude earthquake in Jiuzhaigou by removing the effects of seasonal trends and tides on the borehole observations based on the Variational Modal Decomposition (VMD) and combining with the Graph WaveNet model to process the multi-station data. In addition, (Zhu et al., 2024) firstly removed the long-term background trend and cyclic trend of the borehole strain observation data before the Lushan earthquake by time-series decomposition, secondly, decomposed the data by multi-channel singular spectrum analysis to eliminate the strain response due to water level and air pressure, and finally extracted the pre-earthquake negentropic anomaly in the borehole strain data.

Variational Modal Decomposition (VMD), as an adaptive signal decomposition method, is able to effectively extract the features of nonlinear and nonsmooth signals in the frequency domain, which is widely used in the analysis of complex waveforms such as steps, jumps, and burrs, and outperforms the traditional Empirical Modal Decomposition (EMD) and its derivatives in seismic signal processing (Rao et al., 2024; Li et al., 2018; Xue et al., 2019). However, with the increasing size and complexity of observed data, VMD has limitations such as high memory overhead in large-scale data processing. For this reason, this paper adopts the Segmented Variational Modal Decomposition (SVMD) method, which effectively solves the memory limitation problem through the sliding window mechanism while ensuring the correlation between the data. The studies of (Chi et al., 2023) and (Li et al., 2024b) have shown that SVMD outperforms the traditional VMD method in terms of computational efficiency and memory utilization when dealing with large-scale data.

In recent years, machine learning techniques have become promising tools for studying earthquake precursor data. Studies have been conducted using Random Forest (RF) models (Tsuchiya et al., 2024), decision trees (Sikder and Munakata, 2009), Gated Recurrent Units (GRU) (Chi et al., 2023), Long Short-Term Memory (LSTM) (Zhang and Wang, 2023, 2024), neural networks (Kail et al., 2022; Bilal et al., 2022), and other methods that show significant potential in the prediction of seismic events, for example, estimating earthquake location and magnitude, while reducing the false alarm rate. Despite the remarkable progress, challenges remain in terms of data quality, scarcity, and heterogeneity. With the continuous development and optimization of machine learning models and the continued availability of high-quality data, the accuracy and reliability of earthquake prediction is expected to be further improved.

In this paper, we propose an innovative method based on SVMD-Informer network for extracting pre-seismic anomaly information from borehole strain data, and take the Maduo earthquake as an example to analyze the borehole strain data of Mengyuan Terrace. The SVMD-Informer network used in this paper is not only good at capturing the features of the borehole strain data, but also demonstrates high prediction accuracy. The prediction intervals are constructed by statistical methods, thus realizing efficient anomaly detection. The research in this paper can provide more accurate pre-earthquake anomaly information for the earthquake early warning system and improve the accuracy and timeliness of earthquake prediction.

In order to analyze the correlation between the borehole strain data from Mengyuan station and the Maduo earthquake, the process shown in Fig. 1 was used in this study. As shown in Fig. 1, first, the four-component borehole data were converted to strain data. Subsequently, the borehole strain data were decomposed using the Segmented Variational Modal

Decomposition (SVMD) method, and the decomposition results were fused to remove the interference of influencing factors such as the annual trend and the solid tidal response. The fused data were used as inputs for training, validation and prediction using the Informer model. In the prediction stage, prediction intervals for the upper and lower bounds are established based on the model output, and anomalous signals are identified by comparing the prediction intervals with the original data. Finally, the pre-seismic anomalous cumulative values in the borehole strain data from Mengyuan station were statistically analyzed, and the significant anomalous signals were successfully extracted.

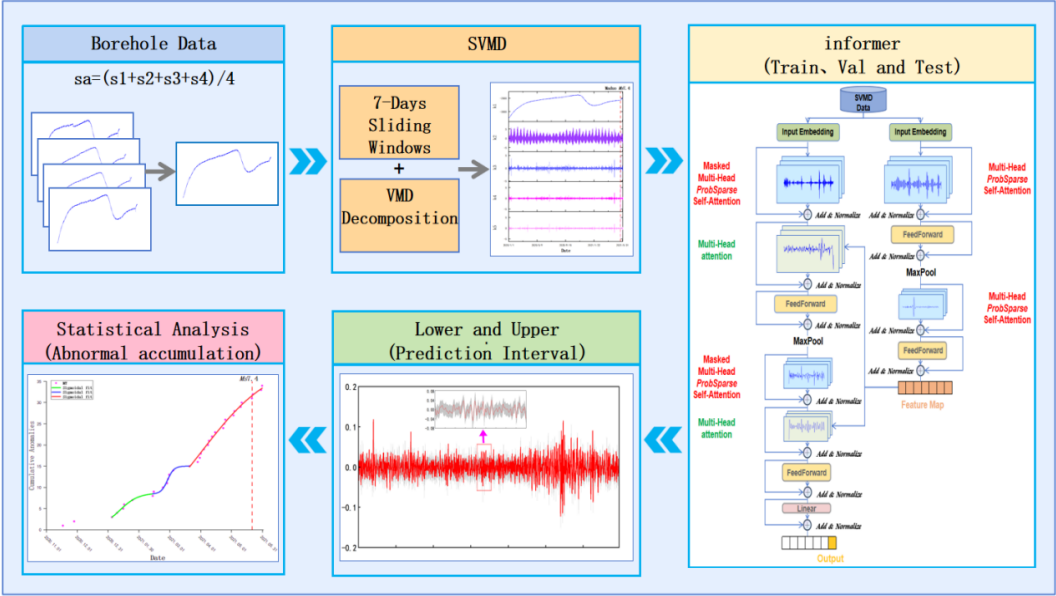


Figure 1. Framework for borehole strain data processing and pre-seismic anomaly extraction.

2 Observational data and earthquakes

2.1 Borehole strain data

In recent years, several studies have verified the reliability of the sampled data from high-component borehole strain gauges, demonstrating the ability of four-component borehole strain gauges to record strained seismic waves from earthquakes of different magnitudes (Tang et al., 2023a; Qiu et al., 2015). As an ideal tool for crustal motion observation, the observation technology of the four-component borehole strain gauge is gradually maturing, which provides important support for the identification of earthquake precursors (Qiu et al., 2021). The YRY-4 four-component borehole strain gauge is one of the core instruments for the observation of crustal deformation in China, and it has the significant advantages of high sensitivity, wide observation band, good data consistency, and superior long-term stability. Borehole Strain Gauge is to place the sensor in the borehole for observation, relative to the earth its observation is an extremely small part of the crust deformation, can be approximated as a point of deformation observation results. The four-component borehole strain observation represents a relative observation that is capable of detecting changes in the target observation, but does not provide a complete

measurement of the target observation. Its characterization is determined by the underlying principles of its model. The four probes on the borehole strain gauge are evenly spaced at 45° intervals to ensure multi-directional monitoring of crustal deformation. The measured value of any one element is recorded as S_1 , rotated by 45° in turn, and the remaining three elements are recorded as S_2 , S_3 , and S_4 . The amount of change in the observed values of the four elements satisfies the self-consistent equation (1):

$$S_1 + S_3 = k(S_2 + S_4) \quad (1)$$

This equation can be used to estimate the reliability of the data. k is the self-consistency coefficient, and in the ideal case $k = 1$. We consider the data to be reliable when $k \geq 0.95$. Under plane strain conditions at or near the Earth's surface, only three independent variables are considered. Therefore, we can derive the various strains from the Mengyuan station record using equation (2) as follows:

$$\begin{cases} S_{13} = S_1 - S_3 \\ S_{24} = S_2 - S_4 \\ S_a = (S_1 + S_2 + S_3 + S_4) / 2 \end{cases} \quad (2)$$

All three substitutions are important. Among them, S_{13} and S_{24} represent shear strains independent of each other, and S_a is the surface strain. Compared with the shear strains S_{13} and S_{24} , the surface strain S_a is more representative of the four components measured by the YRY-4 borehole strain gauge, and therefore the data characterization of the surface strain S_a is taken as the object of study in this paper.

