

# The effect of organic nucleation on the indirect radiative forcing with a semi-explicit chemical mechanism for highly oxygenated organic molecules (HOMs)

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**Abstract.** Highly oxygenated organic molecules (HOMs) can significantly contribute to new particle formation (NPF). HOMs-derived NPF in preindustrial (PI) environments provides the baseline for calculating radiative forcing, yet global model studies examining this are lacking. Here, we use a global climate model with a semi-explicit HOMs chemistry and the associated nucleation scheme to systematically quantify the effect of HOMs-derived NPF on CCN formation and effective radiative forcing due to aerosol–cloud interactions ( $ERF_{aci}$ ). The model shows better agreement with measured cloud condensation nuclei (CCN) numbers after including organic NPF mechanisms. Aerosols generated from organic NPF nearly double the globally averaged CCN burden in PI (39%) compared to PD (18%) experiments. This weakens the  $ERF_{aci}$  by  $0.4 \text{ W m}^{-2}$ , corresponding to a 16% reduction, with most of this reduction occurring in tropical regions where the pure organic nucleation rate shows larger value in the PI atmosphere. The reduction is mainly driven by a greater enhancement of the sub-20 nm growth rate (GR) in the PI atmosphere compared to PD, instead of the findings of Gordon et al. (2016) that the  $\sim 1 \text{ nm}$  nucleation rate ( $j_{1.7nm}$ ) drives the reduction. The greater enhancement of GR is due to higher HOM concentrations in the PI atmosphere, while the greater  $j_{1.7nm}$  in the PD environment results from higher sulfuric acid concentrations, leading to higher heteromolecular nucleation rates involving sulfuric acid and organics. The significant reduction underscores the critical role of biogenic NPF in CCN formation, particularly in the PI climate when cloud droplet concentrations and albedo are more sensitive to aerosol changes.

## 35 1 Introduction

Atmospheric aerosols can affect climate indirectly by acting as cloud condensation nuclei (CCN), which modify cloud properties and precipitation (Rosenfeld and Lensky, 1998; Rosenfeld and Woodley, 2000; Twomey, 1977; Albrecht, 1989). The effective radiative forcing due to aerosol–cloud interactions ( $ERF_{aci}$ ) remain one of the largest uncertainties in interpreting climate change over the past century and projecting future changes (Watson-Parris and Smith, 2022; Peace et al., 2020).

40 Atmospheric new particle formation (NPF) is the largest source of atmospheric aerosol number concentrations (Gordon et al., 2017; Lee et al., 2019) and is thought to contribute up to half of the global cloud condensation nuclei (CCN) number (Spracklen et al., 2008; Merikanto et al., 2009; Williamson et al., 2019). Global climate model simulations indicate that aerosol  $ERF_{aci}$  is sensitive to parameterizations of NPF processes (Wang and Penner, 2009; Kazil et al., 2010; Gordon et al., 2017; Zhu et al., 2019; Yu et al., 2012).

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Recent studies have highlighted the significant role of monoterpene-derived highly oxygenated organic molecules (HOMs) in NPF processes and their potential impact on regulating CCN concentrations, even in the absence of sulfuric acid. Ehn et al. (2014) found significant contributions of HOMs to the growth of particles ranging from 5 to 50 nm in diameter in boreal forests.

Jokinen et al. (2015) showed that monoterpene-derived HOMs facilitate NPF and growth in continental regions, especially  
50 under conditions of high supersaturation, favorably affecting the concentration of CCN using chamber experiments. Using the regional model WRF-Chem, Zhao et al. (2020) showed that in the Amazon region biogenic HOMs predominantly lead to the formation of new particles at altitudes of 13 km, in a location minimally influenced by human activities, thereby making a significant contribution to CCN formation (Zhao et al., 2022). Similarly, Wang et al. (2023) analyzed the sources of aerosols in the Amazonian boundary layer using the HadGEM3 climate model incorporating the biogenic nucleation mechanism along  
55 with its precursor gas (HOMs) from the parameterization in Gordon et al. (2016).

Although HOMs are important for NPF due to their low volatility, their chemical formation pathways remain uncertain, and they are treated in various simplified ways in models. Gordon et al. (2016) simulated monoterpene-derived HOMs formation using a fixed yield of HOMs from first-stage monoterpene oxidation products. Zhu et al. (2019) added some explicit chemical  
60 mechanisms for HOMs, but they did not consider autoxidation and used a less rigorous definition of HOMs than recommended in Bianchi et al. (2019). Also, they did not account for organic nucleating species oxidized from isoprene. Therefore, the contribution of accretion products (ACCs) generated from cross-reactions of isoprene- and monoterpene-derived radicals was significantly underestimated. Roldin et al. (2019) and Weber et al. (2020) employed a more explicit reaction mechanisms to treat the generation of HOMs through autoxidation and cross-reactions of  $\alpha$ -pinene oxidation products, but neither applied  
65 these chemical mechanisms on a global scale. Xu et al. (2022) summarized various chemical mechanisms of HOMs, including monoterpene-derived peroxy radical (MT-RO<sub>2</sub>) unimolecular autoxidation and self- and cross-reactions with other RO<sub>2</sub> species in the GEOS-Chem global model but did not consider their role in the NPF process.

HOM-driven NPF is likely to be particularly important in the pristine preindustrial (PI) atmosphere, where concentrations of sulfuric acid and ammonia were much lower. Simulations of the pre-industrial atmosphere form the baseline for calculations of anthropogenic radiative forcing in global models (Carslaw et al., 2013), where higher monoterpene emissions led to greater HOM concentrations, thereby enhancing nucleation and particle growth. Using global model simulations, Gordon et al. (2016) showed that new particles formed from monoterpene-derived HOMs could increase CCN concentrations in the PI environment by 20% to 100%, a rise considerably larger than the increase simulated for PD conditions. This increase led to a 27% reduction in negative radiative forcing since 1750, decreasing by between  $-0.28 \text{ W m}^{-2}$  and  $-0.06 \text{ W m}^{-2}$ . Similarly, Zhu et al. (2019), utilizing simulations with the Community Earth System Model (CESM), found that new particles formed from monoterpene-derived HOMs have reduced the direct plus indirect radiative forcing of aerosols by 12.5% since the Industrial Revolution. However, they did not use explicit chemical processes to represent HOMs chemistry, potentially leading to inaccuracies in the PI and present-day (PD) simulations for radiative forcing calculations due to anthropogenic emissions. The uncertainty in this baseline is one of the largest components of the overall uncertainty in aerosol radiative forcing (Seinfeld et al., 2016; Carslaw et al., 2013).

