

1 **Unifying framework for assessing sensitivity of marine calcifiers to ocean alkalinity**  
2 **enhancement categorizes responses and identifies biological thresholds - importance of**  
3 **precautionary principle**

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16 **Abstract**

17 Ocean alkalinity enhancement (OAE), one of the marine carbon dioxide removal strategies, is  
18 gaining recognition in its ability to mitigate climate change and ocean acidification (OA). OAE is  
19 based on adding alkalinity to open-ocean and coastal marine systems through a variety of different  
20 approaches, which raises carbonate chemistry parameters (such as pH, total alkalinity, aragonite  
21 saturation state), and enhances the uptake of carbon dioxide (CO<sub>2</sub>) from the atmosphere. There are  
22 large uncertainties in both short- and long-term outcomes related to potential environmental  
23 impacts, which would ultimately have an influence on the social license and success of OAE as a  
24 climate strategy. This paper represents a synthesis effort, leveraging on the OA studies and  
25 published data, observed patterns and generalizable responses. We propose a conceptual  
26 framework of assessing responses that are predicted under OAE implementation. The synthesis  
27 was done using raw experimental OA data based on 65 collected studies, covering 81 species and  
28 capturing the responses of eleven biological groups (coralline algae, corals, dinoflagellates,  
29 mollusks, gastropods, pteropods, coccolithophores, annelids, crustacean, echinoderms, and  
30 foraminifera), using regression analyses to predict biological responses and thresholds to NaOH  
31 or Na<sub>2</sub>CO<sub>3</sub> additions. Predicted responses were categorized into six different categories (linear  
32 positive and negative, threshold positive and negative, parabolic and neutral) to delineate species-  
33 and group-specific responders. The results show that 40% of species are predicted to respond  
34 positively (N=33), 31% of species negatively (N=25), and 29% (N=24) were found to demonstrate  
35 a neutral response upon alkalinity addition. For the majority of negatively impacted species (84%),  
36 biological thresholds corresponding to 10 to 500 μmol/kg NaOH addition were found, occurring  
37 at much lower values than previously expected. We thus explicitly emphasize the importance of  
38 including much lower additions of alkalinity in experimental trials to realistically evaluate *in situ*  
39 biological responses. We also found that OAE could offset some of the negative effects of OA, whereby  
40 50 to 100 μmol/kg of NaOH addition could compensate for the calcification loss between the pre-  
41 industrial and current conditions. The ultimate goal of the study was to provide an assessment of  
42 biological rates and thresholds predicted under NaOH/Na<sub>2</sub>CO<sub>3</sub> additions that can serve as a tool  
43 for delineating OAE risks, guiding and prioritizing future OAE biological research and regional  
44 OAE monitoring efforts and communicate the risks with the stakeholders. This is pertinent given  
45 the fact that at least some of the current regulatory frameworks likely do not assure safety  
46 biological space. With 71% of species showing non-neutral response, a precautionary approach  
47 for OAE implementation is warranted, identifying the conditions where potential negative  
48 ecological outcomes could happen, which is key for scaling up while also avoiding ecological  
49 risks.

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## 55 **1. Introduction**

56 Anthropogenic carbon dioxide (CO<sub>2</sub>) emissions have increased at an unprecedented rate and have  
57 contributed to global climate change and negative ecological and biogeochemical impacts in the  
58 oceans (Feely et al., 2004; Gattuso et al., 2018), to the extent of crossing six different planetary  
59 boundaries (Richardson et al., 2023). Oceans play a crucial role in attenuating the increase in  
60 atmospheric CO<sub>2</sub> through the absorption of the excess atmospheric CO<sub>2</sub> of roughly a quarter of  
61 anthropogenic carbon dioxide (CO<sub>2</sub>) emissions, drawing down around 2–3 Pg C yr<sup>-1</sup> in recent  
62 decades (Friedlingstein et al., 2022). However, without substantial CO<sub>2</sub> emissions abatement and  
63 CO<sub>2</sub> removal strategies, profound repercussions on climate, extreme weather events, and  
64 socioeconomic implications will follow. Ocean-based CO<sub>2</sub> removal and sequestration strategies  
65 (broadly referred to as marine CDR) are among the proposed CDR approaches that remove CO<sub>2</sub>  
66 and store it for geologically relevant times (National Academies of Sciences, Engineering, and  
67 Medicine, 2021). These mCDR approaches only complement CO<sub>2</sub> emission reductions and  
68 contribute to the portfolio of climate response strategies needed to meet the global goal of limiting  
69 warming to well below 2°C as established by the Paris Agreement. Various mCDR approaches  
70 have unique benefits and costs but differ in their value depending on their state of implementation,  
71 and whether they act globally and/or locally (Oschlies et al., 2023).

72 Ocean alkalinity enhancement (OAE) has the potential to mitigate climate change through  
73 increasing ocean uptake of CO<sub>2</sub>, while simultaneously reversing ocean acidification (OA), and  
74 improving marine habitats. Despite mostly being in the concept stage, OAE is viewed with a high  
75 level of confidence as to its effectiveness: medium on environmental risk, but low on the  
76 underlying knowledge base (Eisaman et al., 2023; Gattuso et al., 2021; National Academies of  
77 Sciences, Engineering, and Medicine, 2021). The primary concerns for OAE are large  
78 uncertainties in both short- and long-term OAE outcomes related to potential environmental  
79 impacts of OAE (Kheshgi, 1995; Bach et al., 2019), especially if OAE were to induce novel  
80 conditions in the marine systems that are outside the range of the natural variability, exposing  
81 organisms to conditions not experienced in their evolutionary history. The outcome of OAE as a  
82 successful climate strategy depends on a thorough and advanced understanding of the impacts of  
83 OAE implementation while avoiding negative biological effects.

### 84 **1.1 Leveraging ocean acidification research on marine calcifiers**

85 Increased CO<sub>2</sub> uptake, which initially is absorbed by the ocean as dissolved CO<sub>2</sub>, causes a decline  
86 in pH, shoaling of the saturation state horizon ( $\Omega_{ar}$ ) and reduced carbonate ion amount content in  
87 a process termed ocean acidification (Feely et al., 2004), causing negative consequences to marine  
88 biota, especially marine calcifiers, the structure and function of the vulnerable marine ecosystem,  
89 and alteration of the carbon cycle. On the other hand, chemical changes induced by OAE are  
90 inherently linked to reversing the OA process: increasing pH, shifting carbonate chemistry

91 speciation towards lower aqueous carbon dioxide ( $p\text{CO}_2$ ) and higher carbonate ion ( $\text{CO}_3^{2-}$ ) amount  
92 contents, as well as higher aragonite saturation state ( $\Omega_{\text{ar}}$ ). Such changes could either be within the  
93 ranges of the variability of the natural systems to which species are acclimatized, or outside them,  
94 creating novel conditions for which species might not have developed suitable acclimation  
95 strategies. As such, the biological outcomes are, due to their complexity, highly unpredictable.

96 Scientific progress in over 20 years of OA research has brought substantial insights into the  
97 biological effects, with the most fundamental outcome being that calcifying organisms would be  
98 primarily affected (Riebesell and Gattuso, 2015), with the calcification process being one of the  
99 most susceptible pathways, underpinned by species differences in calcification mechanisms (Ries  
100 et al., 2009; 2011; Bach et al., 2013; 2015; Leung et al., 2022). However, OA focused heavily on  
101 investigating biological effects on the more acidic range of the carbonate chemistry conditions  
102 predicted under future scenarios and most of the studies focused on manipulating the level of  $p\text{CO}_2$   
103 rather than alkalinity. This resulted in poor understanding of the biological effects at the higher pH  
104 end of the carbon chemistry range (Renforth and Henderson, 2017). Some biological inferences  
105 can be made based on the understanding of the physiological mechanisms underlying the  
106 calcification mechanisms (Bach et al., 2019), but such insights are not adequate to provide  
107 sufficient understanding. Despite the lack of biological data at the upper ranges of pH and  $\Omega_{\text{ar}}$ , this  
108 study builds on the premise that previous OA studies could be leveraged for assessment of  
109 biological responses under OAE. Comparative experimental work, meta-analyses, and the  
110 threshold work (Kroeker et al., 2013; Leung et al., 2022; Bednaršek et al., 2019; 2021b,c) have  
111 indicated that even very diverse responses can be grouped into categorical responses.

112 Calcification is a primary pathway of the organismal sensitivity to OA, which can act as an early  
113 warning response, and is directly implicated in growth and (abnormal) development across most  
114 of the marine calcifiers, while it also underlies the ecological success of numerous marine  
115 calcifiers. Calcification also underlies the ecological success of numerous marine calcifiers  
116 because it directly addresses the level of susceptibility to predation, which could lead to altered  
117 size of the overall population. Studies also clearly show that the threshold for calcification occurs  
118 at similar pH/ $\Omega$  values as the thresholds for metabolic and energy metabolism processes (Lutier et  
119 al., 2022; Bednaršek et al., 2019; 2021b,c). It is also directly implicated in the carbon export with  
120 significant biogeochemical implications that could also impact OAE efficiency. This study aims  
121 to systematically assess species responses predicted under carbonate-based OAE compound  
122 additions and categorize them based on calcification rate responses.

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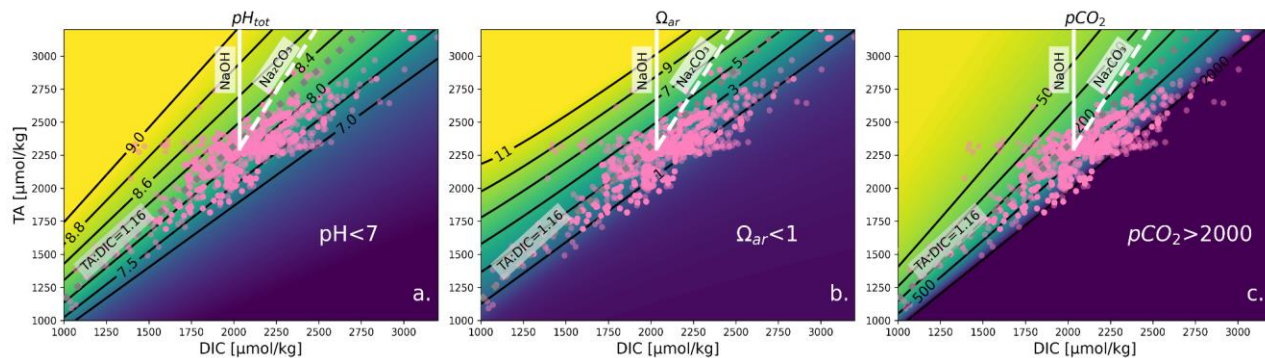
## 124 **1.2. Complex carbonate chemistry changes induced by various OAE compounds**

125 Various OAE compounds added to the water change carbonate chemistry in a multifaceted way  
126 and require complex calculations of a multi-parameter problem. As the values of TA and DIC  
127 change, a variety of other parameters, such as pH,  $\text{CO}_3^{2-}$ ,  $\Omega_{\text{ar}}$ , and  $p\text{CO}_2$ , exhibit approximately

128 linear relationships, with slopes that vary along these lines (see Fig. 1). This means that if TA and  
 129 DIC vary in proportion to one another, then the values of these displayed parameters hardly change  
 130 at a particular salinity, temperature, and pressure. With TA, DIC and the hydrographic conditions  
 131 (salinity, temperature and pressure), one can fully constrain the carbonate system. Our method  
 132 requires us to have *one* variable constraining the entire carbonate system. TA and DIC have the  
 133 benefit that they can both be directly measured with high accuracy or calculated from other  
 134 carbonate parameters. They are also both directly linked to OAE, as we are enhancing the TA  
 135 which then allows DIC to increase over time due to the gradual uptake of atmospheric CO<sub>2</sub>.

136  
 137 To demonstrate the changes of carbonate system in the experimental system, Figure 1 shows the  
 138 changes in carbonate parameters with the addition of two OAE compounds, i.e. NaOH (black line)  
 139 and Na<sub>2</sub>CO<sub>3</sub> (dotted line) to seawater. When NaOH is added, only TA increases and when Na<sub>2</sub>CO<sub>3</sub>  
 140 is added, TA and DIC increase at a 2:1 ratio. This results in corresponding changes in pH (Fig.  
 141 1a),  $\Omega_{ar}$  (Fig. 1b) and pCO<sub>2</sub> (Fig. 1c) and shows how much of a change is required to bring the  
 142 system back to equilibrium with respect to the atmosphere.

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147 **Figure 1:** The effect of changes in TA and DIC on the properties of seawater ( $S = 34.68$ ,  $T = 16^\circ\text{C}$ ,  
 148  $[\text{SiO}_2] = 50 \mu\text{mol/kg}$ ,  $[\text{PO}_4^{3-}] = 0.5 \mu\text{mol/kg}$ ,  $\text{TA} = 2303 \mu\text{mol/kg}$ ,  $\text{DIC} = 2034 \mu\text{mol/kg}$ ), adapted  
 149 from Schulz et al. (2023). Pink dots represent experimental TA and DIC data used in our synthesis.  
 150 Subfigures show  $\text{pH}_{\text{tot}}$ , aragonite saturation state and  $\text{pCO}_2$ . Calculations were carried out with  
 151 the Python version of CO2SYS (Humphreys et al., 2022) using the stoichiometric dissociation  
 152 constants for carbonic acid from Sulpis et al. (2020), for sulfuric acid by Dickson et al. (1990) and  
 153 for total boron from Uppström (1974). The dotted gray line represents the pre-industrial TA:DIC  
 154 of 1.16. The solid white line indicates the effect of adding NaOH and the dashed white line  
 155 indicates the effect of adding Na<sub>2</sub>CO<sub>3</sub>. This grouping of lines can be translated so that its initial  
 156 position moves elsewhere to visualize different initial conditions. Note that at  $\text{TA} < 1000 \mu\text{mol/kg}$   
 157 and  $\text{DIC} < 500 \mu\text{mol/kg}$  this correspondence no longer holds true when considering  $\Omega_{ar}$ , however,  
 158 such conditions are rare in the ocean and not widely applicable. The same contour plot utilizing  
 159 GLODAP data plotted instead of experimental data is shown in Supplement Figure 1.

