



1	Bridging the polarimetric structure and lightning activity of an isolated
2	thunderstorm during the cloud life cycle
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## 30 Abstract

31	Cloud microphysics and dynamics produce lightning flashes, which can be detected as polarimetric
32	structures by radar. Many studies have indicated that differential reflectivity $(Z_{\mbox{\scriptsize DR}})$ and specific
33	differential phase ( $K_{DP}$ ) columns, which serve as proxies for updraft strength, are related to lightning
34	activity; moreover, the quantities of ice and supercooled liquid water strongly influence the
35	occurrence of lightning flashes via noninductive charging. However, few studies have focused on
36	clarifying the sequence or interactions among these factors from the perspective of the cloud life
37	cycle. Here, we establish the '3D mapping columns' method, which is based on the Cartesian grid
38	datasets; this method is sensitive for identifying and quantifying the $Z_{\text{DR}}$ columns in the early phase
39	of cloud formation. Our study bridges the polarimetric structure and lightning activity within an
40	isolated thunderstorm during the cloud life cycle. The results indicate that i) the parameter most
41	relevant to total flashes/cloud-to-ground flashes is the content of supercooled rainwater/graupel. ii)
42	The onset of the $Z_{\text{DR}}$ column can be used to forecast lightning initiation in advance. iii) The
43	signatures of the $Z_{\text{DR}}$ and $K_{\text{DP}}$ columns should be complementary and used to retrieve dynamic
44	information instead of lightning activity. Notably, the variation in the $Z_{\rm H}$ intensity within $Z_{\rm DR}$
45	columns has high potential for predicting lightning activity during the cloud life cycle, which is
46	valuable for exploration in the future. Our study improves the overall understanding of cloud
47	microphysics and lightning activity, and suggestions for using these multiple polarimetric signatures
48	to forecast severe weather are provided.

49 Short summary

Lightning activity is highly related to the signatures of polarimetric radar on the basis of cloud electrification physics. However, few studies have focused on bridging the polarimetric structure and lightning activity during the cloud life cycle. Here, we evaluated the sequence and interactions of polarimetric parameters for indicating lightning activity from the perspective of the cloud life cycle, and the cloud microphysics of the polarimetric structure was explored.
Keywords: thunderstorm; lightning activity; cloud microphysics; polarimetric radar

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## 57 1.Introduction

- 58 Lightning is a traditional indication of severe weather (e.g., tornado, hail, microbursts, etc.).
- 59 Trends in lightning activity are useful for determining the severity of a thunderstorm (e.g., Gatlin





60	and Goodman, 2010; Goodman, et al., 2005; Williams, et al., 1999; Zhang et al., 2009). Lightning
61	activity is the electrical response to dynamic conditions (updraft or turbulence) and cloud
62	microphysics during storm evolution, which is supported by both theoretical and field observational
63	studies of dynamics, microphysics and lightning activity (lightning initiation or total lightning flash
64	rate) (e.g., Baker, et al., 1999; Baker, et al., 1995; Carey and Rutledge, 2000; Mitzeva and Saunders,
65	1990; Souza and Bruning, 2021; Williams, et al., 1989; Zhang et al., 2004a; Zhao, et al., 2021).
66	Notably, many studies have focused on the relationship between lightning activity and cloud updraft
67	or microphysics (e.g., Carey and Rutledge, 1996, 2000; Deierling and Petersen, 2008; Lang and
68	Rutledge, 2011; López and Aubagnac, 1997; Sharma, et al., 2024; Sharma, et al., 2021).

Polarimetric radar can provide observations to improve our understanding of the coupling between convective dynamics and storm microphysics (e.g., Sharma, et al., 2024). Lightning location technology can be used to monitor the occurrence of lightning flashes in real time (e.g., Rison, et al., 1999). In this way, radar and lightning observations can be used to link cloud updrafts and microphysics with lightning activity.

74 Carey and Rutledge (2000) utilized a C-band polarimetric radar to study the relationship 75 between precipitation and lightning during tropical island convection events; their results indicated 76 that lightning activity and the surface electric field are strongly correlated with the mixed-phase ice 77 mass and rainfall properties during the mature phase of convection. Cloud-to-ground (CG) lightning 78 was associated with the production and subsequent descent of graupel and frozen drops from the 79 -10 to -20°C region; moreover, peaks in the CG lighting flash rate typically lagged behind peaks in the graupel mass aloft (Carey and Rutledge, 2000). This observational phenomenon reflects the 80 81 noninductive charging between ice-phase hydrometeors, mainly graupel and ice crystals (e.g., 82 Latham and Dye, 1989; Reynolds, et al., 1957; Saunders, 2008; Takahashi, 1978).

Before the formation of ice particles (e.g., graupel), the supercooled raindrops present in the mixed-phase zone throughout the developing and mature phases play crucial roles in storm kinematics, microphysics, and electrification. During freezing, supercooled raindrops likely provide (i) an instantaneous and abundant supply of millimetre-sized ice, (ii) a potential source of secondary ice particles, and (iii) an invigoration in the updraft due to the latent heat of freezing (Carey and Rutledge, 2000; Rosenfeld, et al., 2008; Zhao, et al., 2024; Zhao, et al., 2022). Moreover, millimetre-





