

# **Droughts of the Early 19th Century (1790-1830) in Northeast Iberian Peninsula: Integration of historical and instrumental data for high-resolution reconstructions of extreme events**

Josep Barriendos<sup>1</sup>, María Hernández<sup>2</sup>, Salvador Gil-Guirado<sup>3</sup>,  
Jorge Olcina Cantos<sup>2</sup>, Mariano Barriendos<sup>4</sup>

1: CREAf, Autonomous University of Barcelona, Barcelona, Spain; (j.barriendos@creaf.uab.cat).

2: Department of Regional Geographical Analysis and Physical Geography, University of Alicante, Alicante, Spain; (maria.hernandez@ua.es); (jorge.olcina@ua.es).

3: Department of Geography, University of Murcia, Murcia, Spain; (salvador.gill@um.es).

4: IDAEA-CSIC, Institute of Environmental Assessment and Water Research, Spanish Research Council, Barcelona, Spain (mariano.barriendos@idaea.csic.es).

*Correspondence to:* Josep Barriendos (j.barriendos@creaf.uab.cat)

## **ABSTRACT.**

Drought represents a prevalent climate risk in the Mediterranean region. In the context of climate change, an increase in both frequency and intensity is anticipated over the next century. In order to effectively manage future scenarios where global warming overlays natural climate variability, a thorough analysis of the nature of droughts prior to the industrial age is crucial. This approach incorporates an extended temporal scale into the study of severe droughts, enabling the identification of low-frequency drought events that occurred before the instrumental period. The objective of this study is to examine the occurrence and magnitude of extreme droughts lasting over a year in the Spanish Mediterranean Basin during the Early 19th Century (1790-1830). To achieve this objective, the research integrates the use of instrumental observations and information derived from historical documentary sources with daily to monthly resolutions (e.g. rogation ceremonies). The findings reveal that drought episodes were more frequent and severe during the Early 19th Century compared to the Late 19th Century. Moreover, drought episodes of similar severity were rare throughout the 20th Century. Only in the current context of climate change, over the last two decades, has a pattern of high drought severity been identified that resembles the severity found during the Early 19th Century (especially between 1812 and 1825). This study underscores the presence of high variability in drought patterns over the last centuries, justifying the need for intensified research on drought episodes with high temporal resolution for extended periods.

## **KEYWORDS.**

Early 19th Century, Documentary Sources, Droughts, Drought Indices, Meteorological records, Spanish Mediterranean Basin.

## 36 1. INTRODUCTION

37 Drought is a climate phenomenon defined as a prolonged absence of precipitation that can last  
38 for a few weeks to periods of up to several years (IDMP, 2022). According to the IPCC, drought is an  
39 exceptional period of water shortage for existing ecosystems and the human population (due to low  
40 rainfall, high temperature and/or wind) (IPCC, 2023). Despite their complexity as a natural phenomenon,  
41 droughts should not be confused with aridity, desertification or other related natural risks such as forest  
42 fires or heatwaves (Van Loon, 2015; IDMP, 2022). Drought, as a prolonged lack of precipitation, can be  
43 classified depending on the impacts on the environment and society resulting in distinct types of droughts  
44 such as meteorological, hydrological, agricultural and social (Wilhite & Glantz, 1985).

45 Meteorological drought is defined as a prolonged period with abnormal rainfall deficit for a large  
46 region and for a long period of time (Mishra & Singh, 2010; IPCC, 2023). This absence of rain is  
47 transmitted to the hydrological system by affecting soil moisture and groundwater input, ultimately  
48 reducing surface water levels (Van Loon & Van Lanen, 2012). Thus, hydrological drought is defined as a  
49 period with large runoff and water deficits in rivers, lakes and reservoirs (Nalbantis, 2008; IPCC, 2023).  
50 It also has effects on groundwater and surface hydrology (Wilhite & Glantz, 1985; Mishra & Singh,  
51 2010). This deficit causes a reduction in water supply to plant roots leading to agricultural and ecological  
52 drought (Sivakumar, 2011; Van Loon, 2015; IPCC, 2023). The different impacts of drought mentioned  
53 above, such as the reduction in water levels or crop failures, have a direct effect on human societies (Van  
54 Loon, 2015).

55 Despite the importance of droughts and their capacity to seriously affect the economic and  
56 productive activities of societies, the level of knowledge on this natural phenomenon contrasts with that  
57 of other natural hazards (Van Loon & Van Lanen, 2012). For these reasons in this study is justified to  
58 conduct a more detailed and systematic study of drought events with historic perspective. It will also take  
59 into account the analysis of specific episodes of lower frequency and greater severity, which may provide  
60 additional information on long term drought behaviour in the Mediterranean region (Olcina, 2001a;  
61 Olcina, 2001b).

62 In general terms the Mediterranean climate in the Iberian Peninsula is characterised by a highly  
63 irregular rainfall, both inter-annual and intra-annual (Martín-Vide & Olcina, 2001). Another characteristic  
64 is the pronounced aridity during the warm season (summer) (WMO, 2023). Additionally, presents  
65 important variations in the intra-annual distribution of precipitation depending on the region (Martín-  
66 Vide, 1985). On the eastern of Iberian Peninsula and Balearic Islands, Mediterranean climate type has  
67 two main varieties in relation to the seasonal distribution of rainfall: Autumn and spring maximums in  
68 Northern and Central sectors, autumn-winter maximums in Southern sector (AEMET, 2011). Autumn and  
69 spring rains are mainly linked to cold drop atmospheric situations. In the winter, Atlantic storms with a  
70 southern trajectory (Gibraltar strait) are frequent in the south of the Mediterranean strip. In either of these  
71 two varieties, summer is always the season with the lowest rainfall contribution (Serrano-Notivoli et al.,  
72 2018; Mathbout et al., 2020; Sánchez-Almodóvar et al., 2022).

73 Because of the impacts of extreme hydrometeorological phenomena in the Mediterranean, such  
74 as droughts and floods, observation of their behaviour in the recent past is justified. Previous work on the  
75 reconstruction of rainfall on a long-time scale generally shows situations of rainfall shortage (Pauling et

76 al., 2006; Camuffo et al., 2013; PAGES, 2017). As well the results obtained from instrumental data series  
77 highlight that rain shortage (droughts) are perceived at the seasonal level. The present work aims to  
78 analyse the most extreme phenomena detected in the aforementioned paper, but using data that allow us  
79 to analyse rainfall deficits at the monthly level. Unfortunately, for the Iberian Peninsula as a whole in the  
80 study under review there is only one instrumental data series. For this reason, the instrumental data from  
81 the Barcelona station (1786-2022) complement the historical data from the MILLENIUM project  
82 (“European climate of the last millennium”, Code: IP 017008-2). The combination of instrumental and  
83 historical data has been used to study specific periods of anomalous temperature and rainfall conditions.  
84 One such widely studied case is the anomaly of the non-summer year of 1816, a consequence of the  
85 Tambora volcanic eruption (Trigo et al., 2009; Luterbacher & Pfister, 2015).

86 The Spanish Mediterranean basins are currently experiencing a situation of severe rainfall  
87 shortage. Due to this serious situation, it is necessary to find references of droughts of equal or greater  
88 magnitude in order to understand the characteristics of these phenomena in their most extreme behaviour.  
89 Studies carried out on the Iberian Peninsula to study historical droughts using historical data show  
90 significant results obtained from the use of rogations as a data (Domínguez-Castro et al., 2012; Tejedor  
91 et al., 2019). These studies make it possible to identify the importance of the 19th century for its study,  
92 highlighting specific years such as 1817 or 1824 (Domínguez-Castro et al., 2012). Despite these results,  
93 the data used in these studies were applied to yearly resolutions. The need for knowledge of past droughts  
94 adds to the need to expand the detail of existing studies on historical droughts in the study area.

95 Historical data allow us to observe the behaviour of droughts in much more distant historical  
96 periods than those of the instrumental precipitation data series. Therefore, this data would allow us to  
97 improve the knowledge of drought natural variability over a long-time scale than the instrumental period.  
98 For periods where overlap exists, historical data (rogations ceremonies) can be correlated with early  
99 instrumental data. This aspect is novel and important for three main reasons, which motivate focusing this  
100 work on such analysis: 1) the existence of long instrumental records is scarce and spatially dispersed in  
101 Spain; 2) social changes during the 19th Century make historical records of social impact, based on  
102 rogations, demonstrably inconsistent after 1836 (Gil-Guirado et al., 2016; Espín-Sánchez & Gil-Guirado,  
103 2022), which discourages their use as proxies after this date; 3) the available instrumental records and  
104 social impact data are contemporaneous.

105 According to all the reasons exposed above, in the current paper we will discuss the topic of the  
106 extreme droughts that affected the Mediterranean Basins of the Iberian Peninsula during the Early 19th  
107 Century (1790-1830). The detailed study of drought events during this period is justified by the physical  
108 and social reasons that underline their exceptionality. The severity of the different droughts recorded,  
109 their cumulative duration and the impact they had on the societies of the Spanish Mediterranean Basins  
110 do not have an equal magnitude in the recent collective memory. On the other hand, this period has been  
111 studied relatively well, thanks to climate reconstructions for the beginning of the 19th Century based on  
112 natural and historical *proxy* data and the first instrumental meteorological data series (Prohom et al.,  
113 2016; Brönnimann et al., 2018b).

114 The novel aspect of the present study consists mainly in the fact that the period chosen (1790-  
115 1830) has not been analysed in depth with historical data. Furthermore, it has not been analysed with

116 daily resolution data, as is the case in the present study. This paper focuses on the impacts caused by  
117 meteorological droughts because of the nature of the data used. The main sources of information used for  
118 the analysis of droughts in the historical period are the historical data of rogations (Spain, with higher  
119 density for Catalonia) and the instrumental precipitation data sets of Barcelona (Catalonia, NE Spain).  
120 The case of the rogations differs from that of the instrumental series, since the former focuses on the lack  
121 of precipitation while the rogations would allow the analysis of agricultural drought (Brázdil et al., 2018).  
122 However, rogations also allow meteorological monitoring of the natural phenomenon, as the ceremonies  
123 itself are interrupted when an improvement in rainfall is detected. This is because of the daily level of  
124 detail of the rogation system as a source of information (Martín-Vide & Barriendos, 1995). The very  
125 etymology of the rogations (*pro pluvia*, to obtain rain as usual) demonstrates the meteorological nature of  
126 the ceremony. Their purpose was not directly to obtain a large harvest, but to achieve a good rainfall  
127 episode. The historical data at daily resolution used to carry out this study come from the AMARNA  
128 database on climate risks (*Arxius Multidisciplinars per a l'Anàlisi del Risc Natural i Antròpic*, from  
129 catalan: Multidisciplinary Archives for the Analysis of Natural and Anthropogenic Risk). This is a  
130 compilation effort focused on organising climate information from historical proxies in high spatio-  
131 temporal resolutions. The total number of records for the period EC 1035-2022 amounts to slightly more  
132 than 19,000 cases, organised in more than 5,500 episodes (Tuset et al., 2022). It is originally a database of  
133 torrential rainfall and flood events and from the present study, information on pluviometric deficits is also  
134 being introduced.

