



1 **Tropical Ozone Trends (1998 to 2023): A Synthesis from SHADOZ, IAGOS**
2 **and OMI/MLS Observations**

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30 **Abstract.** Trends in tropical tropospheric ozone over the past ~20-30 years have been reported
31 using ozonesonde profiles from five SHADOZ sites (Thompson et al., 2021, “T21”; Stauffer et al., 2024,
32 “S24”) and a combination of satellite, SHADOZ and IAGOS aircraft measurements (Gaudel et al., 2024).
33 Selected tropical sonde and aircraft trends are compared with other ground-based instruments in Van
34 Malderen et al. (2024a). We have extended T21 for monthly-averaged five-station SHADOZ data with a
35 Multiple Linear Regression (MLR) model, covering 1998 to 2023. We report: (1) trends in two free
36 tropospheric (FT) ozone layers, lowermost stratosphere (LMS) ozone, total tropospheric column
37 ($\text{TrCO}_{\text{sonde}}$) and tropopause height; (2) trends for 2000-2023 (no 1997-1998 ENSO) and 1998-2019 (no
38 COVID-19). (3) $\text{TrCO}_{\text{sonde}}$ trends, 2005-2023, compared to OMI/MLS $\text{TrCO}_{\text{satellite}}$. The findings: (1)
39 Extending SHADOZ trends four years does not change the T21 results: annual trends negligible except in
40 one FT layer (Natal-Ascension) and for tropopause-referenced LMS. A slight reduction in FT trends may
41 reflect a moderating effect of COVID-19. (2) Adding thousands of IAGOS profiles to the 5-site SHADOZ
42 data similarly showed near-zero MLR trends ($p < 0.05$) in a pressure-defined FT ozone layer (300-700
43 hPa); SHADOZ sampling is sufficient. (3) With the $\text{TrCO}_{\text{sonde}}$ adding 0-5 km ozone, trends are only
44 detected over SE Asia and Natal-Ascension at 2-3%/decade, $p < 0.05$; comparison to preliminary trends
45 from the TOAR II/HEGIFTOM activity (a 0-300 hPa TrOC) gives similar results. For 2005-2023 MLR
46 annually averaged trends for $\text{TrCO}_{\text{sonde}}$ and OMI/MLS $\text{TrCO}_{\text{satellite}}$ agree within uncertainties at 4 of 5
47 SHADOZ sites.

48

49 **1 Introduction**

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51 The importance of tropical tropospheric ozone in atmospheric composition and climate variability has
52 long been known. Although the thickness of total column ozone (TrCO) in the tropics (~250-325 Dobson
53 Units, DU; 1 DU = $2.69 \times 10^{16} \text{cm}^{-2}$) is much less than in the extra-tropics (350-450 DU), the latitude band
54 from -30° to $+30^\circ$ covers roughly 1/3 of the Earth’s surface. In this region tropospheric ozone is a major
55 source of global OH (hydroxyl radical), key to Earth’s oxidizing capacity (Thompson et al., 1992),
56 controlling the lifetimes of countless biogenic and anthropogenic species. Global OH also controls the
57 lifetime of methane, a powerful greenhouse gas with both natural and anthropogenic sources. Methane
58 (CH_4) increases alone add ozone to the troposphere and methane’s oxidation by OH to carbon monoxide
59 (CO), that also affects the amount of OH, establishes a feedback cycle among O_3 -OH- CH_4 -CO. Regional
60 variability in factors controlling the cycle derives from local levels of the shorter-lived nitrogen oxides
61 and reactive VOC (volatile organic compounds). The same latitude band (-30° to $+30^\circ$) is where the
62 “tropical pipe” introduces ozone and other ozone-destroying or creating trace species into the
63 lowermost stratosphere (LMS).



64 Thus, trends in tropical tropospheric and LMS ozone are of interest for several reasons. First, free
65 tropospheric (FT) ozone is an important greenhouse gas. There is a potential for significant changes in
66 FT ozone because parts of the tropics are in areas of rapid changes in emissions. These may be caused
67 by economic development (Zhang et al., 2016) and/or variations in land-use and fire activity (Tsivlidou
68 et al., 2023). Second, with relatively low ozone amounts relative to the extra-tropics, ozone in the
69 tropical troposphere is more sensitive to dynamical interactions. The influences of climate oscillations
70 on FT and LMS ozone have been documented with ozonesonde and satellite data (Thompson et al.,
71 2001; Ziemke et al., 2003; Ziemke et al., 2006; Ziemke et al., 2019; Lee et al., 2010; Randel and
72 Thompson, 2011; Thompson et al., 2011).

73 Context for this study comes from the International Global Atmospheric Chemistry/Tropospheric Ozone
74 Assessment Report (IGAC/TOAR) that is completing its second phase, TOAR II, initiated in 2020. The
75 first TOAR (Refer to *Elementa* collection of papers, 2014-2019) findings included an assessment of
76 surface ozone changes based on a vast set of global surface ozone measurements. Ground-based
77 observations for the FT were much more sparse, with uneven geographic coverage of ozone soundings
78 (~60 publicly available records since the early 1990s) and aircraft landing and takeoff ozone profiles for
79 the same period, only to ~300 hPa (Thouret et al., 2022). Efforts to fill gaps with tropospheric ozone
80 estimates from satellite data were mixed. For the first TOAR Gaudel et al. (2018) pointed out that five
81 satellite products covering the tropics and mid-latitudes for the 2005-2016 period differed from one
82 another not only in magnitude but in sign as well. A recent evaluation of six updated satellite products,
83 for 2004-2019 over the tropics only (Gaudel et al., 2024), where satellite estimates tend to be most
84 reliable (Thompson et al., 2021), also exhibited a range of values. Accordingly, TOAR II decided to focus
85 efforts for assessing FT on data from 5 global networks of ground-based (GB) instrumentation. The
86 rationale is that GB networks, with stable operations at fixed sites with well-calibrated instruments (De
87 Mazière et al., 2018) provide suitable time-series at dozens of sites.

88 During the TOAR study period we analyzed ozone profiles in the tropics collected in the Southern
89 Hemisphere Additional Ozonesondes (SHADOZ) network (Thompson et al., 2003) to compute FT and
90 LMS ozone trends. In Thompson et al. (2021, hereafter referred to as T21) we used data from 8 SHADOZ
91 stations within ± 15 degrees latitude with the Goddard Multiple-Linear Regression (MLR) model to
92 calculated trends from 1998 through 2019. Changes in layers between 5 and 15 km were relatively
93 small, $\sim(1-3)\%$ /decade at most, except over the equatorial SE Asian stations at Kuala Lumpur and
94 Watukosek, Indonesia (**Table 1**).

95 More recently, in Stauffer et al. (2024, referred to as S24) we demonstrated that over the 25-yr period
96 1998-2022, early year (February through April/May) FT ozone increases are associated with declining
97 convection, most pronounced over these same SE Asian locations but observed at other SHADOZ
98 locations. With the newest OMI/MLS-based satellite estimates of total tropospheric column ozone



99 (TrCO), Gaudel et al. (2024) showed that during the Aura era (2005-2019) the satellite, SHADOZ and
100 IAGOS aircraft profiles were in good agreement over SE Asia, similar to S24, but more divergent over the
101 equatorial Americas and Africa. We also contributed analyses of SHADOZ and IAGOS to a global
102 evaluation of tropospheric ozone (Van Malderen et al., 2024a; Van Malderen et al., 2024b) that included
103 trends from lidar, FTIR and umkehr measurements from Dobson spectrometers. All the data in the latter
104 studies were rigorously reprocessed in the TOAR II/Harmonization and Evaluation of Ground-based
105 Instruments for Free-Tropospheric Ozone Measurements (HEGIFTOM) project that began in 2021.

106 The HEGIFTOM-based trends used data from only selected SHADOZ stations and was restricted to below
107 300 hPa and the period 2000-2022. Here we revisit the SHADOZ record in the equatorial zone (-15° to
108 +15°) using data from 1998 through 2023 to address the following questions:

- 109 - Compared to T21 what do FT and LMS ozone trends look like with four additional years of
110 SHADOZ sonde data?
- 111 - Are there discernible impacts of COVID-19? How do trends in tropospheric ozone for 1998-2023
112 compare to trends for 2000 to 2023? Does the 26 year record show a sensitivity in changes due
113 to SHADOZ starting at the end of the intense 1997-1998 ENSO?
- 114 - Do the 1998-2023 SHADOZ trends in the lower FT (defined as 700-300 hPa) change when
115 augmented by thousands of IAGOS profiles collected in the same region? Does more frequent
116 denser sampling modify the trends?
- 117 - How do SHADOZ total tropospheric column changes (TrCO) compare to OMI/MLS over the 2005-
118 2023 period? Do the satellite data capture the seasonality of sonde-derived trends based on the
119 monthly means used in MLR?

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121 Supplemental material gives a simplified comparison of the 1998-2023 SHADOZ-based trends
122 computed by multiple-linear regression (MLR) compared to the HEGIFTOM analysis for SHADOZ
123 stations evaluated with Quantile-Regression as described in Van Malderen et al. (2024a). Data and
124 analysis methods appear in **Section 2** with Results and Discussion in **Section 3**. **Section 4** is a summary.

125

126 **2. Data and Methods of Analysis**

127 **2.1 Datasets for ozone**

128 **2.1.1 SHADOZ ozonesonde observations**

129 Three data sets are used in our study. For the update to T21, that was based on 1998-2019 SHADOZ
130 v06 ozonesonde data from the website <https://tropo.gsfc.nasa.gov/shadoz/Archive.html>
131 (<https://doi.org/10.57721/SHADOZ-V06>), the same records are used with four additional years (2020-
132 2023) of ozone and P-T-U profiles. **Fig. 1** displays locations of the 8 SHADOZ stations that were used in
133 the T21 SHADOZ trends determinations; the new SHADOZ station at Quito (-0.2, -78.4; Cazorla, 2016;



134 Cazorla et al., 2021; Cazorla and Herrera, 2022) is also shown. **Fig. S1** displays all SHADOZ stations as of
135 September 2024. The ozone profiles are obtained from electrochemical concentration cell ozonesondes
136 coupled to standard radiosondes as described in earlier publications, e.g., Thompson et al., (2003);
137 Thompson et al., (2007); Thompson et al., (2019). The profiles are archived with ozone uncertainties
138 calculated with each individual ozone partial pressure available as separate files at the SHADOZ archive
139 (Witte et al., 2018; WMO/GAW Rep. 268, 2021). Recent evaluations of ozonesonde data have established
140 the quality of the global ECC network. Measurements of total column ozone (TCO) from 60 global
141 stations average within $\pm 2\%$ agreement with total ozone from 4 uv-type satellites since 2005 (Stauffer
142 et al., 2022). About half of the SHADOZ stations exhibit a $\sim 3\text{-}5\%$ dropoff in stratospheric ozone (Stauffer
143 et al., 2020) that is not completely understood (Nakano and Morofuji, 2023; Smit et al., 2024).
144 Accordingly, our study only uses ozone data below about 50 hPa, defining the lowermost stratospheric
145 (LMS) ozone as bounded by 15-20 km.

