



Contrail altitude estimation using GOES-16 ABI data and deep learning

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Abstract. The climate impact of persistent aircraft contrails is currently estimated to be comparable to that due to aviation-emitted CO₂. A potential near-term and low-cost mitigation option is contrail avoidance, which involves re-routing aircraft around ice supersaturated regions, preventing the formation of persistent contrails. Current forecasting methods for these regions of ice supersaturation have been found to be inaccurate when compared to in situ measurements. Further assessment and improvements of the quality of these predictions can be realized by comparison with observations of persistent contrails, such as those found in satellite imagery. In order to further enable comparison between these observations and contrail predictions, we develop a deep learning algorithm to estimate contrail altitudes based on GOES-16 ABI infrared imagery. This algorithm is trained using a dataset of 3267 contrails found within CALIOP LIDAR data and achieves a root mean square error (RMSE) of 570 m. The altitude estimation algorithm outputs probability distributions for the contrail top altitude in order to represent predictive uncertainty. The 95% confidence intervals constructed using these distributions, which are shown to contain approximately 95% of the contrail data points, are found to be 2.2 km thick on average. These intervals are found to be 34.1% smaller than the 95% confidence intervals constructed using flight altitude information alone, which are 3.3 km thick on average. Furthermore, we show that the contrail altitude estimates are consistent in time and, in combination with contrail detections, can be used to observe the persistence and three-dimensional evolution of contrail forming regions from satellite images alone.

1 Introduction

Current estimates attribute more than half of aviation's climate impact to contrails (Lee et al., 2020), the ice clouds that form behind aircraft due to mixing of the engine exhaust with the ambient air. Most contrails disappear within minutes after their formation, but when the ambient air is supersaturated with respect to ice they can persist for several hours (Kärcher, 2018). Several options for mitigation of the climate impact of contrails have been proposed, such as contrail avoidance and adopting fuels with lower soot particle emissions (Teoh et al., 2020, 2022; Burkhardt et al., 2018; Voigt et al., 2021). Contrail avoidance involves small changes in aircraft altitude (± 2000 ft) to fly around ice supersaturated regions (Sausen et al., 2023), which have been shown to be horizontally wide but vertically thin (Gierens and Spichtinger, 2000; Spichtinger et al., 2003a).



The associated trade-off between additional fuel burn and avoided contrail climate impact has been quantified in modeling studies, indicating that fuel burn penalties smaller than 1% (for re-routed flights) would suffice for halving the radiative impact of contrails (Teoh et al., 2020). These studies assume that the regions to avoid are predicted accurately by the weather data, whereas recent investigations find that the skill of existing numerical weather prediction models in capturing ice supersaturation is limited (Gierens et al., 2020a; Agarwal et al., 2022; Sausen et al., 2023; Geraedts et al., 2023). Inaccurate forecasts may lead to unnecessary deviations (and potentially fuel burn) as well as additional climate impact. As such, the evaluation and improvement of contrail forecasting methods is directly relevant to assessment and implementation of the contrail avoidance concept.

Predictions of contrail formation, persistence and evolution can be evaluated and improved using in situ and remote sensing measurements (Schumann et al., 2017). In situ measurements can provide information on the microphysical properties of a contrail at a great level of detail, whereas remote sensing allows for observations at high temporal frequency and large spatial coverage. The introduction of contrail detection methods for infrared imagery captured by geostationary satellites constitutes one opportunity to obtain large amounts of data that can be used for the evaluation of contrail predictions (Meijer et al., 2022; Ng et al., 2023; Geraedts et al., 2023). However, existing contrail detection methods do not directly provide estimates of the contrail altitude. Given the significance of the vertical position of ice supersaturation, and thus contrails, in the context of contrail avoidance, knowledge of contrail altitudes is valuable for the assessment and improvement of contrail forecasting methods.

Multiple approaches for the retrieval of cloud top altitude using infrared satellite imagery exist. These include radiance fitting (Schmetz et al., 1993), radiance ratioing (Smith et al., 1970), optimal estimation (Heidinger, 2011), and machine learning (Kox et al., 2014; Strandgren et al., 2017a, b). Thin cirrus clouds, which are microphysically similar to aged contrails (Heymsfield et al., 2010), are reported to lead to larger cloud top altitude retrieval errors than other cloud types (Hamann et al., 2014; Chang et al., 2010). Kox et al. (2014) and Strandgren et al. (2017a) address these difficulties by developing the COCS and CiPS neural networks using collocated CALIOP LIDAR and SEVIRI image data, in order to retrieve cirrus properties from measurements by SEVIRI only. The COCS and CiPS algorithms have also been applied to estimate contrail altitude and optical thickness (Vázquez-Navarro et al., 2015; Schumann et al., 2021; Wang et al., 2023). The ability of such cirrus altitude estimation algorithms to estimate contrail altitude has not been tested extensively. Given that younger contrails differ from natural cirrus in their microphysical, optical, and geometrical properties (Kärcher, 2018), such cirrus altitude estimation algorithms may not be appropriate for contrails detected in satellite imagery.

In this paper, we present an algorithm for estimating the altitude of a contrail by use of GOES-16 ABI infrared satellite imagery. The algorithm is used in combination with the contrail detection method that was introduced by Meijer et al. (2022) to provide three-dimensional estimates of contrail locations above the contiguous United States. To develop this algorithm, contrails detected in GOES-16 ABI imagery are collocated with measurements by the CALIOP LIDAR. The resulting dataset of 3267 contrails is analyzed for spatio-temporal trends in contrail altitudes, and is compared with flight ADS-B data and measurements by GRUAN radiosondes. The dataset is then used to train a convolutional neural network for the task of contrail altitude estimation algorithm. Similar to Kox et al. (2014) and Strandgren et al. (2017a), we also develop cirrus altitude esti-



mation algorithms using collocated CALIOP L2 and GOES-16 ABI data to investigate their suitability for estimating contrail altitude, and quantify the benefit of training on contrail data specifically. The estimates by the finalized algorithm are compared with flight altitudes derived from ADS-B data. Finally, the algorithm is applied to a full day of data in order to investigate the persistence and evolution of contrail forming regions in 3D.

2 Methods

2.1 CALIOP and IIR data

The Cloud and Aerosol Lidar with Orthogonal Polarization (CALIOP) is a LIDAR instrument aboard the polar-orbiting CALIPSO satellite (Winker et al., 2010). The CALIPSO satellite was part of the A-train satellite constellation until September 2018, when it was placed in a different orbit together with Cloudsat (Braun et al., 2019). CALIOP measures the attenuated backscatter at the 532 and 1064 nm wavelengths, which are disseminated as the CALIOP L1B product. Additional processing involving the identification of cloud and aerosol layers, as well as extinction retrieval, is performed to create the CALIOP L2 products (Winker et al., 2009). Both the CALIOP L1B and L2 products are used for collocation with GOES-16 ABI imagery.

The CALIPSO satellite carries two other instruments: the Wide Field Camera (WFC) and the Imaging Infrared Radiometer (IIR). The IIR instrument measures infrared radiances at three central wavelengths 8.6 μm , 10.6 μm and 12.0 μm (Garnier et al., 2018). The imagery from the 10.6 μm band and its difference with the 12.0 μm band are used during the collocation process for visualization and manual inspection (see Figure S4 and Figure S5 in the Supplement).

2.2 GOES-16 Advanced Baseline Imager

The Advanced Baseline Imager (ABI) is a passive imaging radiometer that is carried aboard the GOES-R satellite series (Kalluri et al., 2018). The ABI features 16 imaging bands, 10 of which are in the infrared part of the electromagnetic spectrum. The nadir resolution of the ABI infrared bands is 2 km, and imagery is available every 5 minutes for the contiguous United States (CONUS) and every 10-15 minutes for the full disk. The contrail detection algorithm by Meijer et al. (2022) uses orthographically projected GOES-16 ABI imagery, centered on CONUS. The boundaries of the domain where contrail detections are available are shown in Figure 2.

2.3 ERA5

The ERA5 reanalysis (Hersbach et al., 2020) is used to provide wind data for the advection performed during the collocation process and comparisons to flight data. Geopotential data is used for conversion between geometric altitude and pressure altitude. The land/sea mask is used as an input for the altitude estimation models.

