



- 1 Assessment of satellite observation-based wildfire emissions inventories using
- 2 TROPOMI data and IFS-COMPO model simulations
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13 Abstract

14 Fires are a key component of the global carbon cycle and humans are changing their 15 characteristics. Fire emission monitoring is important to keep track of those changes and 16 TROPOMI satellite observations of tropospheric nitrogen dioxide, carbon monoxide and the absorbing aerosol index can be used to quantify and verify the accuracy and precision of global 17 18 wildfire emission estimates on a daily basis. Here we use TROPOMI observations to evaluate 19 a new fire emission database based on Global Fire Atlas input for the Sense4Fire project (GFA-20 S4F) and from the Copernicus Atmosphere Monitoring (CAMS) Global Fire Assimilation 21 System (GFAS) for a number of test regions worldwide representative of the most important 22 wildfire type environments. The main focus is on Amazon and Cerrado biomes (tropical rain 23 forests and deforestation) during August-September 2020, but analyses are also made for a 24 region in sub-Saharan Africa (savannah) as well as two regions in Siberia (steppe and boreal 25 forests/tundra). GFA-S4F and GFAS fire emissions are used as input for global atmospheric composition model simulations based on IFS-COMPO, i.e. an extension of ECMWF's 26 27 Integrated Forecasting System (IFS) for simulating atmospheric composition. Comparing the model output with the TROPOMI observations then provides an indirect check on the realism 28 of these emission estimates. Furthermore, for tropospheric nitrogen dioxide the IFS-COMPO 29 30 model simulations are also used to estimate the model sensitivity of tropospheric nitrogen dioxide columns with respect to fire emission changes. This local relationship is used to 31 optimize the fire NO_x emissions directly using the TROPOMI nitrogen dioxide observations. 32

The results reveal that for small fires emission nitrogen dioxide estimates are realistic on average albeit with a large spread, *i.e.* for individual fires emissions can be significantly under or overestimated regardless of emission database. However, for large fires nitrogen dioxide emissions are systematically and largely overestimated in all four regions. The overestimation can be an order of magnitude or even more. For area total nitrogen dioxide emissions this "large





38	fire bias" is of minor importance, <i>i.e.</i> total nitrogen dioxide emissions are dominated by small
39	fires. The GFA-S4F emission estimates were characterized by a larger positive bias for large
40	fire NO ₂ emission cases compared to GFAS. The source of this bias is not well understood.
41	With optimized NO ₂ emissions by direct adjustment of emission using TROPOMI nitrogen
42	dioxide observations the large positive bias can efficiently be resolved. Combined with an
43	update of soil NO _x emissions – causing too low background NO _x levels – a fairly good
44	agreement between IFS-COMPO and TROPOMI was reached.

45 Carbon monoxide was generally underestimated using GFAS emission (~50% on average
46 for the selected regions). Updating carbon monoxide emissions over the Amazon region by
47 incorporating more Sentinel satellite data (GFA-S4F) did reduce this fire CO bias significantly
48 (to ~25% on average).

Overall, the results show that TROPOMI data allows for systematically identifying uncertainties and errors in satellite-data based fire emissions. The results also suggest that the use of dynamic emission factors may further improve satellite based global emissions inventories. In addition, the results also highlight that the use of TROPOMI data could be much more detailed and refined towards assessing individual fires on a daily basis for better understanding fire dynamics and to improve and diversify fire emission factors.





55 1. Introduction

Disturbance of vegetation by fire - anthropogenic or natural - is a major contributor to the 56 57 amount of carbon (as carbon dioxide or methane) present in the atmosphere (Lasslop et al., 2019; Bowman et al., 2020; McLauchan et al., 2020). Vegetations fires are also important for 58 the natural cycle of vegetation growth in many parts of the world and burning vegetation is a 59 practice also used by humans in farming. The associated time scales can vary from several 60 61 weeks to hundreds of years depending on vegetation type and speed of regrowth. Wildfire 62 extremes and associated smoke can be disrupting to livelihoods as for example in Australia 2019 (Boer et al., 2020; Filkov et al., 2020) or the US West Coast 2020 (Higuera and 63 64 Abatzoglou, 2021).

65 Satellite sensors can provide a number of key pieces of information to characterize vegetation fires (Chuvieco et al., 2019, 2020; Wooster et al., 2021). These include detection of 66 thermal anomalies indicative of active fires, the energy released (fire radiative power or FRP), 67 the loss of vegetation expressed as a change in surface reflectance indicative of burnt area and 68 fire severity, or biomass loss, and observations of aerosols or atmospheric trace gases directly 69 associated to, and traceable back to, fire events. Each individual dataset contains valuable fire 70 information in itself but a greater understanding of the role of vegetation fires globally can be 71 72 obtained by combining these datasets into one information system. Although several satellite-73 derived fire emission databases exist, there continues to be a need to develop additional validation methodologies and data products to advance our understanding of satellite-derived 74 estimates of individual fire behavior (Andela et al., 2019, 2022; Andreae, 2019). 75

Earth observation can also help in constraining fire emission estimates. In particular carbon
monoxide (CO) has been used for evaluation of fire emission estimates with various
techniques, including formal emission inversions (Hooghiemstra et al., 2011; Yin et al., 2015),





mass budget analyses (Huijnen et al., 2016), Gaussian plume modelling (Adams et al., 2019) 79 as well as estimating fire CO₂ emissions using carbon monoxide as a proxy (Peiro et al., 2022). 80 81 Likewise aerosol and formaldehyde (HCHO) observations have been used as fire emission 82 proxies (Petrenko et al., 2012; Konovalov et al., 2014; Stavrakou et al., 2015; Bauwens et al., 2016). However, in any of these methods the estimated carbon emissions are subject to 83 84 uncertainties in bottom-up emission estimates due to emission factors, the dynamics of the 85 emission process in the atmosphere and tracer lifetime. Limitations to data quality and the spatio-temporal coverage of satellites further hamper in depth analysis of fire emissions to 86 87 larger regional to continental scales for many studies and trace gases (Alvarado et al., 2011; 88 Mebust et al., 2011, 2013, 2014; Young and Paton-Walsh, 2011; Castellanos et al., 2014; Schreier et al., 2014; Tanimoto et al., 2015; Whitburn et al., 2015; Sitnov and Mokhov, 2017; 89 90 Lee et al., 2019; Adams et al., 2019; Lin et al., 2020).

The launch of the TROPOMI instrument on board of Polar orbiting Sentinel-5p satellite in 91 October 2017, with at that time unprecedented spatial resolution, data accuracy and precision, 92 has opened up a whole new range of possibilities for monitoring and studying fires. Several 93 research papers have been published in recent years exploring the use of TROPOMI CO and 94 95 NO₂ often in conjunction with FRP data from other satellites (Li et al., 2020; Griffin et al., 2021; 2023; Jin et al., 2021; van der Velde et al., 2021; Stockwell et al., 2022; Wan et al., 2023; 96 97 see the Appendix for a brief summary of all these papers). These studies highlight the potential 98 of using TROPOMI data for assessing fire emissions. However, they also all note that their studies are only first exploratory steps using TROPOMI and that more research is needed and 99 100 warranted while approaches could be expanded, extended and refined.

The ESA Sense4Fire project (S4F) explores the suite of the Sentinel satellite instruments
 using a novel synergetic approach to derived global fire emissions based on the characterization





- of individual fires and their behavior, eventually to better constrain total carbon emissions and
 emission factors. Atmospheric chemical composition modelling is used as an interface between
- 105 Sentinel 2 and sentinel 3 based emissions vs. TROPOMI observations.
- 106 The objective of this study is to evaluate daily emission estimates of NO₂ and CO from the 107 Global Fire Atlas (GFA-S4F) and the Global Fire Assimilation System (GFAS) by using them 108 as input for atmospheric chemistry model simulations. The model results are compared with 109 TROPOMI observations of NO₂ and CO to assess the realism of these emission estimates. The 110 method described above is an indirect validation method in which the atmospheric composition modelling results act as interface between the emission estimates and the TROPOMI data. We 111 therefore also apply an innovative approach for further updating and improving the emission 112 estimates that makes more direct use of TROPOMI observations. 113

114 2 Data and methods

115 2.1 TROPOMI data

The Sentinel-5 precursor satellite, launched on 13 Oct. 2017 in an ascending sun-116 synchronous polar orbit, with an equator crossing at about 13:30 local time, carries the 117 TROPOspheric Monitoring Instrument (TROPOMI; Veefkind et al., 2012). Sentinel-5p is one 118 of the Sentinel satellites of the European Copernicus Program dedicated to monitoring 119 120 atmospheric composition. TROPOMI is a spectrometer that provides measurements in four channels - ultraviolet (UV), visible (VIS), near infrared (NIR) and shortwave infrared (SWIR) 121 - of several atmospheric trace gases including NO₂ and CO and of cloud and aerosol 122 123 properties. The TROPOMI instrument is unique in several ways because it combines near-daily global coverage with a wide spectral range, UV/VIS/NIR foot prints of 3.5×5.5 km² at nadir 124





- 125 ($3.5 \times 7 \text{ km}^2$ before 6 August 2019), SWIR footprints of $5.5 \times 7 \text{ km}^2$ at nadir ($7 \times 7 \text{ km}^2$ before 6
- 126 August 2019) and a very large signal-to-noise ratio.
- 127 **2.1.1 Tropospheric nitrogen dioxide (NO₂)**

In this paper we use the TROPOMI NO₂ offline data from data processor version 2.3.1 and algorithm version 1.5.0. The operational TROPOMI NO₂ product is described in van Geffen et al. (2020). Detailed information can be found in the Product README File (PRF; Eskes and Eichmann, 2021), the Product User Manual (PUM; Eskes et al., 2022) and the Algorithm Theoretical Basis Document (ATBD; van Geffen et al., 2021).