Considering the unequivocal evidence for the role of biogenic organics in producing atmospheric particles, Shao et al. (2024) have recently incorporated a state-of-the-art representation of HOMs from various chamber experiments (Xu et al., 2022b). This representation semi-explicitly treats the unimolecular autoxidation of monoterpene-derived  $\text{RO}_2$  radicals and their self- and cross-reactions with other  $\text{RO}_2$  species, rather than using the empirical fixed HOM yield. In addition, Shao et al. (2024) introduced a HOM-involving nucleation parameterization (Riccobono et al., 2014; Kirkby et al., 2006) and enabled these organics to condense onto newly formed sub-20 nm particles. The updated model demonstrates significant improvements in simulating NPF events and aerosol number concentrations, showing better agreement with measurements (Shao et al., 2024). Here, we seek to estimate the change in  $\text{ERF}_{\text{aci}}$  resulting from the inclusion of organic particle formation based on this model, and highlight the key processes driving this change.

The model and field measurements used in this study are documented in Section 2. Section 3 evaluates CCN number concentrations in the updated model. Section 4 quantifies the contributions of organic NPF to CCN number globally in both present day (PD) and PI environments. The change in effective radiative forcing due to aerosol–cloud interactions ( $\text{ERF}_{\text{aci}}$ ) associated with organic NPF processes is also calculated. Results are summarized and discussed in Section 5.

## 2 Data and methods

### 2.1 Model configuration

In this study, we examine the impact of organic NPF on atmospheric aerosols and the Earth's radiative balance using the atmospheric module of the Community Earth System Model (CESM) version 2.1.0, specifically the Community Atmosphere

Model version 6, which is enhanced with extensive tropospheric and stratospheric chemistry (CAM6-Chem) (Emmons et al., 2020). The model uses the MOZART-TS2 gas-phase chemistry scheme (Schwantes et al., 2020) and employs a four-mode version of the Modal Aerosol Module (MAM4) (Liu et al., 2016). The default configuration of CAM6-Chem includes binary homogeneous nucleation of  $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$  (Vehkamaki et al., 2002) and ternary homogeneous nucleation of  $\text{H}_2\text{SO}_4\text{-NH}_3\text{-H}_2\text{O}$  (Merikanto et al., 2007). Additionally, within the boundary layer, the model includes the empirical nucleation mechanism (Kulmala et al., 2006; Sihto et al., 2006).

Our previous study (Shao et al., 2024) incorporated the representation of HOMs chemistry from Xu et al. (2022a) (including monoterpene derived peroxy radical (MT-RO<sub>2</sub>) unimolecular autoxidation and self- and cross-reactions with other RO<sub>2</sub> species). In total, 24 reactions in CAM6-Chem were modified and 96 reactions were added to more explicitly simulated HOMs chemistry (Section S1 Tables S1 and S2; Fig. S1). Shao et al. (2024) also updated inorganic nucleation rates involving  $\text{H}_2\text{SO}_4$  and  $\text{NH}_3$  as well as ion-induced pathways based on the CLOUD chamber experiments (Dunne et al., 2016), replacing the default scheme based on  $\text{H}_2\text{SO}_4$  and  $\text{NH}_3$  (Vehkamaki et al., 2002; Merikanto et al., 2007). The organic nucleation scheme was also added in CAM6-Chem, including heteromolecular nucleation of sulfuric acid and organics ( $J_{\text{SA-Org}}$ ) (Riccobono et al., 2014), neutral pure organic nucleation ( $J_{\text{Org,n}}$ ) and ion-induced pure organic nucleation ( $J_{\text{Org,i}}$ ) (Kirkby et al., 2016). Organic vapor condensation on newly formed particles was also added in our updated model (Eq. (12) in Shao et al. (2024)).

All the above mentioned updated nucleation rate and sub-20 nm particle growth rates have already been evaluated in our previous study (Shao et al., 2024), in better agreement with observations at numerous sites. Therefore, the performance of NPF event frequency and N10 (number concentrations for particles with diameters larger than 10 nm) also shows reasonable agreement with measurements (Shao et al., 2024).

## 2.2 Case setting

We calculated the  $\text{ERF}_{\text{aci}}$  between preindustrial (PI) and present-day (PD) using the methodology from Ghan (2013). The effect of biogenic organic NPF on the magnitude of  $\text{ERF}_{\text{aci}}$  was calculated by comparing simulations with (using Eq. (2)-(8) in Shao et al. (2024), named “Inorg\_Org” in table 1) and without (only using Eq. (6)-(8) in Shao et al. (2024), named “Inorg” in table 1) biogenic NPF mechanisms. The prefixes “PD” and “PI” in each test name represent emissions scenarios appropriate to present day and preindustrial (Table 1).

Ten-year simulations were performed with  $0.9^\circ \times 1.25^\circ$  spatial resolution and a vertical resolution extending up to approximately 40 km across 32 layers (Emmons et al., 2020) for both present-day (PD) and preindustrial (PI) atmospheres with an additional one-year spin-up period (Table 1). Sea surface temperature and sea-ice extents are prescribed to climatological values for the year 2000 in both PD and PI cases. Anthropogenic and monthly biomass burning emissions are provided by the Community Emission Data System (CEDS v2017-05-18) (Hoesly et al., 2018) and the historical global

140 biomass burning emissions inventory (van Marle et al., 2017) developed for CMIP6. For PD simulation, emissions after 2014 follow the SSP585 scenario, based on the Shared Socioeconomic Pathway 5 (SSP5) (O'Neill et al., 2017). Biogenic emissions are dynamically simulated using the Model of Emissions of Gases and Aerosol from Nature version 2.1 (MEGAN2.1) (Guenther et al., 2012). The Multi-resolution Emission Inventory for China (MEIC) (<http://www.meicmodel.org>) (Li et al., 2017; Yue et al., 2023) was used to replace the CMIP6 emission inventory for China, as CMIP6 underestimates the reduction of SO<sub>2</sub> emissions after 2007. [We use configurations with internally derived free-running \(FR\) meteorology \(Tilmes et al., 2015\).](#)

