



1 New derivation and interpretation of the complementary relationship for 2 evapotranspiration 3 Sha Zhou<sup>1,2\*</sup>, Bofu Yu<sup>3</sup> 4 <sup>1</sup>State Key Laboratory of Earth Surface Processes and Hazards Risk Governance (ESPHR), 5 Faculty of Geographical Science, Beijing Normal University, Beijing, China 6 <sup>2</sup>Institute of Land Surface System and Sustainable Development, Faculty of Geographical Science, 7 Beijing Normal University, Beijing, China 8 <sup>3</sup>School of Engineering and Built Environment, Griffith University, Nathan, Queensland, Australia 9 \*Correspondence author. Email: shazhou21@bnu.edu.cn 10 11 Abstract. The complementary relationship (CR) between actual evapotranspiration (ET) and 12 apparent potential evapotranspiration (PETa) is widely used as a simple yet effective method for 13 ET estimation. However, most existing CR formulations are empirical, lacking rigorous derivation 14 based on physics. In this study, the complementary relationship was derived analytically with a physically meaningful parameter: the wet Bowen ratio, defined as the Bowen ratio when the 15 16 surface becomes saturated. This parameter can be computed from observations without calibration. Fundamentally, the CR is shown to originate from partitioning of the net radiation, with ET directly 17 18 linked to the latent heat and PETa proportional to the sensible heat. Additionally, ET is linearly 19 related to and constrained by the energy-based potential evapotranspiration (PETe). The 20 physically-based relationship among ET, PETa, and PETe has important implications for our 21 understanding of the spatial and temporal variations in ET and would promote practical application 22 of the complementary relationship for ET estimation across different environments.





24 1. Introduction 25 Terrestrial evapotranspiration (ET) plays a vital role in land-atmosphere exchanges of water, 26 energy, and carbon fluxes and thereby influences weather and climate as well as the water and 27 carbon cycling (Ault, 2020; Gentine et al., 2019; Miralles et al., 2019; Zhou et al., 2022, 2023). While many approaches have been attempted to estimate ET, the complementary relationship (CR) 28 29 for ET, which was first proposed by Bouchet (1963) and operationalized by Morton (1983), 30 provides a simple conceptual framework for estimating ET using routine meteorological 31 observations (Han and Tian, 2020; Ma et al., 2021; Zhang and Brutsaert, 2021). 32 33 The CR essentially describes the relationship between three types of ET over land surface 34 (Brutsaert, 2015). The first type is the actual ET, which is the water vapor flux from open water, 35 soil, and vegetation over an area. The second type is the potential ET (PET), i.e., the ET that would 36 occur from the same area with the same net radiation, but with unlimited water supply, i.e., a 37 saturated evaporative surface. As the PET is limited by available energy, it is termed as PETe in 38 this study. The third type is the apparent potential ET (PETa), which is the ET that would occur 39 from a small, saturated surface within a large and unsaturated area, with abundant energy supply 40 from the net radiation and the surrounding environment (Zhou and Yu, 2024). It is assumed that 41 the saturated evaporative surface is too small to affect aerodynamic conditions, i.e., air temperature, 42 humidity, and wind speed. PETa therefore depends on the prevailing aerodynamic conditions over 43 the large dry area. In practice, PETe is represented by the actual ET over a large lake or reservoir, 44 while PETa by the actual ET measured with a small evaporation pan placed in an otherwise dry 45 environment (Kahler and Brutsaert, 2006). These three types of ET converge when the large area

is saturated everywhere. As the large area dries up, ET is limited by available water and falls below





47 PETe. Simultaneously, a lower ET reduces the moisture content of the atmosphere and reduced 48 evaporative cooling increases air temperature, resulting in a warmer and drier atmosphere with a 49 higher PETa than PETe. These processes lead to a complementary relationship between ET and 50 PETa when water supply is limited. 51 52 Based on the conceptual framework above, a series of CRs have been formulated, with most being 53 empirical, and none of them is fully physically-based (Bouchet, 1963; Brutsaert and Parlange, 54 1998; Brutsaert, 2015; Crago et al., 2016; Granger, 1989; Szilagyi, 2007; Szilagyi et al., 2017, 55 2022; Tu et al., 2023). This is because the physical mechanisms underlying the CR and the 56 quantitative relationship between the three types of ET remain unclear, which hinder our 57 understanding of the CR and the derivation of a physically-based CR for accurate estimation of 58 ET. The original CR proposed by Bouchet (1963) assumes that the relationship is symmetric with 59 respect to energy conservation, which is, however, not supported by observations, and several 60 alternative CRs have been proposed with an empirical parameter to account for the departure from the original symmetric CR (Brutsaert and Parlange, 1998; Brutsaert, 2015; Crago et al., 2016; 61 62 Granger, 1989; Szilagyi, 2007). Due to a lack of physical interpretation of the parameter involved, 63 its values must be estimated through calibration with location-specific observations, which hinders 64 and limits the application of these alternative CRs for ET estimation. 65 In addition, a lack of definitive estimators of PETe and PETa also hinders development of a 66 physically-based CR (Crago et al., 2016; Tu et al., 2023). As PETe cannot be directly measured 67 68 unless water supply is unlimited all the time, previous studies used the Priestley-Taylor equation 69 (Priestley and Taylor, 1972) to approximate PETe, which is, however, quite different from





observed ET over the ocean, indicating that the Priestley-Taylor equation is not entirely appropriate for estimating PETe (Yang and Roderick, 2019; Zhou and Yu, 2024). On the other hand, PETa is generally measured using an evaporation pan or estimated from the Penman equation (Penman, 1948), which is, however, not consistent with the definition of PETa, as the Class A evaporation pan is large enough to have measurable effect on the air temperature and humidity around the pan and the Penman equation does not consider energy transferred from its surrounding environment (Brutsaert, 2015; Kahler and Brutsaert, 2006). An in-depth understanding of the physical processes underlying the complementary relationship and accurate estimation of the three types of ET are therefore crucial for formulating a physically sound complementary relationship.