133 Validation of TROPOMI tropospheric NO₂ columns for the biomass burning regions that 134 S4F focuses on is missing due lack of ground-based stations in those areas. The general validation results for comparison with ground-based data (Verhoelst et al., 2021; Lambert et 135 al., 2023) indicate a negative bias for the tropospheric column data with a median value of 28% 136 137 with a range of 13% for rather clean locations to 40% over extremely polluted sites. The largest differences occur during winter at higher latitudes (van Geffen et al., 2022). Note that these 138 139 biases fall (well) within the mission requirement of less than 50% bias. On the other hand, 140 given the lack of validation sites in the areas of interest of this paper – and in particular in the 141 tropical rain forest Amazon region and the south-of-the-equator African Savannah region it is unclear how large TROPOMI tropospheric NO₂ columns biases are in those regions and 142 143 whether the validation would improve with the updated algorithm.

144 2.1.2 Carbon Monoxide (CO)

The TROPOMI CO total column retrieval algorithm derives data in the 2315–2338 nm spectral range of the SWIR part of the solar spectrum and retrieves the CO values for clear-sky conditions over land and low clouds over the ocean (Borsdorff et al., 2014; Landgraf et al.,





- 2016; Schneising et al., 2019). TROPOMI CO measurements are sensitive to the integratedamount of CO along the light path, including the contribution of the planetary boundary layer,
- 150 making them particularly suitable for detecting surface sources of CO.
- The operational TROPOMI CO retrieval deploys a profile scaling approach where a CO 151 reference profile is scaled to fit the TROPOMI reflectance measurements. For this, global, 152 monthly averaged vertical CO a priori profiles are used from the chemical transport model 153 154 TM5 (Krol et al., 2005). The forward calculation of the TROPOMI spectral measurements 155 account for light scattering by clouds and aerosols in the atmosphere and thus simultaneously retrieves trace gas columns and effective parameters describing the cloud contamination of the 156 measurements (height scattering layer, scattering optical thickness) as demonstrated by Vidot 157 158 et al. (2012).

In this paper we use the TROPOMI CO offline data from data processor version 1.3.2 and algorithm version 1.2.0. As recommended in the TROPOMI README file (Landgraf et al., 2022a) and the product user manual (PUM; Apituley et al., 2022), we only use data with quality assurance values (qa_values) larger than 0.5. More details about the algorithm can be found in the Algorithm Theoretical Basis Document (ATBD; Landgraf et al., 2022b) that provides a detailed reanalysis description of the implementation of the CO retrieval.

165 TROPOMI CO validation papers consistently report only small and mostly random biases 166 up to an order of magnitude smaller than the standard deviation of differences when compared 167 to ground-based observations, data and other satellite data. Correlations are generally high, and 168 biases are generally in the order of a few percent or less (Borsdorff et al., 2018, Martínez-169 Alonso et al., 2020; Sha et al., 2021; Lambert et al., 2023). The differences fall well within the 170 TROPOMI mission requirements on accuracy (<15 %) and precision (<10 %) in CO total 171 columns. The data does suffer from striping issues and instrument effects in the area of the





- South Atlantic Anomaly (SAA). For CO there is one validation site in the S4F Amazon area of
 interest: Porto Velho. Validation results for Porto Velho reveal an excellent correlation (0.96)
- and small bias (0.51%) in total CO columns of the offline data product (Lambert et al., 2023).
- 175 2.1.3 Absorbing Aerosol Index (AAI)

176 The AAI is a well-established satellite data product that has been produced for several 177 different satellite instruments spanning a period of more than 30 years. The AAI was first 178 calculated as a correction for the presence of aerosols in column ozone measurements made by 179 the TOMS instruments (Herman et al., 1997; Torres et al., 1998) because it was observed that ozone values were too high in typical regions of aerosol emission and transport. The AAI is 180 181 based on spectral contrast in the ultraviolet spectral range for a given wavelength pair, where 182 the difference between the observed reflectance and the modeled clear-sky reflectance results in a residual value. When this residual is positive, it indicates the presence of UV-absorbing 183 aerosols, like dust, smoke, or volcanic ash. Clouds yield near-zero residual values, and negative 184 residual values can be indicative of the presence of non-absorbing aerosols (e.g., sulfate), as 185 shown by sensitivity studies of the AAI (de Graaf et al., 2005; Penning de Vries et al., 2009). 186 Unlike satellite-based aerosol optical thickness measurements, the AAI can also be calculated 187 in the presence of clouds so that daily global coverage is possible. This is ideal for tracking the 188 evolution of episodic aerosol plumes from dust outbreaks, volcanic eruptions, and biomass 189 burning. For this study, we use the TROPOMI AAI data for the wavelength pair 340-380 nm. 190 For more details about the TROPOMI AAI retrieval algorithm, see Stein-Zweers (2016). In 191 this paper we use the TROPOMI AAI offline data from data processor version 1.3.2 and 192 193 algorithm version 1.2.0.

194 2.2 Methods





195	We use fire emission data from two emissions inventories based on satellite data: the Global
196	Fire Atlas (GFA; Andela et al., 2017, 2019, 2022) emissions and the Global Fire Assimilation
197	System emissions (GFAS; Kaiser et al., 2012). We further use IFS-COMPO atmospheric
198	chemistry and transport model simulations (Huijnen et al., 2019; Williams et al., 2022),
199	TROPOMI data (Veefkind et al., 2012), and the innovative β -method for updating emissions
200	based on TROPOMI data (Lamsal et al., 2011; Castellanos et al., 2014). We also perform a
201	number of IFS-COMPO model experiments varying emissions or model processes/parameters
202	in order to better understand differences we find between IFS-COMPO and TROPOMI. The
203	particular experiments will be described in more detail later on (Table 2).

204 We perform an analysis in four study regions (see Appendix Fig. A1 and later Table 2) that show a large variation of biomes and fire types. The main focus of this study is the 205 Amazon/Cerrado region; other regions are south-equatorial Africa savannahs, north Siberian 206 boreal forests and tundra, and central Siberian steppes. For the S4F project four 5°×5° areas 207 were selected to limit the high computation demand for calculating satellite data-based 208 emissions. However, given the IFS-COMPO resolution of 0.5°, a daily 5°×5° area would 209 frequently yield too little data for meaningful statistics. Hence why for this study we expanded 210 the coverage of the four regions (see later Table 2) to derive sufficient daily comparison data 211 of IFS-COMPO with TROPOMI data for meaningful statistics. Note that for the 212 Amazon/Cerrado we will refer to both the smaller and larger region, also to accommodate 213 214 future S4F research and publications.

215 2.2.1 Global Fire Atlas - based emissions

The Global Fire Atlas approach tracks individual fire events-based Moderate Resolution
Imaging Spectroradiometer (MODIS) burned area (Andela et al., 2019) or Visible Infrared
Imaging Radiometer Suite (VIIRS) active fire data (Andela et al., 2022). The VIIRS-based





method was developed to fill the need for a near-real-time approach for tracking contributions 219 from deforestation, forest, agricultural, and savanna fires to burned area and carbon emissions. 220 The approach was applied to the Amazon and Cerrado region, defined as the area 25°S-EQ, 221 222 85°W-30°W, for the years 2019 and 2020 although here we only will focus on emissions for August and September 2020. Here we apply emissions factors derived from Andreae et al. 223 224 (2019) to translate carbon emissions to NO_x and CO emissions for each fire type. The following 225 emissions factors (grams trace gas per kg matter burned) were used for grasslands and savanna fires (69.2 and 2.49 g kg⁻¹), small clearing and agricultural fires (102 and 3.11 g kg⁻¹), forest 226 fires (98 and 1.94 g kg⁻¹), and deforestation fires (99 and 4.63 g kg⁻¹) for NO_x (as NO) and CO, 227 228 respectively.

