



A first calibration of the JULES-crop version 7.4 for rice using the novel O₃-FACE experiment in China

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Abstract. Ozone (O₃) pollution poses an escalating threat to rice production and food security in China, with concentrations projected to rise under future climate scenarios. Accurately quantifying O₃ impacts on rice is thus crucial for informed agricultural planning. This study is the first to utilise Free Air Concentration Enrichment (FACE) observations specific to rice for calibrating a crop model (JULES-crop) and assessing the impacts of O₃. FACE experiments, which involve growing crops under natural field conditions while exposing them to elevated O₃ levels, provide an ideal approach for studying the effects of O₃ on crops. Utilising data from the only O₃-FACE facility dedicated to rice, we calibrated physiological and O₃-response parameters in JULES-crop and evaluated the model against additional independent FACE observations. The calibration establishes this as the first crop model refined with ideal open-air field observations, significantly enhancing its capability to simulate rice growth processes and O₃-induced yield losses, surpassing the performance of simulations based on the default parameters in JULES-crop. With this newly calibrated model, JULES-crop is now equipped to assess the impacts of O₃ on agriculture, offering a valuable tool to inform mitigation strategies.



1 **1 Introduction**

2 Rice is the primary energy source for over half of the world's population and plays a crucial role in global food
3 security. The rising concentration of ozone (O_3) is a major concern, contributing to significant losses in crop
4 production worldwide (Van Dingenen et al., 2009). Mills et al. (2018) estimated that the average global yield loss
5 of rice due to O_3 was 4.4% between 2010 and 2012. In China, O_3 caused relative rice yield losses of 6.2–52.9%
6 between 2014 and 2018, and 23% between 2017 and 2019 (Feng et al., 2022; Xu et al., 2021). Consequently,
7 assessing the impact of O_3 on rice growth is essential, especially as O_3 -polluted areas overlap with crop-growing
8 regions and pose a long-term threat to food security (Emberson et al., 2018).

9 The main O_3 dose-response functions used to assess rice yield loss include concentration-based methods, such as
10 the accumulated dose of O_3 over 40 ppb (AOT40) and the daily mean seven-hour concentrations (M7), and flux-
11 based methods, such as the phytotoxic O_3 dose (POD) (Tai et al., 2021). Both concentration-based and flux-based
12 methods can establish a relationship with relative yield loss based on field experiments. The relationship between
13 relative yield loss and O_3 level, known as the O_3 response function, is a valuable tool that underpins extensive
14 research into crop yield losses caused by O_3 exposure (Ramya et al., 2023).

15 Some crop models have incorporated O_3 parameters to better understand its impacts (Guarin et al., 2024; Leung
16 et al., 2020; Ewert and Porter, 2000). For instance, the Decision Support System for Agrotechnology Transfer
17 (DSSAT) crop model established an O_3 stress factor using the M7 metric (Guarin et al., 2024). GLAM-ROC
18 simulated ozone effects by reducing evapotranspiration, transpiration efficiency, and harvest index based on
19 AOT40 metric (Drouzas et al., 2020). The Joint UK Land Environment Simulator with crops (JULES-crop)
20 integrated a flux-based O_3 damage scheme developed by Sitch et al. (2007) to assess reductions in net
21 photosynthesis. Compared with concentration-based methods, flux-based methods demonstrate better
22 performance in correlating O_3 levels with relative yield loss, leading to more accurate assessments (Pleijel et al.,
23 2004; Pleijel et al., 2022; Mills et al., 2011; Ronan et al., 2020). Nonetheless, O_3 -related parameters in crop models
24 require calibration to ensure reliable performance, even when using a flux-based O_3 scheme.

25 Open-top chambers (OTC) and free air concentration enrichment (FACE) experiments are two major methods
26 used to help calibrate parameters in crop models. State-of-the-art FACE experiments, which provide more natural
27 environments for crops, are ideal for establishing O_3 exposure metrics and investigating the impacts of O_3 on crops
28 (Montes et al., 2022; Feng et al., 2018). To date, only four O_3 -FACE facilities have been established for crops
29 worldwide (Montes et al., 2022): wheat and rice experiments in China (Tang et al., 2011), wheat experiments in
30 India (Yadav et al., 2019), grape experiments in Italy (Moura et al., 2023), and soybean experiments in the United
31 States (Aspray et al., 2023). However, the rice-specific O_3 -FACE experiment has not yet been used to calibrate
32 any crop models.

33 The parameterisation of crops in JULES was developed by Osborne et al. (2015). JULES-crop incorporates flux-
34 based O_3 exposure metrics to analyse the loss of accumulated carbon based on the exact O_3 flux entering the crop
35 stomata, which is influenced by environmental conditions (Sitch et al., 2007). The impact of O_3 on crops is also
36 reflected in reductions in crop height, leaf area index (LAI), and crop yields. Additionally, Tai et al. (2021)
37 highlighted that mechanistic crop models such as JULES-crop can combine the fertilisation effects of atmospheric
38 carbon dioxide (CO_2) with the O_3 influence. Thus, JULES-crop is a suitable tool for investigating the effects of



1 O₃ on crops, accounting for environmental factors that modify the mechanisms of O₃ effects (Leung et al., 2022).
2 However, the crop growth and development parameters for rice, as well as the O₃ impact parameters within
3 JULES-crop, have not yet been calibrated. Calibrating JULES-crop would enhance its performance in simulating
4 rice production under O₃ influence.

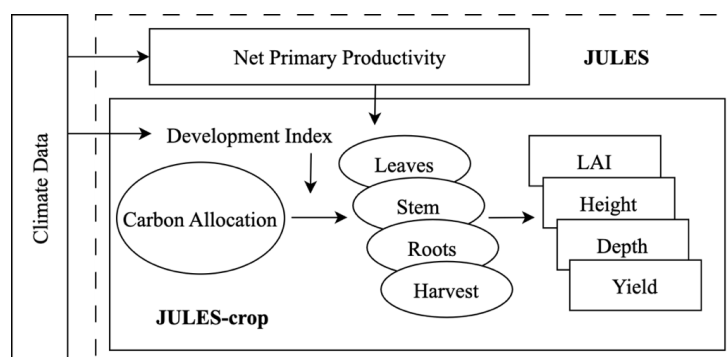
5 In this research, we calibrated the rice parameters in JULES-crop using novel O₃-FACE data, enabling leading-
6 edge future assessments of O₃ damage to rice. The study has three key objectives: (1) to calibrate JULES-crop
7 using novel O₃-FACE field data; (2) to evaluate the model's performance in capturing crop growth characteristics
8 using independent observations; and (3) to assess the impact of O₃ on rice physiology, phenology, and yields. This
9 research enhances understanding of the mechanisms through which O₃ affects rice growth and development,
10 providing a stronger basis for characterising the future impact of O₃ on rice production.

11 2 Method

12 2.1 Description of the JULES-crop

13 JULES-crop is an extension of JULES, a land surface model designed to simulate the fluxes of carbon, water,
14 energy, and momentum between the land surface and the atmosphere (Best et al., 2011; Clark et al., 2011). JULES-
15 crop was developed to simulate the growth and development of major crops, including wheat, soybean, maize,
16 and rice, under a range of environmental influences such as temperature, precipitation, radiation, and soil moisture
17 (Osborne et al., 2015). Its structure, illustrated in Fig. 1, incorporates the physiological processes of crops,
18 including photosynthesis, respiration, and biomass accumulation.