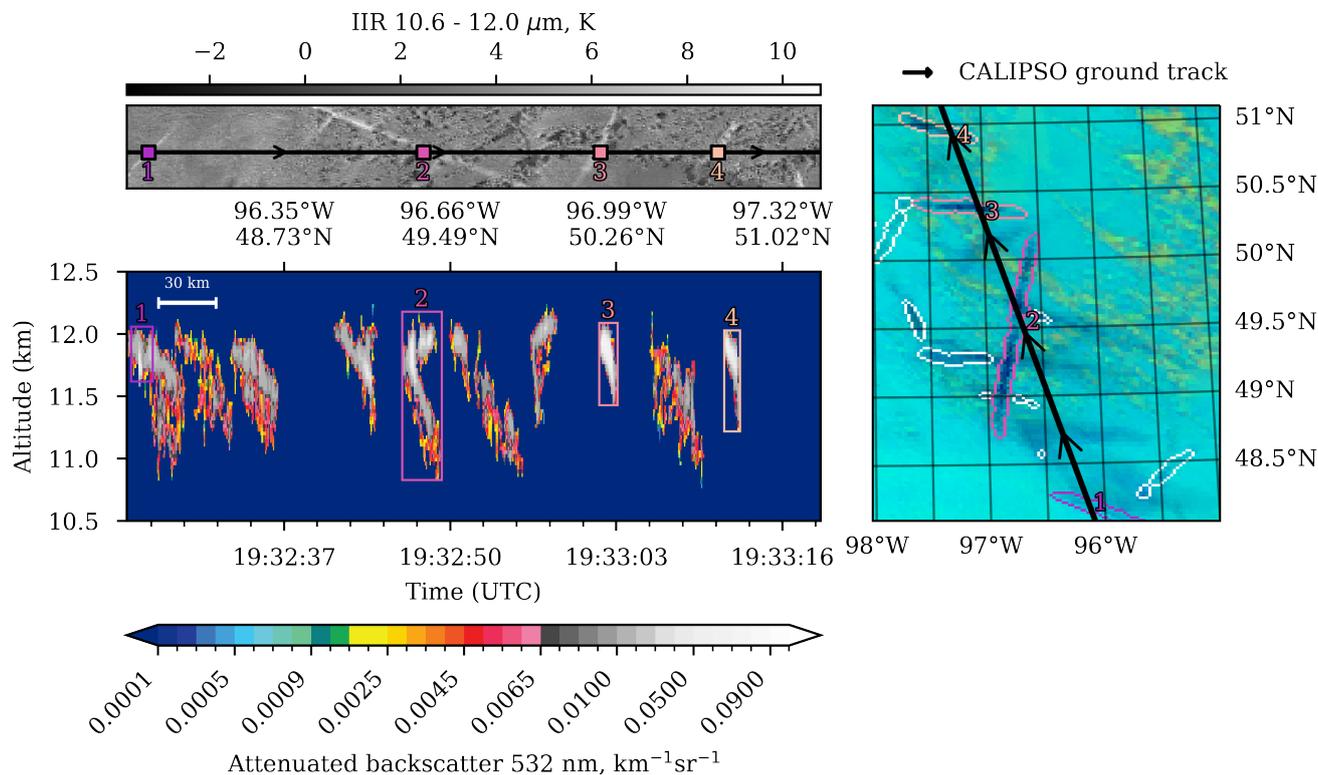


Figure 1. An example collocation of contrails detected on GOES-16 ABI imagery (right) in CALIOP L1 data (bottom left). The IIR brightness temperature difference image corresponding to the CALIOP segment is shown as well (top left). The GOES-16 ABI image is a false color ash product, created using the ABI-L2-MCMIPC data for August 8 2018 19:30Z. Detected contrails are indicated by their boundaries, which are colored for collocated contrails and white for non-collocated contrails. The corresponding cross-sections in the CALIOP L1B data are shown using bounding boxes, and their approximate location in the IIR image is shown using square markers of the same color. The ground track of the CALIPSO satellite is also shown in the GOES-16 ABI and IIR images, with the arrows indicating the direction of movement. The CALIOP colormap is the one provided by Kuma (2010).

85 2.4 Collocation

Contrails have previously been located in CALIOP data by use of contrail detections in Aqua MODIS imagery (Iwabuchi et al., 2012). At the time, the Aqua satellite was in the same orbit as CALIPSO such that the time difference between overpasses by the two satellites was around 75 seconds. Collocation of contrails detected on imagery of the GOES-16 ABI is different in that the two satellites do not share an orbit. The collocation is performed in two steps. The first “coarse” step, considers whether contrails are detected within a 50 km distance of the ground-track of CALIPSO. The second “fine” step accounts for advection of contrails between the CALIPSO overpass time and the GOES-16 ABI capture time, as well as parallax displacement. The collocation is performed for the years 2018 to 2022: the resulting dataset features 3267 collocated contrails.



An example collocation is shown in Figure 1, which displays the CALIOP L1B attenuated backscatter and the corresponding IIR brightness temperature difference (BTD) imagery for a segment of an overpass on August 8 2018. The attenuated backscatter is filtered to remove noise using the methods described in section S1. The GOES-16 image shows that the CALIPSO satellite passes over 4 detected contrails, which are indicated using colored boundaries, with their corresponding cross-sections in the CALIOP L1B denoted by bounding boxes (and numbers). The approximate locations of these collocated contrails is also shown in the IIR image in Figure 1. The first contrail encountered in Figure 1 appears to be a thinner and potentially younger contrail embedded in another cloud, and shows up as a narrow region of increased backscatter (white, rather than gray) in the CALIOP L1B data. Contrail 2 has a larger vertical extent (> 1 km) when compared to the other contrail cross-sections found in this segment, and it is also appears to be wider than the other contrails when viewed in the GOES-16 image. This particular contrail may actually be two different contrails given that the structure in bounding box 2 in the CALIOP L1B data shows two backscatter maxima, but these are not discernible as such at the resolution of the GOES-16 image (~ 2 km) or the IIR image (1 km). The final two collocated contrails (3 and 4 in Figure 1) are of similar vertical and horizontal extent, both featuring a horizontally displaced fallstreak as a result of vertical wind shear. The GOES-16 ABI image shows several cirrus clouds (such as the one between contrails 2 and 3) that could be contrails that are no longer recognized as such by the detection algorithm, and which also seem to correspond to areas of increased backscatter (in gray) in the CALIOP L1B data. This highlights that, if a different contrail detection algorithm is used, the collocation process could be repeated to find more and/or different contrails.

In addition to locating contrails in CALIOP L1B data, we collocate 5 km cirrus layers - as identified in the CALIOP L2 product - with GOES-16 ABI data. The collocation process is nearly identical as that for contrails, except that the contrail detection masks are no longer involved. This “cirrus dataset” is created to develop cirrus altitude estimation algorithms similar to that presented in Strandgren et al. (2017a), but for data from GOES-16 ABI rather than Meteosat SEVIRI. These algorithms are then assessed on their ability to estimate contrail altitudes, and compared to algorithms developed using contrail data specifically. There exists the possibility that contrails are recognized as cirrus layers by the CALIOP processing algorithms and thus appear in the CALIOP L2 5 km cloud layer product. These layers are removed from the cirrus dataset using the dataset of collocated contrails. More details on the collocation processes for both the contrail and cirrus datasets are given in the Supplementary Materials (section S1 and section S2).

2.5 GRUAN data

Humidity and temperature profiles measured by radiosondes in the the GCOS Refence Upper Air Network (GRUAN) are used to compare the altitudes of regions conducive to persistent contrail formation with the altitudes found in the collocated CALIOP contrail dataset (Dirksen et al., 2014). The profiles supplied by GRUAN have already been corrected for radiosonde limitations such as the radiation dry bias and temperature-dependent lag.

We use data from the Lamont (SGP) site as this is located within the region for which contrail detections are available, and it is also has more than 100 Vaisala RS92 sonde launches per year for the period between 2009 and 2018 (Sommer et al., 2016). For the profiles corresponding to these launches, we compute the relative humidity with respect to ice by use of the approximations from Hyland and Hexler (1983). This relative humidity with respect to ice is used to ascertain whether ice



supersaturation (ISS) is present, which is combined with the Schmidt-Appleman criterion (SAC) (Schmidt, 1941; Appleman, 1953) to evaluate whether persistent contrails may form. The SAC is computed by use of the approximation from Schumann (1996), using the parameters shown in Table S2 of the Supplementary Materials.

130 2.6 ADS-B data

Automatic Dependent Surveillance-Broadcast (ADS-B) data is used for comparing observed and predicted contrail altitudes with flight altitudes. We use a mixture of data from the OpenSky database (Schäfer et al., 2014) and FlightAware (for times in 2023). The OpenSky network does not have complete coverage throughout the domain for which we have contrail detections, and we thus use this data only in areas of the domain where full coverage is available.

135 2.7 Machine learning approaches

We develop both contrail and cirrus altitude estimation techniques using machine learning algorithms. Specifically, we use neural networks (Goodfellow et al., 2016) to “learn” a mapping between the input variables (e.g. GOES-16 ABI infrared brightness temperatures) and the contrail/cirrus top altitude. Neural networks are capable of learning non-linear relationships from data, and have been successfully applied to remote sensing retrieval problems (Kox et al., 2014; Strandgren et al., 2017a; 140 Amell et al., 2022).

We broadly distinguish between two neural network models: pixel-by-pixel models and image-level models. Pixel-by-pixel models, such as those developed by Strandgren et al. (2017a), operate independently on each individual pixel of the input satellite image. Image-level models consider (part of) the image simultaneously. Although the pixel-by-pixel option may lead to models containing fewer parameters and better interpretability of the results, image-level models have been shown to perform 145 better for certain retrieval tasks (Amell et al., 2022). For cloud altitude estimation, it could be that viewing a satellite image (rather than a single pixel) allows a machine learning model to identify cloud overlap, a situation that is known to lead to less accurate predictions by some approaches (Heidinger, 2011), and incorporate this information into the resulting prediction. For the pixel-by-pixel models, we consider multilayer perceptrons (MLP) with varying number and sizes of hidden layers, as well as activation functions. For the image-level model, the convolutional neural network (CNN) from Amell et al. (2022) is used. 150 More details on the architecture of these neural networks can be found in the Supplementary Materials in section S6.

The pixel-by-pixel models obtain the relevant inputs for a given collocated GOES-16 ABI pixel and are trained to output the CALIOP cloud top altitude that was collocated to the relevant pixel. For the image-level models, we extract patches of 32 by 32 pixels that contain one or more collocated GOES-16 ABI pixels and present these to the CNN, similar to Amell et al. (2022). The CNN predicts the cloud top altitude for all pixels in the input image, but only the pixels in the output image which 155 have CALIOP collocations are used during training: an example can be found in the Supplementary Materials in Figure S11. When using the CNN for contrail altitude predictions in “normal” operations, the resulting output (for the whole image) is only considered for pixels where contrails are detected.