The objective of this paper is to reappraise the physical foundation of the complementary relationship and derive a physically-based CR for estimating ET over land. Based on theoretical reasoning and analysis, we identify the key physical processes underlying the complementary relationship. By estimating the three types of ET based on their definitions and physical processes, we formulate an alternative CR with a physically meaningful parameter. This study would advance our understanding of the physics behind the complementary relationship to support its practical application for ET estimation over land.

# 2. Concept of the complementary relationship and empirical formulations

When the land surface is well supplied with water, the magnitude of the three types of ET are identical, depending on the energy supply and aerodynamic conditions.

$$ET = PET_e = PET_a \tag{1}$$





- 92 As the moisture supply at the evaporative surface decreases, the available water is insufficient to
- 93 meet the evaporative demand, i.e.,  $PET_e$ , and the energy not expended on ET is shifted to be
- 94 sensible heat (H) which increases with the difference between surface and air temperatures and
- causes  $PET_a$ , e.g., the evaporation from an arbitrarily small area in a dry environment, to exceed
- 96  $PET_e$ . In general, we have

$$ET \le PET_e \le PET_a \tag{2}$$

- The original CR (Bouchet, 1963) assumes that the decrease in ET equals the increase in  $PET_a$ ,
- 99 relative to  $PET_e$ , from wet to dry conditions.

$$PET_e - ET = PET_a - PET_e \tag{3}$$

- 101 If the net radiation remains the same from wet to dry conditions, the decrease in latent heat
- therefore equals the increase in sensible heat, i.e.,  $\lambda PET_e \lambda ET = H H_w$ , where  $\lambda$  is the latent
- heat of vaporization and  $H_w$  the sensible heat under wet conditions. Considering potential
- 104 variations in the relationship between changes in H and  $\lambda PET_a$ , it is reasonable to assume that the
- left and right hand-sides of equation (3) are proportional (Szilagyi, 2007), resulting in a generalized
- linear CR in the form of

$$PET_e - ET = k(PET_a - PET_e)$$
 (4)

108 or

$$ET = (1+k)PET_e - kPET_a$$
 (5)

- where k is the coefficient of proportionality, and it can be interpreted as a measure of asymmetry
- for the CR. Equation (4) is identical to the original CR, i.e., equation (3) with k = 1, otherwise the
- 112 CR becomes asymmetric, the latter has been widely supported with observations (Kahler and
- Brutsaert, 2006; Szilagyi, 2007, 2021). This asymmetry is likely to have arisen from changes in
- the net radiation between wet and dry conditions and/or the energy transfer from the surrounding





environment. For example, as the land surface dries up, the evaporation pan would receive more energy from its side and bottom and local advection of energy, resulting in a larger increase in  $PET_a$  than the decrease in ET would suggest (Kahler and Brutsaert, 2006).

It is worth noting that the existing CR formulations can be interpreted in the generalized form with equation (5), and the coefficient of proportionality k for each formulation is shown in Table 1. However, as the physical meaning of the coefficient k remains unknown and unclear, it cannot be directly estimated and need to be calibrated, which limits practical application of the complementary relationship. Here we derive the complementary relationship with a new expression for the coefficient of proportionality, k, that has a clearer physical interpretation.

**Table 1.** The coefficient of proportionality (k) for different CR formulations.

Coefficient of proportionality $(k)$	Complementary relationship	References
k = 1	$ET = 2PET_e - PET_a$	(Bouchet, 1963)
. 1	1, 1, 2, 1, 2, 1, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2,	(Brutsaert and
$k = \frac{1}{b}$	$ET = \left(1 + \frac{1}{b}\right) PET_e - \frac{1}{b} PET_a$	Parlange, 1998)
, γ	er (1 , <sup>y</sup> ) per <sup>y</sup> per	(Granger, 1989;
$k = \frac{\gamma}{\Delta}$ $ET = \left(1 + \frac{\gamma}{\Delta}\right)$	$ET = \left(1 + \frac{\gamma}{\Delta}\right) PET_e - \frac{\gamma}{\Delta} PET_a$	Szilagyi, 2007)
<i>k</i> ≈ 0.22	$ET = \left(\frac{PET_e}{PET_a}\right)^2 (2PET_e - PET_a)$	(Brutsaert, 2015)
$k = \frac{X_{min}}{1 - X_{min}}$	$ET = \left(1 + \frac{X_{min}}{1 - X_{min}}\right) PET_e - \frac{X_{min}}{1 - X_{min}} PET_a$	(Crago et al., 2016)





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$k = \beta_w$	$ET = (1 + \beta_w)PET_e - \beta_w PET_a$	This study