145 In order to compare simulated CCN with measurements, several short-term simulations were performed, in which meteorological fields (temperature and wind profiles, surface pressure, surface stress, surface heat and moisture fluxes) were nudged toward Modern-Era Retrospective analysis for Research and Applications (MERRA2) reanalysis [using a 0.5-hour Newtonian relaxation timescale \(i.e., 100% nudging; Tilmes et al., 2015\).](#) ~~with a relaxation timescale of 6 hr (Kooperman et al., 2012).~~ The simulation period corresponded to the time of measurements (Table 2), with an additional month for spin-up. ~~Meteorological fields are nudged towards the MERRA2 every 0.5 h, which is the same as the physics timestep of the model (Lamarque et al., 2017).~~ The simulated meteorological fields and their deviations from MERRA2 reanalysis are presented in ~~Figure S20~~ [Figure S2](#).

**Table 1.** Configurations of CESM2.1.0 Experiments (long-term simulation)

Test Name	Simulation period	Spin-up	Updated inorganic nucleation	HOMs chemistry	Organic nucleation and growth
Inorg	corresponds to the measurements in Table 2	one month	✓	✓	×
Inorg_Org			✓	✓	✓
PD_Inorg	2008.1-2017.12	one year (2007.1-2007.12)	✓	✓	×
PD_Inorg_Org			✓	✓	✓
PI_Inorg	1851.1-1860.12	one year (1850.1-1850.12)	✓	✓	×
PI_Inorg_Org			✓	✓	✓

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### 2.3 Observation data

In our previous study (Shao et al., 2024), we evaluated NPF-related variables, including nucleation rate, growth rate, NPF frequency, condensation sink, and aerosol number concentration in the updated model. Here, we focus specifically on evaluating the CCN number concentration in both Inorg\_Org and Inorg models. The CCN number concentration is crucial because it influences the degree of cooling of the Earth's surface through the aerosol-cloud interaction, specifically by influencing cloud albedo and cloud lifetime (Twomey, 1977; Albrecht, 1989).

The observational data of CCN number concentrations used in this study were obtained from ships, stations, and aircraft at various locations (see Table 2) (Jefferson, 2010; Uin et al., 2019; Bodhaine, 1983; Wang et al., 2022; Wood et al., 2015; Zheng et al., 2020) (all the data are available for download at <http://www.archive.arm.gov/discovery/#v/results/>). All data were processed within the Global Aerosol Synthesis and Science Project (GASSP) (Reddington et al., 2017). The CCN number measurements exhibit a very high temporal resolution (<1 minute). However, the model output's physical time step is only half an hour, making it impossible to precisely match the observation data with the model output. Consequently, we selected CCN number concentrations at supersaturations (ss) of 0.1%, 0.2%, 0.5%, and 1% from the observational data to represent different atmospheric supersaturations. These values were then compared with the model's monthly average output at the same supersaturation levels for the corresponding time period (Fig. 1).

**Table 2.** Field measurements used in this study

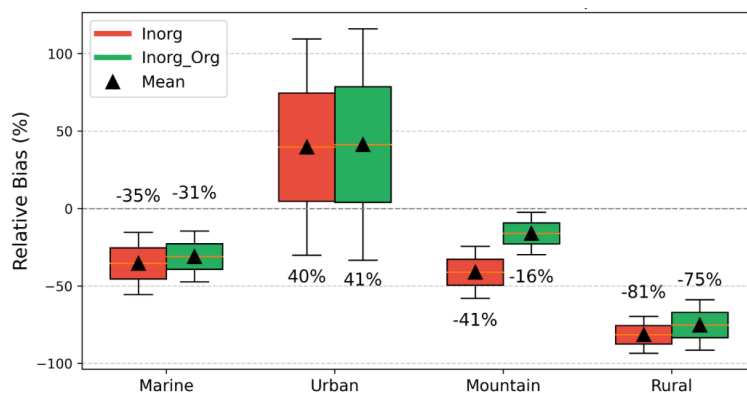
Station	Type	Altitude (m asl)	Latitude	Longitude	Time
Barrow, Alaska, USA	Marine	8.0	71.32° N	156.61° W	2008/01-2013/01
Eastern North Atlantic	Marine	26.0	39.09° N	28.03° W	2014/11-2015-04
Graciosa Island	Marine	26.0	39.09° N	28.03° W	2009/05-2010/11
Manacapuru, Brazil	Urban	50.0	-3.21° S	60.60° W	2014/02-2015/05
Nainital, India	Mountain	1936.0	29.36° N	79.46° E	2011/06-2012/03
Shouxian, China	Rural	22.7	32.56° N	116.78° E	2008/05-2008/10
Steamboat Springs, USA	Mountain	2440.0	40.45° N	106.79° W	2010/10-2011/04
Chilbolton, UK	Rural	80.0	51.15° N	1.44° W	2009/02-03

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### 3 Evaluation of simulated CCN concentrations

The underestimation of CCN numbers in the Inorg simulation is alleviated by incorporating organic-related NPF, especially over rural and mountainous regions (Fig. 1), where both nucleation and initial growth rates are dominated by biogenic pathways.

180 The remaining underestimation of CCN in rural regions (Fig. 1) is likely due to the neglect of anthropogenic-derived HOMs, which may play a key role in NPF in these areas. The increase in CCN number due to the addition of organic NPF mechanisms is simulated not only in the locations listed in Table 2 but also on a global scale (see Fig. 7), as further discussed in Section 4.1. In urban regions, the overestimation of CCN numbers is exacerbated (Fig. 1). These overestimations in CCN numbers in the Inorg model are likely related to the overestimation of H<sub>2</sub>SO<sub>4</sub> concentration in CAM6-Chem (Shao et al., 2024), as these regions are more sensitive to sulfuric acid due to the limited presence of organic NPF precursors like monoterpenes. The overestimation of H<sub>2</sub>SO<sub>4</sub> concentrations in the CAM-Chem model is likely the result of multiple contributing factors, such as overestimated SO<sub>2</sub> emissions (He et al., 2014; He and Zhang, 2014), insufficient representation of in-cloud chemistry (Ge et al., 2021; 2022), underestimated wet deposition processes (He et al., 2015; He and Zhang, 2014). Overall, the relative bias of CCN numbers at different supersaturation levels decreases from -57% (Inorg) to -45% (Inorg\_Org) (Fig. S18-Fig. S3), indicating that the Inorg\_Org model provides a more accurate representation of organic contributions for further quantification in Section 3.



