



# Coupling the regional climate model ICON-CLM v2.6.6 into the Earth system model GCOAST-AHOI v2.0 using OASIS3-MCT v4.0

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## Abstract

Interactions and feedback between compartments of the Earth system can have a significant impact on local and regional climate and its changes due to global warming. These effects can be better represented by regional Earth system models (RESMs) than by traditional stand-alone atmosphere and ocean models. Here, we present the RESM GCOAST-AHOI version 2.0, which includes a new atmospheric component, the regional climate model ICON-CLM, which is coupled with the ocean model NEMO and the hydrological discharge model HD via the OASIS3-MCT coupler. The GCOAST-AHOI model has been developed and applied for climate simulations over the EURO-CORDEX domain. Two 11-year simulations from 2008-2018 of the uncoupled ICON-CLM and GCOAST-AHOI give similar results for seasonal and annual means of near-surface air temperature, precipitation, mean sea level pressure and wind speed at 10 m height. However, GCOAST-AHOI has a cold SST bias of 1-2 degrees over the Baltic and the North Seas, most pronounced in winter and spring seasons. A possible reason for the cold SST bias could be the underestimation of the downward shortwave radiation at the surface of ICON with the current model settings. Despite of the cold SST bias, GCOAST-AHOI was able to capture other key variables such as those mentioned above well. Therefore, GCOAST-AHOI can be a useful tool to apply for long-term climate simulations over the EURO-CORDEX domain. The new OASIS3-MCT coupling interface OMCI implemented in the ICON-CLM model makes the ICON-CLM model more flexible to couple with an external ocean model and an external hydrological discharge model. Using OMCI, it is also possible to set up a RESM for other regions, such as the Mediterranean Sea.

Keyword: GCOAST, ICON-CLM, OASIS3-MCT coupling interface, climate simulations, EURO-CORDEX, RESM

## 1 Introduction

GCOAST (Geesthacht Coupled cOAstal model SysTem) is an Earth system framework developed at Helmholtz-Zentrum Hereon, Germany (Staneva et al., 2018). GCOAST is a modular system of different models each developed for a specific component of the Earth system. Based on a specific scientific question, different models from GCOAST can be selected. These models can be plugged together by various couplers, such as OASIS3-MCT (Valcke et al., 2015), ESMF (Earth System



7 Modeling Framework; Hill et al., 2004), or FABM (Framework for Aquatic Biogeochemical Models;  
8 <https://fabm.net>). The coupling can be done at different levels of coupling granularity and the  
9 couplers handle the exchange of information between model combinations, individual models, and  
10 processes.

11 GCOAST systems have been applied for several studies covering the Baltic and-North Sea region  
12 and part of the North Atlantic. These studies include atmosphere-river-ocean-sea ice coupling (Ho-  
13 Hagemann et al., 2020), atmosphere-wave coupling (Wahle et al., 2017; Wiese et al., 2019, 2020),  
14 wave-ocean coupling (Staneva et al., 2016; Schloen et al., 2017; Lewis et al., 2019), hydrosphere-  
15 biosphere coupling for the Elbe estuary (Pein et al., 2019), the total organic carbon-macroben-  
16 thos coupling model (Zhang et al., 2019), and multi-model couplings developed by Lemmen et al. (2018),  
17 which have been applied to assess ecosystem impacts of offshore wind farms (Slavik et al., 2019).

18 So far, the atmospheric model component of GCOAST has been the non-hydrostatic limited area  
19 atmospheric model COSMO-CLM v5.0 (Rockel et al., 2008). The COSMO (COnsortium for Small-scale  
20 MOdeling) model was initially developed by the Deutscher Wetterdienst (DWD, the German  
21 Meteorological Service) in the 2000s as a limited-area weather forecast model. Later, it was further  
22 developed in the Climate Limited-area Modeling Community (CLM-Community) as the regional  
23 climate model COSMO-CLM (hereafter referred to as CCLM).

24 In 2001, a cooperation between the DWD and the Max-Planck Institute for Meteorology (MPI-M)  
25 was initiated, with the aim of developing a new modelling system for weather prediction and climate  
26 simulations. As one result of this initiative, the global numerical weather prediction model ICON  
27 (Icosahedral Nonhydrostatic) was developed (Zängl et al., 2015). ICON can also be used in a  
28 configuration with regional grid refinement (2-way nesting) or in limited area mode.

29 In general, two different physics packages are available in ICON: the first one is the Numerical  
30 Weather Physics package of DWD (i.e. the ICON-NWP model); and the second one is the ECHAM  
31 physics package of MPI-M. In the second package, the global atmospheric model ICON-A (Giorgetta  
32 et al., 2018) is coupled with the global ocean model ICON-O (Korn, 2017) and the land and biosphere  
33 model JSBACH (Reick et al., 2021) within the ICON Earth System Model (ICON-ESM; Jungclaus et al.,  
34 2022). ICON can also be used for large-eddy simulations (Dipankar et al., 2015). ICON-LAM is the  
35 Limited-Area Mode of ICON-NWP. Starting in 2017, DWD and the CLM-Community decided to  
36 develop the climate limited area mode (ICON-CLM, Pham et al., 2021) based on ICON-LAM.

37 Nowadays, with contributions from the Karlsruhe Institute of Technology (KIT) and the German  
38 Climate Computing Center (DKRZ), the ICON-ESM can include not only the atmospheric, land, river  
39 routing, ocean-sea ice and biogeochemical compartments but also the Aerosols and Reactive Trace



40 gases (ART) model. ICON can be set up to operate on several high-performance computing systems  
41 such as Bull ATOS at DKRZ (Hamburg, Germany), NEC-Aurora Tsubasa at DWD (Offenbach, Germany)  
42 or BullSequana at Forschungszentrum Jülich (FZJ, Jülich, Germany). ICON can be used on a wide  
43 range of scales from climate projection, climate prediction and numerical weather prediction down  
44 to large-eddy simulations (Heinze et al., 2017). In addition to the main components of the climate  
45 system, ICON uses YAC (Yet Another Coupler; Hanke et al., 2016) to couple them.

46 To couple ICON to an external ocean model such as the NEMO model (Nucleus for European  
47 Modelling of the Ocean, Madec et al. 2017), which represents the ocean and sea ice components  
48 within GCOAST, there were two feasible options: either to implement YAC interfaces in NEMO, or  
49 to implement OASIS interfaces in ICON. Implementing and maintaining YAC interfaces within NEMO  
50 is a major challenge. The NEMO model is already linked to the OASIS coupler, which can be used to  
51 couple with many other model components. There is no obvious need for YAC interfaces in the  
52 NEMO users and developers community. Therefore, it was decided to port the OASIS coupling  
53 interfaces from CCLM to ICON-CLM.

54 For regional ocean-atmosphere coupling over the Baltic Sea, Bauer et al. (2021) have  
55 implemented the ESMF interfaces in an earlier version of ICON-NWP as well as into the ocean model  
56 GETM. However, they did not consider sea ice in the coupling. ICON-O is the global ocean model of  
57 the ICON family and could potentially replace NEMO within GCOAST, but it is not yet available as a  
58 regional ocean model for coupling with ICON-CLM.

59 ICON-NWP/ICON-CLM already includes the land surface schemes TERRA and JSBACH, which are  
60 coupled via subroutine to the atmospheric component. However, it might be desirable to couple  
61 with other land surface models such as the Community Land Model (CLM), as has been done for the  
62 CCLM via the OASIS interface (see Shrestha et al., 2014; Will et al., 2017). There is an ongoing work  
63 at FZJ to couple ICON-CLM with the Community Land Model (CLM) via the OASIS3-MCT coupler (in  
64 preparation).

65 The aim of this article is to give a detailed description of the OASIS3-MCT coupling interface  
66 (hereafter referred to as OMCI) in ICON (release version 2.6.6), how to implement OMCI with as  
67 little modification of the ICON source code as possible, how to compile it on the high-performance  
68 computing system Levante at DKRZ, and how to run the coupled system model GCOAST-AHOI with  
69 ICON for climate simulations over the EURO-CORDEX domain. This information is useful to other  
70 groups planning to couple ICON with NEMO or any other ocean model that already has an available  
71 OASIS3-MCT interface. The Earth System Modelling (ESM) Community agrees that ICON and IFS  
72 (coupled to FESOM and NEMO) will play a central role within the Helmholtz Association of German



73 Research Centres (HGF). This new OMCI opens more opportunities to use ICON in ESM applications  
74 as well as in other modelling communities. The OMCI can also be applied to couple with a land  
75 surface model with minor necessary adaptations.