229 2.2.2 GFAS fire emissions

230 The Global Fire Assimilation System (GFAS; Kaiser et al., 2012) estimates dry matter combustion rates by multiplying FRP and biome-specific emission factors. The global 231 distribution of FRP observations is obtained from the MODIS instruments on board the Terra 232 and Aqua satellites and are then assimilated into the GFAS system. The gaps in FRP 233 observations, which are mostly due to cloud cover and spurious FRP observations of volcanoes, 234 gas flares, and other industrial activity, are corrected or filtered in the GFAS system. Eight 235 236 biome-specific emission factors are used based on linear regressions between the GFAS FRP and the dry matter combustion rate of Global Fire Emission Database (GFED) version 3.1 in 237 each biome (see later Table 2 and Fig. 3 in Kaiser et al. (2012)). The biomass burning emission 238 of a given species is then calculated by multiplying the dry matter combustion rate with an 239 emission factor of that species. 240

241 2.2.3 IFS-COMPO





As outlined in the introduction this study uses an atmospheric chemical composition model 242 (IFS-COMPO, previously known as "C-IFS" (Flemming et al., 2015)) as an interface between 243 Sentinel 2 and sentinel 3 based emissions and TROPOMI observations. IFS-COMPO is an 244 245 extended version of ECMWF's Integrated Forecasting System that was developed as part of the global component of CAMS which includes modeling and assimilation of atmospheric 246 247 composition (aerosols, trace gases and greenhouse gases). Here we use a version of IFS-248 COMPO which is set to simulate tropospheric chemistry and aerosol but excluding data 249 assimilation of atmospheric composition (see Appendix).

IFS-COMPO is run at a horizontal resolution of T511 (approximately 40 km grid cell), with 250 137 vertical levels and a time step of 900s. This default configuration of IFS-COMPO uses 251 CAMS-GLOB-ANT v5.3 anthropogenic emissions (Soulie et al., 2023), together with CAMS-252 GLOB-BIO v3.1 biogenic emissions, and soil NOx emissions based on POET. The GFASv1.4 253 emissions, with updated emission factors for CO and NO, are applied globally. A series of 254 sensitivity experiments have been conducted, primarily testing the sensitivity in the fire input 255 emissions (Tables 1 and 2). To compare TROPOMI observations with IFS-COMPO output we 256 take into account all relevant aspects that are required when matching observation data to 257 model data including averaging kernels. Only TROPOMI observations with quality assurance 258 threshold above 0.75 are used, as recommended by the NO₂ product user manual. This concerns 259 260 observations with cloud radiance fraction of less than 0.5 and excludes retrievals with ground 261 pixels covered with snow/ice, as well as problematic retrievals.

The model fields are interpolated in time to match with local overpass time of TROPOMI and the averaging kernel is applied to the model NO₂ profile. The collocated model-observation pairs are gridded on a common $0.5^{\circ} \times 0.5^{\circ}$ output field (or different resolution, which is configuration setting), and only written to output files if a threshold coverage of 50% of the





- grid cell is reached. The averaging is done by an area-weighted approach, hence taking into 266 account the area of the TROPOMI-pixel that is within the model grid box (Douros et al., 2023). 267 268 Similar to the evaluation of IFS-COMPO NO2, we use TROPOMI observations of CO total columns to evaluate model CO columns, selecting observations with quality assurance 269 270 threshold above 0.5. The model total column fields are interpolated in time to match with local 271 overpass time of TROPOMI. The same grid for collocation is used as was adopted for the 272 evaluation against TROPOMI NO₂, again only grid cells with a threshold coverage of 50% are 273 used. Also the area averaging is the same as done for NO₂.
- Because the TROPOMI CO column data is nearly uniformly sensitive to height (Borsdorff et al., 2014) we will assume for comparison with IFS-COMPO that TROPOMI CO column data represents a true vertical column so that no weighting or sensitivity correction on IFS-COMPO CO data needs to be applied.

278 **2.2.4** β-method

The basic approach followed in this paper is to use the IFS-COMPO model as "intermediate" between the fire emission databases based on GFA or the GFAS emissions database on the one end and the TROPOMI observations on the other end.

The IFS-COMPO model, however, also allows for applying a different approach to use TROPOMI observations to modify and update model emissions by using the model simulations to derive the local relationship between emissions and satellite measurements (Lamsal et al., 2011; Castellanos et al., 2014). Although models like IFS-COMPO may simulate incorrect trace gas amounts due to errors in fire emission estimates, they are capable of realistically simulating changes in column amounts caused by changes in emissions. By performing a baseline model simulation and a "perturbed emission" simulation, a local column-emission





sensitivity parameter β can be derived as function of space and time that connects changes in

290 column amounts (Δ TCNO₂) to changes in emissions (Δ E):

$$\frac{\Delta E}{E} = \beta \frac{\Delta TCNO_2}{TCNO_2}$$

292 Then, differences in measured and modelled columns can be converted into differences in emissions relative to the baseline emissions by multiplication with the β parameter yielding an 293 294 updated TROPOMI-based emission estimate. Here, we use the β -method to assess to what 295 extent the prior fire NOx emission databases can be updated with this method to close the gap 296 between model simulations of fire NO_x emission plumes and TROPOMI observations of NO₂. 297 For this we run sensitivity experiments where we scale down the prior fire emissions (either GFAS or GFA-S4F) by 20%, and use the resulting change in tropospheric NO₂ columns to 298 compute local and daily varying β values. 299

300 The β value is determined by local atmospheric chemistry conditions and background NO_x 301 emissions in IFS-COMPO. A β value of one (1.0) indicates that the relative change in emissions corresponds with a similar relative change in tropospheric NO₂ columns. β values < 1.0 302 303 indicating relatively low sensitivity of fire emission changes to column changes (β values > 1.0 indicative of the opposite). Very small β -values indicate limited sensitivity of emissions to 304 305 changes in column values, very large β -values indicate high sensitivity of emissions to small changes in column values. Hence why β -values close to 1.0 are preferred and why we also limit 306 β -values to the 0.25-4.0 range. 307

To ensure that the β field only relate to fire emissions we additionally apply a filtering procedure to exclude instantaneous values of β when prior emissions are smaller than 0.1 mg m⁻² d⁻¹ and model NO₂ columns are smaller than 2×10¹⁵ molecules cm⁻², and additionally take





the local median value of β computed based a two-month time series (August-September 2020). Over the Amazon, more than 97% of the median β values fall within the 0.5-2.0 range with 60% within the 1.0-1.5 range (see Appendix Fig. A2). Note that on average β -values get closer to 1.0 for larger tropospheric NO₂ column values indicating that the larger emissions, the more linear and straightforward the relation between emissions and NO₂ column values.

316 Applying the β -method comes with a number of caveats and limitations. It does require 317 prior emissions to be present for a given grid location in the IFS-COMPO model if the 318 emissions are to be updated. This is a different approach from for example emission inversion methods that do not require any a priori information (Mijling et al., 2013; Ding et al., 2017). 319 Also, given the differences in spatial resolution between IFS-COMPO, TROPOMI data and 320 the emission databases need to be kept in mind. The β -method only allows to translate 321 TROPOMI column enhancements into emission optimization within the (coarser) model grid 322 resolution, which is valid for trace gases with sufficiently short lifetime such as NO2. 323 324 Furthermore, the β -method assumes that column amounts and emissions vary linearly which may not always be the case. Hence why β values close to a value of 1.0 are preferred and large 325 changes in emissions far beyond the 20% model emission perturbation should be carefully 326 327 considered. In principle non-linear relationships between column amounts and emissions could be overcome by applying the method iteratively albeit at the cost of requiring more model 328 329 simulations and thus time. Nevertheless, once the model simulations have been performed the 330 β-method provides a straightforward method to use TROPOMI data for a rapid first order update of prior emissions. 331

- 332 **3. Results**
- 333 3.1 Amazon





Fig. 1 show a SUOMI-NPP VIIRS image for 11 September 2020 over the selected Sense4Fire Amazon region as well as the larger Amazon region. They reveal a pattern typical for this Amazon region during this time of the year. There are widespread fires and smoke plumes visible over regions where deforestation is taking place. There is some shallow convection present, but weather conditions are mainly dry. Smoke from the fires covers a large region in the Amazon (Fig. 1, lower panel), resulting in accumulation of pollution with the Andes mountains to the west acting as a barrier for transport of pollution out of the region.







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Figure 1. (a) SUOMI-NPP VIIRS RGB image on 11 September 2020 over the Amazon region
between 50°W-55°W, 9°S-14°S. Image obtained from NASA WorldView based on the python
script by Brian Blaylock (Univ. Utah, 2015); (b) as Fig. 1a but for 50°W-70°W, 5°S-25°S. The
area of Fig. 1a is denoted by the white square.