19 JULES-crop simulates the physiological and phenological processes of crops, predicting yields at both field and
20 global scales. This capability makes it a valuable tool for understanding the impacts of climate change and air
21 pollution on agriculture (Leung et al., 2022; Wolffe et al., 2021; Vianna et al., 2022). To date, winter wheat (in
22 preparation), maize (Williams et al., 2017), and soybean (Leung et al., 2020) within JULES-crop have been
23 calibrated using observational data. Mathison et al. (2021) updated several rice and wheat parameters in JULES-
24 crop, relying primarily on literature, but did not account for O₃ effects. In this study, novel O₃-FACE experimental
25 data was utilised to calibrate rice parameters in JULES-crop for the first time, improving its ability to assess O₃
26 impacts on rice growth.



28 **Figure 1.** Schematic of JULES-crop.



1 **2.2 O₃-FACE experiments**

2 The O₃-FACE experiment was conducted in Xiaoji, China (32°35'5"N, 119°42'0"E) in 2012. It features four
3 regular octagonal O₃-FACE fields (14 m in diameter) and four control fields, each covering an area of
4 approximately 120 m². The experimental fields are spaced over 70 m apart to minimise the influence of O₃ release
5 on neighbouring fields. Pipes positioned 50–60 cm above the crops released pure O₃ gas into each O₃-FACE field
6 between 09:00 and 16:00 during the rice growing period. O₃ concentrations were 25% higher than those in the
7 control fields throughout the growing period. The environmental conditions in the O₃-FACE and control fields
8 were identical, except for the presence of O₃ pipes in the O₃-FACE fields. Samples from the O₃-FACE fields were
9 collected from the field centre, at least 1.5 m away from the O₃ pipes, to ensure that the sampled rice had grown
10 under stable O₃ conditions. Further details of the O₃-FACE system can be found in Wang et al. (2012).

11 The rice cultivar used was II You 084. The rice was planted on 30th May 2012 and reached maturity on 19th
12 October 2012 in the ambient O₃ environment and 12th October 2012 in the elevated O₃ environment. During the
13 growth period, key developmental stages, such as jointing and flowering, were recorded, and crop growth
14 characteristics—including dry biomass of leaves, stems, and panicles, leaf area index, and plant height—were
15 measured at these stages to calibrate the model.

16 Three planting densities were employed during transplantation: low density (16 plants m⁻²), medium density (24
17 plants m⁻²), and high density (32 plants m⁻²). In addition to standard growth measurements, photosynthesis-related
18 variables—including leaf temperature, internal leaf CO₂ concentration, stomatal conductance, and photosynthesis
19 rate (CO₂ assimilation rate)—were assessed using a LI-6400 portable photosynthesis system. After the rice
20 reached maturity, 64 plants from each experimental field were harvested and dried to calculate the average rice
21 yields.

22 **2.3 FACE experiment for JULES-crop evaluation**

23 Following calibration, observations of rice yields, height, and the dry weight of leaves, stems, and panicles from
24 an independent FACE experiment were then used to evaluate the performance of JULES-crop. These additional
25 field experiments were conducted in Danyang, China (31°54'31"N, 119°28'21"E), and provided rice data for the
26 2022 and 2023 growing seasons. Two cultivars, Yangdao 6 and Wuyungeng 23, were transplanted on 20th July
27 2022 and 21st July 2023, respectively, and harvested between late October and early November. Yangdao 6 is an
28 Indica rice cultivar, while Wuyungeng 23 belongs to the Japonica subspecies group, both of which represent the
29 two major rice subspecies cultivated in China.

30 **2.4 Data preparation**

31 JULES-crop requires driving data, ancillary data, and control files to configure the model. Observations of hourly
32 air pressure, specific humidity, air temperature, precipitation, wind speed, and shortwave radiation (SW) recorded
33 during the O₃-FACE experiments were used as driving data. Diffuse radiation was calculated using a constant
34 diffuse fraction in the model, with the default value of 0.4 applied in this study due to the absence of observational
35 data. Surface downward longwave radiation (LW) was not measured in the O₃-FACE experiment and was instead
36 estimated using an empirical model based on local observations (Chang and Zhang, 2019):



$$R_l = \sigma \cdot (T_a)^4 \cdot \left[clf + (1 - clf) \cdot \left(a \cdot \ln \left(\frac{e_a}{T_a} \right) + b \cdot \varphi + c \right) \right]$$

where R_l is the downward LW under all kinds of sky (clear and cloudy), T_a is the air temperature; e_a is the water vapour pressure; φ is the relative humidity; σ is the Stefan-Boltzmann constant; a, b, and c are the empirical coefficients (Table 1); and clf is the cloud modification factor, set to 0 under clear sky conditions:

$$clf = 1 - K_t$$

where K_t is the clearness index which was calculated as follows:

$$K_t = \frac{H_m}{H_0}$$

where H_m represents the hourly measured solar radiation, and H_0 denotes the hourly extraterrestrial solar radiation. Detailed calculation for H_0 can be found in Kumar and Umanand (2005).

Table 1 Empirical coefficients used in the longwave radiation model.

Period	a	b	c
Daytime with the cloud impact	0.118	0	1.033
Nighttime	0.08	0.0014	1.026

11

For the ancillary data, soil property values were extracted from the ancillary dataset used in the HadGEM2-ES model, which also underpins global simulations (Osborne et al., 2015). Another crucial factor influencing crop growth, the annual average CO₂ concentration, was set based on data provided by the Global Monitoring Laboratory (GML) of the National Oceanic and Atmospheric Administration (NOAA).

The weather station for the evaluation experiments provided only daily temperature and precipitation data. Consequently, additional meteorological variables, including wind, humidity, and longwave radiation, were sourced from the ECMWF Reanalysis v5 (ERA5) dataset. However, the ERA5-generated shortwave radiation (SW) for 2022 and 2023 disrupted the JULES-crop simulations leading to unrealistically high leaf area index (LAI) values (exceeding 15). The overestimation of SW in ERA5 has been widely reported, with studies attributing it to the omission of aerosol variations and a limited capacity to simulate clouds and water vapour, resulting in an overestimation of hourly SW in China by approximately 73.95 W m⁻² (He et al., 2021; Jiang et al., 2020; Tong et al., 2023; Li et al., 2023). To address this, SW was bias-corrected using observations from the O₃-FACE experiment conducted in 2012.

Additionally, O₃ concentration observations were unavailable for the evaluation experiments. Hourly O₃ data from the nearest station of the China National Environmental Monitoring Centre (<https://www.cnemc.cn/>) were used instead. Aside from these driving data, e.g. weather variables, O₃ concentrations, CO₂ concentrations, and crop stage dates, the evaluation simulations applied the same settings and parameters as those used in the calibration.