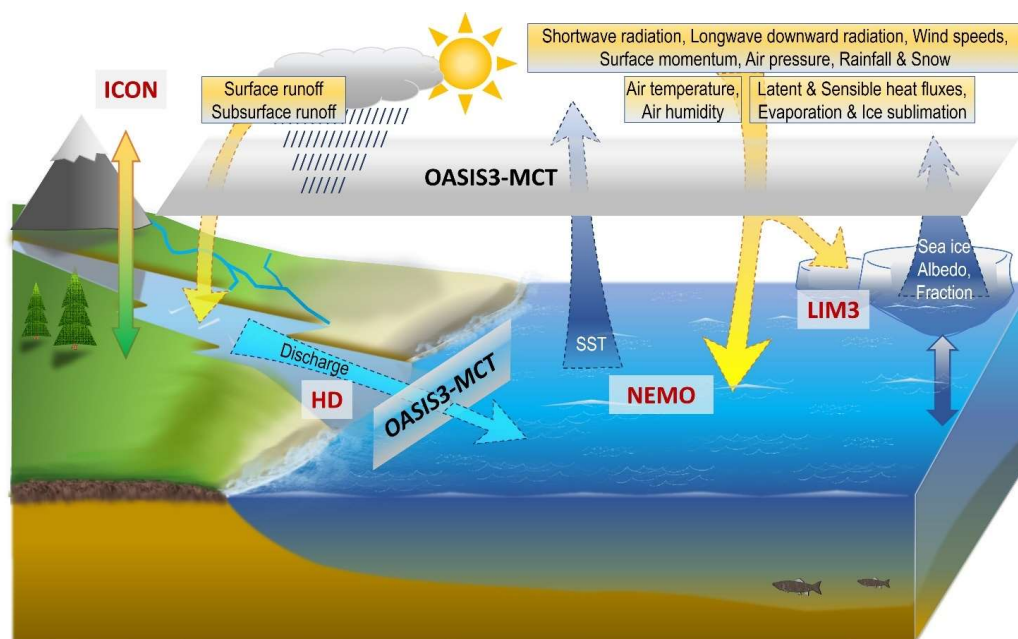
76 We briefly introduce the coupled system model GCOAST-AHOI in Section 2, and describe the  
77 details of OMCI in ICON-CLM in Section 3. Experiment setups are presented in Section 4, followed  
78 by an analysis of the model simulations in Section 5. Finally, conclusions and a discussion are given  
79 in Section 6.

## 80 **2 The coupled system model GCOAST-AHOI**

81 GCOAST-AHOI is a subset of GCOAST that includes model components for **A**-Atmosphere and Land,  
82 **H**-Hydrological discharge, **O**-Ocean, and **I**-Sea Ice. GCOAST-AHOI version 1.0 (Ho-Hagemann et al.,  
83 2020) contains the atmospheric model CCLM v5.0, the ocean model NEMO v3.6 (including the sea  
84 ice model LIM3) and the hydrological discharge model HD v4.0 (Hagemann and Dümenil, 1998;  
85 Hagemann et al., 2020), coupled via OASIS3-MCT v2.0. A detailed description of CCLM, NEMO and  
86 HD as components of GCOAST-AHOI can be found in Ho-Hagemann et al. (2020).

87 In the GCOAST-AHOI version 2.0, ICON-CLM replaces CCLM as atmospheric model, which is  
88 coupled to NEMO v3.6 and HD v5.1 via OASIS3-MCT v4.0. By coupling the atmosphere-ocean-river  
89 runoff models in GCOAST-AHOI, we aim to close the water balance in the RESM. Figure 1 illustrates  
90 the three models exchanging radiation, wind, pressure, temperature, humidity, water, and sea ice  
91 related variables at their interfaces via the OASIS coupler.

92



93

Figure 1: Model components of GCOAST-AHOI and variables exchanged via the OASIS3-MCT coupler. Two solid arrows display the communication between atmosphere-land (yellow-green arrow) and ocean-sea ice (gray-blue arrow), which is done via subroutines inside ICON and NEMO, respectively. Dotted arrows show the transfer between components via the OASIS interface. Yellow arrows present atmospheric transfer to ocean-sea ice and river runoff. The cyan arrow shows the discharge from the river to the ocean. Blue arrows demonstrate the transfer of sea surface temperature (SST) from the ocean as well as the sea ice albedo and sea ice fraction to the atmosphere.

94 The OMCI in NEMO v3.6 has been modified compared to the original one in the officially released  
 95 version at <http://forge.ipsl.jussieu.fr/nemo/wiki/Users/release-3.6> to be able to receive state  
 96 variables from the atmospheric model (Ho-Hagemann, 2024). Supplement S1 contains a flowchart  
 97 of the OMCI for NEMO v3.6. This flowchart differs slightly from Figure 9 in Will et al. (2017), who  
 98 used the older version NEMO v3.3. The OMCI in HD can be found in the source code publication of  
 99 Hagemann and Ho-Hagemann (2021). Supplement S2 shows the OMCI of HD. In this article, we  
 100 describe in detail the OMCI in ICON-CLM, or ICON for short.

101 In Section 3, we demonstrate the construction of the OMCI in ICON and the optional coupling  
 102 methods between ICON and NEMO.

### 103 3 The coupling OASIS3-MCT interface in ICON

#### 104 3.1 Interface structure

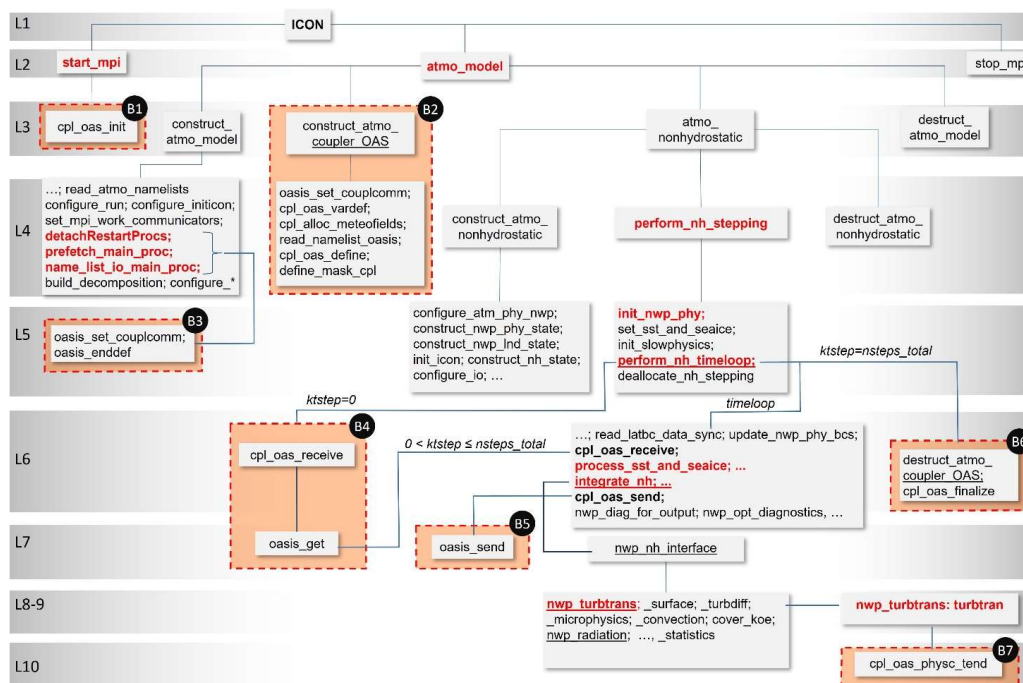
105 Figure 2 shows a flowchart of ICON with the OMCI implemented for coupling with NEMO and HD.  
 106 10 levels of ICON's source code are described: the first level is the main program ICON, the second



107 level starts with the *start\_mpi*, then *atmo\_model* and ends with *stop\_mpi*, etc.

