Multiple eco-regions contribute to the seasonal cycle of Antarctic aerosol size 1

2 distributions, response to reviewers.

- 3 Note: Review comments are displayed in black, our responses to those comments are
- coloured blue and sections that from the manuscript are coloured green. We thank the 4
- 5 reviewers for their insightful comments and provide responses below.

6 **Reviewer: 1**

- 7 This paper presents the results of k-means cluster analysis on the particle number size
- 8 distributions (PNSDs) measured at 4 different Antarctic research stations. It is an extension of
- the work in Lachlan-Cope et al., ACP, 2020 which applied this analysis to the same year of 9
- PNSD data from one of the stations (Halley). Applying cluster analysis to the combined data 10
- 11 is an interesting idea but it is not clear what new insights are gained. In addition, a lot of the
- material in the discussion and conclusion sections seems more like introductory material. I 12
- would suggest restructuring the paper to move all introductory material to the introduction 13 14 and to make clearer in the discussion what new information is gained from the combined
- cluster analysis. Specific comments are: 15
- Major comments: 16

1. Please be specific about new insights gained from this analysis. Is it confirmation of the 17 cluster types observed in Lachlan-Cope et al. (2020). That paper found 8 clusters and 18 19 this one finds 6, so a comparison of the two sets of clusters would be helpful. Is there new information about the source of a particular cluster type? If so, please add this to 20 21 the discussion.

- Thank you for the comment. The new insights from this paper are 1) the direct comparison of 22
- simultaneous PNSD data, where we show that coastal sites are dominated by the nucleation 23
- 24 mode relative to the inland site, and that particle counts are greatest in the peninsula; 2) the
- insights from cluster analyses, which let us see the yearly cycle of NPF-dominated and 25
- primary-dominated clusters at each site; 3) the relation of these clusters to air mass back 26 trajectories, where we show that NPF is more related to sea ice than other clusters are, and 27
- highlights the Bellingshausen sea as an NPF hotspot. This paper builds on Lachlan-Cope et 28
- 29 al. (2020) by extending the analyses to four sites. It is not a confirmation.
- Cluster analyses produce a large number of clusters which we then recombine into a smaller 30
- number. Lachlan-Cope et al. (2020) decide to not combine the three "pristine" clusters, but in 31
- our analyses we decided to do so as they follow the same trend. We state the following in the 32
- methods: 33
- "These were assigned into 6 categories typical of Antarctic PNSDs (Lachlan-Cope et al., 34
- 2020). Compared to previous work, we combined the three "pristine" clusters identified by 35
- Lachlan-Cope et al. (2020) into one, as they follow the same seasonal trend, producing 6 36 clusters instead of 8."
- 37
- 38 Further, to highlight the novel findings of the study, we have changed the abstract to read as follows 39
- 40 "In order to reduce the uncertainty of aerosol radiative forcing in global climate models, we
- need to better understand natural aerosol sources which are important to constrain the current 41

and pre-industrial climate. Here, we analyze Particle Number Size Distributions (PNSD) 42 43 collected during a year (2015) across four coastal and inland Antarctic research bases (Halley, Marambio, Dome C and King Sejong). We utilise k-means cluster analysis to separate the 44 PNSD data into six main categories. Nucleation and Bursting PNSDs occur 28-48% of the time 45 between sites, most commonly at coastal sites Marambio and King Sejong where air masses 46 mostly come from the west and travel over extensive regions of sea ice, marginal ice, and open 47 48 ocean, and likely arise from new particle formation. Aitken high, Aitken low, and bimodal PNSDs occur 37-68% of the time, most commonly at Dome C on the Antarctic Plateau, and 49 likely arise from atmospheric transport and aging from aerosol originating likely in both 50 51 coastal boundary layer and free troposphere. Pristine PNSDs with low aerosol concentrations occur 12-45% of the time, most common at Halley located at low altitudes and far from the 52 coastal melting ice and influenced by air masses from the west. We infer that both primary and 53 54 secondary components from pelagic and sympagic regions strongly contribute to the annual seasonal cycle of Antarctic aerosols. Our simultaneous aerosols measurements stress the 55 importance of the variation in atmospheric biogeochemistry across the Antarctic region." 56

