

Moisture sources and dynamics over southeastern Tibetan Plateau

- **reflected in dual water vapor isotopes**
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Abstract

 The Tibetan Plateau (TP) serves as a water tower for major rivers in Asia, and mountain valleys in southeastern TP are key channels for moisture entering the TP. Water resources on the TP are experiencing spatially opposite changes due to climate change, and understanding the sources and dynamics of atmospheric moisture is vital. To investigate the role of ocean surface evaporation, continental air mass intrusion, and rain-vapor interaction, we present a three-year daily time series of near-surface water vapor isotope compositions (δ18 O and *d*-excess) from the South-East TP station. We find that apparent negative correlations between *d*-excess and relative humidity over the Indian Ocean mainly reflect their similar seasonality. When analyzed for different seasons, the correlation is insignificant or only explains a marginal fraction of variance. Therefore, caution is required when interpreting the *d*-excess as a conservative tracer of ocean surface evaporation. Instead, local and upstream specific humidity is the

 main factor determining non-monsoon season *d*-excess variability due to the intrusion of cold and dry air from upper levels. During the summer monsoon season, *d*-excess and δ¹⁸O mainly reflect the effect of raindrop evaporation on 24 humidity during transport which decreases lower vapor δ¹⁸O but increases *d*-excess values. These findings provide new insights into the significance of using water isotopes to track moisture sources and dynamics over the TP with seasonally alternating circulation systems. Particularly, the findings for *d*-excess will improve the understanding of different moisture sources and guide the interpretation of *d*-excess derived from other water bodies and ice cores.

1 Introduction

- monsoon season in regions extending from the southeastern TP to the head of the Bay of Bengal (BOB), which is
- not consistent with the weakening of convection (Breitenbach et al., 2010; Cai and Tian, 2020). Shifts of moisture

- 2017; Thompson et al., 2000). It is still unclear what caused the abnormally high *d*-excess in these high-altitude ice
- cores relative to precipitation and river isotope observations at lower altitudes.
- Mountain valleys in the southeastern TP are believed to be major moisture transport channels delivering water vapor toward the TP (Araguás-Araguás et al., 1998; Tian et al., 2007; Yao et al., 2013). Therefore, we started a water vapor sampling campaign at the South-East Tibetan Plateau Station for integrated observation and research of alpine environment (SETP) in June 2015 to study the moisture sources and dynamics and their influence on water isotope compositions. Following the previous study (Yao et al., 2013), we define June-September (JJAS) as the summer monsoon season. In contrast, we define November-April (Nov-Apr) as the non-monsoon season and May and October as the transition between the two seasons. We will show distinct seasonal moisture sources and dynamics between the two seasons as reflected in our vapor isotope observations and Lagrangian moisture source diagnostic. Our results suggest that the apparent correlation between SETP vapor *d*-excess and oceanic surface evaporation conditions is mainly a result of their similar seasonality. Alternatively, we suggest the intrusion of dry and cold air by the westerlies from high altitudes contributes to high *d*-excess. In contrast, vapor $\delta^{18}O$ and *d*-excess confirm the significant role of rain-vapor interaction in the amount effect during the summer monsoon season.
- **2 Data and methods**

2.1 Atmospheric water vapor sampling

 Atmospheric water vapor samples were collected using a cryogenic trapping method at the SETP station (29°46'N, 94°44'E, 3326 m above sea level, and Fig. S1). The sampling system includes an air pump pumping ambient air into the cold trap, a linked-ball-shaped glass cold trap, and an electric-powered system that creates and maintains a cold environment filled by 95% ethanol as cold as below -80 °C. Ambient air was pumped from an inlet at approximately 8 m above ground level through a Teflon tube to a glass trap immersed in a cold environment with

2.2 Meteorological data

 Daily local meteorological data before 2018, including precipitation amount, air temperature, air pressure, and relative humidity, at the SETP station were provided by the station at the National Tibetan Plateau / Third Pole Environment Data Center (Luo, 2018). Specific humidity (*q*) at SETP station is calculated from air temperature, air pressure, and relative humidity at the station.