146 For the updated trends analysis with a multiple linear regression (MLR) model, the same 8 stations
147 used in T21 are analyzed (italicized in **Table 1**, listed in **Table 2**). All have at least 10 years of data
148 between 1998 and 2023, although several have multi-year gaps (**Figs. 2, 3** and **Fig. S2**). These stations
149 (**Table 1**) are located between 5.8N and 14S. For more reliable statistics three of the “stations” or “sites”
150 as they are referred to (**Fig. 1**), are defined by combining profiles from pairs of launch locations
151 abbreviated as follows: SC-Para for San Cristóbal-Paramaribo (dark blue dots in **Fig. 1**); Nat-Asc for
152 Natal-Ascension (red dots); KL-Java (cyan dots) for Kuala Lumpur-Watukosek (**Table 2**). T21 (see
153 Supplementary Material) describes multiple tests that were conducted to verify that these combinations
154 are statistically justified. Annual cycles in absolute column amounts and anomalies (cf. **Fig. 2**) for the
155 pairs were well-correlated. In T21 total tropospheric columns integrated from sondes ($\text{TrCO}_{\text{sonde}}$) at the
156 8 individual stations were also well-correlated ($r^2=0.72$) with colocated $\text{TrCO}_{\text{satellite}}$ from OMI/MLS data
157 over the period 2005-2019. It is important to note that the 8 well-correlated sites are within 15 degrees
158 latitude of the equator. The correlation falls to $r^2=0.50$ when comparisons are made between sondes and
159 satellite columns for the 4 subtropical SHADOZ stations. FT ozone at those locations are seasonally
160 mixtures of tropical and extra-tropical air masses, with latitudes (**Table S1**) spanning Hanoi (+21.0) to
161 Irene (-25.9S).

162 We have also analyzed trends of tropospheric ozone column and free tropospheric ozone at
163 individual SHADOZ stations using a quantile regression (QR) model, following column definitions and
164 guidelines for the TOAR II/HEGIFTOM (Harmonization and Evaluation of Ground-based Instruments for
165 Free Tropospheric Ozone Measurements) project analysis (Chang et al., 2023). The tropospheric ozone
166 column in HEGIFTOM trends analysis is defined as surface to 300 hPa; the FT is defined as a layer
167 between 300 and 700 hPa and the results are given as ppbv O_3 /decade change and %/decade. The QR



168 trends for 13 SHADOZ sites from 2000 to 2022 are summarized in **Table S1**; a subset of them appear in
169 an evaluation of ground-based global ozone trends in VanMalderen et al. (2024a).

170 2.1.2 SHADOZ and IAGOS-SHADOZ blended profiles. LMS and FT definitions

171 The MLR trend analyses (results in **Table 2 and 3**) use SHADOZ profile measurements in several
172 ways. First, the trends are computed using monthly-averaged ozone mixing ratios at 100-m intervals
173 from the surface to 20 km, as described in T21. Second, most results are illustrated as ozone column
174 amounts (Dobson Units; $1 \text{ DU} = 2.69 \times 10^{16} \text{ cm}^{-2}$) for two FT segments, 5-10 km and 10-15 km, and for the
175 LMS (15-20 km). Trends for ozone and pressure-temperature-humidity (P-T-U) data below 5 km are
176 determined for completeness but are not tabulated because station sampling times and local pollution
177 can vary, giving artifact biases among the individual sites (Thompson et al., 2014). We use 15-20 km for
178 the LMS, because this is where several studies identified wave activity associated with convection and
179 ENSO-La Niña oscillations (Lee et al., 2010; Thompson et al., 2011; Randel and Thompson, 2011; T21)
180 and Randel et al. (2007) identified a distinct ozone annual cycle driven by the Brewer-Dobson
181 circulation.

182 A third way of using SHADOZ profiles in the MLR analysis is in a blend with IAGOS aircraft profile
183 measurements within a lower FT pressure-defined region ("FTp" = 300-700 hPa, cf. Van Malderen et al.,
184 2024a). Calculations in the FTp segment are designed to add more samples within the SHADOZ-labeled
185 combination sites (compare profile numbers in **Tables 2 and 3**) and to augment regional trends in Van
186 Malderen et al. (2024b) where there no results are reported for the equatorial Americas, Atlantic Ocean
187 or African continent. In defining regions for merging SHADOZ and IAGOS observations, we follow
188 locations presented by Tsvlidou et al. (2023). Profiles from the SHADOZ Quito station (2014-2023) and
189 two IAGOS airports (Bogota and Caracas) are added to the SHADOZ SC-Para profiles to define the
190 equatorial Americas for determining trends within the FTp (**Table 3**). Also, for those calculations sonde
191 profiles from the Natal-Ascension pair sites are combined with 13 airports in west Africa (Atlantic+West
192 Africa). Nairobi is combined with IAGOS Addis Ababa profiles. The FTp-designated Equatorial SE Asia
193 consists of KL-Java profiles from SHADOZ combined with IAGOS landing and takeoffs from Kuala
194 Lumpur and Singapore. Time-series for SHADOZ stations and airports for these 4 "regional" sites appear
195 in **Fig. 3** and are defined in **Table 3**. The coordinates of individual SHADOZ stations used in the blended
196 dataset (italicized) with IAGOS airports are given in **Table 1**. Calculations with FTp retain Samoa as a
197 single station.

198 2.1.3 OMI/MLS satellite and sonde total ozone columns

199 Trends computed with MLR for total tropospheric ozone columns (TrCO) are based on integrating
200 ozone mixing ratios from the surface to the thermal lapse-rate tropopause. For the equatorial sites in
201 our analyses, the tropopause is typically between 16 and 17 km. Our $\text{TrCO}_{\text{sonde}}$ columns and trends are
202 compared to $\text{TrCO}_{\text{satellite}}$, the troposphere ozone columns estimated from the OMI/MLS residual



203 described by Ziemke et al. (2019; updated in the TOAR II Gaudel et al., 2024). These newest OMI/MLR
204 TrCO estimates have been corrected for a ~1%/decade upward drift in OMI over the past two decades
205 (Gaudel et al., 2024; SI material). The OMI/MLS product is available starting in October 2004. We use
206 monthly average TrCO for both sondes and OMI/MLS between January 2005 and December 2023. These
207 are identical to the data used in SOTC (ref) and in the Gaudel et al. (2024) TOAR II analyses of tropical
208 ozone.

209 **2.2 Methods of trend analysis**

210 **2.2.1 Multiple Linear Regression (MLR) model**

211 As in T21 and S24, the Goddard MLR model (original version Stolarski et al., 1991, updated in Ziemke
212 *et al.*, 2019) is used for analysis of monthly mean ozone amounts. The MLR model includes terms for
213 annual and semi-annual cycles and oscillations prevalent in the tropics: QBO, MEI (Multivariate ENSO
214 Index, v2) and IOD DMI (Indian Ocean Dipole Moment Index; only for KL-Java):

$$215 \quad O_3(t) = A(t) + B(t) + C(t)MEI(t) + D(t)QBO1(t) + E(t)QBO2(t) + F(t)IOD(t) + \varepsilon(t)$$

216 where t is month. The coefficients are as follows: A through F include a constant and periodic
217 components with 12, 6, 4, and 3 month cycles, where A represents the mean monthly seasonal cycle and
218 B represents the month-dependent linear trend. When annual trends are reported, the B term includes
219 only the 12-month component to generate a single trend value over the period of computation. The
220 model includes data from the MEIv2 (<https://www.esrl.noaa.gov/psd/enso/mei/>), the two leading QBO
221 EOFs from Singapore monthly mean zonal radiosonde winds at 10, 15, 20, 30, 40, 50, and 70 hPa levels,
222 and IOD DMI (https://psl.noaa.gov/gcos_wgsp/Timeseries/Data/dmi.had.long.data). The $\varepsilon(t)$ is the
223 residual, i.e., the difference between the best-fit model and the raw data. T21 noted that the monthly
224 ozone data and MLR model fits for the mid FT (5-10 km) and LMS layers are well-correlated. For the
225 LMS, for example, the correlation coefficients are $r = 0.83-0.90$ (**Fig. S7** in T21). The IOD DMI term is
226 included for KL-Java because that was the only station where the IOD DMI impact on the ozone trend
227 was reliably detected.

228 The 95% confidence intervals and p-values for each term in the MLR model as presented here are
229 determined using a moving-block bootstrap technique (10,000 resamples) in order to account for auto-
230 correlation in the ozone time series (Wilks, 1997). The model is applied to ozone anomalies in all cases
231 in order to minimize biases that might arise from intersite ozone differences between pairs for the
232 combined stations: SC-Para, Nat-Asc, KL-Java (**Table 2**). In other words, we calculate ozone anomalies
233 from the individual station's monthly climatology for all profiles before combining the pairs into
234 monthly means and computing the MLR ozone trends. Anomalies are also analyzed for the Nairobi and
235 Samoa station data, although this would be no different than computing MLR trends on the actual ozone
236 timeseries themselves. The MLR model was separately applied to the monthly mean ozone profile
237 anomalies at 100 m resolution, and the monthly mean partial column ozone anomaly amounts from 5-



238 10 km, 10-15 km, and 15-20 km. The MLR model was also applied to the monthly mean tropopause
239 height (TH) anomaly at each station, defined as the 380 K potential temperature surface (e.g., Wargan et
240 al., 2018). Because TH and LMS ozone trends turn out to be strongly correlated, the MLR analysis was
241 also performed for the ozone column amount anomalies referenced to the tropopause. In that case LMS
242 ozone trends refer to changes in the 5 km above the tropopause with the FT extending from the
243 tropopause to 5km below the tropopause (**Section 3, Table 2**). The MLR model was also applied to total
244 tropospheric column amounts from the sondes ($\text{TrCO}_{\text{sonde}}$) and corresponding $\text{TrCO}_{\text{satellite}}$ from OMI/MLS
245 (surface to Tp in **Table 2**).

246 Note that recent ozone trends studies and the TOAR II guidelines (Chang et al., 2020; Cooper et al.,
247 2020; Chang et al., 2023) have discouraged the use of nomenclature associated with statistical
248 significance. Therefore, whereas the Figures and Tables presented here refer to trends using
249 terminology of 95% confidence intervals (p-value < 0.05), the most reliable results in **Section 3** (bold in
250 **Tables 2, 3 and S1**) are explicitly stated as based on p-values < 0.05/trends exceeding the 95%
251 confidence interval.

252 Several studies of tropospheric ozone observations have noted a persistence of COVID-19
253 perturbations on post-2019 trends after 2019 (Ziemke et al., 2022; Van Malderen et al., 2024a; Van
254 Malderen et al., 2024b). A comparison of the extended SHADOZ mean ozone trends (1998-2023)
255 relative to those from T21 (covering 1998 to 2019), both summarized in **Table 2**, represents the impact
256 of COVID-19 in the deep tropics. Likewise, SHADOZ was initiated at the end of the powerful 1997-1998
257 ENSO. Accordingly, we applied MLR to the same 5 sites for 2000-2023 to evaluate any artifacts relative
258 to the 1998 to 2023 trends. Those results also appear in **Table 2**.

