



# **Examination of Analytical Shear Stress Predictions for Coastal Dune Evolution**

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**Abstract.** Existing process-based models for simulating coastal foredune evolution largely use the same analytical approach for estimating wind induced surface shear stress distributions over spatially variable topography. Originally developed for smooth, low-sloping hills, these analytical models face significant limitations when the topography of interest exhibits large height-to-length ratios and/or steep, localized features. In this work, we utilize computational fluid dynamics (CFD) to examine

- 5 the error trends of a commonly used analytical shear stress model for a series of idealized two-dimensional dune profiles. It is observed that the prediction error of the analytical model increases as compared to the CFD simulations for increasing height-to-length ratio and localized slope values. Furthermore, we explore two data-driven methodologies for generating alternative shear stress prediction models, namely, symbolic regression and linear, projection-based, non-intrusive reduced order modeling. These alternative modeling strategies demonstrate reduced overall error, but still suffer in their generalizability to
- 10 broader sets of dune profiles outside of the training data. Finally, the impact of these improvements to aeolian sediment transport fluxes is examined to demonstrate that even modest improvements to the shear stress prediction can have significant impacts to dune evolution simulations over engineering-relevant timescales.

## 1 Introduction

Complex landforms are common features in sandy, subaerial environments arising out of spatial sediment transport gradients.
Parabolic dunes, for example, develop in sites with strong, unidirectional winds where spatially non-uniform vegetation stabilization can trigger a feedback cycle generating a U-shaped morphological feature (Yan and Baas, 2015). Similarly, barchan dunes are three-dimensional landforms arising out of non-uniform sediment supply that initiates the feature and is reinforced through flow-sediment interactions. Numerous other types of landforms exist depending on wind speed and directionality, hydrologic properties, local sediment supply and bed characteristics, vegetation effects, and the presence of

20 upwind obstacles. The ability to predict the formation and evolution of such landforms is critical in many sandy subaerial regions





due to infrastructural and transportation risks associated with migrating sand (e.g., Bruno et al., 2018; Khalaf and Al-Ajmi, 1993; Puy et al., 2018). This applied management need has partially driven the development of a wide range of tools of various spatial resolutions, process-capabilities, and computational needs for synthesizing components of the sediment transport processes and morphodynamic feedbacks contributing to aeolian landform development (Diniega et al., 2010; Durán et al., 2010; Keijsers et al., 2016; Luna et al., 2011; Sauermann et al., 2001).

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Needs for quantitative, predictive wind-driven morphological tools in the coastal zone, where small modifications to the topography has important implications on flooding related hazards during storms (e.g., Figlus, 2022; Hanley et al., 2014), is particularly pressing (Elko et al., 2016). Specifically, coastal foredunes often represent the first line of defense for such hazards and are therefore increasingly being encouraged to grow or are being constructed in order to add resilience to the

- 30 system. However, beach-dune systems are also commonly characterized by abrupt spatial transport gradients due to moisture effects on the transport field, sediment heterogeneity, vegetation, and steep topography. Over the past decade, foundational tools for simulating meso-scale landform development in desert environments have been ported to coastal environments, in part through the incorporation of vegetation-shear stress interactions (Durán and Moore, 2013), time evolution of the vegetation field (Charbonneau et al., 2022), improved representation of multi-fraction transport effects (Hoonhout and de Vries, 2016), and enhanced representations of groundwater and surface moisture effects (Hage et al., 2020; Hallin et al., 2023).
- While new process-capabilities are critical for improved representations of transport processes in supply limited systems (van IJzendoorn et al., 2023) and ecological effects controlling deposition patterns (Dickey et al., 2023; Okin, 2008), many of these process-based tools being developed for coastal dune evolution utilize numerical representations of the same analytical solutions to account for topographic effects on wind-related spatial shear stress perturbations derived from Kroy et al. (2002)
- 40 and related works. For example, the Coastal Dune Model includes a 2D bidirectional wind solving capability based off of the Kroy et al. (2002) solution (hereby referred to as KSH) that has shown the ability to account for flow-sediment-morphology interactions related to the building of vegetated coastal foredunes and foredune ridges (Duran and Moore, 2013; Moore et al., 2016). Similarly, a 1D representation of this approach has been incorporated into the Duna Model (Roelvink and Costas, 2019) and a wind-aligned grid rotation scheme has allowed 2D omni-directional winds for characterizing wind flow perturbations in

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45 AeoLiS (van Westen, 2018).
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Although the implementation of these routines differs, all widely used process-based coastal dune growth models operate off of the same foundational assumptions and numerical approximations for shear stress perturbations as described in more detail in Section 1.2. However, in sites characterized by often steep topographic gradients, the validity of these existing numerical expressions for topographically-induced flow (shear stress) acceleration patterns and separation bubble behavior has not been

- 50 widely assessed. As such numerical tools move from mostly theoretical applications (Parteli et al., 2014; Durán Vinent and Moore, 2015) to applications of real world dune dynamics (Kombiadou et al., 2023; Strypsteen and de Vries, 2023; van Westen et al., 2024), constraining errors in representations of physical processes becomes particularly important. Dune systems in particular have a broad range of forms and sizes depending on the vegetation type and species (Zarnetske et al., 2012), sediment supply (Psuty, 2008), and disturbance history (Robin et al., 2021), as partially represented by the wide range in dune height (*H*)
- to wavelength (L) ratio shown in Fig. 1. Given that meso-scale morphological changes are often the result of small sediment





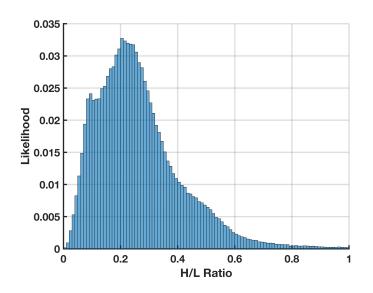


Figure 1. Distribution of H/L for coastal foredunes along the outer coast of the continental United States derived from Mull and Ruggiero (2014) and Doran et al. (2017), as compiled in Cohn (2022), where L is assumed to be the length scale from the dune toe to the dune crest

transport gradients that aggregate over extended timescales, an incomplete representation of these complex wind dynamics limits the ability to successfully predict dune evolution across relevant engineering timescales (hours to decades) - posing limitations for both quantifying future risk from encroachment and increased flooding potential, as well as engineering solutions to limit these hazards.

- 60 In this work we aim to examine the limits and error trends of analytical bed shear stress predictions by comparing to computational fluid dynamics (CFD) simulations for a range of idealized dune profiles. Furthermore, we explore alternative data-driven models through symbolic regression (SR) methods and projection-based, non-intrusive reduced order modeling (NIROM) techniques. Our goal is to provide insight into the errors incurred by and subsequent sediment transport effects of using traditional theoretical bed shear stress predictions in dune evolution models, as well as to explore the advantages and
- 65 disadvantages of some possible alternative flow modeling strategies. We begin by providing a brief overview of analytical bed shear stress predictions, the use of CFD in modeling flow dynamics over dunes, symbolic regression methods, and projectionbased NIROM techniques in Section 2. In Section 3, we lay out our approach for using these methods to produce alternative bed shear stress predictions. Results of CFD, symbolic regression, and NIROM predictions and their comparison to KSH are examined in Section 4. Finally, an inter-comparison of the methods considered and the subsequent impact to dune evolution
- 70 models is discussed in Section 5.



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 $1/(\pi^2/1-\pi)^2$ 



#### 2 Background

#### 2.1 Analytical Solutions for Flow over Low Sloping Dunes

One of the earliest analytical models for predicting the near-surface flow over a low sloping hill was developed by Jackson and Hunt (1975). Given the overall height, H, and the characteristic length, L, defined as the half-length at half-height, the slope is assumed to be on the order of the height-to-length ratio, H/L, which is assumed to be small. Additionally, the characteristic length-to-roughness length ratio,  $L/z_0$ , which is assumed to be large and uniform, also plays a central role in the analysis. Jackson and Hunt (1975) then split the boundary layer into two regions where the outer layer is treated as inviscid; while flow in the inner layer is driven by the induced pressure gradient and turbulence effects.

