Impacts of elevated anthropogenic emissions on physicochemical characteristics of BC-containing particles over the Tibetan Plateau

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14 Abstract.

Black carbon (BC) in the Tibetan Plateau (TP) region has distinct climate effect, which strongly depends on its mixing state. 15 16 The aging processes of BC in TP are subject to emissions from various regions, resulting in considerable variability of its 17 mixing state and physicochemical properties. However, the mechanism and magnitude of this effect are not yet clear. In this 18 study, filed observations on physicochemical properties of BC-containing particles (PM_{BC}) were conducted in the northeast 19 (Xihai) and southeast (Lulang) regions of the TP to investigate the impacts of transported emissions from lower-altitude areas 20 on BC characteristics in the TP. Large spatial discrepancies were found in the chemical composition of PM_{BC}. Both sites 21 showed higher concentrations of PM_{BC} when they were affected by transported airmasses outside the TP, but with diverse 22 chemical composition. Source apportionment for organic aerosol (OA) suggested that primary OA in the northeastern TP was 23 attributed to hydrocarbon OA (HOA) from anthropogenic emissions, while it was dominated by biomass burning OA (BBOA) 24 in the southeastern TP. Regarding secondary aerosol, a marked enhancement in nitrate fraction was observed on aged BC 25 coating in Xihai when the airmasses were brought by updrafts and easterly winds from lower-altitude areas. With the 26 development of boundary layer, the enhanced turbulent mixing promoted the elevation of anthropogenic pollutants. In contrast 27 to Xihai, the thickly coated BC in Lulang was mainly caused by self-elevated elevation and transportation of biomass burning 28 plume from the South Asia, showing a large contribution of secondary organic aerosol (SOA). The distinct transported 29 emissions lead to substantial variations of both chemical composition and light absorption ability of BC across the TP. The 30 thicker coating and higher mass absorption cross-section (MAC) of PM_{BC} in airmasses elevated from lower-altitude regions 31 reveals the promoted BC aging processes and their impacts on the mixing state and light absorption of BC in TP. These findings 32 emphasize the vulnerability of plateau regions to influences of elevated emissions, leading to significant changes in BC

- 33 concentration, mixing states and light absorption across the TP, which needs to be considered in the evaluation of BC radiative
- 34 effects for the TP region.

35 1 Introduction

The Tibetan Plateau (TP) is the largest plateau (--2,500,000 km²) of the world, covering approximately 2.5 million km². Its average altitude exceeds 4,000 m and its glaciers cover an area of over 100,000 km² (Yao et al., 2012a). As the third pole, the TP plays a crucial role in the Asian monsoon systems, the hydrological cycle and global climate (Duan and Wu, 2005; Wu et al., 2007; Wu et al., 2015). Pollutants in TP and its surrounding region affect significantly the ecological environment of TP. They result in increased air temperature (Gustafsson and Ramanathan, 2016), changes in cloud properties (Hua et al., 2020; Lai et al., 2024), glacier retreat (Kang et al., 2010; Kang et al., 2019; Xu et al., 2009; Yao et al., 2012b), anomalies in the hydrological cycle (Luo et al., 2020; Yang et al., 2014; Menon et al., 2002) and the Asian monsoon (Meehl et al., 2008).

43 Black carbon (BC) is one of the most important aerosol species affecting climate, glaciers and hydrology in TP 44 (Ramanathan et al., 2005; Xu et al., 2009; Yang et al., 2022) because of distinct climate effect (Bond et al., 2013). It is generated 45 by the incomplete combustion of fossil fuels and biomass and is also known as refractory BC (rBC). BC influences the climate directly because it can absorb short-wave radiation. The climate forcing of BC is highly dependent on its mixing state. BC can 46 be coated with non-refractory aerosol like organics, nitrate (NO₃⁻), sulphate (SO₄²⁻) through condensation or coagulation, and 47 48 turns from externally mixed to internally mixed structure. The mass absorption cross-section (MAC) of BC-containing particles 49 (PM_{BC}) can be affected by non-refractory components coated on BC (Cai et al., 2022; Cheng et al., 2016; Gao et al., 2021) via 50 the "lensing effect" (Lack and Cappa, 2010), causing the change in radiative properties of BC. The cloud microphysical 51 properties may also be altered when PM_{BC} are coated with hydrophilic materials and activated into cloud condensation nuclei 52 (CCN), which influences climate indirectly (Bond and Bergstrom, 2006 et al., 2013; Dusek et al., 2006; Henning et al., 2010; 53 Liu et al., 2017; Schnaiter et al., 2005; Wang et al., 2023).

54 Previous studies have shown that BC has a remarkable direct radiative effect in TP (Zhu et al., 2017; Sun et al., 2016; 55 Zhao et al., 2017; Liu et al., 2021). The radiative effects of BC are not only influenced by its concentration but also by its mixing state. In recent years, there has been an increasing number of field measurements of BC in TP. It is reported that BC 56 57 concentration can still reach high level occasionally in TP under certain meteorological and synoptic condition (Babu et al., 58 2011; Zhu et al., 2016; Zhao et al., 2017). Observations on BC mixing states demonstrated that BC is mainly internally mixed 59 (Yuan et al., 2019), and the BC coating enhances the MAC of BC in TP (Wang et al., 2017; Wang et al., 2018; Chen et al., 60 2019; Tan et al., 2021). BC can be transported over long distance with wildfire plumes (Huang et al., 2023; Zheng et al., 2020). Some regions of TP may be affected by biomass burning (BB) from lower-altitude area (Cao et al., 2010; Zhang et al., 2015; 61 62 Cong et al., 2015). External transport can raise BC concentration and affect its morphology and mixing state in TP (Tan et al., 63 2021; Chen et al., 2023). However, research on how emissions from various sources affect the chemical composition of PM_{BC} in TP is scarce. Therefore, we conducted field observations of the physicochemical characteristics of PM_{BC} at two typical sites 64 65 in TP. The objective of this study is to investigate the impacts of various pollutant emissions and the subsequent regional 66 transport, particularly those from anthropogenic activities from low-altitude regions, on the mixing state and chemical 67 composition of PM_{BC} in TP.