259 **2.2 Quantile Regression (QR) model**

260 Whereas MLR has been the standard tool for analyzing global total and stratospheric ozone trends, often
261 with satellite data where zonal means can be used, the TOAR II project has recommended using Quantile
262 Regression (QR) as better suited for tropospheric trends. Because it is a percentile-based method
263 (Koenker, 2005), the heterogeneously distributed changes of trends can be estimated, as shown, for
264 example, in Gaudel et al. (2020). To date the TOAR II HEGIFTOM trends studies for observations at
265 individual sites (Van Malderen et al., 2024a) and regionally organized data (Van Malderen et al., 2024b)
266 have been studied with the QR approach. In those studies and for the 13 individual SHADOZ time-series
267 (**Supplemental Table S1**) QR has been applied to the median change of the trends, which is equivalent
268 to the least absolute deviation estimator (i.e. aiming to minimize mean absolute deviation for residuals;
269 Chang et al., 2021). The rationale is that compared to least-squares criterion, a median-based approach
270 is more robust when extreme values or outliers are present. Median trends are estimated based on the
271 following multivariate linear model:

272 $\text{Observations}[t] = a_0 + a_1 \cdot \sin(\text{Month} \cdot 2\pi/12) + a_2 \cdot \cos(\text{Month} \cdot 2\pi/12)$



273 $+ a_3 \sin(\text{Month} \cdot 2\pi/6) + a_4 \cos(\text{Month} \cdot 2\pi/6) + b \cdot t + c \cdot \text{ENSO}[t] + N[t],$ Eq. 1

274 where harmonic functions are used to represent the seasonality, a_0 is the intercept, b is the trend value,
275 c is the regression coefficient for ENSO, and $N[t]$ represents the residuals. Autocorrelation is accounted
276 for by using the moving block bootstrap algorithm, and the implementation details are provided in the
277 TOAR statistical guidelines (Chang et al., 2023). In the individual site analyses of HEGIFTOM
278 observations (Van Malderen et al., 2024a), where all individual ozone records (L1) and monthly means
279 (L3) were analyzed, annually averaged trends usually turned out to be the same within uncertainties.

280

281 **3 Results and Discussion**

282 **3.1 Monthly and seasonal ozone climatology at 5 SHADOZ sites**

283 **Figure 4** displays the 5-site monthly ozone climatology based on SHADOZ monthly averaged data
284 from the surface to 20 km. Regional differences in vertical structure within the FT are pronounced. For
285 example, the contours representing the 60-90 ppbv range (yellow to red colors) never appear in mid-FT
286 ozone over KL-Java or Samoa (**Figs. 4d,e**). Conversely, FT ozone values ≤ 30 ppbv (darkest blue shades)
287 observed over KL-Java and Samoa in the middle FT never appear over the other 3 stations: equatorial
288 Americas (SC-Para, **Fig. 4a**), Nat-Asc or Nairobi (**Figs. 4b,c**). These contrasts may reflect regional
289 differences in ascending vs. descending nodes of the Walker circulation. The latter feature is partly
290 responsible for the tropospheric zonal wave-one (Thompson et al., 2003) that refers to a mean TrCO
291 over the south tropical Atlantic Ocean that is 5% greater than over the western Pacific. There is less
292 regional variability in LMS ozone. At all stations (**Fig. 4**) above ~ 16 km the colors and contours are
293 nearly uniform over the year. Mixing ratio contours of 100 ppbv and 200 ppbv may appear as a thick
294 white line. The 100 ppbv level is sometimes referred to an ozonopause; typically it is within 1-2 km of
295 the thermal lapse-rate tropopause.

296 **3.2 FT and LMS ozone annual cycle (1998-2023)**

297 The annual cycle of ozone at the two FT layers and for LMS ozone appear as anomalies in **Fig. 5**. FT
298 ozone seasonality (**Figs. 5a,b**) is less uniform than for LMS ozone (**Fig. 5c**) and tropopause height (TH,
299 **Fig. 5d**). We assign TH to the altitude of the 380K potential temperature. Randel et al. (2007) showed
300 that the similar LMS ozone seasonality in the equatorial zone is due to the Brewer-Dobson circulation.
301 The more varied FT ozone cycles in **Figs. 5a,b** are presumably due to a range of different dynamical and
302 chemical influences across the stations. Note that the annual cycle for the pressure- and regionally
303 defined FTp ozone (**Fig. 6** in %) resembles that for the corresponding SHADOZ sites in the lower (5-10
304 km) FT layer in **Fig. 5a**; the magnitudes are similar as well (**Figs. 5** and **6** are illustrated with different
305 scales). In both cases note that there are two seasonal maxima and minima for KL-Java (**Fig. 5a**) and
306 equatorial SE Asia (**Fig. 6a**). The early year minima are associated with intense convective activity (T21,
307 S24) and in August at the onset of the Asian monsoon. However, KL and Watukosek are affected by



308 seasonal fire activity at the latter end of the rainy seasons. These features were described in detail in
309 Stauffer et al. (2018) using Self-Organizing Map clusters and proxies for convection and fires.

310

311 **3.3 FT ozone trends: regional and seasonal variability**

312 3.3.1 Trends for 1998-2023

313 In **Fig. 7** the trends in %/decade computed with MLR at 100-m intervals, for 1998 to 2023, are
314 displayed (cf. Fig. 6 in T21 for the 1998-2019 trends). Changes in the ozone column amounts for 1998-
315 2023 computed from the model (DU/decade and %/decade) for the two FT layers (5-10 km, 10-15 km)
316 appear in **Fig. 8**. A summary of values for the two layers (and for LMS ozone) is in **Table 2**. The
317 percentage values in **Fig. 7** and **Table 2** are the result of dividing the MLR B(t) term by the A(t) annual
318 cycle of ozone term (Section 2.2.1). The MLR-calculated A(t) annual cycle derived from monthly mean
319 ozone profiles (i.e., no anomaly calculation) is used to convert the B(t) trend in ppmv/decade (profiles)
320 or DU/decade (partial columns) to %/decade. Ozone trends for both percent/decade and DU/decade
321 are given in **Table 2**. Shades of red (blue) in **Fig. 7** represent ozone increases (decreases); cyan hatching
322 denotes trends with p-values < 0.05. The annual mean trends in **Table 2** are computed by taking the
323 average of the 12 monthly trends in DU, and dividing by the mean seasonal ozone in DU to yield the
324 annual percentage trend. The corresponding column trends changes in the FTp layer appear in **Fig. 9**
325 with tabulated trends in **Table 3**. Note that for both **Figs. 8** and **9**, the annual trend for each station at
326 the right of the figure is essentially zero.

327 For 3 of 5 stations in **Figs. 8a** and **c**, there is a pattern of ozone increase at both FT layers in January
328 to April. Percentage-wise the greatest increases are at KL-Java and Nairobi, $\sim(10-15)\%$ /decade in March
329 and April. However, SC-Para and Samoa at 5-10 km (**Fig. 8a**) exhibit almost no trend at any time of
330 year; at 5-10 km SC-Para and Nairobi show losses up to 10% /decade in February and $(5-10)\%$ /decade
331 losses in August and September. However, **Table 2** displays no trend on an annual basis for SC-Para and
332 Nairobi. Inspection of **Fig. 7** suggests small FT trends at Nat-Asc but **Table 2** displays a $+3.4\%$ /decade
333 increase in the 10-15 km layer from 1998-2023. The total column, integrated to the tropopause, TrCO,
334 over Nat-Asc, has increased $(1.9\pm 1.8)\%$ /decade, $p < 0.05$. There are no other annually averaged trends in
335 the FT layers but TrCO for KL-Java (KL-Watukosek in **Table 2**), has a similar increase, $(2.6\pm$
336 $2.3)\%$ /decade.

337 **Figure 9** monthly ozone trends are based on more than twice the number of profiles as those in the
338 other lower FT layer, **Fig. 8a**. The trends are similar to those in the 5-10 km layer in both magnitude and
339 confidence level (uncertainty). **Table 3** shows no trends ($p < 0.05$) at any location. This was unexpected
340 given that Chang et al. (2023) suggest that the uncertainty should decline with more samples and a
341 positive trend might be amplified. For example, adding west African IAGOS data to Natal and Ascension



342 increased the number of profiles by a factor of > 2.5 (compare **Tables 2** and **3**). Further analysis is
343 needed, including with the QR approach.

344 3.3.2 FT ozone trends sensitivity to COVID-19 and 1997-1998 ENSO

345 A comparison of the **Table 2** columns for 1998-2023 relative to those for 1998-2019 (the latter is from
346 T21) reveals little. Only the 10-15 km layer at Nat-Asc has entries with $p < 0.05$ for both periods. The
347 extra 4 years reduced the positive trend slightly. In **Table 2** columns for trends for 2000-2023 can be
348 compared to those for 1998-2023. There is little information in the 2000-2023 column, i.e., no trends
349 anywhere except for the TrCO, total tropospheric column for KL-Java, an area that was well-studied with
350 satellite and some sonde measurements for the period affected by the large ENSO, amplified by the
351 Indian Ocean Dipole pattern (Thompson et al., 2001). After August 1997, as a result of exceptionally
352 high fire activity, ozone increased greatly. That could have meant a smaller change between ozone levels
353 from 1998 through 2023 which would be consistent with a larger, more robust trend for 2000-2023
354 (4.6%/decade for KL-Java) compared to T21, 2.6%/decade (both $p < 0.05$).

355

356 3.4 LMS ozone trends and mean vertical trend over 5 SHADOZ sites

357 In T21 (Figs. 10 and 11) trends in the LMS (nominally 15-20 km) showed 5-10%/decade decreases for
358 Nat-Asc, KL-Java and SC-Para between July and October. For the same months those locations exhibited
359 a tropopause increase ~ 100 m/decade. This suggested that the ozone increase was an artifact of a
360 changing tropopause. In other words, if the TH increased more air with relatively lower ozone would be
361 located in the 15-20 km layer. We tested this hypothesis by recomputing ozone column changes
362 referenced to the TH, i.e., evaluated trends in a 5-km thick layer above the TH. The results was that the
363 apparent loss of LMS ozone from July to September or October disappeared. The same analyses were
364 performed with LMS ozone and TH for the 1998-2023 period. The results, shown in **Fig. 10**, are the
365 same as for 1998-2019 (T21).

366 Whatever the cause(s) of ozone loss in the LMS, it is a feature clearly captured by SHADOZ data as seen
367 in annually averaged ozone trends derived from the analyses displayed in **Fig. 11**. At 18 km the
368 composite trend from the 8 SHADOZ stations analyzed with MLR is $(-4 \pm 3)\%$ /decade. The mean trend
369 from ~ 13 to 3 km is zero, albeit with a $\pm 2\sigma$ (95%) $\pm 3\%$ /decade. Only below ~ 2 km is the mean average
370 trend clearly positive. Most of the increase comes from near-surface ozone pollution over equatorial SE
371 Asia (Fig. 6 in S24).