160

### 161 **1.3 Testable conceptual framework based on the existing OA studies**

162 Based on Ries et al. (2009), calcification responses can be categorized into six categories (Fig. 2):  
163 linear positive or negative response; threshold positive or negative response (exponential fit);  
164 parabolic response; and neutral (no significant) response. We hypothesize that these categories of  
165 responses based on ocean acidification data and delineated by Ries et al. (2009, 2011), could also  
166 be applicable to OAE dosing. For this meta-analysis, we have undertaken three steps: first,  
167 synthesize carbonate chemistry data at regional and global scales to obtain TA, DIC and  $\Omega_{ar}$   
168 correlations; second, conduct a literature review and collect available data from OA literature  
169 related to the calcification rate responses across the species of eleven groups of marine calcifiers;  
170 and third, run regression analyses and determine the category of calcification rate response to  
171 TA:DIC, further extending it with addition of NaOH and Na<sub>2</sub>CO<sub>3</sub>.

172 The most accurate way of predicting the responses to OAE addition is done based on the  
173 mechanistic understanding of calcification response to specific carbonate chemistry parameter(s).  
174 The hypothesis was that if mechanistic relationships with identified carbonate chemistry driver(s)  
175 are available for species, calcification rate under various feasible OAE scenarios can be predicted  
176 with greater accuracy and lower uncertainty. We further focused on investigating if the empirical  
177 results were consistent with mechanistic calcification predictions for a few selected species for  
178 which the mechanisms were known.

179

180 Here, we demonstrate the TA:DIC relationship with biological outcomes and show the application  
181 for the TA:DIC thresholds beyond which the responses become negative. Ultimately, we  
182 synthesize which calcifying species or groups are predicted to benefit or loss due to OAE, what  
183 constitutes a species-specific safe operating space related to the OAE and we delineate what  
184 experiments are most urgently needed to fill in critical knowledge gaps before massive OAE field  
185 implementation can be considered.

## 186 **2. Methodology**

### 187 **2.1 Literature review of data on marine calcification impact by OA**

188 To assess the impact of OAE on a range of marine calcifiers, we used existing studies on marine  
189 species calcification response that had aligned raw biological (calcification rate) data along with  
190 the carbonate chemistry. We searched within Scopus, Web of Science, and PubMed and then used  
191 the datasets that were archived in NCEI, OA-ICC and Pangaia. Through personal correspondence,  
192 we have additionally contacted lead authors of the studies, whose data are not or are insufficiently  
193 archived, mostly to validate the predicted response. If we received data through this procedure, we  
194 explicitly acknowledged this in the dataset. These searches for the biological datasets related to  
195 calcification rate and corresponding carbonate chemistry were carried out until November 2023  
196 and cover 70 existing studies, with the aim to cover a wide range of calcifying organisms across  
197 various functional groups and 84 species. For several functional groups data was easy to find

198 (algae, coccolithophores, corals, foraminifera, mollusks and dinoflagellates), so no new studies  
199 were added after 10 to 15 studies were found. Seven studies were found for pteropods, four for the  
200 echinoderm and gastropod groups, three for crustaceans and one for annelids. When reviewing the  
201 literature, we included data from the OA experimental studies related to the physical-chemical  
202 parameters (temperature, salinity, DIC, TA) and biological data related to calcification rate.

## 203 **2.2 Use of TA:DIC instead of $\Omega_{ar}$**

204 Understanding the change in carbonate chemistry upon the OAE addition is essential for the  
205 biological experimentalists who are conducting biological assessments to report on the OAE  
206 effects. However, complex changes in the carbonate chemistry induced by the OAE addition are  
207 not intuitive or straightforward; in fact, they are multi-parameter problems that require complex  
208 carbonate chemistry calculations. Using the TA:DIC ratio is a more practical way of looking at the  
209 impacts of the OAE treatment instead of using a single carbonate parameter because of the highly  
210 correlative relationship between TA:DIC and other carbonate system parameters (see Fig. 1).

211 With TA, DIC and the hydrographic conditions (salinity, temperature and pressure), one can fully  
212 constrain the carbonate system. Our method allows *one* variable constraining the entire carbonate  
213 system. TA and DIC have the benefit that they can both be directly measured or calculated from  
214 other carbonate parameters (e.g., pCO<sub>2</sub>, pH). They are also both directly linked to OAE, as we are  
215 enhancing the TA which then allows DIC to increase over time due to the gradual uptake of  
216 atmospheric CO<sub>2</sub> (Fig. 1 shows the changes in the carbonate chemistry system upon NaOH and  
217 Na<sub>2</sub>CO<sub>3</sub> additions).

218 As such, our focus was on simplifying the steps to express the results when conducting the  
219 experimental work, and subsequently, the reporting of the responses, with the aim to reduce the  
220 multi-parameter problem into a one-parameter simplification. This step reduces multiple degrees  
221 of freedom into just two, i.e. TA and DIC, with the ratio allowing us to consider this as a 1-  
222 parameter problem. As such, TA:DIC is a simplistic and convenient way of describing the system,  
223 where we only need to understand the change in TA and DIC ratio, which is feasible for every  
224 OAE compound added to the experimental system. In addition, TA:DIC is also the best  
225 approximation for the CO<sub>3</sub><sup>2-</sup> concentration. The insights from multiple biological experimental  
226 studies show that the CO<sub>3</sub><sup>2-</sup> concentration is the representative driver of the calcification process  
227 for multiple calcifying groups, although not all, compared to aragonite saturation state ( $\Omega_{ar}$ ), which  
228 represents an empirical approximation. Furthermore, by using TA:DIC we do not have to choose  
229 a particular parameter to describe the changes in calcification. It could also work for the species in  
230 which other parameters drive the calcification, e.g. bicarbonate in autotrophic,  $\Omega_{ar}$  in bivalves and  
231 H<sup>+</sup> flux in foraminifera. In that way, we standardize all the parameters that would otherwise  
232 influence the carbonate system and come up with a more uniform way to express the experimental  
233 conditions, which would then be useful for easier comparisons among the conducted experiments.

## 234 **2.3 Experimental biological and biogeochemical data**

235 Based on the collected data, the range of pH and  $\Omega_{ar}$  experimental conditions used and their  
236 TA:DIC relationship was determined (Supplement Fig. 2). Most studies covered pH conditions  
237 from 7.5 to 8.5 and  $\Omega_{ar}$  up to 5, with a few studies increasing pH up to 9 and exceeding  $\Omega_{ar}$  of 10.  
238 This indicates the potential of leveraging such experimental studies as a baseline for predictive  
239 regression models of biological responses to a range of  $\Omega_{ar}$  conditions, as expected under OAE  
240 studies.

241 Once biological data was compiled, units were standardized where possible. The main issue when  
242 compiling data was the lack of standardization of the calcification rates. A variety of calcification  
243 rate units were used across different studies. Where possible, the units were converted to mmol of  
244  $\text{CaCO}_3 \text{ g weight}^{-1} \text{ hr}^{-1}$ . However, the data required to do so was not always readily available. Other  
245 units used for calcification rate were mmol of  $\text{CaCO}_3 \text{ m}^{-2} \text{ h}^{-1}$  and mmol of  $\text{CaCO}_3 \text{ m}^{-3} \text{ hr}^{-1}$ , and there  
246 was also data used as an indication of calcification rate with units mmol/#  $\text{h}^{-1}$ , mmol  $\text{h}^{-1}$ , mmol/#  
247  $\text{h}^{-1}$ , mmol  $\text{cm}^{-2}$ , %  $\text{h}^{-1}$ , where ‘#’ indicates one individual. Growth rates and PIC production rates  
248 were used as indicators of calcification rate for single-cell organisms. For some species, direct  
249 calcification rates were not reported in the literature, instead only relevant parameters related to  
250 calcification (shell length, density, thickness) over the time were available from the experimental  
251 studies. The decision was made to also collect these additional datasets because the statistical  
252 analyses of this study focus on the trend in the absolute numbers and would not change by being  
253 transformed into the rates. Data were analyzed on a species level. Where there were multiple  
254 studies available for the calcification rate of one species using the same rate units, the data were  
255 combined (for example, the coccolithophores).

## 256 **2.4 Sorting species-specific responses into categories per calcification response**

257 Responses were split into 6 categories: linear positive and linear negative, parabolic, threshold  
258 positive and negative, and neutral, whatever was the best as determined by their respective  
259 regression models (using the ordinary least squares method in Statsmodels for Python, see Seabold  
260 et al. (2010)). See Fig. 2 for an overview of these responses of calcification rate to increasing  
261 TA:DIC ratio.

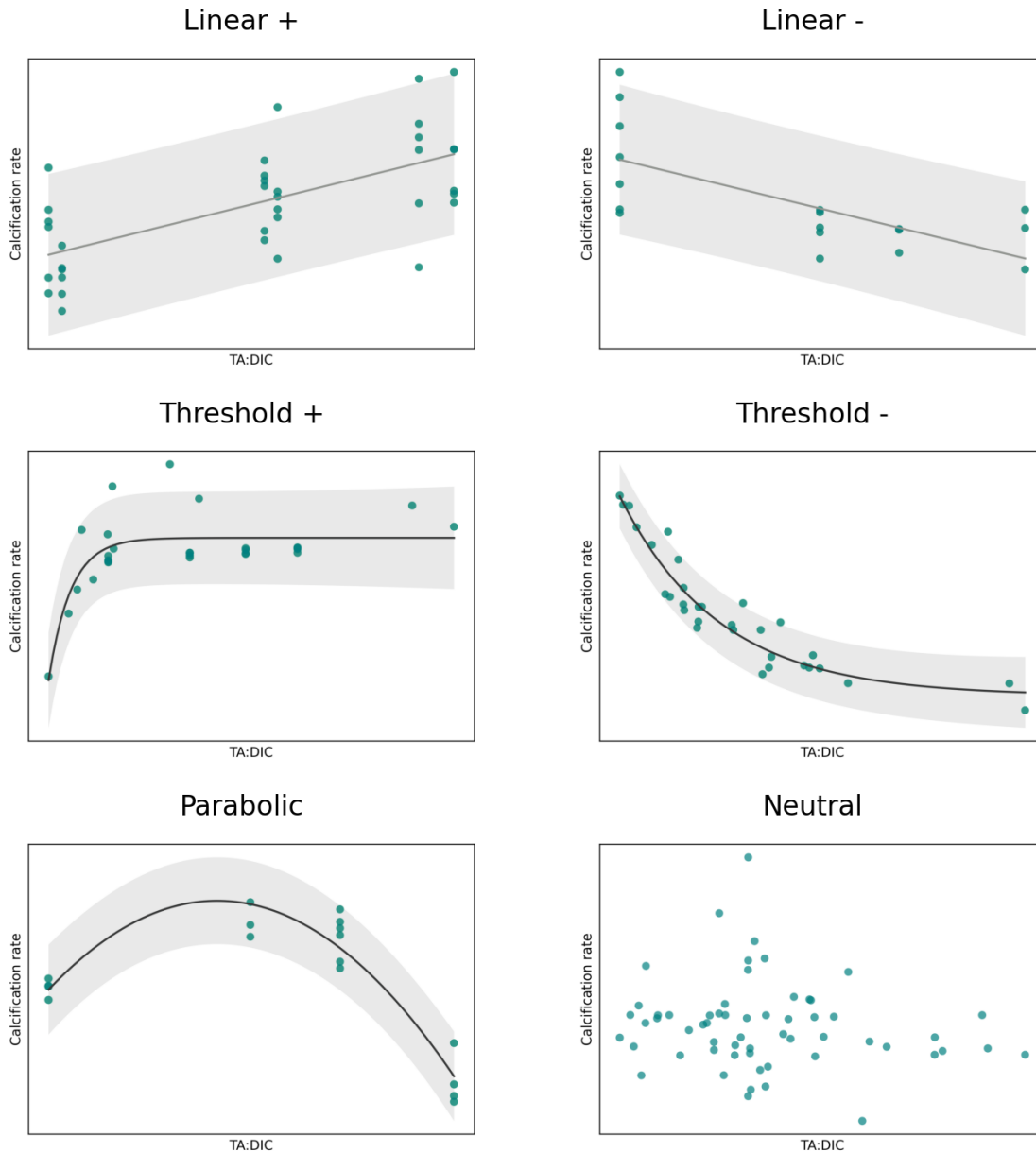
262 The final response for each species was determined by the regression with the lowest p-value. This  
263 method is in contrast with the Ries et al. (2009) study where they chose the regression analysis  
264 that yielded the lowest square root of the mean squared error (RMSE) for a given species and that  
265 was statistically significant ( $p \leq 0.05$ ). When applying their method to our data, parabolic and  
266 exponential regressions were always favored over linear regressions. When examining these  
267 regressions, we found that choosing the best fit based on the lowest p-value yielded better fits, as  
268 this method prevents overfitting due to noise in the data. Where a linear regression had the best fit,  
269 we assigned a linear response, which could be either positive or negative based on the slope. The  
270 species with a significant exponential fit were categorized as threshold positive (+) or threshold  
271 negative (-), which was distinguished from the parabolic response with the fitted parabolic curve.



272 The best fit regression was assigned to each species and plotted, but only if the p-value was  
273 considered significant, i.e. lower than 0.05. These regressions were plotted along with a 90%  
274 prediction interval, which accounts for the variability of the experimental data. The species with a  
275 p-value > 0.05 were categorized as having no correlation.

276 We note that if there were multiple datasets obtained from different studies for the same species  
277 and rate units could not be combined, we report p-value and RMSE for each of the studies and  
278 take all responses across the studies into consideration. Even if there were different observed  
279 calcification responses for the same species in different studies, we avoided making a judgment  
280 on the studies by choosing an overall response since the heterogeneity among the studies can be  
281 true, especially when considering that species from different regional settings (as represented in  
282 the studies) might be differentially physiological acclimatized and genetically adapted to the range  
283 of carbonate chemistry conditions.