89	sized ice and secondary ice particles contribute to cloud electrification (Bringi, et al., 1996; Sharma,
90	et al., 2024). The intensity of convective updrafts through the modulation of microphysical factors,
91	the collision efficiency of ice particles, and the electrification temperature influence the charge
92	structure of storms (Liu, et al., 2024; Marshall, et al., 1995; Qie, et al., 2000; Yan et al., 1996a, b;
93	Zhang, et al., 2004b), the flash size (Bruning and MacGorman, 2013; Zheng and Zhang, 2021), and
94	the associated lightning flash rate (Deierling and Petersen, 2008; Deierling, et al., 2008; Fridlind, et
95	al., 2019; Souza and Bruning, 2021; Zhao, et al., 2021).
96	Moreover, supercooled raindrops produce a differential reflectivity $(Z_{DR})$ column, one of the
97	most notable polarimetric radar signatures of convective storms. High $Z_{DR}$ values (e.g., >1 dB)
98	above the 0°C isotherm height (freezing level) are associated with large supercooled raindrops and
99	wet hail suspended in deep convective updrafts (e.g., Krause and Klaus, 2024; Kumjian, et al., 2014;
100	Snyder, et al., 2015; Zhao, et al., 2020). Depending on the intensity of the updraft, the region of high
101	$Z_{\text{DR}}$ can extend several kilometres above the 0°C isotherm height. This narrow vertical extension of
102	high $Z_{\text{DR}}$ values above the environmental 0°C level associated with updrafts in strong convective
103	storms is called the $Z_{DR}$ column (Krause and Klaus, 2024; Kumjian, et al., 2014; Zhao, et al., 2020).
104	The $Z_{DR}$ columns, due to the vertical size sorting of drops in warm-rain precipitation processes,
105	encompass the signals of both microphysical features and updrafts; however, these signals are not
106	present throughout the life cycle of strong convective storms, except in the early phase of cloud
107	development. During the initial phase of a thunderstorm, the $Z_{\text{DR}}$ column above the freezing level
108	indicates the presence of a low concentration of large raindrops (>2 mm); in addition, the $Z_{\text{DR}}$
109	column expands downwards from above due to the collision and coalescence of drops and the
110	accretion of droplets, resulting in the formation of larger raindrops (>4-5 mm) (Kumjian, et al.,
111	2014). During the mature phase of a thunderstorm, the $Z_{\text{DR}}$ column above the freezing level may
112	continue expanding upwards and outwards because stronger updrafts loft raindrops upwards into
113	the mixed-phase layer, but smaller drops reach their nucleation temperature and begin to freeze
114	while ascending to higher altitude. As these supercooled raindrops begin to freeze and mix with
115	water-coated graupel and hail, the corresponding $Z_{\text{DR}}$ values decrease, denoting top of the $Z_{\text{DR}}$
116	column. As updrafts subsequently weaken and large ice particles (high-density graupel or hailstones)
117	increase in abundance, the Z <sub>DR</sub> column starts to collapse; however, lightning activity may exhibit

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119 On the other hand, in the specific differential phase (K<sub>DP</sub>) column, high values (>0.75°/km, the value reported by Loney et al. (2002)) above the freezing level occur and are strongly associated 120 121 with a high concentration of water-coated ice (e.g., water-coated graupel and hail with a non-122 spherical shape) and raindrops (1-2 mm) that shed from hailstones growing in a wet regime (Bringi, 123 et al., 1996; Hubbert, et al., 1998; Loney, et al., 2002). Thus, the formation of a K<sub>DP</sub> column is tied 124 to cold cloud microphysics, usually occurring later in the life cycle of a thunderstorm. van Lier-Walqui et al. (2016) attempted to determine the constraints of cloud-resolving models 125 126 on the basis of ground-based remote sensing observations, namely, polarimetric radar data. In their study, the K<sub>DP</sub> column (specifically, the column volume) was strongly related to the updraft mass 127 128 flux, lightning activity, and rainfall intensity in four deep convection events observed during the 129 Midlatitude Continental Convective Clouds Experiment. Recently, Sharma et al. (2024) utilized 130 polarimetric radar observations of three severe storms during the VORTEX-Southeast field 131 campaign to quantify the correlation between the volumes of  $Z_{DR}$  and  $K_{DP}$  columns (representative 132 of mixed-phase microphysics as well as updraft intensity and size) and total lightning flash rates. 133 They indicated that the volume of the K<sub>DP</sub> columns exhibited high co-variability with the total flash 134 rate in three such cases (a tornadic supercell embedded in a stratiform precipitation system, a non-

an inverse trend compared with that of the Z<sub>DR</sub> column at this moment.

135 tornadic supercell, and a supercell embedded within a quasilinear convective system).

136 Sharma et al. (2024) conducted a study on the basis of hypotheses, namely, that the deeper and 137 wider the  $Z_{DR}$  and  $K_{DP}$  columns were in cases with robust and wide updrafts (e.g., Homeyer and 138 Kumjian, 2015; Snyder, et al., 2017), the more an increase in the volumes of the Z<sub>DR</sub> and K<sub>DP</sub> 139 columns would correspond to an increase the mixed-phase ice mass flux, resulting in an increase in 140 the total flash rate; therefore, the correlation coefficient ( $-0.47 \sim 0.37$  for the Z<sub>DR</sub> column; 0.54 $\sim 0.74$ for the K<sub>DP</sub> column) between Z<sub>DR</sub> or K<sub>DP</sub> columns and lightning activity was not high. In addition, 141 142 the effect of the time lag may decrease this correlation coefficient. As reported by Carey and 143 Rutledge (2000), they obtained a very high one-lag (7 minutes) correlation coefficient ( $\rho = 0.9$ ) 144 between the graupel mass within the mixed-phase zone and the CG lightning flash rate, suggesting 145 that the directly related microphysics with noninductive charging have a greater correlation 146 coefficient with lightning activity.