Since the initial work of Jackson and Hunt (1975), the theory has been extended to three dimensions (Mason and Sykes, 1979),
upstream velocity profiles with strong shear (Hunt et al., 1988), and higher-order corrections (Weng et al., 1991). However, the normalized bed shear stress perturbation remains essentially the same and is given by Weng et al. (1991) as

$$\tau' = \frac{\tau}{\tau_0} - 1 \tag{1}$$

$$\mathcal{F}[\tau'] = \frac{2\Pi/L}{U^2(l)} \mathcal{F}[\sigma'] \left[ 1 + \frac{2\Pi|\kappa| + 4\gamma + 1 + i\pi}{\ln(l/z_0)} \right]$$
(2)

$$\sigma' = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{f'(\xi')}{\xi - \xi'} \,\mathrm{d}\xi',\tag{3}$$

85 where  $\sigma'$  is the leading order normalized pressure perturbation given by the Hilbert transform of the hill slope,  $z_0$  the surface roughness length, k the Fourier wave number,  $\xi = x/L$  a normalized streamwise coordinate,  $\gamma \approx 0.57721$  is Euler's constant, and l the length scale of the inner region given by

$$l\ln\left(\frac{l}{z_0}\right) = 2\kappa^2 L.$$
(4)

More recently, Kroy et al. (2002) further simplified the expression for a transverse dune and neglected the  $\ln|k|$  dependence leading to

$$\mathcal{F}[\tau'] = A(|k| + iBk)\mathcal{F}[h(x)] \tag{5a}$$

$$A = \frac{\ln(\Phi^{-7}/\ln\Phi)^{-2}}{2(\ln\phi)^{3}} [1 + \ln\phi + 2\ln(\pi/2) + 4\gamma]$$
(5b)

$$B = \pi [1 + \ln \phi + 2\ln(\pi/2) + 4\gamma]^{-1}$$
(5c)

$$\phi \equiv 2\kappa^2 \Phi / \ln \phi \tag{5d}$$

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$$\Phi = L/z_0,$$
 (5e)

where h(x) represents the dune topography and  $\kappa = 0.41$  is von Karman's constant.

As alluded to earlier, three inherent limitations exist with these asymptotic-based analytical models when applying them to realistic coastal dunes. First, linearization of the underlying equations precludes the ability to capture separated flow effects.





Attempts have been made to rectify this shortcoming by extending the dune surface with an empirical separation bubble profile 100 (Kroy et al., 2002) or by applying a non-linear correction to the predicted bed shear stress based on numerical simulations of forward and backward facing steps (Pelletier, 2009). Secondly, the assumption of a small H/L and dune slope precludes applying the model to dunes with sharp local features, such as scarps, that may otherwise satisfy the H/L criteria and not exhibit separation on the lee side. Additionally, the majority of dunes, at least in the coastal domain (e.g., Fig. 1), do not conform to the low slope assumption, limiting the use of these analytical approaches to a small subset of morphological dune types. Finally, while the surface roughness,  $z_0$ , is assumed to be constant over the dune, realistic coastal dunes rarely exhibit a uniform surface 105 roughness due to the inherent heterogeneity of vegetation growth. Nevertheless, these theoretical Fourier transform-based methods are simple to implement and provide rapid predictions, making them attractive for incorporation into larger dune

#### 2.2 **Computational Fluid Dynamics Simulations of Flow over Dunes**

While analytical predictions for the shear stress perturbation have played a major role in morphological studies, CFD approaches 110 have also been important in understanding the flow dynamics over aeolian landforms (Smyth, 2016). One of the earliest is Wippermann and Gross (1986) in which the mesoscale meterological model FITNAH, a non-hydrostatic, Reynolds Averaged Navier-Stokes (RANS) model, was used in lieu of a theoretical expression as discussed above to simulate the development and migration of a barchan, thus overcoming limitations of the linear predictions. Additionally, numerical simulations have been

evolution models (Walmsley and Howard, 1985; Stam, 1997; Kroy et al., 2002; Duran and Moore, 2013).

- used to study the effects of varying height and length of an idealized transverse dune (Parsons et al., 2004a, b), varying roughness 115 heights (Wakes et al., 2010), flow separation on the lee side of dunes (Schatz and Herrmann, 2006; Araújo et al., 2013), and air flow over scarps (Hesp and Smyth, 2019; Bauer and Wakes, 2022); as well as blowouts (Smyth et al., 2012), reversing dunes (Jackson et al., 2020), and nebkah foredunes (Hesp and Smyth, 2017; Furtak-Cole et al., 2022). Other example works include Jackson et al. (2011), which compared several turbulence models including RANS k- $\omega$  SST, several hybrid RANS/LES models
- without surface roughness effects, and an LES-ABL model that included the surface roughness highlighting the importance of 120 capturing surface roughness effects; as well as Jackson et al. (2013) which presented full 3D RANS simulations for a large dune field. Of particular relevance to the current study, Ferreira et al. (2013) showed that CFD predictions achieve generally good agreement with experimental measurements of shear stress distributions for single and tandem dunes. In general, OpenFOAM (Jasak et al., 2007) has become a widely used CFD tool for modeling wind dynamics and bed shear stress over topography, including in coastal environments (e.g., Hesp et al., 2015; Jackson et al., 2013). 125

## 2.3 Symbolic Regression

Existing analytical solutions for the effective bed shear-stress distribution over dunes are relatively fast and easy to implement but lack the accuracy and general validity of full CFD simulations. One possible way to bridge this gap is through data-driven techniques. For example, Wakes et al. (2021) trained machine learning models, including random forest and logistic regression

approaches, on CFD model output to generate flow predictors. However, there are a number of methods which have yet to be 130 explored or fully evaluated. In particular, Symbolic Regression (SR) is a long-standing approach that has seen recent growth



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due to the emergence of new machine learning tools and techniques (Koza, 1994; Champion et al., 2020; Bakarji et al., 2023; Makke and Chawla, 2024). As its name suggests, SR seeks to identify a symbolic expression using a combination of provided input features, symbolic operators, and constants which best captures the relationship between input and output. While SR may lack the accuracy and training efficiency for large, multi-dimensional datasets achieved by deep neural networks, the resulting analytical expressions are more interpretable and often more generalizable than their neural network counterparts.

Some of the most popular methods for discovering the optimal input-output mapping are the Sparse Identification of Nonlinear Dynamics (SINDy) (Brunton et al., 2016), genetic programming-based approaches (Koza, 1994), and more recent efforts to incorporate neural network and deep learning techniques (Sahoo et al., 2018; Petersen et al., 2019). In SINDy and its variants

- 140 (Rudy et al., 2019; Champion et al., 2019, 2020; Kaheman et al., 2020; Shea et al., 2021; Fasel et al., 2022), a precomputed library of nonlinear terms along with sparse optimization techniques such as the least absolute shrinkage and selection operator (LASSO) (Brunton et al., 2016) or sequential threshold ridge regression (STRidge) (Rudy et al., 2017) are used to determine a sparse, optimal, linear combination of nonlinear terms. While the SINDy approach is computationally efficient, it is known to struggle with noisy data and is limited in the functional forms that it is able to learn (Makke and Chawla, 2024).
- On the other hand, genetic programming (GP) approaches utilize data structures, such as expression trees (Koza, 1994) or imperative representations (Brameier and Banzhaf, 2007) that allow for more general functional forms. However, the use of evolutionary strategies to search the space formed by the combination of input features, operators, and possibly real constants incurs a greater computational cost. In general, GP approaches begin with a randomly seeded initial population of candidate expressions that is then evolved over several generational cycles. Each cycle consists of evaluating the existing candidates,
- 150 selecting candidates for mutation, and finally mutating expressions to create a new set of candidates (Koza, 1994). The fitness of all candidates is tracked over the course of many iterations and the best overall candidate is selected as the solution.

One recent GP-based SR tool is PySR (Cranmer, 2023). In addition to the basic GP formulation, it includes regularized evolution for updating population members, a simplify-optimize stage that aims to reduce the number of equivalent but symbolically different expressions and reduce the burden of discovering equations with floating point constants, and a parsimony penalty which aims to maintain equal numbers of candidate expressions at every level of complexity (Cranmer, 2023). In this paper, we apply PySR to CFD simulation data and evaluate its performance compared to the classical KSH functional and a class of reduced order modeling techniques that we describe next.

