

Signatures of aerosol-cloud interactions in GiOcean: A coupled global reanalysis with two-moment cloud microphysics

Ci Song¹, Daniel McCoy¹, Andrea Molod², Donifan Barahona², and Travis Aeronson¹

¹Department of Atmospheric Science, University of Wyoming, Laramie, WY, USA

²Global Modeling and Assimilation Office, NASA Goddard Space Flight Center, Greenbelt, MD, USA

Correspondence: Ci Song (csong@uwyo.edu)

Abstract. Aerosols ~~in the atmosphere affect top-of-atmosphere radiation~~ influence the Earth's radiative balance through direct interactions with radiation and by affecting cloud properties. ~~Through aerosol-cloud interactions (ACI), and ensuing adjustments, anthropogenic~~ Anthropogenic aerosols have led to cooling during the industrial era through aerosol-cloud interactions (ACI), including aerosol effects on cloud microphysical properties and the subsequent adjustments. However, ~~there is substantial~~ uncertainty in our global models regarding the cooling driven by ACI large uncertainties remain in Earth system models (ESMs) regarding the magnitude of this cooling. In part, ~~global models are subject to substantial disagreement in terms of~~ ESMs substantially disagree on cloud properties, ~~thermodynamic state, thermodynamics, the~~ hydrological cycle, and general circulation. Reanalysis provides a useful avenue for exploring the impact of ACI on clouds and radiation because its atmosphere is ~~nudged to observations of these quantities, but until now reanalyses have not included two-moment microphysics coupled~~ to aerosols forced to match realistic conditions through the assimilation of observations. Here, we explore the impact of ACI on clouds in the GiOcean ~~reanalysis-reanalysis -~~ the first to incorporate aerosol-cloud adjustments. ~~We develop source-sink models of ACI in GiOcean and contrast these to~~ interactions. We contrast variables important for ACI between GiOcean and satellite observations and ~~allow attribution of~~ develop 2-dimensional lookup tables of ACI for both using a source-sink budget perspective to attribute the changes in cloud droplet number (Nd) and liquid water path (LWP) to aerosol and meteorology. A compositing analysis using lookup tables shows that GiOcean captures key aspects of aerosol-cloud-precipitation interactions, including (1) activation of aerosol into cloud droplets, (2) effective precipitation scavenging of Nd, (3) suppression of precipitation by high Nd in regions with heavy aerosol emissions. In contrast, satellite observations do not exhibit clear patterns for processes (2) and (3). Random Forest analysis shows that interannual variability in Nd and LWP over the Northern Hemisphere ocean in GiOcean is primarily driven by precipitation, consistent with satellite observations.

1 Introduction

Climate change is driven by imbalances in Earth's energy budget, known as climate forcings, which result from changes in atmospheric composition (e.g., greenhouse gases, aerosols, ozone, stratospheric water vapor) and in surface properties such as surface albedo (Smith et al., 2021). Among these, the net effect of anthropogenic aerosols on Earth's energy budget (aerosol radiative forcing) remains one of the largest uncertainties in our projections of future warming (Bellouin et al., 2019; Watson-Parris and Smith, 2020).

25 The change in reflected solar radiation due to anthropogenic emissions of aerosols (e.g., aerosol radiative forcing) is largely uncertain due to the complex effects that aerosols can have on climate (Bellouin et al., 2019). Aerosols affect the ~~top-of-atmosphere radiation by different~~ Earth's radiation balance in several ways. Aerosol alters the Earth's energy budget directly by scattering and absorption of radiation, termed ~~as aerosol radiation interaction~~ aerosol-radiation interactions. Aerosol can affect climate indirectly ~~by through~~ aerosol-cloud interactions (ACI) by 1) modifying cloud microphysical properties, 30 and ~~altering their reflectivity, termed as~~ thereby altering cloud reflectivity, known as the Twomey effect (Twomey, 1977), and 2) by altering macrophysical properties induced by changes in cloud microphysics ~~(?)~~ (Ackerman et al., 2004), such as cloud lifetime, precipitation formation and cloud cover, ~~denoted~~. This effect is referred to as aerosol-cloud adjustment (Albrecht, 1989; Bretherton et al., 2007). ACI and ensuring adjustment adjustments (Albrecht, 1989; Bretherton et al., 2007) The combined radiative forcing from the Twomey effect and aerosol-cloud adjustment is referred to as the effective radiative 35 forcing due to ACI (Bellouin et al., 2019). ACI have led to cooling during the industrial era, ~~termed as aerosol indirect forcing~~, but the degree to which ACI have affected the Earth's energy budget remains ~~the largest uncertainty~~ uncertain (Bellouin et al., 2019).

~~We can infer climate sensitivity from the observed temperature record and the historical radiative forcing. Due to the uncertainty in climate sensitivity engendered by ACI, numerous researchers have attempted to study ACI using observations and modeling techniques. Observations of aerosol, clouds, precipitation and radiation flux at the top of atmosphere (TOA) necessary to study ACI, are available from various of surface sites, airborne and remote sensing measurements. Modeling at a variety of scales is needed as it bridges the gap between Global Climate Models (GCMs) and observations through intermediate scales from convection-permitting and eddy-resolving simulations. However, both observations and modeling suffer from uncertainties. In-situ observations are sparse in sampling within a complex and chaotic system (Field and Furtado, 2016). Spaceborne remote sensing can retrieve both aerosol and cloud properties with nearly global coverage, but they must do this indirectly using remote sensing whose retrievals are typically based on averaged conditions for which the algorithms used for deriving aerosol and cloud properties are not always valid (?). On the other hand, GCMs do not have problems in sampling in terms of time and space but their representation of cloud, aerosol, precipitation, and other processes that are important to ACI is parameterized and may be missing key processes altogether (Regayre et al., 2023).~~

50 The uncertainty in ACI forcing arises not only from the understanding of the complexity of ACI processes, but also from how aerosols and clouds are represented in Earth system models (ESMs). Cloud microphysical processes are hard to represent in ~~GCMs~~ ESMs as these processes are small in scale ($\sim \mu\text{m}$) ~~and GCMs (1°), and ESMs ($\sim 100 \text{ km}$) cannot resolve these small, fast processes~~. ~~Parameterization of cloud microphysics is needed in GCMs for the foreseeable future. Representing billions of individual raindrop or ice crystal clouds in GCMs is difficult due to the excessive computational expenses. Therefore,~~ 55 ~~cloud microphysics parameterizations in GCMs are simplified to 'bulk' schemes, assuming a fixed mathematical form for the particle size distributions. Bulk microphysics schemes use one or more "moments" of the particle size distribution (PSD) to describe the hydrometeors. A one-moment scheme usually predicts~~ dynamically (Liu and Kollias, 2023; Morrison et al., 2020), so parameterizations are necessary to describe these physical processes. Most ESMs use simplified "bulk" schemes (Morrison and Gettelma . One-moment schemes typically predict only the mass ~~concentration of cloud droplets and ice crystals with unchanged prescribed~~

60 distribution of number concentration or effective radius of cloud particles (e.g., droplets and crystals). However, the evolution of
droplet number concentration and droplet size distribution with aerosol perturbations is not captured by one-moment microphysics
scheme. In reality, increase in aerosol particles typically leads to more but smaller cloud droplets, given the same amount of
cloud water and the increasing number of smaller droplets reflect more solar radiation back to space due to increased scattering
cross section, leading to a cooling effect on the Earth's surface (Twomey, 1977). Therefore, the lack of representation of droplet
65 number concentration and effective radius in one-moment schemes results in the less robust interaction between aerosols
and clouds in models, and by extension the representation of aerosol indirect forcing. of hydrometeors and cannot capture
aerosol-driven changes in droplet number or size, limiting their ability to simulate ACI. Two-moment schemes predict both
the mass and the number concentration of cloud droplets and ice crystals using prognostic equations, and the evolution of the
cloud particle size distribution is explicitly calculated, which provides a linkage between aerosol emissions and cloud properties
70 by activation of cloud droplet and ice nucleation (Barahona et al., 2014b). Therefore, the impact of atmospheric aerosols on
clouds can be explicitly represented in GCMs. Many GCMs improve this by prognosing both mass and number concentrations,
enabling explicit responses of cloud microphysics to aerosol perturbations (Twomey, 1977; Barahona et al., 2014b). Many
ESMs have implemented the two-moment microphysics scheme into cloud presentations and showed improved representa-
tion of cloud properties (Ghan et al., 1997; Lohmann et al., 1999; Ming et al., 2007; Barahona et al., 2014b; Morrison and
75 Gettelman, 2008).

The radiative forcing from aerosol has a large spatial variability; regionally it can be either positive or negative (IPCC, 2013).
Several factors contribute to such a heterogeneity. Aerosol have a shorter lifespan in the atmosphere than greenhouse gases, of
the order of few days to about two weeks. Despite this, they may be transported around the globe and interact with clouds and
radiation far away from their sources (Uno et al., 2009). Over this time their composition may change due to the interaction with
80 local pollution sources and from oxidation processes. When aerosol particles reach pristine regions in the North Atlantic and the
Pacific oceans, away from their emission sources, they may substantially impact the regional climate (Fan et al., 2016). Their
emission rate changes over time, with marked seasonal cycles (McCoy et al., 2017; Kasibhatla et al., 1997), and long-term
decadal trends (Bellucci et al., 2015; McCoy et al., 2018a). Volcanic events and even policy decisions (Yuan et al., 2024) add
variability to the atmospheric aerosol concentration (Bellucci et al., 2015). It is known that over the time scale of days to
85 months, aerosols have an observable, local effect on clouds and radiation (Fan et al., 2016; ?). These effects can result in
persistent radiative flux and cloud property anomalies, strong enough to modify large scale atmospheric patterns (Morecrette et al., 2011; Bel
=

The Despite the advances in the representation of cloud microphysics in ESMs, the interaction of aerosol with climate is
typically clouds is always neglected in operational forecasting systems and climate reanalyses. In reanalyses that include an
90 aerosol representation, a carefully crafted aerosol climatology is allowed to interact with radiation as a way of representing the
aerosol direct effect, however neglecting ACI; however, interactions with clouds are neglected. (e.g., Bozzo et al., 2020). This
approach has shown to improve the prediction of the African Easterly Jet (Tompkins et al., 2005) and tropical cyclogenesis
(Reale et al., 2014). On the other hand, Zhang et al. (2016a) showed that numerical weather prediction (NWP) systems using
aerosol climatologies overestimated surface temperature during a strong biomass burning event, whereas models with prognos-

95 tic aerosols showed the correct surface cooling. In some cases the usage of aerosol climatologies may lead to degradation of the forecast skill, since without the feedback between aerosol and meteorology, anomaly centers associated with aerosol emissions become permanent, imprinting spurious temperature gradients that perturb global circulation (Morcrette et al., 2011). Ekman (2014) suggested that the explicit representation of ACI in ~~climate-models~~ ESMs improves the simulation of the historical surface temperature trend. This has been further shown during dust storms over Europe and ~~north~~ North Africa where neglecting

100 dust emissions and their effect on clouds can lead to overestimation of surface temperature in NWP (Bangert et al., 2012). Aerosol effects have been shown to play a significant role in the modulation of dust transport by the Madden Julian Oscillation (MJO) (Benedetti and Vitart, 2018) as well on hurricane development (Nowottnick et al., 2018). Given all of these potential interactions between aerosol and climate, there is a growing consensus that ACI must be represented in weather, seasonal forecasting models, and climate reanalyses (Board et al., 2016).

105 Furthermore, including a realistic representation of aerosols and clouds in reanalysis is particularly important given the strong spatial variability in aerosol radiative forcing, which can be either positive or negative depending on the region (Smith et al., 2021). Several factors contribute to such a heterogeneity. Aerosols have a shorter lifespan in the atmosphere than greenhouse gases, of the order of few days to about two weeks. Despite this, they may be transported around the globe and interact with clouds and radiation far away from their sources (Uno et al., 2009). Over this time their composition may change due to the interaction with local pollution sources and from oxidation processes. When aerosol particles reach pristine regions in the North Atlantic and the Pacific oceans, away from their emission sources, they may substantially impact the regional climate (Fan et al., 2016). Their emission rate changes over time, with marked seasonal cycles (McCoy et al., 2017; Kasibhatla et al., 1997), and long-term decadal trends (Bellucci et al., 2015; McCoy et al., 2018a). Volcanic events and even policy decisions (Yuan et al., 2024) add variability to the atmospheric aerosol concentration (Bellucci et al., 2015). It is known that over the time scale of days to

115 months, aerosols have an observable, local effect on clouds and radiation (Fan et al., 2016; Breen et al., 2021). These effects can result in persistent radiative flux and cloud property anomalies, strong enough to modify large-scale atmospheric patterns (Morcrette et al., 2011; Bellucci et al., 2015; Ekman, 2012).

This study introduces a new coupled reanalysis dataset - GiOcean, which incorporates two-moment microphysics scheme for stratiform and convective clouds, enabling the explicit representation of ACI (Barahona et al., 2014b; Molod et al., 2020).

120 We focus on evaluating the impact of ACI in warm clouds by comparing it with observations of clouds, precipitation, and aerosol during periods of substantial emission changes over a multidecadal time scale. ~~Cloud droplet number concentration (Nd) and liquid water path (LWP) are two important microphysical and macrophysical cloud properties in evaluating ACI (Bellouin et al., 2019). Look up tables of Nd and LWP as a function of their sinks and sources are built up for both GiOcean reanalysis data and remote sensing observations, respectively. Sensitivity tests are applied for the look up tables of Nd and LWP by forcing their sources and sinks a constant, respectively. While the large scale meteorological aspects of GiOcean will be analyzed in future studies, we show that GiOcean allows for the assessment of the sensitivity of key ACI variables (e.g., Nd and LWP) to their sinks and sources relative to remote sensing observations.~~

2 Methods

2.1 ~~The GiOcean Coupled Reanalysis~~

130 ~~GiOcean is a "one-way coupled" reanalysis that spans from 1998 to the present, with a time lag of approximately six months~~

2.1 The GiOcean Coupled Reanalysis

135 GiOcean is a global reanalysis dataset that spans from 1998 to the present, with a typical data availability lag of about six months due to the time required for quality control and data assimilation. GiOcean integrates three data assimilation systems for the atmosphere, aerosol, and ocean. These systems assimilate a vast array of observational data to calculate six-hourly "increments" that adjust meteorological, oceanic, and aerosol states, forcing the model to align with observations. Unlike typical reanalyses, which focus solely on meteorological states, GiOcean incorporates data from all three domains, providing a more comprehensive representation.

2.1.1 Modeling Description and Data Assimilation Approach

140 GiOcean is based on the Goddard Earth Observing System (GEOS) Subseasonal-to-Seasonal (GEOS-S2S) prediction system, developed by the Global Modeling and Assimilation Office (GMAO) (Molod et al., 2020). GEOS-S2S is a coupled Earth system modeling and data assimilation framework to produce forecasts on subseasonal to seasonal timescales. The core component of the GEOS-S2S system is the coupled Atmosphere-Ocean General Circulation Model (AOGCM). It includes atmosphere, land, aerosol, ocean, and sea ice components with spatial resolutions of approximately 50 km for the atmosphere and 25 km for the ocean. ~~Data assimilation is based on the Global Earth System Model Subseasonal-to-Seasonal (GEOS-S2S) prediction system (Molod et al., 2020). The main components of the GEOS-S2S are~~ The atmosphere component of the GiOcean is the GEOS Atmospheric Global-General Circulation Model (AGCM) (Molod et al., 2015; Rienecker et al., 2008), ~~the catchment land surface model (Koster et al., 2000),~~ . The ocean component of the GEOS AOGCM is the MOM5 (Modular Ocean Model version 5) ocean general circulation model (Griffies et al., 2005; Griffies, 2012), and the Community Ice CodE-4 sea ice model (Hunke, 2008). Ocean data assimilation follows the Local Ensemble Transform Kalman Filter ~~approach (?). All components are coupled together using the Earth System Modeling Framework (Hill et al., 2004) and the Modeling Analysis and Prediction Layer interface layer (Suarez et al., 2007). (Penny et al., 2013). The land surface model uses a catchment-based approach and statistically represents subgrid-scale variability in surface moisture (Koster et al., 2000).~~ To produce GiOcean, GEOS-S2S is retrospectively integrated starting on January 1998 using a time step of 450 seconds and assimilating atmospheric and ocean observations every six hours for the atmospheric and aerosol components and five days for ~~the ocean, as described below.~~

155 the ocean, as described below.

The GiOcean reanalysis employs weak or "one-way" coupling, meaning that the ocean and aerosol components use a full assimilation system, while the atmosphere is "replayed" to a preexisting atmospheric reanalysis. In this approach, the atmospheric analysis increments used for model correction are derived from the pre-existing atmosphere-only reanalysis

but adjusted for differences in model physics. This approach stabilizes the reanalysis by avoiding a full meteorological assimilation system, though it limits feedback between the ocean and atmosphere. GEOS-IT, produced for NASA's instrument teams, serves as a stable meteorological dataset for GiOcean (https://gmao.gsfc.nasa.gov/GMAO_products/GEOS-5_FP-IT_details.php). Similar to the Modern-Era Retrospective Analysis for Research and Applications, Version 2 (MERRA-2) ([Gelaro et al., 2017](#)), GEOS-IT is a multidecadal retrospective reanalysis integrating both aerosol and meteorological observations ([Gelaro et al., 2017](#); [Randles et al., 2017](#)). However, it incorporates recent model enhancements that provide more accurate representations of moisture, temperature, and land surfaces as well as the latest satellite observations through updated analysis techniques. While the atmosphere component of GEOS-S2S is "replayed" using the GEOS-IT reanalysis ([Gelaro et al., 2017](#)), the aerosol and ocean data assimilation systems, however, remain fully active.

~~The NASA GEOS system serves as the modeling foundation for GiOcean. In GEOS-AGCM, transport~~

2.1.2 Aerosols and Cloud Microphysics

Of significance to this work is that GiOcean explicitly assimilates aerosol fields. Furthermore cloud microphysics is described using a two-moment scheme, where cloud formation is linked to the aerosol concentration. This allows GiOcean to explicitly capture the aerosol direct and indirect effects.

Transport of aerosols and gaseous tracers such as CO are simulated using the Goddard Chemistry Aerosol and Radiation model (GOCART; Colarco et al., 2010). ~~Aerosols being both interactive and radiatively active, thus allowing GiOcean to represent the aerosol direct effect~~ All components are coupled together using the Earth System Modeling Framework ([Hill et al., 2004](#)) and the Modeling Analysis and Prediction Layer interface layer ([Suarez et al., 2007](#)). GOCART is a mass-based aerosol transport model that explicitly calculates the transport and evolution of dust, black carbon, organic material, sea salt, and sulfate. ~~Prescribed~~ To relate aerosol mass to number concentrations, prescribed size distributions were used to calculate mass-number conversion factors as detailed by ~~Barahona et al. (2014a)~~ [Barahona et al. \(2014b\)](#). Dust and sea salt emissions are prognostic whereas sulfate and biomass burning data are prescribed ([Randles et al., 2017](#)). Volcanic SO₂ emissions are constrained by observations from the Ozone Monitoring Instrument (OMI) on-board NASA's EOS/Aura spacecraft ([Carn et al., 2017a](#)). ~~Aerosol fields~~ ([Carn et al., 2017b](#)).

~~Aerosol fields in GiOcean are assimilated using The Goddard Aerosol Assimilation System (Buchard et al., 2016b), with the overall cycle controlled by meteorology.~~

~~Aerosol assimilation uses the Goddard Aerosol Assimilation System (GAAS), and (GAAS) (Buchard et al., 2016b).~~ Aerosol assimilation is carried out in two steps. First the aerosol optical depth (AOD), is assimilated using the observing-system observations of AOD from multiple sources described in Table 2 of [Randles et al. \(2017\)](#), including the Multi-angle Imaging SpectroRadiometer (MISR), the Moderate Resolution Imaging Spectroradiometer (MODIS), the Aerosol Robotic Network (AERONET), etc. Then in a second step the analysis increment is distributed vertically and among the different aerosol species to update their mass mixing ratios. In GiOcean the overall assimilation cycle is controlled by the meteorology. The meteorological observing system (i.e., the collection of instruments, platforms, and networks that provide meteorological observations) is also much larger than the one used in GAAS (?). ~~Thus GAAS can be seamlessly and efficiently run using a previously~~

generated meteorological analysis. This feature was used by Buchard et al. (2016a) to generate the version 1 of the Modern-Era Retrospective analysis for Research and Applications aerosol reanalysis (MERRAero), by “replaying” (Takaes et al., 2018) the MERRA-1 meteorological fields, and by direct assimilation of AOD in (Gelaro et al., 2017). GAAS is used to assimilate aerosol fields in the MERRA-2. However in both cases clouds were driven by a single moment cloud microphysical scheme and neither reanalysis, although the cloud microphysics scheme in MERRA-2 nor MERRAero had a direct link between aerosol and clouds hence lacked a representation of the aerosol indirect effect.