147	In summary, four parameters derived from polarimetric radar can provide forecasting
148	information about lightning activity on the basis of noninductive charging: i) the precipitation-sized
149	(e.g., graupel) ice mass within the mixed-phase zone; ii) the content of supercooled raindrops; and
150	iii) the quantified $Z_{DR}$ and $K_{DP}$ columns (e.g., the column volume or height). Our goal in this study
151	is to link these four parameters for forecasting lightning activity within isolated thunderstorm cells
152	during the cloud life cycle over South China, determine of whether the best proxy among these four
153	parameters is used to forecast lightning activity, and determine how the cloud microphysics related
154	to these four parameters should be assessed. Notably, the close relationship between these four
155	parameters and lightning activity has been noted in many studies (e.g., Carey and Rutledge, 2000;
156	Hayashi et al., 2021; Sharma et al., 2024; Sharma, et al., 2021; Snyder, et al., 2015; van Lier-Walqui,
157	et al., 2016; Woodard et al., 2012); thus, we believe that this study is sufficient for connecting these
158	four parameters and lightning activity via an isolated storm cell during the cloud life cycle.
159	This paper is organized as follows. In Section 2, an overview of the radar and lightning data is

160 given, and the analysis methods and the approach for quantifying the  $Z_{DR}/K_{DP}$  columns are described. 161 Section 3 presents the results of  $Z_{DR}/K_{DP}$  column quantification and the microphysical 162 characteristics of the  $Z_{DR}$  column; additionally, the variation in the ice/water content within the 163 mixed-phase zone with cloud development is illustrated, and the relationship between lightning 164 activity and these polarimetric characteristics is presented. Finally, we summarize the results in 165 Section 4.

## 166 **2.Data and methodology**

### 167 2.1. Radar and lightning observations

168 On 20 June 2016, one isolated thunderstorm cell was observed via an S-band dual-polarization 169 radar deployed in Guangzhou city (GZ radar) (Figure 1). The composite reflectivity data revealed 170 that this thunderstorm (the boundary was determined via manual inspection; the black lines in Figure 1) was nearly stationary and that the cloud life cycle lasted approximately two hours. Lightning 171 activity occurred when values of composite reflectivity >35 dBZ were present; this phenomenon 172 seemingly supported the results of Hayashi et al. (2021), highlighting the importance of graupel in 173 cloud electrification. 174 175 The beam width of the GZ radar is  $\leq 1^{\circ}$ , and the azimuth and range resolutions are  $1^{\circ}$  and 250

176 m, respectively. A full radar volume scan lasted 6 minutes at nine elevation angles. The GZ radar





- 177 data were processed via the Python ARM Radar Toolkit (Py-ART), including quality control
- 178 (Helmus and Collis, 2016; Li, et al., 2023; Li, et al., 2024). The Z<sub>DR</sub> offset of the raw data was
- 179 corrected via drizzle, and the calibration accuracy was expected to be 0.1-0.2 dB (Bringi and
- 180 Chandrasekar, 2001). The radar data were gridded via Py-ART gridding routines on a Cartesian grid
- 181 with a 0.25-km horizontal resolution and a 500-m vertical resolution ("Barnes2" method).

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Figure 1. The composite reflectivity of the isolated thunderstorm cell on 20 June 2016, which initiated at 17:18 and ended at 19:00 (China Standard Time, CST). The black lines indicate the boundary of this thunderstorm. The black crosses indicate the lightning flashes, and the location of once lightning flash is represented by the location of the first discharge pulse event.

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187 The lightning flashes within this thundercloud were detected via a low-frequency E-field 188 detection array (LFEDA), which is a 3-D mapping detection system for intracloud and CG lightning, with 10 sensors. Previous studies (Fan, et al., 2018; Shi, et al., 2017) utilized information from 189 190 triggered lightning flashes to evaluate LFEDA detection results; the results show the detection 191 efficiency of the LFEDA can reach 100%, and the mean location error is 102 m. Discharge pulse 192 events were grouped into a lightning flash via the same method as described by Liu et al. (2020). If 193 any source within one lightning flash is below the 2-km height, this lightning flash was regarded as one cloud-to-ground flash, as suggested by Zhao et al. (2021). 194

The lightning flashes were assigned to the isolated thunderstorm on the basis of the boundary of the thunderstorm, as well as a constraint every 6 minutes (according to the duration of a full radar volume scan). The life cycle of this isolated thunderstorm initiated from the first radar echo (i.e., the presence of a maximum  $Z_H \ge 5$  dBZ in a full radar volume scan within this cloud was first detected by the GZ radar, as suggested by Zhao et al. (2021, 2022, 2024)) and ended when the maximum  $Z_H$  started to decrease and  $Z_H$  reached  $\le 40$  dBZ. The distributions of these detection systems, including radar and lightning location system, were illustrated by in Zhao et al. (2024).

## 202 2.2. Cloud microphysical parameter retrieval methods

To estimate the precipitation-sized ice mass (e.g., graupel, hail, and frozen drops) and the content of supercooled raindrops within the mixed-phase zone, an approach on the basis of difference reflectivity ( $Z_{DP}$ , dB) is applied (Carey and Rutledge, 2000). Pruppacher and Klett (1997) assumed that precipitation-sized ice particles were more spherically symmetrical or tumble. The low dielectric constant and significant canting behaviour of ice particles likely result in a near-zero  $Z_{DR}$ (e.g., Seliga and Bringi, 1976). Therefore, the horizontal reflectivity and vertical reflectivity are equal for ice particles, as "effective spheres", and  $Z_{DP}$  is solely influenced by raindrops.