#### 2.4 Non-Intrusive Reduced Order Modeling

Another possible way to bridge the gap between classical analytical techniques and full CFD simulations is to build a surrogate (or reduced-order) version of the CFD model itself. If successful, this approach can combine the physical fidelity of a RANS or LES approximation with the speed of closed-form analytical expression. A vast and growing literature exists on reduced order modeling (e.g., Hesthaven et al., 2016; Benner et al., 2015; Lee and Carlberg, 2020; Brunton et al., 2020). The fundamental idea underlying these approaches is that high-fidelity simulation data often exhibit dynamics with low-dimensional structure. One of the most popular ways to exploit this structure is through the method of snapshots and projection-based model reduction

165 (Carlberg et al., 2017; Benner et al., 2015). In this case, one first generates a set of high-fidelity simulation data (or snapshots) and



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identifies a low-dimensional approximation to the solution subspace (or manifold) through a dimension reduction step. Reduced order solutions are then expressed as an expansion in a basis that spans the solution subspace (Dutta et al., 2021a). Traditional techniques like Proper Orthogonal Decomposition (POD) use linear dimension reduction, while non-linear techniques based on deep neural network architectures are becoming increasingly popular for problems with complex, multi-scale dynamics (Wan et al., 2018; Lee and Carlberg, 2020; Maulik et al., 2021; Dutta et al., 2022).

If the governing equations are known and accessible through the high-fidelity model, one can use Galerkin or Petrov-Galerkin projection to create a rigorous, interpretable Reduced Order Model (ROM) (Carlberg et al., 2013; Lozovskiy et al., 2016, 2017). Often, this is not practical (or possible) due to design of the high-fidelity model or restrictions on access to its source code. For these cases, purely data-driven methods that do not require intrusive access to the high-fidelity model have become popular

- 175 (Xiao et al., 2017; Dutta et al., 2021b). Rather than use Galerkin or Petrov-Galerkin projection, these Non-Intrusive ROMS (NIROMs) use black-box interpolation (or regression) to obtain expansion coefficients for the approximate solution in the reduced basis. A wide array of interpolation techniques and strategies have been tested including Gaussian process regression (Guo and Hesthaven, 2019), dynamic mode decomposition (Tu et al., 2014; Wu et al., 2021), Radial Basis Functions (RBFs) (Walton et al., 2013; Xiao et al., 2015; Dutta et al., 2021a), and neural networks (Hesthaven and Ubbiali, 2018; Salvador)
- 180 et al., 2021; Dutta et al., 2021c). In each case, data for the NIROM interpolation is provided by the projection coefficients of the original simulation snapshots. As a result, the success of the resulting ROM depends heavily on balancing accuracy with over-fitting and having training data that adequately represents the problem space of interest (Dutta et al., 2021a).

Below, we will employ well-established NIROM techniques based on linear dimension reduction and RBF interpolation to build reduced-order approximations of high-fidelity CFD simulations over various dune profiles. These results will serve as benchmarks to evaluate the accuracy and generalizability of our SR approximations.

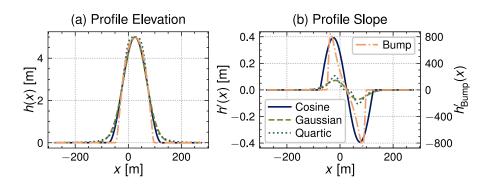
#### 3 Methodology

#### 3.1 Prescribed Dune Shapes for Modeling

In real world systems, dunes take on a wide range of shapes and sizes (e.g., Fig. 1). To examine the influence of variable topography on bed shear stress patterns, for this study we examined four types of dune profiles that have been parameterized to allow for consistent specification of the height *H* and characteristic length *L* given by the half-length at half-height. These







**Figure 2.** Comparison of prototype dune profile (a) and slopes (b). Note that the bump profile slope uses the right *y*-axis of (b) due to the large difference in scale between it and the other profiles.

include a quadratic cosine, Gaussian, quartic, and bump profile given by:

Cosine: 
$$h(x) = a_c \cos^2[b_c(x-\sigma)];$$
  $a_c = H;$   $b_c = \frac{1}{L} \arccos\left(\sqrt{\frac{1}{2}}\right);$   $x \in \left[-\frac{\pi}{2b_c} + \sigma, \frac{\pi}{2b_c} + \sigma\right]$  (6a)

Gaussian: 
$$h(x) = a_g \exp\left[-\frac{(x-\sigma)^2}{b_g}\right];$$
  $a_g = H;$   $b_g = -L^2 \ln^{-1}\left(\frac{1}{2}\right)$  (6b)

Quartic: 
$$h(x) = \frac{a_q}{1 + b_q (x - \sigma)^4};$$
  $a_q = H;$   $b_q = \frac{1}{L^4}$  (6c)

195 Bump: 
$$h(x) = a_b \exp\left[\frac{-1}{1 - b_b(x - \sigma)^2}\right]; \quad a_b = He; \quad b_b = \frac{1 + [1/\ln(\frac{1}{2})]}{L^2}; \quad x \in \left[\sqrt{\frac{1}{b_b}} + \sigma, -\sqrt{\frac{1}{b_b}} + \sigma\right], \quad (6d)$$

where  $\sigma$  specifies the *x*-location of the dune peak and *h* represents the local bed elevation. When applying symbolic regression in Section 3.2.3, the shift,  $\sigma$ , becomes important for recovering both real and imaginary parts of the Fourier transformed expression in Eq. (5).

The profiles elevations and slopes are plotted in Fig. 2 for H/L = 0.1. It should be noted that while all of the profiles can be controlled to have a small height-to-length ratio as shown in Fig. 2a, only the Gaussian and quartic profiles fulfill the small slope requirement (i.e. h'(x) = O(H/L)) as depicted in Fig. 2b. The cosine profile represents a moderate departure from this constraint, and the bump profile a more extreme case that is representative of a scarp. Thus, these profiles allow us to not only explore the effect of increasing H/L ratios on the accuracy of the KSH model, but also the limitations of the underlying small slope assumption when it is not implicitly imposed by a small height-to-length ratio.

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Three sets of H/L ratios are considered, namely a small set with  $H/L \in [0.01, 0.1]$ , medium with  $H/L \in [0.1, 0.3]$ , and large with  $H/L \in [0.3, 0.5]$ . For all cases considered, the length-to-aerodynamic roughness ratio,  $L/z_0$ , is held constant at  $\Phi = L/z_0 = 25000$ ; leading to the KSH model coefficients A = 5.1952 and B = 0.2793 (AB = 1.4510) that remain constant across all cases. A summary of the case properties is given in Table 1.





Table 1. Details of base case sets

Case Set	Profiles	H/L Ratios	$\Phi$	A	AB
Small	Cosine, Gaussian, Quartic, Bump	0.01 – 0.1	25000	5.1952	1.4510
Medium	Cosine, Gaussian, Quartic, Bump	0.1 – 0.3	25000	5.1952	1.4510
Large	Cosine, Gaussian, Quartic, Bump	0.3 – 0.5	25000	5.1952	1.4510

#### 3.2 Modeling of Shear Stress Perturbations

#### 210 3.2.1 CFD Simulations

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Computational fluid dynamic simulations were run for the medium and large hill sets described in the previous section using the open source solver OpenFOAM. Specifically, the Reynolds averaged Navier-Stokes (RANS) equations were solved with the RNG k- $\epsilon$  turbulence model using the incompressible SimpleFOAM solver. At the inlet, an atmospheric boundary layer (ABL) profile (Richards and Hoxey, 1993; Yang et al., 2009) was specified according to

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$$u = \frac{u^*}{\kappa} \ln\left(\frac{z - d + z_0}{z_0}\right)$$
(7)

$$v = w = 0 \tag{8}$$
$$k = \frac{(u^*)^2}{(u^*)^2} \tag{9}$$

$$k = \frac{\sqrt{C_{\mu}}}{\sqrt{C_{\mu}}}$$

$$\epsilon = \frac{(u^*)^3}{\sqrt{C_{\mu}}}$$
(10)

$$\kappa(z - d + z_0)$$

$$\iota^* = \frac{u_{\text{ref}}\kappa}{\ln\left(\frac{z_{\text{ref}} + z_0}{z_0}\right)},$$
(11)