195 **Figure 1.** Box plots showing the relative bias (%) between simulated monthly mean and observed median CCN number concentrations across categorized background sites (Marine, Urban, Mountain, Rural). Red and green boxes represent the Inorg and Inorg\_Org experiments, respectively. Black triangles indicate the mean relative bias for each category. Numerical values above the boxes denote the corresponding mean normalized mean bias (NMB) for each experiment. Information on the measurement sites is provided in Table 2.

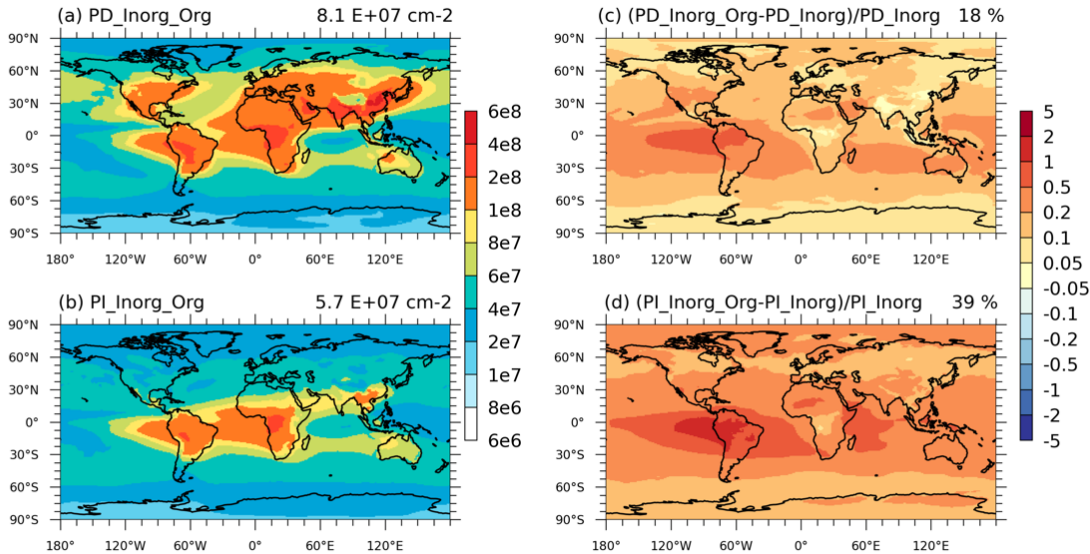
## 4 Results

### 4.1 Change in CCN and cloud droplet number concentrations

200 The inclusion of organic NPF results in a greater increase in the CCN burden in the PI experiment (~39%) compared to the PD experiment (~18%) (Fig. 2). The spatial pattern of change in CCN burden (Fig. 2) is consistent with the change in aerosol burden in the Aitken and accumulation mode (Figs. S11 and S12-Figs. S4 and S5) but affects much wider areas. ~~Since ultrafine particles (< 50 nm) are quickly lost by coagulation to larger, pre-existing aerosol, CCN typically have a longer atmospheric lifetime and are less efficiently removed than smaller aerosol particles, allowing them to exert influence over wider spatial~~ scales (Pierce et al., 2009; Riemer et al., 2009). On the western side of the Amazon basin, the highest rise (>50%) in both PD and PI experiments is caused by high CCN burden transported from the Amazon basin. Such a significant change in CCN

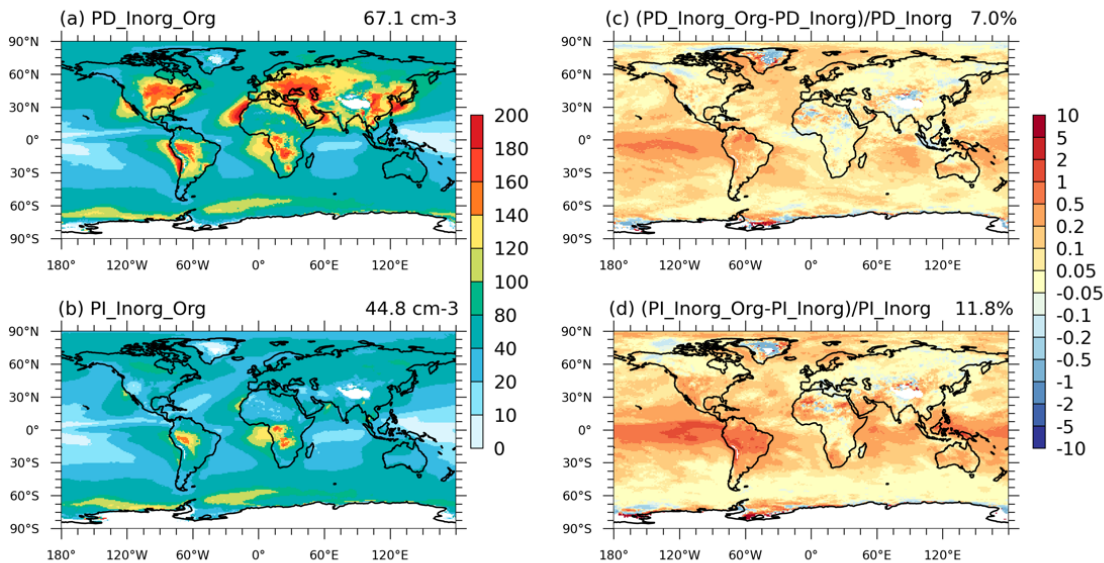
production across the unpolluted PI atmosphere is particularly important for global climate because cloud droplet number concentrations (CDNC) are sensitive to CCN changes. Therefore, CDNC at the top of low clouds in Inorg\_Org rise by 12% in the PI experiments but only 7% in the PD experiments compared to Inorg (Fig. 3).

