1 2	Tropospheric Ozone Precursors: Global and Regional Distributions, Trends, and Variability				
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### **Abstract**

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Tropospheric ozone results from in-situ chemical formation and stratosphere-troposphere exchange (STE), with the latter being more important in the middle and upper troposphere than in the lower troposphere. Ozone photochemical formation is nonlinear, and results from the photochemical oxidation of methane and non-methane hydrocarbons (NMHCs) in the presence of nitrogen oxide (NO<sub>x</sub>=NO+NO<sub>2</sub>). Previous studies showed that O<sub>3</sub> short- and long-term trends are nonlinearly controlled by near-surface anthropogenic emissions of carbon monoxide (CO), volatile organic compounds (VOCs), and nitrogen oxides. In addition, several studies have demonstrated the important role of STE in enhancing ozone levels, especially in the midlatitudes. In this article, we investigate tropospheric ozone spatial variability and trends from 2005 to 2019 and relate those to ozone precursors on global and regional scales. We also investigate the spatiotemporal characteristics of the ozone formation regime in relation to ozone chemical sources and sinks. Our analysis is based on remote sensing products of the Tropospheric Column of Ozone (TrC-O<sub>3</sub>) and its precursors, nitrogen dioxide (TrC-NO<sub>2</sub>), formaldehyde (TrC-HCHO), and total column of CO (TC-CO) as well as ozonesonde data and model simulations. Our results indicate a complex relationship between tropospheric ozone column levels, surface ozone levels, and ozone precursors. While the increasing trends of near-surface ozone concentrations can largely be explained by variations in VOC and NO<sub>x</sub> concentration under different regimes, TrC-O<sub>3</sub> may also be affected by other variables such as tropopause height and STE. Decreasing or increasing trends in TrC-NO<sub>2</sub> have varying effects on the TrC-O<sub>3</sub>, which is related to the different local chemistry in each region. The concomitant increase or decrease in TrC O<sub>2</sub> and TrC NO<sub>2</sub> over the eastern US, and central Europe is due to dominant NO-sensitive conditions resulting from the strict measures to control NO<sub>\*</sub> emissions over the last two decades. The decreasing trends of TrC-NO<sub>2</sub> but increasing trends of TrC-O<sub>3</sub> in some regions in the central US and parts of eastern Asia are due to high NO<sub>\*</sub> conditions leading to VOC sensitivity in these regions. We also shed light on the contribution of NO<sub>x</sub> lightning and soil NO and nitrous acid (HONO) emissions to trends of tropospheric ozone on regional and global scales.

### 1. Introduction

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Tropospheric ozone (O<sub>3</sub>) is an important air pollutant due to its diverse effects on air quality, ecosystem (Mills et al., 2018), health (Lefohn et al., 2018; Fleming et al., 2018), and climate (Boucher et al., 2013; Myhre et al., 2013; Zanis et al., 2022). O<sub>3</sub> is a photochemical product that results from the oxidation of methane (CH<sub>4</sub>) and non-methane hydrocarbons (NMHCs) in the presence of nitrogen oxides (NO<sub>x</sub>). Tropospheric ozone burdens can also be affected by stratosphere-troposphere exchange (STE) (Stohl et al., 2003; Zeng et al., 2010; Trickl et al., 2011; Li et al., 2024). O<sub>3</sub> is considered a short-lived climate forcer (SLCF) and is the thirdmost important greenhouse gas with a global averagen effective radiative forcing of  $(0.3447_{-0.0423}^{+0.0923})$  W m<sup>-2</sup>; Forster et al., 2021 PCC, 2023). Since the mid-1990s, free tropospheric ozone trends based on in situ measurement and satellite retrievals have increased with high confidence by 1-4 nmol mol<sup>-1</sup> decade<sup>-1</sup> across the northern mid-latitudes and 1-5 nmol mol<sup>-1</sup> decade<sup>-1</sup> within the tropics (Gulev et al., 2021). In the Southern Hemisphere, with more limited observation coverage compared with the Northern Hemisphere, the tropospheric column ozone shows an increase since the mid-1990s by less than 1 nmol mol<sup>-1</sup> decade<sup>-1</sup> with medium confidence at southern mid-latitudes (Gulev et al., 2021, Cooper at al., 2020). Recent studies showed increasing trends of tropospheric O3, especially in the temperate and polar regions of the Northern Hemisphere, while the evidence in the Southern Hemisphere is unclear (Tarasick et al., 2019; Archibald et al., 2020). Tropospheric O<sub>3</sub> short- and long-term trends are nonlinearly controlled by anthropogenic emissions of carbon monoxide (CO), volatile organic compounds (VOCs), and nitrogen oxides (NO<sub>x</sub>=NO+NO<sub>2</sub>) as well as STE, especially in the midlatitudes (Li et al., 2024). Coupled Model Intercomparison Project Phase 6 (CMIP6) overestimates observed surface O<sub>3</sub> concentrations in most regions, with larger variability over Northern Hemisphere (NH) continental regions (e.g., Tarasick et al., 2019; Turnock et al., 2020). The higher variability in the northern continental regions is due to the variable emissions of ozone precursors (i.e., CO, VOCs, and NO<sub>\*</sub>). CMIP6 models simulate large increasing trends of surface concentrations of O<sub>3</sub> and PM<sub>2.5</sub> in East and South Asia with an annual mean increase of up to 40 ppb and 12 µgm<sup>-3</sup>, respectively, over the historical periods (1850-2014; Turnock et al., 2020). However, these studies found also that CMIP6 models consistently underestimate PM<sub>2.5</sub> concentrations in the NH, especially during the winter months, and with larger variability near natural source regions, indicating missing sources (e.g., HONO) of O<sub>3</sub> (e.g., Elshorbany et al., 2014). Future scenarios show that emission control measures can influence future changes to air pollutants. Although the global increases in CH<sub>4</sub> abundance may offset benefits to surface O<sub>3</sub> from local emission reductions (Fiore et al., 2002; Shindell et al., 2012; Wild et al., 2012), recent reports (e.g., Zanis et al., 2022), showed the dominant role of precursor emission changes in projecting surface ozone concentrations under future climate change scenarios. In this study, we investigate the relation between ozone trends and the trends of its precursors.

Satellite observations have the advantage of large spatial and consistent temporal coverage. Tropospheric columns of ozone (TrC-O<sub>3</sub>), in Dobson unit (1 DU= $2.69\times10^{20}$  molecules m<sup>-2</sup>), are usually used to represent tropospheric ozone levels. The tropospheric column of a species is the species' concentration integrated from the surface to the top of the troposphere, the tropopause. The tropopause height is dynamically changing, and it varies over time, increasing or decreasing as a function of several factors, including tropospheric and stratospheric temperature (warming or cooling). Steinbrecht et al (1998) found that observed tropospheric warming of  $0.7\pm0.3$  K per decade leads to an increase in the tropopause high and a decrease (at a rate of 16 DU/decade) in the observed column ozone levels. Similarly, after removing the

variations related to major natural forcings, including volcanic eruptions, ENSO (El Niño—Southern Oscillation), and QBO (Quasi—Biennial Oscillation), Meng et al. (2021) concluded that a continuous rise of the tropopause in the Northern Hemisphere (NH) from 1980 to 2020 is evident, which they related mainly to tropospheric warming caused by anthropogenic emissions. Both—Steinbrecht et al (1998) and Meng et al. (2021) calculate the same rate of tropopause increase for the periods 1980-2000 and 1980-2020, respectively. These results could affect calculated tropospheric ozone trends by changing the volume of the troposphere. We investigate the trends in TrC-O<sub>3</sub> and ozone precursors at different column depths and determine their-causal relationships.

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Global models play a vital role in interpreting the observed trends in ozone precursors, verifying the consistency of emission inventories with observed precursor concentrations, and relating trends in ozone precursor emissions to ozone trends. Because satellite measurements are often sensitive to species concentrations above the surface, models provide additional information on the vertical distribution of ozone precursors needed to relate emissions or surface trends to a column or free tropospheric observations. For example, chemical transport models are used to relate Ozone Monitoring Instrument (OMI) NO<sub>2</sub> columns to surface NO<sub>2</sub> concentrations and their trends over the United States (e.g. Lamsal et al 2008, 2015; Kharol et al, 2015) since they provide vertical information on the NO<sub>2</sub> distribution. Models are also used to infer NO<sub>x</sub> emission trends from observations (e.g. Richter et al., 2005; Stavrakou et al., 2008; Miyazaki et al, 2016) or to examine whether simulations driven by state-of-the-art emissions inventories can reproduce observed changes in NO<sub>x</sub> (Itahashi et al., 2014; Godowitch et al, 2010). Models also provide insight into the role of background NO<sub>2</sub> versus local sources in relating satellite-observed NO<sub>2</sub> columns to NO<sub>x</sub> emissions changes (Silvern et al, 2019). Similarly, global models are vital for understanding trends in CO, since the lifetime of CO allows both local emissions and long-range transport and the global background to influence regional trends of CO and O<sub>3</sub>. Duncan and Logan (2008) attributed the decreasing CO in the NH from 1998-1997 to decreasing European emissions and highlighted the role of Indonesian fires in driving interannual variability. Numerical models can also be used to assimilate satellite CO observations to invert for CO emission fluxes, often highlighting differences between bottom-up and top-down inventories (e.g., Kopacz et al., 2010; Fortems-Cheiney et al., 2011; Elguindi et al., 2020; Gaubert et al., 2020). For instance, several modeling studies found that the increasing emissions from China in recent years in some emission inventories were inconsistent with the negative trends observed by MOPITT (Yin et al, 2015; Strode et al., 2016; Zheng et al, 2019), while the decreases over the United States and Europe are supported by the observed decrease in CO. Jiang et al (2017) and Zheng et al (2019) also found that a decrease in biomass burning contributes to the negative CO trend in the NH. Mean calculated O<sub>3</sub> burden using CMIP6 simulation (Griffiths et al, 2021) revealed an increase of 44% from 1850 to the mean of the period of 2005-2014 and by another 17% until 2100 using the SSP370 experiments. Other sources of NO<sub>x</sub> such as lightning and soil emissions play an important role in controlling the O<sub>3</sub> budget, especially in low-NO<sub>x</sub> regions. We investigate these sources and the role they play in determining O<sub>3</sub> trends and variability on regional and global scales, as well as their determining factors.

Previous literature demonstrates the importance of controlling the emissions of ozone precursors to effectively reduce surface O<sub>3</sub> levels. Therefore, a thorough and rigorous understanding of the trends and variability for O<sub>3</sub> precursors is of paramount importance for a global abatement strategy of O<sub>3</sub> levels. In this study, we use ozonesonde, remote sensing, and

global models to evaluate tropospheric O<sub>3</sub> and O<sub>3</sub> precursor trends of CO, HCHO, and NO<sub>2</sub>, on regional and global scales.

### 2. Methodology

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### 2.1. Trend Analysis

162 We analyze the historical trends of tropospheric ozone and its precursors CO, NO<sub>2</sub>, and HCHO, 163 from 2005 to 2019. For trend analysis, we use two methods, the Quantile regression (QR) 164 method (Chang et al., 2023), and the Weighted Least Squares (WLS). For NO<sub>2</sub>-, CO, and HCHO 165 , and CO trends are calculated based on the QR method (Chang et al., 2023), as follows: (1) we 166 first compute the deseasonalized monthly time series of NO<sub>2</sub> and HCHO tropospheric columns 167 (hereafter referred to as TrC-NO<sub>2</sub>, TrC-HCHO), and CO atmospheric column (TC CO), (2) we 168 use the quantile regression method for computing the trend, focusing here on the median, and (3) 169 uncertainties at a 95% confidence level are estimated using the block bootstrapping approach, 170 through 1000 iterations with blocks size of N<sup>0.25</sup> with N the number of monthly values. They are 171 calculated over a 1°x1° grid and only in cells where at least 75% of the monthly values are 172 available. TC CO column (see sec. 2.2.1) time series trends are also calculated as Weighted 173 Least Squares (WLS) of the monthly anomaly, weighted by the monthly regional standard 174 deviation (for comparison with the QR method). For the CO trend map, we use a 12-month 175 running average before computing the linear trend (Buchholz et al., 2021). The tropospheric 176 ozone column (TrC-O<sub>3</sub>), trends are calculated based on the WLS method.<del>..</del> Tropospheric columns 177 of satellite observations are calculated based on the WMO thermal definition of the tropopause. 178 To account for varying tropospheric column definitions used in previous literature, we also 179 evaluate the trends at different varying column depths.

### 2.2. Data resources

In this section, we present the different data repositories and their characteristics.

### 2.2.1. Satellite data

183 A list of the applied satellite data products and their resolution is shown in Table 1 Table 1. For 184 Tropospheric ozone data, we use the Ozone Monitoring Instrument/Microwave Limb Sounder 185 (OMI/MLS) product (Ziemke et al., 2006). The OMI/MLS product is the residual of the OMI total 186 ozone column and the MLS stratospheric ozone column, available as gridded monthly means. The 187 tropospheric NO<sub>2</sub> column retrievals used were the QA4ECV project (http://www.qa4ecv.eu/ecvs) 188 version 1.1 level 2 (L2) product for OMI (Boersma et al., 2017a), GOME-2 (Boersma et al., 189 2017b), and SCIAMACHY (Boersma et al., 2017c). The ground pixel sizes of the OMI, GOME-190 2, and SCIAMACHY retrievals are 13 km×24 km, 80 km×40 km, and 60 km×30 km, with local 191 Equator overpass times of 13:45, 09:30, and 10:00 LT, respectively. We also use HCHO 192 tropospheric columns retrieved from OMI (De Smedt et al. 2018) from the OA4ECV project. 193 Atmospheric total column CO daytime observations were obtained from the MOPITT instrument 194 aboard the Terra Satellite (Barret et al., 2003; Buchholz et al., 2017). Monthly daytime L3 data 195 were obtained at 1° gridded horizontal resolution from the NASA Langley Research Center 196 Atmospheric Science Data Center (ASDC, 2024), using version 9 (V9) retrievals, and the joint 197 near-infrared/thermal-infrared product (Deeter et al., 2022).

<u>Table 1:</u> Satellite data products and their reference periods.

