



# **Leveraging soil diversity to mitigate hydrological extremes with nature-based solutions in productive catchments**

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**Abstract.** Nature-based solutions (NbS) are increasingly being explored as effective strategies for mitigating hydrological extremes, such as floods and agricultural droughts. Among these, soil-vegetation-based approaches may play a key role in

- 10 improving soil health, enhancing ecosystem services, and restoring the natural hydrological cycle in productive agricultural and forestry catchments, making these landscapes more resilient to climate change. However, the influence of local factors, such as soil characteristics, on the effectiveness of these interventions is often overlooked. This study investigates the role of spatial variability of soil properties in shaping the effectiveness of NbS for mitigating both floods and agricultural droughts. To this end, two distributed, physically based hydrological models, one for an agricultural catchment and one for a forest
- 15 dominated catchment, were developed, integrating two landscape planning scenarios involving a series of NbS to be represented. Key spatially based indicators to assess the effectiveness of NbS were developed based on long term simulation results. A major output from this study is that the effectiveness of NbS in improving flood and drought resilience is dependent on the soil's natural drainage characteristics, with well-drained soils demonstrating the greatest potential. In welldrained soils, hedgerows significantly enhanced infiltration by improving soil hydraulic properties and creating additional air
- 20 space in the soil's porosity through higher rates of evapotranspiration. In contrast, improving hydraulic properties in waterlogged soils had minimal impact on infiltration due to existing saturation, with anoxic conditions potentially limiting transpiration. Additionally, the study highlights that well-drained soils offer co-benefits for resilience to agricultural droughts, as they are more likely to experience water deficits that NbS can mitigate. In contrast, such benefits are generally absent in waterlogged soils, which rarely face water scarcity. Future approaches to evaluate the potential effectiveness of
- 25 NbS should recognize the spatial variability in their performance. This variability should inform the type and location of NbS to increase their overall effectiveness.

# **1 Introduction**

Central Europe faced consecutive abnormally dry and hot summers in 2018, 2019, and 2020 (van der Wiel et al., 2023). The drought event of 2018–2019 was considered unprecedented in the last 250 years (Hari et al., 2020), adversely impacting 30 agricultural productivity (Toreti et al., 2019). Droughts are classified as meteorological, agricultural, hydrological, or





socioeconomic. Meteorological drought refers to a deficiency in rainfall, while agricultural drought, driven by soil moisture deficits, occurs when soil water availability does not meet plant requirements. It is therefore influenced by vegetation characteristics, as species differ in their sensitivity to the same soil moisture deficit. Extended rainfall shortages may result in hydrological drought, affecting streamflow and aquifers, eventually causing socioeconomic drought when water supply fails

- 35 to meet demand (Mishra and Singh, 2010).
	- Conversely, the same region, comprising western Germany, eastern Belgium, Luxembourg, and the Netherlands, experienced a very wet 2021 summer and an extreme precipitation event between July 13 and 16, with unprecedented accumulations. Combined with already nearly saturated soil conditions, this extreme rainfall event led to major floods, making it one of the most severe natural catastrophes in Europe of the past half-century (Journée et al., 2023).
- 40 Due to the anthropogenic global warming and the demographic expansion, the likelihood of occurrence and impact of droughts and floods is expected to be exacerbated (Aalbers et al., 2023; Dottori et al., 2023; Hari et al., 2020), consequently increasing the associated damage costs (Naumann et al., 2021), currently estimated at €9 billion for droughts (Cammalleri et al., 2020) and €7.6 billion for floods (Dottori et al., 2023) annually in the European Union.
- In order to mitigate and potentially reverse these adverse effects, investments in a transformative adaptation of human 45 systems are required (Pörtner et al., 2022). Given the uncertainty of future climate conditions, these investments could prioritize soft strategies, "no regret" approaches which yield benefits irrespective of climate change, as well as reversible and flexible options, providing significant social, economic and environmental benefits (Hallegatte, 2009). In line with this philosophy, the recently introduced umbrella concept of nature-based solutions (NbS) is becoming increasingly popular among funders, researchers, policy makers and practitioners (Nesshöver et al., 2017). NbS could be referred to as solutions
- 50 rooted in natural processes and ecosystems, designed to address a spectrum of societal and environmental challenges, including hydro-meteorological risks reduction (Ruangpan et al., 2020). While NbS literature is abundant on runoff and flood risk reduction in urban areas (Ruangpan et al., 2020), there has been

limited exploration of NbS as drought mitigation strategies (Yimer et al., 2024). Furthermore, potential combined effects, such as synergies and trade-offs of NbS on floods and droughts, remain largely unexplored (Fennell et al., 2023b; Penning et

- 55 al., 2023). NbS are frequently considered effective in addressing hydrological droughts (Fennell et al., 2023a), and less so for agricultural droughts. However, NbS are increasingly recognized as a useful concept extending beyond the urban/riverine context, such as in agricultural or forest settings (Hanson et al., 2020) to improve drought resilience of these ecosystems while contributing to reduce flood risks. In these contexts, NbS may include soil-vegetation solutions aimed at enhancing soil health, functions and ecosystem services with agronomic and/or forest management practices and/or land restoration.
- 60 Other NbS also referring to as "landscape solutions" aim at hydrologically disconnecting watersheds (Keesstra et al., 2018). However, in these alternative land use contexts such as agriculture or forestry, the effectiveness of NbS might highly depend on local characteristics such as the infiltration capacity of the soil (Fennell et al., 2023a; Penning et al., 2023). Indeed, soil hydraulic properties and their spatial distribution play a crucial role in controlling small-scale (soil profile scale)





hydrological processes within a watershed (Vereecken et al., 2022) and consequently, the small-scale effectiveness of NbS 65 influencing hydrological processes within a watershed.

This fine-scale spatial variability raises important questions about the appropriate methods and scales to assess and monitor the effectiveness of these interventions before and after implementation. One popular approach for assessing the potential effectiveness of NbS before implementation for floods and droughts involves modelling hydrological, hydraulic, and water balance processes. This approach often involves comparing the modelled outcomes of a baseline scenario of the current

- 70 conditions, to a target scenario (Possantti and Marques, 2022). In the urban context, the hydrological effectiveness of NbS is often assessed downstream through simulated discharge series using empirical or conceptual (sometimes lumped) rainfall runoff models (Possantti et Marques, 2022; Kumar et al., 2021). However, this type of evaluation does not differentiate the effectiveness of individual upstream interventions, ignoring the significance of the spatial arrangement of NbS within the watershed. This oversight presupposes that it has a minimal impact on their effectiveness downstream, as identified in the
- 75 case of green roofs (Qiu et al., 2021).

