

Reviewer 1

I thank the reviewer for their comments and suggestions for improving the paper. I agree with your suggestions, and have implemented most of them. After more analysis based on the comments of other reviewers, the paper now compares KS and PV-waveguides, and thus some details of the double jets in the KS waveguides have now been removed to make room for the comparison. Reviewer comments are given below in black, with my responses in blue.

Major comments:

a) Abstract: the notion of waveguide depth is obscure there. When I read the abstract I thought it was considering the depth in altitude. It would be good to add words to qualitatively define the waveguide depth. For me, the waveguide depth is closely related to the number of zonal wavenumbers for which the waveguide exists.

Thank you for this helpful feedback – I have adjusted this terminology to be ‘waveguide strength’.

b) Introduction (lines 25 to 29). It would be good to add some physical interpretation of why people think there is a link between waves amplitude and waveguides. In my opinion, this is potentially because waves are not dissipated as there are no critical latitudes in a waveguide. But I am not sure this is what the papers cited in line 28 have argued.

Yes, I agree that the lack of dissipation of wave energy within the waveguide, and channeling of that wave energy is at least part of the physical interpretation, along with the typically enhanced stationary wavenumber within a waveguide, allowing waves of higher wavenumber to become quasi-stationary. In addition, if the waveguides are quasi-stationary, this would allow quasi-stationary channeling of wave energy into this particularly latitude band. I have added: “Waveguides provide a region where wave energy is more meridionally confined, and thus wave dissipation may be low; they may therefore provide conditions for high-amplitude quasi-stationary waves to develop.” Before the line: “Improved understanding of atmospheric waveguides and their role in the existence and amplification of quasi-stationary waves is therefore of great interest.”

c) Method: it would be good to highlight that the background flow and the waves are separated by the spatial scale ($k < 2$ for the background flow and k between 4 and 15 for the quasi-stationary waves). Since the 15-day running mean is used for the detection of both the background flow and the waves, the reader might be confused by the separation between these two parts of the flow.

Thank you, I have added clarification text on this. I have also repeated the quasi-stationary wave analysis using k between 6-15 to provide even greater separation, and results are very similar – this is now added to the sensitivity analysis.

“In this work, both the waveguides and the QSWs employ the same 15-day low-pass filter; the waveguides and waves are therefore separated only by their spatial scale, with waveguides using $k \leq 2$, and the QSWs ($4 \leq k \leq 15$). Key results are repeated with the QSWs defined as ($6 \leq k \leq 15$) to increase the degree of separation (see Section 5.1).

d) Section 4.1 and waveguide frequencies:

- before starting that section it would have been nice to show K_s for the time mean flow of JJA and DJF, i.e repeat Figures 3c of Hoskins and Ambrizzi (1993) and 11c of Ambrizzi et al (1995). Maybe it would be good to do it by considering the climatological flow for $k < 2$ to be close to what is done in the present paper for the time-evolving waveguides. Such additional figures could help to better visualize the difference between summer and winter and between SH and NH. The argument made lines 160-165 to qualitatively explain why the summer NH has more frequent waveguides than the winter NH could be better understood by showing the time mean U and K_s for both seasons. Furthermore, maybe the additional argument is the fact that the jet is probably narrower in summer than winter and both the planetary vorticity gradient and relative vorticity gradient play a role in the difference between summer and winter.

This is a nice idea, thank you. Instead of showing K_s for the climatological U , I am showing the climatological median (the mean is too impacted by extreme values) K_s on the time and zonally filtered data. This is slightly different from the K_s of the climatological flow, because K_s is non-linear, but a comparison to the suggested papers can still be made. This plot is now referenced when thinking about the differences in climatological waveguide frequency between different seasons and hemispheres.

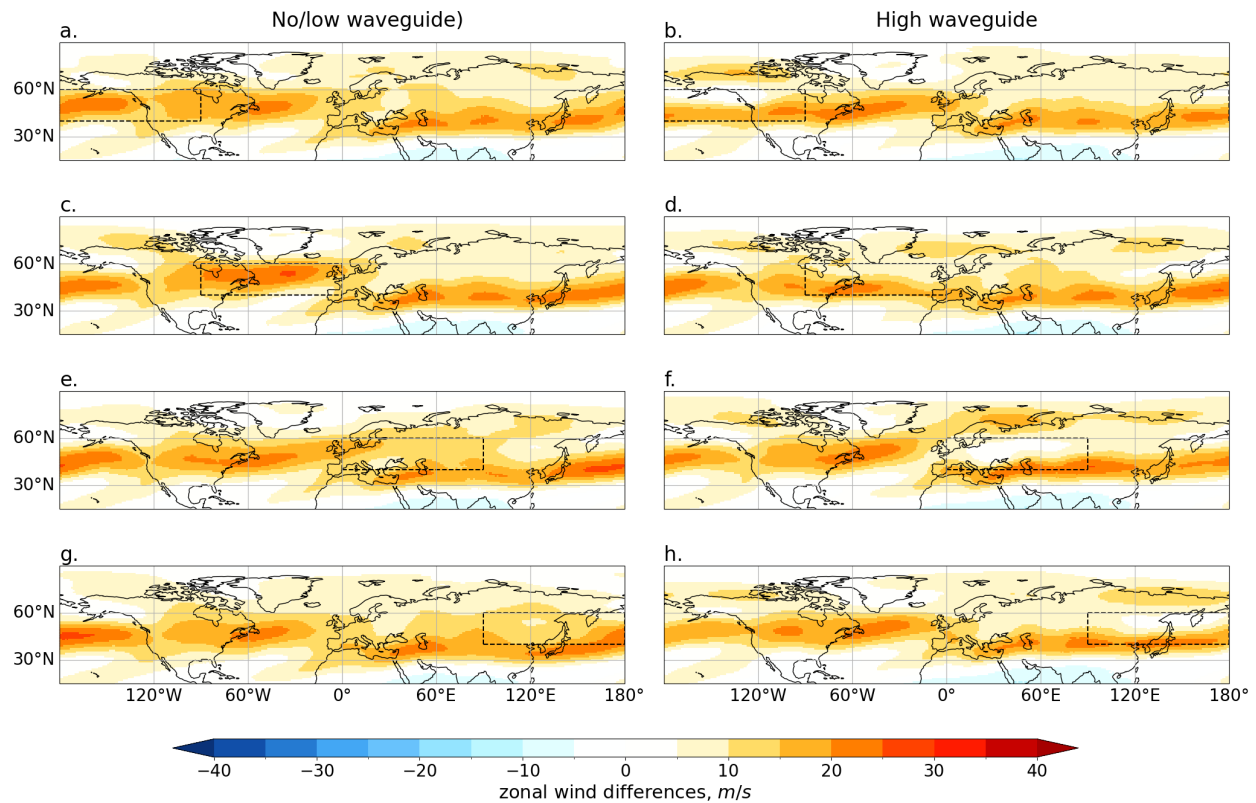
- I am surprised that the SH has less waveguides than the NH as the double jet structure (separation between subtropical and eddy-driven jet) is more marked there, at least in the climatologies.