Parameter	Resolution (Satellite pixel size)	Instrument/Platform	Reference Period	Reference
NO <sub>2</sub>	1°x1° (13 km x 24 km)	OMI/Aura	2005–2020	Boersma et al., 2017a
NO <sub>2</sub>	1°x1° (40 km x 80 km)	GOME-2/METOP-A	2007–2018	Boersma et al., 2017b
NO <sub>2</sub>	1°x1° (30 km x 60 km)	SCIAMACHY/ENVISAT	2005–2011	Boersma et al., 2017c
СО	1°x1° (22 km x 22 km)	MOPITT/TERRA	2002–2020	Deeter et al., 2022
НСНО	1°x1° (13 km x 24 km)	OMI/Aura	2004–2020	De Smedt et al., 2018
Ozone	1°x1°	OMI/MLS	2004–2020	Ziemke et al., 2006

### 2.2.2. Ozonesonde Data

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Direct sampling of ozone throughout the atmospheric column by ozonesondes on board of highaltitude balloons is a primary source of information of the ozone abundance and changes in the free troposphere. Ozonesonde data have been used extensively for satellite ozone product validations, trend analyses, and as a priori climatology profiles for satellite retrieval algorithms (McPeters and Labow, 2012; Labow et al., 2015; Hubert et al., 2021; Christiansen et al., 2022; Newton et al., 2016). Ozonesondes networks around the globe have been providing the ozone community with accurate in situ measurements of high vertical resolution (100-m) for the last 5 decades in the Northern Hemisphere (Krizan and Lastovicka, 2005), nearing 3 decades at stations in the tropics (Thompson et al., 2017), and in the last decade, new efforts are contributing with data from undersampled regions such as the tropical Andes (Cazorla and Herrera, 2022). Other important contributions include dedicated campaigns for regional studies (i. ee.g. Newton et al., 2016; Fadnavis et al., 2023). Figure 1 shows a map with ozonesonde stations around the globe whose data are publicly available from data providers (station names, coordinates, and links for data access in the Supplementary Material, Table S1). In this work, we present a review of ozonesonde trends calculated and published in previous studies (Wang et al., 2022 and Christiansen et al., 2022).

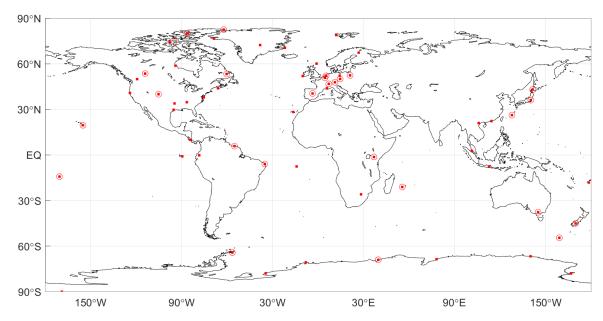


Figure 1: Ozone-sounding stations around the globe (red squares) whose data are publicly available (Table S1). Stations that meet the criteria to calculate trends (Wang et al., 2022) are circled in red.

### 2.2.3. Model simulations of ozone precursors and their vertical distribution

Model simulations provide information on the vertical distribution of trace gases that can help interpret the observed columns. Here, we use the Goddard Earth Observing System (GEOS) Earth System Model (Molod et al, 2015) simulation running with the GMI chemistry mechanism (Duncan et al, 2007; Strahan et al, 2007; Nielsen et al, 2017) to simulate the contributions of the lower, middle, and upper troposphere to the tropospheric columns of ozone and its precursors. The model configuration is described in Fisher et al (2024) and summarized here. The MERRA-2 reanalysis (Gelaro et al., 2017) constrains the GEOS-GMI meteorology. The GEOS-GMI meteorology is replayed to the MERRA-2 meteorology as described in Orbe et al (2017). Anthropogenic emissions of NO<sub>2</sub>, CO, and VOCs are based on the MACCity inventory (Granier et al, 2011) through 2010 and the RCP8.5 emissions afterward, with NO<sub>2</sub> emissions scaled based on OMI. The emissions are downscaled to higher resolution using the EDGAR 4.2 emission inventory (Janssens-Maenhout et al., 2013). Biomass burning emissions for the analysis period come from the Fire Energetics and Emissions Research (FEER) product (Ichoku and Ellison, 2014). Liu et al (2022) evaluated another GEOS simulation with GMI chemistry with satellite observations of TrC-O<sub>3</sub>, TrC-NO<sub>2</sub>, TrC-HCHO<sub>3</sub> and TC-CO.

### 3. Data Analysis and Discussion

### 3.1. TrC-O<sub>3</sub> Sensitivity to Tropopause

Calculated TrC-O<sub>3</sub> depends on several factors such as tropospheric ozone levels, atmospheric warming (e.g., due to GHG emissions) or cooling (stratospheric or tropospheric (e.g., after major volcanic eruptions), and tropopause height (TH). Atmospheric warming or cooling can lead to a decrease or an increase, respectively, of TrC-O<sub>3</sub> due to the respective change in the TH. Several methods are used to determine the TH. The WMO thermal definition (WMO) for the first TH, the lowest altitude level at which the lapse rate decreases to 2° K km<sup>-1</sup> or less, provided that the average lapse rate between this level and all higher levels within 2 km does not exceed 2° K km<sup>-1</sup>

<sup>1</sup>. A second tropopause may be also found if the lapse rate above the first tropopause exceeds 3°K km<sup>-1</sup> (WMO, 1992; Hoffmann and Spang (2022). Other studies define the TH based on fixed pressure levels (from ground to 150, 200, 300, and 400 hPa). Mean OMI/MLS TrC-O<sub>3</sub> values in July (2005-2019) calculated based on the WMO thermal definition, are shown in Figure 2. TrC-O<sub>3</sub> values are comparable to previously reported CMIP6 and satellite measurements (Griffiths et al., 2021). Partial ozone columns (OC) calculated from the ground to different pressure levels, 150, 200, and 300 hPa show increasing OC values with increasing column depth, with calculated OC at 150 and 200 hPa being the closest to the TrC-O<sub>3</sub> WMO values, still overestimating OC in the northern hemisphere (50-90° N), especially for the 150 hPa OC, see Figure 2.

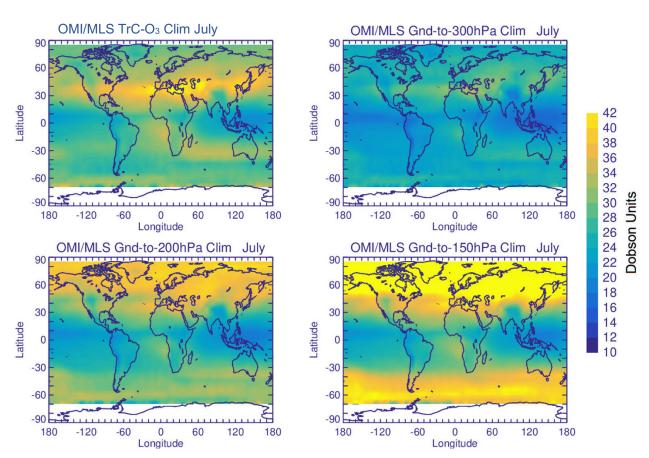


Figure 2: Global Mean (2005-2019) Column Ozone based on the WMO definition, as well as to and for different pressure levels column depths.

Steinbrecht et al (1998) found that observed tropospheric warming of  $0.7\pm0.3$  K per decade leads to an increase in the TH and a decrease in total ozone. They also calculated a decrease of  $16 \, \mathrm{DU}_{\underline{per}}$  /kkilometerm increase in TH. These results indicate the importance of TH on calculated long-term ozone trends. This could also affect comparisons between trends calculated based on different TrC-O<sub>3</sub> definitions and near-surface ozone levels. The time series of deseasonalized TH from 2004 to 2021 are shown in Figure 3 together with their zonal mean trends. Trends in TH are positive reaching 60 meters/decade except in a narrow band in the tropics from  $10^{\circ}\mathrm{S}$  to  $20^{\circ}\mathrm{N}$  and at  $30^{\circ}\mathrm{S}$ , where TH decreases at a rate up to 30 meters/decade. TH in the tropical regions is also characterized by high variability (see Figure 3). These results are

also consistent with recent reports showing a positive trend of TH from 20-80°N at a rate of 50-60 m/decade (Meng et al., 2021). They related this increase primarily to tropospheric warming. The decrease of the TH in the tropies may contribute by some extent to the increasing TrC-O<sub>3</sub> trend in this region (see sec. 3.4). These results show also that using a fixed pressure level for the tropopause may not be accurate given the change in TH over time. In the following sections, tropospheric columns will be calculated based on the WMO tropopause definition.

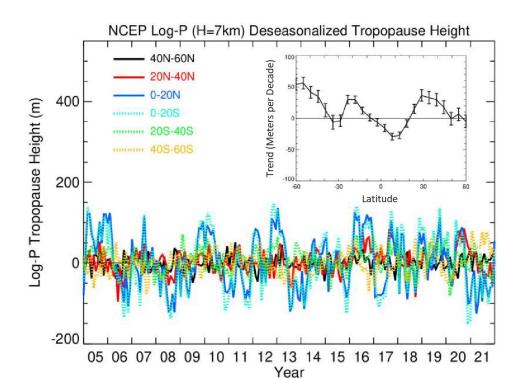


Figure 3: National Centers for Environmental Prediction (NCEP) WMO (2K/km) tropopause log-P height time series with trends (meters/decade) embedded.

### 3.2. Spatial Distribution of O<sub>3</sub> and its Precursors

Tropospheric O<sub>3</sub> results from in-situ photochemical formation and STE. is a Insituphotochemical product that O<sub>3</sub> results from the photolysis of NO<sub>2</sub>. Therefore, the sources and fate of NO<sub>2</sub> in the atmosphere determine O<sub>3</sub> burden and distribution. NO<sub>2</sub> is formed from the reaction of hydrogen proxyperoxyl (HO<sub>2</sub>) and alkyl proxyperoxyl (RO<sub>2</sub>) radicals with NO (R 3.2-1R 3.2-1). While photolysis of NO<sub>2</sub> is the main source of ozone, high NO<sub>2</sub> levels can suppress O<sub>3</sub> levels as NO<sub>2</sub> reacts with OH radical forming HNO<sub>3</sub> (R 3.2-2R 3.2-2 to R 3.2-4R 3.2-4), thus reducing the oxidation rate of hydrocarbons and respectively HO<sub>2</sub> and RO<sub>2</sub> levels, leading to a net loss of O<sub>3</sub> (e.g., Finlayson-Pitts and Pitts, 2000; Elshorbany et al., 2010, Archibald et al., 2020). Ozone production efficiency is calculated as the ratio of the number of NO<sub>2</sub> molecules photolyzed to form O<sub>3</sub> to that lost due to the reaction with OH forming HNO<sub>3</sub>. Under NO-sensitive conditions, the decrease in NO<sub>x</sub> leads to a reduction in OH, HCHO, and O<sub>3</sub>. However, under high NO conditions, a reduction in NO<sub>x</sub> could lead to an increase in photochemical products, OH, HCHO, and O<sub>3</sub> because a reduction in NO<sub>2</sub> leads to a decrease in OH loss rate, thus higher HO<sub>2</sub> and RO<sub>2</sub> production (Elshorbany et al., 2009; 2010; 2012; Archibald et al., 2020).

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      R 3.2-1
                           HO_2/RO_2
                                                NO
                                                                            NO_2
                                         +
      R 3.2-2
                                                                            O(^{3}P) +
301
                           NO_2
                                                hv (hv < 424 nm)
                                                                                          NO
302
      R 3.2-3
                           O(^3P)
                                         +
                                                O_2
                                                              M
                                                                            O_3
                                                                                          M
303
      R 3.2-4
                           ОН
                                         +
                                                NO_2(M)
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While this paper focuses on ozone precursors with higher reactivity, we note that methane, with an assessed total atmospheric lifetime of  $9.1 \pm 0.9$  years (Szopa et al., 2021), is also a crucial driver (Fiore et al., 2002; Isaksen et al., 2014), given its accelerated growing rate of  $7.6 \pm 2.7$  nmol mol<sup>-1</sup> yr<sup>-1</sup> between 2010 and 2019 (Canadell et al., 2021), largely driven by anthropogenic activities (Szopa et al., 2021).

The observed mean tropospheric columns of O<sub>3</sub>, NO<sub>2</sub>, and HCHO and atmospheric column of CO from 2005 to 2019 are shown in Figure 4. The unit for column number density is Pmolec/cm<sup>2</sup> (×10<sup>15</sup> molecules per square centimeter), except for TrC-O<sub>3</sub>, which is Dobson. NO<sub>2</sub> concentration has been decreasing since 2005 in North America, Europe, and Australia, mainly due to the applied decreased since 2005 in North America, Europe, and Australia, mainly due to strict measures to reduce air pollution (Lamsal et al., 2015). Since O<sub>3</sub> is a photochemical product that is formed based on non-linear chemistry, a reduction in NO<sub>2</sub> may lead to an increase or decrease in tropospheric O<sub>3</sub> levels based on the dominant photochemical regime in the respective region. In addition, tropospheric ozone levels, especially in the middle and upper troposphere may be affected by STE (Li et al., 2024). The highest values of the NO<sub>2</sub> tropospheric column are in the northern hemisphere between 10 °N and 50°N, especially over the eastern US, northern Europe, and east and south Asia, with elevated levels in the Southern Hemisphere (SH) between 10 and 30°S, especially in sub-Saharan Africa, and Brazil. TrC-O<sub>3</sub> is also highest over the band of 20-50° N, especially over the eastern coast of the US, southern Europe, and east Asia. Some differences exist between <u>TrC-O3</u> and <u>TrC-NO2</u> spatial patterns which is due to <u>factors including</u> the different lifetime, photochemical sensitivity (see sec. 3.4), and STE.3.4). On average, the northern hemisphere has higher TC-CO than the southern hemisphere due to a larger number of sources (Buchholz et al., 2021). Additionally, high amounts of CO are found in regions with large anthropogenic sources (e.g., eastern China) or in regions with large and regular fire seasons (e.g., central Africa) (Buchholz et al., 2021). HCHO and CO show a similar spatial pattern over western Africa due to emissions from biomass burning (Marais et al., 2012, Buchholz et al., 2021). In the following sections, global and regional trends of TrC-O<sub>3</sub> are investigated along with tropospheric ozone precursors.