This consideration opens the door for spatially distributed, physically based hydrological modelling approaches, able to rank most effective NbS-locations combinations in a given context (Brauman et al., 2022). Indeed, these types of models enable the virtual implementation of a set of NbS at the catchment scale with a specific spatial arrangement. Water fluxes, calculated on a variable-resolution grid, are derived from physically meaningful equations that rely on physically meaningful

- 80 local parameters that can be measured (topography, geology, soil hydraulic properties, surface roughness, vegetation). These factors influence small-scale (grid cell scale) hydrological processes, such as infiltration. Through the spatial and temporal adjustment of these parameters, scenarios of NbS can be represented. Thus, the physical response (and effectiveness) of an intervention implemented at a specific location that might affect the global catchment's behaviour can be modelled. This article introduces a reproducible methodology for developing a physically based surface hydrological model designed
- 85 to evaluate the impact of NbS scenarios at the catchment scale. Serving as a foundational step, this modelling framework facilitates a series of innovative post-processing analyses to assess NbS hydrological effectiveness. The paper addresses three key objectives: i) Moving beyond a simple analysis of outflow discharges by emphasizing the influence of spatial variability in soil characteristics on the effectiveness of hydrological NbS measures inside the watershed; ii) Evaluating the impact of NbS on different hydrological extremes, specifically floods and agricultural droughts; iii) Investigating synergies
- 90 and trade-offs between floods and agricultural droughts mitigation through NbS implementation.





# **2 Materials and Methods**

# **2.1 Study area**



**Figure 1: Study area with maps of land use/ land cover and soils.**





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To illustrate the proposed methodology, two applications are presented on sub-catchments with contrasting land uses belonging to the Vesdre catchment in Belgium. The first catchment (C1) is primarily agricultural (69 %) and peri-urban (17 %), covering 39.9 km² with altitudes ranging from 125 m to 325 m. The climate is temperate oceanic with annual precipitation rate over the catchment of  $952 \pm 96$  mm (mean and standard deviation calculated between 2004 and 2021). 100 Soils are mostly silty, with depths ranging from 0 m to 6 m. Rock fragments are present only in the sloping soils. Natural soil drainage is moderate on the plateaus, favourable on the slopes, and poor in the valley bottoms (Table 2). The second catchment (C2) is dominated by forests (45 %), meadows (35 %) and peatlands (10 %). It spans 71 km<sup>2</sup> with elevations ranging from 350 m to 687 m. The terrain varies from relatively flat upstream on the High Fens Plateau (dominated by

peatlands, wet moorlands and drained plantations of coniferous trees) to deep valleys downstream (dominated by coniferous 105 plantations, deciduous forests and meadows). The annual precipitation rate over the catchment is  $1158 \pm 123$  mm (mean and standard deviation calculated between 2004 and 2021). Apart from peatlands, the soils are composed mainly of silts with a variable stone content. On the upstream plateaus, soils are deeper due to lower erosion rates and are more prone to waterlogging, unlike downstream well-drained soils, which typically contain a higher stone content. Since the latter half of the 19th century, extensive surface drainage efforts have been undertaken on the upstream plateau to facilitate the

110 establishment of conifer plantations (mainly Picea abies). This has resulted in the degradation of the region's open wetlands and peat soils.

## **2.2 Modelling Framework**

#### **2.2.1 Hydrological model**

- 115 The model selection was based on its capability to represent long time series of multiple hydrological processes (channel flow, overland flow, unsaturated- and saturated-flow, evapotranspiration, etc) at the catchment scale using a fully distributed 3D lattice. Therefore, the coupled hydrologic/hydraulic MIKE SHE/MIKE 1D model was chosen. MIKE SHE/MIKE 1D solves partial differential physically based equations describing mass and energy transfers. The actual evapotranspiration is derived from the reference evapotranspiration using the Kristensen and Jensen method. 2D overland flow is calculated using
- 120 the diffusive wave approximation of the Saint-Venant equations. 1D Channel flow is derived from the fully dynamic higher order approximation of the Saint-Venant equations. 1D Unsaturated flow is derived from the Richards equation. Saturated flow is described by the 3D Darcy's equation numerically solved using the finite difference method (DHI, 2024).

Hydrometeorological data: The climate data comprised a 5 x 5 km grid detailing hourly precipitation intensities and daily 125 reference evapotranspiration. Reference evapotranspiration was estimated using the Penman-Monteith equation (Allan et al., 1998) applied to data collected by the observation network of the Belgian Royal Meteorological Institute (RMI), including





temperature, humidity, wind speed, and solar radiation (« Gridded observational data »). Precipitations were determined by combining RMI's gridded daily data with hourly point measurements from the Wallonia Public Service's rain gauge network (https://hydrometrie.wallonie.be), enabling the hourly distribution of RMI's daily rainfall data.

130 Hourly stream discharge data were obtained from 11 May 2011 at the outlet of C1 (gauging station 1), from 30 November 2015 at the outlet of C2 (gauging station 2) and from 1 January 2000 in an upstream branch of C2 with (gauging station 3) a drained area of 19.6 km² (https://hydrometrie.wallonie.be).

Topographic data: The topographic data were a hydrologically corrected Digital Elevation Model (DEM) at a 2m resolution 135 (LIDAXES (version 2) - MNT, 2023). Resampling onto the model grid involves selecting the minimum elevation value to maintain the hydrological correction applied.

Land cover – land use data: Land use/land cover (LULC) information was used to define spatially variable surface roughness and vegetations. The LULC map was a 5 m resolution layer of the land cover of 2018 (WALOUS 2018 - Série, 2024; 140 Bassine et al., 2020) mapping several LULC classes. Resampling onto the model grid was performed by selecting the

- predominant LULC within each grid cell. Each LULC class was assigned an M value of Manning. The surfaces were also categorized into seven vegetation classes based on the LULC map. Each vegetation class was assigned annual dynamics (temporally varying) of leaf area index (LAI), crop coefficient factor (Kc), and root depth (Rd) (Figure - A1) These parameters are used by the model to refine the reference evapotranspiration (Penman-Monteith) into the 145 actual evapotranspiration following the approach of Kristensen and Jensen (DHI, 2024). In addition, detention storage,
	- which is a parameter aimed at accounting for ponding in depressions at sub-cell scale, was fixed to 4 mm in both catchments. **Table 1: Equivalences between land use / land cover classes and vegetation classes.**









Soil and subsoil data: The 1D Richards equation was used to model vertical water fluxes in the unsaturated zone, which 150 required the determination of the soil hydrodynamic properties (soil water retention and soil hydraulic conductivity curves) using the Van Genuchten and Mualem functions (Van Genuchten, 1980) at each location within the unsaturated zone. Saturated flow was modelled with the 3D Darcy's equation requiring vertical and horizontal saturated conductivities to be specified at each location within the saturated zone.

The soil and subsoil were represented as a series of layers with homogeneous hydrodynamic properties within each layer, but 155 varying between layers in terms of thickness and lateral arrangement. Except for peat and degraded peat soils, the upper soil profile was discretized into two layers: topsoil, ranging from 0 m to 0.4 m, and subsoil, extending from 0.4 m to a maximum of 2 m deep. These two layers could be truncated by the base at depths of 0.2 m, 0.3 m, or 0.6 m, considering the soil depth information from the Belgian soil map (« Carte Numérique des Sols de Wallonie »).