68 2. Materials and Methods

69 2.1 Site Description

Field measurements were conducted at two observation stations in TP (Fig. 1). The station of northeast TP is located in Xihai town (~ 3100 m a.s.l, 36°56' N, 100°54' E). The station of southeast TP is the South-East Tibetan plateau Station for integrated observation and research of alpine environment, located in Lulang (~3200 m a.s.l, 29°46' N, 94°44' E). The field campaign was conducted from April 2 to May 16, 2021 in Lulang and from June 3 to June 23, 2021 in Xihai. Both stations are typical high-altitude sites of mountainous areas (Fig. 1a) but potentially influenced by distinct emission sources. There is more wildfire around Lulang (Fig. 1a), but Xihai is close to the northwest region of China which may largely affected by the anthropogenic emissions (Fig. 1b).



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81 2.2 Instrumentation

82 The Soot Particle Aerosol Mass Spectrometer (SP-AMS, Aerodyne Inc., USA) was used to measure rBC and non-83 refractory materials coated on rBC (NR-PM_{BC}) (Onasch et al., 2012). The tungsten vaporizer was removed and the intracavity 84 infrared laser vaporizer was reserved to exclusively measure PMBC. After adjusting the SP-AMS to the laser-only configuration, only PM_{BC} can be volatilized via absorbing laser. We collected V-mode data due to its high sensitivity (Decarlo et al., 2006). 85 The total flow rate through the inlet was maintained at \sim 3L min⁻¹. A PM_{2.5} cyclone was used in the front of the inlet (URG 86 87 Corp., USA), and only particles in the size range of 50-1000 nm can be focused by the lens of inlet system. The bounce effect 88 of aerosol was eliminated because the tungsten vaporizer was removed, so the usual collection efficiency (CE) is not applicable 89 (Docherty et al., 2013; Drewnick et al., 2005). The overlap of particle beam and laser beam determined the CE of SP-AMS with laser-only configuration. The new CE was acquired by intercomparison of rBC concentration measured using SP2 and 90 91 SP-AMS (Willis et al., 2014; Massoli et al., 2015), and was nearly 1 during this campaign.

92 SP-AMS data was processed by the standard Time-of-Flight AMS data analysis software packages (SQUIRREL version 93 v1.60P and PIKA v1.20P). Ionization efficiency (IE) calibration was done shortly before removing the tungsten vaporizer. The 94 mass-based calibration method was used to obtain IE values by sampling the 300 nm dried pure ammonium nitrate particles into SP-AMS. The 300 nm particles were selected with a differential mobility analyzer (DMA, model 3081, TSI Inc., USA). 95 The relative IE (RIE) for organic aerosol (OA) and SO_4^{2-} was 1.4 and 1.2, which was consistent to the RIE reported in a 96 97 previous work (Canagaratna et al., 2007). The RIE for rBC was calibrated by sampling monodispersed 300 nm Regal Black 98 particles into SP-AMS. The detection limit was calculated based on the method in Decarlo et al (2006), and the detection limit of ammonium was higher, so the concentration of ammonium was estimated by ionic equilibrium. OA measured by the SP-99 AMS were subdivided into factors with different characteristics and sources based on positive matrix factorization (PMF) 100 results. The PMF Evaluation Tool version 3.04A was used to perform PMF analysis on the high-resolution organic mass 101 102 spectra (Ulbrich et al., 2009; Zhang et al., 2005b; Zhang et al., 2011). Only ions with charge-to-mass ratio below 103 120approximately 115 were considered in the PMF analysis.

The meteorological parameters, aerosol optical properties and gaseous pollutants were also measured simultaneously. Ozone (O₃), carbon monoxide (CO), nitric oxide (NO), nitrogen oxides (NO_x) and sulfur dioxide (SO₂) were measured using online analyzers (Teledyne API Inc., USA). The photoacoustic extinctiometer (PAX, Droplet Measurement Technologies Inc., USA) measured light absorption coefficients. Temperature, relative humidity (RH) and other meteorological parameters were monitored by meteorological sensors (WXT530, Vaisala Inc., Finland).

109 2.3 Model configuration

110 In this study, we conducted regional chemical transport modeling using the Weather Research and Forecasting model coupled with Chemistry (WRF-Chem, version 3.7.1). This model encompasses a broad spectrum of physical and chemical 111 112 processes, addressing the emission and deposition of pollutants, advection, diffusion, gaseous and aqueous chemical 113 transformations, as well as aerosol chemistry and dynamics (Grell et al., 2005). The model domain was centered at 35°N and 114 110°E with a grid resolution of 20 km, covering the northeastern Tibetan Plateau. The vertical structure of the model comprised 115 30 layers extending from the surface to the top pressure of 50 hPa. The simulation was conducted for the longer period including the times of whole campaign period from 3 June to 11 June 2021. To establish accurate initial and boundary 116 117 conditions for meteorological fields, we updated the model using 6-hourly 1°×1° National Centers for Environmental 118 Prediction (NCEP) global final analysis (FNL) data. In our pursuit of well capturing the meteorological fields, we assimilated National Centers for Environmental Prediction (NCEP) Automated Data Processing (ADP) operation global surface 119 120 observation and global upper air observational weather data. This assimilation process utilized default nudging coefficients for 121 wind, temperature, and moisture.