128 3. A physically-based complementary relationship

### 129 3.1. Estimation of ET, PETe, and PETa

- 130 As ET is controlled by the supply of energy and aerodynamic conditions, two approaches can be
- used to estimate ET, i.e., the energy-based  $ET_e$  and aerodynamics-based  $ET_a$  (Chow et al., 1988).
- 132 The first approach is based on the surface energy balance, i.e., partitioning of the net radiation
- between sensible (H) and latent ( $\lambda ET_e$ ) heat, and the ratio between the two ( $H/\lambda ET_e$ ) is the Bowen
- ratio ( $\beta$ ). Therefore, the latent and sensible heat for a given area can be expressed as

$$\lambda ET_e = \frac{R_n}{1+\beta} \tag{6}$$

$$H = \frac{\beta R_n}{1+\beta} \tag{7}$$

- where  $R_n (J \cdot m^{-2} \cdot s^{-1})$  is the net radiation minus ground heat flux (hereafter termed the net
- radiation for simplicity) and equals the sum of latent and sensible heat.
- 140 The second approach is based on the aerodynamics, i.e., transport of water vapor and sensible heat
- away from the evaporative surface, and the latent  $(ET_a)$  and sensible (H) heat are given by

$$\lambda ET_a = \frac{\rho c_p(e_s - e_a)}{\gamma r_a} \tag{8}$$

$$H = \frac{\rho c_p (T_s - T_a)}{r_a} \tag{9}$$

- where  $\rho$  is the air density  $(kg \cdot m^{-3})$ ,  $c_p$  the specific heat of air at constant pressure  $(J \cdot kg^{-1})$ .
- 145  $K^{-1}$ ),  $\gamma$  the psychrometric constant  $(Pa \cdot K^{-1})$ , and  $r_a$  the aerodynamic resistance  $(s \cdot m^{-1})$  that
- depends on wind speed and land surface characteristics. The term  $e_s e_a$  is the difference in vapor





- pressure (Pa) between the evaporative surface and the air above, and  $T_s T_a$  the difference
- between surface  $(T_s)$  and air  $(T_a)$  temperatures (K).
- 150 Considering that the latent and sensible heat estimated from the two approaches above should be
- identical, the Bowen ratio,  $\beta$ , in equations (6) and (7) can be estimated using equations (8) and (9)
- 152 as

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$$\beta = \frac{\gamma(T_s - T_a)}{(e_s - e_a)} \tag{10}$$

- To estimate  $PET_e$  and  $PET_a$ , we introduce a wet Bowen ratio  $(\beta_w)$ , i.e., the Bowen ratio when the
- evaporative surface is saturated (Zhou and Yu, 2024). By replacing  $e_s$  in equation (10) with the
- saturation vapor pressure  $(e_s^*)$  at the surface temperature  $(T_s)$ , we have

$$\beta_w = \frac{\gamma(T_s - T_a)}{(e_s^* - e_a)} \tag{11}$$

- For a large area,  $PET_e$  can be estimated based on a partition of the net radiation into latent  $(\lambda PET_e)$
- and sensible  $(H_w)$  heat when the whole area becomes saturated:

$$\lambda PET_e = \frac{R_n}{1 + \beta_w} \tag{12}$$

$$H_w = \frac{\beta_w R_n}{1 + \beta_w} \tag{13}$$

The situation becomes complicated when we consider  $PET_a$ , i.e., the ET that would occur from a small, saturated area within a large dry area, such as an evaporation pan placed in a desert. The

saturated area is considered to be so small that its presence has no practical effect on the





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168 surrounding environment where the wind speed, air temperature, and humidity are largely dictated 169 by the prevailing meteorological condition over the dry area. The meteorological variables, i.e.,  $e_a$ ,  $T_a$ , and  $r_a$ , over the small, saturated area are thus identical to those over the surrounding dry 170 171 environment. The surface temperature of the small, saturated area approaches its maximum value, 172 i.e.,  $T_S$  of the surrounding dry area, sustained by heat transfer from the surrounding environment. 173 This implies that the sensible heat of the locally saturated area is maximized and equal to that of the large dry area given in equation (9). For the small, saturated area, the wet Bowen ratio,  $\beta_w$ , can 174 175 be estimated using equation (11) and meteorological variables over the dry area. Consequently, 176  $PET_a$  can be estimated by replacing  $ET_a$  with  $PET_a$ , and  $e_s$  with  $e_s^*$  in equation (8):

$$\lambda PET_a = \frac{\rho c_p (e_s^* - e_a)}{\gamma r_a} \tag{14}$$

Equation (14) can be further simplified noting the definition of the sensible heat (H, equation (9))and that of the wet Bowen ratio  $(\beta_w, \text{ equation }(11))$ :

$$\lambda PET_a = \frac{H}{\beta_w} \tag{15}$$

As the small wet area has the same surface temperature and atmospheric conditions as the surrounding large dry area, with the only difference being that the small area is saturated with saturation vapor pressure at its surface  $(e_s^*)$ , evaporation from this small wet area, i.e.,  $PET_a$ , represents the evaporative demand imposed by atmospheric humidity and aerodynamic conditions (Fig. 1a). Since  $PET_a$  is not constrained by available energy and unrelated to land-atmosphere feedbacks, it cannot be realized over a large area where energy supply is limited and surface moisture can significantly impact the atmosphere. However,  $PET_a$  could be measured with a small evaporation pan while maintaining its surface temperature equal to that of the surrounding environment  $(T_s)$ . Alternatively,  $PET_a$  can be estimated using H and  $\beta_w$  from eddy covariance and





meteorological measurements, following equation (15), or using a modified Penman equation based on routine meteorological observations (see Section 4.4) (Zhou and Yu, 2024). In contrast,  $PET_e$  represents the maximum ET that would occur over the large area, for the same amount of net radiation but with unlimited water supply (Fig. 1b).  $PET_e$  cannot be directly observed unless the entire surface is saturated, such as over a lake or the ocean, but it can be calculated using equation (12) (Zhou and Yu, 2024, 2025).