108 Levels 2 to 6, 8 and 9 comprise subroutines of ICON (marked in red) that are modified by the  
 109 coupling. On levels 3 to 7 and 10, new subroutines (orange boxes B1-B7) have been added with the  
 110 OMCI. They are organized in three modules (*cpl\_oas\_vardef.f90*, *cpl\_oas\_mpi.f90* and  
 111 *cpl\_oas\_interface.f90*) containing about 3000 lines of Fortran code (including the current debug  
 112 lines). The files have been added to the *icon/externals/oasis3-mct* directory and linked to the  
 113 *src/atm\_phy\_nwp* directory of the ICON source tree.

114



115

Figure 2: Flowchart of ICON-NWP/ICON-CLM with the OASIS3-MCT coupling interface OMCI. The running sequence is from top to bottom, and from left to right. “L1” indicates the Level 1 – main program ICON, etc. At the levels 2 to 6, 8 and 9, subroutines (in red text) of ICON are modified by the coupling. At the levels 3 to 7 and 10, subroutines added for OMCI are shown in orange boxes (B1-B7).

116 We can divide the OMCI into four main processes: *Initialization*, *Definition*, *Data exchange*, and  
 117 *Finalization*. Box B1 (Fig. 2) belongs to the *Initialization* phase of OASIS in ICON. In this phase, the  
 118 ICON file *mo\_mpi.f90* is modified, and the file *cpl\_oas\_mpi.f90* of OMCI is newly created (see  
 119 Supplementary Tables S1 and S2). In *mo\_mpi.f90*, the *start\_mpi* subroutine from ICON calls the  
 120 *cpl\_oas\_init* subroutine from OMCI, which in turn calls two subroutines from the OASIS library  
 121 (*oasis\_init\_comp* and *oasis\_get\_localcomm*). The subroutine *cpl\_oas\_init* (belonging to  
 122 *cpl\_oas\_mpi.f90*) is similar to the subroutine *oas\_cos\_init* of the unified OASIS interface in CCLM



123 (Will et al., 2017).

124 Boxes B2 and B3 belong to the *Definition* phase to define and allocate all coupling fields. In this  
125 phase, three ICON files are slightly modified by calling *oasis\_set\_couplcomm* and *oasis\_enddef* from  
126 the OASIS library (rows 3-5 in Table S2). In addition, some code lines are added to three ICON  
127 modules to declare the new sea ice albedo variable “alb\_si\_ext” to be sent to NEMO (row 6 Table  
128 S2).

129 Two additional files from OMCI (*cpl\_oas\_vardef.f90* and *cpl\_oas\_interface.f90*) are added to the  
130 ICON source code. Module *cpl\_oas\_vardef* simply contains a definition of all coupling variables. Part  
131 of the *cpl\_oas\_interface* module is the *construct\_atmo\_coupler\_OAS* subroutine which is called by  
132 the ICON *atmo\_model* subroutine (*src/drivers/mo\_atmo\_model.f90*). The subroutine  
133 *construct\_atmo\_coupler\_OAS* also calls *oasis\_set\_couplcomm* before calling three other  
134 subroutines of OMCI (i.e. *read\_namelist\_oasis*, *oasis\_atm\_define* and *define\_mask\_cpl*) to define  
135 the decomposition of ICON and to read in the ocean domain masked on the atmospheric domain of  
136 ICON (i.e. the coupling mask, variable *mask\_cpl*) from a netcdf file named *atmin.nc*.

137 Calling *oasis\_set\_couplcomm* in the *Definition* phase is a peculiarity of ICON compared to CCLM,  
138 NEMO and HD. The reason for this is that ICON devotes one processor out of the total number of  
139 processors to reading the lateral boundary conditions (by setting *num\_prefetch\_proc=1* in ICON’s  
140 *parallel\_nml* namelist). This single processor should be seen by OASIS, but only in the *Initialization*  
141 phase. The OASIS subroutine *oasis\_set\_couplcomm*, called after the *Initialization*, helps to set a  
142 coupling communicator in the case that only a subset of the component processes is involved in the  
143 coupling. In this case, the “subset” is all the processors allocated for ICON except the one defined  
144 by *prefetch\_proc*. In the ICON-CLM versions prior to 2.6.4, it is possible to set *num\_prefetch\_proc=0*,  
145 so that the call to *oasis\_set\_couplcomm* in the *Definition* phase would not be necessary. However,  
146 since version 2.6.4, *num\_prefetch\_proc=1* is mandatory. Therefore, *oasis\_set\_couplcomm* must be  
147 called, otherwise the coupled model will hang after the *Initialization*.

148 The exchanged variables (see Fig. 1) are listed in the OMCI subroutine *oasis\_atm\_define* which  
149 are read in from a namelist file *namelist\_cpl\_atm\_oce* to define which variables are sent and  
150 received. The variable names used in ICON, corresponding to the exchanged variables, are similar  
151 to the variables listed in Table 1 of Bauer et al. (2021).

152 Boxes B4, B5, and B7 belong to the *Data exchange* phase while the coupled system is running. In  
153 this phase, subroutines in the OMCI module *cpl\_oas\_interface* are used, and five ICON modules are  
154 modified (rows 7-9 Table S2). The five ICON modules are highlighted in red in Fig. 2 from level 4 to  
155 9, under the subroutine *perform\_nh\_stepping*. Variables (i.e. sea surface temperature, sea ice



156 fraction and albedo) received from NEMO via OMCI by calling the subroutine *cpl\_oas\_receive* are  
157 updated to the newer values at each ICON time step within the subroutine *perform\_nh\_timeloop*  
158 through several steps. They are first updated in the subroutine *process\_sst\_and\_seaice*, and then  
159 used to modify the surface roughness in the turbulent scheme via the subroutine *turbtran*  
160 (*turb\_transfer.f90*) of ICON. The subroutine *turbtran* is called by the module *nwp\_turbtrans*, which  
161 in turn is called by the subroutine *nwp\_nh\_interface* inside the subroutine *integrate\_nh*. After the  
162 subroutine *integrate\_nh*, the subroutine *cpl\_oas\_send* is called to pass the defined exchange  
163 variables from ICON to NEMO and HD via OMCI.

164 Box B6 in Fig. 2 indicates the *Finalization* phase for OASIS. Here, two subroutines  
165 *destruct\_atmo\_coupler\_OAS* and *cpl\_oas\_finalize* are called. The subroutine  
166 *destruct\_atmo\_coupler\_OAS* simply deallocates all coupling variables. OMCI's subroutine  
167 *cpl\_oas\_finalize* calls two OASIS subroutines *oasis\_atm\_finalize* and *oasis\_terminate*, as in the  
168 *Finalization* phase in CCLM, NEMO and HD. Alternatively, the *Finalization* box can be placed at level  
169 3, before *destruct\_atmo\_model* of ICON. However, leaving the *Finalization* box at level 6 is more  
170 flexible, e.g. for testing the behavior of ICON when finalizing OASIS at the *ktstep=nsteps\_total* or  
171 *ktstep=nsteps\_total-1*.

172 Supplement S4 contains a guide for compiling ICON with this OMCI on Levante at DKRZ. The  
173 preparation of OASIS input files for GCOAST-AHOI is described in Supplement S5, which is  
174 accompanied by an example of the *namcouple* file in Supplement S6 and the *namelist\_cpl\_atm\_oce*  
175 in Supplement S7. The command to run GCOAST-AHOI on Levante is provided in Supplement S8.  
176 The complete package to conduct experiments for this study is included in the Starter Package for  
177 ICON-CLM Experiments (SPICE; Rockel and Geyer, 2022), which is a workflow engine to easily  
178 perform long-term simulations. This tool has been further developed from the ICON-CLM\_SP starter  
179 package (Pham et al. 2021). Some additional parts for coupling with NEMO and HD have been added  
180 to the original package.