We use all 10 of the GOES-16 ABI infrared channels as input, as well as the latitude, satellite viewing zenith angle, and an ERA5 land/sea mask. Temporal information is represented similar to Strandgren et al. (2017a) by two additional inputs



160 $\sin\left(2\pi\frac{\text{DOY}}{365}\right)$ and $\cos\left(2\pi\frac{\text{DOY}}{365}\right)$ where DOY is the day of the year. The pixel-by-pixel models also receive the regional maximum
brightness temperature for all 10 of the ABI channels, also suggested by Strandgren et al. (2017a). This regional maximum is
the maximum in a 29 by 29 pixel patch centered on the collocated ABI pixel: this approximately corresponds to the region size
used for SEVIRI by Strandgren et al. (2017a). The image-level models also receive a cloud mask as input. For the cirrus dataset,
these cloud masks are obtained from the GOES-R ABI cloud top phase (ABI-L2-ACTP) product (Heidinger et al., 2020). In
165 this mask, the pixels for which the product indicates that the cloud top phase is ice and the data quality flag is "overall good
quality" are set to 1, whereas other pixels take on the value of 0. For the contrail dataset, the outputs of the contrail detection
algorithm are used as cloud masks. The CNN for contrail altitude estimation is trained by fine-tuning the CNN trained for cirrus
altitude estimation on the contrail dataset. This avoids overfitting the CNN, which has significantly more parameters than the
MLP models, to the relatively small contrail dataset. The fine-tuning process starts with the CNN trained on cirrus data. Then,
170 all parameters except for those in the last two layers of the CNN are fixed to the values obtained by training on cirrus data. The
parameter values of the last two network layers are optimized by training on the contrail dataset.

With the exception of the ERA5 land/sea mask and some of the inputs to the ACTP processing algorithm (Heidinger et al.,
2020), all inputs to the neural networks used for altitude estimation are "observational". Previous machine learning approaches
for cirrus altitude estimation have made use of NWP data such as surface temperature (Kox et al., 2014; Strandgren et al.,
175 2017a). Motivated by the potential of using the resulting contrail altitude estimates for the comparison to (and potentially
assimilation with) NWP data, we choose not to include these inputs such that the resulting altitude estimates represent an
independent piece of information.

For training of the neural networks, 80% of the available data (both for the cirrus and contrail dataset) is used, and the
remaining 20% is split evenly between a validation and test set. The validation set is used during training to monitor overfitting,
180 and the test set is used to evaluate the resulting models. The randomization is performed by grouping collocations by their
CALIPSO overpass, and then selecting the overpasses for the train, validation and test sets at random. This avoids placing
two or more nearby (or even neighboring) collocated pixels in the training and test sets, which could lead to an unrealistic
evaluation of the algorithm's performance on unseen data.

In order to model predictive uncertainty, we use quantile regression neural networks (Pfreundschuh et al., 2018). Rather than
185 having a neural network output a single estimate of the cloud top altitude, we output an estimate for several quantiles of the
cumulative distribution function. These models are trained using the quantile loss function from Pfreundschuh et al. (2018). A
known issue with quantile regression neural networks is the phenomenon of quantile crossing, where the "quantiles" predicted
by the network are not monotonically increasing. We address this similar to Amell et al. (2022), by fitting an isotonic regression
to the neural network outputs. The frequency of occurrence and magnitude of these quantile crossings will be discussed in the
190 Results section.

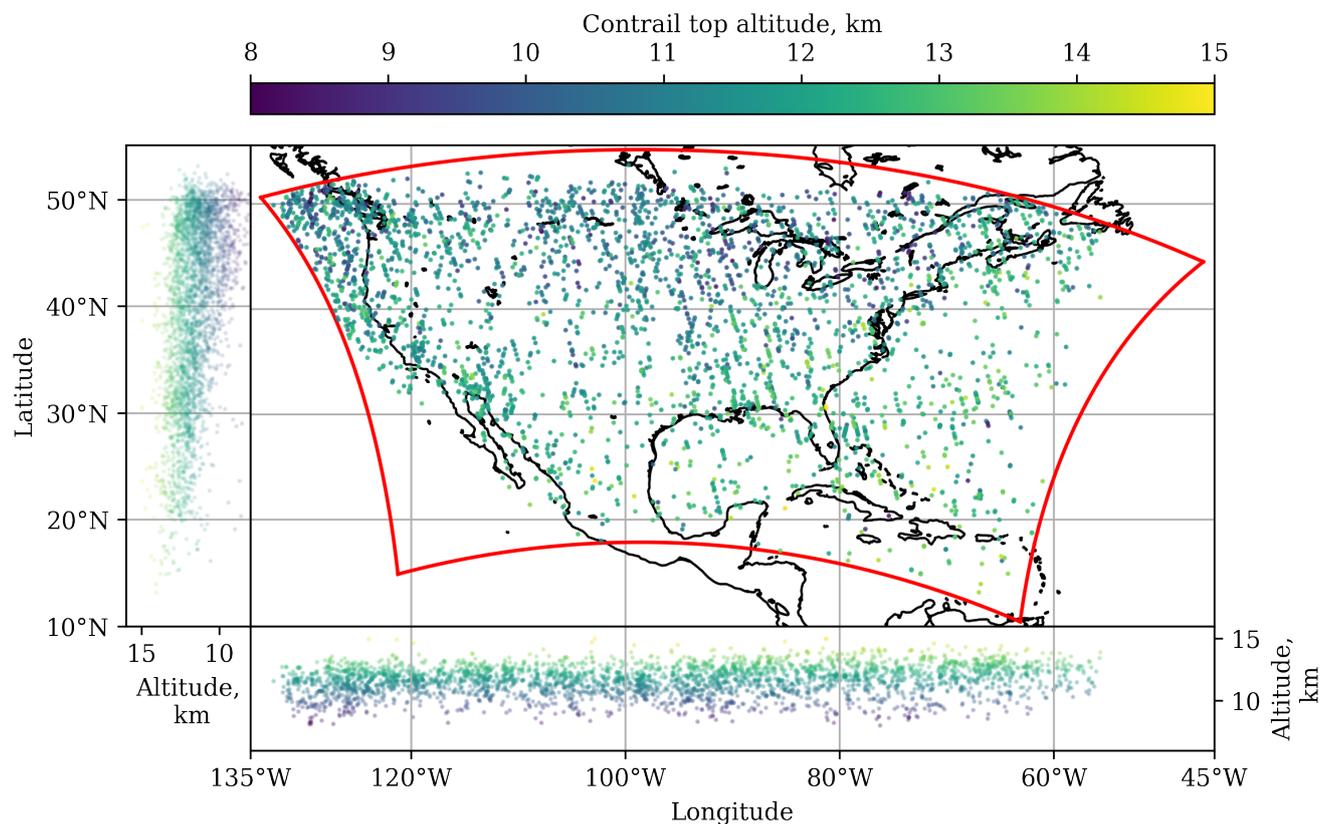


Figure 2. Location of the 3267 contrails that were collocated between CALIOP and GOES-16 ABI data, over the years 2018 to 2022. The red line indicates the boundary of the domain for which contrail detections are available. The smaller plots on the side of the main plot show the variation of contrail top altitude with longitude and latitude.

3 Results

3.1 Contrail dataset statistics

The dataset consists of 3267 contrails collocated between CALIOP L1 and GOES-16 ABI data for the years 2018 to 2022. The location of all contrails is shown in Figure 2. The mean contrail top altitude (as well as the “thickness” of the altitude distribution) decreases with increasing latitude, as was found by Iwabuchi et al. (2012). The location of the tropopause is known to decrease, on average, with increasing latitude. Given that ice supersaturation, a necessary condition for contrail persistence, is mostly found below the tropopause (Spichtinger et al., 2003a), this is a likely explanation of the trend observed in the data. Further analysis on the location of the collocated contrails relative to the local tropopause can be found in section S5 in the Supplementary Materials. We find that the majority (86.6%) of collocated contrails are located below the local tropopause.