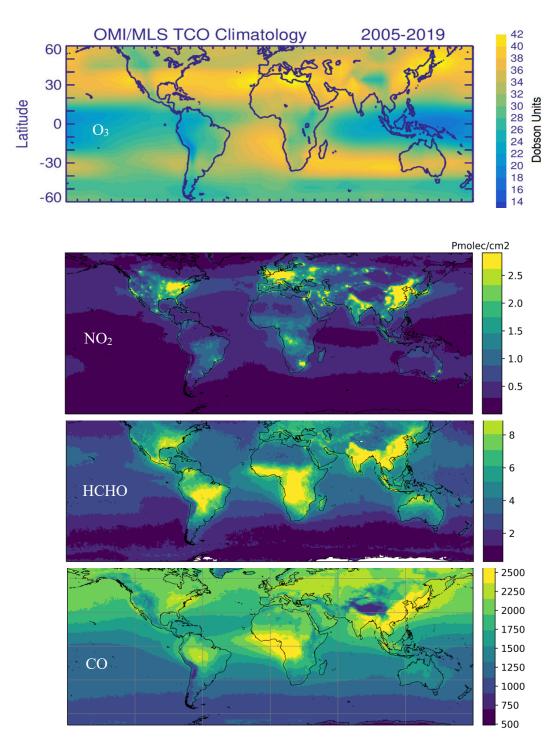


Figure 4: Mean (2005-2019) of TrC-O<sub>3</sub>, TrC-NO<sub>2</sub>, TrC-HCHO, and TC-CO.

### 3.3. Simulated O<sub>3</sub> Precursors

Ozone and its precursors differ in their vertical distribution through the troposphere. In this section, we use the GEOS <u>-GMI</u>-simulations to show how the lower, middle, and upper troposphere contribute to the simulated columns of O<sub>3</sub> and its precursors to complement the column information from satellites. Figure 5 shows the simulated mean (2005-2019) contributions to tropospheric columns of O<sub>3</sub>, NO<sub>2</sub>, formaldehyde, and CO, partitioned into the lower (up to 700hPa), middle (700-400hPa), and upper (400hPa to tropopause) portions of the troposphereopause for the tropical band (30°S:30°N) and the global mean. The middle and upper

troposphere make large contributions to the simulated TrC-O<sub>3</sub> and its variability (Figure 5)<sub>52</sub> while Tthe lower troposphere makes the largest contribution to the TrC-HCHO column since it is mainly a photochemical product (e.g., Elshorbany et al., 2009), and all three levels make substantial contributions to the CO column. Globally, the relative contributions for TrC-O<sub>3</sub>, TrC-HCHO and CO are similar to those of the tropics. However, for TrC-NO<sub>2</sub> the lower troposphere makes the a smaller contribution in the tropics than globally largest contribution to the simulated tropospheric NO<sub>2</sub> column due to the larger NO<sub>3</sub> emissions in the northern hemisphere.

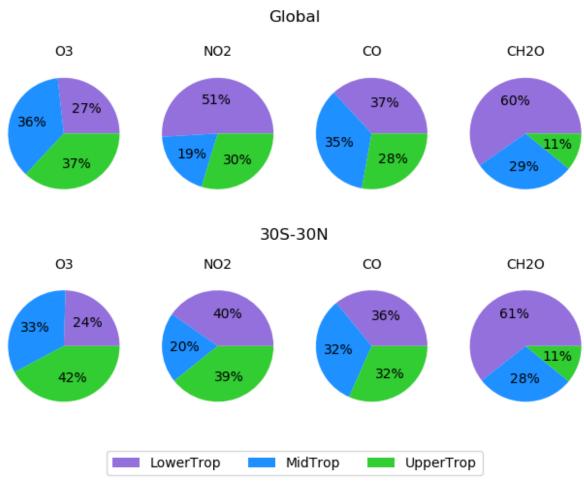


Figure 5: Simulated average (2005-2019) contributions to the tropospheric columns of O<sub>3</sub>, NO<sub>2</sub>, formaldehyde, and CO from the lower (surface-700hPa), middle (700-400hPa), and upper troposphere (400hPa-tropopause) using NASA GEOS5\_GMI. The top row is for the global mean, while the bottom row is averaged from 30°S-30°N.

### 3.4. Tropospheric Trends

### 3.4.1. Global Tropospheric Ozone

Global TrC-O<sub>3</sub> trends calculated for different column depths are shown in Figure 6. Compared to TrC-O<sub>3</sub>, OC trends up to 150 hPa seem to be the closest despite OC values being much higher than that of the TrC-O<sub>3</sub> (Figure 2). All significant trends are positive indicating increasing trends of ozone columns, regardless of the tropopause height. Insignificant (at 2  $\sigma$  levels) decreasing TrC-O<sub>3</sub> trends were also found in some locations, e.g., South Australia, South Africa, and the

northeastern coast of the US. As mentioned in sec. 3.1, TrC-O<sub>3</sub> trends in the northern tropics may be slightly enhanced by the decreasing TH trends in that region (Steinbrecht et al., 1998). In contrast, increasing TrC-O<sub>3</sub> trends in other regions may be slightly offset by the increasing TH trends (see Figure 3). Increasing trends in the northern midlatitudes may also be partially related to STE (Willimas et al, 2019; Li et al., 2024)

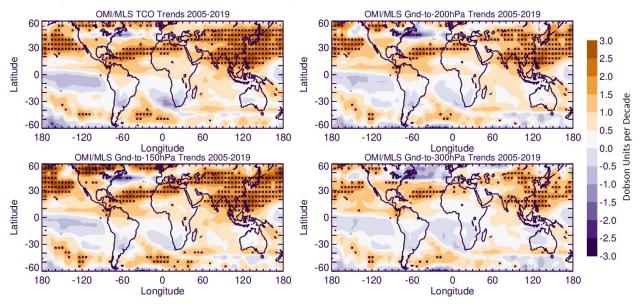


Figure 6: Trends in tropospheric column ozone, based on the WMO thermal definition, and the trends on ozone columns (from ground to 150, 200, and 300 hPa). Trends are calculated based on deseasonalized monthly data from  $200\underline{54}$  to  $20\underline{1921}$ . Asterisks denote significant trends (different from zero at  $2\sigma$  level).

The time series of OMI/MLS TrC-O<sub>3</sub> averaged over several latitudinal bands as well as and different column depths are shown in Figure 7Figure 7. Zonal mean TrC-O<sub>3</sub> compares well with partial ozone columns in the tropics (from 30°S to 30°N) with the OC of up to 300 hPa differing by about 10 DU from the TrC-O<sub>3</sub> (Figure 7Figure 7b). The lowest TrC-O<sub>3</sub> trends are located in the northern hemisphere (30°N – 60°N) at 0.78±1.16 DU/decade, followed by the southern hemisphere (30°S –60°S (0.95±0.75 DU/decade) and the tropical band (30°S –30°N (1.06±0.40 DU/decade). In addition, the continental trends over Australia, South Africa, and South America in the 30°S –60°S band are essentially negative and the positive trends in this band are driven comantributed mainly by oceanic emissions regions (see (Figure 6). The positive trends in the 30°N –60°N band are slightly offset by the negative trends over the northe Eastern US and western Europe (see Figure 6).

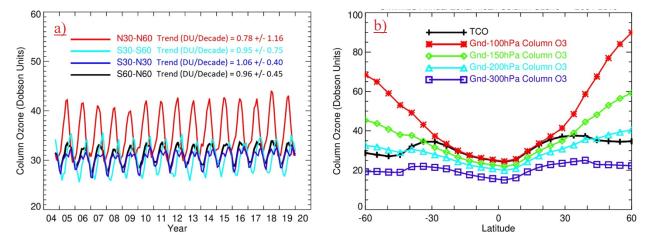
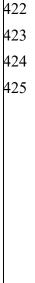


Figure 7: Time series and zonal mean trends of OMI/MLS TrC-O<sub>3</sub> in different latitudinal bands (left) and zonal mean of different column depths (right) from 2005-2019.

Observed trends for the time period before COVID-19 (2005-2019) show that OC trends were highest in the northern latitudes (0-30° N) reaching about 1.5 DU/decade, followed by the northern midlatitudes 30-60°N (Figure 8). The high trends in the 30-60°N band are dominated by transpacific impacts as well as some impacts from East Asia. The positive trends in the southern hemisphere (0-30° S) are mainly over Amazonia and Southeast Asia, being offset by small negative trends over Western Australia and South Africa. The trends during the time period (2005-2021) show a significant decline in O<sub>3</sub> column trends in the northern hemisphere but a slightly increasing trend in the southern hemisphere (Figure 8b). The decreasing trends in the northern hemisphere during the COVID-19 is consistent with previous literature showing a significant decrease in several pollutants including NO<sub>2</sub> and O<sub>3</sub> due to the extended lockdown periods imposed during the pandemic (e.g., Bauwens et al., 2020; Elshorbany et al., 2021; Steinbrecht et al., 2021; Putero et al., 2023). The decrease of NO<sub>2</sub> under the dominant NOsensitive conditions in some parts of Europe and the northeastern USA led to a decrease in tropospheric O<sub>3</sub>. The increase in the southern hemisphere is due to a variety of reasons including the lesser impact of the pandemic (Oleribe et al., 2021), and persistent pollution issues, even during the pandemic (Matandirotya et al., 2023). In addition, most regions in the southern hemisphere are VOC-sensitive regions, conditions under which the reduction in NO2-would lead to an increase in tropospheric O<sub>3</sub> (see sec. 3.4)

Zonal mean trends (Figure 8) show that OC up to 150 hPa is almost identical to that of TrC-O<sub>3</sub> except for the high latitudes 45°-60° S and 45°-60° N. The decreasing trends above 30°N and 30°S are due to the offsetting impact of negative trends over the northe Eastern US and western Europe in the north, and Australia and South Africa in the south, respectively. This impact is less apparent in the 150 hPa OC due to the lower positive trends in that band compared to TrC-O<sub>3</sub>. The 200 hPa OC comes next with a very good agreement from 60° S to 10° N. followed by the 100 hPa which is only in good agreement from 30° S to 30°N, while the 300 hPa OC was the farthest from the TrC-O<sub>3</sub>. The decrease of O<sub>3</sub> in the northeastern US and western Europe is a result of consistent with decreasing NO<sub>2</sub> trends demonstrating the and NO-sensitive conditions dominating these regions. The decreasing trends of O<sub>3</sub> over Eastern US and Europe are consistent with the decreasing trend of NO<sub>2</sub> (see below), which is are due to the successful measures applied since 2004 to mitigate air pollution in these regions. The decrease of O<sub>3</sub> is a



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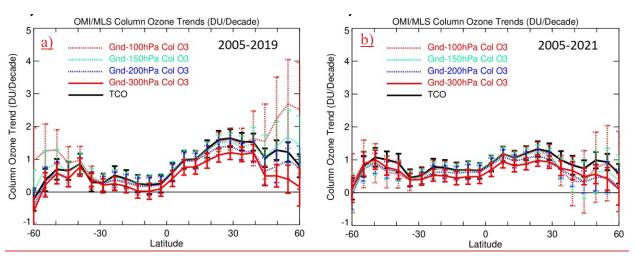


Figure 8: Tropospheric column ozone (TrC-O<sub>3</sub>) and trends for different column depths before the COVID-19 pandemic (2005-2019) and including the pandemic (2005-2021).

### 3.4.2. Free tropospheric trends

Trends of ozone in the free troposphere presented here are based on previous work published in ozonesonde data from the literature. Despite the high stability of ozonesonde measurements across the global networks over several decades (Stauffer et al., 2022), the spatial sparsity of sounding stations and non-uniform sampling frequency among sites is a limitation in using these data to produce trends. These shortcomings have constrained the ability to include data from many stations in previously published analyses. For example, Chang et. al (2020) estimated that at least 18 profiles per month are needed at a single station to calculate accurate long-term trends, while uncertainty increases at lower sampling rates (Chang et al 2024). However, such high sampling frequency is only achieved at three European stations (Hohenpeissenberg, Germany; Payerne, Switzerland, and Uccle, Belgium), while the rest of the global stations work at lower sampling rates. Nonetheless, high-quality ozonesonde observations continue to be the gold standard against which satellite measurements are validated. Likewise, ozonesonde data continue to provide spaceborne observations with climatological feedback. Thus, recent studies have softened the sampling frequency criteria in order to take advantage of the valuable data set collected by the global ozonesonde networks. For example, the latest trend studies establish the Recently, it was proven that minimum temporal frequency requirements to calculate trends to from ozonesondes demand sampling to be executed at at least three times profiles per month (Wang et al., 2022; Christiansen et al., 2022) with at least eight months of sampling in a year, and at least 15 annual means for an analysis of about two decades (Wang et al., 2022). With these criteria, recent ozonesonde trend analyses indicate that ozone concentration increased globally by 1.8+/-1.3 ppbv/decade in the free troposphere within 800 to 400 hPa (Christiansen et al., 2022). However, there is significant regional variability, as illustrated in Figure 9 where ozone trends published by Wang et. aAl. (2022) (1995-2017 data between 950-250 hPa) are

organized by regions and stations. For example, ozone in East Asia (Japan) has been increasing at a rate of 3.5 to 5 ppbv/decade, particularly since 2010 (Christiansen et al., 2022). Over the Southwestern Indian Ocean (La Réunion), trends are of similar magnitude (>4.5 ppbv/decade). In tropical South America, over the Atlantic basin region (Paramaribo and Natal), sounding measurements also show ozone increases by almost 3 ppby/decade (Natal), but other regions in South America continue to lack sufficient measurements to produce trends. At tropical stations in Africa (Nairobi) and the Pacific Ocean (Hilo and American Samoa) trends are also positive, although of lower magnitudes (0.83-1.7 ppby/decade). In contrast, polar stations both at the Arctic and Antarctica as well as the Southern Ocean show overall decreasing ozone concentrations to non-significant trends. Exceptions are the Eureka station in Canada and Lauder station in New Zealand, which both show slight ozone increases (less than 0.5 ppbv/decade). The direction of regional trends by Wang et. al. (2022) is consistent with regional trends presented in similar independent research (Christiansen et al., 2022). As atmospheric composition continues to become modified under the current regime of climate change, building consistent and longer time series of ozonesonde measurements at other regions will continue to be an important source of firsthand information to assess tropospheric ozone changes and trends.

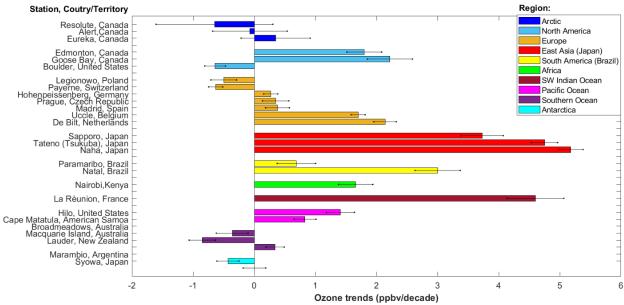


Figure 9: Ozone trends in the free troposphere from ozonesonde measurements calculated by Wang et. Al. (2022) and organized by region and station. Data covers the 1995-2017 period within 950 to 250 hPa. Error bars show 1- $\sigma$  uncertainty. The coordinates of ozonesonde stations are listed in Table S1.