### 135 1.1. Research background

136 The Early 19th Century (1790-1830) occurred during the climate episode named as the Little Ice  
137 Age (hereafter, LIA) between the fourteenth and nineteenth centuries (Grove, 1988). This climate  
138 oscillation was clearly characterised by lower average temperatures with respect to the previous episode  
139 (Medieval Warm Period) and the subsequent episode (Current Global Warming) (Fischer et al., 2007).  
140 Another significant aspect of the LIA is the irregular behaviour of rainfall, with a clear increase in the  
141 frequency and magnitude of severe hydrometeorological events (Oliva et al., 2018; Barriendos et al.,  
142 2019; Gorostiza et al., 2021). In the case of the Iberian Peninsula, different oscillations were observed  
143 including increases in heavy rains or droughts throughout this period (Barriendos, 1996). One of the most  
144 exceptional oscillations is called Maldà Oscillation, which occurred between 1760 and 1800 (Barriendos  
145 & Llasat, 2003). The Maldà Oscillation was characterised by simultaneous increases in the frequency of  
146 heavy rain events, alternating with droughts. The alternation of extreme rainfall and droughts events had  
147 strong social and economic impact on the Iberian Peninsula. Specifically, the sequence of droughts, cold  
148 snaps and snowfalls had serious direct consequences on agriculture, while consecutive floods also  
149 damaged or destroyed many infrastructures. Furthermore, during the period of the Maldà Oscillation there  
150 was an emergence of uncommon epidemic diseases, such as smallpox or yellow fever viruses, occurring  
151 at the same time than more common diseases such as epidemic malaria or typhoid (Barriendos & Llasat,  
152 2003; Alberola, 2010; Alberola & Arrijoja, 2018).

153 Within the LIA, the Early 19th Century was characterised by an abnormally low amount of  
154 emitted solar radiation, which generated an overall decrease in the amount of solar radiation arriving to

155 the Earth (Prohom et al., 2016). In addition to this external forcing factor, climate variability at the end of  
156 the LIA was also affected by several volcanic eruptions that occurred between 1790 and 1830 (a total of  
157 302 eruptions with Volcanic Explosivity Index (hereafter, VEI) between 2 and 7) (Fang et al., 2023). Of  
158 these 302 eruptions, 247 had an  $VEI \geq 2$ ; 35 had a  $VEI \geq 3$ ; 16 had a  $VEI \geq 4$ ; 2 had a  $VEI \geq 5$ ; 1 had a  
159  $VEI \geq 6$  and 1 had a  $VEI \geq 7$  (Global Volcanism Program, 2023). Among these volcanic eruptions, stand  
160 out a sequence of large explosive volcanic eruptions (Prohom, 2003; Wagner & Zorita, 2005; Lee &  
161 MacKenzie, 2010): *Unknown* (1808), Tambora (1815), Galunggung (1822) and Cosigüina (1835). Some  
162 studies indicate that the high intensity volcanic eruptions, occurring between the LIA and the current  
163 Global Warming, led to a decrease in temperatures, together with an increase in rainfall irregularity in the  
164 study area (Gil-Guirado et al., 2021).

165         Among the three eruptions of the Early 19th Century, the 1815 Tambora eruption is considered  
166 one of the most significant of the past two thousand years in terms of the particles emitted (Raible et al.,  
167 2016). Also, it is considered as the cause of the most pronounced climate anomaly of the first third of the  
168 19th Century (Brönnimann et al., 2018b). Due to his outstanding volcanic explosivity (VEI 7), this  
169 eruption was the largest and most devastating eruption recorded in the historical age and is considered to  
170 be responsible for the “year without a summer” of 1816 reported across Europe and North America  
171 (Trigo et al., 2009; Luterbacher & Pfister, 2015). This temperature anomaly affected severely Central,  
172 Western, and Northern Europe, with recorded temperatures between 2 to 3°C below the average in areas  
173 of Spain and Portugal (Pfister & White, 2018). During that summer the number of rainy days almost  
174 doubled and cloudy days were more frequent in the whole of Europe and North America. Alterations in  
175 the usual general atmospheric circulation pattern and its centres of action were also reported as a result of  
176 cooling due to the direct effect of the reflection of incident radiation associated to the presence of  
177 volcanic aerosols (Brönnimann et al., 2018b).

## 178 **1.2. Historical Droughts Studies in Spain**

179         The analysis of historical droughts in Spain dates back to studies by Manuel Rico y Sinobas in  
180 the mid-19th Century, in which he analysed the impacts of drought episodes on agriculture. His main  
181 objective was to compile records in order to obtain a broad temporal dimension of the phenomenon (Rico  
182 y Sinobas, 1851). Subsequently, and until the beginning of the 1990s, only sporadic studies were carried  
183 out that were in some way related to events (Bentabol, 1900). One exception is the study by Couchoud  
184 (1965), who analysed the region of Murcia in depth (SE Spain) based on a detailed compilation and  
185 analytical process. In 1994, two PhD theses on historical climatology that engaged with droughts were  
186 defended in Spain (Barriendos, 1994; Rodrigo, 1994). They constitute benchmark studies in the research  
187 on this topic. From this decade onwards, there has been a proliferation of studies and publications in  
188 which drought is taken into consideration (see, among other, Rodrigo et al., 1994; Martín Vide &  
189 Barriendos, 1995; Rodrigo et al., 1995; Barriendos, 1997; Barriendos & Martín Vide, 1998; Rodrigo, et  
190 al., 1998), including manuals on natural risks (Olcina, 2001a). More recently, a new PhD thesis (Gil-  
191 Guirado, 2013) once again insisted on the need to study historical droughts in the Spanish Mediterranean  
192 Basin based on a quantitative approach.

193 In addition to PhD theses, there are also recent publications focused on the study of historical  
194 droughts using a quantitative approach. An example that actually corresponds to the period analysed in  
195 present work is the paper focused on droughts for the Iberian Peninsula (1750-1850) (Domínguez-Castro  
196 et al., 2012). This article approaches the severe episodes of historical droughts by means of rogations at  
197 annual resolution. Other studies have continued this line of research in the Iberian Peninsula (Trigo et al.,  
198 2009; Fragoso et al., 2018; Tejedor et al., 2019; Bravo-Paredes et al., 2020) and even in more detail for  
199 the Ebro basin (Cuadrat et al., 2022). The availability of *pro pluvia* rogations in the Hispanic Monarchy  
200 extended beyond the Iberian Peninsula, as evidenced by works in Mexico and all Central American  
201 countries (Garza-Merodio, 2017; Alberola & Arriola, 2018; Ramírez-Vega, 2021). Rogations are a  
202 liturgical mechanism used in other Catholic countries and therefore these studies can be extended to this  
203 broader religious sphere (Pfister, 2018; Garnier, 2019). Finally, the amount of information that is  
204 becoming available is already being organised in comprehensive databases such as AMARNA or in  
205 international initiatives (Domínguez-Castro et al., 2021).

206 Parallel to the research based on rogations, the study of historical droughts in the Iberian  
207 Peninsula has also been carried out through the analysis of ancient instrumental precipitation data series  
208 as well (Prohom et al., 2016). Or with the combination of data on rogations and precipitation series  
209 analysed by means of drought indices (Tejedor et al., 2019). These studies allow us to observe severe  
210 droughts based on inter-annual variability.

### 211 1.3. Objectives

212 The main objective of this study is to analyse the patterns of drought episodes that affected the  
213 Northeast of the Iberian Peninsula during the Early 19th Century (1790-1830) using instrumental and  
214 historical sources. This period that corresponds to the last stages of the Little Ice Age was chosen due to  
215 severity of drought occurring in the Mediterranean Basins of the Iberian Peninsula. Additional objectives  
216 of this study are: 1) to qualitatively and quantitatively extend the AMARNA database on climate risks to  
217 incorporate droughts and different social processes linked to environmental impact in addition to hydro-  
218 meteorological excesses (Tuset et al., 2022); 2) to compile and describe the variability of extreme  
219 hydrometeorological events (heavy rainfall and droughts) in the Spanish Mediterranean Basin during the  
220 Early 19th Century. In order to study how the opposite extreme events behave and interact with each  
221 other. Also to understand if the behaviour of past hydrometeorological extremes is similar to the modelled  
222 behaviour for the future in the study area. In addition, the spatio-temporally coherent periods of climatic  
223 anomalies have among their main characteristics the increase in rainfall irregularity in the study area (Gil-  
224 Guirado et al., 2016); 3) to characterise the drought episodes, analysed from historical data, considering  
225 their duration, extension and severity in high resolution for the period analysed; and 4) to analyse the  
226 entire instrumental precipitation data series of Barcelona (1786-2022) for the whole duration of the series  
227 in order to characterize periods of drought.

228 In order to fulfil these objectives, the paper analyses the historical and instrumental data  
229 available in the Spanish Mediterranean Basins, using different time and spatial scales. The socio-  
230 environmental context during the Early 19th Century is analysed using data compiled from historical  
231 documentary sources, namely the records of the *pro pluvia* rogation ceremonies held in the main villages

232 of the affected regions. These data are compared with the analysis of the instrumental precipitation data  
233 series of Barcelona (1786-2022) based on different statistical techniques, including the use of three  
234 drought indexes: SPI (*Standardized Precipitation Index*) (McKee et al., 1993), SPEI (*Standardized*  
235 *Precipitation Evapotranspiration Index*) (Vicente-Serrano et al., 2010) and Deciles (Gibbs & Maher,  
236 1967).

237 The article focusses on analysing climate variability during the Early 19th Century period and  
238 provide the state of the art on droughts in historical perspective in Spain and Europe as a whole.  
239 Subsequently, the results obtained are presented through graphic and cartographic resources.

## 240 2. MATERIALS AND METHODS

### 241 2.1. Sources of information

242 The sources of information used to analyse droughts in the Early 19th Century consist mainly of  
243 historical data and the Barcelona instrumental precipitation data series ranging from 1786 to the present  
244 day. The historical data on droughts in the Spanish Mediterranean Basin during the Early 19th Century  
245 was obtained from Documentary sources of public administrations and ecclesiastical institutions compiled  
246 in the AMARNA database (Barriendos & Barriendos, 2021; Tuset et al., 2022). AMARNA is an archive  
247 that compiled climate historical episodes from different documentary sources which are geo-referenced  
248 and classified into numerical categories on a daily resolution. The information from AMARNA refers to  
249 any type of extreme meteorological event and its social impacts. Events about which there is more  
250 information are those relating to water excess (persistent rainfall, pluvial and fluvial floods) and rainfall  
251 deficits (droughts). The total number of records for the period EC 1035-2022 amounts to slightly more  
252 than 19,000 cases, organised in more than 5,500 episodes (Tuset et al., 2022). Sources of information are  
253 mainly administrative and private documentary sources, with direct descriptions of events and their  
254 impacts. The institutional documentary sources also provide systematic and continuous records over time  
255 throughout the existence of the institution, with resources and conditions that favour the conservation and  
256 access to the documents (Martín-Vide & Barriendos, 1995; Brönnimann et al., 2018a). Water deficits are  
257 obtained from the records of *pro pluvia* rogation ceremonies (cultural-historical proxy) from municipal  
258 and local ecclesiastical sources (Brázdil et al., 2018; Brázdil et al., 2019). Rogations are the main *data*  
259 *proxy* in order to identify and compile information on droughts in the Spanish Mediterranean Basin. The  
260 records of these ceremonies are generated and initiated by public authenticators in collegiate  
261 administrative bodies (municipal councils, cathedral councils), which guarantees the reliability of the  
262 document itself and the veracity of the information contained therein. The rogation records contain  
263 reliable and homogeneous information due to their institutional origin and the formal rigidity of the  
264 related liturgical procedures (Brázdil et al., 2018). The documentary record of the rogation ceremony  
265 informs of the location, the date and duration of the drought conditions. With respect to the severity of the  
266 event, the application of a specific methodology based on the type of liturgical acts used enables their  
267 classification by categories and their numerical indexing (Martín-Vide & Barriendos, 1995; Barriendos,  
268 1997). As a complement to these administrative sources, AMARNA also uses private personal sources,  
269 such as appointment books, memoirs or chronicles.

