

Review article: Analysis of sediment disaster risk assessment surveys in Brazil: A critical review and recommendations

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Abstract. Climate change-induced sediment disasters in Brazil are intensifying, posing substantial risks. Studies on Brazilian disaster risk reduction are abundant, but those on federal risk assessment surveys are scarce. To address this gap, we analyzed five surveys, including the Municipal Risk Reduction Plan (PMRR), Geological Risk Survey (GRS), Susceptibility Survey (SS), Geotechnical Aptitude for Urbanization (GAUC), and Geological Hazard Survey (GHS). We conducted a meta-analysis of 300 scholarly publications and public datasets to assess these surveys, evaluating input data, methods, outcomes, applicability, effectiveness, and cost–benefit, guided by global recommendations. Spearman’s rank correlation and McDonald’s Omega were employed to evaluate survey associations with initiatives. The results reveal each survey’s unique contributions and challenges, such as limited national coverage and underutilization of quantitative methods. GHS stands out for its versatility, including climate change adaptation countermeasures and decision-maker relevance, but it lacks legal support and limited coverage. GRS and SS are well established but need considerable methodological updates, while GAUC is underutilized due to complexity and high costs. Despite the reproducibility and cost-time efficiency challenges, PMRR exhibits substantial correlation with implementing disaster risk reduction activities. Recommendations include standardizing procedures, enhancing data collection and analysis, improving outputs, and a systematic progressive multilevel approach.

1 Introduction

The United Nations Office for Disaster Risk Reduction (UNDRR) defines a disaster as a significant disruption in a community’s normal functioning, marked by extensive losses in human lives, property, the economy, and the environment. It arises from a combination of hazard exposure, vulnerability, and inadequate measures to mitigate potential negative impacts (UNDRR, 2017). Disaster risk reduction (DRR) focuses on reducing the impact of socioeconomic disasters through systematic assessment, mitigation, preparedness, response, and recovery efforts. Fell et al. (2008) suggested that regional, local, and site-specific risk assessment surveys are vital for establishing an effective disaster preparedness and response framework. This

Comentado [TD1]: Comment # 2 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Introduction: The past knowledge or information on natural disasters in general and those on landslides disasters are mixed together. So that some organization is necessary. For example, it would be possible to first state those on natural disasters in general, and then on specific landslides disasters.

Comentado [TD2R1]: Thank you for your valuable suggestion. We agree that the initial version of the introduction mixed general disaster concepts with specific information on sediment disasters. So, in the first three paragraphs of the revised manuscript, we argued about previous studies and reports on general disaster concepts (L24 – L60 in the revised manuscript). Then, we introduce previous studies and reports on sediment disasters from the fourth to the sixth paragraphs (L61 - L95 in the revised manuscript).

30 framework must be tailored to align with local specificities and needs, addressing practical challenges and enhancing community resilience against disasters.

As a continental and diverse nation encompassing various geographical and climatic regions, Brazil is inherently susceptible to numerous landscape-altering natural phenomena that lead to catastrophic events (Pimentel et al., 2020). From colonial times to the present day, the country has faced numerous natural events that have affected millions of people's lives and economies (e.g., De Ploey and Cruz, 1979; Schuster et al., 2002; Mello et al., 2014). The IPCC (2023) report indicates that ongoing climate change intensifies the frequency and severity of extreme weather events, markedly affecting human health, livelihoods, and critical infrastructures, especially in urban environments. So, in Brazil, the National Policy for Civil Protection and Defense (Brasil, 2012) defines DRR responsibilities and operational phases, from risk assessment to preparedness, emergency response, recovery, and mitigation. According to Giustina (2019), the initial risk assessment phase involves identifying hazard-prone areas and vulnerable populations, considering physical, environmental, and social variables. This primary stage supports subsequent steps and activities. Preparedness entails educating communities and establishing early warning systems and emergency response plans. The emergency phase focuses on immediate assistance, including evacuations, search and rescue operations, and temporary shelters. Post-disaster recovery aims to reestablish safety, rebuild infrastructure, reinforce mitigation measures, and support affected communities. The mitigation phase involves implementing strategies to reduce the likelihood and severity of such disasters through engineering solutions and land-use policies. Among the instruments supporting urban resilience in Brazil is the municipal master plan (MP), established by Brazil's Law No. 10,257 (Brasil, 2001) and modified by Law No. 12,608 (Brasil, 2012). MP is an essential document for urban planning, DRR initiatives, and long-term sustainable development strategies (MDR, 2021). MP is compulsory and required in municipalities exceeding 20,000 inhabitants.

Numerous studies have explored the aspects of Brazil's governmental DRR framework. Tominaga et al. (2012) analyzed Brazil's socioeconomic disasters and risk-management strategies. Ganem (2012), Almeida (2015), and Henrique and Batista (2020) evaluated DRR's political dimensions. Kuhn et al. (2022) discussed the evolution and impacts of DRR policies, while Alvalá et al. (2019) studied the profiles of at-risk and vulnerable populations. Silva-Rosa et al. (2015) and Matsuo et al. (2019) emphasized environmental education in disaster reduction. Mendonça and Gullo (2020) focused on societal disaster perception, Marchezini et al. (2019) on education's role in risk mitigation, and Silva and Santos (2022) on the importance of social participation in DRR. Debortoli et al. (2017) and Marengo et al. (2021) discussed the impact of climate change on Brazilian disasters, highlighting the need for climate-inclusive DRR strategies. Silva (2022) extensively analyzed federal DRR projects from 2011 to 2015, offering a broad view of government initiatives in this area. The latest Brazilian Atlas of Natural Disasters - BAND (Brasil, 2023) reports a significant rise in flood and sediment disasters from 1991 to 2023, accounting for 82% of the death toll. The 21,043 disasters have claimed 3,752 lives, left over 7.45 million homeless, and impacted nearly 77 million, either directly or indirectly. The economic cost is estimated to be approximately USD 19.63 billion.

In this study, sediment disasters refer to hazardous natural phenomena resulting from the movement, accumulation, or erosion of soil, rock, or debris materials, typically triggered by gravitational forces and/or hydrometeorological conditions (Uchida et al., 2009). Typical processes cause sediment disasters include landslides, debris flows, mudslides, rockfalls, and severe soil

Comentado [TD3]: Comment # 2 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

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Comentado [TD4R3]: Thank you for your valuable suggestion. We agree that the initial version of the introduction mixed general disaster concepts with specific information on sediment disasters. So, in the first three paragraphs of the revised manuscript, we argued about previous studies and reports on general disaster concepts (L24 - L60 in the revised manuscript). Then, we introduce previous studies and reports on sediment disasters from the fourth to the sixth paragraphs (L61 - L95 in the revised manuscript).

Comentado [TD5]: Comment # 3 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 31: What is "sediment disaster"? Write the definition.

Comentado [TD6R5]: According to the reviewer's comments, we added the definition of sediment disasters (L61 - L67 in the revised manuscript).

erosion etc. (Dai et al., 2002; Hungr et al., 2014). Sediment disasters are subject to the complex effects of two factors; natural factors, such as terrain morphology, hydrological regimes, vegetation cover, and anthropogenic activities—such as road excavations, cut-and-fill operations, unregulated urban sprawl on unstable slopes, and presence of informal settlements in high-risk zones.

Over the decades, significant global progress has been made in sediment disaster risk management, including phenomena typology and classification (e.g., Varnes, 1978; Cruden & Varnes, 1996; Hungr et al., 2001; Hungr et al., 2014; Li & Mo, 2019). Insights into the predisposing, triggering, and dynamic factors influencing these events abound (e.g., Guzzetti et al., 1999; Iverson, 2000; Dai et al., 2002; Hungr, 2007; McColl, 2022; Iverson, 2015; McDougall, 2017). Additionally, considerable advancements have been achieved in assessing these phenomena (e.g., IAEG, 1990; Corominas, 1996; Aleotti & Chowdhury, 1999; Guzzetti et al., 1999; Corominas et al., 2003; Picarelli et al., 2005; Corominas & Moya, 2008; Fell et al., 2005; 2008; Van Westen et al., 2008; Corominas et al., 2014; Davies, 2015; Hungr et al., 2016). Moreover, in recent years, innovative approaches have been developed to enhance preparedness (Colombo et al., 2005; Ayalew & Yamagishi, 2005; Uchida et al., 2011; Guzzetti et al., 2012; Chen et al., 2014; Sun et al., 2020; Di Napoli et al., 2021; Linardos et al., 2022). Despite the advancements, Maes et al. (2017) highlighted that in tropical nations, only 30% of potential risk reduction measures are recommended or implemented, with risk assessments emerging as the most frequently implemented initiatives (57%).

In Brazil, a comparative evaluation of the five federal risk assessment methodologies initiated after 2004 was conducted, including the PMRR, Geological Risk Survey (GRS), Susceptibility Survey (SS), Geotechnical Aptitude for Urbanization Charts (GAUC), and Geological Hazard Survey (GHS). Information was collected and reviewed from official guidelines and their updates (Alheiros, 2006; Brasil, 2007; Bittar, 2014; Pimentel & Dutra, 2018; Lana et al., 2021). Recently, Mendonça et al. (2023) focused exclusively on evaluating the effectiveness of the PMRR. Dias et al. (2021) conducted technical comparisons of various landslide susceptibility mapping methods, including the official SS, and several academic approaches. Rocha et al. (2021) argued the effectiveness of SS, GAUC, and GHS based on the case studies in Nova Friburgo, Rio de Janeiro state. However, no previous studies have undertaken a systematic and comparative analysis encompassing all five federal risk assessment methodologies currently implemented in Brazil. Moreover, the existing literature has not thoroughly examined the methodological components, national coverage, their suitability to inform and support DRR initiatives, and the cost per beneficiary. This study aims to bridge these critical gaps by offering a comprehensive evaluation of each federal survey, identifying methodological deficiencies, and proposing evidence-based improvements to enhance the Brazilian DRR strategies for a more resilient society.

2. Overview of Federal Risk Assessment Surveys in Brazil

Since 2004, five different federal risk assessment surveys have been conducted in Brazil, each initiated at different times. The Municipal Risk Reduction Plan (PMRR) represents Brazil's first nationwide initiative aimed at establishing a standardized framework for local-scale risk assessment and disaster mitigation planning. Developed in alignment with the National Policy for Civil Protection and Defense (PNPDEC; Law No. 12,608/2012), the PMRR promotes a paradigm shift from reactive post-

Comentado [TD7]: Comment # 4 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 76: What is "the core elements"? What kind of elements did the previous studies deal with?

Comentado [TD8R7]: We revised the text to clearly express which core elements are lacking in the current literature. The final paragraph was rewritten as follows (L79 - L91 in the revised manuscript)

Comentado [TD9]: Comment # 1 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

This paper compares and discusses five methods: PMRR, GRS, GAUC, SS, and GHS. At the very least, a brief explanation of each method should be written in order to allow the reader to follow the arguments of this paper. Unless this point is clearly stated, it is difficult to properly review and comment on the results and discussion of this paper. So that I believe that a re-review is necessary.

Comentado [TD10R9]: Thank you for your valuable comments and suggestions. We agree that providing a brief explanation of each federal risk assessment method is essential for ensuring clarity and helping the reader follow the comparisons and discussions presented throughout the manuscript. To address this, we added a new section 2. This section now outlines the main objectives, scope, responsible institutions, and typical applications of the five federal surveys in Brazil. (L92 - L153 in the revised manuscript).

disaster responses to proactive risk prevention (Mendonça et al., 2023). Its methodology involves a series of structured phases, including the assessment of geohydrological risk areas, the design of structural countermeasures, cost estimation, and structural and non-structural action plans (Alheiros, 2006). Implementation is coordinated through the Union Resources Decentralized Execution Agreement, typically executed in partnership with universities, public agencies, or private entities (*e.g.*, UFSC, 2007; Souza et al., 2008; IPPLAN, 2016), ensuring technical rigor and local contextualization.

While the PMRR offers a comprehensive and structured framework, the Geological Risk Survey (GRS) was developed as a more responsive diagnostic tool to rapidly assess geohydrological risks in urban environments. Grounded in the conceptual understanding of risk as the interaction among hazard, vulnerability, and potential damage (Tominaga, 2012), the GRS focuses on phenomena such as landslides, debris flows, rockfalls, floods, and flash floods (Lana et al., 2021). Supported by national legislation, it serves both as a strategic input for early warning systems at the federal level and as a technical resource for local land-use regulation, preparedness measures, and emergency response planning (Pozzobon et al., 2018). Its methodology comprises a desk-based analysis using geospatial and thematic data, followed by fieldwork to validate and classify risk areas based on terrain morphology and physical vulnerability of existing infrastructure (Pimentel et al., 2018). Although various state and municipal institutions—such as the Institute for Technological Research (IPT) in São Paulo and the Geotechnical Institute Foundation (GeoRio) in Rio de Janeiro—initially developed their own methodologies, the responsibility for standardizing and implementing the survey nationwide was later delegated to the Geological Survey of Brazil (GSB), a federal agency under the Ministry of Mines and Energy, by directive of the Civil House of the Presidency (*e.g.*, Pascarelli et al., 2013; Lamberty & Binotto, 2022; DRM, 2023).

