# Improving wheat phenology and yield forecasting with a deep learning-enhanced WOFOST model under extreme weather conditions

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Abstract. Extreme weather events pose significant challenges to crop production, making their assessment essential for developing effective climate adaptation strategies. Process-based crop models are valuable for evaluating climate change impacts on crop yields but often struggle to simulate the effects of extreme weather accurately. To fill this knowledge gap, this study introduces WOFOST-EW model, an enhanced version of the World Food Studies Simulation Model (WOFOST), which integrates extreme weather indices and deep learning algorithm to improve simulations of winter wheat growth under extreme conditions. Deep learning offers powerful nonlinear fitting capabilities, enabling it to capture subtle and intricate interactions between extreme weather events and crop development, thereby significantly improving simulation accuracy under extreme scenarios. We validate WOFOST-EW using phenological, yield, and extreme weather data from agricultural meteorological stations in the North China Plain. The results show that WOFOST-EW improves simulation accuracy, with. The RRMSE for heading and maturity dates predicted more accurately by decreases from 4.61% to 3.73% and from 4.74% to 3.98%, respectively (with RMSE reductions of 10.64-\% and 12.86-\%, respectively.\%). The R<sup>2</sup> value for yield simulations increases from 0.67 to 0.76. Validation during In addition, we further validate the WOFOST-EW model in years affected by extreme weather years (2008 and 2018) further highlights the model's improved performance, with and find that, compared to the original WOFOST model (R<sup>2</sup> increasing ranging from 0.6961 to 0.79 in 2008 and 71), WOFOST-EW achieves more accurate results (R<sup>2</sup> ranging from 0.6180 to 0.80 in 2018, respectively.86). WOFOST-EW effectively captures the impacts of extreme weather, offering a reliable tool for agricultural planning and climate adaptation. As extreme weather events become increasingly frequent, WOFOST-EW can assist decision-makers in more accurately evaluating crop yields, providing technical support for agricultural systems in the context of global climate change.

### 1 Introduction

Climate change is one of the most important determinants of crop yield, explaining 30—50 % of global yield variability (Ray et al., 2015; Rezaei et al., 2018). Extreme weather events driven by climate change are increasingly frequent and have become a major factor causing fluctuations in crop yields and declines in agricultural income (Lesk et al., 2016; Lobell et al., 2011; Powell and Reinhard, 2016; Shen et al., 2022). In the future, the frequency and intensity of extreme weather events such as droughts, floods, and heatwayes are expected to rise, further stressing agricultural production (Bai et al., 2022a).

China is a major producer of wheat globally, with a wheat production of 137 million tons in 2021, accounting for 17.8% of the world's total production (FAO, 2021). Wheat plays a crucial role globally in food security, economy, agriculture, and culture (Beyene et al., 2022; Erenstein et al., 2022; Reynolds et al., 2022). The North China Plain is the primary wheat-producing region in China, contributing to more than 50 % of the national output (Xiao et al., 2020). This region is highly vulnerable to climate change impacts (Hu et al., 2014), with the frequency of climate anomalies increasing since 1980 (Mo et al., 2017). Extreme weather events significantly affect wheat production in the North China Plain. Winter wheat, typically sown in October or November and harvested in May or June, is particularly vulnerable to drought during its growing season (Chen et al., 2018; Li et al., 2021). During winter, wheat grows slowly or remains dormant, making it less sensitive to climate change. However, in spring, it grows rapidly and becomes more sensitive to extreme weather such as drought or low temperatures (Ali et al., 2017; Shi et al., 2011). Moreover, wheat is highly susceptible to frost during the jointing and booting stages (Li et al., 2014a), with each additional day of frost causing a 4.3—6.7% reduction in grain yield (Ji et al., 2017). Excessive rainfall and insufficient sunlight in May and June, often linked to flooding, diseases, and pests, further reduce both the yield and quality of wheat (Song et al., 2019). As a result, accurately estimating crop yields under extreme weather conditions is crucial for assessing agricultural sustainability.

Currently, scholars worldwide have proposed various methods to estimate crop yields. Many studies use statistical regression models to investigate the relationship between climate change and crop yields (Ai et al., 2020; Ai and Hanasaki, 2023; Dinh and Aires, 2022; Li et al., 2020a; Lu and Yang, 2021; Ringeval et al., 2021; Tao et al., 2012; Wang et al., 2022; Wei et al., 2023; Zhang et al., 2017a). The main advantage of statistical models is their relatively low dependence on field calibration data, and their ability to transparently assess model uncertainty through higher coefficients of determination and narrower confidence intervals (Lobell and Burke, 2010). Current research primarily focuses on combining climate variables with yield data to develop linear regression models, in order to quantify the role of climate variables in yield variations (Li et al., 2020a; Tao et al., 2012; Wang et al., 2022; Wei et al., 2023; Zhang et al., 2017a). However, only a few studies have considered the multicollinearity characteristics of climate variables (Li et al., 2020a; Wang et al., 2022). Given the complexity of climate change impacts on crop growth, it is necessary to consider their nonlinear characteristics. Compared to linear regression models,

machine learning algorithms significantly improve the accuracy of crop yield simulations (Khanal et al., 2018). Machine learning algorithms are advanced methods for exploring the relationships between climate and yield, capable of capturing hierarchical and nonlinear relationships between predictors and response variables. Numerous studies have demonstrated the effectiveness of machine learning in crop yield estimation (Boori et al., 2022; Cao et al., 2021; Han et al., 2020; Iniyan et al., 2023; Maimaitijiang et al., 2019; Ruan et al., 2022; Singh Boori et al., 2023; Sun et al., 2020; Tian et al., 2021; Torsoni et al., 2023; Wang et al., 2018; Wang et al., 2020). However, both statistical models such as linear regression and machine learning models focus on establishing correlations between climate and yield data, neglecting the physiological and ecological processes of crops and failing to fully consider the mechanisms of crop growth (Bai et al., 2024; Roberts et al., 2017; Xiao et al., 2024; Zhao et al., 2022).

Process-based crop models have been developed to explain the complex interactions between local environments, crop genotypes, and management practices (Chenu et al., 2017). Compared to statistical models, process-based crop models are mechanistic, flexible, and applicable (Li et al., 2024; Tang et al., 2023; Zhang et al., 2017b; Zheng and Zhang, 2023). However, most crop models are developed under relatively stable climatic conditions. The impacts of extreme elimate-on-weather events—such as abnormal temperature, precipitation, or drought during the crop yields growing season—are everly simplified often oversimplified and vaguely described represented in crop models, resulting in leading to inaccurate simulation results imulations under extreme climatic conditions (Bai et al., 2024; Feng et al., 2019a; Yu et al., 2025; Zheng and Zhang, 2025). This also leads to global process-based crop models often underestimating the magnitude of crop yield losses caused by extreme heatwaves and excessive rainfall (LiuFu et al., 202020203; Heinicke et al., 2022; FuLiu et al., 20232020).

Given the limitations of crop models and statistical regression models, some studies have combined crop models with machine learning to achieve better yield prediction results. Li et al. (2023) improved the accuracy and reduced uncertainty in predicting corn and soybean yields under extreme weather by combining machine learning algorithms with nine global gridded crop models. Feng et al. (2019a) incorporated APSIM model outputs and extreme climate indicators into a random forest algorithm, resulting in improved model prediction accuracy. Shahhosseini et al. (2021) coupled crop model outputs with machine learning models to enhance crop yield predictions in the U.S. corn belt. However, most previous studies simply input crop model outputs into machine learning models, overlooking some key dynamic changes in crop growth processes, especially under extreme weather events. For example, extreme heat or drought may cause wheat to head or mature earlier (Hou et al., 2024; Liu et al., 2023), and such nonlinear changes can significantly affect the process of dry matter accumulation. However, they are often overlooked in traditional machine learning frameworks. Furthermore, these methods lack accuracy and robustness in dealing with the impact of extreme weather on crop yields, failing to fully capture the effects of extreme weather on crop growth (Bai et al., 2024; Feng et al., 2019a; Yu et al., 2025; Zheng and Zhang, 2025).

In this study, we introduce WOFOST-EW, an enhanced version of the WOFOST (World Food Studies Simulation Model) model that integrates extreme weather indices and the Long Short-Term Memory (LSTM) algorithm to improve simulations of winter wheat growth under extreme conditions. The main objectives of the research are (1) Calibration and validation of the WOFOST model using wheat yield and phenology data from the North China Plain for the period 1980—2020; (2) Evaluate the simulation performance of WOFOST-EW in yield and phenology; (3) Validation in agricultural meteorological station impacted by extreme weather to assess the model's robustness.

### 2. Materials and methods

### 2.1 Study areas

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The North China Plain (Fig. 1+) features a warm temperate continental monsoon climate, characterized by abundant sunlight and warmth, although precipitation is unevenly distributed, with the majority falling during the summer months (June to August). The predominant soil type in the North China Plain is aeolian soil deposited over geological periods by rivers. This study focuses on wheat cultivation in the North China Plain, the second-largest plain in China, which plays a crucial role in grain production. The dominant cropping system in this region is a double-cropping system of winter wheat and summer maize. Winter wheat is typically sown in early October and harvested in June, requiring substantial inputs of water and fertilizers. To ensure data quality and integrity, we selected 25 counties for this research (Fig. 1+). Table 4S1 provides detailed information on the crops and climate at these research stations.

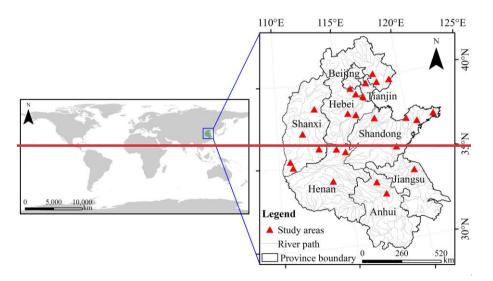


Figure . Location of the study areas.

Table 1. Details of the agricultural meteorological experiment stations.