If the relationship between horizontal reflectivity and  $Z_{DP}$  (raindrops) is known, the horizontal reflectivity of raindrops can be derived, and the residual difference in the observed horizontal reflectivity can be regarded as being associated with ice particles. The standard error for the relationship between horizontal reflectivity and  $Z_{DP}$  is consistently approximately 1 dB (Carey and Rutledge, 2000). The estimated ice mass from the horizontal reflectivity of ice particles is proportional to the actual ice mass and depends on the variability in the intercept parameter of an





216	assumed inverse exponential distribution for ice and the ice density; thus, the trends of the estimated
217	ice mass are deemed sufficient to investigate lightning activity.
218	The estimated ice masses are assigned to graupel masses on the basis of scattering properties,
219	namely, where the $Z_H$ values exceed 35 dBZ (Carey and Rutledge, 2000; Kumjian, 2013a, b; Zhao,
220	et al., 2021). The rain water content above the freezing level (0°C isotherm height; sounding data
221	from the Qingyuan meteorological observatory are used to obtain the environmental temperature)
222	is defined as the supercooled raindrop mass.
223	$Z_{\text{DR}}$ columns are associated with low concentration of large raindrops (>2 mm); thus, the
224	median volume diameter $D_{0}  of raindrops is retrieved via the method described by Hu and Ryzhkov$
225	(2022) to provide supporting evidence for identifying $Z_{\text{DR}}$ columns. The fractional standard
226	deviation of the $D_0$ estimation is approximately 10% (Hu and Ryzhkov, 2022).
227	2.3. Previous automatically identification and quantification methods for $Z_{\text{DR}}/K_{\text{DP}}$ columns
228	Currently, a few methods are available to automatically identify and quantify $Z_{DR}/K_{DP}$ columns
229	(e.g., Woodard et al., 2012; Krause and Klaus, 2024; Sharma, et al., 2024; Snyder, et al., 2015; van
230	Lier-Walqui, et al., 2016). These methods are constructed on the basis of the morphology of the
231	$Z_{DR}/K_{DP}$ columns and/or the high values (e.g., $Z_{DR} > 1$ dB; $K_{DP} > 1^{\circ}/km$ ) above the freezing level.
232	In the stage of cloud formation, high $Z_{DR}$ values above the freezing level are simply used to
233	identify $Z_{DR}$ columns; however, these high values are not always associated with the $Z_{DR}$ columns.
234	Notably, three-body scatter signatures (Zrnić, 1987), depolarization streaks associated with the
235	canting behaviour of ice in regions of strong electrification (Kumjian, 2013c), and oblate ice
236	particles can lead to enhanced positive $Z_{DR}$ values (Kumjian, 2013a). Thus, additional requirements
237	were imposed to avoid identification errors, e.g., the reflectivity threshold value (Z_H ${\geq}40$ dBZ)
238	(Woodard et al., 2012) and the height should be below the homogeneous freezing level, and the
239	maximum height of the $Z_{\text{DR}}$ column should be associated with the height at which $Z_{\text{DR}}$ displays a
240	negative vertical gradient (van Lier-Walqui, et al., 2016).
241	Recently, Krause and Klaus (2024) utilized the hotspot technique to identify the base of the
242	$Z_{DR}$ column on the basis of constant altitude plan projection indicators (CAPPIs). Although their
243	results indicated an improvement in the plan region identified on the basis of the Z <sub>DR</sub> column

244 approach over the results of two different existing algorithms (Thunderstorm Risk Estimation from





245	Nowcasting Development via Size Sorting algorithm and the algorithm introduced by Snyder et al.
246	(2015)), the depth and volume information for $Z_{DR}$ columns was lost, which was not beneficial for
247	forecasting lightning activity. In addition, the reflectivity threshold value ( $Z_H$ >25 dBZ) was required
248	in this algorithm. Sharma et al. (2024) used an algorithm in the "scikit-image" Python package to
249	$identify \ Z_{DR}/K_{DP} \ columns \ from \ Cartesian \ grid \ data \ via \ threshold \ values \ (Z_{DR} \ge 1 \ dB; \ K_{DP} \ge 1^{\circ}/km);$
250	they restricted the $Z_{\rm H}$ values to exceed 20 dBZ, and any instances of obvious data contamination or
251	unrealistic values during the gridding process were manually removed prior to analysis. They
252	focused on three supercells during lightning activity, and violent convection in such case will ignore
253	the formation of $Z_{DR}/K_{DP}$ columns at weak echo intensities, i.e., in the initiation stage of convective
254	clouds.

### 255 2.4. Automatic 3D mapping of Z<sub>DR</sub>/K<sub>DP</sub> columns during the life cycle of an isolated cell storm

256 Our objective in this study is to explore the performance of microphysics retrieved via radar for forecasting lightning activity within isolated thunderstorm cells during the cloud life cycle. As 257 258 depicted in Figure 1, our radar observations indicate that before the initiation of lightning, the echo 259 intensity is weak during the early stage of a cloud. Moreover, we seek to determine whether  $Z_{DR}$ 260 columns form in the early stages of cloud formation. In addition, K<sub>DP</sub> columns are representative of 261 small drops with high concentration shed from large ice particles (e.g., hailstones) growing in a wet 262 regime (Hubbert, et al., 1998; Loney, et al., 2002); thus, are K<sub>DP</sub> columns absent in the initial stage 263 of convective cloud formation and even in early stages of lightning activity?

