

Synergistic effects of previous winter NAO and ENSO on the spring dust activities in North China

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Abstract

Dust significantly influences global weather and climate by impacting the Earth's radiative balance. Based on the reanalysis datasets, this study explores how the North Atlantic Oscillation (NAO) and El Niño-Southern Oscillation (ENSO) during the preceding winter impact the following spring dust activities in North China. It is found that both the NAO and ENSO significantly affect dust activities in North China, especially during their negative phases. When both of them are in the negative phases, their combined impact on dust activities exceeding that of either factor individually. The previous winter NAO notably affects the sea surface temperatures (SST) in the North Atlantic, associated with an anomalous SST tripole pattern. These SST anomalies persist into the following spring due to their inherent persistence, inducing anomalous atmospheric teleconnection wave-train that influence dust activities in North China. ENSO, on the one hand, directly impacts dust activities in North China by modulating the circulation in the Western North Pacific. Moreover, ENSO enhances the NAO's effect on the North Atlantic SST, explaining their synergistic effects on dust activities in North China. This study elucidates the combined roles of NAO and ENSO in influencing dust activities in North China, providing one season ahead signals for predicting spring dust activities in North China.

1. Introduction

Dust, one of the most significant natural aerosols in the atmosphere, is of great importance to the global radiative balance with its light-absorbing properties, exerting a crucial role in climate change (Lou et al., 2017; Kok et al., 2023). Additionally, dust impacts not only its source regions but also extends its influence across oceans through teleconnections driven by atmospheric circulation. This transboundary transport affects ocean-atmosphere interactions and profoundly impacts the Earth's climate system (Huang et al., 2015). Dust activities, resulting from regional dust surges, pose formidable threats to socio-economic development, natural ecosystems, as well as human health and safety (Zhao et al., 2020; Li et al., 2023). The Gobi Desert in East Asia, particularly the Mongolian Plateau and Northern China, is a major source of dust (Chen et al., 2023), contributing approximately 70% of Asia's total dust emissions (Zhang et al., 2003). Given that China is profoundly impacted by dust activities (Fan et al., 2018), exploring the variations in dust activities over China is of great scientific and practical significance.

Besides the dust source regions over China (mainly Xinjiang and Inner Mongolia), dust content over North China also exhibits high values and strong interannual variability (Liu et al., 2004; Ji and Fan, 2019). Additionally, as a crucial center of politics, economy, and population, it is meaningful to investigate the variations of dust activities over North China (30-40°N, 105-120°E) and explore the relevant physical mechanisms. Previous studies have shown that the frequency of dust events in China exhibits strong variations, with high frequency from the 1950s to 1970s, low frequency from the 1980s to 1990s, and a notable increase after 2000 (Zhu et al., 2008; Ji and Fan, 2019). On interdecadal time scales, climate oscillations such as the Atlantic Multidecadal Oscillation (AMO), Pacific Decadal Oscillation (PDO), and Antarctic Oscillation (AAO) can influence dust activities by affecting the climatic background. For instance, the positive phase of PDO reduces dust activities by influencing the mid-latitude westerly regime, leading to weaker dust activities (uplift and deposition) in the Asian region (Gong et al., 2006). The AMO affects the global aridification process by altering the thermal properties between land and sea (Huang et al., 2017). Additionally, the AAO may substantially regulate dust activities in China by influencing the interaction of meridional circulations between the Northern and Southern Hemispheres (Ji and Fan, 2019).

On the interannual scale, a weaker East Asian Winter Monsoon is associated with anomalous circulation over the Gobi and Taklamakan deserts, facilitating the transport of dust, consequently increasing dust content in China (Lou et al., 2016). The variations of the sea ice coverage in the

58 Barents Sea significantly influence the intensity and frequency of dust activities in China by
59 affecting cyclone generation and thermal instability in North China (Fan et al., 2018). The North
60 Atlantic Oscillation (NAO) substantially impacts spring dust activities in North China by
61 modulating the zonal wave-train from the Atlantic to the Pacific at mid-latitudes in the Northern
62 Hemisphere, and the sea level pressure (SLP) gradient in the Tarim Basin in China (Zhao et al.,
63 2013). On the synoptic scale, the NAO influences the emergence and evolution of dust activities in
64 North China by impacting transient wave flux transport and atmospheric circulation (Li et al., 2023).
65 Beyond extratropical signals, tropical variabilities, such as El Niño–Southern Oscillation (ENSO),
66 also significantly modulate dust activities by regulating large-scale circulation, precipitation, and
67 temperature variations over East Asia (Yang et al., 2022), Saudi Arabia (Yu et al., 2015), and North
68 America (Achakulwisut et al., 2017).

69 From the aforementioned studies on dust activities in China, it is evident that the NAO and
70 ENSO are two important factors, with a focus on their individual effects on the dust activities in
71 China. However, as significant climate variabilities in the extratropical and tropical regions,
72 respectively, the NAO and ENSO often co-occur and have complex interactions (López-Parages et
73 al., 2015). It is found that ENSO can influence the climate near the North Atlantic through
74 atmospheric forcing of the Pacific-North America teleconnection (Wallace and Gutzler, 1981).
75 During the early winter of El Niño events, strong convective anomalies in the tropical Indian Ocean-
76 Western Pacific (Abid et al., 2021) and the Gulf of Mexico-Caribbean Sea (Ayarzagüena et al., 2018)
77 can trigger Rossby wave-train reaching the North Atlantic, leading to positive NAO signals.
78 Furthermore, the stratosphere, serving as an energy transmission channel, may also be an important
79 pathway for ENSO to influence the NAO (Jiménez-Esteve and Domeisen, 2018). Moreover,
80 observations and numerical simulations have demonstrated that the NAO can induce a Gill-Matsuno
81 pattern in the tropical region, strengthening the connection between the East Asian Summer
82 Monsoon and ENSO (Wu et al., 2012). When the NAO is in its positive phase, intensified
83 northeasterlies over tropical North Atlantic are observed, increasing low-level moisture content and
84 precipitation in the tropical North Atlantic, which in turn enhances ENSO's impact (Ding et al.,
85 2023). These studies emphasize the connections and interactions between NAO and ENSO,
86 underscoring the necessity of considering their synergistic effects on the dust activities in North
87 China.

88 The synergistic effect refers to the phenomenon where the combined impacts of two or more
89 factors are significantly greater than their individual roles (Li et al., 2019). It has been found that
90 there are synergistic effects in the impact of NAO and ENSO on the weather and climate in China.

91 The NAO can facilitate the development of the subpolar teleconnection across northern Eurasia
92 downstream, leading to anomalies in the high-pressure systems over the Ural Mountains and the
93 Sea of Okhotsk, which in turn affect the East Asian Summer Monsoon (Wang et al., 2000).
94 Meanwhile, ENSO exerts significant impact on the convective activities in the central Pacific and
95 induces alterations in the equatorial circulation via the Pacific-East Asia teleconnection, further
96 affecting the atmospheric circulation and sea surface temperature (SST) in the Western North Pacific
97 (WNP), ultimately influencing the intensity of the East Asian Summer Monsoon (Wang et al., 2000).
98 Therefore, the synergistic effects of NAO and ENSO can result in pronounced impacts on the East
99 Asian Summer Monsoon. During El Niño events, SST in the central and eastern equatorial Pacific
100 rises, enhancing convective activity near the equator, which brings more moisture to Northern China
101 and increases the likelihood of precipitation. Simultaneously, the positive phase of NAO can alter
102 atmospheric pressure in the North Atlantic, influencing atmospheric circulation over the Eurasian
103 continent. The influences of NAO and ENSO synergistically regulate the distribution of
104 precipitation in Northern China (Guo et al., 2012).

105 The synergistic effects of NAO and ENSO significantly influence the climate in China, but
106 their synergistic effects on the spring dust activities over North China and the mechanisms involved
107 remain unclear. This study will investigate these effects on dust activities over North China,
108 providing a scientific foundation for predicting dust activities in China. The structure of this paper
109 is as follows: Section 2 outlines the datasets and methods employed in this study. Section 3 presents
110 the analysis and findings. Section 4 contains the conclusions and discussions.