	T 10 1	<b>*</b>		Annual	Annual mean
Counties	Longitude	Latitude	Climate type	precipitation	temperature
				<del>(mm)</del>	<del>(°C)</del>
Tangshan	<del>118.2 °E</del>	39.7 °N	Temperate continental	<del>976.0</del>	<del>12.2</del>
<del>Jinghai</del>	<del>116.9 °E</del>	38.9 °N	Warm temperate continental	484.4	13.3
<b>Zunhua</b>	<del>118.0 °E</del>	4 <del>0.2 °N</del>	Temperate continental	<del>800.0</del>	<del>11.9</del>
Shenzhou	<del>115.6 °E</del>	38.0 °N	Warm temperate semi-arid	<del>486.0</del>	<del>12.8</del>
<b>Zhuozhou</b>	<del>116.0 °E</del>	39.5 °N	Warm temperate continental	<del>554.1</del>	<del>12.7</del>
<del>Baodi</del>	<del>117.3 °E</del>	39.7 °N	Warm temperate semi-humid	612.5	13.3
Xuchang	<del>113.9°E</del>	34.0°N	Warm temperate monsoon	<del>683.9</del>	14.7
Yuncheng	<del>111.0 °E</del>	35.0 °N	Temperate monsoon	<del>525.0</del>	<del>14.6</del>
Binhai	<del>119.8 °E</del>	34.0 °N	Warm temperate semi-humid	<del>964.8</del>	14.5
<del>Tangyin</del>	<del>114.4 °E</del>	35.9 °N	North temperate continental	<del>588.9</del>	14.3
<del>Laizhou</del>	<del>119.9 °E</del>	<del>37.2 °N</del>	Warm temperate continental	<del>810.7</del>	<del>13.7</del>
<del>Changli</del>	<del>119.2 °E</del>	39.7 °N	Warm temperate semi-humid	<del>527.0</del>	11.4
Puyang	<del>115.0 °E</del>	35.7 °N	Subtropical monsoon	643.1	<del>13.6</del>
<del>Jiexiu</del>	<del>111.9 °E</del>	37.1 °N	Temperate monsoon	477.2	<del>12.6</del>
<del>Fengyang</del>	<del>117.6 °E</del>	32.9 °N	Subtropical monsoon	<del>904.4</del>	<del>16.7</del>
Wendeng	<del>122.0 °E</del>	<del>37.2 °N</del>	Temperate maritime	<del>803.9</del>	<del>12.9</del>
Dingxiang	<del>113.0 °E</del>	38.5 °N	Warm temperate semi-humid	<del>471.9</del>	9.8
Huimin	<del>117.5 °E</del>	<del>37.5 °N</del>	Temperate monsoon	<del>582.3</del>	<del>13.1</del>
Bazhou	<del>116.4 °E</del>	<del>39.1 °N</del>	Temperate continental	<del>479.4</del>	<del>12.7</del>
Wanrong	<del>110.8 °E</del>	35.4 °N	Temperate continental	<del>983.6</del>	<del>14.6</del>
<del>Changzhi</del>	<del>113.1 °E</del>	<del>36.1 °N</del>	Warm temperate semi-humid	<del>512.5</del>	<del>10.1</del>
<del>Laiyang</del>	<del>120.7 °E</del>	<del>36.9 °N</del>	Temperate monsoon	<del>764.0</del>	<del>13.2</del>
<del>Fucheng</del>	<del>116.2 °E</del>	<del>37.9 °N</del>	Temperate monsoon	<del>588.0</del>	<del>13.6</del>
Suzhou	<del>117.0 °E</del>	<del>33.6 °N</del>	North temperate monsoon	<del>840.0</del>	14.5
<del>Juxian</del>	<del>118.8 °E</del>	<del>35.6 °N</del>	Warm temperate monsoon	<del>750.5</del>	12.1

### 2.2 Datasets

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# 2.2.1 WOFOST input data

The input data for the WOFOST model includes weather, crop, soil, and <u>field</u> management parameters. The meteorological data used in this study <u>wasis</u> sourced from the United States National Centers for Environmental Information (https://www.ncei.noaa.gov), providing key climate <u>datavariables</u> from 1980 to 2020<del>-, including air pressure, temperature, humidity, precipitation, and wind speed and direction from over 9,000 stations.</del> This <u>datadataset</u> covers meteorological observation stations across the country and undergoes <u>strietrigorous</u> quality control and validation, ensuring high reliability and usability. <u>Agricultural</u>

The field management data was obtained from required by the WOFOST model mainly includes simulation start and end dates, crop type, and cultivar information. In this study, the simulation period is determined based on phenological observations of winter wheat provided by agricultural meteorological stations of the China Meteorological Administration (https://www.cma.gov.cn/), while other parameters are obtained from model literature and calibration. In the study area, winter wheat is typically sown in September or October and harvested in mid-June. To achieve high yields, farmers usually apply more than 300 mm of irrigation water over three to four irrigation cycles during the growing season (Li et al., 2012; Sun et al., 2011). Regarding fertilization, traditional practices involve the application of a base fertilizer at sowing, followed by topdressing before irrigation during the greening to jointing stages of winter wheat (Bai et al., 2020; Liu et al., 2022)), and soil.

Soil data were obtained from the ISRIC global database (https://www.isric.org), encompassing soil type, profile depth (cm), bulk density (cg/cm³), cation exchange capacity (mmol/kg), volumetric fraction of coarse fragments (cm³/dm³), clay content (g/kg), total nitrogen content (cg/kg), ete-available water capacity (mm/m), etc. The depth of the soil profile was categorized into intervals: 0–5 cm, 5–15 cm, 15–30 cm, 30–60 cm 60–100em\_100 cm, and 100–200 cm.

# 2.2.2 Yield data

The county-level wheat yields time series data from 1980 to 2020 were sourced from the Agricultural Yearbook of each province (https://www.stats.gov.cn), supplemented by data not publicly disclosed by county level survey bureaus and data from agricultural meteorological stations of the China Meteorological Administration.).

## 135 2.2.3 Extreme weather data

The extreme weather data used in this study was sourced from the Yearbook of Meteorological Disasters in China (https://data.cma.cn), Ministry of Ecology and Environment of the People's Republic of China (https://www.mee.gov.cn), and

previous research (Bai et al., 2022a; Guo et al., 2024; Wang et al., 2019; Wang et al., 2021; Yang et al., 2022; Yin et al., 2017; Zhao et al., 2019a). It. This dataset comprehensively records the occurrence of various extreme weather events in China, including typhoons, heavy rainfall, droughts, strong winds, snow disasters, eteand more. The yearbook provides statistics on the frequency of extreme weatherevents, affected areas, population impact, and the resulting economic losses. Table S1 contains S2 provides information into the counties stations affected by extreme weather events in 2009, 2010, 2012, and 2018.

## 2.3 Methods

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## 2.3.1 SCE-UA algorithm

145 This study utilized the Shuffled Complex Evolution Algorithm (SCE UA) developed by the University of Arizona to find the optimal parameter combinations for the WOFOST model. The algorithm was implemented for model calibration using the "spotpy" package in Python. The SCE UA algorithm iterates the WOFOST model to minimize the cost function. Proposed by Duan et al., the algorithm combines the advantages of deterministic search, random search, and competitive evolution algorithms, and has been proven to perform excellently in global search and multi-parameter combination optimization. A notable feature of SCE UA is its insensitivity to initial values, enhancing the model's applicability in different scenarios.

## 2.3.2 Climate indices

We quantified the impact of extreme weather on wheat production using seven metrics (Table 1<del>2).</del>). Among these, the <u>high-temperature degree day (HDD)</u> and <u>low-temperature degree day (LDD)</u> are widely used in studies on crops such as rice and wheat, as they reflect the influence of extreme weather on crop growth (Dong et al., 2023; Osman et al., 2020; Zhang et al., 2016; Zhang and Tao, 2019). The methods for calculating HDD and LDD follow those outlined in previous research (Osman et al., 2020).

Previous studies have shown that wheat exhibits varying sensitivity to temperature during different developmental stages (Porter and Gawith, 1999; Tack et al., 2015). Based on prior research (Farooq et al., 2011; Liu et al., 2013; Porter and Gawith, 1999), we set the high-temperature thresholds for wheat at 25 °C from planting to heading and 30 °C from heading to maturity. The low-temperature thresholds were defined as -5.7 °C for planting to heading and -2 °C for heading to maturity. 5.7 °C for planting to heading and -2 °C for heading to maturity. In this study, HDD and LDD are calculated and accumulated on a daily basis. These indicators directly reflect the sustained impact of extreme temperatures during key phenological stages. Their timing is precisely aligned with the wheat growth cycle, making them suitable as input features for the LSTM model to characterize the intensity of stage-specific climate stress.

165 The calculations for R95P, R10mm, and Rx1day were based on the ETCCDI indices, as applied in previous studies (Al-Sakkaf et al., 2024; Hong and Ying, 2018). Data for the Palmer Drought Severity Index (PDSI) and Vapor Pressure Deficit (VPD) (Zhang and Miao, 2024) were spatially processed to extract site-specific values. PDSI is one of the most widely used drought indices (Oubaha et al., 2024; Yang et al., 2024; Zhang et al., 2025), as it accounted for preseason precipitation and the balance between water supply and demand, providing clear physical meaning—particularly suitable for assessing agricultural drought. 170 In this study, we focused on the wheat growing season, during which PDSI effectively captured drought dynamics. Numerous studies demonstrated significant correlations between PDSI and crop yield (Baydaroğlu et al., 2024; Kumar and Mahapatra, 2024; Peethani et al., 2024; Pei et al., 2024; SM et al., 2025). VPD, on the other hand, is a key variable reflecting atmospheric dryness and directly influenced crop transpiration and water stress. Studies reported a steady global increase in VPD from 2010 to 2019, which posed a serious constraint on agricultural productivity (Koehler et al., 2023; Nesmith and Ritchie, 1992). 175 Under extreme heat and low humidity conditions, elevated VPD intensifies transpiration and water loss, exacerbating plant water stress and posing a direct threat to yield. Therefore, VPD can partially reflect the intensity of short-term extreme heat and drought stress (Yu et al., 2024).

