

# Coda-derived source properties estimated using local earthquakes in the Sea of Marmara, Türkiye

Berkan Özkan<sup>1</sup>, Tuna Eken<sup>1</sup>, Peter Gaebler<sup>2</sup>, Tuncay Taymaz<sup>1</sup>

<sup>1</sup> Department of Geophysical Engineering, Faculty of Mines, Istanbul Technical University, Maslak, 34467 Istanbul, Türkiye

5 <sup>2</sup> BGR Federal Institute for Geosciences and Natural Resources, Stilleweg 2, 30655, Hannover, Germany

*Correspondence to:* Berkan Özkan (ozkanber@itu.edu.tr)

**Abstract.** Accurate estimates of the moment magnitude of earthquakes that physically measures the earthquake source energy are crucial for improving our understanding of seismic hazard in regions prone to tectonic activity. To address this demand, a method involving coda wave modelling was employed to estimate the moment magnitudes of earthquakes in the Sea of Marmara, the north-western Türkiye. This approach enabled us to model the source displacement spectrum of 303 local earthquakes efficiently recorded at 49 regional seismic stations between 2018 and 2020 in the region. The coda wave traces of individual events were inverted across twelve frequency ranges between 0.3 and 16 Hz. The resultant coda-derived moment magnitudes were found to be in good accordance with the conventional local magnitude estimates. However, the notable move-out between local magnitude and coda-derived moment magnitude estimates for smaller earthquakes less than a magnitude of 10 3.5 likely occurs due to potential biases arising from incorrect assumptions for anelastic attenuation and/or the finite sampling intervals of seismic recordings. Scaling relations between the total radiated energy and seismic moment imply a nonself-similar behaviour for the earthquakes in the Sea of Marmara. Our findings suggest that larger earthquakes in the study area exhibit distinct rupture dynamics compared to smaller ones, resulting in a more efficient release of seismic energy. Hence, here we introduce an empirical relationship obtained from the scatter between local magnitude and coda-derived moment magnitude 15 estimates.

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## 1 Introduction

Having a strong and consistent understanding of source properties (e.g., moment magnitude  $M_L$ , released energy  $E_R$ , seismic moment  $M_0$ ) is extremely important in tectonically active regions such as the Sea of Marmara located at the northwest of the North Anatolian Fault Zone (NAFZ) in NW Türkiye. This is essential for accurately assessing seismic hazard potential, as it 25 primarily relies on creating dependable seismicity catalogues. Likewise, precise data on source parameters plays a significant role in the development of regional attenuation properties.

Traditional magnitude scales such as local, body wave, or surface wave magnitude scales ( $M_L$ ,  $m_b$ ,  $M_S$ ) derived from direct wave analyses may exhibit bias due to diverse factors including source radiation pattern, directivity, and path heterogeneities. These effects can cause significant changes in direct wave amplitude measurements (e.g., Favreau and Archuleta, 2003). Over

30 the past four decades since pioneering study of Aki (1969), computational seismology has achieved remarkable progress, enabling the integration of scattered wavefields, i.e., coda waves, into studies of source parameters (e.g., Sato et al., 2012). These developments have expanded our understanding of seismic events and improved the accuracy of source parameter estimation. Aki and Chouet, (1975) spotted that these scattered wave train and its spectral content behave similarly at recordings of different stations for a given earthquake. They further noticed coda duration is independent of azimuth or  
35 epicentral distance. More recently, studies analysing local and/or regional coda envelopes suggest that coda wave amplitudes are notably less variable, about 3 to 5 times, compared to direct wave amplitudes (e.g., Mayeda and Walter, 1996; Mayeda et al., 2003; Eken et al., 2004; Malagnini et al., 2004; Gök et al., 2016). It is widely recognized that local or regional coda waves mainly consist of scattered waves. These wave trains can be explained by Aki's single-scattering model (1969), which is significantly less sensitive to source radiation pattern effects compared to direct waves, owing to the volume-averaging  
40 property of coda waves that sample entire focal sphere (e.g., Aki and Chouet, 1975; Rautian and Khalturin, 1978). For a more in-depth understanding of coda generation theory and advances in empirical observations and modelling efforts, have been analysed and summarised in Sato et al. (2012).

Various methods depending on coda waves analysis have been utilized for earthquake source scaling. They are usually categorized into two groups. The first group of methods is known as the parametric approach and involves employing a coda  
45 normalization strategy. This requires applying corrections (including path effect, S-to-Coda transfer function, site effect, and any distance-dependent changes in coda envelope shape) on the measurements extracted from coda wave envelopes through empirically derived quality factors that account for seismic attenuation parameters (e.g., intrinsic and scattering factors) or site effect caused by near surface geology conditions. To determine the final source properties, reference events with pre-estimated seismic moments based on waveform inversion techniques are used. Forward calculation of the synthetic coda envelopes is  
50 achieved by using either single-backscattering or more advanced multiple-backscattering approximations (Sato et al., 2012). Empirical coda envelope methods have been successfully applied in regions with complex tectonics, such as northern Italy (e.g., Morasca et al., 2008), throughout Türkiye and the Middle East (e.g., Mayeda et al., 2003; Eken et al., 2004; Gök et al., 2016), and the Korean Peninsula (e.g., Yoo et al., 2011).

The approaches in the second group involve estimating source and structural properties using a joint inversion technique in  
55 which source-, path-, and site-specific factors are optimized simultaneously by comparing observed coda envelope with its physically derived representative synthetic coda envelope within a selected time window including both the observed coda and direct S-wave parts. While the conventional coda normalization method corrects for undesired effects of source and site amplifications, it may not work well for small events with short coda lengths. This occurs mainly due to dominating random seismic noise that disrupts the requirement of a homogeneous coda wave energy distribution in space. To overcome this  
60 limitation, we incorporate source excitation and site amplification terms in the inversion process in which synthetic coda wave envelopes are analytically expressed via the radiative transfer theory (RTT). The RTT was originally implemented on coda waves by Sens-Schönfelder and Wegler (2006), and has been successfully tested on local and regional earthquakes ( $4 \leq M_L \leq 6$ ) detected by the German Regional Seismic Network. Moreover, it has been applied to investigate source- and frequency-

dependent attenuation properties in various geological settings, including the upper Rhine Graben and Molasse basin regions in Germany (Eulenfeld and Wegler, 2016), western Bohemia–Vogtland in Czechia (Gaebler et al., 2015a), the entire United States (Eulenfeld and Wegler, 2017), and the central and western NAFZ (Gaebler et al., 2019; Izgi et al., 2020). Previous studies (Gusev and Abubakirov, 1996) have further considered a more realistic Earth model with anisotropic scattering conditions, resulting in peak broadening effects of direct seismic wave arrivals. The propagation of P-wave elastic energy and the conversion between P- and S-wave energies with this approach has been used in Zeng et al. (1991), Przybilla and Korn (2008), and Gaebler et al. (2015b).

In this study, we generate source spectra for 303 local events with magnitudes  $2.5 \leq M_L \leq 5.7$  that occurred in the Sea of Marmara region as the product of a joint inversion of S-wave and coda wave components extracted. To estimate coda-derived source spectra and further moment magnitude and total radiated seismic energy of these selected earthquakes we utilized an open-source python based Qopen software (Eulenfeld, 2020), which employs the isotropic acoustic RTT to calculate synthetic coda envelopes. Gaebler et al. (2015a) have noted that modelling outcomes from isotropic scattering were nearly equivalent to those inferred from more complex elastic RTT simulations with anisotropic scattering conditions. Adopting the joint inversion technique offers advantages, as it remains unaffected by potential biases that could arise from external information, such as i.e., source properties of a reference earthquake that are separately estimated and then used for calibration in coda-normalization methods. The advantage of the approach exploited in this work stems from the analytical expression of a physical model incorporating source- and path-related parameters to describe the scattering process. Furthermore, the optimization process during the joint inversion enables source parameter estimates for relatively small-size events compared to those employed in coda normalization methods.