2.3 Theoretical framework for the understanding of isotope compositions and humidity

 Besides complex atmospheric circulation models, the evolution of vapor isotope compositions during different moistening and dehydration processes can be understood by a compilation of atmospheric processes, such as condensation, mixing, and raindrop evaporation, that lead to different pathways of isotopic evolution along atmospheric humidity (Noone, 2012; Worden et al., 2007; Galewsky et al., 2016). The progressive condensation of water vapor and removal as rain droplets or ice is best described by the canonical Rayleigh distillation model (Dansgaard, 1964). In the Rayleigh distillation framework, condensate is removed from the air mass as soon as it forms, and the isotope ratio of remaining vapor is described as $\delta = (1 + \delta_0)(q/q_0)^{\alpha-1} - 1$, where δ is the 146 isotope composition expressed as per mil deviation from a standard, q is the specific humidity, and α is the fractionation factor. A subscript of 0 refers to the initial condition of the air mass. The falling raindrop may partially evaporate or exchange isotopes with ambient vapor. As raindrops are formed at higher altitudes where water vapor is depleted in heavy isotopes, the partial evaporation of raindrops would preferentially deplete its surrounding water 150 vapor but increase the atmospheric humidity, which leads to δ values lower than that predicted by the Rayleigh 151 distillation (Risi et al., 2008a; Worden et al., 2007). The evolution of δ along with q under partial evaporation of

166 Assuming a surface temperature of 25 °C and relative humidity of 85%, following the evaporation model by 167 Craig and Gordon (1965) we can derive that δ^{18} O of ocean surface evaporation is -11.5‰, δ^2 H = -81.4‰, and *d*- excess = 10.6‰. We use this isotopic signature of evaporated water vapor as a wet end member to model the moistening process by mixing with ocean surface evaporation. A hypothetical dry end member from the Rayleigh 170 curve at $q = 0.5$ g/kg is chosen to represent the dehydrated dry air. The dehydration process by condensation is modeled by choosing a starting point at the mixing line with a relative humidity of 80%. Similarly, the "super- Rayleigh" distillation with partial rain evaporation is started from the same starting point. For the cases of "super-Rayleigh", we simulated the isotopic evolution under two scenarios (Rain_evap_A and Rain_evap_B). Following

174 the equations in Noone (2012), Rain_evap_A represents that 2% of rain is evaporated and Rain_evap_B represents an evaporated fraction of 5%. Mixing with evapotranspiration over south Asia and the TP is another way that could modify the atmospheric humidity and vapor isotope compositions over southeastern TP. Accurate quantification of 177 the isotopic composition of land surface evapotranspiration is challenging. Given that the precipitation $\delta^{18}O$ over 178 south Asia is generally between -1.0‰ and -5.0‰ (Bowen and Wilkinson, 2002; Terzer-Wassmuth et al., 2021) and transpiration may account two-thirds of evapotranspiration or more (Cao et al., 2022; Han et al., 2022; Good et al., 180 2015), we assume the δ^{18} O of land surface evapotranspiration has a value of -5.0‰ as an upper bound. Similarly, we assume that the *d*-excess of the wet end member of land surface evapotranspiration is 15.0‰. **2.4 Air mass trajectory and moisture source diagnostic**

 Air mass backward trajectories were calculated to investigate the air mass transport and diagnose moisture sources and transport pathways toward SETP using the Hybrid Single-Particle Lagrangian Integrated Trajectory model (HYSPLIT) (Stein et al., 2015). Trajectory calculation is driven by the meteorological data of the GDAS. We released air parcels from 5 locations (the studied site and points displaced 0.2° in each cardinal direction) at 7 different vertical levels at 10, 50, 100, 200, 300, 400, and 500 m above ground level. For each day during the sampling campaign, trajectories were initiated every 3 hours to calculate 10-day backward trajectories. In this setting, 280 trajectories were derived for each single day. Geographical and meteorological variables, including location, pressure, temperature, specific humidity, rainfall amount, boundary layer height, and the terrain height along trajectories, were stored at hourly intervals. Moisture contribution along air mass trajectories to the humidity at SETP is quantified using the Lagrangian moisture source diagnostic method of Sodemann et al. (2008). The method considers mass balance along the trajectory and assigns increases in specific humidity (forward in time) as moisture uptake, and decreases in specific

humidity as moisture lost due to precipitation. The method also proportionally considers the decreased contribution

