



# Characterizing orographic clouds and precipitation in Qilian Mountains, northwestern China

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## Abstract

Orographic precipitation has a critical role in water resource and hydrologic cycle in many arid and semiarid regions of the world. The formation and characteristics for an orographic precipitation event on 16-17 August 2020 in Qilian Mountains of northwestern China are investigated based on observational data and high-resolution (up to 333 m) simulations of WRF model. The results show that the local mountain-valley wind circulation has a critical role in the formation of orographic clouds and precipitation, showing an obvious daily variation. In the afternoon, due to strong solar radiation heating, there is an obvious upslope wind on the sunny side of the mountain, and the windward slope of the mountain was blocked and lifted, and a strong terrain wave was excited, resulting in strong convective clouds and precipitation. In the evening, due to the strong long-wave radiation cooling effect of the mountains, the strong downslope wind generated converges and lifts at the valley bottom, which promotes the development of weak convective and stratiform clouds over the valley. In the early hours of the morning, the downslope wind reaches its strongest level, producing a strong downhill wind circulation (mountain wind), and the downslope wind produces a strong uplift effect at the bottom of the valley, forming a deep layered cloud and precipitation process. In the afternoon, the convective clouds are dominant. The microphysical process is mainly characterized by high content of graupel particles. The sources of rainwater are mainly from the warm rain process and the melting process of graupel particles, accounting for 30.3% and 23.6% respectively. In the evening and early morning, the weak convective clouds and stratiform clouds are dominant. The melting of snow is the main source of rainwater, accounting for 92.6%; The precipitation conversion rate is basically consistent with the change trend of precipitation over time, and with the increase of terrain height, the precipitation conversion rate in this area also increases.

**Keywords:** Orographic Clouds and Precipitation, mountain-valley Wind Circulation, Microphysical properties, Qilian Mountains, northeastern Tibet Plateau

## 1 Introduction

Orographic clouds refer to clouds that are produced by the terrain forced uplift of airflow (Zhang et al., 2013; Guo et al., 2017), which is the main precipitation system



42 in the arid and semi-arid regions of northwestern China, and is also the main target  
43 clouds for artificial rainfall enhancement (Yi et al, 2019; Cheng et al., 2021; Qi et al.,  
44 2022) .

45 Leopold (1949) was a pioneer to study orographic clouds and proposed that the  
46 high topography of the Hawaiian Islands has a blocking effect on the trade wind, and  
47 the land-sea and valley circulation driven by surface thermal forcing produces  
48 standing wave cloud belts in the atmosphere. Andrea et al. (1997) simulated the  
49 effects of terrain factors and wet processes, and found that terrain plays a decisive role  
50 in the magnitude and distribution of rainfall, and sensible heat and latent heat  
51 processes only strengthen convective precipitation. The orographic cloud research  
52 program "Mesoscale Alps Project, MAP" has further improved the understanding of  
53 the formation process of orographic clouds when the air flow is blocked by mountains.  
54 Observational experiments with orographic clouds at high stability and low wind  
55 speeds have shown that a blockage develops at the lower level, leading to uplift and  
56 convection in the far southern regions of the mountain topography (Rotunno et al.,  
57 2003). Woods et al. (2005) analyzed a strong orographic precipitation process in the  
58 Cascade Mountains of Oregon, and concluded that the interaction between the front  
59 and the terrain enhances the precipitation, and the cloud can develop to a height of 8-9  
60 km. Kirshbaum et al. (2007) concluded through observations and numerical  
61 simulations in Oregon that after airflow passes through small-scale obstacles,  
62 orographic lee waves will form updrafts at the leading edge of orographic clouds,  
63 which will trigger the formation of lee slope rainbands.

64 In the 1980s, the project of "Artificial Precipitation Experimental Research on  
65 Northern Stratiform Cloud" was carried out in Tianshan Mountains of Xinjiang,  
66 northwestern China. Tang et al. (2019) used WRF mode to conduct a numerical  
67 simulation study on cloud and precipitation under the influence of topography in the  
68 Naqu area of the Qinghai-Tibet Plateau, and found that the formation of orographic  
69 clouds is closely related to the intense solar radiation heating during the day, and  
70 clouds and precipitation in summer show an obvious diurnal variation. Zhang et al.  
71 (2020) used WRF mode to study the transport of water vapor in the Liupan Mountains,



72 and found that the dynamic forcing caused by terrain lifting has an obvious impact on  
73 summer precipitation. Qi et al. (2022) used WRF mode to study the spatial and  
74 seasonal distributions precipitation and precipitation efficiency in Qilian Mountains in  
75 the northeastern Qinghai-Tibet Plateau and its formation mechanisms. They found  
76 that precipitation generally increases with the terrain elevation below 3000 meters and  
77 decreases above 3000 meters.

78 Qilian Mountains are located in the arid and semi-arid region in northwestern  
79 China, bordering the Qinghai-Tibet Plateau on the south and the Hexi Corridor on the  
80 north (Yang et al., 2017; Wang et al., 2019; Cheng et al., 2021). Due to the terrain  
81 lifting of Qilian Mountains, it is conducive to the development of orographic clouds,  
82 with a maximum annual precipitation of 800 mm, which is an important supply of  
83 water resources in Qilian Mountains (Li et al., 2019; Yin et al., 2020; Chen et al.,  
84 2020 ). The orographic clouds in Qilian Mountains were investigated by Shao et al.  
85 (2013) and Cheng et al. (2021) and found that the uplift of terrain can promote the  
86 development of clouds and precipitation, and ice microphysical processes were  
87 significantly enhanced. The formation of orographic clouds in Qilian Mountains has a  
88 close relationship with the synoptic condition, vertical wind speed, and the uplift of  
89 terrain(Guo et al., 2013; Zhu et al, 2015). Zhang et al. (2021) and Liu et al. (2016)  
90 analyzed the variation characteristics of orographic clouds in the Qilian Mountains,  
91 and found that the water vapor in the orographic clouds in the Qilian Mountains is  
92 mainly distributed in the range of 3500-6500 m. And the cumulonimbus cloud formed  
93 only by orographic wind, heat and turbulence has a shorter duration and less  
94 precipitation.

95 The terrain and airflow itself are relatively complex, coupled with the nonlinear  
96 forcing effect of terrain on airflow, the current understanding of the role of Qilian  
97 Mountains in the transformation of water vapor-cloud-precipitation is not  
98 comprehensive enough, and there are few studies on the microphysical structure of  
99 topographic clouds and precipitation. Under complex terrain conditions, the low-level  
100 wind field is greatly affected by the terrain and has a high degree of inhomogeneity,  
101 and the range that the observation data can represent is very limited. Numerical



102 models can better describe the processes of cloud system development and  
103 precipitation generation, and are widely used in the study of cloud precipitation  
104 processes. In order to comprehensively reveal the role of the plateau's complex terrain  
105 and local atmospheric circulation in the formation of clouds and precipitation, it is  
106 necessary to use high-resolution numerical simulations of resolvable cloud processes.

107 This paper intends to investigate the typical topographic cloud precipitation  
108 process over the Qilian Mountains using the observation data of the Second  
109 Qinghai-Tibet Plateau Scientific Expedition Project and the Northwest Regional  
110 Weather Modification Project, combined with the resolvable cloud numerical model  
111 with high-resolution terrain data simulating the typical topographic cloud  
112 precipitation process over the Qilian Mountains. Using aircraft detection, weather  
113 radar, station precipitation, and other data to test the simulation results, on the basis  
114 that the simulation results are close to the actual situation, discuss the formation and  
115 evolution characteristics of clouds and precipitation under the complex terrain of the  
116 Qilian Mountains, and reveal the local atmospheric circulation in the clouds under the  
117 complex terrain, and its role in the formation and evolution of precipitation.

## 118 **2 Data and model setup**

### 119 **2.1 Data**

120 The paper uses the aircraft cloud detection data obtained by the artificial weather  
121 modification project in Northwest China, the observation data of CINRAD/CD  
122 weather radar (36.60°N, 101.78°E) at Xining Station in Qinghai Province, and the  
123 hourly precipitation data measured by observation stations on different underlying  
124 surfaces. The aircraft is equipped with Cloud Imaging Probe(CIP), Precipitation  
125 Imaging Probe(PIP), Hotwire LWC, and precipitation particle probes, cloud  
126 condensation nucleus counters and Aircraft-Integrated Meteorological Measurement  
127 System(AIMMS-20) produced by Droplet Measurement Technologies Inc.(DMT) in  
128 the United States. The main parameters of each probe are shown in Table 1. In  
129 addition, it also includes the hourly precipitation data fused by China's surface  
130 automatic weather stations and CMORPH, and the FNL(Final Operational Global  
131 Analysis(FNL) data provided by the National Center for Environmental Prediction



(NECP)/National Center for Atmospheric Research (NCAR).

133

134 Table 1 Main parameters of cloud microphysical probes

Probe Name	The object of the measurement	The range of measurement	Resolution
CIP	Cloud droplet		
	distribution, concentration, two-dimensional image	25~1550 $\mu\text{m}$	25 $\mu\text{m}$
PIP	Precipitation particle		
	spectral distribution, concentration, two-dimensional image	100~6200 $\mu\text{m}$	100 $\mu\text{m}$
Hotwire_LWC	Liquid water content	0~3 g/m <sup>3</sup>	-
AIMMS-20	Temperature, air		
	pressure, humidity, wind, latitude and longitude, altitude	-	-

135

## 136 2.2 Model setup

137 The numerical simulation adopts the mesoscale model WRFV4.3, and the  
 138 simulation time is from 20:00 on August 15, 2020 to 08:00 on August 17, 2020  
 139 (Beijing time, the same below). The model domains and physical processes setup are  
 140 shown in Table 2 and Figure 1.