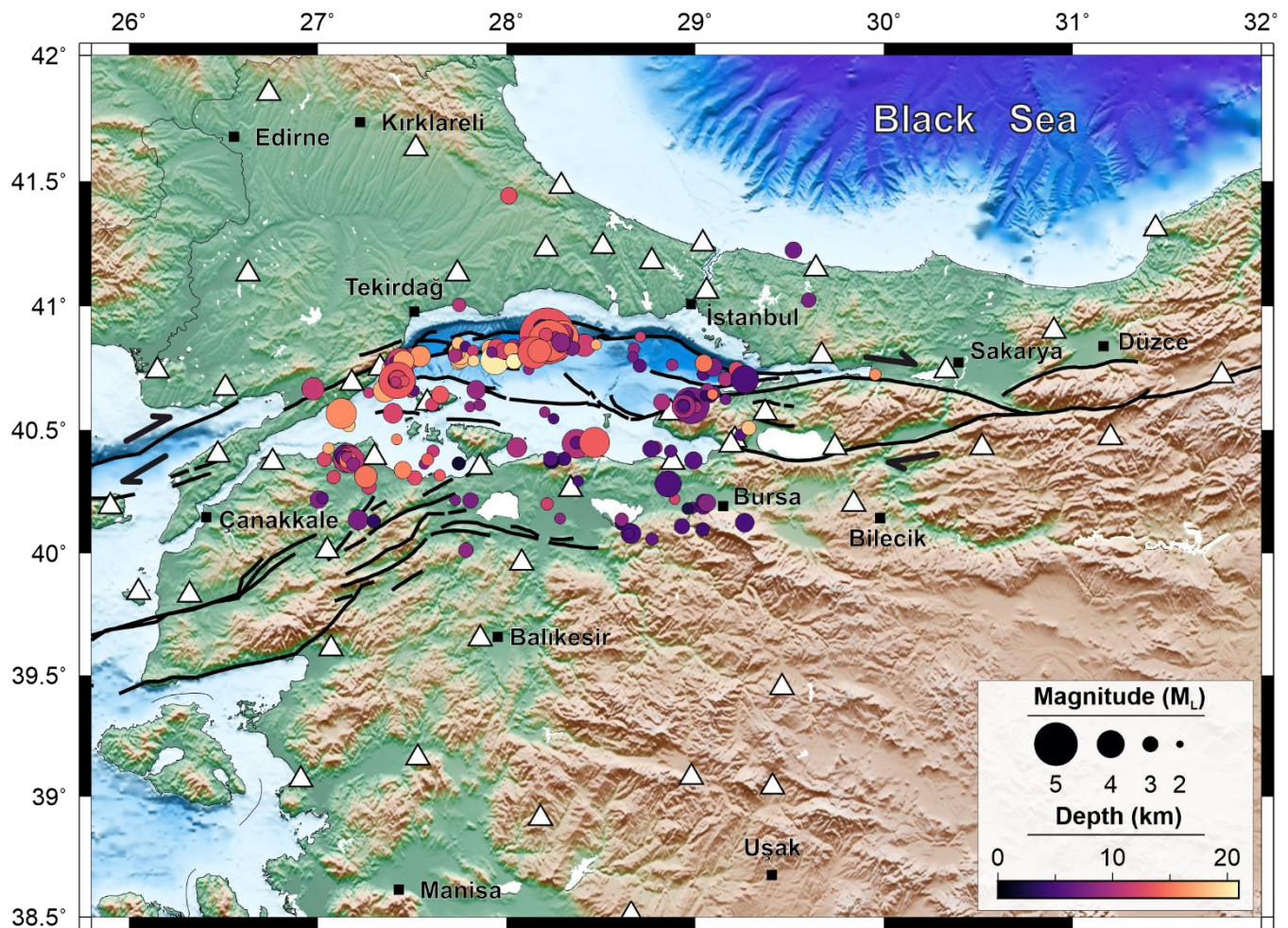
## **2 Regional Settings and Seismic Hazard Potential in the Sea of Marmara, NW Türkiye**

Our study area is the Sea of Marmara, located in the northwest of the 1600-km-long right lateral strike-slip North Anatolian Fault Zone (NAFZ) that outlines a boundary between the Eurasian plate to the north and the Anatolian plate to the south (Taymaz et al., 1991, 2004, 2007, 2021). The NAFZ has experienced numerous devastating historical earthquakes that have ruptured throughout its the entire length with an overall westward migrating pattern (Stein et al., 1997). The first major earthquake of significant consequence within our specific area of interest occurred along the Ganos segment situated at the westernmost part of the NAFZ in 1912. More recently, two destructive earthquakes, namely the Izmit earthquake ( $M_w$  7.4, August 17, 1999) and the Düzce earthquake ( $M_w$  7.2, November 12, 1999), have affected the north-western branch of the NAFZ. Barka et al. (2002), depending on the historical earthquake records published in Ambraseys and Jackson (2000) has reported the region lying between the 1912 and 1999 ruptures represents a seismic gap in the Sea of Marmara. Following the 1999  $M_w$  7.4 Izmit earthquake, Coulomb stress change calculations performed by King et al. (2001) and Durand et al. (2013) demonstrate that new stress accumulation is focused on this western branch in the Sea of Marmara. In fact, precise locations of microseismicity indicated that the two 1999 earthquakes activated seismicity to the south of Istanbul along the northwest

branch of the NAFZ beneath the Sea of Marmara (e.g., Bohnhoff et al., 2013; Sato et al., 2004; Schmittbuhl et al., 2016). Martínez-Garzón et al. (2019, 2022) have indicated that a frequent interaction between seismic and aseismic slip based on their analyses on microseismicity recordings and borehole strainmeter data from the eastern Marmara. The seismic gap along the northern segment of the NAFZ within the Çınarcık Basin at the eastern shear zone of the Sea of Marmara is well identified by high-resolution observations of microseismicity (e.g., Sato et al., 2004; Bohnhoff et al. 2013) and geodetic locking depth estimates (Ergintav et al., 2014). The existing seismic gap of ~150 km unruptured Main Marmara Fault segment (the combination of North Boundary and Central Marmara segment) of the NAFZ beneath the Sea of Marmara has been subject to several studies mainly involving spatio-temporal microseismicity characteristics (e.g., Sato et al., 2004; Bohnhoff et al., 2013; Schmittbuhl et al., 2016; Wollin et al., 2018; Irmak et al., 2021). This area is predicted to be the location of a potential major earthquake in the future, postulated by Bohnhoff et al. (2013). Therefore, it is crucial to have accurate estimates of the physical measures of energy released during small-to-moderate size earthquakes to improve seismic hazard assessments in this tectonically active region.

### 3 Data

In this study, we exploited digital waveforms of local earthquake recordings from at 49 broadband seismic stations in the Sea of Marmara between 2018 and 2020 (Fig 1). We benefited from revised earthquake catalogue information acquired from the Kandilli Observatory and Earthquake Research Institute (KOERI) to extract waveform data for a total of 375 examined events with station–event pair distance less than 200 km and focal depths less than 20 km. The majority of seismic activity related to NAFZ in the Sea of Marmara. There are no further requirements, such as taking geographical distribution or azimuthal coverage into account as coda waves provide a path-wide averaging effect (e.g., Mayeda et al., 2003). Here, to start with we first deconvolve the instrument response to better mimic the actual ground motion on seismograms. Our data pre-processing steps involved band-pass filtering of velocity seismograms using a Butterworth type band-pass filter at several frequency bands with central frequencies of 0.3, 0.5, 0.7, 1, 1.4, 2.0, 2.8, 4.0, 6.0, 8.0, 12.0, 16.0 Hz that varied depending on the spectral content of a specified event.



120 **Figure 1: Spatial distribution of 303 local events ( $2.5 \leq M_L \leq 5.7$ ) occurred between 2018 and 2020 are displayed with circles color-coded by focal depths reported by the KOERI catalogue. White triangles indicate used stations in the present work.**

Later, we performed a Hilbert transform on the filtered waveform data between each frequency bands to generate the total energy envelopes. To predict the P- and S-wave onsets on these envelopes, an average crustal velocity model was employed. Based on this information, several steps taken to ensure to more accurate  $M_0$ , and thus coda-derived moment magnitude

125 ( $M_{w-coda}$ ) can be given as follows:

- i. The noise level before the P-wave onset was disregarded,
  - ii. The S-wave window was defined, starting 8 s prior to and 10 s after the S-wave onset to include all direct S-wave energy effectively,
  - iii. Following the S-wave window, a coda window starts at 5 s before and ends 150 s after the S-wave onset or it ends if
- 130 signal-to-noise ratio (SNR) of 3.

Here it is worth mentioning that the length of the coda windows might be shortened under two circumstances: when the SNR is less than 2.5, or when coda waves from two earthquakes (e.g., aftershock sequences) occur within the same analysis window, leading to an additional rise rather than a decrease in the envelope.

The earthquakes with less than 10 s of coda length and the earthquakes with the recordings of less than 4 stations were disregarded by our automated process. We further conducted a visual inspection on each waveform to assure high-quality data. After applying these criteria, 6557 station-event pairs from 303 out of 375 all analysed earthquakes ( $2.5 \leq M_L \leq 5.7$  within a radius of 200 km) remained for further data modelling process.