The upper soil profile was delineated into homogeneous units based on the Belgian soil map (Figure 1) (« Carte Numérique 160 des Sols de Wallonie »). This map is derived from approximately 6 000 000 samplings conducted with a soil auger (max 1.25 m depth) between 1947 and 1991 of the Belgian cadastral plans, according to a square grid of 75 m per side (1 to 2.5 observations per hectare). This map delineates 6000 soil units defined by characteristics such as texture, natural drainage, diagnostic horizon, and stone content (Legrain et al., 2011). For each homogeneous unit, excepted for peat, degraded peat and impermeable soils, retention and hydraulic conductivity curves (Van Genuchten and Mualem models, with  $m = 1-1/n$ 

165 and  $L = 0.5$  ) for both soil layers were derived from the European hydraulic pedotransfer function (euptfv2) number 1 using depth and the averaged soil texture (« Textures et fractions granulométriques de référence des sols de Wallonie - Série ») as predictors (Szabó et al., 2021). The predicted saturated water content,  $\theta_s$ , was subsequently corrected to account for the loss of porosity due to stone content, s, as represented in the Belgian soil map.

$$
\theta_{s-pebble} = \theta_s (1 - s) \,, \tag{1}
$$





- 170 For the peat soil units, retention curves were obtained by measurements of water content on peat samples of local bogs using pressure plates at pF values of 1.00, 1.60, 1.85, 2.00, 2.30, 2.78, 2.95, 3.62, 4.14. Van Genuchten functions were then adjusted to measured retention curves. The saturated hydraulic conductivity of peat is spatially highly variable and challenging to measure in laboratory. Saturated hydraulic conductivity was initially determined based on values found in the literature (Wastiaux, 2008). As suggested by Szabó et al. (2024), it was subsequently refined through calibration using 175 discharge measurements conducted between 2012 and 2015 at the outlet (gauging station 4) of a small peatland catchment of 14 hectares, located 5 km away from C2. The thickness of the degraded peat soil unit was assumed to be 0.4 m, while the
- peat soil unit was assumed to be 2 m thick. Beneath peat or degraded peat, a low-permeability layer of 0.6 m thickness with 60 % clay, 35 % silt, and 5 % sand was assumed, and its hydrodynamic parameters are retrieved using euptfv2.
- Below the upper soil profile, multiple geological units extending to a depth of 18 m were delineated based on a 1x1 km grid. 180 Each geological unit consisted of multiple layers, exhibiting variations in thickness and vertical hydraulic conductivity. These values were defined through a bibliographic study of the hydrogeological context of the Vesdre catchment (Sohier, 2011). Due to their imprecise nature and large spatial variability, vertical hydraulic conductivities were initially defined as ranges of plausible values and were subsequently refined during the model calibration process. Horizontal hydraulic conductivities were assumed to be 10 times greater than vertical hydraulic conductivities (DHI, 2024).

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Models' specification, calibration and validation: For both catchments, a model of the present situation (further referred to as BASELINE) was calibrated and validated. The total simulation period was comprised between 1 January 2002 and 31 December 2021. At the start of the simulation process, the soil water content was set to field capacity. The first two years of simulation were considered a warming period and were not considered in the data analysis. Outputs were stored on an hourly

- 190 basis. To ensure a fine representation of the catchments while maintaining reasonable computational times, the grid resolution of the C1 model was 20 m, whereas it was 40 m for the C2 model. Calibration was conducted in the period between 1 October 2017 and 1 October 2021, while validation encompassed the remaining available data. The calibration was performed manually and limited to very few parameters, for which the available data were considered
- uncertain and had the most impact (the most sensitive parameters) on the modelled hydrographs. These parameters included 195 the Manning's M roughness coefficients for overland and channel flows (which are mostly sensitive regarding the temporality of hydrographs and peak flows), as well as the saturated hydraulic conductivity of the geological layers (which are sensitive regarding baseflow, peak discharge values, and groundwater head) (XEVI et al., 1997). Calibration of the Manning's M roughness and the saturated hydraulic conductivities of peat were performed using discharge measurements conducted between 2012 and 2015 at the outlet of the small peatland catchment of 14 hectares (gauging station 4).
- 200 Calibration and validation were performed in relation to historical discharge measurements taken at the river gauging stations. The ability of the model to reproduce observed discharges was assessed against the Moriasi et al. (2007) model evaluation guidelines. This included the computation of the Nash-Sutcliffe Efficiency (NSE), the ratio of the root mean square error to the standard deviation of measured data (RSR), and the percent bias (PBIAS).





$$
NSE = 1 - \frac{\sum_{i}(QObs_{i} - QMod_{i})^{2}}{\sum_{i}(QObs_{i} - \frac{\sum_{i}QObs_{i}}{n})^{2}},
$$
\n
$$
(2)
$$

205 NSE varies from  $-\infty$  to 1. The closer to 1 the better the simulation performance. *QObs* and *QMod* are the observed and simulated discharges, respectively.  $i$  is the time step.

$$
RSR = \frac{RMSE}{STDEV_{obs}} = \frac{\sqrt{\Sigma_i (QObs_i - QMod_i)^2}}{\sqrt{\Sigma_i (QObs_i - \frac{\Sigma_t QObs_i}{n})^2}},
$$
\n
$$
(3)
$$

RSR varies from 0 to +∞. The closer to 0 the better the simulation performance.

$$
PBIAS = \frac{\sum_{i}(QObs_{i}-QMod_{i}) \times 100}{\sum_{t} QObs_{i}}, \tag{4}
$$

210 PBIAS varies from -∞ to +∞. The closer to 0 the better the simulation performance. Positive values indicated model underestimation bias, and negative values indicated model overestimation bias.

In the absence of piezometric data at the study sites, a visual assessment of the simulated vertical dynamics of the saturated zone was conducted on soils of each drainage class of the Belgian soil map (Table 2). This ensured that the modelled saturated zone dynamics match those described by the soil map. Drainage class is a concept used in different soil

215 classifications to describe the frequency and duration of soil wetness under natural conditions (Ditzler, 1999). In the Belgian soil classification, drainage classes are determined by the depth at which mottling and reduction processes occur in the soil profile, often corresponding to the levels of saturated zone fluctuations (Table 2). This method facilitates semi-quantitative spatial validation across the entire catchments' areas.

**Table 2: Definition of the drainage class in the Belgian soil classification for loam and clay soils.**

		Depth (cm) at which mottling and reduction features start	
Natural drainage class for clay and silt soils		Mottling	Reduction
Without waterlogging	Favourable	>125	
	Moderate	80-125	
	Imperfect	50-80	
Temporarily waterlogging	Quite poor	$30 - 50$	
	Poor	$0 - 30$	
Permanent waterlogging	Quite poor	$30 - 50$	> 80
	Poor	$0 - 30$	40-80
	Very poor		$<$ 40

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## **2.2.2 Landscape planning scenarios**

The impact of NBS on catchment hydrology was evaluated through the comparison of responses between BASELINE and POST configurations, under consistent meteorological forcing. The POST landscape configuration was a scenario where soil-vegetation NBS were implemented at the scale of the entire watershed. These measures were implemented in line with

225 the predominant land use (agricultural or forests and natural areas).