- where  $u^* = \sqrt{\tau/\rho}$  is the friction velocity,  $C_{\mu} = 0.09$  is a model constant, k the turbulent kinetic energy, and  $\epsilon$  is the turbulent kinetic energy dissipation rate. In order to maintain the inlet profile over the approach stretch, a constant shear stress condition was applied to the velocity at the top boundary (Richards and Hoxey, 1993; Hargreaves and Wright, 2007; Richards and Norris, 2019). At the surface, a wall function specific to ABL simulations (Richards and Hoxey, 1993; Parente et al., 2011) as implemented in OpenFOAM's atmEpsilonWallFunction was used, and the turbulent viscosity was constrained by the atmNutkWallFunction. A reference velocity of  $u_{ref} = 10 \text{ ms}^{-1}$  at a reference height of  $z_{ref} = 10 \text{ m}$  was used for all cases.
- atmNutkWallFunction. A reference velocity of  $u_{ref} = 10 \text{ m s}^{-1}$  at a reference height of  $z_{ref} = 10 \text{ m}$  was used for all cases. A uniform base discretization of 1 m was refined near the surface to between 0.0625 m and 0.125 m using SnappyHexMesh and approximately 20 surface layers inserted with the first cell height adjusted per case to maintain  $y^+$  values between approximately 30 and 200. Simulations were run to steady state with convergence established by a sufficient drop of global residuals as well as a minimal iteration-to-iteration change of the calculated drag over the hill profile.





### 230 3.2.2 CFD Data Preparation

The geometry representations and shear stress results of the CFD simulations provide a dataset on which data-driven model discovery tools can be applied. To begin, the surface topography and total shear stress were extracted using OpenFOAM's sampling utilities. Even spacing between samples for subsequent Fourier transforms was ensured by resampling the data at an equivalent number of points using cubic spline interpolation. Furthermore, a small portion of the leading and trailing ends of the domain (i.e. 150 points,  $\sim 9 \text{ m}$ , at either end of the 6800 total samples,  $\sim 425 \text{ m}$ ) were truncated to avoid spurious boundary

affects. The bed shear stress perturbation was then recovered using Eq. (1) where the value at the leading edge of the truncated domain was used for normalization. Furthermore, the results were filtered to remove high frequency noise in both the topography and shear stress and downsampled to N = 650 samples to obtain a reasonably sized, high-fidelity dataset for performing SR and reduced-order modeling.

#### 240 3.2.3 Symbolic Regression

Using the processed CFD data, discrete Fourier transforms (DFTs) were taken of the topography and shear stress perturbation. These along with the Fourier wave numbers were provided to PySR to solve the minimization problem

$$\min_{f(i)} \left| \left| \mathcal{F}[\tau'] - f\left( \mathcal{F}[h(x)], k \right) \right| \right|_2 \tag{12}$$

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in complex Fourier space where f() denotes an unknown function residing in the space of operators consisting of addition, subtraction, multiplication, and absolute value as well as additional complex constants. PySR's regularized evolution algorithm was run for 100 iterations where each iteration consists of multiple rounds of tournament selection and mutation (Cranmer, 2023). Several of the default hyperparameters were adjusted in order to obtain consistent results across all cases for several different levels of synthetic noise (see Section 4.2.1). A listing of non-default options that were supplied to PySR is available in Table A1 located in Appendix A. Finally, after obtaining the optimal expression  $f(\cdot)$  from PySR, the bed shear stress perturbation in real space is given by

$$\tau' = \mathcal{F}^{-1} \big[ f \big( \mathcal{F} \big[ h(x) \big], k \big) \big], \tag{13}$$

where  $\mathcal{F}^{-1}[\cdot]$  indicates the inverse Fourier transform.

### 3.2.4 Non-intrusive Reduced Order Modelling

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In addition to the CFD and symbolic regression approaches, we also explore predictions based on linear NIROM techniques. As discussed earlier, this basic approach relies on selecting a low-dimensional, data-driven basis for the approximate solution that ideally captures essential solution behavior at much reduced computational cost. That is, we write the approximate solution  $\tilde{y}$  in  $\mathbb{R}^N$  on the downsampled CFD grid as

$$\tilde{\boldsymbol{y}} = \sum_{j=1}^{m} z_j \boldsymbol{u}_j \tag{14}$$





where  $\{u_i\}_{i=1}^m$ ,  $u_i \in \mathbb{R}^N$  is the basis and ideally  $m \ll N$ . Next, a regression model is constructed to capture the parameterized relationship between the input topography and output surface shear stress perturbation. To do this, we represent the topography, 260  $\boldsymbol{h} \in \mathbb{R}^N$  on the downsampled CFD grid as

$$\boldsymbol{h} = \sum_{j=1}^{m} c_j \boldsymbol{d}_j \tag{15}$$

where  $\{d_j\}_{j=1}^m, d_j \in \mathbb{R}^N$  is a low-dimensional basis for the topography space. A regression metamodel  $G: c \to z$  then maps the discrete input to output coefficients  $\{z_j\}$ , and the approximate solution is given by (14).

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For an alternative basis, we explore either a truncated Fourier basis in which the original theoretical model resides or a POD expansion (Rathinam and Petzold, 2003). There are pros and cons to each reduced space approximation. POD provides an optimal representation of the training (snapshot) data in terms of the Euclidean 2-norm (Quarteroni et al., 2016) and hence better data compression, while a Fourier basis requires more modes for smooth reconstruction ( $\approx 80$  modes for the simulations considered below) but provides better generalization to unseen data.

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Below, for the POD basis we combine both the input topography profiles and resulting CFD-based shear stress perturbations into a composite data set and generate a single basis for both. That is, we perform  $n_s$  training simulations and compute a Singular Value Decomposition

$$\mathbf{S} = \mathbf{U} \mathbf{\Sigma} \mathbf{W}^T \tag{16}$$

where the columns of the snapshot matrix  $\mathbf{S} \in \mathbb{R}^{N \times 2n_s}$  hold both the input topographies  $\{d_j\}_{j=1}^{n_s}$ , and shear stress perturbations  $\{y_j\}_{j=1}^{n_s}$ . The first *m* columns of U,  $\{u_j\}_{j=1}^m$  form the solution and topography basis (i.e.,  $d_j = u_j \forall j = 1, ..., m$ ). Then for 275 a given topography input evaluated on the CFD grid, h, we compute the projection  $c = \mathbf{D}^T h$ , where  $\mathbf{D} \in \mathbb{R}^{N \times m}$  is a matrix holding the basis elements  $\{d_i\}$  as columns and similarly for the shear stress perturbation to obtain z.

For the Fourier basis,  $d_i$  and  $u_i$  are obtained through DFT's which are truncated by zeroing elements beyond the selected number of modes. Subsequently, the complex Fourier representations are split into real and imaginary parts and individual 280 metamodels constructed for  $\mathcal{R}(G): \mathcal{R}(c) \to \mathcal{R}(z)$  and  $\mathcal{I}(G): \mathcal{I}(c) \to \mathcal{I}(z)$ . The final predictions are then generated via

$$\tilde{\boldsymbol{y}} = \mathcal{F}^{-1} \big[ \mathcal{R}(G) + \mathcal{I}(G) \big].$$
<sup>(17)</sup>

For constructing the regression metamodels in the latent space we use RBF interpolation to capture the input-output mapping with either a Gaussian kernel using a shape parameter of 0.1 and additional linear polynomial (for the POD basis) or a multiquadric kernel using shape parameter equal to 0.1 and a zero degree polynomial (for the Fourier basis). While we proceed here

- 285 by choosing either a random set across all four profiles or all cases of two profiles to construct the POD basis and train the metamodel, there are alternative selection criteria that could be considered such as the standard and greedy approaches found in (Dutta et al., 2021a). Furthermore, there is still significant flexibility within the above framework for how the input parameter space is sampled to generate snapshots and how these are used to generate the reduced bases and regression mappings. For example, separate POD basis could be constructed for the input and output or regression performed in complex space for the
  - 290 Fourier based approach.