### 181 **3.2 Coupling methods**

182 In the officially released version of NEMO v3.6, several fluxes and variables, including shortwave  
183 (SW) and longwave (LW) radiation fluxes, latent (LH) and sensible heat (SH) fluxes, rain, snow,  
184 evaporation, ice sublimation, mean sea level pressure (MSLP) and surface momentum, can be sent  
185 from an atmospheric model to NEMO via the OASIS3-MCT coupler. To be able to receive state  
186 variables from the atmospheric model, the OMCI in NEMO v3.6 has been modified to allow air  
187 temperature and air specific humidity at 2 m height (*T\_2M* and *QV\_2M* respectively) to be sent from  
188 the atmospheric model to NEMO. This allows NEMO to use these variables to calculate the LH and





189 SH, as in the case of the stand-alone NEMO using the “CORE bulk formulae” (Large and Yeager,  
190 2004). Thus, we have three options for the coupling method between ICON and NEMO:

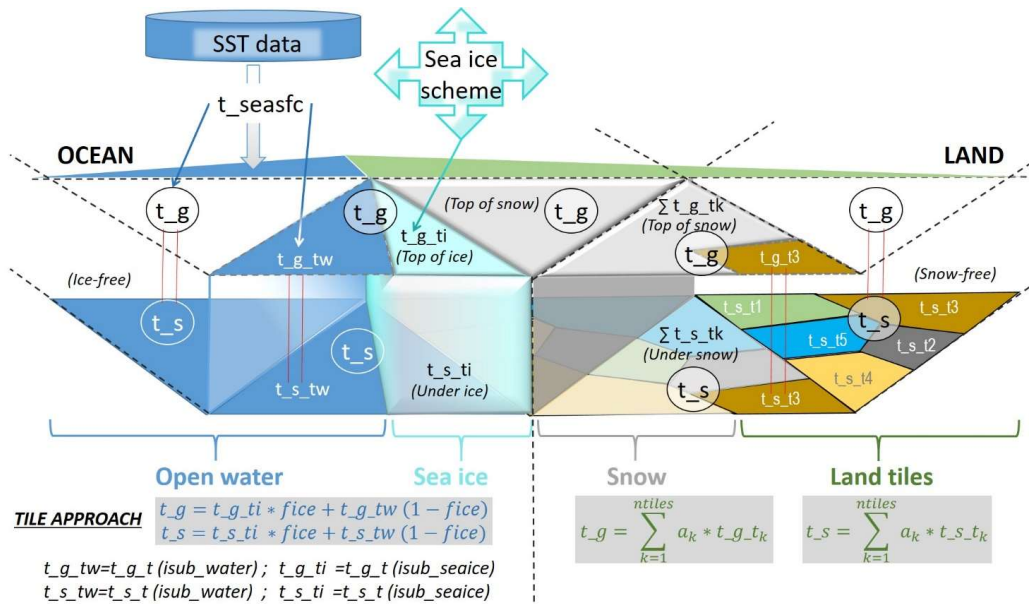
- 191 a) CPL\_flg: **flux coupling**, which is the default option in the NEMO source code (described above)
- 192 b) CPL\_var: **state variable coupling**, the new method, where SW and LW, T\_2M, QV\_2M, wind  
193 speed at 10 m height (UV\_10M), rain, snow, MSLP, surface momentum are sent from ICON  
194 to NEMO. NEMO calculates LH and SH using the “CORE bulk formulae” which is based on the  
195 Monin Obukhov similarity theory.
- 196 c) CPL\_mix: **mixture coupling**, the new method, like CPL\_var, but ICON also sends LH and SH to  
197 NEMO. NEMO then averages them with the LH and SH calculated using the “CORE bulk  
198 formulae”.

199 With the modification of OMCI in NEMO v3.6, it is now easy to select the coupling method via  
200 the namelist settings. Section 5 considers the simulations using the coupling method 3 (CPL\_mix),  
201 which was also used in Ho-Hagemann et al. (2020).

202 In turn, NEMO sends the sea surface temperature, sea ice fraction and sea ice albedo to ICON.  
203 Fig. 3 illustrates how the surface temperature is updated in ICON over the ocean (left side) and over  
204 land (right side) in the presence of sea ice and snow. ICON utilizes a tile approach to compute surface  
205 fluxes of momentum and scalars. For the “sea-water type” grid boxes, the grid box mean fluxes are  
206 computed as a weighted average of the fluxes over ice and over open water, using the fractional ice  
207 cover  $f_{ice}$  and the fractional open water cover  $(1 - f_{ice})$  as the respective weights. Sea ice in each  
208 ICON grid box is considered only if  $f_{ice}$  exceeds its minimum value of 0.015. Otherwise, the grid box  
209 is treated as ice-free. In ICON, two types of surface temperature are considered: the ground  
210 temperature  $t_g$  and the surface temperature  $t_s$ . If a grid box is covered by sea ice or snow,  $t_g$  is  
211 the mixed temperature of the free sea ice/free snow surface temperature and the temperature on  
212 top of the sea ice/snow. Under the sea ice,  $t_s$  is calculated as a mixture of the free sea ice  
213 temperature and the salt water freezing temperature of 271.45 K. If there is no sea ice or snow in  
214 the grid box,  $t_g$  is equal to  $t_s$ . In principle, NEMO can send the mixed sea ice and water  
215 temperature to ICON to update  $t_g$  over the ocean points, as in CCLM in Ho-Hagemann et al. (2020).  
216 Or it can send the open water temperature, the sea ice surface temperature and the sea ice fraction  
217 so that ICON can calculate  $t_g$  as the mixture. However, in the uncoupled mode of the current ICON-  
218 CLM version, the sea surface temperature (SST) forcing is read in as the variable  $t_{seasfc}$  (or  $t_{s\_w}$   
219 in Fig. 3) and passes through the subroutines *nwp\_surface\_init* and *process\_sst\_and\_seaice* to  
220 calculate  $t_g$ . To be consistent with the ICON-CLM updates, we pass the SST (to update the  $t_{seasfc}$ ),  
221 the sea ice fraction (to update  $fr_{seaice}$ ), and the sea ice albedo ( $alb_{si\_ext}$ ) from NEMO to ICON.



222 ICON will then calculate  $t_g$ ,  $t_s$ ,  $alb_{si}$ , etc. using its sea ice scheme. In the future, we may modify  
 223 this coupling method by using the sea ice temperature from NEMO.



224

Figure 3: Surface temperature exchange between atmosphere and ocean/land in ICON and GCOAST-AHOI.

## 225 4 Experimental design

226 In this study, two experiments are conducted with the uncoupled ICON (ICON266) and GCOAST-  
 227 AHOI (ICPL266) for the period of 2008-2018. An experiment starts on 01 January 2008 and ends on  
 228 01 January 2019, restarting each month. The integration domains of ICON, NEMO and HD are  
 229 displayed in Fig. 4. The namelist setup of physical parametrization for ICON-CLM is similar to that of  
 230 the NUKLEUS project (B. Geyer, personal communication). The resolution of ICON is R13B5, with an  
 231 approximate mesh size of 12 km, using 60 vertical levels. The model top height is at 23.5 km. The  
 232 following physical schemes are used in the current namelist setting of ICON: Radiation scheme  
 233 ecRad (Hogan and Bozzo, 2018; Rieger et al., 2019); Mass-flux shallow and deep convection scheme  
 234 (Tiedtke, 1989; Bechtold et al., 2008); Microphysics single-moment scheme (Doms et al., 2004);  
 235 Planetary boundary layer scheme prognostic TKE (Raschendorfer, 2001; Raupach and Shaw, 1982);  
 236 Land-surface scheme tiled TERRA (Schrodin and Heise, 2001; Schulz et al., 2016; Schulz and Vogel,  
 237 2020). The initial and lateral boundary forcing of ICON is obtained from the ERA5 reanalysis data  
 238 (Hersbach et al., 2020). The Tegen aerosol climatology (Tegen, 1997), i.e. a monthly aerosol optical  
 239 depth of sulphate droplets, total dust, organic carbon, black carbon, and sea salt, is used in this  
 240 study. The initial and daily lateral boundary forcing of NEMO is taken from the ORAS5 reanalysis

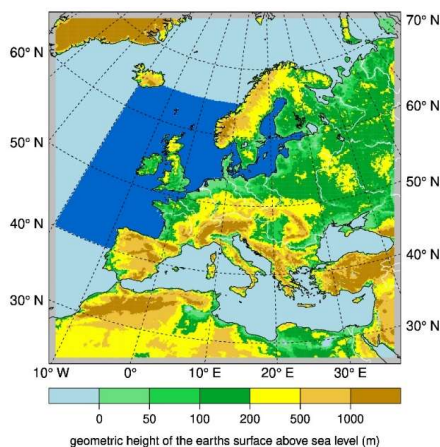


241 data (Copernicus Climate Change Service, 2021). The spatial resolution of NEMO is ~3.7 km with 50  
 242 vertical levels. HD has the resolution of 1/12 degrees, ca. 8 km. More information on the model  
 243 configuration can be found in Table 1 and in Ho-Hagemann et al. (2020).