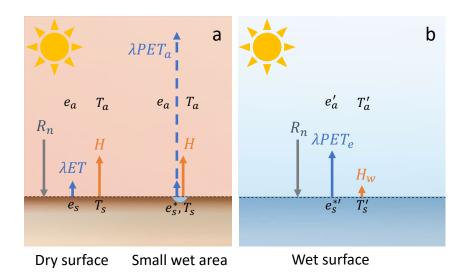


Figure 1. Illustration of the relationships between actual ET and two potential ET (PETe and PETa) under wet and dry conditions. (a) In a dry environment, the net radiation  $(R_n)$  is partitioned into latent heat  $(\lambda ET)$  and sensible heat (H). For an arbitrarily small wet area, the surface temperature  $(T_s)$ , air temperature  $(T_a)$ , and vapor pressure  $(e_a)$  remain the same as the surrounding dry environment. The only difference is the vapor pressure at the evaporative surface  $(e_s$  versus  $e_s^*)$ . Consequently, H over the small wet area remains unchanged compared to the surrounding environment, but  $\lambda PET_a$  is much larger than  $\lambda ET$ , as both water and energy supply are not limiting





205 over the small wet area. (b) When the entire area becomes saturated, both surface and atmospheric 206 conditions cool down ( $T'_s$  and  $T'_a$ ) and become more humid ( $e''_s$  and  $e'_a$ ) relative to the dry 207 environment. As a result, latent heat ( $\lambda PET_e$ ) increases while sensible heat ( $H_w$ ) decreases, 208 constrained by  $R_n$ .

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Considering coupled changes in temperature and humidity at the evaporative surface and of the air, it has been demonstrated that  $\beta_w$  estimated from meteorological variables corresponding to the large dry area remains relatively constant when the whole surface becomes saturated, provided that the net radiation remains the same under wet and dry conditions (Zhou and Yu, 2024). It is therefore reasonable to assume that  $\beta_w$  in equation (15) for a small, saturated area within a large dry area is the same as that in equation (12) for the large area when the whole area is saturated.

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### 3.2. Derivation of a physically-based complementary relationship

218 For a certain large area, the energy balance equation is given as

$$R_n = \lambda ET + H \tag{16}$$

- The sensible heat, H, is directly related to  $PET_a$  as shown in equation (15). Considering that  $R_n$
- 221 for the given area is assumed to be the same when the whole area becomes saturated, i.e.,  $\lambda ET$  +
- 222  $H = \lambda PET_e + H_w$ , the only difference is how  $R_n$  is partitioned into latent and sensible heat. With
- 223  $R_n$  from equation (12) and H from equation (15), the energy balance equation can be re-written as:

$$ET = (1 + \beta_w)PET_e - \beta_w PET_g \tag{17}$$

The structural similarity between equations (5) and (17) suggests that the coefficient of proportionality k equals the wet Bowen ratio  $\beta_w$ . Equation (17) clarifies the physical basis for the complementary relationship, and suggests that the actual ET is a linear combination of  $PET_e$  and

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 $PET_a$  for both wet and dry environments. This is consistent with the boundary condition for equation (2), i.e.,  $ET = PET_e = PET_a$  for saturated surfaces and  $ET < PET_e < PET_a$  for unsaturated surfaces. The complementary relationship emerges as the land surface dries up, ET drops below its potential,  $PET_e$ , and the energy not partitioned to  $\lambda ET$  is shifted to increase the sensible heat, resulting in a higher  $PET_a$ , relative to  $PET_e$ . The complementary relationship between ET and  $PET_a$  essentially reflects the shift in the partitioning of the net radiation between latent and sensible heat under different environmental conditions. In addition, we note ET is linearly related to  $PET_e$ , which represents the maximum ET that would occur given the net radiative energy supply. This indicates that  $PET_e$  represents the atmospheric evaporative demand or the energy constraint that controls and drives ET over land, and  $PET_a$ , on the other hand, is in fact a response of the atmosphere to the reduction in ET where water supply is limited at the land surface.

## 3.3. Validation of the complementary relationship

The Fluxnet2015 dataset, which provides meteorological measurements and observed land-atmosphere exchanges of water and energy fluxes based on the eddy covariance technique from 212 sites (>1500 site-years) around the globe (Pastorello, 2020), were used to validate the physically-based complementary relationship in equation (17). These sites cover a wide range of climate conditions and vegetation types and were used to examine the relationship between the actual ET and potential ET, i.e.,  $PET_e$  and  $PET_a$ . Data were included in this analysis for site-years where measured or high-quality gap-filled data of air temperature, surface soil temperature, sensible and latent heat fluxes were available. To reduce uncertainties in ET measurements, days with air temperature less than 5 °C or negative sensible/latent fluxes were excluded. Finally, we





selected 146 Fluxnet sites with effective records of more than 90 days (see Table S1). To validate the complementary relationship for different sites and different seasons, we used data from 7352

the complementary relationship for different sites and different seasons, we used data from 7352 site-months, with effective records of more than 15 days for each month. For each site-month, the

net radiation minus ground heat flux  $(R_n)$  was calculated as the sum of latent and sensible heat.