284 The TA:DIC threshold was computed to indicate the point at which the current calcification rate  
285 (i.e. the calcification rate at the baseline) is reduced by a half for linear negative, threshold negative  
286 and parabolic responders. The thresholds and the amount of NaOH and Na<sub>2</sub>CO<sub>3</sub> required (starting  
287 point at 10 μmol/kg in the addition of 50 μmol/kg) to reach this threshold were determined. For  
288 parabolic responders, the inflection points that tell us when the rate is predicted to change slope  
289 are also included in the Supplementary Table 1. Once the species' responses were determined, an  
290 attempt was made to group them based on functional groups. However, since species within the  
291 same functional group had varying responses, grouping them together meant these responses were  
292 no longer visible due to a wide spread of data. Therefore, most of the analysis remained on the  
293 species level (Table 1).



294

295 **Figure 2:** Overview of the categories of responses between carbonate chemistry parameters  
 296 (TA:DIC) and calcification rate: linear positive (calcification increase at higher TA:DIC), linear  
 297 negative (calcification decrease at higher TA:DIC), exponential for the threshold positive  
 298 response (calcification increase with plateauing at higher TA:DIC), exponential for the threshold  
 299 negative response (calcification decline with plateauing at lower TA:DIC) and parabolic  
 300 (calcification increase followed by a decrease at higher TA:DIC). Responses were only considered  
 301 significant when  $p < 0.05$ , otherwise they were categorized as neutral.

## 302 2.5 Conceptual framework to evaluate increases in TA:DIC

303 The regression models applied to each species could be used to predict calcification rates at higher

304 TA:DIC ratio. We conceptually added alkalinity from the current calcification rate baseline. This  
305 baseline was computed for each species using CO2SYS with  $p\text{CO}_2 = 425$  ppm and  $\text{pH}_{\text{tot}} = 8.1$ , for  
306 a temperature of  $20^\circ\text{C}$  and the average salinity for each species, based on their respective OA  
307 dataset(s) (see Supplement Table 2). All CO2SYS calculations in this study were carried out with  
308 the Python version of CO2SYS (Humphreys et al., 2022) using the stoichiometric dissociation  
309 constants for carbonic acid from Sulpis et al. (2020), for sulfuric acid by Dickson et al. (1990) and  
310 for total boron from Uppström (1974). From this baseline, TA was added in the form of both NaOH  
311 and  $\text{Na}_2\text{CO}_3$ . These two compounds were chosen as they differentially change the carbonate  
312 chemistry settings, with NaOH changing TA:DIC in the 1:1 ratio, and  $\text{Na}_2\text{CO}_3$  inducing a 2:1  
313 TA:DIC change. For example,  $10 \mu\text{mol/kg}$  of NaOH addition will increase TA by  $10 \mu\text{mol/kg}$  and  
314 not affect DIC. For  $\text{Na}_2\text{CO}_3$ ,  $10 \mu\text{mol/kg}$  addition will increase TA by  $10 \mu\text{mol/kg}$  and increase  
315 DIC by  $5 \mu\text{mol/kg}$ . Figure 1 demonstrates the usefulness of this approach. For both NaOH and  
316  $\text{Na}_2\text{CO}_3$ ,  $10 \mu\text{mol/kg}$  was conceptually added using the principles of mass balance approach for  
317 the carbonate system via CO2SYS. This was repeated for increments of  $100 \mu\text{mol/kg}$  up until a  
318 total of  $500 \mu\text{mol/kg}$ . The new TA:DIC ratios were estimated using the ratio of the new TA and  
319 DIC by adding the direct effect of  $\Delta\text{TA}$  and  $\Delta\text{DIC}$  due to chemical additions of NaOH (assume  
320  $\Delta\text{DIC}=0$ ) or  $\text{Na}_2\text{CO}_3$  (assume  $\Delta\text{DIC}=0.5*\Delta\text{TA}$ ). A maximum of  $500 \mu\text{mol/kg}$  was chosen to have  
321 more realistic additions of TA that resemble those appropriate within the OAE field trials (e.g.  
322 Wang et al., 2023). With the new TA:DIC ratios after TA addition, the species' regression models  
323 based on the fitted OA response data were used to compute respective calcification rates (note that  
324 added points with NaOH or  $\text{Na}_2\text{CO}_3$  were not calculated as part of the regression). These data  
325 points were all plotted along with the experimental data, regression model and prediction intervals  
326 as shown in Fig. 3.

327 We also determine the amount of NaOH needed to reach pH 9 for each study. This was computed  
328 for each species using CO2SYS starting from  $p\text{CO}_2 = 425$  ppm and  $\text{pH}_{\text{tot}} = 8.1$ , for a temperature  
329 of  $20^\circ\text{C}$  and the average salinity in the increments of  $500 \mu\text{mol/kg}$  until desired pH was not  
330 reached. We have conducted this step for all the studies involving negative responders, as it does  
331 not negatively impact positive or neutral responders.

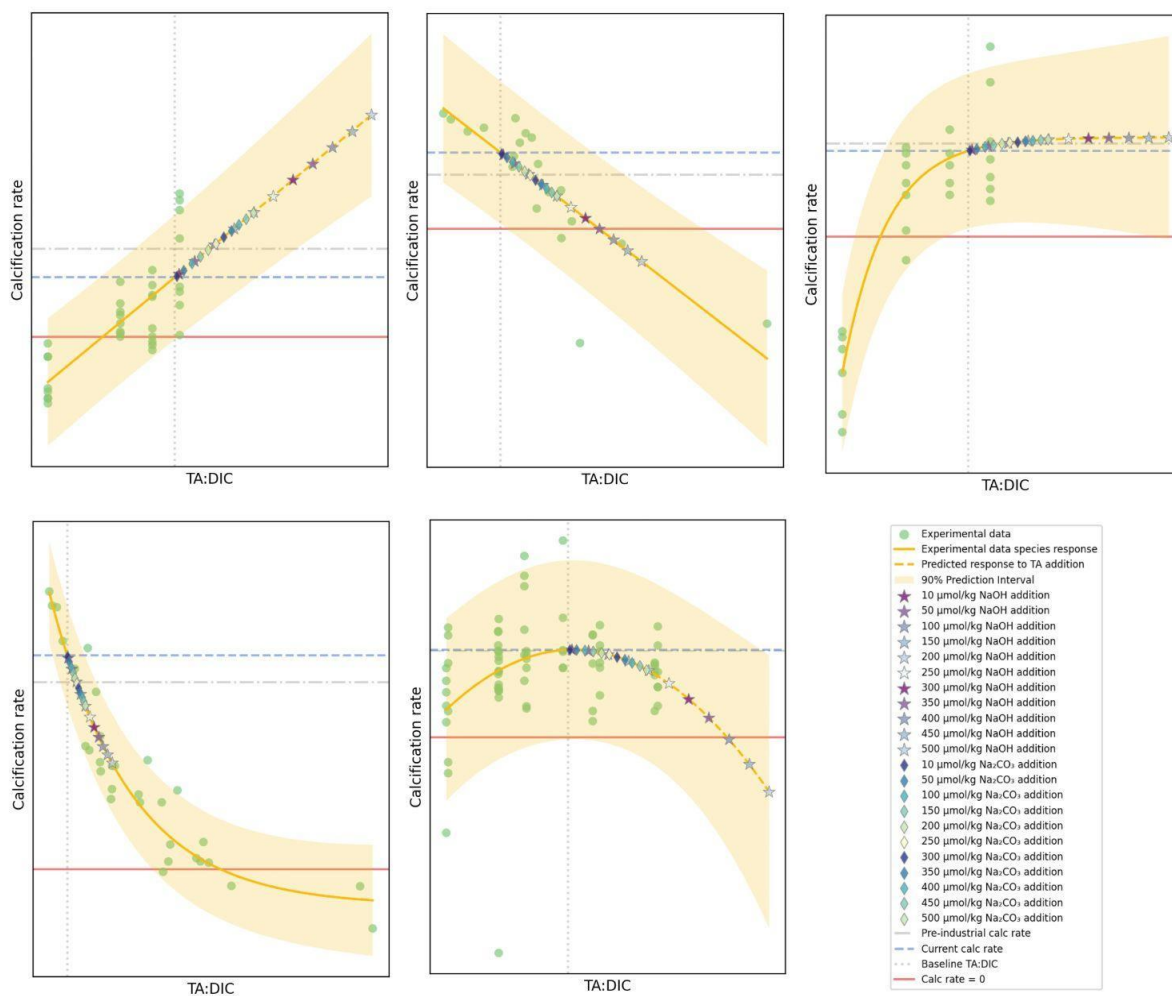
## 332 **2.6 Evaluation of the biological responses based on the OAE addition**

333 The species with significant correlations were grouped visually based on their best-fit regression  
334 models and are classified into positive, negative, and neutral as the following:

335 1) *Positive responders*: species with predicted linear positive and threshold positive calcification  
336 rate response corresponding with increased TA addition.

337 2) *Negative responders*: species with predicted *linear negative, parabolic and threshold negative*  
338 *response* in calcification rate upon (a certain amount of) TA addition. For the parabolic and  
339 threshold negative response, a concentration of NaOH was determined that indicates the threshold  
340 in TA:DIC ratio beyond which the response becomes negative. Additionally, NaOH concentration  
341 was determined to reduce the calcification rate to a half, with the threshold at the corresponding

342 TA:DIC.  
 343 3) *Neutral responders*: species with *no significant correlation* ( $p < 0.05$ ) in calcification rate upon  
 344 TA addition.



345  
 346 **Figure 3:** Conceptual diagrams for five types of responses (linear positive, negative,  
 347 exponential positive, negative and parabolic) plotted with experimental data from OA studies  
 348 (green dots), predicted values at various additions of alkalinity (stars), the regression line and  
 349 prediction error margins fitted for a given species. The red line indicates zero net dissolution  
 350 (calcification rate is equal to 0; dissolution rate = calcification rate). The dotted lines indicate  
 351 the pre-industrial (TA:DIC = 1.16) and current calcification rate (TA:DIC  $\approx$  1.12), in gray and  
 352 blue, respectively.

353 **2.7 Determining threshold values indicative of negative biological response to OAE**

354 The metrics to evaluate the sensitivity of calcification rate of the negative responders in this study  
355 were based on the amount of NaOH or Na<sub>2</sub>CO<sub>3</sub> addition required to reduce the current calcification  
356 rate by a half. The greater the TA:DIC ratio value to trigger half calcification rate reduction, the  
357 less sensitive species was to NaOH addition. We refer to this TA:DIC ratio as the biological  
358 threshold, which we also report along with corresponding pH and  $\Omega_{ar}$  and the associated  
359 uncertainty. TA:DIC thresholds were converted to their respective pH and  $\Omega_{ar}$ , which are affected  
360 by temperature and salinity. To calculate threshold pH and  $\Omega_{ar}$  we normalized for a temperature of  
361 20°C and used the average salinity per species. This is because most experiments were done at  
362 constant salinities, but at varying temperatures.

## 363 **2.8 Extraction of the carbonate chemistry data from the GLODAP dataset**

364 We extracted total alkalinity, dissolved inorganic carbon,  $\Omega_{ar}$ , and pH<sub>tot</sub> from the Global Ocean  
365 Data Analysis Project GLODAPv2.2023 dataset (<https://glodap.info>). We used regression in  
366 MATLAB with a second-order polynomial equation to predict  $\Omega_{ar}$  from the TA:DIC. The  
367 regression analysis was performed using data from various depth intervals (0–10m, 0–30m, 0–  
368 50m, 0–100m, 0–200m) regionally and globally. The regional analysis divided the global oceans  
369 into the following groupings: Arctic (north of 65°N), Southern (south of 40°S), North Pacific  
370 (north of 40°N), Central Pacific (40°S to 40°N), North Atlantic (North of 40°N), Central Atlantic  
371 (40°S to 40°N), and Indian Ocean (north of 40°S).

## 372 **2.9. Calculating calcification in the pre-industrial times**

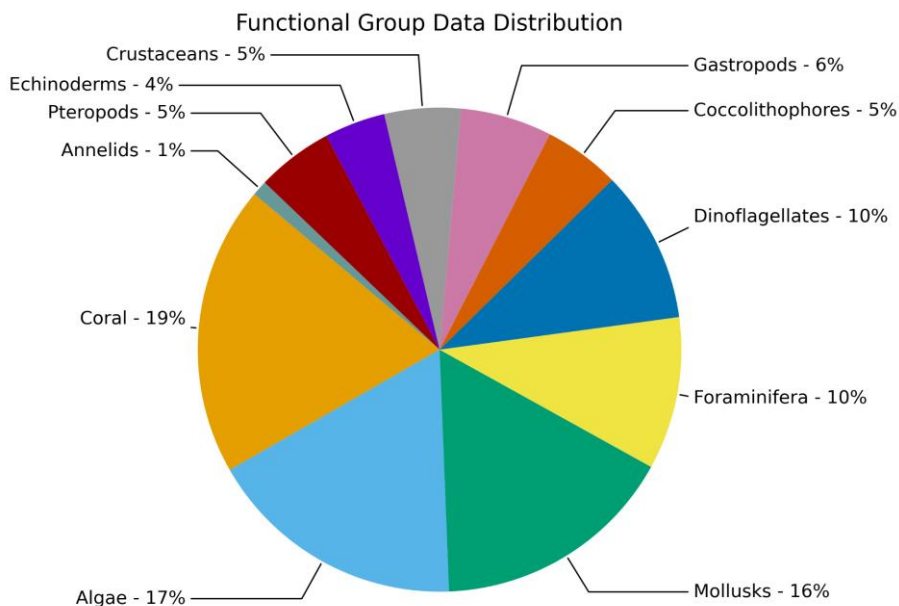
373 With respect to the changes since the pre-industrial times, the aim was to examine the difference  
374 in calcification between current and pre-industrial, and to what extent NaOH addition is required  
375 to compensate for this difference. This was done by first inferring the industrial TA:DIC ratio of  
376 1.16 (Feely et al., 2004) vs. a current TA:DIC of 1.12 (derived based on the current pCO<sub>2</sub> and  
377 global pH surface ocean value) and using the regression lines of TA:DIC vs. calcification rate to  
378 calculate the corresponding calcification rates (Fig. 3). In the next step, calcification rate was  
379 calculated due to the addition of NaOH and Na<sub>2</sub>CO<sub>3</sub> from the species-specific baselines (see  
380 Method Section 2.5 for detailed explanation), specifically for the positive responders. This was  
381 done using the principles of mass balance approach for the carbonate system via CO2SYS, where  
382 the carbonate system is calculated for each increment of NaOH or Na<sub>2</sub>CO<sub>3</sub> added. The difference  
383 between the pre-industrial calcification and current, increased by the NaOH was calculated and  
384 compared on the species level.