Of significance to this work is that cloud microphysics is described using a two-moment scheme, allowing GiOcean to explicitly represent the aerosol indirect effect. The microphysics scheme calculates the mixing ratio and number concentration of cloud droplets and ice crystals as prognostic variables for stratiform clouds, and convective clouds (i.e., stratocumulus, cirrus) (Barahona et al., 2014a) and convective clouds (Barahona et al., 2014b). Cloud droplet activation is parameterized using follows the approach of Abdul-Razzak and Ghan (2000). Ice crystal The stratiform cloud microphysics scheme follows (Morrison and Gettelman, 2008, : MG08) with adjustments when incorporated into GiOcean (Barahona et al., 2014b). The droplet autoconversion parameterization is replaced by the formulation of Liu et al. (2006). A parameterization of subgrid vertical velocity, which is important for particle activation, was developed and detailed in Barahona et al. (2014b). MG08 is also modified to represent the impact of existing ice crystals on the development of cirrus clouds. Ice nucleation is estimated using a physically-based analytical approach (Barahona and Nenes, 2009) that includes homogeneous and heterogeneous ice nucleation, and their competition. The description of heterogeneous ice droplet formation by immersion freezing and contact ice nucleation follows (Ullrich et al., 2017; Tan and Barahona, 2022) Ullrich et al. (2017). Vertical velocity fluctuations are constrained by non-hydrostatic, high-resolution global simulations (Barahona et al., 2017). Using this configuration GEOS This configuration has been shown to reproduce the global distribution of clouds, radiation, and precipitation in agreement with satellite retrievals and *in situ* observations (Barahona et al., 2014a; Molod et al., 2020) (Barahona et al., 2014b; Molod et al., 2020).

2.2 Observations Analysis method

2.2.1 MODIS Nd and AOD Variables analyzed

Throughout this study, This study focuses on the evaluation of ACI in warm clouds in GiOcean. We limit the scope to variables related to aerosol abundance, activation into cloud droplets, the state of cloud macrophysical properties, and precipitation rate. We focus on variables that can be compared relatively directly between GiOcean and spaceborne remote sensing, including aerosol optical depth (AOD), cloud droplet number concentration (Nd), liquid water path (LWP), and precipitation rate.

The aerosol metric we use is the aerosol metric we used was the AOD, which is measures the column-integrated aerosol amount extinction (scattering and absorption of light) and is often related to the total amount of aerosols in the atmospheric column. Although AOD does not provide information for the vertical distribution of aerosols or the aerosol sizes and species in the column, remotely-sensed AOD provides an estimate of column integrated aerosol loading nearly globally, with limitation

225 at high latitudes due to snow contamination. This is in contrast to sparse in-situ observations of aerosols made by aircraft and
surface sites, and can be compared relatively directly between models and observations. ~~In this work, observations of AOD-~~

The cloud microphysical property we evaluate in this study is Nd. Nd is key variable of state (or most important variable) in
controlling ACI (Wood, 2012). Changes in Nd also alter cloud macrophysical properties (Ackerman et al., 2004, 2000; Albrecht, 1989; Bre
~

230 The cloud macrophysical property we evaluate is liquid condensate mass. It provides a diagnostic of the liquid cloud
adjustment to aerosol-induced changes in cloud microphysics (Bellouin et al., 2019; Song et al., 2024). In practice, liquid
condensate mass is usually observed as column-integrated liquid water from remote sensing observations, which is known
as liquid water path (LWP).

Nd and LWP have been shown to be very important variables in understanding the physical processes related to ACI
235 (Mikkelsen et al., 2025; Gryspeerd et al., 2019; Wall et al., 2022; Bellouin et al., 2019). While aerosol-driven changes in cloud
microphysics and macrophysics are essential to ACI, they do not capture the full complexity of ACI processes. Precipitation
drives coalescence-scavenging and depletes Nd (Wood et al., 2012; Kang et al., 2022; McCoy et al., 2020a). Precipitation also
serves as a proxy for moisture convergence and contains information about the large-scale environment, which in turn affects
240 precipitation rate from the GiOcean reanalysis with satellite observations, as detailed in Section 2.3.

2.2.2 Sensitivity metrics

In this study, we calculate two key sensitivity metrics. The sensitivity metric follows previous studies examining ACI (Ghan et al., 2016; Bel
, as a way of evaluating the ACI presentation in GiOcean against satellite observations. The sensitivity of Nd to CCN represents
the inferred efficacy of aerosol activation into cloud droplets and is expressed in Eq 1.

$$245 \quad S_{Nd-AOD} = \frac{d \ln Nd}{d \ln AOD} \quad (1)$$

Similarly, to quantify the extent of cloud macrophysical adjustments (e.g., changes in LWP) in response to microphysical
perturbations, the sensitivity of LWP to Nd is calculated using Eq 2.

$$S_{LWP-Nd} = \frac{d \ln LWP}{d \ln Nd} \quad (2)$$

We apply a consistent binning approach to compute these inferred sensitivities in both the GiOcean reanalysis and satellite
250 observations. Monthly Nd is binned into 15 logarithmically spaced bins, and mean values of relevant variables (e.g., LWP,
Nd, AOD) are calculated within each bin. Relationships between AOD and Nd, and between LWP and Nd, are then plotted
using these bin-averaged values (Section 3.3). Logarithmic derivatives are then estimated using finite differences between the
binned means. A weighted average of these derivatives is calculated, with weights corresponding to the number of data points
in each bin. The binning approach smooths out random noise by enforcing 15 logarithmically spaced Nd bins, so that each
255 derivative estimate is based on hundreds or thousands of observations and the resulting slopes (e.g., $\ln LWP$ versus $\ln Nd$) are
statistically robust and representative.

By comparing these metrics across GiOcean and satellite observations, we evaluate the representation of both aerosol activation and aerosol-cloud adjustment in the GiOcean reanalysis. The results are discussed in Section 3.3. We note that these inferred sensitivities (calculated from Eq 1 and Eq 2) do not imply causation and may be strongly affected by other factors than microphysical relations (Mikkelsen et al., 2025; Gryspeerd et al., 2019; McCoy et al., 2020a). Therefore, we refer to these sensitivities as inferred sensitivities.

2.2.3 Source-sink analysis of Nd and LWP

Nd and LWP are two key variables that influence ACI (Wood et al., 2012; Bellouin et al., 2019). The sensitivity metrics introduced in Section 2.2.2 follow previous studies examining ACI (Ghan et al., 2016; Bellouin et al., 2019). In this study, we apply a source-sink budget framework to better understand the source of disagreement between GiOcean and satellite observations in terms of these quantities (whether the differences arise from aerosol effects on cloud properties or from variations in the large-scale environment). In this approach, we analyze the budget of Nd and LWP as a function of competing processes that supply or remove cloud-relevant properties.

The steady-state Nd results from a balance between sources due to the activation of CCN into cloud droplets from free tropospheric sources, and sinks from removal by precipitation scavenging (Wood et al., 2012). In Wood et al. (2012), a steady-state budget model was applied to airborne observations to explain spatial variations in Nd. Their study demonstrated that the offshore gradient of Nd near the coast of Peru was primarily driven by increasing precipitation sinks, rather than decreasing CCN sources. Here we characterize Nd in terms of precipitation rate and AOD, which is slightly different from Wood et al. (2012), who used precipitation rates estimated from radar reflectivity and airborne in-situ CCN measurements. While these terms are imperfect analogs to CCN near cloud and coalescence-scavenging in cloud, they allow us to compare GiOcean to spaceborne observations of these quantities. The results are discussed in Section 3.4.1.

The simple source-sink framework of LWP provides a conceptual basis for interpreting how cloud liquid water (i.e., LWP) changes as the result of interacting processes: 1) adjustment of liquid cloud to changes in Nd (i.e., aerosol-cloud adjustment); 2) environmental influence on liquid cloud through the large-scale circulation and the pattern of sea surface temperature. We use Nd as a source term of LWP because Nd is a key determinant of LWP adjustment to aerosol-driven changes in microphysics (Albrecht, 1989; Khairoutdinov and Kogan, 2000; Song et al., 2024), and we use precipitation rate as a sink for LWP. This approach follows previous work examining extratropical ACI in the context of the precipitation rate imposed by the large-scale moisture convergence (McCoy et al., 2020a, 2018b). It is important to note that both precipitation rate and Nd serve as indirect indicators of the sink and source terms in the LWP budget. They do not directly determine increases or decreases in LWP, but instead reflect underlying processes that influence it (through large-scale moisture convergence and aerosol-cloud adjustment). This allows us to examine how cloud water responds to the interplay between aerosol-cloud adjustment (via Nd) and large-scale moisture convergence (via precipitation rate). The results are discussed in Section 3.4.1.

2.2.4 Sensitivity test on interannual variability of Nd and LWP using sink-source budget framework

We apply the source and sink framework to examine the drivers of interannual variability in Nd and LWP. This differs from Wood et al. (2012), who used the same framework to evaluate the drivers of spatial variation in Nd. To do so, we build random forest (RF) models of Nd and LWP using regionally averaged monthly data, with their source and sink variables as their predictors.

Sensitivity tests are conducted on the RF models for Nd and LWP. Specifically, we create three predictor scenarios: (1) the source variable is held constant at its multi-year mean, (2) the sink variable is held constant, and (3) both source and sink vary as in the original time series. Scenarios (1) and (2) are used to evaluate the contribution of each driver and to assess whether the framework can reproduce the interannual variability by setting either their sink or source a constant. We show that source-sink framework allows for the assessment of the sensitivity of key ACI variables (e.g., Nd and LWP) to their sinks and sources in GiOcean, in comparison to satellite-based observations. (Section 3.5).

2.3 Observations

2.3.1 MODIS Nd and AOD

In this work, observations of aerosol optical depth (AOD) for the period of 2003-2015 are taken from a passive imaging radiometer - the Moderate Resolution Imaging Spectroradiometer Collection 6 (MODIS C6), retrieved at 550 nm on the Aqua (1:30 P.M. local solar Equatorial crossing time) platform -

~~Nd is key in understanding processes associated with ACI (Wood, 2012). Knowledge of~~ (https://ladsweb.modaps.eosdis.nasa.gov/search/order/1/MYD08_M3--61). AOD is not a direct analog for the amount of aerosol that is relevant to the budget of cloud condensation nuclei available to liquid clouds because it includes all aerosol particles and does not directly characterize size distribution and chemical composition and is column-integrated. However, it does provide a dimensionless measure of the ~~spatial and temporal variability of Nd is of importance for gaining insights into ACI. Observations of Nd in this study~~ column-integrated extinction of solar radiation by aerosols, which is related to the total column loading of aerosols. AOD can be compared relatively directly between GiOcean reanalysis and observations from spaceborne remote sensing.

Observations of cloud droplet number concentration (Nd) are derived from cloud optical thickness (τ_c) and cloud effective radius (r_e) retrievals from MODIS C6 for the period of 2003-2015 based on adiabatic ~~clouds~~-cloud assumptions (Grosvenor et al., 2018b). τ_c and r_e are simultaneously retrieved by a bispectral algorithm that relies on the cloud reflectance measured from both a non-absorbing visible wavelength and an absorbing shortwave infrared wavelength (Nakajima and King, 1990; Zhang et al., 2016b). MODIS Nd has been shown to be ~~un-biased~~-unbiased relative to in-situ measurements from aircraft and provides nearly global coverage of observations (Gryspeerdt et al., 2022). However, there are several potential sources of uncertainty that affect the Nd calculated from this method including low sun-angle (Grosvenor and Wood, 2014), cloud heterogeneity (Grosvenor et al., 2018b), and contamination by upper level cloud and aerosol (Zhang et al., 2016b).

GiOcean generates 3-hourly global, grid-averaged Nd fields across 27 vertical levels for stratiform and convective clouds. These model-derived fields are not directly comparable to MODIS as the retrievals rely on simplified assumptions such as adiabatic cloud structure, vertical homogeneity, and the presence of high cloud fraction, which are not inherent in GiOcean. To

325 carry out a consistent comparison, we leverage the MODIS COSP (CFMIP Observation Simulator Package) satellite simulator implemented in the GEOS model (Bodas-Salcedo et al., 2011). This tool emulates MODIS retrieved cloud fields like effective radius and cloud optical depth using model-generated fields, and allows us to apply the same methodology and assumptions described in Grosvenor et al. (2018a) but using the GiOcean COSP output. Consistently, we apply the same filtering criteria used in the MODIS Nd retrieval algorithm to compute GiOcean Nd. These include:

1. Only pixels with at least 80% identified as liquid-phase clouds are used, as a high cloud fraction minimizes retrieval biases from broken clouds due to enhanced scattering at cloud edges (Bennartz, 2007).
2. The solar zenith angle (SZA) is restricted to $\leq 65^\circ$ (Grosvenor and Wood, 2014; Grosvenor et al., 2018a).
- 330 3. The cloud top height (CTH) is restricted to values lower than 3.2 km. This is to exclude deeper clouds where Nd retrievals are less reliable due to increased cloud heterogeneity (Grosvenor et al., 2018a).

Although our primary focus is on evaluating GiOcean Nd derived from COSP output, we also analyze the cloud base Nd from GiOcean for comparison. No such filtering is applied to the cloud base Nd values.

2.3.2 MAC-LWP

335 ~~Cloud liquid condensate mass provides a diagnostic of the liquid cloud adjustment to aerosol-induced changes in cloud microphysics (Bellouin et al., 2019; Song et al., 2024). In practice, liquid condensate mass is usually observed as column-integrated liquid water from remote sensing observations, which is known as liquid water path (LWP).~~ In this study, we ~~took observations of LWP~~ use observations of liquid water path (LWP) from the Multi-Sensor Advanced Climatology of Liquid Water Path (MAC-LWP) for the period 2003–2015 (Elsaesser et al., 2017). MAC-LWP is an updated version of the University of Wisconsin (UWisc) cloud LWP (CLWP) climatology (O’Dell et al., 2008). Oceanic monthly-mean MAC-LWP at 1° spatial resolution is constructed from 7 sources of satellite microwave data sampling different parts of the diurnal cycle at 0.25° spatial resolution. One of the major updates to UWisc LWP is that the MAC-LWP bias was corrected by matchups to clear-sky scenes from MODIS ~~in cases where~~. In this way, whenever MODIS observes a clear-sky ~~is observed but scene but the microwave retrieval still reports a~~ non-zero cloud LWP ~~is retrieved due to retrieval cross-talk~~, MAC-LWP is set to zero. Because it is difficult to

345 differentiate cloudwater from rainwater using passive microwave signal from cloudwater, uncertainty in MAC-LWP is usually larger in heavy-precipitating regions (Elsaesser et al., 2017). MAC-LWP represents grid-box-averaged LWP, making it directly comparable to the native LWP output from GiOcean.

2.3.3 IMERG

Observations of precipitation rate are taken from the Integrated Multi-satellitE Retrievals for Global Precipitation Mission (IMERG) (Huffman et al., 2020). IMERG is a merged precipitation product that contains information from passive microwave precipitation estimates, microwave-calibrated infrared (IR) satellite estimates, gauge analyses, and other estimators via inter-calibrating, merging, and interpolating the sources of precipitation estimates. IMERG provides precipitation data with global

350

coverage spanning the entire Tropical Rainfall Measuring Mission (TRMM) and the Global Precipitation Measurement (GPM) mission record. In this study, we used IMERG version 07 (V07) final run daily data for the period of 2003-2015 for analysis
355 (Huffman et al., 2023).

3 Results

3.1 Spatial variability

We examine the ACI representation in GiOcean reanalysis by comparing its AOD, Nd, LWP and precipitation rate with remotely-sensed observations. We first examine the spatial variation of these quantities globally (Section 3.1) and then temporally
360 in the outflow regions from North America and East Asia in Section 3.2 (highlighted in Figure 1 as rectangles). These regions have been characterized in previous studies examining Nd variability (McCoy et al., 2018a; Wall et al., 2022) and have relatively high AOD and Nd in both GiOcean and MODIS (Figure 1a,b,d,e), and are subject to emission controls with significant changes in aerosol emissions (McCoy et al., 2018a). We will focus on these regions through the remainder of our study. In addition, we include the Northern Hemisphere (NH: (15°–65°N)) ocean in our analysis, where most anthropogenic emissions
365 originate, when applying the source–sink budget framework to study ACI.

~~This study focuses on the evaluation of ACI in warm clouds in GiOcean. We limit the scope of our study to examining variables related to the amount of aerosol, its activation into droplets, and the changes in the cloud macrophysical state and precipitation rate in response to changes in cloud microphysics (aerosol–cloud adjustments). We focus on variables that can be compared relatively directly~~ To evaluate the temporal consistency between GiOcean and ~~spaceborne remote sensing: satellite~~
370 ~~observations, we calculate the Pearson correlation coefficient (r) between their respective regionally-averaged monthly time series. This analysis is performed for both the seasonal cycle and the decadal trend in two key outflow regions: East Asia and North America. High correlation values indicate that GiOcean effectively captures the temporal variability of key variables (e.g., AOD, Nd, LWP~~and precipitation rate, precipitation rate) observed by satellites in that region.

~~AOD provides a column-integrated estimate of aerosol. AOD is not a direct analogy for the amount of aerosol that is relevant to the budget of cloud condensation nuclei available to liquid clouds because it does not directly characterize size distribution and chemistry and is column-integrated. However, it does provide a measure of the column loading of aerosol that can be compared relatively directly between GiOcean and observations from spaceborne remote sensing. AOD~~ Building on this
375 ~~regional focus, we characterize ACI using sensitivity metrics (Section 3.3) and a source–sink budget framework (Section 3.4). Under the source–sink budget framework, we include analysis over the NH ocean to provide a broader spatial context in terms of ACI beyond regional scales. Finally, we identify the dominant factors controlling the interannual variability of ACI in these regions (outflows of East Asia and North America, and NH ocean) using sensitivity tests based on random forest models.~~

3.1 Spatial variability

AOD from GiOcean and MODIS are in good agreement, except at very high latitudes (Figures 1a, b and c). MODIS AOD retrievals in these regions are noticeably affected by a lack of clear-sky observations and surface contamination, especially in the Northern Hemisphere (Figure 1a). This is attributed to MODIS often misinterpreting bright surface signals (i.e., snow surface) as aerosol scattering and reports spuriously high AOD (Levy et al., 2010). This discrepancy is clearly evident in the zonal-mean AOD (Figure 2a) and the difference plot (Figure 1c). Despite the inconsistency at high latitudes, AOD from GiOcean compares favorably to MODIS AOD with similar AOD in regions of heavy anthropogenic pollution, Saharan dust, and biomass burning (warmer colors in Figure 1ab). ~~MODIS AOD at high latitudes is noticeably affected by lack of clear skies and surface contamination, particularly in the northern hemisphere. This is particularly striking in the zonal-mean~~ This is not entirely surprising since MODIS AOD is assimilated in GiOcean. In this way, observations of MODIS AOD are directly incorporated into the GiOcean reanalysis through data assimilation techniques, leading to high agreement between the two datasets, especially in regions where MODIS retrievals are reliable (e.g., ocean surfaces and clear-sky conditions). AOD is not a direct proxy for liquid-cloud relevant CCN, but the agreement in AOD between GiOcean and MODIS supports GiOcean having the right overall aerosol optical properties and hopefully a reasonable distribution of CCN following from that.

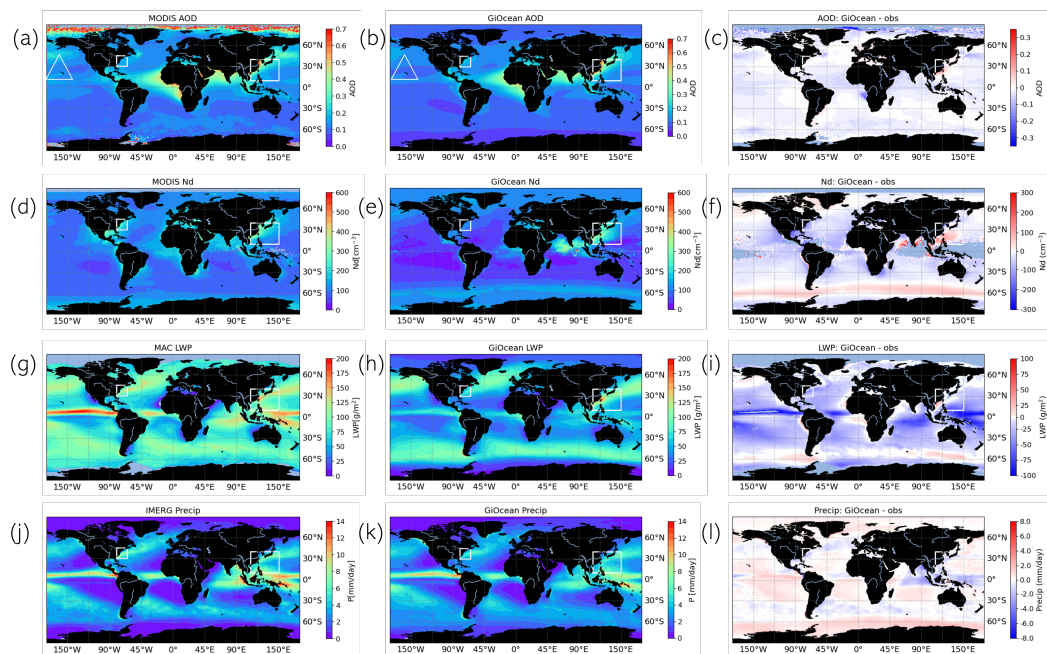


Figure 1. Comparison of the variables examined in this study between remote sensing observations (a,d,g,j) and GiOcean (b,e,h,k). The difference in variables between GiOcean and observations is shown in difference plot (c,f,i,l). GiOcean aerosol optical depth is compared to MODIS (a,b); GiOcean COSP Nd is compared to MODIS Nd from Grosvenor et al. (2018a) (d,e); GiOcean liquid water path is compared to MAC (g,h); and GiOcean precipitation is compared to IMERG (j,k). Study areas off the coast of the East Asia and North America are highlighted in white. The region of Kilauea, where substantial effusive volcanic emissions occur, is indicated by triangles in a and b.