29

30



1 **3 Result**

2 **3.1 Calibration**

3 All parameters calibrated using the O₃-FACE experiment are listed in Tables 2 (PFT parameters) and 3 (crop
4 parameters). The calibration process for rice involved four main steps. First, leaf-level simulations were calibrated
5 by fitting simulated photosynthesis rates with observed values. Nitrogen content in leaves, stems, and roots was
6 obtained from observations and literature. Observed leaf temperature, internal CO₂ concentration, and stomatal
7 conductance were used as model inputs. Photosynthesis-related parameters (primarily PFTs parameters) were
8 adjusted based on discrepancies between observed and simulated photosynthesis rates. Notably, O₃ damage was
9 not considered during this step.

10 Second, canopy-level simulations were calibrated by determining the rice growth rate and partitioning of
11 assimilated carbon. Air temperature data were used to calculate the accumulated temperature required for rice
12 growth stages and the allocation of carbon to various carbon pools was also defined during this phase.

13 Third, model simulations were evaluated against observed LAI and crop height following the calibration of crop
14 physiology parameters. Lastly, rice yields were compared with observations under both ambient and elevated O₃
15 concentrations.

16 The calibration process involved iteratively adjusting parameters manually until the model simulations fell within
17 the range of observed values. Additional adjustments were made to refine results, aiming to align them closer to
18 the central tendency of the observations. Although the number of simulations was constrained by computational
19 limitations, the process successfully achieved agreement with all available observations, ensuring no discrepancies
20 remained. While finer and finer incremental adjustments were not feasible due to computational limitations, the
21 approach effectively balanced precision and generalisation, capturing the essential crop observations without
22 overfitting.

23 **Table 2 Calibrated plant functional types (PFTs) parameters representing rice.**

Parameters		Osborne et al. (2015)	This study	The meaning of parameters
n_l	nl0_io	0.073	0.065	Leaf nitrogen concentration (kg N/kg C).
μ_{sl}	ns_nl_io	1	0.52	Ratio of stem nitrogen concentration to leaf nitrogen concentration.
μ_{rl}	nr_nl_io	1	0.46	Ratio of root nitrogen concentration to leaf nitrogen concentration.
n_e	neff_io	8E-4	1.28E-3	Scale factor relating Vcmax with leaf nitrogen concentration.
f_{dr}	fd_io	0.015	0.008	Scale factor for dark respiration.
T_{upp}	tupp_io	36	38	Upper temperature parameter for photosynthesis (deg C).
$q_{10,leaf}$	q10_leaf_io	2	2.1	Q10 factor for plant respiration.

24



1 **Table 3 Calibrated crop-related parameters representing rice.**

Parameters		Osborne et al. (2015)	This study	The meaning of parameters
TT_{emr}	tt_emr_io	60	50	Thermal time between sowing and emergence (deg C d).
TT_{veg}	tt_veg	980*	1300	Thermal time between emergence and flowering (deg Cd).
TT_{rep}	tt_rep	653*	880	Thermal time between flowering and harvest (deg Cd).
α_{root}	alpha1_io	18.5	17.4	Coefficient for determining partitioning.
α_{stem}	alpha2_io	19.0	17.4	
α_{leaf}	alpha3_io	19.5	17.9	
β_{root}	beta1_io	-19.0	-20	
β_{stem}	beta2_io	-17.0	-16.7	Coefficient for determining specific leaf area (m ² kg ⁻¹).
β_{leaf}	beta3_io	-18.5	-18.5	
γ	gamma_io	20.9	24.5	
δ	delta_io	-0.2724	-0.145	
τ	remob_io	0.25	0.12	Remobilization factor. Fraction of stem growth partitioned to reserve carbon.
$f_{c,stem}$	cfrac_s_io	0.5	0.404	Carbon fraction of dry matter for stems.
$f_{c,root}$	cfrac_r_io	0.5	0.337	Carbon fraction of dry matter for roots.
$f_{c,leaf}$	cfrac_l_io	0.5	0.399	Carbon fraction of dry matter for leaves.
κ	allo1_io	1.4	1.27	Allometric coefficient relating stem carbon to crop height.
λ	allo2_io	0.4	0.24	
μ	mu_io	0.05	2	Allometric coefficient for calculation of senescence.
ν	nu_io	0	6	Allometric coefficient for calculation of senescence.
f_{yield}	yield_frac_io	1.0	0.8	Fraction of the harvest carbon pool converted to yield carbon (yield is the economically valuable component of the harvest pool e.g. kernel).
C_{init}	initial_carbon_io	0.01	0.01	Carbon in crop at emergence in kgC/m ² .
DVI_{init}	initial_c_dvi_io	0.0	0.1	DVI at which the crop carbon is set to initial_carbon_io.
DVI_{sen}	sen_dvi_io	1.5	1.25	DVI at which leaf senescence begins.

2 * These parameters were spatially varying in Osborne et al. (2015).

3



3.1.1 Photosynthesis

The potential leaf-level photosynthesis, unaffected by water stress and O_3 effects, is calculated based on three potentially limiting rates: Rubisco-limited rate (W_c), light-limited rate (W_l), and the rate of transport of photosynthetic products (W_e) for C_3 plants, as detailed in Clark et al. (2011).

Following Farquhar et al. (1980) and Collatz et al. (1991), several parameters in the photosynthesis scheme are temperature-dependent, including the maximum rate of Rubisco carboxylation, V_m ($mol\ CO_2\ m^{-2}\ s$), which is critical for calculating both W_c and W_e . V_m is calculated assuming an optimal temperature range defined by T_{upp} and T_{low} .

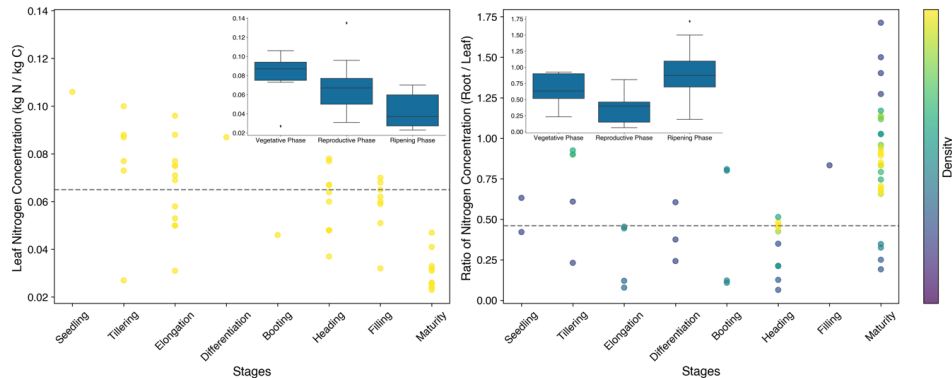
$$V_m = \frac{V_{max} f_T}{[1 + e^{0.3(T_c - T_{upp})}][1 + e^{0.3(T_{low} - T_c)}]}$$

where V_{max} is assumed to be linear dependent on the leaf nitrogen concentration, for C_3 crop, $V_{max} = n_e n_l$ and n_e is the scale factor, T_c is the leaf temperature in $^{\circ}C$, T_{upp} and T_{low} are PFT-dependent parameters, and f_T depends on the parameter $q_{10,leaf}$, the factor by which plant respiration increases by a $10^{\circ}C$ increase in temperature:

$$f_T = q_{10,leaf}^{0.1(T_c - 25)}$$

Changes in PFT parameters primarily influences the simulations of photosynthesis rate, which in turn affects the accumulation of carbon in rice. In JULES-crop, the photosynthesis process is closely linked to the nitrogen content of the crop. Leaf nitrogen concentration (n_l) is a key factor impacting the photosynthesis rate and was estimated based on literature sources (Fig.2a). As leaf nitrogen concentration declines from the vegetative to the ripening stage, the rice plant's capacity for carbon accumulation diminishes.

