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2	<b>Technical note: Evolution of convective</b>
3	boundary layer height estimated by Ka-band
4	continuous millimeter wave radar at Wuhan
5	in central China
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22	Abstract. Using the vertical velocity (VV) observed by a Ka-band millimeter wave cloud radar (MMCR)
23	at Wuhan, we investigate the evolution of convective boundary layer height (CBLH) based on a specified
24	threshold of VV variance. Compared with the CBLH retrieved from the lidar range corrected signal (RCS),
25	the MMCR-derived CBLH exhibits lower values for a few hours post-sunrise and pre-sunset, but outside
26	these two periods, they are generally in good agreement. Relative to the lidar RCS that is susceptible to the
27	historical aerosol mixing processes, the CBLH estimated from the MMCR VV variance shows a rapid
28	response to thick clouds and a less contamination by aerosol residual layer and long-distance transport of
29	sand and dust, thus the MMCR VV observation can capture the CBLH evolution very well. The MMCR
30	observation in 2020 depicts the seasonal and monthly variations in the CBLH. The seasonal mean CBLH
31	reaches the peak heights of 1.29 km in summer, 1.14 km in spring, and 0.6 km in autumn and winter, with
32	occurrence time between 13:30 and 15:00 LT. The maximum (mean) value of mean (daily maximum) CBLH
33	rises steadily from 0.66 (0.87) km in January to 1.47 (1.76) km July, followed by a gradual decline to 0.42
34	(0.5) km in December. Statistical standard deviations are monthly-dependent, indicating the significant
35	influence of weather conditions on the CBLH. This study improves our understanding of the Ka-band
36	MMCR's capability to monitor the CBLH, emphasizing its utility in tracking the dynamical processes in the
37	boundary layer.

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## 39 1. Introduction

Atmosphere boundary layer is located in the lowermost layer of the troposphere, and directly impacts the air-land/sea interaction because of its link between the surface and the free atmosphere (Stull, 1988). Owing to the combined effects of gravity, viscosity, and friction of the ground and uneven temperature distribution caused by radiation, the boundary layer is characterized by complex dynamical processes, with the prominent turbulence features of vorticity and compressibility, especially during daytime (Bernardini et al.,





45	2012; Schneider, 2008). Typically, the boundary layer top varies diurnally following the local surface
46	temperature with a magnitude from a few tens of meters to several kilometers. The convective boundary
47	layer (CBL) is a type of atmosphere boundary layer driven primarily by convection. After sunrise, the
48	Earth's surface absorbs solar shortwave radiation, which increases upward sensible heat, enhancing the
49	near-surface convection and elevating the CBL heights (CBLH) gradually (LeMone et al., 2010; Grossman
50	and Robert, 2005; Yates et al., 2001). In the afternoon, as the sensible heat flux decreases, turbulent activity
51	is weakened, causing the CBL to contract downward. Generally, the CBL collapses after sunset, and aerosol
52	particles within the CBL are transformed into a residual layer. The residual layer descends gradually due to
53	the sinking effect until it is mixed with the CBL driven by the next day's post-sunrise convection
54	(Blay-Carreras et al., 2014; Heus et al., 2010; Tennekes and Driedonks, 1981). Since the boundary layer
55	controls the exchanges of heat, momentum, moisture and mass between the ground and the free atmosphere
56	(Mahrt, 1999; Holtslag and Nieuwstadt, 1986), the structure of boundary layer is an important input variable
57	in numerical weather-prediction and climate models (Edwards et al., 2020).

58 The evolution of CBLH has a distinct daily cycle, which is dominated mainly by surface sensible heat 59 from solar radiation, and can be influenced significantly by weather and local topography (Kwon et al., 2022; Ribeiro et al., 2018). Typically, the CBL is capped by a stable temperature inversion layer, constraining the 60 61 upward development of convection, thus under the circumstances, the inversion layer bottom is often 62 identified as the top of boundary layer (Stull, 1988). At the height of CBL top, turbulent mixing weakens 63 markedly, leading to substantial changes and strong vertical gradient of atmospheric parameters, such as 64 potential temperature, relative humidity and aerosol concentration. Consequently, the CBL top determines to 65 a great extent the vertical dispersion of aerosol particles (Kong and Yi, 2015; Pal et al., 2015; Stull, 1988). Thus, the CBLH plays a crucial role in air quality and atmospheric environment evaluations, as the 66 concentration of surface emissions and pollutants is closely related to the CBLH (Li et al., 2017; Tang et al., 67





68	2016; Liao et al., 2015; Liu and Liang, 2010; Seibert, 2000). Besides, at the boundary top, moisture,
69	aerosols and other chemical substances are entrained to the free atmosphere, creasing an entrainment
70	transition zone between the boundary layer and the free atmosphere (Franck et al., 2021; Liu et al., 2021;
71	Brooks and Fowler, 2007). Hence, the CBLH also plays an important role in influencing the cloud formation
72	and precipitation above the CBL through regulating water vapor and aerosols (as condensation nuclei)
73	entrained into the free atmosphere (Guo et al., 2017; Brooks and Fowler, 2007; Neggers et al., 2004; Brown
74	et al., 2002).

75 The observations of in situ radiosonde and remote sensing are extensively used to estimate the CBLH and its seasonal feature in different geographical environments. The radiosonde data have a widely 76 77 geographical distribution and long-term accumulation, which is convenient to study the climatology of 78 boundary layer in different regions. Meanwhile, radiosonde can obtain many meteorological parameters 79 with high precision, such as pressure, temperature, relative humidity, and horizontal wind velocity and 80 direction, providing a possibility to retrieve the boundary layer height through different algorithms (Seidel et 81 al., 2010; Seibert, 2000). Typically, the vertical gradients of potential temperature and water vapor 82 (including relative humidity and specific humidity) are used to determine the CBLH (Zhang et al., 2022; 83 Guo et al., 2021; Dang et al., 2019; Liu and Liang, 2010; Seidel et al., 2010; Stull, 1988). Additionally, the 84 boundary top can be evaluated using the profiles of refractivity and bulk Richardson number derived from 85 the temperature, pressure, vapor pressure and horizontal wind data (Burgos-Cuevas et al., 2021; Guo et al., 86 2016; Zhang et al., 2014; Seidel et al., 2012; Basha and Ratnam, 2009). These retrieval algorithms provide 87 insights into the features of boundary layer from the perspective of energy exchange, mass transport, turbulent motion and effect on radio propagation. Even so, radiosonde faces a severe limitation to capture 88 89 the clear development of CBL due to its conventional release schedule, which typically occurs only twice a

90 day.