111 **2. Datasets and methods**

112 **2.1 Datasets**

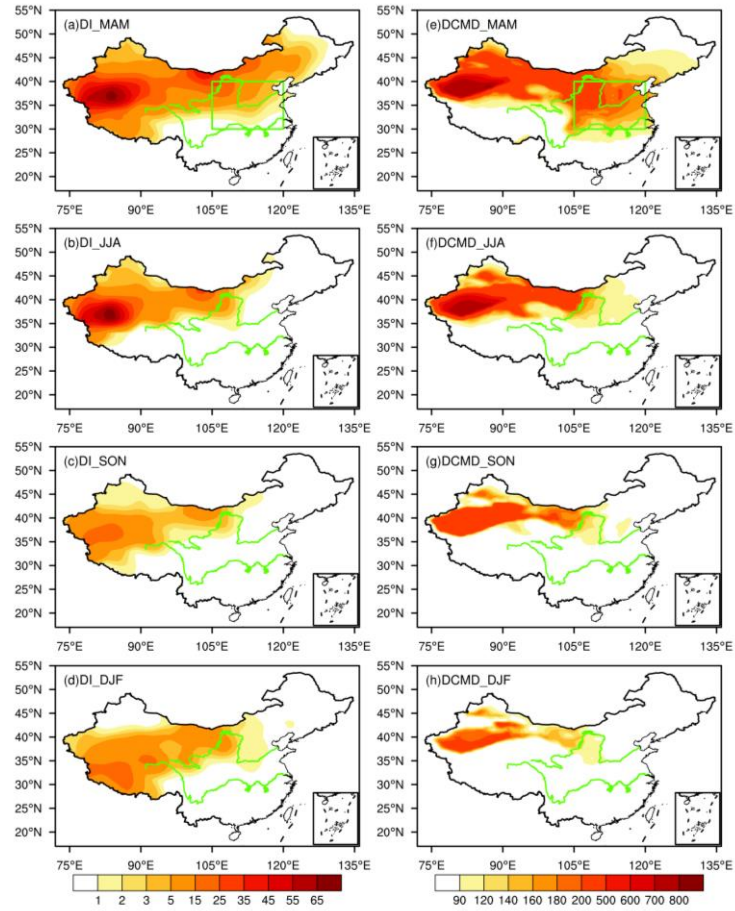
113 The dust dataset for the Modern-Era Retrospective Analysis for Research and Applications
114 Version 2 (MERRA-2) was obtained from NASA's Global Modeling and Assimilation Office
115 (GMAO), incorporating assimilated observations from both satellites and ground stations (Gelaro
116 et al., 2017). In this study, the Dust Column Mass Density of the MERRA-2 `tavg1_2d_aer_Nx`
117 product was utilized to represent the dust content with a $0.5^\circ \times 0.625^\circ$ resolution from 1980-2022.
118 Previous studies have demonstrated the applicability of MERRA-2 reanalysis data for representing
119 the spatiotemporal distribution characteristics of dust content in China (Kang et al., 2016; Wang et
120 al., 2021). It is reported that the results based on MERRA-2 are similar to those obtained from
121 MODIS, OMPS, CALIPSO, and Himawari-8 datasets (Kang et al., 2016; Wang et al., 2021).

122 Additionally, we further employ the datasets from the China National Meteorological Centre from
123 1980-2018, which include observations of floating dust, blowing dust, and dust storms, to validate
124 the reliability of MERRA-2 reanalysis dataset. The frequency of dust activities recorded at these
125 stations has been converted into a Dust Index (DI) (Wang et al., 2008; Equations 1), effectively
126 representing the dust content.

$$127 \quad \quad \quad DI = 9 \times DS + 3 \times BD + 1 \times FD \quad (1)$$

128 Where DS, BD, and FD represent the frequency of dust storms, blowing dust, and floating dust,
129 respectively. Additionally, DI denotes the dust content at each station. It is worth noting that the
130 value of 1 represents the normalized mass weight of dust content for each FD, while 3 and 9
131 represent the relative mass weight of dust content for BD and DS, respectively (Wang et al., 2008).
132 Therefore, DI is an index used to indicate the dust content which does not have unit. In order to
133 better compare the DI with the reanalysis, we first interpolate the site data into grid points by
134 Cressman (1959), and then obtain the gridded DI. We found that the distribution of DI and MERRA-
135 2 dust content during the four seasons all show similar spatial characteristics (Figure 1). The above
136 results indicate that the MERRA-2 reanalysis data can capture the spatiotemporal characteristics of
137 dust content in China, which is applicable to understand the variations in dust content in China.

138 Additionally, the SST dataset was derived from the Hadley Centre of the UK Met Office on a
139 $1^\circ \times 1^\circ$ grid (Rayner et al., 2003). The atmospheric reanalysis datasets employed herein were
140 provided from the Fifth Generation Reanalysis Version 5 (ERA-5) of the European Centre for
141 Medium-Range Weather Forecasts (ECMWF) with a resolution of $0.25^\circ \times 0.25^\circ$ on 37 vertical levels
142 (Hersbach et al., 2020). The period of SST and atmospheric reanalysis datasets was from 1979-2022.
143 The winter is defined as the average of December-February (December-January-February, DJF),
144 with the winter 1979 (2021) corresponding to the average of December in 1979 (2021), January and
145 February in 1980 (2022). The spring seasonal mean is the average of March, April, and May. Thus,
146 the previous winter is from 1979 to 2021, and the following spring is from 1980 to 2022. To focus
147 the investigation into the interannual variability, the linear trends of all variables were removed.



148

149 **Figure 1.** (a-d) Spatial distribution of seasonal mean DI based on station data, (e-h) as in (a-d), but
 150 for dust column mass density based on MERRA-2 (units: $\text{mg}\cdot\text{m}^{-2}$). The green box in (a) and (e)
 151 represents North China. The green lines represent the Yellow River (northern one) and the Yangtze
 152 River (southern one), respectively.

153 2.2 Methods

154 The NAO index (NAOI) used is following Li and Wang (2003), quantified by the difference in
 155 the normalized monthly SLP regionally zonal averaged over the North Atlantic within 80°W - 30°E
 156 between 35°N and 65°N . This definition effectively captures the large-scale circulation
 157 characteristics associated with NAO, essentially measuring the intensity of zonal winds spanning
 158 the entire North Atlantic. We also employed the NAOI from Hurrell (1995) and Jones (1997) to
 159 validate the NAOI by Li and Wang (2003). A good agreement with correlation coefficients of 0.96
 160 and 0.94 between these two indices and the NAOI defined by Li and Wang (2003). Furthermore,
 161 ENSO is characterized by Niño3.4 index with SST anomalies averaged over 5°S - 5°N , 170°W -
 162 120°W (Trenberth, 1997).

163 In this study, the seasonal standardized values exceeding 0.5 standard deviation identified as
 164 anomalous years. The correlation analysis is used to examine the relationship between NAO/ENSO

165 and dust content over North China, while composite analysis investigates the synergistic effects of
 166 these climatic variabilities on dust activities over North China. The statistical significance of the
 167 correlation, regression, and composite values is assessed using a two-sided Student's *t*-test. Unless
 168 otherwise noted, all reported statistically significant levels are at the 0.1 level.

169 The memory effect of SST can be elucidated by the SST persistence component (SST_p), as
 170 delineated in equation (2) (Pan, 2005).

$$171 \quad SST_p = SST(t) * \frac{Cov[SST(t), SST(t + 1)]}{Var[SST(t)]} \quad (2)$$

172 SST_p represents the memory effect of the previous SST (t ; previous winter) on the following SST
 173 ($t + 1$; spring), where $SST(t)$ and $SST(t + 1)$ denote the previous winter SST and spring SST,
 174 respectively. $Cov[SST(t), SST(t + 1)]$ denotes the covariance between the previous winter SST
 175 and spring SST, while $Var[SST(t)]$ signifies the variance of the previous winter SST.
 176 Consequently, the $Cov[SST(t), SST(t + 1)]/Var[SST(t)]$ represents the connection between the
 177 SST variations in previous winter and spring. A greater value of SST_p indicates the variation of
 178 $SST(t + 1)$ is more closely attached with the variation of $SST(t)$.

179 The T-N wave activity flux (WAF), formulated by Takaya and Nakamura (2001), represents a
 180 three-dimensional wave action flux that describes the energy dispersion characteristics of stationary
 181 Rossby waves, thereby reflecting the direction of Rossby wave energy dispersion. The WAF is
 182 suitable for application in mid-high latitude regions where the background circulation deviates from
 183 uniform zonality, as obviates the need for the assumption that the basic flow field must be a zonally
 184 averaged basic flow and can accommodate zonally non-uniform wind fields. The convergence and
 185 divergence characteristics of WAF reveal the source and dissipation areas of wave energy, with the
 186 transmission direction indicating the direction of energy transport. The three-dimensional
 187 formulation of WAF is as follows:

$$188 \quad W = \frac{p \cos \varphi}{2|U|} \cdot \left(\begin{array}{l} \frac{U}{a^2 \cos^2 \varphi} \left[\left(\frac{\partial \psi'}{\partial \lambda} \right)^2 - \psi' \frac{\partial^2 \psi'}{\partial \lambda^2} \right] + \frac{V}{a^2 \cos \varphi} \left[\frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \varphi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \varphi} \right] \\ \frac{U}{a^2 \cos \varphi} \left[\frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \varphi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \varphi} \right] + \frac{V}{a^2} \left[\left(\frac{\partial \psi'}{\partial \varphi} \right)^2 - \psi' \frac{\partial^2 \psi'}{\partial \varphi^2} \right] \\ \frac{f_0^2}{N^2} \left\{ \frac{U}{a \cos \varphi} \left[\frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial z} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial z} \right] + \frac{V}{a} \left[\frac{\partial \psi'}{\partial \varphi} \frac{\partial \psi'}{\partial z} - \psi' \frac{\partial^2 \psi'}{\partial \varphi \partial z} \right] \right\} \end{array} \right) \quad (3)$$

