



1 **Technical note: On the ice microphysics of isolated thunderstorms**
2 **and non-thunderstorms in southern China: A radar polarimetric**
3 **perspective**

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27 **Abstract**

28 The determination of whether a cloud will evolve into a thunderstorm is beneficial for
29 understanding thunderstorm formation and important for ensuring the safety of society. However,
30 a clear understanding of the microphysics in clouds for the occurrence of lightning activity has not
31 been attained. Vast field observations and laboratory experiments indicate that graupel, which is
32 rimed ice, is a vital hydrometeor for lightning generation, and is the foundation of riming
33 electrification. In this study, polarimetric radar and lightning observations are used to compare the
34 ice microphysics associated with graupel between 57 isolated thunderstorms and 39 isolated
35 non-thunderstorms, and the differences in radar parameters are quantified. Our results for the
36 occurrence of lightning activity in clouds showed the following results: 1) the maximum
37 difference in graupel volume on the -10°C isotherm height between thunderstorms and
38 non-thunderstorms reached approximately 7.6 km^3 ; 2) the graupel particles approached spherical
39 shapes with a mean Z_{DR} value of 0.3 dB, which likely indicated heavily rimed graupel was present;
40 and 3) 98.2% of thunderstorms were equipped with the Z_{DR} column, and the mean depth was ~ 2.5
41 km. Our study deepens our understanding of lightning physics and thunderstorm formation.

42 **Short summary**

43 Understanding lightning activity is important for meteorology and atmospheric chemistry.
44 However, the occurrence of lightning activity in clouds is uncertain. This study quantified the
45 difference between isolated thunderstorms and non-thunderstorms. Here we showed lightning
46 activity was more likely to occur with more graupel volume and/or more riming. And a deeper Z_{DR}
47 column was associated with lightning occurrence. This information can aid in a deeper
48 understanding of lightning physics.

49 **Keywords:** thunderstorm; lightning; riming; cloud microphysics

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51 **1. Introduction**

52 Thunderstorms are typically severe convection clouds. Lightning is not only a severe



53 weather hazard produced by thunderstorms but also a clear signature to mark the thunderstorm
54 formation (MacGorman and Rust, 1998). Understanding lightning activity (especially for the first
55 lightning flash, indicating the start of lightning activity in a cloud) is important for understanding
56 the meteorological processes, the formation of thunderstorms (Uman and Krider, 1989;
57 Rosenfeld et al., 2008; Fan et al., 2018), and for investigating related atmospheric chemistry,
58 such as the formation of ozone and the primary oxidant in the troposphere, the hydroxyl radical
59 (Pickering et al., 2016; Brune et al., 2021).

60 The determination of whether a cloud will evolve into a thunderstorm is very difficult. The
61 occurrence of lightning activity in clouds is a complex process involving dynamics, microphysics
62 and electrical processes (e.g., Krehbiel et al., 1979; MacGorman and Rust, 1998; Carey and
63 Rutledge, 2000; Stolzenburg et al., 2001; Saunders, 2008; Zhang et al., 2009; Lang and Rutledge,
64 2011; Zhang et al., 2016; Stough and Carey, 2020; Lyu et al., 2023). Moreover, lightning shows
65 different types depends on different environments (Uman and Krider, 1989; Boggs et al., 2022),
66 intracloud lightning, cloud-to-ground lightning, cloud-to-cloud and cloud-to-air discharges. Some
67 studies indicated the majority of the first lightning flashes are intracloud lightning, which was
68 concluded from the statistical results observed by polarimetric radar and lightning location
69 systems (e.g., Mattos et al., 2017; Zhao et al., 2021a). And there is a generally accepted
70 electrification cause, especially for clarifying the first lightning flash occurrence correctly:
71 noninductive charging (NIC) of two ice particles of different sizes during rebounding collisions
72 in the presence of supercooled droplets, with the smaller ice particle being the ice crystal and the
73 larger ice particle being the graupel (Takahashi, 1978; Latham, 1981; Saunders et al., 1991;
74 MacGorman and Rust, 1998; Carey and Rutledge, 2000; Zhang et al., 2009; Takahashi et al.,
75 2017, 2019; Qie et al., 2021; Lyu et al., 2023).

76 The NIC was proposed based on cold-chamber laboratory experiments (Reynolds et al.,
77 1957; Takahashi, 1978); subsequently, field observations demonstrated that lightning production
78 is critically linked to ice processes (i.e., graupel signatures) (Dye et al., 1986; Takahashi et al.,
79 1999; Carey and Rutledge, 2000; Basarab et al., 2015; Stolzenburg et al., 2015; Mattos et al.,
80 2016, 2017; Takahashi et al., 2017, 2019; Hayashi et al., 2021; Zhao et al., 2022). Therefore,
81 graupel is a vital precipitation particle for riming electrification mechanism.



