

Supplementary material for "How well do hydrological models learn from limited data? A comparison of process- and data-based models"

Maria Staudinger et al.

S1 Example of the Douglas Peucker sampling scheme

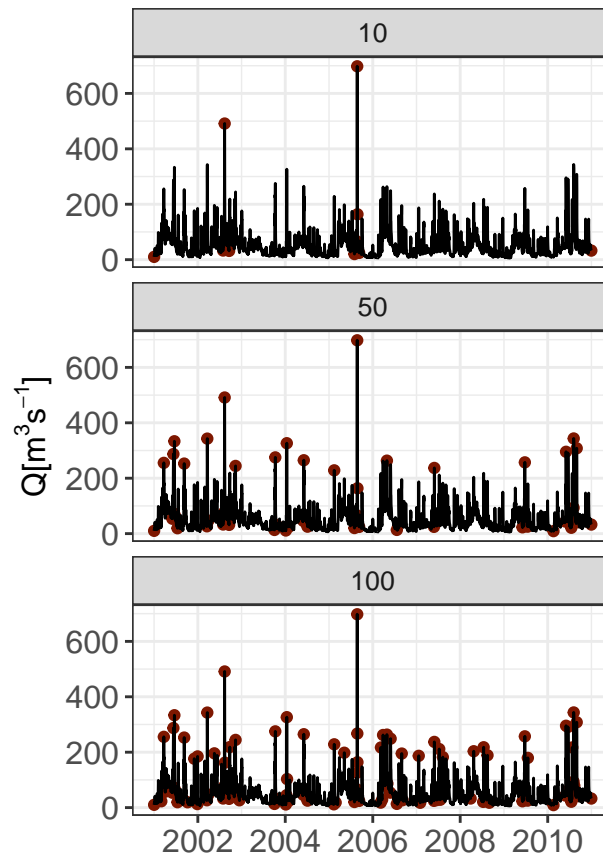


Figure S1. Douglas-Peucker sampling points for sample size 10, 50, and 100 for the training period of the Iller catchment.

S2 Comparison learning curves using the Kling Gupta efficiency (KGE)

Figure S2 compares the learning curves of the different data- and process-based models for the three study catchments Iller, Saale and Selke using the KGE as evaluation metric. Note, that the learning curves are made for the validation period, not the calibration period.