91	In contrast to radiosonde, ground-based remote sensing offers high temporal resolution in observational
92	profiles, which is essential to investigate the diurnal evolution of boundary layer. Wind profile radar can
93	obtain the atmospheric wind speed and direction by decomposing the Doppler shift of electromagnetic
94	waves backscattered by the vertical inhomogeneity of atmospheric refractive index structure constant due to
95	the gradients in temperature and relative humidity, and the fluctuation of refractive index caused by
96	turbulence (Liu et al., 2020; Singh et al., 2016; Seibert, 2000). In this way, some parameters from the wind
97	profile radar measurement, such as signal-to-noise ratio, Doppler spectral width and refractive index
98	structure constant, are utilized to retrieve the height of boundary layer for every 30-60 min based on their
99	vertical gradients or chosen thresholds (Burgos-Cuevas et al., 2023; Bianco et al., 2022; Solanki et al., 2021;
100	Liu et al., 2020; Allabakash et al., 2017; Sandeep et al., 2014). Nevertheless, previous studies showed that
101	the top of CBL derived from the radar observation may be influenced by strong residual layer and shallow or
102	large entrainment zone (Sandeep et al., 2014; Bianco and Wilczak, 2002).
102	

103 Lidar is regarded as a powerful detection equipment for capturing boundary layer development due to its 104 high sensitivity to echo signals from various atmospheric components. Its relatively short operating 105 wavelength allows it to receive echoes backscattered not only from aerosol and cloud particles, but also 106 from atmospheric molecules. Nevertheless, since Rayleigh scattering of atmospheric molecules is much 107 weaker than Mie scattering of aerosol particles, the profile of lidar backscatter coefficient or range corrected 108 signal (RCS) from aerosols is extensively used to determine the CBLH by tracing the height of aerosol 109 concentration plunge. Accordingly, many techniques have been developed to identify the extreme value of 110 RCS gradient (Liu et al., 2021; Su et al., 2020; Dang et al., 2019; Yang et al., 2017; Kong and Yi, 2015; 111 Granados-Muñoz et al., 2012). As a simplified low-power lidar, ceilometer is initially designed to measure 112 the height of cloud base, thus similarly, the backscatter profile from the ceilometer observation can be 113 applied to the CBL investigation (Zhang et al., 2022; Schween et al., 2014; Van Der Kamp and McKendry,





- 114 2010). However, limited by the ability of lasers to penetrate clouds, the CBLH may be contaminated and 115 even misinterpreted by clouds within the boundary layer in the lidar and ceilometer measurements (Schween
- 116 et al., 2014).

117 With the advances in atmospheric sounding technology, the vertical velocity from Doppler lidar provides 118 a direct estimation of convectively driven boundary layer, which can reduce the impact of strong aerosol 119 concentration within the residual layer on the retrieved CBLH (Burgos-Cuevas et al., 2023; Dewani et al., 120 2023; Huang et al., 2017; Schween et al., 2014; Barlow et al., 2011). At the initial stage of CBL formation in 121 the morning and the rapid decline stage of CBL in the afternoon, aerosol particles in the residual layer may 122 cause the CBLH to be overestimated by about several hundred meters. This discrepancy often reflects the 123 historical effect of aerosol mixing rather than the current situation of convectively driven turbulence 124 (Burgos-Cuevas et al., 2023; Schween et al., 2014; Pearson et al., 2010). In the Doppler lidar observation, a 125 specified threshold of vertical velocity variance is used to define the height of CBL top. This method has 126 been validated as reliable by comparison with the measurements from other equipment, and the sensitivity 127 of threshold has been discussed across different sites (de Arruda et al., 2018; Manninen et al., 2018; 128 Schween et al., 2014; Barlow et al., 2011; Pearson et al., 2010). In contrast to lidar with large blind range 129 and limited penetrating cloud capability, microwave cloud radar offers good low altitude coverage and 130 superior performance in cloud penetration. In the cloud observation, there always exist a weak echo layer 131 near the surface, from which the vertical velocity can be retrieved. However, there are few reports on the use 132 of vertical velocity obtained from Doppler cloud radar for the CBL investigations.

In present study, we estimate the CBLH based on the vertical velocity from a Ka-band millimeter wave cloud radar (MMCR) at Wuhan, and analyze the evolving features of CBL in different seasons by using the observational data with high temporal resolution. In section 2, the instruments and their data are briefly described, followed by the methodology in section 3. In section 4, we present four examples of CBLH





- diurnal evolution in different seasons by comparing the CBL tops identified from the MMCR and lidar
  measurements, and then investigate the monthly and seasonal characteristics of CBLH over Wuhan in
  Section 5. Section 6 provides a summary.
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### 141 **2. Instruments and Data**

- 142 In this study, the CBLH derived from the MMCR observation is compared with that from the lidar 143 measurement. The Ka-band MMCR and lidar are situated at the Atmospheric Remote Sensing Observatory 144 (ARSO) in Wuhan University (30.5°N, 114.4°E). MMCR antenna is positioned 40 m above sea level, which 145 is about 30 m lower than lidar telescope. Wuhan, an inland megacity in central China, is located in the east 146 of Jianghan Plain, with a resident population of over 12 million. The city is dominated by the subtropical 147 monsoon humid climate, which is characterized with by abundant precipitation and four distinct seasons 148 (Guo et al., 2023). Due to heavy traffic and industrial activities, large amounts of aerosols are emitted from 149 the industrialized metropolis. Meanwhile, sandstorms from the northwest often pass through Wuhan, 150 especially in spring. These sandstorms cause the remarkable variation in the spatial distribution and 151 concentration of aerosols. Frequent sand and dust activity along with cloudy weather poses significant 152 challenges for the Ka-band MMCR and lidar in accurately capturing the CBL evolution.
- 153 2.1 Ka-Band Radar