In this study, we used seasonal averages or cumulative values of these indices as model inputs. As the primary objective was to assess the performance of the WOFOST-EW model under extreme conditions, we considered PDSI to be an effective and direct indicator of drought stress on crop growth, given the available data and regional context. Moreover, the validity of PDSI has been widely demonstrated in crop-related studies (Islam et al.; Peethani et al., 2024; Pei et al., 2024; Yan et al., 2016).

## 2.3.32 Deep learning algorithm

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LSTM algorithm-is, a type of recurrent neural network (RNN) known for its stable and high performance capabilities in long-term prediction tasks. It), was first proposed by Sepp Hochreiter and Jürgen Schmidhuber in 1997 to address the problem of the error back-flow problems (Kalchbrenner et al., 2019). This study utilizes the "Keras" library in Python to implement LSTM, which is distinguished by its multiple self-parameterizing control gates. These gates facilitate the selective storage and exclusion of information, allowing for the accumulation of specific data units.

Table 2. Definition of extreme weather indices.

Extreme indices	Index	Definition	Unit
High temperature degree days	HDD	Cumulative temperature exceeding the threshold during	<del>°C d</del>
		a specific growth period.	

Low temperature degree days	LDD	Cumulative temperature below the threshold during a	<del>°C d</del>
		specific growth period.	
Very wet days	R95P	Annual total precipitation from days >95th percentile	mm
Heavy precipitation days	R10mm	Annual count when precipitation is 10 mm	d
Max 1 day precipitation amount	Rx1day	Annual maximum 1 day precipitation	mm
Palmer Drought Severity Index	PDSI	A standardized index to assess long term soil moisture	-
		and drought conditions.	
Vapor pressure deficit	<del>VPD</del>	The difference between the saturation vapor pressure	<del>kPa</del>
		and actual vapor pressure, indicates dryness.	

We developed a 5five-layer deep neural network model comprising of an input layer, two LSTM layers, a dense layer, and an output layer (Fig. 22)-). The input data include seven extreme weather indices values for corresponding to the winter wheat growth period. The model'smodel's output predicts the valueextreme weather impact factor, which represents the influence of the-extreme weather function on phenological stages of wheat growth and is determined during the model calibration period. To prevent overfitting, a dropout mechanism is applied to the input of the dense layer. The number of hidden nodesunits is determined on a case by case basisempirically, as there is no general universally applicable rule for. Data from 1980 to 2000 are used for LSTM model training and internal validation. During this phase, we adopt the leave-one-year-out cross-validation (LOOCV) method (Ji et al., 2022; Ma et al., 2021; Pei et al., 2025). We used the \_in combination with "GridSearchCV" (Kalchbrenner et al., 2019; Panigrahy, 2024)method—to determine optimal values—for dropouthyperparameters and hidden nodes-conduct model training. After completing model training and hyperparameter optimization on the 1980–2000 dataset, we use data from 2001 to 2020 as a fully independent test set to evaluate the final performance of the WOFOST-EW model. For network parameter optimization, we employed employ the Adam optimizer based on gradient descent, using with a learning rate of 0.001. We applied leave one year out cross-validation, where data from one year are excluded from the test set, and the remaining years are used for training. This method evaluates the model's robustness under different climate and environmental conditions, ensuring reliable performance over time.

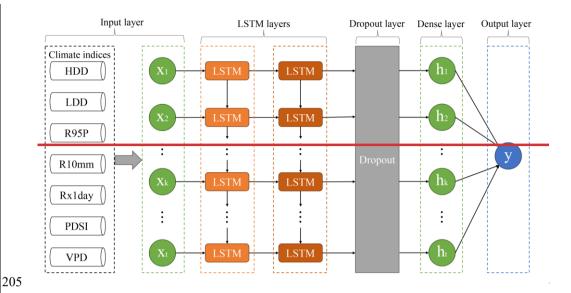


Figure 2. The workflow of a Long Short-Term Memory (LSTM) network.

# 2.3.43 WOFOST model improvement protocol

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The WOFOST model, developed by Wageningen University in the Netherlands in collaboration with the World Food Studies Center, is used to calculate the daily biomass accumulation of crops based on photosynthesis and its distribution across various crop components (De Wit et al., 2020). The model includes several modules, such as phenological development, CO<sub>2</sub> assimilation, respiration, dry matter allocation, leaf area development (source and sink limitations), soil water and nutrient balance, and more. The outputs of the WOFOST model include simulated total crop biomass, crop yield, leaf area, and crop water use efficiency. For a detailed description of the WOFOST calculation process, refer to the relevant literature (Supit et al., 1994; de Wit et al., 2018; De Wit and Boogaard, 2021; Supit et al., 1994).

Here, we utilized the Python Crop Simulation Environment (PCSE 6.0.6) framework to run the WOFOST crop growth model (Wofost72\_WLP\_CWB). The research flowchart is shown in Fig. 33. In the WOFOST, phenological development is guided by the daily thermal time (DTT). The Development Index (DVI) is characterized by a value of 0 at emergence, 1 at flowering, and 2 at maturity) (De Wit et al., 2020). It is noteworthy that in WOFOST, crop emergence occurs when the cumulative daily effective temperature exceeds a specific threshold temperature for the crop. The calculation of *DVI* is accumulated from the Development Rate (*DVR*):

$$DVI_t = \sum_{i=0}^{i=t} DVR_i$$
 (1(1))

where  $DVI_t$  is the developmental index at day t, and  $DVR_t$  is the developmental rate on the ith day from planting.

The calculation for *DVR* is:

$$DVR = \frac{F(T)}{\sum T_i} \times F(V) \times F(P)$$
 (2(2))

where F(T) represents the daily effective temperature, and  $\sum T_i$  denotes the temperature sum required to complete stage i. F(T) is calculated as: We modified  $T_i$  to represent the sum of effective temperatures between emergence and heading or between heading and maturity. Accordingly, the DVI values were reset, with 1 corresponding to the heading stage and 2 to the maturity stage. F(T) is calculated as:

$$T < T_b: F(T) = 0 \tag{3(3)}$$

$$T_b < T < T_m : F(T) = T - T_b$$
 (4(4))

$$T > T_m: F(T) = T_m \tag{5(5)}$$

where  $T_b$  refers to the base temperature below which phenological development stops,  $T_m$  represents the maximum temperature beyond which phenological activity does not increase, and T represents the average daily temperature. In this study,  $T_b$  is set to  $0^{\circ}$ C and  $T_m$  to  $30^{\circ}$ C.

The vernalization (F(V)) and photoperiod functions (F(P)) also affected the daily development of wheat. Each function is defined as follows:

$$F(V) = \frac{V - V_{base}}{V_{sat} - V_{base}}, (0 < F(V) < 1)$$
(6(6))

$$F(P) = \frac{P - P_c}{P_c - P_c}, (0 < F(P) < 1)$$
(7~~(7)~~)

where  $V_{base}$  represents the minimum vernalization requirement (lower threshold) for development, while  $V_{sat}$  defines the maximum vernalization limit (upper threshold).  $P_c$  represents the threshold for day length in development; when the day length falls below  $P_c$ , F(P) equals 0.  $P_o$  is the optimum day length for development, above which F(P) equals 1.

In this study, we proposed an improved WOFOST model incorporating an extreme weather function, referred to as WOFOST-EW. The algorithm improvement workflow is shown in Fig. S1. We developed an extreme weather function (*F(EW)*) to enhance the DVI calculation of the WOFOST model. The calculation is as follows:

$$F(EW) = f_{LSTM}(HDD, LDD, R95P, R10mm, Rx1day, PDSI, VPD)$$
(8(8))

where  $f_{LSTM}$  represents the LSTM algorithm, while HDD, LDD, R95P, R10mm, Rx1day, PDSI, and VPD respectively represent climate indices. The core objective of the LSTM algorithm is to learn and estimate a spatiotemporally dynamic extreme weather function, F(EW).

Finally, we applied F(EW) to the WOFOST model and obtained the updated  $DVR_{EW}$ :

$$DVR_{EW} = \frac{F(T)}{\sum T_i} \times F(V) \times F(P) \times F(EW)$$
(9(9))

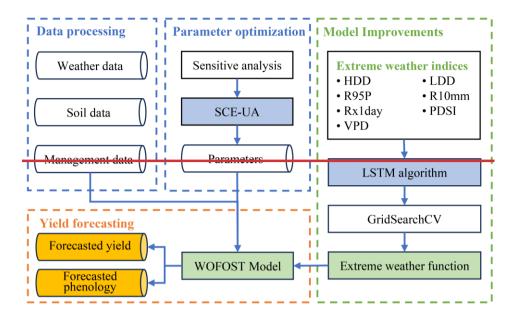


Figure 3. The program flowchart used in this study. HDD, LDD, R95P, R10mm, Rx1day, PDSI, and VPD represent different climate indices, and LSTM represents the Long Short-Term Memory algorithm.

# 2.3.54 Model calibration and validation

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First, we applied the Sobol algorithm to evaluate the sensitivity of crop model parameters on the total weight of storage organs (TWSO), which allowed us to identify the parameters requiring calibration (Text S1 and Table S3). Calibration was then performed accordingly (Text S2). To enhance the performance of the crop model, calibration is essential. We utilized employed the SCE-UA (Shuffled Complex Evolution-University of Arizona) algorithm to determine the optimal parameters parameter set for each agricultural meteorological station. These parameters are Parameters were considered optimal when the Root Mean Square Error (RMSE) is between observed and simulated yields, as well as between observed and simulated phenological stages, was minimized. Specifically, three objective functions were defined in the SCE-UA algorithm: the RMSE between simulated and observed values for yield, heading date, and maturity date.

The WOFOST parameters were model was calibrated using yieldobservational data from 19901980 to 2000, and the. The resulting optimal parameter sets were then applied to each simulated growing season at each location. The model was validated withusing independent data from 2001 to 2020. The simulation error was assessed by calculating in parameters important to note that once calibrated, the difference between observed and simulated yields. The detailed WOFOSTmodel parameters remained

<u>fixed throughout the entire experiment, including in the WOFOST-EW simulations. Detailed WOFOST parameter values</u> are provided in Table <u>\$2 of the Supplementary materials</u>\$4.