(b)







346

Figure 2. TROPOMI measurements of tropospheric NO₂ column (original resolution a 347 regridded to the CO grid), the CO total column and the AAI on 11 September 2020 for the 348 region shown in Fig. 1 (upper panel). The open green circles depict coincident NPP-VIIRS 349 350 FRP measurements with the radius of the circles representing the magnitude of the FRP (arbitrary unit). Only measurements with TROPOMI NO₂ quality flag values > 0.5 are shown. 351 The regridding of tropospheric NO₂ column was done using a python based coregistration 352 353 algorithm (M. Sneep, KNMI, personal communication, 2023; available on request). Pixels in 354 which the cloud pressure was within 4% of the surface pressure were also included to in 355 particular allow for pixels with enhanced NO2 over low altitude smoke, following van der A et al. (2020). 356





357	Fig. 2 shows TROPOMI observations over the same region as Fig. 1 (upper panel). Many
358	fire emission plumes can be discerned in tropospheric NO ₂ , CO and the AAI data. On close
359	inspection the plumes generally emanate from where SUOMI-NPP FRP indicates fire events
360	(wind direction was east-north-east). Close to fires tropospheric NO ₂ is enhanced which rapidly
361	drop to background column values typically within 5-10 TROPOMI pixels, approximately 25-
362	50 km distance and reflecting the relative short lifetime of tropospheric NO ₂ of a few hours at
363	maximum in this moist and sunlit region. For CO and the AAI the plumes extend much further
364	reflecting the much longer lifetime of both parameters relative to tropospheric NO ₂ . On the
365	timescales of plume advection (hours to a day) CO and AAI act as passive tracers with plume
366	variations dominated by turbulence and dispersion. For tropospheric NO2 photochemical
367	equilibrium and chemical loss also plays a role. The tropospheric NO ₂ data also reveal that for
368	large AAI values and thus optically thick smoke no accurate tropospheric NO ₂ column values
369	(low quality flag value) could be retrieved even though total NO2 data do show enhanced total
370	NO ₂ over the smoke (not shown). Thick smoke is considered a cloud in the tropospheric NO ₂
371	retrieval algorithm, hence the low data quality flag value.

Fig. 3 show the 2-D probability distributions of daily TROPOMI NO₂, CO, and AAI for the large Amazon region of Fig. 1 for the entire month of September. As expected based on Fig. 2, CO and AAI correlate well whereas tropospheric NO₂ hardly correlates with either.







375 Comparing these distributions for different months for the same region in 2019 and 2020 shows376 that August and September are the dominant Amazon fire months (see Appendix Fig. A4).

Figure 3. 2D histogram of daily September 2020 TROPOMI data for AAI and CO (upper
panel), CO and NO₂ (middle panel) and AAI and NO₂ (lower panel) for the same region as





- shown in Fig. 2. For the upper panel the AAI and CO data are biases corrected on a daily basis,
- *i.e.* each day the median value of the daily probability distribution is subtracted. See Appendix
- Fig. A3 for the same figure without the bias correction. NO₂ data is not bias corrected (middle
- and lower panel) and CO data for the lower panel is also not corrected, see Appendix Fig. A3
- for the same figure with the CO bias correction as applied for the upper panel of Fig. 3 here.
- 384 AAI data is unitless, CO data is in 10^{18} molecules cm⁻², NO₂ data is in 10^{15} molecules cm⁻²



5.0

2.5

10¹⁵ m

-5.0

molec/cm²]

NO₂

_2.5 [¯]





[NO₂ 10¹⁵ molec/cm²]













Figure 4. Tropospheric NO₂ columns for the larger Amazon region (Fig. 1, lower panel) for 386 the IFS-COMPO simulation using GFAS emissions and applying the corresponding 387 TROPOMI NO₂ averaging kernel (upper left) and without applying the averaging kernel 388 389 (middle left) with the corresponding IFS-COMPO grid averaged tropospheric NO2 observations (lower left). Differences between IFS-COMPO and TROPOMI in the upper right 390 391 panel (absolute) and middle right panel (relative) and corresponding scatter plot and associated 392 statistics (lower right panel). The small region from Fig. 1 are indicated by the black box. SUOMI-NPP VIIRS FRP are in the bright green circles as in Fig. 2. The statistics in the lower 393 394 right plot display the correlation coefficients for all data points (Pearson's and Spearman's); the corresponding ordinary linear regression and orthogonal distance regression (ODR; in red); 395 and the same statistics but for observations averaged in twenty equally distributed TROPOMI 396 397 data intervals ("box mean"; in grey and in italics).



Figure 5. GFAS emissions at 0.1°×0.1° (lower plot) and summed at 0.5°×0.5° right plot for
the large Amazon region as shown in Fig. 1 (lower panel).

Fig. 4 shows a comparison of the IFS-COMPO model simulation configured with its default
settings as also operated in CAMS (GFAS emissions displayed in Fig. 5) and TROPOMI
observed tropospheric NO₂ columns for the same day and large Amazon region as in Fig. 1.





404	There is a reasonable correlation between observed and modeled tropospheric NO ₂ columns
405	$(0.67 \text{ and } 0.78 \text{ for respectively } R^{PEARSON} \text{ and } R^{SPEARMAN})$ but the associated orthogonal linear
406	regression coefficient (RC) is significantly larger than one (1.43). Averaging tropospheric NO ₂
407	column data to account for the spread in the distribution of data points improves the correlations
408	(0.88/0.95) but the large RC remains (1.8). For this day there is a cluster of fires and plumes
409	south and southwest (14°S-18°S, 60°W-50°W) of the smaller Amazon region of Fig. 1 where
410	all IFS-COMPO values overestimate tropospheric NO2. On the other end, outside of major fire
411	areas IFS-COMPO tends to underestimate observed tropospheric NO2, possibly linked to soil
412	NO _x emissions, which will be discussed later. The difference plots show that locally differences
413	between model simulations and observations can easily be 100% or more.

414 To explore the presence of systematic biases all collocated daily data for August and September 2020 for the larger Amazon region of Fig. 1 were combined into 2D histograms 415 shown in Fig. 6. The statistics reveal a fair correlation of 0.47 and 0.72 with a relatively small 416 uncertainty range and a RC of almost 0.80. Averaging data similar as done in Fig. 4 improves 417 the comparison with correlations of 0.88 and 0.97 and a larger orthogonal linear RC of 0.90. 418 More or less similar numbers are found for the smaller Amazon region. Visual inspection of 419 Fig. 6, however, reveals that there is a significant model bias for large tropospheric NO_2 420 columns, i.e. IFS-COMPO overestimates tropospheric NO₂ columns and differences can be 421 422 multiple factors up to an order of magnitude or more. The opposite, IFS-COMPO more than 423 an order of magnitude smaller than TROPOMI hardly occurs (see Appendix Table A1). Strongly enhanced IFS-COMPO tropospheric NO2 column values in this region are 424 predominantly associated with fire emissions rather than emissions from other sources. Hence, 425 426 the IFS-COMPO "large tropospheric NO₂ column" bias is thus associated with larger fire 427 emissions.







Figure 6. 2D histogram of TROPOMI observed and IFS-COMPO simulated tropospheric NO2 429 columns for daily observations throughout August and September 2020 for the larger amazon 430 region (Fig. 1). The black line indicates the regression coefficient for all data, the grey line 431 432 ("box-mean") when IFS-COMPO data are averaged within twenty TROPOMI bins (only with 433 more than ten data points in a particular TROPOMI bin). The lower panel displays the same 434 distribution as in the upper panel but color coded according to the average GFAS NO2 emission. 435 Note that distributions between both differ slightly as occasionally for a TROPOMI - IFS-COMPO comparison the corresponding GFAS emissions are zero (used in the lower plot). 436





To further explore this IFS-COMPO "large tropospheric NO₂ column" bias a number of
IFS-COMPO model experiments were performed (see Tables 1 and 2 and Appendix Table A1).

Replacing the GFAS fire NO_x emissions in IFS-COMPO with GFA NO_x emissions
(experiments GFA, GFA.IFSCYCLE, GFA.SOIL; Appendix Fig. A5) resulted in larger
observed differences. Although correlations remained similar, lower regression coefficients
indicate larger differences between observations and model outcomes.

Another test was to put a hard cap on NO_x emissions (see Appendix Fig. A6). For BASE.CAP0.1 the NO_x emission cap was set at $1 \cdot 10^{-10}$ kg m⁻² s⁻¹ (~0.1 mg m⁻² d⁻¹), for BASE.CAP0.3 this was $3 \cdot 10^{-10}$ kg m⁻² s⁻¹ (~0.3 mg m⁻² d⁻¹; both with GFAS emissions) while for GFA.CAP0.3 the same $3 \cdot 10^{-10}$ kg m⁻² s⁻¹ emission cap was used but for the GFA-S4F emissions. Although capping the emissions obviously reduces the presence of the "large tropospheric NO₂ column" bias it did not significantly improve the correlation and even worsened the regression coefficients.

An alternative approach was to directly use TROPOMI NO₂ column data to constrain 450 emissions (BASE.BETA, GFA.BETA; Appendix Figs. A6 and A7) using the β -method that 451 452 was introduced in section 2.5. This indeed improves the regression results and especially for 453 the GFA-S4F emissions the "large tropospheric NO₂ column" bias is strongly reduced (number of strongly deviating IFS-COMPO pixels reduced by an order of magnitude) with orthogonal 454 455 linear regression coefficients much more in line with the baseline results for GFAS (BASE): for instance, the spearman correlation coefficient is 0.75 for both experiments with the β -456 method applied, where it was 0.60 for the reference GFAS experiment (Table 1). The fact that 457 overall very similar results are achieved with the β -method, independent of the prior emissions, 458 gives confidence in its procedure which to first order is independent of the prior emissions. 459 Nevertheless, these experiments fail in improving the RC (RC = 0.729, 0.739 for experiments 460





with β -method, and RC = 0.764 for the BASE experiment), pointing at a common negative model bias largely independent of fire emissions.