## 4 Method

### 4.1 $M_w$ -coda Estimation

We used an inversion scheme adopted by Eken (2019). Procedure was originally developed by Sens-Schönfelder and Wegler (2006), and later on Eulenfeld and Wegler (2016) modified it to model intrinsic and scattering attenuation parameters.

The forward part dealing with the energy density computation for a particular frequency band assuming a source that emits radiation uniformly in all directions (isotropic), is given by Sens-Schönfelder and Wegler (2006) as follows,

$$E_{mod}(t, r) = WR(r)G(t, r, g)e^{-bt} \quad (1)$$

where R and W indicate the energy site amplification factor, and source term, respectively. b represents the intrinsic attenuation parameter.  $G(t, r, g)$  indicates the Green's function and considers both direct and scattered wave fields. Its analytical expression is given by Paasschens (1997) as follow:

$$G(t, r, g_0) = \exp(-v_0 t g_0) \left[ \frac{\delta(r-v_0 t)}{4\pi r^2} + \left(\frac{4\pi v_0}{3g_0}\right)^{-\frac{3}{2}} t^{-\frac{3}{2}} \left(1 - \frac{r^2}{v_0^2 t^2}\right)^{\frac{1}{8}} K \left( v_0 t g_0 \left(1 - \frac{r^2}{v_0^2 t^2}\right)^{\frac{3}{4}} \right) H(v_0 t - r) \right] \quad (2)$$

$$\text{with } K(x) = e^x \sqrt{1 + \frac{2.026}{x}}$$

where  $g_0$  is the scattering coefficient and  $v_0$  is the mean S-wave velocity. In Eq. 2 the term given within the Dirac delta function describes the direct wave and the rest represents scattered wave part of the Green's function.

Potential differences between predicted and observed energy densities for each earthquake recorded at each station using  $N_{ij}$  time samples in a specific frequency band can be minimized by

$$\epsilon(g) = \sum_{i,j,k}^{N_S, N_E, N_{ij}} (\ln E_{ijk}^{obs} - \ln E_{ijk}^{mod}(g))^2 \quad (3)$$

where,  $N_S$  and  $N_E$  represent the numbers of stations (index  $i$ ) and events (index  $j$ ), respectively. Then the scattering attenuation parameter ( $g$ ) will be optimized following Eq. 4.

$$\ln E_{ijk}^{obs} = \ln E_{ijk}^{mod}(g) \quad (4)$$

Substituting Eq. 1 into Eq. 4 will give Eq. 5

$$\ln E_{ijk}^{obs} = \ln G(t_{i,j,k}, r_{ij}, g) + \ln R_i + \ln W_j + bt_{ijk} \quad (5)$$

160 Eq. 5 contains  $\sum_{i,j} N_{ij}$  equations and  $N_S + N_E + 1$  variables as it indicates an overdetermined inversion problem by having  $b$ ,  $R_i$ , and  $W_j$  unknown parameters. Thus Eq. 5 can be solved by using a least-squares approach.  $\epsilon(g)$  can be defined by the sum over the squared residuals of the solution.

The three main steps followed in this inversion scheme to optimize unknown model parameters ( $g$ ,  $b$ ,  $R_i$ , and  $W_j$ ) are given in Eulenfeld and Wegler (2016).

- 165
- i. Calculation of the Green's function for fixed scattering parameter  $g$  and minimizing Eq. 5 to solve for  $b$ ,  $R_i$ , and  $W_j$ .
  - ii. Calculation of  $\epsilon(g)$  through Eq. 3.
  - iii. Repeating the step i and ii by letting  $g$  to vary to find the optimal  $b$ ,  $R_i$ , and  $W_j$ , until the error function  $\epsilon(g)$  is minimized.

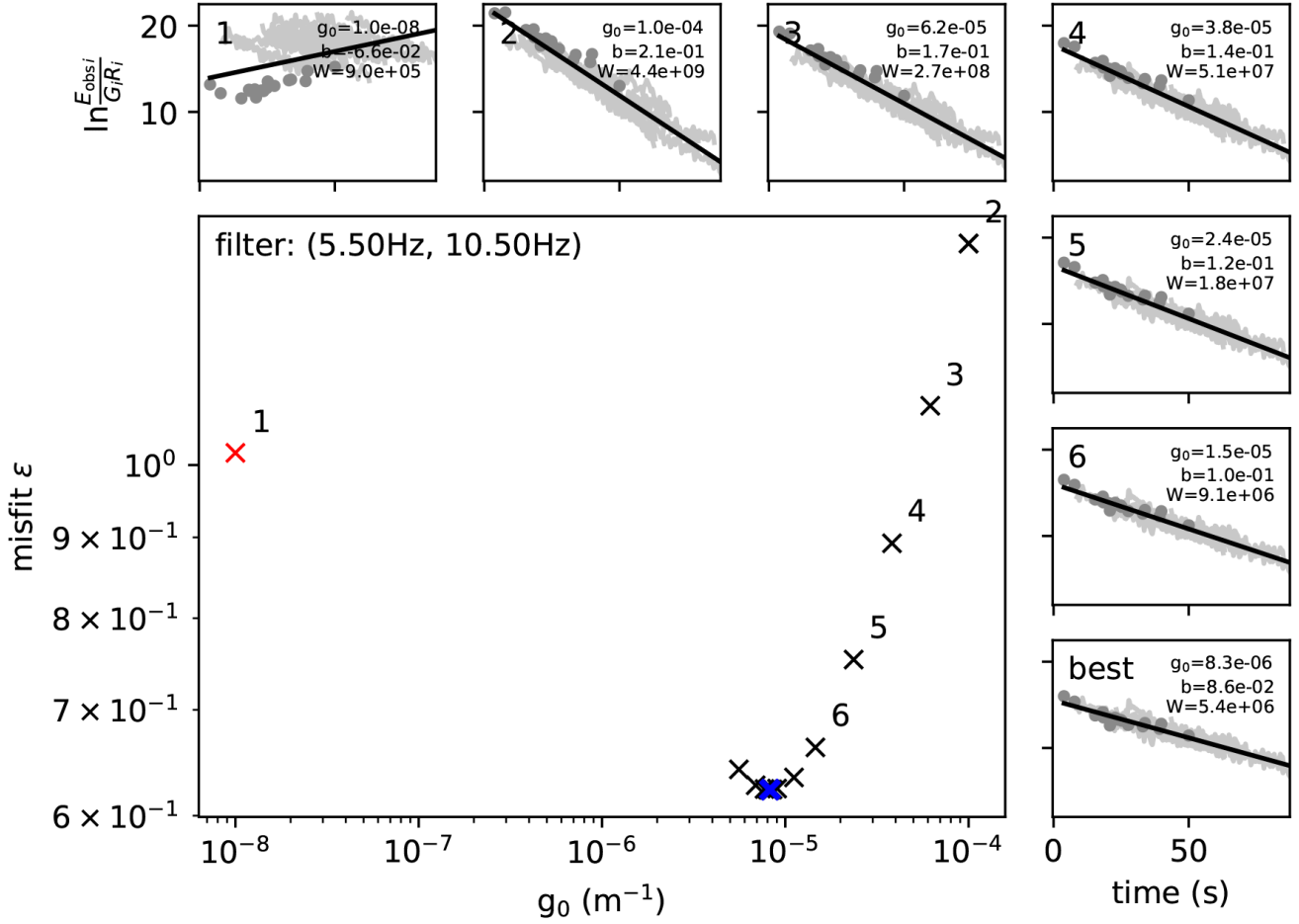
170 In Fig. 2 we present an example for this minimization process that was applied to the observed coda envelopes at twelve different frequency bands generated by using one selected earthquake recorded at 49 seismic stations of the study area.

The yield of the minimization of the error function  $\epsilon(g)$  outlined above will be the spectral source energy term  $W_j$ , site response  $R_i$ , and attenuation parameters  $b$  and  $g$ , that satisfy the optimal fitting between observed and predicted coda envelopes.

Using spectral source energy  $W$  in frequency domain, source displacement spectrum and thus  $M_0$  and  $M_w$  can be obtained. (Sato et al., 2012) describe the S-wave source displacement spectrum considering a double-couple source in the far field as,

$$175 \quad \omega M(f) = \sqrt{\frac{5\rho_0 v_0^5 W}{2\pi f^2}} \quad (6)$$

Here  $W$  is the radiated S-wave energy at a center frequency  $f$ ,  $v_0$  is the mean S-wave speed, and  $\rho_0$  is the density of the medium.