# 260 2.3.65 Model performance assessment

The performance of the model is evaluated by calculating the regression coefficients of determination (R<sup>2</sup>), Pearson's rank correlation coefficient (Pearson's r), and RMSE using the following equations:

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - \widehat{y_{i}})^{2}}{\sum_{i=1}^{n} (y_{i} - \overline{y})^{2}}$$
(10(10))

Pearson's r = 
$$\frac{\sum_{i=1}^{n} (y_i - \bar{y}) (\hat{y}_i - \bar{\hat{y}})}{\sqrt{\sum_{i=1}^{n} (y_i - \bar{y})^2 \sum_{i=1}^{n} (\hat{y}_i - \bar{\hat{y}})^2}}$$
(11(11))

RMSE = 
$$\sqrt{\frac{\sum_{i=1}^{n} (y_i - \hat{y_i})^2}{n}}$$

$$RRMSE = \frac{\sqrt{\frac{1}{n}\sum_{i=1}^{n}(y_i - \widehat{y}_i)^2}}{\overline{y}}$$
 (13)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \widehat{y_i}|$$

$$(14)$$

$$MRE = \frac{1}{n} \sum_{i=1}^{n} \left| \frac{y_i - \widehat{y}_i}{y_i} \right| \tag{15}$$

$$Bias_i = y_i - \hat{y}_i \tag{16}$$

where  $y_i$  is the observed value,  $\hat{y}_i$  is the simulated value, and n is the number of observations.

# 3 Results

## 265 3.1 Phenological simulation results

The phenological period simulation results for the 25 sites in the study area showed good performance in both the calibration and validation datasets (Fig. 44; Tables \$3\$5 and \$4\$6). In the calibration dataset (Fig. 44; Table \$3\$5), the WOFOST

model's RMSE for heading ranged from 1.4 to 12.8 days, with an average of 5.7 days. The best-performing site was Jiexiu, while the worst-performing site was Fengyang. For the maturity period, the RMSE ranged from 3.1 to 13.1 days, with an average of 8.0 days. In comparison, The WOFOST-EW model's average RMSE results for heading and maturity periods were 4.2 days and 5.4 days, respectively.

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In the phenological simulation results for the validation dataset (Fig. 44; Table \$4\$6), the RMSE for heading and maturity periods using the WOFOST model ranged from 1.0 to 9.5 days (average of 4.7 days) and from 3.2 to 11.8 days (average of 7.0 days), respectively. For the WOFOST-EW model, the RMSE for heading date simulations ranged from 1.0 to 6.0 days, with an average of 4.2 days, while for maturity date simulations, the RMSE ranged from 3.2 to 8.0 days, with an average of 6.1 days. The best and worst-performing sites for heading and maturity dates simulations using the WOFOST-EW model were Bazhou and Shenzhou, and Laiyang and Shenzhou, respectively.

Fig. 44ec and d present box plots of the RMSE for heading and maturity dates simulated by the WOFOST and WOFOST-EW models. In the validation dataset, for the heading date, the lower and upper quartiles for the WOFOST model were 3.8 days and 5.5 days, respectively, while for the WOFOST-EW model, they were 3.9 days and 4.7 days (Fig. 44ec). For the maturity date, the lower and upper quartiles for the WOFOST model were 5.4 days and 7.7 days (Fig. 44dd), while for the WOFOST-EW model, they were 4.6 days and 7.0 days. These results indicate that, compared to the WOFOST model, the proposed WOFOST-EW model significantly reduced the RMSE for both heading and maturity dates, thus improving accuracy. Furthermore, the smaller interquartile range suggests a narrower error range, indicating more stable and precise simulation results.

During the validation period, the original WOFOST model exhibited an RRMSE of 4.61% for heading and 4.74% for maturity, with  $R^2$  of 0.53 and 0.45 (p < 0.05), and MAE of 4.4 days and 5.6 days, respectively (Fig. 4). In contrast, the improved WOFOST-EW model substantially enhanced phenological simulation performance, achieving lower RRMSEs of 3.74% (heading) and 3.98% (maturity), higher  $R^2$  values of 0.69 and 0.56 (p < 0.05), and reduced MAEs of 3.8 and 5.3 days, respectively (Fig. 4). These results indicate that WOFOST-EW improves both the accuracy and precision of phenological predictions. Based on the evaluation using the validation dataset, the RMSE for heading simulation is reduced by 10.64%, and for maturity by 12.86% (Fig. 4The WOFOST-EW model demonstrates improvements in both the accuracy and error range of phenological simulations compared to the WOFOST model, with prediction accuracy improving by 10.64% during the heading stage and 12.86% during the maturity stage in the validation dataset (Fig. 4).

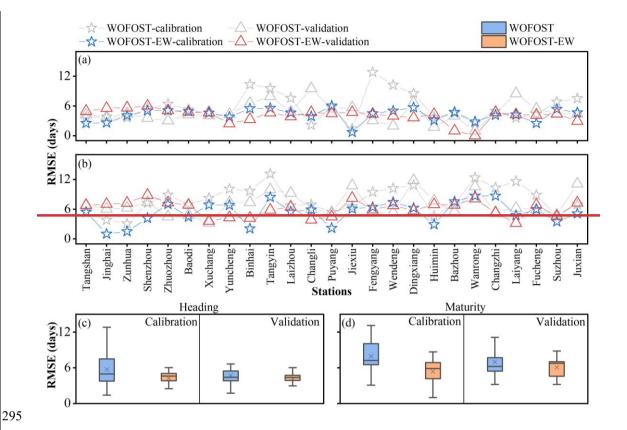


Figure 4. Simulation results of phenological stages for winter wheat using the WOFOST model and the WOFOST EW model at 25 agrometeorological stations in the study area. (a) shows the Root Mean Square Error (RMSE) of simulated heading dates for the calibration and validation datasets at different stations for both models. (b) shows the RMSE of simulated maturity dates for the calibration and validation datasets at different stations for both models. (c) and (d) present boxplots of the RMSE for simulated heading and maturity dates, respectively. The × symbol represents the mean RMSE value, and the horizontal line within the box indicates the median (Q2). The box represents the interquartile range (IQR), with the top and bottom edges of the box denoting the upper quartile (Q3) and lower quartile (Q1), respectively. The whiskers extend to the maximum and minimum values, where the maximum value is defined as Q3 + 1.5 × IQR, and the minimum value is defined as Q1 - 1.5 × IQR.

<u>).</u>

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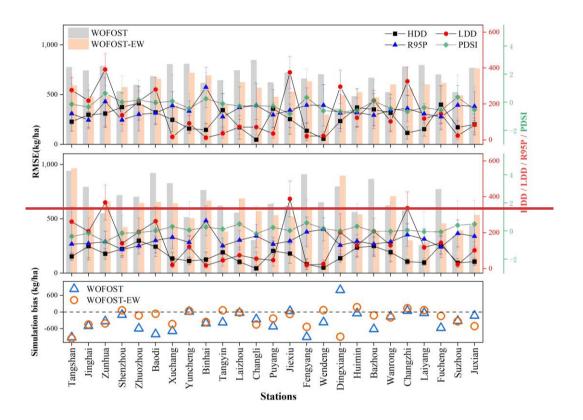
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# 3.2 Simulation results of yield

Despite some differences in simulation results across counties, the WOFOST model's simulated yields aligned well with observed yields (Figs. 5, 65, 6, and 77; Tables \$3\$\frac{83}{5}\$ and \$4\$\frac{84}{5}\$. In the calibration dataset, the average RMSE in the simulated counties was 673.01 kg/ha (RRMSE = 16.66%) (Figs. 55, and 66; Table \$3\$\frac{83}{5}\$). Among these, Dingxiang performed the best, with an RMSE of 355.83 kg/ha; (RRMSE = 9.75%), while Changli showed poorer results, with an RMSE of 844.58 kg/ha-

RRMSE = 21.38%). For the validation dataset, the RMSE of simulated yields by the WOFOST model ranged from 256.61 to 938.19 kg/ha, with an average RMSE of 665.76 kg/ha (RRMSE = 13.55%) (Figs. 55, and 66; Table \$486).

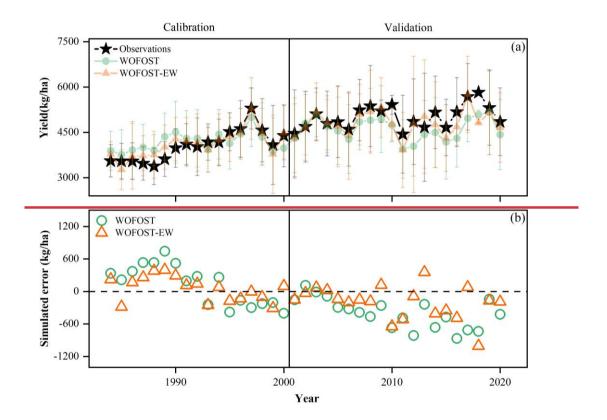
The improved WOFOST-EW model more accurately simulated winter wheat yields from 1980 to 2020 (Figs. 5, 65, 63, and 77;; Tables \$3.85 and \$4.86). In the calibration dataset, the RMSE for yield simulations ranged from 295.63 to 758.14 kg/ha, with an average of 541.90 kg/ha (Figs. 5 and 6; Table \$3).RRMSE = 13.60%). In the validation dataset, the RMSE ranged from 279.64 to 960.75 kg/ha, with an average of 565.63 kg/ha (Figs. 5 and 6; Table \$4).RRMSE = 11.30%).



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Figure 5. Root Mean Square Error (RMSE) values for winter wheat yield simulated by the WOFOST model and the WOFOST EW model in the study area for the calibration dataset (a) and validation dataset (b). (c) illustrates the distribution of simulation errors for the two models. HDD, LDD, and R95P represent climatic indices related to extremely high temperatures, low temperatures, and precipitation, respectively. PDSI represents the Palmer Drought Severity Index.