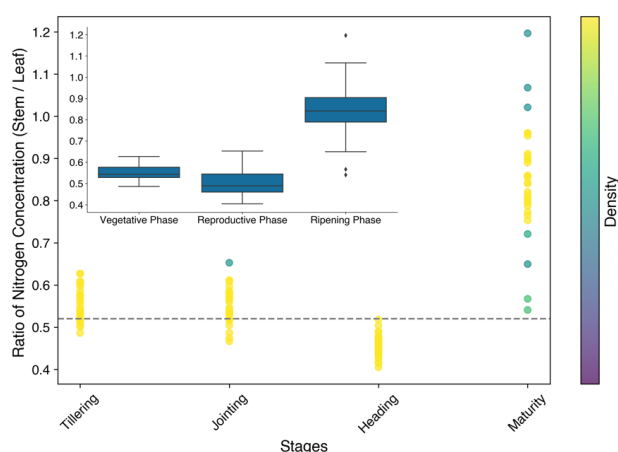
The ratio of the nitrogen content of roots relative to leaves (μ_{rl}) was also derived from literature (Fig.2b). This ratio determines the nitrogen content in the roots, which further influences the respiration rate. The maturity stage was excluded when calculating the average values for each stage. The values presented in Fig.2 were collected from rice field experiments conducted across China over the past 20 years, encompassing several rice cultivars grown in major rice-producing regions.





1 **Figure 2.** Leaf nitrogen concentration (kg N/kg C) (left) and the ratio of root nitrogen concentration to leaf nitrogen
2 concentration (right). The grey dashed line represents the values selected for the simulation, while the dots indicate the
3 observed values.

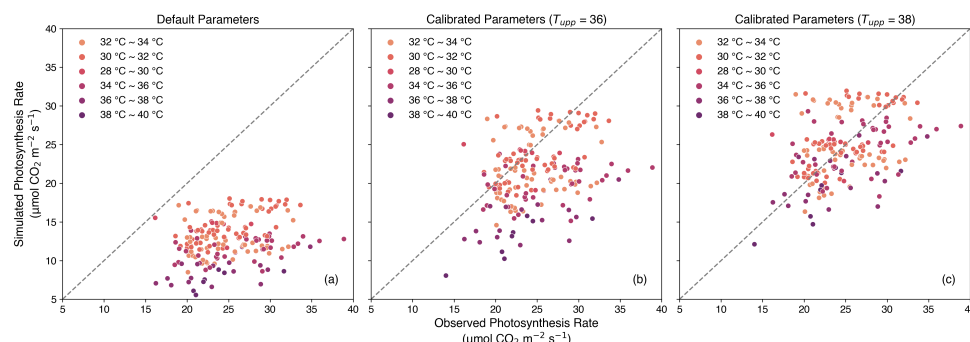
4 The ratio of the nitrogen content of stems to leaves (μ_{sl}) was determined from the O₃-FACE observations. The
5 ratio varied across growth stages, reaching its highest value during the maturity stage (Fig. 3). This is because at
6 maturity the leaves consist solely of yellow leaves, which have lower nitrogen content compared to the green
7 leaves present during earlier stages. The calibrated μ_{sl} is the average value during the tillering, jointing, and
8 heading stages.



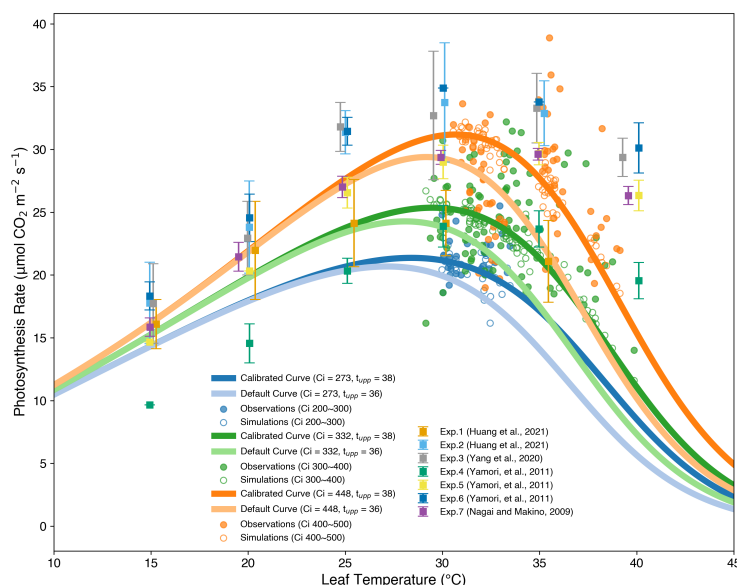
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10 **Figure 3.** Ratio of the stem nitrogen concentration to the leaf nitrogen concentration. The grey dashed line and the dots show
11 the values for the simulation and observations respectively.

12 The simulations of net leaf photosynthesis rate, using the default parameters from Osborne et al. (2015),
13 underestimated the observed values (Fig. 4a). Several parameters including n_l , n_e , f_{dr} , T_{upp} , and $q_{10,leaf}$, were
14 calibrated to make the simulation results in better agreement with observations. The standard photosynthesis
15 model assumes that the upper temperature limit for C3 crops is 36°C. However, when the temperature exceeded
16 36°C, the simulated photosynthesis rates were still underestimated (Fig. 4b). This suggests that temperatures
17 above 36°C do not have a significant negative impact on the photosynthesis rate of rice, contrary to the
18 assumptions underlying the JULES-crop parameter set (Fig. 4c).



1
2 **Figure 4.** Simulated photosynthesis rate ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) using parameters before (a) calibration and after calibration
3 without (b) or with (c) changing the upper temperature limitation parameter (T_{upp}). The dashed line is the 1:1 line.
4
5 Figure 5 shows that the simulated leaf photosynthetic rate starts to decrease at approximately 30 °C using the
6 calibrated temperature parameters while the simulated curves using the default T_{upp} from Osborne et al. (2015)
7 reached the optimum temperature at about 29 °C. The exact optimum temperature for simulations varied with
8 the intercellular CO_2 concentration of leaves (C_i). According to the experimental data collected from the
9 literature, the optimum temperature should be around 30 °C, depending on the environmental conditions such as
10 nitrogen content of leaves, light intensity, and CO_2 concentration as well as growth stages. After calibration,
11 the response of leaf photosynthetic rate to leaf temperature was closer to observations both from this study and
12 literature.



12
13 **Figure 5.** The coloured lines are simulated temperature responses of photosynthesis rate using the mean value of the
14 observed intercellular CO_2 concentration of leaves (C_i) and calibrated (38 °C) or default (36 °C) T_{upp} . The filled dots and
15 open circles represent the observations used in this study and simulations generated by calibrated parameters, respectively.



1 The error bars were taken from the experimental results collected from published experiments that were conducted under
2 different environmental conditions (see Table S1).