122 The Yonsei University planetary boundary layer (YSU PBL) scheme was used to parameterize boundary layer processes
 123 (Hong et al., 2006). Other essential physical parameterization options included the unified Noah land surface model (Ek et al.,

124 2003), the Lin microphysics scheme (Lin et al., 1983), and the Grell-Freitas cumulus parameterization scheme (Grell and

- 125 Freitas, 2014). For representing atmospheric chemistry numerically, we utilized the Carbon-Bond Mechanism version Z 126 photochemical mechanism along with the Model for Simulating Aerosol Interactions and Chemistry aerosol module (Zaveri 127 and Peters, 1999; Zaveri et al., 2008). Both natural and anthropogenic emissions were considered in this regional WRF-Chem 128 modeling study. Anthropogenic emissions were derived from the Multi-resolution Emission Inventory for China (MEIC), 129 which includes emissions from power plants, residential combustion, industrial processes, on-road mobile sources, and 130 agricultural activities (Li et al., 2017). Biogenic emissions were calculated online using the Model of Emissions of Gases and
- 131 Aerosols from Nature (MEGAN), encompassing more than 20 biogenic species (Guenther et al., 2006).
- A comprehensive overview of the model configuration can be referenced in earlier investigations (Huang et al., 2016; Huang et al., 2018). Additionally, key configurations <u>and validation</u> for the WRF-Chem regional modeling are <u>explicitly</u> outlined inshown by Table S1 and Fig. S1.

135 **2.4 Other materials**

136 The transport and emission condition were considered to investigate their impacts on BC physical and chemical properties. The Hybrid Single-Particulate Lagrangian Integrated Trajectory (HYSPLIT) model was used to calculate and cluster 72 h 137 138 backward trajectories (Stein et al., 2015; Xu et al., 2018). The starting points of the simulation were Xihai and Lulang, and 139 particles were released at a height of 1000 m above the ground level. The backward trajectories were calculated every hour 140 during the field campaign. The Fire Inventory from NCAR (FINN) was adopted to estimate daily open BB emissions with 141 high spatial resolution (1 km) during the campaign (Wiedinmyer et al., 2006; Wiedinmyer et al., 2011; Wiedinmyer et al., 142 2023), and the anthropogenic emissions of major pollutants was estimated by MIX-Asia emission inventory (Li et al., 2017). 143 Besides, the optical properties of PM_{BC} were investigated based on the widely-used core-shell Mie model (Bohren and Huffman. 1983; Virkkula, 2021). MAC and E_{abs} of PM_{BC} were calculated following the algorithm developed by Mätzler (2002). 144 145 The refractive index was 1.95 - 0.79i for rBC (Bond and Bergstrom, 2006), and was 1.52 - 10⁻⁶i for BC coating (Pitchford et 146 al., 2007) at 550 nm wavelength. The calculated optical properties of PM_{BC} in PM_1 were validated by good agreements to 147 observed results of BC in PM_{2.5} (Fig. S1S2).

3. Results and discussion



149 3.1 Overview of BC properties and meteorological conditions in TP

Figure 2: The time series of (a) mass concentrations of particulate matters (PM_{2.5}), refractory black carbon (rBC), organics (Org), nitrate (NO₃⁻), sulphate (SO₄⁻²), ammonium (NH₄⁺) and chloride (Cl⁻) in PM_{BC}, (b) mass fraction of different species in PM_{BC}, (c) aerosol light absorption coefficients (b_{abs}) at 870 nm wavelength, (d) gaseous pollutants including nitric oxide (NO), nitrogen oxide (NO₂) and ozone (O₃), (e) air temperature (Temp) and relative humidity (RH), (f) wind direction (WD) and wind speed (WS).

- 156 Fig. 2 presents the overall condition during the campaign. The mass concentration of PMBerBC shows large temporal variation at both sites, with ranges of 0.01802-1.28028 µg m⁻³ in Xihai and 0.02-2.22 µg m⁻³ in Lulang. PM_{BC} concentration 157 158 and light absorption coefficients (babs) increased in the latter period of Xihai campaign, contrasting with the marked decreasing 159 pattern in PM_{BC} concentration and b_{abs} observed during the latter period of Lulang campaign. In Xihai, the concentration and proportion of inorganic components, especially NO_3^- , rose in the latter phase of the campaign as the wind direction (WD) 160 161 shifted to south-easterly (Fig. 2f). The air temperature and RH also got higher with the change of wind direction. Another 162 major feature is that the wind direction had distinct diurnal variations. In Xihai, the wind direction converted from easterly and 163 northeasterly flows during the nocturnal hours to southerly direction during daytime. Conversely, Lulang is predominantly 164 controlled by northerly to northeasterly winds throughout the campaign period. Nevertheless, the wind speed (WS) were similar in Xihai and Lulang, with mean value of 1.778 ± 1.182 m s⁻¹ and 1.485 ± 1.192 m s⁻¹, respectively. In terms of gaseous 165 pollutants, higher levels of NO_x and O₃ were observed in Xihai ($5.26\pm3.36\pm3.4$ and $47.88\pm12.8148\pm13$ ppb) than in Lulang 166 167 $(4.010 \pm 2.545 \text{ and } 34.8735 \pm 15.20 \text{ ppb}).$
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Table 1: Overview of the BC (EC)-concentration (mean±1σ) at different sites of TP in existing studies. The minimum value and
 maximum value were shown in the parenthesis. The measurement result was divided by black lines in the table based on different
 measurement techniques.