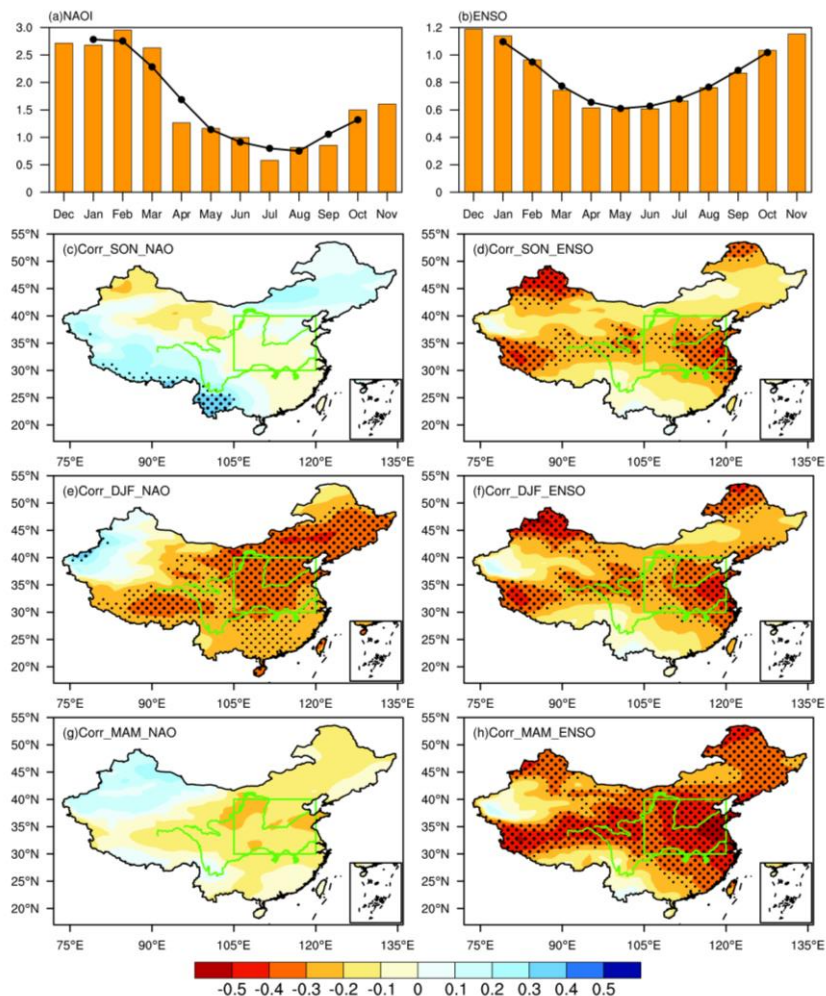
189 In the expression, p , φ , λ , f_0 , and a represent the atmospheric pressure, latitude, longitude,
 190 Coriolis parameter, and Earth's radius, respectively. $\psi' = \Phi'/f_0$ (where Φ' represents the
 191 geopotential height) denotes the disturbance of the quasi-geostrophic stream function relative to the
 192 climatology. N is buoyancy frequency, $z = -H \ln(p)$ with H being a constant scale height ($H=8$

193 km). The basic flow field $\mathbf{U} = (U, V, Z)$ (where Z represents the selected level) denotes the
 194 climatic field, where U and V indicate the zonal and meridional velocities, respectively.

195 3. Results

196 3.1 Impacts of NAO and ENSO on the spring dust in North China

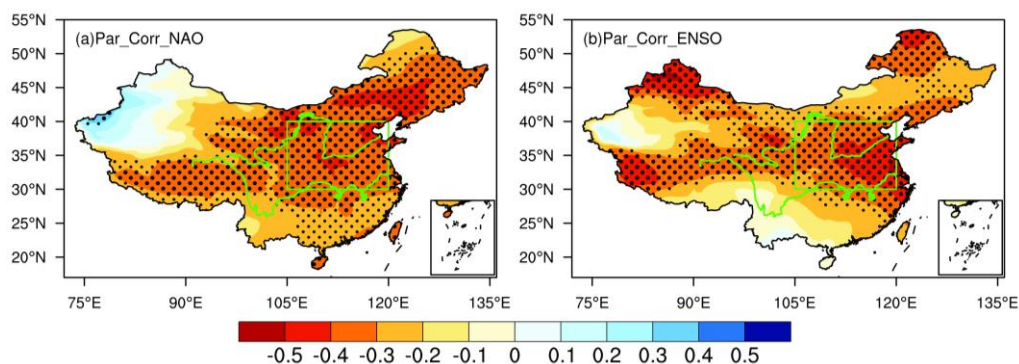
197 The NAO shows the strongest variability during the winter months, with the maximum
 198 standard deviation in February (Figure 2a). Similarly, ENSO shows larger variation during winter
 199 (Figure 2b). Previous studies have found that preceding NAO and ENSO significantly impact the
 200 subsequent climate over North China, particularly the cross-seasonal impacts (Zheng et al., 2016a;
 201 Feng et al., 2019). We have examined the roles of the previous autumn, winter and simultaneous
 202 spring NAO and ENSO on the spring dust over North China. It is found that the most significant
 203 influences on spring dust occur when NAO and ENSO lead by one season (Figures 2c-h). Therefore,
 204 the impacts of the previous winter NAO and ENSO on spring dust over North China are discussed
 205 in the study.



206

207 **Figure 2.** The monthly standard deviation of (a) NAOI (units: hPa) and (b) Niño3.4 index (units: °C),
 208 respectively. Black line represents three-month running average of standard deviation. (c) Spatial
 209 distribution of correlation coefficients between the previous autumn NAOI and spring dust content .
 210 (d) As in (c), but with Niño3.4 index. (e-f) and (g-h), as in (c-d), but for the correlations with
 211 previous winter and simultaneous spring NAOI and Niño3.4 index, respectively. The green box
 212 represents North China. Thick and fine stippled areas are statistically significant at the 0.05 and 0.1
 213 level, respectively. The green lines in (c-h) represent the Yellow River (northern one) and the
 214 Yangtze River (southern one), respectively.

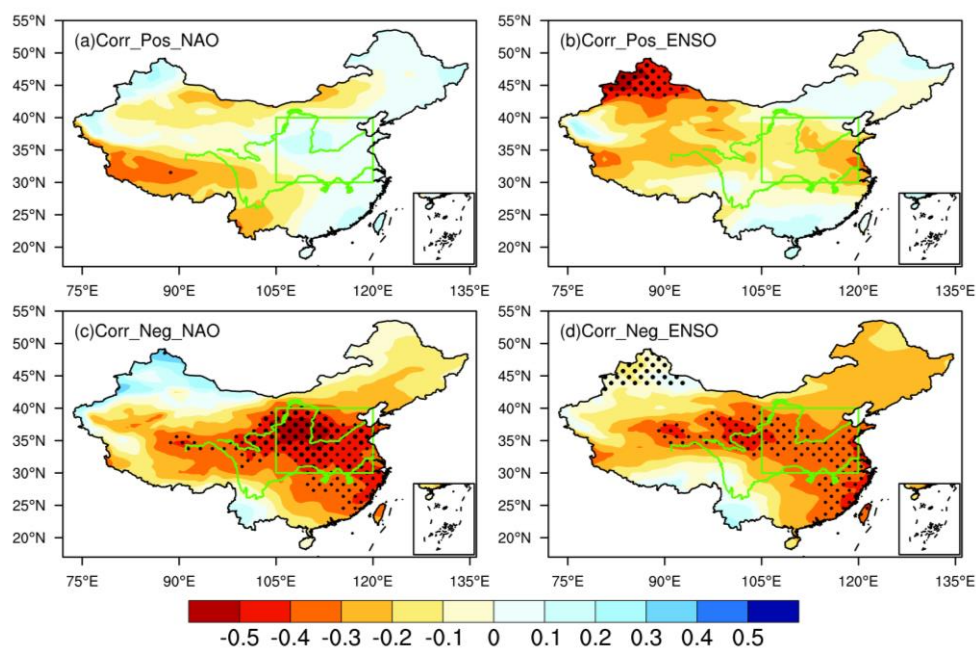
215 The results indicate that lower (higher) dust content is expected when the NAO and ENSO are
 216 in the positive (negative) phases (Figures 2e-f). Meanwhile, the NAOI/Niño3.4 index is significantly
 217 correlated with the area-averaged spring dust content over North China (SDI), with correlation
 218 coefficients of -0.36/-0.35 statistically significant at the 0.1 level. Considering the significant
 219 relationship between the NAO and ENSO (López-Parages et al., 2015; Zhang et al., 2015), to detect
 220 their independent effects on the dust content, the partial correlation between NAO (ENSO) and dust
 221 content after removing the influence of the ENSO (NAO) is provided (Figures 3a-b). The results
 222 indicate that the significant correlation regions between dust content and either NAO or ENSO show
 223 little change after removing the influence of the other. These findings suggest a stable and significant
 224 connection between the previous winter NAO/ENSO and SDI.



225
 226 **Figure 3.** (a) Spatial distribution of partial correlation coefficients between the previous winter
 227 NAOI and spring dust content after removing the effect of ENSO. (b) As in (a), but for correlation
 228 between Niño3.4 index and dust content after removing the effect of NAO. The green box represents
 229 North China. Thick and fine stippled areas are statistically significant at the 0.05 and 0.1 level,
 230 respectively. The green lines represent the Yellow River (northern one) and the Yangtze River
 231 (southern one), respectively.