Whereas the GRS centers on the delineation of existing risk zones, the Susceptibility Survey (SS) seeks to anticipate where future hazards are likely to occur by evaluating the intrinsic predisposition of terrain to trigger geohydrological processes. Officially recognized in Brazil’s legal framework, the SS provides municipalities with technical input to inform land-use regulation and long-term urban planning (SGB, 2023b). This methodology encompasses a range of phenomena, including landslides, debris flows, floods, and flash floods (Antonelli et al., 2020). Its primary objective is to provide municipalities with technical support for territorial management and risk mitigation strategies. The approach is grounded in geospatial modeling techniques, which integrate historical inventory data with geological, hydrological, and geomorphological variables to produce susceptibility maps and classify terrain into distinct levels (Bittar, 2014). Fieldwork is carried out for validating the modeled results. While various academic institutions have proposed alternative approaches for conducting this type of assessment, the GSB is the officially designated authority responsible for implementing SS at the national level (*e.g.*, Lorentz et al., 2016; Dias et al., 2021).

Building upon susceptibility assessments, the Geotechnical Aptitude for Urbanization Chart (GAUC) is a technical survey designed to evaluate the suitability of terrain for supporting various forms of land use, thereby guiding safe and sustainable urban development (Antonelli et al., 2021). Intended to inform municipal planning decisions, GAUC supports territorial management, land-use regulation, and disaster risk reduction policies by identifying geotechnical favorable zones for urban expansion (SGB, 2023c). The methodology involves integrating geological, geomorphological, pedological, and topographic

data with historical records, complemented by detailed field and laboratory investigations to delineate homogeneous geotechnical units suitable for urbanization (Antonelli et al., 2021). Like the previous surveys, GAUC is endorsed by Law No. 12,608/2012 and serves as a planning instrument under the National Policy for Civil Protection and Defense. Although several academic institutions contribute to GAUC development, its systematic national implementation is carried out by the GSB (*e.g.*, Ribeiro & Dias, 2020; Polivanov et al., 2024).

Completing the Brazilian risk assessment framework, the Geological Hazard Survey (GHS) was introduced to enhance the objectivity of hazard detection and provide predictive insight into potential runout distances of sediment-related events. Developed by the GSB, the GHS uses topographic thresholds derived from statistical analyses of historical events to identify susceptible areas and estimate the potential trajectory and runout extent (Pimentel et al., 2020). It is intended to support various stakeholders—including urban planners, civil defense authorities, and policymakers—by offering standardized and spatial outputs that inform land-use regulation, emergency preparedness, and risk mitigation strategies. Its methodological structure encompasses four key stages: compilation of spatial and thematic data, identification of strategic areas, desk-based hazard modeling using topographic conditioning factors, and final field validation to assign hazard classifications (Pimentel et al., 2018). The GHS has been applied in several municipal studies to support land-use planning and disaster risk management (*e.g.*, Facuri & De Lima Picanço, 2021; Ribeiro et al., 2021; Rocha et al., 2021).

These five federal methodologies constitute the backbone of Brazil’s national strategy for assessing and managing geohydrological risks. Although they operate under a shared legal framework and pursue similar overarching goals, each survey differs substantially in scale, technical scope, practical applicability, and intended outcomes. These methodological distinctions raise critical questions regarding their complementarity, integration, and overall effectiveness in supporting disaster risk reduction (DRR) initiatives across multiple levels of governance. The following sections present a systematic comparative analysis to explore these issues, examining key dimensions such as survey design, territorial coverage, operational scale, suitability and alignment with DRR implementation, and relative cost per beneficiary.

3 Materials and Methods

In this study, we conducted a meta-analysis using 300 scholarly publications selected from Google Scholar based on their citations and relevance. From 1979 to 2024, these publications provided information related to Brazil’s sediment disaster history and DRR initiatives, such as legislative frameworks, governmental directives, surveying data, and existing articles. In addition, we supplemented this with government reports and newsletters. Moreover, we systematically analyzed publications on globally acknowledged best practices to assess the surveys and critically derive well-informed recommendations.

3.1 Data collection and analysis

Various public-domain datasets were employed to analyze the disaster landscape in Brazil. The Brazilian Atlas of Natural Disasters, from 1991 to 2023, served as a primary source of official disaster reports (Brasil, 2023). The analysis was further

165 narrowed down to incidents related to sediment disasters. In this database, disasters are recorded based on the issuance of
official emergency or disaster declarations, rather than on the count of individual physical phenomena. For example, a single
entry may represent one or several landslides during the same rainfall event. Therefore, the disaster count in this study reflects
the number of formally recognized events at the municipal level, not the total number of landslide occurrences. The
municipalities highly susceptible to sediment disasters were retrieved from the Ministry of Regional Development—National
Secretariat of Civil Protection and Defense (MDR, 2012), which designates these locations as “critical municipalities” due to
their elevated risk levels. Initially, 286 cities were identified under this classification. The number was later expanded to 821
based on updated federal reports. In this study, we utilized the most recent data, comprising 821 critical municipalities, for our
170 analysis. These areas have been prioritized for the implementation of DRR assessment surveys. The 2022 demographic dataset
and current geopolitical and socioeconomic metrics were obtained from the Brazilian Institute of Geography and Statistics
demographic census (IBGE, 2022). The DRR initiative dataset was derived from the Basic Municipal Information Survey
(IBGE, 2020; 2021), covering human resources, legislative frameworks, territorial management, socioeconomic indicators,
and specific risk and disaster management information. The DRR data detailed initiatives for planning, monitoring, response,
175 and municipal infrastructure to address droughts, flooding, erosion, landslides, and slope failures. The datasets were
reorganized, categorized, and stratified by major geographic regions and federal units and divided by state and municipal
population sizes to obtain a nuanced and region-specific perspective.

3.2 Studied risk assessments

A comparative evaluation of the five federal risk assessment methodologies initiated after 2004, including the PMRR,
180 Geological Risk Survey (GRS), Susceptibility Survey (SS), Geotechnical Aptitude for Urbanization Charts (GAUC), and
Geological Hazard Survey (GHS), was conducted. Information was collected and reviewed from official guidelines and their
updates (Alheiros, 2006; Brasil, 2007; Bittar, 2014; Pimentel and Dutra, 2018; Lana et al., 2021; Antonelli et al., 2020;
Antonelli et al., 2021) alongside outcomes (Mendonça et al., 2023; SGB, 2023a; b; c; d), extracting the essential
methodological concepts and procedures. These surveys were analyzed using the assessment method along with the input and
185 output data.

3.3 Evaluation methods for the impact of risk assessment surveys

We investigated the impacts of these surveys using a four-component evaluation framework, namely, survey implementation
status, applicability to DRR initiatives, progress of these initiatives, and cost-per-beneficiary analysis. This approach assesses
the practical application of these surveys, as outlined by Hungr et al. (2005), their effectiveness in Brazilian states and
190 municipalities using IBGE (2020) data, and their costs in a medium-sized municipality. By integrating these aspects, we aimed
to understand each survey’s utility, performance, and socioeconomic impact on enhancing DRR strategies.

Comentado [TD11]: Comment # 11 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

What is “critical municipalities”? It means the 286 municipalities? Now that the explanation appears in the latter part, you should add some explanation on it before readers see this figure.

Comentado [TD12R11]: L.167–L.170 in the revised manuscript – We have clarified the definition of “critical municipalities” in Section 2.1 (Data Collection and Analysis), where we explain that this designation is based on federal risk classifications and specify that the total number of municipalities included in our study is 821, based on the most recent available data.

3.3.1 Implementation status of risk assessment surveys

The implementation status of these surveys was analyzed to assess their extent and advancement across the country. Data for the PMRR were retrieved from the Basic Municipal Information Survey focused on risk management (IBGE, 2020). The GRS, SS, GAUC, and GHS surveys were obtained from the Geological Survey of Brazil’s official repository, the institute responsible for implementing these surveys (respectively, SGB, 2023a; b; c; d). To effectively assess the implementation status, we calculated the national percentage coverage of each survey relative to the total number of municipalities in a state. Geographic information system (GIS) tools were applied to identify spatial distributions and patterns.

3.3.2 Applicability for disaster risk reduction countermeasures

– Scales

We adopted the ordinal classification system proposed by Fell et al. (2008) and Corominas et al. (2014), emphasizing the need to tailor assessment scales and scopes according to institutional needs, data availability, and the specific objectives of each survey. Based on this framework, we grouped the surveys into four categories: National Scale (< 1: 250,000), Regional Scale (1: 250,000 to 1: 25,000), Local Scale (1: 25,000 to 1: 1,000), and Plot scale (< 1: 5,000). Assuming that each disaster prevention initiative requires a suitable assessment scale, we analyzed how well each survey aligns with these initiatives by applying an applicability gradient—from 0 (Not applicable, dark blue) to 4 (Fully applicable, dark red)—to systematically evaluate their relevance.

– Parameters

To assess the applicability of the risk assessment surveys, we consulted various sources, including critical studies by Aleotti and Chowdhury (1999), Guzzetti et al. (1999), Cascini (2008), Fell et al. (2008), Guzzetti et al. (2012), and Corominas et al. (2023). These sources provided insight into specific objectives, utilities, and information requirements. We focused on the most commonly used parameters in strategies across different stages of the DRR cycle. The parameters refer to national and local legislative frameworks and urban planning for prevention. Preparedness metrics included early warning alert systems, responsive parameters covered ERPs, and mitigation involved prioritization of structural countermeasures (SC). Given the increasing impact of climate change (e.g., Marengo et al., 2021), we integrated specific criteria to evaluate the surveys’ contributions to building a more resilient society, as suggested by Bozzolan et al. (2023).

3.3.3 Implementation status of disaster reduction initiatives

To assess the effectiveness of risk assessment surveys in enhancing DRR efforts, we analyzed the adoption and implementation of initiatives across 5,570 municipalities using the IBGE (2020) dataset. We focused on six key initiatives: 1) Prevention, Master Plan (MP), Municipal Land Use Land Occupation Law (LULOL), and Municipal Landslide Specific Laws (SL); 2) preparedness through early warning alert system (EWS); 3) response via emergency response plan (ERP); and 4) mitigation

Comentado [TD13]: Comment # 5 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 120: ...disaster reduction... -> ...disaster risk reduction... ?

Comentado [TD14R13]: L199 in the revised manuscript – Update the manuscript to enhance clarity and standardization. Disaster Risk Reduction was adopted.

Comentado [TD15]: Comment # 6 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 125: There are two “Local Scale”s.
Original sentence: We adopted the ordinal classification system, proposed by Fell et al. (2008) and Corominas et al. (2014), for scoring, emphasizing customization of assessment scale and scope to match local and state authorities’ requirements, data availability, and survey objectives. Consequently, we categorized the surveys into four distinct assessment scales: National Scale (< 1: 250,000), Regional Scale (1: 250,000 to 1: 25,000), Local Scale (1: 25,000 to 1: 5,000), and Local Scale (1: 25,000 to 1: 5,000).

Comentado [TD16R15]: L204 in the revised manuscript – Removed the repeated local scale. And included the corrected Plot scale (< 1: 5,000).

Comentado [TD17]: Comment # 7 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 126-127: This sentence seems to be very difficult to understand. I would like it to be written more clearly.
Original sentence: These scales enable a tailored approach, allowing specific interventions at each level and ensuring practical and effective outcomes for diverse contexts.

Comentado [TD18R17]: L201–L207 in the revised manuscript – Thank you for your observation. We agree that the original sentence required greater clarity and have reformulated the passage to enhance its readability and explicability. The revised section now clearly outlines the categorization of the surveys and the rationale behind the assessment scales. However, we are not entirely sure if we have fully captured the intent of your comment. If the updated version still does not adequately address your concern, we would be grateful if you could provide further clarification so we can make the necessary improvements. Specifically, the text now reads:

by implementing structural countermeasures (SC). **These comprise five nonstructural initiatives promoting appropriate land-use policies (MP, LULOL, and SL), management (EWS, ERP), and one structural measure (SC).** The GAUC and GHS were excluded from this analysis due to their limited national representativeness. Furthermore, we employed two statistical methods to evaluate the impact of these surveys on DRR initiatives in the states. The analyses were performed using Jamovi, a free and open-source statistical software for data analysis (Jamovi, 2024).

1) Spearman's rank correlation coefficient test (ρ) was used for nonparametric data distribution to measure the strength and direction of the association between the surveys and DRR initiatives (Rezaei et al., 2018). This method ranks the data and calculates the correlation based on these ranks, making it robust against outliers and suitable for nonlinear relationships using Eq. (1):

$$\rho = 1 - \frac{6 \sum d_i^2}{n(n^2-1)} \quad (1)$$

where d_i represents the differences in ranks between the two variables for each observation i , and n is the total number of observations. The value of ρ ranges from -1 to $+1$, where positive values indicate a positive correlation and negative values indicate a negative correlation. The strength of the correlation is interpreted based on: $0-0.2$ (very weak), $0.2-0.4$ (weak), $0.4-0.6$ (moderate), $0.6-0.8$ (strong), and $0.8-1$ (very strong).

2) McDonald's Omega (ω) was employed in the reliability testing to quantify the overall commonalities and unique attributes within the factor structure of survey instruments (McDonald, 1999). This approach is particularly effective for understanding how items in a survey collectively reflect a single underlying construct. The Omega coefficient is calculated using Eq. (2):

$$\omega = \frac{\sum \lambda_i^2}{\sum \lambda_i^2 + \sum \psi_i} \quad (2)$$

where λ_i represents the factor loadings of the items on the common factor, indicating the degree of correlation between each item and the underlying construct; ψ_i denotes the unique variances of the items, capturing the proportion of each item's variance that is not explained by the common factor. This dual consideration of commonalities and unique aspects enhances the precision of reliability assessments, ensuring that each item's contribution to the overall construct is accurately measured. These statistical techniques provide a robust basis for understanding the correlation between surveys and the effectiveness of implemented DRR initiatives, aiding in the refinement and strategic planning of future strategies.

