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# Dynamic vegetation highlights first-order climate feedbacks and their dependence on the climate mean state

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**Abstract.** We investigate seasonal vegetation feedbacks from mid-Holocene and pre-industrial simulations using the IPSL climate models with dynamical vegetation. Four different settings for the land surface model are considered, combining different choices for bare soil evaporation, photosynthesis and associated parameters, and tree mortality. Whatever the model set up, the major seasonal differences between the mid-Holocene and pre-industrial climates remain similar and consistent with the mid-Holocene greening of the Sahara and northward shift of the northern limit of forest in the northern hemisphere. However, the way in which vegetation-climate interactions trigger first-order radiative surface albedo and water vapour feedbacks depends on the model content. Cascading effects involve both local snow-vegetation interactions and remote water vapour and long-wave radiative feedbacks. We show that the parameterization of bare soil evaporation is a key factor that controls tree growth in mid and high latitudes. Photosynthesis parameterization appears to be critical in controlling the seasonal functioning of vegetation and vegetation-climate interactions. It affects the seasonal evolution of the vegetation and leaf area index, as well as their effect on radiative feedbacks and the sensitivity of the vegetation feedback to the climate mean state. It even affects the sign of the global annual mean changes in temperature and precipitation between the mid-Holocene and pre-industrial periods. Dynamical vegetation highlights behaviours that can only be fully studied in a fully coupled Earth system model. The sensitivity of these vegetation-induced feedbacks to the mean climate state needs to be better considered when developing and tuning climate models.

## 1 Introduction

The Green Sahara and the northern limit of forest in the northern hemisphere are key characteristics of the differences between the mid Holocene and present-day climate (i.e. Jolly et al., 1998; Prentice et al., 1996). Changes in vegetation during the mid-Holocene have been a focus of the Paleoclimate Modelling Intercomparison Project (PMIP) since its early days (Joussaume and Taylor, 1995). The aim have been to either understand vegetation changes and feedback on climate (i.e. Claussen and Gayler, 1997; Texier et al., 1997) or to evaluate model results (i.e. Harrison et al., 1998, 2014). Interactive coupling, whether asynchronous or synchronous, has revealed some of the key feedback loops induced by changes in vegetation, as well as how vegetation affects land albedo, soil properties and teleconnections (Joussaume et al., 1999; Levis et al., 2004; Pausata et al., 2017). These past studies have emphasized the role of the snow albedo feedback in mid and high latitudes. Typical examples concern the role of vegetation-snow albedo feedback in last glacial inception (Gallimore and Kutzbach, 1996; de Noblet et al., 1996). Fully coupled Earth System Models with asynchronous coupling or online dynamic vegetation also emphasized the role of indirect feedbacks of the vegetation on ocean circulation or sea-ice, amplifying or damping the initial effect of vegetation (Gallimore et al., 2005; Otto et al., 2009b; Wohlfahrt et al., 2004). They also raised

concerns about the strength of the forest-snow albedo feedback affecting the temperature signal in spring (Otto et al., 2011).

These earlier questions remain. Over the last 10 years, complementary questions such as the role of vegetation in reconciling the simulated temperature evolution during the early Holocene have been addressed thanks to an increase in the number of transient Holocene simulations. (Dallmeyer et al., 2022; Liu et al., 2014; Marsicek et al., 2018; Thompson et al., 2022). They also concern the relationship between long-term changes in vegetation, external forcing and variability (Braconnot et al., 2019), as well as boreal forest tipping points (Dallmeyer et al., 2021). However only a limited number of studies considers the fully coupled climate-vegetation dynamic. Despite the increase in model complexity and the growing number of models with a fully interactive carbon cycle, there are still only a few modelling groups using model configurations with fully interactive vegetation (see Arias et al., 2021). Holocene simulations with dynamic vegetation have still large model biases in the representation of vegetation, such as those discussed by Braconnot et al. (2019). Some of the difficulties arise from differences in the vegetation and land surface models (Hopcroft et al., 2017), and from the fact that first-order climate-vegetation interactions in a fully interactive system are not well understood.

Climate-vegetation feedbacks are somehow hidden in the land surface albedo and atmospheric moisture feedback in estimates of climate sensitivity (Sherwood et al., 2020). They have a direct effect on temperature, controlling vegetation, leaf area index, productivity, evapotranspiration, soil moisture, as well as snow and ice cover. They cannot be easily quantified because they depend on the mean climate state and, when it comes to simulations, the characteristics of the simulated climate mean state (Braconnot and Kageyama, 2015). A reason for this is that vegetation lies at the critical zone between land and atmosphere. Its variations depend on interconnected factors such as light, energy, water and carbon, which in turn affect climate and environmental factors. These interconnections make it difficult to determine the exact factors affecting the representation of vegetation in a fully interactive model. Dynamical vegetation introduces additional degrees of freedom in climate simulations. Consequently, a model that produces reasonable results when vegetation is prescribed maybe unable to properly reproduce the full coupled system when climate-vegetation interactions, which are neglected when vegetation is prescribed, induce first-order cascading effects in coupled mode. Vegetation feedbacks are in general overlooked when developing climate models or comparing simulations performed with different models. There is thus a risk that the linkages between the results and model content are not properly accounted for in model comparisons, since part of the results might come from vegetation-climate feedbacks that can be closely linked to the underlying mean climate state.

Here we investigate the climate-vegetation feedback and the way it triggers first-order atmospheric radiative feedbacks at the top of the atmosphere in mid-Holocene and pre-industrial simulations. We use a version of the IPSL climate models (Boucher et al., 2020) that has been slightly modified to run with the dynamic vegetation switched on. We developed four different settings of the dynamic vegetation model by combining differences in the choice of the representation of photosynthesis, bare soil evaporation and parameters defining the vegetation competition and distribution. The objective is to investigate the first-order cascading climate-vegetation feedbacks, and to identify their dependence to the model content and the climate mean state. We first compare the mid-Holocene climate

changes obtained using the different model versions. The mid-Holocene climate is a key reference period for paleoclimate modelling. It is characterised by enhanced seasonality in the Northern Hemisphere and reduced seasonality in the Southern Hemisphere compared to the present day (Joussaume and Taylor, 1995; Kageyama et al., 2018; Otto-Bliesner et al., 2017). It is well suited to investigating feedbacks occurring at the seasonal time scale, such as those induced by the seasonal evolution of vegetation in response to the seasonal cycle of the insolation forcing in mid and high northern latitudes. A focus is put on the estimations of the atmospheric feedbacks resulting from surface albedo, atmospheric water content and lapse rate following Braconnot and Kageyama (2015). We analyse the dependency of these first-order feedbacks on the representation of vegetation. The aim is to highlight the key factors that control these feedbacks in a fully coupled system. We also focus on the differences in these feedbacks between the model versions considering the mid-Holocene and the preindustrial climates separately, so as to understand the dependence of the seasonal feedbacks on the climate mean state.

The reminder of the manuscript is organized as follow: Section 2 presents the set-up of the four model configurations and the suite of experiments. Section 3 is dedicated to the analyses of the differences between the mid-Holocene and the preindustrial climates, including the quantification of the atmospheric feedbacks resulting from the climate's response to the mid-Holocene insolation forcing. Section 4 goes deeper in the analyses of these feedbacks, considering the differences between the mid-Holocene simulations and making the linkages between these differences and the model content. It then addresses the question of the feedback dependence on the mean climate state. Section 5 provides an overall synthesis, and discusses the role of the photosynthesis parameterisation and, as well as the implications of this study for model evaluation and carbon sources and sinks. The conclusion is in section 6.

## 2 Model and experiments

### 2.1 IPSL model and different settings of the land surface component ORCHIDEE

The reference IPSL Earth climate model version for this study is IPSLCM6-LR (Boucher et al., 2020). This model version has been used to produce the suite of CMIP6 experiments (Eyring et al., 2016), including the mid-Holocene PMIP4-CMIP6 (Braconnot et al., 2021). The atmospheric component LMDZ (Hourdin et al., 2020) has a regular horizontal grid with 144 points in longitude and 142 points in latitude ( $2.5^\circ \times 1.3^\circ$ ). This version of the model has 79 vertical layers extending up to 80 km. The land surface component ORCHIDEE version v2.0 is run using the atmospheric resolution. It includes 11 vertical hydrology layers and 15 plant functional types (Cheruy et al., 2020). The ocean component NEMO (Madec, Gurvan et al., 2017) uses the eORCA  $1^\circ$  nominal resolution and 75 vertical levels. The sea ice dynamics and thermodynamics component NEMO-LIM3 (Rousset et al., 2015; Vancoppenolle et al., 2009) and the ocean biogeochemistry component NEMO-PICES (Aumont et al., 2015) are run at the ocean resolution. The oceanic and atmospheric components are coupled via the OASIS3-MCT coupler (Craig et al., 2017) with a time step of 90 minutes.

Compared to the PMIP4-CMIP6 mid Holocene simulations (Braconnot et al., 2021), the dynamical vegetation module (Krinner et al., 2005) is switched on for all the simulations included in this study. The vegetation dynamics is based on the approach of the LPJ model (Sitch et al., 2003). It computes the evolution of the vegetation cover

in response to climate. It accounts for several climate constraints (e.g. minimum and maximum temperature) that affect vegetation fitness and competition between plant functional types (PFTs) based on their relative productivity

Starting from this reference version, two formulations of bare soil evaporation and photosynthesis have been tested. These tests have been motivated by an underestimation of the boreal forest when using the standard version of the IPCLCM6-LR model ((Boucher et al., 2020) with the dynamical vegetation module (see below). The test made on bare soil evaporation uses developments that are described in details in the ORCHIDEE hydrology documentation by Ducharne et al. (2018). In the standard version of the IPSLCM6-LR, model bare soil evaporation depends on the moisture content of the first 4 of the 11 soil layers (Milly, 1992). The bare soil evaporation rate corresponds to the potential evaporation rate when the moisture supply meets the demand (Cheruy et al., 2020). Another solution has been developed to better represent soil evaporation processes, by considering the ratio ( $mc$ ) between the moisture in the litter zone (the first four surface layers) and the corresponding moisture at saturation (Sellers et al., 1992). With this parameterization, the aerodynamic resistance is decreased by a factor  $\frac{1}{r_{soil}}$ , where:

$$r_{soil} = e^{8.206 - 4.255 * mc} \quad (1)$$

This adjustment in the bare soil evaporation parameterization was not incorporated into IPSLCM6A-LR due to the fact that it induces a surface warming that was not fully understood (Cheruy et al., 2020). For simplicity, the two parameterisations are respectively referred to as *bareold* and *barenew* in the following (Table 1). Note that, even though this change resembles the one discussed in Hoptcroft and Valdes (Hoptcroft and Valdes, 2021), it is different since we only consider bare soil evaporation here and not the whole evapotranspiration.

TABLE 1

The parameterization of photosynthesis/stomatal conductance used in the ORCHIDEE land surface model (Fig. 1) differs between IPSLCM5A-LR (Dufresne et al., 2013) and IPSLCM6-LR (Boucher et al., 2020). In IPSLCM5A-LR (Fig 1), the photosynthesis (PhotoCM5) is represented by the standard Farquhar model for C3 plants (Farquhar et al., 1980) which has been extended to C4 plants (Collatz et al., 1992) and coupled to the Ball & Berry stomatal conductance formulation (Ball et al., 1987). In IPSLCM6-LR (Fig. 1), the photosynthesis/conductance (PhotoCM6) has been improved to include the approach based on Yin and Struik (2009) coupled to the original Farquhar (1980) model. The PhotoCM6 parameterization benefits from an explicit solving of the coupled photosynthesis/stomatal conductance, providing more accurate solution than the previous iterative resolution. Important differences between the two approaches are due to the fact that the stomatal conductance is driven by the vapor pressure deficit in PhotoCM6, whereas in PhotoCM5 it is based on relative humidity. Also, the shape of the response of the photosynthesis to temperature is different (Fig. 1). The temperature response is a bell shape function in PhotoCM5. It is possible to control the minimum, maximum and optimal temperature of photosynthesis independently of the maximum photosynthesis rate. The response of photosynthesis to temperature is driven by a modified Arrhenius function in PhotoCM6, with a reference temperature of 25°C. Hence the fixed maximum rate of carboxylation  $V_{cmax}$  is the rate at 25°C, whereas it is the optimal  $V_{cmax}$  in PhotoCM5 (Fig. 1), and the parameters (named ASJ) of the Arrhenius function are prescribed. The temperature response cannot be fully controlled, which is why we reimplemented the PhotoCM5 parameterisation to run our tests with IPSLCM6-LR. Another

important difference is that in PhotoCM6, the response to temperature is adapted to the local long-term (i.e. 10 years) temperature of each pixel, which is not the case for PhotoCM5.

FIG1.

These differences in the shape of the function has some implications for some of the adjustments we made to the original parameterisations to compensate for the tendency of the climate model to be too cold in some mid to high latitude regions (Boucher et al., 2020). The objective was to allow photosynthesis at lower temperature. The parameters of photoCM6 have been adjusted using offline simulations forced by atmospheric reanalysis. The objective was to find optimal limits in temperature for PhotoCM6 and to adjust  $V_{cmax}$  at 25°C and ASJ within an acceptable range of values. In the standard version of the IPSLCM6-LR model these parameters are the standard ones, and we add an s to the name in that case (Table 1). The photoCM5 parameterisation uses the standard values of PhotoCM6. The two parameterizations take into account for the effect of atmospheric CO2 concentration. This effect impacts both the rate of photosynthesis and stomatal conductance. There is not difference in formulation between PhotoCM5 and PhotoCM6.