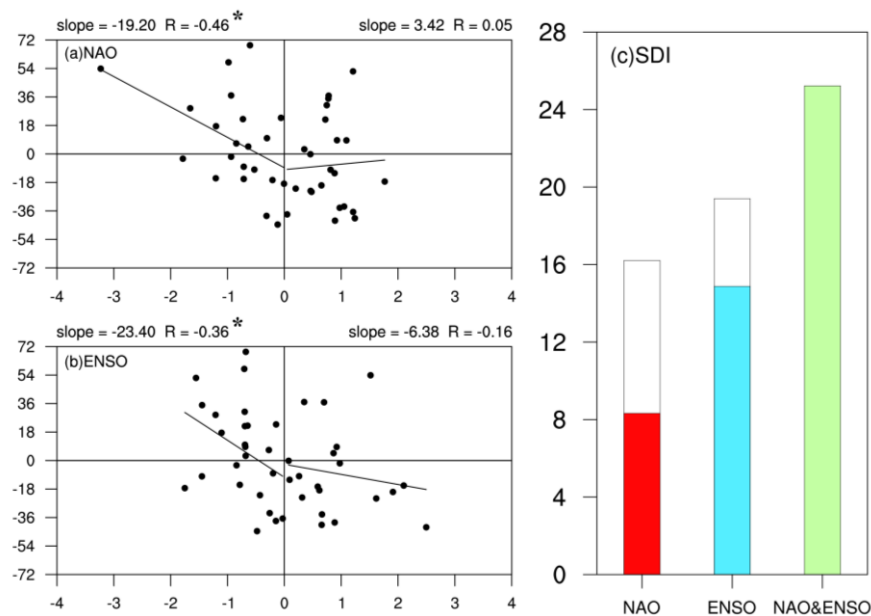
232 Previous studies have indicated that the development rate, intensity variations, and spatial
 233 structure of the NAO exhibit distinct asymmetries between different phases (Feldstein, 2003; Jia et
 234 al., 2007). And the influence of NAO on the East Asian Winter Monsoon is more pronounced during
 235 its negative phase (Sung et al., 2010). In addition, it is shown that El Niño and La Niña, as the
 236 positive and negative phases of ENSO, are not simply mirror images of each other. The SST

237 anomalies in the tropical Pacific associated with ENSO exhibit significant asymmetries in
 238 meridional range (Zhang et al., 2009), amplitude (Su et al., 2010), zonal propagation (McPhaden
 239 and Zhang, 2009), and impacts (Feng and Li, 2011; Feng et al., 2020) under El Niño and La Niña
 240 conditions. To further explore these asymmetries, we analyzed the connection between NAO/ENSO
 241 and SDI during different phases. The results indicate that the relationship between NAO/ENSO and
 242 SDI also exhibits significant asymmetry, i.e., with weaker (stronger) correlations during their
 243 positive (negative) phases (Figure 4). Based on the scatter distribution of SDI under different phases
 244 of NAO and ENSO, it is noted that the correlation coefficients between NAOI and SDI during the
 245 positive and negative phases of NAO are -0.05 (statistically insignificant) and -0.46 (statistically
 246 significant), indicating that the significant influence of NAO on the SDI mainly occurs during its
 247 negative phase (Figure 5a). Similarly, the correlation coefficients between ENSO and SDI also
 248 shows that the influence of ENSO is more pronounced during its negative phase, with the correlation
 249 coefficients for the positive and negative phases being -0.16 (statistically insignificant) and -0.36
 250 (statistically significant), respectively (Figure 5b). These results demonstrate that the impacts of the
 251 previous winter NAO and ENSO on the SDI exhibit asymmetrical characteristics, with significant
 252 effects primarily manifested during their negative phases.



253
 254 **Figure 4.** Spatial distribution of correlation coefficients between (a) positive and (c) negative NAO
 255 phases and dust content. (b) and (d) as in (a) and (b), respectively, but for the Niño3.4 index. The
 256 green box represents North China. Thick and fine stippled areas are statistically significant at the
 257 0.05 and 0.1 level, respectively. The green lines represent the Yellow River (northern one) and the
 258 Yangtze River (southern one), respectively.

259 The synergistic effects of climate variabilities from mid-high latitudes and the tropics are
 260 pivotal mechanisms affecting the weather and climate in East Asia (Feng et al., 2019; Li et al., 2019).
 261 Correspondingly, we will examine whether the negative phases of the previous winter NAO and
 262 ENSO exert synergistic effects on the dust content over North China. As shown in Figure 5c, when
 263 the NAO is in its negative phase (Table 1; white bar in Figure 5c labeled NAO), the value of
 264 anomalous SDI is $+16.21 \text{ mg}\cdot\text{m}^{-2}$ (statistically significant), whereas it is $+8.32 \text{ mg}\cdot\text{m}^{-2}$ (statistically
 265 insignificant) for the case that negative NAO occurred alone (red bar in Figure 5c). Similarly, the
 266 value of anomalous SDI in the negative ENSO phase is greater than that when negative ENSO
 267 occurred alone ($+19.40 \text{ mg}\cdot\text{m}^{-2}$ (statistically significant) vs. $+14.88 \text{ mg}\cdot\text{m}^{-2}$ (statistically
 268 insignificant)). When both the NAO and ENSO are in their negative phases (Table 1), the value of
 269 anomalous SDI ($+25.23 \text{ mg}\cdot\text{m}^{-2}$; statistically significant) is much greater than the situation when
 270 one of them is in the negative phase (green bar in Figure 5c). This indicates that the negative phases
 271 of the previous winter NAO and ENSO demonstrate synergistic effects on the dust content over
 272 North China. Therefore, three categories, i.e., the NAO/ENSO is in its negative phase, and both the
 273 NAO and ENSO are in the negative phases (Table 1) are discussed in the context, to elucidate the
 274 relevant processes of the synergistic effects of NAO and ENSO on the dust content over North China.



275

276 **Figure 5.** Scatterplots of the spring dust content in North China against previous winter (a) NAOI
 277 and (b) Niño3.4 index. Also shown are lines of best fit for positive and negative NAOI/Niño3.4
 278 index values and correlation coefficients (R), slope (slope), * indicates statistically significant at the
 279 0.1 level. (c) Spring dust content over North China during the negative NAO, negative ENSO phases,
 280 and concurrent negative phases of NAO and ENSO (units: $\text{mg}\cdot\text{m}^{-2}$). White bars represent negative
 281 phases of the NAO and ENSO, red and blue bars indicate solo negative NAO and ENSO years, and
 282 green bar is the negative NAO and ENSO co-occurring years.

283

Table 1. The events of NAO and ENSO classified by three categories

| Scenarios | Years | Numbers |
|------------|---|---------|
| NAO | 1980,1982,1985,1986,1987,1996,1998,2001, 2003,2004,2006,2010,2011,2013,2021 | 15 |
| ENSO | 1984,1985,1986,1989,1996,1999,2000,2001, 2006,2008,2009,2011,2012,2018,2021,2022 | 16 |
| NAO & ENSO | 1985,1986,1996,2001,2006,2011,2021 | 7 |

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3.2 Impacts of NAO and ENSO on the environmental variables

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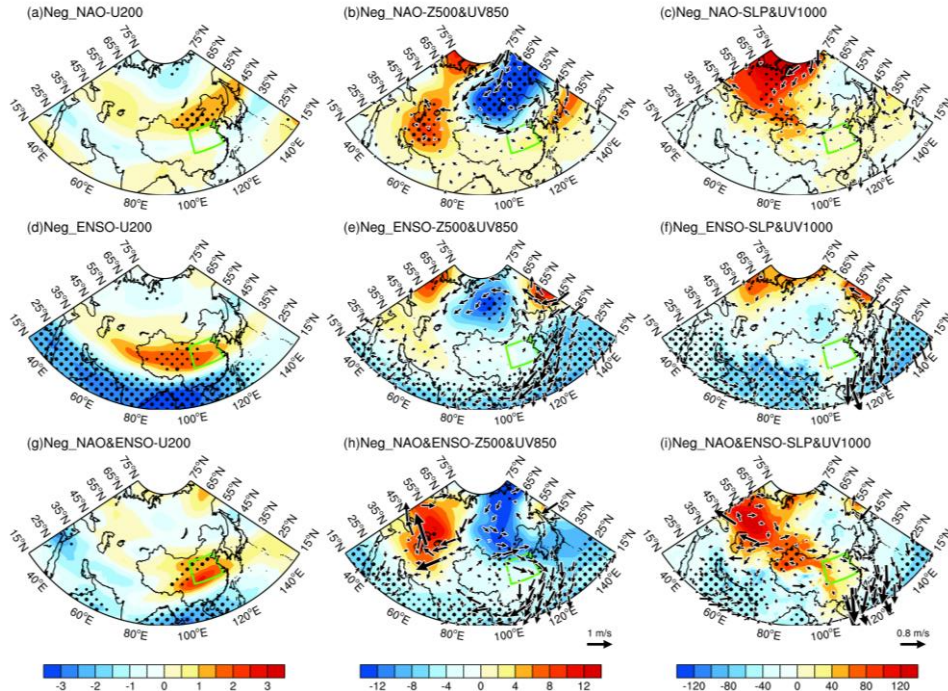
To examine the anomalous characteristics associated with NAO and ENSO, the circulation anomalies in their negative phases, as well as in their co-occurring negative phases (Table 1) are analyzed. In the upper troposphere (200 hPa), zonal wind intensifies over northwest China and Mongolia during the negative NAO phase (Figure 6a), with significant positive anomalies centered over Mongolia. In the negative ENSO phase, intensified zonal winds over northwest China and Mongolia are observed in the upper level (Figure 6d). The intensification of upper-level zonal wind boosts the upper-level momentum, which is transferred downward to the mid-lower troposphere through vertical circulation (Wu et al., 2016; Li et al., 2023), causing windy weather in the dust source regions, facilitating dust lifting and transport activities, thereby promoting the occurrence of dust activities in the downstream North China. When both the NAO and ENSO are in their negative phases, the primary positive anomaly center appears over the northern part of North China, facilitating dust transport to North China. The result implies the synergistic effects of NAO and ENSO on the upper-level zonal wind, enhancing dust transport from source regions to North China, favoring for dust activities in North China (Figure 6g).