Table 1: Model configuration

Configuration	ICON	NEMO	HD	Coupler OASIS3-MCT
Version	v2.6.6	v3.6	v5.1	V4.0
Domain	EURO-CORDEX	North Sea, Baltic Sea, North Atlantic	Europe	-
Resolution	~ 12 km	~ 3.7 km	~ 8 km	-
Grid points	231660	902 x 777	960 x 540	-
Time step	100 s	90 s	3600 s	3600 s
Forcing	ERA5	ORAS5, OTIS	-	-

244



245

Figure 4: Integration domains of ICON and HD (EURO-CORDEX) and of NEMO-LIM3 (dark blue).

246 To estimate the computational performance of the coupled model, we used LUCIA (Maisonave  
 247 and Caubel, 2014), which is part of OASIS3-MCT. In Supplement S9, we describe how to use LUCIA  
 248 for GCOAST-AHOI. In this study, we conduct five one-month experiments using ICPL266 to find out  
 249 the most suitable number of nodes used for each model component. The five experiments are  
 250 carried out with different numbers of nodes (i.e. 25 nodes, 30 nodes and 40 nodes). The number of  
 251 processors assigned to each model component is listed in Table 2.

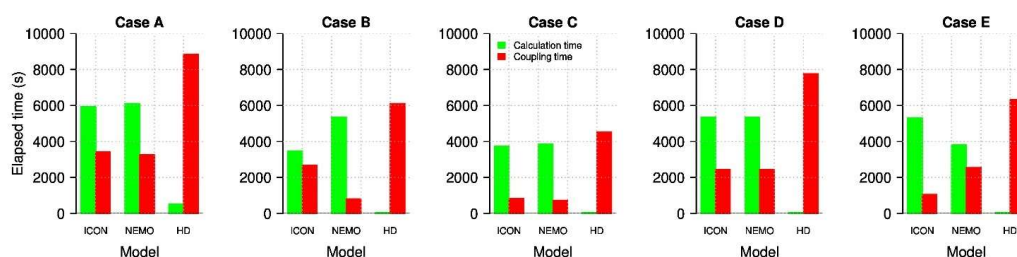
Table 2: Number of requested nodes/processors for performance tests of GCOAST-AHOI on Levante. NPX and NPY are the processors for NEMO corresponding to x and y dimensions, respectively.

Case	Nodes	Total processors	Processors for ICON	Processors for NEMO	Processors for HD
A	25	3200	1599	NPX x NPY = 40 x 40 = 1600	1
B	30	3840	2239	NPX x NPY = 40 x 40 = 1600	1
C	30	3840	1839	NPX x NPY = 50 x 40 = 2000	1
D	40	5120	3519	NPX x NPY = 40 x 40 = 1600	1
E	40	5120	2719	NPX x NPY = 60 x 40 = 2400	1



252

253 Figure 5 shows computation time (green bars) and coupling exchange time, including the time  
 254 spent while waiting for slower models (red bars) of the model components. In principle, the smaller  
 255 the red bars, the better the computational performance. Also, the red bars of ICON and NEMO  
 256 should not be too different. As a simpler model, HD runs on a single processor, so its running time  
 257 (green bar) is the shortest and the waiting time (red bar) the longest of the three models.



258

Figure 5: Calculation time (green) versus coupling exchange duration including time spent to wait for other model components (red). See table 2 for a detailed view of the node balance of the displayed cases.

259

260 Figure 5 shows that **Case C** is the most balanced of the five experiments. In this case, 30 nodes  
 261 were used, the number of processors (in short procs) given to NEMO (2000 procs) and ICON (1839  
 262 procs) are similar. The green and red bars of ICON and NEMO are similar. In **Case A**, 25 nodes were  
 263 used, the number of processors given to NEMO (1600 procs) is also very similar to that given to ICON  
 264 (1599 procs), but the green bars of this case are the highest of the five cases. These two cases have  
 265 a different ratio of processors used for NEMO. The best one (**Case C**) has  $NPX \times NPY = 50 \times 40$  while  
 266 the worst one (**Case A**) has  $NPX \times NPY = 40 \times 40$ . **Case B** also uses 30 nodes like **Case C**, but with  $NPX$   
 267  $\times NPY = 40 \times 40 = 1600$  and ICON uses 2239 processors. With more processors, ICON runs faster than  
 268 NEMO in this case, so there is no balance. In **Case D** uses 40 nodes, again 1600 processors for NEMO  
 269 and an increased number of processors (3519 procs) for ICON. Here, ICON runs as slow as NEMO,  
 270 even though it uses more than twice as many processors as NEMO. **Case E** also uses 40 nodes, but  
 271 the number of processors for ICON and NEMO are not much different (i.e. 2719 and 2400 procs).  
 272 However, NEMO runs faster than ICON and the system takes a longer time to run than in **Case C**.  
 273 ICON with more processors in **Case D** and **Case E** is slower than on **Case B** and **Case C** with less  
 274 processors, which indicates that too many processors were used. The common recommendation  
 275 for ICON is to have at least 100 grid cells per processor, which would be about 2000 processors at  
 276 maximum for the EURO-CORDEX domain. These results indicate that not only the number of the  
 277 nodes used, but also the ratio of processors between ICON and NEMO, and the ratios of  $NPX$  and  
 $NPY$  for NEMO should be chosen carefully. The optimal setup may be different on other computer



278 systems. A more thorough analysis is planned to be done with the new OASIS-MCT\_5.0 version of  
279 LUCIA.

## 280 **5 Model simulations**

281 The first two years 2008-2009 are excluded as spin-up time, and the output data of the two  
282 simulations ICON266 and ICPL266 for nine years (2010-2018) are compared with the observational  
283 and ERA5 data to assess the model performance. For sea surface temperature (SST), we use the  
284 Operational Sea Surface Temperature and Ice Analysis (OSTIA) data (Good et al. 2020) to evaluate  
285 the simulated SST of ICPL266. For air temperature at 2 m height (T\_2M) and precipitation  
286 (TOT\_PREC), the daily E-OBS data (Haylock et al. 2008; Van den Besselaar et al. 2011) version 27.0  
287 on the grid of 0.11 degree are used. The ERA5 reanalysis data are interpolated onto the E-OBS grid  
288 and used as a reference for comparison with the simulated shortwave and longwave surface  
289 radiation, mean sea level pressure (PMSL), wind speed at 10 m height (SP\_10M), and T\_2M. The  
290 Surface Radiation Data Set - Heliosat (SARAH) - Edition 2 (Pfeifroth et al. 2017) is used to evaluate  
291 the shortwave downward radiation of the simulations.

292 Seasonal means of winter (DJF), spring (MAM), summer (JJA), autumn (SON) and annual means  
293 (ANN) of several variables are analysed. Over the ocean, the sea surface temperature (SST) of  
294 ICON266 is the ERA5 forcing data, which is based on observations, so it's very close to the OSTIA  
295 data (not shown). Thus, the SST difference between the coupled and the stand-alone run (Fig. 6) can  
296 be interpreted as a bias towards a measurement-based product. In the coupled model, the SST is  
297 provided by NEMO over the GCOAST domain. In general, ICPL266 has a cold SST bias of about 1-3  
298 degrees over the GCOAST domain, except around the British coast in summer (JJA, Fig. 6).

299 The cold SST bias of ICPL266 over the GCOAST domain may intensify the cold T\_2M bias (Fig. 7b,  
300 Fig. S1), especially in winter (DJF) and spring (MAM). In summer, ICPL266 reduces the warm T\_2M  
301 bias of ICON266 (Fig. 7a). In general, the annual (ANN) T\_2M bias of ICPL266 is slightly colder (e.g.  
302 0.5°C) than that of ICON266. Comparison with the E-OBS data (Fig. S2) shows similar results to Fig.  
303 7, except over Northern Africa and Turkey, where the quality of the E-OBS data is affected by the lack  
304 of observations in that region (cf. Fig. 1 in Hagemann and Stacke, 2022).