264 Figure 2 shows the first appearance of the Z<sub>DR</sub> column at 17:24 (China Standard Time, CST), 265 which is ~36 minutes earlier than the first lightning occurrence and only lags behind the first radar 266 echo by 6 minutes. The high values of Z<sub>DR</sub> extend to the mixed-phase region from the cloud bottom, 267 and the height of the  $Z_{DR}$  column is approximately 1 km (Figure 2c). The corresponding  $Z_H$  values 268 are smaller than 30 dBZ, but with the values of the co-polar correlation coefficient (CC) are relatively high (Figure 2a, d). These characteristics indicate the presence of large raindrops with low 269 concentrations; the low K<sub>DP</sub> values and large size of raindrops (exceeding 2 mm) support that 270 271 (Figure 2e, f), we illustrate the microphysical structure corresponding to high  $Z_{DR}$  values in Figure 272 2b. The threshold value for identifying the  $Z_{DR}$  column in this study is 1.5 dB, considering that the 273 size of raindrops should exceed 2 mm within the Z<sub>DR</sub> column during the initial phase of a storm





274 (e.g., Kumjian, et al., 2014). In addition, the K<sub>DP</sub> column is absent in the initial phase of this storm,



275 not appearing until 18:30 CST.

Figure 2. Cross section from the Cartesian grid of the studied isolated thunderstorm at 17:24 CST. (a) Z<sub>H</sub>. (b)
Conceptual model of the microphysical structure within high Z<sub>DR</sub> values. (c) Z<sub>DR</sub>, (d) CC, (e) K<sub>DP</sub>. (f) Median
volume diameter D<sub>0</sub> of raindrops. The black dashed line indicates the 0°C isotherm height.

In this study, we establish a method that only depends on the  $Z_{DR}$  parameter for identifying and easily quantifying the  $Z_{DR}$  column (e.g., height and volume) during the whole life cycle of a storm, specifically, including the initial phase of a convective cloud; the morphology of the  $Z_{DR}$  column resulted by size sorting and the high  $Z_{DR}$  values ( $\geq 1.5$  dB) in Cartesian grid data are combined as the basis of this method. A flow chart of this method is depicted in Figure 3.

285 First, we establish logic matrices with the same specifications as the  $Z_{DR}$  matrices and identify 286 the layer that corresponds to the 0°C isotherm height (with sounding data used to determine the 287 environmental temperature). Second, from the 1-km height below the freezing level to the mixedphase region, if  $Z_{DR} \ge 1.5$  dB, the corresponding logic values in the logic matrix are equal to 1. 288 289 Notably, we utilize the lower portion of the logic matrix to upwards restrict the possible errors 290 associated with including locations outside the successive column of high  $Z_{DR}$  values ( $\geq 1.5$  dB). 291 This restriction condition is based on the column morphology (columnar shape), which results from 292 drop size sorting. Specifically, the region of the Z<sub>DR</sub> column contracts upwards from the 0°C 293 isotherm height below the mixed-phase region (e.g., as shown in Amiot et al. (2019), Hubbert et al.





294 (2018), Kumjian et al. (2014), Snyder et al. (2015) and Tuttle et al. (1989)). In the Cartesian grid 295 data, this phenomenon is particularly obvious (Figure 2c). Third, the size distribution of raindrops within the Z<sub>DR</sub> column is determined by size sorting; 296 297 thus, we utilize the negative vertical gradient of  $Z_{DR}$  on the basis of every grid between two adjacent 298 layers from the 0°C isotherm height to the uppermost limit height to further ensure that the grids are 299 associated with the Z<sub>DR</sub> column. Finally, a 3D mapping of the Z<sub>DR</sub> column is constructed. We can 300 use the grid information to compute the height and volume of the Z<sub>DR</sub> column. Although the 301 formation mechanism of the  $K_{DP}$  column is different from that of the  $Z_{DR}$  column, the morphology 302 of the K<sub>DP</sub> column is highly consistent with that of the Z<sub>DR</sub> column; thus, this method can be applied 303 to map the KDP column with a 3D grid based on the threshold value for identifying the KDP column 304  $(e.g., \geq 1^{\circ}/km)$ Sounding data .. 40 Δn n=1. 2. .. The Cartesian grid has a 0.25-km horizontal resolution and a 500-m Seeking out the layer corresponds vertical resolution, ranging from 500 m to 20 km, with 40 layers to the 0°C isotherm height

Step 2			
🖵 n=a-2 n	=a-1 n=a n=a+1 i	n=a+2	
If Z <sub>DR</sub> ≥1.5 dB, the corresponding Util	lizing the lower portion of the logic	matrix to upwards restrict the possible	
values of the logic matrix are erro	ors associated with including location	ons outside the successive column of	
equal to 1, e.g., the red ticks hig	h Z <sub>DR</sub> values (≥1.5 dB)		
Step 3 X1 X2 Y1 Y2 Up mo operation	→ ving ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	wing	
n=a n=a+1	n=a+1 n=a+2	n=a	
If $y(_{1,2,\dots}) - x(_{1,2,\dots}) \le 0$ , the correspon are equal to 1; otherwise, they are	ding values in the upper logic matri equal to 0 (e.g., $y_2 - x_2 > 0$ , $y_3 - x_3 > 0$	ix 3D mapping of Z <sub>DR</sub> column	
where corresponding values in the	e logic matrix (n=a+1) are equal to ze	ero)	

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Figure 3. Flow chart of 3D mapping of a  $Z_{\text{DR}}$  column.