Although the overall AOD pattern in GiOcean and MODIS are very similar (Figure 2a), AOD in GiOcean is systematically low in the Southern Ocean lower over ocean compared to MODIS (Figure 2a). ~~GiOcean AOD is assimilated from AOD. A possible explanation for the small differences between GiOcean AOD and satellite AOD is the differences in AOD sampling between the GiOcean reanalysis and remote sensing observations. GiOcean AOD is assimilated from measurements collected by both the Terra and Aqua satellites (Buchard et al., 2016b). The (the Terra satellite crosses the equator in the morning, while the Aqua satellite Aqua crosses in the afternoon). Since AOD is influenced by the diurnal cycle (Balmes et al., 2021), this difference in crossing times should result in differences in these differences in overpass times can lead to discrepancies in the AOD observed between the two satellites. Contrasting by each satellite. Comparing satellite AOD sampled during a given overpass should also lead to small discrepancies relative to the GiOcean AOD that is averaged over the day.~~ Aqua satellite to GiOcean AOD can contribute to small differences (Figure 2a). ~~Several drivers may enhance this disagreement since they may cause divergence. Additionally, several drivers may exacerbate the disagreement between the assimilated and observed AOD including: the effects of aerosol humidification (Twohy et al., 2009) and lack of cloudiness in GiOcean in this region, lack~~ AOD in GiOcean and satellite retrievals. These include: (1) the influence of aerosol hygroscopic growth under high relative humidity conditions, which can enhance satellite-derived AOD but may not be fully captured in the model assimilation process (Twohy et al., 2009); (2) passive satellite sensors like MODIS retrieve AOD only under clear-sky conditions. However, GiOcean's AOD may include scenes where real-world cloudiness would have prevented satellite retrievals, leading to a mismatch in AOD sampling between GiOcean and satellite-based observations; (3) limited representation of new particle formation events (McCoy et al., 2021; Gordon et al., 2017), or simply a lack of aerosol in the pristine in GiOcean in the Southern Ocean boundary layer may lead to underestimation of aerosol concentrations and AOD (McCoy et al., 2021; Gordon et al., 2017); and (4) although GiOcean assimilates satellite AOD, the assimilation is constrained by retrieval uncertainties in this pristine and frequently cloudy region. As a result, model biases in aerosol processes and the inherently low aerosol concentrations may still contribute to differences between GiOcean and MODIS AOD, particularly over the Southern Ocean. Lower AOD in GiOcean is also apparent in the area downwind from Kīlauea and Vanuatu, (triangles on Figure 1a,b), which are areas of substantial effusive volcanic emissions (McCoy et al., 2018a; Carn et al., 2017b) (Figure 1ab). ~~Volcanic a,b). Although volcanic~~ SO₂ emissions in GiOcean are constrained by observations from the ~~Ozone Monitoring Instrument (OMI) on board OMI instrument aboard NASA's EOS/Aura spacecraft (Carn et al., 2015). The dataset however only provides annual~~ Aura satellite (Carn et al., 2015), the dataset provides only annual mean SO₂ emission rates. ~~Such an approach however fails to capture~~ This temporal resolution is insufficient to capture short-term degassing events, ~~as for example for Kīlauea leading to potential underrepresentation of short-term volcanic SO₂ contributions. For example, accurately representing eruptions such as those at Kīlauea in 2008 and 2018, for which daily varying emissions are required (Breen et al., 2021). requires daily-resolved emissions (Breen et al., 2021).~~

There are qualitative similarities between GiOcean Nd and MODIS Nd over oceans (Figure 1ed). Nd is high in the anthropogenically perturbed regions near heavily industrialized regions and in the outflow of biomass burning in Namibia. GiOcean COSP Nd generally aligns well with the MODIS retrieval. Both datasets show elevated Nd near heavily industrialized areas and in regions influenced by biomass burning (e.g., Namibia) and Saharan dust, consistent with regions of enhanced AOD (Figure

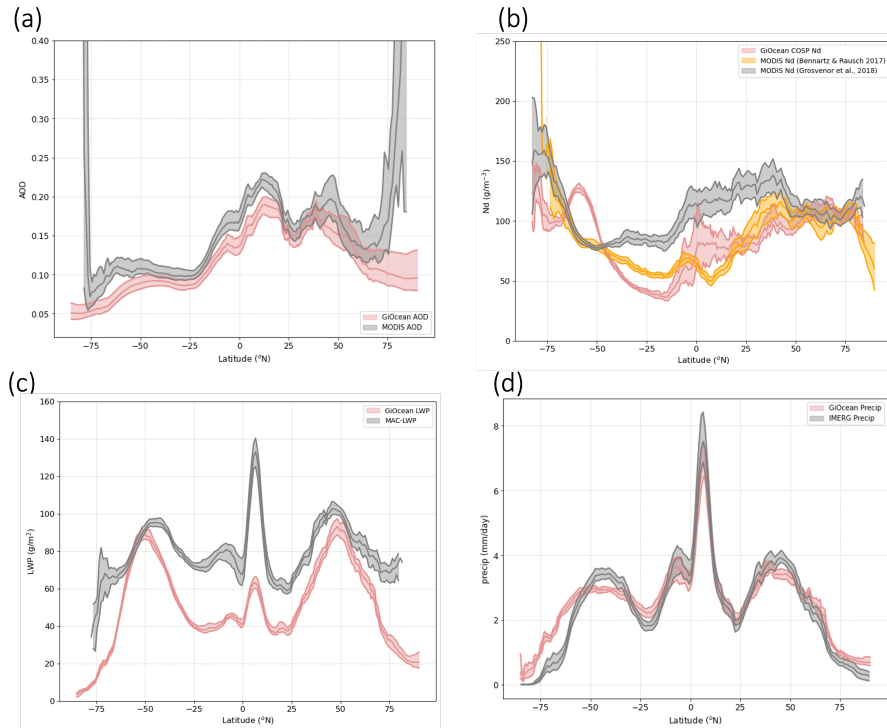


Figure 2. Comparison of zonal-mean oceanic quantities from GiOcean (pink) and satellite observations (gray). (a) Aerosol optical depth (AOD) from GiOcean (pink) and from MODIS (gray); (b) Cloud droplet number concentration (Nd) from GiOcean COSP output (pink) and MODIS, with values from Grosvenor et al. (2018a) shown in gray and from Bennartz and Rausch (2017) shown in orange; (c) Liquid water path (LWP) from native GiOcean output (pink) and MAC-LWP (gray); and (d) Precipitation rate from GiOcean (pink) and IMERG (gray). Shading represents the 95% confidence interval of interannual variability.

1ab). A significant difference in Nd between GiOcean and MODIS is that GiOcean has a much larger range of Nd with high Nd near aerosol sources and low Nd in pristine, remote ocean regions compared to MODIS. In Figure 1 we highlight the outflow regions from North America and East Asia. These regions have been characterized in previous studies examining Nd variability (McCoy et al., 2018a) and have relatively high Nd a,b,c and Figure 1d,e,f: warmer colors). GiOcean tends to report lower Nd over tropical and subtropical regions compared to MODIS (Figure 1f, 2b). While the random uncertainty in individual MODIS Nd retrievals can be large (up to 78%), this uncertainty decreases with averaging (Grosvenor et al., 2018b). However, systematic differences due to sampling and retrieval filtering remain and may contribute to the observed discrepancy (Gryspeerdt et al., 2022). As shown in Figure 2b, GiOcean COSP Nd output falls between values reported by Bennartz and Rausch (2017) and Grosvenor et al. (2018b), both based on MODIS data. There is some indication that GiOcean may systematically underestimate Nd in both GiOcean and MODIS. We will focus on these regions through the remainder of our study. Overall, the dominance of relatively pristine regions in the oceanic zonal-mean is apparent with lower zonal-mean Nd over oceans in GiOcean than observed by MODIS (Figure 2). This is especially pronounced in the remote Southern Ocean, which is a long-standing problem

for global models and relevant to our understanding of aerosol forcing (McCoy et al., 2020b; Muleahy et al., 2018). pristine subtropical regions of the Southern Hemisphere (around 25°S), possibly due to GAAS underestimating sea salt concentrations (Randles et al., 2017). Conversely, GiOcean COSP Nd is higher than MODIS along remote southern storm tracks (around 50°S in Figure 1f), potentially due to convective enhancement of Nd, parameterized in GiOcean but not in the MODIS algorithm. These differences may not be statistically significant, and improved Nd datasets are needed to better understand the contributing factors.

Liquid-water-path

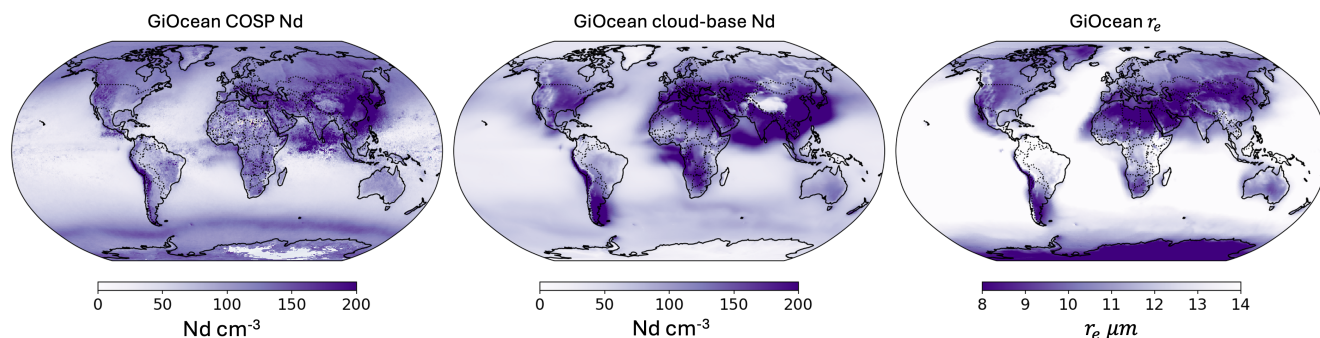


Figure 3. Annual mean cloud droplet number concentration (Nd) from GiOcean calculated from COSP output (left) and at cloud base (center), and cloud drop effective radius (r_e) (right). Global spatial correlation between annual mean r_e (right) and GiOcean COSP Nd (left) is -0.73 , and between annual mean r_e (right) and GiOcean cloud-base Nd (left) is -0.75 .

Figure 3, expands the analysis of Figure 1 to a global scale, including continental regions, comparing Nd values from the GiOcean COSP output (left panel) with those calculated at cloud base (center panel). While both datasets show broadly similar spatial patterns, the GiOcean COSP Nd tends to be slightly lower than Nd at cloud base in regions with heavy anthropogenic aerosol emissions. The greatest Nd appears in both datasets along the west coasts of North and South America, Europe, Southeast Asia, and South Africa. However, the GiOcean COSP value shows greater Nd at higher latitudes in both hemispheres compared to the cloud base values, a feature also evident in Figure 1e. The origin of this discrepancy may stem from the retrieval algorithm used in GiOcean's COSP Nd, which tends to preferentially sample scenes with high liquid cloud fraction—conditions that become increasingly rare near the poles, while there is no such filtering to the cloud base Nd values.

Figure 3 also presents the cloud effective radius (r_e) from the GiOcean output. A strong inverse relationship between r_e and Nd is observed. The global spatial correlation between mean r_e and Nd is -0.73 for the GiOcean COSP product and -0.75 at cloud base, reflecting the microphysical basis of Twomey effect: higher cloud condensation nuclei (CCN) concentrations lead to a greater number of smaller droplets, enhancing cloud reflectivity (Twomey, 1991). This confirms GiOcean's capacity to capture such microphysical processes. It is important to note that r_e is also a parameter in the retrieval algorithm used for the GiOcean COSP output. The observed decrease in r_e at high latitudes could therefore inflate Nd values in these regions. Although the microphysical basis of Twomey effect is prominent globally, it is not ubiquitous. For example, in Southeast Asia,

465 high Nd does not correspond to small r_e . Likewise, R_{eff} decreases at higher latitudes, particularly in the Northern Hemisphere, without a corresponding increase in cloud base Nd—likely due to reduced water availability in colder conditions. These features may reflect aerosol-induced adjustments to liquid water path (LWP) and precipitation, which are explored further in Section 3.3.

LWP is systematically lower in GiOcean than observed by microwave radiometers as aggregated and harmonized in the
470 MAC-LWP data set (Figure 1e-f,g,h,i and Figure 2c); pink and gray), particularly true in the Tropical regions (30 °S to 30 °N).
However, some of this discrepancy may be attributable to potential systematic errors in microwave LWP as discussed in section 2.3.2. Within the extratropics we estimate this error to be $\pm 10\%$ (Song et al., 2024; Elsaesser et al., 2017), which may bring the observations closer or further, but cannot entirely explain this observation-reanalysis discrepancy (Figure 2c). The discrepancy is larger in relatively low-high precipitation regions in the subtropiestropics. Overall this points to a lower-an unrealistically
475 low LWP in GiOcean, despite observational uncertainty.

Precipitation rate in GiOcean is consistent with IMERG observations in both the zonal-mean GiOcean and IMERG exhibit consistent zonal patterns in precipitation rate across latitudes, with both capturing the major meridional features (Figure 2d)and in spatial variability (Figure 1gh) . Some slight disagreement is apparent in- . This consistence may stem from GiOcean's assimilation of SST, which controls large-scale circulation features such as the Intertropical Convergence Zone (ITCZ) and
480 midlatitude storm tracks. However, slight differences in magnitude are evident across latitudes. GiOcean overestimates precipitation in regions with low precipitation rates (e.g., subtropics and regions poleward of 60°) and exhibits a sharper transition to very low rain-rates-precipitation in the subtropical dry zones near the western side-of-continent-in-GiOcean (Figure1ghsides of continents (Figure 1j,k). This may be partially attributable to biases in GiOcean, but may also relate to IMERG struggling to detect the prevalent drizzle in this region (Pradhan and Markonis, 2023). Precipitation rate in heavy-precipitating tropics is relatively lower in GiOcean-, these regions (Pradhan and Markonis, 2023).
485 relatively lower in GiOcean-, these regions (Pradhan and Markonis, 2023).

The variable of state linking aerosol and clouds is the Nd (Wood, 2012). While the patterns of Nd in GiOcean and MODIS are qualitatively similar, there is a broad discrepancy between the datasets in the polluted to pristine gradient away from continents (Figure1ed). Cloud droplet number is an approximate steady-state balance between CCN activating into cloud droplets and removal of cloud droplets through evaporation or precipitation (Wood et al., 2012). While there is no global observation of CCN, we can examine AOD as a proxy for this term (Figure1ab). AOD from GiOcean and MODIS are in good agreement except at very high latitudes (Figure 1ab). This is not entirely surprising since MODIS AOD is assimilated in GiOcean. AOD is not a direct proxy for liquid-cloud-relevant CCN since it doesn't directly relate to size or contain information about hygroscopicity and is column integrated, but the agreement in AOD-
490 observation of CCN, we can examine AOD as a proxy for this term (Figure1ab). AOD from GiOcean and MODIS are in good agreement except at very high latitudes (Figure 1ab). This is not entirely surprising since MODIS AOD is assimilated in GiOcean. AOD is not a direct proxy for liquid-cloud-relevant CCN since it doesn't directly relate to size or contain information about hygroscopicity and is column integrated, but the agreement in AOD-

3.2 Temporal variability

495 3.2.1 Seasonal cycle

Having characterized the spatial patterns of aerosols, cloud properties, and precipitation, we now examine their temporal variability. Our analysis focuses on the North American and East Asian outflow regions, as indicated by the rectangular boxes

in Figure 1, because these regions are major sources of anthropogenic aerosol emissions and are known to strongly influence downwind cloud properties (McCoy et al., 2018a). These two regions also show contrasting sensitivity of r_c to Nd (Figure 3). We examine the seasonal and decadal variability in each region.

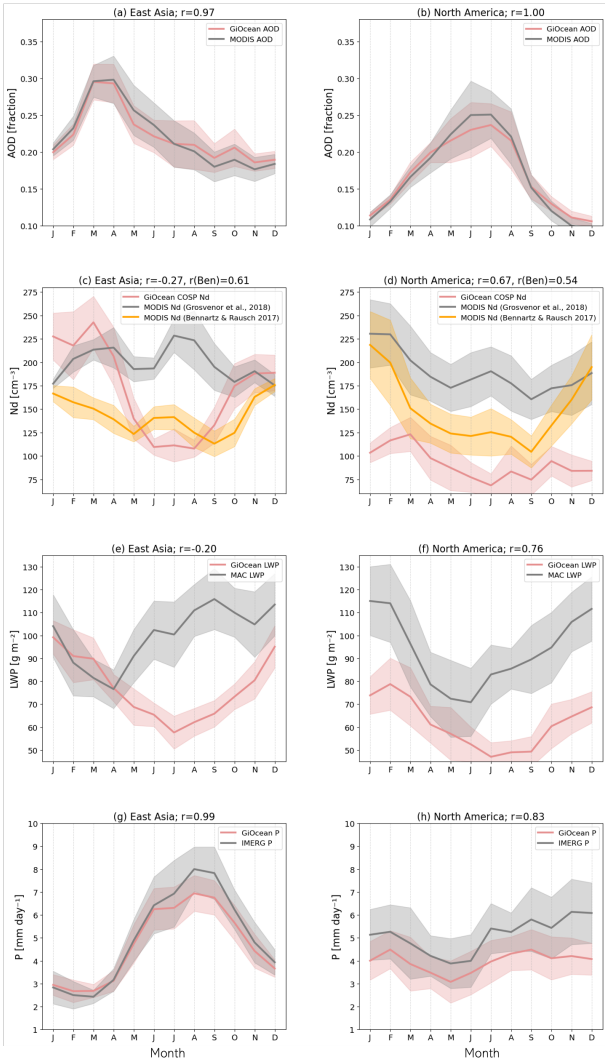


Figure 4. Comparison of seasonal cycles in the outflow regions of East Asia (a,c,e,g) and North America (b,d,f,h) from GiOcean (pink) and satellite observations (gray). AOD (a, b), Nd (c, d), LWP (e, f), and precipitation rate (g, h). The GiOcean outputs and the sources of satellite observations used for comparison are consistent with the description in Figure 2. Solid lines show 12-month climatological mean seasonal cycles, and the shading shows $\pm$ standard deviation across all years (2003-2015) for each month. The correlation (r) between the monthly climatology time series of GiOcean and satellite observations is shown in the panel title. $r(\text{Ben})$ is the correlation between Bennartz Nd (Bennartz and Rausch, 2017) and GiOcean COSP Nd.

Seasonal variability in AOD shows strong agreement between GiOcean and MODIS supports relatively similar sources of CCN. Similarly, precipitation rate from GiOcean is in good agreement with IMERG (Figure 2, with correlation coefficients (r) near 1 in both the East Asian and North American outflow regions (Figure 4a,b). One caveat to this broad consistency is the stronger gradient in precipitation rate away from coasts over southern subtropics in GiOcean compared to IMERG (Figure 1gh), which could explain the relatively strong gradient of Nd away from the coast in GiOcean as a function of a too strong sink term of Nd as opposed to too strong a gradient in Nd sources. Peak AOD occurs during boreal spring in the East Asian outflow and during boreal summer in the North American outflow. This is expected as AOD is assimilated in GiOcean.

A novel feature of the GiOcean reanalysis is that it has two-moment microphysics and the ability to produce precipitation suppression and aerosol-cloud adjustments. Mean-state LWP contains information about aerosol-cloud adjustments in the context of the precipitation rate enforced by the environment through the large-scale circulation and the pattern of sea surface temperature (Song et al., 2024; Mikkelsen, 2024). The effects of the enforced convergence of moisture by the atmosphere and ocean are apparent in the similar precipitation rates in GiOcean and observations from IMERG (Figure 1gh). In this context, we can consider LWP as the amount of cloud needed to satisfy the precipitation flux enforced by In the East Asian outflow, Nd from GiOcean exhibits a pronounced seasonal cycle with a peak during winter and a minimum in summer (Figure 4c: pink). This pattern is likely driven by increased precipitation between June and September, peaking around August, possibly enhanced by the summer monsoon as indicated by the precipitation seasonal cycle (Figure 4g: pink). Enhanced wet scavenging during this period reduces both aerosol concentrations and droplet number (Figure 4a,c: pink). However, this effect may be confounded by increased biomass burning emissions during the same period (Kim et al., 2007). Interestingly, this strong seasonal signal in Nd is not evident in the MODIS retrieval over the East Asian outflow region (Figure 4c: gray and orange), although notable discrepancies exist among different Nd datasets, with the data from Bennartz and Rausch (2017) showing a somewhat stronger seasonal cycle than the data from Grosvenor et al. (2018a), though still weaker than in GiOcean.