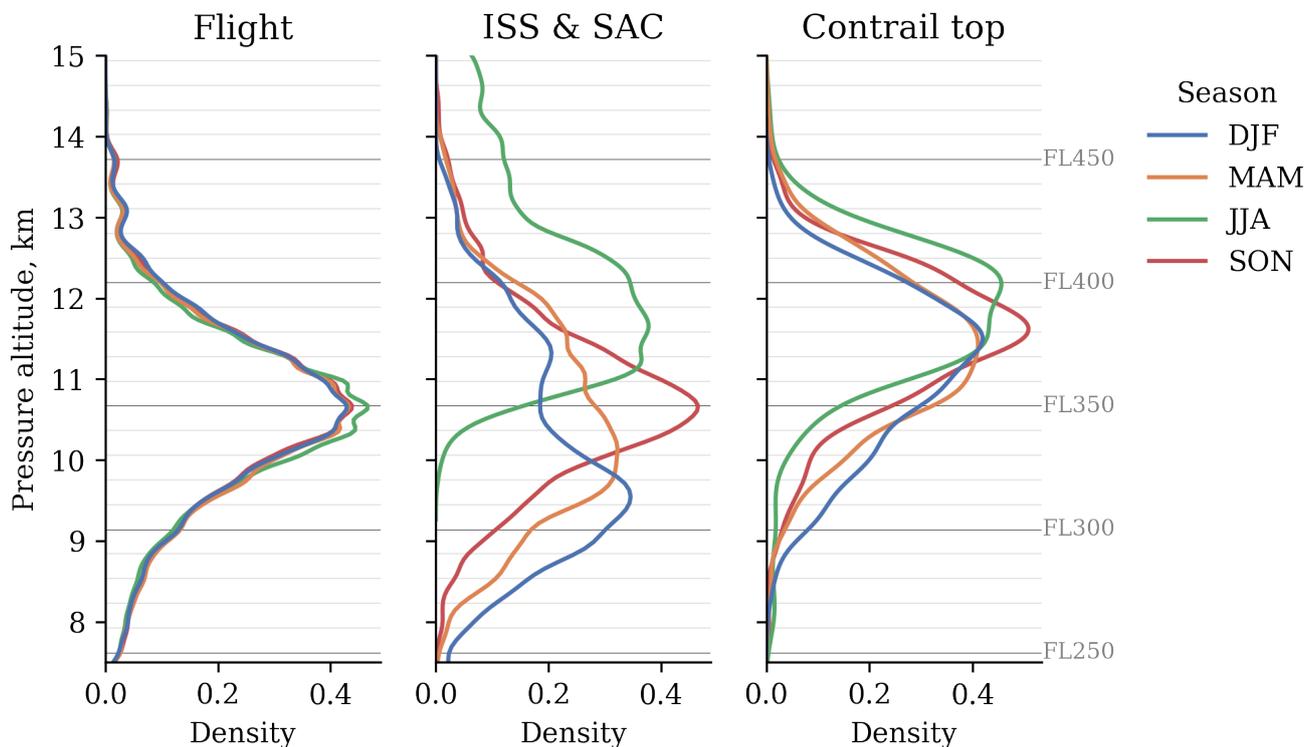


Figure 3. Kernel density estimates for the vertical distribution of contrail top altitude, persistent contrail forming regions and flight traffic as a function of season. Each curve integrates to 1. The horizontal gray lines show flight levels. The persistent contrail forming regions are defined as ice supersaturated regions (ISS) that satisfy the Schmidt-Appleman criterion (SAC). The flight distribution is weighted by the distance flown at each altitude, and is based on OpenSky ADS-B data. The ISS & SAC data is obtained from GRUAN profiles.

200 In Figure 3 we show the seasonal distribution of the contrail top altitudes within the dataset, accompanied by vertical distributions for persistent contrail formation conditions and flight traffic. The data for persistent contrail formation is obtained from GRUAN profiles. The pressure altitude, which is used as the vertical coordinate in Figure 3, is defined as the altitude in the International Standard Atmosphere corresponding to the pressure at which the contrail top is found. Since aircraft use pressure altitudes for vertical navigation, some seasonal variation in geometric contrail top altitudes (as measured by CALIOP)
 205 is expected to be caused by changes in temperature and thus the geometric altitude of certain pressure levels. To exclude this seasonal variation in the geometric altitude of a particular pressure level, Figure 3 uses the pressure altitude as its vertical ordinate.

On average, contrails are located at a pressure altitude of 11.1 km during winter (DJF) and 11.8 km during summer (JJA). This 700 m seasonal difference in the mean contrail location is statistically significant using Welch's T-test (Welch, 1947) at
 210 $p = 0.01$. In terms of geometric altitude, the difference between winter (11.3 km mean) and summer (12.2 km mean) is larger at 0.9 km than the pressure altitude difference, at the same level of statistical significance. The vertical distribution of regions



satisfying SAC and ISS (middle plot in Figure 3) also features higher altitudes during summer and lower altitudes in winter. Such seasonal changes in the vertical distribution of ice supersaturation have been reported by other studies (Spichtinger et al., 2003a; Treffeisen et al., 2007; Gierens et al., 2020b) that use radiosondes, also finding that ice supersaturation is on average
215 located at higher altitudes during Northern Hemisphere spring/summer than in Northern Hemisphere winter.

The flight altitude distributions in Figure 3 result from 1000 randomly sampled hours of ADS-B data in the years 2018 and 2019, and show that flight distance peaks at flight levels 340 - 360 (10.5 to 11 km). Seasonal changes in the weighted means of these distributions are all smaller than 100 meters. Thus, the vertical variation of the observed contrail top altitude distributions cannot be explained by seasonal patterns in flight altitude alone: consideration of the seasonal variation in the
220 location of persistent contrail formation conditions is necessary. This seasonal variation in the vertical location of persistent contrail forming conditions is likely due to seasonal patterns in tropopause pressure, as ISSRs are known to be located closely below the tropopause (Spichtinger et al., 2003a; Petzold et al., 2020).

The single GRUAN site for which data is shown in Figure 3 does not provide full coverage of the spatial domain in which contrails are collocated (see Figure 2), nor does it have a significant amount of sonde launches for the period 2018-2022 (31
225 versus 4486 launches between 2009 and 2017). Although statistics on the vertical distribution of ISS are known to vary with location and time (Spichtinger et al., 2003a, b; Treffeisen et al., 2007; Lamquin et al., 2012; Gierens et al., 2020b), Petzold et al. (2020) analyzed 15 years of aircraft in situ measurements and did not find any long-term trends in the occurrence of ISS besides seasonal variations. Similarly, we find that for the 9 years between 2009 and 2018 the seasonal trends in the altitude of regions satisfying both the SAC and ISS measured at the SGP site are qualitatively consistent (see Figure S8 in the Supplementary
230 Materials).

3.2 Algorithm performance

The performance of the altitude estimation models on the test dataset is shown in Figure 4. We compare the performance of pixel-by-pixel (MLP) and image models (CNN) developed using the cirrus or contrail datasets. The best performing model is the image-based model developed using the contrail dataset (Contrail CNN) with an RMSE of 0.57 km, with the second
235 best model being the CNN trained using cirrus data only (RMSE of 0.65 km). In contrast, the pixel-by-pixel model trained using only cirrus data performs almost three times worse on the contrail dataset (RMSE of 2.87 km) than it does on the cirrus dataset (RMSE of 1.05 km, see Supplementary Materials). The decrease in performance of the image-based cirrus model when evaluated on the contrail dataset is not as severe: in fact, the root mean square error is lower on the contrail test set than on the cirrus test set. This is in part due to the variance of the contrail altitude within the test set being lower than that
240 of the cirrus altitude in the corresponding test set: the coefficient of determination R^2 accounts for this change in variance and does indicate that the performance on the contrail test set ($R^2 = 0.73$) is lower than on the cirrus test set ($R^2 = 0.87$). A comparison of the performance of the cirrus altitude estimation models with that of the work by Strandgren et al. (2017a) can be found in the Supplementary Materials (section S7), which shows that the CNN outperforms the MLP developed in that work (R^2 0.87 instead of 0.81). The cirrus MLP developed here performs worse on average (an R^2 of 0.77) than the algorithm
245 created by Strandgren et al. (2017a). Further direct comparison of the cirrus algorithms and the work by Strandgren et al.

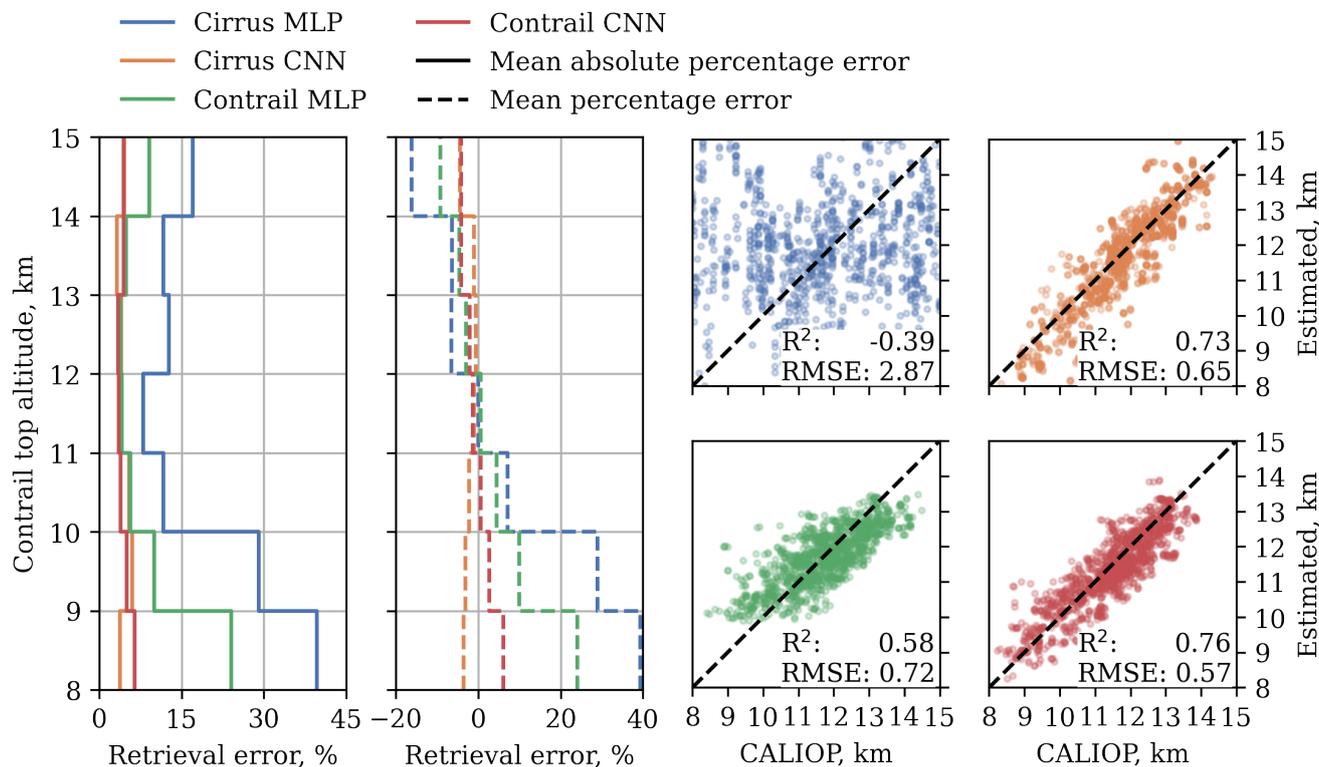


Figure 4. Performance of the pixel-by-pixel (MLP) and image-based (CNN) models on the contrail test dataset. The left two plots show the relative and absolute errors as a function of the contrail top altitude. The scatter plots on the right show the estimates by the algorithms for all data points within the test set, as well as the coefficient of determination R^2 and the root mean square error in km. Each point corresponds to a collocated GOES-16 ABI pixel.