From 1990 to 2020, a comprehensive evaluation of annual yield simulations by the WOFOST model was performed (Fig. 66)-). The WOFOST model utilized a set of optimal parameters obtained through the SCE-UA method, allowing for effective simulation of wheat yields. In During the verification period, in the WOFOST model, the mean absolute deviation (MAD)MAE of the simulation results was 177.36566.08 kg/ha; (MRE = 12.09%), while the WOFOST-EW model reduced the MADMAE to 141.76463.82 kg/ha (MRE = 10.11%) (Fig. 66)-). Despite the overall high accuracy, errors were identified in yield simulations for certain years (Fig. 66bb).



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Figure 6. (a) Represent the winter wheat yield prediction results during the calibration and validation periods using the WOFOST and WOFOST-EW models. (b) Indicates the simulation errors of yield.

To further evaluate the performance of the two models, we analyzed the results for the validation dataset from 2001 to 2020 (Fig. 7<del>7).</del>). The simulation results of the WOFOST model showed a Pearson's r of 0.83<del>, an RMSE of 665.76 kg/ha,</del> and an R<sup>2</sup> of 0.67<del>-</del> (p < 0.01). In comparison, the WOFOST-EW model demonstrated enhanced yield estimation accuracy, with a

Pearson's r of 0.86 and an improved  $R^2$  of 0.76 (p < 0.01) (Fig. 7). In addition, we compared the annual distribution of traditional extreme weather indices with the extreme weather function values F(EW) proposed in this study (Fig. 8, a reduced RMSE of 565.63 kg/ha, and an improved  $R^2$  of 0.76.). We used 1 - F(EW) to represent the intensity of extreme weather impacts on crop growth. The results indicate that in years with extreme climatic conditions, this metric exhibits higher values, reflecting stronger weather-induced stress on crops. Conversely, in years with relatively normal climate conditions, F(EW) values remain stable, suggesting limited impact. These findings demonstrate that the model effectively captures and quantifies the influence of extreme weather on crop development.

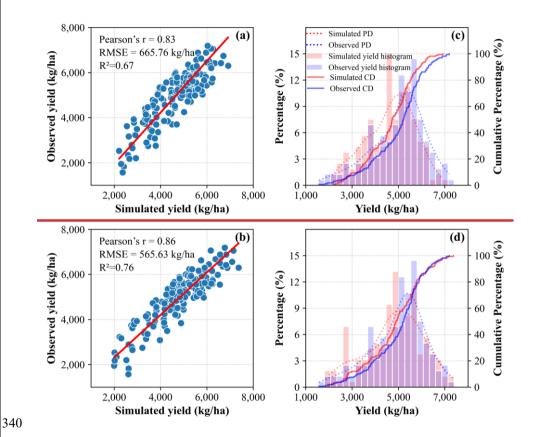


Figure 7. Comparison of simulated winter wheat yield distributions with observed yield records in the study area from 2000 to 2020. Subplots (a) and (c) show the comparison between the WOFOST model simulation results and observed yields; (b) and (d) display the comparison between the WOFOST-EW model results and observed yields. Here, PD denotes Probability Density, and CD denotes Cumulative Distribution.

# 3.3 Simulation analysis of counties affected by extreme weather

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To further <u>validate\_evaluate</u> the effectiveness of the improved model, <u>we conducted yield simulations at specific eounties\_sites</u> affected by extreme weather <u>events</u>. <u>Based on prior reports</u>, the <u>years 2009, 2010, 2012</u>, and <u>2018</u> were selected for <u>yield simulation</u>. <u>Table S1 provides detailed modeling analysis under extreme weather conditions</u>. <u>Detailed information about the eounties on the agricultural meteorological experimental stations</u> impacted <u>by extreme weather during these</u> events <u>is provided</u> in <u>2008 and 2018</u>. <u>The typesTable S2</u>.

According to the Ministry of Ecology and Environment of the People's Republic of China (www.mee.gov.cn), the study region experienced record-breaking high temperatures in 2009, with several locations breaking previous historical records. In 2010, the frequency of meteorological disasters increased, with numerous extreme weather observed in these counties included events reported. In 2012, China experienced 38 heavy rainfall events, 21 of which occurred during the summer. Some regions were hit by exceptionally extreme weather events, most notably the "7.21" event (Zhao et al., 2019b)and flooding, high-temperature drought, Additional disasters—including droughts and cold waves—also occurred during this period (Zhao et al., 2019b; Zheng et al., 2018). In 2018, extreme low-temperature events caused frost damage, significantly affecting agricultural productivity (China Meteorological Administration, www.cma.gov.cn). These extreme events in the selected years contributed to significant yield reductions in the study area (Figs. 6 and 8 frost (Table S1).)

In 2008, extreme weather in the study area was primarily characterized by high temperatures four experimental years (Figs. 9 and 10drought, particularly in some counties in Shanxi and Hebei (, Table S1). The S7), WOFOST model's produced simulation results yielded with a Pearson's r of ranging from 0.83, an R2 of 81 to 0.69,87 (p < 0.01), an R2 of 0.61 to 0.71 (p < 0.01), an RMSE of 799.99781.56 to 1043.28 kg/ha (Fig. 8a),RRMSE of 16.22% to 23.83%), and a MADan MAE of 403.18654.78 to 871.20 kg/ha (Fig. 9a).MRE of 14.07% to 26.65%). In comparison, the WOFOST-EW model achieved a Pearson's r of 0.91 to 0.94 (p < 0.01), an R2 of 0.80 to 0.86 (p < 0.01), an RMSE of 555.72 to 711.38 kg/ha (RRMSE of 11.53% to 16.25%), and an MAE of 372.25 to 587.84 kg/ha (MRE of 8.21% to 19.15%). Overall, WOFOST-EW demonstrated superior performance, achieving a Pearson's r of 0.91, an R2 of 0.79, an RMSE of 617.05 kg/ha (Fig. 8b), and a MAD of 325.38 kg/ha (Fig. 9a).

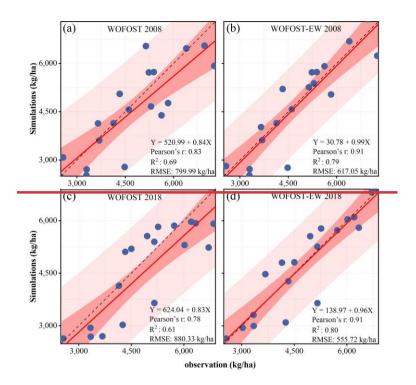


Figure 8. Comparison of simulated and observed records in the counties affected by extreme weather in 2008 and 2018. (a) and (b) illustrate
the comparison between the WOFOST model, WOFOST EW model simulations, and observed records for 2008. (c) and (d) depict the
comparison between the WOFOST model, WOFOST EW model simulations, and observed records for 2018.

In 2018, the primary extreme weather in the study area included heavy rainfall and increased low temperature frost damage, indicating that climate change in recent years has led to more frequent extreme weather events, which have impacted agricultural production in various ways (Table S1). The WOFOST model'shigher simulation results showed a Pearson's r of 0.78, an R² of 0.61, an RMSE of 880.33 kg/ha, and a MAD of 410.70 kg/ha (Fig. 9b). In comparison, the WOFOST EW model outperformed the WOFOST model, achieving a Pearson's r of 0.91, an R² of 0.80, an RMSE of 555.72 kg/ha, and a MAD of 333.52 kg/ha (Fig. 9b). The WOFOST EW model is better equipped to capture the impact of extreme weather on wheat yield. The WOFOST EW model demonstrates lower uncertainty and delivers more accurate simulation results (Figs. 8 and 9)-accuracy.

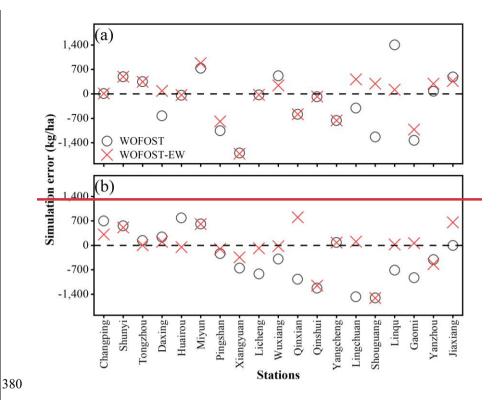


Figure 9. Distribution of simulation errors of the WOFOST model and WOFOST-EW in the counties affected by extreme weather in 2008 (a) and 2018 (b).

### 4 Discussion

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# 4.1 Limitations of the temperature response function in the WOFOST model

In the WOFOST model, crop responses to temperature are represented by the function F(T) (Eqs. 2–54.1), which is simple and intuitive in form. Within the optimal temperature range, F(T) can approximate a linear relationship between temperature and crop development rates. However, it exhibits notable limitations in capturing the nonlinear stress effects associated with extreme temperature conditions.

First, the model does not account for the suppressive impacts of heat stress. When temperatures exceed the upper threshold  $T_m$ , F(T) remains constant, implying that crops cease to respond to further temperature increases. This overlooks the detrimental effects of extreme heat, such as inhibited photosynthesis, elevated respiration rates, and damage to reproductive organs, potentially leading to an overestimation of crop growth under high-temperature conditions. Second, the model oversimplifies cold stress. When temperatures fall below the base temperature  $T_b$ , the development rate is set to zero. While

this indicates a conceptual halt in growth, it fails to differentiate between varying intensities of cold stress and their distinct physiological impacts on crops.

To address these limitations, this study introduces an extreme weather function F(EW), which incorporates the cumulative and phenological-stage-specific impacts of stressors such as heat, drought, and heavy precipitation. This function dynamically adjusts phenological development and enhances the model's sensitivity to extreme climatic events. Importantly, F(EW) does not replace F(T) but complements it—offering a more comprehensive framework for assessing the effects of climate extremes and climate change on crop production.