In contrast, the environment. While there are many factors that can influence the precipitation efficiency in liquid cloud, Nd is important as it affects the precipitation efficiency by autoconversion in global models, where a increase in Nd results in precipitation suppression, and a increase in cloud amount satisfies stronger precipitation rate. (Khairoutdinov and Kogan, 2000; Michibata et al., 2018). In this context, there is consistency between the lower Nd away from continental sources of aerosol in GiOcean relative to observations and the lower LWP in GiOcean given small differences in precipitation rate between GiOcean and IMERG 1gh. The gradient of Nd from the coast into the ocean is steeper in GiOcean with lower Nd in GiOcean away from the coast (Figure 1ed). This is consistent with a higher precipitation rate in North American outflow region displays a weaker seasonal cycle in Nd in GiOcean and a lower amount of liquid cloud (Figure 1ef) needed to satisfy the precipitation rate demanded by the large-scale convergence of moisture, with lower values during summer, and better consistency between GiOcean COSP Nd and the observational datasets, yet with significant differences in absolute value (Figure 4d). The consistency in seasonal trends across datasets suggests that GiOcean and satellite observations capture similar seasonal signals. However, differences in absolute Nd values may partly reflect the spread across satellite retrieval algorithms, referred to as the retrieval bundle, which represents a form of systematic observational uncertainty (Elsaesser et al., 2024).

535 Our characterization of aerosol amount, cloud droplet number, liquid cloudiness, and precipitation rate show regional biases in terms that act as sources of cloud droplet and cloud water as well as sinks in GiOcean. This highlights the need to examine ACI in the context of steady state models of droplet number and liquid cloud mass. We will develop simple steady-state model characterizations of these terms in Section 3.4.

3.3 Seasonal cycle and decadal trends

540 Having characterized the spatial patterns in clouds, aerosol, and precipitation we examine temporal variability. We focus on the North American and East Asian outflow regions identified in Figure 1 and examine the seasonal and decadal variability in each region.

AOD seasonal variability agrees between GiOcean and MODIS (Figure 4ab). Peak AOD occurs in spring in the East Asian outflow region. In the East Asian outflow region (Figure 4c) compared to the North American outflow region it occurs in summer. While the AOD cycle agrees between GiOcean and MODIS with an explained variance of GiOcean by MODIS greater than 90%, seasonal cycles in Nd do not agree well and are negatively correlated. There is a pronounced seasonal cycle apparent in the East Asian region in GiOcean, but no seasonal cycle to speak of in MODIS (Figure 4e). GiOcean and MODIS generally agree that there is no seasonal cycle in Nd, which may be due to active convection, which complicates the retrieval and modeling of Nd. Reanalysis products often exhibit greater biases in simulating Asian meteorology, and the assumptions underlying MODIS retrievals may break down under these meteorological conditions. As a result the disagreement in Nd seasonal cycle is higher over East Asian outflow than over the North American outflow region (Figure 4d).

The seasonal cycles of GiOcean and MAC-LWP are roughly in agreement with peak LWP occurring both exhibit peak LWP in winter in both the East Asian and North American outflow regions (Figure 4ef). The better agreement between 4e,f, but substantial differences in the overall seasonal patterns and magnitude remain. The GiOcean and MAC-LWP during winter show a better agreement during winter in the East Asian outflow region, which might be due to the relatively accurate MAC-LWP estimates during cold season winter over the study regions (Elsaesser et al., 2017). However, correlation between MAC-LWP and GiOcean is weakly negative in East Asia and the explained variance in GiOcean LWP by MAC-LWP is 60% in the Asian outflow region (Figure 4e). In the North American outflow region, the seasonal variability of LWP is mostly captured by GiOcean, with a correlation of $r = 0.76$ compared to satellite observations (MAC-LWP) (Figure 4f).

560 Seasonal variability in precipitation rate matches between GiOcean and IMERG in GiOcean matches IMERG in both the East Asian and North American outflow regions, with differences statistically indistinguishable at the 95% confidence level across all months (Figure 4ghg,h). There is an explained variance a high correlation of $r = 0.99$ between GiOcean and IMERG in the East Asian outflow of near 100%, where a strong seasonal cycle exists (Figure 4g). In the North American outflow where the seasonal cycle is weaker there is only an explained variance 55%. High explained variance in GiOcean precipitation rate when there is a strong seasonal cycle is consistent with GiOcean assimilating towards sea surface temperature (SST) from the agreement is also good, with a correlation of $r = 0.83$ (Figure 4h). Although GiOcean slightly underestimates the amplitude of seasonal variation, it reproduces the broad features of the cycle, including a minimum in late spring and a gradual increase in precipitation from summer into fall. The high correlation between GiOcean and observed precipitation rates in the East Asia

570 outflow (Figure 4g) is consistent with the fact that GiOcean is constrained by SST fields from the GEOS-IT reanalysis data, as SST is a strong control of moisture convergence, and by extension precipitation, by the. SST strongly influences moisture convergence through its impact on large-scale circulation atmospheric circulation, and therefore plays a key role in shaping seasonal precipitation patterns (Seager et al., 2010).

3.2.1 Interannual variability

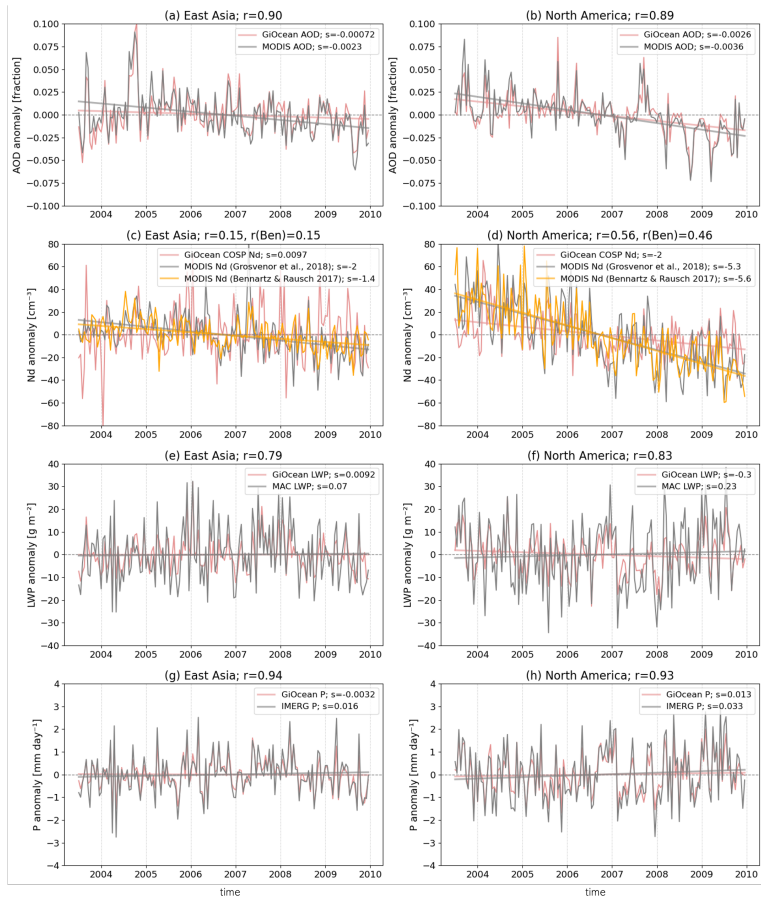


Figure 5. The monthly anomaly time series in the outflow regions of East Asia (a,c,e,g) and North America (b,d,f,h) from GiOcean (pink) and satellite observations (gray or orange). AOD (a, b), Nd (c, d), LWP (e, f), and precipitation rate (g, h). The GiOcean outputs and the sources of satellite observations are consistent with the description in Figure 2. Monthly anomalies are calculated by removing the long-term monthly climatology from the original time series. The correlation (r) between the monthly anomaly time series of GiOcean and satellite observations is shown in the panel title. Linear trend lines are shown for each dataset in the line labels, with the slope (s) indicating the trend per month.

The decadal trend in aerosol and cloud properties is a useful proxy for understanding the radiative forcing from ACI (Wall et al., 2022; McCoy et al., 2018a; Bennartz et al., 2011). ~~Decadal trends in~~ The monthly time series of AOD, Nd, LWP, and precipitation rate ~~in the focus regions match between GiOcean and observations (Figure 5)~~ are shown in Figure 5.

~~Trends in AOD are in good agreement between GiOcean and MODIS (Figure 5ab). There is~~ Both GiOcean and satellite observations show an overall downward trend in AOD ~~in both focus regions in this study, along with good agreement in the monthly anomaly time series across the two focus regions (Figure 5a,b).~~ This is consistent with trends in sulfur dioxide emissions in these regions driven by emissions control measures in the ~~United States of America and Peoples Republic of China~~ East Asian and North American outflow regions (McCoy et al., 2018a).

~~The trend in Nd from~~ Generally MODIS and GiOcean broadly ~~agrees agree~~ with the trend in ~~AOD Nd~~ Nd with a downward trend through the observational period in the North American outflow (Figure 5ed). ~~During the period with concurrent observational data (2003-2015) Nd shows an anti-correlation between GiOcean and MODIS and is relatively saturated in the East Asian outflow, while Nd decreases steadily d),~~ suggesting that the observed decadal trend in Nd may be related to aerosol affecting cloud microphysical properties in that region. The downward trend in Nd in the North American outflow ~~. This is is also~~ consistent with previous evaluation of trends in Nd ~~in these regions (McCoy et al., 2018a).~~ (McCoy et al., 2018a). During the period with concurrent observational data over the East Asian outflow, MODIS Nd from Bennartz and Rausch (2017) and Grosvenor et al. (2018b) shows a downward trend while GiOcean COSP Nd is relatively flat (Figure 5c). This may result from ~~the disproportionate influence of convection over the region which tends to introduce uncertainty in both the retrieval and the reanalysis.~~

~~Decadal trends~~ The monthly anomaly time series in LWP are ~~relatively consistent between MAC and GiOcean consistent between MAC-LWP and GiOcean in the East Asian and North American outflows, with correlation coefficients close to 0.8 in both regions and no clear overall upward or downward trends during the study period~~ (Figure 5efe,f). GiOcean has the microphysics scheme necessary to produce precipitation suppression and this may lead to the ~~qualitative agreement in the increase in covariation of~~ LWP and Nd ~~until around 2010 and then a decrease towards 2020 in the~~ from 2003 to 2015 in the East Asian outflow region, where increases in Nd are consistently accompanied by increases in LWP in GiOcean. ~~Decadal trend in LWP shows relatively large interannual variability in the North American outflow and the LWP trend is broadly consistent with the trend in precipitation rate in GiOcean~~ GiOcean, and vice versa (Figure 5c,e: pink, Figure S1). It must also ~~be noticed that the response of LWP is not entirely driven by cloud microphysical processes in GiOcean. Large-scale moisture convergence, which is influenced by sea SST and large-scale atmospheric circulation (Zelinka et al., 2018), also plays a key role. The base model of GiOcean is constrained by SST and a moisture analysis increment is applied every six hours to correct the state of the model. The consistency in the monthly time series between observed LWP and GiOcean LWP suggests that GiOcean has the ability to represent both the moisture supply and the cloud response to Nd that are necessary to reproduce LWP interannual variability.~~

In keeping with the seasonal cycle, ~~decadal trends in precipitation flux are consistent between~~ the monthly precipitation anomaly time series from GiOcean and IMERG are in good agreement and exhibit concurrent variation with LWP anomalies in GiOcean and observations across both regions during the study period. While consistent, there isn't a particularly strong overall

trend in precipitation in either study region (Figure 5g,h). Given the overall magnitude of ~~precipitation flux~~ the precipitation rate in these regions (Figure 1h), this points to a fairly large interannual variability in the precipitation flux demand by the atmosphere that makes it difficult to disentangle ~~meteorological and aerosol driving of cloud macrophysical properties as well as scavenging of cloud droplet number~~ the role of meteorology, data assimilation, aerosol, and precipitation-related scavenging in driving changes in cloud properties (i.e. Nd and LWP) (Wood et al., 2012; Kang et al., 2022). In the following section we attempt to ~~disentangle these factors with a set of simple source-sink models of cloud droplet number and cloud liquid mass.~~
615 evaluate the representation of ACI in GiOcean against satellite observations.

3.3 ~~Source-sink models of cloud microphysics and macrophysics~~

3.3 Aerosol-cloud interactions in GiOcean and observations

While the primary focus of this study is on jointly analyzing the effect of sources and sinks on cloud properties, we include this sensitivity analysis of Nd versus AOD and LWP versus Nd to facilitate comparison with previous studies that have emphasized
620 these pairwise relationships.

3.3.1 Inferred sensitivity of cloud droplet number concentration to AOD

AOD is commonly used in satellite-based studies and model evaluations of how aerosols alter cloud microphysical properties, despite its known limitations as a proxy for cloud condensation nuclei (CCN) (Liu et al., 2024; Quaas et al., 2010).

We found a positive inferred sensitivity of Nd to AOD in the East Asian outflow region in both the GiOcean reanalysis and satellite observations (Figure 6: circles), indicating that increases in AOD are associated with increases in Nd. This is consistent with the aerosol indirect effect where increased aerosol enhances cloud droplet activation (Twomey, 1977). The stronger inferred sensitivity in GiOcean ($S = 0.96$; pink circles in Figure 6a) compared to observations ($S = 0.64$; gray circles) suggests that cloud droplet formation in the model responds more strongly to changes in AOD in GiOcean over East Asian outflow. However, this stronger slope does not imply that GiOcean has a stronger aerosol–cloud microphysical response at a given AOD. In fact, despite the higher inferred sensitivity, the absolute Nd in GiOcean is lower than in MODIS for the same AOD (Figure 6a). This may suggest that GiOcean overestimates the relative response of Nd to aerosol changes, but underestimates the overall efficiency of aerosol activation into cloud droplets.
625
630

Similarly, we examined the inferred sensitivity of Nd to AOD in the North American outflow region (Figure 6: triangles). The inferred sensitivity is slightly positive ($S = 0.22$; pink triangles in Figure 6) in GiOcean, while near zero in observations (gray triangles in Figure 6). The low sensitivity of Nd to AOD over the North American outflow is primarily due to sampling. In GiOcean, COSP-derived Nd is sampled following the cloud-filtering criteria of Grosvenor et al. (2018a) to match the sampling of MODIS Nd. When cloud base Nd is used, or when COSP-derived Nd is recalculated without filtering, a strong positive sensitivity of Nd to AOD becomes apparent (Figure S2). This indicates that the low sensitivity of Nd to AOD is inherent to the Nd sampling strategy over the North American outflow, rather than a result of GiOcean being unable to represent aerosol–cloud microphysical responses. We also note that the analysis of the inferred sensitivity of Nd to AOD does not account for the
635
640

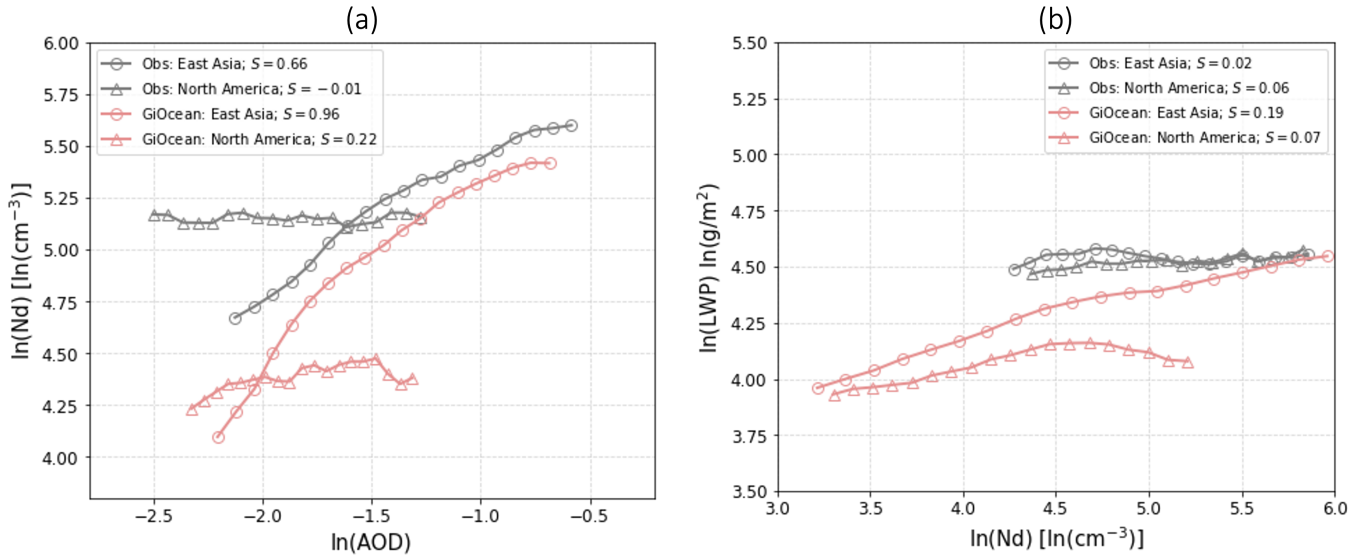


Figure 6. (a) Inferred sensitivity (S) of cloud droplet number concentration (N_d) to aerosol optical depth (AOD), and (b) inferred sensitivity of liquid water path (LWP) to N_d , based on both GiOcean (pink) and satellite observations (gray) in the outflow regions of East Asia (circles) and North America (triangles), using the sensitivity metrics defined in Eq 1 and Eq 2.

role of meteorological factors in driving the sensitivity terms. Precipitation scavenges aerosol and cloud droplets, potentially dampening the signal of changes in cloud properties induced by aerosol perturbation. We will discuss the role of precipitation in the Section 3.4.1.

3.3.2 Inferred sensitivity of liquid water path (LWP) to N_d

In addition to changes in cloud microphysics, changes in N_d can also change macrophysical cloud properties. The inferred sensitivity of LWP to N_d is characterized using Eq 2. In observation, there is a very low inferred sensitivity of LWP to N_d in both regions ($S = 0.02-0.06$) (Figure 6b: gray shapes). Instead, GiOcean shows stronger response in LWP with N_d than observed in both regions (Figure 6b: pink). In East Asian outflow, GiOcean shows monotonic increase in LWP with N_d (Figure 6b: pink circles). In the North American outflow region LWP first increases with N_d in the low N_d regime and then decrease with N_d in the high N_d regime (Figure 6: pink triangles). This is consistent with the theoretical evidence of competing precipitation suppression and entrainment effects on liquid cloud adjustment (Ackerman et al., 2004). However, interpreting the relationship between N_d and LWP is complicated by coalescence-scavenging from precipitation (Mikkelsen et al., 2025). Precipitation is a strong sink of N_d in marine low clouds (Kang et al., 2022; Wood et al., 2012), and depletes aerosol at cloud base (Textor et al., 2006). The amount of liquid water in clouds (i.e., LWP) also depends on how much rain the clouds produce, which is strongly controlled by environmental factors such as large-scale atmospheric circulation and sea surface temperature patterns.

To illustrate the importance of precipitation in the context of aerosol affecting cloud microphysical properties (Eq 1) and liquid cloud adjustment induced by changes in Nd (Eq 2), we interpret the budget of Nd and LWP using a sink-source perspective in Section 3.4.

660 3.4 Source-sink budgets of cloud microphysics and macrophysics

As outlined above, GiOcean generally replicates spatial and temporal patterns of AOD and precipitation rate (Figure 1, 4, and 5). ~~The-However, the~~ correspondence between GiOcean and observations regarding cloud microphysics and macrophysics (i.e. Nd and LWP) is less robust. ~~Understanding-To understand~~ the sources of these biases~~and-whether this points towards~~ ~~issues related to how liquid clouds in GiOcean respond to aerosols or how they respond to the-, it is important to determine~~ ~~whether they arise from how GiOcean simulates the response of liquid clouds to aerosols (sources), or from how it represents the influence of~~ moisture demands from the ~~large-scale environment requires partitioning their behavior into those factors~~ large-scale environment (sinks via precipitation-scavenging). This requires separating the effects of these two factors on the cloud properties. Here, we consider cloud droplet number (Nd) and cloud liquid mass (LWP) in terms a simple source-sink ~~approximate steady state model budget framework~~ to evaluate monthly patterns of both quantities in the outflow regions identified in Figure 1. ~~We also examine a broader spatial scale covering the Northern Hemisphere (15°-65°), where most anthropogenic emissions originate.~~

~~The source sink model of Nd is based on Wood et al. (2012), which represents the steady state Nd as a function of activation of CCN into Nd from free tropospheric sources and removal of Nd through precipitation. In Wood et al. (2012), the steady state model was explicitly adapted for airborne observations and was able to show that the gradient of Nd off the coast of Peru was mostly due to increasing precipitationsinks as opposed to decreasing CCN sources. Here we do not have the same data available and instead of characterizing Nd in terms of precipitation rates estimated from radar reflectivity and airborne in-situ CCN measurements we characterize it in terms-~~

3.4.1 Source-sink budget of Nd

To visualize the response of Nd to AOD or precipitation rate we formulated the source-sink budget of Nd as two-dimensional lookup tables using monthly datasets at each grid point over the study regions. The lookup table is constructed by dividing monthly AOD and precipitation rate into 50×50 two-dimensional bins and bin averages are calculated within each bin. This allows us to examine how Nd responds across varying combinations of AOD and precipitation. We note that because the lookup tables are constructed using monthly data across all grid points, the Nd-AOD-precipitation relationships reflect a combination of spatial and temporal variability. Due to the large range and log-normal distributions of precipitation rate and AOD (Figure 7). While these terms are imperfect analogs to CCN near cloud and coalescence scavenging in cloud, we can compare GiOcean to spaceborne observations of these quantities.-

~~we use logarithmic bins.~~ The dependence of Nd on AOD and precipitation rate for each outflow region and for GiOcean and observations is ~~shown in-visualized in the lookup tables in~~ Figure 7. ~~We don't expect Nd to depend linearly on either AOD or~~

precipitation rate (Wood et al., 2012), so we formulate our source-sink model as a look-up table. Due to the large range and log-normal distributions of precipitation rate and AOD we use logarithmic bins

By analyzing the marginal distribution of Nd across AOD while holding precipitation rate fixed, we assess how aerosol alters cloud properties. Conversely, examining Nd across precipitation rate while holding AOD fixed provides insight into how precipitation controlled by large-scale environment modulates Nd through wet scavenging.