2.2 Maduo earthquake

According to the China Earthquake Networks Center (CENC), the Ms7.4 magnitude earthquake occurred at 02:04:03 on May 22, 2021, in **Maduo** County, Qinghai Province, with an epicenter located at 98.34 °E, 34.59 °N, about 70-80 km from the East Kunlun Fracture Zone, at a depth of 17 km (Zhu et al., 2021). This great earthquake is located in the Bayankala block, which is one of the main blocks of the Qinghai Tibet Plateau orogeny and one of the regions with the most frequent seismic activity in China (Lü et al., 2022). The sudden-onset earthquake was the largest earthquake to occur in China since the 2008 Wenchuan Ms7.9 earthquake. As of 8 a.m. nine days after the earthquake, the China Earthquake Administration recorded a total of 2,979 aftershocks, including one Ms5.1, 13 Ms4.0 ~ 4.9, and 63 Ms3.0 ~ 3.9, which caused severe damage to buildings and roads in the area (Wang et al., 2021).

Dobrowolski's estimate of the radius of influence of precursors for earthquakes of different magnitudes is shown in equation (3):

$$\rho = 10^{0.43M} \text{ km} \quad (3)$$

where M denotes the magnitude and ρ denotes the radius of influence of an M magnitude earthquake. The radius of influence of the **Maduo** earthquake is about 1377.2km. In order to analyze the seismic effects near the epicenter, we selected

the Menyuan station, which is closer to the epicenter of the Maduo earthquake, with a distance of 422.06 km from the epicenter. By analyzing the data collected at Menyuan station, we extracted 34 anomalous signals. This result indicates that the Menyuan station has the potential and ability to monitor earthquake-related anomalies. Figure 2 visually depicts the geographic location of the Menyuan station relative to the epicenter of the Maduo earthquake.

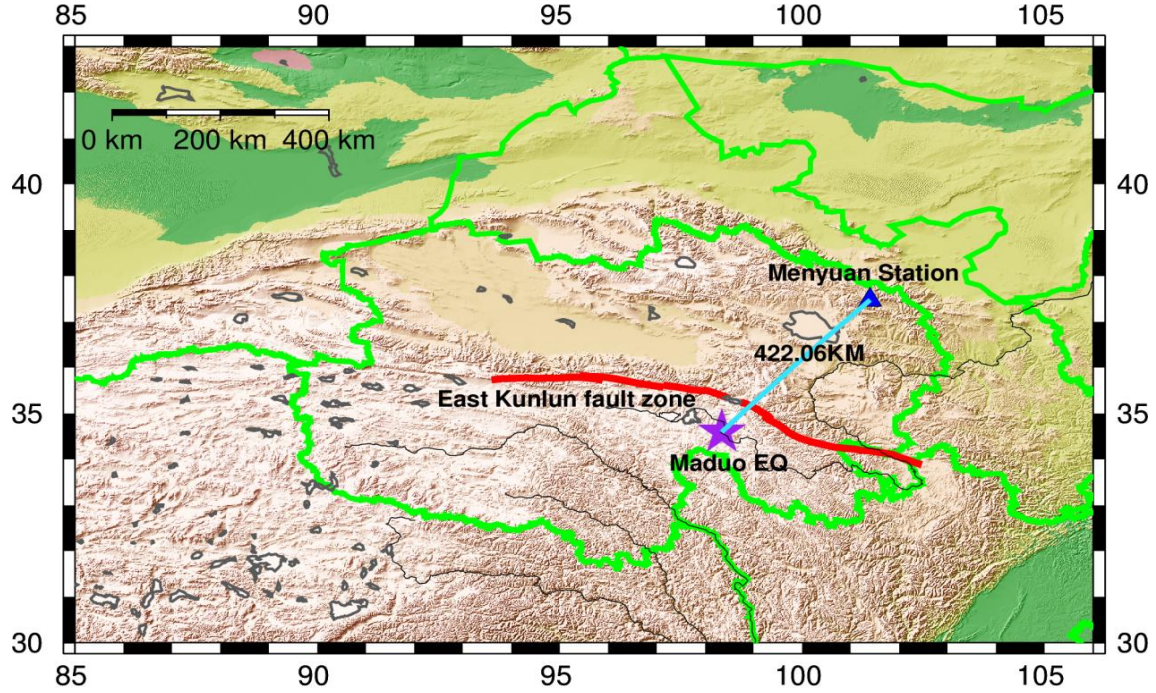


Figure 2. Location of the Mengyuan observatory relative to the epicenter of the **Maduo** earthquake. The blue triangle represents the location of the borehole strain observatory. The purple star represents the location of the epicenter of the **Maduo** earthquake, while the red line inscribes the East Kunlun Fault Zone. This map was generated by GMT software, v. 6.0.0rc5 (<https://gmt-china.org/>).

3 Method

3.1 Segmented variational modal decomposition (SVMD)

Since the introduction of VMD by (Dragomiretskiy and Zosso, 2014), it has achieved better results in dealing with data nonlinear, nonsmooth, and nonsmoothed signals. VMD is a fully implicit, adaptive, and completely nonrecursive approach to modal variational and signal processing. VMD is developed based on the classical Wiener filter denoising and Fourier transform, which aims to decompose the time series data into a series of intrinsic modal functions (IMFs) with finite bandwidth. The decomposition process essentially involves solving the variational problem, and the variational model can be expressed as follows:

$$\begin{aligned} & \min_{\{u_k\}, \{\omega_k\}} \sum_{k=1}^K \left\| \partial_t \left\{ \left[\left(\delta(t) + \frac{j}{\pi t} \right) * u_k(t) \right] e^{-j\omega_k t} \right\} \right\|_2^2 \\ & \text{st } \sum_{k=1}^K u_k(t) = f(t) \end{aligned} \quad (4)$$

In equation (4) $\{u_k\} := \{u_1, u_2, \dots, u_K\}$ and $\{\omega_k\} := \{\omega_1, \omega_2, \dots, \omega_K\}$ are the corresponding center frequencies of the k modal functions and signal decompositions, respectively. Similarly, $\sum_k := \sum_{k=1}^K$ is a shorthand notation for all modes and their center frequencies, respectively.