The MMCR established by the ARSO is a Ka-band frequency-modulated continuous wave (FMCW) Doppler radar, which is shown in Figure 1. The radar adopts the mode of transmitting and receiving separation through two same Cassegrain antennas with 1.5 m diameter. Radiation antenna transmits a mean power of 50 W at operating frequency of 35.035 GHz through 0.38° width beam. Backscatter echoes from aerosol and cloud particles are received by reception antenna, and then are sent to the signal processing subsystem to obtain the radial distribution of parameters that represent the characteristics and motion of





160	particles, such as reflectivity factor, Doppler velocity, Doppler spectrum width, signal-to-noise ratio, and so
161	on. Because of almost continuous transmission and reception, FMCW radar has an adjustable range
162	resolution by modulating and demodulating the continuous wave, and a much higher duty cycle relative to
163	pulse radar, leading to a higher temporal resolution in the FMCW radar measurement. In non-precipitation,
164	the MMCR measurement has a time resolution of 0.26 s and a maximum unambiguous velocity of 4.30 m s <sup>-1</sup> ,
165	which are adjusted to be 0.104 s and 10.75 m s <sup>-1</sup> in precipitation as the size and falling speed of
166	hydrometeors increase (Mao et al., 2023), respectively. The MMCR observation has been applied to the
167	investigations of cloud and precipitation over Wuhan in previous works (Fang et al., 2023; Mao et al., 2023).
168	The MMCR has a maximum detectable distance of about 30 km and a sensitivity of -30 dBZ at the
169	distance of 10 km. In the MMCR measurement, there are weak echoes generally less than -40 dBz within a
170	few kilometers above the surface. The weak echoes near the surface are attributed to the backscattering of
171	plankton and insects in some studies (Franck et al., 2021; Chandra et al., 2010; Achtemeier, 1991), and are
172	also suggested to come from the scattering of dust particles in other studies (Görsdorf et al., 2015; Clothiaux
173	et al, 2000; Moran et al., 1998). Considering that the size of large dust particles, plant aerosol particles,
174	aerosol particles from combustion, and so on, can be much larger than 10 $\mu\text{m},$ it is possible for the large
175	aerosol particles to cause these weak echoes in the MMCR observation. The servo-mechanical subsystem
176	conducts the radar to work at specified directional mode or scanning mode. In 2020, the radar was operated
177	at the vertically pointing mode, and the observation is recorded with a vertical resolution of 30 m. In this
178	study, we attempt to explore the CBL evolution at Wuhan from the Ka-band MMCR observation in 2020.

2.2 Polarization Lidar 179

The polarization lidar developed by the ARSO is about 0.5 km away from the Ka-band radar. The lidar 180 181 transmits vertically the pulses of 120 mJ at operating wavelength of 532 nm with a repetition rate of 20 Hz by a frequency-doubled Nd: YAG laser. The output polarized laser beam has a fine polarization purity with 182





183	depolarization ratio less than 1:10000 by using a Brewster polarizer. Light backscattered by aerosol and
184	cloud particles and atmospheric molecules is collected by a telescope with 0.3 m diameter. After separated
185	through an interference filter with 0.3 nm bandwidth centered at 532 nm, the elastically backscattered light
186	is incident on a polarization beam splitter prism, and then the two-channel polarized light are focused onto
187	two photomultiplier tubes (PMTs), respectively. The signals from the two PMTs are transferred to a personal
188	computer (PC)-controlled two-channel transient digitizer to obtain the echo signal intensity and volume
189	depolarization ratio through the PC processing. Backscatter coefficient are retrieved based on the backward
190	iteration algorithm under the condition of a given lidar ratio proposed by Fernald and Klett (Fernald, 1984;
191	Klett, 1981), and then the RCS and particle depolarization ratio are derived from the backscatter coefficient
192	and volume depolarization ratio (Freudenthaler et al., 2009; Immler and Schrems, 2003). Expanded laser
193	beam overlaps with the full field of view of receiving telescope at a height of 0.3 km, thus this height is the
194	low limit of lidar detection. The lidar data has a temporal resolution of 1 min, and a same vertical resolution
195	of 30 m as the MMCR data. The lidar configuration and depolarization comparison with the measurement
196	from the cloud-aerosol lidar and infrared pathfinder satellite observation (CALIPSO) were in detail
197	described in early study (Kong and Yi, 2015).

We regard the height of MMCR antenna as a baseline, thus considering that lidar telescope is about 30 m
higher than MMCR antenna, the initial height of lidar data is set at 0.33 km. Meanwhile, in the following
analysis, we use local time to represent time.