## 385 **3. Results**

### 386 **3.1 Data collection for the calcification rate responses of different biological groups**

387 We examined 70 datasets, which covered 84 different species that were divided into 11 different  
388 groups (Fig. 4). These functional groups were corals (19% of datasets), calcifying algae (17%),

389 mollusks (16%), foraminifera (10%), dinoflagellates (10%), coccolithophores (5%), gastropods  
 390 (6%), crustaceans (5%), echinoderms (4%), pteropods (5%), and annelids (1%). In the mollusks  
 391 group, we have separated out the gastropod and pteropod because of a higher number of studies  
 392 that explicitly cover these two groups. The group of gastropods refers to all gastropods that are not  
 393 pteropods. If all three groups were combined (mollusks, gastropods, pteropods), this group would  
 394 be the largest.



395  
 396 **Figure 4:** Percent of studies for multiple groups (N=11) with available data for the calcification  
 397 rate responses as part of data compilation of 70 studies covering 84 species).

### 398 3.2 Species-specific responses to NaOH/Na<sub>2</sub>CO<sub>3</sub> addition

399 Calcification rate responses of species from different groups were correlated to TA:DIC and  
 400 summarized to obtain calcification rate response. The calcification rate responses encompassed  
 401 linear (positive and negative), threshold (positive and negative), parabolic, and neutral responses,  
 402 with the slope and the intercept of the response determining the type and the magnitude of the  
 403 response. We present fitted responses of calcification rate per TA:DIC ratio for each examined  
 404 species (Table 1; Supplement Fig. 3). When possible, we fit a regression to multiple datasets of  
 405 the same species that used the same units. We also present the response with the additions of NaOH  
 406 and Na<sub>2</sub>CO<sub>3</sub> for each species per examined study and corresponding rate unit and their biological  
 407 TA:DIC thresholds (Table 2; Supplement Table 3).

408 **Table 1:** The summary of all the OA studies from which the chemical and biological data was  
 409 collected, including the name of the species and group and the accompanying calcification rate  
 410 unit. The response for each species and rate unit was determined by the regression with the lowest  
 411 p-value, where the p-value was smaller than 0.05. These responses include p-value, goodness of  
 412 fit (R<sup>2</sup>) and Root Mean Square Error (RMSE) in this table. Non-significant responses are

413 categorized as having a ‘neutral’ response. The type of regression (linear positive or negative,  
 414 threshold positive or negative, parabolic, and neutral) as well as an overall response (positive,  
 415 negative, neutral) per species is indicated.

Studies	n	Group	Species	Rate unit	Response	Pos/Neg/ Neut	p- value	R2	RMSE
Vasquez-Elizondo et al. (2016)	4	Algae	<i>Amphiroa tribulus</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Sinutok et al. (2011)	16	Algae	<i>Halimeda cylindracea</i>	mmol/hr	neutral	Neutral			
Comeau et al. (2013)	71	Algae	<i>Halimeda macroloba</i>	mmol/g/hr	parabolic	Negative	0.0127	0.1200	0.0028
Meyer et al. (2016)	24	Algae	<i>Halimeda macroloba</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Sinutok et al. (2011)	16	Algae	<i>Halimeda macroloba</i>	mmol/hr	parabolic	Negative	0.0108	0.5000	0.0001
Comeau et al. (2013)	62	Algae	<i>Halimeda minima</i>	mmol/g/hr	neutral	Neutral			
Meyer et al. (2016)	24	Algae	<i>Halimeda opuntia</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0080	0.2800	0.0222
Comeau et al. (2013)	72	Algae	<i>Hydrolithon reinboldii</i>	mmol/g/hr	linear +	Positive	0.0053	0.1100	0.0026
Cornwall et al. (2018)	23	Algae	<i>Hydrolithon reinboldii</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Comeau et al. (2013)	72	Algae	<i>Lithophyllum flavescens</i>	mmol/g/hr	neutral	Neutral			
Johnson et al. (2021)	420	Algae	<i>Lithophyllum sp.</i>	mmol/g/hr	linear +	Positive	0.0000	0.1000	0.1136
Vasquez-Elizondo et al. (2016)	4	Algae	<i>Lithothamnion sp.</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Monserrat et al. (2022)	62	Algae	<i>Neogoniolithon brassica-florida</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Ries et al. (2009)	42	Algae	<i>Neogoniolithon sp.</i>	mmol/g/hr	parabolic	Negative	0.0000	0.4100	0.0003
Vasquez-Elizondo et al. (2016), Comeau et al. (2018)	26	Algae	<i>Neogoniolithon sp.</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Briggs-Carpenter et al. (2019)	425	Algae	<i>Porolithon onkodes</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0010	0.0300	0.8093
Comeau et al. (2018, 2019)	64	Algae	<i>Sporolithon durum</i>	mmol/m <sup>2</sup> /hr	parabolic	Negative	0.0012	0.2000	0.1704
Ries et al. (2009)	41	Annelid	<i>Hydroides crucigera</i>	mmol/g/hr	neutral	Neutral			
Fiorini et al. (2011), Langer et al. (2006, 2011)	14	Cocco.	<i>Calcidiscus leptoporus</i>	mmol/#/hr	neutral	Neutral			
Langer et al. (2006)	6	Cocco.	<i>Calcidiscus leptoporus</i>	mmol/#	neutral	Neutral			
*	233	Cocco.	<i>Emiliana huxleyi</i>	mmol/#/hr	parabolic	Negative	0.0000	0.1600	0.0000
Casareto et al. (2009)	14	Cocco.	<i>Pleurochrysis carterae</i>	mmol/m <sup>3</sup> /hr	neutral	Neutral			
White et al. (2018)	118	Cocco.	<i>Pleurochrysis carterae</i>	mmol/#	neutral	Neutral			
Meyer et al. (2016)	24	Coral	<i>Acropora millepora</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Camp et al. (2017), Comeau et al. (2013)	74	Coral	<i>Acropora pulchra</i>	mmol/m <sup>2</sup> /hr	parabolic	Negative	0.0000	0.2900	1.3257
Agostini et al. (2021)	18	Coral	<i>Acropora solitaryensis</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Comeau et al. (2018), Comeau et al. (2019)	81	Coral	<i>Acropora yongei</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0000	0.2900	1.9447
Bove et al. (2020)	27	Coral	<i>Duncanopsammia axifuga</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0016	0.3300	5.0785
Cornwall et al. (2018)	44	Coral	<i>Goniopora sp.</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Maier et al. (2009)	237	Coral	<i>Lophelia pertusa</i>	mmol/g/hr	linear +	Positive	0.0030	0.0400	0.0002
Bove et al. (2020)	65	Coral	<i>Montastraea cavernosa</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0154	0.0900	0.5047
Ries et al. (2009)	54	Coral	<i>Oculina arbuscula</i>	mmol/g/hr	parabolic	Negative	0.0000	0.8600	0.0001
Comeau et al. (2013)	72	Coral	<i>Pavona cactus</i>	mmol/m <sup>2</sup> /hr	parabolic	Negative	0.0002	0.2200	0.9093
Comeau et al. (2019)	49	Coral	<i>Plesiastrea versipora</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0069	0.1500	0.6003
Brown et al. (2022)	4	Coral	<i>Pocillopora damicornis</i>	mmol/g/hr	neutral	Neutral			
Comeau et al. (2013, 2018), Putnam-Gates et al. (2015)	117	Coral	<i>Pocillopora damicornis</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Evensen-Edmunds et al. (2016)	60	Coral	<i>Pocillopora verrucosa</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0132	0.1000	0.8297
Agostini et al. (2021)	18	Coral	<i>Porites heronensis</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Comeau et al. (2013)	72	Coral	<i>Porites rus</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0020	0.1300	2.0281
Okazaki et al. (2013)	75	Coral	<i>Siderastrea radians</i>	mmol/m <sup>2</sup> /hr	linear +	Positive	0.0004	0.1600	2.7886
Okazaki et al. (2013)	64	Coral	<i>Solenastrea hyades</i>	mmol/m <sup>2</sup> /hr	threshold +	Positive	0.0004	0.2300	2.0385
Krueger et al. (2017)	36	Coral	<i>Stylophora pistillata</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Pansch et al. (2014)	36	Crust.	<i>Amphibalanus improvisus</i>	mmol/g/hr	linear +	Positive	0.0000	0.4300	0.0004
Ries et al. (2009)	36	Crust.	<i>Callinectes sapidus</i>	mmol/g/hr	linear -	Negative	0.0000	0.4000	0.0082
Ries et al. (2009)	18	Crust.	<i>Homarus americanus</i>	mmol/g/hr	linear -	Negative	0.0014	0.4800	0.0079
Ries et al. (2009)	12	Crust.	<i>Penaeus plebejus</i>	mmol/g/hr	linear -	Negative	0.0124	0.4800	0.0006
Findlay et al. (2010)	6	Crust.	<i>Semibalanus balanoides</i>	mmol/g/hr	neutral	Neutral			
Tatters et al. (2013)	45	Dino.	<i>Alexandrium sp.</i>	1/hr	neutral	Neutral			
Hansen et al. (2007)	19	Dino.	<i>Caratium lineatum</i>	#/hr	linear -	Negative	0.0000	0.6700	0.0043
Tatters et al. (2013)	45	Dino.	<i>Gonyaulax sp.</i>	1/hr	neutral	Neutral			
Hansen et al. (2007)	31	Dino.	<i>Heterocapsa triquetra</i>	#/hr	threshold -	Negative	0.0000	0.9100	0.0027
Wang et al. (2019)	4	Dino.	<i>Karenia mikimotoi</i>	1/hr	neutral	Neutral			
Tatters et al. (2013)	45	Dino.	<i>Lingulodinium polyedrum</i>	1/hr	neutral	Neutral			
Tatters et al. (2013)	45	Dino.	<i>Prorocentrum micans</i>	1/hr	neutral	Neutral			
Hansen et al. (2007)	21	Dino.	<i>Prorocentrum minimum</i>	#/hr	threshold -	Negative	0.0000	0.8800	0.0019
Brading et al. (2011)	175	Dino.	<i>Symbiodinium sp.</i>	#/hr	linear -	Negative	0.0010	0.0600	0.0066
Van, de, Waal et al. (2013)	12	Dino.	<i>Thracosphaera heimii</i>	mmol/hr	parabolic	Negative	0.0002	0.8500	0.0000
Ries et al. (2009)	17	Echino.	<i>Arbacia punctulata</i>	mmol/g/hr	parabolic	Negative	0.0000	0.8900	0.0003
Courtney et al. (2015)	28	Echino.	<i>Echinometra viridis</i>	%	linear +	Positive	0.0009	0.3500	13.0388
Courtney et al. (2021)	4	Echino.	<i>Echinometra viridis</i>	1/hr	linear +	Positive	0.0244	0.9500	2.3854