- 57 2. The paragraph from line 601 to line 625, the dangling sentence on lines 626 to 628, and
 58 the paragraphs from line 662 to line 691 do not seem like material that is about
 59 interpreting the results in this paper. Rather this seems like broad overview material that
 60 should be combined with the introduction.
- 61 Great suggestion, thanks, we have moved these paragraphs.
- G2 3. I don't understand what Figure 7 adds to the discussion. Everything is the same between
 G3 summer and winter except with slightly more snow and sea ice in the winter. Perhaps
 G4 the goal was to show that boundary layer NPF does not contribute as much in winter,
 G5 but the symbol is really hard to see. Why are the panels slanted? Is the sun shining in
 G6 winter or is that supposed to be the moon?

Figure 7 illustrates the geographic and biological variation both spatially across Antarctica as
well as seasonally. Regarding the findings in our study it shows 1) the increase of NPF and
dominance of secondary aerosols in the summertime; 2) the dominance of primary aerosols
in-land versus at the coast. More generally it shows 1) the sea ice retreat in the summer,
increasing marine emissions; 2) Reduced terrestrial biological activity in the summertime; 3)
darkening in the wintertime. We argue figure 7 ties our arguments regarding Antarctic
aerosols together. In the text we now state

"Figure 7 shows a schematic illustration of the sea ice, microbiota, sea-to-air emissions, and primary and secondary aerosols in Antarctica. Figure 7 highlights the dominance of NPF in summertime PNSDs, and a dominance of primary aerosols during the wintertime, with these primary aerosols being more prevalent inland than at the coast, a key finding of this study. It also highlights the retreat of sea ice in the summer, leading to increased marine emissions, alongside a reduction in terrestrial biological activity and sunlight intensity during winter months."

81 4. I find Figure 5 really hard to interpret with backtrajectories from all of the stations
82 overlapping. I would move Figure S9 to the main body of the paper and skip Figure 5.

Thanks for the suggestion, we have done this and updated the text accordingly. We agree thefollowing sections make much more sense following this format.

- 85 "Our CWT analysis grids back trajectories to 1x1 degree squares and weighs each segment of
- $\textbf{86} \qquad \text{the back trajectory with the corresponding N_{tot} observed upon the air mass's arrival,}$
- 87 performed individually for each PNSD cluster. These are plotted in Figure 5. A map
- $\label{eq:second} \textbf{88} \qquad \text{highlighting source regions for N_{tot} unseparated by cluster per site is shown in Figure S8. The}$
- 89 CWTs aggregated together for each site are shown in Figure S9. Mean heights of these
- 90 trajectories are shown in Figure S10."
- 91 Minor comments:
- 92 1. The paragraph in lines 196 to 205 is confusing. The first part talks about wintertime PNSDs at Hallow and the second part talks about NMD analysis from a summarized
- 93 PNSDs at Halley and the second part talks about NMR analysis from a summertime94 cruise. How are they related?
- 95 The intent was to discuss primary aerosol sources. We have reworded it as follows (major96 changes in bold)
- 97 "Previous analyses of PNSDs at Halley using k-means cluster analysis has shown that
- **98** wintertime PNSDs were characterised by extremely low particle concentrations, with a
- bimodal PNSD appearing with a blowing snow or sea spray origin (Lachlan-Cope et al.,
- 100 2020). The Antarctic wintertime at this site is therefore mostly devoid of secondary aerosol

101 sources and is instead dominated by primary sources. Some of these primary aerosols

will be organic, and NMR analyses of ambient aerosol samples show that aerosols arising

- from the ice-free Southern Ocean are rich in lipids and sugars, and aerosols arising from
 coastal areas are rich in sugars associated with plant vegetation (Decesari et al., 2020). These
- sources are likely primary, and the primary aerosol sources are therefore many and varied
 across Antarctica."
- 107 2. In the paragraph about the Halley data (lines 238 to 244), please state that this same cluster analysis has already been applied to the Halley data and published in Lachlan-109 Cope et al. (2020).
- 110 Thank you for the suggestion. We include it in fact at the start of our discussion of k-means111 cluster analysis
- 112 "k-means Cluster Analysis was applied to the PNSD data to apportion the PNSDs according

to their shape (Beddows et al., 2009), and is routinely applied in pristine environments to

114 PNSD data (Dall'Osto et al., 2017b, 2019b; Lachlan-Cope et al., 2020), including the Halley

115 dataset included in this paper (Lachlan-Cope et al., 2020)."