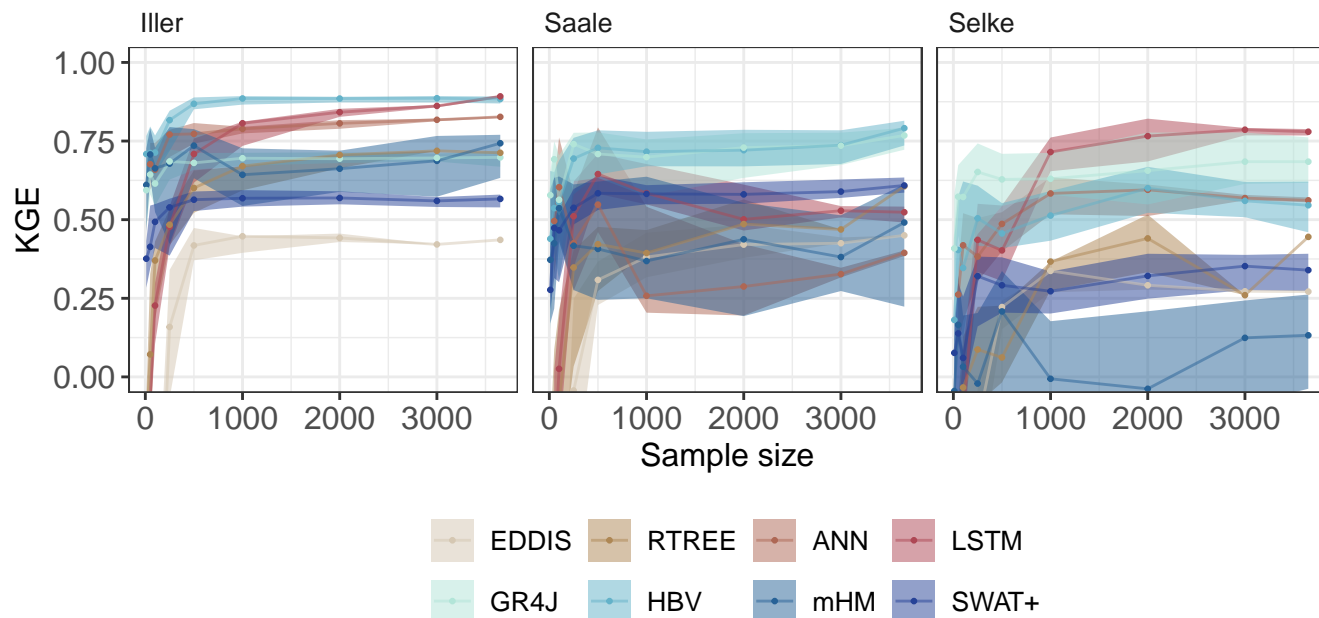


Figure S2. Learning curve using the continuous random sampling strategy for the different models and catchments, Kling Gupta efficiency, KGE. The closer the values to 1 the better the model performance.

S3 Comparison sampling schemes using the Kling Gupta efficiency (KGE)

Figure S3 compares the learning curves of the HBV model for three different sample schemes (optimal = Douglas-Peucker, random, random consecutive) for the three study catchments Iller, Saale and Selke using the KGE as evaluation metric. Note, that the learning curves are made for the validation period, not the calibration period.

S4 Comparison model performance with different level of discretization of model forcing using the Kling Gupta efficiency (KGE)

Figure S4 compares the KGE values when forcing the HBV model once with lumped and once with semi-distributed, i.e. sub-catchment wise, meteorological input for the three study catchments Iller, Saale and Selke.

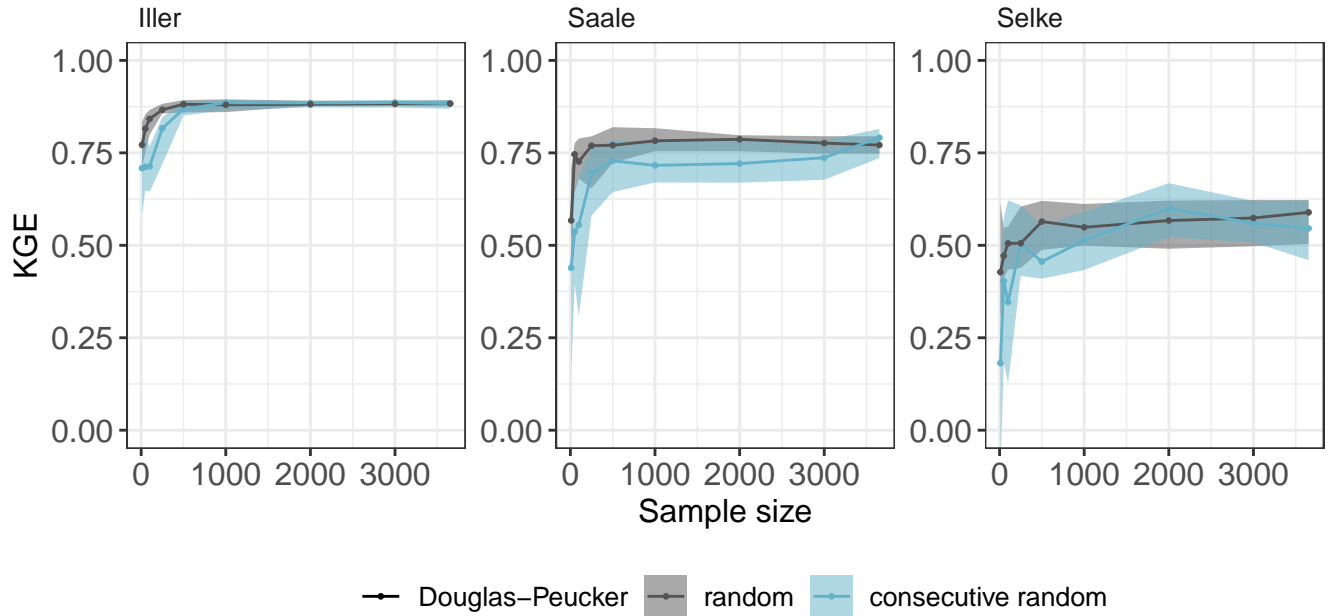


Figure S3. Learning curves using Kling Gupta efficiency, KGE. Three different sampling schemes are compared for the HBV model and for the three study catchments Iller, Saale and Selke. The closer the values to 1 the better the model performance.