Yes, I agree that this result is interesting, and is in contrast to the PV-waveguides (now compared directly in the paper) I have added more discussion around this topic in the revised manuscript. It is also worth noting that the waveguide frequencies are giving the frequency of a waveguide existing at that latitude, not the frequency of a waveguide at that longitude in that hemisphere – thus jets with more variable latitude may show a reduced waveguide frequency at any one latitude. There is likely also an influence of differences in the width of the jets between the northern and southern hemisphere, particularly for the KS-waveguides. For example, Manola et al. 2013 (Fig. 5 c and d) show some differences between the jet widths (again, comparing SH summer with NH winter), and in the SH jet widths of up to 16-18 degrees latitude are more common than in the NH. This importance of jet width can also be seen in the new figure you suggested, which I have added below, looking at composites of zonal winds (not just anomalies) for strong vs low/no waveguide days - the differences are often more in the narrowness of the jet, and not always in the maximum strength. Given the shift of the paper towards a comparison of KS and PV-waveguides, this figure is not included in the paper, however the results are used in the discussion. Whilst in idealized situations, one can create stronger meridional gradients by changing the strength of the maximum jet, that is not the only way, and these results suggest, in fact, a stronger role for the narrowness of the jet (as also highlighted in Manola et al. 2013)

e) Section 4.2: this is the part of the results where I am less convinced by the conclusions. For instance, Figure 6a shows an anomalous tripolar pattern in zonal wind when computing the difference between high and low waveguide strengths. This anomaly could be the result of different changes: a more pronounced double jet structure is one possibility but it could result from a widening of the jet or some latitudinal shifts. So it would be very nice to compare composites of high waveguide strengths and low waveguide strengths separately before (or rather than) showing the difference.

Thank you for this suggestion. I have made these plots of the composites (see below). As discussed above, these composites do show a double jet structure in many regions, however this is also related to

a southward shift in the lower latitude jet relative to the no/low waveguide condition. As noted above, however, these plots are not included in the revised manuscript, as they would focus too much on the KS-waveguides. As the double jets are found to be likely related to high latitude blocking in the new composites of geopotential height, we have decided to not focus too heavily on the double jets themselves, and more on the mechanism of whether blocks are causing the waveguide conditions.



f) Section 5: It is surprising that the correlations are strong in the Atlantic and over Asia and not in the Pacific while the waveguide depths are similar in the North Atlantic and North Pacific. What would be a possible explanation for that? Or if you do not have hypothesis it would be nice to comment these results by referring to other studies. Were the studies on the relationship waveguide-wave amplitude focused in the North Atlantic and Asian regions. Do you know studies that also considered that relationship in the North Pacific?

I agree that this is a somewhat surprising result, and may perhaps be some combination of the waveguide strength and the location of wave sources; however, we find for the PV-waveguides very different correlation patterns. This could be because the QSWs co-located with KS-waveguides are more to do with low pressure anomalies associated with higher latitude blocking, and thus the spatial distribution of correlations is related to blocking strength and the type of blocks that typically happen in that region. Note that there are still positive correlations across much of the Pacific region in most sensitivity analyses - the correlation is weaker and not statistically significant, however it may be physically real.

g) Sensitivity tests: I think it would be good to have a sensitivity test by changing the mean pressure level (e.g. 500 hPa?). Held et al. (1985) computed a barotropic equivalent level near 425 hPa and Charney (1949, see section 6) found a barotropic equivalent level closer to 550-600 hPa.

This is an interesting idea, thank you – this has been added to the sensitivity tests.

Minor comments:

Thank you for your minor comments. I will add in these suggestions and corrections.

1) Line 125: maybe add "temporally and zonally filtered U following the method described in section 2.1"

Thank you; added.

2) Line 130: it would be good to have a qualitative description of what the waveguide depth means. We understand mathematically in the main text but this would be useful for the abstract.

Thank you – this is now added in the introduction: "in this study we extend the binary approach, using the stationary wavenumber to define a metric of 'waveguide strength', allowing a continuous range of 'waveguidability' for the KS-waveguides, once the threshold of waveguide presence is reached."

3) Line 134 and thereafter: why is cut-off latitude used rather than turning latitude ? I think turning latitude is the classical term

Thanks for noting the confusion – here I intend to refer to the latitude at which the algorithm starts looking for waveguides, and so use a different term to differentiate from the turning latitudes. I have re-phrased to make this clearer.

4) Figure 2: what are the black contours. Zonal wind at 300 mb ? Same question for Figure 4 but they disappear in Figure 5.

Thanks for noting these missing pieces. You're correct that they were the (zonally filtered) seasonal mean zonal winds – these figures have now been altered, but the zonal winds in what is now Fig. 3 are explained in the caption.

5) Line 178: I do not understand the end of the sentence "latitudinal cut-off ... latitude of the jet".

This was in reference to the lowest latitude that the algorithm looks for turning latitudes. This discussion has been mostly removed from the revised manuscript.

6) Lines 187-188: I do not understand what is meant by "Latitudes are weighted equally". Do you mean that multiplication by the cosine of latitude is applied to do the regional averages?