In both observations and GiOcean the range-

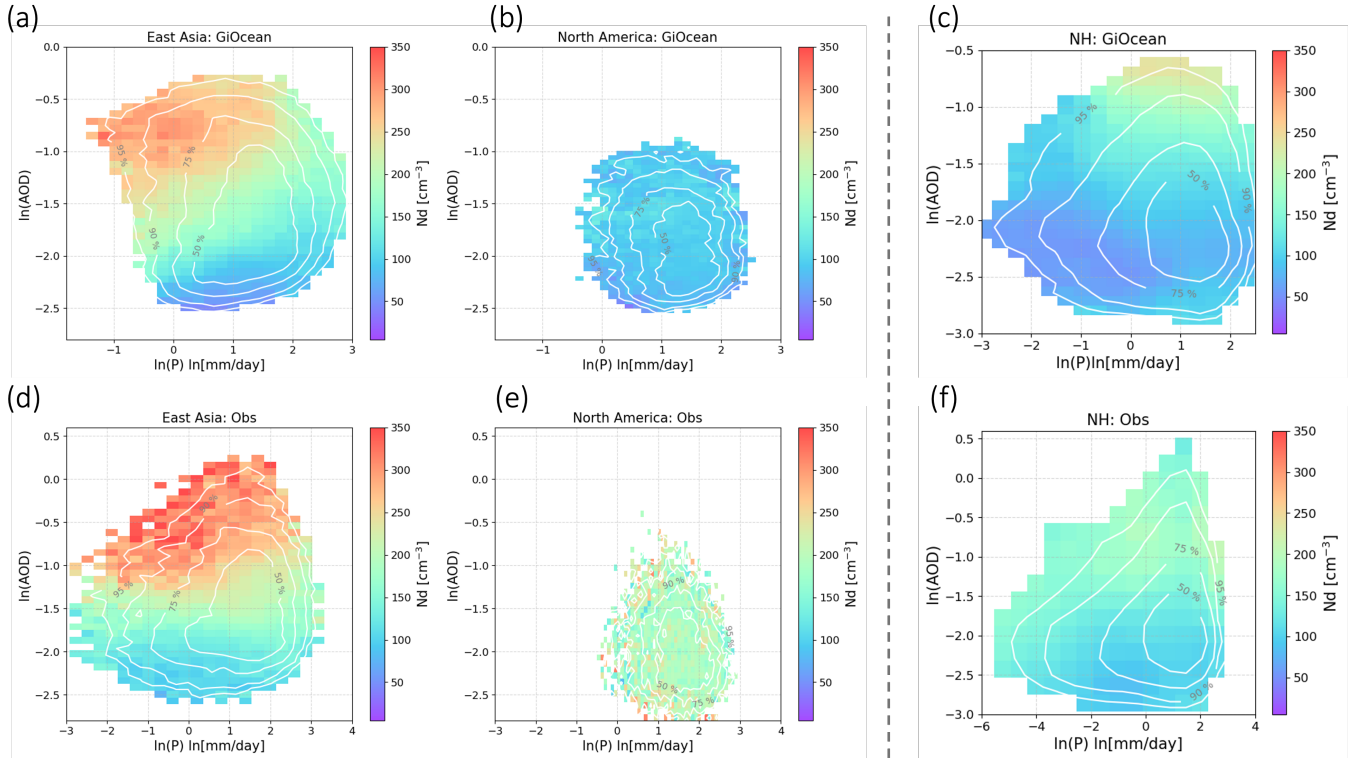


Figure 7. Cloud droplet number (Nd) composited on AOD and precipitation rate in GiOcean (abc) and from observations (def) and in the regions off the coast of East Asia (ad), North America (be) and Northern hemisphere ocean (cf). The density of points is indicated by white contours. The percentage labeled on each contour represents the fraction of monthly data points contained within that contour. The outermost white contour encloses the 2-D density region containing 95% of the monthly data points, effectively excluding extreme outliers.

Overall, the ranges of precipitation rate and AOD in the North American outflow region is much smaller than in East Asia (Figure GiOcean match those from satellite observations in the outflow regions of both East Asia and North America, as well as over the broader Northern Hemisphere (Figure 7). AOD is nearly an order of magnitude lower with a smaller range in In East Asia, the range of precipitation rate and AOD spans nearly two orders of magnitude in both GiOcean and observations (Figure 7a,d), whereas in North America, it covers only one.

700 In the North American outflow ~~region in both observations and GiOcean. However, within the data available from observations~~
~~the, the~~ pattern of Nd as a function of AOD and precipitation rate ~~from observations is similar to GiOcean in East Asian with~~
~~increasing Nd in response to AOD and decreasing Nd in response to precipitation, consistent with the expected behavior in~~
~~response to sources and sinks (Figure 7a). There is a less pronounced but similar behavior in the pristine North American~~
~~outflow apparent in GiOcean, but not in observations (Figure 7b). This is not clear for either GiOcean or observations (Figure 7b,e).~~

705 This might be because the sampling of Nd following Grosvenor et al. (2018a), which can obscure the sensitivity of Nd to AOD
and precipitation in this region. In contrast, a clearer relationship emerges in the outflow region of East Asia and over the
Northern Hemisphere ocean (first and third columns of Figure 7).

In the East Asian outflow region (first column of Figure 7), GiOcean Nd increases with AOD at fixed precipitation rates
(Figure 7a), indicating a microphysical response of Nd to aerosol loading at fixed coalescence-scavenging. Similarly, when
710 AOD is held approximately constant within its range, Nd decreases with increasing precipitation (high Nd is associated with
low precipitation rate). This pattern suggests that the Nd budget in GiOcean reflects a combination of a source driven by
effective CCN and a sink associated with wet scavenging (via precipitation), which is modulated by environmental conditions.
We use the same methodology to analyze the Nd pattern in satellite observations (Figure 7c). Similar to GiOcean, satellite data
shows an increase in Nd with increasing AOD at fixed precipitation rates, indicating a consistent aerosol–cloud microphysical
715 relationship. However, unlike GiOcean, we find a much weaker covariance between precipitation rate and Nd when AOD is
held constant, particularly at low AOD concentrations. This might suggest that, in the observational data, the precipitation sink
of Nd via wet scavenging is either less pronounced or obscured by retrieval uncertainties or other confounding factors such as
satellite sampling biases, differences in vertical overlap between precipitation and aerosol layers, or cloud regime heterogeneity
(Grosvenor et al., 2018b). The more pronounced link between Nd and precipitation rate in GiOcean might also indicate that
720 the precipitation dependence of Nd may be amplified by the representation of coalescence scavenging in GiOcean.

Extending the compositing analysis using lookup tables to the NH ocean (third column of Figure 7), the relationship between
Nd and precipitation rate becomes weaker. This weakened link is consistent in both GiOcean and satellite data (Figure 7c,f).

Overall, the dependence of Nd on AOD and precipitation rate inferred from the compositing in Figure 4–7 is consistent with
~~our a-prior~~ expectations based on Wood et al. (2012). Increasing ~~precipitation removes droplets via coalescence scavenging,~~
725 ~~resulting in a decrease in Nd with increasing precipitation rate. Increasing~~ AOD corresponds to an increase in CCN-relevant
aerosol and an increasing in Nd. This behavior is ~~clearer in GiOcean than in observations. The larger data volume and greater~~
~~range of AOD and precipitation rate in the~~ consistently captured in both GiOcean and satellite observations across regional and
broader Northern Hemisphere analyses (Figure 7a,d, c,f). Increasing precipitation removes cloud droplets through coalescence
scavenging, leading to a decrease in Nd with increasing precipitation rate. This pattern is more clearly represented in GiOcean
730 over heavily polluted regions such as East Asian outflow ~~makes this pattern more apparent in this region. This may indicate that~~
~~the dependence of Nd on sources and sinks in GiOcean is too strong, which is consistent with the strong off-coastal gradients~~
~~in Nd Figure 1d compared to observations in Figure 1e (Figure 7a), but is less pronounced in satellite observations over the~~
~~same area (Figure 7d). The weak link between precipitation and Nd is also seen in both GiOcean and satellite data over the~~
broader NH ocean (Figure 7c,f). Taken together, this suggests that precipitation scavenging of Nd may be overestimated in

735 heavily polluted regions in GiOcean. Of course, it may also be due to imperfect observations of Nd, AOD, and precipitation rate relative to the output from GiOcean which provides an exact representation of these quantities in the reanalysis grid.

~~The simple source-sink model of LWP is based on previous work examining extratropical ACI in the context of the precipitation rate imposed by the~~

3.4.2 Source-sink budget of LWP

740 The interpretation of the LWP lookup tables follows the same logic as that of the compositing analysis using Nd lookup tables discussed earlier in Section 3.4.1. We assess how liquid clouds adjust to changes in Nd by analyzing variations in LWP across Nd bins while holding precipitation rate constant. Conversely, we evaluate how large-scale moisture convergence (McCoy et al., 2020a, 2018b). In turn, the amount of cloud dictated by the precipitation rate is set by the precipitation efficiency of the cloud. One determinant of precipitation efficiency is the cloud droplet number (Song et al., 2024; Khairoutdinov and Kogan, 2000; A
745 ~~-In this context, we composite LWP on~~ influences liquid cloud amount (i.e., LWP) by examining LWP across precipitation bins while holding Nd constant (Figure 8). Furthermore, by comparing the relative sensitivity of LWP to changes in Nd and precipitation rate, we can assess how liquid cloud adjustment responds to aerosol and environmental controls in both GiOcean (Figure 8). Overall, the a,b) and satellite observations (Figure 8c,d).

The dependence of LWP on Nd and precipitation rate follows our a priori expectation. In both regions and in is consistent
750 with a priori expectations. In all study regions, and in both observations and GiOcean LWP increased, LWP increases with precipitation rate in keeping with a greater removal rate of converging moisture by clouds. In both regions in when Nd is held constant (Figure 8), consistent with the interpretation that greater large-scale moisture convergence leads to increased cloud water content, assuming precipitation efficiency remains approximately unchanged (via fixed Nd). This suggests that higher precipitation rates reflect not only enhanced removal of cloud water, but also stronger moisture supply to the cloud layer.

755 The patterns of inferred liquid cloud adjustment shows varying degree of agreement between GiOcean and satellite observations over different study regimes (Figure 8). In the North American outflow region, both GiOcean (Figure 8ab), LWP increased with Nd due to decreased precipitation efficiency, which retains more liquid cloud amount, while this behavior is less apparent in observations (Figure 8cd). Similarly to Figure 7, the range of LWP in the North American outflow region is smaller than in East Asia. The observed dependence of LWP on Nd is much weaker than predicted by GiOcean 8b) and satellite observations
760 (Figure 8e) indicate a weak liquid cloud adjustment to Nd (weak variation in LWP with Nd at fixed precipitation rate). This consistency suggests that GiOcean realistically captures the weak liquid cloud adjustment in this region.

In the East Asian outflow, GiOcean reanalysis shows that LWP increases with higher Nd when precipitation rate is held constant (Figure 8a). This pattern is consistent with the suppression of precipitation: at higher Nd, more LWP is needed to maintain the same precipitation rate due to reduced collision-coalescence efficiency. However, the liquid cloud adjustment through precipitation suppression is less pronounced in satellite observations over the East Asian outflow region (Figure 8d). This may reflect limitations in satellite retrievals, such as uncertainties in Nd under multilayer cloud conditions or partial cloud cover (Zhang et al., 2016b; Grosvenor et al., 2018b), and uncertainty in LWP under heavy-precipitating regions (Elsaesser et al., 2017). Additionally, satellite observations represent instantaneous snapshots, which may not fully capture the temporal evolution of

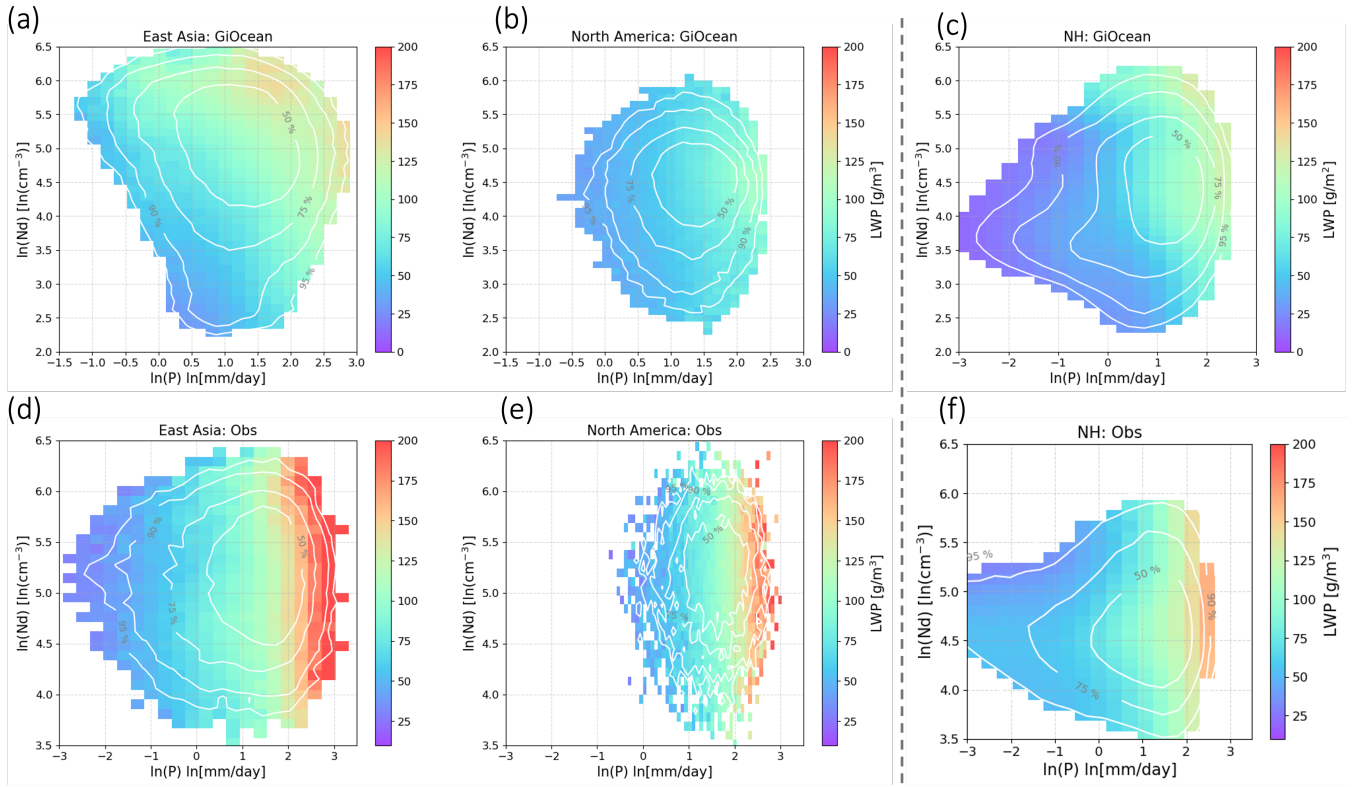


Figure 8. Similar to Figure 7 but showing liquid water path composited on Nd and precipitation rate in GiOcean (abc) and from observations (def) and in the regions off the coast of East Asia (ad), North America (be), and over Northern Hemisphere ocean (cf).

cloud water accumulation in response to aerosol loading. This may also indicate that the effects of precipitation suppression may be too strong in GiOcean overestimated in GiOcean compared with what occurs in reality in the East Asian outflow region.

To answer the question of how these inferred dependencies translate to trends in cloud microphysics and macrophysics we used the look up tables from Figure 7 and Figure 8 to predict the decadal trends. The NH ocean pattern is similar with that in the outflow of East Asia in GiOcean: LWP increases with Nd at fixed precipitation rate, indicating suppressed precipitation and accumulation of liquid water. This positive Nd–LWP relationship is especially pronounced at high precipitation rates (Figure 8c). In contrast, satellite observations show a negative Nd–LWP relationship when precipitation rate is fixed, particularly at low precipitation rates. This negative Nd–LWP correlation is found across satellite retrieval methods (Gryspeerd et al., 2019). This suggests that the contrast with GiOcean likely reflects model biases in representing cloud microphysics, rather than retrieval artifacts alone.

3.5 Analysis of the factors controlling Nd and LWP decadal variability

780 The compositing analysis using lookup tables built from monthly data at each grid points in Section 3.4 provides a diagnostic of the dependence of Nd and LWP for GiOcean and observations over the study regions. Sensitivity tests are applied for the look up tables by setting AOD, Nd, precipitation rate to a constant value and letting all other terms vary (Figure 9, 10) to understand the relative importance of on their sources and sinks, capturing a mixture of temporal and spatial variability. In this section, we characterize the factors driving historical trends in Nd and LWP, which is critical for quantifying the magnitude and evolution of radiative forcing from ACI (Wall et al., 2022), particularly in regions undergoing rapid changes in aerosol emissions, such as East Asia (Bennartz et al., 2011) and North America.

To evaluate how the dependencies of Nd and LWP on their respective sources and sinks on temporal variations of influence long-term temporal variations in cloud properties, we use RF models trained on regionally-averaged monthly time series from three study domains: the outflow regions of East Asia, North America, and the NH ocean. Separate RF models of Nd and LWP

790 -

We examined the proportion of variance in the annual means of Nd explained by the look-up table (Figure 7) when given precipitation rate are trained for GiOcean and satellite observations using source and sink variables as predictors (i.e., AOD and precipitation rate for Nd, and Nd and precipitation rate for LWP). We then conduct sensitivity experiments to assess how interannual variability in Nd and LWP responds to changes in their source and sink terms in GiOcean and satellite observations.

795 The details of each RF experiment are as follows:

1. Full-predictor case: The Nd decadal trend is predicted based on the RF model using the original monthly time series of AOD (source) and precipitation rate (sink) at their regional means as predictors. Similarly, the LWP decadal trend is predicted based on RF model using the original time series of Nd (source) and precipitation rate (sink) as predictors. This full-predictor approach is applied to both satellite observations and GiOcean data. Predictions from this case are shown as gray solid lines in Figures 9 and 10.

800

2. Fixed-sink case: In this scenario, we aim to predict the decadal trends of Nd and AOD and when setting AOD and precipitation rate a constant, respectively. For both GiOcean LWP based on RF models while holding the precipitation rate (sink) constant at its multiyear mean value. The source terms—AOD for Nd and Nd for LWP—are taken from the original monthly time series (GiOcean or satellite observations). RF model predictions from this case are shown as green solid lines in Figures 9 and 10.

805

3. Fixed-source case: The decadal trends of Nd and LWP in GiOcean and satellite observations are predicted using the RF models by setting the source terms—AOD for Nd and Nd for LWP as constant at their multiyear mean values. The sink term (precipitation rate) varies over time based on values from GiOcean or satellite observations. The Nd and LWP decadal trends predicted by fixed-source are shown in pink solid lines in Figure 9 and Figure 10.