### 307 **3.Results**

### 308 3.1. Assessment of Z<sub>DR</sub>/K<sub>DP</sub> columns identified via the "3D mapping columns" method

309 Snapshots of the identified  $Z_{DR}$  columns via the "3D mapping columns" method at four

- 310 moments (the K<sub>DP</sub> column only existed at one moment during the life cycle of this thunderstorm)
- 311 are shown in Figure 4. The identified regions of the Z<sub>DR</sub>/K<sub>DP</sub> columns are represented by white dots.
- 312 We verify that the "3D mapping columns" method performs well in identifying the  $Z_{DR}/K_{DP}$  columns
- 313 via manual inspection.

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The first  $Z_{DR}$  column in this isolated thunderstorm occurred at 17:24 CST (Figure 4a). The second  $Z_{DR}$  column subsequently occurred at 17:42 CST after 18 minutes (Figure 4b). At 18:06 CST, the lightning activity reached the first peak (Figure 5; to compare the radar and lightning data, the lightning flash frequency was counted every 6 minutes), and the  $Z_{DR}$  column was the highest and





323 largest (in volume) at this time. However, the overlap region between the Z<sub>DR</sub> column and 324 reflectivity core at 17:24 and 17:42 CST disappeared at 18:06 CST (Figure 4c); i.e., the Z<sub>DR</sub> column 325 within the reflectivity core began to collapse because of the falling of large-sized (represented by 326 Z<sub>H</sub> values exceeding 40 dBZ) ice particles. 327 Although large ice particles form and fall, the K<sub>DP</sub> column is absent. However, a K<sub>DP</sub> core with 328 high values ( $\geq 1^{\circ}/km$ ) occurs near the location where large ice particles (approximately 50 dBZ) 329 melt, and a shedding process occurs, resulting in a K<sub>DP</sub> core. At 18:30 CST, the lightning activity 330 reaches the second peak (Figure 5), but the Z<sub>DR</sub> column almost disappears; interestingly, the K<sub>DP</sub> 331 column forms, with high values expanding downwards to the bottom of the cloud (Figure 4d, f). 332 Thus, we suspect that K<sub>DP</sub> column in this study may have formed on the basis of the raindrops (1-2 333 mm) shed from hailstones melting in the warm-phase region, which recirculated into the updrafts 334 and were lifted to the mixed-phase region. 20 18





336 Figure 5. Time-height variation in flash source density (count per pixel, 6 min<sup>-1</sup>). The black (red) stepped line

337 indicates the total flashes (CG flashes) from the LFEDA. The dashed lines indicate the isotherm heights from 0 to

-38°C.

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# $339 \qquad \textbf{3.2. The polarimetric and microphysical characteristics within the } Z_{DR} \text{ columns}$

Figure 6 shows the normalized distributions of the polarimetric and microphysical characteristics within the series of Z<sub>DR</sub> columns during the life cycle of the studied thunderstorm. The Z<sub>H</sub> values within the Z<sub>DR</sub> columns range from approximately 10 to 55 dBZ; specifically, weak reflectivity is present in the initial phase of the thundercloud (Figure 6a). This suggests the use of





344 the Z<sub>H</sub> threshold value (e.g., 25, 40, or 35–50 dBZ) to help select Z<sub>DR</sub> columns results in the loss of 345 information, especially for the initial phase of clouds. The increase in reflectivity intensity within the ZDR columns can be used to predict lightning activity, and the peaks in both reflectivity intensity 346 347 and lightning activity are consistent. A strong reflectivity intensity peak is associated with a high 348 number of lightning flashes (Figure 6a). 349 The Z<sub>DR</sub> values within the Z<sub>DR</sub> columns range from approximately 1.5 to 4 dB, and the 350 increasing trend of the ZDR values is consistent with the first peak of lightning activity but has a low correlation with the second peak of lightning activity (Figure 6b). The pattern of D<sub>0</sub> within the Z<sub>DR</sub> 351 352 columns is similar to that of the  $Z_{DR}$  values, depending on the strong linear relationship between  $D_0$ 353 and Z<sub>DR</sub> (Figure 6c). The liquid water content within the Z<sub>DR</sub> columns ranges from approximately 0.1 to 4 gm<sup>-3</sup>, and the peaks correspond to the two peaks of lightning activity (Figure 6d). However, 354 355 the relationship between the liquid water content within the  $Z_{DR}$  columns and the lightning flash 356 frequency is not as strong as that between the Z<sub>H</sub> values within the Z<sub>DR</sub> columns and the lightning



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357

flash frequency.

Figure 6. The normalized distributions of the polarimetric and microphysical characteristics within the series of
 Z<sub>DR</sub> columns. (a) Z<sub>H</sub>. (b) Z<sub>DR</sub>. (c) Liquid water content (LWC). (d) Median volume diameter (D<sub>0</sub>) of raindrops. The





361 blue solid line indicates the mean value. The blue dashed lines indicate the 25% and 75% percentiles. The black 362 (red) stepped line indicates the total flashes (CG flashes) from LFEDA, and the lightning flash frequency is 363 counted every 6 minutes. 364 In addition, the percentage of hydrometeors within the ZDR columns is investigated on the basis 365 of the retrieved contents of ice (including graupel) or raindrops, as described in Section 2b. The 366 obvious phenomenon is that the percentage of graupel within the Z<sub>DR</sub> columns suddenly peaks 367 before the first peak of lightning activity, but the second peak of lightning activity is not related to 368 the presence of graupel within the  $Z_{DR}$  columns; the hydrometeor type within the  $Z_{DR}$  column at 369 18:30 CST is raindrops (Figure 7). This indicate the collapse of the  $Z_{DR}$  column within the 370 reflectivity core at 18:30 CST, which is consistent with the results shown in Figure 4d. On the other 371 hand, the sudden increase in graupel within the  $Z_{DR}$  column at 18:00 CST may support the presence 372 of a coalescence-freezing mechanism that led to graupel formation in the warm-based clouds. The hypothesis about coalescence-freezing mechanism was proposed in previous studies (e.g., Braham, 373 374 1986; Bringi, et al., 1997; Carey and Rutledge, 2000; Herzegh and Jameson, 1992).