82 Graupel is rimed precipitation ice. But the mechanisms for graupel formation will vary with
83 cloud types. One pathway to graupel that is very common in warm based clouds worldwide is the
84 development of rain drops in warm rain collision-coalescence processes, followed by lofting of
85 the rain drop in the updraft to the supercooled temperature (which is frequently observed by
86 polarimetric radar, called the differential reflectivity (Z_{DR}) column), then by drop freezing and
87 finally riming into graupel or small hail. This coalescence-freezing mechanism is often the most
88 important pathway to the first graupel/hail, the first significant electrification and the first
89 lightning flash in warm based clouds (e.g., Brahams, 1986; Beard, 1992; Herzegh and Jameson,
90 1992; Bringi et al., 1997; Smith et al., 1999; Carey and Rutledge, 2000; Stolzenburg et al., 2015;
91 Mattos et al., 2017). Another pathway to graupel or small hail production is initiated via the
92 aggregation of ice crystals into snow aggregates, followed by riming of the snow aggregate into
93 graupel and possibly even small hail as the rime density increases (Heymsfield, 1982; Li et al.,
94 2018).

95 It should also be emphasized that the formation of graupel is closely related to not only the
96 lightning activity but also the strength of updraft in clouds, the latent heat of freezing enhances
97 updrafts, promoting severe storm formation (Rosenfeld, 1999; Zhang et al., 2004; Rosenfeld et
98 al., 2008). More droplets freeze aloft and release more latent heat for nucleation, thereby
99 invigorating convective updrafts and producing lightning, and deep convective clouds form
100 (Rosenfeld, 1999; Zhang et al., 2004; Rosenfeld et al., 2008). Therefore, investigating the ice
101 microphysics associated with graupel is essential for understanding the thunderstorm formation.

102 Polarimetric radar is a better observation system for tracking the specific location and
103 timing of a cloud and inferring the microphysical characteristics within clouds (e.g., Seliga and
104 Bringi, 1976; Zrníc and Ryzhkov, 1999; Kumjian, 2013; Hu et al., 2019; Huang et al., 2023).
105 Many studies (e.g., Laksen and Stansbury, 1974; Marshall and Radhakant, 1978; Dye et al., 1986;
106 Vincent et al., 2003; Woodard et al., 2012; Mattos et al., 2016, 2017; Hayashi et al., 2021; Zhao
107 et al., 2022) have investigated the relationship between ice microphysics and lightning activity,
108 and provided methods to predict the first lightning flash occurrence based on the riming
109 electrification mechanism; specifically, the graupel-related reflectivity at -10°C or colder is a
110 commonly supported leading reflectivity parameter in forecasting the first lightning flash.



111 However, the performances of these methods vary with seasons, geography, or other atmospheric
112 variables; more directly, different ice microphysics within different clouds dominate. There is no
113 doubt that the graupel signatures inferred by polarimetric radar universally present in convective
114 clouds, while some clouds involve no lightning (e.g., Woodard et al., 2012; Hayashi et al., 2021;
115 Cui et al., 2022; Zhao et al., 2022). Specifically, the graupel signature inferred by polarimetric
116 radar needs to be partitioned into more details according to the radar parameters. Therefore, we
117 hope to better understand the ice microphysics associated with graupel within thunderstorms in
118 this study.

119 We accomplish this goal by comparing the ice microphysics associated with graupel
120 between isolated thunderstorms and non-thunderstorms during the warm season over southern
121 China and quantifying differences of graupel magnitude and shape (implying the riming
122 efficiency) in radar parameters, instead of studying the evolution variation within the same
123 thunderstorm (the role of some polarimetric signatures would be covered in the same cloud
124 evolution). To the best of our knowledge, no other study addressing this topic has been published
125 yet. In addition, we explore the role of the coalescence-freezing mechanism in the production of
126 lightning based on the information provided by the Z_{DR} column, a narrow vertical extension of
127 positive Z_{DR} values above the 0°C isothermal height associated with updrafts and supercooled
128 liquid water in deep moist convective storms (e.g., Hall et al., 1980; Ryzhkov et al., 1994;
129 Kumjian and Ryzhkov, 2008; Kumjian, 2013; Kumjian et al., 2014; Snyder et al., 2015; Zhao et
130 al., 2020; Chen et al., 2023). Isolated thunderstorms are common in southern China during warm
131 season (Mai and Du, 2022). From the perspective of isolated storms in warm season, the physical
132 processes within clouds will be easier to explain and the characteristics of graupel microphysics
133 could be compared with that in cold based clouds (results in Li et al., 2018).

134 2. Materials and methods

135 In this study, 57/39 isolated thunderstorm/non-thunderstorm cells that occurred over South
136 China in the warm seasons (from late May to early September) of 2016 and 2017 were analysed;
137 the dataset used was the same as that used in Zhao et al. 2021a, 2022. The Guangzhou S-pol radar
138 provided the radar data. The beam width of the S-pol radar was $\leq 1^{\circ}$, and a full radar volume scan
139 lasted 6 minutes; this consisted of nine elevation angles with a radial resolution of 250 m. A



140 quality control procedure was carried out to remove the ground clutter, anomalous propagation,
141 and biological scatter, and the Z_{DR} offset of the raw data was corrected (Zhao et al., 2022). The
142 quality-controlled radar data were interpolated onto a Cartesian grid at a horizontal resolution of
143 250 m and a vertical resolution of 500 m over 0.5 to 20 km above the mean sea level using nearest
144 neighbour and vertical linear interpolation.