270            Rainfall excesses are also found in the same administrative documentary sources as the deficits  
271 and their cataloguing and numerical classification procedure is also based on objective indicators. In the  
272 1990s, simple and easy to cross reference classification criteria were proposed for all of the European  
273 basins, based on the levels of river overflows and the damage recorded (Barriendos & Martín-Vide, 1998;  
274 Brázdil et al., 1999). The first studies that used these information sources in the area of study sought to  
275 conduct an overall reconstruction of the climate variability through the generation of weighted annual  
276 indices (Barriendos, 1996; Barriendos, 2005). Subsequent studies extended the analysis with annual  
277 indices for different locations of the Spanish Monarchy, both on historical floods (Barriendos & Rodrigo,  
278 2006) and for droughts (Domínguez-Castro et al., 2008; Rodrigo & Barriendos, 2008; Domínguez-Castro  
279 et al., 2012; Gil-Guirado et al., 2019; Tejedor et al., 2019).

280            In addition to the analysis of historical data, the second part of the study consists in the statistical  
281 analysis of the instrumental precipitation data series of Barcelona spanning from 1786 to 2022.  
282 Unfortunately, the Barcelona series is the only continuous rainfall series available in the study area for the  
283 Early 19th Century. This information is scarce for such a large geographical area, but the Barcelona series  
284 is located in the area with the most historical information available for this period. Therefore, the joint  
285 analysis of instrumental and historical information is relatively consistent.

286            The Barcelona rainfall series used in this study comes mostly from the series elaborated by the  
287 Meteorological Service of Catalonia, *Servei Meteorològic de Catalunya* (SMC) (Prohom et al., 2016).  
288 This series ranges from 1786 to 2014 and was compiled from different institutional observers who  
289 generated records during the 18th and 19th centuries in the centre of Barcelona (at around 30 meters  
290 above sea level). For the 20th Century the records were generated at the Fabra Observatory, placed  
291 outside of the city (at the Tibidabo mountain, at 412 meters above sea level). The analysis of these  
292 sources has enabled the homogenisation of the monthly precipitation data series from 1786 to 2014. For  
293 the period 2015-2022 we used rainfall series located in the city of Barcelona, instead of continuing with  
294 the series from the Fabra Observatory for the following reasons: it corresponds to an altitude significantly  
295 different from that of the flat coastal area of Barcelona; also, the Fabra Observatory is placed far from the  
296 city centre; and finally, it began its record measurements in 1913. Taking into consideration the high  
297 irregularity of the precipitation in the Mediterranean climate, these differences make advisable to use a  
298 landmark closer to the area of the historic centre of the city of Barcelona. These considerations have been  
299 the subject of debate for years when defining climate instrument series for Barcelona (Prohom et al.,  
300 2016). To complete the SMC series up to the year 2021, instrumental records from a private observatory  
301 in the Can Bruixa neighbourhood of Barcelona was used. In order to complete the remaining year, the  
302 series was completed with data from the official SMC Raval automatic station (University of Barcelona).  
303 These two series are validated by the SMC and their data have been collected in the centre of the city of  
304 Barcelona, making their values closer to those collected at the beginning of the series.

## 305    2.2.    **Indexation system of historical climate data**

306            This study is based on the use of information on a daily scale drawn from the historical data  
307 obtained from the AMARNA database. This information is organised into cases and episodes. Every  
308 episode consists of a group of cases or records of different dates and locations which provide information



309 about the impact and duration of each episode. Cases are the basic units of documentary record in which  
310 there is mention of some kind of impact on the water deficit. They may be decisions by the authorities to  
311 initiate or continue *pro pluvia* rogations, qualitative records of rainfall within a drought episode, or  
312 records of the decisions taken by the authorities to end the rogations once the drought is considered to be  
313 over. The cases and episodes are classified into five categories and fifteen sub-categories (Barriendos &  
314 Barriendos, 2021) (Table 1). These fifteen thematic subdivisions proposed correspond to the highest  
315 degree of detail observable in the documentary and bibliographic sources consulted (Table 1). For the  
316 specific case of drought episodes (DR), these come mostly from records of the celebration of *pro pluvia*  
317 rogation ceremonies. These liturgical events, typical of the Catholic Church, are highly institutionalised.  
318 The adverse weather situation is detected by the monitoring and reports of the farming guilds. Their  
319 evaluations are assessed by the municipal councils which, in view of the severity of the situation, decide  
320 to hold the prayers. And finally, the ecclesiastical authorities are responsible for the effective execution of  
321 the ceremonies. This procedure has the positive factor of having administrative documentary sources  
322 generated by public administrations that guarantee the accuracy of the information, its objectivity and the  
323 reliability of its contents. It should be noted that the administrative documentation consulted is always  
324 validated by public authenticators (secretaries of municipal and ecclesiastical councils who are public  
325 notaries). These records provide information on both the duration and severity of drought events. From  
326 the documentary recording mechanism of the ceremonies, it is also possible to detail that the rogations  
327 present a formal differentiation of their liturgical acts according to the severity of the drought determined  
328 by the specialised guild authorities. This liturgical format has remained almost unchanged since the  
329 Middle Ages, when continuous municipal and ecclesiastical records were already available. The  
330 difference between ceremonies is based on their format, with a total of five levels, always adapted to the  
331 cultural singularities of each town (Martín-Vide & Barriendos, 1995; Barriendos, 1997; Tejedor et al.,  
332 2019; among other references: Alcoforado et al., 2000; Gil-Guirado et al., 2019; Espín-Sánchez & Gil-  
333 Guirado, 2022): 1- Simple prayers inside the churches; 2- Prayers using the exhibition of relics or images  
334 inside the churches; 3- Public processions through the public area of the town (planned routes through the  
335 main streets of the town); 4- Until 1619, immersion of images or relics in water. From 1619 onwards, due  
336 to prohibition of immersions by the Vatican authorities, liturgical acts of similar solemnity were held in  
337 public spaces within the town's boundaries; 5- Pilgrimages to sanctuaries of special veneration that  
338 required a journey outside the town. By having the dates on which each ceremony is held, we can identify  
339 both the beginning and the end of the rogations, along with increases in severity. A level 1 rogation marks  
340 the beginning of each drought episode and a *Te Deum Laudamus* (gratitude ceremony) marks the end of  
341 the episode. Each drought episode will thus have a different duration and its severity will be defined by  
342 the ceremonies between the first rogation and the closing of the ceremonies.

CATEGORIES		SUB-CATEGORIES	
Code	Name	Code	Name
ERE	Extraordinary Rainfall Event	FF	Fluvial Flood
		PF	Pluvial Flood
		PR	Persistent Rainfall
		SS	Sea Storm
		DR	Drought

ECE	Extraordinary Convective Event	HE	Hail Event
		ES	Electric Storm
		WS	Wind Storm
ETE	Extraordinary Thermic Event	CW	Cold Wave
		US	Unusual snowfall
		HW	Heat Wave
SIE	Social Impact Event	EE	Epidemic Event
		PE	Plague Event
		FS	Food Shortage
ERR	Technical mistake	ERR	Spurious case

**Table 1: Classification system of the AMARNA database (Barriendos & Barriendos, 2021).**

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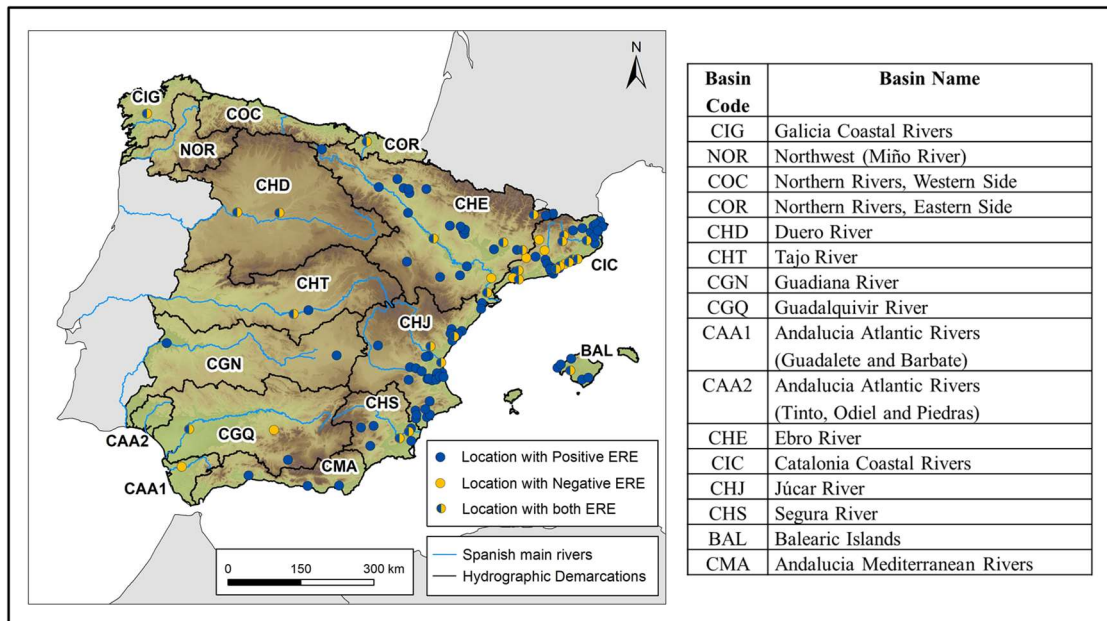
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The AMARNA database originally only provided data on water excesses recorded in historical periods for the Spanish Mediterranean basins (Tuset et al., 2022). An effort is currently being made to add data on droughts to the AMARNA database. In this regard, the period from 1790 to 1830 has been a test to see how the recently gathered data on droughts and the existing data on excess water fit together. Thus, the work proposed in this article supposed progressing from 0 cases and episodes of drought events for the Early 19th Century, to the values with which the study has been carried out (2047 cases, of which 1132 cases correspond to drought events). The AMARNA database is still under development for other historical periods and therefore not yet available for public access.

The georeferencing of all the historical data compiled in the AMARNA database allowed the use of SIG tools for the cartographic representation of this historical information. The distribution of the droughts in the Early 19th Century have been represented both on a municipal level and with the cases grouped by hydrographic basin. These are the Spanish administrative units for managing water resources (Figure 1) (MITECO, 2023). The organization at a municipal level allow the analysis of the time-space distribution of the impacts caused by different drought episodes representative of the period of study. The different efforts to compile data on the AMARNA database on water excesses and droughts have resulted in a very characteristic distribution of data for the case of the Early 19th Century period (Figure 1). Most of the points with information on water excesses collected in AMARNA are located in the Spanish Mediterranean basins. On the other hand, the information on droughts covers points all over Spain, but with a higher density in the territory of Catalonia, between the hydrographic basins of the Ebro River (CHE) and the Catalonia Coastal Rivers (CIC), and Murcia city (CHS) (Figure 1). This disproportion in the amount of information between the Atlantic and Mediterranean basins is due to the effort focused on the latter, where there is more interest in the study of hydrometeorological phenomena. Therefore, as the title of the paper indicates, the analysis of drought on the North-East Iberian Peninsula uses information from the Atlantic basin of the Iberian Peninsula only as a reinforcement or complement for a better characterisation of the episodes identified.