Subsequent analysis delved into the anomalous distribution of the circulation field in the mid and lower troposphere. In the negative NAO situation, a pronounced trough-ridge anomaly pattern emerges in the mid-latitude region, characterized by a trough in Siberia and a ridge in the Middle East (Figure 6b). This atmospheric configuration fosters a dominant meridional circulation in the mid-high latitude region, enhancing the southward transport of cold air from the north. This incursion of cold air strengthens surface wind speeds, promoting the uplift and transport of dust from source regions. In the negative ENSO situation, a similar trough-ridge pattern is observed in the mid-latitude, but with more pronounced circulation anomalies over the WNP. The region is predominantly under the influence of northeasterly winds on its western flank, manifesting cyclonic circulation anomalies (Figure 6e). This abnormal circulation hinders the northward transport of warm and moist air from the South China Sea and the Bay of Bengal, diminishing the likelihood of

310 interactions with cold air from the north, thus reducing the likelihood of formation of stationary
311 fronts and precipitation. The decrease in precipitation weakens the wet deposition (Zheng et al.,
312 2016b; Huang et al., 2021), favoring the occurrence of dust activities in North China. When both
313 the NAO and ENSO are in their negative phases, the meridional circulation in the mid-latitude
314 region is enhanced (Figure 6h). The southward shift of the trough-ridge pattern significantly
315 increases wind speeds in the upstream dust source regions of North China, providing a substantial
316 source of dust for North China. Additionally, the presence of cyclonic circulation anomalies over
317 the WNP reduces the transport of warm and moist air from the south, which is unfavorable for
318 precipitation. This reduction in precipitation suppresses the wet deposition, favoring the occurrence
319 and intensification of dust activities in North China.

320 As for the SLP, significant positive anomalies appear in Eastern Europe and Russia during the
321 negative NAO situation, indicating the Siberian High (SH) is intensified and extended southward to
322 the dust source regions upstream of North China (Figure 6c). The intensification of the SH is
323 typically accompanied with strong northerlies and dry conditions, which favor the transport of dust,
324 thereby supplying abundant material sources for dust activities in North China. In the negative
325 ENSO case, although the high-latitude region exhibits a weaker SH signal, significant circulation
326 anomalies occur over the WNP. This cyclonic circulation anomalies inhibit the northward transport
327 of warm and moist air from the south, leading to unfavorable precipitation conditions in North China
328 (Figure 6f). When both the NAO and ENSO are in their negative phases, the intensify and extent of
329 the SH are more pronounced compared to that when the NAO sole is in negative phase. Additionally,
330 cyclonic circulation anomalies persist over the WNP, which are conducive to the occurrence of dust
331 activities in North China (Figure 6i).

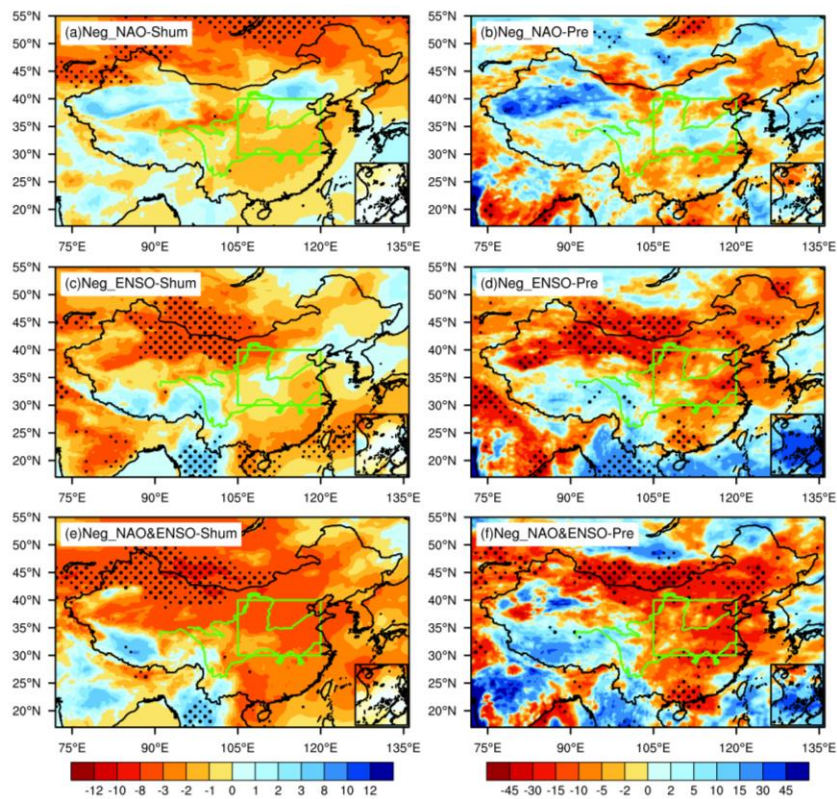
332 The results suggest that when both the NAO and ENSO are in their negative phases, synergistic
333 effects emerge, rendering the atmospheric circulation anomalies in the troposphere more conducive
334 to dust activities in North China. The synergistic effects likely result from the superposition and
335 interaction of various atmospheric levels modulated by the NAO and ENSO, forming favorable
336 circulation conditions for dust activities in North China.



337
 338 **Figure 6.** Upper, the composite anomalies of (a) 200 hPa zonal wind (shading, units: $\text{m}\cdot\text{s}^{-1}$), (b) 500
 339 hPa geopotential height (shading, units: gpm) and 850 hPa wind field (arrows, units: $\text{m}\cdot\text{s}^{-1}$), (c) sea-
 340 level pressure (shading, units: Pa) and 1000 hPa wind field (arrows, units: $\text{m}\cdot\text{s}^{-1}$) during the negative
 341 NAO phases. Middle-Lower, as in the upper, but during the negative ENSO phases and co-occurred
 342 negative phases of NAO and ENSO, respectively. The green box represents North China. Only wind
 343 anomalies statistically significant at the 0.1 level are shown. Thick and fine stippled areas are
 344 statistically significant at the 0.05 and 0.1 level, respectively.

345 Dust activities are not only impacted by large-scale circulation patterns, and also influenced by
 346 local surface conditions and meteorological processes. Surface properties and local meteorological
 347 factors play ignore roles in the initiation, development, and dissipation of dust activities (Liu et al.,
 348 2004; Huang et al., 2021). In particular, humidity and precipitation are decisive factors in
 349 determining the frequency and intensity of dust activities (Prospero et al., 1987; Kim and Choi,
 350 2015). Low humidity leads to drier soil conditions in dust source regions, reducing soil particle
 351 cohesion and facilitating dust lifting and transport (Csavina et al., 2014). Similarly, less precipitation
 352 weakens wet deposition, resulting in higher dust content (Zheng et al., 2016b). Therefore, we further
 353 analyzed the potential impacts of the NAO and ENSO on humidity and precipitation. During the
 354 negative NAO phase, humidity and precipitation slightly decrease in northern northwest China,
 355 impacting dust lifting and transport in the dust source regions (Figures 7a-b). In the negative ENSO
 356 phase, the variations in humidity and precipitation are similar to that as in the negative NAO, but
 357 with greater amplitude (Figures 7c-d). When both the NAO and ENSO are in their negative phases,
 358 the humidity and precipitation anomalies in the dust source regions are more intense than those
 359 caused by the individual factors (Figure 7e-h). The NAO and ENSO modulate humidity and

360 precipitation by affecting atmospheric circulation anomalies, ultimately affecting dust activities in
 361 North China. During the negative NAO case, the diminished atmospheric pressure gradient in the
 362 mid-high latitude regions of the North Atlantic leads to the intensification and southward shift of
 363 the SH (Zhou et al., 2023), accompanied by strong wind, making the environment drier and
 364 conducive to dust lifting and transport in dust source regions. In the negative ENSO case, the upper
 365 atmosphere over the WNP is dominated by significant negative anomalies in geopotential height
 366 and northeasterly winds (Zhang et al., 2015), reducing moist air transport. When both the NAO and
 367 ENSO are in their negative phases, their regulation on the atmospheric circulation produces
 368 synergistic effects, further promoting the occurrence of dust activities in North China.