372

373 3.5 Total tropospheric ozone trends, TrCO (1998-2023), from OMI/MLR and SHADOZ

374 Trends for the most recent version of OMI/MLS TrCO were based on monthly mean satellite data and
375 determined with MLR over the period 2005 through 2023. Trends for total tropospheric column ozone



376 (TrCO_{sonde}) at the 5 SHADOZ sites for the same period appear in circles on the map in **Fig. 12** where the
377 stippling indicates no trend can be determined. For both OMI/MLS and the sondes (**Fig. 7**) shades of red
378 indicate total column ozone increases; blue represents declining ozone over the period of analysis. The
379 mean annual TrCO_{sonde} trends appear in the two rightmost columns in **Table 2**. In **Fig. 12** OMI/MLS
380 shows trends > 1DU/decade (typically 2-9%/decade) only over equatorial SE Asia and parts of South
381 America and the eastern Pacific at ~5N latitude. Circles indicate locations and trends for the individual
382 SHADOZ stations. The latter display lower trends than OMI/MLS. On a month by month basis the sonde
383 and OMI/MLS trends are compared in **Fig. 13**. In 3 cases the seasonality of TrCO trends from sonde and
384 OMI/MLS are similar and the annually averaged OMI/MLS TrCO_{satellite} trends are not different from zero
385 (symbols at right of each image). However, the seasonality of the KL-Java monthly trends agree well
386 with OMI/MLS; the satellite mean is +5%/decade, gray in **Fig. 13d**. The sonde SC-Para trend (**Fig. 13a**)
387 is quite a bit lower early in the year than the OMI/MLS trends over San Cristóbal and Paramaribo that
388 average +(2-3)%/decade. The Samoa sonde trend and OMI/MLS TrCO trends diverge most of the year;
389 the satellite mean annual trend is close to +10%/decade whereas there is no trend for TrCO_{sonde}.

390

391 **4 Summary**

392 We have updated the 2021 study (Thompson et al., 2021; T21) trends in FT and LMS ozone for 5
393 stations, Nairobi, Samoa and three combination sites (San Cristóbal-Paramaribo, Natal-Ascension, Kuala
394 Lumpur-Watukosek) for which SHADOZ archiving started in 1998. T21 covered 22 years, ending in
395 2019 immediately prior to the restrictions imposed by COVID-19. The new analysis has been carried
396 out by adding monthly averaged data from 2000 to 2023 in the Goddard MLR model with standard
397 proxies for the equatorial region. Trends in the FT (5-10km, 10-15 km) and LMS (15-20 km) are
398 illustrated with monthly means and annually averaged trends have been tabulated.

399 Important new analyses were performed in this study: (1) Trends were determined for the period
400 2000-2023 to address the question of whether there were impacts of the strong 1997-1998 ENSO-La
401 Niña on long-term SHADOZ trends; (2) The sensitivity of the 1998 to 2023 ozone trends to sample
402 number was explored by doubling the number of profiles analyzed in a modified FT layer using IAGOS
403 aircraft profiles. The additions augmented the SHADOZ data principally over the equatorial Americas,
404 Atlantic (adding in west African airports) and SE Asia. (3) Comparisons of the monthly averaged Aura-
405 derived OMI/MLS satellite product, total tropospheric column ozone to the tropopause (TrCO_{satellite}), to
406 monthly mean sonde-derived TrCO_{sonde} were made for 9 equatorial SHADOZ stations; (4) MLR-based
407 based trends for the 8 SHADOZ stations (members of the 5 combined sites), TrCO_{sonde} and the OMI/MLS,
408 TrCO_{satellite}, were compared for the 2005-2023 period.

409 The principal findings are as follows:



- 410 • The overall characteristics of T21 trends in the FT and LMS are confirmed with 4 additional years
411 of SHADOZ observations. From 1998 to 2023, regional and seasonal variability remains
412 pronounced with FT ozone increases at 4 of 5 SHADOZ stations in thin layers $\sim(10-25)\%/decade$,
413 mostly between January and May. An exception is at SC-Para where there was a 5-10% decrease
414 in between 10-15 km during 1998 to 2023 compared to 1998-2019 (T21). The greatest increases
415 occur in multiple layers below 10 km over Nairobi and KL-Java and between 10-15 km over
416 Samoa. Nonetheless, these features do not translate into annually averaged integrated column
417 trends ($p<0.05$) in the 5-10 km or 10-15 km segments except over Nat-Asc. In summary, adding 4
418 years of data to equatorial SHADOZ trends, now a trend from 1998-2023, does not modify the
419 T21 picture of little or no FT ozone change.
- 420 • Only when the total tropospheric column ($TrCO_{sonde}$) trend is evaluated do Nat-Asc
421 ($1.9\pm 1.8\%/decade$ and KL-Java ($2.6\pm 2.3\%/decade$) exhibit the slightest trend ($p<0.05$).
422 Examining the 5-station average in vertical form shows a null trend from ~ 3 to 17 km ($\pm 2\%$
423 within 2σ up to 7 km and $\sim \pm 3\%$ from 7 to 17 km). The marginal overall mean increase,
424 $+5\%/decade$ below 3 km, is driven by KL-Java, possibly with a contribution from Nairobi.
- 425 • The 1998-2023 trends are not overall different from the pre-COVID T21 trends. With the starting
426 year delayed to 2000, the $TrCO_{sonde}$ KL-Java trend (2000-2023) is almost twice as large as for
427 1998-2023, indicating an effect of the 1997-1998 ENSO on equatorial SE Asia.
- 428 • The T21 LMS ozone and TH trends are confirmed with 4 more years of data. For the layer 15-20
429 km, ozone losses $\sim 5\%/decade$ from June through October, on average, give an all-site average of
430 $-4\%/decade$ at 17.5 km, a value similar to satellite averages (Godin-Beekmann et al., 2022). Re-
431 determining the LMS trends for an ozone column 5 km above the tropopause from 1998 to 2023,
432 causes the trend to disappear, as in T21.
- 433 • Doubling the number of profiles in the pressure-defined FT (300-700 hPa) by adding nearby
434 IAGOS aircraft profiles to 4 of the 5 SHADOZ sites does not change the 1998-2023 trends
435 computed with monthly mean MLR. Indeed, no trends are detected with $p<0.05$, i.e., fewer than
436 with the SHADOZ-only profiles. This suggests that current SHADOZ sampling with the 3
437 combined site records is sufficient.
- 438 • When a preliminary calculation of a monthly mean $TrCO$ column capped at 300 hPa for the 8
439 individual SHADOZ stations (2000-2022) was performed with MLR and QR, the only trends ($2-3\%/decade$
440 at Kuala Lumpur, $p<0.05$) were nearly the same. Using all the data (L1) in QR vs
441 monthly means for Kuala Lumpur increased the trend for that interval to $\sim 6\%/decade$. Note that
442 the 300 hPa $TrCO$ encompasses ~ 10 km of the 17-km tropospheric ozone column.
- 443 • Annually-averaged trends determined with MLR for the OMI/MLS columns, $TrCO_{satellite}$, for 2005
444 to 2023, for the 8 SHADOZ stations (members of the 5 combined sites) and $TrCO_{sonde}$ overlap



445 within the uncertainties of each. In both cases the trends are close to zero at SC-Para, Nat-Asc and
446 Nairobi. However, the OMI/MLS TrCO_{satellite} trends are markedly greater than the TrCO_{sonde} at KL-
447 Java and Samoa where the monthly cycles diverge from one another, particularly in the second
448 half of the year. In general, OMI/MLS trends are rarely negative for any month.

449

450 How do our updated SHADOZ tropospheric ozone trends compare to those in other studies that use
451 profile data and/or OMI/MLS? The tropical trends study of Gaudel et al. (2024) groups SHADOZ and
452 IAGOS profiles in different ways from this study but for 2005 to 2019, where they compare the in-situ
453 profiles to the updated OMI/MLS, there are similarities. Trends in the equatorial Americas in Gaudel et
454 al., (2024) are generally similar to ours; Gaudel et al. (2024) calculate somewhat higher trends using
455 African airport data separate from Natal and Ascension. As in our study, SE Asian sonde and airport
456 trends appear to give FT column increases ~5%. Near the surface (not discussed here) Gaudel et al.
457 (2024) report very large SE Asia and Indian airport trends.

458 In T21 we pointed to evidence from changes in apparent wave activity inferred from the 5-site
459 ozonesonde and radiosonde soundings over the period 1998 to 2019 that the early year (February
460 through April) positive trends in FT ozone determined with MLR might be associated with a decrease in
461 equatorial deep convection. S24 focused on linking the strong February-April increase in FT ozone over
462 KL-Java from 1998 to 2022 with corresponding trends in 4 proxies for deep convection, e.g., cloud
463 brightness temperature and OLR changes, Merra-2-based velocity potential at 200 hPa and total column
464 precipitable water. Not only did all the convective signals point to declining convection in the region in
465 February-April, none of the indicators showed trends at any other time of year. A role for changing
466 dynamics needs to be considered in tropical tropospheric ozone increases in recent decades; increasing
467 emissions of ozone precursors are apparently not the only driver. Likewise, apparent losses in LMS
468 ozone appear to be related to tropopause changes during the 1998 to 2023 period.

469 How do our results compare to FT ozone trends determined for the period 2000 to 2022 in the TOAR
470 II HEGIFTOM activity? Van Malderen et al. (2024a) used both QR and MLR to examine trends in a total
471 tropospheric column (defined from surface to 300 hPa) and FT and lower tropospheric ozone columns.
472 We provided the QR trends, summarized in **Supplemental Table S1**, for 13 SHADOZ stations. Van
473 Malderen et al. (2024a) reported QR and MLR trends for most of the 9 tropical sites listed here and 3 of
474 4 subtropical sites as well. In VanMalderen et al. (2024b) regional FT trends estimates were reported
475 using grouped SE Asia stations and airports but data from the widely distributed tropical American,
476 African and oceanic SHADOZ station and IAGOS airports were not included. Thus, the updated results
477 for SHADOZ stations documented in this study remain the most reliable reference for ozone trends
478 through the entire tropical troposphere. We provide a definitive standard for evaluating monthly trends



479 and regional variability of satellite-based products and related models being used for tropical ozone
480 trends assessments and predictions of FT and LMS ozone changes.

481

482

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488 SHADOZ v06 profile data are available at <https://tropo.gsfc.nasa.gov/shadoz/Archive.html>. OMI/MLS
489 data are available at https://acd-ext.gsfc.nasa.gov/Data_services/cloud_slice/new_data.html.

490

491 **Competing Interests.** All authors declare that we have no competing interests.

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641 **Tables and Figures – Thompson et al., 27 Nov 1630 ET**

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643 **Table 1.** List of the 27 total SHADOZ and IAGOS sites, and their metadata, used in this analysis.