180 **Figure 2: Optimization process for the event (30 November 2018  $M_L = 2.9$  and  $M_{w-coda} = 3.02$ ) recorded at 23 diverse stations (frequency band 5.5 Hz - 10.5 Hz). Large panel shows the plot of the  $\epsilon$  as a function of  $g_0$  for the given frequency band. Blue cross shows the least misfit. Numbered small panels display least square solutions for the different  $g_0$  guesses and best fit for optimal  $g_0$ . Dark grey dots represent the ratio  $E_{obs}/GR$  and grey lines represents the observed envelopes from different stations. Thick black line is the line-fit to estimate  $b$  and  $W$  by using its slope.**

Abercrombie (1995) elucidated the correlation between the obtained source displacement spectrum and the  $M_0$  by

$$185 \quad \omega M(f) = M_0 \left( 1 + \left( \frac{f}{f_c} \right)^{\gamma n} \right)^{-\frac{1}{\gamma}} \quad (7)$$

where  $n$  and  $\gamma$  represent the high frequency fall-off and the shape parameter, respectively. The latter determines the sharpness of the spectrum between the low-frequency constant level  $M_0$  and the high-frequency fall-off with  $f^{-n}$ . By taking the natural logarithm of Eq. 7 we get then,

$$\ln \omega M(f) = \ln M_0 - \frac{1}{\gamma} \ln \left( 1 + \left( \frac{f}{f_c} \right)^{\gamma n} \right) \quad (8)$$



190 The observed source displacement spectrum data  $\omega M(f)$ , can be used to determine the other parameters such as  $M_0$ ,  $\gamma$ ,  $n$  and  $f_c$ , in an inversion. Lastly, one of the aims of the present work can be done,  $M_{w-coda}$  can be derived from computed  $M_0$ , using the formula given by Hanks and Kanamori (1979):

In Eq. 8 essentially an optimization problem is outlined where the obtained data source displacement spectrum data (on the left) can be modelled to estimate four unknown parameters of the source ( $M_0$ ,  $\gamma$ ,  $n$ , and  $f_c$ ). This is accomplished through a  
 195 simultaneous least-squares inversion approach. Subsequently,  $M_{w-coda}$ , can be computed using the modeled source parameters and  $M_0$ , employing a formula introduced by Hanks and Kanamori (1979):

$$M_{w-coda} = \frac{2}{3} \log_{10} M_0 - 6.07 \quad (9)$$

#### 4.2 Total Radiated Seismic Energy Estimation

In order to estimate the  $E_R$  first we integrate source displacement spectrum,  $\omega M(f)$ , and following the theoretical formula  
 200 given by Gök et al. (2009). To be able to exploit the considerable part of the energy associated to the lower frequency part, observed spectrum is extrapolated to  $f = 0$  Hz.

Here the S-wave radiated energy ( $E_\beta$ ) can be calculated by taking integral of the energy flux in a source sphere (Patton and Walter, 1993).

$$E_\beta = \frac{4\pi}{4\rho\beta^5} \int_0^\infty |M(f)|^2 df = \frac{\pi^2 f_c^3 M_0^2}{5\rho\beta^5} \quad (10)$$

205 where density  $\rho = 2700 \text{ kg/m}^3$ , s-wave velocity  $\beta = 3.5 \text{ km/s}$ .  $f_c$  and  $M_0$  represent corner frequency and seismic moment estimates obtained from the inversion procedure described in Eq. 8. Here we assume that the contribution from the P-wave radiated energy ( $E_\alpha$ ) to the total radiated energy is about 7 % of S-wave (e.g., Boatwright and Fletcher, 1984; Mayeda and Walter, 1996). Finally, the sum of P-wave and S-wave radiated energies yield total seismic radiated energy ( $E_R$ ).

## 5 Results and Interpretations

### 210 5.1 Coda Wave Envelope Fits

Our preferred acoustic RTT approach to perform forward calculation of the synthetic envelope modelling enabled the modelling of the S-wave energy propagation, thus the comparison between the synthetic and observed data, which is the portion of the seismograms directly between the S-wave arrival and the subsequent seismic coda. Previously Ryzhik et al. (1996) and Gaebler et al. (2015b) proved the validity of this approach due to the dominance of S-wave energy throughout the  
 215 seismic signal, encompassing both the initial S-wave arrival and the later portions of the seismic coda. In Fig. 3, envelope fit results are presented for a selected earthquake with  $M_L$  2.9 at different frequency bands (with central frequencies of 3.0, 4.0, 6.0, 8.0, 12.0 and 16.0). The data windows length of coda wave trains ranged from -10 s to 100 s relative to the onset time for

all events in the present study. For the optimization process, the bounds for  $g_0$  and  $b$  were chosen to vary between  $10^{-8} - 10^{-4}$  and  $10^{-3} - 10^1$ , respectively. Ultimately, unknown  $g_0$ ,  $b$ , and  $W$  is determined by selecting the most suitable combination of model parameters enabling the lowest error value within each frequency band. Figure 2 shows a summary of inversion process behind the envelope fitting process. Accordingly, we can understand the range of the tested  $g_0$  values and further associated estimations of  $b$  and  $W$  at each iteration. Overall coda envelope fittings clearly illustrates that the synthetic coda envelopes are effectively required by the observed data across diverse regions within the study area and for events with varying magnitudes. The decay of the seismic coda within time windows of up to -10 - 100 seconds is also precisely modelled, with a notable faster decay for higher frequencies. The quality of the envelope fits is comparable to those previously presented by Gaebler et al. (2015a), Eulenfeld and Wegler (2016), Gaebler et al. (2019), Eken (2019), and Izgi et al. (2020).