The quadratic penalty term α and the Lagrange multiplier $\lambda(t)$ are introduced in the VMD in order to solve the constraint problem, which benefits from both the good convergence properties of the finite power quadratic penalty and the strict enforcement of the constraint by the Lagrange multiplier. Thus, the augmented Lagrangian is introduced:

$$\begin{aligned} L(\{u_k\}, \{\omega_k\}, \lambda) := & \alpha \sum_k \left\| \partial_t \left[\left(\delta(t) + \frac{j}{\pi t} \right) * u_k(t) \right] e^{-j\omega_k t} \right\|_2^2 \\ & + \left\| f(t) - \sum_k u_k(t) \right\|_2^2 + \left\langle \lambda(t), f(t) - \sum_k u_k(t) \right\rangle \end{aligned} \quad (5)$$

In the equation L denotes the Lagrange promotion operator, α denotes the data fidelity constraint function, and λ denotes the Lagrange multiplier. Meanwhile the alternating direction multiplier method (ADMM) is used in the VMD to find u_k , ω_k , λ and to solve the updated iterative optimization of equation (5). Where the above equations get the solution formula of the model as u_k :

$$\hat{u}_k^{n+1}(\omega) = \frac{\hat{f}(\omega) - \sum_{i \neq k} \hat{u}_i(\omega) + \frac{\hat{\lambda}(\omega)}{2}}{1 + 2\alpha(\omega - \omega_k)^2} \quad (6)$$

The equation ω_k for the center frequency is:

$$\omega_k^{n+1} = \frac{\int_0^\infty \omega |\hat{u}_k(\omega)|^2 d\omega}{\int_0^\infty |\hat{u}_k(\omega)|^2 d\omega} \quad (7)$$

The Lagrange multiplier λ is:

$$\hat{\lambda}^{n+1}(\omega) \leftarrow \hat{\lambda}^n(\omega) + \tau \left(\hat{f}(\omega) - \sum_k \hat{u}_k^{n+1}(\omega) \right) \quad (8)$$

Although VMD has the advantages of good performance and greater robustness to sampling and noise relative to existing mode decomposition models, when faced with a large number of data computations, problems such as slow data processing and computer memory limitations may arise because the VMD method requires a global search and solves the variational segmentation problem. Therefore, in this paper, we adopt a method that applies Variational Modal Decomposition (VMD) to

data segments by combining sliding windows (SVMD). This method not only effectively solves the above problems in VMD. And through the sliding window mechanism, the correlation between data points can be effectively maintained (Chi et al., 2023).

The principle of SVMD method is shown in Fig. 3. We choose the sliding window mechanism with a size of 7 days and a sliding step of 1 day to realize the data segmentation. First we set the initial window as all the data from the first day to the seventh day and perform VMD decomposition of the data within that window. Starting from the second sliding window, only the results of the VMD decomposition of the current window are retained and superimposed with the decomposition results of the previous window, and in this logical order, the data are processed sequentially, and finally the complete dataset processed by SVMD is obtained.

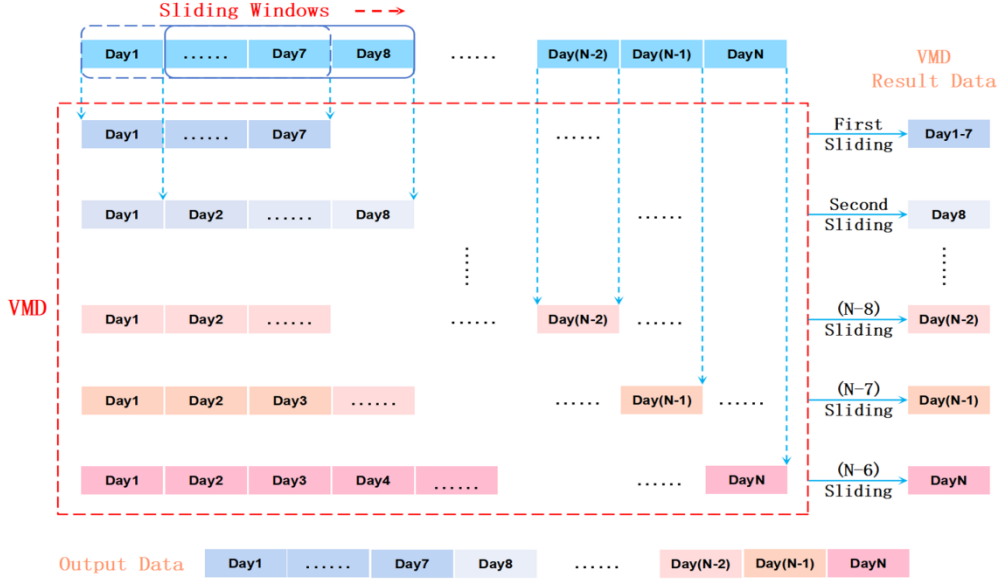


Figure 3. Schematic diagram of the Segmented Variational Modal Decomposition (SVMD).

3.2 Informer network

In recent years, deep learning techniques have been widely used in the field of seismic hazard recognition and prediction, injecting new vigor into the development of earthquake science. Although traditional sequence models, such as Long Short-Term Memory (LSTM) networks, Gated Recurrent Units (GRU), and Recurrent Neural Networks (RNN), have made significant contributions to time series prediction, they have obvious limitations in capturing long-range dependencies when dealing with the task of Long Sequence Time-series Forecasting (LSTF). In contrast, the Transformer model, with its self-attentive mechanism, is able to reduce the maximum path length of network signals to a theoretical minimum while avoiding the complexity of the loop structure, thus showing great potential for LSTF problems (Ashish et al., 2017). However, there are some serious issues with the traditional Transformer model that hinder its application to LSTF, including quadratic time

complexity, high memory usage, inherent limitations of the encoder-decoder architecture, and the drawback of needing to rely on the previous prediction result for the current prediction. To address these issues, this paper employs the Informer model, which significantly improves the performance of long-series time series prediction while maintaining efficient computation.

240 Since the Informer model was proposed by (Zhou et al., 2021), it has been widely used in the fields of river runoff time series prediction (Tepetidis et al., 2024), long-term prediction of indoor air quality (Long et al., 2023), wind power series prediction (Wei et al., 2023), financial time series prediction (Zhang et al., 2024), automatic driving trajectory prediction (Chen et al., 2023), etc. These applications fully show that Informer model has significant prediction performance advantages. The efficiency and accuracy of Informer model provide innovative ideas for earthquake research, especially in
245 the analysis and prediction of earthquake precursor data, which shows great potential.

The Informer model has three significant features:

(1) Adoption of *ProbSparse* (i.e. Probabilistic Sparsity) self-attention mechanism, which reduces the time complexity and memory occupation from $O(L^2)$ of Transformer model to $O(L \log L)$.

(2) Self-attention Distilling is proposed for downsampling operation to reduce the parameters of dimensionality and
250 network parameters.

(3) Generation Style Decoder is utilized, which can effectively predict long time sequences at one time instead of step by step, improving the inference speed of prediction.

The Informer model consists of three main components: encoder layer, decoder layer and prediction layer. Compared to the traditional self-attention methods, the encoder mainly deals with longer sequence inputs by using sparse self-attention.
255 Self-attention Distilling refers to the distillation of the extracted self-attention operation, which significantly reduces the size of the network. Multi-Head *ProbSparse* self-attention denotes the self-attention extracted block, which is used to stitch together the feature maps. Finally, the decoder processes the inputs from the long time series and zeroes out the target elements, to get the predicted results.