Sampling Site	Location	Instrument	Sampling period (Year.Month)	Altitude(m)	BC(EC) Concentration (ugBC concentration (ug m ⁻³)	Reference
Lulang	Southeastern TP	SP-AMS	2021.04-2021.05	3300	0. <u>168217</u> ±0. <u>166817</u> (0. <u>01702</u> - 2. <u>221822</u>)	This study
Xihai	Northeastern TP	SP-AMS	2021.06	3300	0. 2367<u>24</u>±0.<u>199820</u> (0.<u>01802</u>- 1.<u>280328</u>)	This study
Qinghai Lake	Northeastern TP	SP2	2011.10	3200	0. 360<u>36</u>±0.<u>27027</u> (0.<u>05005</u>- 1.<u>56056</u>)	Wang et al., 2014
Nam Co	Central TP	SP-AMS	<u>2015.05-2015.06</u>	<u>4730</u>	<u>0.12±0.085</u>	Wang et al., 2017
Linzhi	Southeastern TP	AE 16	2008.11-2009.01	3300	0. 750<u>75</u> (0.300<u>30</u>-1.<u>60060</u>)	Cao et al., 2010
Lulang	Southeastern TP	OC/EC Analyzer	2008.07 2009.07	3300	0.520±0.035	Zhao et al., 2013
Lulang	Southeastern TP	AE 16	2008.07-2009.08	3300	0.49605 <u>50</u> ±0.5212 <u>52</u> (0.057706-5.368637)	Zhao et al., 2017
Mt. Muztagh Ata	Western TP	AE 16	2009.11-2010.09	4500	0. 13313 ±0. 055 06 (0. 03 4 <u>03</u> - 0. 330<u>33</u>)	Zhu et al., 2016
QOMS	Southern TP	OC/EC Analyzer	2009.08-2010.07	4 276	0.250±0.220	Cong et al., 2015
Hanle valley	Southern TP	AE 31	2009.08-2010.07	4250	0.077±0.064_(0.007-0. 29630)	Babu et al., 2011
Lulang	Southeastern <u>TP</u>	OC/EC Analyzer	2008.07-2009.07	3300	0.52±0.04	Zhao et al., 2013
<u>QOMS</u>	Southern TP	OC/EC Analyzer	2009.08-2010.07	<u>4276</u>	<u>0.25±0.22</u>	Cong et al., 2015

172 We also compared the observed BC concentration with reported values at different sites of TP. In someNote that, the term 173 "black carbon (BC)" has not been used rigorously or consistently throughout all previous study, modelling and measurement literature (Bond et al., 2013). Similar terms including "rBC", "eBC", and "EC" has also been widely used corresponding to 174 175 different measurement techniques. BC measured by laser-induced techniques is often referred as "rBC", and measured BC 176 using light absorption (e.g. Aethalometer, AE) and thermal/optical methods are normally named as "the equivalent BC (eBC)" 177 and "elemental carbon (EC)-approximately equivalent to BC was measured.", respectively. In Table 1, BC concentrations in 178 TP measured by several common techniques were collected and grouped according to the methods to make clearer comparison. 179 Compared to measurements using the same instrument in a metropolitan area (Cui et al., 2022), the rBC concentration of TP demonstrated comparable levels across various campaigns, amounting to $(0.24 \pm 0.20 \ \mu g \ m^{-3})$ was approximately 25% or less 180 of the BC concentration observed in metropolitan areas (Cui et al., 2022). Shanghai $(0.92 \pm 0.81 \ \mu g \ m^{-3})$. The BC rBC 181 182 concentration in Xihai was consistent with that of a nearby site in the northeast relatively high compared to southeastern and central TP measured using same technique (Table 1). This level of concentration occupies an intermediate position within the 183 TP region which was potentially attributed to the strong anthropogenic BC emissions in surrounding area (of northeast TP (Fig. 184 185 1). The BCrBC concentration in Lulang exhibited a relatively lower mean value yet with a broad range of day to day variation, 186 suggesting that BC may be subject to diverse airmasses with significant discrepancies in emission intensity across the southeast 187 and southern regions of the TP (Fig. 1). BC in these regions is strongly affected by BB, causing higher Higher BC levels were 188 observed at-observational stations in proximity to the Indo-China Peninsula and South Asia where wildfire activities were 189 extremely intense in spring. Therefore, the considerable variability of BCrBC concentrations in Lulang is likely due to the 190 alternating influences from airmasses transporting BB plume and those originating from cleaner environments.







Figure 3: The box plots of (a) rBC and (b) BC-containing particles mass concentrations in Xihai and Lulang, the lower and upper lines of box plot represent the 25th and 75th percentiles and the whiskers stand for 5th and 95th values. The charts of normalized frequency distribution show (c) mass ratio of coating substance to rBC core (R_{BC}) and (d) mass absorption cross-section (MAC). Only 1.15% of the R_{BC} exceeded the maximum value of bin (19.5) in Xihai, and no R_{BC} exceeded the maximum value of bin in Lulang.