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**Figure 1: Spanish hydrographic basins analysed in this study. Locations with historical information for the Early 19th Century. This specifies the locations that have records on positive ERE (FF, PF, PR and SS), negative ERE (DR) or both types of ERE.**

373 **2.3. Generation of drought indices**

374 Several drought indices were generated using the Barcelona precipitation data series (1786-  
375 2022). In all cases, the indexes were calculated based on monthly values and for groups of 12 months.  
376 Due to the irregular distribution of precipitation throughout the year in the North-East of the Iberian  
377 Peninsula, the 12-month groupings are the ones that best group and detect drought episodes. Other  
378 groupings such as 3 or 6 months can detect a lack of precipitation that is typical of the usual conditions of  
379 the seasons and intra-annual variability (Gil-Guirado & Pérez-Morales, 2019). The SPI (*Standardized  
380 Precipitation Index*) (McKee et al., 1993) was the first index calculated, which is widely used for  
381 classifying droughts (WMO & GWP, 2016). This index enables the analysis of the duration and  
382 variability of droughts, as well as of the wet periods and is generated based on the transformation of the  
383 temporal precipitation data series in a standardised normal distribution (Lloyd-Hughes & Saunders, 2002;  
384 Zargar et al., 2011; Gil-Guirado & Pérez-Morales, 2019). The second index is the SPEI (*Standardized  
385 Precipitation Evapotranspiration Index*) (Vicente-Serrano et al., 2010), which is similar to the SPI index,  
386 but also uses the average monthly temperature variable (WMO & GWP, 2016). It is a relatively versatile  
387 index, simple to apply and enables analyses to be carried out for any climate regime (Stagge et al., 2015).  
388 The third index used is the Deciles index (Gibbs & Maher, 1967), which stands out for its applicability  
389 and simplicity, due to the facility of the calculations that it requires and the fact that it only requires  
390 precipitation data (Steinemann et al., 2005; Tsakiris et al., 2007). This method is obtained by dividing the  
391 distribution of the monthly precipitation data into deciles (WMO & GWP, 2016), which define thresholds  
392 for different water deficit conditions (Zargar et al., 2011; Eslamian et al., 2017).

393 The results obtained from analysing the instrumental rainfall series of Barcelona (1786-2022)  
394 with the different indices (SPI, SPEI and Deciles) have been statistically analysed. Three different  
395 statistical tests have been carried out with the monthly rainfall series of Barcelona (Gil-Guirado & Pérez-

396 Morales, 2019): On the one hand, the trends of the series have been calculated using the Mann Kendall  
 397 test. On the other hand, the Sen slope has been obtained. Finally, the breakpoints of the series have been  
 398 analysed using the Pettitt's test. In order to carry out these statistical tests, different scripts in R language  
 399 have been used, which have been executed in RStudio to obtain the results.

400 Based on the results obtained from various drought indices, a detailed criterion has been  
 401 established to classify the different drought episodes identified for the early 19th Century. This criterion  
 402 relies mainly on the SPI to define each episode, based on the drought thresholds defined by the literature  
 403 (McKee et al., 1993). Specifically: The start and end of a drought episode are determined by SPI values  
 404 that cross the threshold of -0.70. This threshold is chosen to capture the transition between drought  
 405 episodes. Also noticing the transition periods into and out of drought conditions. A drought episode is  
 406 characterised by having at least five consecutive months in which SPI values are consistently below -1.0,  
 407 indicating moderate to severe drought conditions. By defining these specific ranges, we ensure a  
 408 systematic and reproducible approach to identifying and analysing drought episodes.

### 409 3. RESULTS

#### 410 3.1. The hydro-meteorological extremes in Spain (1790 - 1830)

411 This study has found that the period (1790-1830) in which there is an accumulation of  
 412 particularly severe drought episodes. This period coincides chronologically with the Dalton Solar  
 413 Minimum and an anomaly in volcanic activity (eruptions of Tambora and other volcanoes mentioned).  
 414 Obviously, the chronological coincidence does not presuppose any cause-effect relationship between the  
 415 anomalies in solar and volcanic activity and the pluviometric anomalies under study.

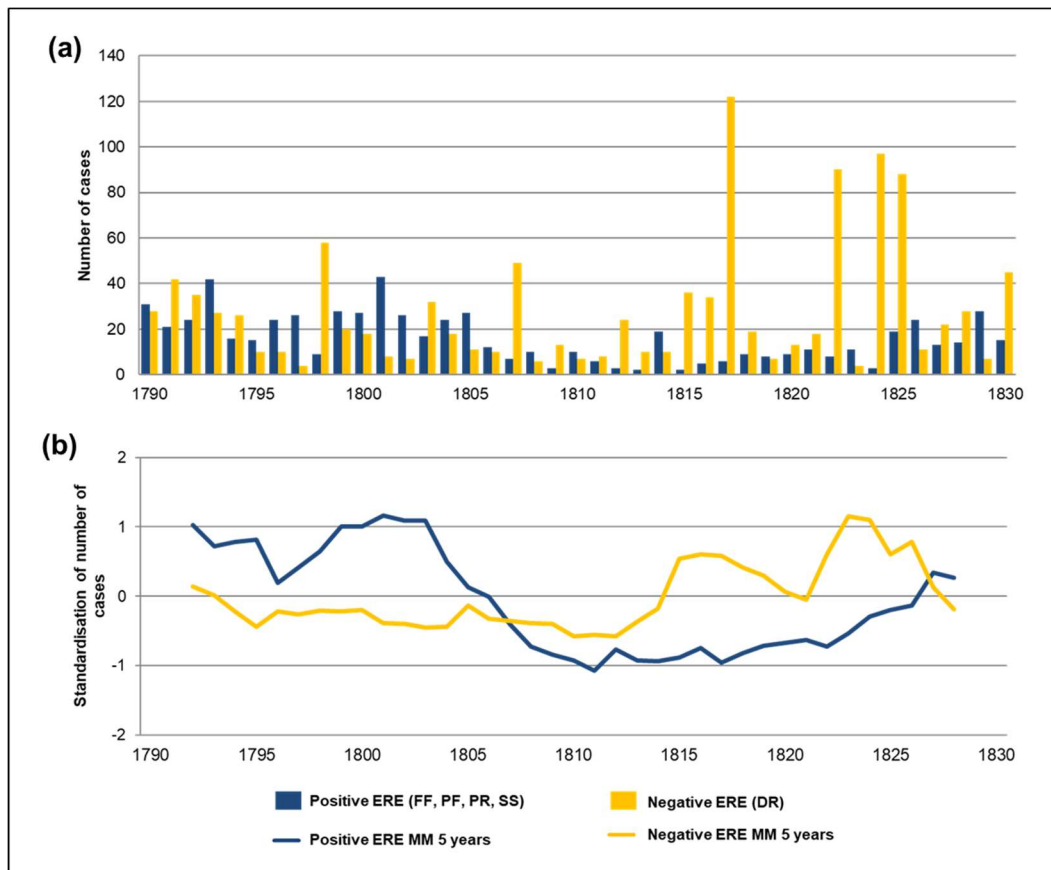
416 The AMARNA database used in this paper provides a total of 19115 cases spread over 5551  
 417 episodes for the period from 1035 to 2022. For the Early 19th Century (1790-1830), the AMARNA  
 418 database provides for the whole of the Iberian Peninsula 2047 cases, which are grouped into 708 episodes  
 419 (Barriendos et al., 2019). From the 2047 total number of cases 1789 cases correspond to ERE events  
 420 (Extraordinary Rainfall Event). Within the ERE cases, there is a clear predominance of the subcategory  
 421 DR (Drought), with 64% of the ERE cases (Table 2).

Subcategories	Number of cases	Percentage
Fluvial Flood (FF)	431	24.09%
Pluvial Flood (PF)	40	2.24%
Persistent Rainfall (PR)	164	9.17%
Sea Storm (SS)	22	1.23%
Drought (DR)	1132	63.28%
Total	1789	

422 **Table 2: Total number of cases of the five groups making up the ERE category (Extreme Rainfall**  
 423 **Event). Elaboration from AMARNA database.**

424 The temporal distribution of the ERE episodes throughout the Early 19th Century reveals a  
 425 predominance of droughts with respect to the other types of ERE, but with a non-homogeneous  
 426 distribution (Figure 2). For instance, between 1790 and 1805 rainfall was abundant, so floods were more  
 427 significant than droughts in years such as 1793, 1797 or 1801 (Figure 2). This decade also stands out due  
 428 to its clear irregularity across different years, which can be related to the final part of an abnormal climate

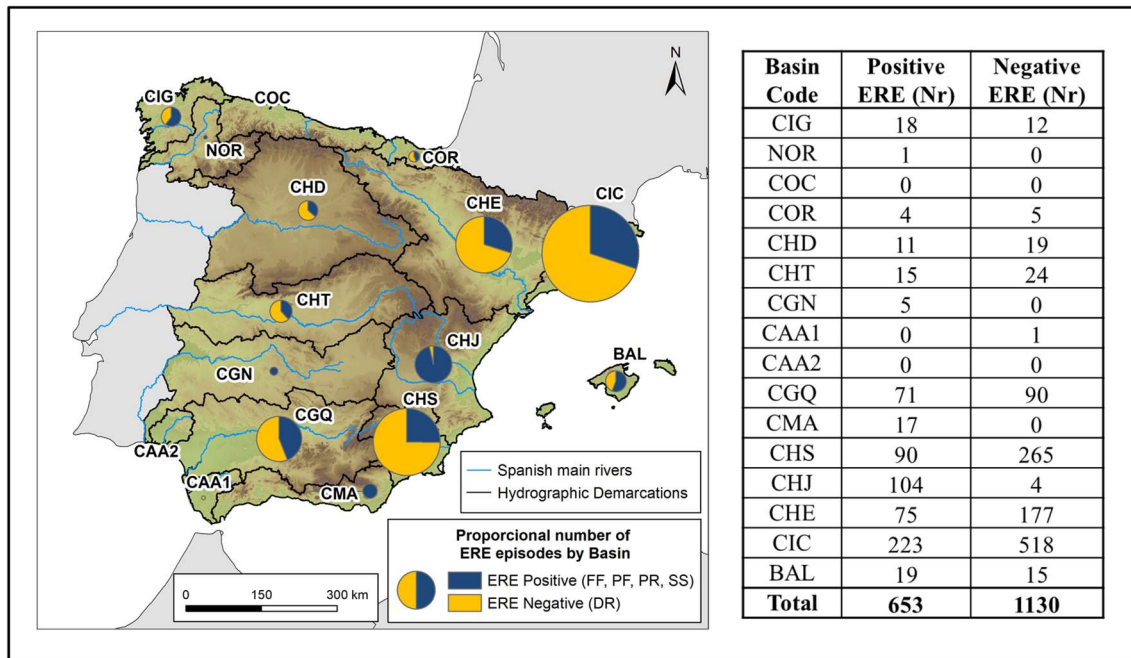
429 period detected between 1760 and 1800, known as the Maldà Oscillation (Barriendos & Llasat, 2003).  
 430 The 5-years moving averages show the most pronounced episodes of droughts and water excesses during  
 431 this period. Figure 2 highlights its temporal distribution: in the first decade, positive extreme peaks were  
 432 interrupted with the drought of 1798. On the other hand, from the episode of 1807, droughts became  
 433 predominant, being particularly severe between 1812 and 1825 (Figure 2). The positive EREs cases  
 434 diminished from 1806 definitively for the rest of the Early 19th Century, while the negative EREs  
 435 increased from 1812. Between these two well defined periods exists a transition period with low number  
 436 of heavy rainfalls or droughts.