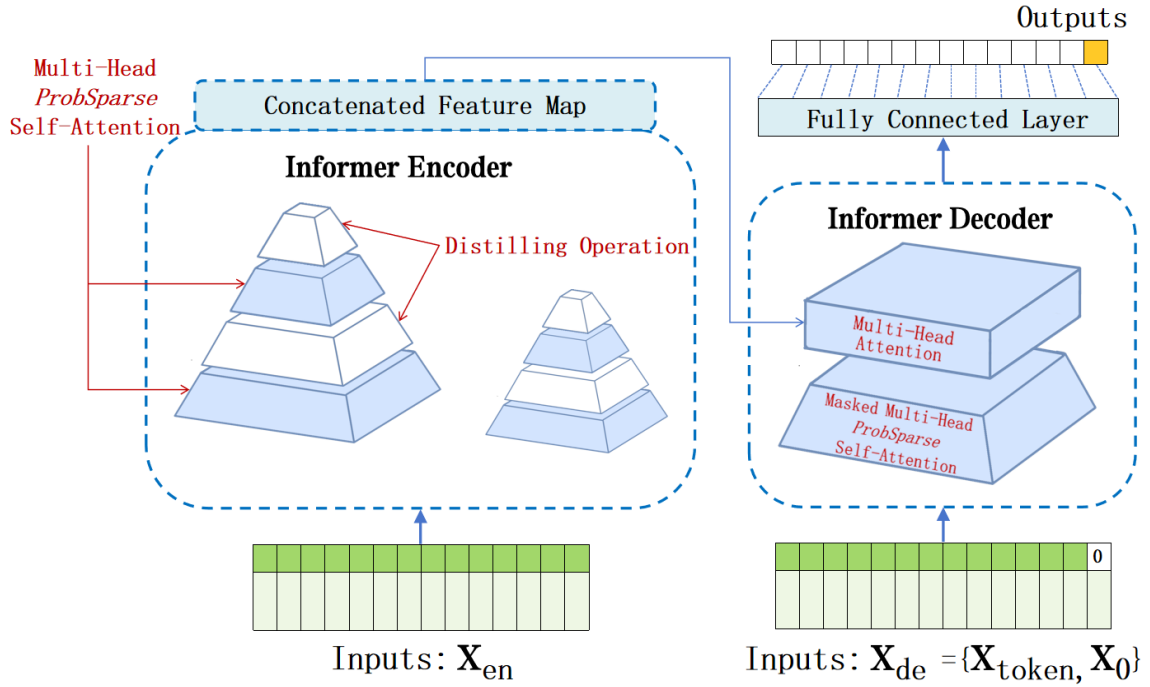


Figure 4. Framework diagram of Informer structure including encoder (Encoder) and decoder (Decoder).

The core idea of the attention mechanism is to compute the attention weights of each element in the input sequence, indicating their relevance to the current output. The process first generates query (q), keyword (k), and value (v) vectors for each element in the sequence, where the query vector represents the current network output state, where the query vector represents the current network output state, and the keyword and value vectors correspond to the input elements and their associated attribute vectors, respectively. Subsequently, these vectors are utilized to compute the attention weights via a scaled dot product relation to measure the similarity between the vectors.

Informer uses formula (10) instead of formula (9) in traditional Transformer for the calculation of attention. This enables the *ProbSparse* self-attention mechanism to significantly reduce the cost of self-attention computation while maintaining its effectiveness.

$$Attention(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = softmax\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d}}\right)\mathbf{V} \quad (9)$$

$$Attention(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = softmax\left(\frac{\bar{\mathbf{Q}}\mathbf{K}^T}{\sqrt{d}}\right)\mathbf{V} \quad (10)$$

$\bar{\mathbf{Q}}, \mathbf{K}, \mathbf{V}$ in Eq. (10) are the input matrices of the attention mechanism, where d denotes the dimensionality of the query and key vectors (dimensionality of the inputs) and $\bar{\mathbf{Q}}$ in Eq. (10) contains only Top-u queries under the sparsity metric

275 $M(\mathbf{q}_i, \mathbf{K})$. where for $M(\mathbf{q}_i, \mathbf{K})$ an empirical method is proposed to effectively measure query sparsity, which is computed by a method similar to the Kullback-Leibler scattering. The calculation formula is as follows:

$$\overline{M}(\mathbf{q}_i, \mathbf{K}) = \max \left\{ \frac{\mathbf{q}_i \mathbf{k}_j^T}{\sqrt{d}} \right\} - \frac{1}{L_K} \sum_{j=1}^{L_K} \frac{\mathbf{q}_i \mathbf{k}_j^T}{\sqrt{d}} \quad (11)$$

Here for every (query) $\mathbf{q}_i \in \mathbb{R}^d$ and $\mathbf{k}_i \in \mathbb{R}^d$ in the set of key $\mathbf{K}$, we have the bound as $\ln L_K \leq M(\mathbf{q}_i, \mathbf{K}) \leq \max_j \left\{ \mathbf{q}_i \mathbf{k}_j^T / \sqrt{d} \right\} - \frac{1}{L_K} \sum_{j=1}^{L_K} \left\{ \mathbf{q}_i \mathbf{k}_j^T / \sqrt{d} \right\} + \ln L_K$. The formula also holds when $\mathbf{q}_i \in \mathbf{K}$.

280 In order to allow the encoder to process longer sequential inputs under memory constraints, the self-attention Distilling operation is used in the model, which not only captures long distance dependencies in long input sequences, but also reduces the dimensionality of the model and the network parameters.

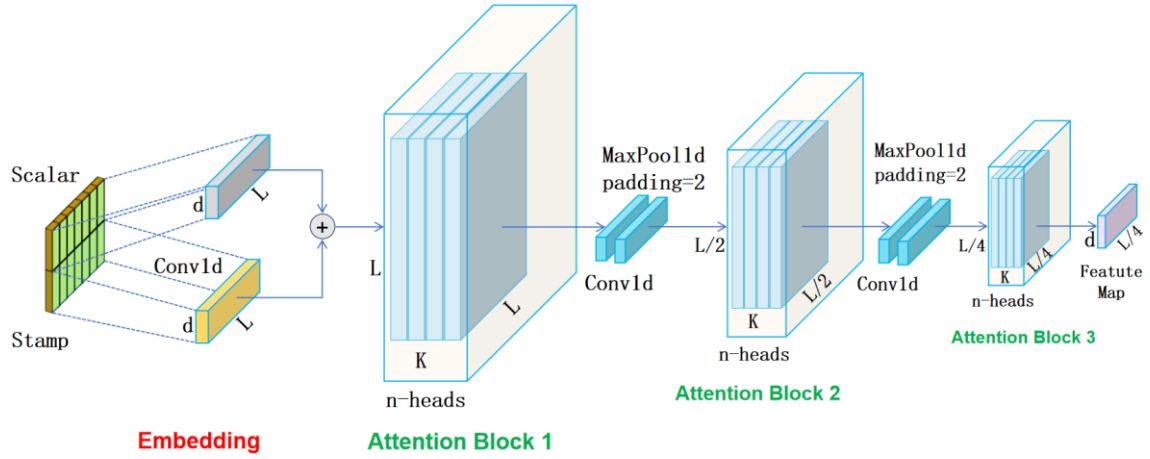


Figure 5. Informer's encoder structure.

285 As shown in Fig. 5, the encoder contains three parts such as attention block(Attention Block), convolutional layer (Conv1d) and maximum pooling layer (MaxPool) for encoding the input data. After the main stack (Attention Block 1), Attention Block 2 and Attention Block 3 halve the input successively, thus increasing the reliability of the distillation operation, and the whole process continues by gradually reducing to 1/4 of the original length. At the end of the encoder, all feature maps are concatenated and the output of the encoder is directed to the decoder. In addition, a self-attention mechanism is employed to evaluate the main features and generate a unified self-attention feature map for subsequent levels.