3 Results

3.1 General characteristics

211 In general, water vapor $\delta^{18}O$ values are at a lower level during the summer monsoon season and a higher level during the non-monsoon season (Fig. 1a). Mean vapor δ18 O values are -18.4‰ for the non-monsoon season, -23.3‰ for the summer monsoon season, -16.9‰ for May, and -22.8‰ for October. During the onset of the summer 214 monsoon, the vapor $\delta^{18}O$ shows a dramatic decrease to lower values. Without a sharp rebound to values before the 215 summer monsoon, the $\delta^{18}O$ value shows a gradual increase trend from the end of the summer monsoon season toward the highest values during spring and early summer. Although this region is significantly influenced by the

- 217 amount effect, the seasonal trend of vapor $\delta^{18}O$ does not strictly follow the seasonal variation of local precipitation. 218 For instance, local precipitation shows clear cessation after the summer monsoon (Fig. 1e) while $\delta^{18}O$ does not 219 rebound to the level before summer monsoon onset. These seasonal characteristics of vapor $\delta^{18}O$ is consistent with 220 precipitation $\delta^{18}O$ observed in southeastern TP, northeast India, and Bangladesh (Yao et al., 2013; Cai and Tian, 221 2020; Yang et al., 2017). Overall, water vapor *d*-excess also has lower values during the summer monsoon season 222 and higher values during the non-monsoon season (Fig. 1b). Mean vapor *d*-excess values are 18.3‰ for the non-223 monsoon season, 11.9‰ for the summer monsoon season, 13.7‰ for May, and 14.9‰ for October. However, the timing of the seasonal transition of vapor *d*-excess is different from that of vapor δ¹⁸O. The highest vapor *d*-excess 225 values generally occur during winter months when the air temperature and relative humidity (RH) are the lowest 226 (Fig. 1c and 1d) and the *d*-excess starts to decrease from spring which is earlier than the sharp drop of vapor $\delta^{18}O$
- 227 during the onset of the summer monsoon.

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229 **Figure 1. The general temporal variability of observed water vapor isotope compositions and daily local meteorological variables from 2015-2018: (a) δ** 230 **18O, (b)** *d***-excess, (c) air temperature, (d) relative humidity** 231 **(RH), and (e) precipitation amount. The light blue shading highlights the summer monsoon season and the** 232 **light steel blue shading highlights the non-monsoon season.**

233 The linear relationship between paired $\delta^{18}O$ and δ^2H data points and their locations relative to the global 234 meteoric water line (GMWL, $\delta^2 H = 8\delta^{18}O + 10$) (Craig, 1961) generally provide additional insights into isotopic 235 fractionation (Putman et al., 2019). The local meteoric water line (LMWL) estimated from all vapor δ^2 H and δ^{18} O 236 data points is $\delta^2 H = 7.96 \delta^{18} O + 14.04 (R^2 = 0.98)$ which plots above but approximately parallel with the GMWL. 237 This relatively higher intercept of vapor LMWL reflects the continental location of the site and further kinetic 238 fractionation after ocean surface evaporation. The $\delta^2 H - \delta^{18} O$ relationship also varied seasonally. The vapor LMWL

- 239 for non-monsoon season is $\delta^2 H = 7.58 \delta^{18}O + 10.61$ ($R^2 = 0.96$) and for summer monsoon season is $\delta^2 H = 7.53 \delta^{18}O$
- $+ 0.91$ (R² = 0.99). Non-monsoon season vapor isotope compositions mainly plot above the GMWL and even above
- the overall vapor LMWL. While the majority of monsoon season isotope data plot below the overall vapor LMWL,
- those data points that have the lowest δ values plot above the overall vapor LMWL indicating further kinetic
- fractionation such as rain evaporation. Vapor isotope compositions for May are more similar to those during the
- non-monsoon season but plot closer to the GMWL and LMWL, while data for October show a more similar behavior
- with the monsoon season observations.

in 2018. In addition, the sampling frequency during 2018 was reduced (Fig. 1) due to logistic issues. Therefore,

 During non-monsoon season months, vapor *d*-excess shows a negative correlation with *q*, and the highest *d*-excess values are generally associated with the driest and coldest air (Figs. 1 and 3b). However, vapor *d*-excess does not 273 show a clear relationship with *q* and shows a substantial variability (~20‰ in range) at a given *q* during the summer 274 monsoon season. These relationships suggest that vapor *d*-excess is less predictable by *q* than δ¹⁸O, except for low humidity levels.