201

## 202 3. Methodology

203 In view of the CBLH derived from the vertical velocity (VV) in the MMCR observation but from the

204 RCS in the lidar measurement, we use different algorithms to determine the CBLH, respectively.

# 205 3.1 Gradient, Variance and Wavelet Transformation Methods





206	In the lidar observation, the gradient (Grd) method is often utilized to investigate the CBLH by
207	identifying the strongest or minimum gradient of RCS since the intensity of backscattered signal is
208	approximately proportional to the aerosol concentration (Kong and Yi, 2015; Lewis et al., 2013; Pal et al.,
209	2010; Emeis et al., 2008). The wavelet covariance transformation (WCT) method, with a chosen Harr
210	wavelet function, estimates the CBL top by investigating the correlation of the RCS variation with a step
211	function (Zhang et al., 2021; Angelini and Gobbi, 2014; Pal et al., 2010; Baars et al., 2008; Brooks, 2003).
212	Essentially, the WCT method can be considered as a smooth enhancement of Grd method, which may be
213	less affected by noise than the Grd method (Davis et al., 2000; Baars et al. al., 2008).
214	The Grd and WCT methods derive the CBLH from the change of echo signal intensity in the spatial
215	profile, while the variance (Var) method identifies the CBL top based on the variations of echo signal in the
216	temporal domain. The frequent exchange of matter and energy between the boundary layer and the free
217	atmosphere causes the dramatical variation of aerosol concentration on small time scales around the CBL
218	top. In this case, the height where the variance of backscattered signal reaches the maximum value is
219	regarded as the CBLH (Lammert and Bösenberg, 2006; Martucci et al., 2004; Piironen and Eloranta, 1995).
220	We estimate the CBLH from the lidar RCS every 30 min by using the three methods, and then the obtained
221	height is marked at the central time of 30 min.
222	3.2 Threshold Method

The VV variance is representative of the level of turbulent activity, thus a threshold of VV variance is applied to determining the CBLH in the Doppler lidar measurement. The threshold is chosen to be 0.04 m<sup>2</sup>  $s^{-2}$  in the regions with weak turbulence (Tucker et al., 2009), 0.3 m<sup>2</sup> s<sup>-2</sup> in a tropical rainforest (Pearson et al., 2010), and 0.4 m<sup>2</sup> s<sup>-2</sup> in the region with central European climate (Schween et al., 2014; Träumner et al., 2011), while the thresholds of 0.1 and 0.2 m<sup>2</sup> s<sup>-2</sup> are selected in the urban landscapes since the retrieved CBLH is not heavily dependent on the given thresholds (Burgos-Cuevas et al., 2023; Huang et al. 2017;





229	Barlow et al., 2011). Similarly, the threshold method is also used to determine the CBLH from the VV
230	variance in the MMCR measurement, with a same duration of 30 min as the lidar observation.
231	Figure 2 presents the VV from the Ka-band MMCR observation and RCS (in arbitrary unit) from the
232	lidar measurement on 15 August 2020. By taking observations for 30 min from 11:45 to 12:15, we calculate

233 the mean VV and RCS, and estimate the position of CBL top by means of different algorithms, which are 234 shown in Figure 3. From the lidar RCS, the CBLH is 1.35 km in the Grd and WCT methods, and 1.32 km in 235 the Var method, indicating the consistent results for the three algorithms. In the MMCR observation, the VV variance has a clear downward trend with height increasing, with the values of about 1.36 m<sup>2</sup> s<sup>-2</sup> from the 236 near ground to 0.15 m<sup>2</sup> s<sup>-2</sup> at 1.47 km, and then maintains slight fluctuations around the value of 0.15 m<sup>2</sup> s<sup>-2</sup> 237 to higher altitudes. For a specified threshold of 0.3 m<sup>2</sup> s<sup>-2</sup>, the CBL top is identified at the height of 1.35 km, 238 239 which is in good agreement with the lidar results. It can be noted from Figures 3d and 3f that the CBLHs in 240 the mean RCS profile are around the position with the most rapid change, while the CBLH retrieved from 241 the MMCR VV variance, representing the convectively driven turbulences, is not related to the vertical 242 variation of mean VV. Hence, the good consistency of CBLH derived from the MMCR and lidar 243 demonstrates that the MMCR VV variance is a fine proxy in the estimation of CBLH.

As shown in Figure 3e, the variance decreases quickly from 0.4 m<sup>2</sup> s<sup>-2</sup> at 1.29 km to 0.15 m<sup>2</sup> s<sup>-2</sup> at 1.47 244 245 km, indicating that the CBH top at noon is less sensitive to the selected threshold within 0.15-0.4 m<sup>2</sup> s<sup>-2</sup>. 246 Figure 4 depicts the CBLHs on 15 August 2020 at the thresholds from 0.2 to 0.45 m<sup>2</sup> s<sup>-2</sup>. Overall, the CBL top declines with the increasing threshold, nevertheless, the CBLH from 09:30 to 17:30 remains relatively 247 stable with little change at the different thresholds. The discrepancy under these thresholds arises mainly in 248 249 the initial formation and final dissipation stages of CBL due to the large variabilities of turbulences with time and space. Even so, the CBL has an approximately same initial (final) height of about 0.09 (0.12) km at 250 251 06:00 (21:00). In following analysis, we take 0.3 m<sup>2</sup> s<sup>-2</sup> as the threshold to determine the CBLH in the





252 MMCR observation.

#### 253 4. Case Investigation and Comparison

254 Figure 5 presents the CBLH evolution on 15 August 2020 from the lidar RCS based on the Grd, Var and 255 WCT methods, and their comparison with that obtained from the MMCR VV variance, together with the distribution of MMCR reflectivity factor in the range of 10-15 km. As shown in Figure 5c, due to the 256 257 influence of aerosol residual layer, the CBLH from the lidar RCS fluctuates from about 1.56 km at 06:00 258 down to 1.17 km at 09:30, however, with the sunrise at 05:50, the CBL top derived from the MMCR VV variance gradually rises from about 0.09 km at 06:00 to 1.17 km at 09:30. It is interesting that the CBLH 259 260 from the lidar RCS variance drops at 07:30, and then shows a change similar to that from the MMCR VV 261 variance. When the CBL ascends gradually and mixes with the residual layer, the CBLHs in the lidar and 262 MMCR observations are consistent with each other between 09:30 and 17:00, including a slight drop at 12:30 and 14:30 (from the gradient and variance of RCS). The maximum height of CBL is about 1.71 km at 263 264 14:00 and 15:00 based on the VV variance and the RCS gradient and variance. One can note from the 265 reflectivity factor distribution in Figure 5b that cirrus clouds occur from 17:00, develop rapidly into the 266 thick clouds at about 11-14.4 km at 17:30, and then dissipate quickly after 17:30. In the MMCR observation, 267 the cirrus appearance makes a large contribution to a clear dip in the CBLH between 17:30 and 18:30, 268 nevertheless, the CBL top has a lift as the clouds dissipates rapidly, indicating that the convectively driven 269 turbulence and CBLH have an immediate response to radiation variation. The influence of clouds on the 270 CBLH is also reported in some earlier studies (Dewani et al., 2023; Bianco et al., 2022; Barlow et al., 2011). 271 The phenomenon of CBLH subsidence also arises in the lidar RCS, especially from the RCS variance, but 272 with a time lag due to the influence of historical mixing process on the aerosol distribution (Burgos-Cuevas et al., 2023; Schween et al., 2014). After the sunset at 19:05, the CBLH retrieved by the VV variance drops 273 274 quickly to 0.27 km at 20:00 from 1.47 km at 19:00, while the top of aerosol residual layer (or horizontally