Table S1. Parameter ranges GR4J, plus snow parameters

Parameter	Min	Max	Description
x01	0.01	1.5	Capacity of production store
x02	-5.0	5.0	Water exchange coefficient
x03	1.0	500	Capacity of routing store
x04	0.5	5.0	Time parameter of unit hydrograph
x05	0.0	500	Average annual snow
x06	0.01	0.99	Air snow coefficient

S5 Parameter ranges for the different process-based models

S6 Settings Latin Hypercube Sampling

For the Latin Hypercube Sampling, all model parameters were sampled in their provided range in 1,000 repetitions. All resulting 1,000 model runs, can be considered at once to cover all 10 sample sizes since the sampling strategy is independent of the model performance of previous runs. In contrast, evolutionary algorithms such as dynamically dimensioned search (DDS, Tolson and Shoemaker (2007)) or shuffled complex evolution algorithm (SCE-UA, Duan et al. (1992)) define their search based

Table S2. Parameter ranges SWAT+.

Parameter	Min	Max	Description
snomelt_tmp	-2.0	2.0	Snowmelt temperature [° C]
esco	0.0	1.0	Soil evaporation compensation factor
epco	0.0	1.0	Plant uptake compensation factor
cn3_swf	-0.5	0.5	Soil water factor for cn3
awc	0.0	0.2	Available water capacity of soil layer
k	0.5	2.0	Saturated hydraulic conductivity
lat_ttime	0.5	50	Lateral flow travel time
perco	0.0	1.0	Percolation from upper to lower tank
alpha	0.0	1.0	Baseflow factor
surlag	0.2	8.0	surface runoff lag coefficient
cn2	-10	10	SCS curve number for moisture condition II

Table S3. Parameters ranges HBV model.

Parameter	Min	Max	Description
TT	-2.5	2.5	Threshold temperature [° C]; threshold defining over which air temperature snow is melting and under which snow is accumulating
SFCF	0.8	1.2	Snow correction factor[-]; correcting the snow input to account for gauge undercatch (overcatch)
CFMAX	2.0	15	degree day factor [mm/d ° C]; defining the rate of snowmelt per degree temperature
LP	0.0	1.0	threshold reduction ETP [-]; reducing the potential ET to estimate actual ET
FC	50	1000	Maximum storage in soil box [mm]; defines the size of the soil bucket
BETA	1.0	5.0	Shape coefficient [-]; shapes the relation between soil moisture [mm] and fraction of rain (or snowmelt) and thus its relative contribution to runoff
Alpha	0.0	1.0	Shape coefficient [-]; shapes the relation between water storage in the upper groundwater (gw) bucket and drainage
K1	0.01	0.4	Recession coefficient (upper gw bucket) [1/d]
PERC	0.0	6.0	Max. flow from upper to lower gw box [-]
K2	0.0001	0.1	Recession coefficient (lower gw bucket) [1/d]
MAXBAS	1.0	5.0	Factor triangular weighting [d]