 Figure 3. Relationships between vapor isotope compositions and specific humidity (*q***) from 2015-2017.** 278 **(a) scatter plot of** δ^{18} **O against specific humidity (q). (b) scatter plot of** *d***-excess against q. The months for the data points are color-coded. The reference lines are the same as those in Fig. S2, and interpretations of these**

reference lines are referred to Fig. S2 and section 2.3.

3.2 Moisture sources and transport pathways for different seasons

 To understand drivers of the seasonal contrasting moisture dynamics reflected in vapor isotope compositions, we first analyzed the moisture sources and transport pathways during different seasons (Fig. 4). Note again that we focus on the contribution by historical (last 10 days) air mass to humidity at SETP instead of moisture uptake from the Earth surface. During the non-monsoon season, moisture is mainly transported by two branches with one from the west of SETP by the westerlies (clusters Nov-Apr2 and Nov-Apr3) and the other from the south of SETP such

 Figure 4. Moisture sources and transport pathways during different seasons from 2015-2017. (a) spatial distribution of relative contribution of moisture by all air parcels overall each 1°×1° box (shading) to humidity at the SETP station and specific humidity (*q***) along mean trajectories (weighted by the moisture contribution of air parcels) for the non-monsoon season of November-April (Nov-Apr). (b-d) are the same as (a), but for the monsoon season of June-September (JJAS, b), May (c), and October (d), respectivley. The dotted yellow and dashed green contours indicate the moisture contribution at 0.1% and 1%, respectively. The yellow crosses indicate the location of the SETP station. The black solid lines denote the Tibetan Plateau with altitude contour at 3000 m.**

4 Discussion

4.1 Role of ocean surface evaporation conditions

 We further examined the relationship between vapor *d*-excess and RH*SST* for the summer monsoon and non-monsoon seasons, respectively. The negative correlation between vapor *d*-excess and RH*SST* almost totally

- diminishes, especially during the summer monsoon season when absolute values of correlation coefficients drop to below 0.3 (Fig. 5b). Stronger correlations during the non-monsoon season (Fig. 5c) could be due to the overall intraseasonal variation that *d*-excess is the highest during winter and lower at the beginning and ending stages (Fig. 1b) which could be accompanied with an opposite RH*SST* trend. Even so, the correlations during the non-monsoon still only explain a marginal fraction of variance in *d*-excess (10%-16% at maximum over the northern BOB). Similarly, correlations with SST over the northern Indian Ocean also become trivial when separately considering the summer monsoon or non-monsoon season (Fig. S5). In summary, vapor *d*-excess at SETP is less likely a conservative tracer of surface evaporation conditions (neither RH*SST* nor SST) over the northern Indian Ocean. Therefore, it should be cautious when interpreting *d*-excess in meteoric water or paleo archives from the TP as a
- proxy of evaporation conditions over the Indian Ocean.

 Figure 5. Relationships between water vapor *d***-excess and relative humidity scaled to sea surface temperature (RH***SST***). (a) regression of vapor** *d***-excess against RH***SST* **(shading and only values significant at the 95% significance level are shown) and correlation coefficients between them (contours at an interval of 0.1 and only negative correlations are shown) for all the data from 2015-2017. (b) and (c) are the same as (a) but only for the data within the summer monsoon season (JJAS) or the non-monsoon season (Nov-Apr), respectively. The black dots indicate the location of the SETP station. The black solid lines denote the Tibetan Plateau with altitude contour at 3000 m.**