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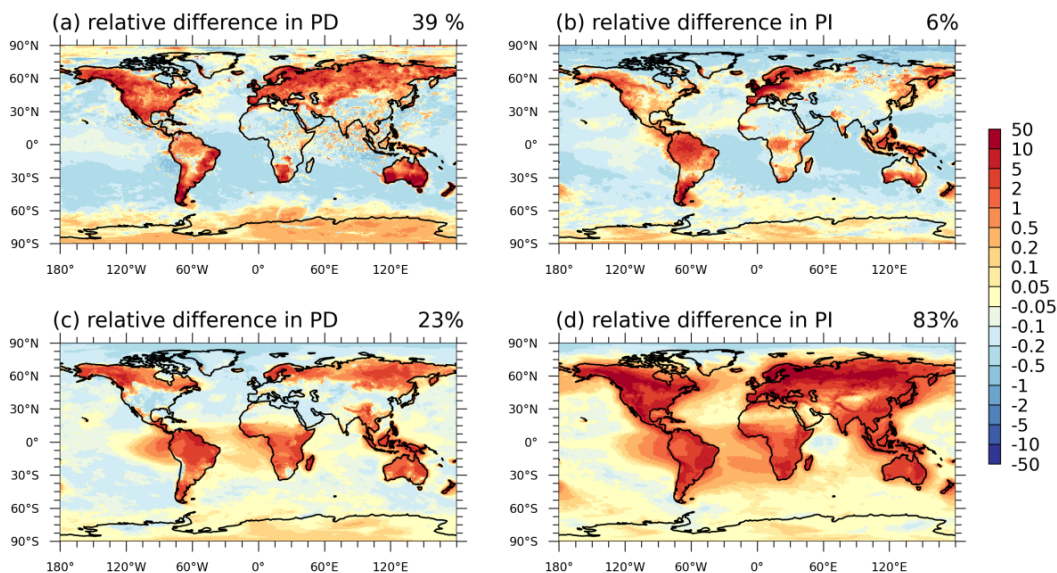
**Figure 2.** Spatial distribution of the simulated vertically-integrated CCN at 0.2% supersaturation (ss) in (a) PD\_Inorg\_Org and (b) PI\_Inorg\_Org (unit:  $\text{cm}^{-2}$ ). The relative change after adding organic NPF in PD and PI environments are shown in (c) and (d). Global mean values are shown on the top right of each figure.

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**Figure 3.** Spatial distribution of the simulated cloud droplet number concentration (CDNC) at the top of low clouds in (a) PD\_Inorg\_Org and (b) PI\_Inorg\_Org (unit:  $\text{cm}^{-3}$ ). The relative change after adding organic NPF in PD and PI environments are shown in (c) and (d). Global mean values are shown on the top right of each figure.

In both PD and PI experiments, the largest increase in CCN burden (>20% rise in Inorg\_Org compared to Inorg) is found in the tropical regions (Amazon, central Africa, and Southeast Asia) (Fig. 2). This is attributed to the highest biogenic emissions (Fig. S3 Fig. S6) which lead to the greatest increases in both nucleation and growth rates in Inorg\_Org (Fig. 4) and the originally low aerosol number before adding organic NPF (i.e., Inorg simulation) in these regions. The enhancement in nucleation rates due to the inclusion of organic nucleation is more significant in the PD experiment (39%) compared to the PI experiment (6%) (Fig. 4). This is mainly caused by higher sulfuric acid concentrations in the PD environment (Fig. S2 Fig. S7), resulting in higher heteromolecular nucleation rates involving sulfuric acid and organics (Figs. S6 and S7 Figs. S8 and S9) over land, where both H<sub>2</sub>SO<sub>4</sub> and HOMs show high values. Consequently, more H<sub>2</sub>SO<sub>4</sub> is consumed over land (Fig. S16 Fig. S10), reducing its transport to oceanic regions (Fig. S17 Fig. S11). As a result, nucleation rates decrease over the ocean in both PD and PI experiments (Fig. 4). In contrast to organic nucleation, the impact of organic growth rate on the total growth rate is more significant in the PI atmosphere, reaching 83%, while in the PD atmosphere this impact is only 23%. This is mainly due to significantly higher emissions of organic precursors, such as monoterpenes and isoprene in the PI atmosphere (Fig. S3 Fig. S6), and the organic growth rate is only influenced by HOMs concentrations. Therefore, compared to the increase in the ~1.7 nm nucleation rate, the increase in the sub-20 nm growth rate plays a more significant role in greater increase of CCN burden in the PI experiment (Fig. 4 and Fig. S13 Fig. S12). The strong correlation (R~0.7) between organic growth rates and CCN burden (Fig. S15 Fig. S13), along with the lack of substantial changes in other components of the CCN budget (Table S5 Table S3), further supports this point.

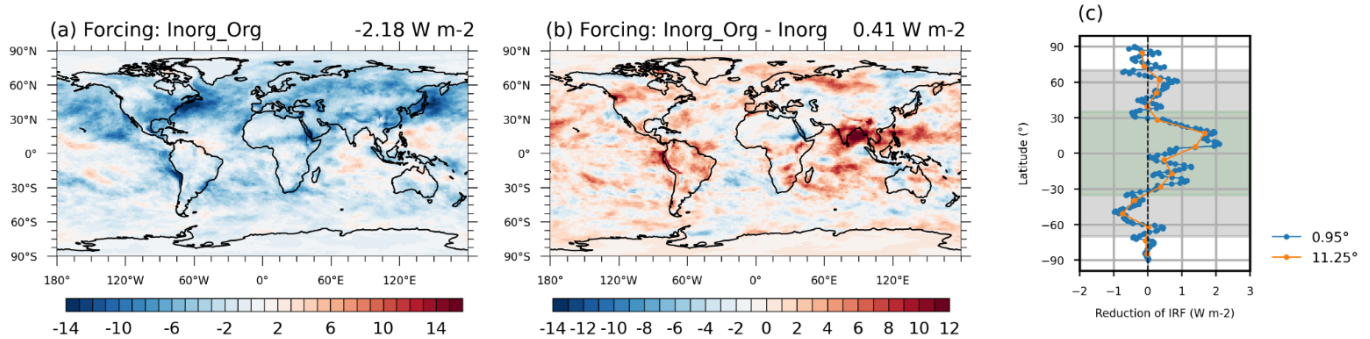


**Figure 4.** The relative change (unitless) of the simulated (a and b) vertically-integrated nucleation rate ( $j_{1.7nm}$ , below 15 km) and (c and d) vertically-mean sub-20nm growth rate after adding organic nucleation is shown in PD and PI environments. Global mean values are shown on the top right of each figure.