145 A hydrometeor identification method, based on the fuzzy logic algorithm, was carried out to
146 discriminate the graupel particles, as in Zhao et al. (2021b). The algorithm and approximate ranges
147 of the S-band values of each polarimetric variable essentially followed Park et al. (2009) and
148 Kumjian (2013) with an improvement in the parameters in the membership functions of the fuzzy
149 logic algorithm for the performance of the Guangzhou S-pol radar, especially for dry/wet snow
150 particles (Wu et al., 2018). In addition, temperature information was added as one of a few factors
151 to the hydrometeor identification method because it could separate the liquid precipitation from
152 the solid hydrometeors to avoid visible identification errors (e.g., Bechini and Chandrasekar, 2015;
153 Kouketsu et al., 2015; Zhao et al., 2020).

154 Three independent lightning location systems provided lightning observations. The
155 low-frequency E-field detection array (LFEDA) can detect three-dimensional structures of
156 intracloud lightning and/or cloud-to-ground lightning. The detection efficiency and mean location
157 error of LFEDA for triggered lightning were approximately 100% and 102 m, respectively (Shi et
158 al., 2017; Fan et al., 2018). The Earth Networks Lightning Location System (ENLLS) can detect
159 two-dimensional locations for intracloud lightning and/or cloud-to-ground lightning. The detection
160 efficiency and mean location error of the ENLLS for triggered lightning and the natural strike of
161 tall structure lightning were approximately 77% and 685 m, respectively (Zheng et al., 2017). The
162 Guangdong Lightning Location System (GDLLS) can locate cloud-to-ground lightning. The
163 detection efficiency and mean location error of the GDLLS for triggered lightning and the natural
164 strike of tall structure lightning were approximately 94% and 741 m, respectively (Chen et al.,
165 2012).

166 Three lightning location systems were used to more accurately detect the first lightning
167 flashes within clouds. The lightning flash was assigned to its corresponding cell by using the
168 boundary of the cell as a constraint every 6 minutes. The first lightning flash of a thunderstorm



169 was defined by its first detection from one of three lightning location systems. An isolated
170 non-thunderstorm cell was selected when no flash in the cell was detected by any of the three
171 lightning location systems. To ensure detection-data quality, the analysis area was restricted to the
172 regions of overlapping coverage between the S-pol radar radius of 25-100 km and the LFEDA
173 station network centre radius of 70 km, as in Zhao et al. (2021a, 2022). Any isolated cell storm
174 generated within the analysis area that moved completely outside the analysis area or merged with
175 other precipitation cells was excluded. The intersection of the 20 dBZ contours of the two
176 intersected cells is referred to as merging. For thunderstorms, we ensure that the first lightning
177 flash of the cell must occur before merging or when there is no merging. For storm cell
178 development, if no merging process occurs and the maximum reflectivity of this cell starts to fade
179 with a value of less than 30 dBZ later, the evolutionary process of a cell will mark the cessation
180 stage. Our objective is to focus on isolated storm cell; therefore, if merging process occurs before
181 the fading of the maximum reflectivity of this cell, the evolutionary process of the cell will also
182 signal the cessation stage.

183 In the dataset, six merging events occurred in non-thunderstorms, and the values of maximum
184 reflectivity for these non-thunderstorms did not increase after merging occurred. In addition, the
185 maximum reflectivity within any non-thunderstorm cell from initiation to cessation must exceed
186 45 dBZ to avoid the statistics of weak precipitation cells. Non-thunderstorms are characterized by
187 no flash occurrence from initiation to cessation. The sounding data were obtained from the
188 Qingyuan meteorological observatory, which also provided the environmental temperature.
189 Isolated thunderstorm/non-thunderstorm cells were identified and tracked manually based on the
190 observations from the S-pol radar and lightning location systems. The average distances between
191 these storms and the radar/sounding site were approximately 70 and 56 km, respectively. More
192 details related to these data and the selection methods for isolated thunderstorm and
193 non-thunderstorm cells are available in Zhao et al. (2021a, 2022).

194 In this study, the evolution cycle of a thunderstorm contains three stages: (i) the first radar
195 volume scanning in cases where $Z_H \geq 5$ dBZ is called the first stage (hereafter referred to as the #1
196 stage), (ii) the intermediate radar volume scanning between the first stage and the third stage is
197 called the second stage (hereafter referred to as the #2 stage), and (iii) the radar volume scanning



198 in cases where the first lightning flash occurs is called the third stage (hereafter referred to as the
199 #3 stage). Similarly, the evolution cycle of a non-thunderstorm also contains three stages, but radar
200 volume scanning in cases where the most intense echo occurs is called the third stage; here, the
201 most intense echo is used to indicate the strongest convection development stage of
202 non-thunderstorms for comparison with the first lightning flash stage of thunderstorms. The
203 average durations from the first stage to the third stage for thunderstorms and non-thunderstorms
204 are 19 and 24 minutes, respectively. The majority of first lightning flash events (~98%) are
205 considered to be intracloud flashes, and only one is considered to be cloud-to-ground flash. The
206 majority of first lightning flashes (~91%) are determined by the LFEDA due to its superior
207 detection efficiency and accuracy for lightning flashes in this analysis area.