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463
          These results indicate that a large portion of the remaining negative model bias was not
      affected and optimized during the fire NO<sub>x</sub> optimization procedure, and therefore is likely
464
465
      attributed to other emissions than fires. In particular the soil NO<sub>x</sub> emissions in the default
466
      configuration of IFS-COMPO CY48R1 are identified to be comparatively low. For that reason
467
      a set of IFS-COMPO simulations was performed with updated soil NO<sub>x</sub> emissions, both
      without, and with optimized fire NOx emissions (IFS-COMPO experiments GFA.SOIL and
468
      GFA.BETA.SOIL see Table 1, Appendix Figs. A5 and A7). Solely updating the soil NOx
469
      emissions had a limited effect on the statistics (RC = 2.15 in GFA.SOIL vs. 2.11 in
470
471
      GFA.IFSCYCLE), indicating that the biases due to fire NO<sub>x</sub> emissions in GFA-S4F are
      dominating. But combined with β-optimization the statistics improved and especially the
472
      orthogonal linear regression coefficients approached the value of one (RC = 0.953 in
473
      GFA.BETA.SOIL, vs 0.939 in GFA.BETA).
474
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- Figure 7. Panels (A, B) as Fig. 6 but for the Africa region (10°E-30°E and 5°S-25°S). IFS-COMPO simulations with GFAS emissions and updated soil NO_x emissions; panels (C, D) as panels (A, B) but with β-optimized GFAS emissions; panels (E, F) as panels (A, B) but for the
- 479 Siberia tundra region (125°E-145°E, 55°N-75°N); panels (G, H) as panels (A, B) but with β-
- 480 optimized GFAS emissions for the Siberia tundra region (125°E-145°E, 55°N-75°N).

481 3.2 Other regions: sub-equatorial African savannahs, Siberian steppes and tundra

482 The quality of GFAS fire NO_x emissions, and the optimization based on the β -method was 483 further explored for a selection of other regions (a sub-equatorial region in Africa, a Siberia tundra region and a Siberia steppe region, see Appendix Fig. A2). This choice was motivated 484 485 by the very different vegetation types, soils characteristics and weather and climatological 486 conditions of each region: sub-equatorial Africa fires are dominated by savannahs and arid shrublands; the Siberia tundra fires are dominated by wet evergreen forest and tundra 487 vegetation; the Siberian steppe fires are dominated by vast grasslands. They therefore provide 488 clues as to whether the agreement and discrepancies found for the Amazon/Cerrado region 489 generally hold or are just a regional phenomenon. For these other regions we solely rely on the 490 IFS-COMPO simulations with GFAS emissions and updated soil NO_x emissions while 491 comparing results with and without β -optimization. Table 2 summarized the results for these 492 493 three regions.

For sub-equatorial Africa (Fig. 7 panels A – D), observed and modeled tropospheric NO₂
columns have a similar dynamical range, a similar spread and variability, and a similar
dependence of larger tropospheric NO₂ columns over regions with larger emissions. However,
the IFS-COMPO simulations significantly and systematically underestimate tropospheric NO₂
columns











500	Table 1. Overview of statistics of the comparison of IFS-COMPO simulated and TROPOMI
501	observed tropospheric NO ₂ column over the larger Amazon region (like Figs. 6-7-8). All
502	simulations used the CBM5 atmospheric chemistry scheme. Several simulations also make use
503	of a subgrid-scale emission plume chemistry-dispersion parameterization scheme (SGS) that
504	accounts for the fact that most emission plumes are significantly smaller than the CAMS/CIFS
505	grid size, and that plume chemistry thus is a subgrid scale process. Sensitivity tests revealed
506	that this subgrid scale parameterization had only minor impacts on simulated $\ensuremath{\text{NO}}_2$ and $\ensuremath{\text{CO}}$.
507	Box-mean data refers to the statistics of the average values. The four-character IDs refers to
508	the ECMWF supercomputer simulations and are included here for traceability purposes.





RC	0.900	0.820	0.227	0.421	3.340	0.577	3.210	0.868	0.752	3.230	1.04
R ^{pearson} R ^{spearman}	0.88 0.97	$0.94 \\ 0.94$	0.93 0.94	0.96 0.96	0.86 0.96	$0.94 \\ 0.94$	0.85 0.99	0.86 0.98	0.97 0.98	0.86 0.99	$\begin{array}{c} 0.95\\ 0.98\end{array}$
RC	0.798	0.764	0.304	0.473	2.230	0.623	2.110	0.729	0.739	2.150	0.953
R ^{PEARSON} [CI] R ^{SPEARMAN} [CI]	0.47 [0.44, 0.52] 0.72 [0.72, 0.73]	0.57 [0.53, 0.62] 0.60 [0.58, 0.62]	0.64 [0.63, 0.65] 0.70 [0.69, 0.70]	0.63 [0.62, 0.64] 0.72 [0.71, 0.72]	0.36 [0.34, 0.40] 0.73 [0.72, 0.73]	0.59 [0.57, 0.61] 0.74 [0.73, 0.74]	0.35 [0.32, 0.39] 0.73 [0.73, 0.74]	0.50 [0.44, 0.61] 0.75 [0.74, 0.75]	0.41 [0.36, 0.49] 0.75 [0.75, 0.76]	0.36 [0.33, 0.40] 0.75 [0.74, 0.75]	0.36 [0.31, 0.43] 0.78 [0.77, 0.78]
IFS version	CY47R3.1	CY47R3.1	CY47R3.1	CY47R3.1	CY47R3.1	CY47R3.1	CY48R1.0 SGS	CY48R1.0 SGS	CY48R1.0 SGS	CY48R1.0 SGS	CY48R1.0 SGS
emissions	GFASv1.4	GFASv1.4	GFASv1.4	GFASv1.4	GFA-S4F	GFA-S4F	GFA-S4F	GFASv1.4	GFA-S4F	GFA-S4F	GFA-S4F
IFS setup	"large Amazon region"	"small Amazon region"	NO _x emissions capped 1•10 ⁻¹⁰ kg m ⁻² s ⁻¹	NO _x emissions capped 3•10 ⁻¹⁰ kg m ⁻² s ⁻¹		NO _x emissions capped $3 \cdot 10^{-10}$ kg m ⁻² s ⁻¹		β-optimized emissions	β-optimized emissions	updated soil NO _x	updated soil NO _x β-optimized emissions
IFS run ID	b2bd BASE	b2bd BASE	b2by BASE.CAP0.1	b2c4 BASE.CAP0.3	b2bj GFA	b2c6 GFA.CAP0.3	b2d3 GFA.IFSCYCLE	b2dl BASE.BETA	b2dz GFA.BETA	b2em GFA.SOIL	b2ew GFA.BETA.SOIL





511	Table 2. As Table 1 but for	baseline simulations a	nd other regions for both tropospheric NO2			
512	and CO. All simulations used the CBM5 atmospheric chemistry scheme and the subgrid-scale					
513	emission plume chemistry-c	lispersion parameteriza	tion (SGS, see caption Table 1).			
514	* Amazon "large"	$= 70^{\circ}W - 50^{\circ}W$	25°S-5°S			
515	* Amazon "small"	$= 55^{\circ}W - 50^{\circ}W$	14°S-9°S			
516	* Africa	$= 10^{\circ}\text{E} - 30^{\circ}\text{E}$	25°S-5°S			
517	* Siberia-tundra	= 125°E - 145°E	55°N-75°N			
518	* Siberia-steppe	$= 40^{\circ}\text{E} - 60^{\circ}\text{E}$	40°N-60°N			

519

which is opposite from the Amazon/Cerrado region. Updating GFAS emissions using the βoptimization significantly improves the comparison, in particular the regression coefficient.

522 For Siberia the conditions are very different from those in the Amazon and Africa. First of 523 all, the dynamical range of tropospheric NO₂ columns is much smaller (compare Figs. 6 and Fig. 7 panels E – H and Fig. 8 panels A - D) and there are fewer fires as evidenced by a limited 524 525 number of points outside of the main probability distribution. Especially for the Siberia Steppe 526 region fire emissions are very small. Although both Siberia regions show a tropospheric NO₂ column bias not dissimilar from those in Africa, applying the β -optimization does not result in 527 a large improvement unlike for the Amazon and Africa regions. Given that there are fewer fires 528 529 in Siberia in the particular period studied here, this may not be that surprising as there are not many fire-affected regions and thus tropospheric NO₂ columns to β -optimize. Note that the 530 531 tropospheric NO₂ columns for Siberia (especially tundra) and fire NO₂ emissions are much smaller than those for the Amazon/Cerrado and Africa. Which is unlike CO for which column 532 values and emissions are comparable (see next section, Table 2 and Appendix Fig. A8). This 533 reflects differences in fire characteristics: boreal vegetation is wetter and burning will be more 534





- 535 incomplete (more CO and smoke) and at much lower temperatures (less NO₂) (Andreae, 2019;
- 536 van Wees et al., 2022).