 $\beta_w$  was estimated from equation (11).  $PET_e$  and  $PET_a$  were estimated from equations (12) and

256 (15), respectively.

To illustrate the complementary relationship between ET and  $PET_a$  and the proportional relationship between ET and  $PET_e$ , equation (17) can be scaled and re-written as

$$\frac{ET}{PET_e} = (1 + \beta_w) - \beta_w \frac{PET_a}{PET_e}$$
 (18)

$$\frac{ET}{PET_a} = (1 + \beta_w) \frac{PET_e}{PET_a} - \beta_w \tag{19}$$

Estimation of the three types of ET and their relationships are shown in Table 2 and Fig. 2a-c. Based on observations from the 146 Fluxnet sites (7352 site-months), the complementary relationship between ET and  $PET_a$  and the proportional relationship between ET and  $PET_e$  across wide-ranging climate conditions are clearly evident (Fig. 2d-f). The scaled ET with  $PET_e$  increases from 0 to 1 and the scaled  $PET_a$  decreases from 18 to 1 from the driest to the wettest site-months. This provides observational evidence for the complementary principle that ET and  $PET_a$  converge towards  $PET_e$  and they closely match each other ( $ET = PET_e = PET_a$ ) under wet conditions, while ET falls below  $PET_e$  and  $PET_a$  rises above  $PET_e$  ( $ET < PET_e < PET_a$ ) in a dry environment. As implied by equation (18), a strong negative correlation (r = -0.86) was found between the scaled ET and  $PET_a$ , with a low level of nonlinearity induced by variations in  $\beta_w$  (0.05-0.25) across the 7352 site-months (Fig. 2e). The scaled ET and  $PET_e$  with  $PET_a$  in equation





- 273 (19), on the other hand, are positively correlated (r = 0.99, Fig. 2f), indicating the strong positive
- 274 control of energy-based *PET<sub>e</sub>* on *ET* across a wide range of climate environment.

Table 2. Estimation of the three types of ET and their relationships.

Equation	Range
$\lambda ET = \frac{R_n}{1+\beta}$	$\left[0, \frac{R_n}{1 + \beta_w}\right]$
$\lambda PET_e = \frac{R_n}{1 + \beta_w}$	$\frac{R_n}{1+\beta_w}$
$\lambda PET_a = \frac{H}{\beta_w}$	$\left[\frac{R_n}{1+\beta_w}, \frac{R_n}{\beta_w}\right]$
$\frac{ET}{PET_e} = \frac{1+\beta_w}{1+\beta}$	[0,1]
$\frac{ET}{PET_a} = \frac{\beta_w}{\beta}$	[0,1]
$\frac{PET_e}{PET_a} = \frac{1 + \frac{1}{\beta}}{1 + \frac{1}{\beta_w}}$	$\left[\frac{\beta_w}{1+\beta_w},1\right]$

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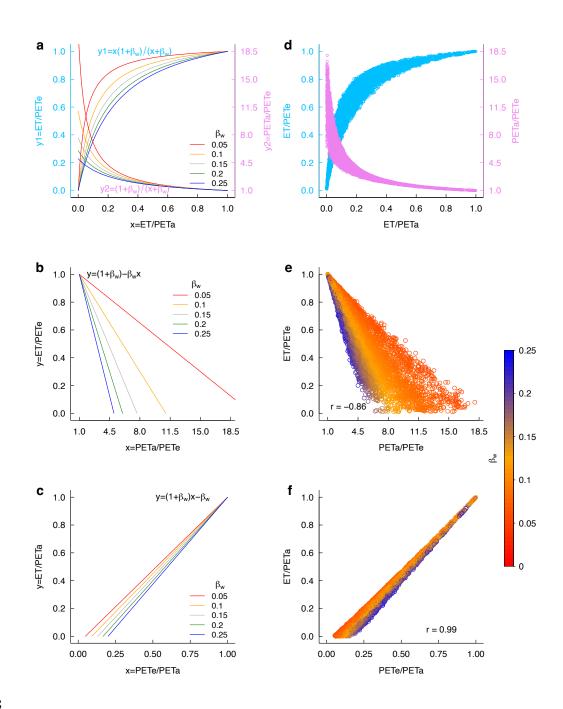






Figure 2. The complementary relationship between ET and PETa and the positive relationship between ET and PETe. (a-c) Relationships among ET, PETe and PETa with a constant value of  $\beta_w$  ranging from 0.05 to 0.25 at an increment of 0.05. (d-f) Relationships among monthly ET, PETe and PETa estimated using meteorological and flux measurements from the Fluxnet2015 dataset (146 sites and 7352 site-months in total, see Table S1).  $\beta_w$  is shown as variations in color for each site-month (Fig. 2e,f). Since  $\beta_w$  ranged from 0.05 to 0.25 across the 7352 site-months, the slope  $(\beta_w)$  would vary by a factor of 5 in Fig. 2e, while for Fig. 2f the range in slope  $(1+\beta_w)$  varies by 19% only.