305 A possible reason for the SST cold bias of ICPL266 may be that the shortwave and longwave  
306 radiation from ICON sent to NEMO is too low. Figure S3 shows the relative bias (%) of the shortwave  
307 downward radiation (SWDN) of ICON266 and ICPL266 compared to the ERA5 data, as well as the  
308 relative difference (%) between SARAH2 and ERA5. Figure 8 shows a zoomed section of Fig. S3 over  
309 the GCOAST ocean domain. In general, both ICON266 and ICPL266 have a positive SWDN bias of less



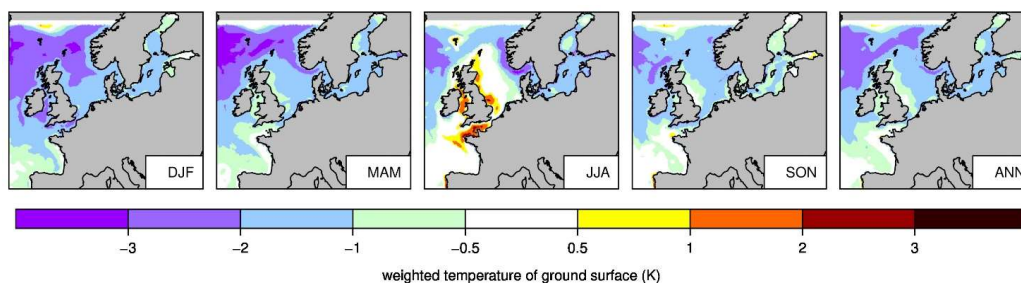
310 than 10 % over land compared to ERA5, except 15-20 % over northern Europe in winter and eastern  
311 Europe in autumn (Fig. S3). Over the North Sea, ICON266 and ICPL266 have a small negative bias of  
312 about 5-10 % compared to ERA5 (Fig. 8). The area of negative SWDN bias in the North Sea is slightly  
313 larger in ICPL266 than in ICON266. Comparing the ERA5 data and the SARA2 data, SWDN over  
314 southern Europe is similar between the two datasets, with SARA2 being slightly larger over land  
315 (Fig. S3). In general, the SWDN of ICON266 and ICP266 over the North Sea is rather close to the  
316 SARA2 data, but slightly overestimated over the Baltic Sea.

317 Figure S4 in the Supplementary Appendix shows a similar plot to Fig. S3, but for the longwave  
318 downward radiation (LWDN) and without the SARA2 data, as it is not available. The modeled  
319 LWDN has a negative bias of about 2-4 % annually and a larger bias in winter of about 6-8 %, most  
320 pronounced over land. Over the ocean, ICON266 reproduces well the LWDN of the ERA5 data, and  
321 ICPL266 has a small negative bias of 2-4 %.

322 The namelist settings of the NEMO model used in this study were tuned to the ERA5 forcing data  
323 in the uncoupled mode. The annual mean SST bias of the stand-alone NEMO is less than 0.5 degrees  
324 over the Baltic and North Seas, and of about -1 to -2 degrees over the North Atlantic compared to  
325 the OSTIA data (not shown). In summer, the positive SST bias of about 1-2 degrees is found over the  
326 Baltic and North Seas (not shown). In the future, to reduce the cold SST bias over the North Sea in  
327 the coupled simulations, we plan to increase the SWDN of ICON by about 10 % before sending it to  
328 NEMO. However, the cold SST bias over the Baltic Sea does not seem to be directly related to the  
329 SWDN and LWDN. The negative wind speed bias in ICPL266 (Fig. 9) could be another element  
330 contributing to the SST bias, which needs to be analyzed in more detail in the future. Otherwise, a  
331 short spin-up time of 2 years may be too short for NEMO to reach the stable state, leading to the  
332 cold SST bias. In addition, NEMO's namelist settings should also be optimized for the coupled  
333 simulations.

334 In the COPAT2 (Coordinated Parameter Testing, phase 2) initiative of the CLM-Community,  
335 several parameters of ICON-CLM are being tested in a similar way as done for the COSMO-CLM  
336 model (Russo et al., 2024) to find out the recommended settings. For example, the use of the  
337 transient aerosol MAC2-SP (Kinne, 2019) and a careful adjustment of various namelist settings  
338 related to cloud cover, the soil and vegetation scheme and the turbulent transfer will further reduce  
339 the T<sub>2M</sub> cold bias and improve the shortwave downward radiation.

340

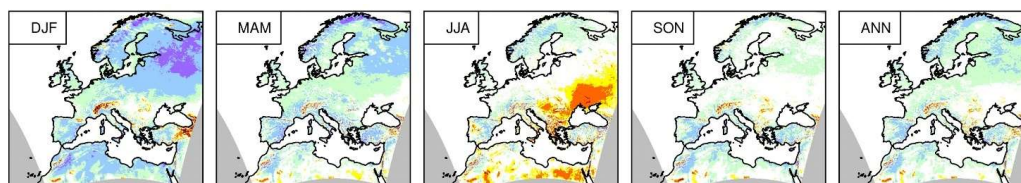


341

Figure 6: Seasonal (DJF, MAM, JJA, SON) and annual (ANN) mean of sea surface temperature (K) difference between ICPL266 and the OSTIA data for the period of 2010-2018 over the GCOAST domain.

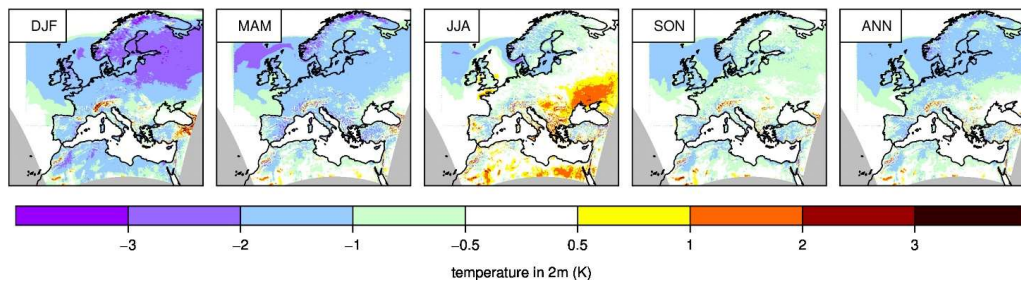
342

343 a) ICON266



344

345 b) ICPL266



346

Figure 7: Seasonal (DJF, MAM, JJA, SON) and annual (ANN) of 2m air temperature (K) difference between a) ICON266 and b) ICPL266 compared to the ERA5 reanalysis data for the period of 2010-2018.

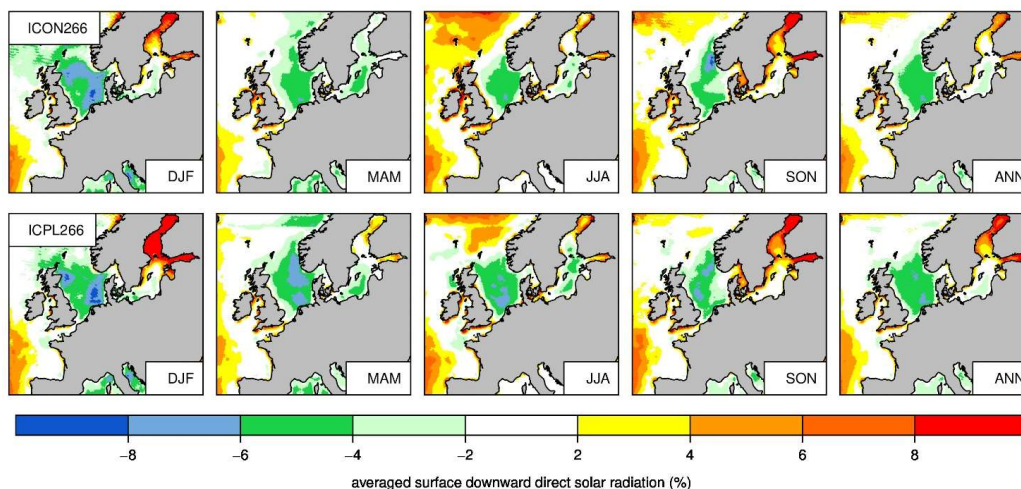


Figure 8: Seasonal (DJF, MAM, JJA, SON) and annual (ANN) of shortwave downward radiation bias (%) of ICON266 (top) and ICPL266 (bottom) compared to the ERA5 data for the period of 2010-2018 over the GCOAST domain.