290 Essentially, this process reduces the complex self-attention mechanism in the Transformer model to a simpler, smaller form that is suitable for integration into the Informer model.

In Figure 5, the decoder consists of two identical multi-head attention layers. The main difference is that predictions are generated through a process called generative inference, which greatly speeds up the long-term prediction process. The decoder provides the following vectors:

295
$$\mathbf{X}_{de}^t = \text{concat}(\mathbf{X}_{token}^t, \mathbf{X}_0^t) \in \mathbb{R}^{(L_{token} + L_y) \times d_{model}} \quad (12)$$

where $X_{token}^t \in \mathbb{R}^{L_{token} \times d_{model}}$ is the start marker and $X_{token}^t \in \mathbb{R}^{L_y \times d_{model}}$ is a placeholder for the target sequence (set to 0). The computation of *ProbSparse* self-attention is then tuned by setting the inner product to $-\infty$ via the masked multi-head attention mechanism, thus avoiding the involvement of each position in subsequent positions. Finally, a fully connected layer produces the final prediction.

300 For Informer model, mean square error (MSE) is used as a loss function for back propagation during training. A 0.2dropout is applied during training to enhance the model generation. The Adam optimizer is used to update the weights with a learning rate of 0.000001 and the number of layers of the model is 128. The number of training rounds for this model is set to 6. When the model does not decline in 3 rounds of loss, the training of the model is stopped. This configuration allows the model to decay and effectively prevents overfitting by reducing the parameter magnitude, resulting in a better
305 trained model.

Statistically based methods are widely used in constructing prediction intervals, and they are capable of detecting anomalies and analyzing anomalies in seismic precursors. (Zhang et al., 2023b) constructed confidence intervals for the predicted values by calculating the residual values of raw infrared long-wave radiation (OLR) data. When the data exceeded the upper and lower limits of the confidence interval, they were determined as cold or hot anomalies, respectively. (Chi et al.,
310 2023) used the lower and upper bound estimation (LUBE) method to directly construct prediction intervals to extract anomalies in seismic precursors. (Li et al., 2024a) utilized a nonparametric method of constructing prediction intervals using data extremes to construct upper and lower bounds of prediction intervals directly.

The upper and lower bounds of the predicted sequence output from the decoder are determined in this paper using the normal distribution method. The prediction interval of the network consists of upper bound and lower bound.. The upper and
315 lower bounds of the prediction intervals in the network are determined using the following equation (13):

$$\begin{aligned} Lower &= Prediction - Z \times mad, \\ Upper &= Prediction + Z \times mad, \end{aligned} \tag{13}$$

where Prediction is the predicted value; Z is the Z-score of the normal distribution, which is approximately 1.44 at the 85% confidence level; and mad denotes the median absolute deviation.

4 Data processing

320 The borehole strain data used in this study were provided by the Beijing Seismological Bureau. **For short-term and proseismic anomaly extraction from borehole strain data.** The borehole strain data of Menyuan station from January 1, 2020 to May 31, 2021 were selected for extracting the anomalous signals before the **Maduo** earthquake, taking into account the time of the earthquake that occurred in the **Maduo** earthquake. The borehole strain data can effectively extract anomalies in the short and medium term. In this paper, the training and validation sets are divided into the first 8 months of data in 2020,
325 and the prediction set is selected from the 7 months before the earthquake. The data from Mengyuan station were validated by the self-consistent equation, and the four-component borehole strain data from Mengyuan station were converted into two

shear strain components S_{13} and S_{24} and one surface strain component S_a by using the strain conversion equation as shown in Fig. 6.

Subsequently, the S_a data from the Mengyuan station were decomposed using the Segmented Variational Modal
 330 Decomposition (SVMD). Five modes are selected in the decomposition process, the decomposition parameters are set to 2000 bandwidths, and the convergence accuracy is set to $1e-7$. The decomposition results are shown in Fig. 6, where k1 represents the annual trend component, k2 represents the tidal component, and the remaining three components are summed up to obtain the final SVMD results. The decomposition results are compared with the relevant influencing factors, and the decomposition reveals that the method effectively eliminates the influence of seasonal trends and solid tides on the borehole
 335 strain data, and significantly improves the extraction of anomalous signals.

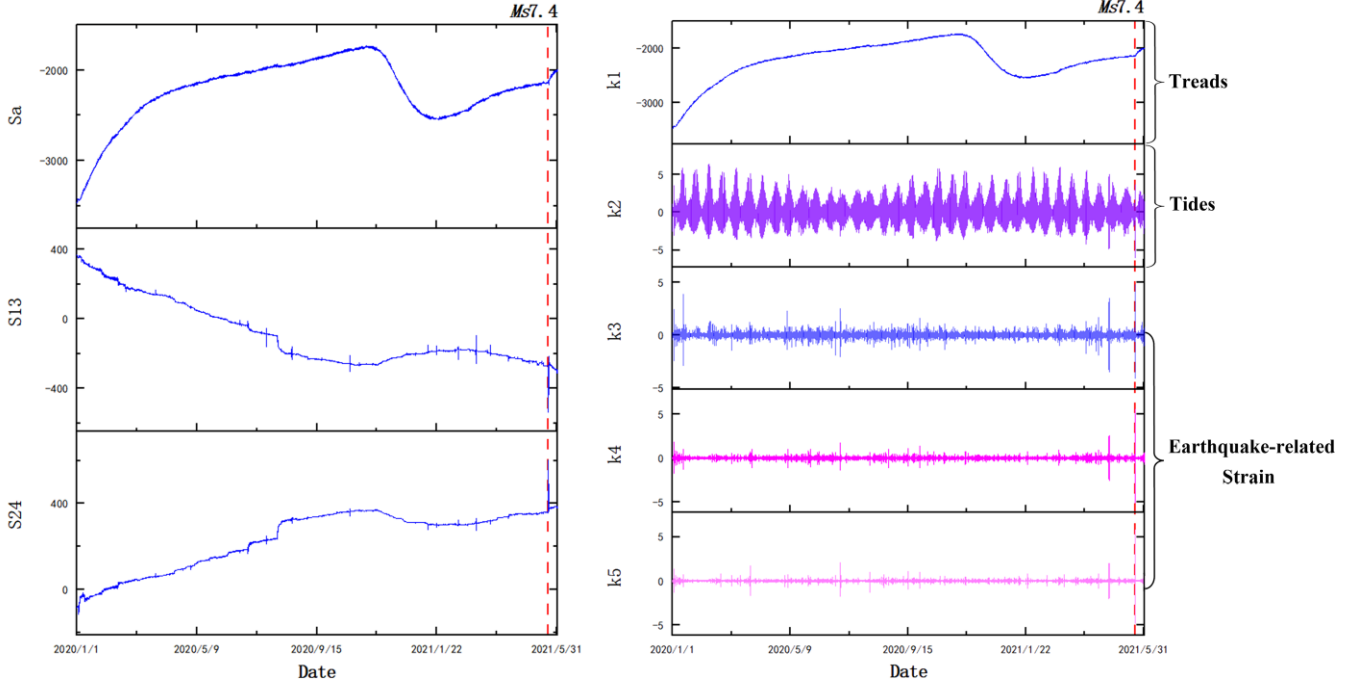


Figure 6. Data for S_a , S_{13} , S_{24} at the Mengyuan station and the results of the SVMD decomposition of S_a . k1 denotes the trend term, k2 denotes the earth tides, and k3, k4, and k5 denote the strains associated with the earthquakes. The red dashed line indicates the date of the earthquake.