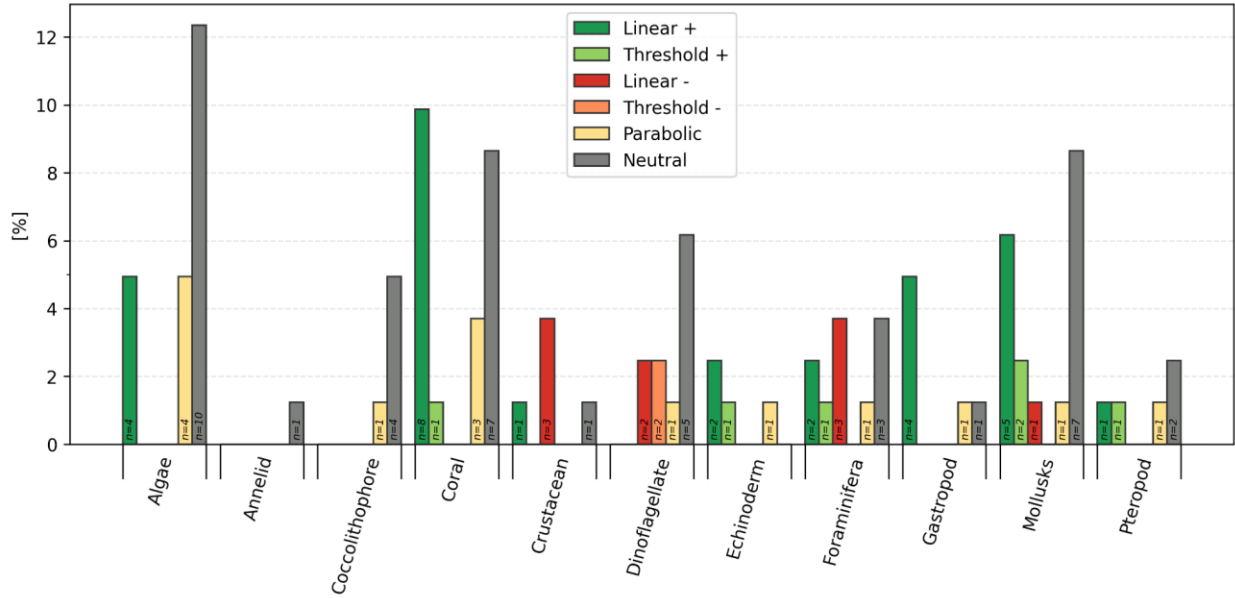
Ries et al. (2009)	18	Echino.	<i>Eucidaris tribuloides</i>	mmol/g/hr	threshold +	Positive	0.0000	0.8400	0.0004
Keul et al. (2013)	205	Foram.	<i>Ammonia sp.</i>	mmol/#/hr	linear -	Negative	0.0277	0.0200	0.0000
Prazeres et al. (2015)	32	Foram.	<i>Amphistegina lessonii</i>	%/hr	parabolic	Negative	0.0008	0.3900	0.0010
Kisakurek et al. (2011)	16	Foram.	<i>Globigerinella siphonifera</i>	mmol/hr	neutral	Neutral			
Kisakurek et al. (2011)	14	Foram.	<i>Globigerinoides ruber</i>	mmol/hr	neutral	Neutral			
Reymond et al. (2013)	179	Foram.	<i>Marginopora rossi</i>	%/hr	linear +	Positive	0.0000	0.1900	0.0090
Uthicke-Fabricsius et al. (2012)	47	Foram.	<i>Marginopora vertebralis</i>	mmol/g/hr	threshold +	Positive	0.0000	0.4000	0.0004
Sinutok et al. (2011)	16	Foram.	<i>Marginopora vertebralis</i>	mmol/hr	neutral	Neutral			
Prazeres et al. (2015)	32	Foram.	<i>Marginopora vertebralis</i>	%/hr	linear -	Negative	0.0006	0.3300	0.0005
Manno et al. (2012)	192	Foram.	<i>Neogloboquadrina pachyderma</i>	mmol/#/hr	linear +	Positive	0.0000	0.7100	0.0000
Oron et al. (2020)	96	Foram.	<i>Operculina ammonoides</i>	mmol/g/hr	linear -	Negative	0.0031	0.0900	0.0017
Manriquez et al. (2016)	74	Gastropod	<i>Concholepas concholepas</i>	mmol/g/hr	linear +	Positive	0.0000	0.2400	0.0009
Noisette et al. (2016), Ries et al. (2009)	173	Gastropod	<i>Crepidula fornicata</i>	mmol/g/hr	parabolic	Negative	0.0000	0.2100	0.0028
Ries et al. (2009)	42	Gastropod	<i>Littorina littorea</i>	mmol/g/hr	linear +	Positive	0.0001	0.3400	0.0002
Bibby et al. (2007)	4	Gastropod	<i>Littorina littorea</i>	$\mu\text{m}$ (shell thickness)	neutral	Neutral			
Ries et al. (2009)	21	Gastropod	<i>Strombus alatus</i>	mmol/g/hr	linear +	Positive	0.0000	0.6400	0.0001
Ries et al. (2009)	33	Gastropod	<i>Urosalpinx cinerea</i>	mmol/g/hr	linear +	Positive	0.0000	0.5700	0.0001
Ries et al. (2009)	18	Mollusks	<i>Argopecten irradians</i>	mmol/g/hr	linear +	Positive	0.0097	0.3500	0.0002
Ramajo et al. (2016)	6	Mollusks	<i>Argopecten purpuratus</i>	mmol/g/hr	neutral	Neutral			
Zhang et al. (2011)	5	Mollusks	<i>Azumapecten farreri</i>	mmol/g/hr	linear +	Positive	0.0106	0.9200	0.0001
Ong et al. (2017)	24	Mollusks	<i>Cerastoderma edule</i>	mmol/g/hr	neutral	Neutral			
Sordo et al. (2021)	27	Mollusks	<i>Chamelea gallina</i>	mmol/g/hr	neutral	Neutral			
Gazeau et al. (2007)	20	Mollusks	<i>Crassostrea gigas</i>	mmol/g/hr	linear +	Positive	0.0001	0.6100	0.0000
Ries et al. (2009), Waldbusser et al. (2011)	28	Mollusks	<i>Crassostrea virginica</i>	mmol/g/hr	threshold +	Positive	0.0000	0.5600	0.0003
Garilli et al. (2015)	68	Mollusks	<i>Cyclope neritea</i>	mmol/g/hr	linear -	Negative	0.0020	0.1400	0.0037
Ries et al. (2009)	25	Mollusks	<i>Mercenaria mercenaria</i>	mmol/g/hr	threshold +	Positive	0.0000	0.8300	0.0000
Ries et al. (2009)	14	Mollusks	<i>Mya arenaria</i>	mmol/g/hr	linear +	Positive	0.0001	0.7300	0.0003
Ninokawa et al. (2020)	13	Mollusks	<i>Mytilus californianus</i>	mmol/m <sup>2</sup> /hr	neutral	Neutral			
Ries et al. (2009), Gazeau et al. (2007)	86	Mollusks	<i>Mytilus edulis</i>	mmol/g/hr	linear +	Positive	0.0119	0.0700	0.0002
Gazeau et al. (2014)	11	Mollusks	<i>Mytilus galloprovincialis</i>	mmol/g/hr	neutral	Neutral			
Gazeau et al. (2014)	5	Mollusks	<i>Mytilus galloprovincialis</i>	mmol/m <sup>3</sup> /hr	neutral	Neutral			
Garilli et al. (2015)	315	Mollusks	<i>Nassarius corniculus</i>	mmol/g/hr	parabolic	Negative	0.0000	0.2500	0.0064
Cameron et al. (2019)	30	Mollusks	<i>Pecten maximus</i>	mmol/g/hr	neutral	Neutral			
Comeau et al. (2010)b	5	Pteropod	<i>Cavolinia inflexa</i>	mm (shell length)	neutral	Neutral			
Comeau et al. (2009, 2010)	12	Pteropod	<i>Limacina helicina</i>	mmol/g/hr	linear +	Positive	0.0000	0.8500	0.0001
Lischka et al. (2011, 2012)	119	Pteropod	<i>Limacina helicina</i>	mm (shell length)	threshold +	Positive	0.0003	0.1300	0.1303
Bednarsek (2021), Mekkes et al. (2021)	117	Pteropod	<i>Limacina helicina</i>	$\mu\text{m}$ (shell thickness)	parabolic	Negative	0.0000	0.1800	0.0038
Lischka et al. (2012)	28	Pteropod	<i>Limacina retroversa</i>	mm (shell length)	neutral	Neutral			

416 \*Barcelos-Ramos et al. (2010), Fiorini et al. (2011), Iglesias-Rodriguez et al. (2008), Richier et al. (2011), Sciandra et al. (2003), Stoll et al. (2012),  
417 Gafar et al. (2018), Bach et al. (2011), Sett et al. (2014).

418 Within each of the 11 groups, several categories of calcification response occur within each  
419 functional group, with the most varied being the group of dinoflagellates, foraminifera and  
420 mollusks, with each of them showing 4 or 5 different categories of calcification responses (Fig. 5).  
421 Of the six types of responses of calcification rate vs. TA:DIC, 27% were linear positive (N=27),  
422 9% linear negative (N=9), 6% threshold positive (N=6), 2% threshold negative (N=2), 14%  
423 parabolic (N=14) and 41% neutral (N=41).

424 Such responses could be further summed up into positive (linear and threshold positive), negative  
425 (linear and threshold negative, parabolic) and neutral responses (Fig. 6) when generalized across  
426 the calcification rate against the TA:DIC ratio. A summary of responses includes 33.3% positive  
427 (N=33), 25.3% negative (N=25), while 41.4% show a neutral response (N=41).

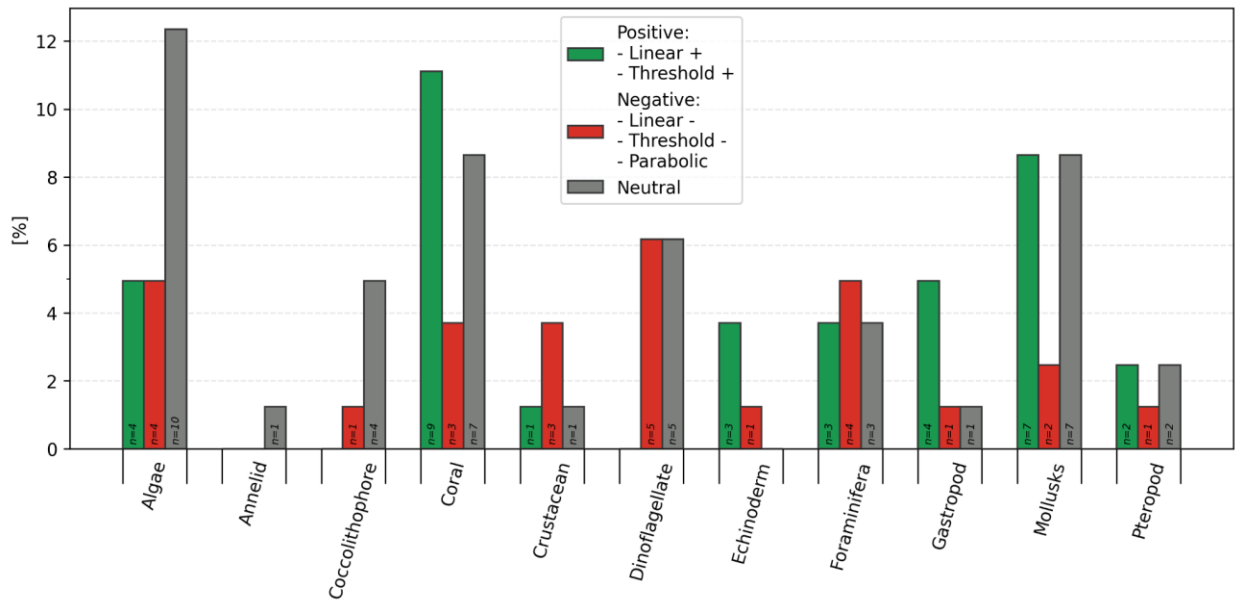




428

429 **Figure 5:** Categories of calcification rate responses and percentage (%) response across eleven  
 430 groups (calcifying coralline algae, annelids, coccolithophores, corals, crustaceans,  
 431 dinoflagellate, echinoderms, foraminifera, gastropods, mollusks, pteropods). The number on the  
 432 bar indicates the number of studies of species included.

433



434

435 **Figure 6:** Summary of percentage (%) responses in calcification rates as positive (linear and  
 436 threshold positive), negative (linear and threshold negative, parabolic) and neutral across  
 437 eleven groups (calcifying algae, annelids, coccolithophores, corals, crustaceans, dinoflagellate,  
 438 echinoderms, foraminifera, gastropods, mollusks, pteropods). The number on the  
 439 bar indicates the number of studies with species included.

440

### 441 3.3 Evaluation of the responses to NaOH/Na<sub>2</sub>CO<sub>3</sub> additions

442 Upon added TA, the calcification rate in positive responders will increase, either in a linear or  
443 threshold positive response, where calcification plateaus, with the concentration being dependent  
444 on the species-specific rate of response (Fig. 2; Supplement Fig. 3). The negative responders  
445 (linear or threshold negative and parabolic) will be negatively impacted as follows: first, for the  
446 linear negative responders, addition of the Na<sub>2</sub>CO<sub>3</sub> will linearly decrease calcification rate, but  
447 there is no associated threshold to it; second, for the threshold negative responders, calcification  
448 rate will decline in an exponential way until reaching a DIC:TA value, where the response plateaus;  
449 and third, for the parabolic responders, the calcification rate will initially increase until reaching  
450 certain TA:DIC threshold upon which calcification starts declining. We conclude that all the  
451 TA:DIC thresholds for negative responders will be species-specific (Table 2; Supplement Table  
452 3).

### 453 3.4 Threshold values indicative of negative biological response to OAE

454 The TA:DIC biological thresholds in Table 2 are determined by the amount of NaOH addition  
455 required to reduce calcification rate by a half (see Supplement Table 3 for Na<sub>2</sub>CO<sub>3</sub> thresholds).  
456 These thresholds demonstrate the range of carbonate chemistry conditions over which the negative  
457 biological effects of OAE deployment might occur and are shown alongside the corresponding pH  
458 and  $\Omega_{ar}$ . Uncertainties are highest for the experimental studies where the experimental temperature  
459 was much lower or higher than the 20°C we assume to calculate the thresholds. Experiments done  
460 at temperatures below 10°C include mainly pteropods and crustaceans, whereas experiments done  
461 at temperatures above 30°C were mainly for algae.

462 For the negative responders, TA:DIC thresholds range from 1.13 to 1.74. The majority of species  
463 have reached their threshold below the addition of 500  $\mu\text{mol/kg}$  NaOH, though for 6 species a  
464 NaOH addition of more than 500  $\mu\text{mol/kg}$  is required to cross the thresholds in the TA:DIC range  
465 of 1.40 to 1.74. The most sensitive species include *Arbacia punctulata* (echinoderm), *Limacina*  
466 *helicina* (pteropod), *Halimeda macroloba* (algae) and *Nassarius corniculus* (mollusk). These are  
467 all parabolic responders but vary in functional groups. Crustaceans and algae generally require  
468 between 50 and 300  $\mu\text{mol/kg}$  to reach their thresholds. Overall, dinoflagellates, corals and  
469 foraminifera are the least sensitive groups, with the linear negative responder *Ammonia sp.* of the  
470 foraminifera group requiring the highest NaOH addition of 1500  $\mu\text{mol/kg}$  to reduce calcification  
471 rate in half. The other least sensitive species include mostly parabolic responders, as well as one  
472 threshold negative.