375

Figure 7. The percentages of hydrometeors within the series of Z<sub>DR</sub> columns. The orange bars indicate the
percentage of raindrops. The blue bars indicate the percentage of ice particles (including graupel). The pink bars
indicate the percentage of graupel. The black (red) stepped line indicates the total flashes (CG flashes) from
LFEDA, and the lightning flash frequency is counted every 6 minutes.

380 **3.3. Relationship between lightning activity and quantified Z**<sub>DR</sub> columns





To determine the relationship between lightning activity and the quantified  $Z_{DR}$  columns, the height and volume of the  $Z_{DR}$  column are calculated via the "3D mapping column" method; the volume is based on the accumulation of all grids within the  $Z_{DR}$  column, and the volume of a single grid is 0.03125 km<sup>3</sup>, with 0.25-km horizontal and 500-m vertical resolutions. The height of the  $Z_{DR}$ column is determined by counting the grid number (*n*) from the freezing level to the highest grid within the  $Z_{DR}$  column; if *n* is determined, the  $Z_{DR}$  column height is *n*×0.5 km.

387 Figure 8a displays the variations in the lightning flash frequency and Z<sub>DR</sub> column height. The 388 variation trend of the lightning activity before the second peak is highly consistent with the trend of 389 the  $Z_{DR}$  column height. However, these trends seem to be in sync, which is not beneficial for 390 forecasting lightning activity. The cross-correlation approach can be used to examine the correlation 391 considering the time lag, which is important for verifying whether a parameter is appropriate for 392 forecasting another parameter. Figure 8b shows the cross-correlation between lightning activity and the Z<sub>DR</sub> column height. The highest correlation coefficient (~≤0.8) between lightning activity and 393 394 the Z<sub>DR</sub> column height is observed at a lag time of -6 minutes; thus, the variation in lightning activity 395 occurs before that in the Z<sub>DR</sub> column height (Figure 8b).







397 Figure 8. (a) The variation in the Z<sub>DR</sub> column height with time. The purple bars indicate the heights of the Z<sub>DR</sub> 398 columns. The black (red) stepped line indicates the total flashes (CG flashes) from LFEDA, and the lightning flash 399 frequency is counted every 6 minutes. (b) Cross-correlation between flash frequency and  $Z_{DR}$  column height; the 400 blue and orange lines indicate the total flashes and CG flashes, respectively. 401 Figure 9 shows the relationship between lightning activity and the ZDR column volume, and 402 the variation trend in the second peak of lightning activity is indistinguishable via the variation trend 403 in the Z<sub>DR</sub> column volume (Figure 9a); this finding is similar to that shown in Figure 8a. The highest correlation coefficient between the lightning activity and Z<sub>DR</sub> column volume is improved (~0.84 404 for the total flashes and 0.75 for CG flashes); specifically, the variation trend of the  $Z_{DR}$  column 405 406 height can be used to predict the lightning activity (total flashes) after 6 minutes (Figure 9b).





Figure 9. The same as in Figure 8 but for the  $Z_{DR}$  column volume.







410	The microphysical characteristics of ice or liquid should exhibit a strong relationship with
411	lightning activity on the basis of a noninductive charging mechanism. As expected, Figure 10
412	indicates that the variation in the rain-water content above the freezing level within the cloud can
413	be better used to forecast lightning activity (total flashes) than can the variation in the $Z_{DR}$ column
414	volume. The highest correlation coefficient between the lightning activity (total flashes) and
415	supercooled liquid water reaches approximately 0.93, and the lightning activity lag time is 6 minutes
416	Figure 11 shows that the variation in the ice or graupel content above the freezing level within
417	the cloud can indicate the lightning activity (total flashes) in real time, and the highest correlation
418	coefficient between the lightning activity (total flashes) and the ice content is 0.84~0.89. However,
419	the graupel content above the freezing level within the cloud is the best indicator for forecasting
420	lightning activity (CG flashes); the highest correlation coefficient between lightning activity (CG
421	flashes) and the graupel content is approximately 0.86, and the trend of CG flashes lags behind the
422	variation in graupel content above the freezing level within the cloud, with a lag time of 6 minutes.
423	Therefore, the content of supercooled liquid water within the cloud is the best proxy for
424	forecasting lightning activity (total flashes) after 6 minutes; the graupel content above the freezing
425	level within the cloud is the best proxy for forecasting the lightning activity (CG flashes) after 6
426	minutes.









428 Figure 10. (a) The variation in total water content over time. The orange bars indicate rainwater below the freezing



- 430 liquid microphysical characteristics; the blue and orange lines indicate the total flashes and CG flashes,
- 431

respectively.









Figure 11. The same as in Figure 10 but for the total ice content.