198 The overall characteristic of PM_{BC} in Xihai and Lulang was compared based on statistical results. As Fig. 3a and Fig. 3b 199 show, the mass concentration of rBC and PM_{BC} were higher in Xihai due to possible impacts of stronger anthropogenic 200 emissions (Fig. 1b), and the difference ($t_{rBC}=2.8$, $t_{PMBC}=2.1$) between the two sites was proved by the t-test ($\alpha=0.05$, $\nu=50$). 201 Figure 3c compares mixing state of PM_{BC} in Xihai and Lulang, which was expressed by the mass ratio of BC coating to rBC 202 (R_{BC}). The frequency distribution of R_{BC} had obvious difference at two sites. R_{BC} in Xihai was generally higher than in Lulang, 203 indicating the thicker coating in Xihai. The peak of R_{BC} occurred at [4,5,6] and 2[1.5,3] in Xihai and Lulang, respectively. R_{BC} 204 of more than 50% PM_{BC} was between 3.0 and 7.5, and only 10.911% PM_{BC} had R_{BC} less than 3.0 in Xihai. Unlike Xihai, the 205 percentage of thinly coated PM_{BC} that R_{BC} was less than 3.0 was higher to 33%. in Lulang. The difference on mixing states 206 of PM_{BC} was also resulted in-demonstrated by the difference of MAC at both sites (Fig. 3d)-t-test ($t_{RBC}=2.4$). The peak of MAC at both sites was between 12.5 and 14 m² g⁻¹ (Fig. 3d) which was significantly greater than the MAC of BC without coating 207 (Bond and Bergstrom, 2006), and was comparable to previous observed result (12.02 m².g⁻¹) (average value and range of MAC 208209 Wang et al., 2018). The MAC of PM_{BC}-in Xihai and Lulang was higher comparing to that of Lulang (Fig. 3d).12.8 (5.6-17.4) 210 and 12.3 (6.8-15.7) m² g⁻¹. Over 61% of BC was distributed in larger MAC range (higher than 12.5 m² g⁻¹) in Xihai, showing

- 211 stronger light absorption ability of BC in this region. Due to the synergy of higher mass concentration and light absorption
- 212 ability, PM_{BC} could bring larger climate effects in northeast TP.





Figure 4: The mass spectra of different factors represents the organic aerosol from specific sources in BC-containing particles in (a)
 Xihai and (b) Lulang. MO-OOA is more oxidized oxygenated organic aerosol, LO-OOA is less oxidized oxygenated organic aerosol,
 HOA is hydrocarbon-like organic aerosol and BBOA is biomass burning organic aerosol.

217 The chemical characteristics and sources of OA in PM_{BC} were identified by PMF. OA was separated into primary OA 218 (POA) and oxygenated OA (OOA) at both sites (Fig.4 and Fig. S2). In Xihai, there were one factor originating from primary 219 emissions and two factors from secondary formation. The POA factor had higher signal of $C_4H_7^+$ and $C_4H_9^+$, which is the 220 important alkyl fragments from primary sources (Hu et al., 2016), in its mass spectrum. It also had higher content of hydrogen that H:C was up to 1.84 and lower signal of $C_2H_3O^+$ which is the typical BB tracer. Hence, this factor was mainly emitted from 221 222 fossil fuel combustion rather than BB, and was named as Hydrocarbon OA (HOA). OOA factors were further divided into less-oxidized OOA (LO-OOA) and more-oxidized OOA (MO-OOA) factors. These two factors were secondary OA (SOA) 223 224 formed through oxidation processes such as photochemical reactions (Kanakidou et al., 2005; Zhang et al., 2005a; Zhao et al., 225 2018). They had higher fraction of signal of CO_2^+ ion (m/z 44) and other oxygenic ions in mass spectrum, which is similar to 226 the mass spectra of typical OOA reported in other field campaigns (Crippa et al., 2013; Hu et al., 2016; Kim et al., 2020; Lee 227 et al., 2017; Sun et al., 2020; Wang et al., 2016; Zhou et al., 2018). The O:C of the two OOA factors was also calculated 228 (Canagaratna et al., 2015) to learn about the oxidation degree of OOA. MO-OOA had very high exhibited higher O:C ratio 229 (0.84), while the O:C of) than LO-OOA was only (0.49_{τ}) . Unlike Xihai, the POA factor in Lulang had higher fraction of signal 230 of $C_2H_3O^+$ (m/z 60) ion (f $C_2H_3O^+$) in mass spectrum, which is the fragment of levoglucosan mainly from BB (Lee et al., 2010). Therefore, this POA factor was identified as biomass burning OA (BBOA) in Lulang. Moreover, the f CO2+ and 231

- 232 $f_{C_2H_4O_2^+}(0.065 \text{ versus } 0.025)$ of this factor were also within the triangle area in previous BBOA study (Cubison et al., 2011),
- 233 and the f $C_2H_4O_2^+$ was lower than the fresh BBOA, indicating that this factor was influenced by biomass burning activities
- and aging processes collectively. The remaining two factors were from SOA formation in Lulang, and had higher
- 236 MO-OOA and LO-OOA. The O:C of MO-OOA and LO-OOA was 0.95 and 0.46, respectively. Compared to Lulang, the OA
- 237 in BC coating was under stronger impacts of anthropogenic emissions in Xihai indicated by HOA.







Figure 5: The stacked bars represent mass concentrations of (a) different species in BC-containing particles (PM_{BC}), and (b) different factors of organic aerosol in BC-containing particles. The numbers on the plot show the percentage of different species and organic factors. In subplot (a), PM_{BC} in the TP (this study) was compared to PM_{BC} in urban regions (Collier et al., 2018; Cui et al., 2022).