(2017a) is complicated by the different sensors and domains used. Additionally, Strandgren et al. (2017a) use NWP-based surface temperature as an input to the neural network, which we do not. Nonetheless, our results show that machine-learning models applied to the task of contrail altitude estimation benefit from training using contrail data rather than cirrus data alone. Moreover, the error of cirrus altitude estimation models on contrail data may be larger than the errors found using cirrus data. In what follows, all presented contrail altitude estimates are those obtained by applying the image-based contrail model (contrail CNN in Figure 4).

3.3 Evaluation of predictive probability distributions

The analysis in the previous section compared the mean contrail top altitudes estimated by the neural networks with the corresponding measurements by CALIOP. The best-performing algorithm, the CNN trained first on cirrus and then fine-tuned on contrail data (red in Figure 4), outputs 13 different quantile values that can be utilized to construct a probability distribution



for the contrail top altitude. The estimated quantiles are 0.025, 0.05, 0.1, 0.2, . . . , 0.9, 0.95, and 0.975. This section discusses and quantifies the quality of the distributions constructed using these quantile predictions.

We compare the probability distributions from the CNN with two “baseline” models: one based on the CALIOP dataset distribution (a climatology, in a sense) and one based on the CNN mean and the error distribution on the test dataset. The first
 260 of these models represents the situation a priori, without any altitude estimation algorithm, where all that is available is the altitude data of the collocated contrails. The second model does include an altitude estimation algorithm (as it uses the mean from the CNN output) but without predictive uncertainty which depends on the algorithm inputs: i.e. it is constant. An example of the probability density functions (PDFs) for the three models, and the corresponding contrail altitude found by CALIOP, is shown in the left plot in Figure 5. More examples can be found in Figure S14 in the Supplementary Materials.

One desired property of predictive probability distributions is that they are *calibrated*. This means that when the model
 265 says an event should occur with probability 0.2, the event actually occurs 20% of the time. We assess this, for each predicted quantile, by computing the number of times the CALIOP contrail top altitude is actually below that altitude. As an example, for the quantile value predicted for the 50% quantile (i.e. the median), we would expect 50% of the CALIOP data points to be below that value if the model is calibrated. By quantifying the fraction of contrails that are below the altitude predicted for each
 270 of the quantiles, the curves shown in the right plot of Figure 5 result. The closer a particular curve is to the black dashed line, the better the calibration of the model. Figure 5 shows that the best calibrated model is the one based on the CALIOP dataset distribution, which is expected given that it represents the distribution of the data directly. The CNN is calibrated for low (< 0.4) and high (> 0.9) predicted probabilities, but tends to be over-confident for probabilities between 0.4 and 0.9. For example, when the CNN predicts that 60% of the contrails should be below a particular altitude, only 50% of contrails will actually be
 275 found below this altitude. This over-confidence can be corrected by means of post-processing: we will show in the next section that the 95% confidence intervals derived from the CNN predictions are calibrated, so we do not perform this correction here. Lastly, Figure 5 shows that the error statistics model is over-confident for the majority of predicted probabilities, and is the least calibrated model considered here.

If a predictive probability distribution is calibrated, we would also like it to be *sharp*. That is, most of its probability mass
 280 should be close to the actual observed value (i.e. the CALIOP top altitude). To assess this, we use the Continuous Ranked Probability Score (CRPS) (Wilks, 2011). For a given probability distribution $F(y) = \mathbb{P}(Y \leq y)$ and observed value x , the CRPS is given by the integral

$$\text{CRPS}(F, x) = \int_{-\infty}^{\infty} [F(y) - \mathbb{I}(y > x)]^2 dy, \quad (1)$$

where \mathbb{I} is the indicator function. Note that $\text{CRPS}(F, x) \geq 0$, and $\text{CRPS}(F, x) = 0$ if $F(y) = \mathbb{I}(y > x)$ which corresponds to
 285 a situation where all probability mass is located at the measurement location x . Lower values of the CRPS correspond to “sharper” probability distributions centered on the measurement x , and are thus desirable. The mean CRPS values obtained for the three models are shown in Figure 5. The CRPS of the CNN (0.31) and the error statistics model (0.33) are both about two times as low as that of the dataset distribution model (0.65), indicating that the distributions predicted by these models are

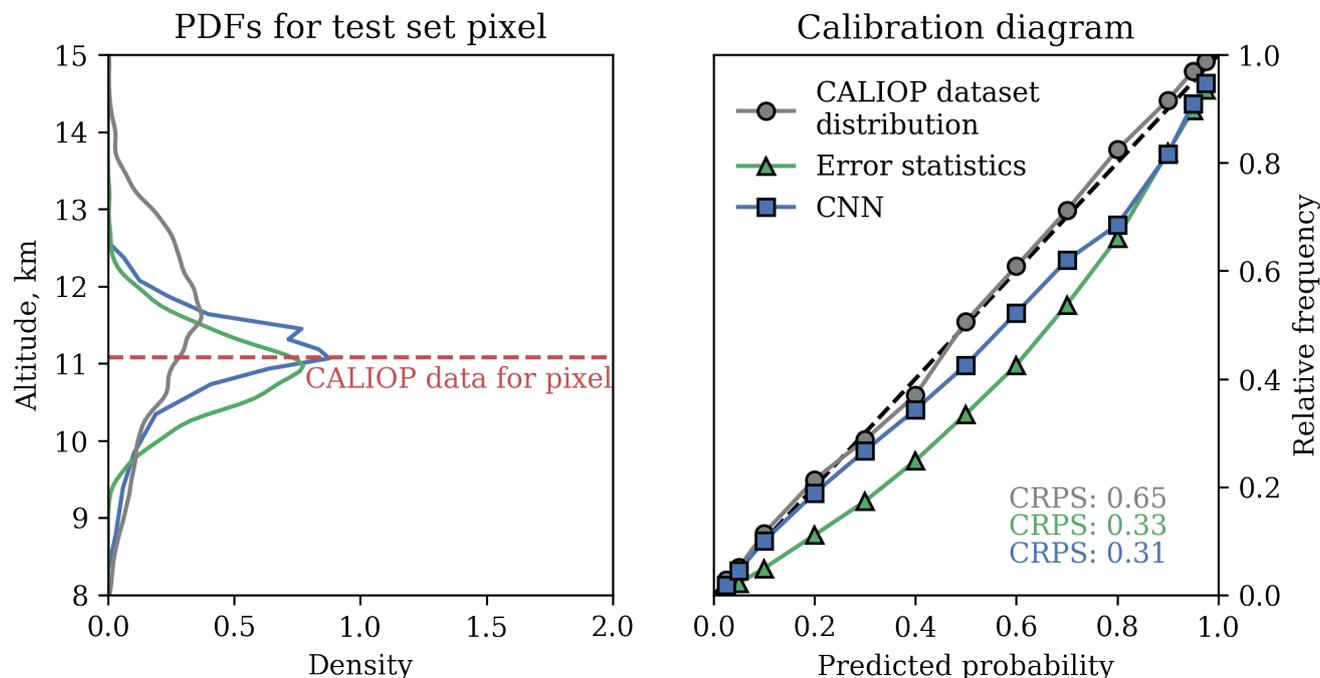


Figure 5. (Left) Example probability density functions (PDFs) for a collocated GOES-16 ABI pixel from the test dataset. (Right) Calibration diagram for the three different models, obtained using all data in the test set. The CRPS values for each of the three models are also shown.

sharper when compared to the measurements. The improvement of the CNN CRPS with respect to that of the error statistics model is not as large: the CNN CRPS is about 6% lower. In section S8 we further analyze the size of the 95% confidence intervals of the three models and find that the CNN confidence intervals are smaller than that from the error statistics model for over half the test set data points. Thus, the input-dependent predictive uncertainty of the CNN does provide additional value when compared to the constant uncertainty approach from the error statistics model.

The issue of quantile crossing was introduced in the methods section and occurs when the quantile values that the neural network outputs are not monotonically increasing, and therefore do not define a valid probability distribution. In the test set, we found 7 (out of 1344 total GOES-16 ABI pixels) occurrences corresponding to a rate of 0.52%. The amount by which the quantiles cross is a distance of 38 meters on average with a maximum of 69 meters: these values represent 0.1% deviations from the values for which predictions are made (order of 10 km). These quantile crossings are corrected by means of an isotonic regression: the effect on the mean of the distributions is in the order of 10s of micrometers.