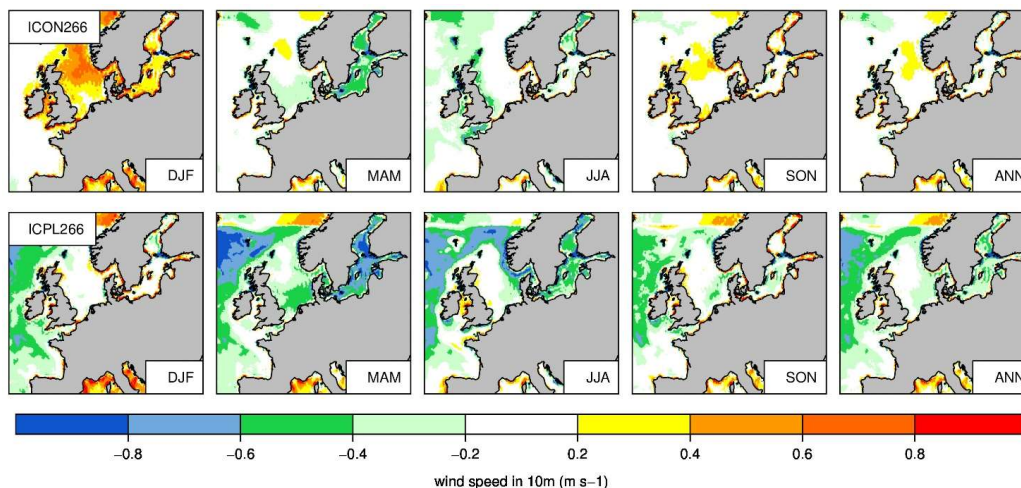


Figure 9: Seasonal (DJF, MAM, JJA, SON) and annual (ANN) 10-M wind speed bias ( $m s^{-1}$ ) of ICON266 (top) and ICPL266 (bottom) compared to the ERA5 data for the period of 2010-2018 over the GCOAST domain.

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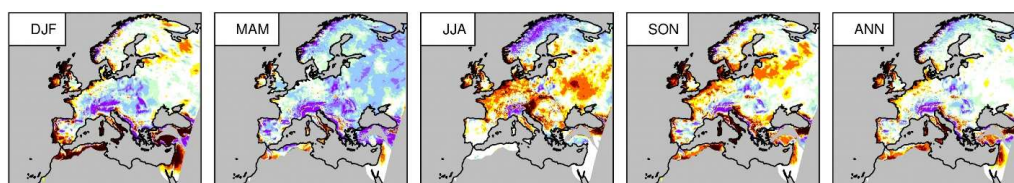
352 The precipitation biases of the two simulations ICON266 and ICPL266 compared to the E-OBS  
353 data are very similar with a wet bias in winter and spring and a dry bias in summer (JJA) and autumn  
354 (SON, Fig. 10). Fig. S5 and Fig. S6 in the Supplement show the biases of PMSL and SP\_10M compared  
355 to ERA5. ICPL266 tends to overestimate the PMSL throughout the year except in the summer, while  
356 ICON266 has only a pronounced positive bias in winter (DJF) and negative bias in summer (JJA). The  
357 wind speed of the two simulations is very similar over land. ICPL266 tends to reduce the wind speed





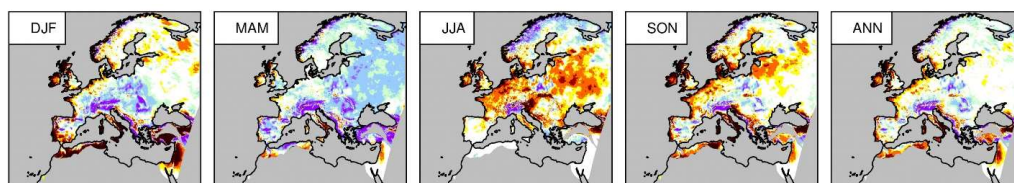
358 over the GCOAST ocean domain by up to  $1.5 \text{ m s}^{-1}$  compared to ICON266. This reduction leads to a  
 359 notable deviation of wind speed from ERA5 over the ocean, except in winter (DJF). Here, ICON266  
 360 has a positive bias of about  $0.5 \text{ m s}^{-1}$  over the North Sea (Fig. S5a), but ICPL266 is very close to ERA5.

361 a) ICON266



362

363 b) ICPL266



364

Figure 10: Seasonal (DJF, MAM, JJA, SON) and annual (ANN) difference of precipitation ( $\text{mm month}^{-1}$ ) between a) ICON266 and b) ICPL266 compared to the E-OBS data for the period of 2010-2018.

365 Figure 11 shows monthly climatologies of different variables ( $T_S$ ,  $T_{2M}$ ,  $TOT\_PREC$ ,  $PMSL$ ) over  
 366 the GCOAST domain or the whole EURO-CORDEX domain, considering only ocean or land points.  
 367 ICPL266 has a cold  $T_S$  bias of about 1-2 degrees over the ocean (Fig. 11a), which also causes the  
 368  $T_{2M}$  bias of 0.5-1 degrees over the ocean (Fig. 11c). In winter, ICPL266 is slightly colder over land  
 369 than ICON266 and E-OBS (Fig. 11d). In summer, both simulations are very close to E-OBS. The  
 370 simulated precipitation of ICON266 tends to be overestimated compared to E-OBS with a maximum  
 371 in May and June, and slightly underestimated in August and September (Fig. 11b). The coupled run  
 372 shows 1-3 mm/month less precipitation than the atmosphere-only experiment. In previous studies  
 373 by Ho-Hagemann et al. (2015, 2017), the stand-alone atmospheric model COSMO-CLM has a dry  
 374 bias in summer and the coupled run reduces the dry bias due to the improvement of the moisture  
 375 convergence and transport from ocean to land. This situation is not found in the current study,  
 376 which needs to be thoroughly analyzed in the future.

377 For the  $PMSL$ , the whole EURO-CORDEX domain is considered, but separately for ocean points  
 378 (Fig. 11e) and land points (Fig. 11f). In both cases, ICPL266 has a larger  $PMSL$  than ICON266. The  
 379 higher surface pressure in ICPL266 may be caused by the cooler air near the surface (due to the  
 380 negative  $T_{2M}$  bias) which leads to a higher density of the air mass and therefore a higher pressure.



381 Over the ocean, the PMSL of ERA5 is better reproduced by ICPL266 than by ICON266. Over land,  
 382 ICPL266 increases the PMSL positive bias in winter compared to ICON266.