3.4 Costs per beneficiary

According to Heo and Heo (2022), cost-per-beneficiary analysis (CBA) is a vital socioeconomic tool for quantitatively assessing the efficiency and impact of DRR strategies. This evaluation allows an objective assessment of the financial investment relative to the direct benefits received by the communities (Price, 2018). A basic CBA approach has been employed to investigate RA surveys, focusing on medium-sized municipalities in Brazil. The analysis considered the PMRR of São José dos Campos municipality in São Paulo state (IPPLAN, 2016). The division manager at the Geological Survey of Brazil,

Comentado [TD19]: Comment # 8 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 143-144: Is "structural measure (SC)" included in the "six non-structural initiatives"? It seems obviously contradictory.

Original sentence: **These comprise six nonstructural initiatives promoting appropriate land-use policies (MP, LULOL, and SL), management (EWS, ERP), and one structural measure (SC).**

Comentado [TD20R19]: L.222-L.223 in the revised manuscript

– Corrected the sentence as follows: "These comprise five nonstructural initiatives promoting appropriate land-use policies (MP, LULOL, and SL), management (EWS, ERP), and one structural measure (SC)."

responsible for implementing GRS, SS, GAUC, and GHS surveys, directly provided key performance metrics. All monetary values originally in Brazilian Reais have been converted into US dollars for enhanced clarity. The CBA ratio was computed by dividing the average implementation costs by the average number of beneficiaries. A higher **cost per beneficiary** means that the survey is less economically efficient due to a higher cost per beneficiary (Shreve and Kelman, 2014). Conversely, a lower ratio suggests a better economic efficiency, indicating that the survey benefits more people than the costs incurred. Therefore, this analysis helps policymakers and stakeholders to identify the most cost-effective surveys, aiding in informed resource allocation decisions.

4 Sediment Disaster Risk Assessments Surveys in Brazil

In Brazil, various classifications for sediment phenomena are used (e.g., Cruden and Varnes, 1996; Augusto Filho, 1992; Hungr et al., 2014). However, the Brazilian Classification and Coding of Disasters (COBRADE) categorized these phenomena into four main types: 1) Falling, tilting, and rolling (involving blocks, flakes, boulders, and slabs), 2) landslides (both shallow and deep-seated), 3) flows (comprising soil/mud and rock/debris), and 4) subsidence and collapses (COBRADE, 2012).

4.1 Brief history of sediment disasters and risk assessment

Disasters are a product of political and historical decisions related to territory organization and social coexistence in response to hazard exposure (Veyret, 2007). To identify and quantify the correlations among key determinants that may convert sediment-related phenomena into disasters, we analyzed data from the Brazilian Institute of Geography and Statistics (IBGE, 2020; 2022) and assessed the correlation of geopolitical, demographic, and socioeconomic factors, such as the total and urban areas (km²) of states, population (10⁶ habitants), demographic density (Hab./Area), and the human development index (HDI) with disasters and critical municipalities reports within states (Fig. 1). The HDI is a composite statistic that measures a country's social and economic development across three main dimensions: (1) Life Expectancy, (2) Education, and (3) Income. Given the nonlinear nature of these relationships, we employed the Spearman coefficient (ρ) to conduct a nonparametric data analysis.

Our analysis revealed significant correlations that aid in understanding disaster risk (Fig. 1). For example, a robust positive correlation exists between population and the occurrence of disasters ($\rho = 0.855$), suggesting that higher population densities are associated with a greater frequency of disasters. Similarly, urban area size shows a strong positive correlation with both the number of disasters ($\rho = 0.782$) and **critical municipalities** ($\rho = 0.753$), indicating that larger urban areas are more susceptible to disasters, likely owing to increased population density, infrastructure complexity, and economic activities. Additionally, demographic density exhibits a moderate positive correlation with disasters ($\rho = 0.415$), underscoring the role of population concentration in disaster occurrence. Interestingly, the HDI moderately correlates with disaster incidence ($\rho = 0.387$), and this correlation may reflect the dual role of socioeconomic development in enhancing disaster reporting and response capabilities while increasing the number of assets at risk.

Comentado [TD21]: Comment # 9 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025
Line 177: "cost-benefit ratio" --> "cost per beneficiary" ?
Original sentence: **A higher cost-benefit ratio....**

Comentado [TD22R21]: L.256 in the revised manuscript – Systematically adjusted the terminology related to cost per beneficiary to ensure consistency and standardization.

Comentado [TD23]: Comment # 13 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025
Line 195: "rho" should be written in Greek letter.
Original sentence: **Given the nonlinear nature of these relationships, we employed the Spearman coefficient (rho) to conduct a nonparametric data analysis.**

Comentado [TD24R23]: L.274 in the revised manuscript – The term "rho" has been updated to the corresponding Greek symbol (ρ) in the revised manuscript to ensure proper formatting and consistency with academic standards.

Comentado [TD25]: Comment # 11 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025
What is "critical municipalities"? It means the 286 municipalities? Now that the explanation appears in the latter part, you should add some explanation on it before readers see this figure.

Comentado [TD26R25]: L.167–L.170 in the revised manuscript – We have clarified the definition of "critical municipalities" in Section 2.1 (Data Collection and Analysis), where we explain that this designation is based on federal risk classifications and specify that the total number of municipalities included in our study is 821, based on the most recent available data.

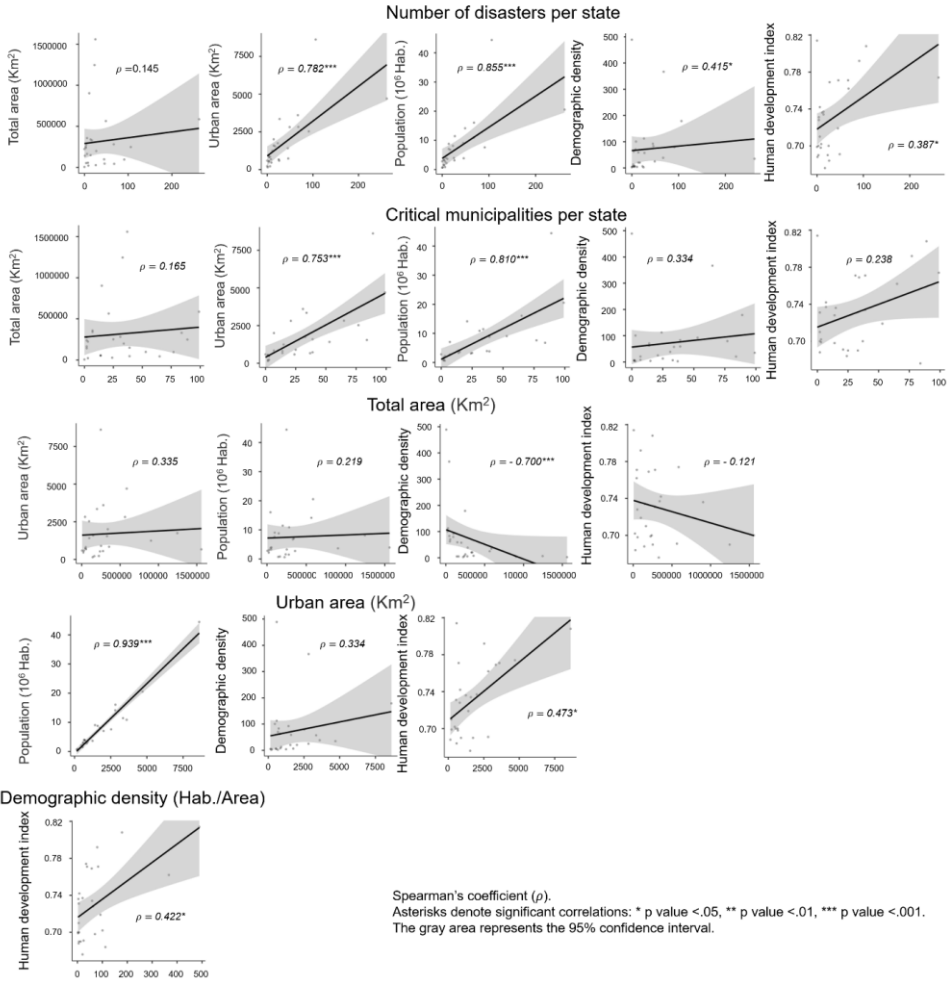


Figure 1: Correlation analysis between disasters and critical municipalities by state, considering geopolitical, demographic, and socioeconomic variables, based on MDR, (2012); IBGE, (2021, 2022); and Brasil, (2023).

Comentado [TD27]: Comment # 10 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Figure 1.: At the top are figures comparing the number of disasters per state with total area, urban area, population and so on. I would like a clear explanation of how the number of disasters is counted. If 10 landslides occur in one heavy rainfall event, should each be counted as one, or should they be counted as ten? This would likely change the interpretation of the figures.

Comentado [TD28R27]: It is essential to clarify that the disaster counts in this database (Brasil, 2023) are based on officially recognized disaster declarations, not on individual occurrences of phenomena. In other words, a single event recorded in the Atlas may encompass multiple landslides triggered by the same meteorological episode. Therefore, the number of disasters reflects the number of declared emergencies rather than the total number of individual landslide occurrences.

L162–L165 in the revised manuscript – Enhanced version included in the methods section (2.1 Data collection and analysis).

4.1.1 Risk assessment before 2010

Following a series of significant SRD events in the states of Rio de Janeiro (1966, 1967, 1988, 1996) and São Paulo (1967), as documented by De Ploey and Cruz (1979), Schuster et al. (2002), Vieira and Fernandes (2004), and Avelar et al. (2013), a variety of regional methodologies gained prominence in the 1990s (Pimentel et al., 2020). By the mid-2000s, Brazil began developing systematic strategies for managing its impacts (Giustina, 2019). The government opted for a national-level unified risk assessment approach. In 2003, the first nationwide survey was started, and the PMRR was designed (Brasil, 2006) to promote strategic planning instruments to assess risks and propose structural solutions for mitigation (Sect 2; Table 1).

4.1.2 Mega-disaster of the mountainous region of Rio de Janeiro in 2011

In January 2011, Brazil experienced the most catastrophic disaster in its recorded history, namely, the Mega-disaster of the mountainous region of Rio de Janeiro (Dourado et al., 2012). This calamity was induced by torrential rainfall (241.8 mm / 24 h; peak intensity of 61.8 mm / 1 h). The total rainfall recorded between January 1 and 12 was 573.6 mm (Netto et al., 2013). The impact was a series of destructive debris flows, mudflows, and a substantial number of landslides, approximately 3600 (Fonseca et al., 2021). The official records indicate 947 fatalities, more than 400 missing people, and over 50,000 left homeless (Dourado et al., 2012). The financial cost was approximately US\$2.5 billion (World Bank, 2012).

After this event, the government promptly implemented measures to address sediment disasters. In the same year, Brazil established the National Plan for Risk Management and Response to Natural Disasters (MDR, 2012). Additionally, the government endorsed Law No. 12.608/2012 (Brasil, 2012), establishing the National Policy of Protection and Civil Defense, National System of Protection and Civil Defense, National Council of Protection and Civil Defense, National Plan for Risk Management and Response to Natural Disasters (NPRMRND), and National Center for Monitoring and Alerts of Natural Disasters. This law constitutes Brazil’s most comprehensive legislative instrument for disaster risk reduction, integrating multiple policy mechanisms to mitigate the impacts of socioeconomic disasters. As part of the NPRMRND, the GRS was launched in 2011, targeting 821 critical municipalities (Sect 2; Table 1) (SGB, 2023a).

4.1.3 Last decade

Another NPRMRND component was the launch of two additional surveys focusing on urban planning. These surveys aimed to develop a progressive assessment framework and enhance preventive strategies, mainly providing strategic information for the municipality’s decision-makers to optimize land-use management. Thus, the SS was developed in 2012, followed by the launch of the GAUC in 2014 (Table 1; SGB, 2023b; SGB, 2023c). Between 2013 and 2017, the governments of Brazil and Japan engaged in a bilateral cooperation initiative known as the GIDES Project, which ultimately led to the development of the GHS in 2018 (Sect 2; Table 1) (Pimentel et al., 2020).

Table 1: Summary of the key findings of the Brazilian surveys for disaster prevention.

Survey	Year	Objectives	Surveyed municipalities (December 2023)	Phenomena analyzed	Assessing topographic unit	Classes	Zone identification	Information provided	Main reference
Municipal Disaster Risk Reduction Plan (PMRR)	2003	Structural countermeasures planning	729	Landslides Flash floods Gradual floods	Hillslope, plot	2 or 4	Initiation	Risk areas; Affected infrastructure; Affected population; Cost-benefits; Structural countermeasures prioritization	Alheiros (2006); IBGE (2020); Mendonça et al. (2023)
Geological Risk Survey (GRS)	2011	Monitoring for early-warning, alert emissions, and emergency planning	1676 (+277 updates)	Landslides Soil creep Rock falls Debris flows Gradual floods Flash floods	Hillslope, plot	2	Initiation	High and very high risk areas; Affected infrastructure; Affected population	Brasil (2007); Lana et al. (2021); SGB (2023a)
Susceptibility Survey (SS)	2012	Urban planning	654	Landslides Debris flows Gradual floods Flash floods	Catchment	3	Initiation	Susceptibility areas	Bittar (2014); Antonelli et al. (2020); SGB (2023b)
Geotechnical Aptitude for Urbanization Charts (GAUC)	2014	Urban planning	17	Landslides Soil creep Rockfalls Debris flows Gradual floods Flash floods	Catchment	3	Initiation	Different land uses aptitude classes depending on the terrain characteristics	Antonelli et al. (2021); SGB (2023c)
Geological Hazard Survey (GHS)	2018	Comprehensive Disaster Risk Reduction Management	12	Landslides Debris flows Rockfalls	Catchment, hillslope, plot	4	Initiation Transportation Deposition	Hazardous areas phenomena's maximum extent; Magnitude	Pimentel and Dutra (2018); SGB (2023d)

4.1.4 Correlation analysis of surveys with geopolitical and socioeconomic variables

Figure 2 shows a strong-to-moderate correlation between these surveys and geopolitical, demographic, and socioeconomic variables. GAUC and GHS were excluded from this figure due to the small number of municipalities implemented (17 and 12, respectively). The surveys showed strong positive correlations with the occurrence of disasters (PMRR, $\rho = 0.896$; GRS, $\rho = 0.686$; SS, $\rho = 0.759$) and critical municipalities (PMRR, $\rho = 0.792$; GRS, $\rho = 0.749$; SS, $\rho = 0.691$), indicating that these surveys effectively target municipalities with higher disaster incidences and heightened vulnerability. Population size (PMRR, $\rho = 0.872$; GRS, $\rho = 0.646$; SS, $\rho = 0.624$) and urban area size (PMRR, $\rho = 0.841$; GRS, $\rho = 0.582$; SS, $\rho = 0.613$) also play significant roles, suggesting prioritization of larger, more densely populated urban areas.