208 **3. Results**

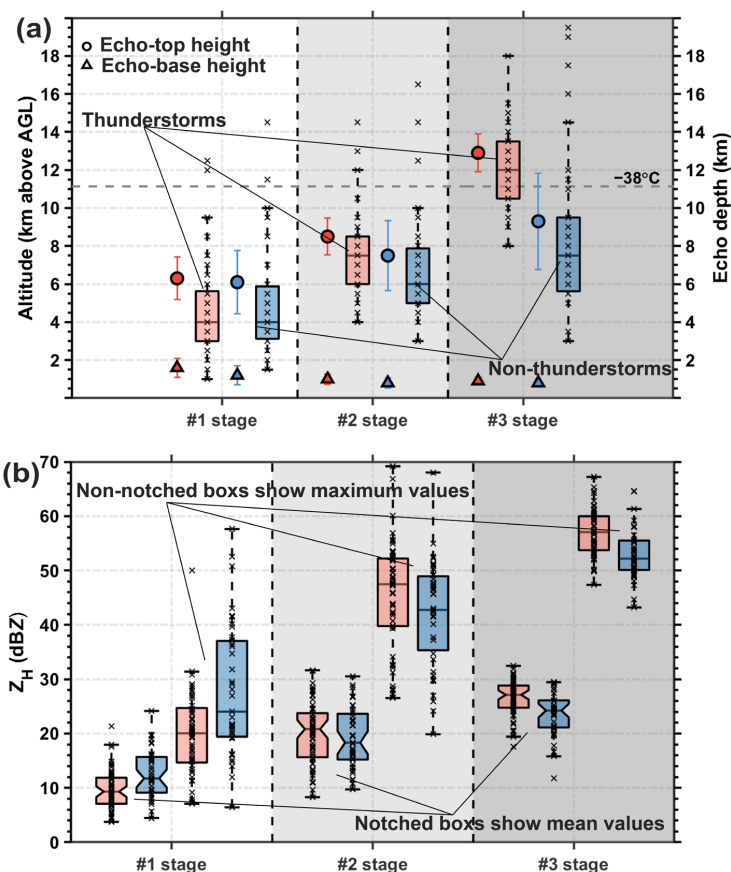
209 **3.1 Morphology and intensity of the echoes in and/or before the first lightning flash** 210 **occurrence**

211 The orange scatters and grey triangles with error bars in Figure 1a describe the echo-top
212 heights and echo-base heights of the 57 thunderstorms and 39 non-thunderstorms from the first
213 stage to the third stage of cloud development using the reflectivity threshold (0 dBZ), and the echo
214 depths are shown in the box plots. The echo-top heights of thunderstorms or non-thunderstorms
215 increase as clouds develop. For the echo-top height data, approximately 95% of the thunderstorms
216 exceeded the -30°C isotherm height, and 85% exceeded the -38°C isotherm height of the
217 glaciated layer during the third stage of cloud development; however, only 26% and 23% of
218 non-thunderstorms exceeded the -30°C and the -38°C isotherm heights, respectively, during the
219 third stage of cloud development. However, the echo-base heights mildly decreased with the
220 development of clouds; slight differences in the echo-base heights occurred between
221 thunderstorms and non-thunderstorms. Deep convective clouds, indicated by thunderstorms, were
222 formed when first lightning flashes occurred; approximately 84% of thunderstorms and only 23%
223 of non-thunderstorms achieved an echo depth of 10 km.

224 Figure 1b shows that the differences in the mean (maximum) values of the Z_H between the
225 thunderstorm and non-thunderstorm periods during each stage are slight. Thunderstorms exhibit



226 greater Z_H intensities than non-thunderstorms, except for those in the first stage of cloud
 227 development. The signature of larger mean or maximum values of Z_H in non-thunderstorms during
 228 the first stage than in thunderstorms has been discussed by Zhao et al. (2022), and this aspect is not
 229 the focus of this study. The mean or maximum values of Z_H in thunderstorms increase and exceed
 230 those in non-thunderstorms when first lightning flashes occur; however, the box plots show that
 231 we cannot effectively differentiate the thunderstorms from the non-thunderstorms with respect to
 232 the Z_H intensity.