340 5 Results and Discussion

In this study, we use the SVMD-Informer network to extract the pre-seismic anomaly signals of the **Maduo** earthquake from the borehole strain data of the Mengyuan station. The analysis focuses on identifying pre-seismic anomalies based on the obtained results. The anomalies were recognized when the raw data exceeded the corresponding upper or lower bounds. The prediction results of the SVMD-Informer network are shown in Fig. 7. From the figure, it can be seen that the real values are

highly compatible with the prediction intervals, especially in the peak and valley regions of the real data, and the prediction interval can better capture the change trend of the data. This result indicates that we have a high reliability in predicting the borehole strain data using the SVMD-Informer network.

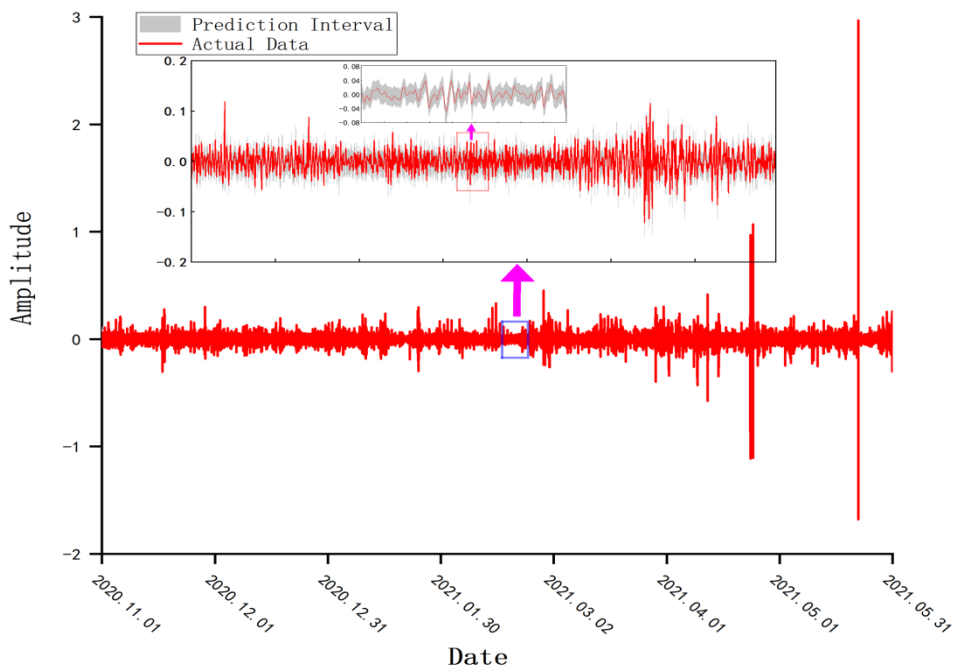
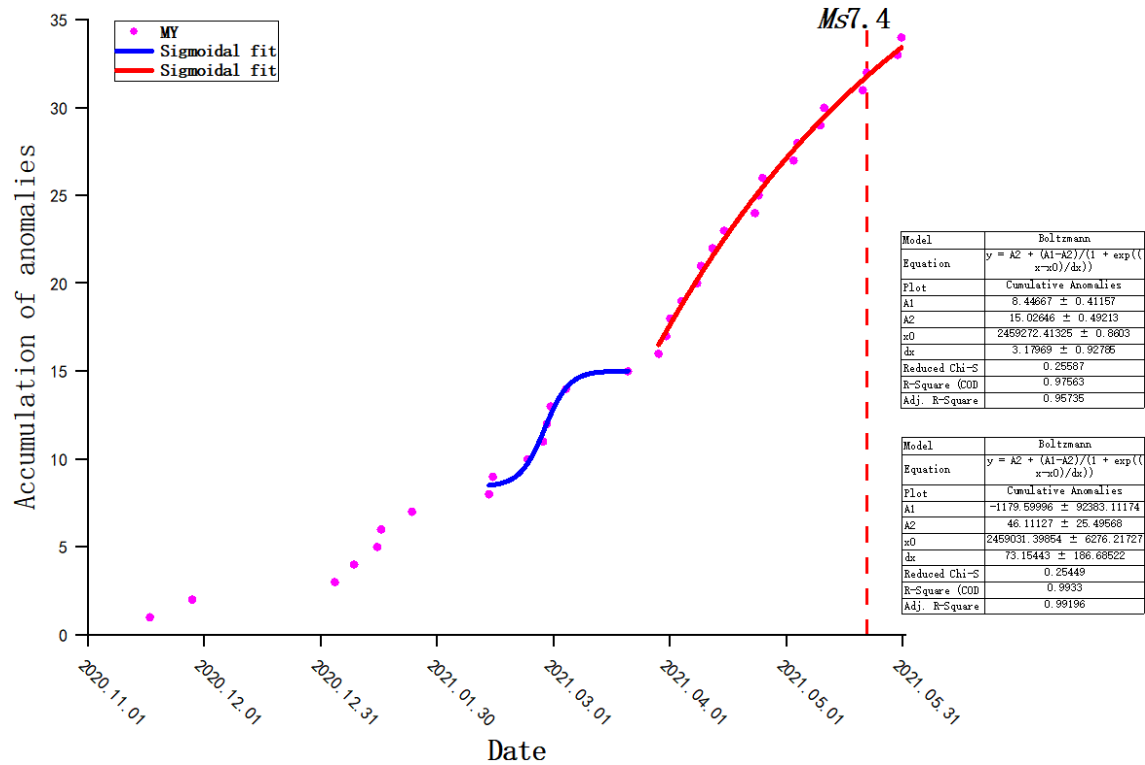


Figure 7. Detail of the prediction results of the Informer model for the borehole strain data at the Menyuan station. The red line indicates the actual data and the gray area indicates the prediction interval.

To identify anomalies in the prediction of borehole strain data, we used the following criteria: (a) detecting 15 points outside the interval within a 30-min window; (b) identifying interval bandwidths where the difference between the center of the predicted interval and the actual value is more than 1.5 times the interval bandwidth (more than three such points occurring in the same 30-min period). Days that fulfill these conditions are considered anomalous (Chi et al., 2023). This criterion can effectively distinguish random fluctuations from significant anomalies, thus significantly improving the accuracy of anomaly identification and providing a more precise basis for earthquake precursor analysis.

(De Santis et al., 2017) first proposed an anomaly accumulation analysis method based on the S-shaped fitting function by studying the Swarm satellite data of the April 25, 2015 Nepal earthquake, and found that the S-shaped fitting function performs more superiorly in describing the anomaly accumulation process compared to the traditional linear fitting method. Similarly, (Yu et al., 2024b) analyzed the geomagnetic data by using a geomagnetic model converter (ATGM) based on the self-attention mechanism and successfully extracted magnetic anomalies (MG-anomalies) in the Wenchuan, Lushan, and Kangding earthquakes, and found that the number of anomalies accumulating conformed to the S-type growth law. (Li et al., 2024b) used Graph WaveNet to extract pre-earthquake anomalies from borehole strain data of several stations near the earthquake source, from which they found that the anomalies accumulated at several stations before the Lushan earthquake

365 showed an S-type accelerated upward trend, and further explained their correlation with the occurrence of the Lushan earthquake. (Fan et al., 2024) used the Sigmoid function to fit the anomaly accumulation results of electron concentration, geomagnetic data, and their fusion parameters in the **Maduo** earthquake, and the results showed that the S-shaped curves can well reflect the process of pre-seismic acceleration and post-acceleration deceleration recovery of the earthquake. These results provide important theoretical support and reference basis for the anomaly accumulation analysis in this paper.