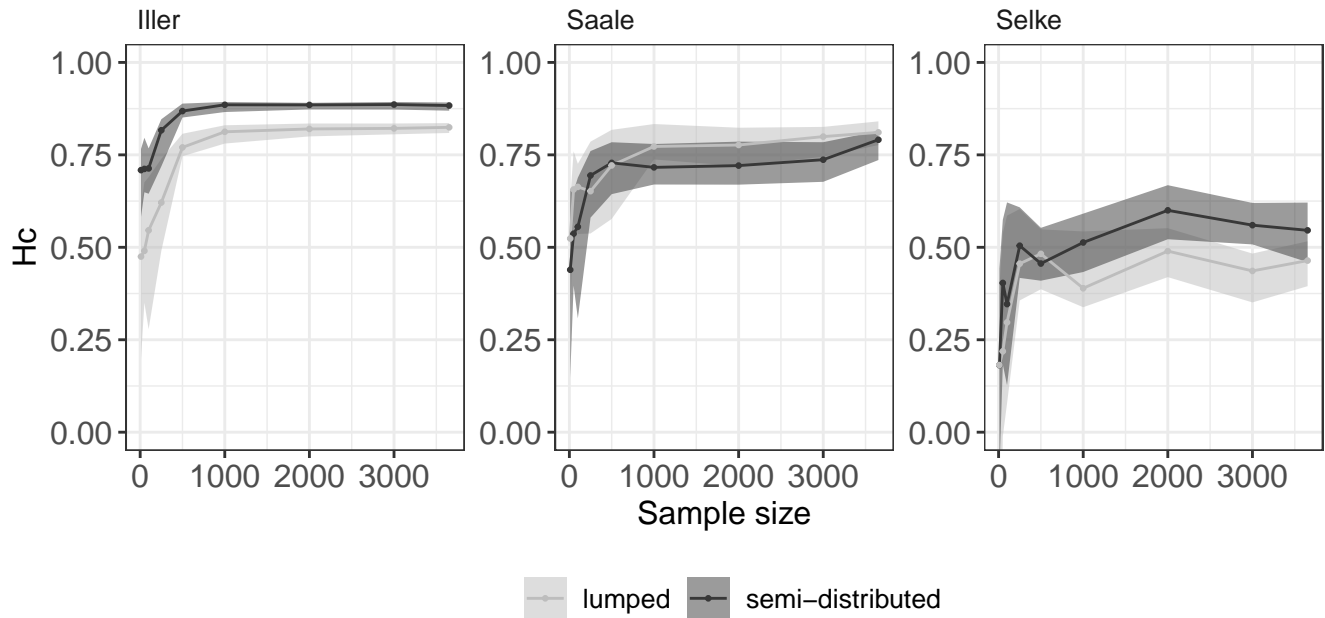


Figure S4. Learning curves using Kling Gupta efficiency, KGE. Three different sampling schemes are compared for the HBV model and for the three study catchments Iller, Saale and Selke. The closer the values to 1 the better the model performance.

on the model performance value of previous runs, the sampling would be unique for each sample size. Therefore, each of the 30 repetitions would have to run separately for each sample size (10 times more computational effort). The Latin Hypercube Sampling was performed by using the Python framework SPOTPY (Houska et al., 2015).

Table S4. Ranges of global mHM gamma parameters.

Parameter	Min	Max	Description
snowThresholdTemperature	-2.0	2.0	Threshold for rain/snow partitioning [° C]
minCorrectionFactorPET	0.7	1.3	Minimum factor for PET correction with aspect [-]
orgMatterContent_forest	5.0	10.0	Organic matter content [%] for forest
orgMatterContent_impervious	0.0	1.0	Organic matter content [%] for impervious
orgMatterContent_pervious	1.0	5.0	Organic matter content [%] for pervious
PTF_lower66_5_constant	0.75	0.8	Zacharias PTF parameters below 66.5% sand content
PTF_lower_66_5_Db	-0.27	-0.25	Zacharias PTF parameters below 66.5% for mineral bulk density
PTF_Ks_constant	-1.2	-0.285	PTF parameters for saturated hydraulic conductivity
PTF_Ks_sand	0.0006	0.026	PTF parameters for saturated hydraulic conductivity for sand
PTF_Ks_clay	0.003	0.013	PTF parameters for saturated hydraulic conductivity for clay
infiltrationShapeFactor	1.0	4.0	Shape factor for partitioning effective precipitation into runoff and infiltration based on soil wetness [-]
slowInterflowRecession_Ks	1.0	30	Multiplier for variability of saturated hydraulic conductivity to derive slow interflow recession constant
exponentSlowInterflow	0.05	0.3	Multiplier for variability of saturated hydraulic conductivity to derive slow interflow exponent
rechargeCoefficient	0.0	50	Groundwater rate parameter

References

- Duan, Q., Sorooshian, S., and Gupta, V.: Effective and efficient global optimization for conceptual rainfall-runoff models, *Water Resources Research*, 28, 1015–1031, <https://doi.org/https://doi.org/10.1029/91WR02985>, 1992.
- Houska, T., Kraft, P., Chamorro-Chavez, A., and Breuer, L.: SPOTting Model Parameters Using a Ready-Made Python Package, *PLOS ONE*, 10, 1–22, <https://doi.org/10.1371/journal.pone.0145180>, 2015.
- Tolson, B. A. and Shoemaker, C. A.: Dynamically dimensioned search algorithm for computationally efficient watershed model calibration, *Water Resources Research*, 43, <https://doi.org/10.1029/2005WR004723>, cited by: 592, 2007.