233

234 **Figure 1. Characteristics of radar echoes with cloud development.** (a) Echo-top heights of 0 dBZ
 235 and echo-base heights of 0 dBZ for 57 thunderstorm and 39 non-thunderstorm cells from the first stage
 236 to the third stage of cloud development indicated by scatter points and triangles, respectively, with error
 237 bars. Error bars are computed as 95% confidence intervals. Box plots for the 57 thunderstorms (orange)
 238 and 39 non-thunderstorms (blue) for echo depths; all units are in km. The dashed grey lines indicate the

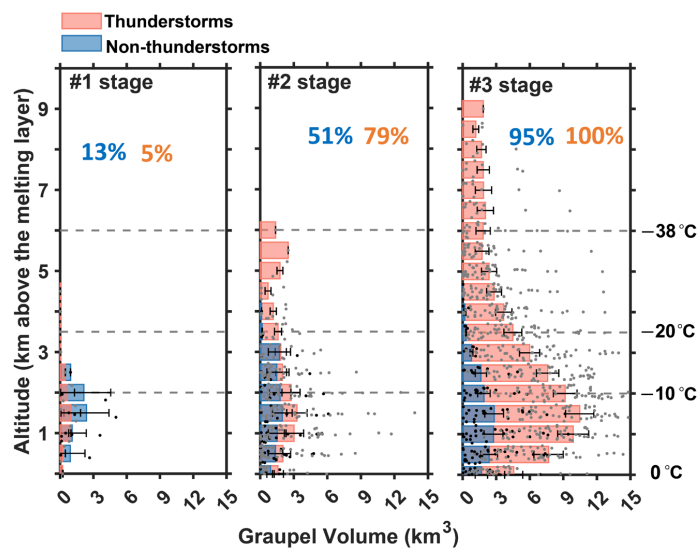


239 -38°C and 0°C isotherm heights, respectively. (b) The mean (maximum) value of the Z_{H} in a
240 thunderstorm or a non-thunderstorm during every stage is shown in notched box plots (non-notched
241 box plots), with all units in the dBZ. The median values in the box plots are shown as black horizontal
242 continuous lines. The temperature data were obtained from the sounding data of the Qingyuan
243 meteorological observatory.

244 **3.2 Variation in the graupel magnitude with cloud development**

245 Graupel is a vital precipitation particle for riming electrification mechanism, and its radar
246 signature is not obscured by small ice particles. In contrast, the radar signature of small ice
247 particles (i.e., ice crystals) tends to be obscured by large ice particles (e.g., graupel). Thus, to
248 investigate the microphysical characteristics related to the first lightning flash occurrence during
249 storms, we obtained inferred “graupel”, which was derived from the fuzzy-logic method based on
250 S-pol radar (Park et al., 2009; Kumjian, 2013; Zhao et al., 2021b, 2022).

251 Each histogram in Figure 2 indicates the mean value of the volume (the volume is computed
252 by the radar sample grid; each grid is 0.03125 km^3 , $0.25 \text{ km} \times 0.25 \text{ km} \times 0.5 \text{ km}$), which
253 corresponds to the total graupel in total graupel on a height layer for 57 thunderstorms or 39
254 non-thunderstorms during each stage of cloud development. Graupel is rare in thunderstorms or
255 non-thunderstorms during the first stage of cloud development (e.g., Dye et al., 1986; Mattos et al.,
256 2017), and only 5% (13%) of thunderstorms (non-thunderstorms) show graupel signals (Figure 2).
257 With the development of clouds, that ratio in thunderstorms (non-thunderstorms) is reached 79%
258 (51%) and 100% (95%) during the second and third stages of cloud development, respectively.



259

260 **Figure 2. Distribution of the graupel signals and volume with cloud development.** Histogram plots
 261 with error bars for the distribution of the graupel volume above the melting layer for thunderstorm and
 262 non-thunderstorm cells during each stage of cloud development. Each grey dot indicates the total
 263 graupel volume on a height layer of a thunderstorm; the black dots indicate non-thunderstorm units in
 264 km^3 . The mean graupel volume in a height layer for the 57 thunderstorms is displayed as an orange
 265 histogram and a blue histogram shows the graupel volume for non-thunderstorm (in km^3). Error bars
 266 are computed as 95% confidence intervals. The numerical values in orange and blue are the
 267 percentages of thunderstorms and non-thunderstorms that show the graupel signals, respectively. The
 268 left column is for the first stage of cloud development, and the right and middle rows are for the third
 269 and second stages of cloud development, respectively. In addition, the values are given by bilateral box
 270 plots. The -10°C , -20°C , and -38°C isotherm heights are displayed in the histogram plots.

271 The greatest difference in the graupel magnitude between thunderstorms and
 272 non-thunderstorms is found during the third stage of cloud development; the maximum difference
 273 in the graupel volume in a height layer reaches approximately 7.6 km^3 , and the height of the
 274 maximum difference is near the -10°C isotherm height. To note, the graupel volume should be
 275 more accurately phrased as the presence of graupel in this volume. These characteristics indicate
 276 that graupel signals are universally present in thunderstorms and non-thunderstorms, and the
 277 difference in the magnitude of the graupel volume is the key for the first lightning flash
 278 occurrence.

279 3.3 More microphysical information based on radar variables

280 As the graupel volume increases from the first radar track to the occurrence of the first



281 lightning flash, the graupel volume in thunderstorms is clearly greater than that in
282 non-thunderstorms during the third stage of cloud development. However, the understanding of
283 the details of the increase in the graupel volume is limited (e.g., the variation in the maximum
284 dimension or number concentration and precursor signature). In addition, although the
285 coalescence-freezing mechanism dominating the formation of graupel within warm-season
286 thunderstorms is generally accepted (e.g., Brahams, 1986; Beard, 1992; Herzegh and Jameson,
287 1992; Bringi et al., 1997; Smith et al., 1999; Carey and Rutledge, 2000; Stolzenburg et al., 2015;
288 Mattos et al., 2017), more studies are needed to support this mechanism.