275 migrating aerosol layer) identified by the lidar stays at far higher level, in particular, from the RCS gradient
276 and WCT.

277 Next, we select the observations on 31 January, 12 November, and 19 March 2020 to compare the CBLH 278 evolutions. Figure 6 shows the CBLHs on 31 January derived from the four methods above, which are 279 overlaid on the MMCR VV and its variance and the lidar RCS, respectively. It is very cold in January at 280 Wuhan, and the weather is clear from the MMCR observation on 31 January, with a minimum (maximum) 281 temperature of -5 °C (4 °C) recorded in weather forecast. Owing to the convection inhibited largely by the frigid surface and air, the VV variance shows that the CBLH develops very slowly upward to 0.3 km at 282 283 11:30 from 0.12 km at 07:30 as the sun rises at 07:15. Thereafter, the top of CBL climbs quickly to 0.9 km at 284 13:30, and reaches the maximum height of 0.99 km at 14:30, and during this period, the CBLH from the 285 lidar RCS experiences a similarly rapid uplift, and attains the peaks of 1.2 km at 14:00 from the RCS gradient and variance, and 1.14 km at 14:30 from the RCS WCT. In addition, it can be seen from Figure 6d 286 that all the CBLH is slightly larger from the three RCS algorithms than from the VV variance. This implies 287 288 that a moderately smaller threshold may be appropriate for the estimation of CBLH in winter with weak 289 turbulence (Burgos-Cuevas et al., 2023; Huang et al., 2017; Tucker et al., 2009). After 14:30, the CBLH 290 from the VV variance descends gradually, and approaches the ground at 17:30 prior to the sunset at 17:57, 291 while at the sunset, the CBL top from the RCS is at 0.8-0.9 km due to the history of mixing processes.

Figure 7 presents the CBLHs determined from the MMCR and lidar observations on 12 November 2020. With the sunset on this day in late autumn, the CBLH identified from the VV variance displays a little fluctuation until 10:30. After then, the CBL is rapidly developed to 0.51 km at 11:30, and mixes fully with the residual layer retrieved from the lidar RCS, thus the CBL tops have an approximately same evolution between the MMCR and lidar observations from 11:30 to 17:30, with the maximum values of about 0.75-0.78 km at 15:00 and 16:00. As the sun goes down at 17:27, the CBL from the VV variance rapidly





shrinks close to the ground at 18:00, and aerosol particles left in the air form a residual layer, similar to the

two cases above.

300	Figure 8 depicts the CBLH variations in the MMCR and lidar observations on 19 March 2020, together
301	with the depolarization ratio from the lidar. In spring, sandstorms occur frequently in the northwest of China,
302	and sand and dust with different intensities are often blown to Wuhan. On this day, there is a fine sand and
303	dust layer mostly above 1.8 km, with the depolarization ratios of about 0.08-0.12 in Figure 8c, which can
304	also be noted from the MMCR VV distribution. Meanwhile, another sand and dust layer with the larger
305	depolarization ratios of about 0.14-0.16 passes through Wuhan from about 14:00, and mixes with the lower
306	part of the first sand and dust layer. In this situation, the MMCR observation indicates that the CBL starts to
307	develop gently upward from the sunrise, and the upward trend of CBLH is also presented in the lidar
308	measurement, but at higher altitudes. At 09:30, the CBLH is about 0.48 km in both the MMCR and lidar
309	observations, and then rises steadily to 1.32 km at 16:00 and 16:30, shows a good agreement between the
310	two observations. Subsequently, the CBLH from the VV variance undergoes two rapid declines. One occurs
311	from 1.2 km at 17:00 to 0.51 km at 18:00, which is probably related to the sand and dust deposition besides
312	the diminished radiation in the late afternoon, and the other arises after the sunset. However, because of the
313	effect of sand and dust, the CBLH from the lidar RCS increases slightly from 1.32 km at 16:30 to about 1.38
314	km at 18:00 and 18:30, and then decreases gradually with time.
315	The CBLH is identified through the spatial and temporal variation of aerosol concentration from the

The CBLH is identified through the spatial and temporal variation of aerosol concentration from the lidar measurement but through the VV change in the time domain from the MMCR observation. The four examples demonstrate that except for a few hours after the sunrise and before the sunset due to the influence of aerosol residual layer, the CBL tops from the MMCR and lidar observations are in good agreement with each other. The residual layer always causes a higher CBLH estimated by the lidar RCS than by the MMCR VV because the convectively driven turbulence represented by the VV variance is less contaminated by the





321	residual layer. Hence, the MMCR VV observation can capture the CBLH evolution very well under a
322	threshold of VV variance, especially for the boundary layer in the blind range of lidar. In view of the
323	seasonal characteristics of convection, a slightly smaller threshold may be more suitable for the CBLH
324	estimation in winter with weaker turbulence. Owing to that the thermally driven convection is sensitive to
325	solar radiation, the CBL top identified from the VV variance has a swift response to clouds, which is
326	distinguished from the lidar observation due to the RCS affected by the history of aerosol mixing processes.