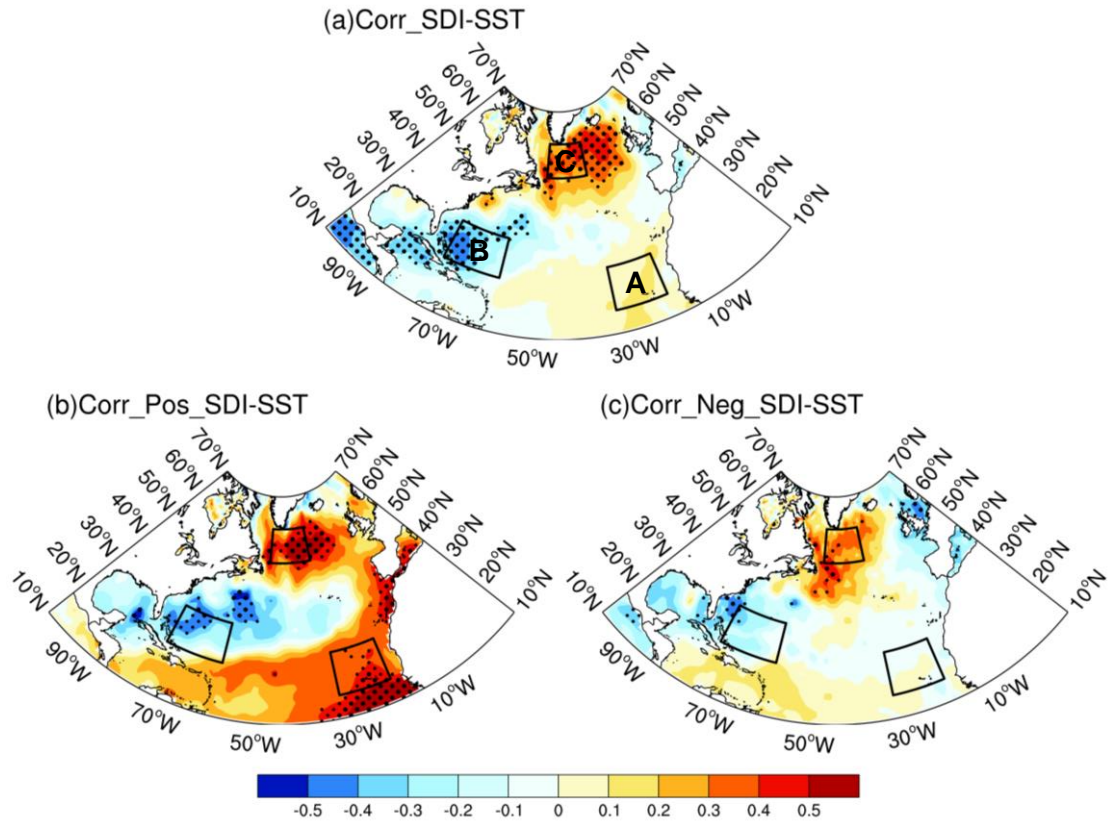


369
 370 **Figure 7.** As in Figure 6, but for the composite percentage anomalies of (Left) special humidity and
 371 (Right) precipitation.

372 **3.3 Physical Mechanisms of the NAO and ENSO on the dust activities**

373 The above results demonstrate that the previous winter NAO and ENSO significantly impact
 374 spring dust activities in North China. Consequently, an examination of the underlying physical
 375 mechanisms is warranted. The previous ENSO signal can alter the atmospheric circulation over the
 376 WNP through the persistent impact of SST, affecting subsequent weather and climate in China (Kim
 377 and Kug, 2018; Jiang et al., 2019). Given the relatively short memory of NAO as an atmospheric

378 phenomenon, we will employ the theory of ocean-atmosphere coupling bridge to elucidate the
 379 involved processes. The tripole configuration of SST is the leading mode of SST variation in the
 380 North Atlantic, and its variabilities are closely associated with the NAO (Wu et al., 2009). This
 381 association allows the previous NAO signal to exert a long-term influence on subsequent weather
 382 and climate in China (e.g., Chen et al., 2020; Wu and Chen, 2020; Song et al., 2022). The variation
 383 of the SDI is linked with an anomalous tripole SST in the North Atlantic (Figure 8a), paralleling the
 384 SST anomalies associated with the negative phase of the NAO. Therefore, the North Atlantic tripole
 385 index (NATI) is defined to depict the characteristics of SST anomalies (Equations 4-7). The
 386 correlation analysis between the high and low years of SDI and SST reveals a pronounced difference,
 387 indicating an asymmetric correlation (Figures 8b-c). Specifically, the significant relationship
 388 between SDI and NATI only exists in the positive SDI years, with a significant correlation
 389 coefficient of -0.47, implying that the occurrence of NATI would associate with more dust activities
 390 over North China.



391
 392 **Figure 8.** (a) Spatial distribution of the correlation coefficients between the SDI and simultaneous
 393 SST. (b)-(c) As in (a), but for the positive and negative phases of SDI. Thick and fine stippled areas
 394 are statistically significant at the 0.05 and 0.1 level, respectively. The black box represents NATI.

395
$$SST_A = [15-25^{\circ}N, 32-20^{\circ}W] \quad (4)$$

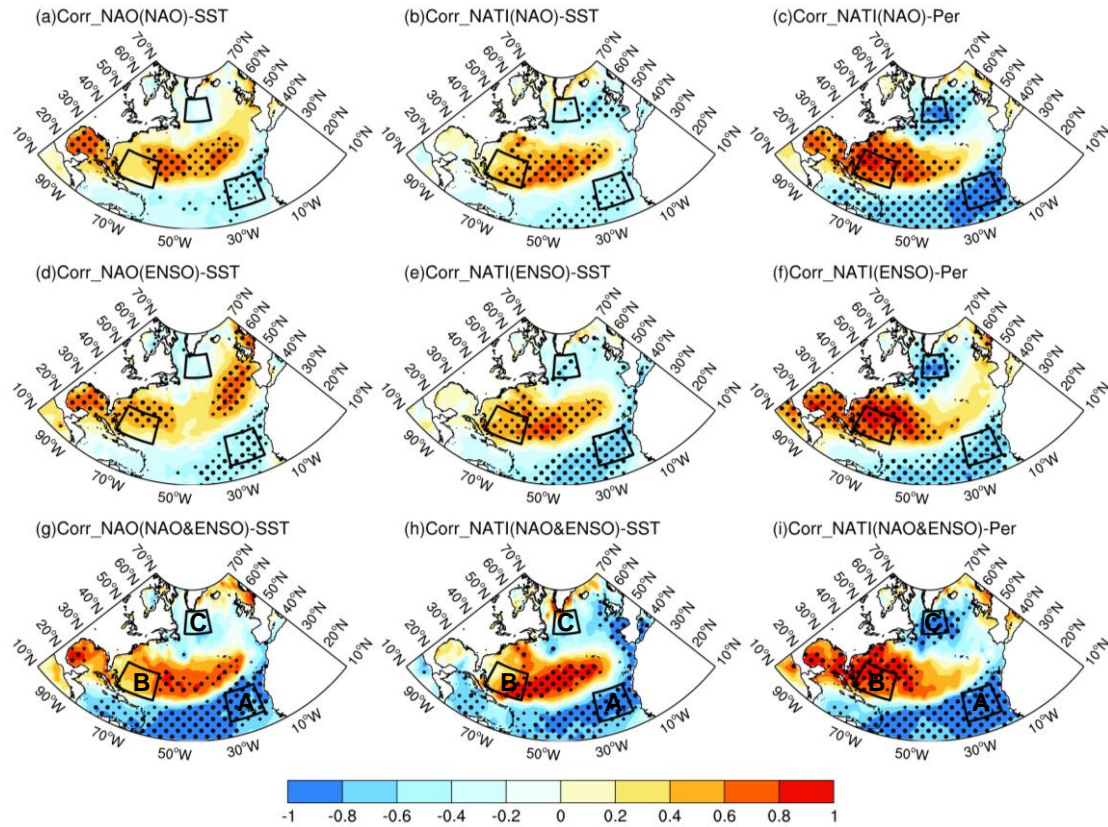
396
$$SST_B = [22-32^{\circ}N, 75-60^{\circ}W] \quad (5)$$

397
$$SST_C = [50-60^{\circ}N, 50-32^{\circ}W] \quad (6)$$

398
$$\text{NATI} = \text{SST}_B - \frac{1}{2}(\text{SST}_A + \text{SST}_C) \quad (7)$$

399 Moreover, the relationship between the previous winter NAOI and spring NATI is only
400 manifested during the negative phase of NAO, with a statistical significant correlation coefficient
401 of 0.41 (figures not shown). This elucidates the reason why the significant impact of NAO on dust
402 activities in North China only existed during its negative phase. The correlations between the
403 previous winter NAO and North Atlantic SST reveal that NAO is linked with an anomalous tripole
404 SST pattern during the NAO negative situation (Figure 9a). Similar findings are observed during
405 negative ENSO situation (Figure 9d). When both the NAO and ENSO are in their negative phases,
406 the anomalous tripole SST pattern is more pronounced (Figure 9g). This suggests that ENSO
407 enhances the connection between the negative NAO and NATI, providing an explanation for the
408 synergistic effects of the NAO and ENSO on dust activities in North China.

409 In the negative NAO phase, there is a notable correlation between the previous winter NATI
410 and the spring SST and SST_p (Figures 9b-c), indicating that the previous winter NATI can persist
411 into spring, with the self-persistence of SST playing an important role. Similar findings are observed
412 during the negative ENSO phase (Figures 9e-f) and when both the NAO and ENSO are in their
413 negative phases (Figures 9h-i). Additionally, the correlation coefficients between the NAOI and
414 NATI under different scenarios can illustrate the synergistic influence of the NAO and ENSO on
415 the persistence of SST anomalies (Table 2). Specifically, when the negative phases of NAO and
416 ENSO co-occur, the correlation coefficients between the NAOI and NATI are greater than those
417 influenced by a single factor alone. The impacts of previous winter NAO on the spring dust activities
418 over North China are mainly include, 1) The previous winter NAO would stimulate the anomalous
419 NAT SST pattern; 2) The NAT can persist from previous winter to the following spring due to the
420 thermal persistence of the SST; 3) The spring NAT plays significant modulation on the circulation
421 pattern over North China through teleconnection wave-train, affecting the spring dust activities over
422 North China. It is seen from Table 2 that although the correlation coefficients of previous winter
423 NATI and spring NATI are same in the case of ENSO- phase and NAO- & ENSO- phase. However,
424 the correlations between the NAOI and NATI is higher during NAO- & ENSO- phase (0.66) than
425 during ENSO- phase (0.52), highlighting the a more significant contribution of NAO in influencing
426 NAT in the case of NAO- & ENSO- phase.