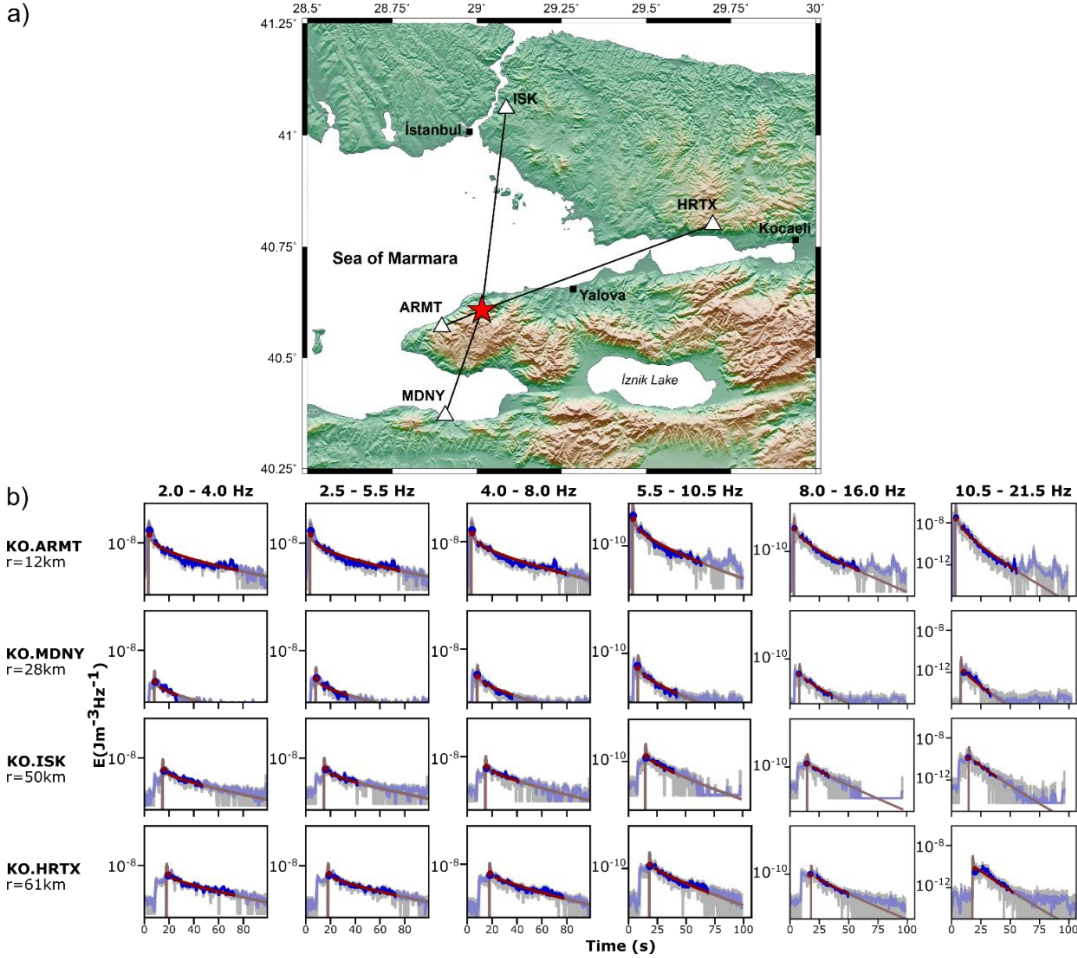
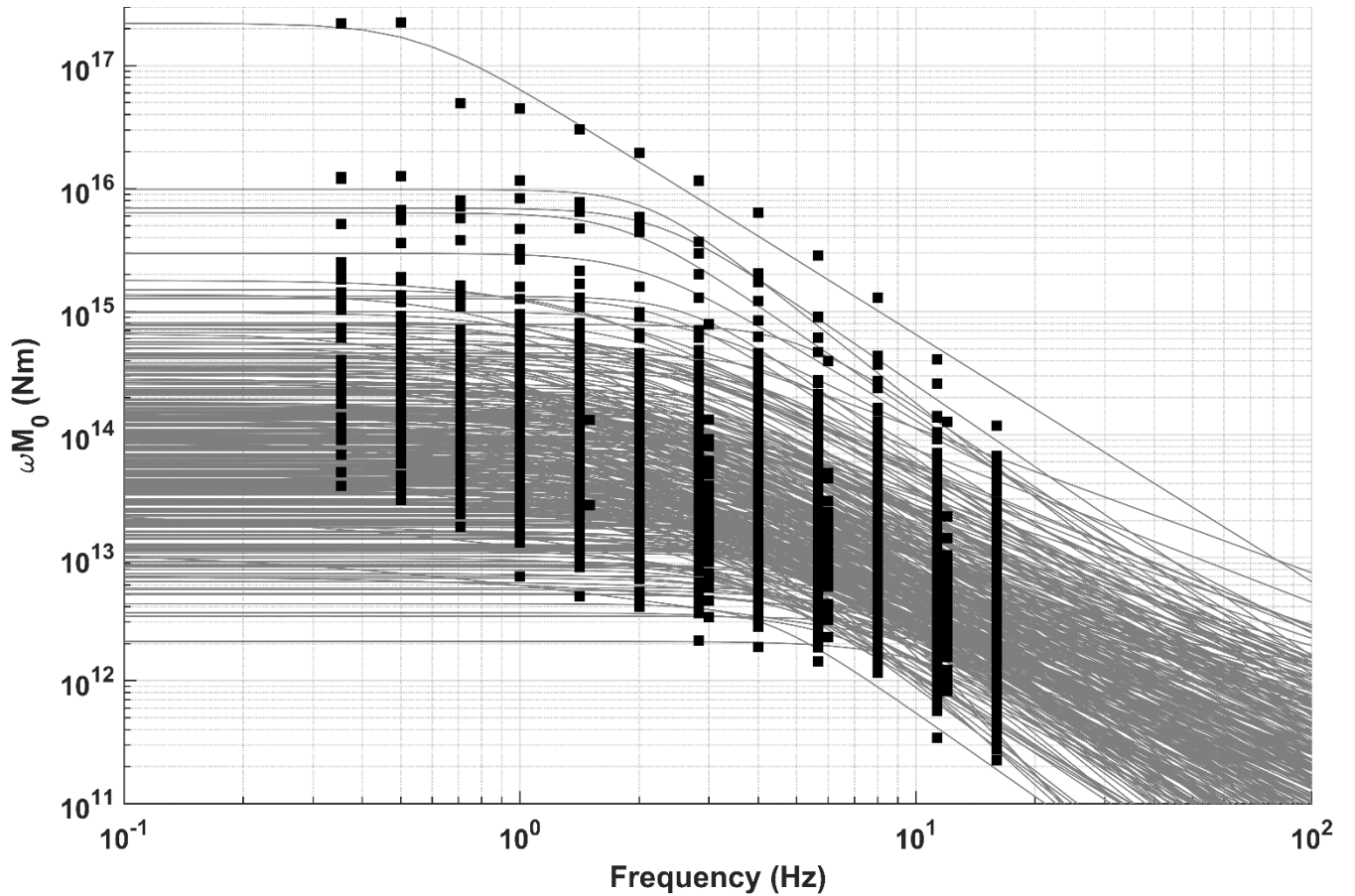


Figure 3: a) Example event occurred in 30 November 2018 with  $M_L = 2.9$  (shown with red star) and station pairs (shown with white triangles). b) Fits between observed and calculated energy densities for an example event. Grey and blue lines indicate the observed and its smoothed version, respectively. Red curves represent the computed synthetic envelopes calculated using the inversion process.

## 5.2 Coda Wave Source Spectra

We present the observed values of 303 source spectra (Fig. 4) that were generated by implementing estimated spectral source energy term  $W$  at each frequency into Eq. 7. In overall, the obtained spectra models (Fig. 4) appear to be well consistent with a typically expected shape of a source displacement spectrum, featuring a flat region at around the low-frequency limit and a gradual decrease beyond a corner frequency. Earlier Walter et al. (1995) and Mayeda et al. (2003) have shown the use of coda waves would be more advantageous in scaling-up the earthquake size as they are rather insensitive to differences in the source radiation pattern and path effect. This mainly stems from the influence of multiple-scattering caused by small-scale heterogeneities lead to an averaging effect on coda waves. Eulenfeld and Wegler (2016) claimed the minor impact of radiation pattern on S-wave coda, but that it could potentially disrupt attenuation models inferred from direct S-wave analyses if the station distribution concerning the earthquakes lacks comprehensive azimuthal coverage. The characteristics of a source displacement spectrum, for example,  $f_c$ ,  $M_0$ , and  $n$  may be misleading in traditional approaches (e.g., Abercrombie, 1995; Kwiatek et al., 2011) as they often underestimate potential complexities of the source and structure by considering a fixed frequency-independent attenuation effect described by a factor exponent ( $-\pi f t Q^{-1}$ ) over the spectrum and an omega-square model (Brune, 1970) with a constant high-frequency fall-off parameter,  $n = 2$ . In the present work, however, we build the source spectra based on a source term decomposed from the effect of intrinsic and scattering attenuation. Separate estimation of source and structure-related terms is achieved by a simultaneous inversion procedure in which the high-frequency fall-off parameter changes. In line with previous investigations (e.g., Ambeh and Fairhead, 1991; Eulenfeld and Wegler, 2016), we also noted that adopting a more realistic methodology, as opposed to the traditional approach using the omega-square model (where  $n > 3$ ), led to notable discrepancies. These deviations are significant to prompt a reassessment of the widely accepted use of this model for explaining minor earthquakes.



**Figure 4: Black squares indicate observed source displacement spectra and grey curves represents predicted source displacements spectra for all individual 303 local earthquakes.**

Earlier observations (e.g., Papageorgiou and Aki, 1983; Atkinson, 1990; Joyner, 1984) indicated that source spectra, especially  
 255 for large earthquakes, could be better described by models involving two corner frequencies. More recently, Denolle and  
 Shearer (2016) reported that the conventional single-corner frequency spectral model failed to explain P-wave source spectra  
 for large thrust earthquakes ( $M_w$  5.5 and above). To overcome this, they proposed a double-corner frequency model with a  
 lower-corner frequency associated to source duration and an upper-corner frequency indicating a shorter timescale unrelated  
 to source duration. This upper-corner frequency also exhibits its own scaling relationship. Uchide and Imanishi (2016) reported  
 260 differences from the omega-square model for smaller earthquakes following the application of a spectral ratio technique to  
 shallow earthquakes with the magnitudes ranging between  $M_w$  3.2 – 4.0 in Japan. They attributed these differences to fault  
 heterogeneities, applied stress, and high-frequency fall-off exponent variations. We observed high-frequency fall-off  
 parameters ranged from  $n = 0.5$  to  $n = 3.5$  as they were estimated between 2 and 2.5 aligned more closely with earthquakes  
 with  $M_{w-coda} > 3.5$ . The smaller magnitudes, on the other hand, exhibited a more scattered pattern in the variation of  $n$  (Fig.  
 265 5). Eulenfeld and Wegler (2016) argued that a more effective strategy for inverting station displacement spectra to estimate

270 source parameters involves employing separate estimates of attenuation or accounting for path effects through empirically determined Green's functions. This is, mostly, required for smaller earthquakes (with  $n > 2$ ), given that an omega-square model can distort estimates of  $f_c$  and  $M_0$ , particularly in the regions of strong frequency-dependent quality factor ( $Q$ ). Hence, we suggest, when performing inversion for source parameters, it is essential to incorporate independent  $Q$  estimates or remove the path influence including the attenuation via empirically determined Green's functions (Eulenfeld and Wegler, 2016).