Another important process determining the possibility for forest to grow in a cold environment is the critical temperature for tree regeneration ( $t_{crit}$ ). Indeed, it is assumed that, even for boreal forest, very low winter temperature result in insufficient fitness for reproduction and then forest regeneration. In the standard model version, the critical temperature is -45 °C for *Boreal Needleleaf Evergreen* (PFT 7) and *Boreal Broadleaf Summergreen* (PFT 8). It means that when the daily temperature falls below 45°C a fraction of trees dies. This threshold was too high as currently regions covered with forest regularly experience temperatures below -45 °C. We therefore changed the critical temperature to -60 °C, the standard value used for *Larix* (PFT 9). We took the risk to simulate a wrong composition of boreal forest.

## 2.2 Experiments

We consider a set of four experiments (Table 1). For each of them, we performed a mid-Holocene simulation following the PMIP4-CMIP6 protocol (Otto-Bliesner et al., 2017) as in Braconnot et al. (2021), and a pre-industrial CMIP6 simulation (Eyring et al., 2016). In this study, the preindustrial climate has a similar Earth's orbit configuration to that of today, with the summer solstice occurring at the perihelion and the winter solstice at the aphelion. These experiments represent key steps in a wider range of tests designed to improve the representation of boreal forest. Model developments were done using the mid-Holocene as a reference for natural vegetation, knowing that the preindustrial climate is affected by land use, which is not considered in these experiments. It somehow provides a paleo constraint on the choice of model set up for climate change experiments (e.g. Hopcroft and Valdes, 2021).

The different model setups for these simulations are listed in Table 1. The first experiment, V1, is performed with the standard model version and the dynamic vegetation switched on. The differences with the simulations presented in Braconnot et al. (2021) are only due to the dynamical vegetation-climate interactions. All the other experiments include the new parameterization of bare soil. Experiments V2 and V3 have the PhotoCM5 parameterisation of photosynthesis. In V3 the critical temperature is modified for boreal forests. The final version V4 is

similar to V3, and uses PhotoCM6 photosynthesis. Note also that some bugs and inconsistent choices when running with or without the dynamical vegetation have been found in the standard model version V1. They have been corrected for the sensitivity tests and do not affect the results, which only focus on key factors that have emerged from a large suite of shorter systematic sensitivity experiments. Version V4 is considered as the reference version for ongoing Holocene transient simulation with dynamic vegetation.

The initial state for all the simulations corresponds to a restart of the IPSLCM6-LR model for the ocean-atmosphere-sea-ice-icesheet system. The land-surface model starts from bare soil. We follow here the protocol used by Braconnot et al. (2019). We tested that, as in our previous set of Holocene experiments with dynamical vegetation (see Braconnot et al., 2019), the results would be the same when an initial state for the land surface model with dynamical vegetation (and not only the vegetation map) from a previous simulation is used as the initial state. This is mainly due to the fact that the land surface covers only ~30 % of the Earth's surface and does not store energy on a long-time scale, unlike the ocean. The initial state corresponds to a mid-Holocene or PI climate depending on the simulated period, except for the preindustrial simulation using V4 for which the initial state is from the mid-Holocene simulation (Table 1).

### 2.3 Vegetation-climate adjustments

A similar sequence is found for the vegetation adjustment time in all experiments (Fig. 2). Starting from bare soil imposes a land surface cold start, since bare soil has a larger albedo than grass or forest. It is characterized by a negative heat budget at the surface (Fig. 2b), a colder 2m air temperature (Fig. 2c), reduced precipitation and atmospheric water content (Fig. 2d, e), an increase in sea ice volume (Fig. 2f), a reduced ocean surface heat content (Fig. 2h), a large albedo (Fig. 2i) and soil moisture (Fig. 2j). There is a rapid recovery due to the fact that snow cover is also absent in the initial state, so that it doesn't amplify the initial cooling. In each of the simulations the first 50 years are characterised by rapid vegetation growth, with the well-known succession of grass and tree also discussed in Braconnot et al (2019). This first rapid phase is followed by a long-term adjustment related to slow climate-vegetation feedback of about 300 years. As expected, the ocean heat content adjustment has the largest adjustment time scale. The equilibrium state is characterized by multiscale variability. This interannual to multidecadal variability is smaller than the differences between the experiments but needs to be accounted for in order to properly discuss differences in the simulated climatology.

A conclusion from Fig. 2 is that 300 years of simulation is a minimum for analysing the difference between the simulations, which is consistent with the adjustment time reported by Braconnot et al. (2019). It justifies our choice to save computing time by considering simulation lengths ranging from 400 to 1000 years depending on the experiment.

FIG.2

### 3 Simulated changes between mid-Holocene and pre-industrial climates

#### 3.1 Temperature and precipitation changes

We first focus on the mid-Holocene changes simulated by the four versions of the model, using the simulated preindustrial climate as a reference. The major differences between the model versions are well depicted in Fig. 3 considering only annual mean surface air temperature and precipitation for the V3 and V4 model versions. During mid-Holocene the larger tilt of the Earth's axis induces a slight reduction in incoming solar radiation in the tropics and an increase in high latitudes. This effect is further amplified (or dampened) by the fact that, during the mid-Holocene, Earth's precession enhances the insolation seasonality in the northern hemisphere and decreases it in the southern hemisphere (COHMAP-Members, 1988). This, the annual mean reflects both the annual mean change in insolation and the associated atmospheric, oceanic and land surface feedbacks. It is characterised by an annual mean warming in mid and high latitudes in the northern hemisphere and an annual mean cooling in the southern hemisphere (Fig. 3). The annual mean cooling in the tropics over land is a fingerprint of enhanced boreal summer monsoon (Joussaume et al., 1999). The latter is driven by dynamical effects that deplete precipitation over the ocean and increase it over land (Braconnot et al., 2007; D'Agostino et al., 2019). These results are consistent with those of the multimodel ensemble of PMIP mid-Holocene simulations (Brierley et al., 2020). They cover a large fraction of the spread of temperature changes produced by different models worldwide (Brierley et al., 2020), and stress that cascading feedbacks induced by dynamic vegetation have profound impact on regional climate characteristics.

#### FIG. 3

The results of the different model versions are compared in Fig. 4 to those of the PMIP4 mid-Holocene simulations discussed in Braconnot et al. (2021) and the climate reconstructions from pollen and macrofossils data by Bartlein et al. (2011). The model results have been first interpolated on the  $1^\circ \times 1^\circ$  grid of the climate reconstruction. Within each region, the regional average only considers grid points for which a value is available in the reconstruction. The spread between these different 100-year differences for a given model version highlights the fact that long-term variability introduces uncertainties in 100-year estimates of about 0.5 to 3 °C depending on the region (Fig. 4). This needs to be accounted for since 100-year variability can be as high as the signal in some places. This has been discussed for a long time for paleoclimate simulations (Hewitt and Mitchell, 1996; Otto et al., 2009a), but modelling groups still tend to only provide simulations with limited length when contributing to the PMIP database for model intercomparisons (Brierley et al., 2020). This could lead to erroneous model ranking or interpretation of model differences. Centennial variability is significant here, but the major differences between the simulations are robust.

These diagnoses complete the maps presented in Fig. 3 by indicating that the largest annual mean warming in mid and high latitudes is found for V2, and that for most of the boxes V2 simulated changes in temperature and precipitation are not statistically different from those simulated with V3 when accounting for uncertainties (Fig. 5). All versions with dynamic vegetation produce larger changes in West Africa, as it is expected with vegetation feedback. The total amount is however still not as large as the one expected from the reconstruction. In most boxes, it is still difficult to have the different variables for a given model version in agreement with the reconstructions

in all regions despite the large error bars. An interesting case is Eurasia, a region where reconstructions indicate more precipitation during the mid-Holocene and most models simulate a decrease, which has been attributed to a lack of eastward penetration of the westerlies (Bartlein et al., 2017). Only versions V2 and V3 agree with this increase in precipitation. The results obtained with the version V4 appear to be in overall better agreement with climate reconstructions than results obtained with the other model version despite important mismatches in some regions or variables. They are the closest to the those obtained for the PMIP4 mid-Holocene simulations using the standard IPSLCM6 model version.

FIG. 4

### 3.2 Land surface feedbacks between mid-Holocene and preindustrial climates

The differences in the simulated changes between the model versions come from the various feedbacks induced by the different changes in the land-surface model and vegetation feedbacks. We computed the mean root mean square difference between the two climates for leaf area index (LAI), snow, and atmospheric water content (Fig. 5). This measure allows us to account for both the differences in the annual mean and in seasonality, since the monthly differences between the mid-Holocene and preindustrial periods have different signs depending on the season. In order to also account for the centennial variability, we use all possible combinations of 100-year annual mean cycle differences between the two periods for these rms estimates, neglecting the first 300 years of each simulation. For a given variable  $var$  in simulation 1 ( $var1$ ) and simulation 2 ( $var2$ ) the rms is thus computed as:

$$rms(var) = \sqrt{\frac{1}{n_1 \times n_2} \sum_{i=4}^{n_1} \sum_{j=4, j \geq i}^{n_2} \sum_{m=1}^{12} (var1 - var2)^2} \quad (2)$$

where  $n_1$  and  $n_2$  represent the number of non-overlapping 100 years in simulation 1 and 2 respectively (and neglecting  $n = 1$  to 3 for the first 300 years), and  $m$  refers to months, with 1 being the first month of the year and 12 the last month. The dispersion between the 100-year estimates provides a measure of the uncertainty.

The LAI rms between mid-Holocene and preindustrial climates (Fig. 5a to d) highlights a simulated change in vegetation (LAI) in almost all regions at the mid Holocene compared to the preindustrial period. This is found with all four model versions. It also shows that regions experiencing the largest changes are the Sahel, the Sahara, northern India, Eurasia and the eastern part of North America, although the magnitude and regional details depend on the model version. The large LAI changes in Africa highlight that all of these model versions produce a green Sahara which was not the case with the previous versions of the IPSL model (Braconnot et al., 2019). This is consistent with the increase in annual mean precipitation and the decrease in temperature (Fig. 3). Large vegetation changes are simulated even though the annual mean precipitation seems to be underestimated (Fig. 4). Note that this large amplification couldn't be anticipated from the standard PMIP4-CMIP6 simulation where vegetation is prescribed to preindustrial vegetation, even though changes in monsoon rainfall were larger than with in previous IPSL model versions (Braconnot et al., 2021).

FIG. 5

The model versions producing the largest annual mean temperature changes in Eurasia and eastern North America are also those (V3 and V4) producing the largest changes in *LAI* (Fig. 5). The snow *rms* indicates that these regions coincide with those experiencing the largest changes in snow cover (reduced snow cover during mid-Holocene). This is more pronounced for the two model versions (V2 and V3) with the largest temperature changes (Fig. 3 and 4). This is the footprint of a direct feedback loop between vegetation temperature and snow cover, which further triggers temperature changes through the surface albedo feedback. The mid-Holocene forcing and the response of temperature, snow and sea-ice also induce substantial differences in the atmospheric water content, with the largest differences arising within the tropical regions (Fig. 5). Once more, the two model versions (V2 and V3) with the largest temperature changes produce the largest changes in atmospheric water content (Fig. 5 right (i) to (l), right column). These model versions also have the largest changes in sea ice between the two periods and thereby of water vapor change in the north Atlantic (Fig. 5).

### 3.3 Estimations of the radiative feedbacks between mid-Holocene and preindustrial climates

We further estimate the radiative feedbacks (Fig. 6). We quantify the shortwave (SW) radiative impact of surface albedo ( $\alpha_s$ ), atmospheric absorption ( $\mu$ ) and scattering ( $\gamma$ ) on the Earth's radiative budget at the top of the atmosphere using the simplified method developed by Taylor et al. (2007). It consists of estimating the integral properties of the atmosphere (e.g. absorption and scattering) and the effect of the surface albedo on the short wave radiative flux at the top of the atmosphere. Following Braconnot et al. (2021), we first estimate for each simulation the atmospheric absorption  $\mu$  as:

$$\mu = \alpha_p + \left( \frac{SW_{si}}{SW_i} \right) (1 - \alpha_p) \quad (3),$$

and the atmospheric scattering  $\gamma$  as:

$$\gamma = \frac{\mu - \left( \frac{SW_{si}}{SW_i} \right)}{\mu - \alpha_s \left( \frac{SW_{si}}{SW_i} \right)} \quad (4),$$

where  $SW_i$  and  $SW_{si}$  stand respectively for the incoming solar radiation at the top of the atmosphere (insolation) and at the surface. The planetary and surface albedos are computed from the downward and upward SW radiations. By replacing one by one the factors obtained for one climate (or one simulation) by those obtained for the other climate (or another simulation) we can access to the radiative effect of this factor between the two climates (or two simulations). As an example, using simulation 1 as the reference, the effect of a change in the surface albedo in simulation 2 compared to simulation 1 is provided by:

$$\alpha_p(\mu_1, \gamma_1, \alpha_{s_2}) - \alpha_p(\mu_1, \gamma_1, \alpha_{s_1}) \quad (5)$$

The decomposition done for short wave radiation is not valid for long wave (LW) radiation (Taylor et al., 2007). However, in the case of the simulations considered here, we can assume that the LW forcing due to trace gases is small (Braconnot et al., 2012; Otto-Bliesner et al., 2017). The mid Holocene change in outgoing longwave radiation at the top of the atmosphere (TOA) corresponds thus to the total LW radiative feedbacks. The outgoing long wave at TOA is composed of two terms: the surface outgoing longwave radiation ( $LW_{sup} \sim \sigma T^4$ , where  $\sigma$  is the Stefan-Boltzmann constant) associated with the surface and the atmospheric heat gain ( $LW_{sup} - LW_{TOA}$ ) resulting from the combination of changes in atmospheric water vapor, clouds and lapse rate. The relative magnitude of these three terms cannot be estimated here.