Comentado [TD29]: Comment # 12 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Figure 2: Why is this figure just for PMRR, GRS, and SS? Why are not GHS and GAUC shown?

Comentado [TD30R29]: Thank you for bringing this important point to our attention. The decision to include only PMRR, GRS, and SS in Figure 2 was based on the extent of their municipal coverage across Brazil. While GAUC and GHS are indeed part of our assessment framework, they were excluded from this specific figure due to their limited representativeness. According to Table 1, GAUC and GHS assessments have been conducted in only 17 and 12 municipalities, respectively—a notably small sample size when compared to the broader implementation of the other methods. **L.320–L.321 in the revised manuscript** – So, we add this explanation in the text as follows: GAUC and GHS were excluded from this figure due to the small number of municipalities implemented (17 and 12, respectively).

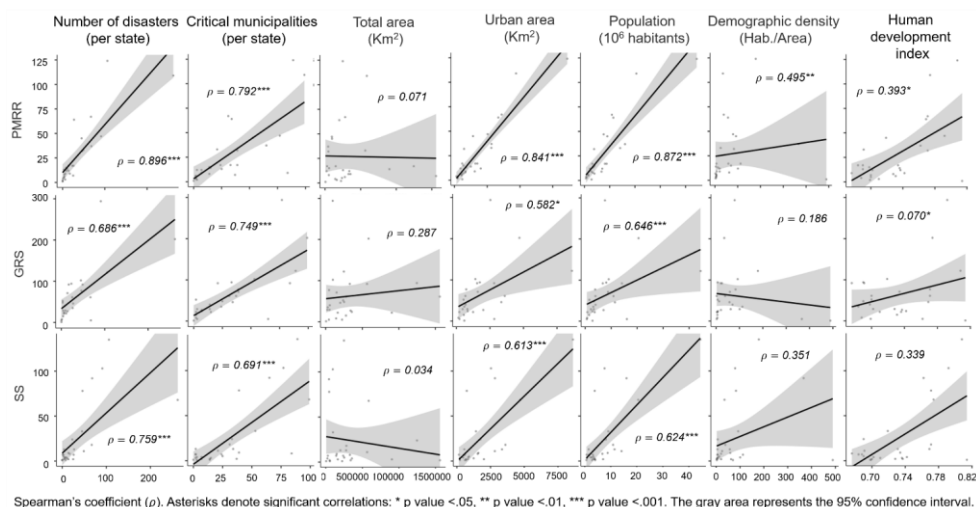


Figure 2: Correlation analysis of geopolitical, demographic, and socioeconomic variables with DRR assessment surveys. Analysis based on IBGE (2020) and SGB (2023a, b).

4.2 Risk assessment methodologies

4.2.1 General description

The Geological Survey of Brazil conducts GRS, SS, GAUC, and GHS surveys, employing standardized and unified methods throughout the entire national territory (Pozzobon et al., 2018). Although PMRRs adhere to federal basic guidelines, the method varies considerably depending on local specifics and survey entities (Mendonça et al., 2023).

All methods employ heuristic-based analyses to identify hillslopes and catchments where landslides and debris flows are likely to occur and qualify as prone areas (Table 2). The GRS is purely qualitative and relies only on expert judgment to distinguish between high and very high classes (Lana et al., 2021). The other surveys provide probabilistic evaluations through empirical approaches. For instance, SS uses the relief unit's landslide density index. This approach helps distinguish and categorize areas into high or moderate classes based on their landslide density (Antonelli et al., 2020). The GAUC prioritizes moderate-to-low susceptibility areas for evaluation, deliberately excluding areas classified as highly susceptible. Environmental protected areas are also omitted. The characterization of geotechnical units is defined by a combination of the underlying lithological substrate and the overlying unconsolidated covering data (SGB, 2023c). GHS applies an empirical approach based on statistical analyses of the damage caused by past events (SGB, 2023d). Furthermore, none of these methods relies on deterministic analyses.

The GRS integrates a heuristic approach for exposure analysis and risk area delimitation (Lana et al., 2021). On the contrary, Mendonça et al. (2023) noted that only a limited number of PMRRs partially fulfilled the criteria for exposure analysis, physical

345 vulnerability assessment, risk estimation, multi-criteria spatial evaluation, and risk information. For example, despite being
advocated in the guidelines, social participation does not occur effectively. The authors emphasized that only 6% of PMRRs
adopted multi-criteria analyses. Merely 4% considered cost–benefit ratios as a prioritization criterion for structural measures.
Usually, prioritization focuses on risk classes and the number of households at risk.

350 **Table 2: Comparative analysis of survey methods in Brazil. Analysis based on Alheiros (2006); Lana et al. (2021); Antonelli et al. (2020; 2021); and Pimentel and Dutra (2018).**

Criteria			Survey					
			PMRR	GRS	SS	GAUC	GHS	
Assessment method	Unified methodology		-	✓	✓	✓	✓	
	Heuristic	Terrain instabilities features	✓	✓	✓	✓	✓	
	Empirical	Data-driven methods	✓	-	✓	✓	✓	
	Probabilistic	Field measurements	!	-	!	✓	✓	
		Laboratory analysis	-	-	-	✓	-	
	Deterministic	Analytical methods	-	-	-	-	-	
		Numerical methods	-	-	-	-	-	
	Analysis scale	Plot scale	!	!	-	-	!	
		Hillslope scale	!	!	!	!	!	
		Catchment scale	-	-	✓	✓	✓	
	Data analysis	Error	-	-	-	-	-	
		Accuracy	-	-	-	-	-	
		Uncertainty	-	-	-	-	-	
		Precision	-	-	-	-	-	
	Quantitative Risk Analysis Suggested by: Fell et al. (2008) and Corominas et al. (2014)	Hazard identification prone areas		✓	✓	✓	✓	✓
		Hazard assessment	Frequency	-	-	-	-	-
			Return Period	-	-	-	-	-
			Magnitude	-	-	-	-	!
			Runout analysis	-	-	-	-	!
		Exposure analysis	Temporal	-	-	-	-	-
			Spatial	!	!	-	-	-
		Vulnerability assessment	Physical vulnerability	!	-	-	-	-
			Social vulnerability	-	-	-	-	-
		Risk estimation		-	-	-	-	-
		Risk scenarios		!	-	-	-	-
		Quantitative assessment		-	-	-	-	-
		Risk curves analysis		-	-	-	-	-
Qualitative assessment		-	-	-	-	-		
Spatial multi-criteria evaluation		!	-	-	-	-		
Risk information		!	!	-	-	-		
Legend								
Integral (✓)			Signifies complete adherence to the criteria as outlined in the literature's best practices.					
Limited (!)			Indicates partial compliance with the criteria as outlined in the literature's best practices.					
Not applicable (-)			Indicates that the method does not encompass the criteria or deviates from the best practices outlined in the literature.					

The topographic units used to assess risk vary depending on the purpose of each survey. The SS, GAUC, and GHS conduct catchment (> 10 ha) analyses (Table 1; Fig. 3). In some instances, GHS also conducts plot scale (1 – 100 m²) analyses. On the other hand, the PMRR and GRS employ partial plot (100 – 500 m²) and hillslope (> 500 m² – 10 ha) examinations. None of these surveys assesses the correlation between landslide incidents and rainfall patterns. Data analysis was conspicuously absent in all the surveys (Table 2). In addition, all the surveys considered hazard identification-prone areas. Notably, none of the surveys consider hazard assessment criteria such as frequency and return period, except for the GHS, which incorporates limited analysis of magnitude and runout distance (Pimentel & Dutra, 2018).

4.2.2 Input data and data collection

360 The surveys mainly relied on the inventory of past disasters and topographic input data (Table 3). For instance, all surveys considered the role of slope gradients (e.g., usually ≥ 25°for shallow landslides) (e.g., Pimentel and Dutra, 2018). Other

Comentado [TD31]: Comment # 14 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 292-293: "plot scale" and "partial plot" How large are they?

Comentado [TD32R31]: L.352-L.354 in the revised manuscript – We agree that the terms "plot scale" and "partial plot" require further clarification. We added the size of each scale as follows.

topographical parameters are not included, except for GHS, which considers the roles of elevation, slope length, shape, and direction. (e.g., slope height ≥ 5 m for landslide-prone areas). Usually, landslide inventory and topographic parameter data are collected through field inspection or aerial orthophotos and satellite imagery interpretations (SGB, 2023a). Recently, an emerging trend has involved the adoption of drones and unmanned aerial vehicles to acquire high-resolution imagery and updated topographic data (SGB, 2023b).

Table 3: Comparative analysis of the input data per survey in Brazil. Analysis based on Alheiros (2006); Lana et al. (2021); Antonelli et al. (2020; 2021); and Pimentel and Dutra (2018).

Criteria				Survey					
				PMRR	GRS	SS	GAUC	GHS	
Input data	Preparatory factors	Surface condition	Inventory		✓	!	✓	✓	✓
			Topography	Elevation	-	-	-	-	✓
				Slope gradient	✓	✓	✓	✓	✓
				Slope direction	-	-	-	-	!
				Slope length	-	-	-	-	✓
				Slope shape	-	-	-	-	✓
				Stream network and drainage density	-	-	!	-	-
		Land use and anthropogenic factors	Buildings		!	!	-	-	-
			Drainage and irrigation networks		!	!	-	-	-
			Dams and reservoirs		!	!	-	-	-
			Vegetation		!	!	-	!	!
			Informal human interventions		!	!	-	!	!
	Underground condition	Geology	Lithology		-	-	!	✓	!
			Structural		-	-	!	✓	!
			Discontinuities		-	-	!	✓	!
			Soil type		-	-	✓	✓	✓
		Soil	Soil thickness		-	-	-	✓	✓
			Soil strength		-	-	-	✓	✓
			Bedrock strength		-	-	-	-	-
			Geotechnical properties		-	-	-	✓	-
			Soil/bedrock hydraulic parameters		-	-	-	!	-
			Groundwater		-	-	-	!	-
	Triggering factor	Rainfall analysis		-	-	-	-	-	
		Magnitude/Frequency relations		-	-	-	-	-	
		IDF curves		-	-	!	-	-	
		Rainfall thresholds		-	-	-	-	-	
		Temperature		-	-	-	-	-	
		Humidity		-	-	-	-	-	
Legend									
Integral (✓)		Signifies complete adherence to the criteria as outlined in the literature's best practices.							
Limited (!)		Indicates partial compliance with the criteria as outlined in the literature's best practices.							
Not applicable (-)		Indicates that the methodology does not encompass the criteria or it deviates from the best practices outlined in the literature.							

Various additional data are used for each risk assessment. The PMRR and GRS heuristically incorporate land-use and human-impact variables, such as irrigation and drainage networks, cut-fill, and dams (Table 3). These surveys use census data, such as population and building materials type (i.e., masonry and wood), to correct data about land-use and human impacts (Mendonça et al., 2023; SGB, 2023a). The SS, GAUC, and GHS partially incorporated controls related to subsurface factors, such as geological data and soil characteristics (Table 3). To assess disaster risk, these surveys used spatial correlations between phenomena, i.e., landslides, debris flow occurrences, lithology, and soil types (Pimentel et al., 2020). The SS establishes a correlation between the occurrence of geological discontinuities, such as faults or fractures (discontinuity density index), and the frequency of landslides in a given area. This approach enables a more accurate categorization (Antonelli et al., 2020). The GAUC evaluates soil properties using a combination of field and laboratory measurements (SGB, 2023c). Field tests involve tactile-visual examination and determining the soil's bearing capacity under vertical loading. The laboratory tests conducted

include consistency limits (or Atterberg limits) tests to determine the soil’s liquid, plastic, and shrinkage limits and particle size distribution (granulometry) tests (Antonelli et al., 2021). In contrast, the GHS utilizes measurements of soil thickness to define the maximum extent of potential phenomena (Pimentel & Dutra, 2018). However, none of these surveys considered triggering factors, such as rainfall, precipitation, or thresholds, for assessing critical areas.

4.2.3 Outcomes and output data

The information provided depends on the objective of the investigation. Furthermore, except for the PMMR, all other survey results are readily accessible online (SGB, 2023a, b, c, d). Generally, these datasets encompass technical reports (except for SS), thematic charts, and GIS data. Most surveys classify sensitive areas into 2–4 levels, except for GAUC, wherein the number of classes varies depending on the municipality’s complexity (Table 1). All these surveys provide data concerning sediment-related phenomena, including landslides, debris flow, and rockfall (Table 4). All surveys also identified the potential initiation zone. The GHS also provided a potential area for sediment transport and deposition. The first two surveys, PMRR and GRS, aim to support structural and nonstructural countermeasures planning (Table 1), providing information regarding the impact on infrastructure and affected populations. Specifically, PMRR offers an inventory of SC tailored to mitigate risks and cost–benefit estimations.