This has been amended, and all figures are now shown with latitude-weighting, noted in the 'area-weighted regional averages'

7) Line 196: I would add "a 'double jet structure' in anomalies is present

This has been reworded: "In all regions and seasons analysed in Fig. 7 a consistent anomalous jet structure is present at the longitude of the waveguide, with a region of anomalously low zonal wind immediately to the north of the region of high waveguide strength. Typically there are also either anomalously strong zonal winds at, or just south of, the waveguide location, and/or poleward of region of low zonal wind."

8) Lines 204-206: here again it would be better to see both composites rather the difference between composites

Because of the shift towards the PV- and KS-waveguide comparison, we continue to use differences to be more concise. For U and Z500 I now use differences between strong waveguide days and climatology, so this may be easier to interpret.

9) Figure 7: the magenta contour is difficult to see in the red-brown areas.

Thanks for this comment – I have made the magenta contour thicker in all plots so it is easier to see.

10) Lines 260-265: Here the importance of narrow jet is highlighted but this has not been the main argument mentioned in the main text when describing the difference between summer and winter in the NH (lines 160-165). The story of the equatorward displacement of the jet was emphasized. So please be more precise why there is a difference between summer and winter. How important are the jet width and latitude in that story ?

In lines 160-165 I was trying to explain why the peak in the waveguide is displaced equatorward of the peak in the jet, and not the difference between summer and winter. I believe the difference between summer and winter is indeed to do with jet width (now also seen in the Ks figure you suggested). I have re-phrased these sections to hopefully be less confusing.

11) Caption Figure 9: please provide units for dimensional parameters.

Thank you. This figure and caption have been substantially changed; all dimensional parameters in the caption have units now.

I thank the reviewer for his time reviewing the manuscript, and the helpful comments and suggestions that have substantially improved the manuscript. The additional analysis performed in response to the reviews led us to, as suggested, include a comparison of the two types of waveguide definition (the ‘two-turning-latitude’ approach, or KS-waveguides, and the PV-gradient approach, following Polster and Wirth 2023). We now show that some of the problems the reviewer hypothesised regarding the ‘two-turning-latitude problem’ are likely correct – these issues are explored further in new plots looking at composites of geopotential heights and QSWs for the two waveguide types. Ultimately, we end up recommending the PV-waveguides for future research. This recommendation is further supported by the finding that the correlations with co-located QSWs are much higher and widespread with the PV-waveguides than with the Ks-waveguides. I have copied below the comments of the reviewer in black, along with my responses in blue.

The concept of a Rossby waveguide has recently found increased interest. This recent interest may be partly due to the hypothesis of Petoukhov et al. (2013), who considered circumglobal waveguides and investigated the possibility of Rossby wave resonance during specific episodes. Their method to diagnose the existence of a waveguide from observations was based on arguments used earlier by Hoskins and Karoly (1981) and Hoskins and Ambrizzi (1993), which in turn start with linear wave theory, make additional assumptions (like the so-called WKB approximation), and finally arrive at the concept of a refractive index. Under special circumstances, the basic state may feature two so-called turning latitudes for a given wavenumber, and this is then interpreted as a perfect zonal waveguide for the respective wavenumber. In the remainder of this text I will refer to this framework with the “Two Turning Latitudes” as TTL analysis or TTL thinking. The current paper has, broadly speaking, two parts. In the first part the author produces and discusses, for the first time, a climatology for waveguide occurrence based on TTL analysis. As a particular feature the author considers a background atmosphere that is allowed to have a smooth variation in longitude, such that her waveguides, too, include a smooth variation in longitude. In the second part, the author goes on and correlates waveguidability as diagnosed from TTL analysis with Rossby wave amplitude, where both waveguidability and wave amplitude are allowed to vary smoothly with longitude. In both parts, the background atmosphere (needed to define a waveguide) is obtained through a combination of temporal and spatial filtering.

In the past, I have raised two major issues with the TTL analysis as a method to diagnose waveguides and waveguidability and how it is usually applied. First, in an idealized modeling framework I designed a method to diagnose “true” waveguidability and compare it with TTL-based waveguidability (Wirth, 2020). My “true waveguidability diagnostic” does not make use of the WKB assumption, which underlies the TTL analysis but which is badly violated in realistic situations. Therefore, in case of discrepancies between the two methods, my “true waveguidability” would naturally be given priority. As it turns out, TTL-based waveguidability is severely flawed in that it is unable to reproduce the gradual increase in true waveguidability as the strength or the narrowness of a jet is increased (see also Manola et al. 2013). In particular, the association of the existence of two turning latitudes with a (perfect!) waveguide for the corresponding zonal wavenumber was shown to be highly problematic. My second issue concerns the fact that the TTL-based analysis may be subject to artefacts in the event of large wave amplitudes, if the used background state is based on zonal averaging (Wirth and Polster 2021).

I do not contend that these two issues reduce the utility of TTL-theory to zero, but I would certainly say that TTL-theory is unable to represent certain (possibly important) aspects regarding waveguides and waveguidability. Unfortunately, we do not have a satisfying understanding yet that would allow one to distinguish those aspects for which the application of TTL-theory is appropriate from those for which it is not. This situation calls for a high level of care that needs to be exercised. Incidentally, as far as I can see,

earlier papers such as Hoskins and Karoly (1981) and Hoskins and Ambrizzi (1993) never based their conclusions on TTL analysis alone; rather, their work typically included some independent statistical analysis and/or numerical modelling, and whenever all these approaches yielded consistent results, the TTL-diagnostic was used for interpretative purposes.

Despite the issues that I raised above, the TTL analysis of waveguides and waveguidability enjoys ongoing popularity, and the caveats associated with this approach are sometimes simply ignored. The current paper seems to continue along this tradition. To be sure, the author quotes all the relevant papers including the two critical ones I just mentioned. She even says explicitly that caution is needed when interpreting results that are based on TTL theory (line 90). But then she simply goes on and does not really attempt to critically discuss the implications of these papers for her own work. In my eyes this is not satisfactory. I would expect that the author gives strong arguments why she thinks that the issues I raised are irrelevant in the context of her paper. Otherwise, the reader is left alone with the question: “why learn more about a diagnostic that was shown to be severely flawed in relevant applications”?