537

Figure 8. Panels (A, B) as Fig. 6 but for the S4F Siberia steppe region (40°E-60°E, 40°N-60°N); panels (C, D) as panels (A, B) with β-optimized GFAS emissions but for the S4F
Siberia steppe region (40°E-60°E, 40°N-60°N)

541 **3.3 Carbon Monoxide**

Next we present the comparison of the IFS-COMPO simulations of CO – see further Table
In this case the number of IFS-COMPO tests was limited to comparing IFS-COMPO either
with GFAS or GFA CO emissions. Overall, for all regions there is a good correlation between
modeled and observed total CO columns, better than for NO₂. This likely reflects the simpler
chemistry and longer lifetime of CO, causing CO to vary on larger spatial scales that are easier





547	for IFS-COMPO to capture. For all regions IFS-COMPO nevertheless consistently and
548	significantly underestimates CO columns by 20-70%. However, and importantly, the
549	regression coefficient when using GFA-S4F emissions over the Amazon region significantly
550	improves the comparison to the level that the observations and model results compare very
551	well. A small bias may remain but given that the GFA-S4F CO emission data was only
552	available over the Amazon region and for example not the entire South American continent
553	and combined with the long CO lifetime the remaining small bias may simply be the results of
554	lack of updating emissions outside of the S4F Amazon region (missing advection of additional
555	CO outside of the area of interest). This suggests that despite the larger bias in terms of NO ₂ ,
556	the prior emission estimates of dry-matter burned in GFA-S4F over the amazon are likely better
557	than GFAS, and the discrepancy with respect to TROPOMI NO2 points rather at uncertainties
558	in the NO _x emission factor.

559 3.4 Time series

Finally, a key question regarding the fire NO₂ emissions results discussed here is how much
in particular the "large tropospheric NO₂ column" bias really matters. To answer that question,
Fig. 9 shows the daily total NO₂ emissions for the Amazon region for four different emission
databases: GFAS, GFA-S4F and the β-optimized emissions for both.

The comparison first reveals that the temporal variability in NO₂ emissions for the Amazon in GFAS and GFA-S4F are very comparable. There are some differences, but overall temporal variability in emissions as well as the amplitude of emissions are similar. The second notable result is that the β -optimization has a significant impact on in particular the GFA-S4F NO₂ emissions, and provides results that are very similar compared to the other estimates (GFAS and GFAS β -optimized) in terms of temporal variability, while the area-and time-averaged emission totals, quantified in terms of daily mean emissions, are overall reduced. It was shown





571	previously that the GFA-S4F NO ₂ emissions significantly worsened "large tropospheric NO ₂
572	column" bias. The β -optimization nevertheless can largely correct for this bias. This is a
573	valuable result as it allows - at least to first order – to independently provide an estimate of the
574	fire NO _x emissions based on TROPOMI observations for evaluation and verification of bottom-
575	up emission databases. The impact of the "large tropospheric NO2 column" bias on emission
576	totals nevertheless is rather small. Total NO _x emissions differ on average by 10% or less. That
577	indicates that not only a small subset of larger fires which appear over-estimated in GFA-S4F
578	is important to match the emission totals, but also a larger, dominating subset of smaller fires,
579	with low NO _x emissions, which may be under-estimated in GFA-S4F.






580



For Africa and the Siberia tundra regions – where only a comparison with β-optimized emission is available for GFAS data – results are similar (Appendix Fig. A9). For the Siberia steppe region absolute emissions (~0.11-0.12 Tg day⁻¹) are approximately an order of





magnitude smaller compared to those for the Siberia tundra region (0.75 Tg day⁻¹) and 588 approximately two orders of magnitude smaller than those for Africa and the Amazon (~8 Tg 589 day-1). Comparing the various emission datasets with TROPOMI tropospheric NO2 590 591 observations reveal a fair to strong spatial correlation ranging between 0.568 and 0.993 depending on emission database and regions (R², Pearson and Spearmon; see Appendix Table 592 593 A2), except for the Siberia steppe region. This reflects the limited number of fires in the Siberia steppe region and a limited number of days for the Siberian steppe region where β-optimized 594 emissions differ from the GFAS emissions (Appendix Fig. A9) indicating that for most days 595 596 there are no fires and thus no NO_x emission updates.

597 4. Discussion

Using bottom-up fire NO_x and CO emission estimates in the IFS-COMPO model and then comparing results with TROPOMI data revealed the existence of two significant biases in bottom-up emission estimates. GFAS emissions were used as a "state of the art" global fire emission database, the emission data developed in the S4F project in order to update bottomup fire emissions using remote sensing data not used in for example GFAS.

603 Overall, the results of the Amazon IFS NO_x simulations and sensitivity tests can be 604 summarized as follows.

IFS-COMPO simulations with GFAS emissions results in an overestimation of
 tropospheric NO₂ columns over fire regions, especially for large fires, the so-called "large
 tropospheric NO₂ column" bias

IFS-COMPO simulations with GFAS systematically underestimate background
 tropospheric NO₂ columns, possibly pointing to an underestimation of soil NO_x emissions





610	•	IFS-COMPO simulations GFA-S4F emissions worsen the "large tropospheric NO ₂
611		column" bias resulting in a significantly larger structural IFS-COMPO NO2 column bias
612	•	capping the NOx emissions largely reduces the "large tropospheric NO2 column" bias but
613		worsens the statistics, in particular the regression of modeled vs. observed tropospheric
614		NO ₂ columns
615	•	optimizing the fire NO ₂ emissions based on TROPOMI NO ₂ observations using the IFS-
616		based β -method drastically improves the IFS-COMPO results, in particular for the GFA-
617		S4F emissions, but does not completely close the gap between model and TROPOMI
618		observations
619	•	updating the soil emissions alone does not improve the IFS-COMPO simulations results
620	•	combining the β -optimized fire emissions together with updated soil NO _x emissions yielded

621 the best results both in terms for correlations and regression coefficients

Note that the persistent "large-tropospheric-NO2-column" bias regardless of using GFAS or 622 623 GFA-S4F emissions implies its cause is not satellite-data based vegetation characteristics but 624 possibly emission factors that are used to translate these vegetation characteristics to trace gas emission amounts. For example, NOx emissions associated with burning and combustion are 625 strongly temperature dependent and highly non-linear. Only when combustion takes place at 626 627 very high temperatures larger than 1500° Celsius can N2 break down into atomic N that can recombine with O₂ to form NO and NO₂, the so-called Zeldovich mechanism. These are 628 629 temperatures associated with the blue-flaming phase of fires. Given that laboratory measurements of fire NOx emission factors necessarily are restricted to small fires it is 630 631 conceivable that those emission factors are not representative for large fires. Jin et al. (2021) recently showed that - using TROPOMI NO₂ data - fire NO_x emission factors appear much 632 633 more variable with a much larger dynamical range than currently assumed and used.





634	Secondly, based on the comparison of IFS-COMPO results and TROPOMI data, CO
635	emissions were consistently largely underestimated by GFAS for the four regions we explored
636	(biased low by 20 - 70%) even though the spatial correlation between observed and modeled
637	CO total columns was very good with correlations (R^2) exceeding 0.85 for all regions and cases.
638	Using the GFA-S4F CO emissions rather than the GFAS emissions for the Amazon improved
639	the spatial correlation while on average significantly decreasing the bias, possibly even
640	eradicating the bias depending on the method with which the data was evaluated. That does not
641	mean all issues were resolved as there was an approximately 25% standard deviation in the
642	differences of modeled and observed CO total columns indicating that locally discrepancies
643	between emissions and observations remain. Nevertheless, the results strongly suggest that the
644	(larger) CO emissions in GFA-S4F are more realistic than those from GFAS. Note that the
645	underestimation of background values of atmospheric CO is a common problem with many
646	atmospheric chemistry models (Gaubert et al., 2020), not just IFS-COMPO, and that this
647	underestimation likely has multiple causes (Inness et al., 2022).

Bottom-up fire CO emission estimates have for decades continued to be rather uncertain 648 for various reasons and despite significant amounts of research on the topic (Andreae, 2021). 649 Important culprits are the characterization of land cover types, fuel conditions as well as fire 650 dynamics and weather conditions. For many bottom-up fire-emission parameters there is 651 insufficient in situ data or empirical data and observations to constrain emissions. An important 652 653 source of uncertainty is the satellite observation-based characterization of land cover type. While there are now many satellites observation Earth's surface and many more methods and 654 approaches to characterize the land cover type, considerable differences between land use and 655 656 land cover datasets remain (Liu et al., 2021; see further Khaldi et al. (2022) and references 657 therein). Another important source of uncertainty are satellite-based fuel loads and fuel





- conditions. Observations to constrain these parameters are typically only available once every
- 10 days or worse as clouds can further limit satellite observations of these parameters.