#### 3.3 Model Skill Metrics

To assess differences between the various models, we examine the mean square error (MSE) defined by:

$$MSE = (1/N) \sum_{i=0}^{N} (y_i - \tilde{y}_i)^2,$$
(18)

295

where the CFD results are taken as the target values,  $y_i$ , and the KSH, SR, or NIROM results as the predictions,  $\tilde{y}_i$ , as well as the maximum error across all spatial locations. In the results presented below all errors are calculated for the shear stress perturbations and are therefore analogous to a relative error for the total bed shear stress.

#### Results 4

#### 4.1 CFD Predictions

We begin by examining the results of the CFD simulations as compared to the theoretical predictions of Eq. (5) in Fig. 3. Representative results for the surface shear stress distribution are provided for the bump and Gaussian profiles for two H/L300 ratios in Figs. 3a to 3d. KSH consistently over predicts the bed shear stress extrema, including both the maximum just windward of the dune crest as well as the local minimums before and after the dune. It should also be noted that for H/L = 0.4 in Figs. 3b and 3d, the flow over the bump profile becomes separated, resulting in a small recirculation region, while the flow over the Gaussian hump remains attached. While KSH again over predicts the values of the extrema, it is also unable to capture the complex features in the separated region for the bump profile as well as at the dune toe where the slope is large and the flow 305 remains attached.

The MSE and maximum error are plotted for each of the four profiles and twenty-one H/L ratios in Figs. 3e and 3f. Here we can clearly see that the error increases with increasing H/L as is to be expected from the assumptions present in the KSH model. Additionally, the errors for the bump profile, which drastically violates the low-slope assumption while satisfying a small H/L,

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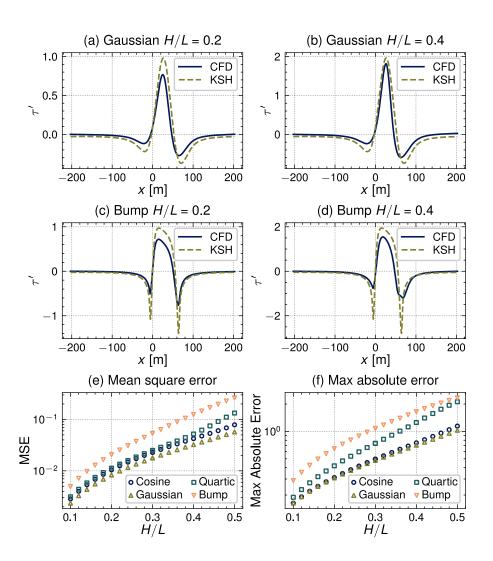
are greater than the other profiles for each value of H/L. In fact, referring back to Fig. 2b, the MSE increases for increasing max slope except for the quartic case which is roughly equivalent to the cosine profile until  $H/L \approx 0.4$  at which point the MSE for the quartic profile begins to increase at a faster rate.

We also examine the spatial distribution of absolute error in Fig. 4. Interestingly, the error in maximum shear stress prediction (just ahead of the dune crests situated at x = 30 m) from the KSH model either stays relatively constant or decreases for

315 increasing H/L. The most drastic increase in prediction error comes on the lee side of the dune profiles where separation is most likely to occur. Again, considering the slope of the different profiles, the bump profile exhibits two distinct regions of higher error just fore and aft of the main dune body where the slopes are highest. This effect is drastically reduced in the other profiles which exhibit much gentler slope characteristics.







**Figure 3.** Comparison of CFD simulation results and KSH predictions. Bed shear stress perturbation predictions for selected cases are presented in panels (a)-(d). The mean square error for each case is given panel (e) and the maximum absolute error in panel (f).





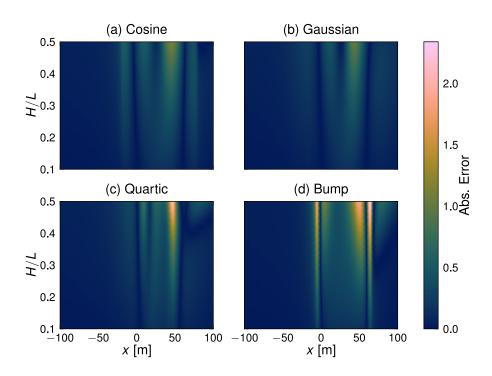


Figure 4. Spatial distribution of absolute difference between OpenFOAM and KSH bed shear stress perturbation predictions. Note that the x domain has been limited to highlight the area of interest immediately surrounding the dune.

#### 4.2 Symbolic Regression Predictions

## 320 4.2.1 Symbolic Regression on KSH

To begin exploring the use of symbolic regression via PySR, we first applied the methodology to data directly generated by the KSH model. After generating shear stress perturbation predictions for each of the hill profiles and height-to-length ratios using Eq. (5), we applied Gaussian noise at several signal-to-noise ratios (SNRs) to the shear stress perturbations. This was done in order to evaluate PySR's robustness to noise in measured output data in anticipation of dealing with the potentially noisy CFD

325

predictions. PySR was trained on each of the small, medium, and large case sets using 8 cases for training and the remaining 36 cases for validation.

The average MSE for each of the sets and SNRs considered are depicted in Fig. 5. In nearly all cases, PySR was able to learn the KSH model form and coefficient values. For those cases in which agreement of the symbolic form was not exact, e.g., the large set with SNR = 20, the resulting expression still exhibited very low error. A table of each of the discovered expressions

330 is supplied in Appendix A. Having determined that the PySR symbolic regression package was capable of discovering the underlying expression for analytically generated data in the Fourier domain and was robust to noise in the output variable, we turned our attention towards applying SR to the CFD generated data.





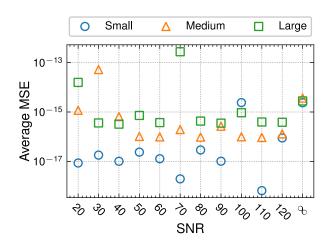


Figure 5. Average MSE across across all profiles for each set of H/L in Table 1 and several SNR's.

#### 4.2.2 Symbolic Regression on CFD

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Having shown that PySR is capable of discovering the KSH model from directly generated data, we next applied PySR to the processed CFD results for the medium set of H/L values for which the flow remains attached for all cases. For this set, PySR returned a symbolic expression that nearly matches the form of the KSH model differing only in the value of the multiplicative constants and a small additional wave number independent term, namely

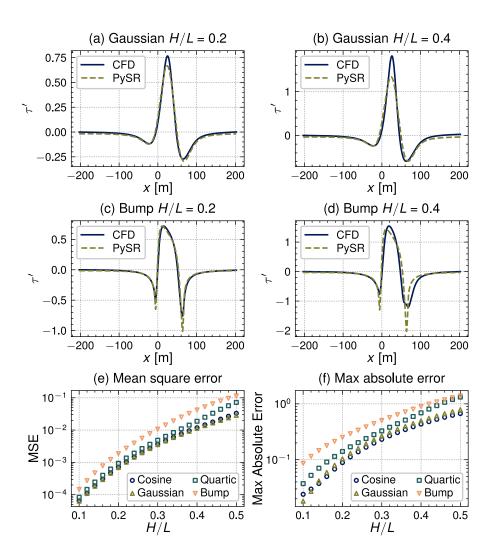
$$\mathcal{F}[\tau'] = (3.29|k| + 1.62ik - 1.8 \times 10^{-6}) \mathcal{F}[h].$$
<sup>(19)</sup>

The discovered value for A = 3.29 is significantly lower than suggested by KSH while B = 0.492 is greater. These constants fall outside of the possible ranges given in Kroy et al. (2002). Results using this slightly altered form are summarized in Fig. 6. 340 As can be seen in Figs. 6a to 6d, the PySR model tends to under predict the maximum shear stress perturbation in contrast to the standard KSH predictions while still over-predicting the minima just fore and aft of the dune. However, overall the PySR discovered model results in a roughly order of magnitude improvement in MSE for H/L values between 0.1 and 0.3 as shown in Fig. 6e. However, results are more muted when extending this model to the larger H/L values for which separation may occur.