FIG. 6

For this feedback quantification, we focus on the mid to high latitudes between 45° N and 80° N where the differences in *LAI* and in snow cover between the mid-Holocene and the preindustrial simulations are the largest (Fig. 6). The V2 and V3 versions of the model produce feedbacks as large as the forcing, except that the feedback is maximum in boreal spring whereas the forcing is maximum in summer and early autumn. The dominant feedback factor is the land surface albedo (Fig. 6b). It results from the combination of vegetation and snow cover changes, with a dominant effect of snow albedo. The snow albedo effect is the largest for grid points where grass is replaced by forest in the mid-Holocene simulation, which occurs over a large area in Eurasia in V2 and V3 compared to V1. The effect is more muted in V1 because grass is dominant in both periods, or in V4 because a larger fraction of forest compared to the other simulations is still present in the preindustrial simulation (Fig. 7). Feedbacks in LW radiation also have a significant impact on modifying the top of the atmosphere's total radiative fluxes. It reduces the effect of the albedo feedback by allowing more heat to escape to space, with maximum effect from June to October (Fig. 6d). Interestingly, the direct surface temperature effect (Planck) is partly compensated by an increased greenhouse gas effect resulting from increased water vapor and change in atmospheric lapse rate, in places where the surface warming is maximum (Fig. 3 and Fig. 5).

FIG. 7

#### 4 Differences between model versions and dependence of radiative feedback on climate mean state

The first-order feedbacks highlighted between vegetation, temperature, snow and albedo in the previous section have different magnitudes depending on the model versions (Fig. 6). This arises from differences in model content and first-order albedo and water vapor feedbacks, some of which may mask the initial effect due to model content. We thus investigate if we can attribute some of the systematic differences in climate and vegetation cover to the different parameterizations and tuning we made.

##### 4.1 Systematic differences between model versions for the mid-Holocene

The successive model developments were targeted at producing mid-Holocene boreal forest as the dominant type of vegetation further north in Eurasia and North America when going from the V1 to the V4 versions of the model (Fig. 7). Considering only the dominant type of vegetation in Fig. 7 masks the fact that vegetation is represented by a mosaic of 15 *PFTs* in each model grid box. We present the global vegetation assemblages of the 15 *PFTs* in Fig. 8. It reflects the major differences found at regional scale. As expected from model developments, major differences between the mid-Holocene simulations are found for boreal forest, and in particular *Boreal Needleleaf Evergreen* (*PFT* 7) (Fig. 8a). This *PFT* represents about 5-10% of the total vegetation cover in V1 and V2 and 13% in V3 and V4. In V2, *Boreal Needleleaf Deciduous* (*PFT* 9)) is the dominant type of boreal forest, representing 9% of the total vegetation cover. This simulation doesn't benefit for the change in *tcrit* (Table 1) and the cold temperature prevents the other two boreal forest *PFTs* from growing. In V1, boreal forest is poorly represented.

FIG. 8

All model versions, except V1, use *barenew* for bare soil evaporation. It appears to be a critical model aspect that contributes to a better representation of boreal forests. Bare soil evaporation is small in all simulations except V1 where it peaks in May-June (Fig. 9a), at a time when tree leaves are growing and soils are saturated in the northern hemisphere. With the *bareold*, in these conditions, the evaporation is close to potential evaporation. The other simulations do not produce the large boreal spring bare soil evaporation. In these simulations, the evaporation is limited by soil and biomass characteristics (see Section 2.1). The evapotranspiration is slightly larger and peaks in July-August at the time of the maximum development of vegetation in the northern hemisphere (Fig. 9a). As a result, surface soil moisture is larger in V2 to V4 compared to V1 (Fig. 9c), and favors tree growth.

FIG. 9

Large differences are also found in the distribution of the different grass PFTs across the mid-Holocene simulations. V1 has the largest proportion of *Temperate* (PFT 10) and *Tropical* (PFT 14) *Natural Grasslands*. It results from and contributes to the fact that this simulation is the coldest one with the largest snow cover (Fig. 9b). The partitioning between grass and tree leads to differences in root depths and in the way these different types of vegetation recycle water, which affects the soil moisture content (Fig. 9c). It affects temperature through evaporative cooling, which is further enhanced by snow in mid and high latitudes. The large model spread found between the mid-Holocene simulations for albedos in the 0.3 to 0.7 range is the footprint of the difference in the ratio of tree and grass cover, with grass dominant vegetation for V1 and a mixture of grass and *Boreal Needleleaf Deciduous* (PFT 9) for V2. The peak emerging for albedo around 0.22 in V3 and V4 is related to the larger coverage of *Boreal Needleleaf Evergreen* (PFT 7) in these simulations (Fig. 8). It highlights that the combination of tree and snow albedo leads to a smaller albedo in these two simulations. All the mid-Holocene simulations have a quite similar coverage of high albedo, which is compatible with the fact that they have a similar distribution of sea-ice and snow cover. The lower coverage for albedo > 0.7 % is for V4, which has the smaller sea-ice cover and the largest proportion of low ocean albedo.

FIG. 10

In terms of radiative feedbacks between 45° N and 80° N, the surface albedo effect varies significantly between the simulations (Fig. 12a, b). The radiative feedbacks are computed using the Taylor et al. (2009) methodology following what was done for the mid-Holocene differences with the preindustrial climate in section 3.2, except that the V4 version of the model serves as reference. Positive values indicate that the feedback brings more energy to the climate system in V4. Since we compare the simulations for a given climate, the forcing is the same for all the mid-Holocene simulations, and the only factor affecting the global energy balance comes from differences in seasonal climate feedbacks. The largest differences in surface albedo feedbacks between the V4 and the V1 to V3 versions of the model occur from February to July. They are higher for V1 due to the largest snow-vegetation albedo feedback, as expected from the distribution of surface albedo between 45° N and 80° N (Fig. 10). This effect exceeds 10 W m<sup>-2</sup> (up to about 16 W m<sup>-2</sup>) from April to June. The effect is smaller between V4 and V2 (up to 10 W m<sup>-2</sup>) or V4 and V3 (up to 8 W m<sup>-2</sup>). Note that for V2 and V3 the relative differences with V4 comes mainly

from the relative distribution between the different types of boreal (*PFT* 7 or *PFT* 9) rather than from the different relative distribution between grass and forest (Fig. 10).

#### FIG. 11

The cloud SW feedback differences between the simulations slightly amplify the effect of the surface albedo from April to September in V1 to V3 compared to V4 (Fig. 11c). Part of the signal is damped by long wave radiation resulting from temperature, clouds and lapse rate (Fig. 11d). This is mainly due to the differences in evapotranspiration between the mid-Holocene simulations (Fig. 9a) resulting from the combination of vegetation characteristics, but also from differences from the insulating effect of snow and ice cover in mid and high latitudes (Fig. 11). It is strongly tied to temperature, and thereby to the atmospheric water holding capacity. The V4 mid-Holocene simulation has the largest atmospheric water content, with a maximum difference from the other simulation in the northern hemisphere (Fig. 12). It increases the atmospheric greenhouse effect, but do not prevent larger outgoing longwave radiation at the top of the atmosphere resulting from the higher temperature. Over the 45°N to 80°N region, the annual mean longwave feedback (about  $-1.5 \text{ W m}^{-2}$  between V4 and V3) is not sufficient to balance the total shortwave feedback (about  $3 \text{ W m}^{-2}$  between V4 and V3). This leads for example, to an annual mean excess of energy of about  $1.5 \text{ W m}^{-2}$  entering the system in V4 compared to V3. The Earth system model is equilibrated, so that the excess of energy between 45°N and 80°N in V4 is compensated by a  $-1.5 \text{ W m}^{-2}$  annual mean radiative difference averaged over all other regions. Over these regions the radiative budget is dominated by increased outgoing longwave radiation due to increase temperature that offset the increase atmospheric greenhouse effect.

#### FIG. 12

Note that the largest differences in water content are found in the tropics and not in the 45°N to 80°N region, with statistically significant differences found up to 40°S between V4 and the other model versions (Fig. 12). Warmer annual mean temperature almost everywhere. It reminds us that, in the fully coupled system, rapid energy adjustment between the hemispheres and between land and ocean are induced by the regional differences in energy sinks and sources. These rapid teleconnections also shape the simulated climate mean state. It also stresses the important role of the tropics and tropical ocean in regulating the global atmospheric moisture, and water vapour feedback

## 4.2 Dependence of vegetation induced radiative feedbacks on mean climate state.

The feedbacks between model versions discussed for the mid-Holocene and their seasonal evolution are similar to those occurring between the mid-Holocene and the preindustrial climates for each model version (section 3.3). However, the ranking in the strength of the feedback between the two comparisons (Fig. 6 and Fig. 11 (a to d)) suggests that the strength of the feedback varies depending of the climate mean state, which is indeed the case (Fig. 11e to h).

The simulations have all in common consistent changes in vegetation between the mid-Holocene and preindustrial climates (Fig. 7 and 8). At the global scale the larger fraction of bare soil and grasses simulated for the preindustrial climate (Fig. 8) is consistent with the drying of the Sahara, and the southward retreat of the tree line in the Northern

459 Hemisphere (Fig. 7). The magnitude of the difference between the two periods for each *PFT* is consistent with  
460 the distribution of each mid-Holocene *PFT* in each model version (Fig. 7 and 8). The distribution of vegetation  
461 appears thus to first order as a factor characterizing a model version.

462  
463 However, notable differences are found that have implications for the quantification of the 45° N and 80° N SW  
464 and LW seasonal radiative feedbacks (Fig. 11). For the preindustrial period, the total radiative differences at the  
465 top of the atmosphere reaches up to 20 W m<sup>-2</sup> in V4 compared to V2 to 25 W m<sup>-2</sup> in V4 compared to the other  
466 simulations. The differences found for V2 and V3 are as large as those found for V1. The larger sensitivity for  
467 these two simulations using PhotoCM5 between the two periods is in part due to a larger impact of snow albedo  
468 (Fig. 10). For example, V3 and V4 have a similar fraction of *Boreal Needleleaf Evergreen* (*PFT* 7) in the mid-  
469 Holocene, but not in the pre-industrial climate (Fig. 8). Compared to the mid-Holocene climate, boreal forest is  
470 replaced by a larger fraction of *Temperate Natural Grass* (*PFT* 11) and bare soil (*PFT* 1) in V3. There is also a  
471 larger fraction of grass and bare soil in V2, whereas in V1, vegetation is dominated by grass and bare soil and  
472 doesn't change much (Fig. 8). There is thus a larger fraction of the points where the surface albedo is in the 0.5 to  
473 0.7 range in V2 and V3 (Fig. 10). Significant differences between the preindustrial simulations are also evident in  
474 the 0.7 to 0.9 snow and sea-ice albedo range (Fig. 10b). For V2 and V3, the number of points with low albedo  
475 value is also reduced, which reflects a larger increase in sea ice cover in these two simulations. The initial vegeta-  
476 tion-albedo feedback is amplified by the sea-ice albedo feedback, which affect temperature, water vapor, and the  
477 crossing of different thresholds controlling vegetation growth.

478  
479 The seasonal insolation forcing has a slightly different seasonal evolution and magnitude compared to the mid-  
480 Holocene. This is why the seasonal timing of the different feedbacks is slightly shifted in time. (Fig. 11b, f). The  
481 magnitude of the atmospheric scattering effect between V4 and the other simulations is quite similar to what was  
482 obtained for the mid-Holocene (Fig. 11c, g). As for the mid Holocene, V4 has a larger shortwave feedback leading  
483 to and positive excess of energy between 40°N and 80°N compared to the other simulations. It implies that a  
484 negative feedback balances it over the other regions. This is achieved through the longwave feedback due to higher  
485 temperatures in V4. Interestingly, the difference in atmospheric water content is similar in the preindustrial and  
486 mid-Holocene simulations between V4 and V1 whereas it is a factor 2 in the preindustrial compared to the mid-  
487 Holocene between V4 and V2 or V3. It is clearly tied to the amplitude of vegetation changes and sea-ice feedback,  
488 and thereby to the photosynthesis parameterization.

489  
490 This comparison indicates that the relative magnitude of the vegetation-induced seasonal feedback between two  
491 climatic periods depends on the climate mean state. It also stresses that large differences in annual mean tempera-  
492 ture in mid and high latitude between the mid-Holocene and preindustrial climates simulated with the different  
493 version of the model (Fig. 3) come for a large part from the simulations of the preindustrial climate, for which the  
494 largest differences are found between the V2 and V3 simulations using PhotoCM5 and the V4 simulation using  
495 PhotoCM6.

## 5 Discussion

### 5.1 Annual mean fingerprint of seasonal feedbacks

In the previous section, we showed that dynamic vegetation highlights how the seasonal evolution of vegetation triggers first-order atmospheric feedbacks. These large seasonal feedbacks have an annual mean fingerprint (Fig. 13).

FIG. 13

At the global scale, the warmest simulations are those run with the model version V4. The difference with the coldest simulation is about 1 °C. For each climatic period, the ranking between the simulations for the atmospheric water content is the same as the one for surface temperature. This is expected from the Clausius Clapeyron relationship since the atmospheric and oceanic physics are the same between the model versions. An inverse ranking is found for snow and sea ice cover, resulting from the strong link between these variables and temperature (see Fig. 13d and 13e). However, the relative changes in snow and sea-ice cover between the model versions differ from those in temperature. The sea-ice feedback is an indirect effect. It is caused by temperature and atmospheric circulation changes that are driven by land surface feedbacks and their dependence on the mosaic vegetation. Model content and vegetation-climate temperature interactions lead to different vegetation cover. This results in different *LAI* and productivity (Fig. 13f to k). For each period, the warmest simulation is not necessarily the one with the largest *LAI* and productivity.

In Section 4, we also emphasised the climate state dependence of the seasonal feedbacks between the model versions. Vegetation cover is reduced in the preindustrial climate compared to the mid-Holocene (Bigelow et al., 2003; Jolly et al., 1998; Prentice et al., 1996). However, depending on the choice of photosynthesis parameterisation, *LAI* and *GPP* are either reduced or slightly increased in the preindustrial climate (Fig. 13k, l). Vegetation feedback results in a reduction in global mean temperature with photoCM5, and a larger increase in snow and sea-ice cover.