### 3.4.3. Regional Ozone Trends

As shown in Figure 10, the highest OMI/MLS regional trend is observed over East Asia  $(2.16\pm1.27~DU/decade)$  while the lowest trend is calculated over Eastern USA  $(0.63\pm1.72)$  followed by Western Europe  $(0.89\pm1.60)$  and Australia  $(1.05\pm1.44)~DU/decade$ . We next calculate the monthly trends from the GEOS-GMI simulation to investigate how the simulated trends vary by altitudethrough the tropospheric column.

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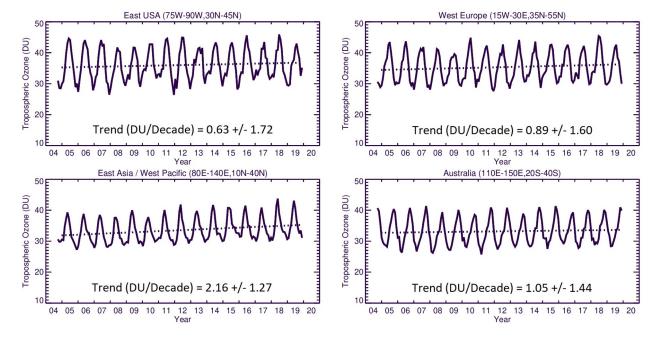
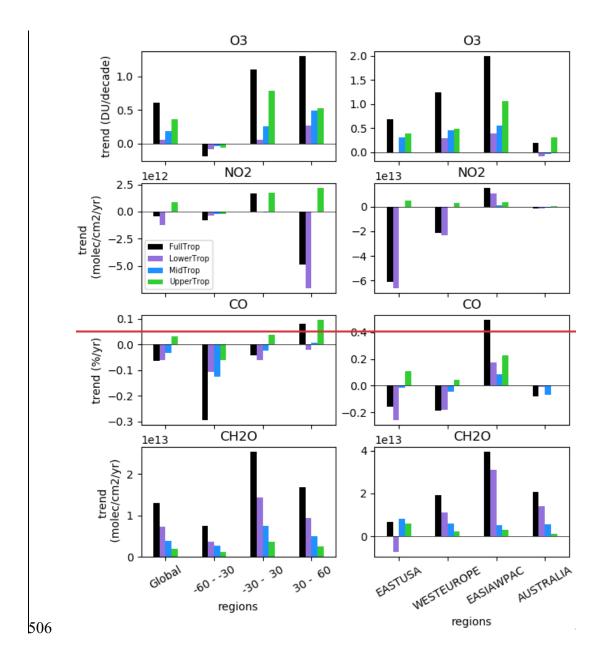


Figure 10: OMI/MLS observed regional mean trends of TrC-O<sub>3</sub>.

The simulated trends in partial columns (lower, middle, and upper troposphere) of O<sub>3</sub>, NO<sub>2</sub>, formaldehyde, and CO from 2005 to 2019 for different pressure levels as well as the tropospheric eolumns, as well as the TrC-O<sub>3</sub>, TrC-NO<sub>2</sub>, TrC-HCHO, and TC-CO from 2005 to 2019, -are shown in Figure 11 Figure 11. The simulated tropospheric columns of TrC-O<sub>3</sub> and TrC-HCHO show a positive trend in most regions (Figure 11Figure 11), consistent with the results of Liu et al (2022) -using a different GEOSCCM simulation. Liu et al (2022) highlighted the importance of formaldehyde trends for analyzing the simulated trends in tropospheric ozone. Considering different latitude bands, the highest trends are simulated between 30° S and 60° N, consistent with calculated trends based on satellite observations (see sec. 3.4). In contrast, the simulated NO<sub>2</sub> and CO trends are mostly negative, although positive trends are simulated over East Asia. The largest NO<sub>2</sub> negative trends are in the northern hemisphere between 30°N and 60°N. The decrease in NO<sub>2</sub> trends is consistent with the successful measures to curb emissions of pollution criteria in the US and Europe The decreasing NO<sub>2</sub> trends but increasing O<sub>3</sub> and HCHO trends in the northern hemisphere are due to the NO-sensitive conditions prevailing over Europe and the USA.. The increased trends in TrC-O<sub>3</sub> but decreased trends in TrC-NO<sub>2</sub>, and TC-CO might indicate STE contribution (Trickl et al., 2020; Li et al., 2024) in addition to the local chemistry.



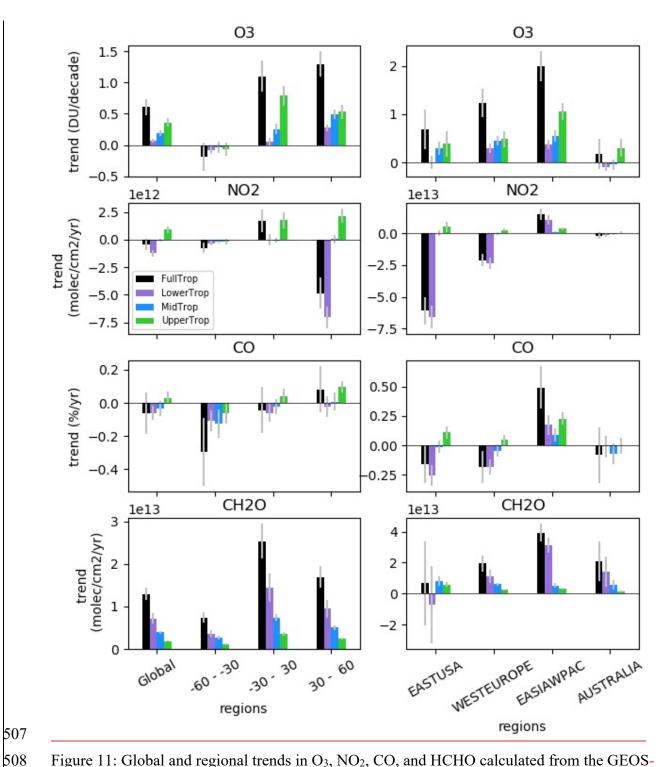


Figure 11: Global and regional trends in O<sub>3</sub>, NO<sub>2</sub>, CO, and HCHO calculated from the GEOS\_GMI simulation for the tropospheric column (black), lower troposphere (purple), middle troposphere (blue), and upper troposphere (green) from 2005 to 2019. The lower, middle, and upper troposphere are defined as in Figure 5.

The <u>GEOS-GMI</u> simulation provides an estimate of the relative contribution from different portions of the tropospheric column to the column trends and shows that this contribution varies

by region and constituent. The middle and upper troposphere make the largest contributions to the simulated TrC-O<sub>3</sub> trend globally, with large contributions from the upper troposphere driving the simulated TrC-O<sub>3</sub> trend at 30°S-30°N and counteracting the negative TrC-O<sub>3</sub> trend in the southern middlatitudes (Figure 11Figure 11). However, the middle and lower troposphere make larger contributions to the positive TrC-O<sub>3</sub> trends in the northern middle and high latitudes. The middle and upper troposphere contribute most of the simulated positive TrC-O<sub>3</sub> trend over the eastern USA, while the lower and middle troposphere are more important over western Europe, and all three levels contribute over western Europe and East Asia. The upper troposphere makes the primary contribution to the simulated trend over Australia. Simulated TrC-O<sub>3</sub> trends are also quite comparable to those observed by OMI/MLS within the measurement model uncertainty (see Figure 10 and Figure 7). Over Australia, the OMI/MLS trend of 1.05±1.44 DU/decade is higher than the model trend of about 0.2-18±0.308 DU/decade (see Figure 11Figure 11). However, since OMI/MLS trend has a calculated uncertainty (2σ) of 1.44 DU/decade, both the model and OMI/MLS for Australia are not statistically different.

While the upper troposphere is a major driver of the simulated TrC-O<sub>3</sub> trends, the lower troposphere is the largest contributor to the simulated trends in the tropospheric NO<sub>2</sub>, CO, and HCHO-trends globally and over many regions (Figure 11Figure 11). Exceptions include the simulated NO<sub>2</sub> in the tropics (30°S-30°N), which is dominated by the upper troposphere, the simulated HCHO column over the exastern USA, which is driven by the middle and upper troposphere; an important role for upper tropospheric CO over East Asia; and the CO trend over Australia driven by the middle tropospheric contribution. Figure 11Figure 11 also shows that in some regions, such as the eastern USA for all 3 precursors, the upper and lower tropospheric trends counteract each other, reducing the magnitude of the column trend. In the following sections, we investigate trends and variability in O<sub>3</sub> precursors, NO<sub>2</sub>, CO, and HCHO.

### **3.4.4. NO<sub>2</sub> Trends**

The TrC-NO<sub>2</sub> trends over 2005-2019 are shown in Figure 12 with a regional summary in Figure 13. On a global scale, there is a strong spatial variability of the TrC-NO<sub>2</sub> trends. About a third of the oceans show\_-significantly increasing TrC-NO<sub>2</sub> (at 95% confidence level), especially at midlatitude, with trends up to +0.01 Pmolec/cm<sup>2</sup>/yr<sub>7</sub>, while only a few cells in the equatorial Pacific show a significant decrease.

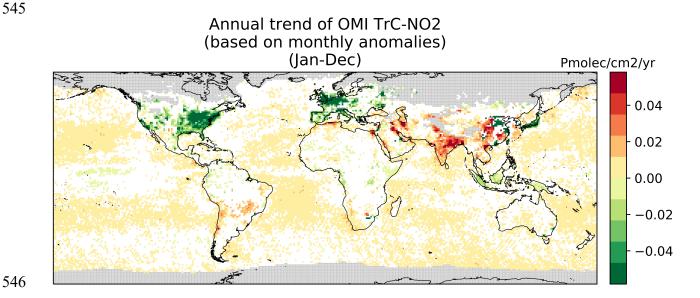


Figure 12: Global trends of OMI NO<sub>2</sub> tropospheric column (TrC-NO<sub>2</sub>) over 2005-2019 (see text for details on the calculation of the trends). Grey areas correspond to areas without enough data, white areas correspond to regions where the trends remain statistically insignificant at a 95% confidence level.

Regional trends are shown in Figure 13. For significant trends in a given region, the numbers correspond to the percentiles 5/50/95 of trends among the different cells of the region where trends are significant. Each region is tagged with a circle whose size is proportional to the p50 of the significant trends (red for positive and green for negative), which allows us to quickly see regions where the trend is strong. For instance, for Eastern Asia (this region includes 1442 1°x1° grid cells) about 15% of the grid cells (about 216 grid cells) in this region show a significant decrease in TrC-NO<sub>2</sub>. Over these specific 216 cells with a significant decrease of TrC-NO<sub>2</sub>, the 5th and 95th percentile of the trend is -0.34 and -0.01, respectively, Pmolec/cm<sup>2</sup>/yr. About 28% of the -grid cells in this region show a significant increase of TrC-NO<sub>2</sub> (which means about 403 grid cells). Over these specific 403 cells with a significant increase of TrC-NO<sub>2</sub>, the 5th (resp 95th) percentile of the trend is +0.01 (resp 0.05) Pmolec/cm<sup>2</sup>/yr. Therefore, the Eastern Asia region shows sub-regions with significantly decreasing TrC-NO<sub>2</sub>, others with significantly increasing TrC-NO<sub>2</sub>, and the rest with non-significant (positive and negative) trends. This figure allows us to quickly understand the distribution of the trends within athis given region while the overall regional trend is given by the 50<sup>th</sup> percentile and the circles tagging each region. It's a regional summary of what is shown in the trend global map. In Eastern Asia, the area where trends are significantly positive is more extended than for the significant decrease (28% versus 15%), but the trend values tend to be smaller (at least when comparing the 50<sup>th</sup> -percentiles, -0.05 versus +0.01 Pmolec/cm<sup>2</sup>/yr). The map of regions is included in the supplement. Canada is included in northern America but as shown in the trend map, most of Canada does not have OMI data

Over continental areas, significant positive and negative trends are found in about 15-20% of the grid cells each (Figure 12). Regions with predominantly decreasing TrC-NO<sub>2</sub> include western and southern Europe (where about 50-60% of cells with a significant decrease), northern America (40% of cells with a significant decrease, mostly located in the eastern United States), Japan, and Indonesia. In absolute terms, these negative trends reach values of about -0.03 Pmolec/cm²/yr. Specific eastern regions of China also show similar significant TrC-NO<sub>2</sub> decreases but overall, a larger part of the country faces increasing trends up to +0.03 Pmolec/cm²/yr. Similar pPositive trends of similar magnitude are observed over most of India, as well as in specific parts of south-eastern Asia (mainly Vietnam) and the Middle East (mainly Iran and Iraq). Conversely, TrC-NO<sub>2</sub> trends in Africa and South America remain mainly insignificant, except in a few specific regions with significant increases (e.g. South Africa, Chile, Morocco, Chile, and parts of Brazil).

The trends in NO<sub>2</sub> have varying effects on the tropospheric ozone column, which is related to the different local chemistry in each region. The concomitant increase or decrease in TrC-O<sub>3</sub> and TrC-NO<sub>2</sub> trends over some parts of the the eastern US, and westerneentral Europe is due to consistent with the dominant NO sensitive condition due to the strict NO<sub>x</sub> control measures that were applied over the last two decades. STE can also contribute to increased TrC-O<sub>3</sub> trends, especially in the mid-latitudes. The A decreasing trend of TrC-NO<sub>2</sub> but an increasing trend of TrC-O<sub>3</sub> is present in some in othersome regions such as in the central US, which might be due to local chemistry and STE., e.g., over Chicago is due to the high NO<sub>x</sub> conditions in these regions (e.g., Elshorbany et al., 2021 and references therein). The increasing TrC-O<sub>3</sub> trends as

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### Overview of OMI TrC-NO2 annual trends (Pmolec/cm2/yr; based on monthly anomalies) -<mark>0.0</mark>1 [-0.12;-0.00] All (+0.00)All oceans (+0.00)-0.00 [-0.<mark>03;-0.00]</mark> All continents (+0.00) +0.01[+0.00;+0.02 America (-0.00) +0.01 [+0.00;+0 Northern America (-0.01) Central America (-0.00) South America (+0.00) Caribbean (-0.00) 02:-0.001 Europe (-0.01) Northern Europe (-0.00) +0.01 [+0.00;+0.02 Southern Europe (-0.01) +0.01 [+0.00;+0 Western Europe (-0.03) Eastern Europe (-0.00) +0.01 [+0.00; 0.01 [-0.02;-0.00] Africa (+0.00) Northern Africa (+0.00) 00 [-0.01;-0.00] 2;-0.001 +0.01 [+0.00;+0. Middle Africa (-0.00) Southern Africa (+0.01) 03 [-0.18:-0.01] Western Africa (-0.00) .00] +0.01 Eastern Africa (-0.00) 0.01 [-0.<mark>20;-0.00]</mark> Asia (+0.00) -0.00 [-0.01;-0.00] Central Asia (+0.00) -0.01 [-0.01;-0.01] Southern Asia (+0.01) South-Eastern Asia (-0.00) Western Asia (+0.00) 6:-0.001 Eastern Asia (+0.00) 0.01 [-0.03;-0.00] Oceania (+0.00) Australia and New Zealand (+0.00) [-0.03;-0.00] Melanesia (+0.00) Micronesia (+0.00) 0;-0.00] Polynesia (+0.00) 10 20 30 40 50 60 70 80 90 0 100 Percentage of 1deg x 1deg grid cells Significant decrease Non-significant increase

Figure 13: Summary of the statistically significant and insignificant regional trends of OMI NO<sub>2</sub> tropospheric column (TrC-NO<sub>2</sub>) trends over 2005-2019, at a 95% confidence level (see text for details on the calculation of the trends). For each region, the trend on the bars is in the format: p50 [p5; p95], which represents the 50<sup>th</sup>[5<sup>th</sup>, and 95<sup>th</sup>] percentiles of the trends.