# 4.2 Impact of extreme weather events on the growth of winter wheat

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Extreme weather events—such as heatwayes, frosts, droughts, and floods—have substantial impacts on crop growth and yield (Lüttger and Feike, 2017; Xiao et al., 2018; Zahra et al., 2021). Wheat phenology is particularly sensitive to meteorological factors like temperature and moisture, and extreme weather often leads to stage-specific disruptions in its developmental process (Asseng et al., 2015; Sadras and Monzon, 2006; Tao and Zhang, 2013; Zahra et al., 2021). In the North China Plain, both HDD and LDD fluctuate considerably during the winter wheat growing season, reflecting frequent exposure to severe heat and cold stress. This is consistent with previous findings indicating that winter wheat is often subject to extreme low temperatures prior to flowering and extreme high temperatures afterward—both of which significantly reduce yield (Bai et al., 2024). Studies have shown that elevated temperatures tend to shorten the wheat growing period, particularly affecting the sowing-to-flowering phase (Asseng et al., 2015; Li et al., 2020b; Sadras and Monzon, 2006; Tao and Zhang, 2013; Zahra et al., 2021). During early growth stages, moderate warming can enhance thermal accumulation and stimulate photosynthetic enzyme activity, promoting leaf area expansion and chlorophyll synthesis, and thereby accelerating heading and flowering (Chen et al., 2014; Li et al., 2020b; Tao et al., 2017a; Tao et al., 2017b). However, high temperatures following flowering can trigger premature leaf senescence and reduced photosynthetic capacity, leading to early maturity and shortened grain-filling duration (Harrison, 2021; Liu et al., 2023). Conversely, extreme low temperatures—particularly frost—can significantly delay development. Frost events may damage young spikes and floral organs, disrupting reproductive development (Fuller et al., 2007), while cold stress during the vegetative stage can cause visible injuries such as leaf tip burn (Shroyer et al., 1995). Rapid temperature drops are more damaging than gradual cooling (Al Issawi et al., 2013; Li et al., 2014b) and can impair organ formation even without reaching lethal thresholds. Cold stress also suppresses metabolic activity and delays cell division and elongation, especially prolonging the jointing-to-heading interval (Xiao et al., 2021). If such events occur during spike differentiation, they can lead to spikelet abortion or sterility, posing a severe threat to final yield.

Although drought or water stress is not typically the primary factor influencing phenology, it can still exert a significant impact in drought-prone regions (McMaster and Smika, 1988; McMaster and Wilhelm, 2003). The effect of drought depends on its timing, intensity, and the crop's developmental stage, often resulting in either accelerated development or developmental arrest (Chachar et al., 2016; Ihsan et al., 2016). Wheat has evolved several drought-resistance strategies to cope with water stress, including drought escape (accelerating the life cycle to avoid drought periods), drought avoidance (e.g., regulating stomatal behavior to minimize water loss), and drought tolerance (maintaining cellular function under stress conditions) (Nyaupane et al., 2024). While these adaptive responses enhance survival and confer a degree of yield stability, they are often associated with a shortened developmental cycle and advancement of phenological phases (Chachar et al., 2016; Chowdhury et al., 2021; Ihsan et al., 2016; McMaster and Wilhelm, 2003). Extreme precipitation events can also disrupt wheat development, primarily through waterlogging. Under flooded conditions, oxygen deficiency in the root zone inhibits root elongation and nutrient uptake (Colmer and Greenway, 2011; Colmer and Voesenek, 2009; Kotula et al., 2015), and in severe cases, may cause root death (Herzog et al., 2016). Additional negative effects include reduced root front expansion (Ebrahimi-Mollabashi et al., 2019), nutrient leaching (Kaur et al., 2020), and impaired water transport, all of which contribute to stomatal closure and diminished photosynthetic activity (Jitsuyama, 2017). Waterlogged conditions also elevate the risks of lodging and disease outbreaks (Nguyen et al., 2016). While most research has focused on the impacts of waterlogging on crop growth and yield, there is increasing recognition of the need to understand its effects on crop phenology (Noia Júnior et al., 2023). Empirical studies have shown that waterlogging during critical early stages such as tillering and jointing can significantly suppress chlorophyll synthesis and photosynthetic capacity, impeding early growth and potentially delaying or disrupting subsequent phenological stages, including jointing and heading (Dickin and Wright, 2008; Wu et al., 2015).

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Phenological stages play a crucial role in determining crop yield, and the phenological process itself serves as a primary pathway through which extreme weather influences crop production (Chachar et al., 2016; Chowdhury et al., 2021; Ihsan et al., 2016; McMaster and Wilhelm, 2003). However, most current crop models struggle to accurately simulate phenology under extreme conditions and often fail to capture phenological shifts induced by extreme weather events (Zhang and Tao, 2019). Enhancing the accuracy of phenology prediction under such conditions is therefore essential for overcoming key limitations in crop models and improving their ability to simulate crop performance under climate extremes (Pei et al., 2025). In this study, we employed seven climate indices to quantify extreme climate conditions and observed spatial variability in the impacts of extreme weather across different counties (Fig. 8Extreme weather events such as heatwaves, frosts, droughts, and floods have a significant impact on crop growth and yields. In this study, we used seven climate indices to quantify extreme climate conditions and observed differences in the impact of extreme weather across different counties (Fig. 5, S1, and S2). During the wheat growing season in the North China Plain, HDD and LDD fluctuated significantly, indicating that wheat often faces severe heat stress and cold stress. This finding is consistent with previous research, which indicated that winter wheat is often

affected by extreme low temperature events before flowering and extreme high temperature events after flowering, with a negative impact on wheat yields. Frost events during the jointing and booting stages also have a significant impact on winter wheat, leading to reduced spike numbers, and resulting in significant yield losses. During flowering or grain filling stages, heat stress often leads to grain sterility, reducing the grain count, and persistent heat stress can result in significant yield losses.

Compared to HDD and LDD, R95P, R10mm, and Rx1day showed little fluctuation across various counties in the study area, tending towards stability (Figs. 5 and S1). This is mainly because the precipitation season in the North China Plain does not coincide with the winter wheat growing season, and extreme precipitation events are unevenly distributed in time and space in the North China Plain. However, despite this, wheat growth periods are still subject to extreme precipitation stress (Table S1), especially against the backdrop of global warming, future changes in extreme weather events may increase the risks to wheat production.

). Against the backdrop of global warming, future changes in the frequency and intensity of extreme weather events may pose increasing risks to wheat production.

# 4.23 Uncertainty in simulation results

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The uncertainty of crop model parameters is a complex and significant issue, with limited empirical data on crop development rates under extreme temperature conditions being a key factor. Previous studies have shown that the parameters of temperature response functions largely depend on field experimental data; however, these data often lack coverage of extreme temperature environments (Bai et al., 2022b; Ellis et al., 1992; Tollenaar, 1979; Watts, 1971; Zhang and Tao, 2019). A recent study (Zheng and Zhang, 2025) suggested highlighted that the increasing rising frequency of extreme weather events could cause greater fluctuations leads to increased variability and unpredictability in meteorological data, making observations (e.g., temperature and precipitation). Such variability complicates the derivation of stable and representative input parameters required by (e.g., thermal time, stress thresholds) for crop models, thereby introducing uncertainty into model simulations (Gao et al., 2020; Gao et al., 2021) unstable. This instability may lead to deviations in model outputs, ultimately affecting the accuracy of crop growth predictions. Additionally, obtaining reliable crop simulation parameters under extreme weather conditions is highly challenging. For example, extreme weather events such as instance, in the North China Plain, frequent high and lowtemperature fluctuations in the North China Plainextremes can destabilize meteorological data and input parameters, resulting disrupt the consistency of daily weather inputs used in models (Gu et al., 2024) uncertainty in crop. This inconsistency affects the reliability of key model predictions and making it difficult to accurately simulateparameters (e.g., effective temperature accumulation, phenological thresholds), ultimately reducing the accuracy of crop growth and yield simulations under such extreme conditions (Bai et al., 2024).

In response to To address these challenges, we proposed developed the WOFOST-EW model to better quantify extreme weather events and address the lackimpacts of extreme temperature data in traditional crop models weather events. This improved model demonstrated lower uncertainty and reduced fluctuation in simulation results. The phenological simulation results (Fig. 44)) and yield simulation results (Figs.5–7.7, 8, and 9)) showed that the improved model simulated crop growth more accurately, reducing bias and increasing the model's reliability.

Our research not only enhances the crop model but also provides a solution to the core issue of model parameter uncertainty. By incorporating extreme weather events into the simulation framework, we successfully reduced the model's uncertainty, offering a feasible pathway for more accurate crop growth simulations.

# 4.34 Advantages and limitations of the WOFOST-EW model

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In this study, we developed the F(EW) function, leveraging climate indices and LSTM algorithms, and successfully integrated it into the WOFOST model. The results demonstrate that the WOFOST-EW model significantly enhanced yield prediction accuracy in the counties impacted by extreme weather events (Figs. 98 and 109). By incorporating climate indices, the model effectively captured wheat growth dynamics under varying environmental conditions, enabling a more accurate representation of climate change impacts on achieves improved accuracy in predicting heading dates, maturity dates, and yield. A comprehensive After an evaluation of simulations from 1990 to 2020 highlighted, the exceptional performance of the improved WOFOST-EW model in predicting both long term trends and annual yields demonstrated superior predictive accuracy. These findings confirm that the F(EW) function is a robust approach for enhancing model performance. Future research could explore its potential applications across other crops and regions to broaden its utility. Further analysis revealed that the WOFOST-EW model excelled in simulating wheat yields under extreme climate conditions. Notably, extreme weather events in 20082009, 2010, 2012, and 2018 posedpresented significant challenges for traditional modeling approaches. However, by integrating climate indices and LSTM algorithms, the improved model demonstrated achieved a substantial increase enhancement in simulation accuracy by integrating climate indices and LSTM algorithms (Figs. 98 and 109).).