- This can largely be contributed to the failure of the linearized KSH model, and thus the SR learned model with an identical 345 functional form, to be able to capture separation effects without ad hoc corrections. Additionally, when considering the spatial distribution of absolute error in Fig. 7, the error near the dune crest increases with successively higher values of H/L in contrast to results for KSH in Fig. 4. Also, the shape of the shear stress perturbation near the peak of the bump profile is better captured, while the largest error still occurs just fore and aft where the slopes are steepest.
- 350 In an effort to obtain better generalizability to separated cases, we next applied PySR to the large case set with somewhat unsatisfying results. Several trials were run using PySR while varying the number of cases provided, the cases selected for training, i.e., separated only or separated and attached scenarios, as well as the operator space to search. The most promising







**Figure 6.** Comparison of CFD and symbolic regression predictions on the medium case set, i.e., Eq. (19). Selected bed shear stress perturbation profiles are shown in panels (a)–(d) with the MSE and max absolute error in panels (e) and (f), respectively.





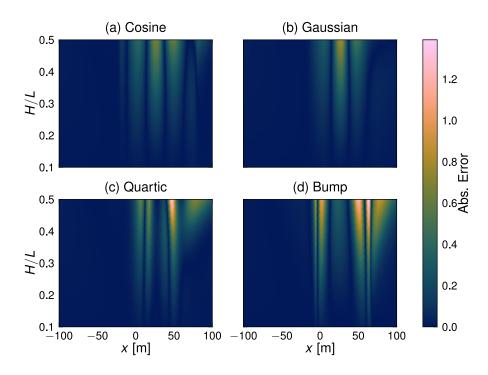


Figure 7. Spatial Absolute Difference between CFD and symbolic regression trained on select cases from the medium case set, i.e., Eq. (19).

result obtained takes the form of the KSH model with several additional terms that are quadratic in the wave number given by

$$\mathcal{F}[\tau'] = (-2.32\mathcal{F}[h]|k| + \mathcal{F}[h]) [(3.26 \times 10^{-6} + i2.20)k + 4.84|k| - 0.0380 + 1.81 \times 10^{-7}].$$
<sup>(20)</sup>

Note that Eq. (20) contains terms representing the second derivative of the dune profile, thus increasing the regularity requirements of the underlying topography representation. Results obtained using Eq. (20) are summarized in Fig. 8. The trends in Figs. 8a to 8d are similar to the previous results; however, for the bump profile, while the minimums appear to be predicted better, some oscillatory behavior also appears in the results. This could be attributed to the inclusion of second derivative terms and the large spikes in the second derivative of the bump profile in those areas. Concerning the max error in Fig. 8f, the bump and cosine
profiles exhibit a slower increase in maximum error, until *H*/*L* ≈ 0.35. The spatial distribution of error in Fig. 9 also exhibits similar trends to the previous results.

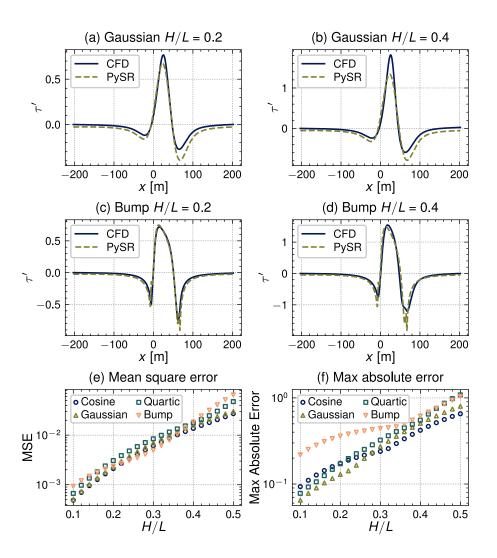
## 4.3 NIROM Predictions

Due to difficulties with accurately fitting separated cases using PySR, an alternative approach was explored which turned to non-intrusive reduced order modeling techniques as discussed in Section 3.2.4. Results for these methods are illustrated in

365 Fig. 10. The DFT-RBF results are presented in Figs. 10c and 10e and the POD-RBF in Figs. 10d and 10f. The Fourier basis was limited to 80 modes after which changes in the reconstruction were not visible for additional modes. For the POD basis, 14 modes were retained for the scattered training selection based on retaining 99.9% of the energy while only 8 modes were







**Figure 8.** Comparison of CFD and symbolic regression predictions trained on the large case set, i.e., Eq. (20). Selected bed shear stress perturbation profiles are provided in panels (a)–(d) with the MSE and max absolute error for all cases in panels (e) and (f), respectively.





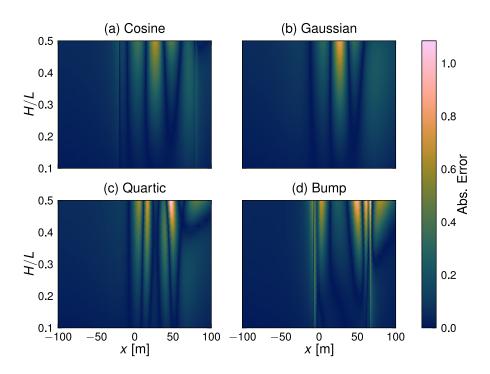


Figure 9. Spatial Absolute Difference between CFD and symbolic regression trained on cases from the large case set, i.e., Eq. (20).

required for the two profile training selection. The normalized singular values for both training selections are presented in Figs. 10a and 10b.

For the scattered training selection, a random sampling of 40 cases across all four profiles was chosen as the training set. The training cases were used to build the POD basis as well as train the RBF interpolator for both DFT-RBF and POD-RBF. Results for this approach are given in Figs. 10c and 10d. As to be expected, the highest errors occur for points farthest from neighboring cases of the same profile and for extrapolation at the edges of the case domain. Furthermore, while the DFT-RBF approach achieves better reconstruction errors on average, the POD-RBF results offer a slight improvement in predicting unseen cases

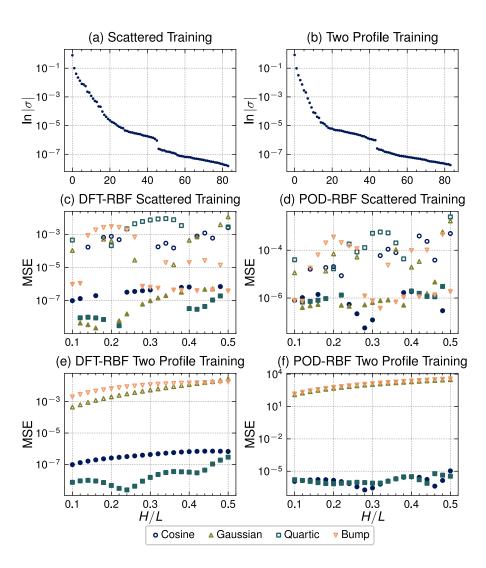
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over the DFT-RBF.
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The second training selection criteria consisted of using all cases from two profiles as training data while predicting on the unseen profiles (see Figs. 10e and 10f). This allowed us to explore the generalizability of the NIROM methods to unseen topography shapes rather than just unseen parameters as in the first scenario. For this experiment, the DFT-RBF results in Fig. 10e far outperform the POD-RBF results in Fig. 10f. This is most likely due to the less universal nature of the POD basis

380 which is constructed purely based on the training data and is unable to accurately represent both the input topography and shear stress perturbation for completely unseen profiles. On the other hand, the since the DFT basis is not dependent on the data being examined, it is capable of representing the unseen topography and the error is due to extrapolating the input-output relationship to unseen profiles.







**Figure 10.** Summary of results for the NIROM based shear stress perturbation prediction models. Panels (a) and (b) depict the normalized singular values for the training data used to construct the POD basis. Panels (c) and (d) show the MSE for the DFT-RBF and POD-RBF models when using randomly selected cases as training data. Panels (e) and (f) present the MSE when choosing all cases from two profiles as training data. In panels (c)-(f) filled marks indicate cases used for training where the reported MSE represents the reconstruction error and empty marks represent test cases where the reported MSE represents the prediction error compared to the CFD results.