660 Finally, although the first S4F results are very promising towards improving fire emissions, the approach presented here is built on generic statistics: combining many fires and the effect 661 of many fires and reduce the analysis results to a few statistics. Although valuable, this 662 approach does not make optimal use of the rich information density of the satellite data. If 663 664 many uncertainties are related to fire specific properties and conditions then further refinement 665 and analysis of individual fires - as to some extent explored for NO₂ in Jin et al., (2021) would be a worthy approach. This, however, requires stepping away from gridded and averaged 666 data and change thinking towards fires as single and unique spatial structures. Each fire, each 667 structure, would be associated with specific characteristics: its fuel load, vegetation type(s), 668 fuel moisture, area, moisture, weather conditions, and emission plume characteristics. The 669 GFA-S4F data is a step in this direction as the emission data is provided per fire structure 670 671 (polygons) and each fire was associated with other fire characteristics from GFA-S4F data 672 based on Sentinel-2 and Sentinel-3 data. However, for TROPOMI data such an approach is still lacking. Fire emission plumes would have to be identified first and then linked to a fire. 673 674 Automated detection of TROPOMI-based (fire) emission plumes has only started to be developed in recent years (Kurchuba et al., 2021; Finch et al., 2022; Goudar et al., 2023; Schuit 675 et al., 2023), especially thanks to the recent advance of data-intensive artificial intelligence 676 677 analysis techniques, but has the potential to further advance satellite-data-based estimates of 678 fire emissions.

679 5. Conclusions.

680 The Sense4Fire project aims to increase the scientific understanding of fire dynamics and 681 their role in the carbon cycle by integrating observations from the Sentinels into new Earth





observation products. This paper presents a first analysis of TROPOMI satellite observations
of fire plumes affecting atmospheric composition, and the use of trace gas (CO, NO₂) from
TROPOMI together with IFS-COMPO model simulations and to evaluate and optimize
satellite-based fire CO and NO_x emissions.

TROPOMI allows for observing single fire emission plumes (NO₂, CO, AAI) on a daily basis with unprecedented accuracy and spatial resolution. Results show that CO and AAI correlate very well, but not with NO₂, related to the much shorter lifetime of NO₂. Visually there is also an excellent agreement with VIIRS RGB imagery.

The analysis of August-September 2020 daily TROPOMI data and IFS-COMPO model results over the Amazon/Cerrado region reveal significant biases in bottom-up emission data of CO and NO_x. For simulated NO₂ a significant positive bias for large-fire cases over the Amazon/Cerrado region was identified attributed to the GFAS fire emissions, while CO emissions were significantly underestimated. Note that total NO_x emissions are dominated by small fires with only a small contribution from the few large fires but that this large fire bias is nevertheless of concern as it reflects a lack of understanding.

These biases could not be attributed to the IFS-COMPO model resolution or sub-grid plume chemistry processes. When using fire emissions from the GFA-S4F system which incorporates more advanced geo-information that tracks individual fires the evaluations against TROPOMI CO total columns were significantly improved, but the NO₂ tropospheric column evaluations worsened by showing an increased positive model bias. This suggests that not only there is a considerable uncertainty in the dry-matter-burned estimates, but also in the emission factors that define the ratio between CO and NO_x emissions.





A scaling approach was adopted to constrain bottom-up fire NOx emissions with 704 TROPOMI NO₂ observations, which relies on the local sensitivity of tropospheric NO₂ column 705 changes with respect to NO_x emission changes (the β -method). This brought the emission 706 707 variability much closer in line with those from GFAS, independent of which prior emission estimate was used. Feeding any of the optimized fire NO_x emissions back into the model indeed 708 709 led to a significant improvement and disappearance of the positive bias associated to large 710 emission sources, while the background model bias was unaffected. Combined with improved soil NO_x emissions results are on average further improved. This illustrates that emission types 711 712 of different origin can be optimized independently, and that both emission types need to be 713 optimized to match the model simulations with the observations.

Overall results presented here show that advanced use of geo-information from the suite of 714 715 ESA Sentinel satellites helps improve and constrain fire emissions, although not perse by relying solely on satellite data-based bottom-up emissions - for instance a careful assessment 716 717 of emission factors is needed. On the other hand, the focus of this paper as well as the first phase of the S4F project has been on average and cumulative statistics. Although those statistics 718 could be improved, that approach does not address many uncertainties and discrepancies at 719 720 local spatial scales and the level of individual fires. Also, in depth understanding of the biases that were identified is still lacking and requires additional research. Fortunately, the suite of 721 722 ESA Sentinel satellites allows for much more detailed in-depth analysis of fires and the S4F 723 project will be extended to further explore its results and provide more detailed analyses of fires and their contributing factors. 724

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736

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and interpretation of results.

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747 *Competing interests.* The authors declare that they have no conflicts of interest.

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749 Data availability. TROPOMI data used in this paper is available via the EU COPERNICUS

750 data space or Amazon Web Services. GFA emission data is available via de Global Fire Data

751 webportal. GFAS emission data is available via the EU COPERNICUS atmosphere data store.



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1156	
1157	Appendix
1158	
1159	Application of Sentinel-5p TROPOMI data for fire monitoring
1160	The launch of the Polar orbiting TROPOMI instrument on board of the Sentinel-5p (S5p)
1161	satellite in October 2017 with at that time unprecedented spatial resolution, data accuracy and
1162	precision has opened up a whole new range of possibilities for monitoring and studying fires.
1163	Several research papers have been published in recent years exploring the use of TROPOMI
1164	data for those particular applications.

States for a 15-month period in 2018-2019 to assess geostationary GOES-R FRP-based fire emissions. CO emissions from TROPOMI data were estimated using a CO column mass budget approach. They found a very good agreement between emissions based on both methods for this selection of US wildfires.

1170 Van der Velde et al. (2021) presented a first analysis of daily TROPOMI NO₂/CO ratios
1171 uncovering spatio-temporal differences "that point to distinct differences in biomass burning
1172 behavior". Although they used daily (single fire) TROPOMI data they extracted data on





- 1173 regional to continental scale regions to derive statistical relationships. Using chemistry-1174 transport model simulations and bottom-up GFEDv4 fire emissions they found the model 1175 results to be broadly consistent with the TROPOMI observations.
- Griffin et al. (2021) focused on a few selected North American fires in TROPOMI data to estimate fire NO_x emissions using plume model simulations while also comparing with *in situ* field campaign data from the FIREX-AQ campaign. They found that there is a good agreement between satellite observation and *in situ* data and that TROPOMI NO₂ data can be used to determine single fire NO_x emissions.
- Jin et al. (2021) calculated vegetation-specific NO_x emissions and FRP emission factors based on a large selection of isolated single fire emission plumes using TROPOMI and Gaussian plume modelling. They found significant differences between previously reported and observed emission factors suggesting a much larger variability amongst different fires than generally assumed.

Stockwell et al. (2022) used aircraft data to estimate fire emissions for five different fires and compared them with geostationary observations of FRP and burned area as well as emissions of carbon monoxide based on TROPOMI data. They found a strong correlation between the emissions based on the *in-situ* data and the emissions based on the TROPOMI data.

Griffin et al. (2023) use TROPOMI CO data to create a global database of single or local fire burning CO emissions for the period 2019-2021 to avoid smoke and cloud obscuring effects of FRP measured by satellite instruments like MODIS and VIIRS. In addition, they also use TROPOMI CO data to derive emission factors ("emission coefficients"), *i.e.* the amount of CO emission as a function of FRP. They find a large range of biome dependent emission factors





1196	for different types of forests and conclude that simple biomes classifications for estimating fire
1197	emissions are insufficient and that, if anything, further biomes distinction and refinement is
1198	warranted. They also note that more information on the burning stage of a fire and temporal
1199	fire development is crucial for improving fire emission estimates, which with the use of polar
1200	orbiting satellite instruments is hampered by the once or twice per day overpass.

Wan et al. (2023) analyzed TROPOMI NO₂ and CO data for the massive Australian 2020 "New Year's bushfire event" with a focus on deriving emission ratios and emission factors for different vegetation types. They note that TROPOMI data can help identify the relative contributions of different flaming phases over larger regions.

All these studies highlight the potential of using TROPOMI data for assessing fire emissions. They also all note that their studies are the first exploratory steps using TROPOMI and that more research is needed and warranted while approaches could be expanded, extended and refined.

1209 The S4F project explores the suite of the Sentinel satellites using a novel synergetic approach to derived global fire emissions based on the characterization of individual fires and 1210 1211 their behavior, eventually to better constrain total carbon emissions and emission factors. The ESA Sentinels have a huge potential to observe and quantify fire dynamics in terms of pre-fire 1212 surface conditions (vegetation cover and fuel moisture content), fire behavior (FRP, burned 1213 1214 area, fire size) and fire effects on the atmosphere (fire emissions of trace gases and aerosols). However, this combined potential has not yet been exploited even though there is a clear need 1215 1216 for such an integrated synergetic approach.