#### 4. Discussion

#### 4.1. Assumptions for the physically-based complementary relationship

Assumptions underlying the CR formulation in equation (17) include that 1) the net radiation,  $R_n$ , for a given area remains the same under wet and dry conditions; 2) the wet Bowen ratio,  $\beta_w$ , remains the same for the large area when it becomes saturated and for the small, saturated area within the large dry area, i.e.,

$$\beta_w = \frac{H}{\lambda PET_a} = \frac{H_w}{\lambda PET_e} \tag{20}$$

and 3) the surface temperature for the small, wet area is the same as the surface temperature for the large dry area. The first assumption is consistent with that of the original CR and has been adopted for estimating the  $PET_e$ , as we do not know in practice what the net radiation would be when the surface becomes saturated (Zhou and Yu, 2024). Even if we forego the assumption of an invariant net radiation and allow the net radiation to change with the surface moisture content, the complementary relationship still holds so long as the latent heat and sensible heat change in opposite directions (Appendix A). In addition, when scaled with  $PET_e$ , i.e., the energy constraint,





302 a clear complementary relationship between ET and  $PET_a$  emerges across different regions/months, as shown in Fig. 2.

The second assumption differs from the assumption of the original CR (equation (3)) in which the increase in  $\lambda PET_a$  equals the increase in the sensible heat. The original CR, however, is not supported by observations (Kahler and Brutsaert, 2006; Szilagyi, 2007). In contrast, the assumption underpinning equation (20) has been shown to be reasonable as the difference in  $\beta_w$  under the wet and dry conditions is very small due to coupled changes in temperature and humidity between the land surface and the atmosphere (Zhou and Yu, 2024). Considering the potential variations in  $\beta_w$  under wet and dry conditions, we further demonstrate that the complementary relationship between ET and  $PET_a$  and the positive relationship between ET and  $PET_e$  remain valid on theoretical grounds (Appendix A).

- Equation (20) can be re-written to show that the relative increase, rather than the absolute increase,
- 316 in the  $PET_a$  and sensible heat are identical under wet and dry conditions:

$$\frac{PET_a - PET_e}{PET_e} = \frac{H - H_w}{H_w} \tag{21}$$

This is because the net radiation not expended on ET is shifted to augment H as the land surface dries up. This causes  $PET_a$  to increase by a factor of  $1/\beta_w$ , i.e., the ratio of latent over sensible heat for a saturated surface in equation (15). This provides an explanation of the observed asymmetric relationship between ET and  $PET_a$  and clarifies the physical meaning of the coefficient of proportionality in the generalized linear form of the complementary relationship, i.e.,  $k = \beta_w$ . In essence,  $\beta_w$  is the source and a measure of the degree of asymmetry in the complementary relationship.



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The third assumption of identical surface temperature of the small wet area and large dry area was invoked in order to calculate the saturation vapor pressure at the wet surface, hence the wet Bowen ratio  $\beta_w$  with equation (11). The surface temperature of the small wet area, such as a Class A evaporation pan, would likely be lower than the surface temperature of the surrounding dry area, so would the associated saturation vapor pressure. Thus, equation (15) is best seen to yield an upper limit for  $PET_a$  in practice. A lower  $PET_a$  based on pan evaporation measurements would increase the empirically fitted parameter k in equation (4), which becomes closer to unity and makes the observed CR less asymmetric. At the same time, the Bowen ratio of the evaporation pan would be lower than  $\beta_w$  when its surface temperature is lower than  $T_s$  of the surrounding dry area.

335 This is because  $\beta_w$  is positively related to  $T_s$ , as shown by rewriting equation (11) as follows:

$$\beta_w = \frac{\gamma}{\Delta + \frac{e_a^* - e_a}{T_s - T_a}} \tag{22}$$

where  $\Delta$  is the slope of the saturation vapor pressure-temperature curve evaluated between  $T_s$  and  $T_a$ . Thus, the coefficient of proportionality, k, estimated from observations would diverge from the Bowen ratio of an evaporation pan, and they converge to and give physical meaning to the parameter k, i.e.,  $k = \beta_w$ , only when the surface temperature of the pan is the same as its surrounding environment. This explains why previous CRs as well as their parameters remain empirical (Table 2).

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#### 4.2. Interpretation of the physically-based complementary relationship

The new derivation of the CR, i.e., equation (17), based on physical reasoning confirms that the complementary relationship is fundamentally governed by how energy is partitioned between latent and sensible heat under wet and dry conditions. Changes in the partitioning between the





348 latent and sensible heat manifest themselves as a complementary relationship between ET and 349  $PET_a$ , as the latent heat is directly related to ET and the sensible heat proportional to  $PET_a$  with 350 the wet Bowen ratio,  $\beta_w$ , as the coefficient of proportionality. While this physical mechanism is 351 broadly consistent with the concept of the original CR and the existing CR formulations (Bouchet, 352 1963; Brutsaert and Parlange, 1998; Brutsaert, 2015; Crago et al., 2016; Granger, 1989; Szilagyi, 353 2007; Szilagyi et al., 2017; Tu et al., 2023), the physically-based CR formulation clarifies the 354 nature of the complementary relationship between ET and PETa and provides new insight into the 355 physical basis for the complementary relationship.