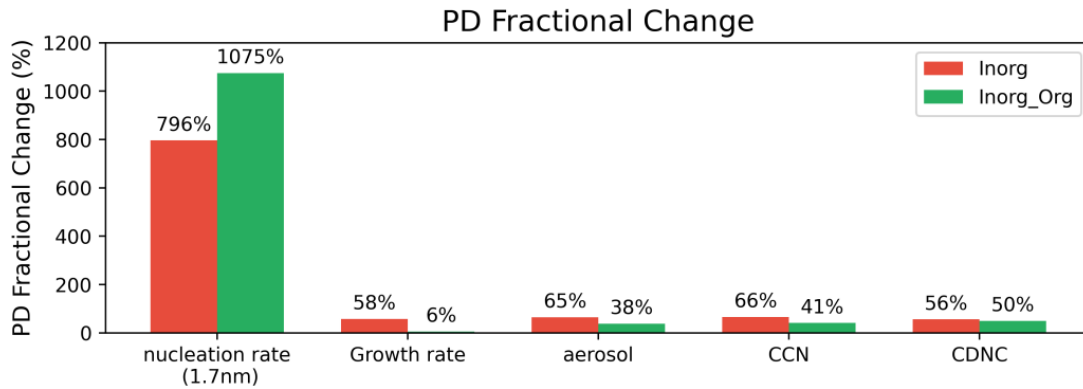
#### 4.2 Effect on aerosol indirect radiative forcing

265 The significant increase in CCN burden (Fig. 2) and CDNC (Fig. 3) in the PI experiment resulting from the inclusion of the organic NPF scheme is likely to reduce the aerosol radiative forcing. Thus, in this study we focus on quantifying the effect of including biogenic organic NPF on the indirect aerosol forcing component ( $ERF_{aci}$ ). The effect of organic NPF on the magnitude of  $ERF_{aci}$  is calculated by comparing simulations with (Inorg\_Org) and without (Inorg) organic nucleation and growth mechanisms. To analyze the change in  $ERF_{aci}$ , we also compare the fractional changes of other key variables (nucleation rate, growth rate, aerosol number, CCN number, and CDNC) from PI to PD in Inorg\_Org and Inorg (Fig. 7).

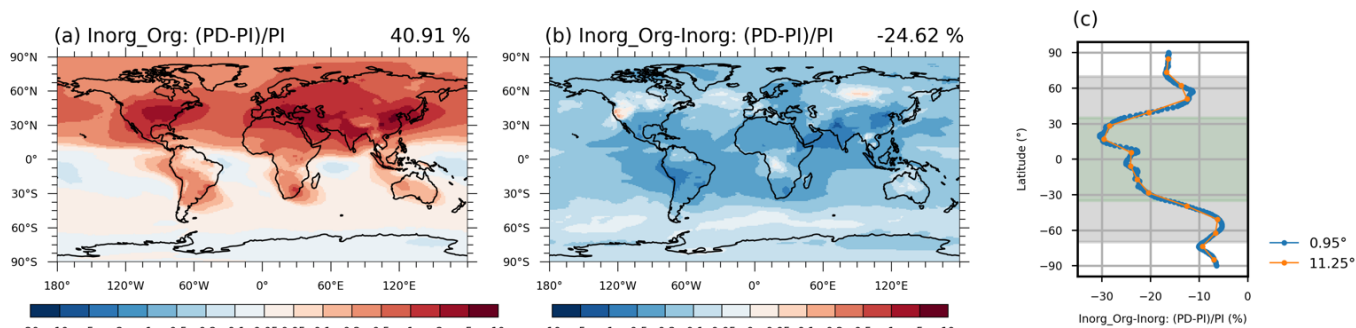
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275 **Figure 6** **Figure 5.** The effective radiative forcing due to aerosol–cloud interactions ( $ERF_{aci}$ ) aerosol after including organic NPF (a) and the difference in the  $ERF_{aci}$  of anthropogenic aerosol between with and without organic NPF (b). The zonal mean of the information in (b) is shown in (c) (Blue scatters show the zonal mean with an interval of  $0.95^\circ$  and the orange scatters show the zonal mean with an interval of  $11.25^\circ$ ). The global average value is shown on the top right of each panel. Model experiments are described in **Table 2** and model data come from monthly mean value over 10 years.



280 **Figure 7.** **Figure 6.** The global mean of the PD fractional change ((PD-PI)/PI) of key variables. Model experiments are described in Table 2 and model data come from monthly mean value over 10 years.



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**Figure 5 Figure 7.** The global mean of the relative change of vertically-integrated CCN number at 0.2% supersaturation in the PD experiment compared to the PI experiments after adding organic NPF mechanisms (a) and the difference of that value between with and without organic NPF (b). The zonal mean of the information in (b) is shown in (c) (Blue scatters show the zonal mean with an interval of 0.95° and the orange scatters show the zonal mean with an interval of 11.25°). The global average value is shown on the top right of each panel. Model experiments are described in Table 2 and model data come from monthly mean value over 10 years.

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We estimate that the global  $ERF_{aci}$  since 1850, after including organic NPF, is  $-2.18 \text{ W m}^{-2}$  (Fig. 6 Fig. 5a). The calculated aerosol  $ERF_{aci}$  decreases by approximately  $0.4 \text{ W m}^{-2}$  (corresponding to a 16% reduction) after adding organic NPF mechanisms (Fig. 6 Fig. 5b), primarily driven by changes in the number concentration of accumulation mode aerosols (Fig. S19 Fig. S14). The global mean effective radiative forcing due to aerosol-radiation interactions ( $ERF_{ari}$ ) changes only slightly, from  $0.03 \text{ W m}^{-2}$  to  $-0.01 \text{ W m}^{-2}$ , a negligible change compared to the total aerosol radiative forcing, which decreases from  $-2.19 \text{ W m}^{-2}$  to  $-2.64 \text{ W m}^{-2}$  (Table S4). This reduction is attributed to the greater increase in CCN number in the PI experiment compared to the PD experiment (Fig. 2) when adding organic NPF (Inorg\_Org), leading to a smaller relative difference in these variables between the PD and PI experiments (66% in Inorg\_Org and 41% in Inorg, Fig. 7 Fig. 6).