### 5.2 Role of the photosynthesis parameterization

The role of photosynthesis in regulating seasonal feedbacks needs to be highlighted. At the global scale, despite different distribution of vegetation, the two simulations with PhotoCM5 (V2 and V3) instead of PhotoCM6 (V1 and V4) exhibit a larger *LAI* seasonal cycle (Fig. 9e), whatever the realism of the simulated vegetation. In V2 and V3, *GPP* has a strong increase from March to July when the peak *GPP* is reached (Fig. 9f). The *LAI* seasonality is smoother in V1 and V4. The parallel V1 and V4 *LAI* seasonal evolution reflects a similar behaviour with an offset resulting from differences in temperature and in vegetation coverage. The shape of PhotoCM5 as a function of temperature compared to PhotoCM6 (Fig. 1) favours larger productivity (*gpp*) as soon as *LAI* is developing. This means that for given climatic conditions, the start of the growing season should be similar with the two parameterisations, but photoCM5 should have larger *gpp*. This is indeed what we obtained between the simulations (Fig. 9e, f). This systematic difference affects the seasonality of the surface albedo, through the *LAI* and the total soil moisture. Reduced *GPP* during the growing season in the northern hemisphere implies more humidity in the soil, as it can be seen on Fig. 9 between V4 and V2 or V3, which are simulations sharing the same bare soil

evaporation. Due to all the interactions in the climate system, we also end up with the counter intuitive result that V4 has the largest vegetation cover (Fig. 9), but that the vegetation is less productive than in V3 and even V2.

The major differences in the relationship between *LAI* and *GPP* discussed for the mid-Holocene (Fig. 9e, f) are also found for the preindustrial climate (not shown). However, *LAI* is more similar between the V3 and V4. The larger grass and bare soil fractions in V3 compensate the tendency of this version of the model with PhotoCM5 to produce larger *LAI*. The critical threshold for tree mortality difference has a larger role for this period than for the mid-Holocene. Compared to the mid-Holocene climate, less insolation is received in mid and high latitude during boreal summer. For both climates the simulation using PhotoCM5 is colder. Therefore, the surface temperature is closer to the *tcrit* value in spring compared to the V4 simulation using PhotoCM6. It induces a larger reduction of the tree cover and of *LAI* and *GPP* (not shown). In contrast, with PhotoCM6, the pre-industrial vegetation growth follows the seasonal insolation forcing as for the mid-Holocene climate. These seasonal behaviors and the nonlinear shape of photosynthesis as a function of temperature (Fig. 1) trigger the direction of the annual mean changes (Fig. 13).

FIG. 14

The sensitivity of the photosynthesis formulation to temperature has implications for the land-surface carbon feedbacks and the representation of the interactions between energy, water and the carbon cycle in Earth system models. Here the carbon dioxide concentration is prescribed in the atmosphere, but the carbon cycle is activated, so that carbon fluxes between the surface and the atmosphere can be diagnosed. Figure 14 illustrates that the annual mean pattern and magnitude of this flux are model version dependent. As expected, the differences induced by the photosynthesis on *gpp* and climate lead to significant differences in the simulated mid-Holocene changes in carbon fluxes over land. It would lead to differences in regional and global carbon concentration in the atmosphere if carbon was fully interactive, and thereby certainly to different climate and vegetation characteristics.

### 5.3 Climate state dependence and model performance

We directly develop and tune the model using simulations of the mid-Holocene climate. The V3 and V4 versions of the model appear to be rather equivalent with respect to the simulated mid-Holocene vegetation, and in broad agreement with the BIOME6000 reconstruction (Harrison, 2017). These versions benefit from all the adjustments, but have different photosynthesis parameterisations. An in-depth model-data comparison would require transforming the 15 simulated *PFTs* into the equivalent biomes inferred from pollen (Prentice et al., 1996). It is out of the scope of this paper and would also introduce artificial choices (Braconnot et al., 2019; Dallmeyer et al., 2019). However, the simulated vegetation for the pre-industrial period is very different between these two model versions. The V3 simulation with PhotoCM5 produces the largest changes in vegetation and climate variables. These changes are larger than what is expected from temperature reconstructions by Bartlein et al (2011), and mid to high latitudes are too cold with too much sea-ice in the preindustrial period.

The simulations considered in this study were run considering only natural vegetation, even for the preindustrial climate. The results thus cannot pretend to be realistic in regions affected by land use, such as Europe. Land use

through its effect on temperature and evapotranspiration has an indirect impact on the simulated natural vegetation. An attempt to evaluate the simulated vegetation is provided in Fig. 9 by considering only grid points for which there is no land use in the preindustrial climate. The stars on the figure correspond to the fraction occupied by each *PFT* for the V4 version and the reference 1850 vegetation map used when vegetation is prescribed to the model, as it is the case for CMIP6 preindustrial simulations (Boucher et al., 2020). It suggests that, for the preindustrial climate, the V4 version of the model overestimates the fraction of tropical forest (mainly *PFT* 3), has a reasonable representation of temperate forest (*PFT* 4 to 6), overestimates boreal forest (mainly *PFT* 7), and has a reasonable representation of grass, with the caveat that there is a misbalance between *PFT* 15 and *PFT* 11. Overall, it is quite reasonable and better than in the other versions (not shown). This model version has been retained for transient Holocene simulations with the IPSL model and dynamic vegetation.

The simulated vegetation is an integrator of all climate feedbacks. When considering climate variables, annual mean values are inappropriate if the seasonal cycle is a major contributor to climate change. Indeed, the global annual differences between the simulations reported in Fig. 13 are small, even though they are statistically significant, and result from differences in the simulated climate annual mean cycle. It stresses the importance of targeting specific times of the year when key feedback occurs. This is certainly also true for climate reconstructions. Depending on the method and records considered, substantial differences are found in annual mean reconstruction for the mid-Holocene climate (Brierley et al. 2020). The choice of records and physical or biogeochemical variable should thus be chosen depending on the feedback or process considered.

## 6 Conclusion

The suite of mid-Holocene and preindustrial climate simulations considered here allows us to investigate how first order albedo and water vapor feedbacks are triggered by land surface feedback induced by vegetation. We investigated the role of bare soil evaporation, photosynthesis and temperature threshold determining boreal tree mortality. The results show that bare soil evaporation is a key factor controlling tree growth in mid and high latitudes. This effect doesn't affect the sign of the annual mean changes between mid-Holocene and preindustrial climates. It has a major impact on the tree cover, and affects temperature through the snow-vegetation-evaporation feedback loop. The major differences between the simulations come from the photosynthesis parameterization. The dynamic vegetation highlights the high impact this parameterisation has on the vegetation seasonal feedbacks and on the sign of the annual mean climate differences between the two periods. The way in which the photosynthesis parameterisation triggers vegetation growth and *gross primary productivity* regulates both the strength of the snow-vegetation feedbacks and how this feedback functions when temperature reaches the threshold temperature for tree mortality. This is independent of the exact representation of the vegetation cover and similar processes would be found in other models with different atmospheric and oceanic physics.

For a given climate period, the regional differences in feedbacks trigger changes in sources and sinks of energy. These feedbacks do not necessarily occur where changes occur on the land surface, but remotely, as it is the case for the water vapor in this study, which is maximum in the tropical regions when major snow-ice-vegetation albedo feedbacks are maximum in mid and high northern latitudes (Fig. 5 and Fig. 11). The remote LW radiative feedback is less discussed when the role of vegetation is inferred from vegetation alone simulations or simulations where

the sea surface temperature and sea-ice cover are prescribed. It is a first order effect associated with the change in temperature (Dufresne and Bony, 2008; Manabe and Wetherald, 1975; Sherwood et al., 2020). It is often overlooked because it is the strongest over the tropical ocean, which is in general not part of the focus when analysing vegetation on land. A full understanding and thereby the ability to improve Earth's system model simulations requires studying vegetation feedbacks in the fully interactive ocean-atmosphere-vegetation coupled system.

The land surface feedbacks highlighted by the dynamical vegetation are present when vegetation is prescribed and our results indicate that they may not be satisfactorily represented. Dynamical vegetation should be considered in Earth System Model (i.e. climate models with interactive carbon cycle) if one wish to properly account for the way land surface would triggers cascading feedback effects depending on future climate pathways. This also means more degrees of freedom in the system, and thereby potentially larger model biases or uncertainties despite a more accurate representation of internal processes. The large sensitivity found for the growing season in the Northern Hemisphere suggests that this period in the year should be used to develop evaluation criteria that can be applied to offline land surface simulations. This would help to anticipate the vegetation feedback behaviours when the land surface model is included in the coupled system.

Our results stress that further emphasis on seasonality is needed to better assess land-surface feedbacks and understand the sensitivity of the feedbacks to the climate mean state. In addition, we show that the differences between the model versions in the simulation of the climate and vegetation differences between the mid-Holocene and the preindustrial periods come mainly from the simulation of the preindustrial climate. Direct model evaluation of the mid-Holocene climate, and not the differences with pre-industrial conditions, would be required, to fully infer the realism of the simulated climate. Paleoclimate periods for which the major differences with present day come from the annual cycle of the insolation forcing such as the mid-Holocene discussed here or the last interglacial periods considered as part of PMIP (Kageyama et al., 2018; Otto-Bliesner et al., 2017) are well-suited to provide observational constraints on these feedbacks, even when indirect, from seasonal information on temperature, precipitation, sea-ice cover, or vegetation. This is a direction to consider for future research that would help better infer the ability of a model to simulate the annual mean cycle.

**Data availability:** All data used to produce the different figures have been posted on the FAIR repository under <https://doi.org/10.5281/zenodo.14536307>.

**Author contribution:** All authors contributed to the experimental design. PB and NV developed and implemented the necessary changes to the land surface model. PB developed and run the coupled simulations. PB and OM performed the analyses of the coupled simulations. All authors contributed to the drafting of the manuscript.

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## 7 Table

| Configuration name | Land surface model configuration | Period | Initial state<br>Ocean + atmosphere             | Length        |
|--------------------|----------------------------------|--------|-------------------------------------------------|---------------|
| V1 (Vdyn00)        | bareold, photoCM6s               | MH     | As for the IPSLCM6 PMIP4 simulation*            | 1000          |
|                    |                                  | PI     | Year 1870 of IPSLCM6 PI simulation <sup>+</sup> | 1000          |
| V2 (Vdyn17)        | barenew, photoCM5                | MH     | As for the IPSLCM6 PMIP4 simulation*            | 600           |
|                    |                                  | PI     | Year 1870 of IPSLCM6 PI simulation <sup>+</sup> | 400           |
| V3 (Vdyn21)        | barenew, photoCM5, <i>tcrit</i>  | MH     | As for the IPSLCM6 PMIP4 simulation*            | 600           |
|                    |                                  | PI     | Year 1870 of IPSLCM6 PI simulation <sup>+</sup> | 700           |
| V4 (Vdyn28)        | barenew, photoCM6, <i>tcrit</i>  | MH     | As for the IPSLCM6 PMIP4 simulation*            | 1000<br>years |
|                    |                                  | PI     | Year 300 of V4 H6ka                             | 500<br>year   |

Table 1. Characteristics of the different simulations. The columns refer to the simulation names, the initial state, and the length of the mid-Holocene (MH) and pre-industrial (PI) simulations. We provide the names used in this paper, as well as our internal simulation numbers in brackets. Only the initial state of the ocean-ice-atmosphere component is provided, since all simulations except V4 PI start from bare soil.\*see Braconnot et al (2021), <sup>+</sup> see Boucher et al. (2020).

## Figures caption

Figure 1. Maximum rate of carboxylation ( $v_{\text{cmax}}$ ,  $\text{mmol m}^{-2} \text{s}^{-2}$ ) as a function of surface air temperature (K) for the two photosynthesis parameterizations (photoCM5 and photoCM6) and pft7 (Boreal Needleleaf Evergreen). As photosynthesis depends on the long term mean monthly temperature, the  $v_{\text{cmax}}$  curves are plotted for mean temperatures of 11 and 16°C. Note that with the choice we made,  $v_{\text{cmax}}$  at 25°C for photoCM6 and the maximum value of photoCM5 are the same. See the text for details on the parameterizations.

Figure 2. Adjustment time for the global average of the simulated V4 mid-Holocene vegetation, and a subset of atmosphere (black), sea-ice (light blue), ocean (blue) and land (green) variables. The different panels represent respectively (a) the coverage (fraction) of 4 major vegetation types (grass, tropical forest, temperate forest, and boreal forest) and bare soil, (b) the surface heat budget ( $\text{W m}^{-2}$ ), (c) the 2m air temperature (°C), (d) the precipitation ( $\text{mm d}^{-1}$ ), (e) the atmospheric water content ( $\text{kg m}^{-2}$ ), (f) the sea ice volume ( $\text{m}^3$ ) in the northern hemisphere (NH), (g) the North Atlantic Deep Water (NADW, Sv), (h) the surface to 300 m depth ocean heat content ( $\text{J m}^{-2}$ ), (i) the land surface albedo (%) and (j) the soil humidity ( $\text{kg m}^{-3}$ ).

Figure 5: Root mean square difference between Mid Holocene (MH) and Preindustrial (PI) climates calculated by considering all combinations of 100-year annual mean cycles between the two periods at each grid point for (a) LAI, (d) to (h) the snow mass ( $\text{kg m}^{-2}$ ), and (i) to (l) the atmospheric water content ( $\text{kg m}^{-2}$ ). Note that for snow mass the estimates have been restricted to 100-year monthly differences between February and May, which corresponds to the period where snow feedback over Eurasia is the largest between these two periods.