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Site	Country	Observation Network	Latitude	Longitude	Altitude (m)
Abidjan (ABJ)	Cote d'Ivoire	IAGOS	5.25	-3.93	6
Accra (ACC)	Ghana	IAGOS	5.61	-0.17	62
Addis Ababa (ADD)	Ethiopia	IAGOS	8.98	38.80	2326
Ascension Island	United Kingdom	SHADOZ	-7.58	-14.24	85
Bogota (BOG)	Colombia	IAGOS	4.70	-74.14	2548
Brazzaville (BZV)	Congo (Brazzaville)	IAGOS	-4.26	15.25	319
Caracas (CCS)	Venezuela	IAGOS	10.60	-67.01	71
Cotonou (COO)	Benin	IAGOS	6.35	2.39	6
Douala (DLA)	Cameroon	IAGOS	4.01	9.72	10
Hanoi	Vietnam	SHADOZ	21.01	105.80	6
Hilo, Hawaii	United States	SHADOZ	19.43	-155.04	11
Irene	South Africa	SHADOZ	-25.90	28.22	1524
Kinshasa (FIH)	Congo (Kinshasa)	IAGOS	-4.39	15.45	313
Koror	Palau	SHADOZ	7.34	134.47	23
Kuala Lumpur	Malaysia	SHADOZ	2.73	101.27	17
Kuala Lumpur (KUL)	Malaysia	IAGOS	2.76	101.71	21
Lagos (LOS)	Nigeria	IAGOS	6.58	3.32	41
Libreville (LBV)	Gabon	IAGOS	0.46	9.41	12
Lome (LFW)	Togo	IAGOS	6.17	1.25	22
Luanda (LAD)	Angola	IAGOS	-8.85	13.23	74
Malabo (SSG)	Equatorial Guinea	IAGOS	3.76	8.72	23
Nairobi	Kenya	SHADOZ	-1.27	36.80	1795
Natal	Brazil	SHADOZ	-5.42	-35.38	42
Pago Pago	American Samoa	SHADOZ	-14.23	-170.56	77
Paramaribo	Suriname	SHADOZ	5.80	-55.21	23
Port Harcourt (PHC)	Nigeria	IAGOS	5.01	6.95	27
Quito	Ecuador	SHADOZ	-0.20	-78.44	2414



<i>Reunion Island</i>	France	SHADOZ	-21.06	55.48	10
<i>San Cristobal</i>	Ecuador	SHADOZ	-0.89	-89.61	8
Sao Paulo (GRU)	Brazil	IAGOS	-23.43	-46.48	750
Singapore (SIN)	Singapore	IAGOS	1.36	103.99	7
<i>Suva</i>	Fiji	SHADOZ	-18.13	178.40	6
<i>Watakosek, Java</i>	Indonesia	SHADOZ	-7.46	112.43	50
Yaounde (NSI)	Cameroon	IAGOS	3.70	11.55	694

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647 **Table 2.** SHADOZ metadata: number of profiles, annual trends. Each row indicates a different segment: 5-10km, 10-15km, 15-
 648 20km, TH-5km to TH, TH to TH +5km, and surface to Tp (tropopause). Periods analyzed (columns) are 1998-2019 (T21), 1998-
 649 2023, 2000-2023; 2005-2023 for OMI/MLR comparisons in total tropospheric ozone column amount (TrCO). Annually-
 650 averaged MLR partial column ozone linear trends are shown DU per decade and in percent per decade, with the 95%
 651 confidence interval. Trends with p-values <0.05 are shown in bold.

SHADOZ T21 Updated MLR FT Ozone Trends										
Station	Altitude Range	Number of Profiles	1998-2019 T21 Annual Trend ± 2*sigma (DU/decade)	1998-2019 T21 Annual Trend ± 2*sigma (%/decade)	1998-2023 Annual Trend ± 2*sigma (DU/decade)	1998-2023 Annual Trend ± 2*sigma (%/decade)	2000-2023 Annual Trend ± 2*sigma (DU/decade)	2000-2023 Annual Trend ± 2*sigma (%/decade)	2005-2023 Annual Trend ± 2*sigma (DU/decade)	2005-2023 Annual Trend ± 2*sigma (%/decade)
San Cristobal - Paramaribo	5-10km	1370	0.2±0.3	1.9±3.1	-0.1±0.3	-0.9±3.7	-0.1±0.3	-1.2±3.8	-0.3±0.5	-3.6±5.1
	10-15km		0.1±0.2	1.5±4.0	-0.2±0.3	-3.5±4.5	-0.2±0.3	-4.0±4.4	-0.4±0.4	-6.6±6.5
	15-20km		-0.4±0.4	-3.1±2.8	-0.5±0.4	-3.9±2.9	-0.4±0.4	-2.8±3.2	-0.4±0.7	-3.3±5.5
	TH-5km to TH		0.0±0.2	0.2±4.2	-0.2±0.3	-2.9±5.1	-0.2±0.3	-2.9±5.2	-0.3±0.4	-5.9±7.5
	TH to TH+5km		0.2±0.6	0.6±2.3	-0.3±0.7	-1.2±2.6	-0.3±0.8	-1.1±2.9	-0.4±1.3	-1.5±4.5
	TrCO, surf-Tp		NA	NA	-0.3±0.9	-1.0±3.3	-0.2±1.0	-0.8±3.6	-0.4±1.6	-1.4±5.9
Natal - Ascension Island	5-10km	1646	0.2±0.3	1.6±2.3	0.2±0.3	1.9±2.2	0.1±0.2	0.5±1.8	0.0±0.4	0.3±2.9
	10-15km		0.3±0.2	3.9±2.8	0.2±0.2	3.4±2.9	0.1±0.2	1.7±2.4	0.1±0.3	0.7±3.8
	15-20km		-0.0±0.3	-0.4±2.4	-0.1±0.3	-1.0±2.3	-0.2±0.3	-1.4±2.6	-0.3±0.5	-2.4±3.8
	TH-5km to TH		0.3±0.2	4.7±2.7	0.2±0.2	3.4±2.9	0.1±0.2	1.7±2.4	0.0±0.2	0.2±3.3
	TH to TH+5km		0.5±0.5	1.9±1.9	0.2±0.7	0.9±2.7	-0.0±0.6	-0.1±2.5	-0.4±0.9	-1.6±3.7
	TrCO surf-Tp		NA	NA	0.7±0.6	1.9±1.8	0.3±0.7	0.9±1.9	0.3±1.0	1.0±2.8
Nairobi	5-10km	976	0.1±0.3	1.2±3.1	0.1±0.3	0.5±3.0	0.1±0.4	1.0±3.5	-0.0±0.7	-0.3±6.3
	10-15km		-0.0±0.2	-0.2±3.4	-0.1±0.2	-1.5±3.2	-0.1±0.3	-1.9±4.2	-0.2±0.6	-2.4±8.2
	15-20km		0.1±0.3	0.6±2.5	0.1±0.5	0.9±3.9	0.3±0.5	2.4±4.2	0.7±0.9	5.6±6.9
	TH-5km to TH		0.0±0.2	0.7±3.2	-0.0±0.2	-0.0±2.5	-0.0±0.2	-0.1±3.3	-0.0±0.4	-0.2±6.1
	TH to TH+5km		0.5±0.7	1.9±2.7	0.4±0.9	1.4±3.5	0.5±1.1	1.7±4.2	1.2±1.7	4.5±6.3
	TrCO, Surf-Tp		NA	NA	0.3±0.7	1.1±2.5	0.3±0.9	1.0±3.2	-0.4±1.5	-1.5±5.2
Kuala Lumpur - Watukosek	5-10km	870	0.1±0.2	1.9±3.0	0.1±0.2	1.0±2.5	0.1±0.2	1.0±3.1	-0.1±0.3	-1.2±4.3
	10-15km		-0.0±0.1	-0.6±3.3	0.0±0.1	1.3±3.6	0.1±0.2	2.9±4.2	0.2±0.2	4.3±6.6
	15-20km		-0.7±0.3	-5.8±2.8	-0.3±0.6	-2.4±4.8	-0.1±0.6	-0.4±5.3	0.6±0.8	5.2±6.8
	TH-5km to TH		-0.1±0.1	-3.2±3.3	0.0±0.2	0.8±5.7	0.1±0.2	2.6±6.8	0.2±0.3	5.1±8.8
	TH to TH+5km		-0.1±0.8	-0.5±3.0	0.2±1.1	0.9±4.2	0.3±1.2	1.1±4.5	1.7±1.0	7.0±4.0



	TrCO ₂ , surf-Tp		NA	NA	0.6±0.6	2.6±2.3	1.1±0.7	4.6±2.8	0.7±1.1	3.0±4.6
Samoa	5-10km	928	0.1±0.3	1.4±4.7	0.1±0.3	0.8±4.4	-0.0±0.3	-0.2±4.5	-0.2±0.4	-3.0±5.7
	10-15km		0.1±0.3	2.5±6.5	-0.0±0.4	-1.3±9.2	-0.1±0.4	-3.0±9.4	-0.4±0.4	- 10.0±10.0
	15-20km		-0.4±0.5	-2.8±3.4	-0.3±0.7	-2.3±5.2	-0.4±0.7	-2.9±5.3	-1.0±0.8	-7.0±5.4
	TH-5km to TH		0.0±0.3	0.2±6.5	-0.1±0.4	-1.7±8.8	-0.1±0.4	-2.5±9.5	-0.5±0.4	- 10.6±9.0
	TH to TH+5km		-0.3±0.7	-0.9±2.4	-0.4±0.9	-1.4±3.1	-0.9±0.9	-2.9±3.0	-1.2±1.1	-3.9±3.7
	TrCO ₂ , surf-Tp		NA	NA	-0.3±1.0	-1.4±4.8	-0.3±1.1	-1.3±5.4	-0.9±1.4	-4.4±6.5

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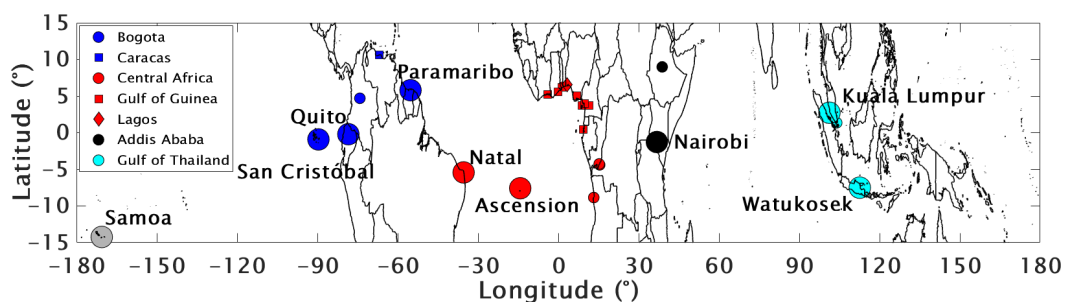


653 **Table 3.** SHADOZ and IAGOS combined MLR ozone trends values for FTp (700-300 hPa) partial column
 654 for 5 regions: Equatorial Americas, Atlantic and West Africa, East Africa, Equatorial Southeast Asia, and
 655 Samoa (individual record). The individual sites are listed for each region. Annually-averaged MLR
 656 partial column ozone linear trends are shown DU per decade and in percent per decade, with the 95%
 657 confidence interval. Trends with p-values <0.05 are shown in bold.
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SHADOZ MLR Regional FT (700-300 hPa) Ozone Trends				
Region Name	Individual SHADOZ & IAGOS Locations (IAGOS regions in bold)	Number of Profiles	1998-2023 Annual Trend ± 2*sigma (DU/decade)	1998-2023 Annual Trend ± 2*sigma (%/decade)
Equatorial Americas	San Cristobal (Ecuador), Paramaribo (Suriname), Quito (Ecuador), Caracas (Venezuela), Bogota (Colombia)	2821	0.00 ± 0.31	-0.01 ± 2.57
Atlantic and West Africa	Natal (Brazil), Ascension Island (UK); Central Africa [Luanda (Angola), Brazzaville (Congo), Kinshasa (Democratic Republic of Congo)], Gulf of Guinea [Lomé (Togo), Yaoundé (Cameroon), Douala (Cameroon), Libreville (Gabon), Accra (Ghana), Abidjan (Ivory Coast), Malabo (Equatorial Guinea), Cotonou (Benin), Port Harcourt (Nigeria)], Lagos (Nigeria)	4271	0.12 ± 0.39	0.69 ± 2.28
East Africa	Nairobi (Kenya), Addis Ababa (Ethiopia)	1297	0.12 ± 0.38	0.85 ± 2.69
Equatorial Southeast Asia	Kuala Lumpur (Malaysia), Watukosek (Indonesia); Gulf of Thailand [Kuala Lumpur (Malaysia), Singapore (Singapore)]	1305	0.16 ± 0.34	1.57 ± 3.25
Samoa	Pago Pago (Am. Samoa)	928	-0.04 ± 0.38	-0.42 ± 3.85