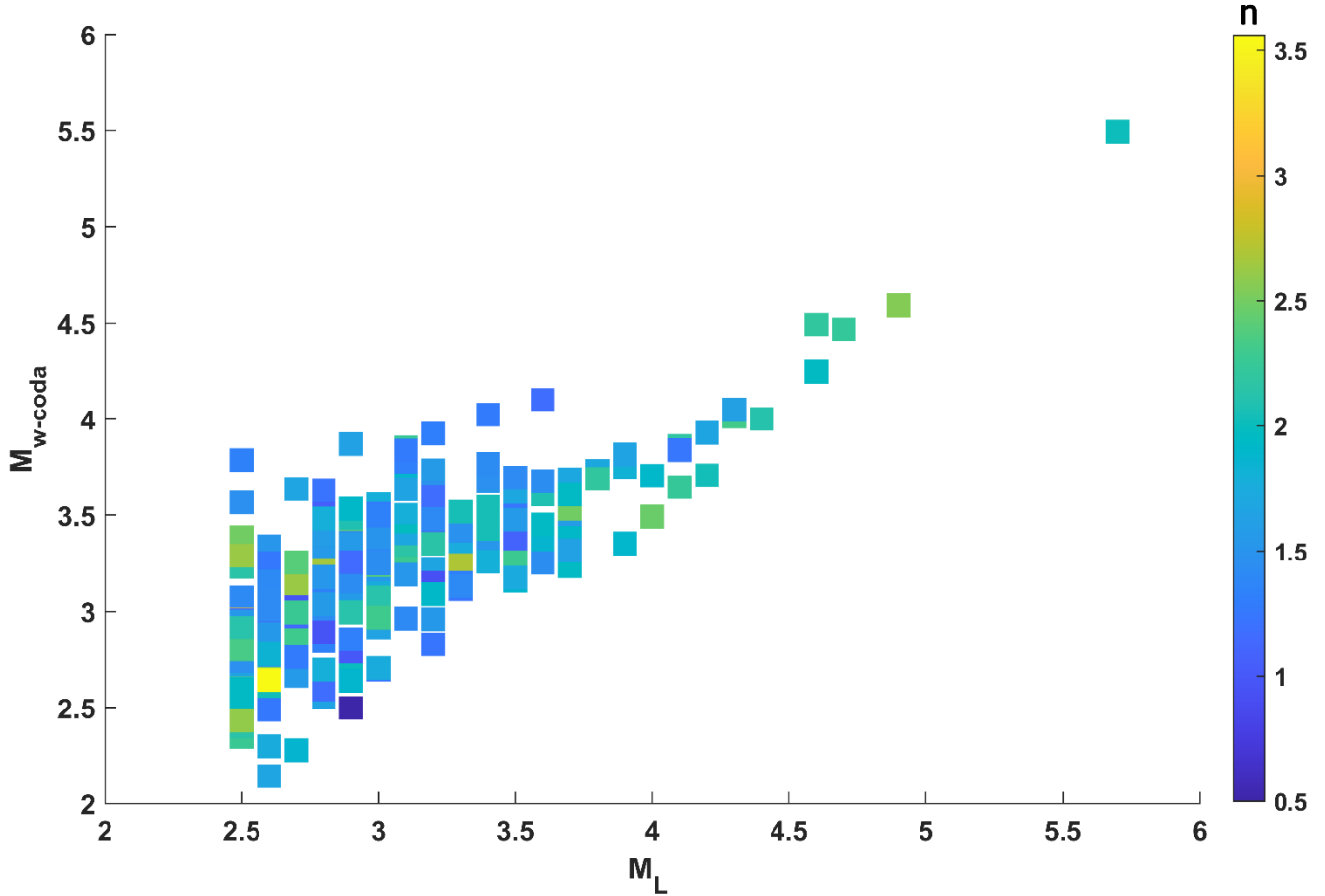
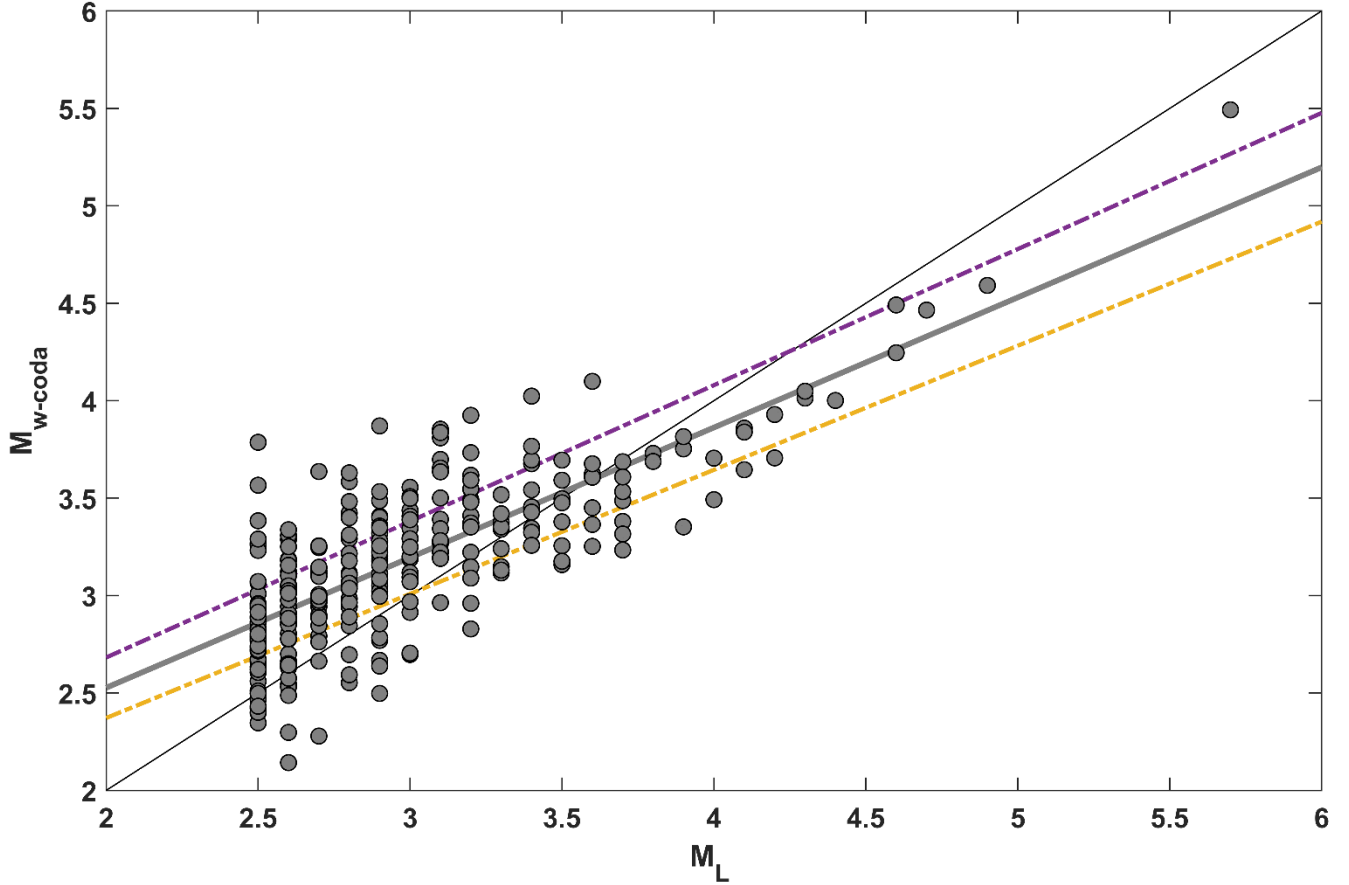


Figure 5: Scatter plot of  $M_{w-coda}$  as a function of  $M_L$  with high frequency fall-off parameters  $n$ . Value of the  $n$ , is color coded with legend on the right.

### 5.3 Coda-derived Moment Magnitude ( $M_{w-coda}$ )

275 A comparison between  $M_L$ -based catalogue magnitudes (the KOERI earthquake catalogues) and our  $M_{w-coda}$  indicates, an overall good accordance between them, except only for a few outliers caused by small-magnitude earthquakes. This can be considered to be an effective usage of a straightforward model using first-order approximation for S-wave scattering with an isotropic acoustic radiative transfer approach in relating the amplitude and decay characteristics of coda wave envelopes to the  $M_0$  of an earthquake at its source.

280 Here we introduce an empirical equation (Eq. 11) that is obtained based on a linear regression analysis between  $M_{w-coda}$  and  $M_L$  (Fig. 6). It can be used to convert  $M_L$  into  $M_{w-coda}$  for local earthquakes in this region conducted a linear regression analysis between  $M_{w-coda}$  and  $M_L$  (Fig. 6).