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 438 **Figure 2: a) Temporal distribution of positive EREs and negative EREs during the Early 19th**  
 439 **Century (1790-1830). b) 5-years moving averages of the standardised values for the positive EREs and**  
 440 **negative EREs. Elaboration through AMARNA database.**

441 The geographical distribution of ERE cases for this period also provides interesting information.  
 442 It is highlighted the large number of cases recorded in the Spanish Mediterranean Basin against those  
 443 recorded in the Atlantic basins for the same period (Figure 3). The Guadalquivir basin (CGQ) is the only  
 444 Atlantic basin with an important amount of ERE cases. The predominance of drought in the Spanish  
 445 Mediterranean basins contrasts with the greater impact of the positive ERE episodes in the Atlantic  
 446 basins. In the Mediterranean area, the Júcar basin (CHJ) stands out as there is a high incidence of positive  
 447 ERE, unlike the dynamics of the other Mediterranean basins. This bias can be applied to the CHJ, NOR,  
 448 CGN and CMA basins. For this reason, in the basins that suffer this bias, the majority of the information  
 449 corresponds only to the episodes of positive ERE.

450 The towns that account for more than 50 cases of drought were all spatially distributed across the  
 451 Mediterranean basins, except for Seville, located in the Atlantic watershed (Figure 4). Regarding the  
 452 drought temporal distribution at the different cities, Murcia case is noteworthy by the regularity of  
 453 drought episodes compared to the majority of the other cities that exhibit larger temporal variability  
 454 (Olcina, 2001b). This fact is related to its geographical position in the South-east of Spain. Within this  
 455 environment, “specific” drought events occur (the so-called “surestinas” south-eastern droughts) related  
 456 to the lack of precipitation from the Atlantic and absence of Mediterranean rainfall events (Olcina,  
 457 2001b).



458  
 459 **Figure 3: Number of positive ERE cases (FF, PF, PR, SS) and negative ERE cases (DR) for the**  
 460 **different Spanish river basins during the Early 19th Century (1790-1830). A list of the full names of the basin**  
 461 **codes can be found in Figure 1. Elaboration from AMARNA database.**

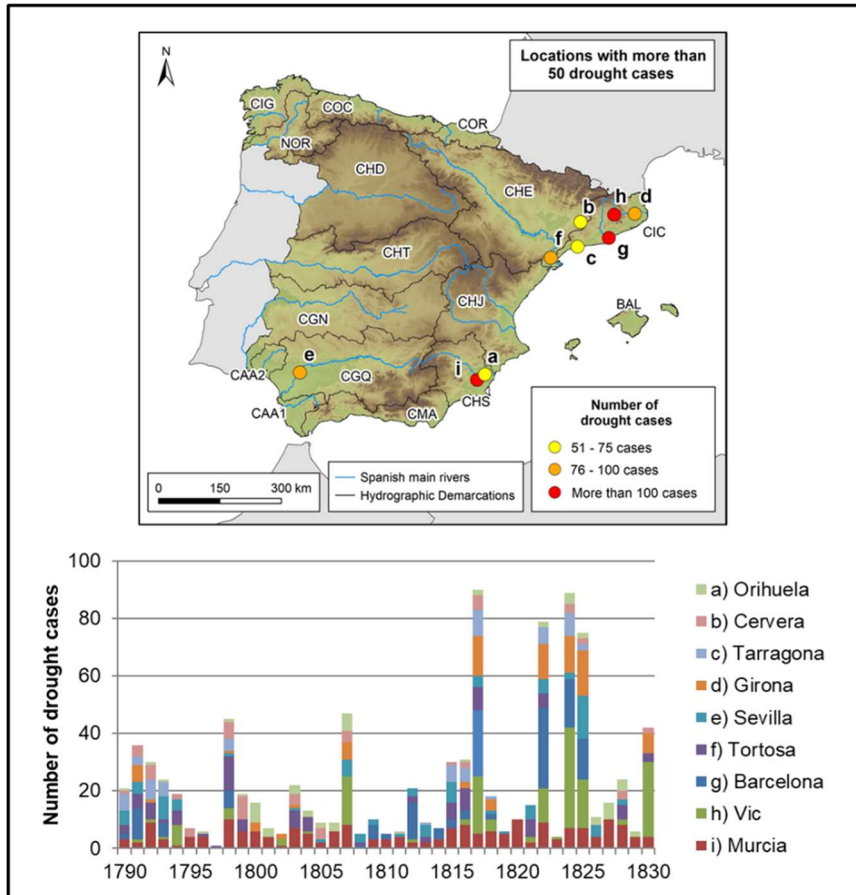


Figure 4: Towns with more than 50 cases of drought during the Early 19th Century. Elaboration from AMARNA database.

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### 465 3.2. Drought analysis of the Early 19th Century on the Spanish Mediterranean Basin

466 Table 3 shows historical data from the most severe drought episodes of the Early 19th Century  
467 based on all the cases from all the Spanish towns that record rogation ceremonies for each drought  
468 episode. In this regard, it will be possible to consider the different nuances that appear in the most  
469 representative droughts of the analysed period.

Episode	Year of greatest impact (N° Cases)	Approximate duration	Total cases
1798 -1799	1798 (58)	25 months	78 cases
1807 -1808	1807 (49)	19 months	55 cases
1812 -1814	1812 (24)	21 months	44 cases
1816 -1818	1817 (122)	37 months	175 cases
1822 -1825	1824 (97)	40 months	279 cases

470 Table 3: Summary of the severe drought episodes according to historical data for the Early 19th Century.  
471 Elaboration from AMARNA database.

472 The first of these episodes runs from December 1797 to December 1799, with the peak of  
473 intensity in March and April 1798. This episode stands out as it occurred several years before the  
474 megadrought of 1812-1825 and was possibly an episode still linked to Maldà Oscillation (Barriendos &  
475 Llasat, 2003). It affected five hydrographic basins (Catalan basins, Ebro, Segura, Tagus and  
476 Guadalquivir), three of which are Mediterranean (Figure 5). Despite its considerable extension, this  
477 episode had a limited duration, with only a few months of rogations. The exception is the municipality of

478 Murcia, where rogations were recorded for 10 of the 25 months that the episode lasted. Furthermore, this  
479 episode was noteworthy in this town due to plague outbreaks (Zamora Pastor, 2001).

480 The second episode of severe drought occurred between January 1807 and July 1808 (Figure 5),  
481 with the largest number of cities holding rogations in October 1807. It affected six river basins (Catalan  
482 basins, Ebro, Balearic basins, Segura, Duero and Guadalquivir), four of which are Mediterranean. Its  
483 main characteristic is that it had a greater impact on towns in the southern sector of the Atlantic and  
484 Mediterranean watersheds of the Peninsula, such as Murcia and Seville.

485 The third episode accumulated less cases of drought but marked the beginning of the  
486 megadrought that lasted until 1825, with different regional effects throughout the sequence. It occurred  
487 between March 1812 and April 1814 with the peak of greatest severity in April 1812 (Figure 5). Despite  
488 the low number of rogations recorded (44), significant effects on crops were documented, causing wheat  
489 shortages and widespread famine in the Mediterranean basins. It had a broad impact across the Iberian  
490 Peninsula, affecting eight river basins (Catalan basins, Ebro, Balearic basins, Júcar, Segura, Duero, Tagus  
491 and Guadalquivir), three of which are in the Atlantic watershed.

492 The fourth episode runs between December 1815 and November 1818 (Figure 5) and stands out  
493 for the impact of the drought during 1817, which was very severe in Catalonia with instrumental records  
494 in Barcelona that were unprecedented until then (Moruno, 2021). In this episode, there was an  
495 exceptionally dry month (April 1817) in which fourteen of the twenty municipalities recorded *pro pluvia*  
496 rogations. This drought affected eight very broadly distributed river basins: four Mediterranean basins  
497 (Catalan basins, Ebro, Balearic basins and Segura) and four Atlantic basins (Galician basins, Duero,  
498 Tagus and Guadalquivir). Rogations were made during this drought for many months, particularly in the  
499 cities of Murcia and Girona with 12 and 11 months, respectively.