141 Table 2 Model setup

	d01	d02	d03	d04
Grid spacing	9 km	3 km	1 km	333 m
Horizontal grid number	112×112	232×232	403×355	532×511
Vertical grid number	34	34	34	34
Mode top height	50 hPa	50 hpa	50 hpa	50 hpa
Cumulus parameterization scheme	Grell-Devenyi	Grell-Devenyi	-	-
Boundary layer scheme	BMJ	BMJ	BMJ	BMJ
Land surface process scheme	RUC	RUC	RUC	RUC
Long wave radiation scheme	RRTM	RRTM	RRTM	RRTM
Cloud microphysical scheme	Thompson	Thompson	Thompson	Thompson
Surface layer scheme	Eta	Eta	Eta	Eta
Short wave radiation scheme	Goddard	Goddard	Goddard	Goddard

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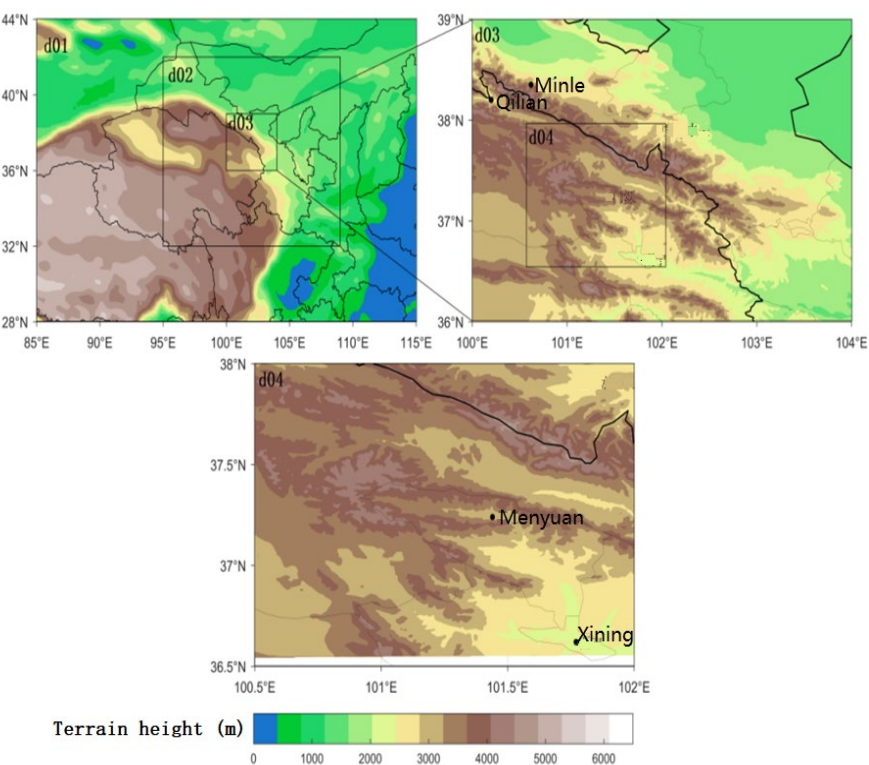


Figure 1 The model domain setup and terrain height (color shaded) in WRF model. d01 is the first domain, d02, the second nested domain, D03, the third nested domain range and D04 is the fourth nested domain.

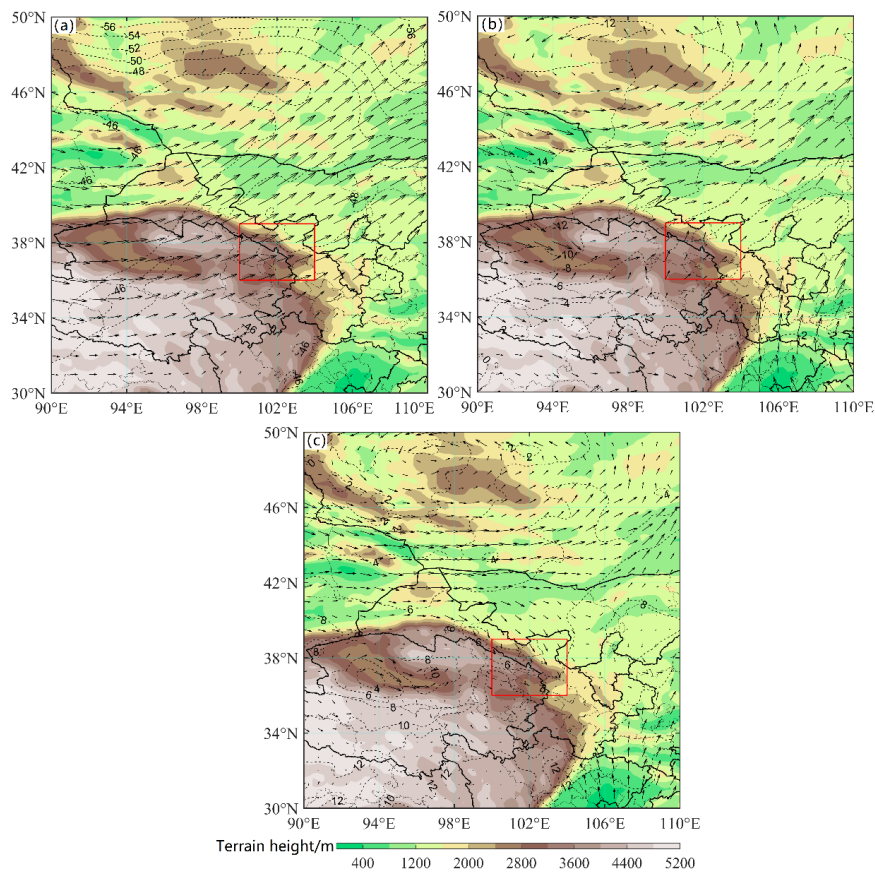
### 3 Results

#### 3.1 Synoptic conditions for the study case

From 16-17 August 2020, a precipitation cloud system appeared in the Xining area in the southeastern part of the Qilian Mountains from the early morning of the 16th to the early morning of the 17th. The spatial distribution of weather situation and water-vapor flux of 200 hPa, 500 hPa and 700 hPa at 10: 00 on 16 August 2020 are shown in Figure 2 and Figure 3. It can be seen that the northwest region is controlled by the high-altitude westerly trough, the temperature field in the trough lags behind the height field, there is strong cold air transport behind the trough, and warm air flows in front of the trough. The study area is located at the confluence of cold and warm airflow behind the front ridge of the high-altitude trough, controlled by the southwest airflow, and there are strong water vapor flux conveyor belts in the north



159 and southeast of it. Affected by the warm and humid southwest airflow and cold air in  
160 front of the trough, precipitation occurred in the study area. From the weather  
161 situation field at 700hPa, it can be seen that when the airflow meets the terrain, part of  
162 it flows around and part of it climbs up. The complex topography of the Qilian  
163 Mountains has an impact on the airflow.  
164



165  
166 Figure 2 Spatial distribution of weather situation at 10:00 on August 16th, 2020.  
167 (a) 200hPa, (b) 500hPa, (c) 700hPa  
168 (Height field, solid black line, unit: gpm; Temperature field, black dotted line, unit `1C; Wind  
169 field, black arrow; Red box, study area)



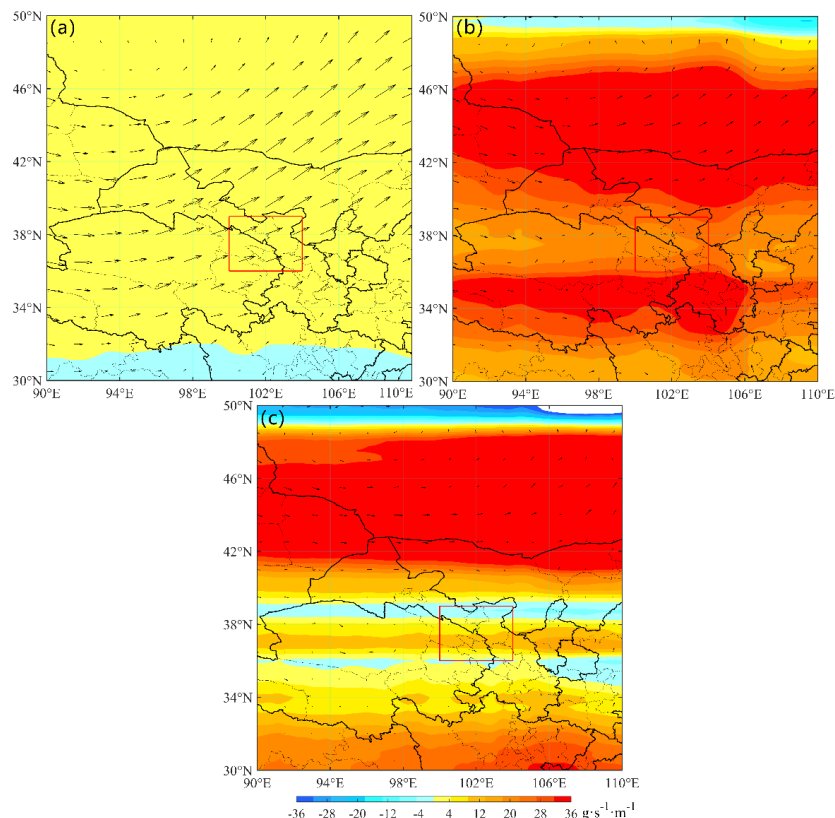


Figure 3 Spatial distribution of water-vapor flux at 10:00 on August 16th, 2020.