Table 4: Comparative analysis of the output data per survey in Brazil. Analysis based on Alheiros (2006); Lana et al. (2021); Antonelli et al. (2020; 2021); and Pimentel and Dutra (2018).

Criteria		Survey				
		PMRR	GRS	SS	GAUC	GHS
Output data	Physical characterizer of soil movement	Type of Movement	✓	✓	✓	✓
		Speed of Movement	-	-	-	-
		Moisture Content	-	-	-	-
		Grain Size and Distribution	-	-	!	-
		Soil Structure	-	-	!	-
		Slope Angle and Topography	-	-	-	✓
		Vegetation Cover	-	-	-	-
		Human Activity	!	!	-	-
		Shear Strength	-	-	!	-
		Load Capacity	-	-	✓	-
		Erosion Rate	-	-	!	-
		Land Use History	-	-	-	-
	Zone identification	Initiation zone identification	✓	✓	✓	✓
		Transportation zone	-	-	-	✓
		Deposition zone	-	-	-	✓
	Impact analysis	Affected infrastructure	✓	!	-	-
		Affected population	✓	!	-	-
		Cost-benefits	!	-	-	-
		Frequency	-	-	-	-
		Magnitude	-	-	-	!

Legend

Integral (✓) Signifies complete adherence to the criteria as outlined in the literature’s best practices.

Limited (!) Indicates partial compliance with the criteria as outlined in the literature’s best practices.

Not applicable (-) Indicates that the methodology does not encompass the criteria or it deviates from the best practices outlined in the literature.

Moreover, SS provides a legend with detailed descriptions and illustrative representations of distinct classes explicitly tailored to urban planning. The GAUC survey categorizes terrain into three primary aptitude classes (high, moderate, and low), which are subdivided into specific geotechnical units, offering detailed insights and recommendations for each unit's land-use planning. It also presents supplementary maps and strongly emphasizes information related to aptitude classes and soil characteristics. GHS focuses on topographic data, providing hazardous-prone areas, delineating potential maximum extents, and distinguishing them into critical and dispersion areas (Table 4).

In addition, Rocha et al. (2021) evaluated the effectiveness of the SS, GHS, and GAUC methods in the municipality of Nova Friburgo by assessing landslides triggered during the 2011 mega-event in the mountainous region of Rio de Janeiro. The study found that the GHS method outperformed the others, achieving a 95% match between mapped hazard zones and areas destroyed by the disaster, indicating strong performance in identifying true positives. However, the authors also reported that 47% of areas were classified as hazardous by the GHS, suggesting that there were also many slopes that were deemed dangerous but did not collapse. In contrast, the SS and GAUC methods yielded an accuracy of 55% and were considerably less effective in detecting the affected areas.

5. Evaluation Results of Risk Assessment Surveys

5.1 Implemented status of surveys

These surveys are still in progress in 2024 and have not yet achieved nationwide coverage. Based on information from IBGE (2020), the PMRR was carried out in 729 municipalities (Fig. 3a). However, a comprehensive national repository for these data is currently unavailable. Espírito Santo and Rio de Janeiro are the only states with around 40% of their municipalities surveyed. In Amapá and Pernambuco, surveying has been done for approximately one-quarter of their municipalities. The remaining states have less than 20% of their municipalities surveyed.

The GRS was surveyed in 1676 municipalities and implemented updates for 277 (Table 01; Fig. 3b). According to the SGB (2023a), Acre, Rondônia, and Distrito Federal have been thoroughly surveyed. Amazonas, Santa Catarina, and Espírito Santo covered over 90% of the state. Several states have achieved significant progress, covering over 50% of their territory, notably in Amapá, Pará, Pernambuco, and Roraima. In contrast, other states are still in the initial phases of surveying, with less than 20% coverage, including Goiás, Minas Gerais, Paraíba, Paraná, Piauí, Rio de Janeiro, Rio Grande do Norte, Rio Grande do Sul, São Paulo, Sergipe, and Tocantins. Notably, the Department of Mineral Resources of the State of Rio de Janeiro (DRM-RJ) surveyed 87% of the state using a different methodology for assessing imminent geological risk, which was not evaluated in this study (DRM, 2023).

The number of municipalities surveyed for SS is relatively like PMRR. According to the SGB (2023b), the surveying processes in Distrito Federal, Espírito Santo, and Rio de Janeiro have been completed, followed by Amapá (43%), Santa Catarina (34%), and São Paulo (20%), which are at more advanced stages of survey completion (Fig. 3c). Conversely, the remaining states demonstrate less than 20% coverage. Furthermore, more recent surveys, such as GAUC and GHS, have assessed only 17 and

Comentado [TD33]: Comment # 15 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

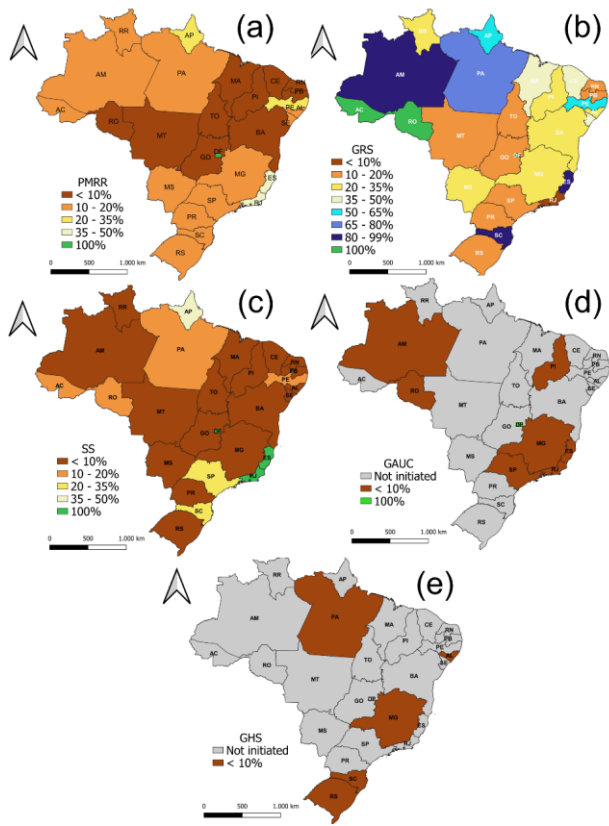
Line 345-347: If it is written in the literature, the accuracy of the prediction should be evaluated not only in terms of the hit rate but also in terms of the miss rate. The GHS method may have determined in advance that 95% of the collapsed areas were dangerous, but it would be appropriate to also indicate how many slopes were determined to be dangerous but did not collapse.

Original sentence: The study found that the GHS method outperformed the others, achieving an accuracy coefficient of 95% in identifying areas destroyed by the disaster.

Comentado [TD34R33]: L407–L409 in the revised manuscript

– According to the reviewer's comment, we revised the original article about the missed rate, but it was not directly shown in the previous study. On the other hand, we did find out what range was considered to be the dangerous range, so we have added that information as follows: However, the authors also reported that 47% of areas were classified as hazardous by the GHS, suggesting that there were also many slopes that were deemed dangerous but did not collapse.

430 12 municipalities, respectively (SGB, 2023c, d). GAUC's efforts are primarily concentrated in Rio de Janeiro state, achieving 5% of coverage (Fig. 3d). In contrast, GHS is focused on Santa Catarina, with 2% coverage (Fig. 3e).



435 **Figure 3: Coverage of state-level DRR surveys.** Analysis based on IBGE (2020) and SGB (2023a, b, c, d). The current status refers to December 2023.

5.2 Examining the correlation between risk assessment surveys and the implementation of disaster reduction countermeasures

Risk assessment surveys are vital resources for various risk-management initiatives. Therefore, the effectiveness of these surveys can be evaluated by examining the activities and initiatives developed from the basic information provided by them.

Comentado [TD35]: Comment # 17 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 384 – 402: The percentage values in the text cannot be found in Figure 4. Please either add a figure or discuss only the values that can be found in the figure.

Original sentences: The Federal District and states like Rio de Janeiro (42%), Acre (40%), Espírito Santo (39%), and Pernambuco (37%) lead in integrating such strategies. Conversely, states including Tocantins, Rondônia, Amazonas, Amapá, and others show less than 20% incorporation of these preventive measures in their MPs, highlighting regional disparities in DRR emphasis (Fig. 4). The northern region shows particular deficiencies in disaster prevention, with states like Roraima and Rondônia lagging significantly; 80% and 77% of their municipalities, respectively, lack additional disaster prevention strategies. In the Northeast, disparities are stark, with low implementation rates in states like Piauí, Rio Grande do Norte, and Paraíba. Pernambuco stands out in terms of more comprehensive DRR efforts. In contrast, the Southeastern region displays the highest DRR engagement in Brazil, with Minas Gerais mapping 30% of risk areas but only 5% implementing protective measures. Espírito Santo and Rio de Janeiro demonstrate higher implementation rates in various DRR strategies, whereas São Paulo faces challenges, with 54% of its municipalities not implementing any measure (Fig. 4). Santa Catarina leads the Southern region in disaster risk mapping, yet lags in engineering and EWS implementations. Paraná shows moderate DRR implementation, and Rio Grande do Sul has the lowest engagement. The Distrito Federal excels in DRR strategies in the Middle-Western region, although it lacks engineering plans. The DRR efforts in Mato Grosso and Goiás are inadequate, and Mato Grosso do Sul shows a mixed performance, emphasizing the regional challenges in DRR strategy implementation (Fig. 4).

Comentado [TD36R35]: L438–L476 in the revised manuscript – Thank you for pointing out this incredible mistake. We have revised the text to ensure that all percentage values now directly correspond to those presented in Figure 4. Additionally, the graphs have been improved to visually emphasize regional divisions through the use of color coding, enhancing interpretability and regional comparison in Section 5.2 Examining the correlation between risk assessment surveys and the implementation of disaster reduction countermeasures.

440 **Figure 4** illustrates the distribution of municipalities across the Brazilian states that have adopted various DRR initiatives, such
as master plans (MP), landslide-specific laws (SL), land-use and land-occupation laws (LULOL), early warning systems
(EWS), emergency response plans (ERP), and structural countermeasures (SC). The regional distribution of DRR initiatives
across Brazilian states reveals notable contrasts in implementation levels. First, the Federal District was excluded because it
contains only one municipality, which could distort the overall analysis. The results showed that among Brazil's 5,570
445 municipalities, only about 15% on average have implemented master plans. This low implementation rate is consistent across
most states. The Southeast and South regions demonstrate higher implementation levels (Fig. 4), while the North and
Midwestern regions show considerably lower levels. Rio de Janeiro (33%), Espírito Santo (27%), and Santa Catarina (23%)
lead in MP implementation, whereas states such as Tocantins (4%), Rondônia, Amazonas, Amapá, Piauí, and Paraíba (6%)
fall below the national average. The implementation of landslide-specific laws (LSL) remains notably low across all states.
450 Only Rio de Janeiro and Pará exceed the 5% threshold, standing out as the exceptions in this category. In the Northern region,
most states exhibit relatively low adoption of disaster risk reduction measures (Fig. 4). However, Amazonas, Pará, and Amapá
present higher percentages in specific indicators in this region. Amazonas, for instance, shows considerable efforts in
implementing emergency response plans (23%) and early warning systems (11%). Pará demonstrates moderate values across
all initiatives, particularly in master plans (13%) and LULOL (12%). Amapá also stands out with 31% of municipalities having
455 ERP and 19% implementing LULOL. In contrast, Roraima and Tocantins register the lowest levels in the region, with most
indicators below 5%, and complete absence of early warning systems, emergency plans, and structural countermeasures in
Roraima. In the Northeastern region, the implementation pattern is more heterogeneous (Fig. 4). States such as Pernambuco
and Alagoas lead in most indicators. Pernambuco exhibits significant adoption of master plans (20%), emergency response
plans (25%), and structural countermeasures (11%), while Alagoas shows high percentages in early warning systems (14%)
460 and ERP (18%). Other states, such as Ceará and Bahia, demonstrate moderate values across all initiatives. In contrast, Piauí
and Paraíba appear among the least engaged in the region, with consistently low percentages for specific plans, early warning
systems, and structural countermeasures. In the Midwestern region, results vary significantly (Fig. 4). The Federal District
represents a clear outlier, reporting 100% implementation for all DRR categories except for structural countermeasures. Mato
Grosso do Sul follows with comparatively high adoption of master plans (13%), LULOL (14%), and EWS (8%). Meanwhile,
465 Mato Grosso and Goiás exhibit limited implementation, with most indicators—particularly EWS, ERP, and SC—remaining
below 5%.
The Southeastern region stands out as the most advanced in DRR implementation (Fig. 4). Rio de Janeiro and Espírito Santo
lead the country, with exceptionally high percentages across nearly all indicators. Rio de Janeiro, for example, reports 77% of
municipalities with ERP, 41% with EWS, and 30% with SC. Espírito Santo shows similar results, including 63% ERP and
470 26% EWS. São Paulo and Minas Gerais also demonstrate widespread adoption, with São Paulo exceeding 10% in all indicators
and Minas Gerais registering 20% for ERP and 18% for SC. In the Southern region, DRR measures are generally well adopted
(Fig. 4). Paraná shows the highest percentages for master plans (31%) and LULOL (31%) among all states in the region. **Santa
Catarina** also performs well, particularly in EWS (16%) and ERP (32%). Rio Grande do Sul, while displaying lower values