3 3.1.2 Rice development and assimilate partitioning

4 The development status of rice is closely linked to its phenological progression and is represented by the
5 Development Index (DVI). The DVI increases as the ratio of accumulated thermal time to the prescribed thermal
6 time for each developmental phase rises. Initially, the DVI is set to -1 at sowing, increases to 0 at emergence,
7 completes accumulation before flowering at a value of 1, and reaches a value of 2 at maturity.

8 Once rice is sown, its developmental rate, defined by the DVI, depends on the prescribed thermal time, which
9 includes the thermal time between sowing, emergence, flowering, and maturity stages (Osborne et al., 2015). The
10 thermal time (T_{eff}) can be calculated as follows:

$$11 \quad T_{eff} = \begin{cases} 0 & \text{for } T < T_b \\ T - T_b & \text{for } T_b \leq T \leq T_o \\ (T_o - T_b) \left(1 - \frac{T - T_o}{T_m - T_o}\right) & \text{for } T_o \leq T \leq T_m \\ 0 & \text{for } T \geq T_m \end{cases}$$

12 where T , T_b , T_o , and T_m are air temperature, base temperature (8 °C), optimum temperature (30 °C), and
13 maximum temperature (42 °C) respectively.

14 The changes in the value of DVI during the simulation is determined by:

$$15 \quad \frac{dDVI}{dt} = \begin{cases} T_{eff}/T_{emr} & \text{for } -1 \leq DVI < 0 \\ T_{eff}/T_{veg} & \text{for } 0 \leq DVI < 1 \\ T_{eff}/T_{rep} & \text{for } 1 \leq DVI < 2 \end{cases}$$

16 Where T_{emr} , T_{veg} , and T_{rep} represent the thermal time intervals between sowing and emergence, emergence and
17 flowering, and flowering and maturity, respectively.

18 The field experiment recorded the dates for sowing, transplanting, panicle initiation, heading, and maturity when
19 collecting samples. In China, most rice is grown in puddled fields after transplanting (Wang et al., 2017). Before
20 transplanting, rice is cultivated in nurseries and is not moved to the field until it has developed five or six leaves.
21 The prescribed thermal time was estimated based on the calculated thermal time from the observations (Table 4).
22 The observed development stages and crop characteristics are used to determine the thermal time required for the
23 model, ensuring that the following conditions are met: the model's predicted maturity stage coincides with the
24 actual timing observed in the experiment, and the DVI of crop characteristics from simulations agrees with the
25 observations. For example, the transplanting stage falls within the vegetative phase, so the DVI of observations
26 should fall within the range of 0 to 1.

27

28

29



1 **Table 4 Thermal time of rice between transplanting and maturity.**

Period	Thermal time (deg C d)
Seedling to transplanting	327.2
Transplanting to panicle initiation	788.0
Panicle initiation to heading	427.2
Heading to maturity	590.0
Seedling to maturity	2132.4

2

3 Once the development rate is determined, the accumulated net primary productivity (NPP) of each time-step was
 4 partitioned into four main carbon pools: root, stem (including structural stem and stem reserves), leaves, and the
 5 harvest pool (including yellow leaves and harvested organs which are panicles for rice).

6 The partition coefficients (p) are calculated as follows (Osborne et al., 2015):

$$7 \quad p_{root} = \frac{e^{-\alpha_{root} + \beta_{root} DVI}}{e^{-\alpha_{root} + \beta_{root} DVI} + e^{-\alpha_{stem} + \beta_{stem} DVI} + e^{-\alpha_{leaf} + \beta_{leaf} DVI} + 1}$$

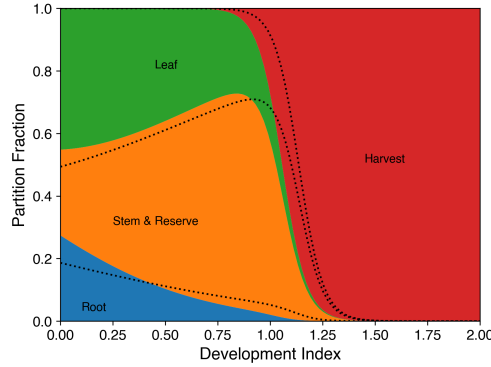
$$8 \quad p_{stem} = \frac{e^{-\alpha_{stem} + \beta_{stem} DVI}}{e^{-\alpha_{root} + \beta_{root} DVI} + e^{-\alpha_{stem} + \beta_{stem} DVI} + e^{-\alpha_{leaf} + \beta_{leaf} DVI} + 1}$$

$$9 \quad p_{leaf} = \frac{e^{-\alpha_{leaf} + \beta_{leaf} DVI}}{e^{-\alpha_{root} + \beta_{root} DVI} + e^{-\alpha_{stem} + \beta_{stem} DVI} + e^{-\alpha_{leaf} + \beta_{leaf} DVI} + 1}$$

$$10 \quad p_{harv} = \frac{1}{e^{-\alpha_{root} + \beta_{root} DVI} + e^{-\alpha_{stem} + \beta_{stem} DVI} + e^{-\alpha_{leaf} + \beta_{leaf} DVI} + 1}$$

11 Six parameters, α_{root} , α_{stem} , α_{leaf} , β_{root} , β_{stem} , and β_{leaf} , determine the partitioning process during the whole
 12 growth period. And the net primary production accumulated through photosynthesis for each time step is
 13 distributed to the four carbon pools according to the partition coefficients.

14 The parameters for carbon distribution were calibrated based on the dry weights of the stem, leaves, and panicles
 15 from field experiments. Since root carbon is not included in the observations, its partitioning value is estimated
 16 as a fraction of rice yield. Liu et al. (2023) suggested that the ratio of root dry weight to grain yield is approximately
 17 0.13, although it can vary depending on the cultivar and nitrogen application rate. Figure 6 shows the fraction of
 18 accumulated NPP partitioned into the different carbon pools using the calibrated parameters.



1

2 **Figure 6.** Fraction of daily accumulated net primary productivity partitioned to roots (purple), stems (blue), leaves (yellow),
3 and harvested parts (red) of the crop as a function of development index (DVI; 0 = emergence, 1 = flowering, 2 = maturity)
4 for rice. The black dashed line is the fraction based on parameters used in Osborne et al. (2015).

5 The accumulated carbon in different carbon pools directly affects the biomass of various rice organs. The model
6 calculates carbon accumulation and distribution, so the fractions of carbon-to-dry matter in the root, stem, and
7 leaf ($f_{C,root}$, $f_{C,stem}$, and $f_{C,leaf}$) must be defined prior to running the model. The values used in our calibrated
8 simulations were taken from the observations and are listed in Table 2, along with the default values from Osborne
9 et al. (2015). The value of the carbon fraction impacts the root growth, crop height, and LAI.