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Figure 6: Radiative forcing and feedbacks estimated at the top of the atmosphere ( $\text{W m}^{-2}$ ) between the mid-Holocene and the pre-industrial climates over the mid-to high latitudes in the Northern Hemisphere ( $45^\circ\text{N} - 80^\circ\text{N}$ ) for the four model versions. (a) Radiative forcing (solid lines) and total radiative feedbacks (dash lines), (b) surface

941 albedo feedback, (c) atmospheric scattering (solid lines) and absorption (dash lines) feedbacks, and (d) longwave  
942 feedback (solid line) and Planck response (dash lines). The colours of the different curves correspond to the model  
943 version.

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945 Figure 7. Dominant type of vegetation (PFT MAX) as simulations by the four model versions for (a) to (d) the  
946 mid-Holocene (MH) and ((d) to (f) the preindustrial (PI) climates. For clarity the 15 plant functional types (PFTs)  
947 have been grouped into 5 major vegetation types: 1. Bare soil, 2. Tropical forest, 3. Temperate forest, 4. Boreal  
948 forest, and 5. Grass. These maps represent the vegetation average over the length of the simulation, without con-  
949 sidering the first 300 year.

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951 Figure 8. Percentage of global land surface covered by the different types of vegetation (PFT) for (a) the mid-  
952 Holocene and (b) the preindustrial periods, as simulated by the four model versions (V1: blue, V2: green, V3: red  
953 and V4: black). The names of the different PFTs are plotted on the vertical axis. The stars in (b) represent the PFT  
954 distribution when ignoring grid points affected by land use in the pre-industrial (1850) vegetation map used as  
955 boundary condition when vegetation is prescribed (cyan for observations and black for V4).

956

957 Figure 9. Annual cycle of mid-Holocene (a) bare soil evaporation ( $\text{mm d}^{-1}$ , solid lines) and transpiration ( $\text{mm d}^{-1}$ ,  
958 dash lines), (b) snow mass ( $\text{kg m}^{-2}$ ), (c) total soil moisture ( $\text{kg m}^{-2}$ ), (d) surface soil moisture ( $\text{kg m}^{-2}$ ), (e) leaf area  
959 index (LAI) and (f) net assimilation of carbon by the vegetation (GPP,  $\text{gC m}^{-2} \text{s}^{-1}$ ) globally averaged over land for  
960 the four simulations (V1: blue, V2 : green, V3: red, and V4 : black). All 100-years annual mean cycles, excluding  
961 the first 300 years, are plotted for each simulation in order to provide an idea of 100-year variability and show that  
962 the differences between the simulations are robust.

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964 Figure 10: Distribution of the surface albedo (fraction of reflected radiation) as represented in the different simu-  
965 lations, considering all grid points between  $45^{\circ}\text{N}$  and  $80^{\circ}\text{N}$  and months, for (a) the mid-Holocene and (b) the  
966 preindustrial climates. For each albedo bin, the value represents the percentage of the surface with this particular  
967 albedo. The first bin (lower value) corresponds to ocean albedo. The higher values correspond to sea ice whereas  
968 values between 0.1 and 0.3 correspond to vegetation and bare soil, and values between 0.3 and 0.7 correspond to  
969 different mixtures of vegetation and snow albedo. The surface albedo has been computed using the surface upward  
970 and downward solar radiation.

971

972 Figure 11. Estimation of radiative feedbacks ( $\text{W m}^{-2}$ ) induced by the differences in the land surface model and  
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974 (MH) and (e) to ((h) the preindustrial simulations. Positive values indicate that more energy is entering the climate  
975 system at the top of the atmosphere in V4 than in the other version. As in figure 7, the different panels consider  
976 (a) and (e) the total radiative feedback, (b) and (f) the surface albedo feedback, (c) and (g) the atmospheric scat-  
977 tering (solid lines) and absorption (dashed lines), and (d) and (h) the outgoing longwave radiation feedback (solid  
978 lines) and the Planck response (dashed lines). The colours of the curves represent the different model versions.

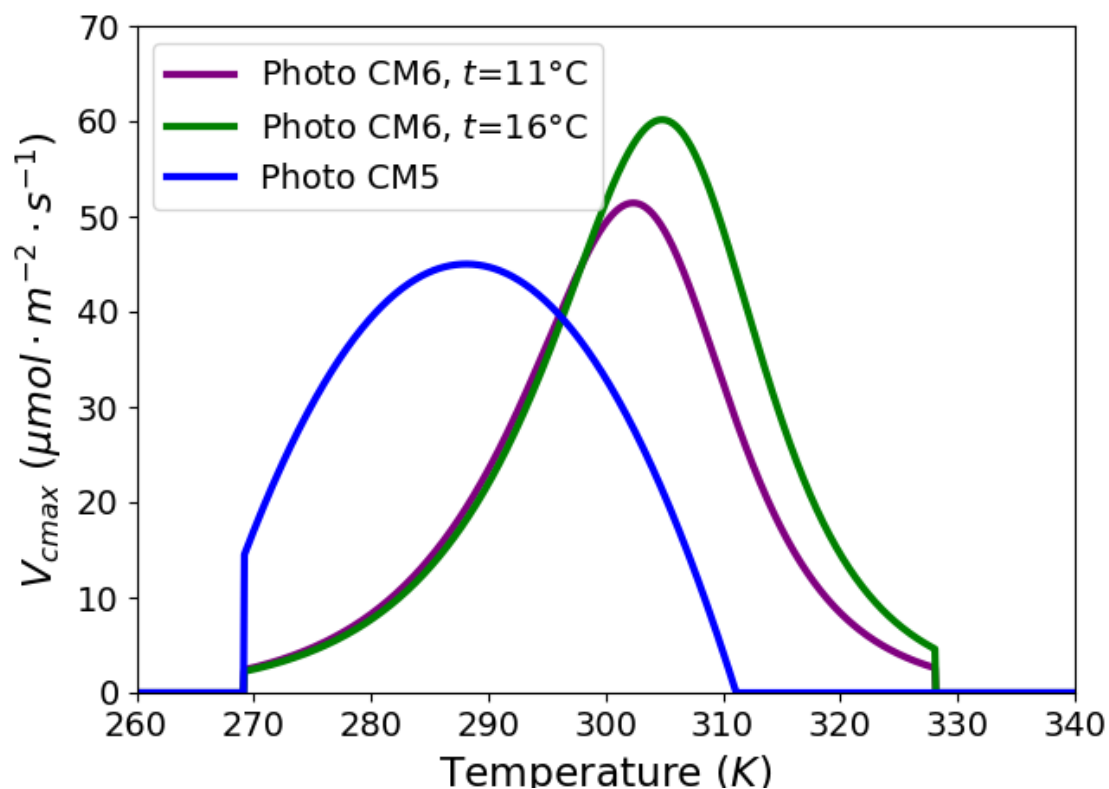
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Figure 12: Zonal average differences in integrated atmospheric water content ( $\text{kg m}^{-2}$ ) between V4 and the other model versions for (a) the mid-Holocene climate (solid lines) and (b) the preindustrial climate (dashed lines). The colours of the curves represent the model version: V1 (blue), V2 (green) and V3 (red). The different lines for a given model version have been computed considering all possible combinations of 100-years differences with V4. They provide an indication of the uncertainty.

Figure 13. Mid-Holocene (MH, full circles) and Preindustrial (PI, circles) global annual mean for (a) Surface air temperature ( $T_{2m}$ ,  $^{\circ}\text{C}$ ), (b) Precipitable water content ( $\text{kg m}^{-2}$ ), (c) Snow cover over land (%), (d) Sea ice cover in the Northern Hemisphere, (e) Sea ice cover in the Southern Hemisphere (f) Bare soil (%), (g) Grass (%), (h) Tropical forest (%), (i) Temperate forest (%), (j) Boreal forest (%), (k) LAI, and (l) Gross primary production (GPP,  $10^5 \text{ gC m}^{-2} \text{ s}^{-1}$ ) and the four model versions (V1 : blue, V2: green, V3 : red and V4 : black).

Figure 14. Net ecosystem exchange from the vegetation ( $\text{kg m}^{-2} \text{ s}$ ) difference between (a) the mid-Holocene and the preindustrial climates as simulated with version V4, (b) the mid-Holocene and the preindustrial climate as simulated with version V3, (c) versions V4 and V3 for the mid-Holocene simulations, and (d) versions V4 and V3 for the preindustrial simulations. Changes are considered to be significant at the 5% level outside the grey zones. The significance is estimated from all combinations of differences in 100-year averages between the simulations considered in each panel. For these estimates the first 300 years of the simulations are excluded.

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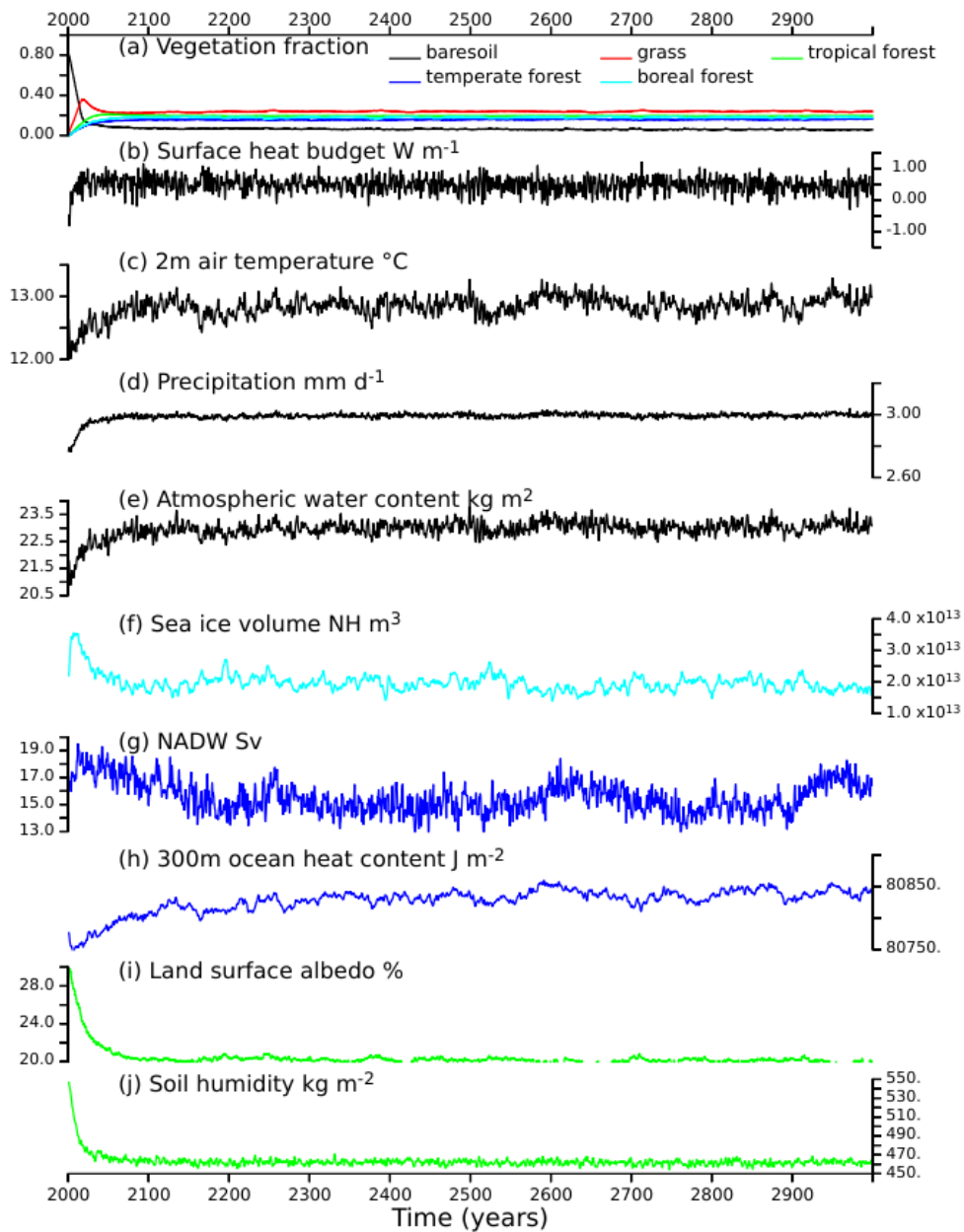
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1009 Figure 1. Maximum rate of carboxylation ( $v_{cmax}$ ,  $\text{mmol m}^{-2} \text{s}^{-2}$ ) as a function of surface air temperature (K) for  
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 1013 value of photoCM5 are the same. See the text for details on the parameterizations.

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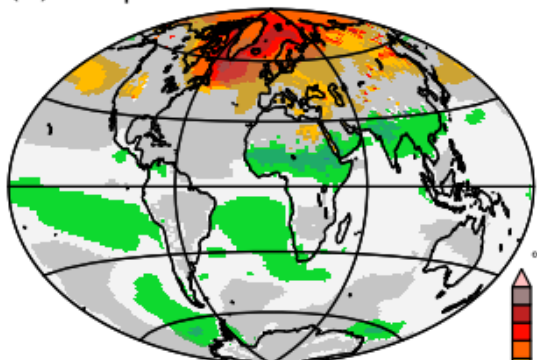


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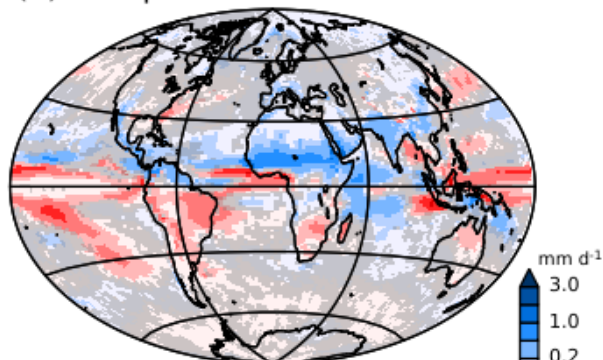
Figure 2. Adjustment time for the global average of the simulated V4 mid-Holocene vegetation, and a subset of atmosphere (black), sea-ice (light blue), ocean (blue) and land (green) variables. The different panels represent respectively (a) the coverage (fraction) of 4 major vegetation types (grass, tropical forest, temperate forest, and boreal forest) and bare soil, (b) the surface heat budget ( $\text{W m}^{-2}$ ), (c) the 2m air temperature ( $^{\circ}\text{C}$ ), (d) the precipitation ( $\text{mm d}^{-1}$ ), (e) the atmospheric water content ( $\text{kg m}^{-2}$ ), (f) the sea ice volume ( $\text{m}^3$ ) in the northern hemisphere (NH), (g) the North Atlantic Deep Water (NADW, Sv), (h) the surface to 300 m depth ocean heat content ( $\text{J m}^{-2}$ ), (i) the land surface albedo (%) and (j) the soil humidity ( $\text{kg m}^{-3}$ ).