3.4 Comparison with flight data

This section further evaluates the performance of the contrail altitude estimation algorithm by comparison with flight data. Although interactions with wing tip vortices, wind-driven ascent/descent, radiative heating/cooling and ice particle sedimentation

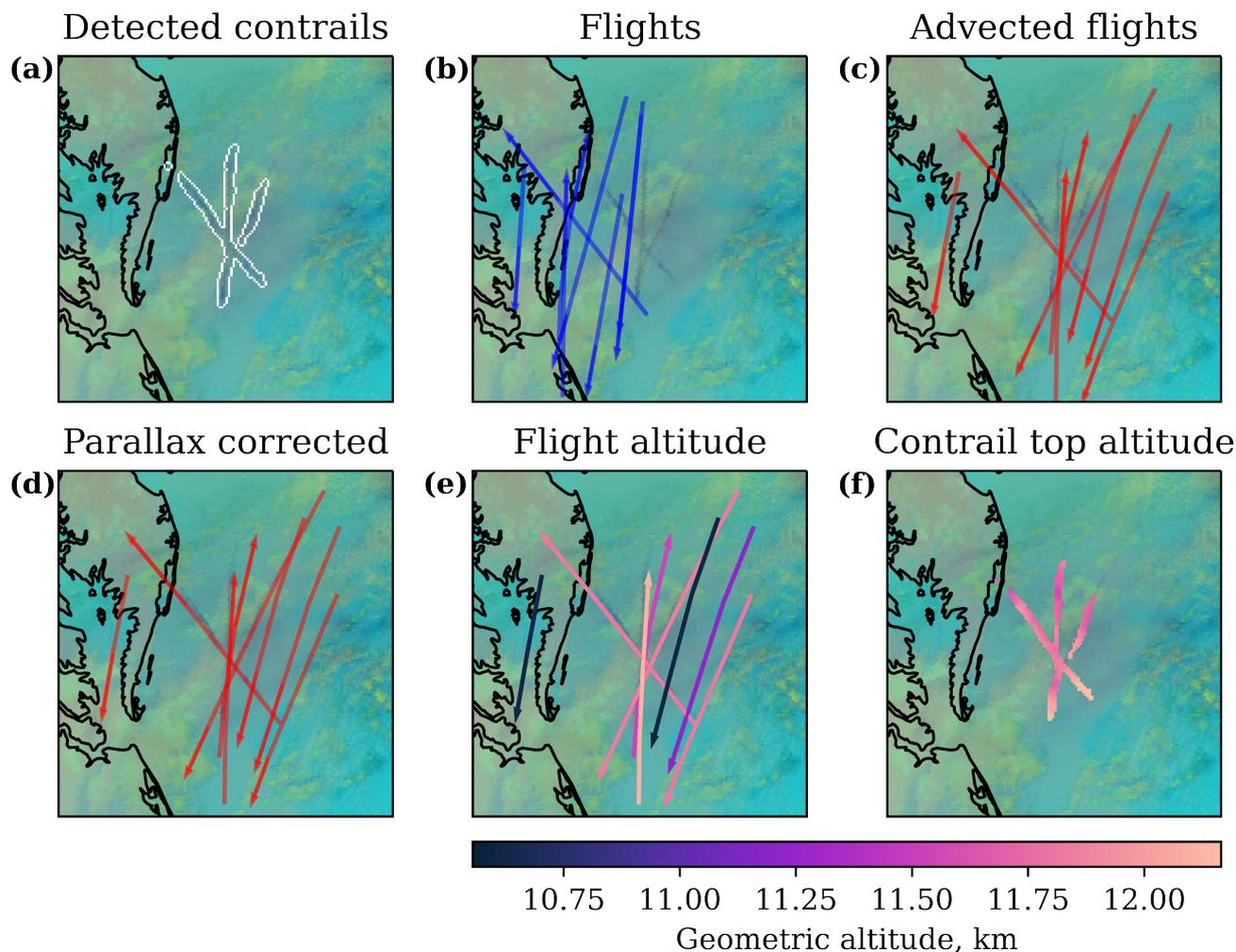


Figure 6. Steps involved in comparison of estimated contrail top altitudes with flight altitudes, overlaid on an ash image for GOES-16 ABI-L2-MCMIPF product for 08:10Z April 29, 2019. Flight directions are shown using arrowheads. The same colormap is used for subfigures e) and f).

can play a role, the observed contrail's vertical location is expected to be influenced most strongly by the altitude of the flight that formed it. Thus, the altitudes of flights near an observed contrail can be used to assess the correctness and plausibility of a contrail altitude estimate. Before any such comparison, flight tracks are advected using ERA5 (Hersbach et al., 2020) wind data to account for contrail movement after formation.

Only in locations and during times of low air traffic is it plausible to visually compare observed contrails and their estimated altitudes with advected flight tracks. An example of such a situation is shown in Figure 6, depicting a scene off the East coast of the United States at 4 AM local time in the morning. Flight data from the two hours leading up to the image was advected to the



310 satellite image observation time. The flight pressure altitudes are converted to geometric altitudes by use of ERA5 geopotential
 data. We also apply a parallax correction to “simulate” where the flight tracks would have been visible when viewed by the
 GOES-16 ABI, which has the effect of further aligning some of the advected flight tracks with the detected contrails. Figure 6e
 and Figure 6f show the altitudes of the advected flight tracks and those estimated for the contrails. For the contrail top altitudes,
 the mean of the distribution estimated by the CNN is shown. The flights best aligning with the 3 detected contrails were cruising
 315 at flight levels 380 and 390, which corresponds to geometric altitudes between 11.7 and 12.1 km. The mean of the estimated
 contrail top altitude of all detected contrail pixels is 11.9 km.

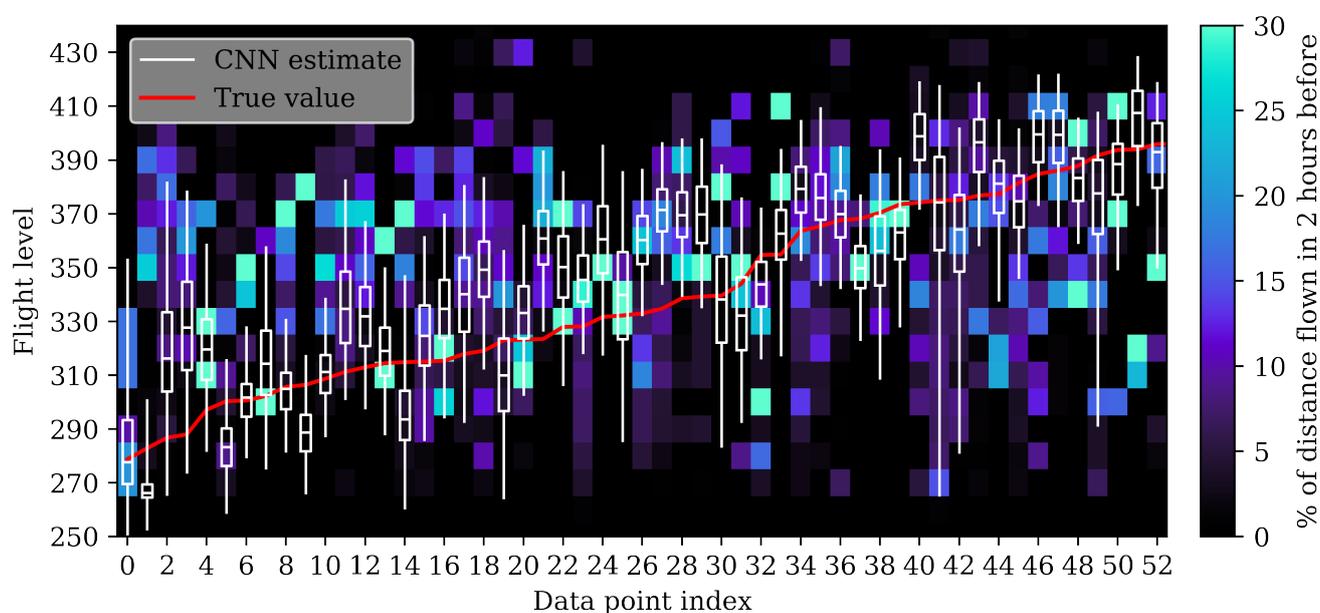


Figure 7. Flight altitude and contrail top altitude distributions for samples from the test set. Each column represents a different sample from the test set, including the distribution of distance flown per flight level in the 2 hours leading up to the observation of the contrail. The boxplots show the contrail top altitude distribution estimated by the model, with the box covering the 25th to 75th quantiles. The whiskers cover the 95% confidence interval. The red line connects the contrail top altitude values obtained from the CALIOP data.

The comparison of flights to contrails is more complicated in areas of higher traffic density, and makes analyses such as that presented in Figure 6 infeasible given currently available tools. For these cases, we compare estimated contrail top altitudes to the distribution of distance flown, rather than individual flight tracks and their altitudes, as shown in Figure 7. Details on how these flight distributions are obtained can be found in section S9 in the Supplementary Materials. The rationale for using these
 320 distributions of distance flown is that, in the absence of knowledge on the location of ice supersaturated regions, they can be used to form an “a priori” confidence interval of the vertical location of the contrail. Figure 7 shows that all but 4 contrails (i.e. 7.5%) are found within the 95% confidence interval predicted by the model: which shows that these intervals are close to calibrated. Furthermore, the intervals that capture 95% of distance flown (not shown) are larger than the 95% confidence



325 intervals for the contrail top altitude distribution for all these data points: using the contrail top altitude distributions from the model narrows down the 95% confidence interval of contrail altitude from 3.3 km to 2.2 km, on average.

4 A day of 3D contrail coverage over the United States

The previously discussed analyses of the contrail altitude estimation algorithm's performance have mostly relied on comparing its outputs directly with CALIOP data. As CALIPSO (the satellite equipped with the CALIOP instrument) is in a sun-synchronous orbit, contrail cross-sections are only available for certain hours of the day. This prohibits the assessment of the algorithm's performance using CALIOP data throughout the entire day. Instead, we apply the contrail altitude estimation algorithm to 24 hours of contrail detection data between 08:00Z on 21 and 22 August 2023, in order to study the temporal consistency and plausibility of the estimated contrail altitudes. The contrail detections are produced by the algorithm presented in Meijer et al. (2022).