427

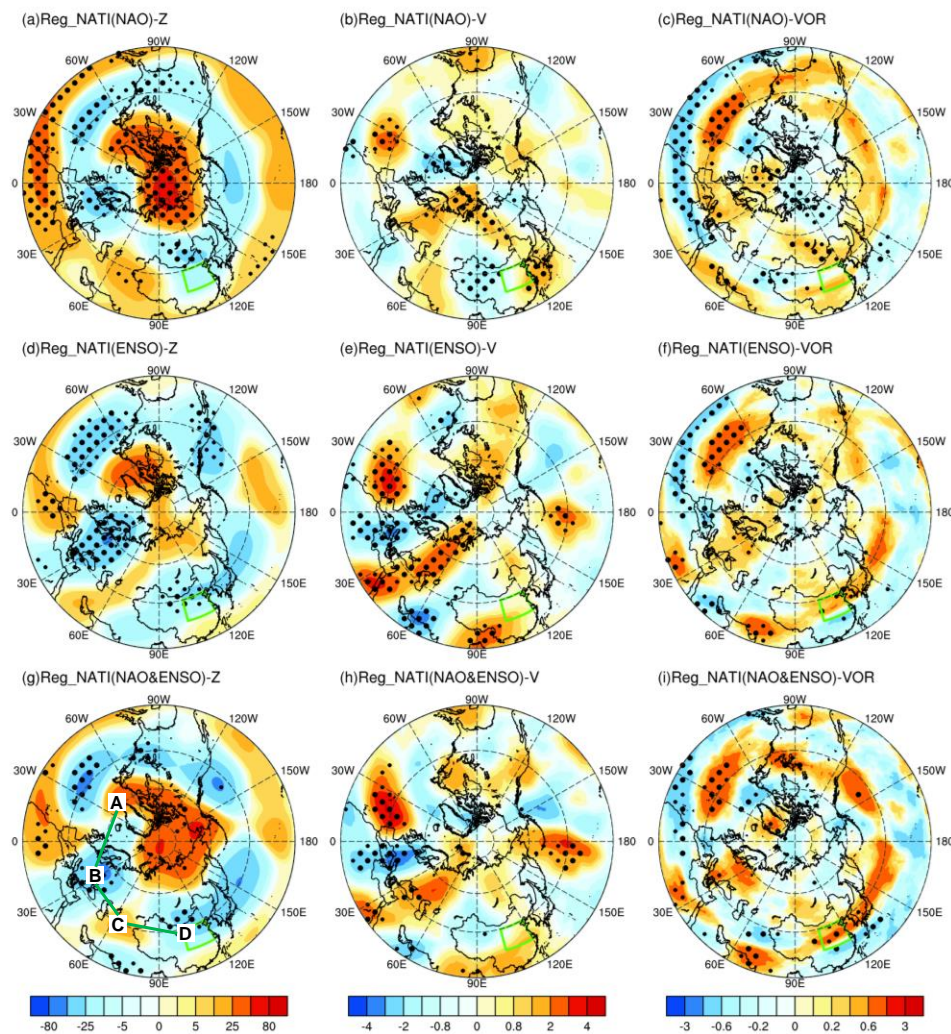
428 **Figure 9.** Upper, correlation distributions of (a) winter NAOI with winter SST, (b) winter NATI
 429 with spring SST, and (c) winter NATI with SST_p during negative NAO phases. Middle-Lower, as
 430 in the upper, but during the negative ENSO phases and concurrent negative phases of NAO and
 431 ENSO, respectively. Thick and fine stippled areas are statistically significant at the 0.05 and 0.1
 432 level, respectively. The black box represents NATI.

433 **Table 2.** Correlation coefficients between the NAOI and NATI in different categories. * indicates
 434 statistically significant at the 0.1 level.

| Scenarios | DJF_NAO & DJF_NATI | DJF_NATI & MAM_NATI |
|--|--------------------|---------------------|
| NAO ⁻ phase | 0.41* | 0.51* |
| ENSO ⁻ phase | 0.52* | 0.69* |
| NAO ⁻ & ENSO ⁻ phase | 0.66* | 0.69* |

435 Given the distance across the Eurasian continent between the North Atlantic and North China,
 436 the role of teleconnection wave-train is particularly important in influencing dust activities over
 437 North China. Figure 10a presents the geopotential height field at 200 hPa regressed onto the spring
 438 NATI during the negative NAO case. This reveals a pronounced north-south reversed dipole pattern
 439 in the North Atlantic, i.e., negative over Azores and positive over Iceland, representing a typical
 440 negative NAO structure (Wallace and Gutzler, 1981; Li and Wang, 2003). Additionally, a negative-
 441 positive-negative teleconnection wave-train structure centered around eastern Europe, Middle East,
 442 and North China is observed, suggesting that disturbance energy propagates downstream from the

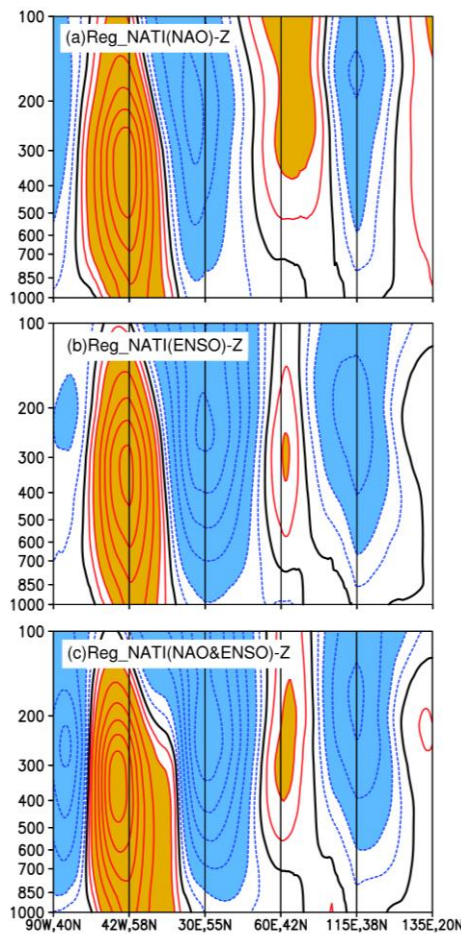
443 North Atlantic through waveguide effects. The teleconnection wave-train characteristics are also
 444 observed in the 200 hPa meridional wind and vorticity fields (Figures 10b-c). During the negative
 445 ENSO case, modulated by the NATI, similar teleconnection structures are also seen in the
 446 circulation field (Figures 10d-f). Notably, when both the NAO and ENSO are in their negative
 447 phases, the correlation patterns of the teleconnection structure are similar, however the anomalies
 448 over North China is enhanced, showing significant anomalies in the vorticity field (Figures 10g-i),
 449 confirming their synergistic effects on the circulation processes affecting dust activities in North
 450 China.



451

452 **Figure 10.** Upper, regression distribution of spring NATI against the spring (a) geopotential height
 453 (units: gpm), (b) meridional wind (units: $\text{m}\cdot\text{s}^{-1}$), and (c) vorticity (units: $10^{-5}\cdot\text{m}\cdot\text{s}^{-1}$) at 200 hPa during
 454 the negative NAO phase. Middle-lower, as in the upper, but during the negative ENSO phases and
 455 concurrent negative phases of NAO and ENSO, respectively. The green box represents North China.
 456 Regression fields have multiplied by -1 (to facilitate a direct comparison between the NAO&ENSO
 457 associated circulation anomalies and the climatology). Thick and fine stippled areas are statistically
 458 significant at the 0.05 and 0.1 level, respectively.