10 3.1.3 LAI and crop height

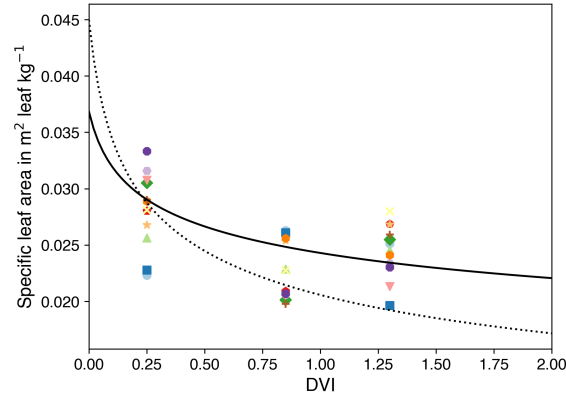
11 Leaf Area Index (LAI) is an important attribute of crops, reflecting their capacity for carbon accumulation. In
12 JULES-crop, LAI is linked to the leaf carbon pool (Osborne et al., 2015):

$$13 \quad LAI = \frac{C_{leaf}}{f_{c,leaf}} SLA$$

14 where C_{leaf} indicates the amount of carbon in leaves, $f_{c,leaf}$ represents the carbon fraction of dry matter in leaves,
15 and SLA is the specific leaf area ($m^2 \text{ leaf } kg^{-1}$):

$$16 \quad SLA = \gamma(DVI + 0.06)^\delta$$

17 where γ and δ were determined by fitting the curve between DVI and SLA (De Vries et al., 1989) from
18 observations (Fig.7).



1

2 **Figure 7.** Specific leaf area against development index. Coloured symbols indicate observations, and the colour shows the
3 data from different experiment fields. The black dashed line and the black solid line show the fit using parameters from
4 Osborne et al. (2015) and our calibrated parameters, respectively.

5 As green begin to turn yellow, leaf senescence starts and is represented by the parameter DVI_{sen} . The change from
6 green to yellow signals the transition of carbon from the leaf carbon pool to the harvest carbon pool. The transition
7 rate is simulated by reducing C_{leaf} by a specific fraction (De Vries et al., 1989),

8

$$C_{harv} = C_{harv} + \mu(DVI - DVI_{sen})^v \cdot C_{leaf}$$

9 where μ and v were determined by fitting the declining trend of carbon in green leaves following leaf senescence.

10 The simulation results are presented in Section 3.1.4.

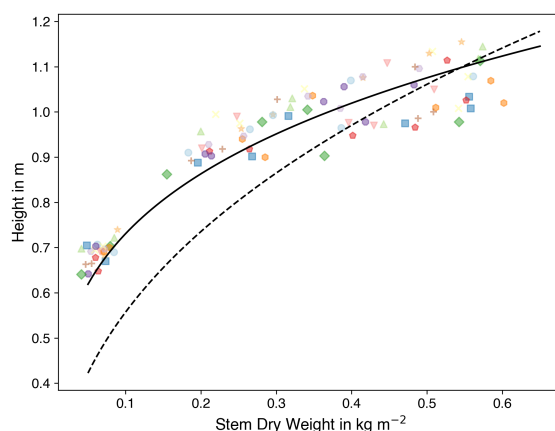
11 The calculation of crop height (h) depends on the amount of carbon in the stem (C_{stem}) (Hunt, 2012):

12

$$h = \kappa \left(\frac{C_{stem}}{f_{C,stem}} \right)^\lambda$$

13 where $f_{C,leaf}$ represents the carbon fraction of dry matter in the stem, and κ and λ were determined by fitting the

14 relationship between h and stem dry matter of stems, which is equal to $\frac{C_{stem}}{f_{C,stem}}$ (Fig.8).



1

2 **Figure 8.** Stem dry weight against crop height. Coloured symbols are observations, and the colour shows the data from
3 different experiment fields. The black dashed line and the black solid line show the fit using parameters from Osborne et al.
4 (2015) and the calibrated parameters respectively.

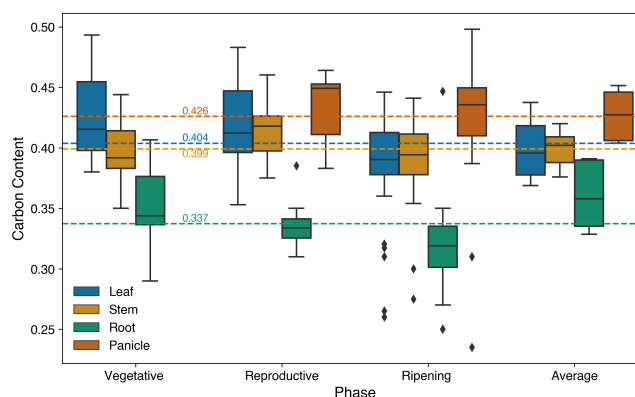
5 Similar to leaf senescence, the carbon stored in the stem reserves is mobilised into the harvest carbon pool at a
6 rate of 10% per day, once the partition coefficient for stems drops below 0.01 (De Vries et al., 1989).

7

$$C_{harv} = C_{harv} + 0.1 \cdot \tau C_{stem}$$

8 where τ represents the fraction of stem growth partitioned to reserve carbon, due to the lack of separate
9 observations of structural stem and stem reserves.

10 The observations did not include the carbon fraction, such as C_{leaf} and C_{stem} , required for the model simulation;
11 therefore, these values were sourced from literature. All the literature data were derived from rice field
12 experiments conducted in China, involving several rice cultivars to enhance representativeness (Fig. 9). The
13 carbon content of panicles was also obtained from literature and combined with the carbon in yellow leaves during
14 the ripening phase to calculate the total carbon in the harvest pool. Additionally, the fractions of carbon-to-dry
15 matter were used to compare the simulation results with the observations, which only provided dry biomass data
16 for rice.



17



Figure 9. Carbon content of the leaf, stem, root, and panicle during different crop development stages, where the average means the value collected from the literature which only provided an average value for all stages during the rice growth. The green, yellow, and blue dashed lines represent the value prescribed in the model for the fraction of carbon to the dry matter in the root, stem, and leaf respectively.

3.1.4 Comparison with O₃-FACE experiments

Figure 10 illustrates the changes in the main carbon pools throughout the entire growing period. The accumulated carbon was reduced under elevated O₃ conditions, highlighting the detrimental impact of O₃ on crop growth. At the maturity stage, total aboveground carbon under elevated O₃ was 22%–29% lower compared to ambient O₃ conditions, as shown in the observations (Fig. 10(e)(f)). Carbon levels in both the leaf and stem exhibited a similar decreasing trend due to the O₃-induced damage to the photosynthesis process and carbon accumulation. The simulations closely matched the observations, using the average carbon-to-dry biomass fraction for different growth stages to convert observed data into carbon weights (Fig. 9). It is important to note that the carbon fraction varies with cultivar and growing environment. To align the model results, which are based on carbon weight instead of dry weight, with the observed data, the average carbon-to-dry biomass ratio across all stages was applied.