327

### 328 5. Monthly and Seasonal Mean CBLHs

329 To reveal the general characteristic of CBLH diurnal evolution in different months and seasons, we calculate the monthly and seasonal mean CBLHs by using the MMCR VV on these days without 330 precipitation in 2020. Routinely, winter covers December, January, and February, and so on. Figure 9 331 332 illustrates the averaged CBLHs with the standard deviations superimposed on the mean VV variance in each 333 month and season. As we expect, the mean VV variance is the strongest in summer and the weakest in 334 winter. As the spot of direct sunlight slowly moves northward, the mean variance gradually increases from 335 January to July and August, and then decreases step by step from August to December. Interestingly, the variance is significantly larger in spring than in autumn. These monthly and seasonal features of 336 337 convectively driven turbulence dominate the evolution of monthly and seasonal mean CBLHs. The 338 maximum height of CBL is 1.29 km at 14:30 and 15:00 in summer, 1.14 km at 13:30 in spring, and about 339 0.6 km at 13:30 and 14:00 in autumn and at 14:30 in winter. In summer, the CBLH displays a feature of 340 quick descent near twilight, and in autumn, the CBL shows a wider envelope with an earlier development and a later dissipation relative to that in winter though their maximum CBLHs are almost the same. 341

342 Figure 10 presents the maximum value of monthly mean CBLH and corresponding occurrence time

from January to December. The maximum height rises steadily from 0.66 km in January to 1.47 km July and





344	1.44 km in August, and then drops gradually to the lowest altitude of 0.42 km in December. In weather
345	forecast record, there are 7, 3, 13, 3 and 0 days with moderate to heavy rain at Wuhan in September from
346	2018 to 2022, indicating that September 2020 is a rainy month. The MMCR observation also shows
347	frequently moderate to heavy rains for hours in September 2020, which may be responsible for an evident
348	reduction of CBL maximum height from August to September since a large latent heat flux due to the
349	evaporation on the surface can inhibit the development of thermally driven convection to a certain extent
350	(Dewani et al., 2023; Sandeep et al., 2014). The maximum height occurs is between 13:00 in November and
351	December and 17:30 in July. At Wuhan, the plum rain starts in June and prevails in July. As shown in Figure
352	9, the CBLH in July has the largest standard deviation (between 13:00 and 19:00) and the latest occurrence
353	time of maximum value over the whole year, which is possibly attributable to the cloudy and rainy weather
354	in addition to the strongest radiation. Similarly, the variability of weather conditions may be a major reason
355	why the maximum height arises 1-2 hours earlier in April-June than in March. Nevertheless, with the
356	gradual decline of solar radiation, the occurrence time of maximum height is steadily advanced from 17:30
357	in July to 13:00 in November and December.

358 Finally, we calculate the mean values and standard deviations of daily maximum CBLH and its occurrence time in each month, which is presented in Figure 11. Figure 11 illustrates that the monthly mean 359 360 value of maximum CBLH has a variational trend similar to the maximum values of monthly mean CBLH in 361 Figure 10. The averaged maximum CBLH is raised from 0.87 km in January to 1.76 km July, and then gradually decreases to the lowest altitude of 0.5 km in December, and its largest and smallest standard 362 363 deviations also arise in July and December, respectively. The occurrence time of averaged maximum CBLH 364 is the earliest at about 12:40 in December and the latest at 15:45 in August, which is slightly distinguished 365 from those in the maximum value of mean CBLH. The standard deviation of occurrence time is obviously 366 large in January, July and September. These results imply that the maximum height and its occurrence time





- 367 of daily CBL are significantly influenced by the weather conditions besides radiation since the VV variance
- 368 as a proxy of convectively driven turbulence is sensitive to the weather changes.
- 369
- **6. Summary**
- 371 In this study, we investigate the diurnal evolution of monthly and seasonal mean CBLH at Wuhan by the
- 372 VV variance method based on the Ka-band MMCR observation, and compare the CBLH evolution with that
- 373 by the RCS gradient, variance and wavelet methods from the lidar measurement.

374 Using the MMCR VV observation on these days without precipitation in 2020, we statistically analyze 375 the monthly and seasonal variations of CBLH. The maximum value of monthly mean CBLH increases steadily from 0.66 km in January to 1.47 km July and 1.44 km in August, and subsequently, decreases 376 377 gradually to the lowest height of 0.42 km in December. Analogously, the monthly mean value of daily 378 maximum CBLH rises from 0.87 km in January to 1.76 km July, and then gradually drops to the lowest 379 altitude of 0.5 km in December. The occurrence times is between 13:00 in November and December and 380 17:30 in July for the maximum value of monthly mean CBLH, but between about 12:40 in December and 381 15:45 in August for the monthly mean value of daily maximum CBLH, respectively. As for the seasonal 382 feature, the seasonal mean CBLH has the maximum heights of 1.29 km at 14:30 and 15:00 in summer, 1.14 383 km at 13:30 in spring, and 0.6 km at 13:30 and 14:00 in autumn and at 14:30 in winter. These results are 384 similar to those in early studies (Burgos-Cuevas et al., 2021; Guo et al., 2021; Solanki et al., 2021; Tang et 385 al., 2016; Kong and Yi, 2015). Meanwhile, the statistical standard deviations are monthly-dependent, 386 suggesting that the CBLH is not only regulated mainly by the solar radiation, but also affected significantly by the weather conditions, such as clouds through decreasing radiation to the surface, and precipitation 387 388 through increasing the latent heat flux.