Interestingly, when I read the paper for a second time, it occurred to me that the results from both parts can actually be interpreted in a way that further supports the criticism raised by Wirth (2020) and Wirth and Polster (2021) — see my further explanations in the major issues below. I believe that such an interpretation was not the original intention of the author.

Given this situation, I am not sure what to recommend. One option might be that the author is able to argue in favor of TTL thinking and dismiss my issues on the basis of compelling arguments. This option would probably result in minor revisions to the manuscript, like for instance adding a new paragraph that contains the relevant explanations. Personally, I cannot see how this could work, but I may be biased or miss an important point, and I am happy to get involved in a discussion and learn more. As another option I could imagine a statistical/climatological comparison of the TTL diagnostic with an alternative diagnostic like, for instance, the one of Polster and Wirth (2023). This option would make use of most of the work performed in preparing this paper, but of course it would mean a complete rewrite.

Thank you for your comments and suggestions. Having completed further analysis of the composites of the Ks-waveguides, based on the comments of your and other reviewers, the work in this paper now confirms that there is a connection between high pressures/atmospheric blocking poleward of the waveguide and the existence of KS-waveguides, as suggested by the reviewer, and hinted at in the previous results. It is likely (although not proven here) that this relationship is in the direction of the atmospheric blocking causing the waveguide conditions, as shown in the zonal mean perspective in Wirth (2021). Given this, I have now included a comparison with the PV-gradient waveguides as calculated using the method of Polster and Wirth (2023). The main structure of the paper remains the same, but the figures now show comparisons between the KS-waveguides and the PV-waveguides (for summer), rather than summer vs winter; plots for winter are shown in the Appendix.

I have also added more explicit information about the limitations of the KS-waveguide method, including: “The theory may therefore provide some use in understanding underlying mechanisms; however, care should be taken not to over-interpret results in a quantitative manner. One key limitation, shown clearly by Wirth (2020), is when the theory is interpreted in a binary manner: either a waveguide exists and waves are 100% trapped within the waveguide, or there is no waveguide and 0% wave confinement; in reality a range of ‘waveguidability’ exists depending on the strength of the meridional gradients within the jet. Motivated by this, I extend the binary approach, and use the

stationary wavenumber to define a metric of 'waveguide strength', allowing a continuous range of 'waveguidability'."

The reviewer has indeed shown, in Wirth (2020) that, under specific circumstances, with idealized high amplitude perturbations, the zonal mean background flow does not represent a 'true' background flow and waveguides in the zonal mean flow can appear as an artefact of the methodology. The research in this current paper is an explicit attempt to move away from the zonal mean, partly for this reason. Waves of sufficiently long wavelength may alter the flow such that they then impact waves of higher wavenumber. This, I believe, is suggested by the research in the current paper (mostly by the KS-waveguides) and could be an important result – certainly worthy of further investigation.

Overall, the paper now compares the two waveguide approaches, showing that the PV-waveguides tend to be: a. less likely to be associated with local higher latitude blocking, and b. more strongly correlated with co-located QSWs. We therefore recommend the rolling-zonalization PV-waveguides of Polster and Wirth (2023) for future studies of waveguides.

Major Comments

The first set of results (section 4.1) presents climatological properties of TTL waveguidability, and it turns out that (broadly speaking) waveguidability is stronger or waveguides are more frequent in summer compared to winter. This is in striking conflict with the fact that jets are generally stronger in winter than in summer, and the latter implies stronger (true) waveguidability in winter compared to summer according to Manola et al. (2013) or Wirth (2020). The author mentions this conflict on line 168 and adds later on line 263 that "further research is required to fully investigate this result". I find this not very satisfying. One way to resolve this conflict would be to admit that TTL-based waveguidability is flawed and inappropriate for a reliable diagnosis in this context, consistent with the arguments of Wirth (2020).

The waveguides depend on the stationary wavenumber, K_s , which is related to the 2nd meridional gradient of the zonal wind (see Eqs 1 and 2 in the manuscript) rather than the strength of the zonal wind in the jet (and, indeed, the zonal wind strength appears in the denominator of the K_s equation), and so the width of the jet plays a key, and indeed, perhaps stronger, role relative to the strength of the jet. This point was also highlighted by Manola et al. 2013. I therefore don't believe the results found in this paper are inconsistent with theoretical expectations. Indeed, in Hoskins and Woollings (2015) Fig. 2, a stronger climatological stationary wavenumber can be seen in the summer vs winter seasons, which implies (although the link is not direct) higher waveguide frequency in summer. As suggested by reviewer 1, I now include climatological maps of the stationary wavenumber in a revised manuscript, allowing a more complete discussion of the hemispheric and seasonal differences. It is interesting, however, that the PV-waveguides frequency (now also shown in Fig. 3) is higher in winter, and more equally distributed between the hemispheres. We do not consider this necessarily to be a weakness of the KS-waveguides, however, as the results are consistent with the mathematics, that the zonal wind strength appears in the denominator of the K_s equation. I also believe there is more of a difference between localized waveguide presence and 'hemispheric waveguidability' than the reviewer is considering here, with the latter 'waveguidability' defined as the ability of a background flow to trap waves within a certain latitude band across the whole longitude range, i.e. considering hemispheric waveguides. Such hemispheric waveguidability is important for circumglobal Rossby waves, but here we are interested in whether localized waveguides can be important for localized Rossby wave amplitude, a hypothesis which seems to be confirmed in Fig 10, with positive correlations between waveguide strength and co-located QSW activity, particularly for the PV-waveguides. Confirming the seasonality

and hemispheric variations of ‘true waveguidability’ from observations will be confounded by seasonal and hemispheric variations in the zonal continuity of the waveguides as well as wave sources. We now discuss this: “Some of these differences, such as seasonal and hemispheric variations can be at least partially explained by the different formulations of the waveguide definition, with the strength of the zonal wind U appearing in the denominator of KS-waveguides, but not for PV-waveguides. It is unclear which is the more ‘accurate’ description, as so many other factors, including the continuity of waveguide conditions along a longitude circle, and strength of local waviness, may influence observed hemispheric and seasonal variations in wave strength within waveguides. Idealized simulations such as those performed by Segalini et al. 2024, analysing how waves behave with different background flows, could help understand these differences, and confirm the relative importance of jet width vs jet strength.”