(a) 200hPa, (b) 500hPa, (c) 700hPa

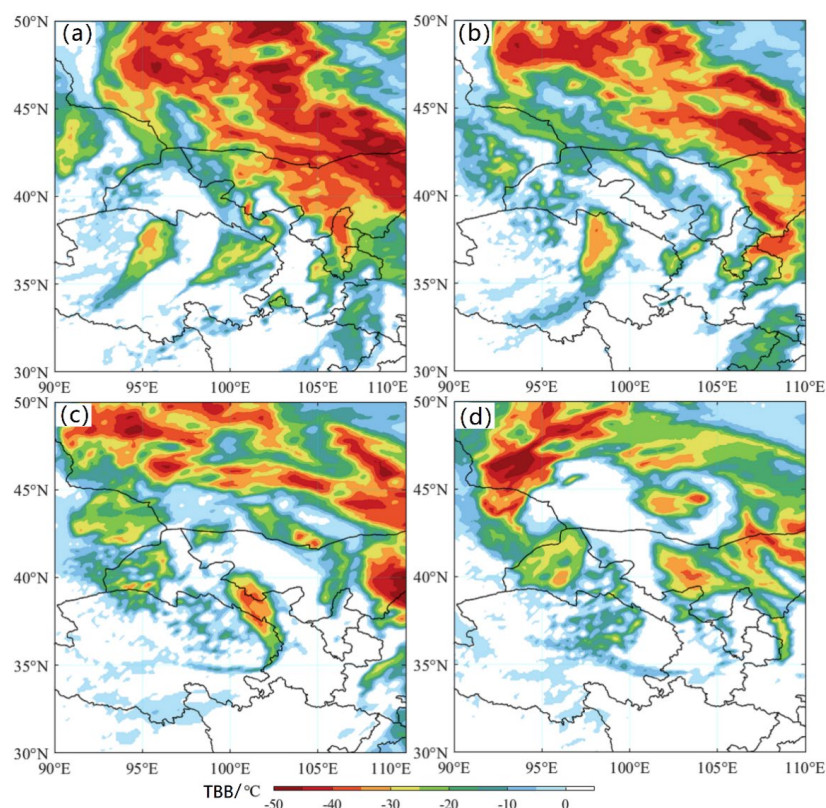
(Wind field, black arrow; Red box, study area)

The Black Body Temperature (TBB) observed by the Y-2G satellite is shown in Figure 4. The precipitation process on August 16, 2020 was mainly stratocumulus precipitation, the cloud layer developed deep and thick, and there was a strong updraft in some areas of the cloud area. The supercooled cloud body entered the research area on the morning of the 16th, and then the cloud system moved to the southeast. From the afternoon of the 16th, sporadic weak convective cells appeared in the study area, and then the convective cells developed and merged to form a large-scale cloud belt. By 18:00 on the 16th, the cloud top of the precipitation cloud was higher and the cloud layer was deep. In the early morning of the 17th, the cloud top temperature in most of the study area was lower than  $-40^{\circ}\text{C}$ , and the intensity of the cloud system was weak (Figure 4a). It can be seen that the formation, development and weakening





185 of clouds have obvious diurnal variation characteristics.



186

187 Figure 4 FY-2G satellite cloud image on August 16th, 2020 (the blue box is the research area).

188 ( ( a ) 10:00; ( b ) 14:00; ( c ) 19:00; ( d ) 02:00)

### 189 3.2 Comparison of simulated clouds and precipitation against observations

190 Zhang et al. (2022) compared the combined reflectivity of radar echoes observed  
 191 and simulated at 00:00, 09:00, and 19:00 on August 16, 2020. It is found that this  
 192 precipitation process has typical stratocumulus precipitation echo characteristics, and  
 193 columnar convective cloud echoes are inlaid in the relatively uniform echo layer. The  
 194 edge of the echo is fragmented, and in a large range, it is the echo of stratiform cloud  
 195 precipitation with an intensity less than 25 dBZ, but the echo of stratiform cloud is  
 196 embedded with agglomerated strong echo, and the maximum value of the echo is 40  
 197 dBZ. At the same time, it is found that the precipitation system affecting the Qilian  
 198 Mountains moves from southwest to northeast, and the simulated radar echoes also  
 199 reflect this characteristic, and the positions of the simulated strong radar echoes are



200 also relatively close to the measured positions. The research by Zhang et al. showed  
201 that the WRF model simulated the precipitation process of the stratocumulus system  
202 in the Qilian Mountains more accurately, and with the elevation of the terrain, the  
203 observed and simulated radar echo changes were basically consistent.

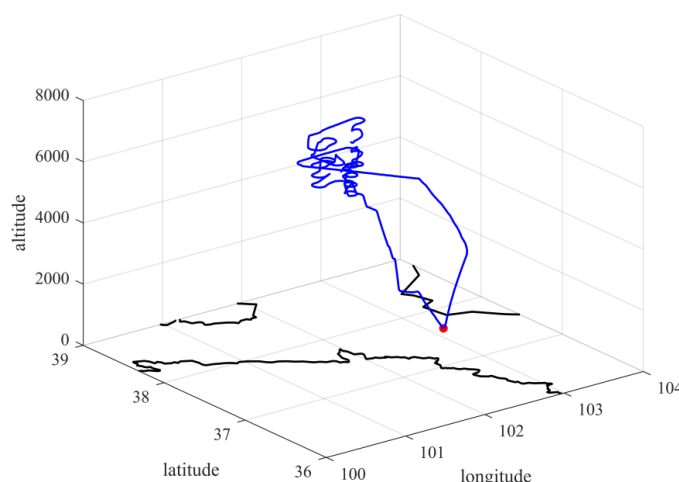
204 The detection time of the aircraft data is from 08:50 to 12:00 on August 16, 2020.  
205 The detection cloud area is located near Menyuan County, Haibei Tibetan  
206 Autonomous Prefecture, Qinghai Province, at the eastern foot of Qilian Mountain.  
207 The three-dimensional trajectory of the aircraft flight is shown in Figure 5. The plane  
208 took off from Xining Airport at 08:54 and flew northeast. After flying over Menyuan  
209 County, Haibei Prefecture, Qinghai Province at 09:23, it continued to fly eastward to  
210 Qilian Mountain. From 09:32 to 09:54, the plane was located over the ridge of Qilian  
211 Mountain, and it circled down from 6.9 km to 5.6 km, and then circled up from 5.6 km  
212 to 7.8 km, with the detection heights of 6.9, 6.6, 6.2, 5.9 and 5.6 km. In this paper, the  
213 vertical detection process of 5.6-6.9 km and the simulation results of WRF model are  
214 selected for comparative analysis.

215 The comparison of the liquid water content detected by the aircraft in the 5.6-6.9  
216 km area with height distribution and the simulated cloud water content is shown in  
217 Figure 6. The comparison between the distribution of the CIP particle number  
218 concentration detected by the aircraft in the area of 5.6-6.9 km with the height and the  
219 simulated ice crystal number concentration is shown in Figure 8. The vertical  
220 detection flight trajectory and radar echo distribution of the aircraft in the 5.6-6.9 km  
221 area, as well as the typical image of ice crystal particles are shown in Figure 8. It can  
222 be seen that when the aircraft detects vertically in the 5.6-6.9 km area, the LWC is  
223 unevenly distributed with height, and the variation range is 0-0.03 g m<sup>-3</sup>. The  
224 cloud-water mixing ratio simulated by the model varies from 0 to 0.10 g/kg, which is  
225 slightly higher than the aircraft detection value. The maximum value of the  
226 cloud-water mixing ratio simulated by the model is 0.10 g/kg, at about 5.5 km, which  
227 is slightly lower than the maximum height of the observed LWC. The change of total  
228 particle concentration with height (Figure 7) shows that the change of total CIP  
229 particle concentration with height is similar to that of LWC. The total concentration of



230 CIP particles is the highest near 5.6 km and 5.9 km, close to  $40\text{L}^{-1}$ , and above 6 km.  
 231 In the cloud layer, the total concentration of CIP particles is reduced to between 0 and  
 232  $10\text{L}^{-1}$ . The ice crystal number concentration simulated by the model is basically  
 233 consistent with the observation at the maximum height, and reaches  $600\text{L}^{-1}$  at the  
 234 highest point at about 5.9 km. The simulated ice crystal number concentration is  
 235 generally higher than the observed CIP particle number concentration.