389 Besides the maximum values, the MMCR VV variance can capture the initial formation and final





390	dissipation stages of CBL very well relative to the lidar RCS. In the ascending and descending phases of
391	CBL, the CBLH from the lidar RCS is higher than from the MMCR VV variance, due to the high blind
392	range of lidar and the strong influence of aerosol residual layer on the lidar RCS. When the CBLH reaches
393	the height of CBL top identified by the lidar RCS, the CBL tops from the MMCR and lidar observations are
394	in good agreement until it is separated from the top of aerosol residual layer left behind in the late afternoon.
395	Additionally, in comparison to the lidar RCS affected by the history of aerosol mixing processes, the CBLH
396	in the MMCR observation shows a rapid response to clouds and a less contamination by the long-range
397	transport of sand and dust, indicating the efficiency of the VV variance method in the estimation of
398	convectively driven boundary layer, similar to in early studies (Dewani et al., 2023; Huang et al., 2017;
399	Barlow et al., 2011).

The case analysis indicates that the CBLH is not very sensitive to the VV variance thresholds of 0.2-0.45 400  $m^2 s^{-2}$ , thus we chose a constant threshold of 0.3  $m^2 s^{-2}$  across all months and seasons. Whereas, the 401 investigation shows that a slightly smaller threshold may be more suitable for the weak convection in winter, 402 403 thus considering the seasonal and regional, and even weather characteristics of thermally driven convection, 404 the optimal threshold of VV variance in different scenarios require to be discussed carefully in the future. As is known, the Ka-band MMCR is a powerful instrument for observing clouds and weak precipitation, thus 405 406 the MMCR measurement gives us an opportunity to study in detail the influence of clouds at different 407 heights on the CBLH and the CBLH evolution under different weather conditions.

In this case, the full-time and full-weather MMCR observation with low blind height can obtain the whole evolution of CBLH in many weather conditions, which is helpful for us to gain an insight into the CBL features and also provides the important input variables for weather-prediction and climate models.

411





- 412 Code availability. Software code to obtain the results is available upon request from the corresponding
- 413 author.
- 414 Data availability. All data used are available upon request from the corresponding author.
- 415 Author contributions. KH and FY conceptualized this study. ZZ and KH completed the analysis and the
- 416 manuscript. FL, JZ, YJ, and FY discussed the results and finalized the manuscript.
- 417 Competing interests. The authors declare that they have no conflict of interest.
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- 421
- 422

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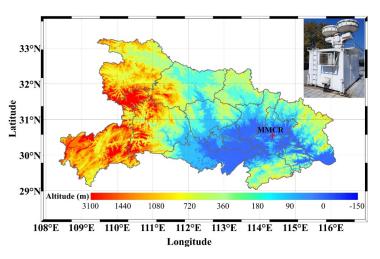


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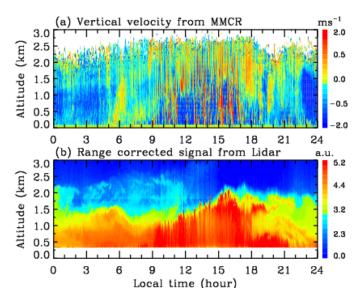


670 Figure 1. Topographic elevation map of Hubei Province and Ka-band MMCR located in Wuhan University

671 (30.54°N, 114.36°E). The red crisscross denotes the site of MMCR.







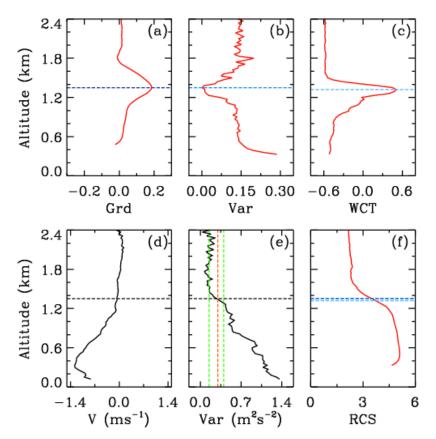
673 Figure 2. Time-height section of (a) vertical velocity from MMCR and (b) RCS from lidar on 15 August

674 2020.

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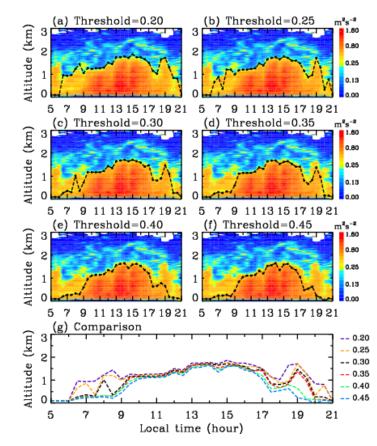


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Figure 3. Profiles of (a) RCS gradient, (b) variance, (c) WCT and (f) RCS form lidar, and (e) vertical velocity and (g) its variance from MMCR between 12:15 and 12:45 LT on 15 August 2020. In these panels, the horizontal lines in different colors represent the CBLH determined by different methods. In Panel 3e, the orange vertical line denotes the selected threshold of  $0.3 \text{ m}^2 \text{ s}^{-2}$ , and the two green vertical lines correspond to the variances of 0.15 and 0.4 m<sup>2</sup> s<sup>-2</sup>, respectively.







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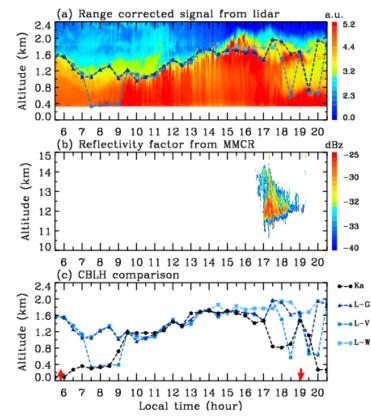
682 Figure 4. CBLHs derived from thresholds of (a) 0.2, (b) 0.25, (c) 0.3, (d) 0.35, (e) 0.4 and (f) 0.45  $m^2 s^{-2}$ 

683 superimposed over vertical velocity variance (color shading) from MMCR on 15 August 2020, and (g) their

684 comparison.