Hoskins, Brian, and Tim Woollings. 2015. “Persistent Extratropical Regimes and Climate Extremes.” *Current Climate Change Reports* 1 (3): 115–24. <https://doi.org/10.1007/s40641-015-0020-8>.

Manola, I., Frank Selten, Hylke de Vries, and Wilco Hazeleger. 2013. “‘Waveguidability’ of Idealized Jets.” *Journal of Geophysical Research: Atmospheres* 118 (18): 10,432–10,440. <https://doi.org/10.1002/jgrd.50758>.

Segalini, A., Riboldi, J., Wirth, V., and Messori, G. 2024. A linear assessment of barotropic Rossby wave propagation in different background flow configurations, *Weather and Climate Dynamics*, 5, 997–1012, <https://doi.org/10.5194/wcd-5-997-2024>

2. Figure 6 of the paper indicates that the “black boxed regions” with strong waveguide occurrence are characterized by weaker than normal zonal wind. Again, this result is in striking conflict with the results of Manola et al. (2013) and Wirth (2020), who showed that strong waveguides are generally associated with stronger rather than weaker jets. As far as I can see, the author does not resolve this conflict. One way to resolve the conflict would be to acknowledge that the TTL-waveguide diagnostic is fraught by the artefact discussed in Wirth and Polster (2021, see my further comments below), and that previous authors who used the TTL-diagnostic are subject to the same artefact.

The winds are only weaker in the northern half of the boxes, and stronger in the south, consistent with enhanced second meridional gradients in zonal wind, which is consistent with the theory of waveguides. The waveguides in these composites are typically in the southern half of the boxes, as shown by the pink contours. To try to make this point clearer, I have recreated this analysis with a smaller latitudinal extent for the waveguide region so the stronger waveguides more accurately match with the black boxes. At the suggestion of reviewer 1, I have also made the magenta contours, showing the waveguide strength anomalies, thicker and thus more obvious. Figs. 4 and 5 now typically show enhanced winds in the waveguide region, but we agree that the decrease in zonal winds poleward is the more prominent feature – this, and its connection to high latitude blocking, is now discussed explicitly in the paper, with new figures (6 and 7) showing the high pressures in the composites consistent with high latitude blocking creating the zonal wind anomalies that create the waveguide conditions.

3. The second part of the paper (section 5) shows, broadly speaking, a (weak, but statistically significant) positive correlation between local Rossby wave amplitude and local TTL-waveguidability in certain regions (Fig. 10). At first sight this result was surprising to me, given that the author does not really give a motivation why one should expect such a correlation (e.g., on lines 98 and line 280ff, where such a

connection is more or less assumed to be given). To be sure, in the case of circumglobal waveguides, a motivation might arise from the contested quasi-resonance arguments of Petoukhov et al. (2013). But this line of arguments cannot possibly provide a motivation for the present analysis, because Rossby wave resonance requires circumglobal waveguides, while the author here diagnoses local (even gridpointwise) waveguides. On line 210, the author mentions two papers in which such a correlation allegedly was hypothesized, but as far as I can see the waveguides in these papers were assumed to be circumglobal rather than local. Therefore, I disagree with the author's statement in the discussion section (line 279) that such a connection was hypothesized "to some extent" in previous articles. However, after second thought I realized that this correlation may be a result of the artefact which I discussed in Wirth and Polster (2021). The author herself provides a hint in her Figs. 6 and 7, where she shows that strong TTL-waveguides are associated with tripole-like anomalies in the zonal wind corresponding to a "double jet structure". This result, in combination with the positive correlation between local TTL-waveguidability and Rossby wave amplitude, offers the following interpretation: according to the argument of Wirth and Polster (2021), these strong TTL-waveguides may simply be artefacts arising from strong Rossby wave amplitudes. Large wave amplitudes would distort the total (= background plus wave) flow pattern such that one obtains a tendency towards a double-jet structure in the zonal average (see, e.g., the schematic in Fig. 1 of Wirth and Polster 2021).

To the extent that there is no alternative plausible motivation for the correlations in Fig. 8, my argument suggests that Fig. 8 could actually be interpreted as an independent (data-based) confirmation of the Wirth-Polster criticism. A way to test this hypothesis would be to use the novel zonally varying background state from Polster and Wirth (2023). In fact, the author mentions this idea on line 293. It would not be too hard to perform this analysis, since Polster and Wirth published her code along with the paper. The basic state from Polster and Wirth is based on a "rolling zonalized" background field, which is not subject to the Wirth-Polster artefact — in contrast to the background state used in the current paper. If the correlation vanished upon the use of this (presumably more appropriate) basic state, one would have produced an independent piece of evidence for the statement that, indeed, the tripole-structures in Fig. 6 and 7 essentially reflect the artefact discussed in Wirth and Polster (2021).

Waveguides are regions where wave energy is more likely to be trapped within a particular latitude range (e.g. Hoskins and Karoly, 1981; Hoskins and Ambrizzi, 1993). Hoskins and Karoly (1981) also propose that waves will be refracted towards regions of higher stationary wavenumber, i.e. towards and into a waveguide. The presence of waveguides is thus indicative of regions where wave energy is more likely to be guided into, and trapped within this latitude. In addition, regions of higher stationary wavenumber would allow higher wavenumber quasi-stationary waves to be present. I will add these arguments into the introduction of the paper, making it clear that the hypothesised connection between waveguides and waves does not require zonally symmetric waveguides in this case, as we are not studying circumglobal waves.

This correlation between QSWs and waveguides is now shown for both KS- and PV-waveguides, and in fact the correlations are stronger for the PV-waveguides. This is an important result of this revised paper, as it seems to confirm the hypothesis that local waveguides can provide the conditions for amplified QSWs.