335 The 3D contrail location estimates are visualized in Figure 8, with each sub-plot showing 3 hours of data. Another view of the data, in the form of a time series of contrail coverage and the relative proportion per altitude band can be found in Figure 9. For both figures, the mean of the distribution predicted by the algorithm is shown. Contrails are detected and vertically located at altitudes between 8 and 15 km throughout the day. The greatest fraction however is found at altitudes between 12 and 14 km, which is consistent with the seasonal distributions presented earlier in Figure 3. The zonal and meridional views in Figure 8 indicate that clusters of contrails are located at similar altitudes: with cluster thicknesses ranging between 500 - 1500 m. Such vertical extents are in line with those observed for regions of ice supersaturation (Spichtinger et al., 2003a). Given that mostly westerly winds were present at cruise altitudes for the day analyzed, the meridional view can be used to track individual contrails and clusters. One example is found between 11:00Z and 14:00Z (Figure 8b) near 90°W and 55°N at an altitude of about 10 km: the contrail slightly descends as it advects towards the East. The altitude estimates also show consistency throughout the day. For example, a region of contrail formation and persistence is present near the Great Lakes which moves towards the South East during the day, located behind an advancing surface cold front. The contrails present within this region are consistently estimated to be at altitudes between 12.5 and 14 km, which can be best seen in the zonal views. Given that this particular region is present during the majority of the day, it explains the large contribution of contrails between 13 and 14 km as visible in the time series in Figure 9.

350 The time series shown in Figure 9 depict the diurnal variation in contrail coverage and the relative contribution by each altitude band. Contrail coverage peaks around 15:00Z, corresponding to the early morning rise in air traffic around 9-10 AM local time, as found by Meijer et al. (2022). The autocorrelation plots included in Figure 9 show that the contrail coverage within particular altitude bands has autocorrelation coefficients larger than 0.5 at time scales of 1 to 2 hours, but decrease to small absolute values beyond 2 hours. This is likely a consequence of typical detectable contrail lifetimes, which tend to be shorter than 2 hours for methods that use geostationary satellite imagery (Chevallier et al., 2023). However, the contributions by the lowest altitude band covering 8 to 9 km in altitude oscillates at short time scales (as is evident from the negative autocorrelation values). This is likely a non-physical phenomenon that arises from false positive contrail detections. For example, consider the

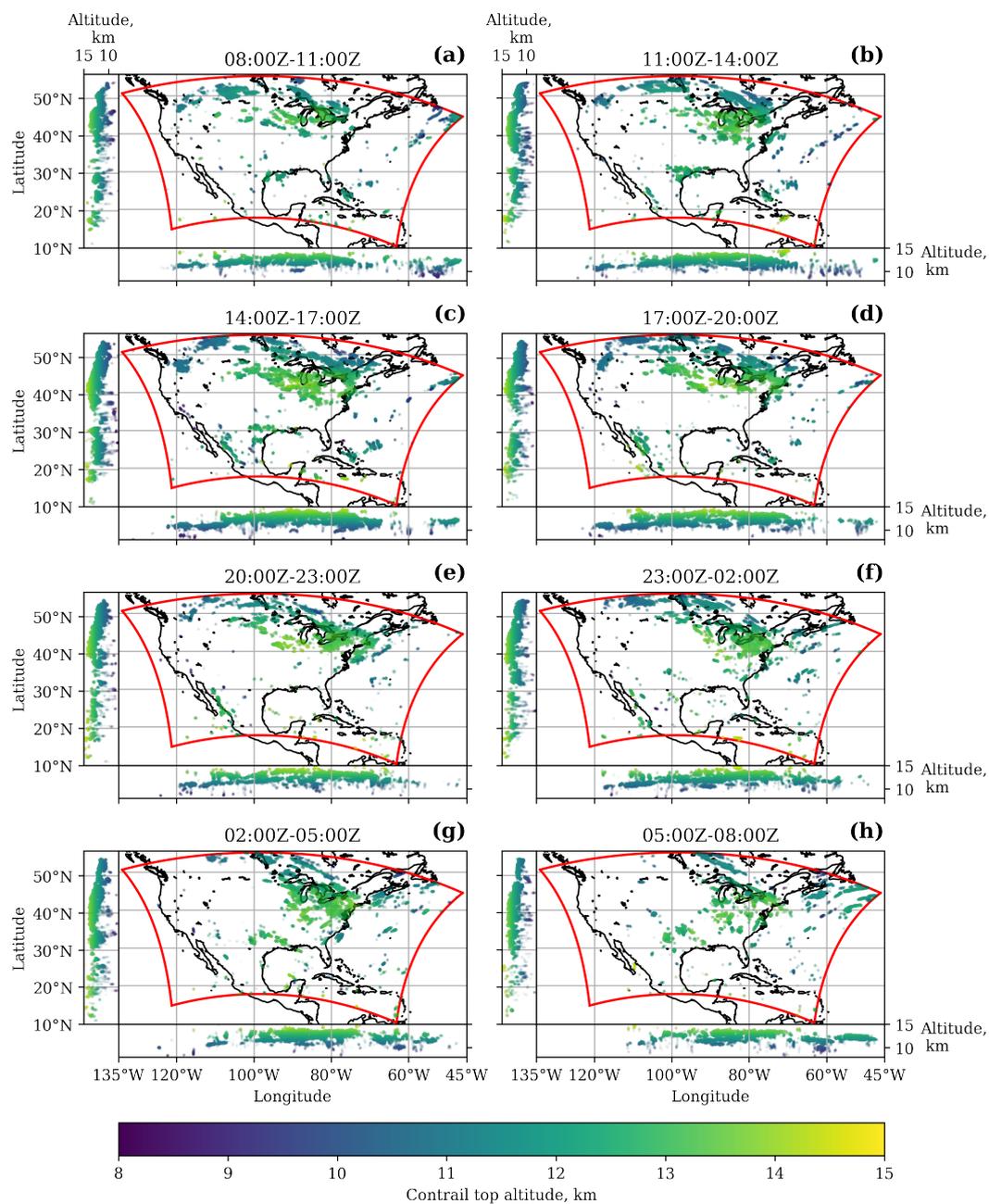


Figure 8. Contrail detections and altitude estimates for 24 hours starting from 08:00Z on August 21, 2023. Each subplot shows 3 hours of detected contrails and estimated altitudes, corresponding to the analysis of 18 ABI-L2-MCMIPF images. The smaller plots on the side of the main plot show the variation of estimated contrail altitudes with longitude and latitude. Each dot corresponds to a detected contrail pixel.

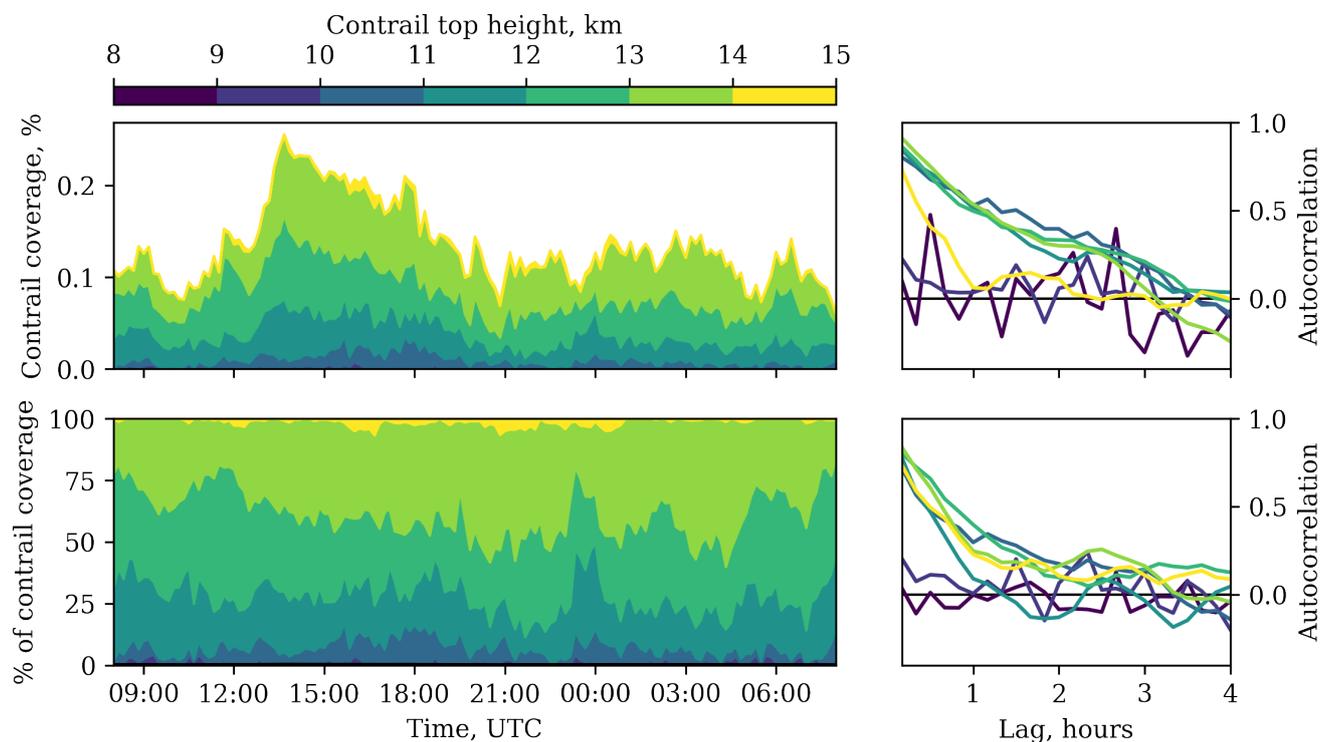


Figure 9. Contrail coverage for 24 hours starting from 08:00Z on August 21 2023, as well as the contribution per altitude band. Contrail coverage is here defined as the percentage of pixels in the orthographic projection of the ABI-L2-MCMIPF image that are detected as contrails. The plots on the right show the autocorrelation of the time series as a function of time scale (“Lag”). The colors of the curves in the autocorrelation plots correspond to the colorbar of the time series.