810 We compare the fixed-source and observations, fixed-sink predictions from RF models to the original (directly available from GiOcean and satellite observations) monthly time series of Nd and LWP. Specifically, we assess how well each sensitivity case captures the interannual variability by calculating the temporal correlation (r) between their predicted regional averaged

monthly time series and that of the original datasets. In these experiments, a higher temporal correlation between the Nd-look up-table mostly captures the decadal trend of Nd over East Asia and North America outflow regions when the look up-table uses a fixed precipitation rate, with an explained variance of Nd annual means by fixed-sink prediction and the original dataset indicates that the decadal variability can be largely reproduced without accounting for variability in the sink term. This suggests that the long-term changes in Nd look-up-table predictions with fixed precipitation rate greater than 94%. However, with fixed AOD, the Nd look-up-table model is unable to reproduce the decadal trend of Nd (Figure 9 abed) with an explained variance of Nd annual means by look up-table predictions with a fixed AOD less than 25%. This suggests that the temporal variations in Nd is largely driven by aerosol (as encapsulated by the AOD) and is consistent between GiOcean and observations in both East Asia and North America regions. This is in contrast to Nd spatial variations where a stronger gradient in precipitation rate away from coasts in GiOcean compared with observations is accompanied with sharper decrease in Nd in GiOcean. However, this is consistent with the findings in Wood et al. (2012) that precipitation drives droplet concentration variability spatially in marine liquid clouds. Overall, precipitation scavenging on Nd is too strong in GiOcean compared with observations (Figure 1bd and 7) or LWP are primarily driven by variability in the source term. Conversely, a higher correlation in the fixed-source case implies that the trend is more strongly influenced by changes in the sink term. In this way, the relative correlation strength serves as a diagnostic tool to evaluate whether aerosol affecting cloud properties or large-scale environment dominate the Nd and LWP interannual variability.

The look up-table for LWP (Figure 8) was able to reproduce the observed LWP trend in the East Asia and North America outflow regions with fixed precipitation rate in GiOcean. 74% of the variability in LWP annual means is explained by LWP annual means predicted with a constant precipitation rate in the East Asia-

3.5.1 Factors driving decadal variability of Nd

Figure 9 shows the decadal predictions of Nd from RF models trained for GiOcean and satellite observations under the full-predictor (gray lines), fixed-sink (green lines), and fixed-source (pink lines) scenarios, as well as the comparison to the original datasets (black dashed lines). The performance of these sensitivity experiments is evaluated based on how well they reproduce the original temporal patterns of Nd by calculating the correlation (r) between the time series from sensitivity experiments with that of the original datasets as indicated by the r values in the legend. The RF model trained on the full-predictor experiments (Figure 9ab: gray line) successfully reproduces the decadal trends in Nd and LWP from the original datasets (Figure 9ab: black dashed line) with r -values close to 1. This agreement provides confidence in using the RF model for sensitivity tests that isolate the influence of individual source and sink terms. We examine each region of interest one by one.

In the East Asian outflow region, Nd prediction from fixed-sink (precipitation) and fixed-source (AOD) experiments in GiOcean reproduces the decadal variability of Nd with r values of 0.55 and 49% in the North America outflow region. However, the look up-table is unable to capture the LWP trend when forcing the Nd a constant in both outflow regions in GiOcean (Figure 10ab). Correlation between LWP annual means and the LWP annual means predicted with a constant Nd is close to 0 in the East Asia outflow region 0.74 (Figure 9a). This indicates that Nd interannual variability is driven by both aerosol affecting cloud microphysics (source) and wet scavenging via precipitation (sink) in this region in GiOcean. The dependence of temporal

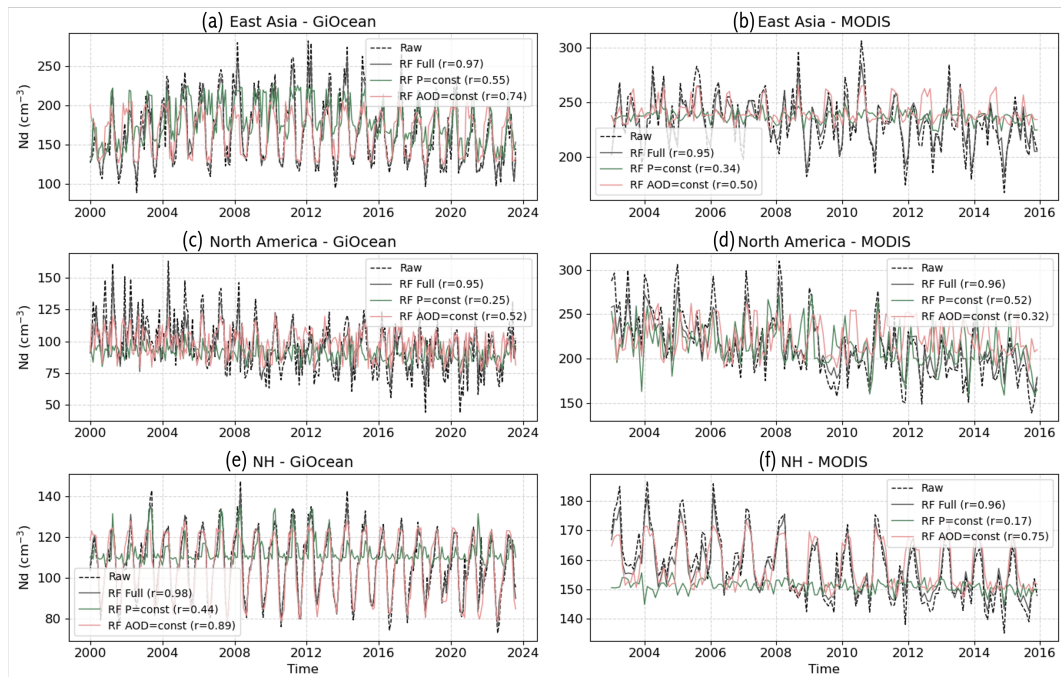


Figure 9. The monthly time series of Nd in the regions off the coast of (a,b) East Asia, (c,d) North America, and over (e,f) Northern Hemisphere ocean. The black dashed line represents the original Nd time series from GiOcean or MODIS, while the solid lines show predictions from Random Forest (RF) models. Three correlation diagnostics are included in the legend: RF Full (gray): Nd predicted by the RF model using all input variables from original datasets (sink + source), with the correlation (r) indicating how well the RF model captures year-to-year variability in regional-mean Nd. RF $P = \text{const}$ (green): Prediction with precipitation rate (sink) held constant at its multiyear mean, used to assess the influence of sink on Nd interannual variability. The correlation coefficient (r) is calculated between the monthly time series of predicted Nd from the fixed-sink experiments and the original dataset. RF AOD = const (pink): Prediction with AOD (source) held constant at its multiyear mean, used to evaluate the influence of aerosol loading on Nd variability. Higher correlations indicate a stronger ability of the model to reproduce observed decadal variability of Nd under each condition.

variability in Nd is consistent with the lookup table analysis in Figure 7a which shows the spatial and temporal variability in Nd is driven by both sinks and sources. The fixed-source (AOD) experiment has a greater capacity of recreating Nd decadal trend than fixed-sink (precipitation) case with r values of 0.74 and 0.55, implying the majority of Nd temporal variability is driven by variation in the sink term by removal of Nd through precipitation-scavenging in GiOcean (Figure 10a), indicating Nd drives the most of LWP temporal variation by precipitation-suppression effect in 9a). In the satellite observations, the East Asia-outflow-region-in-GiOcean-sink also appears to play a greater role in influencing the Nd decadal variability. However, the overall sensitivity to both source and sink is weaker than in GiOcean, as reflected by the lower correlation values in Figure 9b than in Figure 9a.

850

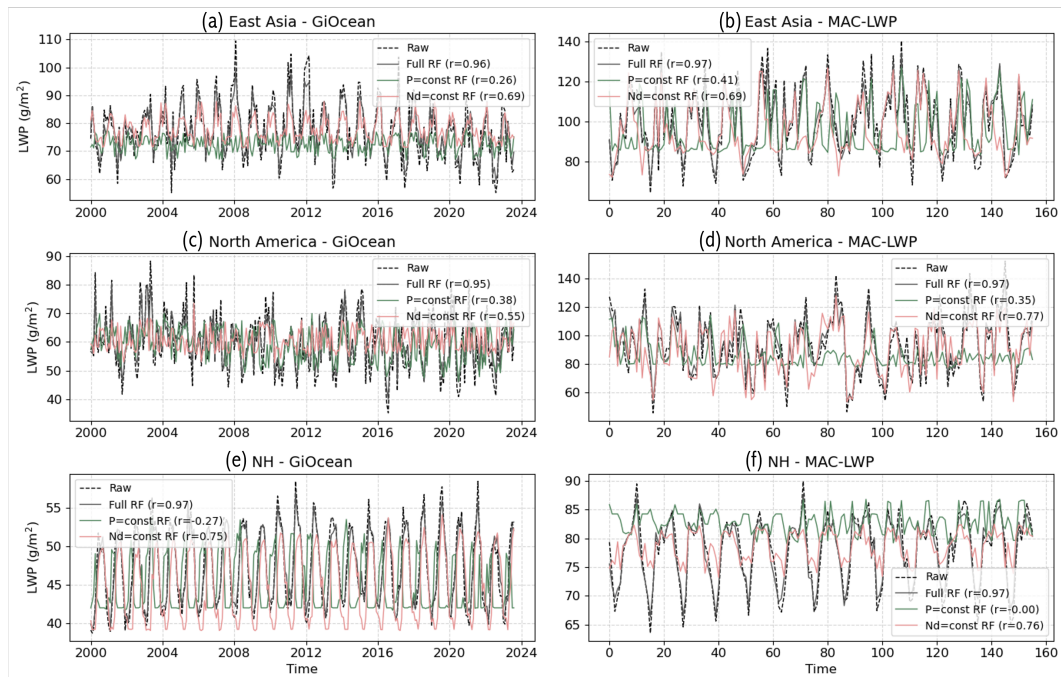


Figure 10. Same as Figure 9, but showing the monthly time series of LWP for regions off the coasts of (a, b) East Asia, (c, d) North America, and (e, f) the Northern Hemisphere ocean. In this case, fixed-source experiments refer to holding Nd constant at its multiyear mean while allowing precipitation rate to vary monthly. Fixed-sink experiments refer to holding precipitation rate constant at its multiyear mean while allowing Nd to vary monthly.

855 In the North America outflow region in GiOcean (Figure 10b) American outflow region, GiOcean and satellite observations show contrasting roles of source and sink in driving the Nd decadal variability. In GiOcean, precipitation (the sink) plays a greater role than aerosols (represented by AOD), whereas in satellite observations, AOD is more influential (Figure 9cd).

860 Extending the sensitivity analysis to NH ocean, we find a similar result to that in the East Asian outflow region: setting source a constant while letting precipitation rate varies with time largely reproduces the decadal trend in Nd, the correlation coefficients between LWP annual means with scenarios of either setting precipitation rate or Nd a constant are both positive and are greater than 0.5. In other words, both Nd and precipitation rate temporal variations contribute to LWP decadal trend, while Nd drives the majority of LWP temporal variation by precipitation suppression effect with a larger correlation coefficient of 0.7, while large-scale precipitation scavenging plays a small role in LWP decadal trend in the North America outflow region in implying precipitation (sink) plays a greater role than aerosols (source) in driving Nd interannual variability, and this pattern is consistent between GiOcean and satellite observations (Figure 9ef).

3.5.2 Factors driving decadal variability of LWP

The decadal prediction of LWP from GiOcean and satellite observations based on the full-predictor, fixed-sink, and fixed-source cases using RF models is shown in Figure 10. We evaluate the interannual variability of LWP in both GiOcean and satellite observations by comparing the fixed-source and fixed-sink predictions to their respective original LWP monthly time series. In
 870 GiOcean. ~~In contrast to GiOcean, the decadal trend of observations from MAC-LWP is largely caused by variations in IMERG precipitation in the East Asia and North America outflow~~ correlation between the original LWP time series and the fixed-source experiment (Figure 10: pink lines) is higher than that of the fixed-sink experiment (Figure 10: green lines), indicating that LWP temporal variability can be largely reproduced without accounting for changes in source (Nd). This highlights the dominant role of precipitation in controlling LWP variability. This precipitation-driven pattern in LWP temporal variability is consistently
 875 found in both GiOcean and satellite datasets across all three regions (Figure 10e). ~~This indicates the precipitation suppression effect induced by Nd variation is too strong in GiOcean compared with observations in both regions.~~ 10), which reflects the importance of large-scale environment on temporal variations in liquid cloud amount. A similar precipitation-driven signal also emerges from the joint analysis of spatial and temporal variability in LWP and is consistent between GiOcean and observations (Figure 8).

880 An interesting feature in Figure 10e is that the RF model sensitivity test, which holds regionally averaged precipitation constant, shows an anticorrelation between Nd and LWP. This contrasts with the lookup table result, which shows a positive Nd–LWP relationship at fixed precipitation rate at each grid points (Figure 8a). The difference likely reflects the effect of spatial averaging in the RF analysis, which may smooth out subregional variations and obscure the co-variability captured at the grid scale (~ 100 km) in the lookup table.

885 4 Conclusions

~~Global climate models~~ Earth system models (ESMs) have implemented two-moment cloud microphysics scheme and achieved more realistic representation of clouds (Ghan et al., 1997; Lohmann et al., 1999; Ming et al., 2007; Barahona et al., 2014b; Morrison and Gettelman, 2008), but until now reanalyses have not included two-moment microphysics coupled to aerosols. In this study, we evaluate the new GiOcean reanalysis with two-moment cloud microphysics against satellite retrievals.

890 To evaluate ACI in warm clouds in GiOcean, we first compare variables important for ACI from GiOcean with available spaceborne remote sensing in terms of spatial and temporal variability. ~~The GiOcean is in good agreement a period of 2003-2015.~~ The variables include aerosol optical depth (AOD), cloud droplet number concentration (Nd), liquid water path (LWP) and precipitation rate.

~~GiOcean agrees well~~ with MODIS AOD and IMERG precipitation ~~overall but with lower AOD in GiOcean in the Southern Ocean and areas of substantial effusive volcanic emissions.~~ The correspondence between GiOcean and observations regarding in both global spatial patterns and regional temporal variability, including seasonal cycles and decadal trends of regionally averaged values in areas with substantial emission changes (e.g., the outflow regions of East Asia and North America). It also reproduces Nd and LWP ~~is less robust within the margin of error of the retrievals~~ (Figure 1, 2, 4, 5).

A key question in GiOcean is whether the addition of two-moment cloud microphysics has created aerosol-cloud ~~adjustments~~ interactions (ACI) that are realistic. ~~This~~ Globally there is a clear connection between cloud droplet size, Nd, and aerosol emissions in GiOcean, i.e., the microphysical basis of the Twomey effect (Figure 3). This indicates that the reanalysis is able to account for aerosol effects on droplet size. The effect of Nd and aerosols on LWP through cloud adjustments is much more difficult to assess. Several factors may be responsible, including sampling biases in the satellite observations, and the role of aerosol and water vapor assimilation which may add a “non-physical” tendency to the cloud fields.

~~Several factors drive variability in Nd and LWP. Nd reflects the cloud microphysical response to aerosols (Twomey, 1977), but its interpretation is subject to some degree of causal ambiguity. Studies have shown that the primary driver of spatial patterns in Nd is precipitation rather than aerosol loading near the coast of Peru (Wood et al., 2012) and in the Southern Ocean (Kang et al., 2022). LWP is influenced by changes in cloud microphysical properties (e.g., Nd), but the majority of its variability is difficult to do because of the causally-ambiguous nature of aerosol-cloud adjustments (McCoy et al., 2020a; Gryspeerd et al., 2019).~~ The majority of variability in cloud macrophysical properties (i.e. LWP) is driven by variations in the meteorological state of the atmosphere, not rather than by the microphysical state of the clouds (i.e. Nd) (Wall et al., 2022; Bender et al., 2019; McCoy et al., 2018b). In terms of understanding aerosol-cloud adjustments through precipitation suppression, the key driver of this behavior has been argued to be precipitation rate (McCoy et al., 2020a). While less complex, Nd also suffers from some degree of causal ambiguity and the primary driver of spatial patterns of Nd is found to be precipitation rather than aerosol (Wood et al., 2012; Kang et al., 2022). This means that errors in cloud properties may be dominated by errors in how meteorology and This highlights the need to disentangle the influences of ACI and large-scale environmental factors on cloud properties, in order to determine whether the disagreement in Nd and LWP between GiOcean and satellite observations arises from differences in how meteorology—particularly large-scale moisture convergence translates to precipitation rate, translates into precipitation rate, or from differences in ACI itself.

~~To tackle~~ To tackle the attribution of liquid cloud properties to ACI or to large-scale environmental factors we put forward ~~simple models a simple source-sink budget framework~~ of liquid cloud microphysical and macrophysical properties. We expect that the relationships between sources, sinks, and state variables will be non-linear (Wood et al., 2012; McCoy et al., 2018b) and we build up look-up tables of both microphysical and macrophysical liquid cloud state variables. These are: Nd (microphysical state variable) as a function of precipitation rate (sink) and AOD (source); and LWP (macrophysical state variable) as a function of precipitation rate (sink) and Nd (source).

~~Our framework allows us to characterize Nd variability in terms of (i.e., Nd) and macrophysical (i.e., LWP) properties. Our framework allows us to characterize Nd variability in terms of sources from aerosol loading and sinks from precipitation rate (Wood et al., 2012), and to characterize LWP variability in terms of sources related to the adjustment of liquid clouds to changes in Nd and sinks associated with precipitation rate imposed by the large-scale environment. Lookup tables of Nd and LWP are built using monthly data across all grid points over three study domains: outflow of East Asia and North America, and NH ocean. This is to characterize how the joint spatial and temporal variability in Nd and LWP are driven by their sources and sinks (Wood et al., 2012). As expected, larger AOD corresponds to larger Nd and shows that,~~

Compositing analysis using lookup tables of Nd show that GiOcean agrees with satellite observations. Greater AOD (source) corresponds to greater Nd while holding precipitation rate (sink) a constant (Figure 7a,c,d,f), indicating aerosol and cloud properties are linked through aerosol activation. ~~This behavior is clearer in GiOcean than in observation and suggests that Nd in GiOcean is too strongly dependent on sources and sink compared to observations (Figure 8)~~ The dependence of Nd variability on precipitation rate is more pronounced in GiOcean in the East Asian outflow region (Figure 7a) compared to satellite observations over the same region (Figure 7d), indicating that precipitation scavenging of Nd may be overestimated in heavily polluted regions in GiOcean.

Similarly, we examine the dependence of LWP on Nd ~~as a function of the sink enforced by precipitation rate using a source-sink budget perspective~~. The dependence of LWP's spatial and temporal variability on Nd and precipitation rate is shown in lookup tables (Figure 8) and the results generally align with physical expectations. Broadly, when Nd is held constant, both GiOcean and satellite observations show that LWP increases with precipitation rate across all study regions, consistent with enhanced moisture convergence supplying more cloud water. Larger Nd corresponds to larger LWP at fixed precipitation rate in the East Asian outflow in GiOcean (Figure 8a), consistent with the implementation of two-moment cloud microphysics and precipitation suppression in GiOcean (Figure 8). ~~Broadly, However, the dependence of LWP on Nd appears to be more pronounced in GiOcean than is weak in satellite observations, suggesting that aerosol-cloud adjustments through precipitation suppression may be too active in GiOcean, an overestimated liquid cloud adjustment to changes in Nd in the East Asian outflow in GiOcean (Figure 8a)~~. Over the NH ocean, GiOcean again shows a strong positive Nd-LWP relationship at fixed precipitation, especially at high precipitation rates, whereas satellite data show a negative correlation at low precipitation. This disagreement likely reflects a combination of model biases in cloud microphysical processes and retrieval artifacts.

~~Ultimately, in~~ In terms of understanding climate we are concerned with the cloud response to long-term changes in emissions (McCoy et al., 2018a; Wall et al., 2022). We ~~find that GiOcean is able to predict decadal trends in AOD and Nd off the coasts of the Peoples Republic of China and the United State of America (Figure 5)~~ ~~based on~~ apply Random Forest models to predict interannual variability in Nd and LWP (Figure 9, Figure 10). We leverage ~~this to both test our look-up tables sensitivity tests to the decadal predictions using RF models (Figure 8, Figure 7) to attribute the factors (whether from bias in ACI or from large-scale environment) driving the interannual variability of Nd and LWP derived from observations and GiOcean and use them for attribution~~. To do this we ~~fix source and sink terms once at a time~~. Temporal compare the original monthly time series of regionally-averaged cloud properties with that from the fixed-source and fixed-sink predictions from RF models with original monthly time series of Nd and LWP.

The results suggest that interannual variations in Nd ~~in GiOcean~~ are primarily driven by variations in precipitation-scavenging, with consistent patterns between GiOcean and satellite observations in the East Asian outflow and ~~observations in outflow regions are dominated by AOD and the attributed variation to this term is consistent between observations and GiOcean (Figure 9)~~ broader NH ocean region (Figure 9). Variations in LWP in GiOcean is largely driven by Nd variation through precipitation suppression off the coasts of the Peoples Republic of China and the United State of America (the fixed Nd and full look-up table prediction are far apart), in contrast to observations which driven by the precipitation-sink term (Figure 10; the fixed Nd and full look-up table predictions are relatively close together compared to the fixed-precipitation rate prediction) The precipitation-driven

temporal variation in Nd is consistent with previous findings that emphasized the spatial control of precipitation on Nd (Wood et al., 2012). However, GiOcean shows a stronger dependence of Nd interannual variability on precipitation and AOD than observations, and a different dominant driver (sink vs. source) of Nd variability in the North American outflow, potentially contributing to the somewhat weak agreement in interannual variability in Nd there (Figure 5c,d). The interannual variability of LWP is primarily controlled by precipitation in both GiOcean and satellite observations across all study regions, highlighting the dominant role of large-scale environmental factors (Figure 10). This consistency may also explain the relatively good agreement in LWP interannual variability over the three study domains (Figure 5e,f).