289 The Z_{DR} parameter could provide more information on the graupel (e.g., shape) and
290 supercooled liquid water (e.g., Z_{DR} column). The variance in the shape of the graupel indicates the
291 riming efficiency; specifically, the heavily rimed ice particles approach a spherical shape.
292 Although the shape cannot directly indicate the variation in the maximum dimension, the
293 speculated riming efficiency from the variation in the graupel shape could provide related
294 information on the maximum dimension of graupel particles; typically, more spherical (a decrease
295 in Z_{DR}) and more riming result in a stronger Z_H corresponding to a larger maximum dimension.
296 The supercooled liquid water indicated by positive Z_{DR} values above the 0°C isothermal height is
297 the precursor for freezing particles, followed by the embryo of graupel particles. Thus, the
298 existence and/or variance of the Z_{DR} column before the occurrence of the first lightning flash could
299 support the coalescence-freezing mechanism. Moreover, we can obtain the quantitative difference
300 in the Z_{DR} between thunderstorms and non-thunderstorms, especially for the occurrence of the first
301 lightning flash.

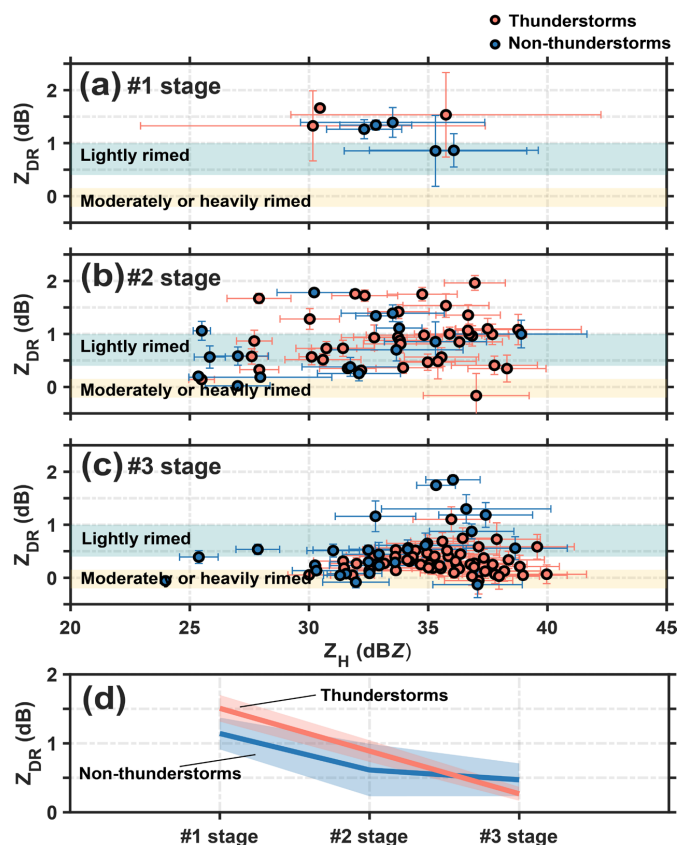
302 *a. Differences in the shapes of the graupel particles between thunderstorms and*
303 *non-thunderstorms*

304 Figure 3 shows the average intensities of the Z_H and Z_{DR} with error bars corresponding to the
305 graupel particles above the $\sim -3^\circ\text{C}$ isotherm height (avoiding melting effects) in thunderstorms and
306 non-thunderstorms during each stage of cloud development. Based on the results, the average
307 intensity of the Z_{DR} corresponding to the graupel particles decreases with cloud development,
308 which indicates that the graupel particles gradually approach a spherical shape (Figure 3d); the
309 most remarkable indicator is that the graupel particles in the majority of thunderstorms have lower



310 Z_{DR} values with a mean value of ~ 0.3 dB when first lightning flashes occur; however, this lower
311 Z_{DR} value is not evident in non-thunderstorms, even during the most intense echo stage of cloud
312 development, with a the mean value of ~ 0.5 dB). Moreover, the Z_{DR} values approach 0 dB,
313 corresponding to stronger Z_H values when the average intensity of the Z_H exceeds 35 dBZ. Thus,
314 we speculated that heavily rimed graupel was present, the size increased, and the shape tended to
315 be spherical.

316 Li et al. (2018) presented a quantitative relationship between the riming and shape of snow
317 aggregates in only winter snowstorms; however, we examined the relationship in deep convection
318 or thunderstorms in present study. In Li et al. (2018), particles with $Z_H > 15$ dBZ, $Z_{DR} > 0.4$ dB,
319 and above the $\sim -3^\circ\text{C}$ isotherm height are likely to be lightly rimed (rime mass fraction $\sim < 0.2$),
320 and particles with $Z_H > 15$ dBZ, $-0.2 < Z_{DR} < 0.15$ dB, and above the $\sim -3^\circ\text{C}$ isotherm height are
321 likely to be moderately or heavily rimed (rime mass fraction $\sim > 0.4$). The rime mass fraction is
322 defined as the ratio of the accreted ice mass to the total ice particle mass; more details on the rime
323 mass fraction can be found in Li et al. (2018). In Figures 3a, b, and c, the shaded area in blue
324 indicates the high possibility that graupel particles are lightly rimed, comparatively, the shaded
325 area in yellow indicates that the graupel particles are moderately or heavily rimed, as in Li et al.
326 (2018). The results from Li et al. (2018) are limited to only winter snowstorms; the mechanism for
327 producing graupel in winter snowstorms is different from that in warm-season thunderstorms, but
328 the final shape of the graupel particles when first lightning flashes occur in this study approaches
329 the shape of moderately or heavily rimed ice particles in Li et al. (2018).