236 By comparing the LWC and CIP particle concentrations and particle spectra in  
 237 Figure 6 and Figure 7, and the vertical distribution of the typical ice crystal image in  
 238 Figure 8. It is found that the main growth mechanism of ice crystals at 5600 m  
 239 ( $-5.1^{\circ}\text{C}$ ) is desublimation. At 6560 m ( $-9.9^{\circ}\text{C}$ ), a large number of aggregated ice  
 240 crystals significantly broaden the particle spectrum, and the ice crystals mainly grow  
 241 by sublimation and coalescence. At 7850 m ( $-17.6^{\circ}\text{C}$ ), a large number of ice crystal  
 242 aggregates composed of radial dendritic ice crystals were observed, and coalescence  
 243 growth is the main growth mode of ice crystals at this height. The above conclusions  
 244 are consistent with the model simulation results. The simulation results show that the  
 245 ice crystals mainly originate from the desublimation of ice crystals and are mainly  
 246 consumed through automatic transformation into snow. Moreover, the rain mainly  
 247 comes from the melting of snow and graupel, and the cold cloud process is dominant.



248  
 249 Figure 5 Three-dimensional trajectory of aircraft flight on August 16th, 2020.  
 250 (The blue line is a three-dimensional trajectory, the black line is the ground provincial boundary)

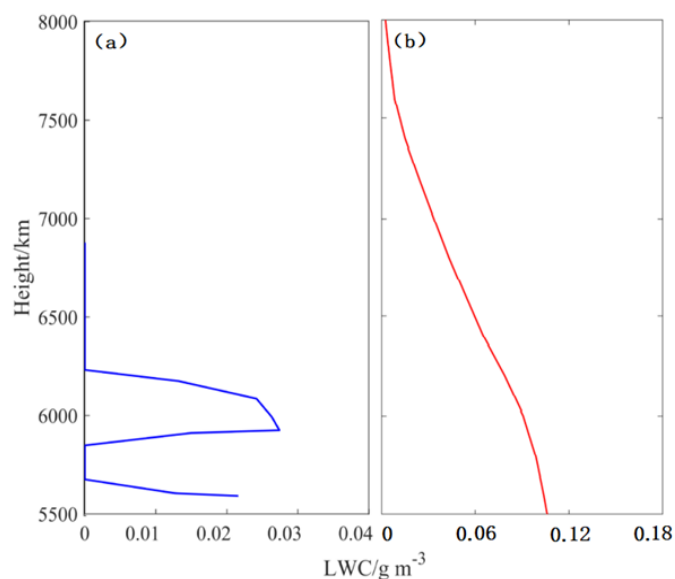


Figure 6 Comparison of liquid water content detected by aircraft with height distribution and simulated cloud water content at 5.6~6.9 km from 09: 32 to 09: 56 on August 16, 2020. ( (a) liquid water content detected by aircraft; (b) simulated cloud water content )

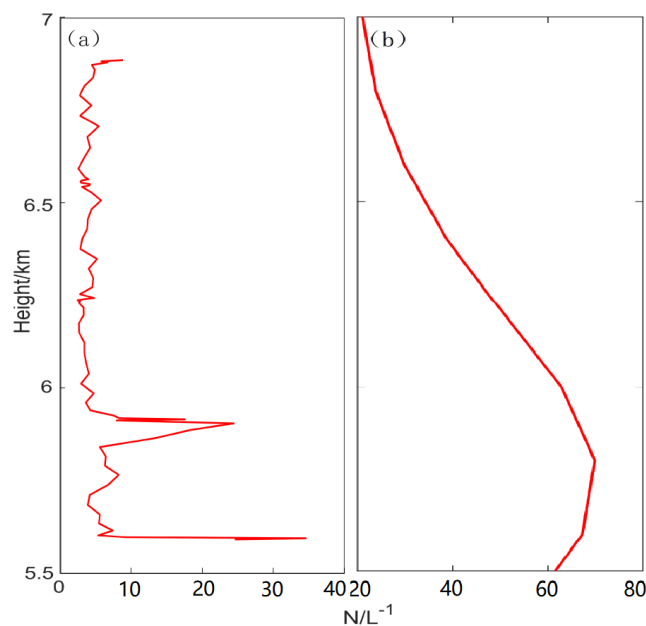
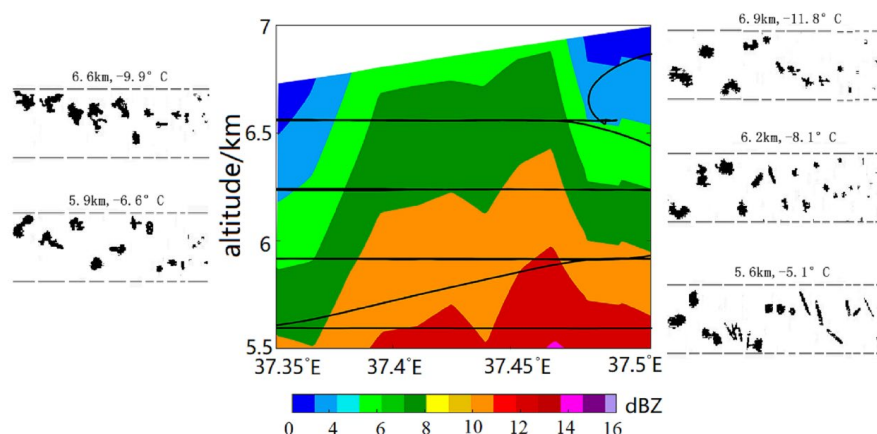


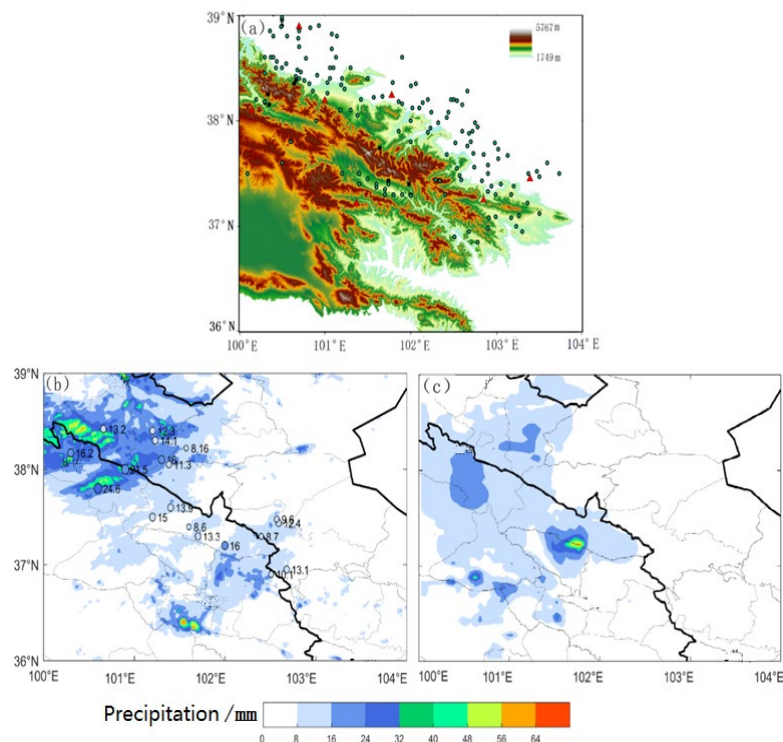
Figure 7 Comparison of CIP particle number concentration detected by aircraft with height distribution and simulated ice crystal number concentration in the area of 5.6~6.9 km from 09: 32 to 09: 56 on August 16th, 2020. ( (a) CIP particle number concentration detected by aircraft, (b) simulated ice crystal number concentration )



260

261 Figure 8 The flight trajectory (solid black line), radar echo distribution (color filling) and typical  
262 ice crystal particle images of the aircraft vertically detected at 5.6~6.9 km from 09: 32 to 09: 56 on  
263 August 16, 2020.

264 Figure 9 shows the spatial distribution of the 24h cumulative precipitation of the  
265 terrain in the d03 area on August 16, 2020, the numerical simulation of the Thompson  
266 scheme, station observations, and CMORPH data. Figure 9(a) shows the topography  
267 and distribution of stations in the d03 area, and Figure 9(b) shows the comparison  
268 between the observed precipitation at the d03 area station and the corresponding  
269 simulated values. The results show that the observed precipitation at the station is  
270 close to the simulated precipitation, and both the simulated and observed precipitation  
271 will increase as the terrain height increases. The climbing of the airflow, that is, the  
272 uplift of the terrain is an important factor affecting the precipitation in this cloud  
273 system. The spatial distribution of CMORPH's 24h cumulative precipitation is shown  
274 in Figure 9(c). It can be seen that the Thompson parameterization scheme can  
275 basically simulate the approximate location of the rain belt and the center of heavy  
276 precipitation, but the simulated rainfall and the range of the rain belt are somewhat  
277 larger than the actual measurement. The measured average value of the heavy  
278 precipitation center range is 25.32 mm, while the simulated heavy precipitation center  
279 range average value is 38.83 mm, which may be related to the error of the model itself,  
280 the influence of complex terrain in the study area, and the selected physical scheme.



281  
282 Figure 9 Comparison between the simulated and observed cumulative precipitation in d03 area on  
283 August 16th, 2020. (a) Distribution of rainfall stations and topography in the study area, (b)  
284 Colored dots denote the differences between simulations and measurements on 16 August 2020 in  
285 different stations. (c) Spatial Distribution of 24-hour cumulative precipitation of CMORPH data.