The following NbS measures were implemented in the agricultural catchment  $(C1)$  (Figure 2– a):

- Hedgerows: a dense network of hedgerows was systematically implemented around all agricultural parcels, representing around 700 km of barriers within the entire watershed  $(\approx 175 \text{ m.} \text{ hectare}^{-1})$  (Table 3-a).
- Agricultural practices: water and soil conservation practices were modelled on cultivated land, including soil pitting 230 for maize crops (Table 3-c) to form small depressions between rows (0.73 km² or 1.8 %) (Clement et al., 2023) and the adoption of reduced tillage practices (Table 3-b) for the other crops (0.30 km² or 0.8 %).

In the forest catchment (C2), the following NbS measures were implemented (Figure 2- b):

- Restoration of peatlands: this involved restoring peatlands and open wetlands on degraded peaty soils, currently occupied by conifer plantations and moors (4.6 km² or 6.5 %). The scenario simulated the plugging of the existing 235 surface drainage network with 275 plugs distributed along concentrated flow paths (drainage area from 2 to 5 hectares) and the creation of 32 ponds of 640 m<sup>3</sup> each (Table 3-f).
	- Forest diversification: this scenario was complemented by forest diversification, involving the conversion of monospecific conifer plantations into irregular mixed stands on temporarily waterlogged soils (5.4 km<sup>2</sup> or 7.6 %) (Table 3-e).
- 240 Practices aimed at limiting soil compaction: for all forest areas, potential effect of forest practices aimed at limiting soil compaction, notably through compartmentalization with designated skid trails were incorporated into this scenario (16.8 km² or 23.7 %) (Table 3-d).



**Figure 2: Modelled NbS in POST configurations of C1 and C2.**

245 **Table 3: Summary of hypotheses for parameter modifications in models to represent NbS scenarios.**



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# **2.2.3 Integrated hydrological analysis of model outputs**

A complete processing chain of results focusing on the quantification of hydrologic impact of NbS measures and scenarios was conducted (Table 4). Hydrologic indicators were developed to capture the impact of various measures within the watershed, emphasizing the spatial variability of their effectiveness. These indicators are designed to assess how NbS could 250 influence hydrological extremes, particularly focusing on flooding events and agricultural droughts.

**Table 4: Summary of hydrological indicators aimed at assessing the effectiveness of NbS to mitigate floods and drought.**







Outlet hydrograph indicators: Outlet hydrograph was an integrative metric permitting to evaluate the overall effect of a given scenario without discriminating against the impact of the various measures that comprise the scenario. To this end, two flood 255 indicators were developed for a set of rainfall events generating a detectable signal on the hydrograph. A focus was also made on the rainfall event between July 13 and July 18 of 2021:

Peak discharges: The evolution of peak discharges between the BASELINE and the POST scenarios.

$$
Peak\,\,discharge = 100 * \frac{Peak\,\,discharge_{POST} - Peak\,\,discharge\,\,BASELINE}}{Peak\,\,discharge_{BASELINE}},\tag{5}
$$

Total volume discharged: The evolution of the total amount of water discharged at the outlet between the 260 BASELINE and the POST scenarios.

Total volume = 
$$
100 * \frac{\text{Total volume}}{\text{Total volume}} = 100 * \frac{\text{Total volume}}{\text{Total volume}} = 100 * \frac{\text{Total volume}}{\text{Total volume}}
$$

Spatialized indicators: These indicators aimed to assess the local hydrological impact of specific NbS measures where they were located within the watershed. This allowed for discrimination of measures based on their relative impact within the same scenario. These indicators presupposed that the hydrological effects observed at a given location are exclusively 265 attributed to the measure implemented at that site, irrespective of the presence of other measures elsewhere within the watershed.

Infiltration: As a spatialized indicator to assess the effectiveness of NbS to mitigate flood, the cumulative infiltration,  $I_{cum}$ , between July 13 and July 18 of 2021 was displayed for each model grid cell.

$$
I_{cum} = \sum_{i} (Infiltration_{i} - Seepage_{i}), \qquad (7)
$$

270 *Infiltration* was the amount of water (in mm) flowing into the soil. Seepage was the amount of water (in mm) outflowing from the soil.  $i$  was the time step.

Agricultural drought: An indicator for vegetation water stress was developed, utilizing a frequency analysis. Consecutive days where plants at specific locations underwent water stress beyond a designated threshold against a 275 return period were mapped. Thresholds were determined based on the soil water potential within the root zone. An extreme stress threshold was defined when the water potential in the root zone drops below -150 m ( $\approx$  -1.5 MPa), closely approaching the permanent wilting point which is classically recognized by soil scientists as the soil water potential beyond which most plants cannot survive (Wiecheteck et al., 2020). Additionally, a moderate stress threshold for vegetation is established at a water potential of -30 m ( $\approx$  -0.3MPa), corresponding in our study sites to 280 approximately 30% of the relative extractable water content in soil (Gourlez de la Motte et al., 2020; Granier et al., 2007). The methodology to construct this indicator is briefly described as follows: For each cell of the model and for each year (2004-2021), the maximum consecutive duration during which the water potential in the soil profile





explored by the roots (rhizosphere) was below the stress threshold was extracted. Then, for each cell, a Gumbel distribution was used to establish the relationship between the duration (y) and the probability of occurrence (return 285 period: TM) of the stress.

$$
y = -c \left( \ln \ln \frac{TM}{TM-1} \right) - a,\tag{8}
$$

c and a are adjustment parameters. TM corresponds to the return period and is calculated according to:

$$
TM = \frac{n+1}{m},\tag{9}
$$

290 n is the rank in the descending order and m is the total number of years of observations. Once the parameters, a and c are fitted for a given stress threshold, y can be retrieved using eq. (8) (Maidment, 1992).

## **3 Results and Discussion**

## **3.1 Calibration and validation of the baseline model**

The simulated discharges evaluated at each gauging station, against Moriasi criteria, showed satisfactory to good 295 performance (Table 5). Among the three indicators, the NSE values were the least satisfactory according to Moriasi's criteria. This could be explained by the fact that NSE is very sensitive to peak flows while measured flow rates during these events are more uncertain (well above the maximum gauged discharge on the rating curve). RSR and PBIAS were considered satisfactory/good (0.50 < RSR < 0.60 for good,  $0.60$  < RSR < 0.70 for satisfactory) and very good (PBIAS <  $\pm 10$ ).