- **116** 3. Please define the parameters in Equation 1.
- 117 This section now reads as follows

118 "The condensation sink (CS, s⁻¹) represents the rate at which a vapour phase molecule will

- 119 collide with pre-existing particle surface, and was calculated from the PNSD data as follows120 (Kulmala et al., 2012):
- **121** $CS = 2\pi D \sum_{d_p} \beta_{m,d_p} d_p N_{d_p} (1)$

- 122 Here, *D* represents the diffusion coefficient of the condensing vapor, which is assumed to be
- sulfuric acid. The transitional regime correction factor is denoted by $\beta_{m,dp}$, d_p is the diameter
- 124 of a measurement bin, and N_{dp} is the number of particles in size bin dp."
- 4. Please list the cluster types in the same order in the text and the figures. For example, they are listed in one order in lines 313-314, in a different order in the paragraphs in
- lines 320 to 348, and in yet another order in Figure 4.
- Thanks for pointing this out we have amended this throughout (and slightly tidied up Figure 3 also).
- 130 5. I find the name Bursting confusing because I think of bubble bursting but you are using
 131 it to mean new particles that fail to grow. In fact, you use bursting in both senses in the
 132 paragraph on lines 485 to 497. Is it possible to change the name of this cluster?
- We opt to keep the name of this cluster the same for the sake of consistency with literature,but we do now note this in the text
- "Note, the name "bursting" refers to "bursts" in particle number concentrations due tosecondary formation, rather than bubble bursting."
- **137** 6. I would refer to Figures S4 and S5 at the end of line 357.
- 138 We have included this.
- 139 7. Lines 378-379: Is the increased contribution of Nucleation and Bursting in September due to the sun returning?
- NPF is indeed likely a function of photochemistry, as nighttime NPF is essentially unheard
 of, with only a few instances in urban areas (where primary precursor emissions or NO₃⁻
 chemistry are likely the culprit). We don't explicitly make this observation as we don't want
 to make any unfounded mechanistic interpretations.
- 8. In lines 107 to114, you talk about the debate between upper troposphere NPF and boundary layer NPF. On lines 429-430, you note that trajectories corresponding to Nucleation have a lower than average height. Does this provide an answer to the debate? You don't come back to this observation in the discussion.
- No, unfortunately we don't think so. Upper-tropospheric NPF followed by transportation
 down to the measurement site could result in many different shapes of PNSD, in fact, this is
 one of the key uncertainties in the *Aitken* and *bursting* PNSDs, which we highlight as follows
- *"Aitken high, Aitken low, and bimodal* PNSDs occur 37-68% of the time, most commonly at
 Dome C on the Antarctic Plateau, and likely arise from atmospheric transport and aging from
- 154 aerosol originating likely in both coastal boundary layer and free troposphere"
- Further, the number of PNSDs falling into the *nucleation* cluster at Dome C is actually quitesmall, which we now highlight as follows

- 157 "All trajectories corresponding to Nucleation PNSDs have a lower-than-average trajectory
- 158 height, although the total number of trajectories this corresponds to is relatively small (Figure
- 159 S10)."
- 160 9. Line 489: Can you explain briefly what the Hoppel minimum is?
- 161 Good suggestion we have done this as follows
- 162 "The two initial clusters differ slightly because of the Hoppel minimum at 55 nm and 75 nm,
- 163 respectively; the Hoppel minimum refers to a specific dip in the number concentration of
- aerosol particles at these sizes, suggesting variations in particle stability, growth, or origin."
- 10. Figure 1. Why not show the ice extent in 2015 rather than the 30-year average?
- 166 Thanks, we have done this and include the figure below here:



167

Figure 1: Map of the sampling stations (Halley, Marambio, Concordia/Dome C, King Sejong) for the
year dataset collected in 2015. Additional, data for shorter period are intercompared at Kohnen (Weller
et al., 2018) and during the PEGASO cruise (Dall'Osto et al., 2017, blue line the PEGASO cruise track).
The February sea ice extent signifies the annual minimum, while the September median signifies the
annual maximum (data are from the National Snow and Ice Data Center – NSIDC – at
https://nsidc.org/data/, last access: 30 July 2024, Fetterer et al., 2017).

174

- 175 11. Figure 3a: I would like to see a comparison of the Halley cluster distribution from this
 176 work with the one derived in Lachlan-Cope et al. (2020), maybe in a figure in the SI.
 177 I'm curious whether the distribution of clusters changes when you include data from the
 178 other sites.
- We provide a comparison of the two datasets below. The top panel is lifted from Lachlan-Cope et al. (2020), while the below is constructed from our analyses. The main difference is





183

12. Figure 4. Is panel a) useful? Since Dome C is entirely over Land, it seems like averaging all 4 sites together just dampens any pattern among the different clusters. It might make more sense to show the average across clusters for each site since you discuss that in the text, i.e., add a 7th column for the average for each of the 4 sites. Can you use the same names in the legend as in the text, e.g., "Sea ice" rather than "Consolidated pack ice"?
189 Can you shift the names of the clusters slightly left so they are under the tick marks?

190 Good suggestions. All this has been done, although we retain panel (a) as we still argue the191 average is useful. Please see the below figure.



192

- 193 Figure 4: Land surface types associated with each cluster, showing (a) average association
- 194 across all sites, and (b) association per site. "Average" is the mean of all clusters.
- 195 13. Figure 6. I would use more distinct colors for the different sites. Weddell is spelled with196 two l's.
- 197 We have fixed this figure, please see below





199 *Figure 6: PNSD intercomparisons: (a) PNSD from the PEGASO cruise both when influenced*

- 200 *from air masses from the Weddell Sea and Pacific Ocean and the stations used for this study*
- 201 *where overlapping data are available (Marambio, Halley and King Sejong stations), and (b)*
- 202 *PNSD from the Kohnen station (Weller et al., 2018) and the stations used for this study where*
- 203 *overlapping data are available (Marambio, Halley and King Sejong stations)*
- 204 14. Figure S6. A sentence is duplicated in the caption.
- 205 Thanks, this has been amended
- 206 15. References: Heinrichs is out of order and the two Humphries references are in the list
 207 twice.
- 208 This has been amended.