### 286 3.3 Characteristics of orographic clouds and precipitation in Qilian

#### 287 Mountains

288 The formation of orographic clouds and precipitation is often the result of  
289 multiple mechanisms, such as the uplift and blocking of the prevailing background  
290 airflow by terrain and the effect of orographic gravity waves (Guo et al., 2013). In  
291 addition, because terrains at different heights are heated by solar radiation and cooled  
292 by long-wave radiation, complex mountain-valley wind thermal circulation will be  
293 generated. The role and mechanism of this thermal circulation in the formation of  
294 orographic clouds and precipitation are still unclear. There is a southeast-northwest  
295 valley in the Xining area in the southeast of the Qilian Mountains, with peaks on both  
296 sides, and the maximum height difference between the mountains and the valley can



297 reach more than 1500 m.

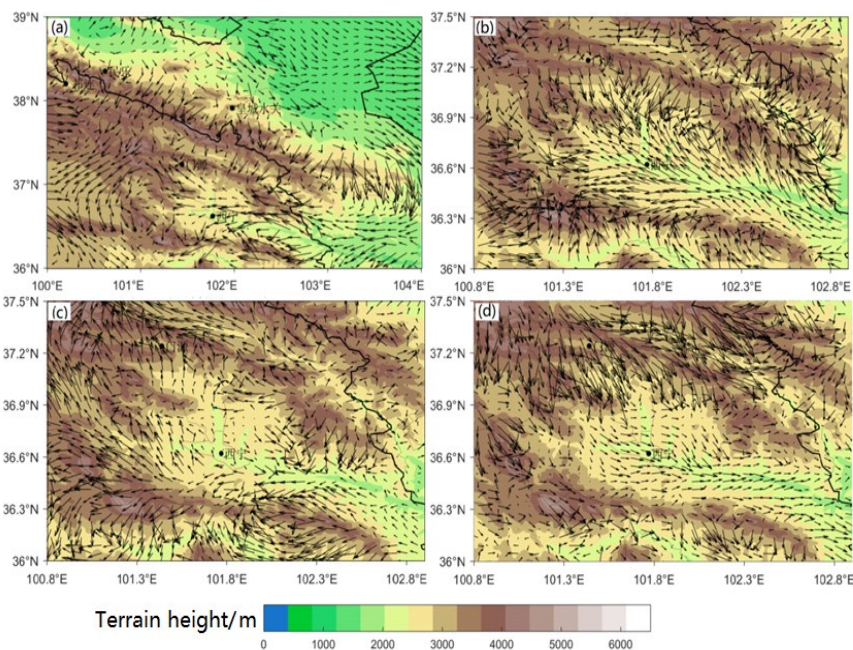
298 In order to reveal the characteristics of the mountain-valley wind circulation in  
299 the Xining area in the southeastern Qilian Mountains, the wind field is averaged for  
300 24 hours (08:00 on August 16th to 08:00 on the 17th) to obtain the 24-hour average  
301 wind field, and then calculate the actual wind field the difference from the mean wind  
302 field (disturbed wind field). When the background circulation is relatively stable, the  
303 disturbance wind field can basically reflect the change of the wind field during the  
304 day and night. From the distribution of background atmospheric circulation shown in  
305 Figure 2, the background wind field in Xining area was relatively stable during  
306 August 16-17, so the change of disturbance wind speed can reflect the diurnal  
307 variation of valley wind circulation to a large extent Condition.

308 The distribution of average wind vectors in area d03 on August 16-17, 2020, and  
309 the horizontal distribution of disturbance wind vectors in area d04 in the afternoon,  
310 evening and early morning are shown in Figure 10. It can be seen that due to the  
311 influence of topography, the average wind in Xining is basically southeast, while the  
312 wind in its surrounding areas is basically northeast (Figure 10a). At 13:00 on August  
313 16 (Figure 10b), due to the effect of solar radiation heating, the mountainous areas  
314 around Xining were basically upslope wind. While the upslope wind plays a dominant  
315 role, the southeast-northwest valley wind is obviously strengthened. At 19:00 in the  
316 evening of the same day (Figure 10c), due to the weakening of solar radiation, the  
317 surface long-wave radiation cooling process began to strengthen, resulting in the  
318 weakening of the upslope wind and the strengthening of the downslope wind. By  
319 22:00, downhill winds were fully dominant. By 02:00 a.m. on August 17 (Figure 10d),  
320 due to the maximum long-wave radiative cooling, strong downslope and mountain  
321 wind outflows can be seen. In summary, even under the background of cloud and  
322 rainfall weather, the valley wind circulation in Xining area still has obvious diurnal  
323 variation characteristics, which shows that the valley wind circulation in Xining area  
324 is relatively strong due to the complex and high terrain. However, the role and impact  
325 of this diurnal variation in the formation of clouds and precipitation is still unknown.





326 The following is an analysis of the role and mechanism of wind circulation in valleys  
327 with complex terrain in the formation of clouds and precipitation according to the  
328 three stages previously divided.



329  
330 Figure 10 Average wind vector distribution in d03 area and disturbance wind vector in d04 area in  
331 the afternoon, evening and early morning on 16-17 August 2020.

332 The horizontal distribution of wind field and terrain height of 10 m on the ground  
333 at 13:00 on 16 August is shown in Figure 11(a). The cross-sectional distribution of AB  
334 line of vertical canyon in Figure 12a is shown in Figure 11(b). The cross-sectional  
335 distribution of CD line of vertical canyon in Figure 11a is shown in Figure 11(c). It  
336 can be seen that there is a strong upwelling wind at the valley bottom, and the sunny  
337 hillside is an uphill wind. This is because the sunny hillside is heated by solar  
338 radiation at a higher rate than the valley bottom, which leads to the decrease of air  
339 pressure on the sunny hillside and the top of the mountain, forming an air pressure  
340 gradient force from the valley to the top of the mountain, leading to the movement of  
341 air flow from the valley to the top of the mountain, thus forming an uphill wind. It can  
342 be seen that the formation of clouds and precipitation in the afternoon is mainly



343 caused by the strong solar radiation heating on the hillside during the day, and the  
344 strong upper valley wind circulation is conducive to strengthening the warm and  
345 humid airflow flowing through the canyon. When the warm and humid airflow meets  
346 the uplift of the hillside, it forms a convective echo under the unstable atmospheric  
347 stratification condition. From the profile distribution of AB line and CD line of  
348 vertical canyon, it can be seen that the vertical airflow velocity (color filling) presents  
349 positive and negative alternating distribution, which is a typical distribution feature of  
350 topographic gravity waves (Guo Xin et al., 2013), which is caused by the propagation  
351 of topographic gravity waves caused by the collision between strong upper valley  
352 wind and terrain. It can be seen that the local circulation caused by plateau convection  
353 in the afternoon is complicated. In addition to the uplift of the upper valley wind flow  
354 by the hillside, the topographic gravity wave excited by the collision of the strong  
355 upper valley wind and the windward hillside also plays an important role, forming a  
356 deep convective precipitation process under the unstable atmospheric stratification  
357 conditions.

358 It can be seen that the formation of clouds and precipitation in the afternoon  
359 mainly occurs on the windward slope of the upper valley wind. Due to the strong solar  
360 radiation heating on the sunny hillside, the upper valley wind is strengthened after the  
361 formation of the uphill wind, and the strengthened upper valley wind is lifted after  
362 meeting with the windward slope, and the collision excites topographic gravity waves.  
363 In addition, the rapid heating of the sunny mountain range will also form a jet stream  
364 from the shady mountain range to the sunny side, which is beneficial to the further  
365 expansion and development of the clouds formed on the hillside. Under the condition  
366 of unstable atmospheric stratification, the main manifestation of clouds is convection.

367 The horizontal distribution of wind field and terrain height of 10 m on the ground  
368 at 19:00 on 16 August is shown in Figure 12(a). The cross-sectional distribution of  
369 AB line of vertical canyon in Figure 12a is shown in Figure 12(b). The cross-sectional  
370 distribution of CD line of vertical canyon in Figure 12a is shown in Figure 12(c). It  
371 can be seen that compared with the afternoon stage, the original upper valley wind is  
372 obviously weakened, and the reverse valley wind (lower valley wind) appears in some



373 canyons. Because the radiation cooling process of mountains plays a leading role, the  
374 downhill wind along the background prevailing wind side is more obvious, while the  
375 downhill wind against the background prevailing wind has a counteracting effect, and  
376 the downhill wind performance is not obvious. The convergence of downhill winds at  
377 the bottom of the valley has an obvious uplift effect, which promotes the development  
378 of clouds over the bottom of the valley, and the structure of clouds changes from  
379 afternoon convection to shallow convection and stratiform clouds.

380 The horizontal distribution of wind field and terrain height of 10 m on the ground  
381 at 02:00 on 17 August is shown in Figure 13(a). The cross-sectional distribution of  
382 AB line of vertical canyon in Figure 13a is shown in Figure 13(b). The cross-sectional  
383 distribution of CD line of vertical canyon in Figure 13a is shown in Figure 13(c).  
384 Figure 13a shows that the canyon area is basically downwind, and the downhill wind  
385 is dominant in the mountainous areas on both sides. A wide range of radar reflectivity  
386 has covered mountains and canyons. According to the vertical profile of AB (Figure  
387 13b), the vertical structure of radar reflectivity mainly shows mixed clouds with a  
388 distribution height of 9 km, and the strong center is mainly distributed over the valley,  
389 which is related to the convergence and uplift of strong downhill wind at the valley  
390 bottom. Figure 13c shows that the northwest-southeast valley wind (mountain wind)  
391 in the lower level is obvious, and the cloud development over the canyon is relatively  
392 deep.