Significant increase

Non-significant decrease

Figure 14Figure 14 shows the time series of regional mean tropospheric NO<sub>2</sub> concentrations from three satellite instruments, OMI for 2005-2020, GOME-2 for 2007-2018, and SCIAMACHY for 2005-2012. All the instruments exhibit common large seasonal and year-to-year variations over both industrial regions and biomass-burning areas. Slight systematic differences among the

instruments can mainly be attributed to the different overpass times. The satellite observations show positive trends over China by 2010, followed by a continued decrease. Over the USA and Europe, all the retrievals show a downward trend over the analysis period. Over the US, the observed TrC-NO<sub>2</sub> levels decreased rapidly during 2005–2009 and subsequently show weaker reductions, as discussed by Jiang et al. (2018). A similar slowdown trend is found in Europe. Over India, the OMI observations show positive trends over the 14 years (+1.6 % yr<sup>-1</sup>). The seasonal and year-to-year variations over Southeast Asia and northern and central Africa are associated with changes in biomass-burning activity (Ghude et al., 2009).

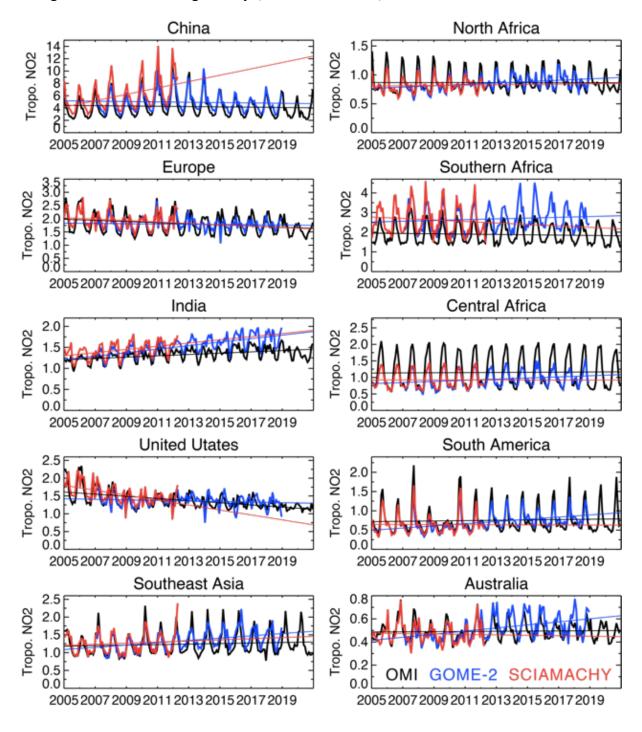


Figure 14: Time series of regional monthly mean tropospheric NO<sub>2</sub> columns (in 10<sup>15</sup> molecules cm<sup>-2</sup>) averaged over China (110–123° E, 30–40° N), Europe (10° W–30° E, 35–60° N), the US (70–125° W, 28–50° N), India (68–89° E, 8–33° N), South America (50–70° W, 20° S–Equator), northern Africa (20° W–40° E, Equator–20° N), central Africa (10–40° E, Equator–20° S), southern Africa (25–34° E, 22–31° S), southeastern Asia (96–105° E, 10–20° N), and Australia (113–155° E, 11–44° S) obtained from OMI (black), GOME-2 (blue), and SCIAMACHY (red).

### 3.4.5. Carbon MonoxideCO Trends

CO trends are calculated based on MOPITT v9 products (adapted from Buehholz et al. (2021), see sec. 2.2.1). Observed CO trends below show a slowing in the trend compared to the a previous analysis (Buchholz et al. (2021). In the northern hemisphere, CO trends are largely negative over the US and Europe, which is consistent with the implemented policies to reduce air pollution since 2005. In the northern hemisphere, CO trends are largely negative over the US and Europe, which is consistent with improvements in combustion efficiency and policies implemented to reduce air pollution since 2004. Except for small sporadic positive trends, no significant trends can be calculated over Central Asia (India and China), while there is a strong negative trend in East China due to the recent strong focus on air quality improvement, and no significant trend in the SH (relative to slope error).

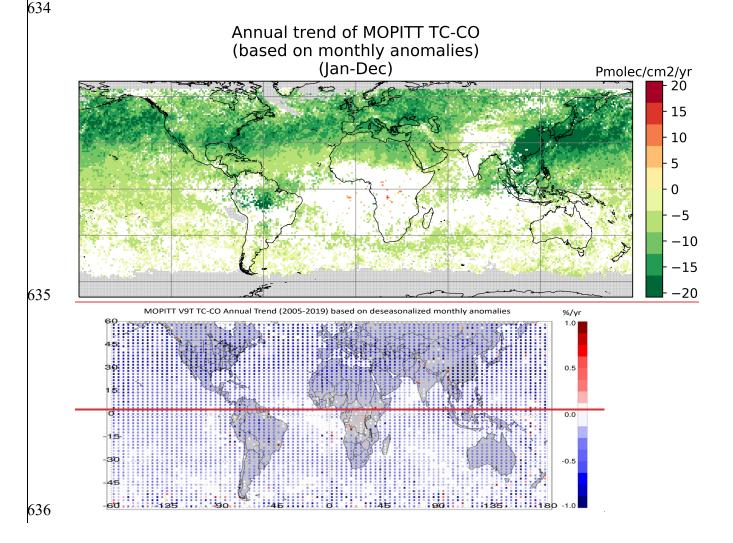


Figure 15: -Trends in TC\_-CO from MOPITT V9<u>J</u> data, 2005-2019 (see text for details on the

calculation of the trends). Grey areas correspond to areas without enough data, white areas

639 correspond to regions where the trends remain statistically insignificant at a 95% confidence

640 <u>level.</u>

Trends are computed from deseasonalized monthly anomalies.

Calculated global CO trends are driven mainly by the decreasing trends in the NH. Shown below are the trends in the MOPITT column average volume mixing ratio (VMR) anomalies from 2005 to 2019 (Figure 16) using QR as well as Weighted least squares (WLS)) as Buchholz et al. (2021). The region boundaries are the same as used in Fig. 10 and 11. Results show a significant decreasing trend in the NH (-0.35 ±0.1% annually), a smaller decreasing trend in the Midlatitudes (-0.26 ±0.1% annually) and no significant trend in the SH (-0.14 ±0.1% annually). The three anthropogenic regions investigated in the NH all show strong decreases in CO. The larger negative trend over Australia (-0.2 ±0.1% annually) than the average SH, suggests sources from the other two land regions (Southern Africa and South America) may be counteracting negative

652 trends in CO for the SH.

We also compare CO trends with Community Earth System Model (CESM) simulations (Supplement Fig S1). While the magnitude of modeled CO tends to be underestimated relative to observations, the anomalies between model and measurements are comparable, indicating the model reproduces interannual variability well. The negative trends in the NH are also reproduced by CESM, although to a smaller degree than observations, suggesting that the trends in sources or loss processes (such as OH oxidation) are underestimated in the model. These processes will impact the feedback into modeled ozone and the resulting interpretation of driving factors for ozone abundance and variability. Interestingly, CESM correctly represents a negative trend in CO for the NH and East Asia while GEOS GMI has a positive CO trend in those regions (Fig. 11), likely due to the well-known misrepresentation of East Asia air quality improvements in models (Yin et al, 2015; Strode et al., 2016; Zheng et al, 2019). In the SH, CESM does not predict significant trends.

A regional summary of the trends in the global map is shown in Figure 16. CO trends are predominantly negative everywhere except for some sporadic positive trends over middle Africa. Decreasing TC-CO trends are highest in Europe, followed by Asia and America with about 86%, 75%, and 69% of their cells being negative, respectively. The 50 percentiles of the trends in these cells are -12.01, -10.21, and -10.16 Pmolec/cm²/yr, respectively. Africa shows the lowest decreasing trends as the negative trends in North Africa are being offset by small increasing trends in middle Africa. Overall, about 41% of the cells in Africa show decreasing trends, and 50% of the trends in these cells account for -8.71 Pmolec/cm²/yr. Thus, even though the NH accounts for most of CO emissions, decreasing trends of TC-CO are evident in these regions.

# Overview of MOPITT TC-CO annual trends (Pmolec/cm2/yr; based on monthly anomalies)

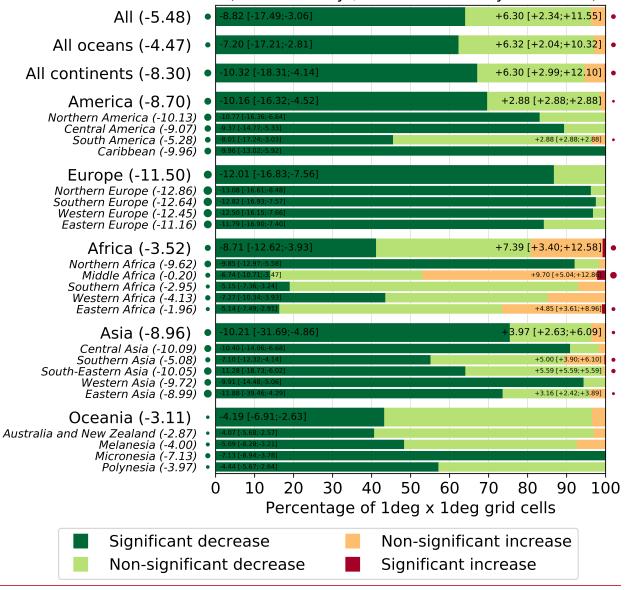
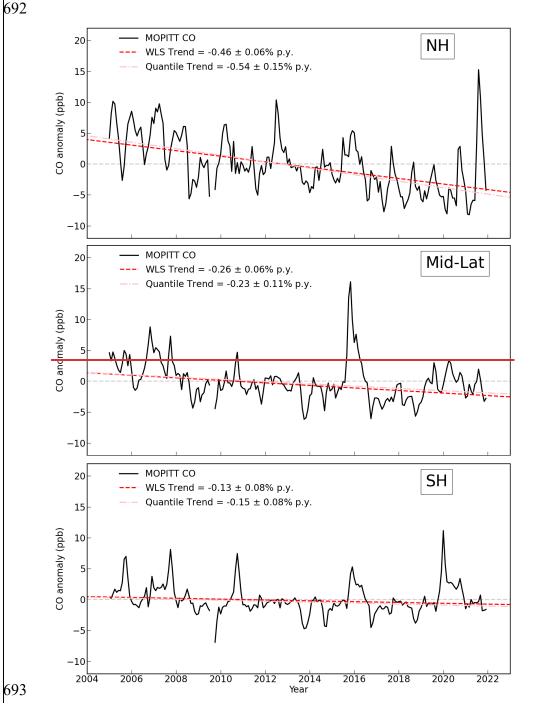


Figure 16: Summary of the statistically significant and insignificant regional trends of MOPITT TC-CO trends over 2005-2019, at a 95% confidence level (see text for details on the calculation of the trends). For each region, the trends reported on the left (resp. right) represent the <sup>5t</sup>h, 5<sup>0t</sup>h and 9<sup>5t</sup>h percentiles of the trends calculated over the different grid cells showing a significant TC-CO increase or decrease.

Shown below are also the trends in the MOPITT column average volume mixing ratio (VMR) anomalies from 2005 to 2019 (Figure 17) using QR as well as Weighted least squares (WLS)) as Buchholz et al. (2021). The region boundaries are the same as used in Fig. 10 and 11. Results show a significant decreasing trend in the NH (-0.35  $\pm 0.1\%$  annually), a smaller decreasing trend in the Mid-latitudes (-0.26  $\pm 0.1\%$  annually) and no significant trend in the SH (-0.14  $\pm 0.1\%$  annually). The three anthropogenic regions investigated in the NH all show strong decreases in CO. The larger negative trend over Australia (-0.2  $\pm 0.1\%$  annually) than the average SH,





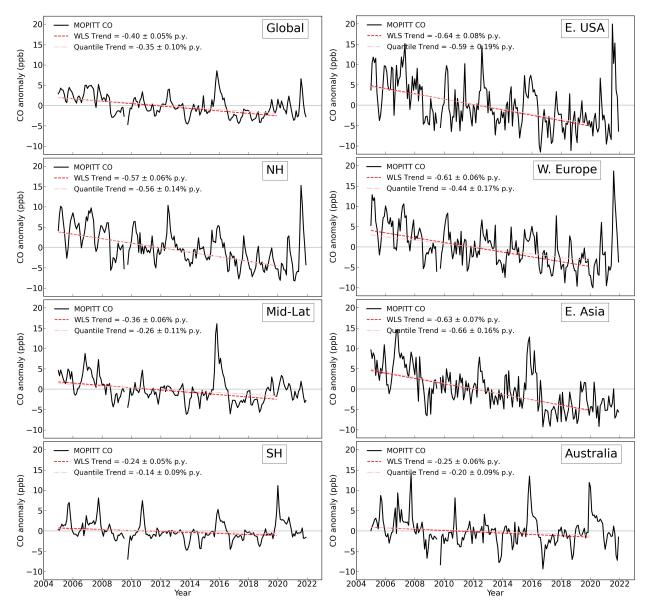


Figure 17: MOPITT monthly average CO anomalies in column average volume mixing ratio (VMR, ppb), 2005-2021 (black). Updated dataset based on Buchholz et al. (2021). Data is Level 3, monthly average daytime observations, using version 9 joint NIR/TIR retrievals (V9J). Regions are defined in Figure 10 and Figure 11 Figure 11. Trends are calculated on anomalies 2005-2019. The weighted Least Squares trend (red) is weighted by the monthly regional standard deviation. The quantile regression trend is also shown (pink). Grey dashed lines indicate a zero trend.