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The theoretically sound complementary relationship also merits further interpretation of the relationship between  $PET_e$  and  $PET_a$ . Equation (17) can be re-arranged as:

$$PET_a = \left(1 + \frac{1}{\beta_w}\right) PET_e - \frac{1}{\beta_w} ET \tag{22}$$

360  $PET_a$  that is related to the atmospheric condition can be seen to depend on the energy constrained 361  $PET_e$  and moisture constrained ET. Let us consider two extreme cases: 1) where  $ET = PET_e$  for 362 saturated areas, such as the ocean and large lakes, we have the minimum of  $PET_a$  as  $PET_e$ ; 2) 363 where ET = 0 for surfaces of maximum dryness without supply of water vapor to the air, we have the maximum of  $PET_a$  as  $\left(1 + \frac{1}{\beta_W}\right)PET_e$  and the largest difference between  $PET_a$  and  $PET_e$ , i.e., 364  $\frac{PET_e}{R_{col}}$ . This interpretation reinforces the notion that estimation of the  $PET_a$  based on the 365 366 aerodynamics responds to water vapor supply via ET in addition to the energy constraint. The drier 367 the air with reduced ET, the greater the difference between  $PET_a$  and  $PET_e$ .

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# 4.3. Comparison with previous formulations of the complementary relationship





370 Table 1 shows that most of the existing CR formulations share the same structure as equation (17). 371 This is because these CR formulations are developed based on the same physical principles, i.e., partitioning of the net radiation shifting from latent to sensible heat from wet to dry conditions, 372 373 from which the complementary relationship originates (Bouchet, 1963). The physically-based CR 374 is identical to the two empirical CRs, each involving an empirical parameter, and can be used to 375 interpret and give meaning to these parameters. For the asymmetric CR of Brutsaert and Parlange (1998), we have  $b = \frac{1}{\beta_w}$ , and  $X_{min} = \frac{\beta_w}{1+\beta_w}$  for the rescaled CR of Crago et al. (2016). In particular, 376 the physical meaning of  $X_{min}$  in the rescaled CR, i.e., the minimum value of  $\frac{PET_e}{PET_a}$  when ET reaches 377 378 zero, is consistent with our estimation of  $PET_e$  and  $PET_a$  (Table 2). 379 380 For the existing CR formulations without any parameters, or the so-called calibration-free 381 formulations, they are essentially special cases of the physically-based CR. For example, when the air is saturated  $(e_a = e_a^*)$ , we have  $\beta_w = \frac{\gamma(T_s - T_a)}{(e_s^* - e_a^*)} = \frac{\gamma}{\Delta}$ , the CR of Szilagyi (2007) becomes identical 382 383 to the physically-based CR. However, this special case rarely occurs, even for saturated surfaces 384 like oceans and lakes. 385 The non-linear CR formulation is also similar to the physically-based CR when  $\beta_w \approx 0.22$ 386 387 (Brutsaert, 2015). While this non-linear CR formulation has been validated with experimental data, it is not realistic, however, under the driest conditions, i.e.,  $\frac{PET_e}{PET_a} < \frac{\beta_w}{1+\beta_w}$ . This is because the 388 boundary condition of the non-linear CR, i.e.,  $\frac{PET_e}{PET_a} \rightarrow 0$  when  $ET \rightarrow 0$ , is not physically sound, as 389  $PET_a$  cannot be infinitely large and in fact  $PET_a$  is limited by  $\frac{R_n}{\beta_w}$  as ET reaches zero and H equals 390





391  $R_n$  (Table 2). To overcome this problem, the non-linear CR has been combined with the rescaled 392 CR to develop yet another calibration-free CR (Szilagyi et al., 2017). However, this formulation 393 still represents a special case without physically meaningful parameters such as  $\beta_w$  to account for 394 variations in the complementary relationship under different conditions. 395 396 Compared with these earlier formulations, the physically-based CR has many distinct advantages. First,  $PET_e$  and  $PET_a$  are clearly defined based on physical processes and can be estimated using 397 398 observed data. Second, the basis for this new CR is physically sound and its derivation is purely 399 based on shifts in the surface energy balance under wet and dry conditions with three assumptions. 400 Third, the physical meaning of the coefficient of proportionality, i.e., the wet Bowen ratio  $\beta_w$ , is 401 clear and it accounts for the degree of asymmetry in the complementary relationship across a wide 402 range of environmental conditions. Moreover,  $\beta_w$  can be directly estimated from observed data 403 without any calibration (equation (11)). This physically-based CR can therefore be widely applied 404 for estimating ET across different regions at various time scales. Finally, the physically-based CR 405 clearly quantifies the complementary relationship between ET and PETa and the positive 406 relationship between ET and PET<sub>e</sub>, i.e., equations (18) and (19). This provides an enhanced 407 understanding of the relationships among the three types of ET over land. 408 409 4.4. Implications for practical applications of the complementary relationship 410 Application of the physically-based CR for ET estimation requires values for  $\beta_w$ ,  $PET_e$  and  $PET_a$ , 411 all of which can be calculated using observations of meteorological variables and surface 412 temperature. However, the fact that surface temperature data are not readily available may restrict





- broader application of this method for ET estimation. To address this limitation, the wet Bowen ratio,  $\beta_w$ , can be approximated as a function of air temperature:
- $\beta_w = \alpha \cdot \frac{\gamma}{\Lambda} \tag{23}$
- where  $\Delta$  is the slope of the curve for saturation vapor pressure as a function of temperature (Pa.
- 417  $K^{-1}$ ). The coefficient  $\alpha$  typically varies from 0.15 to 0.3, and an approximate value of  $\alpha \approx 0.24$
- can be adopted (Yang and Roderick, 2019; Zhou and Yu, 2024).