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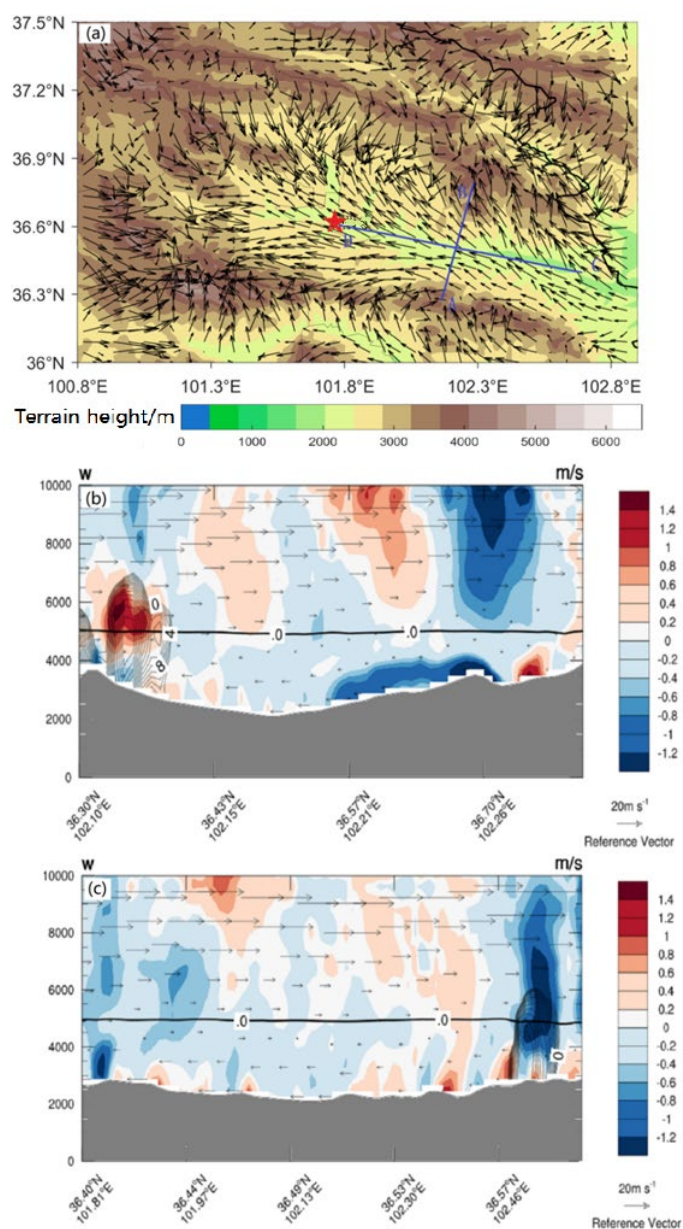


Figure 11 (a) horizontal distribution of perturbation wind vector at 10 m, and terrain height (color shaded) at 13:00 on 16 August 2020, (b) cross section of reflectivity (dBZ, solid lines), perturbation wind vector, vertical velocities (color shaded, m/s) and terrain (grey shaded) along AB line in Figure 11a, (c) same as in (b) but for that along CD line. Black solid line is the temperature of 0°C at 13:00 on 16 August 2020. The red pentagram is the position of Xining station.



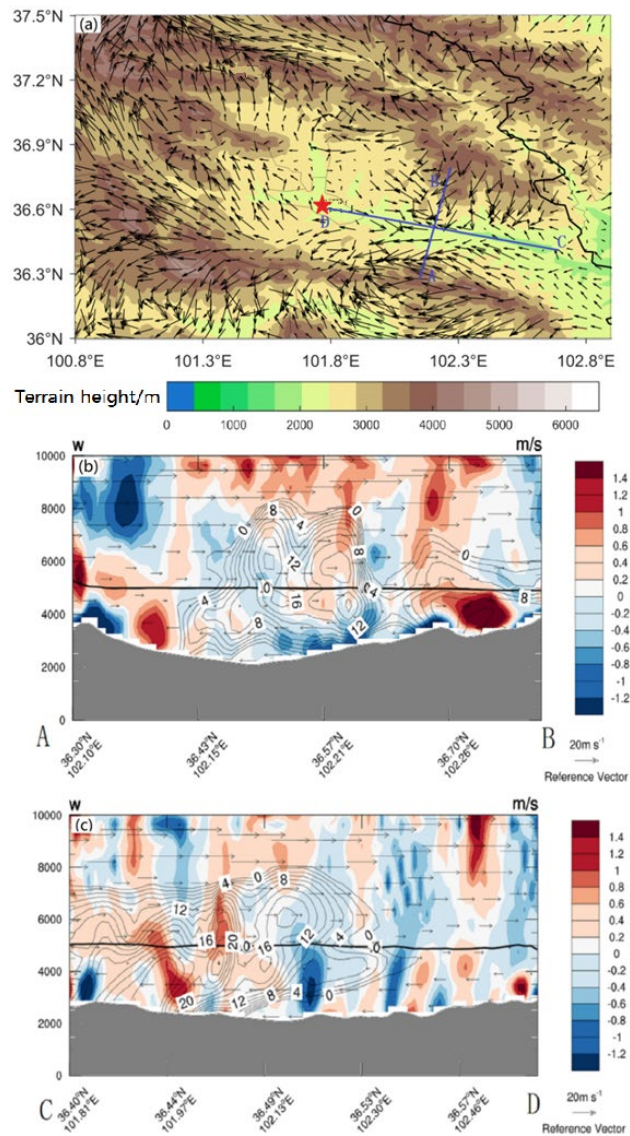


Figure 12: (a) horizontal distribution of perturbation wind vector at 10 m, and terrain height (color shaded) at 19:00 on 16 August 2020, (b) cross section of reflectivity (dBZ, solid lines), perturbation wind vector, vertical velocities (color shaded, m/s) and terrain (grey shaded) along AB line in Figure 12a, (c) same as in (b) but for that along CD line. Black solid line is the temperature of 0°C at 19:00 on 16 August 2020. The red pentagram is the position of Xining station.

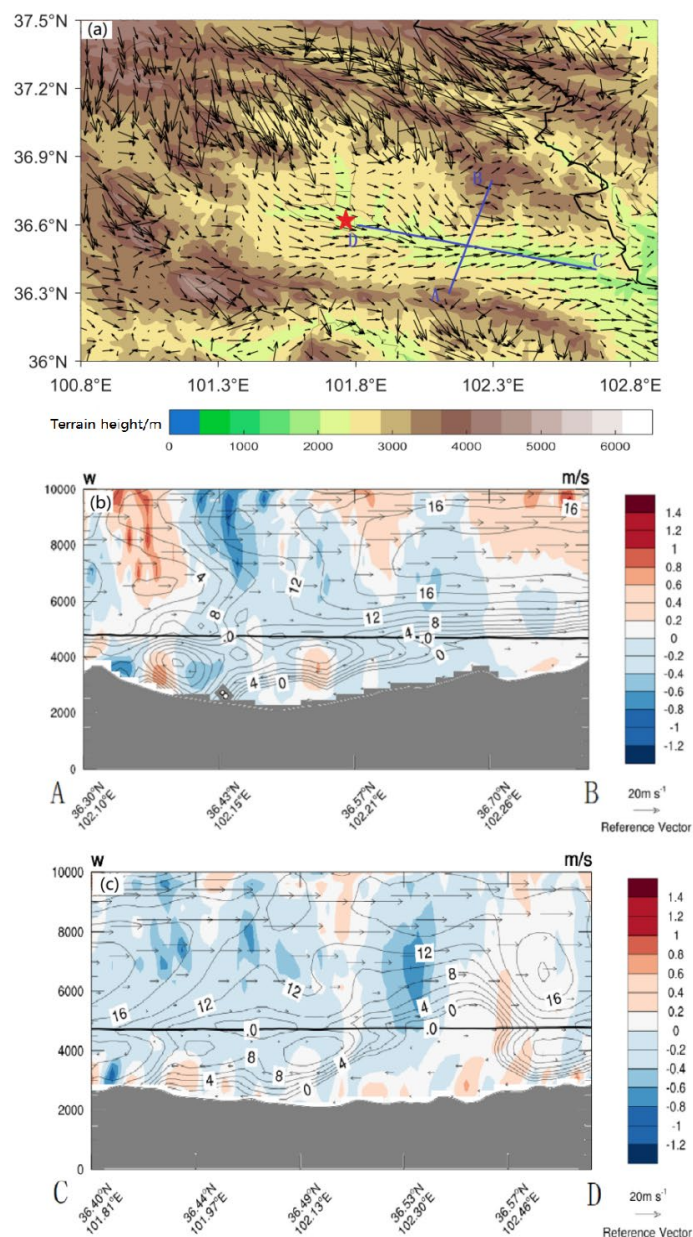


Figure 13 (a) horizontal distribution of perturbation wind vector at 10 m, and terrain height (color shaded) at 00:00 on 17 August 2020, (b) cross section of reflectivity (dBZ, solid lines), perturbation wind vector, vertical velocities (color shaded, m/s) and terrain (grey shaded) along AB line in Figure 13a, (c) same as in (b) but for that along CD line. Black solid line is the temperature of 0°C at 00:00 on 17 August 2020. The red pentagram is the position of Xining station.