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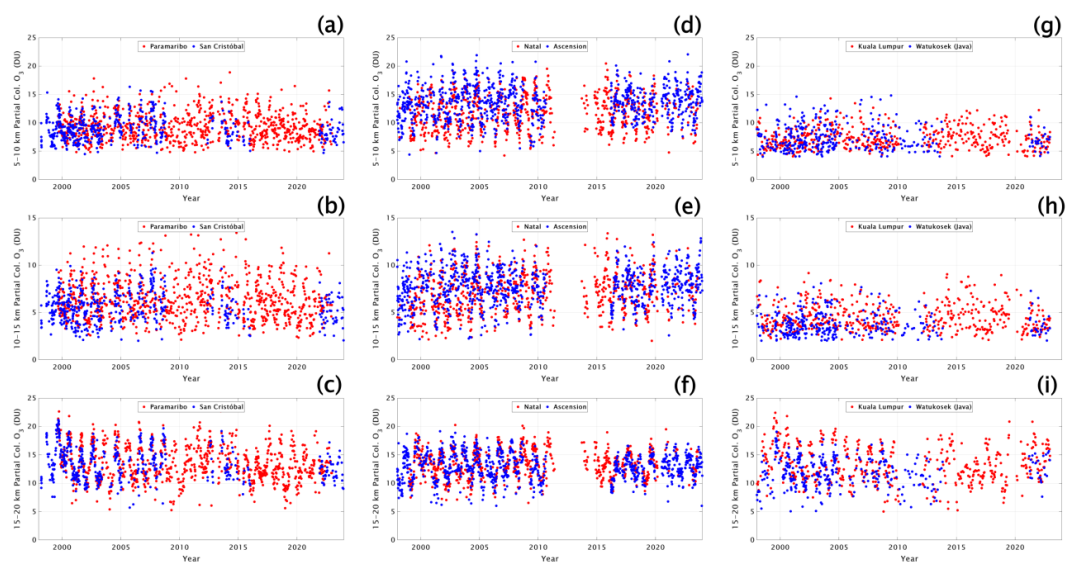
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Figure 1. Map of SHADOZ and IAGOS sites used in this study. Stations whose combined records are examined within regions are colored blue for Equatorial Americas, red for Atlantic and West Africa, black for East Africa, cyan for Equatorial Southeast Asia, and gray for Samoa. The 5 SHADOZ sites (2 individual and 3 combined) from T21 are listed in **Tables 1-3**. Individual SHADOZ and IAGOS site names within each region and sample numbers appear in **Table 3**.



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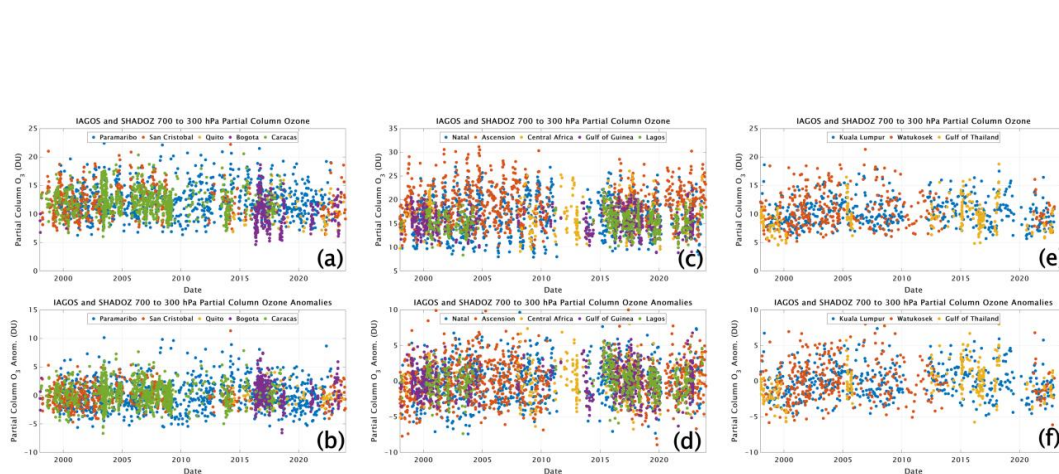
671 **Figure 2.** Time-series of ozone column segments (in DU) for the combined SHADOZ stations,

672 for the layers 5-10 km, 10-15 km, 15-20 km for: San Cristóbal-Paramaribo (a-c); Natal-

673 Ascension (d-f); Kuala Lumpur-Watukosek (Java) (g-i). Station coordinates in **Table 1**.

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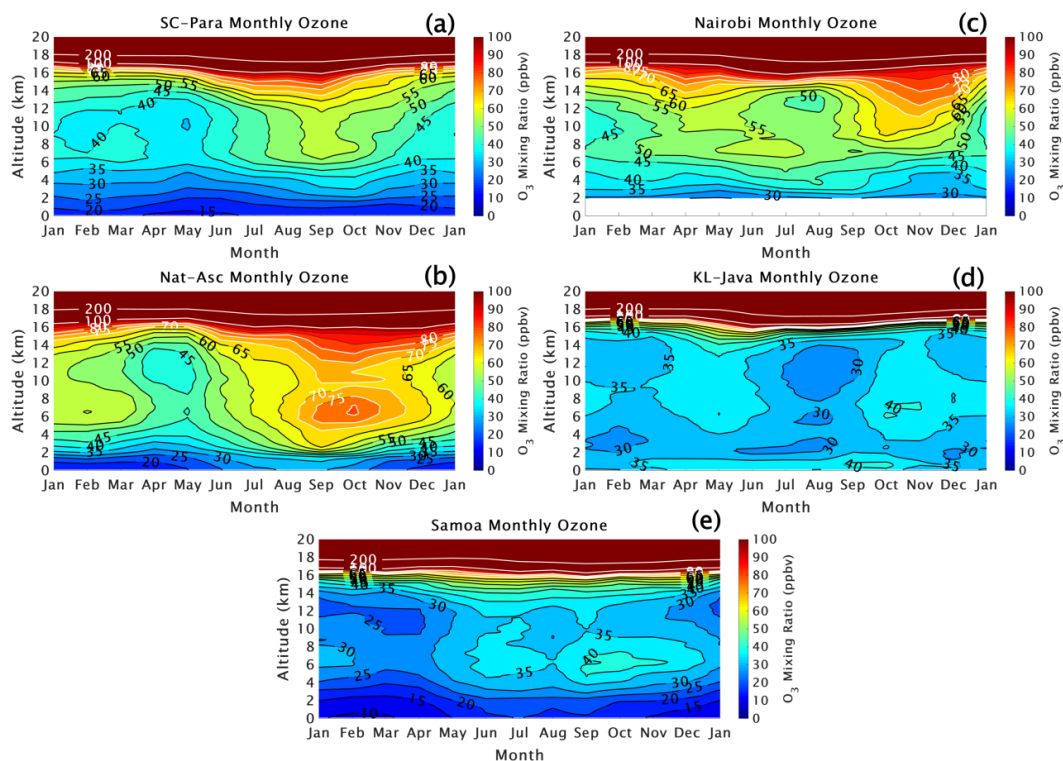
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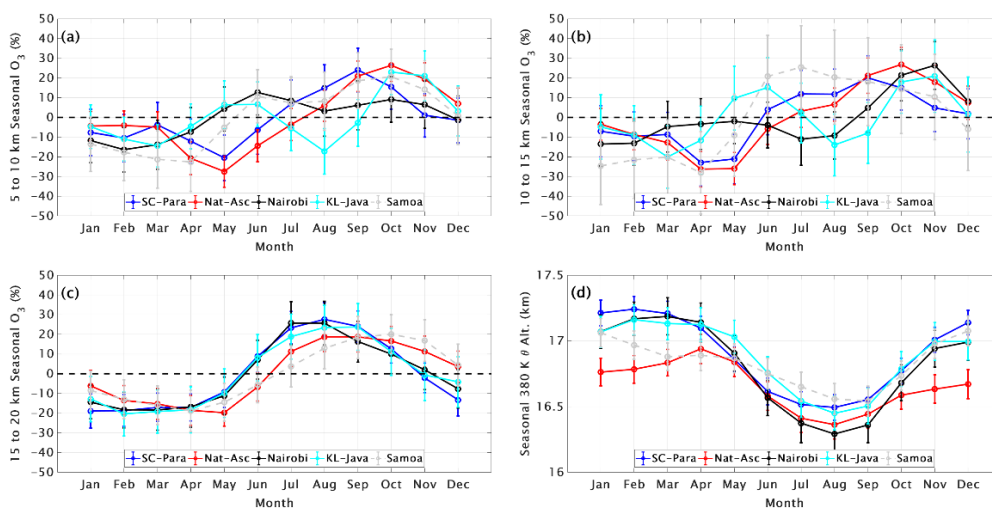
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Figure 3. Time-series of SHADOZ and IAGOS partial ozone column amounts and partial ozone column anomalies (in DU) for the pressure-defined mid-free troposphere, FTp (700 to 300 hPa), for (a-b) Equatorial Americas, (c-d) Atlantic and West Africa, and (e-f) Equatorial SE Asia. The listing of the individual sites included in these datasets appears in **Table 3**. Coordinates are in **Table 1**.