285 **Figure 6: Scatter plot of  $M_{w-coda}$  as a function of  $M_L$ . Bold grey line represents the linear regression fit and dashed lines are the standard deviation.**

$$M_{w-coda} = (0.6677 \mp 0.0309)M_L + 1.1914 \mp 0.09345 \quad (11)$$

In one of the earliest examples of this type of comparison, an empirical linear logarithmic relationship between  $M_0$  and  $M_L$  for earthquakes near Oroville, California was established by Bakun and Lindh (1977). Other studies have explored the optimal relation between  $M_w$  and  $M_L$  using linear and/or nonlinear curve-fitting techniques. Instead of using a single linear fit, Malagnini and Munafò (2018) proposed two separate linear fits for  $M_L$ - $M_w$  data points from earthquakes in the central and northern Apennines, Italy, divided by a crossover at  $M_L = 4.3$ . Various factors i.e., source scaling, crustal attenuation and/or regional attenuation, focal depth, and rigidity of the source region were considered in the regression analyses. Relatively complicated form of empirical functions, for instance, a second-order polynomial form (e.g. Edwards and Rietbrock, 2009) associating local magnitude estimates from the Japan Meteorological Agency (JMA) with the  $M_w$ , a hybrid type of scaling

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295 relation (e.g., Goertz-Allmann et al., 2011) with a quadratic form in between ( $2 \leq M_L \leq 4$ ) and linear outside this range tested  
for Swiss earthquakes, or a quadratic form of correlation between JMA magnitudes and  $M_w$  of the seismic activity in the  
Fukushima Hamadori and northern Ibaraki prefecture areas of Japan (Uchide and Imanishi, 2018) have been proposed in recent  
years. The empirical curve derived in Uchide and Imanishi (2018) indicated a notable difference between these two magnitude  
300 attenuation and presumable limitations of recording through a finite sampling interval.

Our linear empirical relation between  $M_{w-coda}$  and  $M_L$  highlight an apparent move-out in Fig. 5 and Eq. 10 as being consistent  
with findings from early applications of the same type of coda waves modelling studies performed in different geological parts  
in Türkiye including central and western of the NAFZ (e.g., Gaebler et al., 2019; Izgi et al., 2020) or central Anatolia (Eken,  
2019). This likely occurs due to the use of different magnitude scales for comparison. Traditional magnitude scales, such as  
305  $M_L$  based on phase amplitude measurements are prone to be affected by attenuation and path variations (Pasyanos et al., 2016).  
In contrast, seismic-moment-based moment magnitude ( $M_w$ ) directly measures the strength of an earthquake from fault slip.  
It is derived from a mostly flat portion of source spectra at lower frequencies, making it less affected by near-surface  
attenuation. Relatively good agreement between  $M_{w-coda}$  and  $M_L$  scales for the earthquakes with  $M_{w-coda} > 3.5$  demonstrate  
the efficacy of the nonempirical method in this tectonically complicated region. This is expected for larger earthquakes whose  
310 source displacement spectra will carry more energy at lower frequencies. A similar behaviour of such coherence was observed  
in this region from the previous works where source characteristics of local and regional earthquakes were examined using  
empirical coda methods assuming simple 1-D radially symmetric path correction (e.g., Eken et al., 2004; Gök et al., 2016).  
Previous empirical coda envelope modelling studies (e.g., Mayeda et al., 2005a; Morasca et al., 2010) were able to estimate  
accurate coda-wave-derived source parameters using 2-D path-corrected station techniques that account for amplitude-distance  
315 relationships. However, noticeable outliers in our estimates (Fig. 5, 6) for the events with magnitudes less than  $M_{w-coda}$  3.5  
could be attributed to potential biases in  $M_L$  values extracted from the catalogue as well as small biases in the intrinsic and  
scattering attenuation terms. Beside this such discrepancies may reflect the effects of mode conversions between body and  
surface waves or surface-to-surface wave scattering, which extend beyond low frequencies (Sens-Schönfelder and Wegler,  
2006).

#### 320 **5.4 Self – Similarity**

Accurate estimates of the  $M_0$ , overall radiated seismic energy of earthquakes, and associated scaled energy ( $E_R/M_0$ ) is of great  
importance for clarifying dynamic modeling scenarios that are helpful to understand ground shaking for large damaging  
earthquakes as well as the physics behind faulting process. This is mainly because the issue of how big the earthquake ground  
motions is proportional to radiated energy at the source (e.g., Brune, 1970). Whether earthquakes exhibit self-similar scaling,  
325 or larger earthquakes differ in dynamics from smaller ones has been a subject of debate for a long time. Answering this question  
is essential for both making decent seismic hazard assessment and inferences on the fundamentals of rupture dynamics during  
an earthquake. Over many years, it has been widely accepted that the  $E_R/M_0$  remains nearly constant for the earthquakes of

varying magnitudes from small-to-large (e.g., Aki, 1967; Kanamori and Anderson, 1975). However, several investigations within the last two decades have observed that this ratio would tend to increase proportionally with the  $M_0$  (e.g., Abercrombie, 1995; Izutani and Kanamori, 2001; Kanamori et al., 1993; Mayeda and Walter, 1996; Mori et al., 2003; Prejean and Ellsworth, 2001; Richardson and Jordan, 2002). Conversely, there exists almost equal number of studies that advocate for a constant energy ratio (e.g., Choy and Boatwright, 1995; Ide et al., 2003; Ide and Beroza, 2001; McGarr, 1999; Prieto et al., 2004). Unfortunately, the substantial uncertainty surrounding seismic energy has led to a diversity of interpretations of this ratio, even among researchers analysing the same dataset.

Recent advancements in scaling the size of earthquake efforts that are based on distinctive approaches using local, regional, and teleseismic data with different frequency contents enable to quantify scalar seismic moments, which usually exhibit small discrepancies (more than a factor of two) for the same given event (Mayeda et al., 2005b). In contrast, the quantity of the released seismic energy of an earthquake is rather a dynamic phenomenon and thus remains a complex endeavour, often resulting in variations exceeding a factor of two among estimates obtained by various techniques (Pérez-Campos et al., 2003).

It requires substantial corrections that consider path and site effects across a wide range of frequencies. Further corrections for the directivity and some other heterogeneities in source radiation pattern are equally important and must be concerned. Thus, this ratio has been difficult and becomes the subject of recent debate among experts in the field of seismology. The uncertainty in seismic energy calculations causes different interpretations on the apparent stress associated to the fault rigidity, which may control the energy/moment ratio or seismic energy density. To estimate  $M_0$  and  $E_R$ , we benefit from the inherent averaging characteristic of coda waves that has been earlier proved to yield notably less variability in amplitude compared to any conventional direct phase methods (e.g., Eken et al., 2004; Mayeda et al., 2003; Shelly et al., 2022).

The relationship between  $M_0$  and  $E_R/M_0$  observed in this study (Fig. 7) indicates that  $E_R/M_0$  values increase with the  $M_0$  for the crustal earthquakes with  $M_{w-coda}$  2.5 and  $M_{w-coda}$  5.7 implying these earthquakes are likely to follow nonself-similarity. Yoo et al. (2011) previously reported that the  $E_R/M_0$  rapidly increases, in particular, for smaller events ( $M_w \sim 3.3$ ). They attributed the size dependency of the scaled energy to the fact that the energy radiation efficiency through seismic waves greatly varying at lower magnitudes. On the other hand, we have not observed any distinct change in the trend of  $E_R/M_0$  versus  $M_0$  almost for events in our data. The similar sharp increase has been observed in some early studies of coda-based source modelling, where a different and fully empirical coda normalisation method was used (Mayeda et al., 2007; Morasca et al., 2005; Yoo and Mayeda, 2013).