473 **Table 2:** *Studies with negative responders (linear and threshold negative, parabolic) with*  
474 *demonstrated TA:DIC thresholds, indicating the amount of NaOH needed to halve the current*  
475 *calcification rate (i.e. at the baseline). The value for TA:DIC threshold is used to determine the*  
476 *pH and  $\Omega_{ar}$  (at  $T=20^\circ\text{C}$  and the average salinity per species). See Supplement Table 3 for Na<sub>2</sub>CO<sub>3</sub>*  
477 *thresholds.*

Species	Group	Rate unit	NaOH TA:DIC threshold	NaOH addition [ $\mu\text{mol/kg}$ ]	NaOH addition [ $\text{mg/L}$ ]	pH at threshold	$\Omega_{\text{ar}}$ at threshold
<i>Arbacia punctulata</i>	Echino.	mmol/g/hr	1.128	10	0.39	8.11	3.73
<i>Halimeda macroloba</i>	Algae	mmol/hr	1.132	10	0.39	8.11	3.84
<i>Limacina helicina</i>	Ptero	$\mu\text{m}$ (shell thickness)	1.13	10	0.39	8.11	3.78
<i>Nassarius corniculus</i>	Mollusks	mmol/g/hr	1.149	10	0.39	8.11	4.20
<i>Crepidula fornicata</i>	Gastropod	mmol/g/hr	1.152	50	1.95	8.17	4.40
<i>Penaeus plebejus</i>	Crust.	mmol/g/hr	1.145	50	1.95	8.17	4.21
<i>Neogoniolithon sp.</i>	Algae	mmol/g/hr	1.164	100	3.90	8.23	4.76
<i>Amphistegina lessonii</i>	Foram.	%/hr	1.19	150	5.85	8.28	5.48
<i>Caratium lineatum</i>	Dino.	#/hr	1.18	150	5.85	8.30	5.21
<i>Homarus americanus</i>	Crust.	mmol/g/hr	1.186	150	5.85	8.29	5.37
<i>Oculina arbuscula</i>	Coral	mmol/g/hr	1.185	150	5.85	8.29	5.35
<i>Sporolithon durum</i>	Algae	mmol/m <sup>2</sup> /hr	1.218	200	7.80	8.32	6.31
<i>Cyclope neritea</i>	Mollusks	mmol/g/hr	1.224	200	7.80	8.32	6.22
<i>Callinectes sapidus</i>	Crust.	mmol/g/hr	1.227	250	9.76	8.39	6.57
<i>Thoracosphaera heimii</i>	Dino.	mmol/hr	1.232	250	9.76	8.38	6.70
<i>Halimeda macroloba</i>	Algae	mmol/g/hr	1.259	300	11.71	8.41	7.40
<i>Operculina ammonoides</i>	Foram.	mmol/g/hr	1.321	450	17.56	8.53	9.20
<i>Symbiodinium sp.</i>	Dino.	#/hr	1.316	450	17.56	8.54	9.15
<i>Heterocapsa triquetra</i>	Dino.	#/hr	1.325	500	19.51	8.62	9.56
<i>Marginopora vertebralis</i>	Foram.	%/hr	1.332	500	19.51	8.59	9.71
<i>Acropora pulchra</i>	Coral	mmol/m <sup>2</sup> /hr	1.379	600	23.41	8.64	11.00
<i>Pavona cactus</i>	Coral	mmol/m <sup>2</sup> /hr	1.379	600	23.41	8.64	11.00
<i>Prorocentrum minimum</i>	Dino.	#/hr	1.388	650	25.37	8.73	11.48
<i>Emiliana huxleyi</i>	Cocco.	mmol/#!/hr	1.457	800	31.22	8.78	13.48
<i>Ammonia sp.</i>	Foram.	mmol/#!/hr	1.738	1500	58.54	9.22	22.50

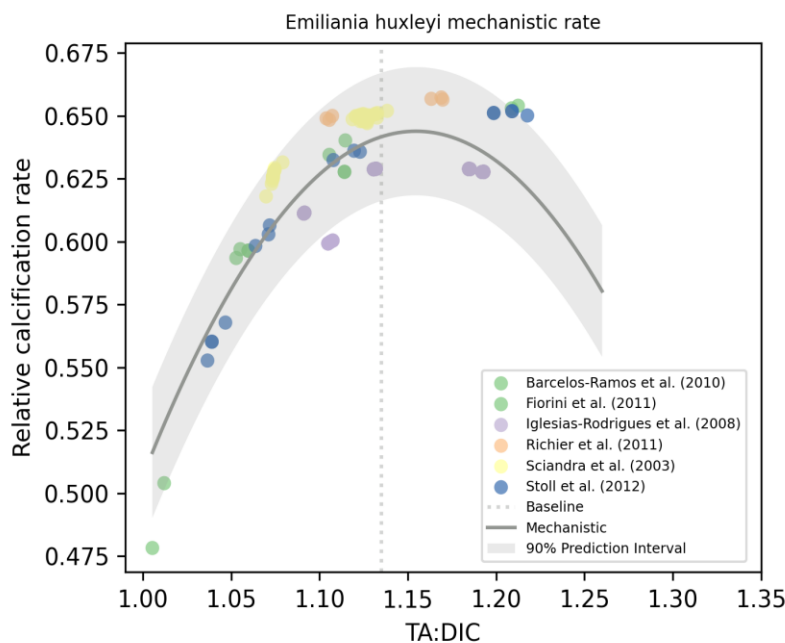
### 478 3.5 Comparison of current vs. pre-industrial calcification rates

479 To understand the extent can OAE offset the negative effects induced by OA, we have focused on  
480 positive (linear and exponential) responders from this study, which were negatively impacted by  
481 OA. Comparing their average pre-industrial calcification rate to the rates induced by OAE, we  
482 note that the change depends on the category of response (Supplement Fig. 3): in the species with  
483 the threshold positive rate, calcification is similar for the pre-industrial and current conditions.  
484 This is likely because this type of response retains maximum calcification rate across greater  
485 TA:DIC range, and as such these species have not been compromised in their calcification by the  
486 changes that occurred from the pre-industrial onwards. On the other hand, linear positive calcifiers  
487 seem to be more severely impacted and current calcification rates are substantially lower compared  
488 to the pre-industrial calcification rates. It follows that for the positive linear responders, an increase  
489 using NaOH would compensate for the calcification rate loss since the pre-industrial times. For  
490 most of the investigated linear responders, there would have to be NaOH addition of 50 to 100  
491  $\mu\text{mol/kg}$  to fully compensate for the difference between the pre-industrial and current conditions.  
492 However, two species *Amphibalanus improvisus* and *Azumapekten farreri* require up to 200  
493  $\mu\text{mol/kg}$  NaOH, while some species, i.e. coral *Siderastrea radians* might return to the levels of  
494 the pre-industrial calcification by a much smaller NaOH amount, less than 50  $\mu\text{mol/kg}$  NaOH.

### 495 3.6 Comparing calcification mechanisms with the empirical studies

496 This study establishes the predictions of responses that ultimately need to be validated in the field  
497 or experimental studies, this being the most pertinent for highly sensitive species. A good  
498 alternative to validating the predicted responses is to use species-specific mechanistic responses,  
499 which should be inherently more accurate than just empirical responses. Here, we compared the  
500 obtained results of this study with the predicted mechanistic relationship to determine to what  
501 extent can mechanistic relationships contribute to improved, i.e. more accurate and less  
502 uncertainty, OAE predictions.

503 For *Emiliana huxleyi*, we used the experimental TA and DIC data to calculate the  $[\text{HCO}_3^-]$ ,  $[\text{H}^+]$   
504 and  $[\text{CO}_2]$  concentrations. Using the mechanistic rate equation from Bach et al. (2015) and their  
505 computed sensitivity parameters, we calculated and plotted the rate derived via mechanistic  
506 approach. We applied linear, polynomial (second-order) and exponential regressions and chose the  
507 best fit based on the lowest p-value, using the same method as for our experimental calcification  
508 rate data regressions. Like the mechanistic rate regression, our experimental calcification rate also  
509 shows a significant parabolic relationship for *Emiliana huxleyi* (see Fig. 9). However, when using  
510 the same approach for another coccolithophore species *Calcidiscus leptoporus* (Bach et al., 2015),  
511 our best fit did not align with the proposed mechanistic response; instead, a non-significant  
512 relationship was obtained using experimental data (Supplement Fig. 5). Such comparisons reveal  
513 species-specific relationships that are likely dependent on a lot of parameters, with one equation  
514 alone not being operable among different species from different regional settings.



515  
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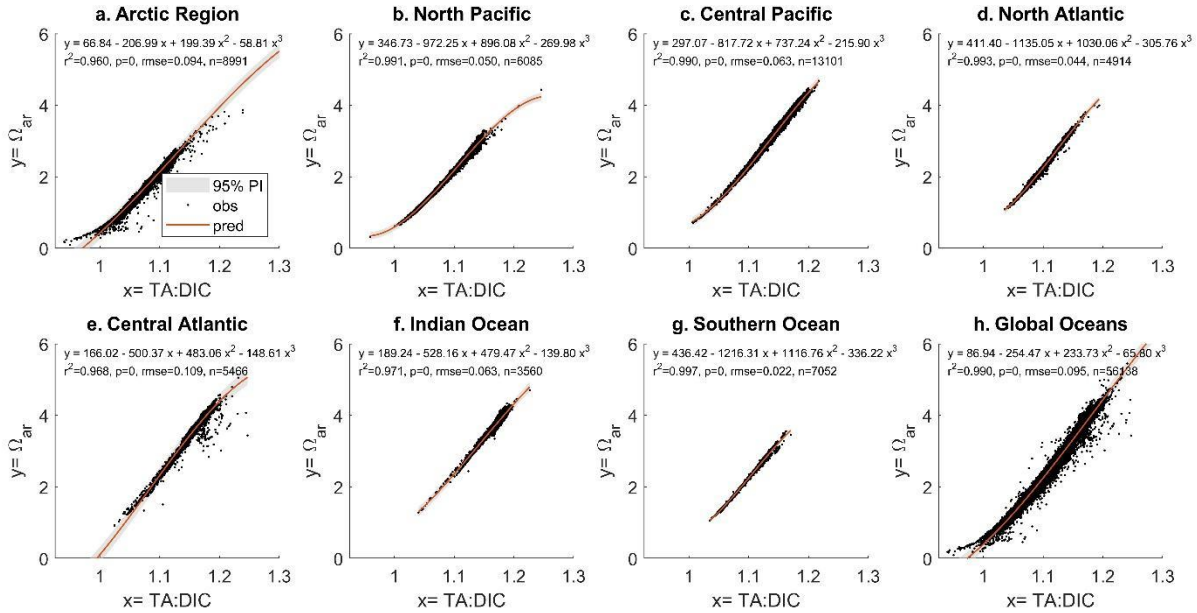
517 **Figure 9:** Mechanistic rate equation and parameters ( $a = 9.56e-1$ ,  $b = 7.04e-4$  mol/kg,  $c = 2.1e6$   
518 kg/mol,  $d = 8.27e6$  kg/mol) taken from Bach et al. (2015) and fitted using experimental data for  
519 *E. huxleyi* (used data from the studies indicated in legend).

520 For most species, we must still rely on empirical, single-parameter relationships, including  
521 saturation state, bicarbonate ion concentration and the substrate-to-inhibitor ratio (SIR) (i.e. the  
522 bicarbonate ion to hydrogen ion concentration ratio). When comparing empirical data from the  
523 experiments involving the mollusk, coral and coccolithophore groups against the SIR ratio, we  
524 found large discrepancies between this and SIR-proposed mechanisms (Supplement Fig. 6). For  
525 most of the coccolithophore group, the experimental rate regressions cannot be explained using  
526 SIR mechanisms. Only in the case of *Calcidiscus leptoporus*, the experimental and mechanistic  
527 responses remain the same. Reasons for these discrepancies could potentially be that SIR might  
528 insufficiently include the multitude of biological processes involved in the calcification (e.g. how  
529 carbon is provisioned or the ability to regulate calcifying fluid pH), as well as other environmental  
530 parameter variations. For mollusks, a quarter of the mechanistic rate regressions based on the SIR  
531 agreed with the experimental calcification rate regressions. The other 75% did not, especially for  
532 the studies with experimental conditions of  $\Omega_{ar} > 1$ . For corals, the majority of coral species (n =  
533 14) were classified as having a linear positive mechanistic relationship when using SIR  
534 relationships. When comparing this to our experimental rate regressions, we only found  
535 agreements with the mechanistic regressions in 6 out of 18 species.

### 536 **3.7 Global and regional carbonate chemistry data coverage based on GLODAP datasets**

537 The compilation of chemical observational data (pH,  $\Omega_{ar}$ , TA, DIC) was done for the GLODAP  
538 data across the regional ocean and global scales to determine the range of  $\Omega_{ar}$ , TA and DIC (as  
539 represented by the TA:DIC ratio) and TA:DIC vs  $\Omega_{ar}$  correlation down to the depths averaged over  
540 200 m. This allows us to apply the thresholds even for the regions for which we do not have  
541 sufficient or reliable data or experimental coverage, making the inferences about the OAE impact  
542 even in those regions.

543 Here, we focus on showing the results ranging over the 0–50m because this covers most of the  
544 biological habitat for examined species and it is where the OAE enhancement would induce the  
545 greatest changes. Over the 0–50 m depth,  $\Omega_{ar}$  ranges from 0.2 to 5 and TA:DIC ranges from 0.1 to  
546 1.25 and both parameters are correlated across all the regions, as demonstrated by the fitted second-  
547 order polynomial regressions, with  $R^2$  of 0.96 or higher, and all the correlations being significant  
548 (Fig. 7), with regional specific relationships not impacting the fit. All the correlation parameters  
549 are presented in Supplement Table 4. Similar fits were found at different depths. The conditions  
550 in the higher latitude regions are located at the lower range of  $\Omega_{ar}$  vs TA:DIC, while the conditions  
551 in the low latitudes and temperate regions are at the upper range, with the highest values present  
552 in the central Atlantic and Pacific region. Such strong correlation as observed for  $\Omega_{ar}$  vs TA:DIC  
553 does not exist with pH, regardless of the depth interval examined. While the correlations are still  
554 significant, they are broadly distributed and represented over a shorter TA:DIC range, with  
555 significantly lower goodness of fit (Supplement Fig. 4), with the correlations being highly  
556 regionally dependent due to pH and temperature co-linearity. Because of this, all further biological  
557 analyses are only done using the  $\Omega_{ar}$  vs TA:DIC ratio.