Previous studies have attempted to estimate the <u>impactimpacts</u> of extreme weather events on crop yields using machine learning <u>methodsapproaches</u>. However, <u>mostmany</u> of these studies <u>have</u> relied on outputs from crop models as inputs <u>forto</u> machine learning <u>algorithms</u>, <u>rather than directly modeling the weather-crop relationship</u> (Feng et al., 2019a; Li et al., 2023; Shahhosseini et al., 2021; Zhuang et al., 2024). <u>The unique strength</u>. <u>The key innovation</u> of our model lies in <u>its innovative the</u> integration of <u>an</u> extreme weather <u>functions</u>, <u>function</u>, <u>F(EW)</u>, which enhances <u>its the</u> ability <u>of the model</u> to <u>more accurately</u> capture the <u>dynamic</u> effects of extreme weather events on wheat yields; <u>This</u> theoretically <u>improvingimproves</u> prediction accuracy; <u>while maintaining a strong physiological basis</u>. The WOFOST-EW model <u>performs robustly</u> not only <u>performs well</u>

under general climatic conditions but also exhibits strong adaptability and robustness in addressing extreme climate events. The F(EW) function effectively captures wheat growth dynamics across diverse environmental conditions, providing more precise yield predictions—under extreme weather scenarios.—In addition, owing to the responsiveness and spatial-temporal specificity of the F(EW) variable. Moreover, WOFOST-EW has exhibits broad applicability, capable of being extended to regions—and holds potential for extension beyond the North China Plain and can be applied to other erops regions and crop types. Future research could further optimize improve the model by incorporating more additional environmental and management—related data, thereby enhancing to enhance its adaptability and prediction predictive accuracy under a wider range of conditions.

diverse conditions. Nevertheless, the physiological diversity across crops—including differences in growth cycles and environmental responses—presents challenges for direct transferability of the model. While WOFOST is a generic crop simulation model, its current structure and parameters are particularly well-suited to cereal crops. Application to crops with fundamentally different morphological or physiological characteristics (e.g., root vegetables, oilseeds, or perennials) would require substantial recalibration and structural adjustments. Additionally, although the LSTM-based deep learning component of WOFOST-EW lacks the biological transparency of traditional physiological models, the hybrid design enhances the model's explanatory power regarding extreme weather impacts. Regional variation in crop growth due to differences in climate, soil properties, and management practices further underscores the need for localized parameter calibration when applying the model to new regions or crop types. Currently, the F(EW) function in WOFOST-EW focuses primarily on meteorological stressors. However, during crop performance is also influenced by complex and interacting non-meteorological factors such as soil fertility, pest and disease outbreaks, irrigation, and fertilization practices. A key direction for future development is the integration of these additional stressors—particularly sudden biotic pressures or severe nutrient limitations—and their interactions with extreme weather into the WOFOST-EW framework. Such advancements would further strengthen the model's realism and utility for decision-making under climate extremes.

<u>During</u> validation, the WOFOST-EW model underperformed in several counties (<u>Fig. 5Tables S3 and S4).</u>). Further investigation revealed that the primary reason for this was that, due to data limitations, we only accounted for the heading and maturity stages and omitted other key phenological periods of winter wheat. This incomplete consideration of growth stages likely impacted the model's ability to fully capture the crop's growth dynamics under varying conditions. Previous studies have shown that the effects of extreme climate events on crop production vary across different growth stages (Feng et al., 2019b; Porter and Gawith, 1999; Tack et al., 2015). During the wheat growth cycle, different stages experience varying types and intensities of climatic stress, resulting in significant differences in yield impacts. Moreover, severe droughts occurring during the critical growth stages from April to May are particularly likely to affect winter wheat yields (Xu et al., 2018; Yang et al.,

2020). Additionally, a series of studies on different crop types and regions have demonstrated that crop yields are more vulnerable to droughts occurring during key growth stages (Pena-Gallardo et al., 2018; Potopova et al., 2015; Zipper et al., 2016). This phenomenon can be attributed to two main factors: (1) physiological differences and variations in field management practices across phenological stages (Wu et al., 2004), which result in distinct drought resistance capacities at different growth stages (Nesmith and Ritchie, 1992); and (2) the varying impacts of droughts on yield formation depending on the growth stage at which they occur (Zhao, 2001). This presents an important direction for future research and model improvement. By further refining the model to account for specific types and intensities of climatic stress at different growth stages, we can enhance prediction accuracy and better capture the impacts of extreme weather events on wheat yields.

Previous studies have highlighted a limitation of the PDSI, which does not consider field management practices in its input parameters, reducing its effectiveness in assessing the impacts of drought on crop growth. Our study addresses this shortcoming by integrating a crop model, thereby improving the evaluation of drought effects on crop yields. However, this study does not explore in depth the lag effects of different types of droughts on crop growth, which may affect the identification of sensitive periods in the winter wheat growth cycle. Another limitation of the WOFOST EW model is its failure to consider the impacts of pests, diseases, and lodging, which could lead to inaccurate yield predictions. Future research will integrate pest and disease data and use high resolution climate forecast data to optimize the model. Additionally, efforts will focus on reducing irrigation dependence through improved drought prevention and precision management, promoting sustainable agricultural practices. These improvements will enhance the model's practicality and provide reliable support for drought resistant agricultural production and food security.

# Conclusion

In this study, we introduced the WOFOST-EW model by integrating extreme weather indices with the LSTM deep learning algorithm, aiming to improve the simulation of crop yield and phenology under extreme weather conditions, thereby enhancing its accuracy and robustness. Validation results from 25study stations in the study area over the period 1980—2020 show that the WOFOST-EW model outperformed the WOFOST model in both yield and phenology simulations. Specifically, We validate WOFOST-EW improved predictionusing phenological, yield, and extreme weather data from agricultural meteorological stations in the North China Plain. The results show that WOFOST-EW improves simulation accuracy by 10.64% in the . The RRMSE for heading stage and maturity decreases from 4.61% to 3.73% and from 4.74% to 3.98%, respectively (with RMSE reductions of 10.64% and 12.86% in the maturity stage, respectively (Fig. 4). Additionally, the WOFOST EW model exhibited smaller errors in phenology%). The R² value for yield simulations (Fig. 4e), indicating increased robustness. In yield simulations, WOFOST EW reduced the RMSE from 665.76 kg/ha to 565.63 kg/ha, and the R² improved increases from 0.67 to 0.76 (Fig. 7).

In addition, we further validate the WOFOST-EW model in years affected by extreme weather years of 2008 and 2018, WOFOST EW demonstrated better simulation capabilities. In 2008, WOFOST EW reduced find that, compared to the RMSE from 799.99 kg/ha to 617.05 kg/ha and improved the original WOFOST model (R² from 0.69 to 0.79 (Fig. 8). Similarly, in 2018, WOFOST EW decreased the RMSE from 880.33 kg/ha to 555.72 kg/ha and increased the R² ranging from 0.61 to 0.71), WOFOST-EW achieves more accurate results (R² ranging from 0.80 (Fig. 8to 0.86). The WOFOST-EW model we proposed not only enhances the simulation capability of crop growth under extreme weather events but also improves its robustness and accuracy. As extreme weather events become more frequent in the future, our model holds significant potential for application. WOFOST-EW model can help decision-makers more accurately assess the potential impacts of these events on crop yields, thereby supporting more effective agricultural planning and risk management. This will provide practical experience and technical support for the adaptation of agricultural systems and their sustainable development in the context of global climate change.

Code availability. The WOFOST model used in this study is from version 6.0.6 of the PCSE (De Wit, 2018), available at https://pcse.readthedocs.io/en/stable/. The upgraded WOFOST-EW model used in this study can be obtained at https://github.com/zheng-jinhui/WOFOST-EW.git (Zheng, 2025). The SCE UA algorithm can be referenced at https://spotpy.readthedocs.io/en/latest/. The LSTM model is implemented using the "Keras" library provided by Python, available at https://github.com/keras.git.

Data availability. All data used in this paper are available and have been fully referenced in the text.

585

590

*Author contributions*. L.Y. designed the project. J.Z. developed the model code with help from L.Y., Z.D., X.H., and L.X. J.Z. wrote an initial draft of the paper. L.Y., Z.D., and L.X. supervised the research, co-designed the experiments, and contributed to the manuscript. All authors participated in interpreting the results and refining the paper.

Competing interests. At least one of the (co-)authors is a member of the editorial board of Geoscientific Model Development.

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## **Figures**

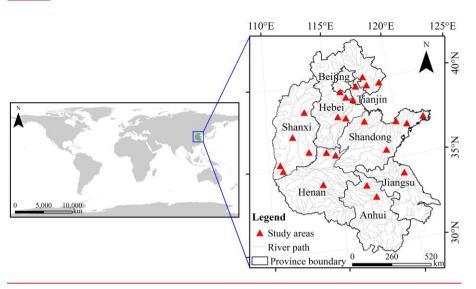
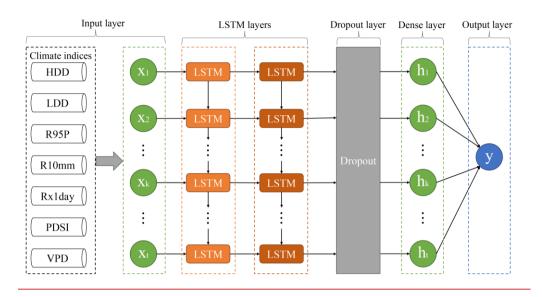
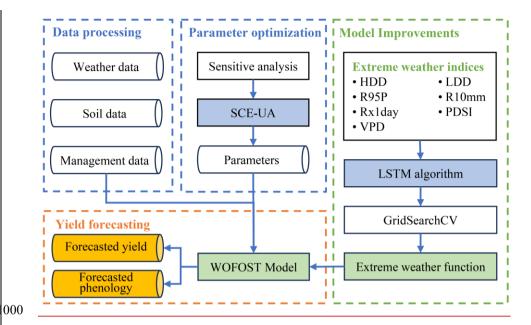


Figure 1. Location of the study areas.



<u>Figure 2.</u> The workflow of a Long Short-Term Memory (LSTM) network. In the figure, the training target y refers to the extreme weather impact factor.



<u>Figure 3.</u> The program flowchart used in this study. HDD, LDD, R95P, R10mm, Rx1day, PDSI, and VPD represent different climate indices, and LSTM represents the Long Short-Term Memory algorithm.