South West of the United States in the geographic overview for 20:00Z to 23:00Z in Figure 8e. The few, low altitude contrail pixels in that region are only detected on one or two images within the 3 hours. Because there are relatively few actual contrails
 360 estimated to be within the 8-9 km altitude band, the effect of these false positives is enlarged and oscillatory behavior results. For the other altitude bands, the contributions by actual contrails seem large enough to outweigh this behavior.

The geographic overviews in Figure 8 and the time series in Figure 9 illustrate the regional and temporal behavior of the altitude estimates. In order to assess the plausibility of the contrail altitudes predicted by the algorithm, we compare these with
 365 advected flight data similar to the analysis in subsection 3.4. Flight traffic from the 2 hours before each ABI-L2-MCMIPF product time is advected to the observation time to compare flight altitudes with those of nearby contrail pixels. In order to assess the altitude estimate for a given contrail pixel, we compute the distance from each advected waypoint to the location of the pixel. We make the assumption that the error of the wind field used to advect the flight data is at most 10 m/s: if a waypoint has been advected over a time period Δt (in seconds), we include it in the analysis for a contrail pixel if it is within a distance

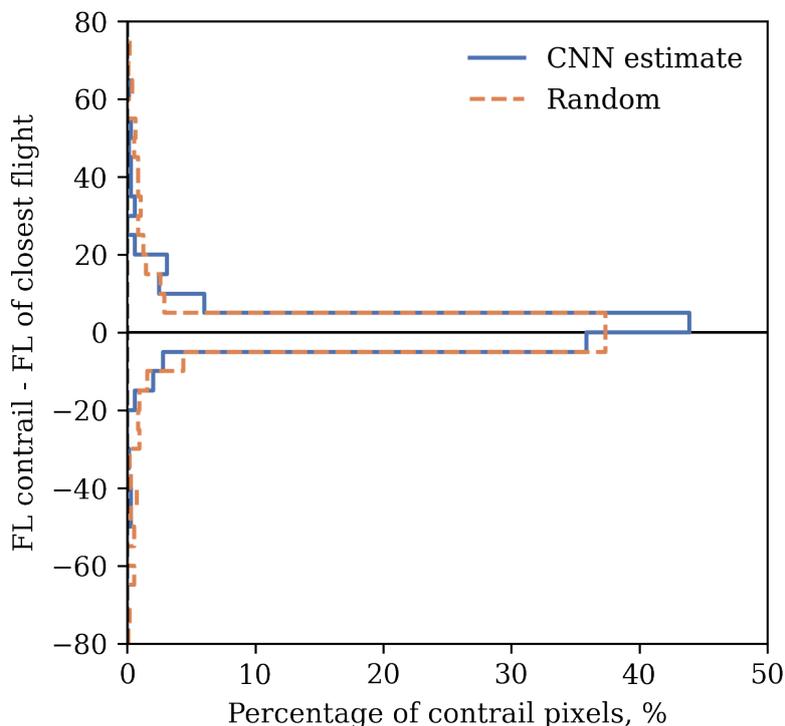


Figure 10. Distance in flight level between estimated contrail altitudes and nearby flights, for 10,000 randomly sampled contrail pixels during the 24 hours following 08:00Z August 21 2023. For the flight data, the altitudes correspond to those found after advecting the originally flown waypoints.

of $10 \times \Delta t$ m. The advected flight tracks that satisfy this constraint are used to construct a distribution of distance flown per flight level. Further details of this procedure can be found in section S9 in the Supplementary Materials.

We construct flight altitude distributions according to this approach, for 10,000 randomly sampled contrail pixels from the 24 hours of data. For each of these distributions we find the flight level with non-zero distance flown that is closest to the mean flight level of the contrail as estimated by the algorithm. We then consider the difference between this "closest flight" and the estimated contrail altitude, resulting in the statistics shown in Figure 10. We find that the greatest majority of estimated contrail altitudes are within 20 flight levels (corresponding to 2000 ft, or about 600 m) of at least one nearby advected flight. However, flight altitude distributions tend to be densely populated (note the lack of large "gaps" in the distributions in Figure 7) and thus it is plausible that randomly selected contrail altitudes would lead to similar statistics. To analyze this, a random flight level is sampled uniformly for each contrail pixel (and thus flight distribution) and shown in Figure 10 as well. Comparing the error distributions of the randomly picked and the actual estimated contrail altitudes shows that the latter are closer to an advected flight track: on average, the closest advected flight track for the estimated contrail altitudes is 4.9 flight levels away, whereas this is 9.2 flight levels for the randomly selected contrail altitude.



5 Conclusions

We have described the development and performance of a contrail altitude estimation algorithm that uses infrared radiances measured by the geostationary GOES-16 satellite. The developed algorithm can augment existing contrail detection methods to estimate the 3D location of contrails based on satellite imagery, which further enables comparison of observations with models.

A dataset of contrails detected on GOES-16 ABI imagery collocated with CALIOP LIDAR data has been used to develop this altitude estimation algorithm. This dataset of 3267 contrails illustrates the existence of seasonal patterns in the vertical location of contrails, with contrails found at higher altitudes during summer and lower altitudes during winter. Part of this seasonality is explained by the higher temperatures during summer, which cause pressure levels to be located at a geometrically higher altitude as the air expands. We also found a seasonal trend in the contrail top pressure, which we hypothesize to be due to seasonal differences in the altitude of ice supersaturated layers that satisfy the Schmidt-Appleman criterion.

The contrail altitude estimation has been performed using neural networks. Models that consider a single satellite image pixel at a time, as well as those that ingest an entire image at once, were developed. The image-based models were found to perform better, reaching a root mean square error of 570 meters on the test dataset compared to 720 meters by the pixel-by-pixel model. We have also shown that the probability distributions that are predicted by the algorithm can be used to construct confidence intervals that are calibrated and can vertically locate contrails with greater accuracy than flight data alone.

The spatial and temporal behavior of the contrail altitude estimates have been analyzed by considering 24 hours of contrail coverage above the contiguous United States. The resulting estimates of 3D contrail locations show the evolution of individual contrail forming regions with thicknesses of 500 - 1500 m, consistent with prior research. One such region, located behind an advancing surface cold front, was observed to move from the Great Lakes towards the East coast during the day. Contrails located within this region were consistently estimated to be at altitudes between 12.5 and 14 km. The plausibility of the estimated contrail altitudes has been assessed by comparison with altitudes of advected flight traffic. On average, an advected flight track from the 4 hours before the observation was found at a vertical distance of 4.9 flight levels from the estimated contrail altitude. For randomly selected contrail altitudes, this distance was 9.2 flight levels on average.

This work also represents the first remote-sensing based cloud altitude estimation algorithm developed specifically for contrails. We have shown that altitude estimation algorithms developed using cirrus data, when applied to estimate contrail altitudes, show lower skill than the algorithms that were trained with contrail data. The cirrus pixel-by-pixel model, which achieved a 1.04 km root mean square error on the cirrus test set, showed degraded performance on the contrail test set with an RMSE of 2.87 km. The image-level model, which performed better than the pixel-by-pixel model on the cirrus test set with an RMSE of 0.81 km, achieved an RMSE of 0.65 km on the contrail test set. This is still larger than the image-based altitude estimation model that was developed using contrail data, which has an RMSE of 570 m.

The altitude estimates can be used to compare satellite-based observations of contrails with predictions by models in greater detail than was previously possible, as a vertical comparison is now possible as well. Especially in the context of contrail avoidance, a potentially cost-effective and near-term mitigation option for aviation's non-CO₂ climate impact, accurate predictions



of altitudes conducive to persistent contrail formation are necessary and will benefit from this new observational approach. Additionally, we expect that the dataset of contrails collocated in CALIOP LIDAR data developed as part of this work will prove to be useful for a detailed assessment and potentially calibration of contrail models.

Code and data availability. The collocated dataset, code for collocation and algorithm development, and the developed deep learning models
420 will be made publicly available upon acceptance of the manuscript.

Author contributions. VRM performed all analysis. SDE, IAW and SRHB supervised the study. All authors contributed to the preparation of the manuscript.

Competing interests. The authors declare no competing interests.

Acknowledgements. The CALIOP data was obtained from the NASA Langley Research Center Atmospheric Science Data Center. Hersbach,
425 H. et al., (2020) was downloaded from the Copernicus Climate Change Service (C3S) (2023). We would like to thank Dr. Martin Schäfer from the University of Oxford for granting us access to the OpenSky database archive, and Labelbox for providing us with a platform to label our data. We thank Dr. Johan Strandgren for answering any questions related to his work. This research was supported by NASA research grant 80NSSC19K0943 and by the U.S. Federal Aviation Administration Office of Environment and Energy through ASCENT, the FAA Center of Excellence for Alternative Jet Fuels and the Environment, project 78 through FAA Award Number: 13-C-AJFE-MIT under the
430 supervision of Nicole Didyk Wells.



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