# 434 4. Conclusion and discussion

The relationship between the polarimetric structure and lightning activity is investigated within an isolated thunderstorm cell during the cloud life cycle in this study. We focus on the proxies of cloud updrafts and supercooled liquid raindrops (Z<sub>DR</sub> or K<sub>DP</sub> columns) and the content of ice or rainwater, which have been demonstrated to be related to the variations in the number of lightning





439 flashes in previous studies (e.g., Carey and Rutledge, 2000; Hayashi et al., 2021; Sharma et al., 2024; 440 Sharma, et al., 2021; van Lier-Walqui, et al., 2016). Therefore, the objective of this study is to clarify 441 the sequence and interactions of these parameters for predicting lightning activity during the cloud 442 life cycle and understanding the corresponding cloud microphysics. To precisely identify and quantify the  $Z_{DR}$  or  $K_{DP}$  columns within an isolated thunderstorm 443 444 during the whole cloud life cycle, the "3D mapping columns" method is established; it based on the 445 morphology and high values of the Z<sub>DR</sub> or K<sub>DP</sub> columns in Cartesian grid data. The "3D mapping columns" method has advantages in identifying Z<sub>DR</sub> columns in the initiation phase of convective 446

clouds, avoiding the inappropriate threshold value of  $Z_{\rm H}$ . The moment of occurrence of the first  $Z_{\rm DR}$ column can be determined at least 36 minutes prior to the first lightning flash (Figure 5), which is a substantial lead time for forecasting the first lightning flash; notably, the numerical value was usually 4~6 minutes in previous studies (e.g., Gremillion and Orville, 1999; Vincent et al., 2003; Mosier, et al., 2011).

452 The volume and height of  $Z_{DR}$  columns are quantified via the "3D mapping columns" method, 453 and the correlation coefficient indicates that the volume of the  $Z_{DR}$  column is better for forecasting 454 lightning activity than is the column height. In addition, both the volume and height of a Z<sub>DR</sub> column 455 have some limitations in forecasting lightning activity, except during the early phase. This 456 phenomenon is similar to the results of Sharma et al. (2024). In their study, the correlation coefficient 457 between the Z<sub>DR</sub> column volume and total flash rate generally monotonically decreased after initial 458 lightning jump, and the volume of the K<sub>DP</sub> columns exhibited relatively high co-variability with the 459 total flash rate, except in the early phase. The time lag between reported between the formation of 460 the Z<sub>DR</sub> column and that of the K<sub>DP</sub> column was consistent with the results of this study, indicating 461 the different formation mechanisms of the Z<sub>DR</sub> and K<sub>DP</sub> columns described in Section 1.

As shown in Figure 4 and discussed in Section 3.1, we hypothesize that the K<sub>DP</sub> column may form on the basis of the raindrops (1–2 mm) that shed from hailstones melting in the warm-phase region of clouds, which then recirculate into the updrafts and are lifted to the mixed-phase region. This is different from the hypothesis proposed in previous studies (e.g., (Bringi, et al., 1996, Hubbert, et al., 1998, Loney, et al., 2002)). Thus, the presence of many or large hailstones in supercells may





467 result in robust K<sub>DP</sub> columns (e.g., (Sharma, et al., 2024)); in contrast, short lifetimes and weak 468 dynamics within isolated thunderstorm cells in this study result in single-moment  $K_{DP}$  column. 469 Notably, our results indicate that the content of supercooled liquid water is the best variable 470 for forecasting lightning activity (total flashes); the correlation coefficient is approximately 0.94, 471 and the lead time is 6 minutes. For CG flash forecasting, the content of graupel displays the highest 472 correlation coefficient (~0.86) with lightning activity, and the lead time is also 6 minutes. Similar results were reported by Carey and Rutledge (2000), suggesting that graupel particles are likely 473 related to CG flash occurrence. 474 475 In our opinion, the prediction of lightning activity should involve the content of supercooled liquid water and graupel. Specifically, the first occurrence of the ZDR column can be used to forecast 476 477 lightning initiation as early as possible. Owing to the time lag between the formation of the  $Z_{DR}$  and 478  $K_{DP}$  columns, the signatures of the  $Z_{DR}$  and  $K_{DP}$  columns should be coupled to retrieve dynamic 479 information instead of lightning activity; specifically, the ZDR column is only suitable for the early 480 phase of cloud development. We bridged the polarimetric structure (four important parameters 481 provided in previous studies) and lightning activity on the basis of observations of a 482 thermodynamically dominated isolated thunderstorm cell (the variation curve is conceptualized in 483 Figure 12).



484

485

Figure 12. A conceptual model bridging the polarimetric structure and lightning activity.

Notably, the variation in the Z<sub>H</sub> intensity and liquid water content within the Z<sub>DR</sub> columns can
be used to predict lightning activity during the cloud life cycle, and the former is a better preditor.
However, this result is novel and has not been reported previously; therefore, substantial
investigations should be performed to verify this finding in the future. Although this study is based





- 490 on the results of previous studies (e.g., Carey and Rutledge, 2000; Krause and Klaus, 2024; Sharma
- 491 et al., 2024; Sharma, et al., 2021; Snyder, et al., 2015; van Lier-Walqui, et al., 2016; Woodard et al.,
- 492 2012), more types of thunderstorms and more samples should be analysed to reduce the probability
- 493 of uncertainty in our study.
- 494

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- 510 YZ, HZ, ZL, and DZ. Project administration: YZ. Resources: CZ and YZ. Software: CZ, HZ, and
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## 513 **Competing interests:**

- 514 The contact author has declared that none of the authors has any competing interests.
- 515
- 516 **Data and materials availability:** All data in this study can be obtained from an open repository
- 517 Figshare (Zhao, 2024).
- 518





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