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The largest reduction in  $ERF_{aci}$  occurs over the tropical region ( $-35^\circ$  to  $35^\circ \text{ N}$ ) (Fig. 6 Fig. 5c), especially over oceans with high low cloud cover, such as the western side of the Amazon basin and eastern China (Fig. 6 Fig. 5b). This corresponds to the change in the CCN number and CDNC in the PI experiments, which also shows the largest increase in tropical regions. The average  $ERF_{aci}$  decreases by approximately  $1 \text{ W m}^{-2}$  between  $35^\circ \text{ S}$  and  $35^\circ \text{ N}$ , with a more significant effect in the Northern Hemisphere (NH) (Fig. 6 Fig. 5b and 5c). This is mainly attributed to the largest reduction in the PD fractional change of vertically-integrated CCN number in the northern United States, southeastern United States, and India in Inorg\_Org compared to Inorg (Fig. 5 Fig. 7b). These significant reductions are transported to the western side of these regions, where most of the anthropogenic aerosol-cloud radiative forcing occurs, resulting in significant reductions in  $ERF_{aci}$  (Fig. 6 Fig. 5b). The largest reduction of  $ERF_{aci}$  in the Southern Hemisphere (SH) occurs on the western side of the Amazon and Australia (Fig. 6 Fig. 5b), where biogenic NPF causes the largest reduction in CCN concentrations from PD to PI experiment (Fig. 5 Fig. 7a), driven by the large continental source of biogenic gases (Fig. S3). In some tropical oceanic regions of the SH, there are higher CCN concentrations in the PI atmosphere than PD in Inorg\_Org (Fig. 5 Fig. 7a), caused by higher preindustrial ACCs (Fig. S3 Fig.

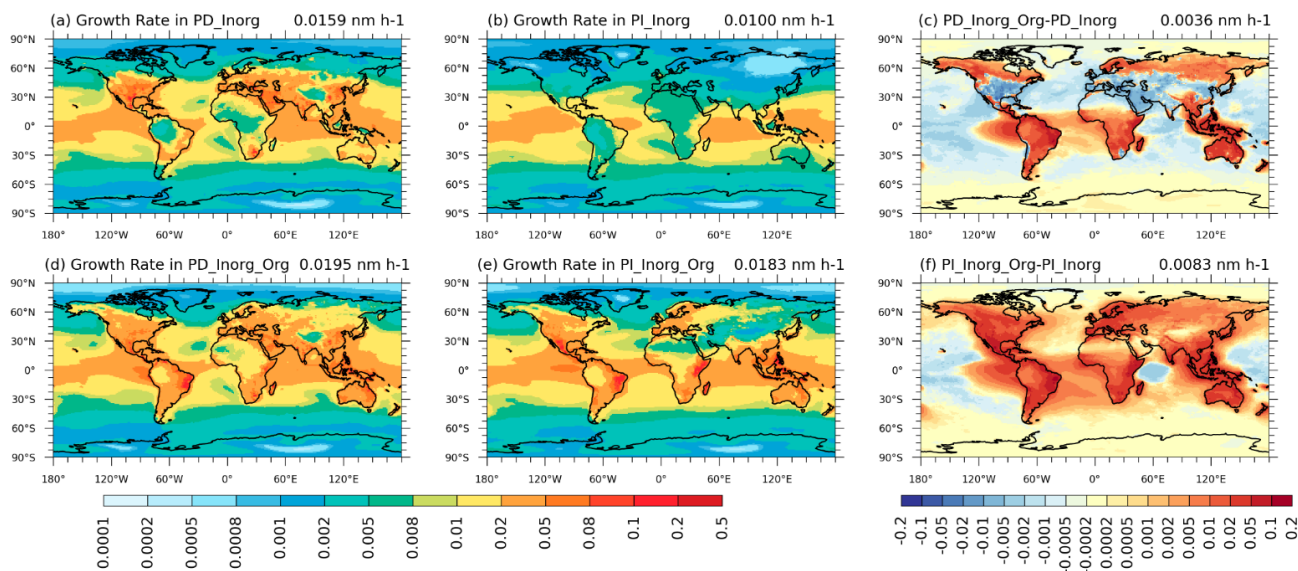
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315 [S6](#)) and lower particle condensation sinks, leading to a positive  $ERF_{aci}$  ([Fig. 6 Fig. 5a](#)). At mid-latitudes ( $35^{\circ}\sim 70^{\circ}$ ) in the NH, the reduction in  $ERF_{aci}$  is mainly caused by larger emissions of monoterpenes, and consequently, higher concentrations of HOMs in the PI environment of boreal forests in North America and Eurasia ([Fig. S3 Fig. S6](#)). The large increase in sub-20 nm particle growth rate in the PI experiment resulting from HOM condensation also supports this point.