In summary, GiOcean's climatology of aerosol and liquid cloud properties and precipitation rate compares favorably to observations (Figure 1 and 2). Analysis of GiOcean in the context of a simple source-sink model budget perspective of ACI shows that the two-moment cloud microphysics scheme in GiOcean realistically (i) represents the activation of aerosol into cloud droplets (Figure 7) and (ii) represents precipitation suppression due to enhanced aerosol (Figure 8). However, we find that the dependence of cloud droplets on aerosol and removal of cloud droplets by precipitation may be too strong in GiOcean compared with spaceborne remote sensing observations. We also find that the precipitation suppression effect in GiOcean might be too strong (Figure 10b). Nevertheless, (iii) shows a precipitation-driven temporal variation in Nd that is consistent with previous findings that emphasized the spatial control of precipitation on Nd (Wood et al., 2012), and (iv) represents precipitation-driven changes in long-term temporal variation in LWP, consistent with satellite observations. Discrepancy between GiOcean and satellite observations may also be attributed to the fact that satellites have limited capability to observe ACI (Christensen et al., 2017) and improved datasets would be required to better elucidate the role of aerosols, Nd, and precipitation in cloud evolution. GiOcean is the only reanalysis to date that explicitly includes aerosol-cloud interactions, and we expect it to significantly does help advance our understanding of the critical, yet still poorly understood, role of ACI on climate, particularly on decadal time scales.

Data availability. GiOcean dataset is publicly available at https://portal.nccs.nasa.gov/datashare/gmao/geos-s2s-3/GiOCEAN_e1/. cloud optical thickness and cloud effective radius (used to derive Nd) are taken from: `mod_inst_6hr_glo_L720x361_sfc`; aerosol optical depth is taken from `aer_tavg_1mo_glo_L720x361_slv`; and liquid water path and precipitation rate are taken from `sfc_tavg_3hr_glo_L720x361_sfc`.

MODIS AOD is available at <https://ladsweb.modaps.eosdis.nasa.gov/search/order/1/MODIS:Aqua>. Cloud droplet number concentration from MODIS is available at online in NetCDF format from the Centre for European Data Analysis (CEDA) (Grosvenor and Wood, 2018). MAC-LWP is available through the Goddard Earth Sciences Data and Information Services Center (GES DISC, current hosting: <http://disc.sci.gsfc.nasa.gov>). IMERG V07 daily data is available at https://disc.gsfc.nasa.gov/datasets/GPM_3IMERGDF_07/summary.

Code and data availability. GEOS Earth system model codebase is available at <https://github.com/GEOS-ESM>. The meteorological datasets during data assimilation process for GiOcean are available at https://gmao.gsfc.nasa.gov/GMAO_products/GEOS-5_FP-IT_details.php. The observational constraints used in the data assimilation are detailed in Gelaro et al. (2017); Randles et al. (2017); Molod et al. (2020).

~~CS and DTM applied the methodology and generated the results. CS, DTM and DB contributed to the analysis and interpretation of results and wrote the article. DB and AM participated in the development of GiOcean reanalysis dataset.~~

1005 *Author contributions.* CS and DTM applied the methodology and generated the results. CS, DTM, DB and TA contributed to the analysis and interpretation of results and wrote the article. DB and AM participated in the development of GiOcean reanalysis dataset.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. CS, [TA](#) and DTM were supported by NASA Grant 80NSSC21K2014 and DTM was supported by the U.S. Department of Energy's Atmospheric System Research Federal Award DE-SC002227; U.S. Department of Energy's Established Program to Stimulate Competitive Research DE-SC0024161; U.S. Department of Energy's Earth and Environmental System Modeling DE-SC0025208; and
1010 NASA Precipitation Measurement Mission Science Team Grant 80NSSC22K0609. DB was supported by the NASA Modeling, Analysis and Prediction program, Grant NNH20ZDA001N-MAP.

References

- Abdul-Razzak, H. and Ghan, S.: A parameterization of aerosol activation, 2. Multiple aerosol types., *J. Geophys. Res.*, 105, 6837–6844, doi:10.1029/1999JD901161, 2000.
- Ackerman, A. S., Toon, O. B., Taylor, J. P., Johnson, D. W., Hobbs, P. V., and Ferek, R. J.: Effects of Aerosols on Cloud Albedo: Evaluation of Twomey’s Parameterization of Cloud Susceptibility Using Measurements of Ship Tracks, *Journal of the Atmospheric Sciences*, 57, 2684–2695, [https://doi.org/10.1175/1520-0469\(2000\)057<2684:EOAOCA>2.0.CO;2](https://doi.org/10.1175/1520-0469(2000)057<2684:EOAOCA>2.0.CO;2), place: Boston MA, USA Publisher: American Meteorological Society, 2000.
- Ackerman, A. S., Kirkpatrick, M. P., Stevens, D. E., and Toon, O. B.: The impact of humidity above stratiform clouds on indirect aerosol climate forcing, *Nature*, 432, 1014–7, <https://doi.org/10.1038/nature03174>, 2004.
- Albrecht, B. A.: Aerosols, Cloud Microphysics, and Fractional Cloudiness, *Science*, 245, 1227–1230, <https://doi.org/10.1126/science.245.4923.1227>, 1989.
- Balmes, K., Fu, Q., and Thorsen, T.: The diurnal variation of the aerosol optical depth at the ARM SGP site, *Earth and Space Science*, 8, e2021EA001852, 2021.
- Bangert, M., Nenes, A., Vogel, B., Vogel, H., Barahona, D., Karydis, V. A., Kumar, P., Kottmeier, C., and Blahak, U.: Saharan dust event impacts on cloud formation and radiation over Western Europe, *Atmospheric Chemistry and Physics*, 12, 4045–4063, <https://doi.org/10.5194/acp-12-4045-2012>, 2012.
- Barahona, D. and Nenes, A.: Parameterizing the competition between homogeneous and heterogeneous freezing in cirrus cloud formation - polydisperse ice nuclei, *Atmospheric Chemistry & Physics*, 9, 5933–5948, <https://doi.org/10.5194/acp-9-5933-2009>, 2009.
- Barahona, D., Molod, A., Bacmeister, J., Nenes, A., Gettelman, A., Morrison, H., Phillips, V., and Eichmann, A.: Development of two-moment cloud microphysics for liquid and ice within the NASA Goddard Earth Observing System Model (GEOS-5), *Geosc. Model Dev.*, 7, 1733–1766, <https://doi.org/10.5194/gmd-7-1733-2014>, 2014a.
- Barahona, D., Molod, A., Bacmeister, J., Nenes, A., Gettelman, A., Morrison, H., Phillips, V., and Eichmann, A.: Development of two-moment cloud microphysics for liquid and ice within the NASA Goddard Earth Observing System Model (GEOS-5), *Geoscientific Model Development*, 7, 1733–1766, 2014b.
- Barahona, D., Molod, A., and Kalesse, H.: Direct estimation of the global distribution of vertical velocity within cirrus clouds, *Scientific Reports*, 7, 6840, <https://doi.org/10.1038/s41598-017-07038-6>, 2017.
- Bellouin, N., Quaas, J., Gryspeerdt, E., Kinne, S., Stier, P., Watson-Parris, D., Boucher, O., Carslaw, K. S., Christensen, M., Daniau, A.-L., Dufresne, J.-L., Feingold, G., Fiedler, S., Forster, P., Gettelman, A., Haywood, J. M., Lohmann, U., Malavelle, F., Mauritsen, T., McCoy, D. T., Myhre, G., Mülmenstädt, J., Neubauer, D., Possner, A., Rugenstein, M., Sato, Y., Schulz, M., Schwartz, S. E., Sourdeval, O., Storelvmo, T., Toll, V., Winker, D., and Stevens, B.: Bounding Global Aerosol Radiative Forcing of Climate Change, *Reviews of Geophysics*, 58, <https://doi.org/10.1029/2019rg000660>, 2019.
- Bellucci, A., Haarsma, R., Bellouin, N., Booth, B., Cagnazzo, C., van den Hurk, B., Keenlyside, N., Koenigk, T., Massonnet, F., Matera, S., et al.: Advancements in decadal climate predictability: The role of nonoceanic drivers, *Reviews of Geophysics*, 53, 165–202, 2015.
- Bender, F.-M., Frey, L., McCoy, D. T., Grosvenor, D. P., and Mohrmann, J. K.: Assessment of aerosol–cloud–radiation correlations in satellite observations, climate models and reanalysis, *Climate Dynamics*, 52, 4371–4392, 2019.
- Benedetti, A. and Vitart, F.: Can the direct effect of aerosols improve subseasonal predictability?, *Monthly Weather Review*, 146, 3481–3498, 2018.

- 1050 Bennartz, R.: Global assessment of marine boundary layer cloud droplet number concentration from satellite, *Journal of Geophysical Research: Atmospheres*, 112, 2007.
- Bennartz, R. and Rausch, J.: Global and regional estimates of warm cloud droplet number concentration based on 13 years of AQUA-MODIS observations, *Atmos. Chem. Phys.*, 17, 9815–9836, <https://doi.org/10.5194/acp-17-9815-2017>, 2017.
- Bennartz, R., Fan, J., Rausch, J., Leung, L. R., and Heidinger, A. K.: Pollution from China increases cloud droplet number, suppresses rain
 1055 over the East China Sea, *Geophysical Research Letters*, 38, n/a–n/a, <https://doi.org/10.1029/2011gl047235>, 2011.
- Board, O. S., National Academies of Sciences, E., Medicine, et al.: Next generation earth system prediction: strategies for subseasonal to seasonal forecasts, National Academies Press, 2016.
- Bodas-Salcedo, A., Webb, M., Bony, S., Chepfer, H., Dufresne, J.-L., Klein, S., Zhang, Y., Marchand, R., M. Haynes, J., Pincus, R., and John, V.: COSP: Satellite simulation software for model assessment, *Bulletin of the American Meteorological Society*, 92, 1023–1043,
 1060 <https://doi.org/10.1175/2011BAMS2856.1>, 2011.
- Bozzo, A., Benedetti, A., Flemming, J., Kipling, Z., and Remy, S.: An aerosol climatology for global models based on the tropospheric aerosol scheme in the Integrated Forecasting System of ECMWF, *Geoscientific Model Development*, 13, 1007–1034, 2020.
- Breen, K. H., Barahona, D., Yuan, T., Bian, H., and James, S. C.: Effect of volcanic emissions on clouds during the 2008 and 2018 Kilauea degassing events, *Atmospheric Chemistry and Physics*, 21, 7749–7771, <https://doi.org/10.5194/acp-21-7749-2021>, 2021.
- 1065 Bretherton, C. S., Blossey, P. N., and Uchida, J.: Cloud droplet sedimentation, entrainment efficiency, and subtropical stratocumulus albedo, *Geophysical Research Letters*, 34, <https://doi.org/10.1029/2006gl027648>, 2007.
- Buchard, V., Da Silva, A., Randles, C., Colarco, P., Ferrare, R., Hair, J., Hostetler, C., Tackett, J., and Winker, D.: Evaluation of the surface PM_{2.5} in Version 1 of the NASA MERRA Aerosol Reanalysis over the United States, *Atmospheric Environment*, 125, 100–111, 2016a.
- Buchard, V., Da Silva, A., Randles, C., Colarco, P., Ferrare, R., Hair, J., Hostetler, C., Tackett, J., and Winker, D.: Evaluation of the surface PM_{2.5} in Version 1 of the NASA MERRA Aerosol Reanalysis over the United States, *Atmospheric Environment*, 125, 100–111,
 1070 <https://doi.org/10.1016/j.atmosenv.2015.11.004>, 2016b.
- Carn, S., Yang, K., Prata, A., and Krotkov, N.: Extending the long-term record of volcanic SO₂ emissions with the Ozone Mapping and Profiler Suite nadir mapper, *Geophysical Research Letters*, 42, 925–932, <https://doi.org/10.1002/2014GL062437>, 2015.
- Carn, S., Fioletov, V., McLinden, C., Li, C., and Krotkov, N.: A decade of global volcanic SO₂ emissions measured from space, *Scientific reports*, 7, 44 095, <https://doi.org/10.1038/srep44095>, 2017a.
- 1075 Carn, S. A., Fioletov, V. E., McLinden, C. A., Li, C., and Krotkov, N. A.: A decade of global volcanic SO₂ emissions measured from space, *Scientific Reports*, 7, 44 095, <https://doi.org/10.1038/srep44095> <https://www.nature.com/articles/srep44095#supplementary-information>, 2017b.
- Christensen, M. W., Neubauer, D., Poulsen, C. A., Thomas, G. E., McGarragh, G. R., Povey, A. C., Proud, S. R., and Grainger, R. G.:
 1080 Unveiling aerosol–cloud interactions–Part 1: Cloud contamination in satellite products enhances the aerosol indirect forcing estimate, *Atmospheric Chemistry and Physics*, 17, 13 151–13 164, 2017.
- Colarco, P. R., Nowottnick, E. P., Randles, C. A., Yi, B., Yang, P., Kim, K.-M., Smith, J. A., and Colarco, N. F.: Impact of radiatively interactive dust aerosols in the NASA GEOS-5 climate model: Sensitivity to dust particle shape and refractive index, *Journal of Geophysical Research: Atmospheres*, 115, D23 203, <https://doi.org/10.1029/2010JD014471>, 2010.
- 1085 Ekman, A. M.: Aerosols and their seasonal variability-Are aerosols important for seasonal prediction?, *ECMWF Seminar on Seasonal Prediction*, 2012.

- Ekman, A. M.: Do sophisticated parameterizations of aerosol-cloud interactions in CMIP5 models improve the representation of recent observed temperature trends?, *Journal of Geophysical Research: Atmospheres*, 119, 817–832, 2014.
- 1090 Elsaesser, G., Walqui, M. v.-L., Yang, Q., Kelley, M., Ackerman, A. S., Fridlind, A., Cesana, G., Schmidt, G. A., Wu, J., Behrangi, A., et al.: Using machine learning to generate a GISS ModelE calibrated physics ensemble (CPE), *Authorea Preprints*, 2024.
- Elsaesser, G. S., O'Dell, C. W., Lebsock, M. D., Bennartz, R., Greenwald, T. J., and Wentz, F. J.: The Multi-Sensor Advanced Climatology of Liquid Water Path (MAC-LWP), *Journal of Climate*, 0, null, <https://doi.org/10.1175/jcli-d-16-0902.1>, 2017.
- Fan, J., Wang, Y., Rosenfeld, D., and Liu, X.: Review of aerosol–cloud interactions: Mechanisms, significance, and challenges, *Journal of the Atmospheric Sciences*, 73, 4221–4252, 2016.
- 1095 Field, P. R. and Furtado, K.: How biased is aircraft cloud sampling?, *Journal of Atmospheric and Oceanic Technology*, 33, 185–189, 2016.
- Gelaro, R., McCarty, W., Suárez, M. J., Todling, R., Molod, A., Takacs, L., Randles, C. A., Darmenov, A., Bosilovich, M. G., Reichle, R., et al.: The modern-era retrospective analysis for research and applications, version 2 (MERRA-2), *Journal of climate*, 30, 5419–5454, 2017.
- Ghan, S., Wang, M., Zhang, S., Ferrachat, S., Gettelman, A., Griesfeller, J., Kipling, Z., Lohmann, U., Morrison, H., Neubauer, D., et al.: Challenges in constraining anthropogenic aerosol effects on cloud radiative forcing using present-day spatiotemporal variability, *Proceedings of the National Academy of Sciences*, 113, 5804–5811, 2016.
- 1100 Ghan, S. J., Leung, L. R., Easter, R. C., and Abdul-Razzak, H.: Prediction of cloud droplet number in a general circulation model, *Journal of Geophysical Research: Atmospheres*, 102, 21 777–21 794, 1997.
- Gordon, H., Kirkby, J., Baltensperger, U., Bianchi, F., Breitenlechner, M., Curtius, J., Dias, A., Dommen, J., Donahue, N. M., Dunne, E. M., 1105 Duplissy, J., Ehrhart, S., Flagan, R. C., Frege, C., Fuchs, C., Hansel, A., Hoyle, C. R., Kulmala, M., Kürten, A., Lehtipalo, K., Makhmutov, V., Molteni, U., Rissanen, M. P., Stozkhov, Y., Tröstl, J., Tsagkogeorgas, G., Wagner, R., Williamson, C., Wimmer, D., Winkler, P. M., Yan, C., and Carslaw, K. S.: Causes and importance of new particle formation in the present-day and preindustrial atmospheres, *Journal of Geophysical Research: Atmospheres*, 122, 8739–8760, <https://doi.org/10.1002/2017JD026844>, 2017.
- Griffies, S. M.: Elements of the Modular Ocean Model (MOM), *GFDL Ocean Group Technical Report No. 7*, 2012.
- 1110 Griffies, S. M., Biastoch, A., Böning, C. W., Bryan, F. O., Danabasoglu, G., Chassignet, E. P., England, M. H., Gerdes, R., Haak, H., Hallberg, R. W., Hazeleger, W., Jungclaus, J. H., Large, W. G., Madec, G., Pirani, A., Samuels, B. L., Scheinert, M., Gupta, A. S., Severijns, C., Simmons, H. L., Treguier, A. M., Winton, M., Yeager, S. G., and Yin, J.: Formulation of an ocean model for global climate simulations, *Ocean Science*, 1, 45–79, <https://doi.org/10.5194/os-1-45-2005>, 2005.
- Grosvenor, D. and Wood, R.: MODIS cloud droplet number concentration, <https://catalogue.ceda.ac.uk/uuid/cf97ccc802d348ec8a3b6f2995dfbbff>, 2018.
- 1115 Grosvenor, D. P. and Wood, R.: The effect of solar zenith angle on MODIS cloud optical and microphysical retrievals within marine liquid water clouds, *Atmospheric Chemistry & Physics*, 14, 7291–7321, <https://doi.org/10.5194/acp-14-7291-2014>, 2014.
- Grosvenor, D. P., Sourdeval, O., and Wood, R.: Parameterizing cloud top effective radii from satellite retrieved values, accounting for vertical photon transport: quantification and correction of the resulting bias in droplet concentration and liquid water path retrievals, *Atmospheric* 1120 *Measurement Techniques*, 11, 4273–4289, 2018a.
- Grosvenor, D. P., Sourdeval, O., Zuidema, P., Ackerman, A., Alexandrov, M. D., Bennartz, R., Boers, R., Cairns, B., Chiu, J. C., Christensen, M., Deneke, H., Diamond, M., Feingold, G., Fridlind, A., Hünerbein, A., Knist, C., Kollias, P., Marshak, A., McCoy, D., Merk, D., Painemal, D., Rausch, J., Rosenfeld, D., Russchenberg, H., Seifert, P., Sinclair, K., Stier, P., van Diedenhoven, B., Wendisch, M., Werner,

- F., Wood, R., Zhang, Z., and Quaas, J.: Remote Sensing of Droplet Number Concentration in Warm Clouds: A Review of the Current State of Knowledge and Perspectives, *Reviews of Geophysics*, 56, 409–453, <https://doi.org/10.1029/2017rg000593>, 2018b.
- Gryspeerdt, E., Goren, T., Sourdeval, O., Quaas, J., Mülmenstädt, J., Dipu, S., Unglaub, C., Gettelman, A., and Christensen, M.: Constraining the aerosol influence on cloud liquid water path, *Atmospheric Chemistry & Physics*, 19, 5331–5347, <https://doi.org/10.5194/acp-19-5331-2019>, 2019.
- Gryspeerdt, E., McCoy, D. T., Crosbie, E., Moore, R. H., Nott, G. J., Painemal, D., Small-Griswold, J., Sorooshian, A., and Ziemba, L.: The impact of sampling strategy on the cloud droplet number concentration estimated from satellite data, *Atmos. Meas. Tech.*, 15, 3875–3892, <https://doi.org/10.5194/amt-15-3875-2022>, publisher: Copernicus Publications, 2022.
- Hill, C., DeLuca, C., Balaji, Suarez, M., and Da Silva, A.: The Architecture of the Earth System Modeling Framework, *Computing in Science & Engineering*, 6, 18–28, 2004.
- Huffman, G. J., Bolvin, D. T., Braithwaite, D., Hsu, K.-L., Joyce, R. J., Kidd, C., Nelkin, E. J., Sorooshian, S., Stocker, E. F., Tan, J., Wolff, D. B., and Xie, P.: Integrated Multi-satellite Retrievals for the Global Precipitation Measurement (GPM) Mission (IMERG), in: *Satellite Precipitation Measurement: Volume 1*, edited by Levizzani, V., Kidd, C., Kirschbaum, D. B., Kummerow, C. D., Nakamura, K., and Turk, F. J., pp. 343–353, Springer International Publishing, Cham, ISBN 978-3-030-24568-9, https://doi.org/10.1007/978-3-030-24568-9_19, 2020.
- Huffman, G. J., Bolvin, D. T., Joyce, R., Kelley, O. A., Nelkin, E. J., Portier, A., Stocker, E. F., Tan, J., Watters, D. C., and West, B. J.: IMERG V07 release notes, Goddard Space Flight Center: Greenbelt, MD, USA, 2023.
- Hunke, E. C.: Sea ice component of the Community Ice CodE (CICE), Version 4, Los Alamos National Laboratory Tech. Rep. LA-CC-06-012, 2008.
- IPCC: Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, ISBN ISBN 978-1-107-66182-0, 2013.
- Jin, H. and Nasiri, S. L.: Evaluation of AIRS cloud-thermodynamic-phase determination with CALIPSO, *Journal of Applied Meteorology and Climatology*, 53, 1012–1027, 2014.
- Kang, L., Marchand, R. T., Wood, R., and McCoy, I. L.: Coalescence Scavenging Drives Droplet Number Concentration in Southern Ocean Low Clouds, *Geophysical Research Letters*, n/a, e2022GL097819, <https://doi.org/10.1029/2022GL097819>, publisher: John Wiley & Sons, Ltd, 2022.
- Kasibhatla, P., Chameides, W., and John, J. S.: A three-dimensional global model investigation of seasonal variations in the atmospheric burden of anthropogenic sulfate aerosols, *Journal of Geophysical Research: Atmospheres*, 102, 3737–3759, 1997.
- Khairoutdinov, M. and Kogan, Y.: A new cloud physics parameterization in a large-eddy simulation model of marine stratocumulus, *Monthly weather review*, 128, 229–243, 2000.
- Kim, S.-W., Yoon, S.-C., Kim, J., and Kim, S.-Y.: Seasonal and monthly variations of columnar aerosol optical properties over east Asia determined from multi-year MODIS, LIDAR, and AERONET Sun/sky radiometer measurements, *Atmospheric Environment*, 41, 1634–1651, 2007.
- Koster, R. D., Suarez, M. J., Ducharme, A., Stieglitz, M., and Kumar, P.: A Catchment-based Approach to Modeling Land Surface Processes in a General Circulation Model: 1. Model Structure, *Journal of Geophysical Research: Atmosphere*, 105, 24 809–24 822, 2000.
- Levy, R., Remer, L., Kleidman, R., Mattoo, S., Ichoku, C., Kahn, R., and Eck, T.: Global evaluation of the Collection 5 MODIS dark-target aerosol products over land, *Atmospheric Chemistry and Physics*, 10, 10 399–10 420, 2010.