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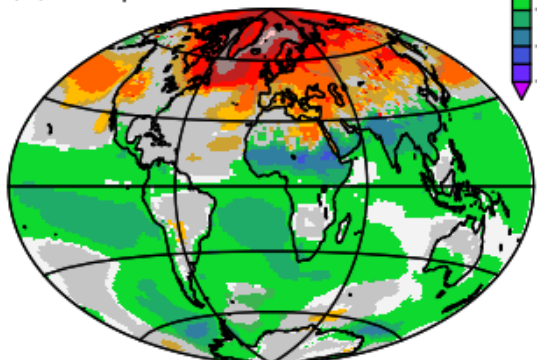
(a) Temperature: MH - PI V4



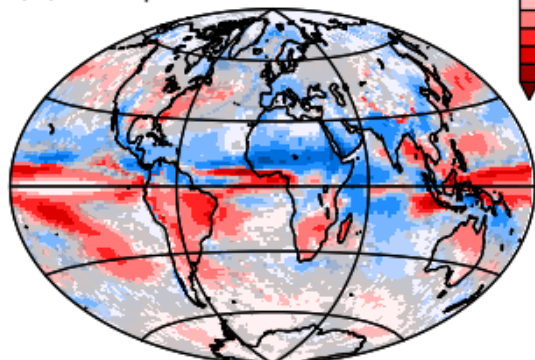
(b) Precipitation: MH - PI V4



(c) Temperature: MH - PI V3



(d) Precipitation: MH - PI V3



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1028 Figure 3. Simulated mid-Holocene (MH) minus Preindustrial (PI) differences for (a) and (c) the 2m air temperature  
 1029 (°C) and (b) and (d) the precipitation (mm d<sup>-1</sup>) and (a) and (b) the V3 and (c) and (d) V4 model versions. Changes  
 1030 are considered to significant at the 5% level outside the grey zones. The significance is estimated from all combi-  
 1031 nations of differences in 100-year averages between MH and PI simulations. For these estimates the first 300 years  
 1032 of the simulations are excluded.

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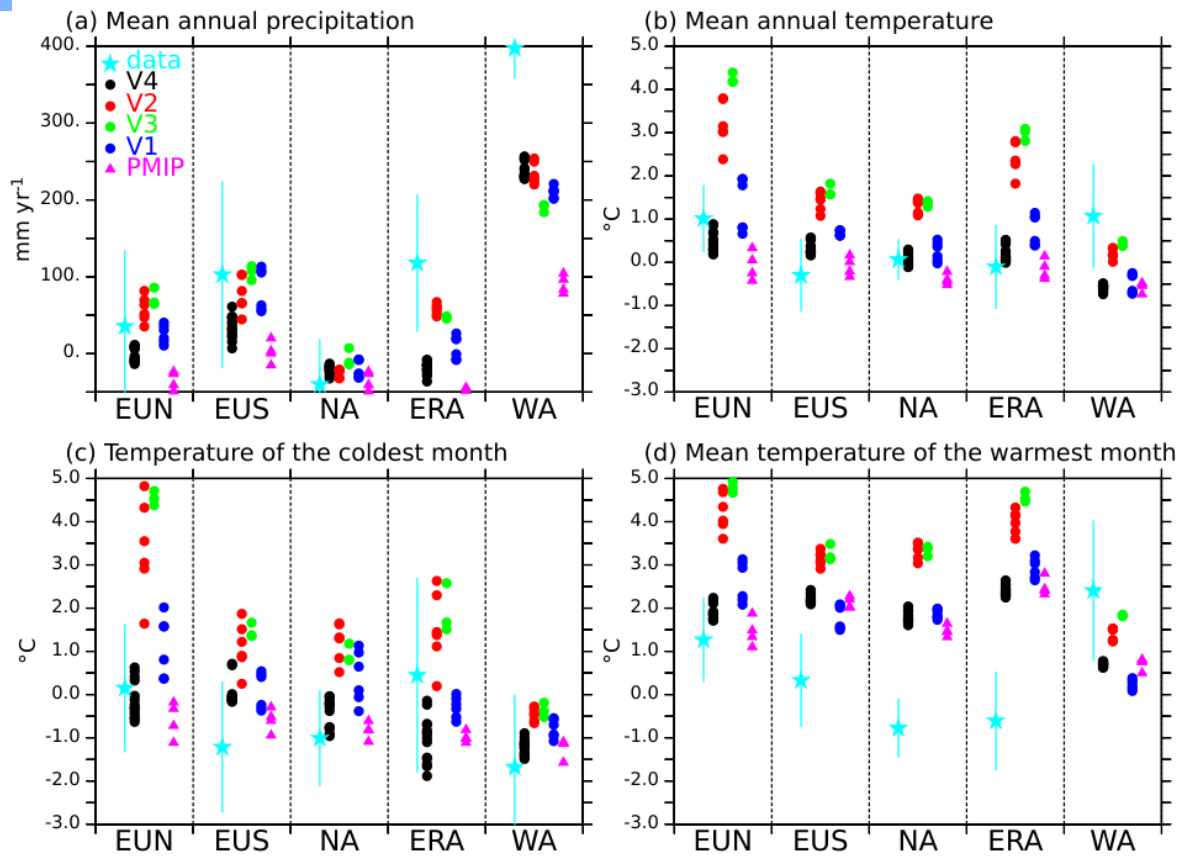
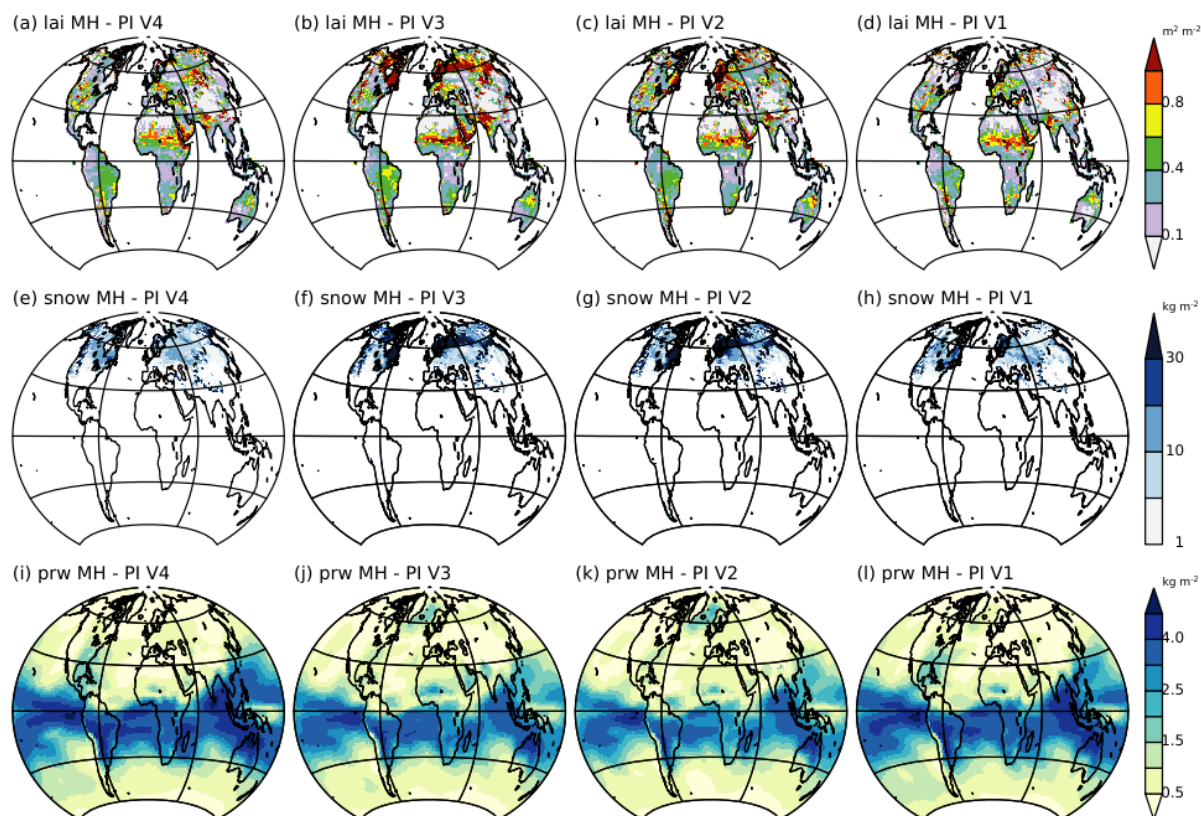


Figure 4. Comparison of the simulated Mid-Holocene (MH) minus Preindustrial (PI) differences with Bartlein et al. (2011) reconstructions for (a) the mean annual precipitation (mm yr<sup>-1</sup>), (b) the mean annual temperature (°C), (c) the temperature of the coldest month (°C) and (d) the temperature of the warmest month (°C) and 5 selected regions with high data coverage: Northern Europe (EUN), Southern Europe (EUS), North America (NA), Eurasia (ERA) and West Africa (WA). A mask is applied to consider only the grid points with values in the reconstruction on the common 1°x1° reconstruction grid chosen for this comparison. The uncertainty bars for the reconstruction are estimated from the uncertainties provided in Bartlein et al. (2011) files. For each model, each dot corresponds to a cross 100-years mean difference between MH and PI simulations (see text).



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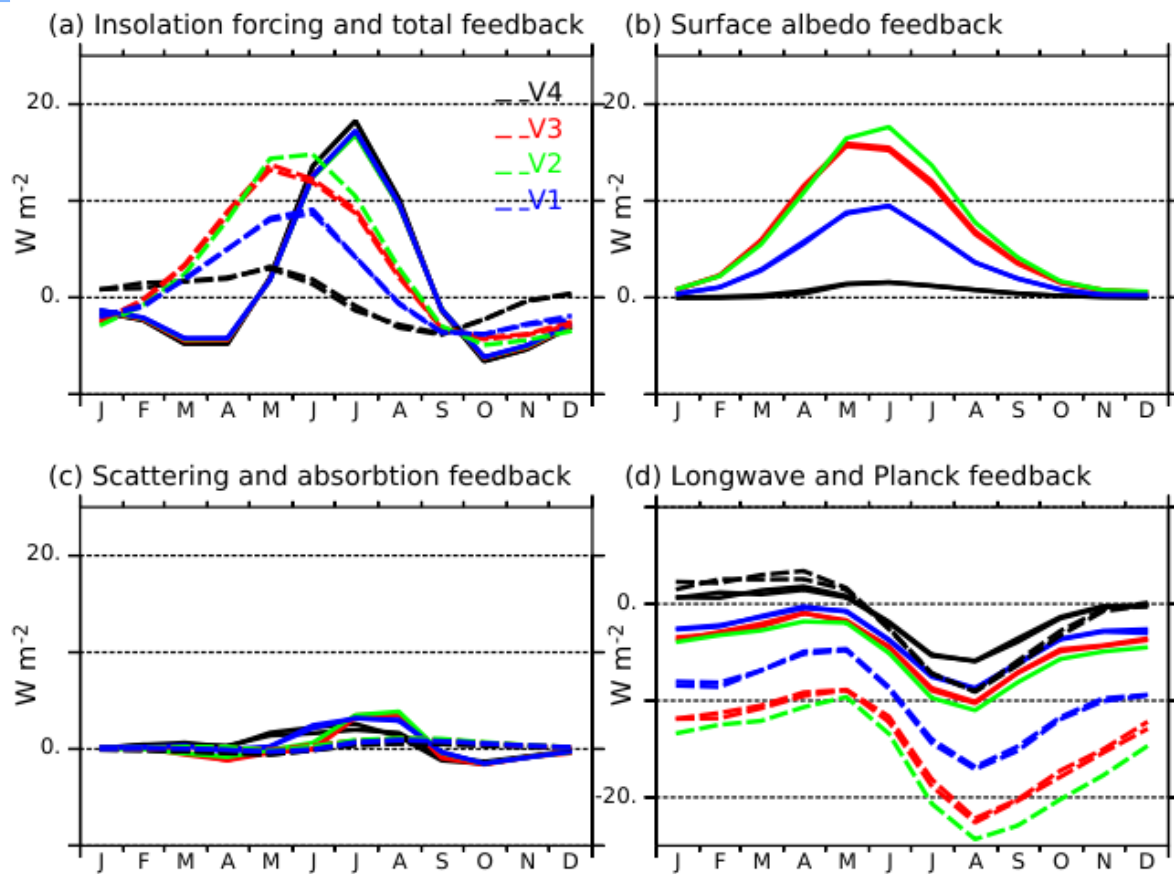


Figure 6: Radiative forcing and feedbacks estimated at the top of the atmosphere ( $\text{W m}^{-2}$ ) between the mid-Holocene and the pre-industrial climates over the mid-to high latitudes in the Northern Hemisphere ( $45^{\circ}\text{N} - 80^{\circ}\text{N}$ ) for the four model versions. (a) Radiative forcing (solid lines) and total radiative feedbacks (dash lines), (b) surface albedo feedback, (c) atmospheric scattering (solid lines) and absorption (dash lines) feedbacks, and (d) longwave feedback (solid line) and Planck response (dash lines). The colours of the different curves correspond to the model version.