4.2 Role of dry and cold air intrusion during the non-monsoon season

 Figure 6. Composite of moisture sources and transport pathways for high and low *d***-excess days during the non-monsoon season of November-April. (a) spatial distribution of relative contribution of moisture by all air parcels overall each 1°×1° box (shading) to humidity at the SETP station and specific humidity (***q***) along mean trajectories (weighted by the moisture contribution of air parcels) for** *d***-excess values higher than** 376 **30‰ during the non-monsoon season** $(n = 10)$ **. (b) is the same as (a) but for** *d***-excess lower than 10‰** $(n = 8)$ **. The yellow crosses indicate the location of the SETP station. The black solid lines denote the Tibetan Plateau with altitude contour at 3000 m.** The relationship between vapor *d*-excess and the intrusion of cold and dry air is further tested by relationships among vapor *d*-excess, local *q*, upstream *q*, upstream air temperature, and upstream air altitude (Fig. 7). Upstream variables are mean values along the 10-day backward trajectory weighted by the moisture contribution of the air

parcel at each time step (section 2.4). The non-monsoon season vapor *d*-excess shows robust negative correlations

- *q,* and regional dew point temperature is a result of intensified mixing with dry and cold subsiding air transported by the westerlies from high altitudes. Relationships between upstream *q* and upstream air temperature as well as altitude support this inference that low humidity condition is associated with subsiding dry and cold air from high altitudes (Figs. 7c and 7d). Therefore, vapor *d*-excess during the non-monsoon not only provides information on the
- specific humidity but also indicates the source of humidity.

coefficients indicated by the numbers.

 Figure 8. Spatial distribution of correlation coefficients among water vapor isotope compositions, dew point temperature, and air temperature during the non-monsoon season of November-April. (a) spatial distribution of correlation coefficients between SETP vapor *d***-excess and 2-meter dew point temperature. (b) the same as (a) but with 2-meter air temperature. (c) the same as (a) but between** $\delta^{18}O$ **and 2-meter dew point temperature. (d) the same as (c) but with 2-meter air temperature. Only values significant at the 95% significance level are shown. The white crosses indicate the location of the SETP station. The black solid lines denote the Tibetan Plateau with altitude contour at 3000 m.**

4.3 Role of rain-vapor interaction during the summer monsoon season

Different from the significant dependence of vapor *d*-excess on specific humidity during the non-monsoon

428 season, vapor *d*-excess is not correlated with specific humidity ($r = 0.04$ and $p = 0.51$) during the summer monsoon

Figure 9. Relationships between SETP vapor *d***-excess and δ 18O during the summer monsoon season. (a) scatter plot of** *d***-excess against δ18O and linear regression lines between them. (b) distribution of δ 18O values with the dashed lines indicate values at the lower and upper quartiles and the solid lines indicate the mean values. (c) is the same as (b) but for** *d***-excess. Orange colors indicate data observed during daily precipitation amount less than 2 mm and blue colors indicate data observed during days with precipitation amount not less than 2 mm.**

 If there is a larger amount of rainfall, the effect of rain-vapor interaction on atmospheric humidity would be stronger. Therefore, we use total precipitation amount (P*acc*) as a measure of rain-vapor interaction. To account for the history during moisture transport, the total precipitation amount during several days before sampling is 461 considered. We have tested the relationships between vapor isotope compositions (δ^{18} O and *d*-excess) and P_{acc} over