370 **Figure 8. Cumulative results of anomalous days of borehole data at Mengyuan station (MY). The red dashed line indicates the date of the earthquake, and the blue and red curves indicate the results of the S-fit function for the first and second phases, respectively.**

As shown in Figure 8, the cumulative results of anomalous days at the Mengyuan station from before to the time of the **Maduo** earthquake show a two-phase continuum of change. The first phase shows that the number of anomalous days from February 13, 2021 to the middle of the two months prior to the earthquake accelerated from the beginning of the anomaly on February 13 to the middle of March, and then leveled off. The second phase shows that the number of anomalous days has been in an accelerated increasing trend from the end of March until the earthquake. The timing of the first anomaly at Mengyuan station coincides with the timing of the first-order anomaly of the index of microwave radiation anomaly (IMRA) found by (Jing et al., 2022), which both appeared in mid-February. The timing of the second anomaly coincides well with the outward long-wave radiation (OLR) anomalies reported by (Zhang et al., 2023a) and (Zhang et al., 2023b), and the observation of the thermal anomalies reveals that the Mengyuan station is located within the range of the thermal anomalies of March 21 and March 22, which suggests that there was interplate thermal motion on the surface at this time. Moreover,

the correlation coefficients of the geoelectric field began to show a significant decrease two months before the Mengyuan earthquake (Xin and Zhang, 2021). Meanwhile (Fan et al., 2024) calculated the Benionff strain S 90 days before the earthquake, and the results showed that the time of acceleration in the first stage was basically the same as the time when our second stage began to accelerate, inferring that there might be frequent lithospheric activities at this time. Therefore, the anomalies we found in the borehole strain data have some reliability and research value.

In addition, the two-stage accelerated growth of the borehole strain accumulation results may reveal two preparatory mechanisms prior to the mainshock. This is consistent with the theory of fault synergistic process of (Ma et al., 2014). They found that the occurrence of earthquakes is closely related to the three-stage synergistic evolution of faults through an indoor experimental study of plane-walk-slip faults. In the first stage, the initial stress nonlinear divergence leads to localized weakening and the formation of discrete strain release zones. The second stage is characterized by an increase in stress and a widening of the strain release zone. In the final stage, the expansion of the strain-release region and the rapid increase in the strain level in the strain-accumulation region. The anomalous cumulative acceleration about 3 months before the Maduo earthquake corresponds to the first and second stages in the theory, which is manifested by the deviation of the stress curve from linearity and the beginning of the formation and slow expansion of the discrete release zone. The acceleration 2 months before the earthquake reflects the characteristic changes of the third stage, which is characterized by the accelerated expansion of the release zone and the sharp increase of the strain in the accumulation zone. Therefore, we believe that the anomalies observed before the Maduo earthquake are related to the process of earthquake incubation.

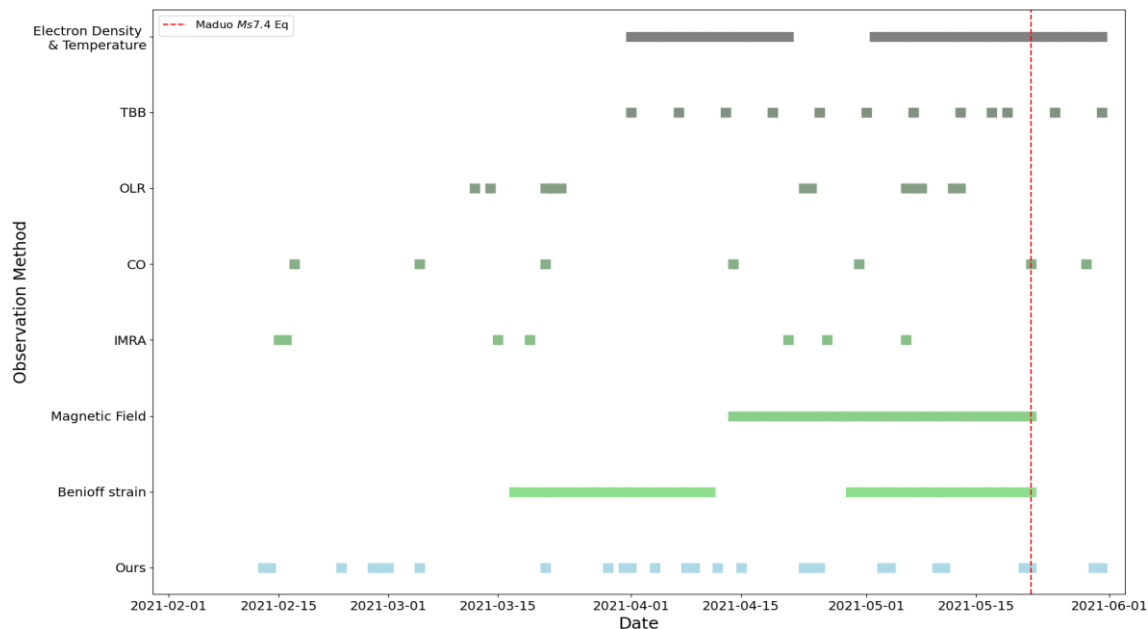


Figure 9. Summary of our study of borehole strain anomalies (light blue) versus subsurface-to-atmosphere anomalies (from light green to gray) for the Maduo Ms7.4 earthquake. The red dashed line indicates the date of the earthquake.

As shown in figure 9, related researchers have studied a variety of anomalies that occurred prior to the **Maduo** earthquake. From the observations at the surface, the magnetic field showed two accelerated phases, with the first phase showing an accelerated growth trend from 38 to 24 days before the main earthquake, and the second phase showing a significant increase in the accumulation of magnetic field anomalies from the beginning of the 24th day before the main earthquake to the time of the earthquake (Fan et al., 2024). In the transition from the surface to the atmosphere, the CO concentration at the 600-hPa altitude fluctuated from February 17, increased again after a small decrease on March 5, and showed the first anomaly peak on March 21st. The maximum anomaly of near-surface CO appeared in April, with a sudden increase on April 14, and the anomaly increased to the maximum level before and after the earthquake in late April (Shi et al., 2024). It is noteworthy that the timing of the CO anomalies is highly consistent with the timing of our borehole strain anomalies. The temperature of brightness blackbody (TBB) started to increase significantly in the direction of the fracture zone north of the epicenter about 1.5 months before the earthquake, reached its maximum intensity on May 17, and the anomaly gradually weakened during the earthquake (Yang et al., 2024). In the atmosphere, the electron density and electron temperature showed anomalous activity about 40 and 20 days before the earthquake (Tian et al., 2023; Fan et al., 2024; Du and Zhang, 2022). The synthesis in Fig. 9 contains anomalies covering a wide range of phenomena from our borehole strains in the subsurface to electron concentrations and temperatures in the atmosphere. It can be found that the borehole strain anomalies show a more comprehensive temporal coverage in the short-term anomalies and coseismic anomalies. In this regard, our study concludes that anomalies existed three to two months before the **Maduo** earthquake. Therefore, we hypothesize that the borehole strain anomalies may be related to the **Maduo** earthquake.