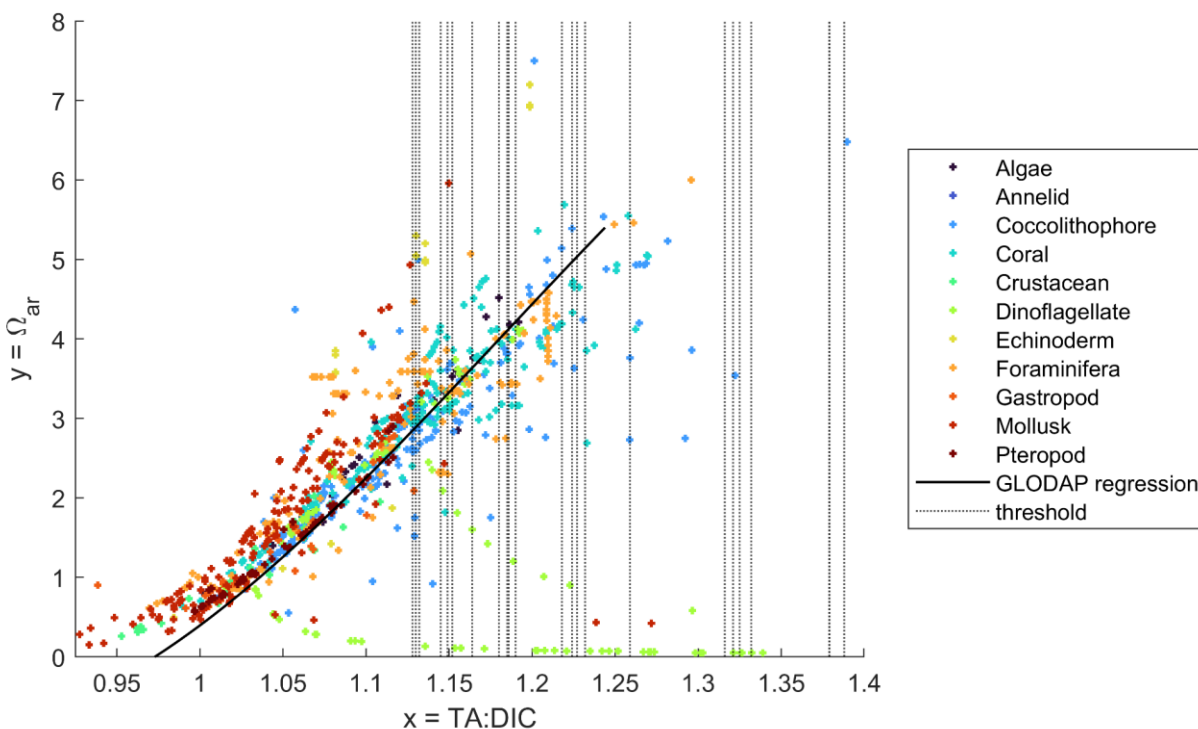
558

559 **Figure 7:** The range of observed  $\Omega_{ar}$  and TA and DIC values (as represented by the TA:DIC ratio)  
 560 values and the relationship with the best fitted curve between  $\Omega_{ar}$  vs TA:DIC across regional (a-g)  
 561 and global (h) scales based on the observational GLODAP dataset averaged over 0-50 m depth.

### 562 3.8 TA:DIC vs $\Omega_{ar}$ for experimental data and GLODAP

563 We compared the ranges and TA:DIC and  $\Omega_{ar}$  of biological experimental data with field  
 564 biogeochemical data (GLODAP) to examine if similar range of conditions and TA:DIC  
 565 correlations are applicable over a broader, global dataset. For this, we plotted  $\Omega_{ar}$  vs TA:DIC along  
 566 with the GLODAP regression line for  $\Omega_{ar}$  vs TA:DIC (Fig. 8). For each TA and DIC datapoint, the  
 567 salinity and temperature specific to that data point were used to compute  $\Omega_{ar}$ . We show the  
 568 similarity in the conditions, which gives the validity of our experimentally derived thresholds to  
 569 be extrapolated within the global GLODAP dataset.

570 Figure 8 also shows that various biological groups are clustered around specific TA:DIC ratios,  
 571 for example, mollusks, coral and coccolithophores are represented on the lower, mid, and higher  
 572 TA:DIC spectra, respectively, while dinoflagellates are randomly scattered off the TA:DIC line.  
 573 This indicates that there is a general lack of data distribution in the upper ranges of TA:DIC ratio,  
 574 especially for the groups that are lying at the lower and mid end of the TA:DIC ratio spectra.  
 575 Plotting biological data from the OA datasets against the regional and global TA:DIC gradient  
 576 derived from GLODAP (Fig. 7), we also observed that experimental data ranges were not always  
 577 consistent with natural conditions, for example, having a lower  $\Omega_{ar}$  at a higher TA:DIC ratio.



578

579 **Figure 8:** Experimental TA:DIC from experimental biological studies for eleven investigated  
 580 functional groups (see legend) plotted against  $\Omega_{ar}$ , with the latter being computed using  
 581 experimental TA and DIC. The black line represents the regression line of TA:DIC and  $\Omega_{ar}$  data  
 582 from the GLODAP dataset (covering 0-50m depth). See Supplement Fig. 5 for GLODAP  $\Omega_{ar}$  vs  
 583 TA:DIC, from which the black regression line shown here is derived.

584

#### 585 4. Discussion

586 OAE is a quickly developing strategy that is already in the field-testing phase despite extremely  
 587 limited understanding of biological implications and environmental concerns. Hence, gaining  
 588 insights of potential risks for the biological species and communities is essential and timely. In  
 589 retrospect, it took decades for the OA research community to get a more accurate and  
 590 comprehensive understanding leading to predictions of biological responses to OA (Gattuso and  
 591 Riebesell, 2015). Without a very clear conceptual strategy for the OAE testing, research  
 592 community might also need years to decades before we comprehensively understand the OAE-  
 593 related implications. Consequently, there is an essential need to develop an assessment framework  
 594 of predictive responses and testing appropriate to OAE, which will assist in OAE scaling while  
 595 avoiding the risks. This paper aims at developing such an assessment, where responses are  
 596 categorized per species responses against TA:DIC. We propose to use the TA:DIC ratio in the  
 597 biological studies reporting OAE results, as we believe it simplifies the system and makes it easier  
 598 to use and translate the carbonate chemistry in the experimental setting. Such a TA:DIC ratio  
 599 allows to ultimately standardize the biogeochemical and biological data and is useful for easier  
 600 comparisons among the conducted experiments.

#### 601 **4.1. Identified strengths and limitations of the synthesis approach based on OA studies**

602 Prior to conducting this study, several drawbacks were identified that could potentially limit such  
603 a synthesis work: first, insufficient amount of data at the upper range of carbonate chemistry  
604 conditions (high pH, high  $\Omega_{ar}$ ); second, experimental data under conditions with no relevance to  
605 natural settings (Fig. 8); and third, an insufficient number of validation studies under high TA  
606 conditions to validate the results of this synthesis. To overcome the first two limitations, the  
607 decision was made to combine multiple OA datasets for a single species with the aim to achieve a  
608 greater range in carbonate chemistry conditions, including higher pH,  $\Omega_{ar}$  experimental values,  
609 which should reduce the uncertainty of the predictions. However, combining raw data on species  
610 calcification rate proved to be more challenging because even across the same species the reporting  
611 of the calcification rates was highly variable. The use of different measuring approaches of  
612 calcification rates while conducting OA studies generated data with divergent units that do not  
613 allow for the intercomparison of data and results. As different studies for a single species could  
614 not be combined, we chose to increase the number of studies and thus, the number of examined  
615 species. Based on the response categories from the OA studies (Ries et al., 2009), our hypothesis  
616 was that OAE will elucidate the same categories of responses, i.e. positive, negative and neutral.  
617 Within each of the groups examined, multiple categories of predicted calcification response were  
618 found. In this way, we demonstrated that it was possible to develop a useful framework for  
619 assessing and predicting species-specific OAE responses that can delineate different responders,  
620 identify species with greater OAE sensitivity and determine the thresholds where such negative  
621 responses could happen.

#### 622 **4.2 Synthesizing biological response under OAE additions identifies positive and negative** 623 **responders**

624 The greatest variability in calcification rate response upon NaOH addition was evident in  
625 foraminifera, mollusks, corals, dinoflagellates and pteropods, where four to five different  
626 categories of responses were found. Such variability confirms that the responses to OAE will be  
627 species-specific and is related to various calcification mechanisms across the observed groups.  
628 Despite such specificity, the responses were summarized across three emerging groups of  
629 responses: positive, negative, and neutral (Fig. 6), which we discuss in the context of possible  
630 mechanisms of calcification or available OAE experimental studies used for validation.

631 Positive responders (33%) show an increased calcification rate to OAE additions, observed within  
632 all functional groups besides annelids, coccolithophores and dinoflagellates. Corals mostly have  
633 positive and neutral responses, suggesting that coral species would not be negatively impacted  
634 during OAE field trials. This mostly positive response is validated by increased coral calcification,  
635 shown for two coral species of *Acropora* and *Siderastre* in experiments conducted by Palmer et  
636 al. (2022).

637 The metrics to evaluate the sensitivity of calcification rate for the negative responders (negative  
638 linear and threshold) to OAE addition was based on the amount of alkalinity addition required to  
639 halve the current calcification rate (Fig. 3; Tables 1, 2). The most negative responses are expected



640 in dinoflagellates (6% of all species), crustaceans and foraminifera (both 5% of all species). As  
641 such, these groups are one of the priorities for the future OAE experimental work to determine at  
642 which TA: DIC negative response happens. The worst out of these are dinoflagellates that  
643 demonstrate negative response in 5 cases, 5 neutral responses and 0 positive (see Table 1;  
644 Supplement Fig. 3). The reason for negative response to OAE in this group is related to the fact  
645 that their growth gets limited at higher pH, with further carbon limitation playing a role at very  
646 high pH levels and low DIC concentration (Hansen et al., 2002; 2007). On the other hand,  
647 crustaceans only demonstrated positive response in one study (Pansch et al., 2014), while  
648 remaining results predict either negative or neutral response. While crustaceans are effective in  
649 retaining homeostasis at lower pH, they might be less so at higher pH, which was shown in the  
650 OA experiments by Ries et al. (2009) for three crustacean species (*Callinectes sapidus*, *Homarus*  
651 *americanus*, *Penaeus plebejus*), confirmed in the OAE study by Cripps et al. (2013) in *Carcinus*  
652 *meanas*. While studies are still lacking, physiological acid-base regulation at higher pH is  
653 associated with higher costs (Cripps et al., 2013). Crustaceans show a disrupted acid–base balance,  
654 evident through the increase in hemolymph pH, K, Na ions and osmolality, coupled with a decrease  
655 in extracellular pCO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup>, which is indicative of respiratory alkalosis (Truchot, 1984;1986).  
656 This is often associated with hyperventilation, the aim of which is to flush out the hemolymph CO<sub>2</sub>  
657 and increase the affinity of oxygen uptake. However, while this temporarily creates a  
658 physiologically favorable condition, it also represents the physiological costs and potential  
659 metabolic composition of other processes, likely also for the calcification.

660 For the neutral responders or groups with no significant correlation between TA:DIC and  
661 calcification rates, it is somewhat uncertain to predict if such responses will be retained under  
662 OAE. While parabolic responders show a physiologically understandable parabolic type of dose-  
663 response, positioning the TA:DIC values where the threshold occurs is also highly species-specific  
664 and potentially uncertain, meaning that it might depend on other environmental factors.

665 With respect to the coccolithophores, we note that this was the only group where data compilation  
666 on calcification rate across the groups was possible because the OA studies were conducted in a  
667 more uniform way, using similar approaches, and reporting the result in the same units. When data  
668 for *E. huxleyi* across the comparable studies was compiled (Barcelos-Ramos et al., 2010; Fiorini  
669 et al., 2011; Iglesias-Rodrigues et al., 2008; Sciandra et al., 2003; Stoll et al., 2012; Richier et al.,  
670 2011), a significant parabolic response was obtained (Table 1), although the goodness of fit was  
671 fairly low ( $R^2=0.16$ ). Despite lower  $R^2$ , we decided to use the compiled dataset because of the  
672 increased statistical power. The parabolic response obtained aligns with Langer et al. (2006) and  
673 also with the parabolic type responses found in the synthesis study by Paul and Bach (2020) and  
674 Bach et al. (2015). The threshold indicates the mechanisms of coccolithophore growth that are  
675 driven by CO<sub>2</sub>, which is shown to decline with the OAE addition. The threshold based on all  
676 studies for *E. huxleyi* combined was positioned at TA:DIC of 1.457 ( $\Omega_{ar} = 13.48$ , see Table 2),  
677 which would be triggered at 800  $\mu\text{mol/kg}$  of added NaOH and at 60  $\mu\text{atm}$ . Comparatively with the  
678 phytoplanktonic diatoms, such growth limitation is predicted at a pCO<sub>2</sub> amount at 100  $\mu\text{atm}$   
679 (Riebesell et al., 1993). It is important to note that when these studies were analyzed individually,  
680 a mixture of different responses was observed. We emphasize the variability within the

681 coccolithophore responses, which are species-specific and inherently related to the strain  
682 adaptation to their innate regional settings and dependent on a variety of other factors (Bach et al.,  
683 2015; Gafar and Schultz, 2018), including the longevity of the species, the experimental settings  
684 used in the study, for example nutrient-replete vs nutrient deficient conditions, and the presence or  
685 absence of (un)suitable light conditions. Interestingly, for all the coccolithophore species other  
686 than *E. huxleyi*, responses were neutral. For validation purposes, the results of our study could not  
687 be compared to Gately et al. (2023) because calcification rates were not studied, and it is urgent  
688 that more validation studies for coccolithophores are conducted.

#### 689 **4.3 TA:DIC thresholds related to biological sensitivity and their implementation**

690 Lastly, and most importantly, a set of species-specific thresholds was developed in this study, with  
691 demonstrated application across the global  $\Omega_{ar}$  vs TA:DIC conditions (Table 2; Fig. 8). The range  
692 of OAE additions to exceed the thresholds vary significantly between the species and the type of  
693 response, with the parabolic responders generally having the lowest threshold compared to the  
694 linear or exponential negative responders. The TA:DIC thresholds upon TA application ranged  
695 between 10–500  $\mu\text{mol/kg}$  of NaOH for all but 5 of negatively responding species, pointing to the  
696 most and least species-specific OAE-related sensitivities, respectively. The lowest TA:DIC  
697 threshold is predicted for echinoderm *Arbacia punctulata*, with the lowest pH value of 8.1, which  
698 is at least an order of magnitude lower compared to what the lab experimental OAE trials use in  
699 their treatment levels.

700 In this study, we have calculated the thresholds for all negatively impacted species irrespective of  
701 the quantity that needs to be added, but we note that high threshold value exceedance is likely not  
702 realistic. Although biogeochemical model outputs show high OAE-related concentration at the  
703 injection site for a short-time, realistic field dosing upon dilution might be low. Wang et al. (2023)  
704 reported that the nearfield maxima in the respective investigation area of the Bering Sea is to  
705 increase TA by about 10  $\mu\text{mol/kg}$  in the nearfield and by about 1  $\mu\text{mol/kg}$  of NaOH in the farfield  
706 region.