#### Discussion 5

#### 385 **Inter-comparison of Shear Stress Predictions** 5.1

Thus far we have analyzed the differences in surface shear stress perturbation predictions between an established analytical model, namely KSH, CFD simulations, and data-driven approaches. We have shown that KSH consistently over predicts the extrema compared to a RNG k- $\epsilon$  RANS formulation for a range of two-dimensional dune profiles. Errors increase with increasing H/L and have been shown to be correlated to topography slope regardless of the overall dune dimensions. Furthermore, we

- 390 have explored two data-driven methodologies as avenues for improving computationally efficient models as compared to resource intensive CFD simulations. These various simulations and approaches were compared with a lens of assessing existing capabilities and alternative options for resolving spatial wind/bed shear stress calculations within existing process-based aeolian sediment transport models.
- Having examined each of the shear stress perturbation prediction models individually, all of the results are gathered in Fig. 11 395 in order to discuss their relative performance. It can be seen that KSH is outperformed by all of the trained models for the range of H/L ratios and profiles considered, except for the POD-RBF 2x2 results. This result is not too surprising since each of the alternatives has been optimized for this specific dataset during training. Concerning the SR results, the expression trained only on the medium set of H/L values, i.e., PySR Med, outperforms that trained only on large H/L values, i.e., PySR Large; however, the prediction error becomes more similar as H/L increases with PySR Large gaining a slight advantage for the bump and quartic profiles. Overall, the SR results represent a modest improvement over KSH, of which the impact on morphological
- 400
  - predictions is discussed further in the following section.

Linear, projection-based, non-intrusive reduced order modeling approaches were able to further reduce the prediction error at the cost of generalizability to unseen cases. For the NIROM approaches, scattered training which covers the entire data space performs best. Prediction errors are largely dependent on the distance from training cases, and in the case of POD-RBF 2x2, the model is unable to generalize to unseen profiles which poses a challenge for real world applications where topography 405 evolves with time. Compared to the SR results, the prediction errors are no worse and exhibit significant improvement for high H/L values, especially when unseen cases fall close to seen cases as is true for the bump profile. The success of the simple NIROM approaches in this region, and in particular the DFT-RBF variant, suggests that data-driven methodologies may be able to provide fast prediction models which accurately capture separation effects.

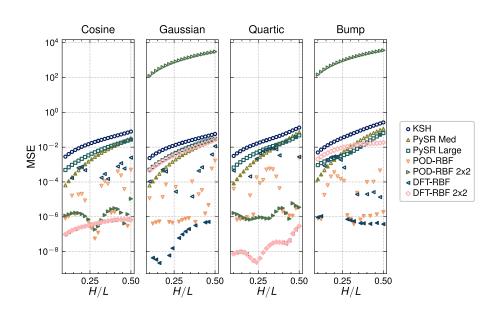
#### 410 5.2 **Error Implications for Morphological Prediction**

For theoretical applications of aeolian landform evolution, the exact magnitude of transport rates matters less than capturing the patterns that lead to sediment transport gradients that spur net landform changes. Numerical tools, largely using the KSH approximation, have yielded the ability to synthesize complex two or three-dimensional topographic change patterns and behaviors as observed in nature (e.g., Durán et al., 2010; Kombiadou et al., 2023). Yet, limited field scale validation exists in terms

of these behaviors. Increasingly, there is a push for more engineering oriented capabilities that rely on appropriately simulating 415 relevant physical phenomena that does necessitate accurate prediction of spatio-temporal rates of sediment mobilization and







**Figure 11.** MSE for all shear stress perturbation models grouped by profile. The PODF-RBF 2x2 and DFT-RBF 2x2 results refer to the two profile trained models. Filled marks indicate cases used for training where the reported MSE represents the reconstruction error and empty marks represent test cases where the reported MSE represents the prediction error compared to the CFD results.

transport. Ultimately, sediment transport rates are driven by excess shear above a threshold to initiate grain motion. The commonly used modified Bagnold equation (Bagnold, 1937) defines sediment flux (q) as:

$$q = C \frac{\rho_a}{g} \sqrt{\frac{d}{D}} (u_* - u_{*,t})^3$$
(21)

420

where  $\rho_a$  is the air density, g is gravity, d is the grain size, and D is a reference grain size.  $u_{*,t}$  is the threshold shear velocity for initial grain motion, which may be estimated as:

$$u_{*,t} = A \sqrt{\frac{\rho_g - \rho_a}{\rho_a}} gd \tag{22}$$

where A is a coefficient related to sediment distribution spread and  $\rho_g$  is the grain density. Given that  $\tau$  and corresponding  $u_*$  profiles over dune topography are highly non-uniform resulting from flow acceleration, deceleration, and separation bubble effects and that deviations in KSH from the CFD model results are often not insignificant, this has important implications on the resultant potential for aeolian transport across the dune face.

To directly assess rates of transport and corresponding bed elevation change patterns, we use KSH and PySR outputs as input hotstart files to the AeoLiS (Hoonhout and de Vries, 2016) model for select simulations. On the upwind end of the domain, CFD and PySR outputs both indicate biased higher τ relative to KSH at the base of the dune (e.g., Fig. 12e,f). As spatial gradients in sediment transport result in net bed elevation change, this local reduction in shear results in a small zone of deposition. For the H = 12.5 m, L = 25 m case for τ = 0.6 Pa, KSH results in about a 35% larger deposition height at the base of a Gaussian shaped dune. As previously noted, deviations between KSH and PySR are generally larger on the dune itself, with maximum





differences occurring at the crest of the dune form. Assuming bare sand conditions (i.e., no vegetation), spatial gradients in  $\tau$ predicted by both KSH and PySR results in increasing *q* across the dune face and therefore a net landward migration of the landform. However, assuming the CFD/PySR results better represent the true wind flow dynamics, Fig. 13 shows the excess net landward sediment transport across the dune crest from the two spatial shear stress models. Notably, as the wind conditions become more energetic or as the H/L ratio increases, errors associated with the magnitude of sand transport increase. For a given boundary  $\tau$ , these H/L versus KSH-PySR model differences follow an exponential relationship Fig. 13.

- Taken in sum, mis-characterization of the shear distribution across the dune profile has important implications for accurately
  simulating coastal landform change, both in terms of the shape and rate of change. CFD is generally too computationally
  demanding to couple with aeolian transport models, requiring surrogate or simplified modeling. Given that SR shows the ability
  to re-create the KSH form for simplified geometries and improve upon flow errors, SR type approaches could potentially play an
  important role for improving process-based numerical modeling tools for landform evolution that are required for engineering
  and design needs. Such approaches are particularly important given that 89%, 63%, and 8% of coastal dunes from the database in
  Fig. 1 have H/L ratios exceeding 0.1, 0.2, and 0.5, respectively. However, these findings are likely to also have broad implications
- for landform modeling in other arid (e.g., Tsoar, 2001; Parteli et al., 2014) or extra-terrestrial settings (e.g., Atwood-Stone and McEwen, 2013; Parteli and Herrmann, 2007) where slope angles can similarly locally approach the angle of repose.

#### 5.3 Synthesis and Remaining Challenges

Symbolic regression was able to discover an expression similar to KSH that offers an order of magnitude improvement in prediction error when compared to CFD; however, it still suffers from many of the same issues as KSH, namely, that the error increases with increasing H/L and is unable to capture the effects of separation. Linear, projection-based, non-intrusive reduced order modeling approaches were able to further reduce the prediction error at the cost of generalizability to unseen cases. While we were able to obtain modest prediction improvements, we recognize that several challenges remain.

First, in this study we only considered a single value of  $L/z_0$  which controls the value of the model constants in KSH and would most likely play a role in any alternative discovered model. Future efforts should extend the dataset to include a range of  $L/z_0$  in order to try to extract a relation governing the SR discovered model coefficients. Only then could the expression be incorporated into existing coastal dune evolution models in a general sense.