- Liu, Y. and Kollias, P.: Progress in Understanding and Parameterizing Fast Physics in Large-Scale Atmospheric Models, *Fast Processes in Large-Scale Atmospheric Models: Progress, Challenges, and Opportunities*, pp. 1–10, 2023.
- 1165 Liu, Y., Daum, P. H., and Yum, S. S.: Analytical expression for the relative dispersion of the cloud droplet size distribution, *Geophysical research letters*, 33, 2006.
- Liu, Y., Lin, T., Zhang, J., Wang, F., Huang, Y., Wu, X., Ye, H., Zhang, G., Cao, X., and de Leeuw, G.: Opposite effects of aerosols and meteorological parameters on warm clouds in two contrasting regions over eastern China, *Atmospheric Chemistry and Physics*, 24, 4651–4673, 2024.
- 1170 Lohmann, U., Feichter, J., Chuang, C. C., and Penner, J. E.: Prediction of the number of cloud droplets in the ECHAM GCM, *Journal of Geophysical Research: Atmospheres*, 104, 9169–9198, 1999.
- McCoy, D., Bender, F.-M., Mohrmann, J., Hartmann, D., Wood, R., and Grosvenor, D.: The global aerosol-cloud first indirect effect estimated using MODIS, MERRA, and AeroCom, *Journal of Geophysical Research: Atmospheres*, 122, 1779–1796, 2017.
- McCoy, D. T., Bender, F. A. M., Grosvenor, D. P., Mohrmann, J. K., Hartmann, D. L., Wood, R., and Field, P. R.: Predicting decadal trends in cloud droplet number concentration using reanalysis and satellite data, *Atmospheric Chemistry and Physics*, 18, 2035–2047, 1175 <https://doi.org/10.5194/acp-18-2035-2018>, 2018a.
- McCoy, D. T., Field, P. R., Schmidt, A., Grosvenor, D. P., Bender, F. A. M., Shipway, B. J., Hill, A. A., Wilkinson, J. M., and Elsaesser, G. S.: Aerosol midlatitude cyclone indirect effects in observations and high-resolution simulations, *Atmospheric Chemistry and Physics*, 18, 5821–5846, <https://doi.org/10.5194/acp-18-5821-2018>, 2018b.
- 1180 McCoy, D. T., Field, P., Gordon, H., Elsaesser, G. S., and Grosvenor, D. P.: Untangling causality in midlatitude aerosol–cloud adjustments, *Atmospheric Chemistry and Physics*, 20, 4085–4103, <https://doi.org/10.5194/acp-20-4085-2020>, 2020a.
- McCoy, I. L., McCoy, D. T., Wood, R., Regayre, L., Watson-Parris, D., Grosvenor, D. P., Mulcahy, J. P., Hu, Y., Bender, F. A. M., Field, P. R., Carslaw, K. S., and Gordon, H.: The hemispheric contrast in cloud microphysical properties constrains aerosol forcing, *Proceedings of the National Academy of Sciences*, p. 201922502, <https://doi.org/10.1073/pnas.1922502117>, 2020b.
- 1185 McCoy, I. L., Bretherton, C. S., Wood, R., Toohey, C. H., Gettelman, A., Bardeen, C. G., and Toohey, D. W.: Influences of Recent Particle Formation on Southern Ocean Aerosol Variability and Low Cloud Properties, *Journal of Geophysical Research: Atmospheres*, 126, e2020JD033 529, <https://doi.org/10.1029/2020JD033529>, publisher: John Wiley & Sons, Ltd, 2021.
- Michibata, T. and Takemura, T.: Evaluation of autoconversion schemes in a single model framework with satellite observations, *Journal of Geophysical Research: Atmospheres*, 120, 9570–9590, <https://doi.org/https://doi.org/10.1002/2015JD023818>, _eprint: <https://agupubs.onlinelibrary.wiley.com/doi/pdf/10.1002/2015JD023818>, 2015.
- 1190 Mikkelsen, A.: Constraining Aerosol-Cloud Adjustments With Surface Observations, Master’s thesis, University of Wyoming, United States – Wyoming, <https://www.libproxy.uwyo.edu/login?url=https://www.proquest.com/dissertations-theses/constraining-aerosol-cloud-adjustments-with/docview/3057539218/se-2?accountid=14793>, iISBN: 9798382724621 Publication Title: ProQuest Dissertations and Theses 31242366, 2024.
- 1195 Mikkelsen, A., McCoy, D. T., Eidhammer, T., Gettelman, A., Song, C., Gordon, H., and McCoy, I. L.: Constraining aerosol–cloud adjustments by uniting surface observations with a perturbed parameter ensemble, *Atmospheric Chemistry and Physics*, 25, 4547–4570, 2025.
- Ming, Y., Ramaswamy, V., Donner, L. J., Phillips, V. T., Klein, S. A., Ginoux, P. A., and Horowitz, L. W.: Modeling the interactions between aerosols and liquid water clouds with a self-consistent cloud scheme in a general circulation model, *Journal of the atmospheric sciences*, 64, 1189–1209, 2007.

- Molod, A., Takacs, L., Suarez, M., and Bacmeister, J.: Development of the GEOS-5 Atmospheric General Circulation Model: Evolution from MERRA to MERRA2, *Geoscientific Model Development*, 8, 1339–1356, 2015.
- Molod, A., Hackert, E., Vikhliav, Y., Zhao, B., Barahona, D., Vernieres, G., Borovikov, A., Kovach, R. M., Marshak, J., Schubert, S., et al.: GEOS-S2S version 2: The GMAO high-resolution coupled model and assimilation system for seasonal prediction, *Journal of Geophysical Research: Atmospheres*, 125, e2019JD031 767, <https://doi.org/10.1029/2019JD031767>, 2020.
- Morcrette, J.-J., Benedetti, A., Ghelli, A., Kaiser, J., and Tompkins, A.: Aerosol-cloud-radiation interactions and their impact on ECMWF/MACC forecasts, *European Centre for Medium-Range Weather Forecasts*, 2011.
- Morrison, H. and Gettelman, A.: A new two-moment bulk stratiform cloud microphysics scheme in the Community Atmosphere Model, version 3 (CAM3). Part I: Description and numerical tests, *Journal of Climate*, 21, 3642–3659, 2008.
- Morrison, H., van Lier-Walqui, M., Fridlind, A. M., Grabowski, W. W., Harrington, J. Y., Hoose, C., Korolev, A., Kumjian, M. R., Milbrandt, J. A., Pawlowska, H., et al.: Confronting the challenge of modeling cloud and precipitation microphysics, *Journal of advances in modeling earth systems*, 12, e2019MS001 689, 2020.
- Mulcahy, J. P., Jones, C., Sellar, A., Johnson, B., Boutle, I. A., Jones, A., Andrews, T., Rumbold, S. T., Mollard, J., Bellouin, N., Johnson, C. E., Williams, K. D., Grosvenor, D. P., and McCoy, D. T.: Improved Aerosol Processes and Effective Radiative Forcing in HadGEM3 and UKESM1, *Journal of Advances in Modeling Earth Systems*, 0, <https://doi.org/10.1029/2018MS001464>, 2018.
- Nakajima, T. and King, M. D.: Determination of the optical thickness and effective particle radius of clouds from reflected solar radiation measurements. Part I: Theory, *J. Atmos. Sci.*, 47, 1878–1893, 1990.
- Nowottnick, E., Colarco, P., Braun, S., Barahona, D., da Silva, A., Hlavka, D., McGill, M., and Spackman, J.: Dust impacts on the 2012 Hurricane Nadine track during the NASA HS3 field campaign, *Journal of the atmospheric sciences*, 75, 2473–2489, 2018.
- O'Dell, C. W., Wentz, F. J., and Bennartz, R.: Cloud liquid water path from satellite-based passive microwave observations: A new climatology over the global oceans, *Journal of Climate*, 21, 1721–1739, 2008.
- Penny, S. G., Kalnay, E., Carton, J. A., Hunt, B. R., Ide, K., Miyoshi, T., and Chepurin, G. A.: The Local Ensemble Transform Kalman Filter and the Running-in-place Algorithm Applied to a Global Ocean General Circulation Model, *Nonlinear Processes in Geophysics*, 20, 1031–1046, 2013.
- Pradhan, R. K. and Markonis, Y.: Performance evaluation of GPM IMERG precipitation products over the tropical oceans using Buoys, *Journal of Hydrometeorology*, 24, 1755–1770, 2023.
- Quaas, J., Stevens, B., Stier, P., and Lohmann, U.: Interpreting the cloud cover–aerosol optical depth relationship found in satellite data using a general circulation model, *Atmospheric Chemistry and Physics*, 10, 6129–6135, 2010.
- Randles, C., Da Silva, A., Buchard, V., Colarco, P., Darmenov, A., Govindaraju, R., Smirnov, A., Holben, B., Ferrare, R., Hair, J., et al.: The MERRA-2 aerosol reanalysis, 1980 onward. Part I: System description and data assimilation evaluation, *Journal of climate*, 30, 6823–6850, 2017.
- Reale, O., Lau, K., Da Silva, A., and Matsui, T.: Impact of assimilated and interactive aerosol on tropical cyclogenesis, *Geophysical research letters*, 41, 3282–3288, 2014.
- Regayre, L. A., Deaconu, L., Grosvenor, D. P., Sexton, D. M., Symonds, C., Langton, T., Watson-Paris, D., Mulcahy, J. P., Pringle, K. J., Richardson, M., et al.: Identifying climate model structural inconsistencies allows for tight constraint of aerosol radiative forcing, *Atmospheric Chemistry and Physics*, 23, 8749–8768, 2023.
- Rienecker, M. M., Suarez, M. M. J., Todling, R., Bacmeister, J., Tackacs, L., Liu, H.-C., Gu, W., Sienkiewicz, M., Koster, R. R. D., Gelaro, R., Stajner, I., Nielsen, J. E., Takacs, L., Liu, H.-C., Gu, W., Sienkiewicz, M., Koster, R. R. D., Gelaro, R., Stajner, I., and Nielsen, J. E.:

- The GEOS-5 Data Assimilation System — Documentation of Versions 5.0.1, 5.1.0, and 5.2.0, Tech. rep., NASA Goddard Space Flight Center, Greenbelt, MD, 2008.
- Seager, R., Naik, N., and Vecchi, G. A.: Thermodynamic and dynamic mechanisms for large-scale changes in the hydrological cycle in response to global warming, *Journal of Climate*, 23, 4651–4668, 2010.
- Smith, C., Forster, P., Palmer, M., Collins, B., Leach, N., and Watanabe, M.: IPCC-WG1/Chapter-7: IPCC WGI AR6 Chapter 7 (v. 1.0), 2021.
- Song, C., McCoy, D. T., Eidhammer, T., Gettelman, A., McCoy, I. L., Watson-Parris, D., Wall, C. J., Elsaesser, G., and Wood, R.: Buffering of Aerosol-Cloud Adjustments by Coupling Between Radiative Susceptibility and Precipitation Efficiency, *Geophysical Research Letters*, 51, e2024GL108 663, <https://doi.org/10.1029/2024GL108663>, publisher: John Wiley & Sons, Ltd, 2024.
- Suarez, M., Trayanov, A., Hill, C., Schopf, P., and Vikhliakov, Y.: MAPL: A High-level Programming Paradigm to Support More Rapid and Robust Encoding of Hierarchical Trees of Interacting High-performance Components, in: *Proceedings of the 2007 Symposium on Component and Framework Technology in High-performance and Scientific Computing - CompFrame '07*, pp. 11–20, ACM Press, New York, New York, USA, 2007.
- Takacs, L. L., Suárez, M. J., and Todling, R.: The Stability of Incremental Analysis Update, *Monthly Weather Review*, 146, 3259–3275, 2018.
- Tan, I. and Barahona, D.: The impacts of immersion ice nucleation parameterizations on Arctic mixed-phase stratiform cloud properties and the Arctic radiation budget in GEOS-5, *Journal of Climate*, 35, 4049–4070, <https://doi.org/10.1175/JCLI-D-21-0368.1>, 2022.
- Textor, C., Schulz, M., Guibert, S., Kinne, S., Balkanski, Y., Bauer, S., Berntsen, T., Berglen, T., Boucher, O., Chin, M., et al.: Analysis and quantification of the diversities of aerosol life cycles within AeroCom, *Atmospheric Chemistry and Physics*, 6, 1777–1813, 2006.
- Tompkins, A., Cardinali, C., Morcrette, J.-J., and Rodwell, M.: Influence of aerosol climatology on forecasts of the African Easterly Jet, *Geophysical research letters*, 32, 2005.
- Twohy, C. H., Coakley, J. A., and Tahnk, W. R.: Effect of changes in relative humidity on aerosol scattering near clouds, *Journal of Geophysical Research: Atmospheres*, 114, n/a–n/a, <https://doi.org/10.1029/2008JD010991>, 2009.
- Twomey, S.: Influence of pollution on shortwave albedo of clouds, *Journal of the Atmospheric Sciences*, 34, 1149–1152, [https://doi.org/10.1175/1520-0469\(1977\)034<1149:tiopot>2.0.co;2](https://doi.org/10.1175/1520-0469(1977)034<1149:tiopot>2.0.co;2), 1977.
- Twomey, S.: Aerosols, clouds and radiation, *Atmospheric Environment. Part A. General Topics*, 25, 2435–2442, 1991.
- Ullrich, R., Hoose, C., Möhler, O., Niemand, M., Wagner, R., Höhler, K., Hiranuma, N., Saathoff, H., and Leisner, T.: A new ice nucleation active site parameterization for desert dust and soot, *Journal of the Atmospheric Sciences*, 74, 699–717, <https://doi.org/10.1175/JAS-D-16-0074.1>, 2017.
- Uno, I., Eguchi, K., Yumimoto, K., Takemura, T., Shimizu, A., Uematsu, M., Liu, Z., Wang, Z., Hara, Y., and Sugimoto, N.: Asian dust transported one full circuit around the globe, *Nature Geoscience*, 2, 557–560, 2009.
- Wall, C. J., Norris, J. R., Possner, A., McCoy, D. T., McCoy, I. L., and Lutsko, N. J.: Assessing effective radiative forcing from aerosol–cloud interactions over the global ocean, *Proceedings of the National Academy of Sciences*, 119, e2210481119, <https://doi.org/10.1073/pnas.2210481119>, publisher: Proceedings of the National Academy of Sciences, 2022.
- Watson-Parris, D. and Smith, C. J.: Large uncertainty in future warming due to aerosol forcing, *Nature Climate Change*, <https://doi.org/10.1038/s41558-022-01516-0>, 2022.
- Wood, R.: Stratocumulus Clouds, *Monthly weather review*, 140, 2373–2423, <https://doi.org/10.1175/MWR-D-11-00121.1>, 2012.

- Wood, R., Leon, D., Lebsock, M., Snider, J., and Clarke, A. D.: Precipitation driving of droplet concentration variability in marine low clouds, *Journal of Geophysical Research: Atmospheres*, 117, n/a–n/a, <https://doi.org/10.1029/2012jd018305>, 2012.
- Yuan, T., Song, H., Oreopoulos, L., Wood, R., Bian, H., Breen, K., Chin, M., Yu, H., Barahona, D., Meyer, K., et al.: Abrupt reduction in shipping emission as an inadvertent geoengineering termination shock produces substantial radiative warming, *Communications Earth & Environment*, 5, 281, 2024.
- Zelinka, M. D., Grise, K. M., Klein, S. A., Zhou, C., DeAngelis, A. M., and Christensen, M. W.: Drivers of the low-cloud response to poleward jet shifts in the North Pacific in observations and models, *Journal of Climate*, 31, 7925–7947, 2018.
- Zhang, J., Reid, J. S., Christensen, M., and Benedetti, A.: An evaluation of the impact of aerosol particles on weather forecasts from a biomass burning aerosol event over the Midwestern United States: observational-based analysis of surface temperature, *Atmospheric Chemistry and Physics*, 16, 6475–6494, <https://doi.org/10.5194/acp-16-6475-2016>, 2016a.
- Zhang, Z., Werner, F., Cho, H.-M., Wind, G., Platnick, S., Ackerman, A., Di Girolamo, L., Marshak, A., and Meyer, K.: A framework based on 2-D Taylor expansion for quantifying the impacts of subpixel reflectance variance and covariance on cloud optical thickness and effective radius retrievals based on the bispectral method, *Journal of Geophysical Research: Atmospheres*, 121, 7007–7025, 2016b.

Comparison of the variables examined in this study between remote sensing observations (a,c,e,g) and GiOcean (b,d,f,h). GiOcean aerosol optical depth is compared to MODIS (a,b); Nd is compared to MODIS (c,d); liquid water path is compared to MAC (e,f); and precipitation is compared to IMERG (g,h). Study areas off the coast of the US and China are highlighted in white.

Comparison of zonal-mean oceanic quantities from GiOcean (a) aerosol optical depth from MODIS; (b) precipitation rate from IMERG; (c) LWP from MAC LWP; (d) Nd from MODIS. Shading denotes inter-annual variability.

Comparison of seasonal cycles in the outflow regions of East Asia (a,c,e,g) and North America (b,d,f,h) for AOD (ab), Nd (ed), LWP (ef), and precipitation rate (gh).

Comparison of decadal trends in the outflow regions of East Asia (a,c,e,g) and North America (b,d,f,h) for AOD (ab), Nd (ed), LWP (ef), and precipitation rate (gh).

Cloud droplet number composited on AOD and precipitation rate in GiOcean (ab) and from observations (ed) and in the regions off the coast of East Asia (ac) and North America (bd). The density of points in each bin is indicated with grey contours. NB redo plots with 30+min points.

Liquid water path composited on Nd and precipitation rate in GiOcean (ab) and from observations (ed) and in the regions off the coast of East Asia (ac) and North America (bd). The density of points in each bin is indicated with grey contours. NB redo plots with 30+min points.

The decadal trend in Nd in GiOcean (ab) and from observations (ed) and in the regions off the coast of East Asia (ac) and North America (bd) as predicted by the look up table in Figure 7. The residual between the look up table prediction and model is shown using error bars. Setting AOD or precipitation equal to a constant value is shown in pink and green, respectively. Using the look up table from GiOcean (Figure 7ab) is shown using solid lines. Using the look up table from observations (Figure 7ed) is shown using dashed lines. Correlation coefficient (r) is calculated between the decadal trend of Nd with look up table predictions with fixed sink and source, respectively.

The decadal trend in LWP in GiOcean (ab) and from observations (ed) and in the regions off the coast of East Asia (ac) and North America (bd) as predicted by the look up table in Figure 7. The residual between the look up table prediction and model is shown using error bars. Setting Nd or precipitation equal to a constant value is shown in pink and green, respectively. Using the look up table from GiOcean (Figure 7ab) is shown using solid lines. Using the look up table from observations (Figure 7ed) is shown using dashed lines. Correlation coefficient (r) is calculated between the decadal trend of LWP with look up table predictions with fixed sink and source, respectively.