We also compare CO trends with Community Earth System Model (CESM) simulations (Supplement Fig S1). While the magnitude of modeled CO tends to be underestimated relative to observations, the anomalies between the model and measurements are comparable, indicating the model reproduces interannual variability well. The negative trends in the NH are also reproduced by CESM, although to a smaller degree than observations, suggesting that the trends in sources or loss processes (such as OH oxidation) are underestimated in the model. These processes will impact the feedback into modeled ozone and the resulting interpretation of driving factors for

- ozone abundance and variability. Interestingly, CESM correctly represents a negative trend in
- CO for the NH and East Asia while GEOS-GMI has a positive CO trend in those regions (Fig.
- 712 11), likely due to the well-known misrepresentation of East Asia air quality improvements in
- emission inventories (Yin et al., 2015; Strode et al., 2016; Zheng et al., 2019). In the SH, CESM
- 714 <u>does not predict significant trends.</u>

716

- 3.4.6. HCHO Trends
- 717 HCHO, mainly a photochemical product results from hydrocarbon oxidation. HCHO is itself a
- source of OH and ozone through its photolysis producing HO<sub>2</sub>, which can be recycled back to
- 719 OH if sufficient NO levels are present.
- 720 R 3.4-1 HCHO + hv ( $\lambda$  < 325 nm)  $\rightarrow$  H + HCO
- 721 R 3.4-2 H +  $O_2$  + M  $\rightarrow$  H $O_2$  + M
- 722 R 3.4-3 HCO +  $O_2$   $\rightarrow$  HO<sub>2</sub> + CO
- 723 R 3.4-4  $HO_2$  + NO  $\rightarrow$  OH +  $NO_2$
- Unlike higher aldehydes, the OH reaction with HCHO leads also to the formation of a formyl
- radical (HCO), which ultimately forms HO<sub>2</sub> (R 3.4-3R 3.4-3).
- 726 R 3.4-5 HCHO + OH  $\rightarrow$  H<sub>2</sub>O + HCO
- Due to its solubility, the variability of HCHO also depends on the presence of clouds, and wet
- deposition ultimately represents another important sink for HCHO (Lelieved and Crutzen, 1991).
- Overall, HCHO plays a key role in the O<sub>3</sub> budget, both in polluted and remote regions.
- 730 Trends of the OMI HCHO tropospheric columns (hereafter referred to as TrC-HCHO) are
- computed as described for OMI TrC-NO<sub>2</sub>. TrC-HCHO trends over 2005-2019 are shown in
- Figure 1817 Figure 17 with a regional summary in Figure 1918 Figure 18. The first global feature
- to highlight on the global trends map is the presence of stripes along the OMI orbits. The number
- of rows affected by the OMI row anomaly has increased over the years (Boersma et al., 2018).
- The affected rows are filtered out in the HCHO data, but the change in the sampling and the
- 736 related increase in the noise impact the trend analysis. Along orbit stripes in the trend analysis
- should be ignored but zonal trends are still valid (Figure 1817Figure 17).

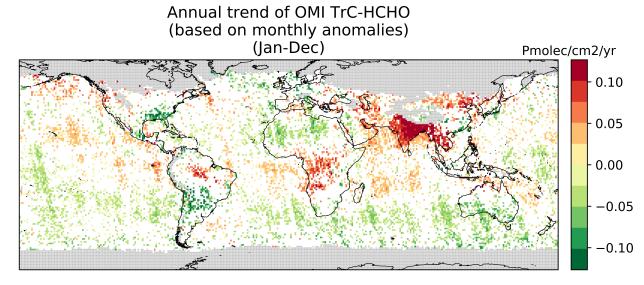


Figure <u>1817</u>: Global trends of OMI HCHO tropospheric column (TrC-HCHO) over 2005-2019 (see text for details on the calculation of the trends). Grey areas correspond to areas without enough data, white areas correspond to regions where the trends remain statistically insignificant at a 95% confidence level.

Despite the fact that TrC-HCHO trends remain insignificant over a large part of the globe, specific regions do highlight clear trends. The region with clearest changes is unambiguously southern Asia where about 65% of the cells show increasing trends with a median of +0.09 Pmolec/cm²/yr. The other regions with a large portion (25-30% of the cells) of increasing trends include the rest of Asia and Middle-central Africa, with median TrC-HCHO trends ranging between +0.05 and +0.08 Pmolec/cm²/yr, as well as some parts of central Brazil (Amazonians). Conversely, some significant decreases of TrC-HCHO are observed in the south-eastern US, the southern half of Southern America, North and western Africa, and southern Australia, although part of them overlap with the aforementioned stripes and might thus not be real.

# Overview of OMI TrC-HCHO annual trends (Pmolec/cm2/yr; based on monthly anomalies)

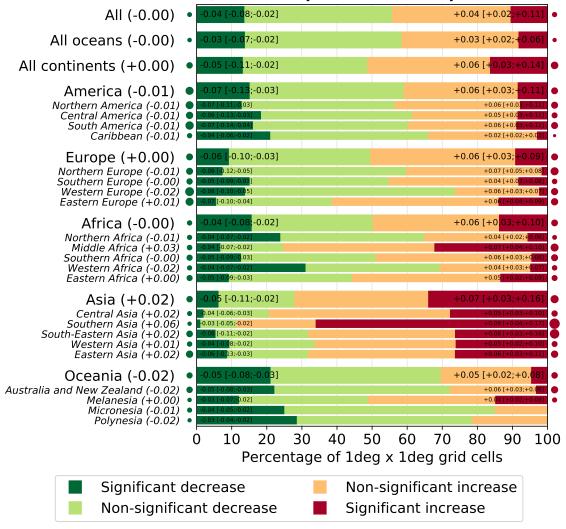


Figure 1918: Summary of the statistically significant and insignificant regional trends of OMI HCHO tropospheric column (TrC-HCHO) trends over 2005-2019, at a 95% confidence level (see text for details on the calculation of the trends). For each region, the trends reported on the left (resp. right) represent the 5th, 50th and 95th percentiles of the trends calculated over the different grid cells showing a significant TrC-HCHO increase or decrease.

| | | 761

HCHO trends are inconsistent with that of O<sub>3</sub> (sec. 3.4.1) in some regions which might be due to several factors, such as their different sensitivity to NO<sub>8</sub> and hydrocarbons (Luecken et al., 2018) but also possible STE contribution to tropospheric ozone levels, especially in midlatitudes (Willimas et al., 2019; Li et al., 2024). For example, while TrC-O<sub>3</sub> is increasing in the southeastern US, TrC-NO<sub>2</sub>, TC-CO, and TrC-HCHO are decreasing, which, in addition to the local chemistry, might indicate a STE signal. O<sub>3</sub> and HCHO trends are consistent with NO<sub>2</sub> over Eastern US and Europe. However, TrC-NO<sub>2</sub> trends are decreasing over the northern coast of Australia while thatose of TrC-O<sub>3</sub> and TrC-HCHO are increasing. While the increase of HCHO/NO<sub>2</sub> might indicate a trend toward NO-limited conditions (see below), the increase of TrC-O<sub>3</sub> trends in this region might also indicate increasing trends of STE contribution (Li et al.,

2024). Similarly, while NO<sub>2</sub> trends are slightly increasing over <u>central and</u> southern Australia, trends of <u>TrC-O<sub>3</sub></u> and <u>TrC-HCHO arewere</u> decreasing, which indicates a trend toward <u>VOC-limited conditions</u> (see below). The decreasing trends of HCHO and O<sub>3</sub>-as NO<sub>2</sub> increases is evidence of the <u>VOC-limited conditions</u> in these regions. Under these conditions, increased NO<sub>2</sub> levels lead to a reduction of OH via OH+NO<sub>2</sub>=HNO<sub>3</sub>, which decreases the oxidation capacity and thus lowers the photochemical formation of HCHO and O<sub>3</sub>.

### 3.4.7. HCHO/NO<sub>2</sub>

Since the pioneer study of Martin et al. (2004), tThe ratio of TrC-HCHO/TrC-NO2 observed from space (e.g., Martin et al., 2004) has been used in a number of studies to give insights on the O3 chemical regime, higher (resp. lower) TrC-HCHO/TrC-NO2 ratios coming with more indicate NOx-limited (resp. ROx-limited) regimes. Although imperfect (e.g. Souri et al., 2023), this indicator yet provides some qualitative information on the evolution of the O3 regime over the last years (Nussbaumer et al., 2023). We note that this analysis does not consider variations in the ratios and their trends with respect to season or altitude. The mean TrC-HCHO/TrC-NO2 over 2005-2019 are shown in Figure 2019Figure 19, and the trend results in Figure 2120Figure 20 with a regional summary in Figure 2221Figure 21. The highest ratios are observed in the tropical regions due to strong TrC-HCHO due to high from biogenic sources and fire NMVOC emissions in tropical South America and Africa combined with relatively low TrC-NO2. Conversely, lower TrC-HCHO/TrC-NO2 ratios are observed across western Europe and north-eastern Asia, and to a lesser extent, the north-eastern US.

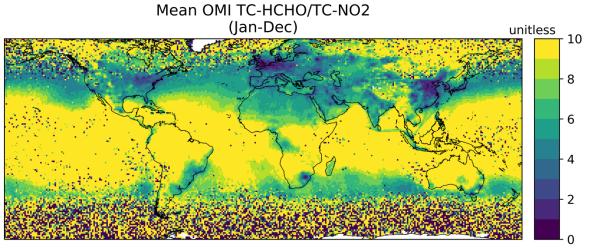


Figure 2019: Global mean OMI HCHO/NO<sub>2</sub> tropospheric column ratio over 2005-2019.

At a global scale, the significant changes in TrC-HCHO/-TrC-NO<sub>2</sub> ratios trends (Figure 2120-Figure 2221) mostly go in the direction of a reduction, with about 25% of the grid cells showing a median trend of -0.52 yr<sup>-1</sup>. (while only 5% of the cells show a significant increase of +0.03 yr<sup>-1</sup>) as shown in Figure 2221. This suggests that these areas are evolving toward more NOxVOC-sensitive conditions (which does not necessarily imply that they are already in this regime). This situation is observed over a large part of Oceania (especially Polynesia) and specific parts of Africa, Asia, and South America. The opposite significant trends, toward more RO\*NO-sensitive conditions, are mainly observed over Europe and northern America, as well as south-eastern Asia. We note

that the mean TrC-HCHO/TrC-NO<sub>2</sub> indicates the mean status of the chemical regime over this period of time (2005-2019). However, the trends of the TrC-HCHO/TrC-NO<sub>2</sub> ratio show the changing sensitivity of the chemical regime over this period of time. For example, while the ratio in Eastern US indicates VOC-sensitive conditions, the trends of TrC-HCHO/TrC-NO<sub>2</sub> indicate a direction toward NO-sensitive conditions.

# Annual trend of OMI TrC-HCHO/NO2 (based on monthly anomalies) (Jan-Dec) 1/yr - 0.6 - 0.4 - 0.2 - 0.0 - -0.2 - -0.4

Figure 2120: Global trends of OMI HCHO/NO<sub>2</sub> tropospheric column ratio over 2005-2019 (see text for details on the calculation of the trends). Grey areas correspond to areas without enough data, white areas correspond to regions where the trends remain statistically insignificant at a 95% confidence level.

These trends on the TrC-HCHO/-TrC-NO<sub>2</sub> ratio can be mainly driven by specific trends on TrC-HCHO and/or TrC-NO<sub>2</sub>, depending on the region. The ratio increase in southern and western Europe and southeast Asia appears primarily due to decreasing TrC-NO<sub>2</sub>, since TrC-HCHO does not change significantly. Over North America, observed TrC-HCHO values do decrease but the less than TrC-NO<sub>2</sub>, which thus drives the ratio toward an increase. Conversely, the increase of TrC-HCHO/TrC-NO<sub>2</sub> in equatorial Africa and Amazonians appears mainly driven to the processing TrC-HCHO. The regions where TrC-HCHO/TrC-NO<sub>2</sub> is significantly decreasing include Chile and Australia, due to both decreasing TrC-HCHO and increasing TrC-NO<sub>2</sub> (Figure 2221), indicating a trend towards a VOC-limited regime. Note that over the US, Jin et al. (2020) demonstrated the reasonable ability of the OMI-based TrC-HCHO/TrC-NO<sub>2</sub> trends to capture the transition from RO<sub>x</sub>-limited to NO<sub>x</sub>-limited regimes over main US cities and found a relatively good consistency between observed changes of the surface O<sub>3</sub> and space-based HCHO/NO<sub>2</sub> increasing trends. The regions where TrC-TrC-HCHO/TrC-NO<sub>2</sub> is significantly decreasing include southwestern America and Australia, due to both decreasing TrC-HCHO and increasing TrC-NO<sub>2</sub> (Figure 21).

## Overview of OMI TrC-HCHO/NO2 annual trends (Pmolec/cm2/yr; based on monthly anomalies)

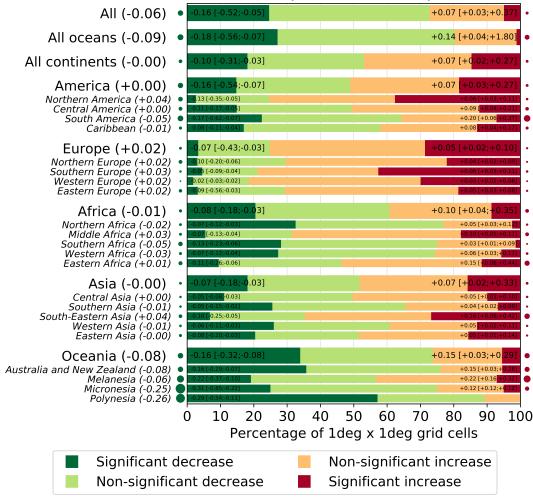


Figure 2221: Summary of the statistically significant and insignificant regional trends of OMI TrC-HCHO/TrC-NO<sub>2</sub> tropospheric column ratio trends over 2005-2019, at a 95% confidence level (see text for details on the calculation of the trends). For each region, the trends reported on the left (resp. right) represent the <sup>5th</sup>, 5<sup>0th</sup> and 9<sup>5th</sup> percentiles of the trends calculated over the different grid cells showing a significant TrC-HCHO/TrC-NO<sub>2</sub> increase or decrease.