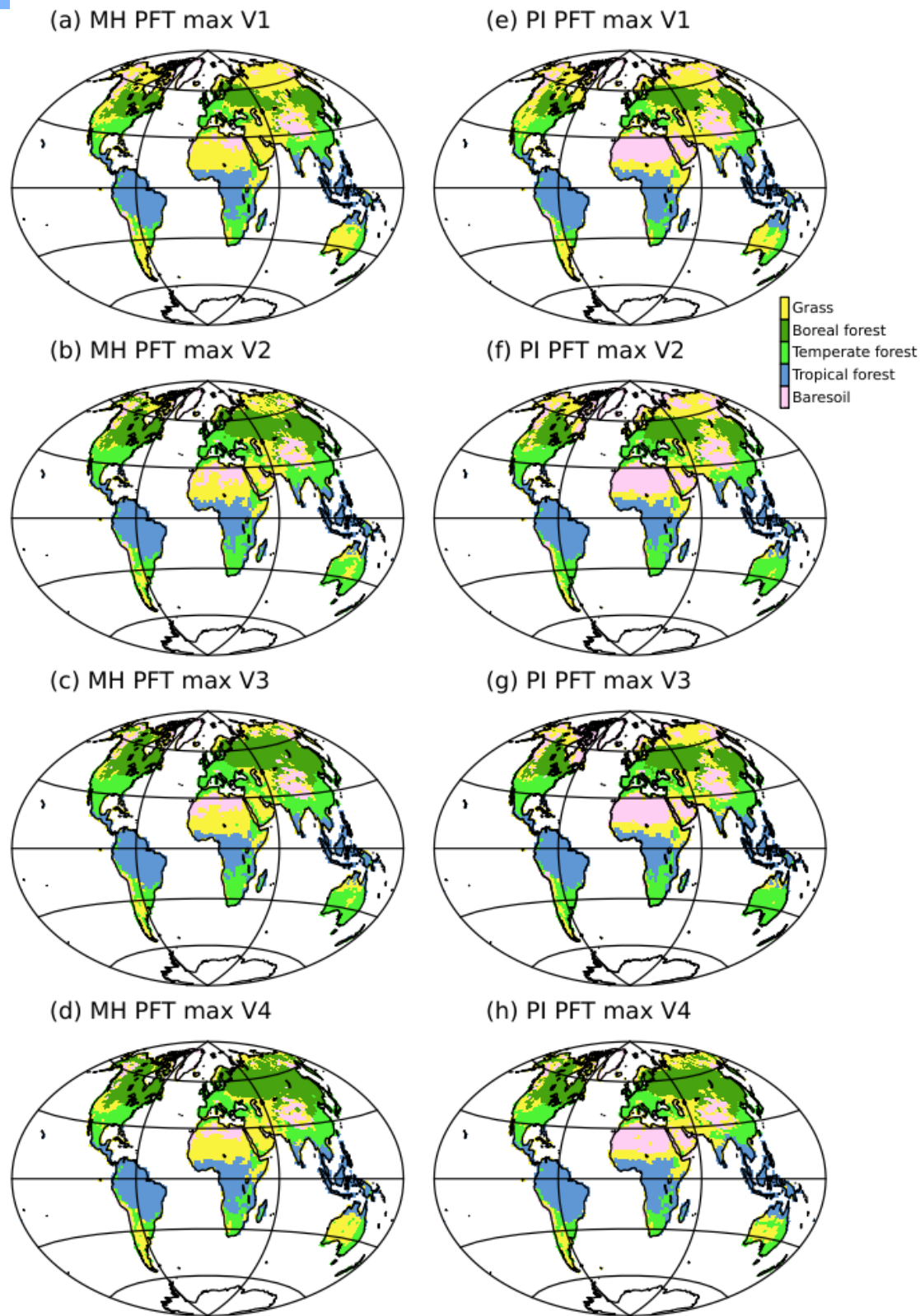


Figure 7. Dominant type of vegetation (PFT MAX) as simulations by the four model versions for (a) to (d) the mid-Holocene (MH) and ((d) to (f) the preindustrial (PI) climates. For clarity the 15 plant functional types (PFTs) have been grouped into 5 major vegetation types: 1. Bare soil, 2. Tropical forest, 3. Temperate forest, 4. Boreal forest, and 5. Grass. These maps represent the vegetation average over the length of the simulation, without considering the first 300 year.

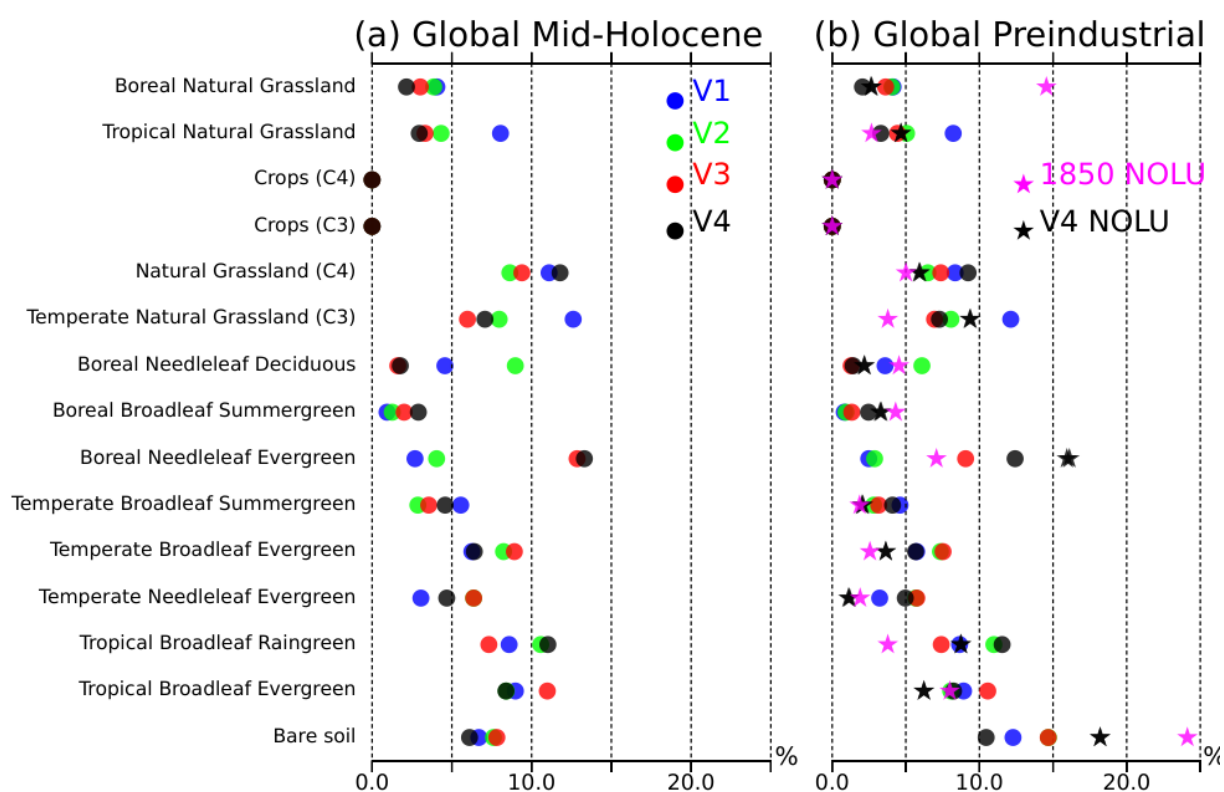


Figure 8. Percentage of global land surface covered by the different types of vegetation (*PFT*) for (a) the mid-Holocene and (b) the preindustrial periods, as simulated by the four model versions (V1: blue, V2: green, V3: red and V4: black). The names of the different *PFTs* are plotted on the vertical axis. The stars in (b) represent the *PFT* distribution when ignoring grid points affected by land use in the pre-industrial (1850) vegetation map used as boundary condition when vegetation is prescribed (cyan for observations and black for V4).

## Mid-Holocene: Globale land averages

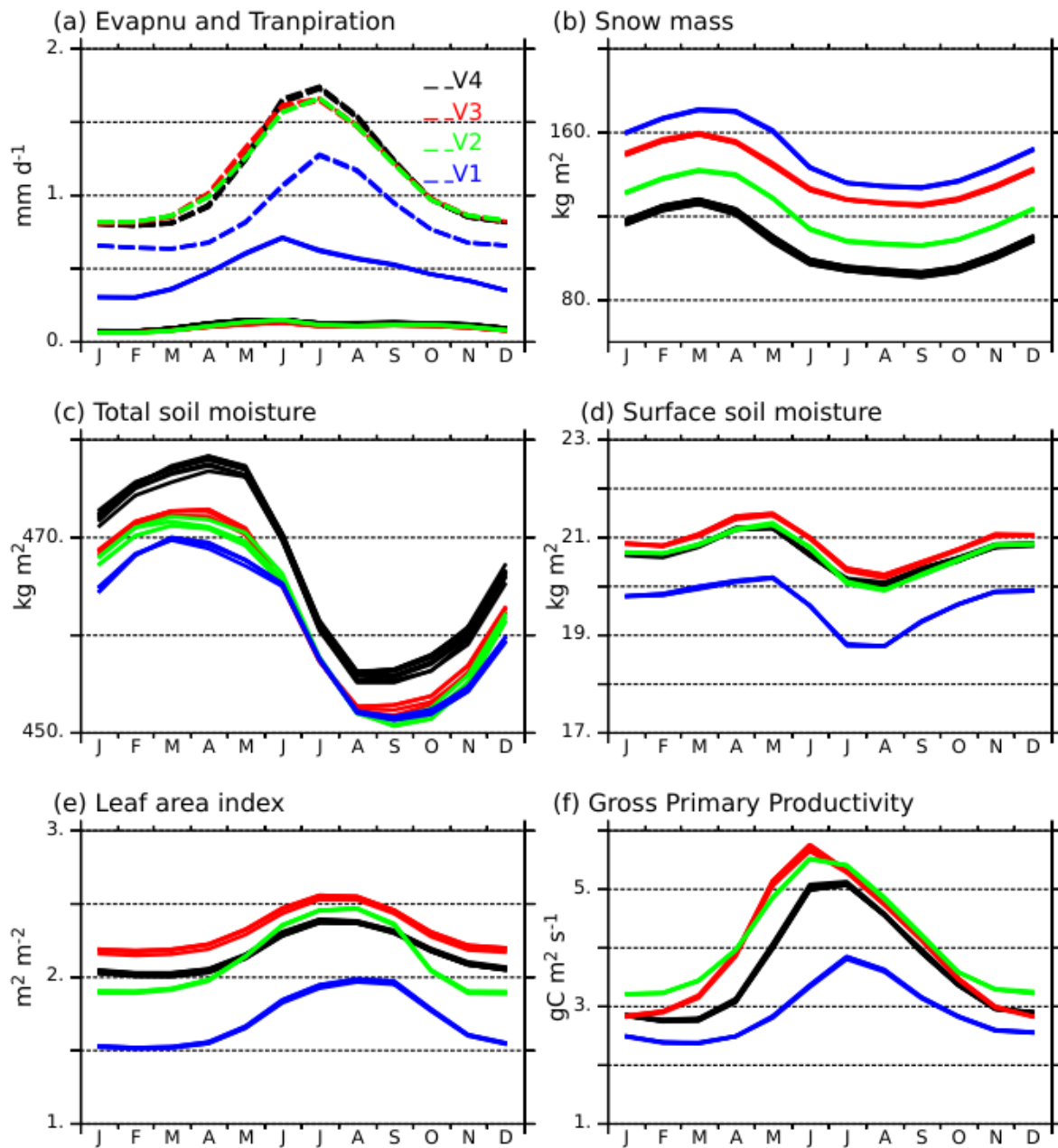


Figure 9. Annual cycle of mid-Holocene (a) bare soil evaporation ( $\text{mm d}^{-1}$ , solid lines) and transpiration ( $\text{mm d}^{-1}$ , dash lines), (b) snow mass ( $\text{kg m}^{-2}$ ), (c) total soil moisture ( $\text{kg m}^{-2}$ ), (d) surface soil moisture ( $\text{kg m}^{-2}$ ), (e) leaf area index (LAI) and (f) net assimilation of carbon by the vegetation (GPP,  $\text{gC m}^{-2} \text{s}^{-1}$ ) globally averaged over land for the four simulations (V1: blue, V2 : green, V3: red, and V4 : black). All 100-years annual mean cycles, excluding the first 300 years, are plotted for each simulation in order to provide an idea of 100-year variability and show that the differences between the simulations are robust.