For the KS-waveguides, it may be the case that a block poleward of the waveguide leads to the changes in zonal wind, which helps create the waveguide conditions, which then leads to a higher probability of quasi-stationary waves equatorward of the block itself, or it may be that the co-located QSWs in this case are just the low pressure systems associated with the higher latitude block, in the case of Rex,

Omega blocks or Rossby wave breaking. These ideas are now hypothesised in the revised manuscript, but it is outside the scope of this current paper to prove this connection.

4. In Fig. 4, the author introduces a novel metric for “waveguide depth” W_d . This is an interesting idea, because W_d represents a somewhat more “integral” measure for the strength of a waveguide, in contrast to the search for two turning latitudes; the latter only relies on the intersection of the K_s -profile with a line representing a fixed wavenumber and introduces an artificial “waveguide vs. no-waveguide” dichotomy (Wirth 2020). Fig. 4 in Wirth (2020) suggests that stronger jets are generally associated with stronger W_d as defined here. However, W_d , too, is unlikely to represent the increase of true waveguidability with increasing jet strength beyond a certain limit. This was explicitly discussed in Wirth (2020) in connection with his Fig. 6a, where true waveguidability increases from about 48% to about 75% while jet strength was increased from 20 to 40 m/s, and this increase in true waveguidability would be completely missed by W_d . In fact, I believe there are better (and simpler) measures for waveguide strength, such as the horizontal PV gradient.

Indeed, my metric for waveguide strength (renamed from depth at the suggestion of reviewer 1) was inspired by your work in Wirth (2020). However, I believe that this metric does capture some of the increasing waveguidability for stronger/narrower jets. For example, in Fig. 4 in Wirth (2020), the “waveguide strength” (difference between k and maximum K_s in the waveguide) for a wave of wavenumber 5 increases from around 1.6 for a 10m/s jet to approximately 2.1 for a 40m/s jet, and so this increase in waveguidability is not entirely missed by this method.

Minor Comments

1. Line 15 : . . . it can be associated with extreme weather occasionally, but certainly not always.
Yes, I agree. Reworded to: The circulation associated with large scale atmospheric Rossby waves (Rossby 1939) can have a strong influence on the weather we experience at the Earth’s surface, particularly in the extra-tropics. Indeed, high-amplitude Rossby waves can be associated with extreme weather....

2. Line 20: I assume that the issue with stationarity is just as severe in connection with precipitation as it is in connection with heat. E.g., the flooding events in Germany (2002), Pakistan (2010), and Germany (2021) were associated with quasi-stationary circulation patterns.
Good point; the specific reference to temperature has been removed.

3. Line 25: are you here referring mostly to circumglobal jets? Line 209/210: again, why should a strong local waveguide be associated with strong wave amplitude? Petoukhov et al (2013) hypothesize such a connection in the case of circumglobal waveguides, but you have a very local (grid-point wise) perspective on waveguides.
Added: “Waveguides provide a region where wave energy is more meridionally confined, and thus wave dissipation may be low; they may therefore provide conditions for high-amplitude quasi-stationary waves to develop.”

4. Line 43: “. . . . theory provides qualitatively useful insights. . . .”: how is this possible, if the underlying assumptions are not valid? Is this by pure chance? How about the issues in Wirth (2020), who showed that there are relevant aspects, in which TTL-theory does not provide even qualitatively realistic results? If the theory provides sometimes useful results and sometimes not: how can one distinguish between these two alternatives?

The paper now shows that the PV-waveguides are likely better than the KS-waveguides for studying connections with waves. However, I generally believe that, even if underlying assumptions are not 100% valid, it does not mean that the theory has no use at all, it does mean it should be used with care. However, as we now have a, seemingly better, alternative in the rolling-zonalized PV-waveguides, I think this is a useful comparison to have. This section has now been re-written as:

Despite the limitations of the theory behind KS-waveguides (Wirth 2020), including questionable validity of the underlying assumptions (limitations articulated clearly in the original papers), the theory has previously provided qualitatively useful insights into the behaviour of waves in both idealized simulations and with realistic flow conditions (Hoskins and Karoly 1981, Hoskins and Ambrizzi 1993, Hsu and Lin 1992, Hoskins and Woollings 2015, White et al. 2017). Here, I compare waveguides created using each of these methods, referring to them as 'PV-waveguides' and 'KS-waveguides'.

5. Line 88: Here you seem to refer to Wirth and Polster (2021), not to Wirth (2020).
Thank you, corrected.

6. Line 94: to some people, a “nonlinear wave” is an oxymoron. I would prefer to speak about “nonlinear eddies” or “nonlinear perturbations” and reserve the term “waves” for linear dynamics.
To me, non-linear wave helps clarify that the perturbation started off as a (near) linear wave, and has grown non-linearly; however, I see your point. Happy to rephrase to non-linear perturbations, here and in the discussion.

7. Line 98: . . . but this is true only if the waveguides are circuglobal!
As now discussed in the introduction, the reduced dissipation of wave energy within waveguides could potentially lead to enhanced QSWs, even locally. The start of this paragraph has, however, now been rephrased to read:
“Quasi-stationary waves (QSWs) can lead to extreme weather, and thus potential connections to atmospheric waveguides are worth exploring.”
As we find strong correlations between QSWs and PV-waveguides, this provides some confirmation that this hypothesised connection may be real.

8. Line 100: a running temporal mean?
Yes, now clarified, thank you.

9. Line 101: do you really mean “planetary wavenumbers of interest” . . . ? It appears to me that you are, rather, aiming to extract synoptic-scale wavenumbers here.
Yes, good point, thank you. Corrected to specify synoptic-scale wavenumbers.

10. Line 103: “wave envelope”: Do you really mean the wave envelope of the planetary waves?
With the previous clarification earlier in this sentence that we are isolating the synoptic-scale waves, hopefully this is now clear that it is the wave envelope of the synoptic scale quasi-stationary waves.

11. Line 103: “15-day running mean”: yet another temporal filter? Haven't the data already been filtered temporally (line 100)?
The original text was giving an overview, with the following text clarifying the exact specifications of the filters used, but I see this was a little confusing. This has been re-phrased:

12. Line 104: the Hilbert transform is usually applied to compute the wave amplitude, not the wave itself. What do you mean here?