### 3.5. Lightning NO<sub>x</sub> and Its Effects on NO<sub>x</sub> and Tropospheric NO<sub>x</sub> and O<sub>3</sub>

 Nitric oxide (NO) is produced in lightning flash channels and quickly comes into equilibrium with NO<sub>2</sub>. Cloud-scale simulations of thunderstorms indicate that 55-75% of lightning NO<sub>x</sub> (LNO<sub>x</sub>) is detrained above 8 km (Pickering et al., 1998) where it enhances upper tropospheric NO<sub>y</sub>, OH, and O<sub>3</sub> (Labrador et al., 2005; Allen et al., 2010; Liaskos et al., 2015) and contributes to enhanced longwave radiative absorption positive radiative forcing by O<sub>3</sub> (Lacis et al., 1990; Finney et al., 2018) and negative radiative forcing by CH<sub>4</sub>. Enhanced OH leads to a decrease in CH<sub>4</sub> lifetime and decreased longwave radiative absorption (Fiore et al., 2006; Finney et al., 2018). The lifetime of NO<sub>x</sub> in the upper troposphere is controlled by the chemical cycling of NO<sub>x</sub> with reservoir species and is 10-20 days away from deep convection (Prather and Jacob, 1997) but only 2-12 hours in the vicinity of convection (Nault et al., 2016, 2017). This chemical recycling provides a source of NO<sub>x</sub> downwind of thunderstorms, which causes the ozone production efficiency of emitted NO<sub>x</sub> to be 4-20 times higher in the upper troposphere than at the surface. Thus, LNO<sub>x</sub> has a disproportionate impact on the tropospheric O<sub>3</sub> budget (Pickering et al., 1990; Grewe et al., 2001; Sauvage et al., 2007).

The distribution of lightning is fairly well known over much of the Earth due to remote sensing observations and an increase in the number and capability of ground-based lightning networks. However, the LNO<sub>x</sub> production efficiency (PE, mol fl-1) is a continued source of uncertainty. Schumann and Huntrieser (2007) reviewed the literature on LNO<sub>x</sub> production, finding a best estimate of 250 moles per flash, with uncertainty factors ranging from 0.13 to 2.7. The PE can be estimated from theoretical and laboratory considerations (Price et al., 1997; Koshak et al., 2014), using thunderstorm anvil observations by aircraft (Ridley et al., 2004; Huntrieser et al., 2008, 2011; Pollack et al., 2016; Nault et al., 2017; Allen et al., 2021a), based on satellite data (Bucsela et al., 2010; Beirle et al., 2010; Pickering et al., 2016; Bucsela et al., 2019; Lapierre et al., 2020; Zhang et al., 2020; Allen et al., 2019, 2021b), or using cloud-resolved (e.g., DeCaria et al., 2000; 2005; Fehr et al., 2004; Ott et al., 2007, 2010; Cummings et al., 2013; Pickering et al., 2023) or global model simulations with chemistry (e.g. Martin, et al., 2007; Murray et al., 2012; Miyazaki et al., 2014; Marais et al., 2018). These various techniques have yielded PE estimates ranging from <50 to >1000 mol fl<sup>-1</sup>, with most estimates in the 100-400 mol fl<sup>-1</sup> range. Miyazaki et al. (2014) assimilated OMI NO<sub>2</sub>, MLS and TES O<sub>3</sub>, and MOPITT CO into a chemical transport model to provide comprehensive constraints on the global LNO<sub>x</sub> source, resulting in an estimate of mean PE of 310 moles per flash. Marais et al. (2018) used cloud-sliced upper tropospheric NO<sub>2</sub> from OMI together with the GEOS-Chem model to estimate a mean LNO<sub>x</sub> PE of 280 moles per flash. Lightning is the dominant source of NO<sub>x</sub> in the tropical upper troposphere year-round and in the northern mid-latitudes in summer. Lightning is responsible for 10-15% of NO<sub>x</sub> emissions globally. Assuming 100-400 mol fl<sup>-1</sup>, the global LNO<sub>x</sub> production is likely 2 – 8 Tg N a<sup>-1</sup> (Schumann and Huntrieser, 2007; Verma et al., 2021).

LNO<sub>x</sub> impacts air quality and deposition (Kaynak et al., 2008; Allen et al., 2012). On average LNO<sub>x</sub> adds 1-2 ppbv to surface O<sub>3</sub> (Kang et al., 2019b), although contributions as large as 18 ppbv have been seen for individual events (Murray et al., 2016). Allen et al. found that the addition of LNO<sub>x</sub> to the Community Multiscale Air Quality (CMAQ) model increased wet deposition of oxidized nitrogen at National Atmospheric Deposition Program (NADP) sites by 43%, reducing low biases from 33% to near-zero. Kang et al. (2019b) found similar improvements for wet deposition and also found that including LNO<sub>x</sub> resulted in smaller biases with respect to ozonesondes and aircraft profiles taken during the NASA DISCOVER-AQ field campaign (Flynn

et al., 2016). Thus, to accurately assess its impacts on air quality, it is critical that LNO<sub>x</sub>-producing deep convection is accurately simulated.

Lightning is the dominant source of NO<sub>\*</sub> in the tropical upper troposphere year-round and in the northern mid-latitudes in summer. Lightning is responsible for 10-15% of NO<sub>\*</sub> emissions globally. This is 2 - 8 Tg N a<sup>-1</sup> (Schumann and Huntrieser, 2007; Verma et al., 2021) or 100 to 400 mol per flash. Much of the uncertainty stems from limited knowledge of lightning NO<sub>\*</sub> PE per flash or per unit flash length. Most LNO<sub>\*</sub> is injected into the mid- and upper troposphere where (away from deep convection) its lifetime is longer relative to lower troposphere NO<sub>\*</sub>. LNO<sub>\*</sub> plays an important role in determining mid- and upper-tropospheric concentrations of the hydroxyl radical (OH), the atmosphere's cleanser; CH<sub>4</sub>, an especially potent greenhouse gas; and O<sub>3</sub>, a greenhouse gas and pollutant.

Only in recent years with the advent of satellite observations of lightning flashes and improved coverage by ground-based lightning networks has there been sufficient data to make estimates of trends in the occurrence of lightning. However, it is unknown whether trends in LNO<sub>x</sub> production are similar to those of lightning itself. Lightning characteristics such as the ratio of intracloud (IC) flashes to cloud-to-ground (CG) flashes, the multiplicity (i.e., the number of strokes per flash), and the peak current or energy associated with flashes may vary over time. All of these lightning characteristics may have effects on the magnitude of LNOx production. We have insufficient data to take into account these possible effects on LNO<sub>x</sub> production over large spatial domains or over sufficiently long periods of time.

### 3.5.1. Global Historical trends of Lightning LNOx

The first attempts at an examination of trends in thunderstorm activity were conducted in terms of thunder-days (in Japan by Kitagawa et al., 1989; in Brazil by Pinto et al., 2013). A more recent global analysis was conducted by Lavigne et al. (2019), who analyzed trends in thunder-days (number of days with audible thunder at weather observation stations) over 43 years and in flashes recorded by the Lightning Imaging Sensor (LIS) on the Tropical Rainfall Measuring Mission (TRMM) for 16 years. Thunder-days increased since the 1970s in the Amazon Basin, the Maritime Continent, India, Congo, Central America, and Argentina. Decreases in thunder-days were found in China, Australia, and the Sahel region of Africa. Lavigne et al. (2019) do not provide a global trend in thunder days, but an average trend computed over the nine primary lightning regions that they considered, weighted by the mean annual thunder days in each region, yields a near global estimate of +3.8% per decade. How well do thunder-days represent lightning flash rate? Lavigne et al. found a positive correlation between thunder-days and LIS flash rates in China, the Maritime Continent, South Africa and Argentina, but disagreement on the trend in India and West Africa.

Large-scale (±38° latitude) trends in lightning flashes have been examined in the data collected by the LIS on the TRMM satellite (January 1998 – December 2014) and on the International Space Station (February 2017 – December 2021). Füllekrug et al. (2022; see Figure SB2.1b) demonstrate that the annual mean deviations from the 1998 – 2021 mean are no more than ~5% except for ~10% in 2020 and ~-8% in 2021. However, no long-term trend is evident from the LIS data. The possibility that these larger negative deviations in 2020 and 2021 are due to Covid-19 lockdowns and general declines in economic activity has been speculated. The link may be provided by changes in Aerosol Optical Depth (AOD) as suggested by Liu et al. (2021) who demonstrated 10-20% flash reductions in March – May 2020 relative to the 2018 – 2021 mean for those months from the GLD360 and WWLLN ground-based lightning networks. Regional lightning reductions were consistent with AOD reductions noted by Sanap (2021). Larger reductions over the Americas.

# 3.5.2. Regional Historical Trends of LightningLNO<sub>x</sub>

Widely varying trends in lightning over China have been reported in the literature. To some extent, whether the trend in lightning is upward or downward depends on the particular region studied and on the period of time considered. Yang and Li (2014) were the first to report on lightning trends in China. They used lightning data from the TRMM/LIS sensor and human-observed thunderstorm day occurrence over the period 1990 to 2012 in southeastern China. Thunderstorms and lightning occurrence increased over the period as well as LIS precipitation radar echo tops heights. These increases were accompanied by decreases in visibility, indicating increases in pollution aerosol. Detailed work on lightning trends in China has been performed in relation to aerosols. Shi et al. (2020) correlated flashes from the TRMM/LIS Low-Resolution Monthly Time Series (2.5 deg. resolution) with AOD from MODIS-Terra V6.1 Level 3 over the period 2001 to 2014. For AOD < 1.0, r = 0.64, indicating a likely microphysical effect on lightning flash rate. For AOD > 1.0, r = -0.06, which could indicate that with higher aerosol concentration there is a radiation effect stabilizing the atmosphere and/or a decrease in the number of graupel particles in the mixed-phase region of the storms that is important for charging. Flashes were also correlated with surface relative humidity and Convective Available Potential Energy (CAPE). As AOD generally increased over much of the early portion of this time period and then decreased, lightning flash rates followed similar trends. Wang et al. (2021) examined a 9-year record (2010- 2018) of CG lightning from the China Lightning Detection Network in three polluted urban areas of China (Chengdu, Wuhan, and Jinan). They found decreasing trends (see Wang et al., 2021) in CG lightning and total AOD (from the MERRA-2 reanalysis). Annual mean lightning density in these three regions decreased by 50 - 75% as annual mean AOD fell from 0.70 - 0.75 to 0.53 to 0.62.

Qie et al. (2022) analyzed the OTD/LIS record from 1996 through 2013, and found that lightning increased over the eastern Tibetan Plateau by  $0.072 \pm .069$  fl km² yr¹. Over the 18 years, this increase amounted to a total of 1.3 fl km² yr¹, compared with a climatological value of 7.7 fl km² yr¹, thereby indicating a significant increase. The ground-based World Wide Lightning Location Network (WWLLN) also showed an increase in strokes in this region. The increase in lightning frequency in this region was found to be due to an increase in thunderstorm frequency, and not due to increased storm intensity. Xue et al. (2021) found a highly significant downward trend of thunder and lightning days observed at surface weather stations in China between 1961 and 2013, particularly in the warm season. The decrease amounted to 6.5 days per decade, averaged over mainland China. Factors thought to be contributing to this decrease include a decrease in the north-south geopotential height gradient, weakening of the westerly jet stream, decreased relative humidity in the lower troposphere and a decrease in the vertical wind shear between the surface and 6 km altitude.

Koshak et al. (2015) analyzed National Lightning Detection Network (NLDN) CG flashes over the contiguous United States (CONUS) from 2003 to 2012. The five-year mean flashes over 2008 to 2012 decreased by 12.8% from the five-year mean for 2003 to 2007 (Table 1). The CONUS average wet bulb temperature also trended downward during this period, which may have led to lesser or weaker storms. However, US Environmental Protection Agency air quality trends show an 18% decrease in PM2.5 concentrations over CONUS between the two subperiods, which also could have had an influence on the flash rates. The decrease in CG flashes was slightly larger (14.8%) over the region of CONUS south of 38 deg. N latitude. However, the TRMM/LIS instrument, which detects both CG and IC flashes, showed little change in flashes over the period of interest south of 38 degrees, suggesting that perhaps the number of IC flashes increased in this region. A recent effort to update the Koshak et al. (2015) analysis is underway. NLDN flashes have been reprocessed (Kenneth Cummins, personal communication) from 2015 through 2021 to

ensure that the classification of IC and CG flashes is done consistently with data prior to 2015. Trend analysis of NLDN CG flashes from 2003 (a major upgrade of the NLDN network hardware) through 2022 (William Koshak, personal communication) shows a significant reduction in CG flashes over CONUS, comparing the mean CG flashes over 2003-2004 with the mean over 2021 - 2022. Within this period a major decrease (~25%) in CONUS CG flashes occurred from 2011 to 2012. Flashes in 2013 remained low, but recovered by 2014-2015. A major decrease (~27%) occurred from 2019 to 2020, with a small increase in 2021. These results have been obtained from ongoing efforts by Dr. William Koshak of the NASA Marshall Space Flight Center, and are presently part of a draft manuscript by lead author Koshak that extends and refines the earlier work in Koshak et al. (2015). Details concerning these trends will be contained in that manuscript.

A possible contributing factor to the CONUs decline in CG flashes over 2003 to 2021 is the substantial decrease in aerosol. Surface annual average PM2.5 concentrations averaged over CONUS decreased by 37% from 2000 to 2021 according to the EPA National Air Quality Trends Report (https://www.epa.gov/air-trends/air-quality-national-summary). However, no decrease in CONUS annual average PM2.5 was seen from 2019 to 2020. As mentioned previously, AOD may be a better indicator of the aerosol amount that may become incorporated into thunderstorm clouds. Sanap (2021) showed negative anomalies of AOD of ~0.1 in portions of CONUS in March and April 2020 and 0.1 to 0.2 in May 2020. The major decrease in CONUS CG flashes from 2011 to 2012 has been related to drought conditions during Summer 2012 over the South Central and Southeastern US (Koshak et al., 2015). The reason for the number of CONUS flashes remaining lower in 2013 is uncertain. Koehler (2020) analyzed 26 years (1993 – 2018) of NLDN CG lightning data to construct a thunder-day climatology for CONUS. Positive anomalies from the 26-year mean were found from Texas to Colorado during 2003 to 2007, and negative anomalies in this region during 2008 to 2012. These anomalies were consistent with precipitation anomalies associated with ENSO.

Table 1. The 5-year flash counts and associated percent changes over CONUS. From Koshak et al. (2015).