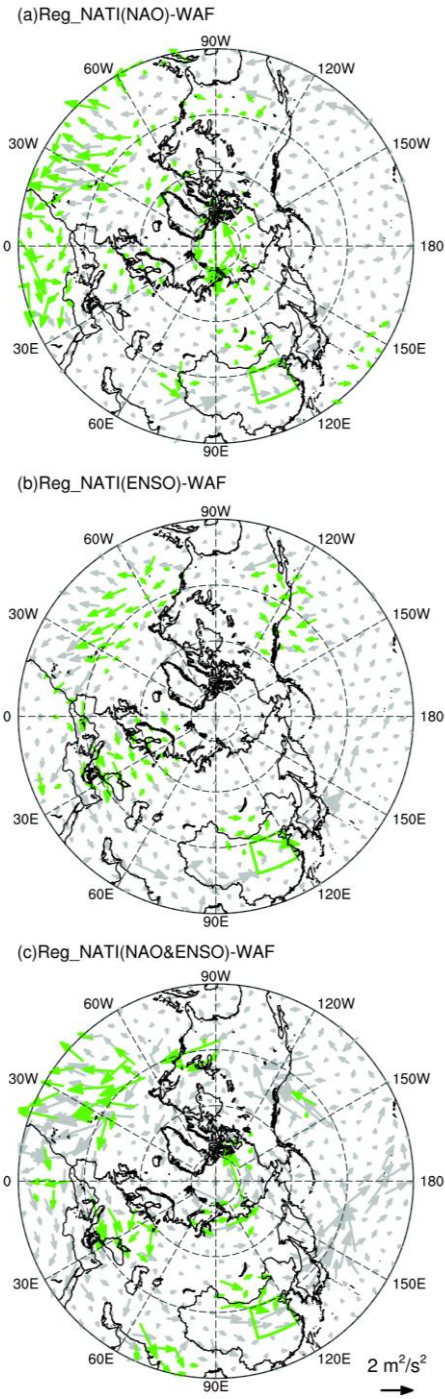
459 To further examine the impact mechanisms of NAO and ENSO on spring dust activities in
 460 North China, based on the propagation characteristics of the teleconnection wave-train shown in
 461 Figure 10, the cross-section distribution of the geopotential height field is presented (Figure 11).
 462 Under the scenarios where either the NAO or ENSO is in the negative phase, the NATI anomalies
 463 correspond to the teleconnection wave-train extending from the upper to lower troposphere, which
 464 is specifically characterized by a negative-positive-negative teleconnection pattern centered around
 465 eastern Europe, Middle East, and North China (Figures 11 a-b). This wave-train propagate across
 466 Eurasian continent, ultimately influencing dust activities over North China. Furthermore, the
 467 analysis of cross-section at different levels of the troposphere reveals that under the negative NAO
 468 and ENSO situations, the teleconnection wave-train excited by the NATI exhibits quasi-barotropic
 469 features, with the anomalous structure primarily concentrated in the middle-upper troposphere.
 470 When both the NAO and ENSO are in their negative phases, the intensity and scope of the
 471 teleconnection wave-train are enhanced and expanded compared to the influence of a single factor
 472 (Figure 11c), demonstrating synergistic effects.



473
 474 **Figure 11.** Vertical section of regression of spring NATI against the geopotential height along the
 475 solid line labeled A (42°W, 58°N), B (30°E, 55°N), C (60°E, 42°N), and D (115°E, 38°N) in Figure

476 10g for (a) negative NAO case in the previous winter. (b)-(c) as in (a), but during the negative ENSO
477 case and co-occurring negative phases of NAO and ENSO, respectively (units: gpm). Regression
478 fields have multiplied by -1 (to facilitate a direct comparison between the NAO&ENSO associated
479 circulation anomalies and the climatology). Shading indicates the absolute value is greater than 10
480 gpm.

481 To provide a more comprehensive analysis of the transport process of disturbance energy in
482 the atmosphere, the horizontal distribution of the WAF associated with spring NATI variations is
483 examined. Under the scenarios where either the NAO or ENSO is in the negative phase, the WAF
484 can be clearly observed originating from the North Atlantic, traversing the Eurasian continent, and
485 extending to North China (Figures 12a-b). When both factors occur simultaneously, the transport
486 intensity of the WAF is not only enhanced, but its impact range on dust activities in North China is
487 also broadened (Figure 12c). Through the analysis of teleconnection wave-train and WAF, it is
488 determined that the synergistic effects not only enhance the disturbance intensity in the atmosphere,
489 but also expand impacted extent, thereby promoting the occurrence of spring dust activities in North
490 China. The enhancement and expansion of atmospheric disturbances may be related to large-scale
491 circulation anomalies and local climate condition variations induced by the synergistic effects of the
492 NAO and ENSO, which in turn affect the transport and deposition processes of dust.



493

494 **Figure 12.** As in Figure 10, but for the regression distribution of spring NATI against the T-N wave
 495 activity flux (units: $\text{m}^2\cdot\text{s}^{-2}$). The green box represents North China. Regression fields have
 496 multiplied by -1 (to facilitate a direct comparison between the NAO&ENSO associated circulation
 497 anomalies and the climatology). Green arrows are statistically significant at the 0.1 level.

498 **4. Conclusions and discussions**

499 Although North China is not the primary dust source, dust activities are notably active during

500 spring in this region. This study highlights that the previous winter NAO and ENSO exert essential
501 influences on the following spring dust activities in North China. Their impacts are asymmetric,
502 manifesting only when both of them are in their negative phases. Furthermore, the results indicate
503 that NAO and ENSO in their negative phases have synergistic effects on the spring dust activities
504 in North China, promoting dust activities and with greater impacts than their sole effect.

505 Under the influence of the negative phases of the NAO and ENSO, atmospheric circulation in
506 the troposphere from the lower to upper layers, exhibits significant anomalies. These include
507 variations in the upper-level zonal winds, mid-latitude trough-ridge systems, and atmospheric
508 circulation at the SLP. These variations promote the occurrence of dust activities in North China.
509 Simultaneously, accompanying anomalies in the atmospheric circulation pattern also affect local
510 meteorological factors, including humidity and precipitation, which in turn impact dust activities in
511 North China. Notably, when both the NAO and ENSO are in their negative phases, synergistic
512 effects occur, making the anomalies in atmospheric circulation from the lower to upper layers, and
513 local meteorological factors, more conducive to the occurrence of dust events in North China. The
514 impact of the NAO on the underlying SST pattern is predominantly observed during its negative
515 phase, elucidating why the NAO significantly influences dust activities in North China only during
516 its negative phase. Furthermore, when both the NAO and ENSO are in their negative phases, the
517 teleconnection wave-train and WAF stimulated from the North Atlantic are more intense, thereby
518 more effectively influencing dust activities in North China. This indicates the synergistic effects of
519 these two variabilities on dust activities over North China.

520 In the process where the previous winter NAO influences the following spring dust activities
521 in North China, the NAT plays a crucial role. The NAO signal from the previous winter can be
522 stored in the NAT and persist into spring. In spring, the NAT regulates the circulation pattern in
523 North China through teleconnection wave-train, ultimately affecting dust activities in North China.
524 The signal of previous winter ENSO can persist into spring, and its effects on the dust activities in
525 North China mainly through two pathways: i.e., directly influence the dust activities by affecting
526 the circulation anomalies over the WNP, and facilitating the process of which the NAO excites NAT,
527 thereby affecting the dust activities in North China. This provides a plausible explanation for why
528 the previous winter NAO and ENSO exert synergistic effects on the following spring dust activities
529 in North China.

530 This study investigated the impacts of NAO and ENSO on dust activities in North China and
531 the associated physical processes, indicating that one season ahead signals provide as the useful
532 predictors for spring dust activities in North China. Future work will focus on developing a

533 prediction model using the NAO and ENSO as predictors and validating its effectiveness. The
534 present work mainly focuses the interannual modulation of NAO and ENSO on the dust activities
535 over North China, however, the NAO and ENSO (Woollings et al., 2015; Feng et al., 2024), as well
536 as dust activities over North China, bear strong interdecadal variations, long-term datasets are
537 needed to further explore their impacts on the dust activities. The present study focuses on the period
538 1979-2022, due to the longevity of the MERRA-2 dust content dataset. There are only 7 co-
539 occurrence years of negative NAO and ENSO, which take up to 17% of the whole study period. It
540 is noted that the co-occurrence events are not as many as either the negative NAO or ENSO, thus a
541 significance level of 0.1 is displayed. It is worthy to examine their joint impacts by employing longer
542 datasets or models outputs, to further explore their synergistic effects and any possible variations in
543 their modulations. Moreover, as reported that the state-of-art models can reproduce the individual
544 impact of NAO and ENSO on dust activities in North China (Yang et al., 2022), whether their
545 synergistic effects on the dust activities could be well simulated, requiring further researches.
546 Additionally, the potential impacts of interdecadal signals, such as the AMO, on dust activities in
547 China is not discussed. Future work will investigate the interdecadal variations of dust activities in
548 China and their connection to interdecadal climatic variabilities. Previous studies have indicated
549 that the uncertainty in ENSO variability is likely to increase under the background of global
550 warming (Cai et al., 2021; Chen et al., 2024). Therefore, it is crucial to investigate the future changes
551 in the ENSO and its synergistic effects with NAO on the dust activities over China, to better
552 understand the plausible trends of future dust activities in North China.

553

554 **Code and data availability.** The MERRA-2 dust content dataset can be downloaded from
555 <https://disc.gsfc.nasa.gov/datasets?project=MERRA-2> (last access: 22 July 2024). The atmospheric
556 reanalysis datasets can be downloaded from
557 <https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset> (last access: 22 July 2024).
558 The oceanic reanalysis data can be downloaded from <https://www.metoffice.gov.uk/hadobs/hadisst>
559 (last access: 22 July 2024). The NAO indices defined by Li and Wang can be downloaded from
560 <http://lijianping.cn/dct/page/65610> (last access: 22 July 2024). The NAO indices produce by Hurrell
561 and Jones can be downloaded from [https://climatedataguide.ucar.edu/climate-data/hurrell-north-](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-pc-based)
562 [atlantic-oscillation-nao-index-pc-based](https://climatedataguide.ucar.edu/climate-data/hurrell-north-atlantic-oscillation-nao-index-pc-based) (last access: 22 July 2024) and
563 <https://crudata.uea.ac.uk/cru/data/nao> (last access: 22 July 2024), respectively. The ENSO indices
564 can be downloaded from <https://psl.noaa.gov/data/timeseries/monthly/NINO34> (last access: 22 July

565 2024). Our results can be made available upon request.

566

567 **Author contributions.** JF and FLX conceptualized and designed the research. FLX and JF
568 synthesized and analyzed the data. FLX, SW, YL, and JF produced the figures. FLX and SW
569 contributed to the dataset's retrieval. All the authors discussed the results and wrote the paper.

570

571 **Competing interests.** The authors declare that they have no conflict of interest.

572

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