Eulenfeld et al. (2021, 2023) have used the same coda wave modelling approach as used our study, where frequency-dependent source, site, and attenuation properties are inverted in a non-empirical stepwise approach based on a fully analytical RTT assumption in the forward calculation of synthetic coda envelopes. They did also observed deviations from self-similar behaviour of the earthquake rupture. In the previous subsections of the Results and Interpretation, we have already discussed that considering a constant attenuation ratio for events of different sizes, or simply the omega-squared model, may lead to misleading estimates of source properties. As previously stated in Section 5.2, Eulenfeld and Wegler (2016) observed that modelling of source displacement spectra with  $n > 2$  under the assumption of the omega-square model ( $n = 2$ ) would still result in a good fit due to the high trade-off between the attenuation and  $n$ . However, they observe



that this cause the distortion of  $f_c$  and even  $M_0$  estimates if the assumed frequency dependence of  $Q$  is not accurately considered. Consequently, they suggest the use of independently obtained estimates of  $Q$  when inverting station displacement spectra for source parameters. To reduce this risk, we therefore perform a stepwise inversion scheme where scattering and intrinsic attenuation are also modelled. This allows a realistic consideration of attenuation when estimating the source term at each frequency band. Shearer et al. (2019) have claimed that assuming a fixed fall-off rate, which controls the spectral shape, may artificially result in non-self-similarity. To overcome this, we employed an inversion procedure for modelling source displacement spectra where the  $n$  term varied. The inversion for the source displacement spectra, in which independent and more realistic attenuation properties with varying  $n$  are considered, leads to a scaling of corner frequencies with  $M_0$  that differs from the scaling associated with self-similarity. Under the assumption of omega-square model a constant  $E_R/M_0$  independent of  $M_0$  (or a constant stress drop independent of magnitude) implying self-similarity corresponds to a proportionality  $M_0 \propto f_c^{-3}$ . Eulenfeld et al. (2023) noticed that a breaking of self-similarity is not surprising when the exponent defining this trade-off between  $M_0$  and  $f_c$  is smaller than  $-3$ . It is also equally important to note that the propagation of potential artefacts into the non-constant behaviour of the scaled-energy variation with  $M_0$  can be attributed to the significant impact of the  $f_c$  compared to  $M_0$ . This is primarily due to the fact that  $M_0$ , which is estimated through the low-frequency plateau, is relatively insensitive to the selection of fitting parameters. In contrast,  $f_c$  is considerably more sensitive and variable. The most probable artefact, which may be related to the attenuation estimation or spectral fitting process, has the potential to the biased self-similarity analysis. This occurs due to a spurious masking effect associated with excess high-frequency attenuation, which causes the observed shift of  $f_c$  towards smaller frequencies for smaller events when direct waves are in use (Eulenfeld et al., 2023). The use of Qopen approach, however, provides an advantage of allowing the attenuation to be determined independently from properties of the full waveform envelope instead of the short direct pulse, which diminish possible bias in the estimation of  $f_c$ . The increasing variation of  $E_R/M_0$  with  $M_0$  resulting from source properties in the present study can be considered reliable, given that the Qopen algorithm is less sensitive to potential artefacts. This is due to the advantages of using coda waves and implementing a more realistic knowledge of frequency-dependent attenuation during modelling for source displacement spectra. Finally our initial observation on the variation of scaled energy implies that different rupture dynamics works for large earthquakes than small ones and the seismic energy radiates more efficiently for relatively large earthquakes in the Sea of Marmara located at the north-western part of the NAFZ.

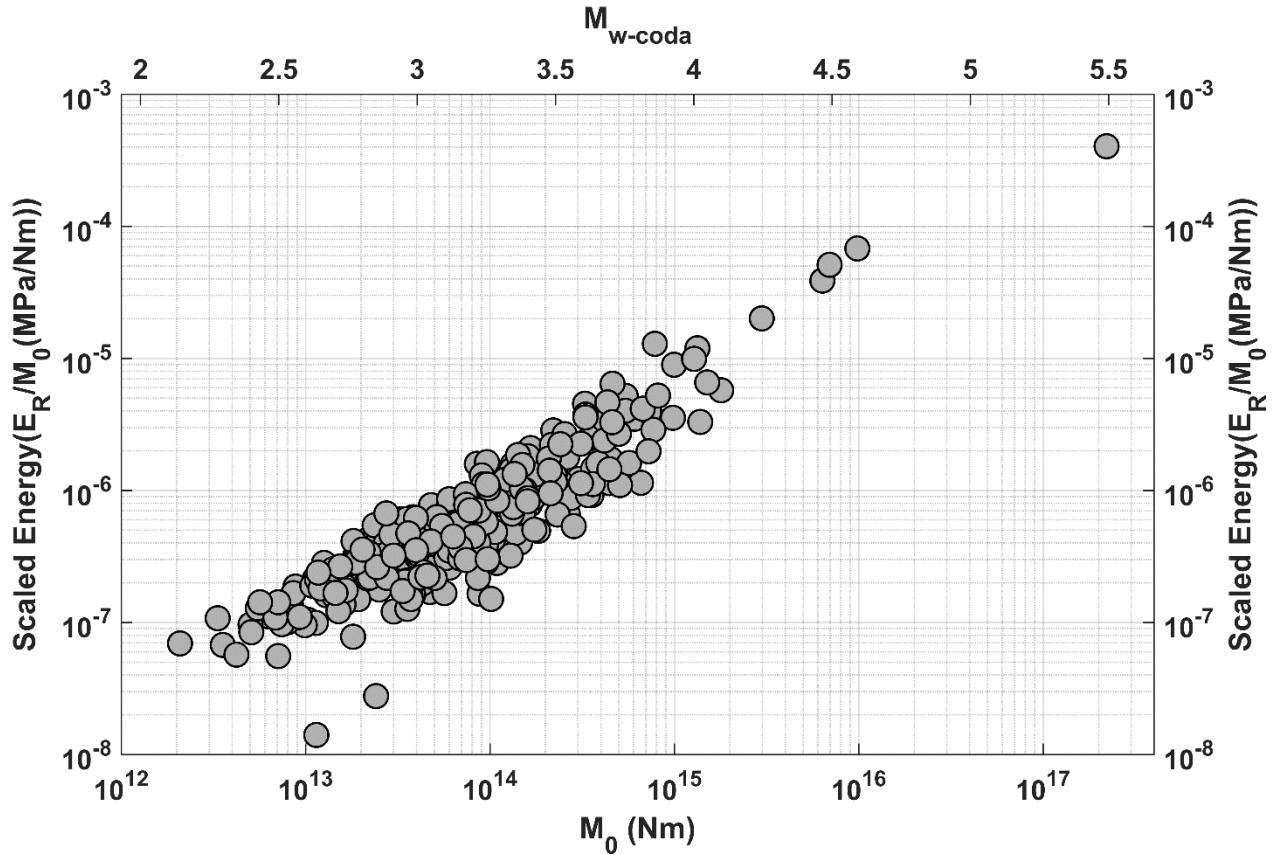


Figure 7: Scatter plot of scaled energy ( $E_R/M_0$ ) as a function of both  $M_0$  and  $M_w$ .

## 390 6 Conclusion

This study provides the physical measure of the released seismic energy in  $M_{w-coda}$  for minor to moderate size local earthquakes ( $2.5 \leq M_w \leq 5.7$ ) that occurred between 2018 and 2020 in the Sea of Marmara Region, NW Türkiye. This was accomplished by using digital waveform recordings taken from 49 three-component broadband seismic stations located within the study region. We used Radiative Transfer Theory for the forward calculation of synthetic coda wave envelopes during an iterative inversion procedure employing a stepwise manner to model the source properties as well as site, path effects simultaneously based on the smallest misfit between observed and synthetic envelopes. The good accordance between  $M_{w-coda}$  and  $M_L$  proves the competence of this non-empirical coda wave approach to obtain reliable estimates of source properties in this complex tectonic setting. The variability of the high-frequency fall-off parameter highlighted that for smaller earthquakes ( $n > 2$ ), considering an omega-square model could distort estimates of  $f_c$  and  $M_0$ . This effect is particularly pronounced in regions where  $Q$  exhibits strong frequency dependency. A linear regression analysis further provided an

empirical relation developed between  $M_{w-coda}$  and  $M_L$ , which can be a useful tool in the future to quickly convert catalog magnitudes into  $M_w$  for local earthquakes in the study area. Finally, the scaled energy ( $E_R/M_0$ ) exhibits an increasing pattern with reliable coda wave-derived seismic moment estimates at almost all magnitude ranges as this implies small-to-moderate size seismic activity in the region indicates a nonself-similar scaling at their source.

#### 405 **Code Availability**

The Python code (Qopen) utilized for performing inverse modelling is accessible under the permissive MIT license. It can be obtained from <https://github.com/trichter/qopen> (last accessed on 28 July 2024) and is cited as Eulenfeld (2020) (<https://doi.org/10.5281/zenodo.3953654>).

#### **Data Availability**

410 Digital waveform recordings of local earthquakes analysed in the present study and station metadata were acquired from the seismological data management facilities of the Kandilli Observatory and Earthquake Research Institute (KOERI).

#### **Author Contribution**

The paper was initially prepared by BO and TE. PG provided a comprehensive review of the manuscript, especially the Methods section. TT contributed to both the writing of the paper and the interpretation of the results, as well as providing  
415 insights into the tectonic background."

#### **Competing Interests**

The contact author has declared that none of the authors has any competing interests.

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