383

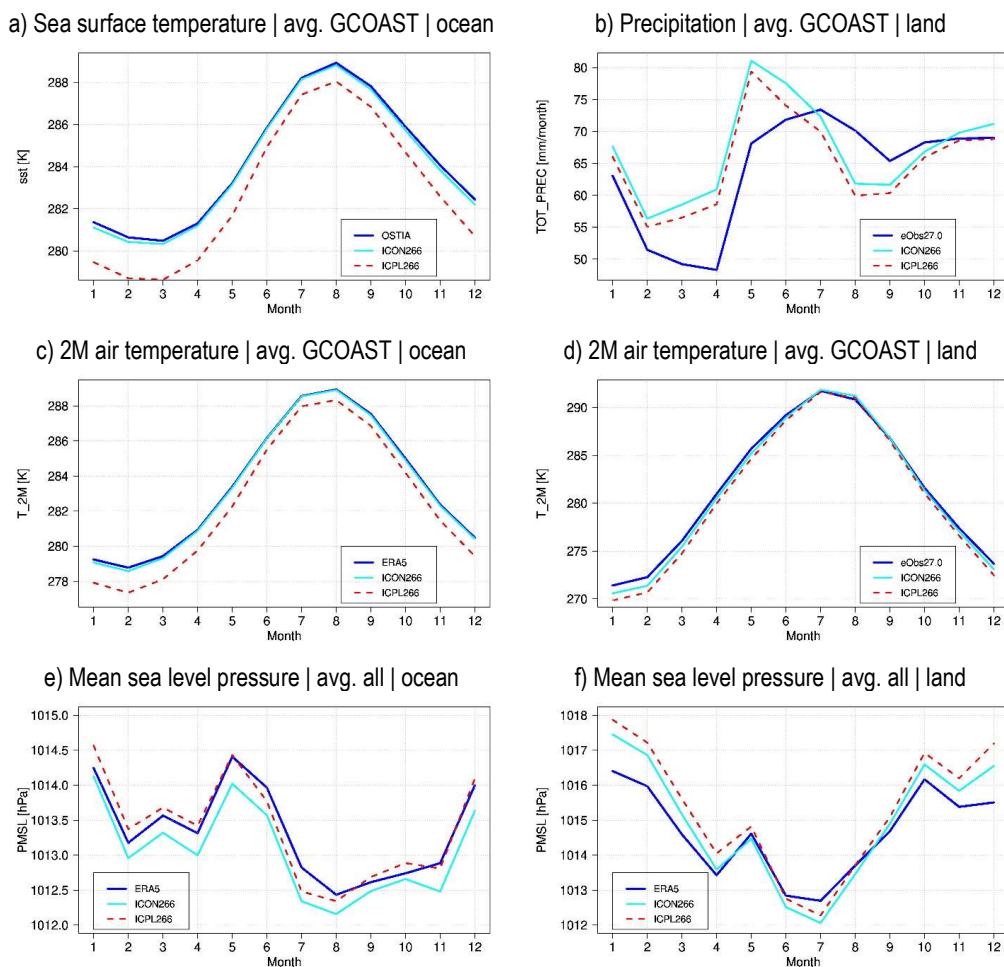


Figure 11: Annual variability of  $T_S$  (K),  $T_{2M}$  (K), PMSL (hPa) and precipitation (mm/month) of ICON266 (cyan solid line) and ICPL266 (red dashed line) compared to the OSTIA, ERA5 and E-OBS data (blue solid line) for the period of 2010-2018. Values are averaged over the GCOAST domain (avg. GCOAST) or the whole EURO-CORDEX domain (avg. all), over the ocean or land points only.

### 384 Conclusion and Outlook

385 In the present study, we introduce the regional Earth system model (RESM) GCOAST-AHOI  
 386 version 2.0, in which a new atmospheric component - the regional climate model ICON-CLM version  
 387 2.6.6 - is coupled with the ocean model NEMO version 3.6 and the hydrological discharge model HD  
 388 version 5.1 via the OASIS3-MCT coupler version 4.0.



389 GCOAST-AHOI v2.0 is developed and applied for climate simulations over the EURO-CORDEX  
390 domain. Two 11-year simulations from 2008-2018 of the uncoupled ICON-CLM (ICON266) and  
391 GCOAST-AHOI (ICPL266) yield similar results for seasonal and annual means of near-surface air  
392 temperature and precipitation, as well as mean sea level pressure and wind speed at 10 m height.  
393 However, ICPL266 has a cold SST bias of 1-2 degrees over the Baltic and the North Seas, most  
394 pronounced in winter and spring seasons. A possible reason for the cold SST bias could be the  
395 underestimation of the downward shortwave radiation at the surface of ICON-CLM with the current  
396 model settings. A deeper analysis of the bias will be done in the next study, especially after re-  
397 running the simulations with the optimal settings of ICON-CLM, which will be found within the  
398 COPAT2 initiative of the CLM-Community. For example, the performance of ICON-CLM will be tuned  
399 by using the transient MAC2-SP aerosol data (Kinne, 2019) and modified namelist parameters  
400 related to cloud cover to improve the shortwave downward radiation and reduce the cold bias.

401 Despite the cold SST bias, ICPL266 was able to capture the distribution of temperature,  
402 precipitation, mean sea level pressure and wind speed well, similar to the uncoupled ICON-CLM  
403 model. The added value of the coupled model compared to the stand-alone model is usually found  
404 in the case of extreme events (Ho-Hagemann et al., 2015, 2017, 2020; Wiese et al., 2019, 2020).  
405 Therefore, we will analyze the model simulations with a focus on extreme events in the next study.

406 Our present study shows that the RESM GCOAST-AHOI can be a useful tool for conducting long-  
407 term regional climate simulations. The new OASIS3-MCT coupling interface OMCI implemented in  
408 the ICON-CLM model makes the ICON-CLM model more flexible to couple with an external ocean  
409 model and an external hydrological discharge model, not only with NEMO and HD. Given that the  
410 standalone model components for each the atmosphere and the ocean are available for a specific  
411 geographical domain, it is also quite easy to apply GCOAST-AHOI to other regions. Besides preparing  
412 the lateral boundary conditions for NEMO over the new domain, and the OASIS input files (as  
413 described in Supplementary S5 and S6), it is necessary to prepare several new parameter files so  
414 that OASIS3-MCT can exchange the discharge from HD to NEMO without interpolation. On the one  
415 hand, these are files for the general setup of the HD model. The creation of these files is described  
416 in Sect. 3 of the HD model readme mark down file included in the HD model package (Hagemann et  
417 al. 2023). On the other hand, this includes the HD model coupling file, which is used for coupling via  
418 OASIS. Instructions for its generation are provided in Section 2.1 of a markdown file dedicated to  
419 the HD model coupling exercises (Hagemann et al. 2023).

420 ICON-CLM with OMCI is also used to couple ICON-CLM with NEMO v4.2 over the GCOAST domain  
421 (in preparation) and with NEMO-MED v3.6 over the Mediterranean Sea region in the CLM-



422 Community. OMCI for the older ICON version 2.6.4 can be found in Ho-Hagemann (2022).

423 Recently, the ICON Consortium has developed and released the Community interface (Comin) for  
424 the ICON model to allow ICON to be coupled with external model components. In the future, OMCI  
425 will be integrated into tComin via a plugin. For example, instead of calling `cpl_oas_init` in the ICON  
426 source code, the `start_mpi` subroutine of ICON will call e.g. `Comin_Init` and within the `Comin_Init`  
427 subroutine, the `cpl_oas_init` will be called. It is similar for other subroutines of OMCI, i.e. they can  
428 be called in the Comin interface instead of directly in the main subroutines of ICON as it is currently  
429 done. In combination with the external coupler YAC, there will be an easier maintainable code for  
430 the coupling interface. Using the ComIn entry points will not require any additional patching of the  
431 ICON source code.

432 Currently, also a limited area mode of the ocean model (ICON-O-LAM) is being developed within  
433 the ICON consortium. This can be coupled with ICON-CLM via the YAC coupler in the ICON-Seamless  
434 framework. When that RESM will be available in the future and will be applied for the EURO-CORDEX  
435 domain, its simulation can be compared with the simulations of GCOAST-AHOI as a good reference.  
436 Investigating difference in simulations of the two RESMs could be helpful to understand better the  
437 coupling interactions and feedback between model components of the climate system.

438

439 **Supplementary Materials:** Supplementary material is available online together with the submitted manuscript.

440 **Author Contributions:** H.T.M. H.-H. developed the OMCI in ICON-CLM and HD, modified the OMCI in NEMO, designed  
441 the experiments and carried them out, analyzed the results; H.T.M. H.-H. prepared the manuscript with contributions  
442 from all co-authors; V.M. contributed to analyze the simulations; S.P contributed to develop the OMCI in ICON-CLM; I.F.  
443 supported debugging the GCOAST-AHOI on the DKRZ HPC system. All authors have read and agreed to the published  
444 version of the manuscript.

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465 **Code and data availability:** ICON is available to the community under a permissive open source licence (BSD-3C). One  
466 can download the newest released version at <https://gitlab.dkrz.de/icon/icon-model>. The source code of ICON v2.6.6  
467 including the OMCI is published on Zenodo (<https://doi.org/10.5281/zenodo.11057794>).

468 The NEMO source code is freely available and distributed under CeCILL license (GNU GPL compatible). To download  
469 the NEMO reference version (for now revision 3.6):

470 *svn co <http://forge.ipsl.jussieu.fr/nemo/svn/NEMO/releases/release-3.6/NEMOGCM>*

471 The modified NEMO v3.6 source code for different coupling methods are published on Zenodo  
472 (<https://doi.org/10.5281/zenodo.11057794>).

473 The HD source code is available at <https://doi.org/10.5281/zenodo.4893099>.

474 Source code of OASIS3-MCT v4.0 with small modifications in lib/psmile/src/GPTLget\_memusage.c and  
475 lib/mct/mct/m\_AttrVectComms.F90 is published on Zenodo (<https://doi.org/10.5281/zenodo.11057794>).

476 Input data, run-scripts, evaluation scripts are published on Zenodo (<https://doi.org/10.5281/zenodo.11057794>).

477 Because of its huge volume, forcing data used for this study is available from the authors upon request.

478 **Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study; in  
479 the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the  
480 results.

481

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