This sentence is now removed to remove the repetition in this section.

13. Line 132: A westerly jet with strength 0.5 m/s is not very impressive in my eyes, and somehow conflicts with the idea of Manola et al (2013) that a jet needs to be both narrow and strong to be a good waveguide. In addition, the desired narrowness of the jet would suggest rather a criterion that restricts to a maximum width (rather than a minimum width, as you suggest).

These are just criteria on the detected waveguides, not ways to detect waveguides. The waveguides are still detected by the presence of turning latitudes, which will be impacted by the narrowness of the jet. The >0.5m/s criterium simply requires winds to be westerly within the waveguide region, i.e. it excludes regions of easterly winds, requiring that strong meridional wind gradients are not created by a gradient between easterlies and weak westerlies, but rather between a strong westerly jet. The 0.5m/s wind criteria is likely only applicable at the edges of the waveguide, not in the centre. This has been re-phrased to:

“In the main results the following thresholds are used, with waveguides removed from the dataset if they do not meet all criteria.... results are found to be insensitive to changes in these thresholds of up to 50%.

14. Line 135: . . . show that the results... “

Corrected, thanks.

15. Line 145/146: To me it seems as if you identify frequent waveguide occurrence with large waveguide amplitude, which I think is dangerous. Waveguide frequency and waveguide strength should be distinguished and not mixed together.

In the waveguide frequency section, I am identifying waveguide occurrence as any waveguide, regardless of strength. In the composites subsection I am presenting composites of days with high waveguide strength, and so this is isolating strong waveguides over a particular region.

This section has now been re-written, as the maps of climatological K_S are included, so the corresponding text has been removed.

16. Figure 2: Can you explain the solid contours in the figure caption!?

Yes, thank you, added to the captions.

17. Line 153: You find more waveguides in summer compared to winter, although the jet in summer is usually weaker. Isn't this inconsistent with the results of Manola et al (2013) and Wirth (2020), who show that stronger jets are usually better waveguides, hence one would expect higher waveguide frequency in winter than in summer?! Can you resolve this issue? A similar problem appears on line 167/168 when comparing Northern and Southern Hemispheres.

The waveguides depend on the 2nd meridional gradient of the winds, not the maximum strength, and so the width of the jet plays a key role, as also highlighted by Manola et al. 2013. I therefore don't believe the results found in this paper are necessarily inconsistent. Additionally, Manola et al. 2013 (Fig. 5) also show some differences between the jet widths (comparing SH summer with NH winter), and in the SH jet widths of up to 16-18 degrees latitude are more common. I therefore believe that the results found by the KS-waveguide metric highlight the importance of the narrowness of the jet, in addition to the strength. Further research on this is needed. This is now clarified in the revised paper as:

“The results in this paper highlight some strong differences between temporally and zonally varying KS-waveguides and PV-waveguides. Some of these differences, such as seasonal and hemispheric variations

can be at least partially explained by the different formulations of the waveguide definition, with the strength of the zonal wind U appearing in the denominator of KS-waveguides, but not for PV-waveguides. It is unclear which is the more 'accurate' description, as so many other factors, including the continuity of waveguide conditions along a longitude circle, and strength of local waviness, may influence observed wave strength within waveguides. Idealized simulations such as those performed by Segalini et al. (2024), analysing how waves behave with different background flows, could help understand these differences, specifically confirming the relative importance of jet width vs jet strength."

18. Line 170 and following: here you show that your results are consistent with the formula from the theory that you apply, but at the same time they are inconsistent with results from Manola et al. (2013) or Wirth (2020). Does this mean that you trust the TTL-theory more than the results of Manola and Wirth? That seems dangerous, because the latter do not rely on this (somewhat questionable) theory. As discussed above, I don't believe these results are necessarily inconsistent with the results of Manola et al. When they looked at waveguidability, they also took into account the continuity of waveguide conditions around a longitude circle, which was part of the reason for studying the southern hemisphere jet. Here, we are studying regional waveguides. Indeed, Manola et al. do also highlight the importance of jet width, which is what we highlight here. For me, it remains unclear which (Ks or PV gradients) provides a more accurate description of the waveguidability – I think experiments such as those by Segalini et al. 2024 would be illuminating, and this is now suggested in the discussion section. However, what is clear is that the background flow is better separated using the rolling-zonalization method, and thus, until there is a way to calculate Ks-waveguides on a rolling-zonalized flow, we recommend the PV waveguides.

19. Line 179: similar as above, you find stronger waveguide "depths" in summer compared to winter, although other work suggests that weaker jets in summer should be weaker waveguides. Can you resolve?

Again, whilst the jets are weaker in the summer, the stationary wavenumber, KS is not, as seen in the new Fig. 1 (and in Hoskins and Woollings, 2015). See response to above comment.

20. Line 210: Well, that's not quite right. Petoukhov et al. hypothesize such a correlation only for circumglobal waveguides (which is needed for Rossby wave resonance), they do not hypothesize such a correlation between local wave amplitude and local waveguidability.

Thanks for noting this. I have now re-written the hypothesis between waveguides and QSWs in the introduction, and here it simply reads: "In this section we explore the relationship between waveguides and QSWs." Interestingly, however, the relationship between QSWs and waveguides is stronger for the PV-waveguides (see new Fig. 10).

21. Line 260-265: here you seem to play down the conflict between your results and those of the literature; I would expect a lucid discussion and explanation how you think that these discrepancies can be "explained"

Now that the PV-waveguide comparison has been included, there is a more in-depth discussion about the differences between the two datasets, and what might be causing that. I do not remain certain that the KS-waveguide strength is incorrect, relative to the PV-waveguide strength, and suggest further work that may help understand this in the discussion section.

22. Lines 280-290: you mention a few results from the literature, but it did not become clear to me how they relate to your results. In particular, it did not become clear to me how you would address the

criticism formulated in some of these papers. As I argue in the first part of my review, I believe that some of your results even provide additional support for some of the criticism formulated.

This section has been substantially re-written to include the results of the comparison between the two waveguide datasets.