## Distribution of *pro pluvial* rogations

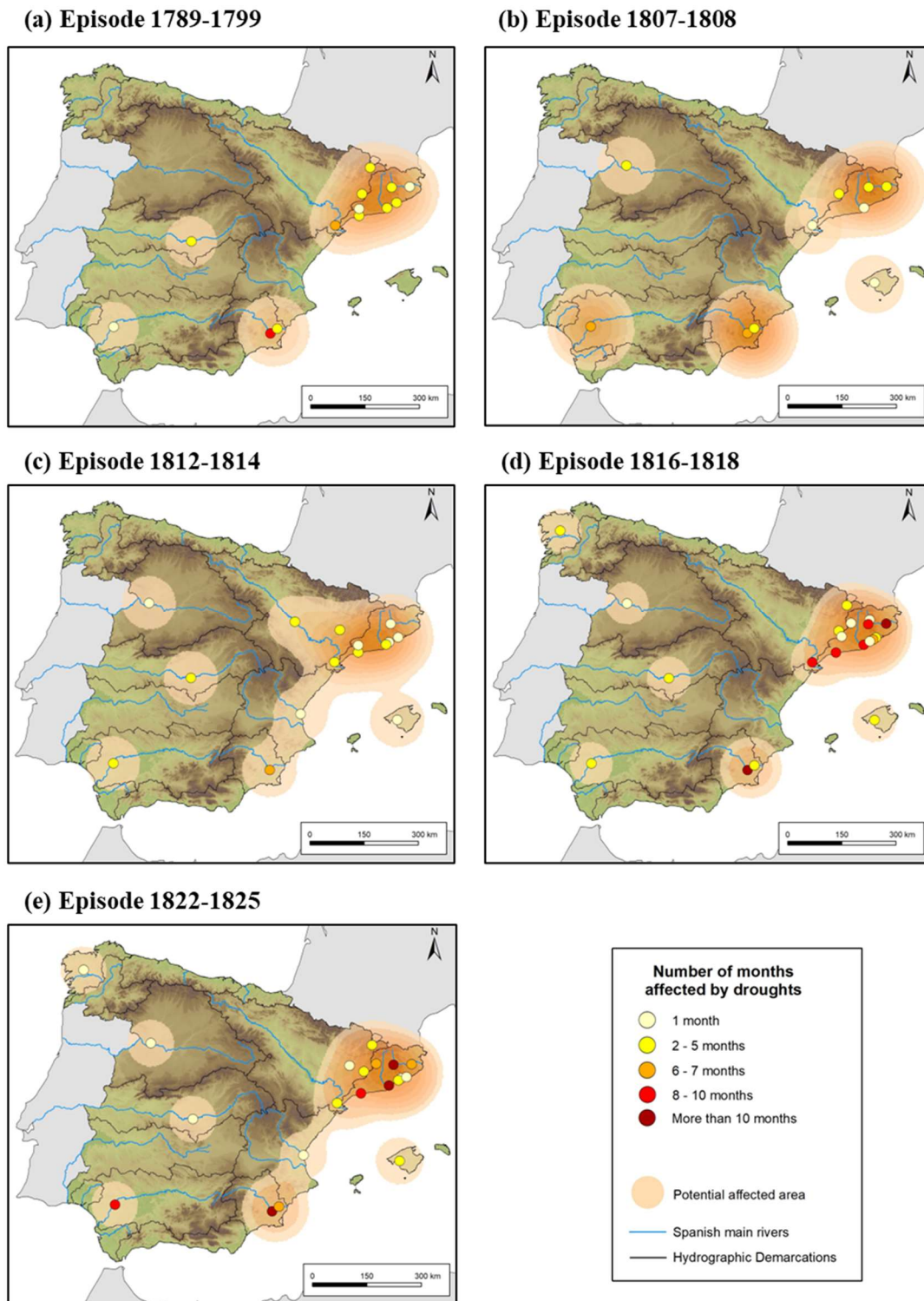


Figure 5: Distribution of *pro pluvial* rogations by municipality. (a) Drought episode of 1798-1799. (b) Drought episode of 1807-1808. (c) Drought episode of 1812-1814. (d) Drought episode of 1816-1818. (e) Drought episode of 1822-1825. Elaboration from AMARNA database using Arc Map GIS Software, applying Kernel Density Tool.

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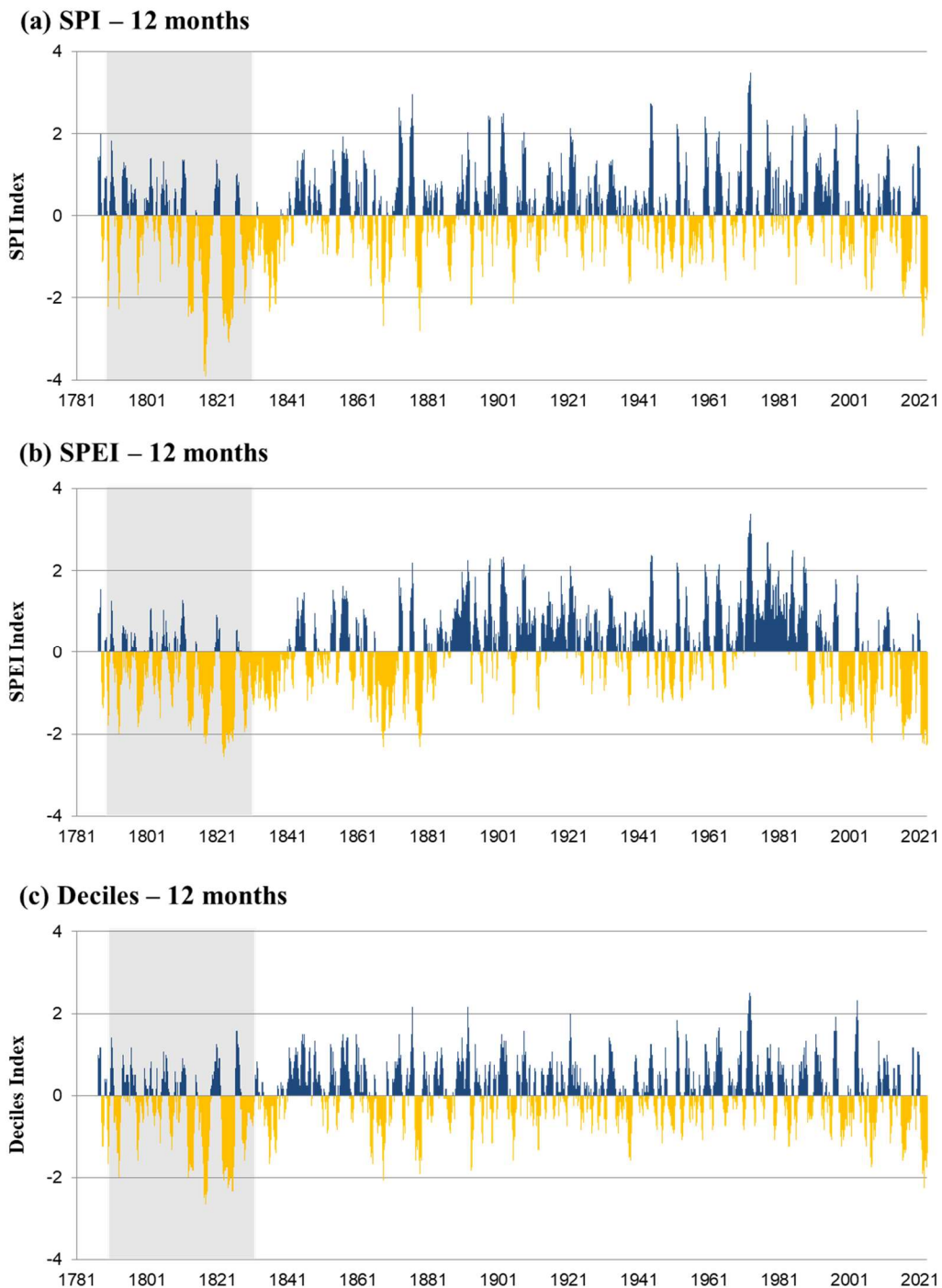
506           The last episode took place between January 1822 and January 1826 (Figure 5), although the  
507 year 1823 recorded a low number of rogations. This drought is noteworthy for being the longest and most  
508 persistent of the Early 19th Century (40 months). Three different peaks of severity can be observed:  
509 March 1822, April-May 1824 and February 1825. This drought affected eight very broadly distributed  
510 river basins: four Mediterranean basins (Catalan basins, Ebro, Balearic basins and Segura) and four  
511 Atlantic basins (Galician basins, Duero, Tagus and Guadalquivir). Also significant was the large  
512 accumulation of rogations carried out each month in the towns affected. For example, the town of Vic  
513 recorded twenty months of rogations, Murcia seventeen months and Barcelona, fifteen. This episode was  
514 accompanied by price increases of wheat and the emergence of a locust plague which affected different  
515 towns (Azcarate, 1996).

### 516 **3.3. Analysis of the instrumental precipitation data series of Barcelona (1786-2022)**

517           The analysis of the instrumental precipitation data series of Barcelona (1786-2022) was  
518 developed using three different drought indices (SPI, SPEI and Deciles) (Figure 6). The three drought  
519 indices reported a significant number of extreme drought events, both in severity and duration, during the  
520 Early 19th Century. A dry period between 1812 and 1825 stands out for its significant severity and  
521 duration. The three drought records also show values of relative abundant rainfall from the end of the  
522 19th Century until the end of the 20th Century. The beginning of the 21st Century reveals an upturn in the  
523 severity and duration of drought episodes with respect to the 20th Century. This dry period that continues  
524 to the present day appears to be less intense to those of the Early 19th Century, but may eventually  
525 become of similar duration and severity.

526           The SPI, in comparison with the behaviour of the other two indices, highlights more clearly the  
527 peaks of greater severity, both positive and negative (Figure 6). In this regard, 1817 stands out as the  
528 driest year in the precipitation data series, with months of maximum severity reaching values close to -4  
529 (-3.91 in the month of August) (Table 5). If we look at the results of this index, it becomes clear that after  
530 the Early 19th Century, during the 1830s, the years in drought conditions were prolonged, ending around  
531 1840. From the mid-19th Century, a new phase began with a low presence of prolonged dry periods until  
532 the end of the 20th Century. In the 21st Century, severe drought values can be observed again. For  
533 example, in 2021, a negative value of the SPI of close to -3 was recorded for the first time since the Early  
534 19th Century. The SPEI shows a different result to the other two indices as it combines rainfall and  
535 temperature values. In this respect, it is noteworthy that the most severe year of the series, according to  
536 the SPEI was not 1817 but 1822. It is possible that the negative thermal effect of the Tambora eruption  
537 (1815) was still significant in 1817, resulting in 1822 having a higher temperature and, consequently, a  
538 lower SPEI value. The 1870-1890 drought episode, which does not stand out so much in the other two  
539 indices, is also perceived as severe. With regard to the 20th Century, SPEI shows a phase of positive  
540 values that lasted twenty years from the 1970s to the 1990s with almost not a single month with negative  
541 values. In contrast, for the beginning of the 21st Century there are hardly any years with such positive  
542 values (Figure 6). Undoubtedly, the recent thermal warming increases the intensity of negative SPEI  
543 values and presents increased problems for water management.

544 The behaviour of the Deciles index is very similar to that obtained with the SPI index. This index  
545 softens the extreme positive and negative behaviours. Thus, the interpretation of rainfall abnormalities  
546 does not help, with only the most evident episodes being highlighted.



547 **Figure 6: Monthly values of the SPI, SPEI and Deciles indices for the Instrumental precipitation data series of**  
548 **Barcelona (1786-2022). The study period has been shaded in grey. Elaboration with the data obtained from**  
549 **Prohom et al., 2016.**  
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551 The results obtained with the Pettitt Test are very similar for the SPI and Decile index values,  
552 although there are differences with respect to the SPEI index (Table 4). The main difference is the  
553 position of the first breakpoint which, for the case of the SPI and Deciles, occurred right at the end of the  
554 Early 19th Century, in the 1840s. On the other hand, for the SPEI index, this first breakpoint occurred at

555 the end of the nineteenth century, when a strong dry period ended that had lasted from 1860 to 1880 and  
 556 is much more important in this index than in the other two analysed. With respect to the breakpoint that  
 557 marks the end of the wet period of the twentieth century, the SPI and Deciles indices coincide with the  
 558 same period, at the end of 1997. Meanwhile, the SPEI marks it at the end of the 1980s, after the wet phase  
 559 of the 1970s and 1980s. From this point, the three indices go back to indicating negative averages for  
 560 their respective series (Table 4).