330

331 **Figure 3. Graupel shape in and/or before the first lightning flash occurrence.** Scatter plots with
 332 error bars for the mean values of Z_H and Z_{DR} corresponding to graupel particles above the $\sim -3^\circ\text{C}$
 333 isotherm height in thunderstorm (orange) and non-thunderstorm (blue) cells during each stage of cloud
 334 development. Error bars are computed as 95% confidence intervals. The inferred differences in the
 335 efficiency of the riming process are shown by the threshold values of Z_H and Z_{DR} ; the shaded area in
 336 blue indicates the high possibility that graupel particles are lightly rimed, and comparatively, the
 337 shaded area in yellow indicates that graupel particles are moderately or heavily rimed. (a) First stage, (b)
 338 second stage, and (c) third stage of cloud development. In addition, the statistical mean values are
 339 given in (d), and the orange (blue) line indicates the mean value of the Z_{DR} corresponding to the above
 340 scatters in thunderstorms (non-thunderstorms) during each stage of cloud development. The shaded
 341 area indicates the 95% confidence interval.

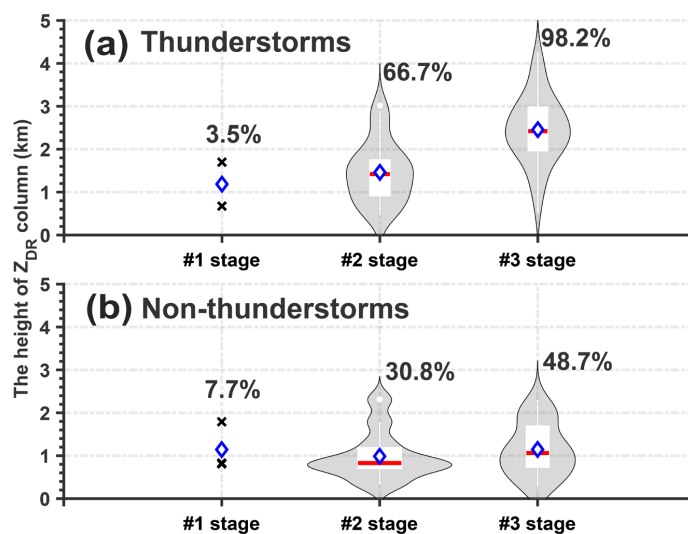
342 *b. Signature of the Z_{DR} column*

343 Previous studies utilized Z_{DR} values ranging from 0.5 to 5 dB within the strong reflectivity
 344 range (35–50 dBZ) above the melting layer to describe the area of the Z_{DR} column (e.g.,
 345 Illingworth et al., 1987; Tuttle et al., 1989; Ryzhkov et al., 1994; Scharfenberg et al., 2005;
 346 Woodard et al., 2012; Kumjian et al., 2014; Snyder et al., 2015; Zhao et al., 2020). Since the



347 development of these clouds in this study occurred during the early stage of the full evolution
348 cycle of thunderstorms, the size of the supercooled liquid water drop would not be large. Thus, we
349 used Z_{DR} values of 0.5 dB within a reflectivity range of 30 dBZ above the melting layer to
350 investigate the characteristics of the Z_{DR} column.

351 Figure 4 shows the height of the Z_{DR} column within thunderstorms or non-thunderstorms
352 during each stage of cloud development. The computation of the Z_{DR} column height is similar to
353 that in Snyder et al. (2015), and this height is the vertically continuous maximum depth of the Z_{DR}
354 column. The signature of the Z_{DR} column clearly coincides with the development of clouds
355 (Figure 4). Most thunderstorms (98.2%) displayed a deep Z_{DR} column with a mean depth of the
356 Z_{DR} column of ~ 2.5 km when the first lightning flash occurred; however, only 48.7% of
357 non-thunderstorms corresponded to a shallow Z_{DR} column with a mean value of ~ 1.1 km (Figure
358 4a, b). Moreover, 66.7% of the thunderstorms exhibited a deeper Z_{DR} column with a mean value of
359 ~ 1.5 km during the second stage of cloud development, and 30.8% of non-thunderstorms showed
360 a shallower Z_{DR} column with a mean value of ~ 0.99 km during the second stage of cloud
361 development (Figure 4a, b). However, the occurrence frequency of the Z_{DR} column for
362 non-thunderstorms is slightly greater than that for thunderstorms during the first stage of cloud
363 development (Figure 4a, b). However, this phenomenon may be related to the results from Zhao et
364 al. (2022); specifically, the Z_{DR} values below the -10°C isotherm height of non-thunderstorms
365 were greater than those of thunderstorms within the first radar echo.