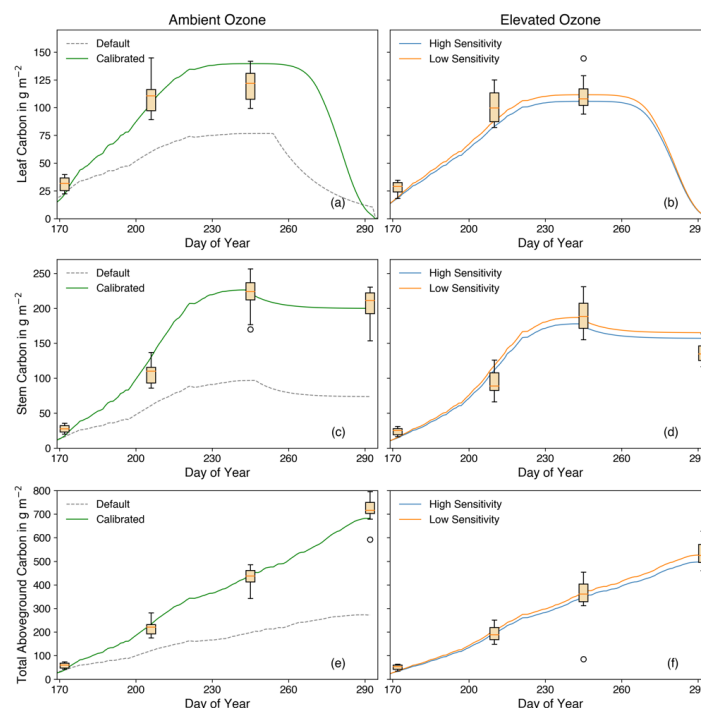


Figure 10. Leaf, stem, and total aboveground carbon against day of year under ambient and elevated ozone conditions. Box plots are observations, whereas grey, green, blue, and red lines show the simulations results using parameters from Osborne et al. (2015) and calibrated parameters under ambient ozone conditions, including high and low ozone sensitivity under elevated ozone conditions, respectively., with units of g m^{-2} .



There are two parameters in the simulations that directly relate to the impact of O_3 on the rice (Clark et al., 2011; Sitch et al., 2007) (Table 5). The reduction of the net photosynthesis rate was determined by the value of the instantaneous leaf uptake of O_3 above the threshold F_{O_3crit} , multiplied by a sensitivity parameter a (Pleijel et al., 2004). Observations using three planting densities of rice observations were used to calibrate the model. As can be seen from Fig.11, the high sensitivity and low sensitivities coincided with the upper and lower boundaries of relative yield (RY) which is calculated as follows:

$$RY = \frac{Y_{O_3}}{Y_0}$$

where Y_{O_3} represents the crop yield including O_3 damage and Y_0 represents the crop yield with no effects of O_3 .

In the Fig.11, AOT40 was used to represent the O_3 concentrations in the environment,

$$AOT40 = \sum_{i=1}^n ([O_3]_i - 0.04)$$

where $[O_3]_i$ stands for the hourly O_3 concentration level (unit: ppm h) during daylight hours (08:00–19:59), and n represents the total hours of the growing season.

Table 5 Ozone parameters calibrated for high and low sensitivity to ozone damage.

Parameters		Osborne et al. (2015)	High sensitivity	Low sensitivity	The meaning of parameters
F_{O_3crit}	fl_ O_3 _ct_io	5.0	7.0	8.0	Critical flux of O_3 to vegetation ($nmol\ m^{-2}\ s^{-1}$).
a	dfp_dcuo_io	0.25	1.2	0.7	Plant type specific O_3 sensitivity parameter ($nmol\ m^{-2}\ s^{-1}$).

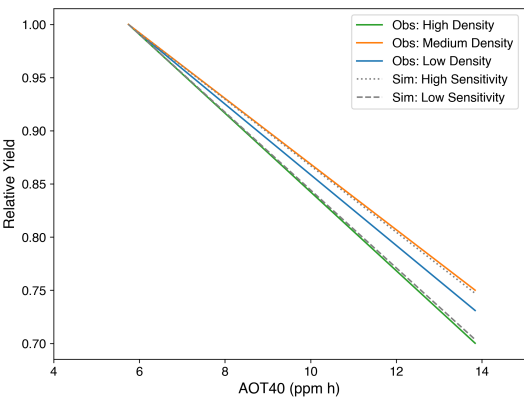
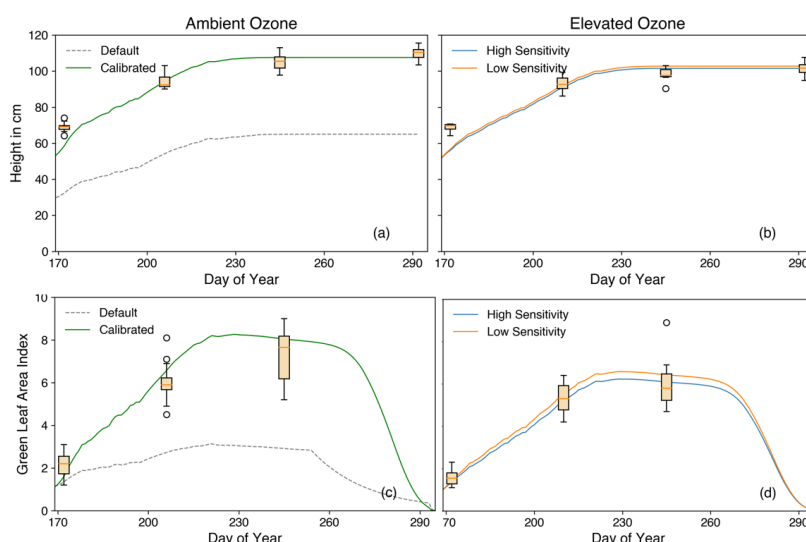


Figure 11. Relative yield against AOT40 (ppm h). Green, orange, and blue dashed lines show the relative yield of rice planted in high, medium, and low density. The grey dotted and dashed lines show the simulations of relative yield with high and low sensitivity to ozone damage respectively.



Figure 12 illustrates the height and LAI of rice under both elevated and ambient O_3 conditions. The difference in LAI and height between these two environments underscores the negative impact of O_3 on rice carbon accumulation. Post-calibration, the simulations for both LAI and height align well with observational data (Fig. 11 (a)(c)). Prior to the new calibration, simulations with default parameters from Osborne et al. (2015) significantly underestimated both parameters, largely due to the underestimated photosynthesis rate (Fig. 4). This underestimation led to reduced carbon assimilation and storage, resulting in insufficient carbon allocation to stems and leaves, which directly impacted LAI and height. It is worth noting that all plots comparing simulation and observation begin after the model's initialisation phase.



9

Figure 12. Crop height (cm) and green leaf area index (LAI) are shown versus day of year under ambient and elevated ozone conditions. Box plots show observations, and grey, green, blue, and red lines show the simulations using the default parameters from Osborne et al. (2015) and newly calibrated parameters under ambient ozone conditions, and high and low ozone sensitivity for elevated ozone conditions, respectively.