685 **Figure 4.** Monthly averaged ozone mixing ratios from the surface to 20 km altitude for the five SHADOZ
 686 sites: (a) San Cristóbal – Paramaribo, (b) Natal – Ascension Island, (c) Nairobi, (d) Kuala Lumpur –
 687 Watukosek (Java), and (e) Samoa. Colors with black and white contour lines are shown for the ozone
 688 mixing ratios in ppbv.
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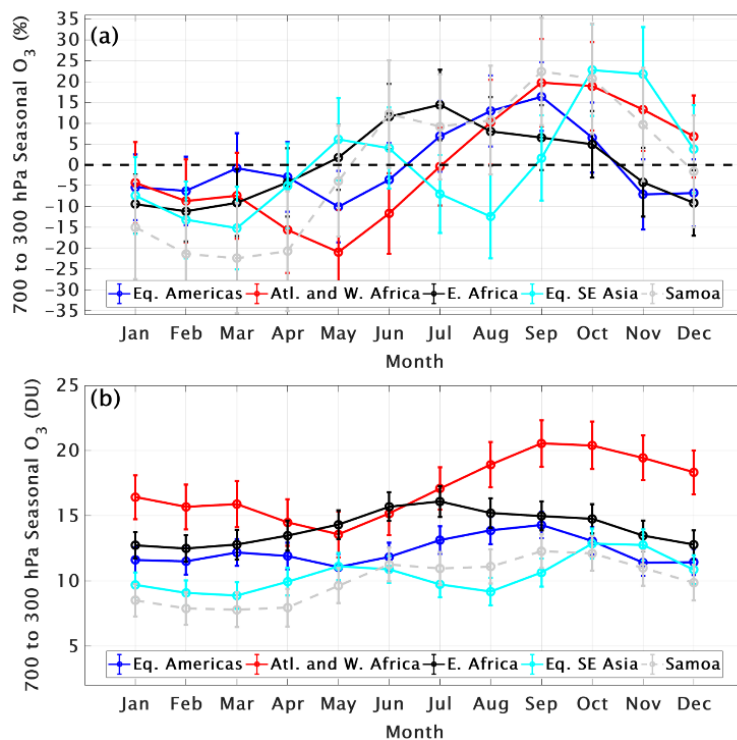
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692 **Figure 5.** Monthly ozone variability for the five T21 SHADOZ profiles, expressed as percent anomaly
 693 from annual mean, determined from the MLR model in the lower and middle FT (5-10 km: a, 10-15 km:
 694 (b), 15-20 km: c, and seasonal 380 K # Alt. (km): d).
 695

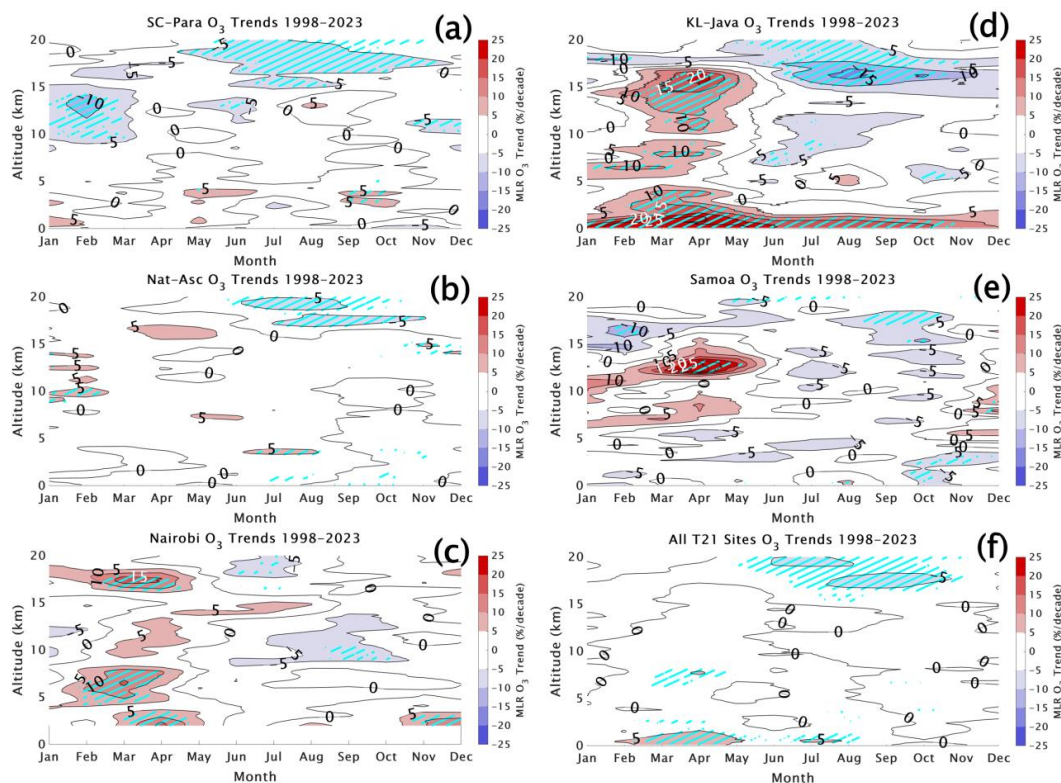


696 b) and for the LMS (15-20 km: c). The tropopause Height (TH) seasonal cycle (d, in km) is based on the
697 380 K potential temperature surface from the radiosondes. Dots indicate monthly values; error bars
698 display the 95% confidence intervals.
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Figure 6. Monthly ozone variability for the five combined SHADOZ+IAGOS regions (defined in **Figure 1** and **Table 3**), expressed as anomaly from annual mean in (a) percent with actual values in DU (b), for FTp (700-300 hPa) column. Dots indicate the monthly values; error bars display the 95% confidence intervals.



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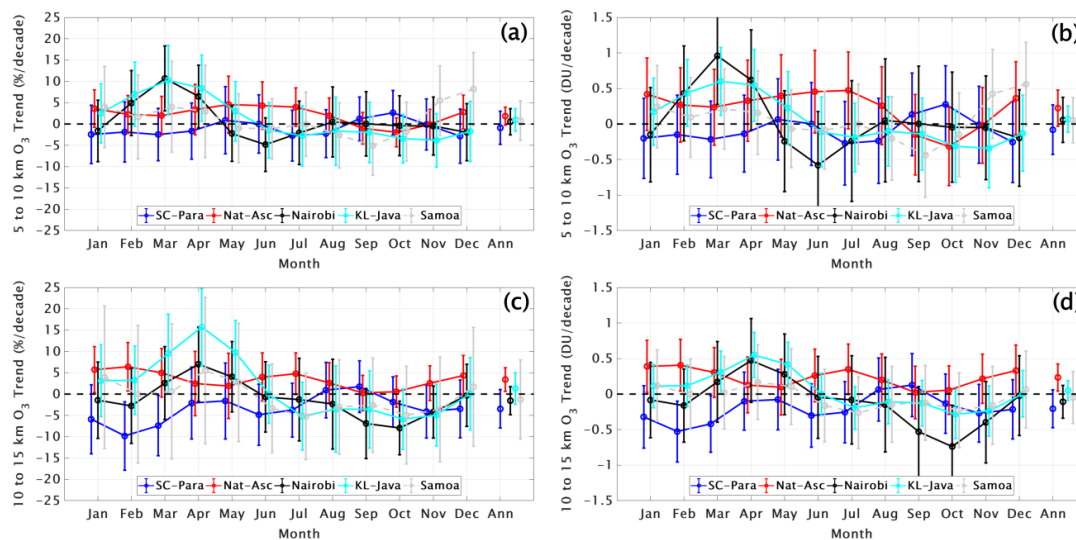
712 **Figure 7.** Monthly MLR ozone linear trends from 0 to 20 km in percent per decade for the SHADOZ T21
 713 stations (a) San Cristóbal-Paramaribo (SC-Para); (b) Natal-Ascension (Nat-Asc) (c) Nairobi, (d) Kuala
 714 Lumpur-Watukosek (KL-Java); (e) Samoa. This is an update to Figure 6 in T21. In (f), average trends
 715 over (a) through (e) are displayed by combining the records from all eight individual T21 SHADOZ
 716 stations. Positive trends are shown in red shades and negative trends are shown in blue shades. Trends
 717 with p-values < 0.05 (exceeding the 95% confidence interval) are shown with cyan hatching.

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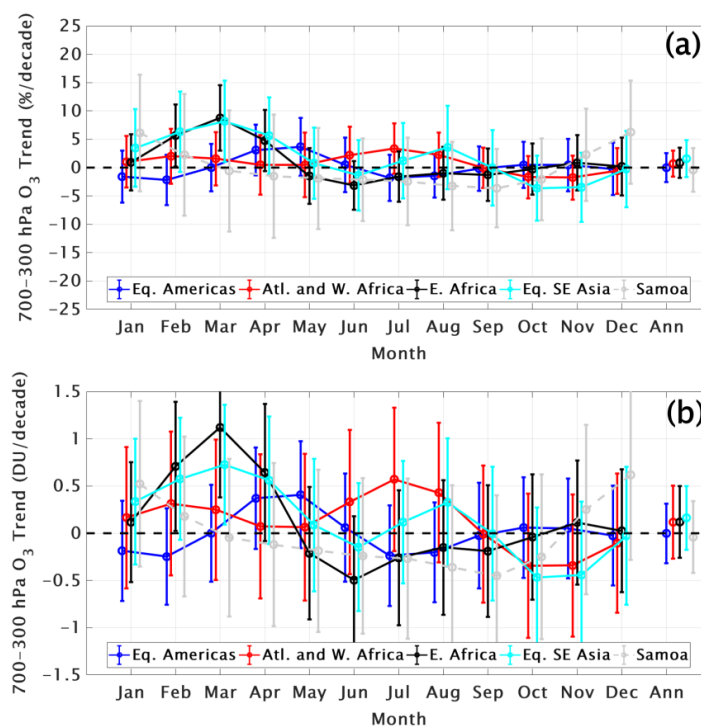
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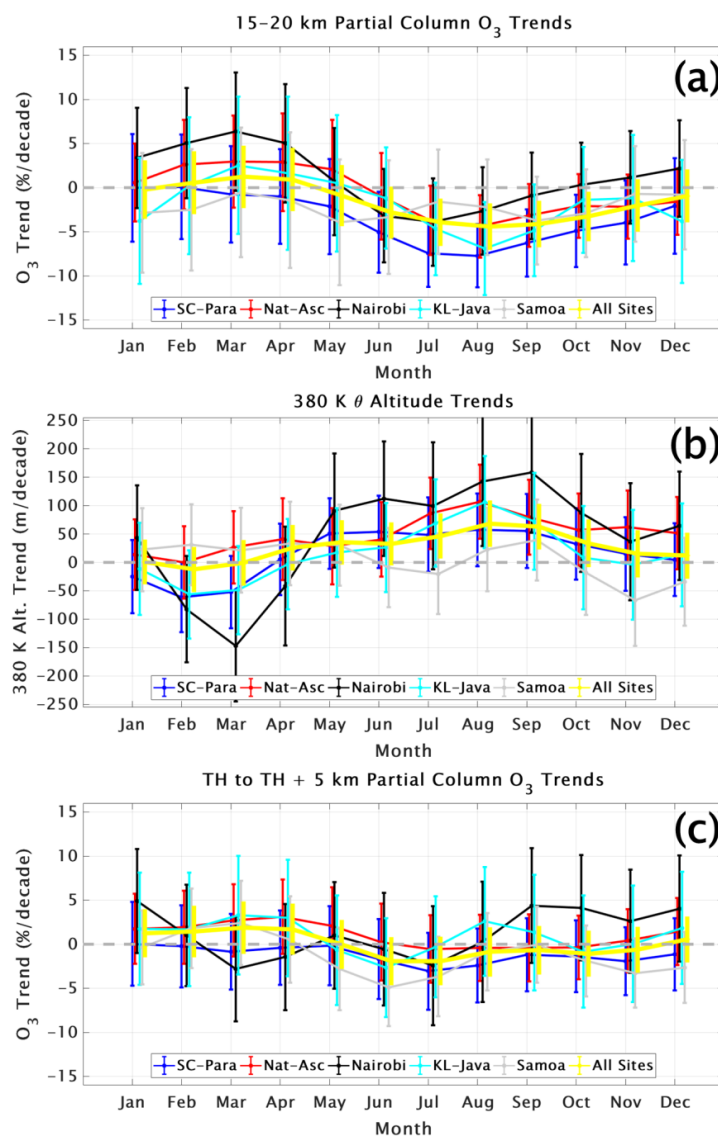
Figure 8. Monthly and annual MLR trends for five T21 SHADOZ sites in lower FT ozone column, integrated from 5-10 km, for (a) %/decade (b) DU/decade; (c) and (d) same as (a) and (b) respectively but for upper FT ozone column (10-15 km), derived from SHADOZ sondes. Dots indicate the monthly and annual trends; error bars display the 95% confidence intervals.