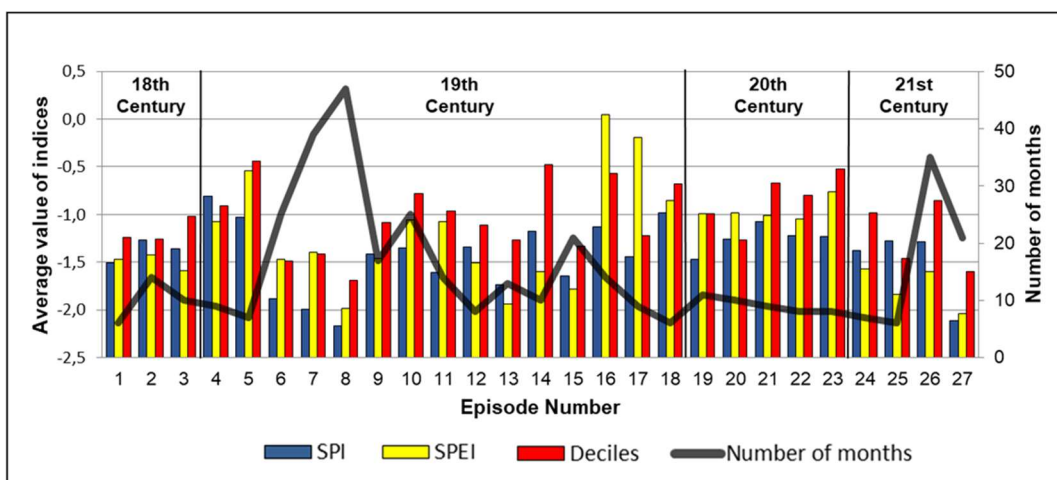
Monthly data series	1st section's average	1st Break. Point	2nd section's average	2nd Break. Point	3rd section's average
<b>SPI</b>	- 0.48 (669 m: 56 yr)	OCT 1842	0.21 (1862 m: 155 yr)	DEC 1997	-0.22 (301 m: 25 yr)
<b>SPEI</b>	- 0.43 (1157 m: 96 yr)	JUN 1883	0.56 (1266 m: 105 yr)	DEC 1988	-0.52 (409 m: 34 yr)
<b>Deciles</b>	- 0.34 (643 m: 54 yr)	AUG 1840	0.15 (1886 m: 157 yr)	OCT 1997	-0.25 (303 m: 25 yr)

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**Table 4: Results of the breakpoint analysis carried out by means of the Pettitt Test on the three drought indices used in this study (SPI, SPEI and Deciles). The table describes the date (month and year) on which the first and second breakpoints occur. There are also three more columns indicating the average of the index values between breakpoints, the number of months and the number of years.**  
 Elaboration with the data obtained from Prohom et al., 2016.

567 Based on the values of the three indices, the drought episodes are summarised for the Barcelona  
 568 data series (Table 5). It reveals a greater number of drought episodes recorded in the 19th Century  
 569 compared to the 20th Century, in which droughts were not only scarce but also less severe and shorter  
 570 (Figure 7). This can be confirmed if we consider that in the first twenty years of the 21st Century  
 571 droughts are being more frequent and severe than during the 20th Century.

572 The droughts of the Early 19th Century period (Nr. 2 to 9) stand out due to their extreme  
 573 severity, particularly those in the central part of the period, when not only were the droughts severe but  
 574 also a large number of dry months were concentrated during this time (Table 5, Figure 7). For the rest of  
 575 the drought episodes of the series, we can observe that the majority had shorter duration (Figure 7).  
 576 According to the average value of indices and the number of dry months only three noteworthy drought  
 577 episodes are outside of the Early 19th Century: 1877-1879 (Nr. 15), 2015-2018 (Nr. 26) and 2021-2022  
 578 (Nr. 27).



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**Figure 7: Representation of the mean values of the indices and the duration in months of the drought episodes described in Table 5. Elaboration with the data obtained from Prohom et al., 2016.**

Episode Num.	Date		Month Num. *	Averages of index values for each episode			Minimum values of the episodes **			
	Onset	Ending		SPI	SPEI	Dec.	SPI	SPEI	Dec.	Month
1	1789/09	1790/02	6	-1.51	-1.47	-1.24	-2.22	-1.79	-1.67	11/1789
2	1792/05	1793/06	14	-1.27	-1.42	-1.26	-2.29	-2.00	-2.00	01/1793
3	1798/03	1798/12	10	-1.36	-1.59	-1.02	-1.94	-1.76	-1.58	05/1798
4***	1807/09	1808/05	9	-0.81	-1.07	-0.91	-1.19	-1.31	-1.33	01/1808
5	1809/10	1810/04	7	-1.03	-0.54	-0.44	-1.25	-0.74	-0.67	12/1809
6	1812/05	1814/05	25	-1.88	-1.47	-1.49	-2.46	-1.82	-2.00	10/1812
7	1815/09	1818/11	39	-1.99	-1.40	-1.41	-3.91	-2.24	-2.67	08/1817
8	1822/01	1825/11	47	-2.17	-1.98	-1.69	-3.10	-2.22	-2.17	01/1824
9	1828/01	1829/05	17	-1.41	-1.46	-1.08	-2.14	-1.95	-1.58	10/1828
10	1834/04	1836/04	25	-1.35	-1.06	-0.78	-2.35	-1.44	-1.67	11/1835
11	1836/11	1837/12	14	-1.61	-1.07	-0.96	-2.17	-1.46	-1.42	08/1837
12	1864/04	1864/11	8	-1.34	-1.51	-1.11	-1.71	-1.72	-1.50	09/1864
13	1867/10	1868/10	13	-1.74	-1.94	-1.27	-2.69	-2.32	-2.08	03/1868
14	1869/10	1870/07	10	-1.18	-1.60	-0.48	-1.62	-1.86	-0.75	11/1869
15	1877/05	1879/01	21	-1.64	-1.78	-1.33	-2.80	-2.31	-1.92	08/1978
16	1886/07	1887/08	14	-1.13	0.05	-0.57	-1.60	-0.16	-0.92	03/1887
17	1893/02	1893/10	9	-1.44	-0.19	-1.22	-2.19	-0.79	-1.83	04/1893
18***	1896/02	1896/07	6	-0.98	-0.85	-0.68	-1.49	-1.22	-1.17	06/1896
19	1904/12	1905/10	11	-1.47	-0.99	-0.99	-2.15	-1.53	-1.58	04/1905
20	1937/11	1938/08	10	-1.26	-0.98	-1.27	-1.65	1.32	-1.50	03/1938
21	1947/05	1948/01	9	-1.07	-1.01	-0.67	-1.40	-1.23	-0.83	10/1947
22	1952/10	1953/05	8	-1.22	-1.05	-0.8	-1.49	-1.20	-1.00	03/1953
23	1965/02	1965/09	8	-1.23	-0.76	-0.52	-1.58	-0.88	-0.75	09/1965
24	2005/03	2005/09	7	-1.38	-1.57	-0.98	-1.79	-1.86	-1.25	07/2005
25	2006/11	2007/04	6	-1.28	-1.84	-1.46	-1.84	-2.15	-1.75	01/2007
26	2015/09	2018/07	35	-1.29	-1.60	-0.85	-2.00	-2.15	-1.50	03/2016
27	2021/04	2022/12	21	-2.11	-2.04	-1.6	-2.92	-2.22	-1.92	09/2021

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**Table 5: Drought episodes in the instrumental precipitation data series of Barcelona (1786-2022).**  
 \*Number of months determined by the following criteria: Episodes must have at least 5 months below “-1” value of SPI Index. The count of months will start and finish with the values below “-0.70”.  
 \*\*The month with the lowest value of each episode corresponds to the SPI index. Elaboration with the data obtained from Prohom et al., 2016.  
 \*\*\*The episodes of 1807-1808 and 1896 have less than 5 months below -1 but their importance makes it interesting to mention them.

590 **4. DISCUSSION**

591 The comparison between the results obtained from the historical data and the instrumental data  
 592 series is part of the main objective of this study. This comparison makes it possible to contrast the  
 593 reliability of the methods used and to assess the consistency of the results obtained.

594 The combination of different *proxy* data expands the knowledge on the extreme  
 595 hydrometeorological events, whether they be excesses or deficits, occurring in the past. In this case, the  
 596 historical data and the instrumental data series of Barcelona have allowed us to analyse one of the driest  
 597 known periods in the study area (Table 6). The comparison of the standardised values of the historical  
 598 series with the instrumental indices enables us to observe the synchrony between the historical *proxy* and  
 599 the instrumental data (Figure 8). The coincidence of the duration of the episodes from the historical data  
 600 and instrumental series is noteworthy. The only episode for which the durations are different is that of  
 601 1807, attributable to the fact that it mainly affected and for longer the southern regions of the Iberian

602 Peninsula. In terms of the severity of the episodes, the coincidence between the two series of data is also  
 603 noteworthy, with the episodes with most documented cases coinciding with those with a lower SPI index.  
 604 The only episode that does not follow this pattern is that of 1812, in which the number of negative ERE  
 605 cases is relatively low. But, on the other hand, according to the SPI, it is the episode with the third lowest  
 606 mean of the Early 19th Century (1790-1830). The use of elements related to the social vulnerability to  
 607 drought and extending the length of the data collection in different locations would help to resolve these  
 608 specific uncertainties and constitute lines of research to be developed in the future.

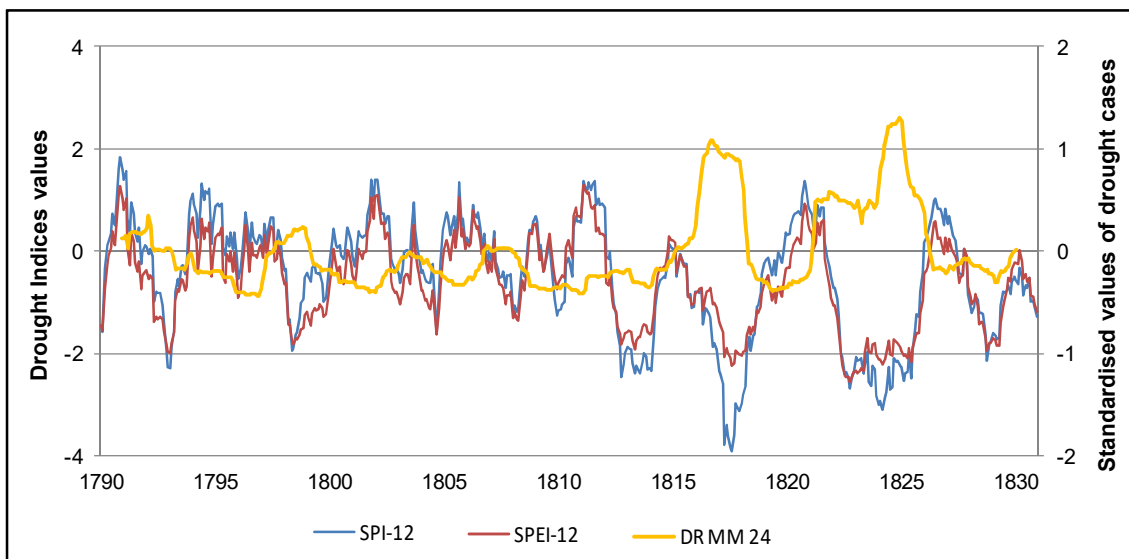
Episod. Num.	Date according to historical data		Month Num. Hist. Data	ERE DR Num. cases	Date according to instrumental data		Month Num. Inst. Data	SPI episode average
	Onset	Ending			Onset	Ending		
3	1797/12	1799/12	25	78	1798/03	1798/12	10	-1.36
4	1807/01	1808/07	19	55	1807/09	1808/05	9	-0.81
6	1812/03	1814/04	26	44	1812/05	1814/05	25	-1.88
7	1815/12	1818/11	36	175	1815/09	1818/11	39	-1.99
8	1822/01	1826/01	49	279	1822/01	1825/11	47	-2.17

609 **Table 6: Characteristics of the five principal drought episodes of the Early 19th Century (1790-1830)**  
 610 **according to historical data and instrumental series. Elaboration with the data obtained from Prohom et al.,**  
 611 **2016 and the data from the AMARNA database.**