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The modelled dynamics of the saturated zone depth agreed well with the expected dynamics for each drainage class on the Belgian soil map (Figure 3). The range of variation, as well as the frequencies of the modelled saturated zone depths, were slightly higher in the degraded peat soils compared with the intact peat (Wastiaux, 2008). Soils designated as "without waterlogging" on the soil map were seldom, if ever, subject to waterlogging. In most grid cells, the modelled saturated zone 305 remained well below the surface, with few exceptions in late winter. As expected in temporarily waterlogged soils, the modelled saturated zone often reached the surface during the winter months and receded during the summer.







**Figure 3: Modelled saturated zone depth (watersheds C1 and C2) based on drainage classes from the Belgian soil map. The thick line represents the median values calculated from each model cells for each drainage class. The thin lines indicate the 25th and**  310 **75th percentiles.**

# **3.2 Effectiveness of NbS scenarios against floods at the outlets**

The modelled specific peak discharges (Figure 4) indicate that, for an equal surface area, C2 generates more runoff than C1, despite C2 being predominantly forested, a land cover generally associated with lower runoff generation compared to pasture (Bathurst et al., 2020) or urbanized areas. This result may seem counterintuitive; however, C1 and C2 differ in terms of

315 topography, morphometry, climate, geology, and soil characteristics (Figure 1), all of which can influence runoff production and transfer within a catchment.

The extreme rainfall event between 13 July and 18 July of 2021 was one of the events generating the highest peak discharge (represented by the squares in the upper part of Figure 4 in the modelled time series). The modelled hydrographs of this event in both catchments are presented in the lower part of Figure 4.

320 Landscape planning scenarios showed contrasting effectiveness in terms of reducing peak discharges between the two catchments. In C1 (mostly agricultural), peak discharges were reduced by approximately 30 %, while in C2 (forestdominated) it was approximately 10 %. Moreover, for both catchments, the effectiveness in reducing peak discharges was





not influenced by the magnitude of the events, as indicated by the linear trend observed in the scatter plot between BASELINE and POST peak discharges. The response remained relatively consistent for both low- and high-discharge 325 events.



**Figure 4: Modelled specific peak discharges at the outlets of C1 and C2 before and after the implementation of landscape planning scenarios. The top section shows scatter plots illustrating the evolution of peak discharges for a large number of runoff events between 2004 and 2021. The regression slope coefficients indicate the average reduction in peak flow. The squared dot highlights**  330 **the peak discharge of the event occurring between 13 July and 18 July 2021. The bottom section presents hydrographs of this specific event, comparing conditions before and after the implementation of the landscape planning scenario.**

# **3.3 Effectiveness of individual NbS in mitigating floods and agricultural droughts**

The following sections explore the effectiveness of individual measures. To this end, the analysis focuses on the rainfall event that occurred between 13 July and 18 July 2021.





335 As highlighted in Figure 5, model outcomes indicate that NbS generally exhibit positive effects on infiltration (and runoff reduction) and the mitigation of agricultural drought. However, the magnitude of these effects seems to vary depending on the specific type of NbS and context, as observed in the previous section.



**Figure 5: Variation of cumulative infiltration (from 13 to 18 July 2021) and days of drought (matric potential of -30m and return**  340 **period of 25 years) on model grid cells before (BASELINE) and after (POST) implementing NbS (a : Hedgerows, b : Reduced tillage, c : Soil pitting, d : Forest practices aimed at limiting soil compaction, e : Forest diversification with practices aimed at limiting soil compaction, f : Restoration of peatlands).**

## **3.3.1 Hedgerows**

In the agricultural context (Figure 5-a), hedgerows were found to be highly effective in improving infiltration during an 345 extreme rainfall event, as reviewed by Rajbanshi et al. (2023). This modelled increase in infiltration during extreme events can be attributed to two primary factors: the enhancement of the soil's hydrodynamic properties and the generally drier soil conditions under hedgerows, which increases the unfilled soil porosity and the hydraulic gradient responsible for infiltration.





Due to the lack of farm traffic, greater incorporation of organic matter, or root network promoting macropores, soils under hedgerows are found to be less compacted and more permeable than those in adjacent arable or pasture fields (Holden et al., 350 2019; Wallace et al., 2021). Drier soil conditions under hedgerows can be attributed to increased actual evapotranspiration (Benhamou et al., 2013) and canopy interception. A counterintuitive observation from our simulations is that, when considering a broader temporal window, the cumulative annual modelled infiltration decreased under hedgerows due to this increased canopy interception; a larger portion of the rainfall was directly evaporated before reaching the soil for smaller and more common events (results not shown). Hedgerows have also been modelled to reduce agricultural droughts, as their root 355 systems extend deeper than those of crops or meadows (Table 1). This allows them to access water in deeper and wetter soils layers even though soils in surface were generally drier.

#### **3.3.2 Agricultural practices**

Soil pitting in maize crop was also effective in enhancing modelled infiltration during extreme rainfall events (Figure 5-c), as observed by Clement et al. (2023). However, model outcomes showed a minimal effect of soil pitting on agricultural drought

- 360 mitigation. This may suggest that extreme rainfall events, when a surplus of infiltration is observed, may be temporally disconnected from droughts events: so, they do not follow close enough together in time for the excess infiltrated water to remain in the root zone until the agricultural drought begins. Another potential factor could be the implementation of hedgerows along field margins in the same scenario, drying soils at the edges and negatively affecting water availability for adjacent crops (Forman and Baudry, 1984).
- 365 For reduced tillage practices (Figure 5-b), we did not model large changes in infiltration during extreme events, as reviewed by Clement et al. (2024), nor did we model mitigation of agricultural drought. A well-known positive effect of conservation tillage is the concentration of organic matter into the uppermost soil layer (Haddaway et al., 2017), improving in turn aggregate formation (Chen et al., 2020; Obalum et al., 2019), pore size distribution and soil water retention characteristics (Chandrasekhar et al., 2019). In our model scenario, reduced tillage was virtually implemented by reducing the Van
- 370 Genuchten alpha parameter of the topsoil by 20 %. This adjustment slightly shifted the soil porosity towards smaller pores, specifically from the range of 0 to -330 hPa to the range of -330 hPa to -15000 hPa, consequently increasing the soil's available water holding capacity. Despite expectations that this improvement in soil physical quality would buffer agricultural drought, our simulation results showed no significant positive effect on mitigating it. Wittwer et al. (2023) recently suggested that while conservation tillage practices could improve soil characteristics, they do not significantly affect
- 375 root water uptake patterns under severe drought conditions. Moreover, they emphasized that plant ecophysiology and cropping system diversification in space (crop mixture) and time (crop rotation) (Sanford et al., 2021) have a greater impact on crop performance under drought than soil quality. This is a conclusion that our simulation results seem to support. NbS that were modelled to solely improve the retention characteristics of surface soils without affecting root depth, such as reduced tillage practices or even forest practices aimed at limiting soil compaction, had a minimal effect on reducing
- 380 vegetation water stress. One possibility is, during dry periods, soil desiccates from the surface downward over time.