366

367 **Figure 4. Z_{DR} column information in and/or before the first lightning flash occurrence.** Violin
 368 plots of the Z_{DR} column depth of thunderstorm or non-thunderstorm cells during each stage of the cloud
 369 development, showing the average (blue diamond), interquartile range (rectangle), 10th and 90th
 370 percentiles (whiskers), and kernel density estimation (grey shading). (a) Thunderstorms. (b)
 371 Non-thunderstorms. The numerical value is the percentage of thunderstorms that show the Z_{DR} column
 372 signature.

373 **4. Summary**

374 In this study, the combination of a lightning location system and dual-polarization radar
 375 measurements was employed to study the ice microphysics of isolated thunderstorms and
 376 non-thunderstorms in southern China during warm season. From a unique perspective of
 377 comparing the radar signatures and inferred graupel information between the isolated
 378 thunderstorm and non-thunderstorm cells during each stage of cloud development, the lightning
 379 generation in clouds was found to be good indicator of the formation of deep convective clouds.
 380 The echo intensities, echo-top heights and echo depths were greater in clouds when the first
 381 lightning flash occurred, which indicated more severe updrafts in thunderstorms than in
 382 non-thunderstorms. Moreover, a greater graupel volume were clearly observed in clouds when the
 383 first lightning flash occurred, and the maximum difference in graupel volume in the height layer
 384 between thunderstorms and non-thunderstorms reached approximately 7.6 km^3 , corresponding to
 385 an approximate -10°C isotherm height.

386 The variation in the average Z_{DR} intensity corresponding to the graupel particles above the



387 $\sim -3^{\circ}\text{C}$ isotherm height during the three stages of cloud development indicated that graupel
 388 particles were more spherical (the mean Z_{DR} value was ~ -0.3 dB) and were more likely to generate
 389 lightning. The Z_{DR} values approached 0 dB, corresponding to stronger Z_{H} values; the average
 390 intensity of the Z_{H} exceeded 35 dBZ. When the first lightning flashes occurred in clouds, a
 391 decrease in the Z_{DR} value and an increase in the Z_{H} value of graupel were observed; these results
 392 indicate that heavily rimed ice particles were present, and the shape of these particles was similar
 393 to that of moderately or heavily rimed ice particles within winter snowstorms.

394 Moreover, the results indicated that the highly related relationship between the Z_{DR} column
 395 and the occurrence of the first lightning flash was present, 98.2% of the clouds were equipped
 396 with a Z_{DR} column with a mean depth of ~ 2.5 km when the first lightning flash occurred. In
 397 addition, a deeper Z_{DR} column corresponded to a greater graupel volume. Thus, the
 398 coalescence-freezing mechanism dominated the formation of graupel within warm-season isolated
 399 thunderstorms over southern China, the results were consistent with those of previous studies (e.g.,
 400 Brahams, 1986; Beard, 1992; Herzegh and Jameson, 1992; Bringi et al., 1997; Smith et al., 1999;
 401 Carey and Rutledge, 2000; Stolzenburg et al., 2015; Mattos et al., 2017), but increasing the
 402 knowledge about quantified characteristics of Z_{DR} column for the first lightning flash occurrence
 403 in warm-season isolated thunderstorms based on relatively large sample statistics (Table 1 shows
 404 details of cases in related investigations for isolated thunderstorms).

References	Number of cases (thunderstorms)	Number of cases (non-thunderstorms)
Workman and Reynolds, 1949	12	×
Reynolds and Brook, 1956	5	×
Goodman et al., 1988	1	×
Ramachandran et al., 1996	2	×
Jameson et al., 1996	3	×
Woodard et al., 2012	31	19
Stolzenburg et al., 2015	3	×
Mattos et al., 2017	46	×

405 Table 1. Details of cases in references.

406 However, our results were obtained by comparing the characteristics of polarimetric
 407 parameters according to the graupel particles inferred by a hydrometeor identification method. The



408 inferred graupel volume was an indication that graupel could be present among other
409 hydrometeors in that volume. From the perspective of radar, the dominant particle in this volume
410 was graupel. Fortunately, we focused on comparing the graupel volume between the
411 thunderstorms and non-thunderstorms; therefore, we believe that the errors in this volume
412 resulting from other secondary hydrometeors could be neutralized by the comparison with the
413 same detected data and methods. In addition, although the results from this study could provide a
414 possible index or method based on polarimetric radar for warning of the first lightning flash
415 occurrence within the warm-season cell storms, understanding the microphysical characteristics
416 and applying that in the numerical simulations would be the optimal method to provide lightning
417 flash warnings in the future.

418

419

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431

432 **Open Research**

433 The sounding data is available at <http://weather.uwyo.edu/upperair/sounding.html>. The data in this
434 study can be obtained from Figshare (Zhao, 2024).

435



436

437 **References**

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710 **Competing interests**

711 The contact author has declared that none of the authors has any competing interests.

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