612 Figure 8 shows the coincidence of the droughts according to the historical data (positive values)  
 613 with the negative oscillations shown by the SPI and SPEI indices. The overlapping of this information  
 614 highlights the importance of the droughts in the final part of the Early 19th Century, specifically between  
 615 1815 and 1825, although the instrumental data indicate that this period could have started in 1812. For  
 616 this reason, it is desirable to analyse in more detail the three drought episodes in which there is a high  
 617 degree of alignment between the instrumental data the historical proxy data:

- 618 - That of 1798 stands out as it forms part of the rainfall irregularity typical of the Maldà  
 619 Oscillation (Barriendos & Llasat, 2003). This drought occurred between two phases of  
 620 intense rainfall. The alternation of floods or heavy rains with droughts is typical in areas  
 621 with Mediterranean climate. Despite this fact, this is the only drought of the Early 19th  
 622 Century which precedes and is preceded by flood or heavy rains episodes in the Northeast  
 623 Iberian Peninsula.
- 624 - The drought of 1817 was different as it was the most severe according to the SPI index and  
 625 was the year during which the most drought cases were recorded in the whole of the Early  
 626 19th Century (120). Despite this strong impact, mainly corresponding to the first half of  
 627 1817, the episode was not as long as that of 1822-1825 and, for this reason, according to the  
 628 SPEI index, it was less severe than this latter episode.
- 629 - That of 1822-1825 stands out for its duration of around 40 months according to the  
 630 rogations and 46 months according to the instrumental series. This not only makes it the  
 631 longest episode of the Early 19th Century but also of the whole of the precipitation series of  
 632 Barcelona (Table 6). Moreover, this episode is the one with the highest severity, both in  
 633 terms of the accumulation of drought cases (279) and in terms of the SPI average for the  
 634 episode as a whole. It is also worth mentioning that according to the SPEI index, this  
 635 episode is the most severe of the entire Barcelona rainfall data series.

636 Based on the standardised data series of the number of droughts for the Early 19th Century, the  
637 correlation coefficient has been calculated with the values of the different drought indices, with which the  
638 precipitation sets of Barcelona have been analysed (Table 7). To correlate our drought index with the SPI,  
639 SPEI and Deciles values, we performed different correlation tests with RStudio software (Posit team,  
640 2024), taking into account the normality or non-normality of the data. In this regard, the results of the  
641 Shapiro-Wilk test show that the SPI and Deciles series do not deviate significantly from normality ( $p$ -  
642 value > 0.05). However, the SPEI series and our drought index, show significant deviations from  
643 normality ( $p < 0.05$ ). Given these results, we opted to apply different correlation methods: Pearson's  
644 correlation for normally distributed data, Spearman's and Kendall's correlations for data that did not meet  
645 the assumption of normality. Correlation analyses show moderate to weak negative correlations in all  
646 cases. In Pearson's correlation, correlation coefficients range from -0.59 to -0.65, with coefficients of  
647 determination ( $R^2$ ) indicating that between 35% and 42% of the variability in the drought indices can be  
648 explained by our index. Spearman and Kendall correlations, which do not assume normality of the data,  
649 show lower coefficients, suggesting weaker correlations, with  $R^2$  values ranging between 0.08 and 0.22.  
650 However, given the specific nature and context of our index, it can be considered a suitable proxy for  
651 drought, especially when used in combination with other indices and methods of analysis.



652  
653 **Figure 8: Comparison of the results of the drought indices (SPI and SPEI) and the two-year moving average of**  
654 **the standardised monthly values of the drought cases (DR). Elaboration with the data obtained from Prohom**  
655 **et al., 2016 and the data from the AMARNA database.**

Index	Pearson correlation		Spearman correlation		Kendall correlation	
	Correlation coefficient (R)	Coefficient of determination ( $R^2$ )	Correlation coefficient (R)	Coefficient of determination ( $R^2$ )	Correlation coefficient (R)	Coefficient of determination ( $R^2$ )
SPI	-0.62	0.38	-0.39	0.15	-0.28	0.08
SPEI	-0.59	0.59	-0.44	0.19	-0.31	0.1
Deciles	-0.65	0.42	-0.47	0.22	-0.35	0.12

656 **Table 7: Pearson, Spearman and Kendall correlation and determination coefficients of drought index values**  
657 **and historical data.**

658 The study of extreme drought episodes in the past is important for understanding the pattern of  
659 low frequency episodes and for addressing the droughts occurring in the context of climate change, which  
660 have erratic behaviour according to the most recent models (IPCC, 2023). Furthermore, the knowledge  
661 generated for the study over a long period of time also enables us to better understand the vulnerability of  
662 society in different historical contexts and the way in which it has adapted over time to droughts.

663 Different studies carried out on droughts for the whole of the Mediterranean region for long time  
664 periods (Marcos-Garcia et al., 2017; Xoplaki et al., 2018; Kim & Raible, 2021) reveal that it is one of the  
665 most vulnerable regions to this natural risk within the context of global warming. Taking into account the  
666 results of this study, the importance of droughts in the Mediterranean region are underlined. Given its  
667 importance in the current context, it is necessary to analyse droughts with the support of different drought  
668 indices, as well as other climatic indicators to determine their severity (Kim & Raible, 2021). The  
669 availability of early instrumental data series is highly important to find a wider range of drought severities  
670 and typologies than those found only by analysing the 20th Century. This relationship is evidenced in the  
671 research carried out by Erfurt et al., (2020) that combines historical instrumental data with  
672 dendrochronological records to analyse the period of the beginning of the 19th Century in south-east  
673 Germany. With respect to the use of dendrochronological data to analyse the droughts and megadroughts  
674 of the past, the Old-World Drought Atlas is also worth mentioning (Cook et al., 2015). This publication  
675 includes a severe drought that occurred at the beginning of the 19th Century, between the Little Ice Age  
676 and the Modern Climate period.

677 Other authors, particularly in the study of the Iberian Peninsula, have used historical data for  
678 classifying droughts in the period at the beginning of the nineteenth century (Domínguez-Castro et al.,  
679 2012; Gil-Guirado et al., 2019; Gil-Guirado & Pérez-Morales, 2019). It is worth highlighting the article  
680 by Domínguez-Castro et al., 2012, in which the historical data is combined with instrumental data to  
681 characterise the droughts of the period analysed in Spain. In this case, the same dry periods of great  
682 intensity are detected (1817 and 1824) by both the historical and the instrumental data series. The authors  
683 conclude that the relationship between these droughts and external forcing factors is clear, but more  
684 research is also required to confirm it.

685 Furthermore, the modelling used by (Kim & Raible, 2021) does not show any extraordinary  
686 occurrence of droughts for the Mediterranean region as a whole during the Early 19th Century. Neither do  
687 these authors relate rainfall patterns with that of those volcanic eruptions emitting more particles into the  
688 lower stratosphere, such as Tambora. According to their study, droughts occurring in the Mediterranean  
689 are due mainly to the internal dynamics of the climate system and not to external forcing factors (inter-  
690 tropical volcanic eruptions and solar radiation variations) (Kim & Raible, 2021). The same conclusion has  
691 been obtained for the Eastern Mediterranean region, although for another period than the one studied in  
692 this research (Xoplaki et al., 2018). For these reasons, it may be concluded that the relationship between  
693 the external forcing factors can lead to different rainfall pattern depending on the region in which specific  
694 conditions prevail.

695 In the case of the Iberian Peninsula, the combination of inter-tropical volcanic eruptions with  
696 positive phases of the North Atlantic Oscillation during the first two years after the eruption could result  
697 in dry periods for the Iberian Peninsula and in wet phases for Central Europe (Domínguez-Castro et al.,



698 2012). To that, the lack of droughts detected in south-east Germany during the Early 19th Century could  
699 reinforce this hypothesis (Erfurt et al., 2020). In this study of droughts for south-east Germany, despite  
700 the lack of droughts in the Early 19th Century, there were temporal coincidences with other severe  
701 drought episodes, such as those occurring at the end of the 19th Century (between 1857 and 1870) and at  
702 the beginning of the 21st Century (2003 to 2018) (Erfurt et al., 2020). This period coincides with two of  
703 the most severe episodes of this century according to the records of the instrumental precipitation data  
704 series of Barcelona: the drought of 2007-2008 and that of 2015-2018 (see Table 5).

## 705 5. CONCLUSIONS

706 The results obtained with this broad time-scale research contribute to a better understanding of  
707 drought episodes occurring in the early 21st Century in the study area. Data collection and extension of  
708 databases, allows a substantial improvement of knowledge about drought patterns in the study area.

709 This study has found a time period in which there is an accumulation of particularly severe  
710 drought episodes (1812-1825). This period coincides chronologically with Dalton Solar Minimum and an  
711 anomaly in volcanic activity (eruptions of Tambora and other volcanoes mentioned). Obviously, the  
712 chronological coincidence does not presuppose any cause-effect relationship between the anomalies in  
713 solar and volcanic activity and the pluviometric anomalies under study.

714 One of the main results achieved in this research is the high negative correlation between the  
715 drought historical data and the instrumental precipitation data sets of Barcelona. This correlation validates  
716 the historical information for the study of climate droughts in historical perspective. Despite their  
717 different origins and methodologies, these two data sources have shown that they can provide information  
718 that is comparable, enabling the reinforcement of the importance of the episode recorded, either floods or  
719 droughts.

720 The combined use of instrumental and historical sources shows changes in rainfall variability in  
721 specific periods, alternating between periods of heavy rainfall and drought. Accordingly, in the Spanish  
722 Mediterranean basins during the Early 19th Century, between 1810 and 1830, the alternation between  
723 periods of heavy rainfall and drought is revealed. In contrast, rainfall patterns during the preceding  
724 climatic phase of the Maldá Oscillation (1760-1800) were directly opposite to those observed during the  
725 Early 19th Century. Additionally, the analysis of instrumental data shows the similar pattern of severe  
726 droughts between the end of the LIA and the current context of Global Warming. On the other hand, the  
727 20th Century does not show such a pattern of severe droughts.

728 The integration of historical documentary sources with instrumental records for identifying  
729 severe droughts has yielded promising outcomes. This methodology, leveraging documentary evidence,  
730 has been proven viable for periods or regions lacking instrumental data. Building on the success of  
731 merging these two climatic information sources, a prospective research direction for the Early 19th  
732 Century and other significant climatic epochs involves amalgamating historical data with evidence from  
733 other climatic proxies, particularly dendrochronology, alongside instrumental pressure series. Such an  
734 approach would enhance our comprehension of the atmospheric processes at a synoptic scale, elucidating  
735 the mechanisms behind the most severe drought episodes.

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1020

#### 1021 **DATA AVAILABILITY**

1022 The historical drought data used in this paper for the period 1790-1830 are presented in a database file.

#### 1023 **AUTHOR CONTRIBUTION**

1024 Josep Barriendos: Data processing and analysis. Interpretation of the results. Preparation of graphic and  
1025 cartographic material.

1026 María Hernández Hernández: General revision of the texts and advice on the preparation of the materials.

1027 Salvador Gil-Guirado: Methodological approach and advice on the conceptual criteria for defining  
1028 drought.

1029 Jorge Olcina Cantos: General review and advice on the conceptual criteria for defining drought.

1030 Mariano Barriendos: Elaboration and organisation of information from historical sources.

1031

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1033 The contact author has declared that none of the authors has any competing interests.

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