1218

1219 IFS COMPO

- The default tropospheric chemistry of IFS-COMPO as used here is based on CY48R1 as 1220 described in https://www.ecmwf.int/en/elibrary/81374-ifs-documentation-cy48r1-part-viii-1221 1222 atmospheric-composition. Organic chemistry for trace gases up to propane is modeled 1223 explicitly, while lumped tracers are used for specific types of functional groups to model the oxidation of higher volatile organic compounds (Huijnen et al., 2010; Williams et al., 2013). 1224 1225 The updated isoprene oxidation parameterization is documented in Williams et al. (2022). Photolysis rates in the troposphere are computed using the modified band approach (MBA) 1226 1227 (Williams et al., 2006, 2012). The tropospheric chemistry mechanism consists of 71 trace gases and 127 gas-phase reactions, 30 photolysis rates, 3 heterogeneous reactions and 2 aqueous 1228 phase reactions. It is solved based on Kinetic PreProcessor (KPP) routines, using the four stages 1229 and third-order Rosenbrock solver (Sandu and Sander, 2006). 1230
- The aerosol component in IFS-COMPO is described in Rémy et al. (2022) and is based on a bulk-bin aerosol scheme. It simulates mass mixing ratio of the tracers for sea salt, desert dust, organic matter (OM), black carbon (BC), sulfate, nitrate, ammonium, and secondary organic aerosol (SOA), and is coupled to the tropospheric chemistry scheme for the formation of secondary organic and inorganic aerosol. In all, the aerosol module consists of 16 tracers, which are subject to processes such as hygroscopic growth, ageing, sedimentation.





IFS < 10 TROPOMI > 10	median ratio	2.56	5.47	3.51	2.08	3.13	2.22	1.97	1.90	2.18	1.82
	mean ratio	2.74	5.65	3.68	2.41	3.36	2.40	2.35	2.33	2.34	1.33
	Z	45	57	56	33	55	37	37	36	37	22
< 10	median ratio	4.28		2.48	6.79	3.94	7.07	4.58	7.39	7.00	7.84
IFS > 10 ROPOMI	mean ratio	5.46	1	3.05	9.39	4.88	9.66	7.23	13.06	9.54	14.02
F	Ν	260		19	1183	87	1034	67	122	1072	258
20 [> 20	median ratio	2.94	7.50	4.21	3.16	4.61	3.20	1.58	1.42	3.14	1.62
IFS < 2 ROPOMI	mean ratio	2.94	7.50	4.14	3.16	4.36	3.20	1.58	1.42	3.14	1.62
	z	7	m	m	7	m	7	7	7	7	-
< 20	median ratio	7.66	1	3.30	11.10	5.71	11.51	11.96	17.09	11.49	15.08
IFS > 2(ROPOMI	mean ratio	8.65	1	3.30	14.24	8.02	14.7	12.15	23.17	14.68	24.87
F	Z	60	1	1	497	6	441	27	51	444	66
	IFS emission setup		capped 0.1 mg m ⁻² d ⁻¹	capped 0.3 mg m ⁻² d ⁻¹		capped 0.3 mg m ⁻² d ⁻¹		β-optimized	β-optimized	updated soil NO _x	updated soil NO _x β-optimized
	IFS version	CY47R3.1	CY47R3.1	CY47R3.1	CY47R3.1	CY47R3.1	CY48R1.0	CY48R1.0	CY48R1.0	CY48R1.0	CY48R1.0
	emissions	GFASv1.4	GFASv1.4	GFASv1.4	GFA-S4F	GFA-S4F	GFA-S4F	GFASv1.4	GFA-S4F	GFA-S4F	GFA-S4F
	IFS run ID	BASE	BASE.CAP0.1	BASE.CAP0.3	GFA	GFA.CAP0.3	GFA.IFSCYCLE	BASEBETA	GFA.BETA	GFA.SOIL	GFA.BETA.SOIL





1238	Table A1. Overview of IFS-COMPO-COMPO simulations used in this paper for the larger
1239	Amazon region: four-letter/number IFS-COMPO simulation ID, fire emission database,
1240	other emission specifics and IFS-COMPOIFS-COMPOversion. Right columns indicate the
1241	IFS-COMPO simulation comparison with TROPOMI data statistics of the ratio between
1242	simulated and observed daily tropospheric NO2 columns for certain data selections.
1243	Indicated are the number of IFS-COMPO grids meeting the selection criteria (N) and the
1244	mean and median ratios. Data selections: IFS-COMPO > 20×10^{15} molecules cm ⁻² and
1245	TROPOMI $<$ 20×10^{15} molecules cm^-2; IFS-COMPO $<$ 20×10^{15} molecules cm^-2 and
1246	TROPOMI > 20×10^{15} molecules cm ⁻² IFS-COMPO > 10×10^{15} molecules cm ⁻² and
1247	TROPOMI < 10×10^{15} molecules cm ⁻² ; IFS-COMPO < 10×10^{15} molecules cm ⁻² and
1248	TROPOMI > 10×10^{15} molecules cm ⁻²





	2		2	2	
	\mathbf{R}^2	\mathbf{R}^2	\mathbf{R}^2	\mathbf{R}^2	
	[PEARSON]	[SPEARMAN]	[PEARSON]	[SPEARMAN]	
	AMAZO	DN			
	N	IO ₂	СО		
BASE vs BASE.BETA	0.925	0.900			
GFA vs GFA.BETA	0.774	0.757			
BASE vs GFA.BETA	0.631	0.556			
BASE vs GFA	0.709	0.586	0.680	0.539	
BASE.BETA vs GFA.BETA	0.774	0.690			
GFA vs BASE.BETA	0.689	0.568			
	BASE vs I	BASE.BETA			
AFRICA	0.978	0.976			
SIBERIA tundra	0.908	0.845			
SIBERIA steppe	0.249	0.309			

Table A2. Spatial correlations of emissions databases used in this study for the four $20^{\circ} \times 20^{\circ}$

1251 degree regions (Table 2). Note that the "BASE" simulation uses GFAS emissions. The lower

1252 three row contain the correlations for the non-Amazon regions for which only GFAS and β -

1253 optimized GFAS is available.







- 1255 Figure A1. Location of the S4F test areas for the development of methods. Source: © Google
- 1256 Maps 2024, Satellite Basemap, global view, https://www.google.com/maps/, 26-03-2024







Figure A2. (A) Spatial distribution of IFS-COMPO values of the median β -factor over the Amazon region based on: [1] daily simulation data for August-September 2020 [2] for model grids with emissions larger than 1•10⁻¹⁰ kg m⁻² s⁻¹ and [3] model grid NO₂ column values larger than 2×10¹⁵ molecules cm⁻². (B) Histogram of data displayed in panel (A).







Figure A3. (A) As Fig. 3, upper panel but without applying a daily median value bias correction for both the TROPOMI daily AAI and CO data. (B) as Fig. 3, middle panel,, upper panel but with applying a daily median value bias correction for TROPOMI CO data.










- 1268 Figure A4. As Fig. 3, upper panel (2D histogram of TROPOMI NO₂ tropospheric columns and
- 1269 CO total columns, the latter bias corrected using the daily median CO total column value) but
- 1270 for all individual months between June and October and for both 2019 and 2020.







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1273	Figure A5. As Fig. 5 but for (A, B) the smaller Amazon region as displayed in Figs. 1 and 2;
1274	(C, D) as Fig. 5 but with GFA-S4F fire emissions; (E, F) as Fig. 5 but with GFA-S4F fire
1275	emissions and for IFS-COMPO version CY48R1; (G, H) As Fig. 5 but with GFA-S4F
1276	emissions over the Amazon region and with updated soil NO_x emissions. Note that outside of
1277	the Amazon (25°S-EQ, 85°W-30°W) GFAS emission are used instead of the GFA-S4F
1278	emissions.











- **1281** Figure A6. As Fig. 5 but for (A, B) GFAS fire emissions capped at $1 \cdot 10^{-10}$ kg m⁻² s⁻¹; (C, D)
- 1282 GFAS fire emissions capped at $3 \cdot 10^{-10}$ kg m⁻² s⁻¹; (E, F) GFA-S4F fire emissions capped at
- 1283 $3 \cdot 10^{-10}$ kg m⁻² s⁻¹; (G, H) β -optimized GFAS emissions.



1285 Figure A7. As Fig. 5 (A, B) but for (A, B) β -optimized GFA-S4F emissions and (C, D) β -

1286 optimized GFA-S4F emissions and with updated soil NO_x emissions











- 1288 Figure A8. Comparison of IFS-COMPO and TROPOMI CO similar to Figs. 6-7-8 but for
- 1289 four different regions based on GFAS emission (IFS-COMPO run BASE). For the Amazon
- 1290 region also results from the IFS-COMPO simulation with GFA-S4F emissions are presented
- 1291 (second panel; IFS-COMPO run GFA). Statistics are summarized in Table A2.







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- 1294 Figure A9. Absolute and relative differences in regional daily NO_x emissions as in Fig. 9 but
- 1295 for (A, B) the sub-equatorial Africa region, (C, D) the Siberia tundra region and (E, F) the
- 1296 Siberia steppe region. See Appendix Fig. A1 for the location of these regions.