707 Similarly, a lot of laboratory-based OAE experiments are conducted with the aim to gain a wide-  
708 ranging empirical response, applying very high treatment levels that are not realistic with respect  
709 to potential level of OAE dosing in the field. Here, we explicitly emphasize the importance of  
710 including much lower additions of TA as the experimental treatment levels to better support  
711 biological understanding in the field. In addition, prior to the lab experiments it would be important  
712 to identify if the selected species for the experiments might be negative responders with a threshold  
713 at lower TA:DIC range. This is especially pertinent for the groups for which OA experimental  
714 data is limited and only distributed at the lowest and the mid TA:DIC ratio, such as mollusks and  
715 dinoflagellates (Fig. 8; Supplement Fig. 3).

716 We note that the calculations of the thresholds in this study were taken for the global surface ocean  
717 conditions assuming current  $p\text{CO}_2$  conditions of 425 and pH of 8.1 as a control from where the  
718 OAE compound was added. However, this does not take into consideration the conditions of the  
719 local ecosystems in which OAE would be implemented and as such, the thresholds might be

720 different depending on the local surface pH conditions where organisms are residing. As such, to  
721 get the most accurate and regionally applicable threshold value for the species of interest, the  
722 recommendation is that the baseline for the OAE addition should be determined based on the local  
723 settings.

#### 724 **4.4 Bringing realism of OAE experiments to the field trials**

725 OAE-related biological responses and risks are not going to depend solely on the concentration of  
726 OAE compound used but also on the baseline carbonate chemistry conditions at the site of  
727 deployment, such as baseline TA:DIC ( $\text{pH}/\Omega_{\text{ar}}$ ) and variability of carbonate chemistry parameters  
728 across horizontal and vertical depths. Physical parameters of importance are related to the dilution  
729 effect, mixing, retention capacity, as well as the rate of the equilibration effects of the air-sea  $\text{CO}_2$   
730 uptake (Ferderer et al., 2022; He and Tyka, 2023; Schulz et al., 2023; Wang et al., 2023).  
731 Variability on the seasonal and annual scales of the air-sea  $\text{CO}_2$  uptake can have impacts not only  
732 on the chemical processes related to the variable OAE efficiency, but also for the biological  
733 implications related to the crossing of biologically sensitive thresholds. It is the combination of all  
734 these factors that creates baseline conditions to which biota would ultimately be exposed in their  
735 natural environment upon OAE deployment (Wang et al., 2022).

736 If similar conditions as induced by the OAE field trial are present as part of the natural variability  
737 within the species' habitat, it is more likely that the species might be adjusted to it. On the contrary,  
738 rapidly induced novel conditions might be the most detrimental. As such, it is worth considering  
739 if OAE deployments could be, when possible, carried out not as a single high dosage deployment,  
740 but rather as a more continuous, lower dosage application that would eliminate the swings and  
741 maxima in conditions, while also allowing more time for species acclimation or migration during  
742 the initial injection of the OAE deployment.

743 What is needed urgently for the community performing biological field trials is a best practice  
744 guide for evaluating the biological responses as part of the field environmental risk monitoring  
745 approach. Along with the guide for conducting the lab (Iglesias-Rodrigues et al., 2023) and field  
746 OAE studies (Cyronak et al., 2023), the recommendations in the risk assessment guide would  
747 address the topics of initial baseline conditions, identify suitable risk analyses, determine  
748 thresholds, and propose the development of regionally specific indicators for monitoring, while  
749 also identifying the guidance for the regulators. Ideally, such biological and environmental risk  
750 monitoring and assessment would be accompanied by the application of the physical mixing  
751 models with site-specific biogeochemical processes (Ho et al., 2023; Fennel et al., 2023) that can  
752 predict the maximum expected TA increase in the nearfield and farfield regions of the study site,  
753 representing a more realistic exposure and better informing further experimental work.

#### 754 **4.5 Comparison of calcification rate with the pre-industrial conditions**

755 Positive calcifying responders identified in this study were the most impacted through reduced  
756 calcification due to anthropogenically driven OA since the pre-industrial changes. For these  
757 species, retentive addition of NaOH in the range of 10 to 200  $\mu\text{mol}/\text{kg}$  NaOH would allow these

758 species to fully bounce back to the pre-industrial calcification. Achieving such long-lasting  
759 increases of NaOH is currently not feasible in the field but shows additional mitigating benefits of  
760 OAE against OA. With continuous OAE implementation, the calcification of positive responders  
761 would be reversed back to their pre-industrial capacity, indicating that such co-benefiting effects  
762 could be considered within the context of OA mitigating effects and could be part of the ecosystem  
763 restoration and protection strategy. In addition, it is probably less likely that such reversal of the  
764 processes might lead to concern for the species as ecological winners and potential shift on the  
765 community level. However, current modeling efforts show that temporal and spatial extent of OAE  
766 as a mitigation capacity for OA is variable and might induce significant biogeochemical changes  
767 that further exacerbate ecological risks (González and Ilyina, 2018; González et al., 2016; Mongin  
768 et al., 2021). Nevertheless, with 40% positive responders, there is substantial opportunity for  
769 species-specific variations and an indication that some species that could indeed benefit beyond  
770 just improved calcification.

#### 771 **4.6 Unknowns about ecological and biogeochemical implications call for the precautionary** 772 **approach**

773 The value of calcification as the proxy is indicative of organismal fitness which directly relates to  
774 OAE effects as harmful or beneficial for the species. From an ecological perspective, 31% of  
775 negative responders demonstrate a potential for negative implications and ecological shifts. In  
776 addition, we note that this study did not include diatoms in the analyses, which are predicted to be  
777 negatively impacted by the carbonate-based OAE (Ferderer et al., 2022), leader to possible  
778 community shifts (Bach et al., 2019). The possibility of the ecological shifts should not be  
779 neglected given the variety of the positive responders, understudied effects of OAE in non-  
780 calcifiers and their relationship with the calcifiers through the grazing impact, and lastly, unknown  
781 and highly unpredictable indirect effects. In addition, the inferences on the neutral responders  
782 should also remain cautious.

783 From a biogeochemical perspective, it is reasonable to infer that OAE will introduce changes in  
784 calcification rate across species, potentially resulting in changing carbon export or carbonate  
785 counter pump. Species-specific responses in major carbonate producers, i.e. coccolithophores,  
786 foraminifera and pteropods show both, negative and positive response, which could have strong  
787 effects on biogeochemical fluxes (Riebesell et al., 2017; Bach et al., 2019). Increased calcification  
788 could result in thicker and denser shells, contributing to faster sinking and increased carbonate  
789 fluxes, while the negative calcification has an opposite effect. This could ultimately, over  
790 centennial time scales (Oschlies et al., 2023), induce changes on the subsurface total alkalinity at  
791 intermediate and deeper depths in the water column, and dissolution at or near the seafloor (Gehlen  
792 et al., 2011) with potential feedback that results in increased CO<sub>2</sub> flux to the atmosphere (Gattuso  
793 et al., 2021). Full scale of ecological and biogeochemical shifts remains a high priority topic for  
794 future investigations and until these huge uncertainties are resolved, we should exercise a  
795 precautionary principle in considering the next steps of OAE field implementations.

#### 796 **4.7 Potential confounding effects and the validation issues**

797 This study only considered the changes in carbonate chemistry due to the addition of NaOH and  
798 Na<sub>2</sub>CO<sub>3</sub>. However, other OAE feedstocks contain compounds that could induce biological toxicity  
799 due to the presence of the trace metals (Ni, Cu, Ca, Si; Bach et al., 2019), as well as potential  
800 negative environmental impacts due to the secondary precipitation (Hartmann et al., 2022; Moras  
801 et al., 2022). This study also did not focus on the sensitivity across different life stages, even  
802 though stage-stage sensitivities to OAE are expected based on previous OA results. Furthermore,  
803 we did include data from the experimental lab or field studies that involve multiple stressors in  
804 their experimental designs. As such, an additional impact of warming, dissolved oxygen, light  
805 intensity on the OAE-induced responses was not determined, although they could elicit different  
806 biological pathways than OAE alone or have additional confounding effects.

807 The synthesis of the experimental studies always includes implicit biases that are based on the  
808 published experimental studies, the range and species used, regional coverage and heterogeneity.  
809 Important consideration is the adaptation of the species used in the experimental studies because  
810 their calcification optimums optimum might be pre-determined based on their local habitat  
811 conditions. Given that the baseline for the OAE-compound addition was chosen at the global  
812 current surface pH value, some of the thresholds might actually be lower than expected.

813 An additional parameter of importance, which has not been addressed in this study because it was  
814 not possible to do it on such a diverse group of species with varied generations, is the duration of  
815 the experimental exposure. Duration can impact physiology with respect to the exposure until the  
816 OAE-related effects become evident.

817 The predictive results of these studies need to be validated with subsequent studies, but suitable  
818 approach to evaluate such predictions could be done by comparing mechanistic studies with the  
819 experimental data. One of the problems is the lack of a known mechanistic relationship of the  
820 calcifying species. However, a lot of mechanistic relationships are based on one parameter only.  
821 Ninokawa et al. (2024) and Li et al. (2023) emphasized that using only one parameter to describe  
822 calcification process is insufficient and strongly recommend using at least two parameters for more  
823 accurate calcification predictions. Our findings agree with Ninokawa et al. (2024), for examples,  
824 we observe that using SIR relationships to successfully describe calcification was limited to only  
825 a few species and there are no generalizable patterns that could be applicable across multiple  
826 groups. This clearly delineates a major gap in the mechanistic understanding of calcification so  
827 far, the lack of which significantly limits our ability of ecological and biogeochemical predictions  
828 to OAE. As such, more research is urgently needed on broader mechanistic understanding of  
829 calcification across different species, and additionally, one parameter calcification processes  
830 should be replaced with more accurate and comprehensive using two or three parameters.

#### 831 **4.8 Applications within the existing governmental regulations and the guiding principle**

832 Our results, especially related to the use of biological thresholds or NaOH dosing, have  
833 applications outside the academic realm, most notably with policy-management governmental  
834 regulations. Here, we provide one example how current regulations could be compared against the

835 results obtained from this study, focusing on the US Environmental Protection Agency's rule for  
836 waste water not exceeding a pH of 9 when entering the coastal ocean (see NPDES manual, 2010).  
837 We have added NaOH study until reaching the threshold 9 and analyzed which species could be  
838 compromised because of added OAE. For most of the studies, NaOH concentration of 1100 to  
839 1250  $\mu\text{mol/kg}$  needs to be added before exceeding a pH of 9. This represents a very high  
840 concentration and the thresholds for most of the negative responders with identified thresholds  
841 (Table 2), will be exceeded far below the regulatory standards of pH 9 (Table 2), especially if the  
842 exposure occurred over a duration period that matters for calcification and for the organism's  
843 physiological status. This case demonstrates discrepancy of the current chemical pH regulation  
844 and associated biological effects, where the safety biological limits are violated and biological  
845 harm is not prevented, thus likely induced. Despite the fact that achieving such high pH through  
846 continuous NaOH implementation is unlikely to occur in the field, such regulations are of  
847 particular concern and regulations to assure safety space for marine biota need to be urgently  
848 addressed and determined.

## 849 **5. Conclusions and next steps**

850 Sufficient certainty in predicting biological responses reduces the risks and supports safe operating  
851 space for OAE implementation and scaling up. Overall, given that almost 70% of examined species  
852 showed non-neutral response (either positive or negative), this calls for care in OAE field  
853 implementation until the temporal and spatial scales of safe operations are determined and OA  
854 mitigation established. The goal of this study is to serve as a baseline for prioritizing experimental  
855 and field OAE research and assess environmental risks. Such prioritization identifies those species  
856 for which experimental work needs to be conducted first. This would involve species with the  
857 greatest OAE-related sensitivity (negative responders), species with the greatest uncertainty in  
858 response, as well as the species with very strong predicted positive response that could potentially  
859 introduce a shift on the community level. In addition, it would also recognize the species for which  
860 the existing knowledge is sufficient and there is less immediate need for the OAE experiments.  
861 We hope that all presented tools provide guidance for the practicing and regulatory community  
862 considering OAE field application within the safe limits.

863 It is important to emphasize that this study represents the first synthesis of OAE effects. It would  
864 benefit from continuous update and data additions, while cross-validated with further experimental  
865 work. Furthermore, we underline that our predictions were developed as calcification responses of  
866 the pelagic organisms only. Similar OA datasets are available for the benthic calcifiers and non-  
867 calcifiers, and also for other biological responses, including growth, survival, with much greater  
868 uniformity of data and units, which mean they could be easily transformed into an OAE predictive  
869 risk assessment as conducted in this study.

870

### 871 **Data availability**

872 No additional data were generated as part of this study, they were all collected from the already  
873 published studies. The compiled data is currently available on request. The Python code used for

874 computing baselines per species, conceptually adding alkalinity in the form of NaOH and  
875 Na<sub>2</sub>CO<sub>3</sub>, predicting calcification rate response, visualizing data and computing thresholds is  
876 available in the GitHub repository at [https://github.com/hannavdmortel/OAE\\_calc\\_responses](https://github.com/hannavdmortel/OAE_calc_responses) (last  
877 access: 24 July 2024) and is archived on Zenodo at <https://doi.org/10.5281/zenodo.12806137> (van  
878 de Mortel, 2024). PyCO<sub>2</sub>SYS v1.8.0 (Humphreys et al., 2022) was used to solve for the carbonate  
879 system, with software available at <https://doi.org/10.5281/zenodo.3744275> (Humphreys et al.,  
880 2023).

881 The code is stored at the following link: <https://doi.org/10.5281/zenodo.12806137>

## 882 **Author contributions**

883 NB designed and conceptualized the research and wrote the first draft of the paper. GP provided  
884 the analyses using GLODAP data, and also provided visualizations and formal analyses. HvdM  
885 collected and curated data, conducted formal analyses and provided visualization. MGR has  
886 provided formal statistical analyses and visuals. RAF and AD have provided insights, suggestions,  
887 and generated discussion about specific parts of the paper. All have contributed to the writing of  
888 this draft.

889

## 890 **Competing interests**

891 The contact author has declared that none of the authors has any competing interests.

892

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