243 Figure 5 presents PM_{BC} chemical composition at two sites. BC coating had higher mass contribution to PM_{BC} in Xihai and Lulang compared to the urban site (Collier et al., 2018), indicating the thick coating of PM_{BC} in TP. The average mass 244 fraction and concentration of BC coating were 84% and 1.242 µg m⁻³ in Xihai. The mass fraction of coating was slightly 245 highersimilar (83.4%) in Lulang, although the concentration of BC coating was lower (0.85 µg m⁻³). OA was the dominant 246 247 component of BC coating (Fig. 5b5a) at both sites, which was consistent with the observation in central TP (Wang et al., 2017). 248 OA took up a higher proportion in BC coating in Lulang compared to Xihai, Shanghai (Cui et al., 2022) and Fresno (Collier 249 et al., 2018). During the field campaign, the average concentration of HOA, LO-OOA and MO-OOA was 0.25, 0.1918 and 0.28 µg m⁻³ in Xihai. MO-OOA also had the highest concentration (0.32 µg m⁻³) of OA in Lulang, and exceeded BBOA (0.15 250 251 µg m⁻³) and LO-OOA concentration (0.14 µg m⁻³). It demonstrated that SOA formation plays an important role in coating 252 process of PM_{BC}. The dominance of MO-OOA in BC coating was resulted from strong dominated by MO-OOA which was 253 importantly affected by atmospheric oxidizing expacitly in TP and fast aging process during transport. The concentration of 254 O₃ highly relative to atmospheric oxidizing capacity was reflected by level improved significantly in afternoon (Fig. S8), and the enhanced oxidizing capacity could cause increase of Θ_2 -which is an important atmospheric oxidant. In-MO-OOA in BC 255 coating in both Xihai and Lulang, relatively higher concentration of O₃-could cause intense atmospheric oxidizing capacity in 256 257 afternoon (Fig. S3). Besides MO-OOA, NO₃⁻ (17.3%) and HOA (34.835%) also made large contribution on BC coating (Fig.

- 258 5a) and coated OA (Fig. 5b) in Xihai compared to Lulang (Fig. 5b), indicating. The HOA and NO3⁻ were both closely associated
- 259 with anthropogenic sources because the anthropogenic sources emitted the HOA (Zhang et al., 2005a) and precursors of NO₃-
- 260 largely (Dall'osto et al., 2009; Richter et al., 2005; Sun et al., 2018). It indicated that anthropogenic emissions have a strong
- 261 influence on coating process of PM_{BC} in northeast TP, which is quite different from southeast TP.



Figure 6: The variation of BC coating composition with R_{BC} between (a) Xihai and (b) Lulang. The x-axis represents the mass ratio of BC coating components and rBC cores (R_{BC}), and the y-axis represents the mass fractions of BC coating components coated on rBC. The mass fraction of components was averaged in each bin of R_{BC} (bin width: 1.5).

267 Figure 6 shows the coating components of BC with different R_{BC} in Xihai and Lulang. The mass fraction of MO-OOA 268 was predominant in the thick-coated PM_{BC} in both Xihai and Lulang. Notably, a more significant enhancement in MO-OOA 269 contribution within the thickly coated PM_{BC} was exhibited in Lulang, concomitant with a reduced fraction of inorganic 270 components. The mass fraction of MO-OOA was only $\frac{8.839\%}{8.839\%}$ in the thin BC coating (R_{BC}<1.5), rising dramatically to 59-28%271 in those with R_{BC} exceeding 10.5 (thick BC coating). Another notable feature of the coating components was the higher 272 contribution of BBOA in Lulang, especially when the coating thickness of PM_{BC} increased was higher. It indicated that thickly 273 eoated coating of BC was dominated affected by OA formed through BB activities and atmospheric oxidation significantly. In 274 contrast to Lulang, HOA contribution decreased with the growth of R_{BC}, indicating a weaker effect of primary aerosol on 275 thickly-coated PM_{BC} in Xihai. Besides the MO-OOA, NO3⁻ also contributed significantly to the composition of thickly-coated 276 PM_{BC} in Xihai, while the contribution of NO_3^- dropped with the rise of R_{BC} in Lulang. As illustrated in Fig. 6a, the mass 277 fraction of NO₃⁻ reached to $\frac{25.8735\%}{100}$ in the maximum bin of R_{BC} ($\frac{1318-19.5-15}{100}$) in Xihai. The abundant NO₃⁻ was closely 278 associated with anthropogenic sources which can emit NO_x to improve as mentioned in the formation of NO₃⁻ (Sun et al., 279 2018) preceding paragraph. The results demonstrate substantial variability in the composition influencing BC aging across TP 280 affected by diverse emission sources. Moreover, anthropogenic pollutant emissions had strong impacts on BC coating even in 281 the remote highland areas, and the contribution of inorganic aerosol to BC coating is non-negligible in TP.

282 3.3 Impacts of transported emissions on BC-containing particles



Figure 7: The maps show the backward trajectories in different clusters of (a) Xihai and (b) Lulang. Each circular marker along the trajectories denotes a 7224-hour interval. The background shading represents the anthropogenic BC emission intensity and the orange spots represent the location of wildfire during the campaign in (a) and (b). The stacked bar plots show the mass concentration of coating components and rBC in (c) Xihai and (d) Lulang.