Reviewer: 2 210

- In this study, the authors, have studied the particle number size distribution collected for one 211 year in several stations in the Antarctic region plus some additional 212
- campaigns/measurements. They have found that the four different stations have very different 213
- size distribution possibly highlighting different sources. All the data are analysed with the k-214
- 215 means cluster analysis, a very common method often used by these authors. With this method
- they have identified six main categories (Nucleation, Bursting, Aitken high, Aitken low, 216
- Bimodal and Pristine). 217
- The data analysis is well done and interesting however is not clear from the data analysis how 218
- they could get to their conclusions (Schematic Figure 7). Such as: "We provide evidence that 219
- both primary and secondary components from pelagic and sympagic regions strongly 220
- contribute to the annual seasonal cycle of Antarctic aerosols which add insight on the 221
- possible sources of aerosol production/activity in the whole Antarctic region." I find it hard to 222
- connect the final schematic with the data analysis done in the study. I do understand that 223
- PSND can't give you too much information on biological processes and chemistry. Finally, it 224
- is not really clear the advancement of this study compared to Lachlan-Cope et al., (2020). 225
- 226 My concern are clearly not major and probably with some change in the text and some
- "toning down" of the conclusions/results should be fine. I therefore think that it is a very 227
- good paper and deserve to be published in this journal. 228
- Thanks for the comment. Firstly, we have rewritten out abstract to both be more concise, and 229 to remove the overreaching conclusions. The new abstract reads as follows (important change 230 to our wording in **bold**): 231
- "In order to reduce the uncertainty of aerosol radiative forcing in global climate models, we 232
- need to better understand natural aerosol sources which are important to constrain the 233
- current and pre-industrial climate. Here, we analyze Particle Number Size Distributions 234
- (PNSD) collected during a year (2015) across four coastal and inland Antarctic research 235
- 236 bases (Halley, Marambio, Dome C and King Sejong). We utilise k-means cluster analysis to
- 237 separate the PNSD data into six main categories. Nucleation and Bursting PNSDs occur 28-238
- 48% of the time between sites, most commonly at coastal sites Marambio and King Sejong
- where air masses mostly come from the west and travel over extensive regions of sea ice, 239 240 marginal ice, and open ocean, and likely arise from new particle formation. Aitken high,
- Aitken low, and bimodal PNSDs occur 37-68% of the time, most commonly at Dome C on the 241
- Antarctic Plateau, and likely arise from atmospheric transport and aging from aerosol 242
- originating likely in both coastal boundary layer and free troposphere. Pristine PNSDs with 243
- low aerosol concentrations occur 12-45% of the time, most common at Halley located at low 244
- altitudes and far from the coastal melting ice and influenced by air masses from the west. We 245
- *infer* that both primary and secondary components from pelagic and sympagic regions 246
- strongly contribute to the annual seasonal cycle of Antarctic aerosols. Our simultaneous 247
- aerosols measurements stress the importance of the variation in atmospheric 248
- biogeochemistry across the Antarctic region." 249
- We expand on this in the following sentences, 250

209

- 251 *"Our study shows that the aerosol PNSDs across the Antarctic have striking differences,*
- 252 *likely* due to multiple eco-regions and subsequent atmospheric chemical and physical
- **253** processes act as multiple aerosol sources around Antarctica."
- 254 These statements are made at the end of our introduction,

255 *"We show a prevalence of new particle formation at the coastal sites, and associate this new*

- 256 particle formation with air masses flowing over regions of sea ice and ocean. At the more
- 257 southerly and inland sites, primary particles dominate the particle number concentrations,
- while air masses primarily travel over regions of land. Ambiguity remains in this analysis, as
- 259 some PNSD clusters likely contains a substantial contribution from primary and secondary
- 260 processes. Nonetheless, we provide further evidence for the roles of emissions from sympagic
- and pelagic ocean regions in new particle formation, and highlight the many and varied
 sources of particles across Antarctica."
- 263 Minor comments:
- 264 I have a question about the K-means clustering. How does it perform when the instruments
- have different cut-off (as the one shown in this study)? Especially in the nucleation mode
- where a small change in the cut-off can have a big impact on the particle number.
- 267 Great question. We decided not to give our instruments a common size range as the clustering
- works best when it has as much information as possible, however, some of the instruments
- have min cutoffs at 10 nm, others at lower diameters. We quickly re-analysed our Halley data
- 270 (which has a min size of 6.6 nm), setting the number of clusters to just 5 (for ease of
- visualisation) and applied k-means cluster analysis twice, the second time we cut the smallest
- bins out, so our size distribution begins at 10 nm. The shapes of the resultant PNSD clusters
- are much the same, so we believe this makes not too much difference in our analyses.



274



276 Thank you, we have done this throughout

- 277 It feels that the discussion related to new particle formation around line 600-625 belongs
- 278 more to the introduction then the results. I would consider of moving that part there.
- 279 Great, thanks for the suggestion, this has now been done.

280 I'm a bit puzzle by this statement in the code and data availability section: "The code and

data used to produce all non-illustrative figures are available from the corresponding authorsunder reasonable request." What does it mean reasonable request? Shouldn't all the data and

code be in a repository freely accessible. I think it's important to have these very important

- 284 data (and possibly code) open access.
- 285
- 286 We aim to be as open as possible with our research materials. Researchers can request access
- by contacting the corresponding author, and we'll provide the data and code, following the
- 288 guidelines set by those who collected each individual dataset.