462 1-10 days prior to sampling (Figs. S9 and S10). Vapor *d*-excess reaches an optimal correlation with P*acc* when the 463 total precipitation amount during 3 days before sampling (P_{acc 3d}) is considered. Vapor δ¹⁸O shows a slightly longer 464 memory and reaches an optimal correlation with P*acc* when the total precipitation amount during 5-6 days before 465 sampling is considered. Fig. 10 shows the spatial distribution of correlations between vapor isotope compositions (δ18 466 O and *d*-excess) and P*acc_3d*. Vapor *d*-excess shows significant positive correlations with P*acc_3d* in the region 467 surrounding SETP with a spatial scale of \sim 5°×5° and the positive correlation extends southwestward to the foothill 468 of the Himalayas (Fig. 10a). In contrast, vapor $\delta^{18}O$ shows significant negative correlations with P_{acc 3d} in similar regions (Fig. 10b). For non-rainy days, vapor δ18 469 O and *d*-excess still show significant correlations with P*acc_3d* at 470 regional scale, albeit with weaker correlation levels and smaller spatial extent (Fig. S11). These significant 471 correlations among vapor $\delta^{18}O$, *d*-excess, and P_{acc, 3d} provide further evidence for understanding processes that are 472 responsible for the amount effect. The negative correlation between $\delta^{18}O$ and P_{acc}_{3d} has also been observed in 473 precipitation and can be interpreted in terms of either continuous rainout (Cai and Tian, 2016; Scholl et al., 2009; 474 Vuille et al., 2003) or the effect of rain-vapor interaction (Lawrence et al., 2004; Risi et al., 2008a; Kurita et al., 475 2011; Worden et al., 2007). Although continuous rainout with increased rainfall amount can explain the decreasing 476 trend of δ^{18} O by the Rayleigh distillation model, *d*-excess stays at a relatively stable level when specific humidity 477 is not very low (above \sim 4 g kg⁻¹ in Fig. 3b for example). Therefore, the positive correlation between vapor *d*-excess 478 and P_{acc} *3d* provides an additional constraint that the amount effect is not simply a result of rainout but rain-vapor 479 interaction plays an important role in altering lower tropospheric isotope compositions.

 Figure 10. Relationships between vapor isotope compositions for rainy days (local daily precipitation amount not less than 2 mm) and total precipitation amount at the regional scale during the summer monsoon season. (a) spatial distribution of correlation coefficients between vapor *d***-excess and total precipitation amount during 3 days prior sampling (P***acc_3d***). (b) is the same as (a) but for δ 18O. Only values significant at the 95% significance level are shown. The black dots indicate the location of the SETP station. The black solid lines denote the Tibetan Plateau with altitude contour at 3000 m.**

4.4 An alternative interpretation for the high *d***-excess in high-altitude TP ice cores**

 Interpretations of *d*-excess in meteoric water and ice cores on the TP are complicated by evaporation conditions over the northern Indian Ocean (RH*SST* and SST) and continental recycling (Shao et al., 2021; Zhao et al., 2012; Joswiak et al., 2013; Pang et al., 2012; An et al., 2017). Attempts have been made to establish a relationship between vapor *d*-excess and RH*STT* (Chen et al., 2024; Liu et al., 2024) as well as between ice core *d*-excess and RH*STT* (Shao et al., 2021) or SST (Zhao et al., 2012). Based on relationships between vapor *d*-excess and surface evaporation conditions discussed above, however, the apparent relationships are mainly a result of similarities in the seasonality

source of higher vapor *d*-excess (section 4.3). Higher vapor *d*-excess signal could be inherited in subsequent

5 Conclusions

 We present a three-year-long daily near-surface water vapor isotope compositions observed at the South-East TP station which is at the major channel for moisture entering the TP. Our vapor isotope compositions paired with specific humidity reflect distinct moisture sources and dynamics between the non-monsoon and summer monsoon seasons, consistent with Lagrangian moisture diagnostic results. Despite significant negative correlations between vapor *d*-excess and relative humidity scaled to sea surface temperature existing over the northern Indian Ocean when data for all seasons are considered, such correlations with oceanic surface evaporation conditions largely disappear when separately considering each season. This result questions the early interpretation of TP *d*-excess as oceanic evaporation conditions and guarantees new interpretations in the future.

During the non-monsoon season, vapor *d*-excess is mainly influenced by specific humidity both at the local

 δ^{18} O and *d*-excess records derived from glaciers on the TP.

Competing interests

The authors declare that they have no conflict of interest.

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Author contributions

 Zhongyin Cai: Conceptualization, methodology, investigation, formal analysis, funding acquisition, writing- original draft, writing-review & editing; **Rong Li**: Investigation, data curation, writing-review & editing; **Cheng Wang**: Validation; **Qiukai Mao**: Investigation, **Lide Tian**: Resources, project administration, funding acquisition.

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