Although borehole strain monitoring techniques are capable of accurately capturing crustal microstrain induced by plate tectonic movements or seismic activity, they are susceptible to factors such as temperature, air pressure, and rainfall. For this purpose we analyzed regional three-hourly variations of barometric pressure, air temperature and rainfall in the Mengyuan area (35.97 to 39.97°N, 99.4 to 103.4°E) from NASA's Giovanni-4 platform (<https://giovanni.gsfc.nasa.gov/giovanni>, last accessed May 9, 2025) , with a time frame of January 1, 2020 to December 31, 2021. In Fig. 10(a), the barometric pressure and air temperature fluctuate inversely within a certain range, while the rainfall gradually decreases year by year after peaking in summer, reflecting a significant annual cyclicity. In addition, in order to minimize the influence of external factors on the borehole strain data, we performed differential processing on the three-hourly regional averages of barometric pressure, air temperature, and rainfall in the Mengyuan area. Differential processing was utilized to remove the effect of cyclic variations, thereby highlighting anomalies in the data. The results of the processing are shown in Fig. 10(b), which shows that the three-hourly regional means of barometric pressure, air temperature, and rainfall in Mengyuan do not show any anomalous changes. We exclude the influence of barometric pressure, air temperature and rainfall on the anomalies observed in the pre-earthquake borehole data from **Maduo**. Therefore, we have reason to believe that the anomalies we extracted before the **Maduo** earthquake are related to the earthquake genesis process.

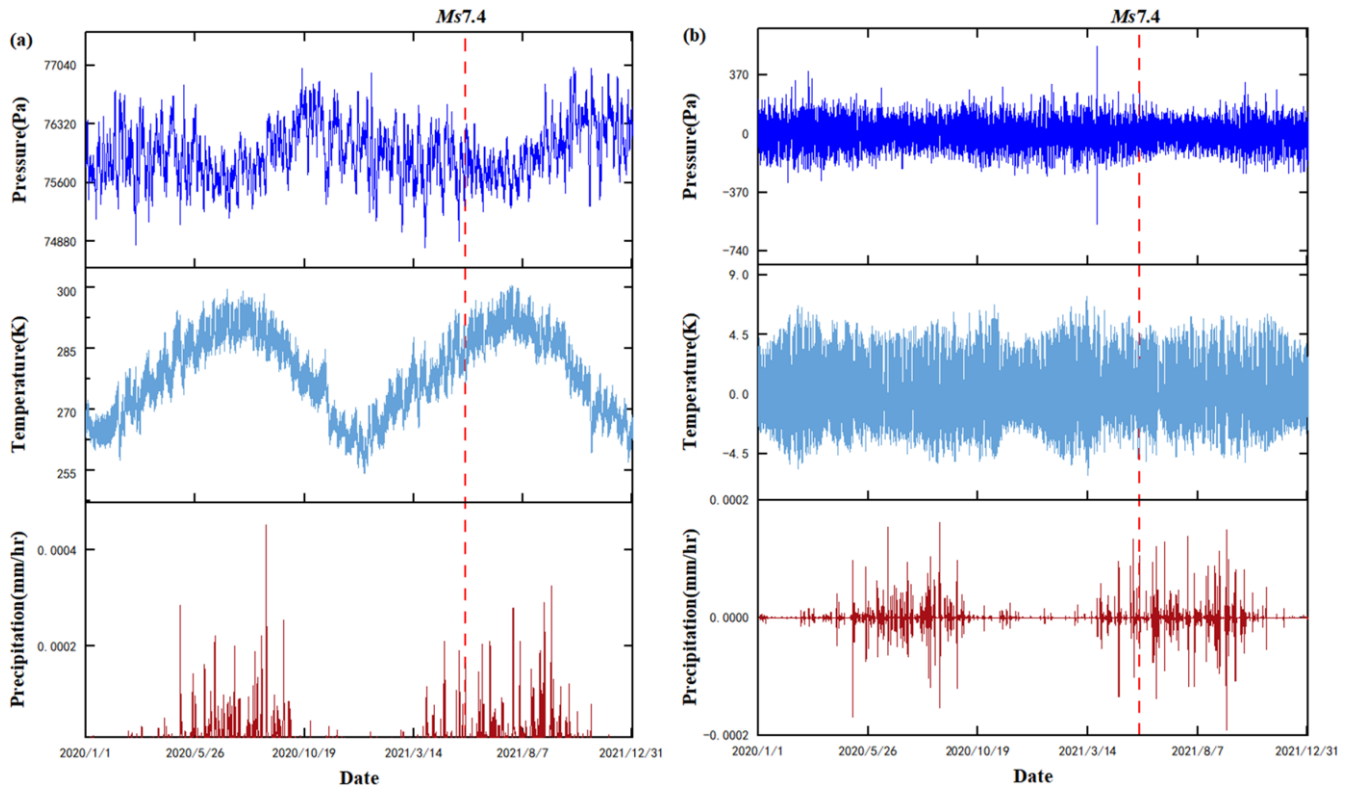


Figure 10. (a) Three-hourly regional variations of barometric pressure, temperature and precipitation in the Mengyuan area during the period from January 1, 2020 to May 31, 2021. (b) Differential processing results of three-hourly regional variations of barometric pressure, air temperature and precipitation in Mengyuan area during the period from January 1, 2020 to May 31, 2021.

6 Conclusion

In this study, a SVMD-Informer network-based anomaly detection method for borehole strain data is proposed, and the 2021 Maduo Ms7.4 earthquake is used as an example for pre-seismic anomaly extraction. The method optimizes the problems of slow computation speed and memory limitation existing in the traditional VMD by SVMD, and significantly improves the accuracy and stability of long series time series prediction by combining with Informer network. By analyzing the borehole strain data from Mengyuan station, we successfully extracted the anomalous cumulative acceleration phenomenon in the two stages before the Maduo earthquake, which appeared about 3 months and 2 months before the earthquake, respectively, and the anomalous cumulative curves showed a typical S-shape growth trend, and this result is consistent with the theory of fault synergistic process of (Ma et al., 2014). In addition, our results are highly consistent with the time windows of other seismic precursor anomalies, such as the index of microwave radiation anomalies (IMRA), outward long-wave radiation (OLR), and geoelectric field, which further validates the correlation between the borehole strain anomalies and the Maduo earthquake.

With the continuous progress of machine learning technology and the continuous accumulation of seismic observation data, this method is expected to provide a higher precision technical support for earthquake prediction and help reduce the risk of seismic disasters.

455 *Data availability.* The data set is provided by China Earthquake Networks Center, National Earthquake Data Center. (<https://data.earthquake.cn>).

Author Contributions. Conceptualization, S.D., C.C. and Z.Z.; Data curation, S.D., C.C. and C.Q.; Formal analysis, S.D., C.C., and J.Z.; Investigation, S.D., C.C. and Y.D.; Methodology, C.C.; Resources, C.C.; Software, S.D. and C.L.;
460 Supervision, C.C.; Validation, S.D., C.C.; Writing – original draft, C.C. and S.D.; Writing – review & editing, S.D., C.C. and Z.Z..

Competing interests. The authors declare that they have no conflict of interest.

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