Therefore, if the plant root system extends deeper than the surface soil layer, enhancing the retention properties of this superficial layer, where desiccation occurs first, does not seem to be very impactful for increasing plant resilience to prolonged droughts.

## **3.3.3 Forest practices**

- 385 In the forest context, forest diversification was found to be effective in improving infiltration during extreme rainfall events and decreasing days of agricultural droughts (Figure 5-e). Mixed forests are often shown to outperform monocultures regarding agricultural drought resistance, especially in dry areas (Liu et al., 2022; Pardos et al., 2021). In our model scenario, forest diversification was implemented primarily by increasing rooting depth, reflecting the observation that mixed forests often develop deeper and more structurally developed root systems than monocultures, especially spruce even-aged
- 390 plantations that are common in the C2. This results from the inherent differences in rooting patterns between species, leading to generally deeper root extensions or denser fine root systems in mixed stands compared to pure stands (Jose et al., 2006; Meinen et al., 2009), as well as from the competitive environment in mixed stands, which may encourage greater root investment (Reubens et al., 2007). However, the drought resilience of mixed forests may also be attributed to other mechanisms, such as resource partitioning (e.g., root stratification), facilitation (e.g., active hydraulic redistribution), and
- 395 selection (e.g., a higher likelihood of including drought-tolerant species) (Pardos et al., 2021). However, these complex mechanisms were not explicitly represented in the model.

Practices aimed at limiting forest soil compaction (Figure 5-d) were also found to be effective in improving infiltration but exhibited low efficiency in reducing agricultural drought, as rooting depth was not increased with this measure.

In accordance with the current literature (Wastiaux, 2008), our model showed almost no improvement of infiltration and 400 agricultural drought mitigation after peatland restoration in moors or in drained spruce plantations on degraded peat soil (Figure 5-f).

#### **3.4 Spatial variability of effectiveness of NbS against flood**

This section examines spatial variability and the role of soil characteristics in the effectiveness of modelled NbS against floods, with the aim of identifying the most effective NbS-location combinations. The displayed cumulative infiltration data 405 from 13 to 18 July 2021, before (BASELINE) and after (POST) implementing NbS, are modelled for each natural drainage class (Table 2) from the Belgian soil map (Figure 6). Almost all infiltration distributions exhibited a characteristic bimodal shape: one peak near zero, where the soils do not or barely infiltrate, and another peak where infiltration approaches the total cumulative rainfall. Based on these distributions, we can identify runoff production zones (below the cumulative precipitation) and runoff interception/reinfiltration zones (above). Grid cells with negative infiltration rates indicate areas 410 where seepage flow is occurring.

Observing the density distributions of infiltration, a shift is evident between non-saturated soils and more saturated soils, with soils without waterlogging generally exhibiting higher infiltration rates than waterlogged soils.







**Figure 6: Comparison of cumulative infiltration (from 13 to 18 July 2021) before (BASELINE) and after (POST) implementing**  415 **NbS (a : Hedgerows, b : Reduced tillage, c : Soil pitting, d : Forest practices aimed at limiting soil compaction, e : Forest diversification with practices aimed at limiting soil compaction, f : Restoration of peatlands) for each drainage classes from the Belgian soil map. Each data points (n) represent the infiltration of one model grid cell. Significance levels - ns (p-value>0.05), \* (0.01<p-value<0.05), \*\* (0.001<p-value<0.01), \*\*\* (p-value<0.001) - indicate results of Kolmogorov-Smirnov tests comparing BASELINE and POST distributions. The centred vertical black lines depict the difference in kernel density estimates between**  420 **different BASELINE and POST distributions. Numbers below each boxplot and black points are the means. Horizontal dotted lines represent 0 infiltration and mean cumulated rainfall between 13 and 18 July 2021.**

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When comparing the BASELINE and POST infiltration distributions and their significance levels, it becomes clear that the effectiveness of NbS in enhancing infiltration diminishes as soil water saturation increases. This trend is observed across a 425 gradient from well-drained soils to temporarily waterlogged areas, degraded peat soils, and permanently waterlogged zones.

These findings suggest that well-drained soils offer greater potential for improving infiltration, indicating that investments should prioritize these areas for maximizing NbS effectiveness.

This might also explain why forest practices aimed at reducing soil compaction have been slightly more effective than forest diversification combined with these practices (Figure 5): the latter were mostly implemented on soils prone to temporary 430 waterlogging, whereas the former were predominantly applied to well-drained soils (Figure 6). In waterlogged soils, the

enhanced permeability at the surface did not result in increased infiltration rates because the soil was saturated or close to saturation.

Conversely, in the absence of waterlogging constraints, enhancing soil surface properties significantly increased infiltration. This effect was further amplified by changes in initial soil moisture conditions before rainfall events, as seen in the cases of

- 435 hedgerows and forest diversification (Figure 7). The transition to more evaporative vegetation generally leads to drier surface soils locally. This drying reduces the shallow soil water matric potential, thereby increasing the hydraulic gradient responsible for infiltration. However, the magnitude of this effect on the variation of initial moisture conditions is not uniformly distributed within the watershed, and seems to vary with soils' natural drainage, or averaged saturated zone depth (Figure 7).
- 440 In areas where soils are permanently or temporarily waterlogged, the implementation of hedgerows or forest diversification did not affect initial soil moisture conditions or had only a minimal effect. This may be due to the anoxic conditions that might limit root development and transpiration (Caubel, 2001). Additionally, in these areas, increased evapotranspiration may not result in drier soils, as water consistently flows towards these areas from adjacent waterlogged soils.

In initially dry soils, increased evapotranspiration results in somewhat drier soil conditions, but the effect on enhanced 445 infiltration is moderate. The reason for this is that the modelled infiltration capacity of these soils was barely surpassed by rainfall intensity on the extreme event of July 2021 (Figure 7).

In contrast, soils with an average groundwater table depth between 0.5 m and 2 m exhibit optimal conditions for improving infiltration, as evidenced by a significant bell-shaped function (Figure 7). In these areas, increased evaporation enhances unfilled soil macroporosity, also represented by a bell-shaped function (Figure 7). The resulting increased pore space,

450 coupled with a higher hydraulic gradient, significantly boosted infiltration. These soils corresponded to soils without waterlogging with moderate or imperfect drainage, in the Belgian soil classification. Our findings suggest that such areas are the most favorable for implementing NbS that enhance evapotranspiration, such as hedgerows, to improve infiltration within a watershed.