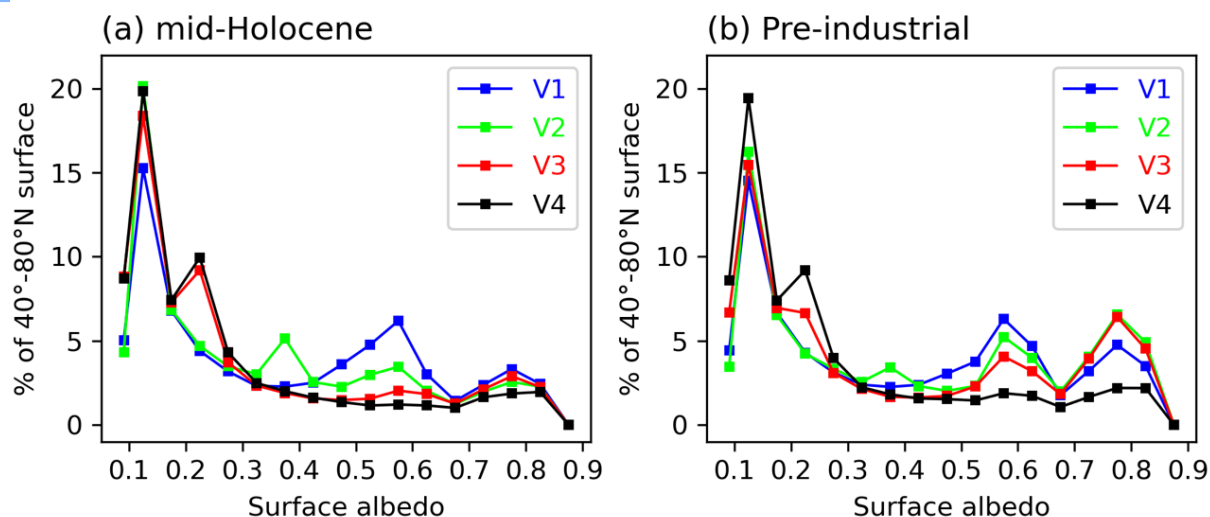


Figure 10: Distribution of the surface albedo (fraction of reflected radiation) as represented in the different simulations, considering all grid points between 45°N and 80°N and months, for (a) the mid-Holocene and (b) the preindustrial climates. For each albedo bin, the value represents the percentage of the surface with this particular albedo. The first bin (lower value) corresponds to ocean albedo. The higher values correspond to sea ice whereas values between 0.1 and 0.3 correspond to vegetation and bare soil, and values between 0.3 and 0.7 correspond to different mixtures of vegetation and snow albedo. The surface albedo has been computed using the surface upward and downward solar radiation.

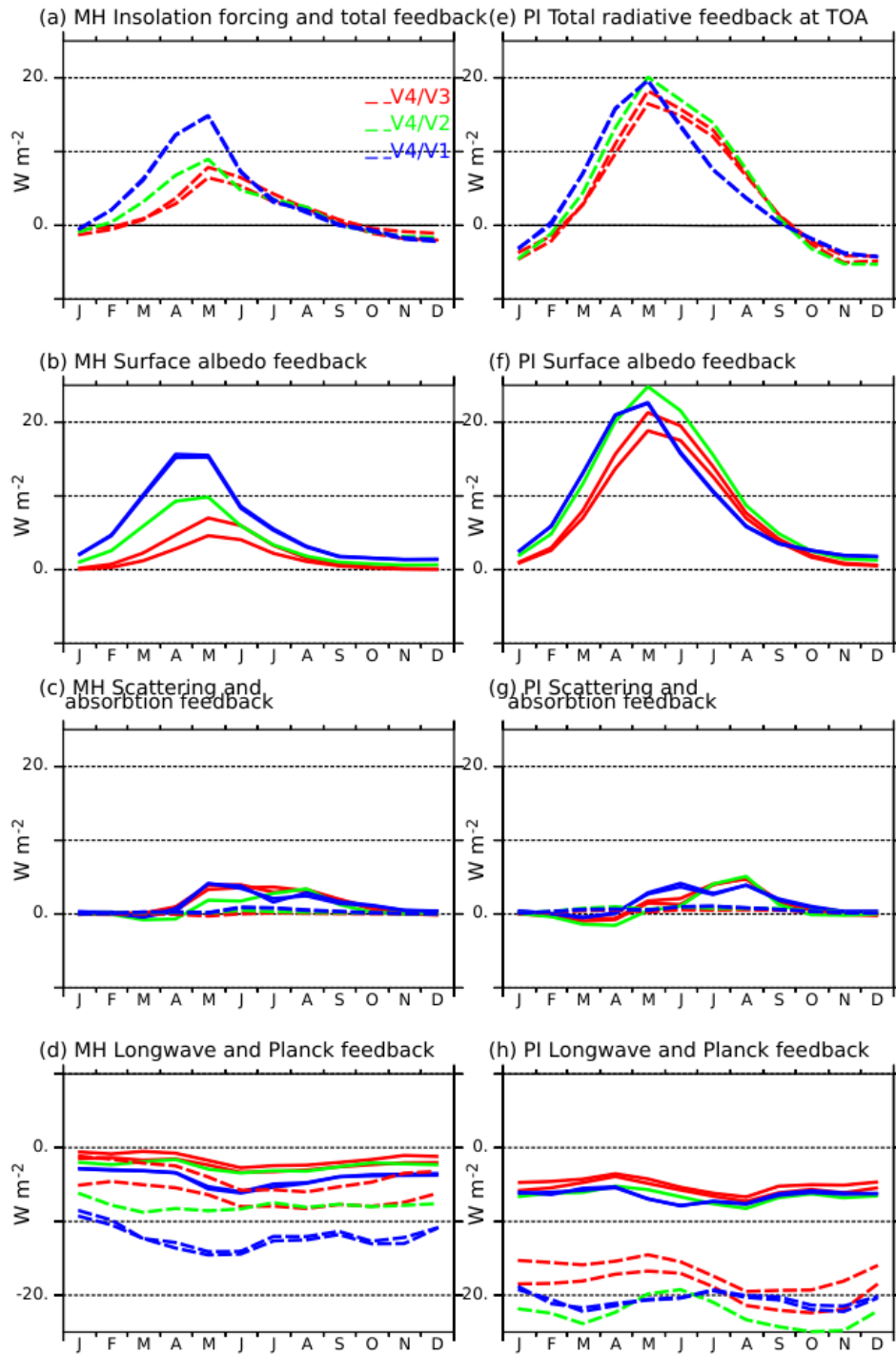


Figure 11. Estimation of radiative feedbacks ( $\text{W m}^{-2}$ ) induced by the differences in the land surface model and vegetation between the different model versions, using V4 as reference, for (a) to (d) the mid-Holocene simulations (MH) and (e) to (h) the preindustrial simulations. Positive values indicate that more energy is entering the climate system at the top of the atmosphere in V4 than in the other version. As in figure 7, the different panels consider (a) and (e) the total radiative feedback, (b) and (f) the surface albedo feedback, (c) and (g) the atmospheric scattering (solid lines) and absorption (dashed lines), and (d) and (h) the outgoing longwave radiation feedback (solid lines) and the Planck response (dashed lines). The colours of the curves represent the different model versions.

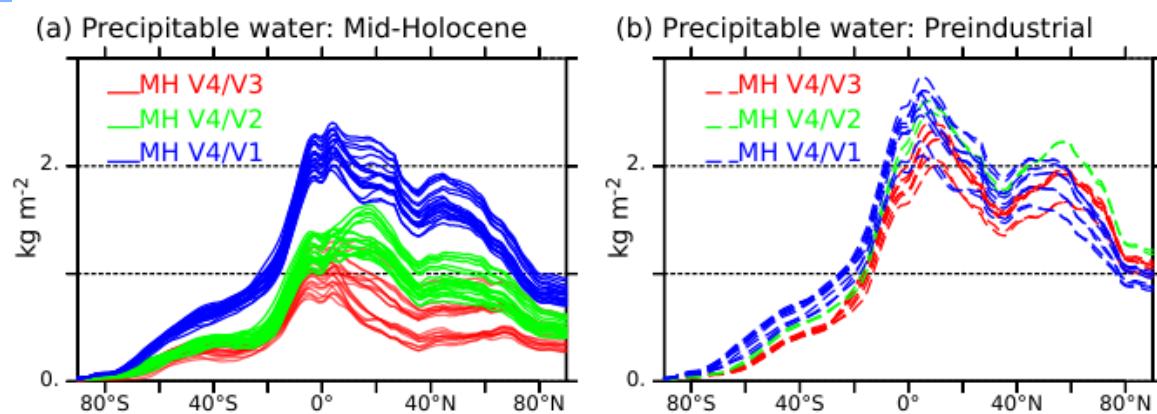
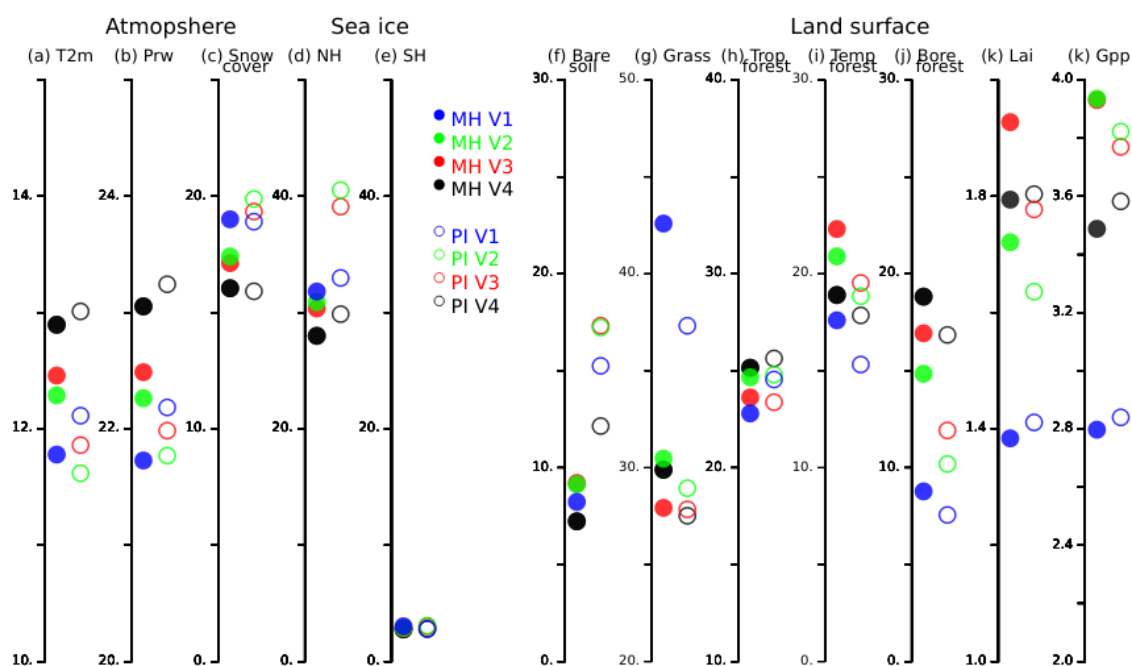


Figure 12: Zonal average differences in integrated atmospheric water content ( $\text{kg m}^{-2}$ ) between V4 and the other model versions for (a) the mid-Holocene climate (solid lines) and (b) the preindustrial climate (dashed lines). The colours of the curves represent the model version: V1 (blue), V2 (green) and V3 (red). The different lines for a given model version have been computed considering all possible combinations of 100-years differences with V4. They provide an indication of the uncertainty.



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1132 (GPP,  $10^5 \text{ gC m}^{-2} \text{ s}^{-1}$ ) and the four model versions (V1 : blue, V2: green, V3 : red and V4 : black).

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(a) nee : MH - PI V4

(b) nee : MH - PI V3

(c) nee : V28 - V1 MH

(d) nee: V28 - V21 PI

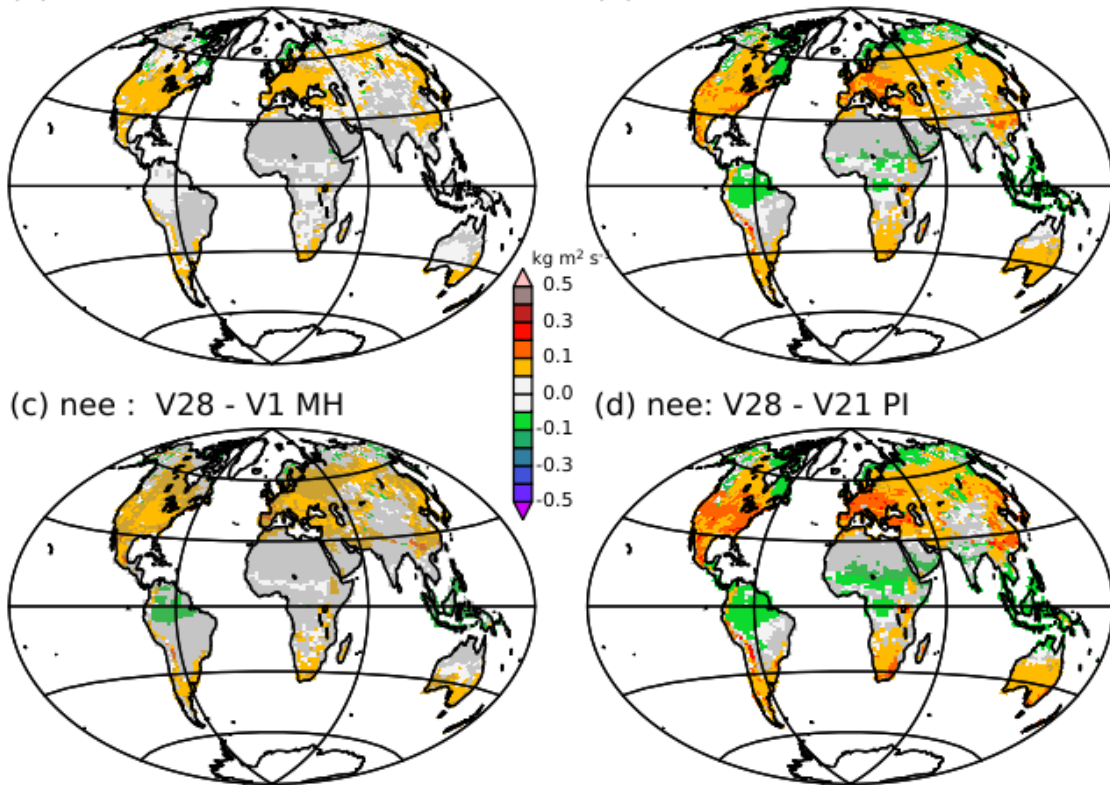


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