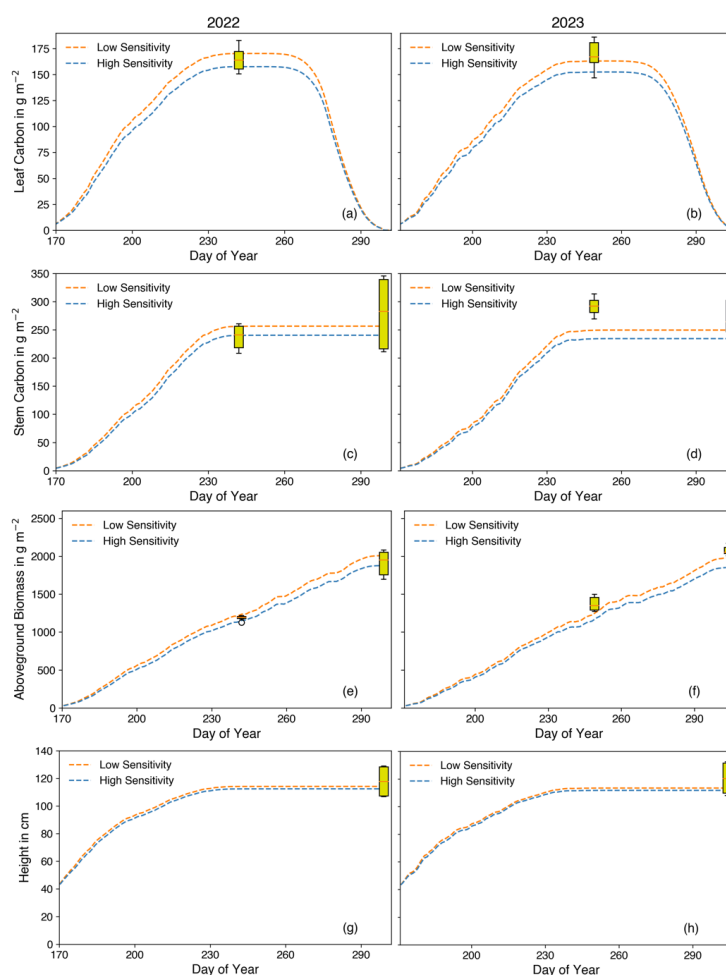
3.2 Evaluation

Figure 13 compares simulated and observed values of leaf carbon, stem carbon, total aboveground biomass, and rice height for the years 2022 and 2023, based on data from an independent FACE experiment (see section 2.2). The observations were limited to heading and maturity stages. These observations were compared to our newly calibrated JULES-crop model simulations using these FACE observations. O_3 related parameters were applied to model the impact of O_3 on rice biomass and carbon content.

The simulated stem carbon was marginally lower than the average observed values (Fig.13 (c)(d)), while total aboveground biomass was overestimated when using low O_3 sensitivity parameters (Fig.13 (e)(f)). These variations can be attributed to differences in the carbon allocation between the calibration and evaluation experiments. The seeding depth notably influenced stem weight since stems thickened nearer the root, and only



1 aboveground stems were harvested and measured. Consequently, deeper seeding resulted in a smaller fraction of
2 stem biomass relative to total aboveground biomass (Gong et al., 2023). This slight underestimation of stem
3 carbon was also evident in the simulation of crop height, which was similarly affected by seeding depth.
4 The total biomass observed in the evaluation experiment surpassed that measured in the O₃-FACE experiment,
5 particularly with a notably larger stem weight. Crop parameters were calibrated using data from the O₃-FACE
6 experiment, but differences in agronomic practices across experiments may have introduced uncertainties.



7
8 **Figure 13.** Leaf, stem, total aboveground biomass, and crop height against day of year for 2022 and 2023. Box plots are
9 observations, and blue and orange lines show the simulation results using low and high ozone sensitivity, respectively.

10 While the simulated crop height fell within the range of observed values, it was marginally lower than the average
11 measured height (Fig.13 (g)(h)). Despite variations in seeding practices between the calibration and evaluation



1 field experiments, the carbon distribution and levels aligned well with the observations. Overall, JULES-crop
2 demonstrated the ability to accurately predict rice growth and carbon allocation across various carbon pools.

3 **3.3 Limitations**

4 While this study provides a rice model calibration based on the novel O₃-FACE experiments, several limitations
5 must be acknowledged. The calibrated thermal time was specific to a particular location and should be recalculated
6 using local air temperature and rice phenology data if simulations are performed for other regions. For example,
7 the evaluation experiment conducted in 2023 in a nearby county exhibited a relatively higher thermal time than
8 the calibration experiment, primarily due to the longer growth duration. Furthermore, rice growth in the 2022 and
9 2023 evaluation experiments was severely affected by crop pests and diseases at the maturity stage, leading to
10 significant yield loss. As a result, only crop growth characteristics were used to validate the model.

11 Furthermore, although the model was calibrated and evaluated using independent experimental data, directly
12 applying the parameters to global simulations may introduce significant uncertainties. As such, global simulations
13 using the parameters derived in this study should incorporate further evaluations to verify model performance
14 (Müller et al., 2017).

15 **4 Conclusion**

16 This study marks a significant advancement in modelling rice growth and ozone (O₃) effects by providing the first
17 calibration of the JULES-crop model using rice-specific data from Free Air Concentration Enrichment (O₃-FACE)
18 experiments. These experiments offer a realistic field setting to assess the impacts of O₃ on crops, addressing
19 limitations of alternative setups such as open-top chambers (OTC) by simulating more natural environmental
20 conditions. Initial simulations with the default rice parameters in JULES-crop revealed substantial
21 underestimation of carbon accumulation throughout the growth cycle. Calibration using the most recent O₃-FACE
22 data significantly improved the model's ability to replicate rice physiology, phenology, yield, and O₃ sensitivity.

23 The calibration process involved adjusting key parameters to align simulations with observed data, including leaf
24 area indices, crop height, yield, and the biomass of leaves, stems, and panicles. The model was refined to
25 accurately represent yield reductions caused by elevated O₃ levels. Evaluation against independent field
26 experiments demonstrated good agreement between simulated outcomes and observed results, affirming the
27 model's robustness.

28 This study deepens our understanding of O₃'s impact on rice production and delivers a newly calibrated model
29 suitable for assessing future climate scenarios and O₃ effects. The study lays the groundwork for future agricultural
30 research aimed at mitigating O₃-induced yield losses, providing a valuable framework for enhancing food security
31 as O₃ levels continue to rise.

32

33

34



1 **Code availability.** This study used the JULES (Joint UK Land Environment Simulator) version 7.4, which was
2 released in November 2023. The model is available for download from the UK Met Office Science Repository
3 Service (MOSRS) (<https://code.metoffice.gov.uk/trac/jules>), with registration required. For simulating
4 photosynthesis rates, we used the Leaf Simulator (Williams et al., 2019), which is accessible at
5 <https://code.metoffice.gov.uk/trac/utls>.

6 **Data availability.** The calibrated driving data in this study are openly available in Zenodo at <https://doi.org/10.5281/zenodo.14008269>. The O₃-FACE data that supports the calibration of this study is available on request
7 from the corresponding author Lianxin Yang (lyyang@yzu.edu.cn). The FACE data for evaluation is available on
8 request from the author Yu Jiang (yujiang@njau.edu.cn).
9

10 **Author contributions**

11 BX: methodology, formal analysis, investigation, visualisation, writing – original draft preparation, revised drafts,
12 review & editing. SD: conceptualisation, research discussions and guidance, review & editing. HY:
13 conceptualisation, research discussions and guidance, review & editing. LY: provision of FACE data and advice.
14 YJ: provision of FACE data and advice. AC: research discussions and guidance, review & editing. KW: research
15 discussions, review & editing. YW: provision of FACE data and advice. TW: provision of FACE data and advice.

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24 **Competing interests**

25 The authors declare that they have no conflict of interest.

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