- 455 **Figure 7: Impact of hedgerows and forest diversification on infiltration and antecedent soil moisture conditions as function of the average saturated zone depth. Top: Cumulative infiltration from 13 to 18 July 2021. The horizontal line is the spatially averaged cumulated rainfall. Middle: Variation of cumulative infiltration from 13 to 18 July 2021. Bottom: Variation of unfilled macroporosity (porosity defined between 0 and -100 cm of matric potential) in the top 30 cm of soil on 12 July 2021. The abscissa is the average modelled saturated zone depth (from 1 January 2004 to 31 December 2021). Data points represent average**  460 observations for fixed saturated zone depth intervals. The dotted line represents a non-linear bell-shaped regression (Bragg :  $y =$  $c + (d \exp^{(-b(x-e)^2)}))$  fitted to observed data where : b is the slope around the inflection points of the bell, c is the constant **adjusting the minimum, d+c is the maximum value at the top of the bell and e is the x value at which such maximum occurs.**
- Additionally, in soils without waterlogging, reinfiltration (also called runon) of runoff generated uphill can occur. This phenomenon is clearly illustrated in Figure 6, where infiltration rates exceed precipitation rates. Typically, runoff-runon 465 processes occur where areas of low infiltration capacity lie upslope of areas with higher infiltration capacity (Woolhiser et al., 1996). Hence, runoff-runon processes highly depend on the spatial heterogeneity of soil infiltration capacity. In our simulations, runoff-runon processes mostly coincide with areas with good infiltration capacity just downstream of impermeabilized soils, such as roads or urbanized zones. These areas, we believe, should be prioritized when implementing NbS that aim at retaining overland runoff and optimizing reinfiltration and evapotranspiration, such as retention ponds, rain
- 470 gardens, or keylines. However, further research would be needed to confirm these findings.







**(POST) implementing NbS (a : Hedgerows, b : Reduced tillage, c : Soil pitting, d : Forest practices aimed at limiting soil compaction, e : Forest diversification with practices aimed at limiting soil compaction, f : Restoration of peatlands) for each**  475 **drainage classification from the Belgian soil map. Each data points (n) represent the infiltration of one model grid cell. Significance levels - ns (p-value>0.05), \* (0.01<p-value<0.05), \*\* (0.001<p-value<0.01), \*\*\* (p-value<0.001) - indicate results of Kolmogorov-Smirnov tests comparing BASELINE and POST distributions. The centred vertical black lines depict the difference in kernel density estimates between different BASELINE and POST distributions.**





## **3.5 Spatial variability of effectiveness of NbS against agricultural drought**

- 480 The density distributions of days experiencing vegetation stress across different soil drainage classes reveal that such stresses are most prevalent in soils without waterlogging (Figure 8). The vegetation in temporarily waterlogged areas, degraded peat soils, and permanently waterlogged zones was far less susceptible to these stresses, primarily due to the naturally higher soil water availability in these environments, even after prolonged droughts. Therefore, NbS installed on non-waterlogged/water limited soils were the most effective in limiting agricultural drought. As a concrete example, hedgerows implemented on 485 temporarily waterlogged soils showed a limited effect on drought compared to soils without waterlogging (Figure 8–a).
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## **3.6 Synergies and trade-offs between flood and drought mitigation with NbS**

The tested NbS primarily had a positive impact on flood reduction with 80.6 % of managed grid cells showing improved infiltration capacity (Figure 9). In contrast, the positive impact on agricultural drought was less significant, with only 27.3 % of managed grid cells exhibiting improvement. However, we observed a minimal negative impact from NbS on agricultural

- 490 droughts, with only 4.1 % of managed grid cells being affected. In most cases (68.6 % of managed grid cells), there was no discernible impact of NbS on agricultural droughts. Managed grid cells that were positively affected against both floods and agricultural droughts (25.1 %) were predominantly
	- those with hedgerows and forest diversifications (Figure 9). Nevertheless, we believe that the observed relationship between increased infiltration during extreme rainfall events and reduced vegetation water stress is not causal, but just correlated.
- 495 This correlation may be primarily explained by changes in vegetation and modelled root depth (Table 1 and Table 3), rather than by an increase in infiltration. Notably, NbS that substantially reduced the number of days experiencing water stress, such as hedgerows or forest diversification combined with practices aimed at limiting soil compaction, also resulted in drier soils due to higher initial interception and evapotranspiration rates. However, a common characteristic of these NbS is the modification of the vegetation and, consequently, root depth, counterbalancing drier upper soil layers. Increased root depth
- 500 benefits against agricultural drought in two primary ways: it expands the volume of soil reachable to roots, thereby increasing the total available water. Furthermore, deeper root systems enable plants to access deeper and typically wetter soil layers, which can be critical during periods of prolonged drought. It has been reviewed that a deeper root system can significantly enhance drought resistance, particularly in water limited environments where subsoil water is available (Li et al., 2022).
- 505 On the other hand, the implementation of NbS that extend root depth can enhance infiltration, as an extensive root network facilitates the formation of macropores within the soil matrix, even after root senescence (Beven and Germann, 1982; Wallace et al., 2021). Additionally, a robust root system supports substantial water uptake to facilitate transpiration, which consequently leads to soil drying (Caubel, 2001). Soil desiccation promotes infiltration by increasing the hydraulic gradient between surface and soil, by creating more air filled spaces for water to infiltrate, and by inducing structural cracks that





510 facilitate rapid preferential flow (Zhu et al., 2018). However, this very specific phenomenon was not represented in the model.



**Figure 9: Scatter plot and regression lines of the variation cumulative infiltration (from 13 to 18 July 2021) against days of drought (matric potential of -30m and return period of 25 years ) on model grid cells before (BASELINE) and after (POST) implementing**  515 **NbS (a : Hedgerows, b : Reduced tillage, c : Soil pitting, d : Forest practices aimed at limiting soil compaction, e : Forest diversification with practices aimed at limiting soil compaction, f : Restoration of peatlands). Vertical and horizontal lines represent thresholds between negative and positive impacts on flood and drought, respectively. Percentages represent the proportion of total points (model grid cells) inside and between each quadrant.**

520 From this perspective, promoting root growth and sustaining plant transpiration could be considered important to help reduce both vegetation water stress, caused by prolonged drought, and flood risks. In an agricultural context, this can be achieved by limiting soil compaction, which might also hamper infiltration (Unger and Kaspar, 1994). For instance, biopores have been found to substantially mitigate transpiration deficits during droughts by promoting root systems as preferential growth paths permitting crops to access water from deeper and moister soil layers (Landl et al., 2019). Compaction caused by machinery





525 traffic in forest soils may also reduce the rooting density of trees due to a lack of soil aeration (von Wilpert and Schäffer, 2006) and an increased ground penetration resistance (Goutal, 2012).

Finally, it is important to note that the balance between synergies and trade-offs is not solely dependent on the type of NbS but also on the location where they are implemented. As discussed in previous sections, NbS were more effective in soils without waterlogging, both in mitigating the risks of flooding and addressing agricultural droughts.