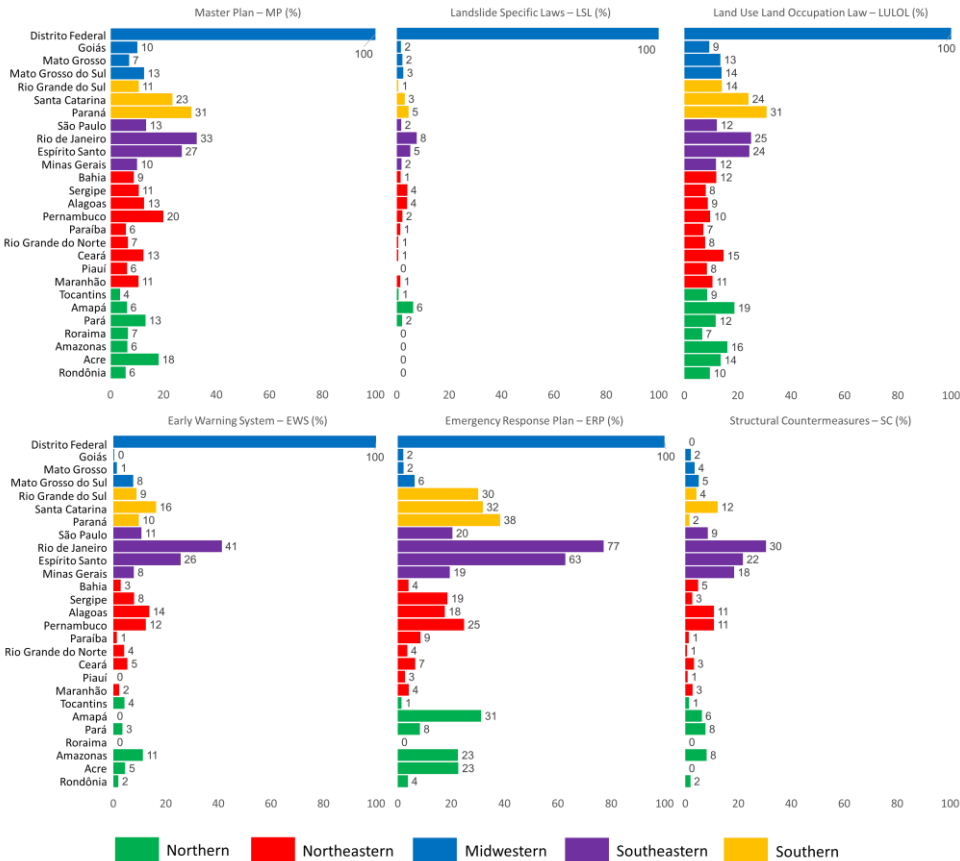
Comentado [TD37]: Comment # 16 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025
Line 376: Where is Figure 7?
Original sentence: **Figure 7** illustrates the distribution of municipalities across the Brazilian states....

Comentado [TD38R37]: L440 in the revised manuscript – Thank you for catching this error. The reference to Figure 7 was an error introduced during the final editing stage and should be corrected to refer to Figure 4.

Comentado [TD39]: Comment # 18 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025
Line 398: "Santa Catarina leads to... EWS implementations." What is this sentence based on? I cannot find any evidences in Figure 4 or others.
Original sentence: **Santa Catarina leads the Southern region in disaster risk mapping, yet lags in engineering and EWS implementations.**

Comentado [TD40R39]: L473–L476 in the revised manuscript – Thank you for pointing this out. The sentence referring to Santa Catarina as a leader in EWS implementation has been removed from the revised manuscript. This adjustment was made to ensure consistency with the data presented in Figure 4. As explained in our response to Comment 17, the entire paragraph was updated to accurately reflect only the values available in Figure 4.

475 compared to its southern counterparts, still achieves notable implementation for ERP (30%). Overall, the Southeast and South regions exhibit the highest concentration of municipalities with DRR measures, while the North and Midwestern—excluding the Federal District—tend to lag behind, with considerable disparities within and between regions.



480 **Figure 4:** Percentage of municipalities implementing sediment-related DRR initiatives in each Brazilian state. Values represent the proportion of municipalities (%) per state. Data source: IBGE (2020) DRR dataset. Bars are color-coded by Brazil's five macro-regions.

The effectiveness of these surveys was evaluated using Spearman's rank correlation coefficient to assess the association between adopting specific DRR strategies across 5570 municipalities and the outcomes from the corresponding risk assessment

Comentado [TD41]: Comment # 22 from RC3: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 02 May 2025

Figure 4 caption: "Distribution of municipalities in Brazilian state..."
-> "The number of municipalities in Brazilian state..." ?
Original sentence: **Figure 4: Distribution of municipalities in Brazilian states implementing sediment-related DRR initiatives (in percentages). Analysis based on IBGE (2020) DRR dataset.**

Comentado [TD42R41]: L478 – L480 in the revised manuscript
– We revised the figure caption to improve clarity regarding the meaning of the values presented. This ensures that readers clearly understand that the analysis reflects relative (not absolute) coverage of DRR initiatives across states. As follows

surveys. As shown in Figure 5, the analysis considers absolute counts of municipalities per state for risk assessment surveys and DRR initiatives, providing a robust measure of their association. Because the GAUC and GHS have low implementation levels, they were removed from this analysis.

The PMRR survey showed a remarkably strong correlation with most DRR initiatives, indicating a robust interconnection between comprehensive DRR strategies and PMRR. The strongest correlation was observed with the MP ($\rho = 0.927$, $p < .001$), SC ($\rho = 0.922$, $p < .001$), ERP ($\rho = 0.901$, $p < .001$), and EWSs ($\rho = 0.843$, $p < .001$). In addition, GRS shows a significant correlation with SC ($\rho = 0.710$, $p < .001$), ERP ($\rho = 0.649$, $p < .001$), MP ($\rho = 0.642$, $p < .001$), and LULOL ($\rho = 0.635$, $p < .001$) and moderate to others initiatives. Further, SS also shows a strong correlation with SC ($\rho = 0.812$, $p < .001$), ERP ($\rho = 0.674$, $p < .001$), and EWS ($\rho = 0.742$, $p < .001$). It has a moderately high correlation with other initiatives.

The positive correlation between surveys and DRR strategies indicates that adopting risk assessment surveys enhances municipalities' implementation of comprehensive DRR strategies. The McDonald's Omega (ω) analysis, yielding a value of 0.964, was conducted to ensure the reliability of the results. It demonstrated excellent internal consistency, thereby supporting the validity of our Spearman correlation findings and ensuring the robustness and credibility of the collected data.

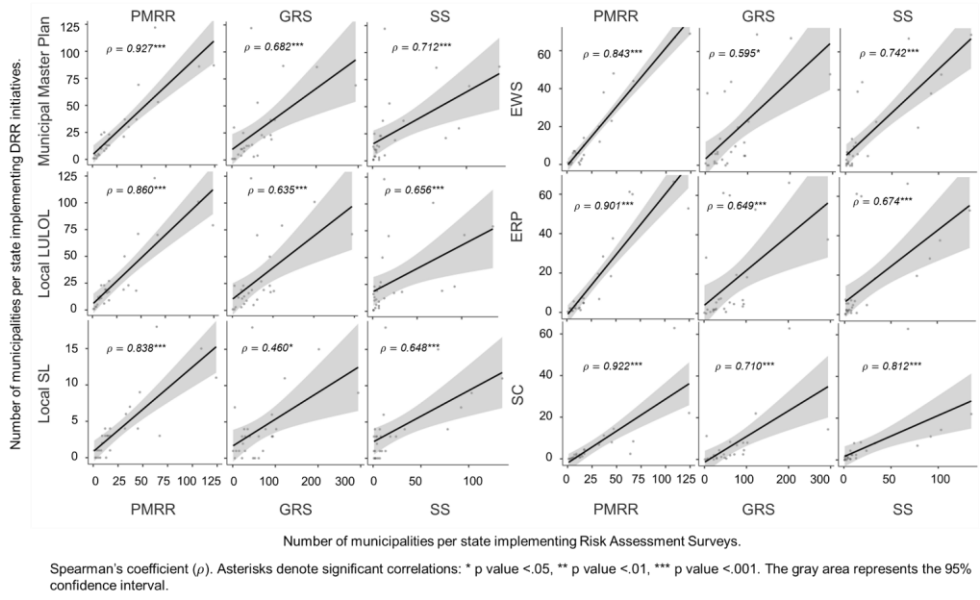


Figure 5: Spearman's rank correlation between the number of municipalities per state implementing risk assessment surveys (PMRR, GRS, SS) and the number of municipalities per state adopting specific DRR strategies (Municipal Master Plan, Local LULOL, Local SL, EWS, ERP, SC). Analysis includes 5570 Brazilian municipalities based on IBGE (2020) and SGB (2023a, b).

Comentado [TD43]: Comment # 23 from RC3: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 02 May 2025

Figure 5 : What exactly do the vertical and horizontal axes in Figure 4 mean? Please add labels for them. For example, I imagined that the graph at the top left had the vertical axis representing the percentage of cities in the state that have MMP, and the horizontal axis representing the number of cities in the state that have implemented PMRR. Is this correct? If yes. Whether this understanding is correct or not, I think it would be better if you added an explanation to the main text so that readers can understand accurately.

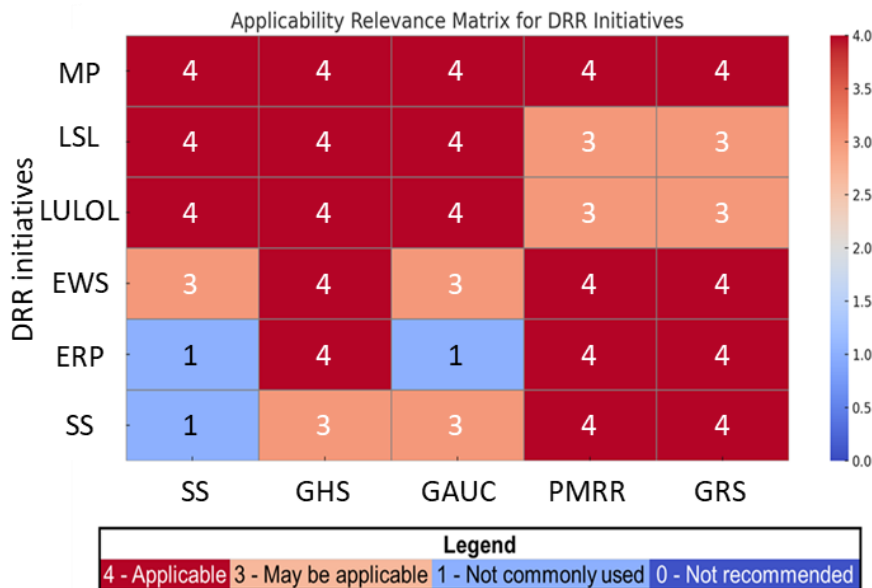
Comentado [TD44R43]: Thank you for the valuable comment regarding Figure 5. You are correct: in each panel, the horizontal axis shows the number of municipalities per state implementing each risk assessment survey (PMRR, GRS, SS), and the vertical axis shows the number of municipalities per state adopting each specific DRR strategy (e.g., Municipal Master Plan, Local LULOL, Local SL, EWS, ERP, SC). Both axes represent absolute counts of municipalities, not percentages. To improve clarity, we did: Add explicit axis labels to the figure 5. L483 – L485 in the revised manuscript – Add a sentence in the results section to guide the reader as follows. L497 – L499 in the revised manuscript – Improve Figure 5 caption. As follows: Spearman's rank correlation between the number of municipalities per state implementing risk assessment surveys (PMRR, GRS, SS) and the number of municipalities per state adopting specific DRR strategies (Municipal Master Plan, Local LULOL, Local SL, EWS, ERP, SC). Analysis includes 5570 Brazilian municipalities based on IBGE (2020) and SGB (2023a, b).

Comentado [TD45]: Original sentence: Figure 5: Spearman correlation displaying the relationship between the most important DRR initiatives and risk assessment surveys across 5570 municipalities. Analysis based on IBGE (2020) and SGB (2023a, b).

500 **5.3 Applicability for disaster reduction initiatives**

To complement the operational analysis presented in Sect 5.2, a relevance matrix (Fig. 6) was developed to explore the applicability of federal risk assessment surveys across multiple dimensions of disaster risk reduction. While the previous section focused on the implementation status of key DRR initiatives based on official indicators from the municipal profiles (IBGE, 2020), the matrix presented here evaluate the suitability of these DRR derived from internationally recognized methodological frameworks (Hungre et al., 2005; Fell et al., 2008; Corominas et al., 2014). The matrix displays the degree to which each survey supports different DRR elements, using a gradient scale from dark blue (0—Not applicable) to dark red (4—Fully applicable). This classification reflects the functional alignment of each survey with best practices for its respective scale, taking into account its defined scope and the extent to which it is integrated into formal governance practices. The resulting overview highlights distinct differences in applicability among the methodologies.

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Figure 6: Brazilian DRR assessment relevance matrix based on applicability recommendations from Hungre et al. (2005), Fell et al. (2008), and Corominas et al. (2014).