320 In previous studies (Zhu et al., 2019; Gordon et al., 2016), organic nucleation ( $J_{Org,n}+J_{Org,i}+J_{SA-Org}$ ) is the main reason for higher CCN number in the PI simulation and thus leads to the reduction in  $ERF_{aci}$ . However, in our simulation, the fractional change in total nucleation rate from PI to PD is larger after adding organic nucleation (1075% in  $Inorg\_Org$  and 796% in  $Inorg$ ) ([Fig. 7 Fig. 6](#)). This is mainly caused by heteromolecular nucleation rate of sulfuric acid and organics ( $J_{SA-Org}$ ), which is the dominant contributor to total nucleation rate, showing greater increase in the PD experiment ([Fig. S6 Fig. S8](#)) compared to the PI experiment ([Fig. S7 Fig. S9](#)). Especially in boreal forests, northern America, and Australia ([Fig. S8 Fig. S15](#)), both  $H_2SO_4$  and HOMs are abundant in the PD experiments ([Fig. S2 and S3 Fig. S6 and S7](#)), leading to much larger  $J_{SA-Org}$  values ([Fig. S6 Fig. S8](#)). Therefore, the greater enhancement of CCN burden in the PI experiment and reduction in  $ERF_{aci}$  are likely caused by organic condensational growth on sub-20nm particles (with PD fractional changes of 6% in  $Inorg\_Org$  and 58% in  $Inorg$ ; [Fig. 7 Fig. 6](#)), instead of organic nucleation. Specifically, after incorporating the organic NPF mechanism, the growth rate of sub-20 nm particles increases more significantly in the PI experiment ( $0.0083\text{ nm h}^{-1}$ ) than in the PD experiment ( $0.0036\text{ nm h}^{-1}$ ) ([Fig. 8](#)). This is mainly due to the higher organic sub-20 nm growth rate in PI ( $0.01\text{ nm h}^{-1}$ ) compared to PD ( $0.006\text{ nm h}^{-1}$ ).

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335 **Figure 8.** Spatial distribution of the simulated vertically-mean growth rate in (a and d) PD and (b and e) PI experiments. The difference between  $Inorg\_Org$  and  $Inorg$  in PD and PI experiments is shown in (c) and (f) (unit:  $\text{nm h}^{-1}$ ). Global mean values are shown on the top right of each figure.

The most significant changes in  $ERF_{aci}$  reduction due to the inclusion of organic NPF are in tropical regions (Fig. 6 Fig. 5c). This is different from previous studies (Zhu et al., 2019; Gordon et al., 2016), which showed that most of the reduction in  $ERF_{aci}$  occurs in the mid-latitudes of the NH, closely related to the distribution of HOM concentrations. Previous studies (Zhu et al., 2019; Gordon et al., 2016) did not account for organic nucleating species derived from isoprene oxidation, thereby neglecting ACC generation through self- and cross-reactions of isoprene- and monoterpene-derived radicals. Gordon et al. (2016) and Zhu et al. (2019) assumed that all organic nucleating species had the same volatility and can equally contribute to the organic nucleation. This simplification may have led to an overestimation of the organic nucleation rate and, consequently, the reduction in  $ERF_{aci}$  in the mid-latitudes. Our study highlights that only ACCs can contribute to pure organic nucleation due to their extremely low volatility. ACCs show high concentrations only in the Amazon, Central Africa and Western Europe (Fig. S3 Fig. S6) where the total nucleation rate is dominated by pure organic nucleation (Figs. S6 and S7 Figs. S8 and S9). Furthermore, in these regions, there is the largest difference in ACCs concentration between PD and PI experiments (Fig. S3 Fig. S6). Consequently, the most significant reductions in  $ERF_{aci}$  are in the Amazon, central Africa, Australia, and Southeast Asia (Fig. 6 Fig. 5b), as well as the marine low-cloud regions to the west of these areas.

## 350 5 Summary and discussion

New particle formation (NPF) is widely recognized as an important source of atmospheric particles that significantly influence the Earth's climate. In the present work, the contribution of highly oxygenated organic molecules (HOMs) to cloud condensation nuclei (CCN) burden via organic nucleation is quantified in both present-day (PD) and preindustrial (PI) environments using a chemistry-climate model (Shao et al., 2024). The reduction in effective radiative forcing due to aerosol-cloud interactions ( $ERF_{aci}$ ) caused by adding organic NPF mechanisms is also assessed.

After incorporating organic NPF scheme with state-of-the-art chemical mechanisms for biogenic HOMs into CAM6-Chem, the simulated CCN numbers agree better with measurements across different backgrounds (including mountain, rural, and marine) (Fig. 1). Globally, the inclusion of organic-related NPF processes results in a 39% increase in CCN burden in the PI experiment and an 18% increase in the PD experiment. Similarly, cloud droplet number concentration (CDNC) at the top of low clouds in the Inorg\_Org simulation rises by 12% in the PI experiment but only by 7% in the PD experiment. The greater enhancement of CCN burden in the PI experiment is primarily driven by organic condensational growth on sub-20 nm particles, rather than organic nucleation. We noted that previous studies (Zhu et al., 2019; Gordon et al., 2016) attributed the greater enhancement of CCN burden in the PI experiment to organic nucleation, which is likely due to an overestimation of the organic nucleation rate by assuming uniform volatility among all organic nucleating species. In our study, only accretion products generated through self- and cross-reactions of biogenic radicals are allowed to contribute to pure organic nucleation, making heteromolecular nucleation ( $J_{SA-Org}$ ) the dominant nucleation pathway. Higher  $H_2SO_4$  concentrations in the PD environment

further enhance nucleation rates compared to the PI atmosphere. In contrast, HOM concentrations are higher in the PI atmosphere (Fig. S3-Fig. S6) leading to a much greater condensation of organics on sub-20 nm particles in the PI experiments.

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The larger increase in both CCN and CDNC in the PI environment directly leads to an increased aerosol indirect effect in the PI, which is the baseline for calculating  $ERF_{aci}$  in the global model, thereby decreasing the cooling effect of  $ERF_{aci}$  ( $\sim 0.42 \text{ W m}^{-2}$ , corresponding to 16% of its original magnitude) (Fig. 6-Fig. 5). The reduction in the magnitude of  $ERF_{aci}$  is primarily concentrated in boreal forests and low latitudes (Amazon, central Africa, and Southeast China), consistent with the greater increase in CCN and cloud droplet numbers in the PI atmosphere of those regions.

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Although we improve the simulations of CCN numbers by utilizing explicit chemical reactions to replace the traditional fixed yield method, further studies are needed to better align simulated HOM concentrations with widespread measurements. For instance, the autoxidation reaction step, which likely affects the volatility of the final products and their contribution to organic nucleation, has not yet been conclusively determined in chamber experiments (Roldin et al., 2019; Weber et al., 2020; Berndt et al., 2018). Also, the mechanisms used in this study cannot yet capture all variations in observed NPF events (Shao et al., 2024), especially in polluted environments. More lab-based studies are needed to examine the chemical reactions of anthropogenic HOMs to identify which could contribute to NPF mechanisms.

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385 **Competing interests.** At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry and Physics.

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