## 530 **3.7 Study limitations and knowledge gaps**

Representing the hydrological functioning of a watershed at a fine spatial scale remains a challenge for modellers. Indeed, physically based distributed hydrological models require a large number of parameters to be determined or calibrated. However, the data required for model calibration and validation are often unavailable or highly uncertain at the necessary scale. For instance, calibrating and validating models at the watershed outlet does not necessarily ensure accurate 535 representation of the spatialized hydrological processes within the watershed. Multiple combinations of spatialized model input parameters can yield identical modelled hydrographs at the catchment outlet. Nonetheless, a semi-quantitative spatial validation was made by comparing the spatially distributed average depths of the modelled saturated zone with the drainage

- classes from the Belgian soil map. Although it is an interesting approach, since this map is specific to Belgium, it is not directly transposable to other regions. This calls for future research on spatially distributed and cross-scale hydrological 540 observations to calibrate and validate models.
- The results indicated that soil infiltration capacity is a crucial factor in determining the most suitable locations for implementing NbS. However, accurately representing the spatial and temporal (Pirlot et al., 2024) variability of soil hydrodynamic properties remains challenging with current methodologies. The maps depicting the soils hydrodynamic properties are inherently limited in their representativeness due to uncertainties in the applied pedotransfer functions, which
- 545 are primarily derived from agricultural soils, overlooking much of the soil diversity, such as stony soils. Additional limitations arise from uncertainties and the spatial resolution of the underlying soil property maps (e.g., soil depth, texture, organic carbon, and bulk density), as well as the suitability of the continuous function used to describe soil hydrodynamic properties (Weber et al., 2024).

Similarly, vegetation plays a crucial role in the soil hydrological functioning at fine scales, significantly influencing 550 watersheds dynamics. A striking example is the impact of planting hedgerows, which locally decreases soil moisture, enhancing infiltration rates, resulting in substantial reductions in peak flow at the watershed outlet. However, the understanding of vegetation characteristics (also used as model input), particularly tree rooting systems, remains surprisingly underdeveloped. Despite their critical role, our understanding of roots, including their growth, development, and interaction with complex physical, chemical, and biological soil properties, is still limited (Centenaro et al., 2018).

555 In the present article, the potential synergies or trade-offs that can arise with NbS for mitigating floods and agricultural droughts were evaluated. These types of findings can later be incorporated into cost-benefit analyses, providing justification for or against specific actions (e.g. Fennell et al., 2023b). However, other co-benefits or trade-offs may emerge, which





should be assessed according to the specific context and interests of the region in question. For example, afforestation is known to increase watershed evapotranspiration, reducing river flow and groundwater levels. While this trade-off may be 560 limited in humid climates (Hou et al., 2023; Zhang et al., 2017), which are also less prone to hydrological droughts, such

- practices, like planting alien trees, could be problematic in arid or semi-arid regions that are more sensitive to long term hydrological and socio-economic droughts (Rebelo et al., 2022). Hence the impacts of these actions extend beyond hydrological effects, encompassing important social, cultural, economic, and environmental aspects (Sowińska-Świerkosz and García, 2021), which may not all be quantifiable in financial terms. NbS are inherently integrative and multifunctional; 565 focusing solely on a hydrological performance without considering the broader social, economic, political (governance) and
- environmental context can undermine the success and legitimacy of projects (Brauman et al., 2022). It should be noted that the proposed "infiltration" indicator may not be a sufficient measure of the effectiveness of a NbS in reducing flood risks. This indicator only assesses the effectiveness of NbS in mitigating the hazard itself and does not recognize downstream exposure or vulnerability, which are crucial factors to consider when planning flood risks
- 570 management (Klijn et al., 2015). For a comprehensive assessment of the effectiveness of NbS it is crucial to adopt crossscale approaches since the effects of NbS are not necessarily confined to the immediate area of implementation. For example, interventions may reduce flood risks locally, but their impacts can also be felt much farther downstream (Lane, 2017). Similarly, tree planting, while potentially increasing local hydrologic drought risk due to higher evapotranspiration rates, may provide benefits on a larger scale through recycling of atmospheric moisture (Keys et al., 2018; Theeuwen et al.,
- 575 2023). These dynamics demonstrate that the evaluation of NbS cannot be conducted in isolation; it requires models capable of capturing complex interactions across scales and processes, representing the frontiers of the current science. Currently, there is no globally accepted or standardized approach for monitoring NbS (Kumar et al., 2021). The fine-scale spatial variability of effectiveness of NbS raise important questions regarding the appropriate methods and scales for monitoring these interventions after implementation. These approaches should be determined based on the type, extent, and
- 580 expected outcomes of NbS. Macro-scale indicators at the watershed level, such as reductions in peak flow, can be highly useful for assessing the overall, cumulative effects of a series of NbS implemented upstream. However, such indicators do not distinguish the individual impacts of specific interventions. Therefore, fine-scale indicators are also necessary to optimize the benefits of NbS. Nevertheless, these assume that individual interventions act independently and do not interact at small scales, an assumption that may not be always true. Also, monitoring approaches often rely on ground-based
- 585 measurement stations, that are limited in number and scattered sparsely. Recent advances in airborne and satellite-based remote sensing technologies have enabled the possibility to bridge this gap between scales, improving the capacity to systematically monitor NbS performance (Kumar et al., 2021). For instance, monitoring the effectiveness of NbS in mitigating agricultural drought can be achieved through the comparison of vegetation indices such as the Normalized Difference Vegetation Index (NDVI) or the Vegetation Health Index (Patel et al., 2012). Flood and estimated damage costs
- 590 might also be evaluated by GIS-based monitoring (Haq et al., 2012).





# **4 Conclusion**

We presented a method, with innovative indicators, to evaluate the effectiveness of NbS implemented in productive forests and agricultural catchments for flood and agricultural drought mitigation. Our results emphasized the critical role of spatial variability in NbS effectiveness, influenced by soil characteristics. A key finding is that the effectiveness of NbS in reducing 595 flood risk is significantly influenced by the soil's natural drainage characteristics, which vary across a watershed. In this study, soils with moderate drainage characteristics exhibited the highest potential for enhanced infiltration through NbS that increase evapotranspiration, such as hedgerows. Additionally, NbS that enhanced root depth were most effective in mitigating agricultural drought, especially in water-limited environments. The study, finally, acknowledges the challenges of current modelling and monitoring techniques, particularly in the importance of accurately capturing soil hydrodynamic and

600 vegetation behaviours affecting small-scale NbS performance. Overall, these findings underscore the necessity for context specific and cross-scales assessments of NbS, considering trade-offs and co-benefits in both environmental and socioeconomic spheres.





# **Appendix A**









## **Code and data availability**

Most input data are open source and are cited in the article. MIKE SHE/MIKE 1D is proprietary software. Model output data and codes that support the findings of this study are available upon request.

#### **Author contributions**

610 BG: Conceptualization; Data curation; Formal analysis; Investigation; Software; Methodology; Visualization; Writing – original draft preparation; Writing – review & editing.

AM: Conceptualization; Data curation; Formal analysis; Investigation; Software; Methodology; Writing – review & editing. AD: Conceptualization; Methodology; Project administration; Supervision; Funding acquisition; Writing – review & editing.

#### **Competing interests**

615 The authors declare that they have no conflict of interest.

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