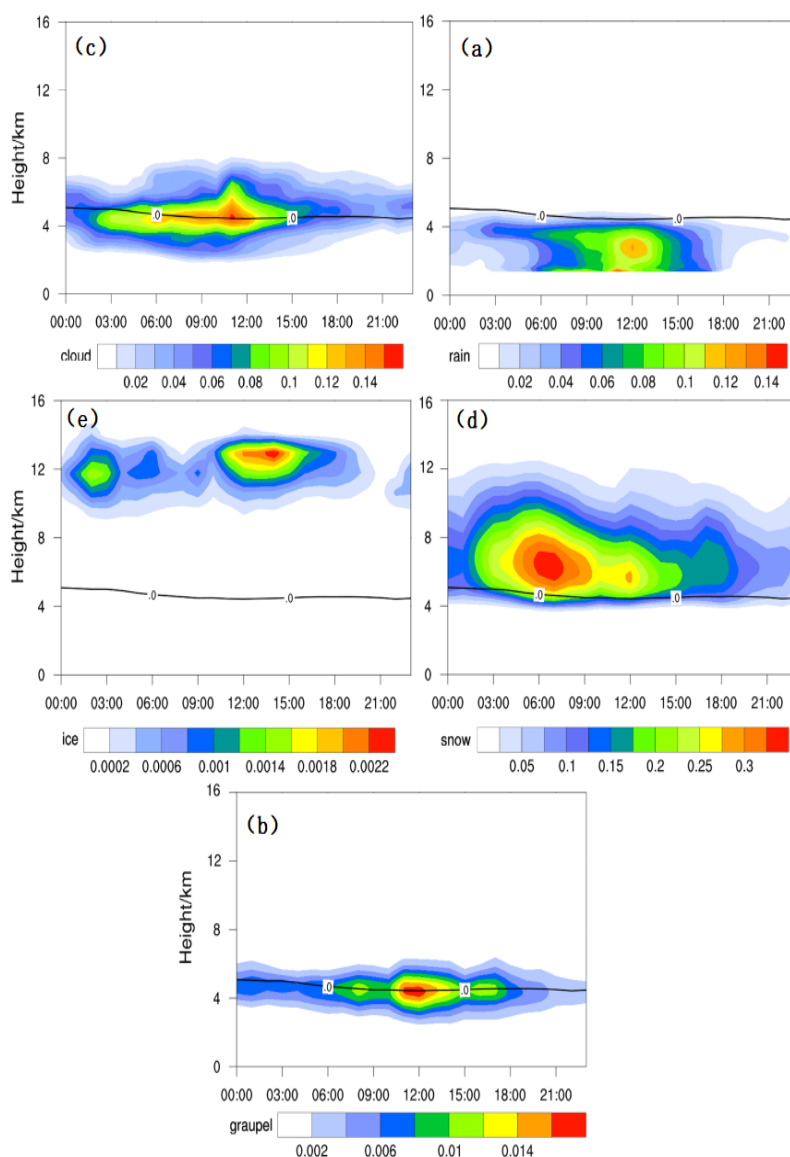


### 424 **3.4 Properties of cloud microphysics and transformation processes**

425 During the development of topographical clouds, topography plays a very  
426 important role. It not only affects the macroscopic structure and characteristics of  
427 clouds, but also largely affects the microphysical structure characteristics of  
428 precipitation development in clouds(Qi et al., 2019). The following is a quantitative  
429 analysis of cloud microphysical processes and transformation characteristics in  
430 different stages.

431 Figure 14 shows the evolution of the regional average values of the specific water  
432 content of the five types of water in the d03 region on 16 August 2020, including  
433 cloud water, rainwater, ice crystals, snow and graupel particles, over time. It can be  
434 seen that around 12:00 on 16 August, the content of supercooled cloud water reached  
435 0.14g/kg, and the distribution height was between 4 km and 8 km. At this time, the  
436 local heat convection developed relatively vigorously. At the same time, it was found  
437 that the content of supercooled rainwater and graupel particles in the current stage is  
438 also relatively high, up to 0.12 g/kg and 0.2 g/kg respectively, but the content of snow  
439 is relatively small, and there are almost no ice crystals in the upper layer (or only the  
440 water content is lower than 0.01g/kg of trace ice crystals), indicating that the warm  
441 cloud process has a major contribution to the precipitation in the current stage. From  
442 the cloud microphysical height-time distribution from evening to early morning, cloud  
443 water, rain, and graupel content all weakened, but snow content increased  
444 significantly, indicating that the process of melting snow into rain increased  
445 significantly. It can be seen that the microphysical characteristics in the afternoon are  
446 mainly characterized by convective clouds, and the warm cloud process is dominant.  
447 From the evening to the early morning, it is mainly characterized by weak convection  
448 or stratiform clouds, indicating that the uplift of the terrain has promoted the  
449 enhancement of the microphysical processes of the ice phase, and the cold cloud  
450 process is dominant.





451

452 Figure 14 Evolution of regional average value of aquatic products with time height on 16 August  
453 2020 (unit: g/kg, black isoline is 0 C line). (a) cloud water, (b) rain, (3) ice crystals, (d) snow and  
454 (e) graupel particles.

455 In order to further analyze the cloud microphysical transformation processes in  
456 different stages, the source and sink items of each hydrometeor are quantitatively  
457 analyzed.



458 Figure 15 shows the evolution of source and sink items of rainwater, cloud water,  
459 ice crystals, snow and graupel in d03 area with time, Figure 16 shows the vertical  
460 distribution profile of source and sink of aquatic products in d03 area, table 3  
461 describes the main cloud microphysical processes, and tables 4 to 6 show the  
462 quantitative proportion of source and sink items of corresponding aquatic products in  
463 different stages. It can be seen that the growth of graupel particles in the afternoon  
464 thermal convection stage mainly depends on the collision and growth of graupel  
465 embryo with Leng Yun water (Prggcw), accounting for 34.5%. It includes the warm  
466 rain process in which 30.3% of rainwater meets and collects cloud water (Prrrcw),  
467 which plays a very important role in this stage, forming a large number of  
468 supercooled raindrops and providing the source of graupel embryo. In addition, the  
469 graupel particle melting process (Prrgml) at this stage is also an important source of  
470 rainwater, accounting for 23.6%. The role of snow particles in this stage is not  
471 obvious compared with 92.6% in other stages, but it still accounts for 35.6%. It is  
472 speculated that it may be the result of the high altitude and perennial snow in Qilian  
473 Mountain.

474 The process of cloud microphysical transformation is similar in the evening and  
475 early morning, and the transformation rate (Prgscw) of snow particles colliding with  
476 cloud and water is significantly increased, with the average proportion increasing  
477 from 39.6% to 85.6%. As a result, snow melting process (Prrsml) becomes the main  
478 source of rainwater, accounting for 92.6%, followed by the melting of graupel  
479 particles, accounting for 5.3%, and the warm rain process in which rainwater meets  
480 and collects cloud water, accounting for 0.9%. The proportion of graupel particles  
481 melting into rainwater decreased obviously, from 23.6% to 5.3%.