The matrix reveals a clear differentiation in the breadth and depth of applicability among the five federal risk assessment methodologies. The PMRR and GRS exhibit consistently high applicability across a range of DRR initiatives, particularly in emergency response planning (ERP), early warning systems (EWS), and structural countermeasures (SC). Their operational

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Comentado [TD46]: Comment # 19 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Figure 6: The correspondence with the six initiatives written in section 2.3.3 is unclear.
Original sentences: A relevance matrix (Fig. 6) can help to understand the applicable range of these surveys (Hungre et al., 2005; Fell et al., 2008; Corominas et al., 2014). The color gradient, ranging from dark blue (0—Not applicable) to dark red (4—Fully applicable), indicates the level of applicability of each element according to the survey scales.
The PMMR and GRS can be applied to various initiatives, existing urban developments, enhancing EWSs, formulating emergency plans, and disseminating practical information (Fig. 6). However, their application is less prevalent in urban planning. Furthermore, while they may be used to support local legislative frameworks, they are not recommended for supporting national legislative policies.
The SS and GAUC can apply for similar initiatives, such as information communication and dissemination, urban planning and development, enhancing warning systems, and implementing climate change countermeasures, but they are not commonly employed in developing emergency plans. Although the SS is not applicable for prioritizing SC, it is highly relevant to the legislative framework at both the national and municipal levels. In contrast, the GAUC is not commonly used for national legislative policies. GHS achieves the widest applicability. This survey can apply all initiatives shown in Fig. 6, although some are partially applicable, especially in framing national policies.

Comentado [TD47R46]: L501–L521 in the revised manuscript – Based on the reviewer's comments, we have reconsidered Figure 6. Disaster prevention initiatives are extremely diverse, making it challenging to encompass them all in a single figure. In this study, we believe that following the reviewer's comments and organizing the six initiatives used in the previous section will improve the consistency of this manuscript and facilitate readers' understanding. We revised the figure and the main text accordingly.

versatility enables integration into a broad set of initiatives; however, their role in shaping legislative frameworks—particularly LSL and LULOL—remains limited. In contrast, the GAUC demonstrates strong alignment with legal instruments, though its contribution to ERP appears comparatively constrained. The SS similarly supports the legislative dimension, but its applicability is markedly lower in ERP and SC. Finally, the GHS stands out as the most applicable methodology, achieving either full (score 4) or substantial (score 3) relevance across all DRR categories. Its balanced integration emphasizes its utility as a comprehensive tool for multi-level risk governance.

5.4 Cost per beneficiary analysis

Table 5 provides an overview of Brazilian surveys implemented in a medium-sized municipality, with notable variations in cost-beneficiary ratios. The PMRR survey exhibits a high cost per beneficiary of \$6.66, indicating inefficiencies that may benefit from an updated review. The initial phase, involving mapping risk areas, requires at least eight months to complete (IPPLAN, 2016). Despite the lack of public data availability for subsequent phases, the study was reported to exceed one year. According to Mendonça et al. (2023), the duration and costs vary by municipality, indicating a flexible approach that accounts for territorial extent and inherent complexity. Conversely, the GRS method ranked second in the evaluation, demonstrating a strong balance between efficiency and cost-effectiveness. Notably, GRS is faster and less resource-intensive than the PMRR method, achieving a **cost per beneficiary** of 1.309. This result highlights the practical applicability and economic advantages of this approach in disaster risk reduction efforts.

The SS achieved the highest position, securing the maximum average number of beneficiaries per municipality owing to its low average runtime and pricing, as detailed in Table 5. In addition, this survey stood out with an exceptionally low cost of **\$0.0009** per beneficiary, demonstrating highly effective resource use. Meanwhile, the GAUC and GHS surveys showed mixed results. The GAUC showed higher costs, longer execution durations, and a cost-beneficiary ratio of 0.1429. Notably, despite being established four years before the GHS (Table 1), the GAUC shows a smaller average number of beneficiaries. The GHS features a reasonable average implementation time and costs, indicated by a reasonable cost of \$0.36 per beneficiary holding the third position.

Table 5: Cost per beneficiary’s attributes of Brazilian DRR surveys.

Survey	Runtime (Months)	Pricing (US\$)	Total Beneficiaries	Average	
				Beneficiaries per Municipality	Cost per Beneficiary
PMRR	12	20,000	<i>Not specified</i>	3,000	6.667
GRS	1	3,200	4,000,000	2,445	1.309
SS	2	6,500	100,000,000	757,137	0.009
GAUC	7	32,000	450,000	28,125	1.138
GHS	5	18,000	500,000	50,000	0.360

Comentado [TD48]: Comment # 20 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 443: cost-benefit ratio --> cost per beneficiary?
Original sentence: **The GRS, which is faster and more cost-effective than PMRR, achieved a cost-benefit ratio of 1.309, which showcased its economic and practical approach.**

Comentado [TD49R48]: **L530 in the revised manuscript** – We corrected it. Cost per beneficiary.

Comentado [TD50]: Comment # 21 from RC1: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 06 Apr 2025

Line 447: \$0.0004 per beneficiary ... \$0.009 in Table.5 - Which is correct?
Original sentence: **...\$0.0004 per beneficiary, demonstrating highly effective resource use.**

Comentado [TD51R50]: **L534 in the revised manuscript** – Thank you for pointing this out. The correct value is \$0.009 per beneficiary, as indicated in Table 5. The discrepancy in the text has been corrected accordingly.

540 **6 Discussion**

Based on the results, we structured the discussion into three key questions: 1) Are any elements lacking in the current landslide risk assessments? Referencing Sect 4, we debate these techniques and their feasibility, identifying deficiencies and indicating potential enhancements based on global best practices. 2) What is the reason for the low implementation rate of risk assessments? Drawing from insights in Sect 4 and 5 and literature references, we discuss the existing DRR framework and examine the potential reasons behind Brazil’s low implementation rate of these assessments. 3) Does risk assessment effectively contribute to initiatives related to sediment disaster prevention? Based on the findings in Sect 4 and 5, we explore the effectiveness of risk assessment surveys in contributing to sediment disaster prevention initiatives.

6.1 Are there any elements lacking in the current sediment-related risk assessment surveys?

6.1.1 Specific deficiencies and recommendations

550 Current risk assessment methodologies in Brazil exhibit substantial limitations, redundant efforts, and significant challenges (Tables 2 to 4). This underscores the urgent need for methodological improvements and the adoption of more comprehensive quantitative approaches. The SS and GRS methods are well established and cover many surveyed municipalities. Nevertheless, they are subject to several limitations. Thorough updates and refinements may face significant implementation challenges. Despite the impressive national coverage of PMMR, an update accompanied by thorough and unified procedural guidelines is clearly needed, as demonstrated by Mendonça et al. (2023). Given the restricted coverage, the GAUC and GHS surveys were considered the most suitable for methodological improvements. Recognizing the complementary nature of the five surveys, we propose a nuanced, systematic, and progressive multilevel approach to risk assessment that aligns with global best practices and considers local specifics (e.g., Fell et al., 2008; Corominas et al., 2014).

– Absence of data collection and updates

560 **Disaster database and data analysis**

Effective DRR management relies heavily on high-quality and consistent data. Guzzetti et al. (2012) emphasized the need for practical working definitions and optimal inventory databases, while Guzzetti et al. (1999) highlighted the importance of uniform terminology for consistent technical databases. Fell et al. (2008) also noted that the efficacy of DRR management is deeply linked to data quality. Despite significant investments, Brazil still lacks unified and standardized disaster databases and inventories, leading to significant challenges in subsequent efforts. Therefore, as recommended by Froude and Petley (2018), UNDRR (2020), and Corominas et al. (2023), Brazil needs to adopt standardized terminology for sediment-related phenomena and establish a unified national technical database. Guzzetti et al. (2006) also stated no consensus on validating the surveys. Brazilian assessments’ current data analysis processes are solely based on heuristic subjective field inspections (Dias et al., 2021). Therefore, incorporating an analysis of the models’ accuracy based on inventories of past events, as suggested by Fell et al. (2008), is highly recommended.

Demographic data

The PMRR and GRS methods estimate potentially affected populations and damaged areas (Tables 2 and 4) based on 2010 census data (Mendonça et al., 2023). However, rapid urban sprawling leads to underestimations due to outdated information (Silva Rosa et al., 2015). Consequently, these assessments may not accurately reflect current risk stages, but represent conditions from almost 15 years ago. However, recognizing communal efforts that assess and monitor Brazil’s population occupation and migration patterns is essential. To address this challenge, a semi-quantitative approach is recommended for accurate and consistent analysis. Souza et al. (2019) combined 2010 census data with GRS survey outcomes to develop the BATER (Statistical Territorial Risk Base), providing insightful data on at-risk population exposure. Alternatively, Emberson et al. (2020) adjusted the world-gridded population dataset with the local population density index to estimate population exposure and used OpenStreetMap to evaluate infrastructure exposure. Integrating these procedures with the 2022 demographic census data (IBGE, 2022) would enhance accuracy and provide updated population exposure estimates, particularly in the GRS survey.

Site-condition data

These surveys rely heavily on topographic parameters (*e.g.*, Reichenbach et al., 2018; Dias et al., 2021) and underground conditions (Table 3). Nevertheless, most data utilized as input typically date back at least five years ago, making it challenging to properly evaluate terrain modifications due to human activities. A coherent approach includes continuously updating topographic data to ensure these assessments remain relevant and accurate. Due to similar challenges faced with demographic data, regular updating at the national level is complex to implement. To overcome this issue, establishing a shared database with relevant stakeholders is advisable for a more precise evaluation. For instance, Junichi and Naoki (2020) mentioned the public–private consortium as a systematic approach to leveraging advanced technologies and expertise in Japan.

The GAUC survey’s accuracy in assessing soil characteristics can be enhanced by integrating global best practices in geotechnical testing and statistical analysis. Enhancements such as standard and cone penetration tests (Uchida et al., 2009), geophysical techniques (Bortolozo et al., 2018), rock quality designation analysis (Zheng et al., 2018), and building information modeling (Khan et al., 2021) provide detailed subsurface analysis and a better understanding of soil and bedrock properties. Infiltration modeling improves water dynamics knowledge (Failache and Zuquette, 2018), and statistical methods like fuzzy clustering reduce subjectivity in geotechnical classifications (Hosseini Morshedy et al., 2019).

– Lack of output data

Frequency and magnitude

Evaluations of frequency and magnitude are crucial for creating accurate quantitative risk assessments and informing decision-making processes (Corominas and Moya, 2008), particularly in regions like Brazil, where the absence of temporal context restricts the predictive accuracy of the likelihood of events. A gap that becomes increasingly problematic in the climate change

context (Marengo et al., 2021). **Incorporating frequency analyses and enhancing magnitude assessments are crucial for improving the GHS survey and advancing the overall effectiveness of quantitative risk assessment and prediction across the other surveys (Table 2).** Temporal and spatial analyses can be performed using a variety of statistical techniques (e.g., Guzzetti et al., 1999; Guthrie and Evans, 2004; Ayalew and Yamagishi, 2005; Guzzetti et al., 2005). Incorporating these elements into the SS survey would provide more detailed information for stakeholders (Segoni et al., 2018).

Runout area

The runout area evaluation allows a more precise prediction of sediment-related events’ trajectory, extent, and impact (Rickenmann, 2005; Corominas et al., 2014). Grasping the potential reach and force of material movement allows planners and decision-makers to more effectively foresee an event’s possible consequences and intensity, facilitating the development of customized strategies (Fell et al., 2008). Although all surveys assessed initiation-prone areas, only the GHS provided a limited understanding of runout distances (Table 2). However, its reliance on national parameters limits applicability to local contexts, underscoring the need for improvement that reflects specific regional conditions (McDougall and Hungr, 2004; McDougall, 2017). Incorporating power-law equations and an empirical–probabilistic approach has been demonstrated to yield satisfactory outcomes, as evidenced by Corominas (1996), Rickenmann (1999), Guzzetti et al. (2002), Legros (2002), Kimura et al. (2014), and Brideau et al. (2021), Di Napoli et al. (2021).

Socioeconomic impacts data

Socioeconomic indicators are essential for building resilience and providing a solid foundation for adaptive mitigation strategies (Fell et al., 2008). Historically, risk analysis in Brazil has primarily focused on physical vulnerabilities (Mendonça et al., 2023), as evidenced by Sect 4.2.1. While disasters arise from the interplay between physical and societal factors (Veyret, 2007), extensive disaster research has consistently demonstrated that impacts vary significantly across different societal segments (e.g., Sutley and Hamideh, 2020). Addressing this issue requires integrating GRS and PMRR vulnerability societal indicators (Wisner, 2016). These criteria should include educational levels, risk perception, demographic details such as age and the needs of special groups, and economic conditions such as income levels and local economic disparities. These parameters enable the creation of detailed thematic risk maps and tailored strategies (Miguez et al., 2018; Sims et al., 2022). For instance, Fox et al. (2024) integrated socioeconomic factors with traditional risk models to provide a more holistic vulnerability analysis. As a suggestion, the IBGE (2022) data contain numerous socioeconomic features that can be used to refine the accuracy and effectiveness of vulnerability assessments.

6.2 What are the reasons for the low implementation rate of risk assessments?

Figure 2 confirms the strategic approach that aims to maximize the number of beneficiaries and mitigate extensive damage in areas with larger populations and more significant infrastructure. However, despite the strong correlations identified, the

Comentado [TD52]: Comment # 24 from RC3: ‘Comment on egusphere-2024-2255’, Anonymous Referee #1, 02 May 2025

L515: Just for the GHS survey? Other surveys could be improved if frequency and magnitude analysis are incorporated or enhanced.
Original sentence: **Incorporating frequency and enhancing magnitude analyses in the GHS survey is essential for improving hazard assessment and prediction (Table 2).**

Comentado [TD53R52]: L602 – L604 in the revised manuscript – Thank you for this valuable comment. We agree that incorporating frequency and magnitude analyses would also benefit the other surveys. We have revised the text to clarify that these improvements are not limited to the GHS survey, but could strengthen hazard assessment across multiple surveys. We appreciate this insight, which helped improve the generalizability of our recommendations. As follows:

surveys exhibited low implementation rates (Fig. 3). Therefore, we analyzed the potential challenges contributing to these low rates and gain insights into areas that warrant improvements to enhance national coverage.