420 While  $PET_e$  and  $PET_a$  are defined based on energy balance and aerodynamic principles, they can 421 also be derived using modified versions of the Penman equation with an adjustment parameter k'422 (Zhou and Yu, 2024). When the evaporative surface is saturated, the Penman equation can be used 423 to estimate both  $PET_e$  and  $PET_a$ , i.e.,  $ET = PET_e = PET_a$  (Penman, 1948). However, the direct 424 application of the Penman equation would overestimate PET<sub>e</sub> (Milly and Dunne, 2016, 2017; 425 Zhou and Yu, 2025) and simultaneously underestimate PETa in a dry environment. This occurs because PET<sub>e</sub> assumes a large, saturated surface (Fig. 1b), leading to an overestimation of its 426 427 aerodynamic component when observed meteorological variables corresponding to dry surface 428 conditions are used by the Penmen equation. Concurrently, the energy supply required to sustain 429  $PET_a$  for a small, saturated surface is underestimated (Fig. 1a), as the Penman equation does not 430 account for energy transferred from the surrounding dry environment. These issues can be resolved 431 with the adjustment parameter k' (Zhou and Yu, 2024).

432 
$$\lambda PET_e = \frac{\Delta R_n + k' \frac{\rho c_p (e_a^* - e_a)}{r_a}}{\Delta + \gamma}$$
 (24)





 $\lambda PET_a = \frac{\Delta R_n/k' + \frac{\rho c_p(e_a^* - e_a)}{r_a}}{\Delta + \gamma}$  (25)

where  $e_a^*$  is the saturation vapor pressure at air temperature (Pa), and  $e_a^* - e_a$  is the vapor pressure deficit. The parameter k' can be determined by equating  $PET_e$  from equations (12) and (24), and k' so determined can be used to compute  $PET_a$  with equation (25). Thus,  $\beta_w$ ,  $PET_e$  and  $PET_a$  can be estimated using routine meteorological variables, enabling broader applications of the physically-based CR for ET estimation.

5. Conclusions

In this study, we proposed definitive estimators of the  $PET_e$  and  $PET_a$  based on the energy balance and aerodynamic principles and derived an alternative complementary relationship with a physically meaningful parameter, i.e., the wet Bowen ratio, which can be directly calculated from observations. The complementary relationship between ET and  $PET_a$  fundamentally originates from partitioning of the net radiation between latent and sensible heat, with ET directly related to the latent heat and  $PET_a$  proportional to the sensible heat. The wet Bowen ratio for a small, saturated area quantifies the degree of asymmetry in the complementary relationship. In addition, ET is linearly related to  $PET_e$ , with the latter representing the evaporative demand of the atmosphere or the energy constraint on ET over land. By clarifying the quantitative relationship between the three types of ET, this study advances our understanding of the complementary relationship and would promote and facilitate practical application of the complementary relationship for ET estimation across different regions and time scales.





# Appendix A: A variant of the complementary relationship for evapotranspiration

To derive the complementary relationship in equation (17), it has been assumed that 1) the net radiation for a dry area remains the same as the entire area becomes saturated; 2) the wet Bowen ratio for a small, saturated area within a large dry area is the same as that over the entire area if it were saturated. Here we consider and allow potential variations in the net radiation and the wet Bowen ratio under wet and dry conditions and re-examine the effectiveness of the complementary relationship between ET and  $PET_a$  and the nature of the relationship between ET and  $PET_e$ .

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- For the first assumption, let  $R_n$  be the observed net radiation for the dry area, and the net radiation
- 463 for the entire area if it were saturated is  $R_n/k_1$  with  $k_1 > 0$ . Similar to the energy balance for the
- 464 dry area shown in equation (16), the energy balance for the area when saturated is given by

$$R_n/k_1 = \lambda PET_e + H_w \tag{A1}$$

- For the second assumption, let  $\beta_w$  be the wet Bowen ratio over the small, saturated surface, and
- 467 the Bowen ratio if the entire area were saturated is  $k_2\beta_w$  with  $k_2 > 0$ . The sensible heat for the
- large saturated area, i.e.,  $H_w$ , is expressed as

$$H_w = k_2 \beta_w \lambda PET_e \tag{A2}$$

470 Equation (A1) can be re-written as:

$$R_n/k_1 = (1 + k_2 \beta_w) \lambda PET_e \tag{A3}$$

Replacing  $R_n$  with  $\lambda ET + H$ , and noting H equals  $\beta_w \lambda PET_a$ , we have

$$(\lambda ET + \beta_w \lambda PET_a)/k_1 = (1 + k_2 \beta_w) \lambda PET_e$$
 (A4)

474 or

$$ET = k_1(1 + k_2\beta_w)PET_e - \beta_w PET_a \tag{A5}$$





476	Equation (A5) suggests that the complementary relationship between $ET$ and $PET_a$ and the		
477	proportional relationship between $ET$ and $PET_e$ still hold despite the potential differences in the		
478	net radiation and the wet Bowen ratio under wet and dry conditions. It is clear that equation (A5)		
479	is reduced to equation (17) when $k_1 = k_2 = 1$ . Therefore, equation (A5) represents a more		
480	generalized complementary relationship without the restrictive assumptions required by equation		
481	(17).		
482			
483	Data availability. The Fluxnet2015 dataset is publicly available from		
484	https://fluxnet.org/data/fluxnet2015-dataset/.		
485			
486	Author contribution. S.Z. conceived the study, performed the data analysis, and wrote the initial		
487	manuscript. B.Y. provided critical revisions and edits.		
488			
489	9 <b>Competing interests.</b> The authors declare that they have no conflict of interest.		
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