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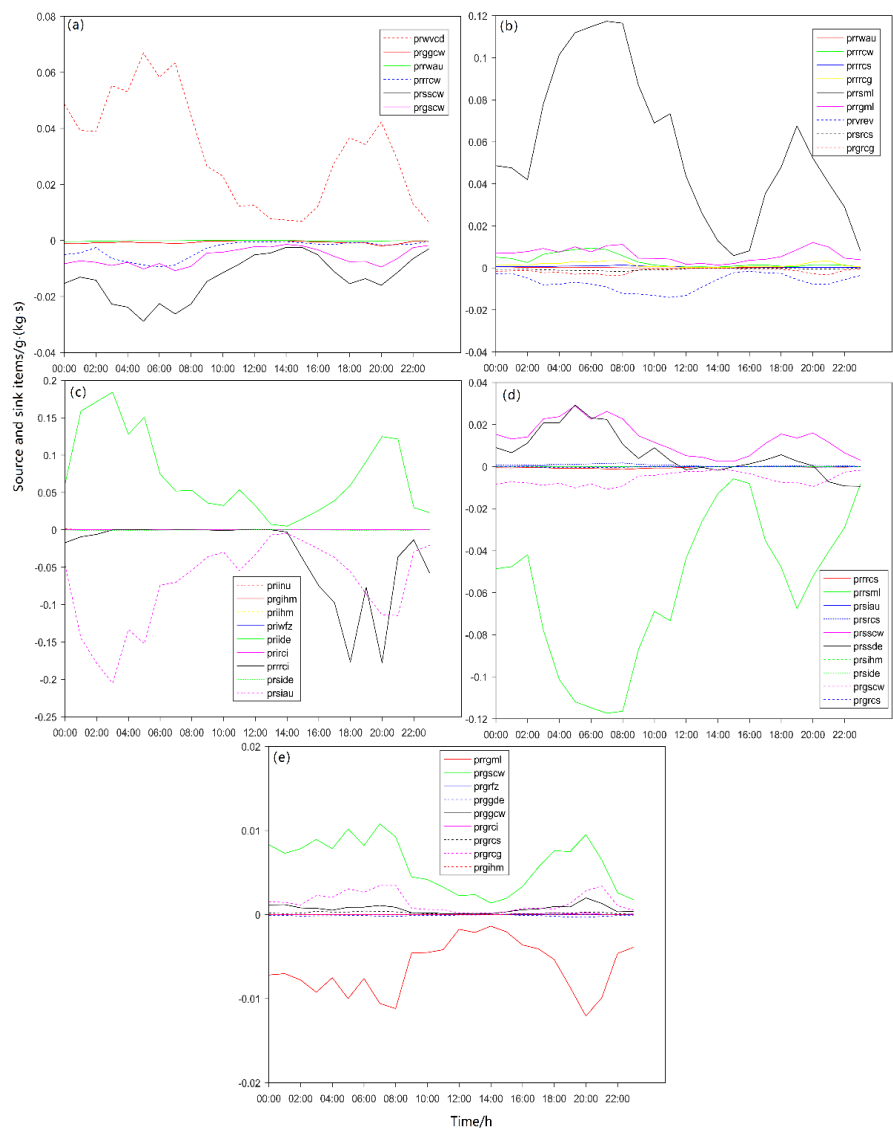
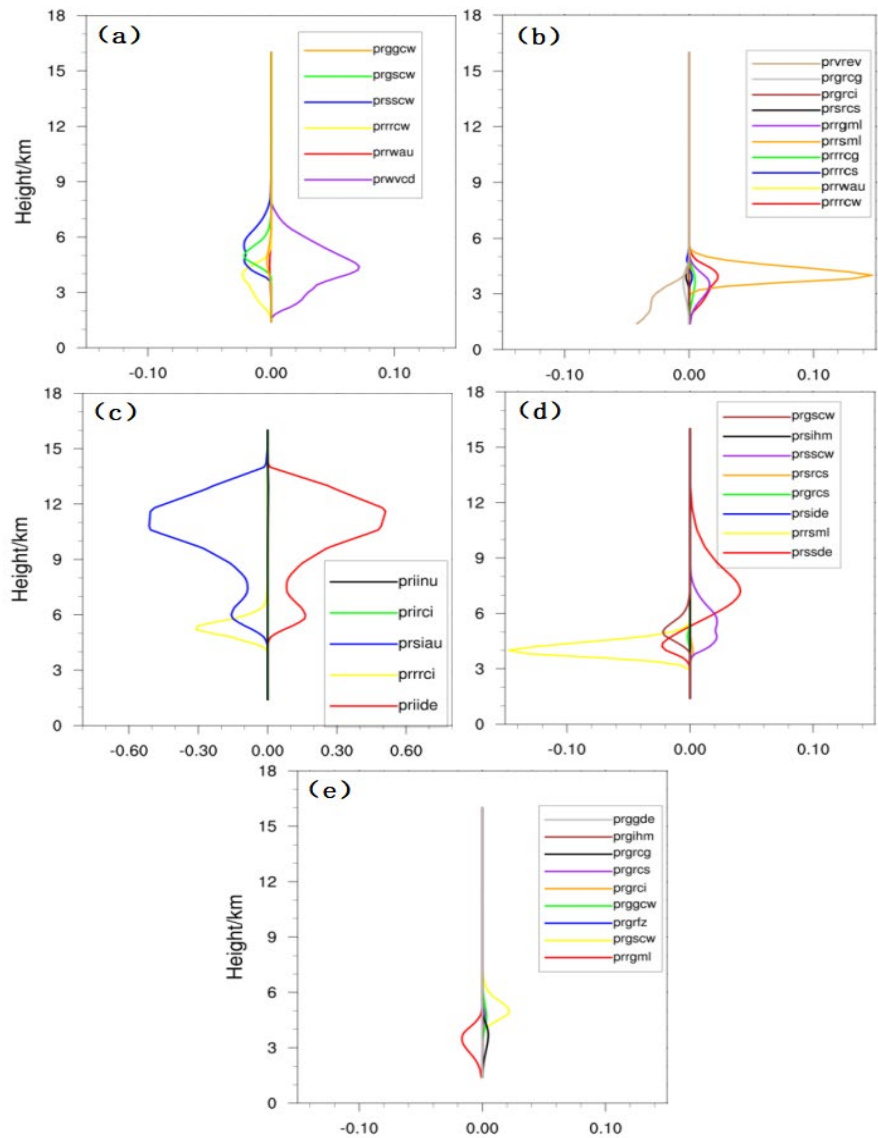


Figure 15 Variation of source and sink items of various aquatic products with time in d03 area on 16 August 2020 (unit 10-g/(kg s))  
(a) cloud water, (b) rain water, (c) ice crystals, (d) snow, (e) graupel particles)



488  
489 Figure 16 Vertical profile of source and sink items of aquatic products in d03 area on 16 August  
490 2020. cloud water, (b) rain water, (c) ice crystals, (d) snow, (e) graupel particles)

491

492 **4 Discussion**

493 Compared with previous studies on the formation mechanism of terrain cloud  
494 precipitation, the role of valley wind circulation in the formation and evolution of



495 terrain clouds is more consistent, all of which have diurnal variation characteristics  
496 (Rotunno et al. (2003), Woods et al. (2005), Tang et al. (2019), Zhang et al.(2021),  
497 Qi et al. (2022)) . However, in the Qilian Mountains, due to its unique terrain and  
498 landforms, even under cloud and precipitation conditions, the complex and tall terrain  
499 still generates strong valley wind circulation, and shows more obvious diurnal  
500 variation characteristics.

501 Meanwhile, compared with previous studies on the microphysical structure  
502 characteristics of precipitation development within terrain clouds, with the uplift  
503 effect of terrain, the microphysical processes of ice phase will be enhanced, and the  
504 characteristics of microphysical structure will shift from warm cloud processes  
505 dominating to cold cloud processes dominating (Shao et al. (2013), Cheng et al.  
506 (2021), Guo et al. 2013, Zhu et al. (2015)) . However, there are certain differences in  
507 the specific changes in cloud microphysics in the Qilian Mountains compared to  
508 previous studies, and the reason for this difference may be due to its unique terrain  
509 and landforms.

## 510 **5 Conclusions**

511 This paper uses the observation data of weather radar, aircraft, station  
512 precipitation, etc. from the second scientific expedition to the Qinghai-Tibet Plateau  
513 and the artificial weather modification project in the northwest region combined with  
514 the WRF mesoscale numerical model. The role of valley wind circulation in the  
515 orographic cloud precipitation process during 16-17 August 2020 in the Xining region,  
516 southeast of the Qilian Mountains was studied, and the cloud microphysics and its  
517 transformation process were quantitatively studied.

518 (1) The precipitation process was caused by the passage of the westerly  
519 trough, and was affected by the warm and humid southwest airflow and  
520 cold air in front of the trough, resulting in precipitation in the study area.  
521 Through the comparison of station precipitation, weather radar, aircraft  
522 detection and other data with the simulated results, it was found that the  
523 model can basically simulate the approximate location of the rain belt and  
524 the heavy precipitation center in the Qilian Mountains, and the observed  
525 and simulated radar echo changes are relatively consistent. The ice crystal



526 number concentration and liquid water content simulated by the model are  
527 basically consistent with the observation results at the maximum height.

528 (2) The aircraft detection and simulation results show that the ice crystals  
529 mainly come from the desublimation of ice crystals, and are mainly  
530 consumed through automatic conversion into snow. The rain mainly  
531 comes from the melting of snow and graupel, and the cold cloud process  
532 is dominant.

533 (3) The local valley wind circulation in the Qilian Mountains plays an  
534 important role in the formation and evolution of clouds and precipitation.  
535 In the afternoon, due to the strong solar radiation heating in the mountains,  
536 firstly, there is an obvious upslope wind on the sunny side of the  
537 mountains, and the wind in the uphill valley is strengthened, and the  
538 mountain slopes are blocked and lifted, and strong gravity waves are  
539 stimulated, resulting in strong convective clouds and precipitation. In the  
540 evening, due to the strong long-wave radiation cooling effect of the  
541 mountains, downslope winds are generated, and the downslope winds  
542 converge and lift at the bottom of the valley, which promotes the  
543 development of weak convection and stratiform clouds over the valley,  
544 and produces heavy precipitation. In the early morning, the downslope  
545 wind reaches its strongest, and the downhill wind (mountain wind) is  
546 strengthened, which produces a strong uplift effect at the bottom of the  
547 valley, resulting in deep stratiform cloud precipitation.

548 (4) The topography of the Qilian Mountains affects the microphysical  
549 structure characteristics of precipitation development in clouds. The  
550 microphysical characteristics in the afternoon are mainly characterized by  
551 convective clouds, and the warm cloud process is dominant. The  
552 microphysical process of convective clouds is mainly manifested by the  
553 high content of graupel particles. The source of rainwater is mainly the  
554 warm rain process of rainwater colliding with and collecting cloud water  
555 and the melting process of graupel particles, accounting for 30.3% and  
556 23.6% respectively. From the evening to the early morning, it is mainly  
557 characterized by weak convection or stratiform clouds, indicating that the  
558 uplift of the terrain has promoted the enhancement of the microphysical



559 processes of the ice phase, and the cold cloud process is dominant. The  
560 microphysical structure of weak convective clouds and stratiform clouds  
561 in the evening and early morning is similar, mainly due to the high content  
562 of snow particles, and the melting of snow is the main source of rainwater,  
563 accounting for 92.6%;

564

565 **Author contribution:** LK, XW, and JR planned the campaign; WM, ZS, WZ and JR  
566 performed the measurements; JR, XW analyzed the data; JR wrote the manuscript  
567 draft; XW, WM, LK, and ZS reviewed and edited the manuscript.

568

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570

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575

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578 Atmospheric Research (NCAR). The FNL data are available at  
579 <https://rda.ucar.edu/datasets/ds083.2/>.

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