6.2.1 Political issues

635 The results showed a strong positive correlation between disasters and urban areas ($\rho = 0.782$) as well as the population ($\rho = 0.855$), indicating a significant impact on urban populations (Fig. 1). The political landscape greatly influences the implementation of DRR strategies, especially in regions with unstable governance or chronic political instability (Veyret, 2007; Nogueira et al., 2014). Such instability often leads to a lack of sustained commitment to DRR policies, with political priorities shifting with leadership changes, resulting in inconsistent support and funding (Almeida, 2015). Resource allocation
640 often competes with other political agendas, marginalizing DRR initiatives (Ganem, 2012). **The results highlighted low adherence to master plan implementation, with approximately 15% of Brazil's 5,570 municipalities reporting implementation (Fig. 4). The strong correlation between legislative prevention mechanisms (MP, LULOL, SL) and surveys (Fig. 5) indicates a general trend toward implementing legal measures across municipalities, which may be partly influenced by higher implementation rates in disaster-affected areas.** However, adoption rates for local land use and occupation (LULOL) and
645 sediment disaster prevention (SL) laws are still low, at 14% and 2%, respectively, highlighting a lack of political commitment to disaster risk management. The low engagement and discontinuity in subsequent initiatives underscore the significant impact of political dynamics on the DRR cycle, consistent with Silva's (2022) findings. Another contributing factor is public managers' general lack of awareness or underestimation (Londe et al., 2015). Henrique and Batista (2020) suggested that many officials may not fully recognize the value of these surveys in preventing sediment-related disasters, leading to their lower
650 priority. Challenges in implementing PMRR arise from decentralizing government resources and varied execution by different entities, leading to inconsistent outcomes (Mendonça et al., 2023). Such decentralization requires active participation and financial investment from municipal administrators, thus limiting effective risk mitigation (Raposo, 2019).

6.2.2 Demographic issues

Brazil's demographic dynamics pose significant challenges to the effective implementation of DRR strategies. Correlating
655 data from IBGE (2020; 2022) demonstrates a strong positive association between population size and the occurrence of disasters ($\rho = 0.855$), indicating that areas with higher population densities, especially urban areas ($\rho = 0.782$), are more prone to frequent disasters (Fig. 1). These observations align with global trends, reported by Maes et al. (2017), and Brazilian trends, highlighted by Alvalá et al. (2019). According to Ozturk et al. (2022), rapid urbanization further compounds these vulnerabilities, as more people live in hazardous-prone areas lacking sufficient infrastructure, thereby complicating the
660 implementation and effectiveness of DRR initiatives. Urban sprawl frequently causes disjointed and unplanned development, leading to significant gaps in the coverage and accuracy of risk assessment data (Bozzolan et al., 2023). Consequently, these data often become outdated or incomplete.

Comentado [TD54]: Comment # 25 from RC3: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 02 May 2025

L553: 14%? Does this mean the average percent of all the states shown in the Figure 4? If yes, some explanation is necessary in the text or in the figure.

Original sentence: **The results showed low adherence to surveys in the MPs (14%) (Fig. 4).**

Comentado [TD55R54]: We confirm that the 14% refers to the overall percentage of Brazil's 5,570 municipalities that have implemented Municipal Master Plans (MPs), as shown in Figure 4. This aggregate information is not explicitly labeled in the figure itself. Therefore, we included this clarification directly in the results section to ensure that readers understand the origin and meaning of this value, as you suggest.

Results section: **L443 – L449 in the revised manuscript** – First, the Federal District was excluded because it contains only one municipality, which could distort the overall analysis. The results showed that among Brazil's 5,570 municipalities, only about 15% on average have implemented master plans. This low implementation rate is consistent across most states. The Southeast and South regions demonstrate higher implementation levels (Fig. 4), while the North and Midwestern regions show considerably lower levels. Rio de Janeiro (33%), Espírito Santo (27%), and Santa Catarina (23%) lead in MP implementation, whereas states such as Tocantins (4%), Rondônia, Amazonas, Amapá, Piauí, and Paraíba (6%) fall below the national average.

Discussion section: **L640 – L642 in the revised manuscript** – The results highlighted low adherence to master plan implementation, with approximately 15% of Brazil's 5,570 municipalities reporting implementation (Fig. 4).

Comentado [TD56]: Comment # 26 from RC3: 'Comment on egusphere-2024-2255', Anonymous Referee #1, 02 May 2025

L553-554: Is the strong correlation seen in Figure 5 just seen in the disaster-affected municipalities? In other words, did the parent-set of data shown in Figure 5 include only disaster-affected municipalities?

Original sentence: **The strong correlation between legislative prevention mechanisms (MP, LULOL, SL) and surveys (Fig. 5) indicates a trend toward implementing legal measures in disaster-affected municipalities.**

Comentado [TD57R56]: Thank you for this important question. We clarify that the data presented in Figure 5 include all 5,570 Brazilian municipalities, not only those affected by disasters. Therefore, the strong correlations observed between legislative prevention mechanisms (MP, LULOL, SL) and surveys are based on the whole national dataset, regardless of whether municipalities have experienced past disaster events.

L642 – L644 in the revised manuscript – We recognize that the original discussion sentence could imply that the analysis was restricted to disaster-affected municipalities. To improve clarity, we revised the discussion sentence as follows:

6.2.3 Socioeconomic issues

The results demonstrated a weak positive correlation between the HDI and disaster incidence ($\rho = 0.387$) (Fig. 1). This correlation suggests a dual phenomenon: municipalities with higher socioeconomic development have better disaster reporting, DRR infrastructure, and improved capabilities (Ozturk et al., 2022). Conversely, increased economic activities and asset accumulation elevate potential losses and recovery costs. Socioeconomic disparities influence public and political engagement. Thus, municipalities with lower HDI may struggle with effective DRR strategies due to limited resources and infrastructure (Lin et al., 2023). Data show that municipalities with higher HDI scores tend to implement more PMRR (Fig. 2; $\rho = 0.393$). As PMRR implementation is driven by direct municipal demand, regions with higher socioeconomic development may likely adopt advanced disaster management solutions. This assumption corroborates findings from Londe et al. (2015), who emphasized that local capacities vary markedly depending on resource allocation and institutional support. The moderate positive correlation with SS ($\rho = 0.339$) may suggest that the Geological Survey of Brazil targets municipalities with basic financial resources. Further, the strong correlation between SS and PMRR ($\rho = 0.76$) may indicate that municipalities with SS surveys are likely to implement PMRR, underscoring the importance of progressive surveying. Conversely, the very weak correlation with GRS ($\rho = 0.070$) implies that socioeconomic status minimally influences the implementation of this survey, indicating a standardized approach across diverse HDI rates.

6.2.4 Specific reasons

Fell et al. (2008) observed that evaluations predominantly involve basic or intermediate assessments due to data scarcity and cost limitations. The extent of national coverage varied significantly based on the launch year, methodological complexity (Table 1), and financial costs (Table 5). Furthermore, the results reveal a trade-off between the costs, execution time, and breadth. The applicability relevance matrix (Fig. 6) analyzes the aggregated significance of each survey in the DRR framework. According to Lana et al. (2021), the GRS, launched in 2011, uses only simple field heuristic analysis to identify risk areas, estimates vulnerable buildings with satellite imagery, and extrapolates the affected population by multiplying the number of houses by four, the average household size in Brazil. In contrast, PMRR, established in 2004, involves a complex multistage process involving risk mapping, proposing countermeasures, cost estimation, prioritization, and securing funding, as Alheiros (2006) explained. The analysis indicates that the GRS ranks as the cheapest survey. Conversely, PMRR registers the highest costs. This disparity is evident in the coverage statistics: GRS encompasses 1676 municipalities, whereas PMRR, despite being launched eight years before GRS, covers only 729 (Table 1). Established in 2012, the SS is characterized by a simple and well-consolidated methodological procedure (Antonelli et al., 2020) that has gained broad acceptance among practitioners and provides an excellent cost per beneficiary. Despite these strengths, the applicability of SS is considered moderate to low compared to other surveys (Fig. 6). Notably, PMRR and GRS rank high in applicability. In contrast, while demonstrating moderate potential, the GAUC, introduced in 2014, serves a distinct purpose focused on urban planning in non-consolidated safety areas, which may limit its performance in DRR-specific strategies. Finally, the GHS, launched in 2018, exhibits the

695 highest applicability in DRR initiatives. However, GAUC and GHS present challenges, including moderate execution times, inherent costs, and require more detailed analyses (Antonelli et al., 2021; Pimentel and Dutra, 2018). Due to these complexities, they incur moderate to high costs per beneficiary and show relatively low adherence among practitioners.

6.3 Does risk assessment surveys effectively contribute to initiatives related to sediment disaster prevention?

705 The IBGE (2020) data analysis confirmed a lack of integration between surveys and urban planning, indicating a missed opportunity for embedding proactive risk management in urban development. Brazilian municipalities with smaller populations (up to 50,000 residents, 87.8%) face significant challenges in implementing effective DRR countermeasures, as discussed in Sect 5.2. In contrast, municipalities with higher population densities (12.2%) generally adopt a more comprehensive approach. Moreover, integrating these survey outcomes into municipal MPs is methodologically complex and resource intensive, requiring specialized skills and adequate funding (Londe et al., 2023). This complexity can deter municipalities from effectively utilizing survey data for planning (Amaral, 2019; Bonelli et al., 2022).

710 The data show a predominant focus on emergency response (ERP) over preventive measures (MP, LULOL, SP, EWS, EDAS) and mitigation actions (SC), indicating a reactive stance in disaster risk governance (Fig. 4). Low adherence to risk assessment surveys hampers the implementation of essential risk reduction countermeasures. This trend aligns with the observations by Maes et al. (2017) in other tropical countries. However, states such as Rio de Janeiro, Espírito Santo, Santa Catarina, and the Federal District, where over 80% of risk mitigation initiatives are concentrated, also exhibit the highest survey coverage. This suggests an indirect correlation between the number of surveys conducted and the implementation of subsequent DRR initiatives. The correlation analysis (Fig. 5) confirms the importance of these surveys in enhancing sediment disaster reduction measures, particularly PMRR and SS, which highly correlate with MPs, LULOL, SC, and ERPs. McDonald’s Omega analysis validates the reliability of the results, reinforcing the foundational role of these surveys in DRR initiatives.

715 7 Conclusions

Brazil’s extensive DRR efforts reflect a robust approach to managing sediment-related hazards. The country employs five national risk assessment surveys: PMRR, GRS, SS, GAUC, and GHS. These diverse methodologies collectively enhance the understanding of geohazards and support subsequent disaster management strategies. Our analysis confirms that these surveys improve sediment disaster management by enabling targeted, evidence-based strategies. However, prevention strategies are critically under-implemented, particularly in smaller municipalities. Despite legal mandates, 87% of municipalities have not integrated DRR into their MPs; this discrepancy reveals a gap between legislative intent and administrative practice, highlighting the need for more effective and comprehensive policies. While significant progress has been made on these surveys, challenges, including achieving expressive national coverage, improving data collection, enhancing output information, and incorporating cutting-edge technologies, remain. A standardized, synergistic framework is essential for effective risk management, and essential recommendations include developing a unified technical database, refining survey

Comentado [TD58]: Comment # 27 from RC3: ‘Comment on egusphere-2024-2255’, Anonymous Referee #1, 02 May 2025

L603-604: As far as seeing the new Fig.6, PMRR and GRS are both better than GAUC. I hope my comments are helpful to you. Original sentence: **In contrast, the GAUC, introduced in 2014, and the GHS, launched in 2018, demonstrate high applicability in DRR initiatives.**

Comentado [TD59R58]: L691 – L697 in the revised manuscript
Thank you for this important observation. We agree that, as shown in the updated Figure 6, PMRR and GRS rank higher in applicability than GAUC. We have revised the discussion section to clarify this point and to reflect the relative performance of all surveys better. Additionally, we recognize that GAUC has a distinct purpose compared to the other surveys analyzed, as it focuses primarily on urban planning in non-consolidated safety areas rather than exclusively on disaster prevention. This distinct scope may partially explain its more moderate performance in the DRR applicability ranking. We appreciate this comment, which allowed us to improve the precision and nuance of our interpretation. The new part was included as follows.

methodologies, enhancing data collection, and focusing on uncertainty analysis. Adopting probabilistic models and leveraging data analytics will strengthen management capabilities. Additionally, analysis of event frequency, magnitude, rainfall thresholds, and physical and socioeconomic vulnerability assessment integration are crucial. The analysis of applicability and cost per beneficiaries revealed that the GRS and SS surveys were the most cost-effective despite their restricted applications. Furthermore, PMRR encounters considerable challenges related to reproducibility, costs, and execution time. Though unique, the GAUC is costly and less representative nationally, requiring methodological improvements before widespread adoption. GHS excels in delimiting runout hazardous areas and provides crucial information for planning and response, especially for climate change adaptation. Despite its balanced cost per beneficiary, its limited representativeness requires legislative endorsement and methodological refinement to ensure practical application in DRR strategies. Enhancing these methods will improve Brazil's resilience to potential hazards and readiness for climate change impacts. Finally, given the complementary nature of these surveys, we recommend an integrated, progressive, and strategic approach.

Author contribution

TS was responsible for initial conceptualization, data curation, formal analysis, investigation, methodology design, and preparation of the manuscript's original draft. TU provided supervision and validation, methodology design enhancement, and review and editing of the manuscript.

Competing interests

The authors affirm that they have no competing interests, ensuring the integrity and impartiality of the research presented in this manuscript.

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