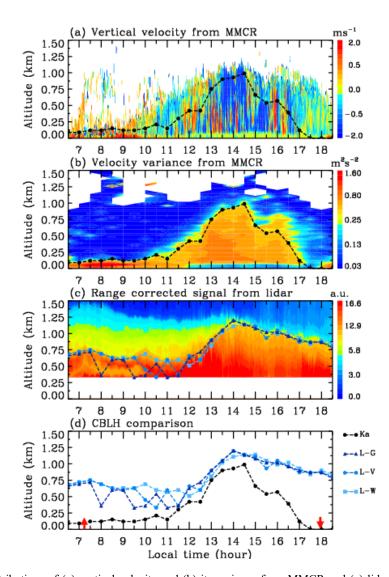


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Figure 5. (a) Evolution of CBLH derived from RCS gradient, variance and WCT superimposed over lidar RCS (color shading) on 15 August 2020, (b) reflectivity factor from MMCR, and (c) comparison of CBLHs derived from MMCR and lidar observations. The black dash curve with circle (Ka) denotes the CBLH determined by the variance threshold of  $0.3 \text{ m}^2 \text{ s}^{-2}$  in the Ka-band MMCR observation, while the dark blue, blue and light blue dash curves with triangle (L-G), circle (L-V) and square (L-W) represent the CBLH determined by the gradient, variance and WCT in the lidar measurement, respectively. In Panel 5c, the two red arrows denote the time of sunrise and sunset at 05:50 and 19:05, respectively.







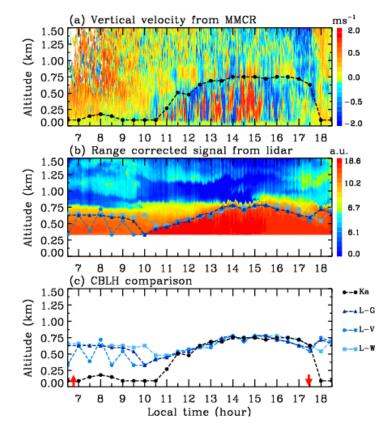
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Figure 6. Distributions of (a) vertical velocity and (b) its variance from MMCR and (c) lidar RCS on 31 January 2020 with retrieved CBLH, and (d) comparison of CBLHs derived from MMCR and lidar observations. The threshold of vertical velocity variance from the MMCR is  $0.3 \text{ m}^2 \text{ s}^{-2}$ . In Panel 6d, the two

red arrows denote the time of sunrise and sunset at 07:15 and 17:57, respectively.







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699 Figure 7. Distributions of (a) vertical velocity from MMCR and (b) lidar RCS on 12 November 2020 with

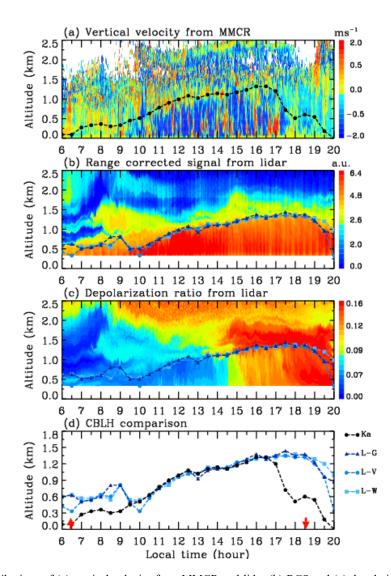
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700 retrieved CBLH, and (c) comparison of CBLHs derived from MMCR and lidar observations. The threshold
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of vertical velocity variance from the MMCR is 0.3 \text{ m}^2 \text{ s}^{-2}. In Panel 7c, the two red arrows denote the time of
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sunrise and sunset at 06:47 and 17:27, respectively.
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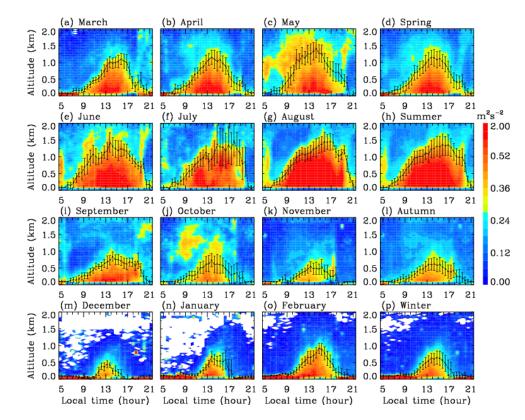
Figure 8. Distributions of (a) vertical velocity from MMCR and lidar (b) RCS and (c) depolarization ratio on 19 March 2020 with retrieved CBLH, and (d) comparison of CBLHs derived from MMCR and lidar observations. The threshold of vertical velocity variance from the MMCR is  $0.3 \text{ m}^2 \text{ s}^{-2}$ . In Panel 8d, the two

red arrows denote the time of sunrise and sunset at 06:27 and 18:34, respectively.





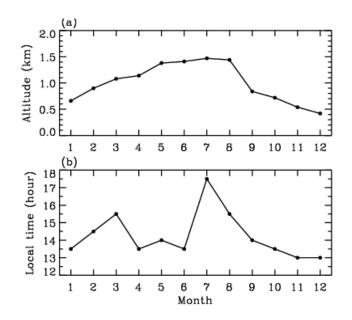
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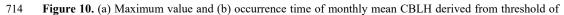
**Figure 9.** Monthly and seasonal mean values and statistical standard deviations of CBLH estimated by threshold of vertical velocity variance from MMCR. The variance threshold is  $0.3 \text{ m}^2 \text{ s}^{-2}$ , and the color shading denotes the variance distribution. The months and seasons are marked above the corresponding panels, respectively.







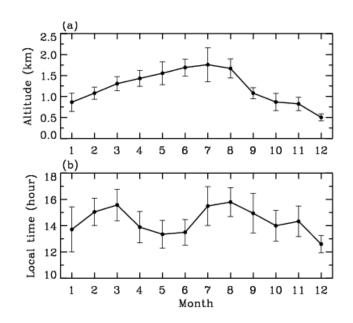
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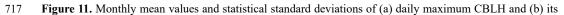
715 vertical velocity variance in MMCR observation.







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718 occurrence time derived from threshold of vertical velocity variance in MMCR observation.