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729 **Figure 9.** Monthly and annual MLR ozone trends for 5 combined SHADOZ+IAGOS regions, defined in
 730 **Table 3**, for FTp column in (a) %/decade and (b) DU/decade. Dots indicate the monthly and annual
 731 trends, whereas error bars display the 95% confidence intervals.



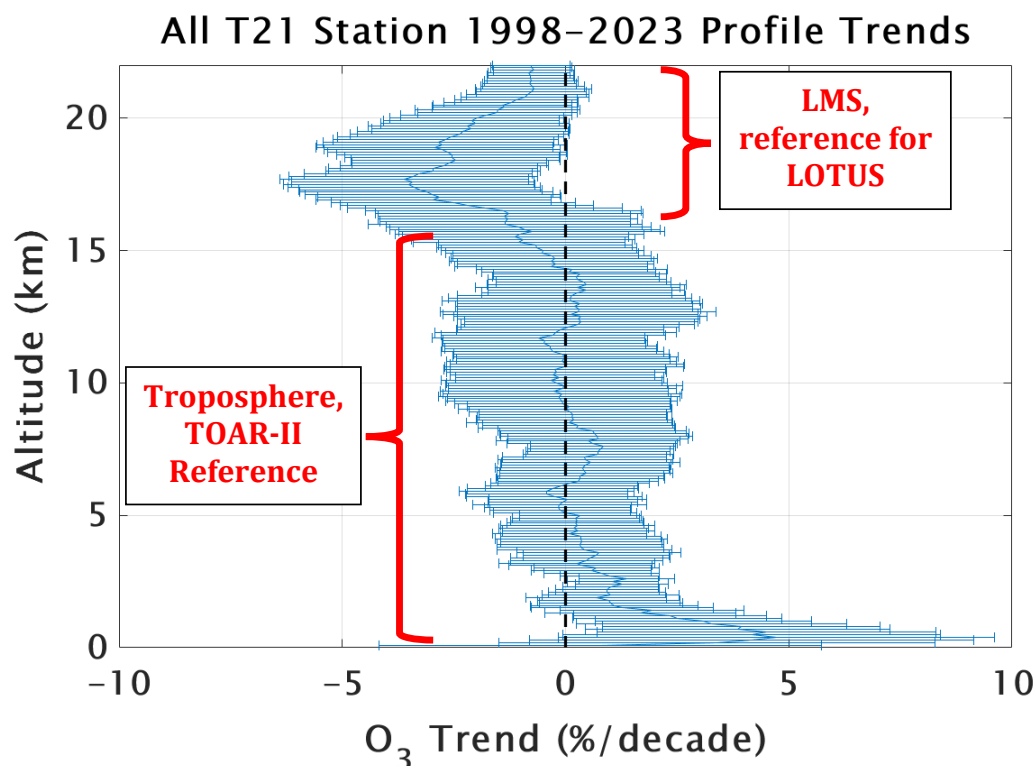
732 **Figure 10.** (a) Monthly MLR trends (colored dots) derived from SHADOZ T21 stations
 733 highlighting a July-October decrease in LMS ozone in 15-20km layer; yellow dots denote the
 734 mean of all T21 stations, with error bars indicating the 95% confidence intervals. (b)
 735 Corresponding TH trends (380 K potential temperature; θ) derived from the radiosondes. (c)
 736 Same as (a) except trends have been computed for the segments between the tropopause and 5
 737 km above the TH. Compared to (a) the trends in the tropopause referenced ozone column (c)
 738 become close to zero throughout the year.
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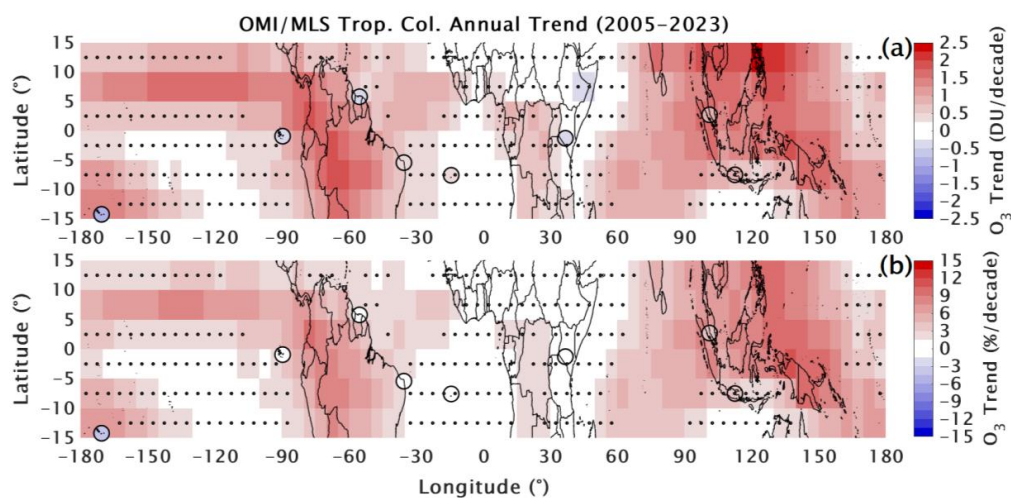


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744 **Figure 11.** The total mean annual ozone trend (solid blue line), based on mixing ratio changes
745 in 100-m intervals, from the surface to 22 km for all eight T21 SHADOZ profile datasets in
746 %/decade with the 95% confidence interval range denoted. The LMS region of interest to the
747 stratospheric community, e.g., the LOTUS activity, while the tropospheric segment is marked as
748 the primary TOAR-II focus. The -4%/decade trend in LMS ozone is similar to that derived from
749 satellites in that region. The mean change throughout the FT is negligible and within the
750 uncertainty range except below 2 km where mean increases ~+5%/decade are indicated. The
751 near-surface trends are primarily a result of rapid increases in urbanized regions of equatorial
752 SE Asia (Stauffer et al., 2024).
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760 **Figure 12.** The most recent trends, 2005-2023, shown for the equatorial region based on

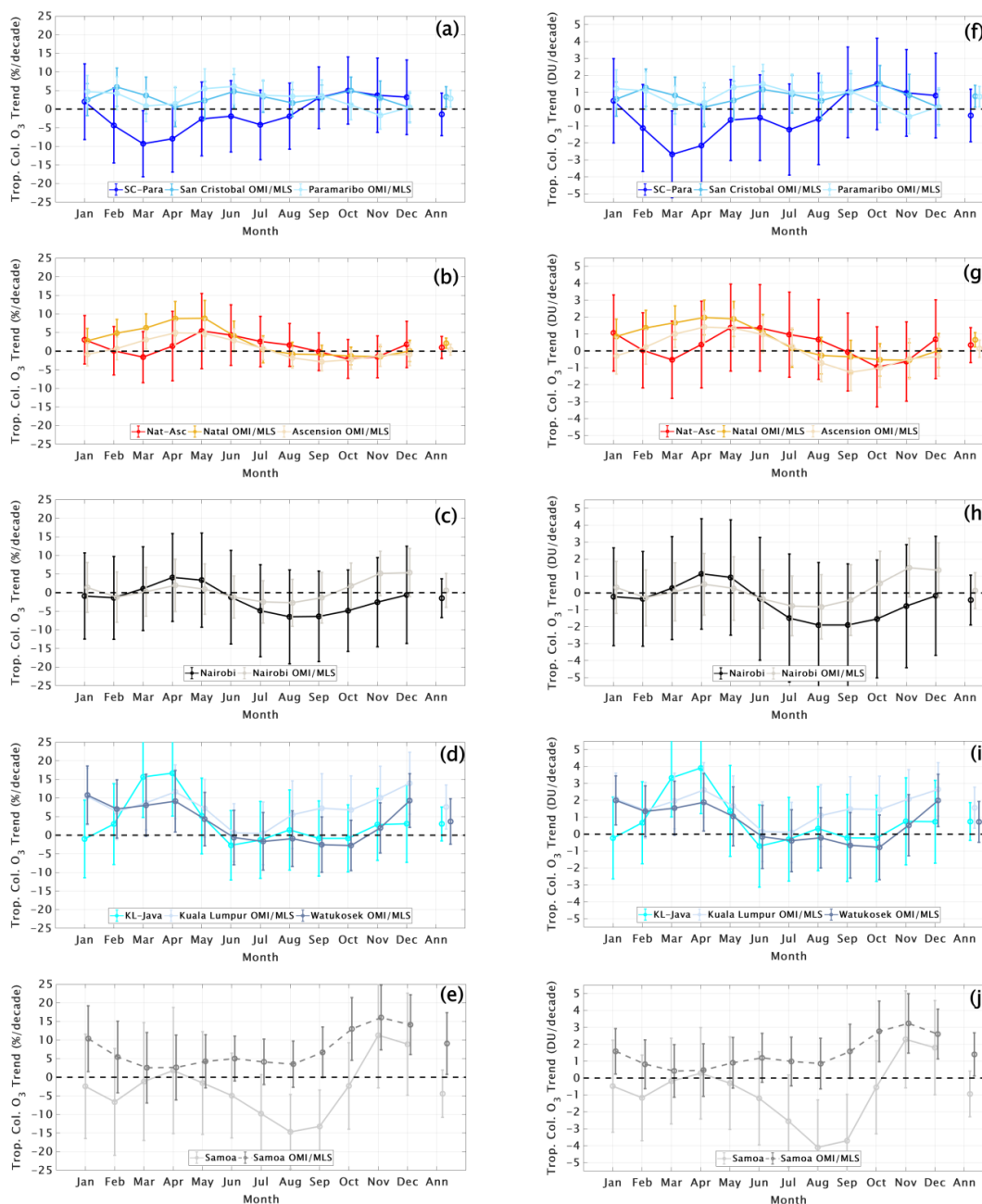
761 updated OMI/MLS tropospheric total column ozone ($\text{TrCO}_{\text{satellite}}$) estimates in which a $\sim 1\%$ per

762 decade positive drift in OMI was corrected. The corresponding SHADOZ-derived $\text{TrCO}_{\text{sonde}}$

763 column changes for 2005-2023 are superimposed on the map. Stippling indicates where

764 OMI/MLS trends *do not* exceed the 95% confidence interval (i.e., historically referred to as

764 statistically insignificant).



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Figure 13. Monthly and annual MLR ozone trends in total tropospheric column (defined using the WMO lapse rate tropopause; TrTO) for the five T21 stations and the OMI/MLS pixel for each individual SHADOZ station each region. Dots indicate the ozone trend in % (a-e) and DU (f-j) per decade; error bars show the 95% confidence intervals.