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Secondly, while the NIROM approaches show promise, especially if data is available that sufficiently covers the range of dune topographies expected for a given scenario, concern for their ability to generalize to unexpected topographies which may arise over the course of a dune evolution simulation remains. However, other techniques such as the use of nonlinear auto-encoders to determine the reduced basis representation and deep learning methods for capturing the latent space mapping from input to output may provide viable alternatives with improved generalization (Maulik et al., 2021; Dutta et al., 2022).

Finally, we focused exclusively on two-dimensional dune profiles while real-world coastal dunes are inherently threedimensional and experience a variety of wind directions and complex, three-dimensional flow effects such as topographic steering and recirculation (e.g., Smyth et al., 2012; Piscioneri et al., 2019). A not insignificant amount of work would be required

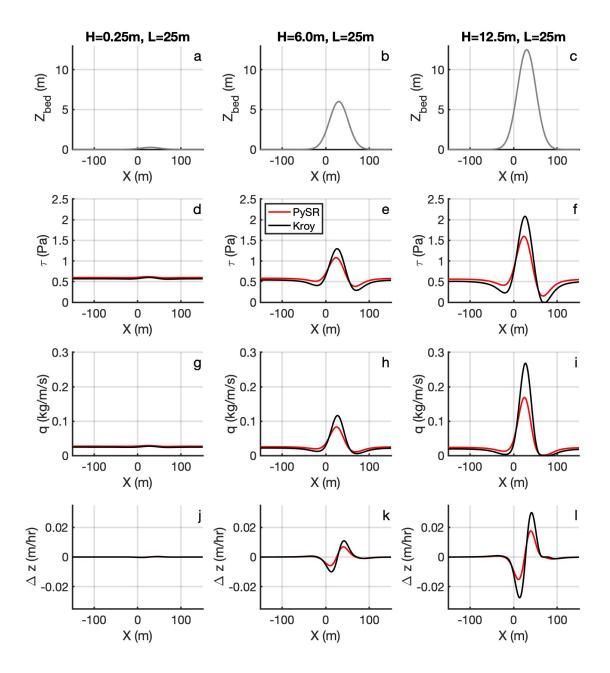
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to extend these approaches to three-dimensional dunes considering the increased computational expense for generating 3D







**Figure 12.** Example differences in predicted aeolian sediment transport fields (panels g-i) and bed elevation change (panels j-l) from KSH and PySR for  $\tau = 0.6$  Pa for H/L ratios of 0.01 (panels a,d,g,j), 0.24 (panels b,e,h,k) and 0.5 (panels c,f,i,l) Gaussian-shaped profiles.





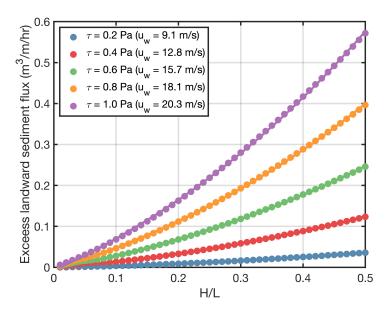


Figure 13. Net differences in simulated sediment flux past the dune crest between KSH and PySR for various H/L conditions (Gaussian-shaped dune) and boundary  $\tau$ . Dots represent simulated AeoLiS cases and lines reflect best fit exponential curve through data points.

CFD simulation data, as well as considering topographic variations in an additional dimension. However, the methodologies themselves should be directly applicable given data availability.

#### 6 Conclusions

- 470 Coastal dunes represent an important line of defense against storm related flooding hazards. As such, accurate models for predicting their evolution over relevant engineering time scales is paramount for future risk assessments and the development of engineering solutions. Current process based models make use of linearized analytical solutions to the underlying governing equations which, while easy to implement and computationally efficient, have known deficits for steep topographies. This work highlights that the KSH form widely used in existing tools performs well for the low slope dune shapes for which the analytical
- 475 formulation is designed. On dune forms with steeper topography and higher H/L ratios, errors in the magnitude of bed shear stress predictions - as compared to CFD model predictions - increase across the dune face. These errors are typically largest at the dune crest, the ramification of which is that existing formulations result in a faster net landward migration of the dune form in morphological models than would be expected. Overall, we have provided an analysis of the limitations of existing analytical prediction models for air flow over coastal dunes and presented two data-driven approaches for constructing alternative fast
- 480 prediction methods that reduce error relative to the KSH approach. The various newly presented approaches either reduce global error of stress predictions and/or improve on the ability to simulate patterns in complex flow dynamics not fully resolved by the analytical solution. While the improvements presented do not yet provide a complete solution for flow predictions across





all dune forms, this work highlights both existing limitations in current dune modeling frameworks and demonstrates new methodologies that can enable more reliable predictions of spatial bed shear stress patterns on the full range of H/L conditions observed in natural coastal systems.

*Code availability.* OpenFOAM v2006 was used for all CFD simulations as distributed by OpenCFD Ltd. Final PySR (Cranmer, 2023) results were generated using version 0.14.3 available at https://github.com/MilesCranmer/PySR. Version 2.1.1 of AeoLiS (de Vries et al., 2023) was used in this work for sediment transport calculations and is available from https://github.com/openearth/aeolis-python. Figures 2-11 were prepared using the Batlow color scheme provided by Scientific Color Maps (Crameri et al., 2020).

490 *Author contributions.* The study was conceptualized by NC, OC, MF, and SD. CFD simulations, symbolic regression, and non-intrusive reduced order modeling was performed by OC with input from MF, SD, and NC. Analysis of the impact to sediment fluxes was performed by NC. OC and NC prepared the original draft with contributions from MF and SD. All authors contributed to review and editing. NC and AT were responsible for funding acquisition.

Competing interests. The authors declare that they have no conflict of interest.

495 *Acknowledgements.* This was supported in part by the ERDC Basic Research Program under the project "Wind Driven Processes in Dryland Environments." Partial support on aeolian transport model testing and improvement was funded by NOAA's National Centers for Coastal Ocean Science, Competitive Research Program under award NA19NOS4780175 to ERDC.

#### **Appendix A: Symbolic Regression Details**

This appendix provides additional details for symbolic regression results. PySR hyperparameters that were changed from their 500 default values are provided in Table A1. These values were tuned to provide robust results across all of the noisy KSH generated data and used in subsequent PySR runs.

An exhaustive list of the expressions returned by PySR when provided KSH generated data with Gaussian noise is provided in Table A2. In some cases, some basic simplification/regrouping of the expressions has been performed.





#### Table A1. Robust parameters for PySR

Parameter Name	Description	Value
niterations		100
ncyclesperiteration		300
populations		40
maxsize		20
population_size		500
fraction_replaced		0.0001
fraction_replaced_hof		0.0001
annealing		false
batching		true
binary_operators		$+, \times, -$
unary_operators		abs()

Table A2. Simplified PySR discovered expressions for various SNRs. In the interest of space  $\hat{\cdot}$  indicate Fourier transformed values.

SNR	Small	Medium	Large
20	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$	(1.451ik +  k - 2k(3.087 - 0.236i) )
30	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}[k(-1.087 \times 10^{-6} + 1.451i) + 5.195 k ]$	$\hat{h}(1.451ik + 5.195 k )$
40	$\hat{h}(1.451ki + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$
50	$\hat{h} \big[ k(1.0+1.451i) +  k-5.195 k   \big]$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$
60	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$
70	$2\hat{h}(0.7255ik + 2.598 k )$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h} \left[ 1.451ik + (5.195 + 4.326 \times 10^{-6}i)  k  \right]$
80	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$
90	$5.195 \hat{h}(0.2793 ik +  k )$	$1.451 i \hat{h} k + 5.195 \hat{h}  k $	$\hat{h}(1.451ik + 5.195 k )$
100	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$
110	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$	$1.451 i \hat{h} k + 5.195 \hat{h}  k $
120	$\hat{h}(1.451ik + 5.195 k )$	$5.195 \hat{h}(0.2793 ik +  k )$	$\hat{h}(1.451ik + 5.195 k )$
$\infty$	$\hat{h}(1.451ik + 5.195 k )$	$\hat{h}(k(1 \times 10^{-6} + 1.451i) + 5.195 k )$	$\hat{h}(1.451ik + 5.195 k )$

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