290 As discussed above, PM_{BC} in TP region is possibly affected by both anthropogenic sources and BB transported from 291 surrounding areas. To further investigate the impact mechanism of regional transport on BC, the cluster analysis of backward 292 trajectories was carried out during field campaign of Xihai and Lulang, and backward trajectories were clustered into three 293 kinds. In Xihai, the airmasses were dominantly from eastern region outside of TP, as indicated by airmasses cluster1 (CL_{1}), 294 followed by the airmasses of cluster2 (CL2) from the northwest of Xihai, and the airmasses of cluster3 (CL3) from west of 295 Xihai (Fig. 7b7a). PM_{BC} was brought more to Xihai (Fig. 7c) by the airmasses of CL1 which went through the lower-altitude 296 regions with stronger anthropogenic BC emissions (Fig. 7a and Fig. 1b). In Lulang, the CL1 airmasses from South Asia were 297 heavily polluted and aged, the CL2 airmasses from southern edge of Himalayas and the CL3 airmasses from central inland of 298 TP were cleaner (Fig. 7b). Comparing the polluted airmasses (CL1) at two sites, chemical composition of PM_{BC} showed 299 obvious difference between Xihai and Lulang (Fig. 7c and 7d). The contribution of inorganic species to BC coating was higher 300 in Xihai, and there was more OA (especially MO-OOA) in polluted airmass of Lulang. MO-OOA was the major component of BC coating in CL1 in Lulang. As shown by Fig. 7b, there was intensive wildfire in the source region of CL1 airmasses of 301 302 Lulang, and the wildfire plume could be readily uplifted to higher altitude due to prevailing upflow driven by the lifting of the 303 plume (Freitas et al., 2007; Fromm et al., 2000; Labonne et al., 2007; Luderer et al., 2006; Sofiev et al., 2012) or large-scale 304 westerly and small-scale southerly circulations during the pre-monsoon season (Freitas et al., 2007; Fromm et al., 2000; 305 Labonne et al., 2007; Luderer et al., 2006; Sofiev et al., 2012; Zhang et al., 2020). (Zhang et al., 2020; Cao et al., 2010). Such circulation could transport BC and other co-emitted pollutants from wildfires in Indo-China Peninsula and South Asia over the 306 307 mountain of TP and reached Lulang. Because the biomass burning during wildfires can emit plentiful volatile organic 308 compounds (VOCs) like terpenes (Akagi et al., 2013; Fiddler et al., 2024), it is expected that SOA can be formed through 309 oxidation from precursors in the plume, leading to a thick coating on PM_{BC} . In Xihai, NO_3^- was one of the major coating 310 species in PM_{BC} in CL1 (Fig. 7c) with mass concentration of NO₃⁻ up to 0.35 µg m⁻³ (accounts for 18.719% of PM_{BC}), and other airmasses clusters had higher mass fraction of HOA in BC coating indicating that PM_{BC} was less affected by oxidation 311 312 and was fresher. CL1 transported from northwest region of China where the anthropogenic emissions are much stronger than 313 TP (Fig. 7a). With higher concentrations of primary pollutants like NO_x, the formation and coating of NO₃⁻ can be enhanced 314 in PM_{BC}. Above results indicated that the effects of emission sources were discrepant in different regions of TP, and the 315 northeast part of the TP was significantly affected by anthropogenic emissions.



Figure 8: Simulated meridional mean concentration profile of (a) CO and (b) BC independently during the episode day (19 June, 2021). The air circulation is shown as vector arrows and the terrain height is shown as gray shade in (a) and (b) subplots. The vertical velocity of wind was amplified by a factor of 3000 for clarity. The (c) and (d) subplots show the diurnal variation of BC-containing particles concentration during the (c) episode day and (d) entire observation period in Xihai. The blue shade represents the night hours during Xihai campaign in (c) and (d) subplots. The sunrise on Xihai was about 6:00 a.m. (Beijing Time), and sunset was about 8:30 p.m. (Beijing Time).

324 To further explore the coupling effect of horizontal and vertical transport on BC in high-altitude region, both observation 325 and simulation were performed to track the evolution of pollutants in surrounding area. We chose a typical episode in CL1 in 326 Xihai to conduct model simulation. As illustrated in the meridional profile plots of CO and BC, the high levels of anthropogenic 327 pollutants were uplifted to Xihai (Fig. 8a and Fig. 8b). The updraft flow and the turbulent mixing in the boundary layer carried 328 the anthropogenic emissions from the ground to the high altitude, and then the horizontal easterly winds transported the 329 anthropogenic emissions to the northeast TP. The combination of upward wind and developing boundary layer (Fig. S3S8c) 330 allowed the pollutants emitted by the anthropogenic sources near the surface to be carried aloft and transported to high-altitude 331 TP in the afternoon. This effect can significantly change both the concentration and chemical composition of BC. Compared 332 to the average diurnal variation during observation period, the diurnal variation during episode shows distinctive features (Fig. 333 8c and 8d). PM_{BC} concentration increased remarkably from 15:00 and peaked at 16:00 to 17:00 with a maximum concentration of $\frac{3.974.0}{10}$ µg m⁻³. Concurrently, NO₃⁻ and SOA also exhibit a noticeable increase along with the thickening BC coating in the 334 335 afternoon. The NO₃⁻, SOA, and R_{BC} rose from 0.6241 µg m⁻³, 0.5849 µg m⁻³, and 2.848 at 11:00 to 1.06 µg m⁻³, 1.31 µg m⁻³ 336 ³, and 10.152 at 16:00, respectively. As the Fig. S3S8a shows, O₃ did not increased significantly after 3:00 p.m. in Xihai, 337 implying that the photochemistry and secondary aerosol formation might be not that active enhance. However, the consistent 338 radiative heating of the ground surface during the daytime kept a convective boundary layer (Fig. S3S8c), facilitating the 339 vertical transport of anthropogenic emissions to higher altitudes and plausibly causing the enhanced air pollution in the 340 afternoon in Xihai. This phenomenon is a good illustration of the vulnerability of remote plateau regions to intense 341 anthropogenic influences, as pollutants can be transported from low-altitude regions to the plateau.