23. Line 296: Can you explain how your data set potentially can shed light on causality?

This section has been re-written following the inclusion of the PV-waveguides, but a similar section now reads: "Studies of lag-lead correlations, or using causal inference (see, e.g., Kretschmer et al. 2021), would be valuable in illuminating the direction of any causality between QSWs and both KS- and PV-waveguides."

Reviewer 3

I thank the reviewer for their time reviewing the manuscript, and their helpful comments that have led to substantial improvements to the paper. After more analysis based on the comments of this review and other reviewers, the paper now compares Ks and PV-waveguides, an extension which I feel provides a significant improvement. Below I have copied the comments of the reviewer in black, with my responses in blue.

The atmospheric waveguide has a profound influence on the propagation path of stationary Rossby waves, thereby affecting when and where these waves impact surface weather and climate. In recent years, studies on the atmospheric waveguide have gained popularity among the climate community due to its significant connection to extreme events. The investigation of waveguides can be traced back to early works, notably Hoskins and Karoly (1981), followed by Hoskins and Ambrizzi (1993) and Ambrizzi et al. (1995). This current study aims to extend previous research by examining the waveguide in the context of spatially and temporally varying mean flow. Most of the analysis is focused on this issue.

This is a nice manuscript that uses refractive index as a perspective to understand atmospheric waveguide and its connection to stationary Rossby waves. In my opinion, it holds the potential to be considered for publication in a WCD. However, I have several major concerns about the methodology and interpretation of the results. I have listed my major comments below and would like to invite the authors to address them:

1. The separation of mean flow and perturbations is always a controversial issue when studying wave-mean flow interactions. This issue becomes even more critical when large-amplitude eddies appear in the mean flow (e.g., Wirth and Polster, 2021). However, the present study heavily relies on the separation method, and most of the findings are based on the assumption that the waveguide and Rossby waves are well-separated and independent. Therefore, I question the significance of the results, as many intraseasonal waveguide behaviors are actually reflected by long-lasting waves.

This is a very valid concern, and the revised manuscript now illuminates some of the potential problems of this separation method more clearly, with the comparison to PV-waveguides. I agree that long-lasting waves can influence the waveguides, and this is part of the motivation for this work, to look at longitudinal variations in waveguides. Further analysis looking at composites of geopotential heights (Figs. 6 and 7) now show that KS-waveguides in some regions do indeed seem to be related to the presence of a higher latitude blocking high. This may also be influencing the co-located correlations

between the KS-waveguides and the QSWs, which is now discussed in the Discussion section. Notably, the PV-waveguides also show positive correlations with QSWs, and these are mostly stronger than those found for the KS-waveguides.

2. Regarding the methodology, using the traditional turning point perspective to identify the waveguide could be misleading, despite its extensive use in recent studies such as Petoukhov et al. (2013) and many subsequent papers. The limitations of this method have been thoroughly discussed by Wirth (2020). Therefore, the authors need to demonstrate the limitation of the method used here is nontrivial and confirm the appropriateness of the method.

I agree that care needs to be taken with interpretation of the TTL definition of waveguides, and the revised manuscript provides a comparison of the KS- and PV-waveguides, and in fact now recommends the PV-waveguides, although we believe there may be some interesting results illuminated by the KS-waveguides that may be worthy of further study. We have now also added in more discussion of some of the points raised by the reviewer regarding the KS-waveguide limitations, e.g.:

“The theory may therefore provide some use in understanding underlying mechanisms; however, care should be taken not to over-interpret results in a quantitative manner. One key limitation, shown clearly by Wirth (2020), is when the theory is interpreted in a binary manner: either a waveguide exists and waves are 100% trapped within the waveguide, or there is no waveguide and 0% wave confinement; in reality a range of ‘waveguidability’ exists depending on the strength of the meridional gradients within the jet. Motivated by this, I extend the binary approach, and use the stationary wavenumber to define a metric of ‘waveguide strength’, allowing a continuous range of ‘waveguidability’.”

3. I doubt about the characterization of the atmospheric circulation associated with the waveguide strength as "double jet streams" (Figure 6), as the zonal wind anomalies are only confined to a local scale. Additionally, as related to my major comment 1, long-lasting waves might play a role in this structure. Therefore, it is possible that the pattern seen in Figure 6 is not "double jet streams", but prominent Rossby wave activity itself.

This is an excellent point, and is now explored in more detail in the composites of geopotential height, and the comparison between KS- and PV-waveguides. Indeed, we find no such double jet anomalies for the PV-waveguides, and the geopotential height composites suggest that presence of atmospheric blocks at high latitudes, creating the conditions for the KS-waveguides. This supports the argument made by the reviewer, and by Wirth and Polster (2021), which is now highlighted in the paper, including in the conclusions: “For KS-waveguides only, a double jet structure is found to be associated with strong waveguide days, particularly over the North Atlantic and European regions. Further analysis suggests this is likely at least partially related to atmospheric blocking, with blocking conditions leading to the jet anomalies that create the KS-waveguide conditions. Such an association does not occur for PV-waveguides.”

4. The current study primarily focuses on the waveguide effect along the subtropical jet, based on the refractive index, which essentially represents the gradient of absolute vorticity. However, recent studies (e.g., Xu et al. 2019, doi: 10.1175/JCLI-D-18-0343.1; Xu et al., 2020, doi: 10.1175/JCLI-D-19-0458.1) have presented compelling evidence of the existence of stationary Rossby waves along the eddy-driven jet, where the waveguide effect arises due to the gradient of potential vorticity. As mentioned by the author

herself, this important aspect has been neglected due to the limitations of the methodology used in this manuscript. The authors briefly touch upon this issue in the manuscript, but in my opinion, more in-depth discussion is required.

The manuscript now compares the KS- and PV-waveguides, and indeed, find stronger correlations between QSWs and PV-waveguides, particularly at high latitudes. This comparison is a focus of the revised manuscript, and the differences are discussed in the discussion section: “PV-waveguides show generally stronger positive correlations with QSWs than KS-waveguides, particularly in the higher latitudes, consistent with recent work showing strong teleconnections along high latitude PV-waveguides (Xu et al. 2019; Xu et al. 2020)”