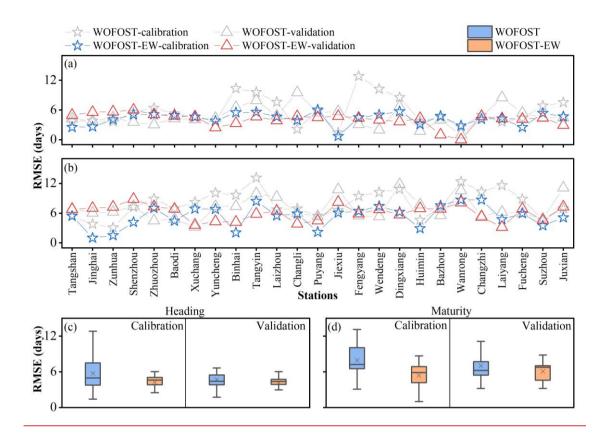
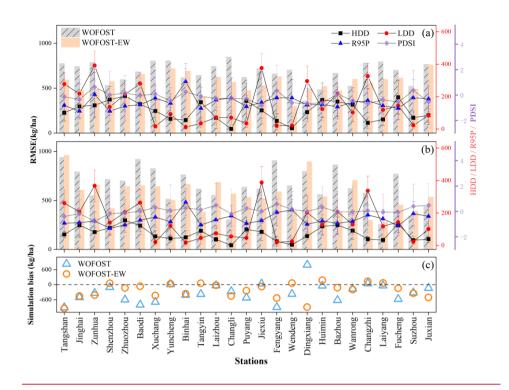


Figure 4. Simulation results of phenological stages for winter wheat using the WOFOST model and the WOFOST-EW model at 25 agrometeorological stations in the study area. (a) shows the Root Mean Square Error (RMSE) of simulated heading dates for the calibration and validation datasets at different stations for both models. (b) shows the RMSE of simulated maturity dates for the calibration and validation datasets at different stations for both models. (c) and (d) present boxplots of the RMSE for simulated heading and maturity dates, respectively. The × symbol represents the mean RMSE value, and the horizontal line within the box indicates the median (Q2). The box represents the interquartile range (IQR), with the top and bottom edges of the box denoting the upper quartile (Q3) and lower quartile (Q1), respectively. The whiskers extend to the maximum and minimum values, where the maximum value is defined as Q3 + 1.5 × IQR, and the minimum value is defined as Q1 - 1.5 × IQR.



Pigure 5. Root Mean Square Error (RMSE) values for winter wheat yield simulated by the WOFOST model and the WOFOST-EW model in the study area for the calibration dataset (a) and validation dataset (b). (c) illustrates the distribution of simulation errors for the two models during the validation period. HDD, LDD, and R95P represent climatic indices related to extremely high temperatures, low temperatures, and precipitation, respectively. PDSI represents the Palmer Drought Severity Index.

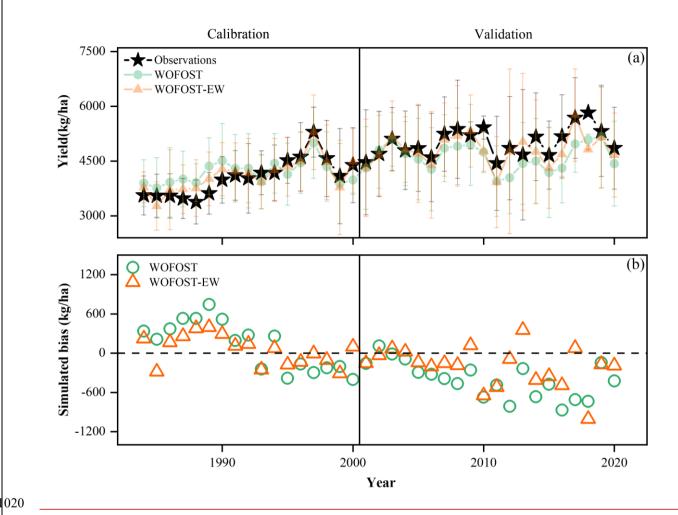
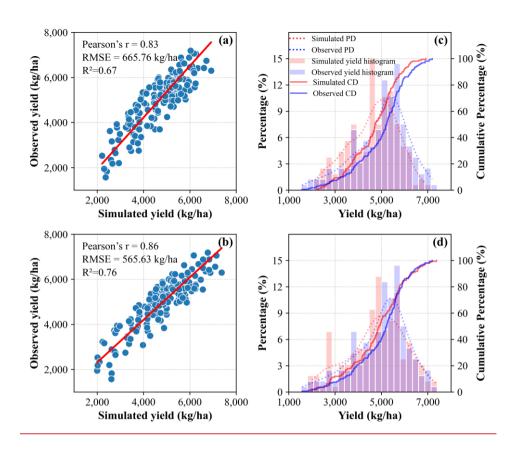


Figure 6. Model simulation results by year. Panel (a) represent the winter wheat yield prediction results during the calibration and validation periods using the WOFOST and WOFOST-EW models. Panel (b) indicates the simulation errors of yield.



625 Figure 7. Comparison of simulated winter wheat yield distributions with observed yield records in the study area from 2001 to 2020. Subplots (a) and (c) show the comparison between the WOFOST model simulation results and observed yields; (b) and (d) display the comparison between the WOFOST-EW model results and observed yields. Here, PD denotes Probability Density, and CD denotes Cumulative Distribution.

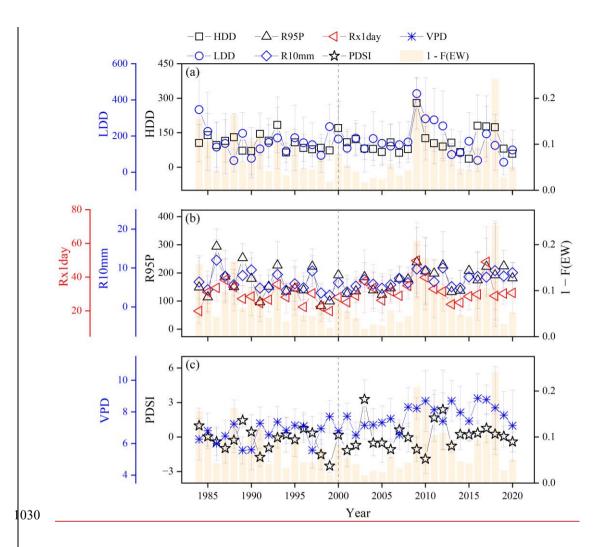


Figure 8. Distribution of extreme weather indices and the proposed extreme weather function values (F(EW)) across the study area from 1980 to 2020. Panel (a) shows HDD and LDD, representing extreme temperature conditions; panel (b) includes R95p, R10mm, and Rx1day, which capture extreme precipitation events; panel (c) presents drought-related indices, PDSI and VPD. F(EW) denotes the extreme weather function developed in this study, representing the influence of extreme weather factors as modeled using deep learning.

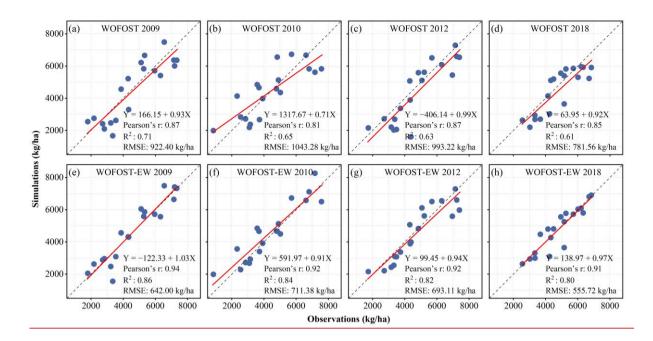
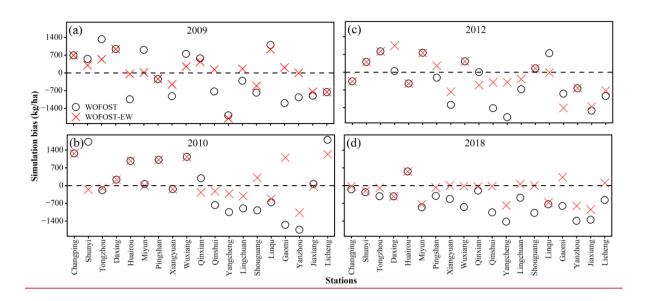


Figure 9. Comparison of observed yields with simulated yields by the original WOFOST and the improved WOFOST-EW models in counties affected by extreme weather during 2009, 2010, 2012, and 2018. Panels (a) and (e) show the comparisons for 2009, (b) and (f) for 2010, (c) and (g) for 2012, and (d) and (h) for 2018. All correlation coefficients and R<sup>2</sup> values are statistically significant at p < 0.001.



<u>Figure</u> 10. Distribution of simulation errors of the WOFOST model and WOFOST-EW in the counties affected by extreme weather in 2009 (a), 2010 (b), 2012 (c), and 2018 (d).

**Tables** 

<u>Table 1). Definition of extreme weather indices.</u>

Extreme indices	Index	<u>Definition</u>	<u>Unit</u>
High-temperature degree days	<u>HDD</u>	Cumulative temperature above the threshold during the winter wheat growing season.	<u>°C d</u>
Low-temperature degree days	<u>LDD</u>	Cumulative temperature below the threshold during the winter wheat growing season.	<u>°C d</u>
Very wet days	<u>R95P</u>	Total precipitation on days exceeding the 95th percentile during the winter wheat growing season.	<u>mm</u>
Heavy precipitation days	<u>R10mm</u>	Number of days with precipitation ≥ 10 mm during the winter wheat growing season.	<u>d</u>
Max 1-day precipitation amount	Rx1day	Maximum 1-day precipitation during the winter wheat growing season.	<u>mm</u>
Palmer Drought Severity Index	<u>PDSI</u>	A standardized index assessing long-term soil moisture and drought conditions during the winter wheat growing season.	Ξ
Vapor pressure deficit	<u>VPD</u>	The difference between saturation vapor pressure and actual vapor pressure, indicating dryness during the winter wheat growing season.	<u>kPa</u>