346 Lulang.

347 The effects of different emission sources on the BC light absorption ability were investigated. Compared to Lulang, the 348 MAC of PM_{BC} was overall higher in Xihai, indicating higher absorption efficiency and potentially stronger radiative forcing 349 in this region. The MAC were all relatively high in three clusters of airmasses of Xihai, with distribution peaked between 12.5 350 and 13.514 m² g⁻¹ that numerically comparable to previous studies (Wang et al., 2015). The overall high MAC in Xihai may result from the significant impact of anthropogenic emissions in northeast TP. The stronger emissions provided abundant 351 352 precursor of BC coating to improve the coating thickness, and the thick coating enhance light absorption capacity of PM_{BC} via 353 "lensing effect". While MAC was higher only under control of the polluted CL1 airmasses in Lulang, indicating that the South Asian wildfire plume could significantly strengthen the light absorption ability of BC. The MAC in Lulang was also 354 355 comparable to previous studies (Wang et al., 2018) that the peak of MAC distribution was 7.6 m² g⁻¹ at 870 nm (12.0 m² g⁻¹ at 550 nm if the Absorption Ångström Exponent of BC is 1.0). In CL1 airmasses of Lulang, MAC mainly distributed at the bin 356 357 between 12.5 and 14 m² g⁻¹ that is close to MAC (13.1 m² g⁻¹ at 550 nm) at other TP sites affected by biomass burning plume 358 (Tan et al., 2021). The BC coating was thick (Fig. 7d) to improve the MAC in CL1 airmasses of Lulang influenced by higher BB emissions. These results indicate that strong BB and anthropogenic emissions from surrounding area could make noticeable 359 impacts on chemical composition and light absorption ability of BC in TP, and these impacts were more prevalent in the 360 361 northeast part of the TP.

362 4 Conclusions

In this study, we employed the SP-AMS with a laser vaporizer only to quantitatively analyze the chemical composition of PM_{BC} at distinct sites, Xihai and Lulang, located in the northeast and southeast regions of the TP. Our findings demonstrate the considerable variability and spatial heterogeneity of BC physical and chemical properties across the TP. Notably, Xihai exhibited higher mass concentrations of rBC and PM_{BC}, with respective mean concentrations of 0.24 μ g m⁻³ and 1.48 μ g m⁻³, compared to 0.17 μ g m⁻³ and 1.02 μ g m⁻³ in Lulang. The PM_{BC} in Xihai has higher aging degree, as indicated by a higher mean R_{BC} of 6.667, contrasting the mean R_{BC} of 4.535 in Lulang.

369 The marked differences in chemical composition of PM_{BC} were also observed within TP region. Due to differences in emission sources, the POA was distinct in Xihai and Lulang. HOA from fossil fuel combustion was one of the main 370 371 components of PM_{BC} in Xihai as the result of elevated anthropogenic emissions, and there was more BBOA in Lulang 372 especially when the airmasses were from South Asia Plain affected by frequent wildfire. Besides primary species, the secondary coating components also showed larger differences. The contribution of secondary inorganic aerosols, particularly 373 NO3⁻ was noticeably higher in Xihai because of the strong anthropogenic emission of NO_x as the precursor of NO3⁻. SOA was 374 375 comparatively higher in areas with less anthropogenic emissions like Lulang. The oxidizing level of SOA was high in both 376 sites of TP that the MO-OOA occupied the largest mass fraction of SOA. We also investigated the variation of PM_{BC} 377 composition with its coating thickness in both sites. A marked enhancement in NO₃⁻ fraction was observed on aged BC coating 378 in Xihai. In contrast, the mass contribution of NO3⁻ decreased and SOA contribution notably increased during the thickening

 $379 \quad of \, PM_{\rm BC} \text{ in Lulang.}$

380 Backward trajectory analysis and regional chemical transport modeling were then performed to track the impacts of transported anthropogenic and BB emissions on chemical composition of PM_{BC} in northeastern and southeastern TP. The effect 381 of anthropogenic emissions was stronger in northeastern TP when the airmasses were brought by updrafts and easterly winds 382 383 from lower-altitude areas, leading to an increase of NO3⁻ and SOA coated on BC. With the development of boundary layer, 384 strong turbulent mixing promoted the elevation of anthropogenic pollutants. In contrast to Xihai, the thickly coated BC in Lulang was mainly caused by elevated elevation and transportation of biomass burning plume from the South Asia, leading to 385 a significantly increased contribution of MO-OOA and BBOA. The distinct transported emissions caused substantial variations 386 387 of chemical composition and mixing state of BC, which further changes the light absorption ability of BC in the TP. The MAC of PM_{BC} at both sites was at a high level, showing the strong absorption ability of BC in TP region, especially in polluted 388 389 airmasses affected by biomass burning emission from the South Asia. The overall thicker coating and higher MAC of PM_{BC} 390 in airmasses elevated from lower-altitude regions reveals the impacts of promoted BC aging processes during transportation 391 on the mixing state and light absorption of BC in TP, which will further influence its radiative effects. Such impact needs to 392 be considered in the evaluation of BC radiative effects for the TP region.

393 Data availability

394 The wildfire emission data FINN is available at https://www.acom.ucar.edu/Data/fire/. The anthropogenic emission data MIX

395 is available at http://www.meicmodel.org/dataset-mix.html. The BLH is acquired from the fifth-generation European Centre

396 for Medium-Range Weather Forecasts (ECMWF) reanalysis data (ERA5; https://cds.climate.copernicus.eu/cdsapp#!/home).

397 The measurement data covered in the article can be found at: https://doi.org/10.6084/m9.figshare.25399024. Additional data

398 related to this paper may be requested from the authors.

399 Author contribution

400 CF, AD, and JPW conceptualized and supervised this study. JBW, YZ, TL, XC, DG, CZ, LW, XQ and WN conducted the 401 field campaign. JBW and JPW conducted the data analysis. SL and XH contributed to the model development and simulation. 402 JBW wrote the draft and drew the plots. JPW, XH and QZ discussed the results. JBW and JPW reviewed and edited the paper 403 with contributions from all co-authors.

404 Competing interests

405 The contact author has declared that none of the authors has any competing interests.

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