Period	NLDN	NLDN (up to 38°N)	LIS (raw)	LIS (corrected*)
<del>2003 - 2007</del>	25,204,345.8	<del>15,931,940.6</del>	92,655.0	46,997,805.4
<del>2008-2012</del>	21,986,578.8	<del>13,574,876.0</del>	92,659.4	47,175,192.4
Change (%)	<del>-12.77</del>	<del>-14.79</del>	0.005	0.38

\*Corrected for detection efficiency and view time

Holzworth et al. (2021) analyzed primarily CG lightning data from WWLLN for June, July, and August for the years 2010 through 2020. The ratio of lightning strokes north of 65° N latitude to the total global strokes increased by a factor of three over this period. This increase occurred as the surface temperature anomaly in this region increased by 0.3°C (see Holzworth et al., 2021). These results suggest a substantial increase in upper tropospheric NO<sub>x</sub> and subsequent ozone production at high northern latitudes.

# 3.5.3. Future <u>LightningLNO</u>\* trendsTrends

Price (2013) discusses an apparent paradox concerning lightning in future climate. On one hand increased tropical convection will transport more water vapor to the upper troposphere where it is

a strong greenhouse gas, causing further warming of the upper troposphere and stabilization of the tropical atmosphere, leading to less lightning. On the other hand, in the current climate as CAPE increases, lightning increases. However, higher latitudes are warming more rapidly, decreasing the north-south temperature gradient, acting to suppress mid-latitude storms. Hence, there is large uncertainty concerning future lightning trends.

Parameterizations in global chemistry and climate models have been developed for lightning flash rate. These schemes typically use kinematic, thermodynamic or microphysical variables from the model as predictors. In some studies such predictors have simply been applied to output from multiple climate models. This is the case with the Romps et al. (2014) work, which showed that when a lightning parameterization scheme using CAPE x Precipitation Rate is applied to 11 climate models an increase in CG lightning by 12 +/- 5% per degree Celsius of climate warming was computed. This work simply used the 12-hour resolution time series of spatial means of these variables over CONUS as input. Changes in IC lightning flashes were not considered. IC flashes typically outnumber CG flashes by a factor of 3 averaged over CONUS. Therefore, the result of this work is unknown with respect to the amount of change in LNO<sub>x</sub> emission. Romps et al. (2018) updated their analysis using CAPE from 3-hourly North American Regional Reanalysis (NARR) data and hourly precipitation from NOAA River Forecast Centers, finding that CAPE x Precipitation Rate captures the spatial, seasonal, and diurnal variations of NLDN CG flash rate over land, but does not predict the pronounced land-ocean contrast in flash rates. Therefore, these analyses are of limited value in estimating trends of LNO<sub>x</sub> over broader-scale regions. Romps et al (2019) tested four lightning proxies in a cloud-resolved 4-km resolution simulation over CONUS with the Weather Research and Forecasting (WRF) model, and over the tropical oceans with a Radiative Convective Equilibrium model. The proxies were CAPE x Precipitation Rate, precipitation with vertical velocity > 10 m/s, vertical ice flux at the 260K isotherm, and vertical integral of cloud ice and graupel product. The fractional change in proxy values per 1 degree Celsius of warming over CONUS was +8 to +16%. Over the tropical oceans the changes in proxy values per degree ranged from +12% for CAPE x Precipitation Rate to -1% for ice flux and -3% for the cloud ice and graupel product. Therefore, over broad regions of the Earth, there is great uncertainty on future trends in lightning.

Finney et al. (2016; 2018) compared lightning projections for 2100 using vertical ice flux (Finney et al., 2014) and cloud-top height parameterizations for flash rate in the UK Chemistry and Aerosols Model. They obtained -15% global change in total flash rate with ice flux under a strong global warming scenario (see Finney et al., 2018), which was composed of a greater decrease in the tropics and small increases in mid-latitudes. In terms of LNO<sub>x</sub> emissions this work using the ice flux scheme produced -0.15 TgN K<sup>-1</sup> change over the years from 2000 to 2100, implying less O<sub>3</sub> production. With the cloud-top height scheme they obtained +0.44 TgN K<sup>-1</sup> LNO<sub>x</sub> change, implying increased O<sub>3</sub> production. However, the ice flux scheme provided a more realistic representation of global lightning for present day. Therefore, the negative LNO<sub>x</sub> emissions change from this scheme may be more realistic. If indeed the ice flux scheme better represents the current distribution of lightning, both the Romps and Finney results suggest no significant increase in LNO<sub>x</sub> emission in future climate, and possibly a small global decrease. Murray (2018) points out that the ice flux scheme is a closer representation of the underlying charging mechanism, but this scheme needs to be tested in multiple global chemistry and climate models.

# 3.5.4. Recent findings concerning LNOx PE

Recent satellite-based estimates of LNO<sub>x</sub> production (Figure 2322Figure 22) have suggested a possible flash rate dependence of LNO<sub>x</sub> production per flash (Bucsela et al., 2019; Allen et al., 2019; 2021). Smaller values of LNO<sub>x</sub> PE in these studies were found to be associated with high flash rates, likely due to smaller flashes in these conditions (Bruning and Thomas, 2015). Allen et al. (2021a) noted positive correlations (Figure 2322Figure 22) of LNO<sub>x</sub> PE with flash energy and with flash multiplicity (number of strokes per flash). Laboratory studies by Wang et al. (1998) found a positive correlation between peak current and LNO<sub>x</sub> production. Koshak et al. (2015) found an 8% increase in peak current from the 2003-2007 period to the 2008-2012 period that accompanied the 12.8% decrease in CG flashes. These findings make it difficult to project future LNO<sub>x</sub> production given only a prediction of future lightning flashes.

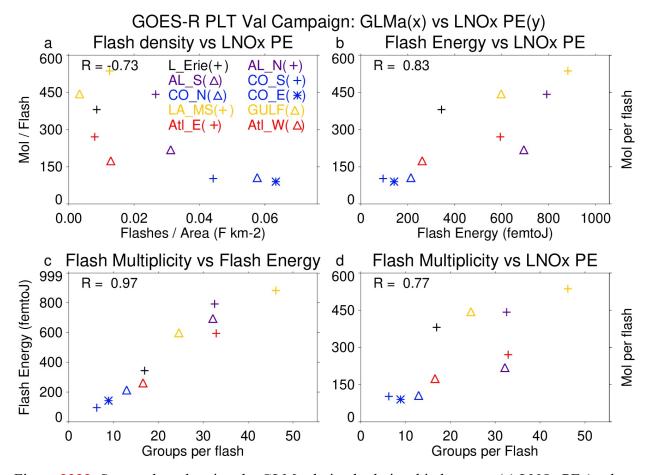


Figure 2322. Scatterplots showing the GLMa-derived relationship between (a) LNO<sub>x</sub> PE (mol per flash) and flash density (flashes km–2), (b) LNO<sub>x</sub> PE and flash energy (fJ), (c) flash energy and flash multiplicity, and (d) LNO<sub>x</sub> PE and flash multiplicity. Colors are used to separate flight days while symbols are used to separate system within each flight day. Correlations are shown in the upper right. LNOx PE derived from airborne remote sensor, the Geo-CAPE Airborne Simulator (GCAS) during the GOES-R Post-launch Test field campaign. GLMa indicates Geostationary Lightning Mapper data adjusted for missing data. From Allen et al. (2021a).

## 3.5.5. Impacts of LNOx on upper tropospheric O<sub>3</sub>

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The literature concerning the effects of lightning NO<sub>x</sub> production on upper tropospheric ozone focuses on photochemical ozone production in storm outflow The STERAO-A storm simulation by DeCaria et al. (2005) indicated that additional ozone production attributable to lightning NO within the storm cloud during the lifetime of the storm was very small (~2 ppbv). However, simulation of the photochemistry over the 24 hours following the storm showed that an additional 10 ppbv of ozone production in the upper troposphere can be attributed to lightning NO production. Convective transport of HO<sub>x</sub> precursors led to the generation of a HO<sub>x</sub> plume, which substantially aided the downstream ozone production. Ott et al. (2007) simulated the July 21, 1998 EULINOX thunderstorm. During the storm, the inclusion of lightning NO<sub>x</sub> in the model combined with convectively-transported boundary layer NO<sub>x</sub> from the Munich, Germany region resulted in sufficiently large NO<sub>x</sub> mixing ratios to cause a small titration loss of ozone (on average less than 4 ppbv) at all model levels. Simulations of the chemical environment in the 24 hours following the storm show on average a small increase in the net production of ozone at most levels resulting from lightning NO<sub>x</sub>, maximizing at approximately 5 ppbv per day at 5.5 km. Between 8 and 10.5 km, lightning NO<sub>x</sub> caused decreased net ozone production. Ren et al. (2008) found that net tropospheric ozone production proceeded at a median rate of ~11 ppbv per day above 9 km in the Intercontinental Transport Experiment (INTEX-A) in which the effects of frequent deep convection over the United States dominated the upper troposphere. Apel et al. (2012) noted that a box model calculation indicated a net ozone increase of ~10 ppbv over a few hours following observed convection with lightning over Canada in the Arctic Research of the Composition of the Troposphere from Aircraft and Satellite (ARCTAS) experiment. Apel et al. (2015) performed box modeling of the chemistry downwind of two DC3 storms in northeast Colorado on June 22, 2012 -finding The northern storm ingested fresh biomass burning smoke, and the southern storm was affected by more aged biomass burning emissions. The model predicted substantial downwind ozone production in the UT for both storms. The southern storm was predicted to produce more greater ozone production over 2 days (14 ppbv) in the southern storm with more LNO<sub>x</sub> than in the northern storm (11 ppbv) despite having lower VOC OH reactivity. Sensitivity tests showed that this was principally due to more NO\* being present in the southern storm outflow because of LNO<sub>x</sub>. Brune et al. (2018) studied ozone production in found general agreement between observed and modeled OH and HO<sub>2</sub> in the outflow of the June 21, 2012 DC3 mesoscale convective system. Their In this study the DC-8 made multiple passes through the outflow as it moved downwind. Box model calculations yielded a 13 ppbv increase in ozone over 5 hours, similar to the observed 14 ppbv increase (see Brune et al., 2018). This rate of increase is larger than others in the literature, perhaps because for a portion of the 5 hours the outflow was in cirrus cloud, in which photolysis rates may have been larger than clear-sky values due to multiple scattering. Using a regional chemistry model, Pickering et al. (2023) estimated that net ozone production in the upper tropospheric outflow of a severe high flash rate storm observed over Oklahoma proceeded at a rate of 10-11 ppbv day<sup>-1</sup> during the first 24 hours of downwind transport. Downwind photochemical production of ozone due to LNO<sub>x</sub> accounted for much of the recovery of upper tropospheric ozone following large reductions due to convective transport of lower ozone boundary layer air.

#### 3.5.6. Summary of $LNO_x$

LNO<sub>x</sub> is responsible for the largest fraction of upper tropospheric ozone in the tropics year-round and in the mid-latitudes in summer. Effects on longwave radiation Radiative forcing due to ozone are is most sensitive due to the ozone near the tropopause. Therefore, it is of great importance to have knowledge of the trends in ozone in this region that are due to changes in frequency and characteristics of lightning flashes. Considerable While uncertainty remains concerning trends in

1134 global thunder days<del>flash rates</del>. No long-term trend in global flash rates has been found. However, 1135 regionally important trends have been noted in CONUS and in China, which tend to be correlated 1136 to the decreasing atmospheric aerosol content. An increasing trend at Arctic latitudes has been 1137 noted, as that region rapidly warms. Future trends in flash rate also are uncertain, with conflicting 1138 predictions coming from models with differing flash rate parameterizations. Flash characteristics 1139 (e.g., flash rate, flash extent, flash energy or peak current, intracloud fraction) have been found to 1140 have important implications for LNO<sub>x</sub> production per flash. Insufficient knowledge of these 1141 characteristics on a global scale makes it highly uncertain to estimate changes in LNO<sub>x</sub> production, 1142 even with knowledge of flash rate trends.

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## 3.6. Soil NO and HONO emissions and their impacts on O<sub>3</sub>

Nitrous acid (HONO) is produced from microbial activity in soils with a similar mechanism and strength as NO (Oswald et al., 2013). This emission source may partially account for the current mismatch between observed and simulated HONO levels in the lower troposphere (Su et al., 2011; Yang et al., 2020). Zhang et al. (2016) estimate a 29 % contribution of soil-HONO to the HONO sources in China. This may also contribute significantly to OH production with important implications for the HO<sub>x</sub> and O<sub>3</sub> budget. To account for this emission source and assess the global potential for atmospheric pollution soil-HONO emissions have been parameterized based on the HONO/NO emission ratio measured at multiple field samples (taken from different regions of the world) and up-scale it to the 4 major land cover types applied to the whole globe. The study estimates a global emission source of 7 TgN/yr from soil-HONO in 2009 (Emmerichs et al., 2023). This is at the lower end of the estimated range of 7.4-12 TgN/yr presented by Wu et al. (2022) for 2017 who employ an empirical and statistical model in combination with observations. Due to the importance of NO and HONO soil emissions for the O<sub>3</sub> budget their variability and historical and future trends are described here and linked to O<sub>3</sub>. Additionally, we discuss a modification of the soil NO emission scheme.

#### 3.6.1. Global modeling of reactive nitrogen emissions from soil

1161 In this section, we present a short overview of the soil-NO emission algorithms and estimates for 1162 regional and global emissions. The emission of nitrogen oxides (NO) from the soil is the major 1163 source of NO<sub>x</sub> in unpolluted regions accounting for 15-25 % of global emissions (Weng et al., 1164 2020, Vinken et al., 2014). Thereby, NO is produced from the nitrification in soil (microbial 1165 activity) and depends non-linearly on soil properties like pH, carbon and nutrient content, 1166 temperature, and soil moisture (Gödde and Conrad 2000, Oswald et al. 2013). Model algorithms 1167 estimate soil-NO emissions with a function dependent on biological and meteorological drivers. 1168 The common empirical approach by Yienger and Levy (1995), which is used in the current 1169 CMIP6 simulations (Szopa et al. 2022), is based on a biome-specific emission factor, soil 1170 temperature, precipitation, and the canopy uptake reduction factor. The resulting global estimate is in the range of 3.3-7.7 TgN/yr which is, however, only at the lower end of the more recent 1171 model and observation-based estimates. The Yienge and Levy (1995) approach generally 1172 1173 underestimates soil NO for all landcover types except in the tundra and rainforest due to the 1174 pulsing parameterization, which describes a large NOx release at the wetting of very dry soil and 1175 the subsequent rapid decay (Steinkamp et al., 2009). This is accounted for in the more 1176 mechanistic approach by Hudman et al. (2012) representing pulsing of the emissions following 1177 dry spells and N-inputs from chemical fertilizer and atmospheric N-deposition. This approach 1178 calculates spatial and temporal patterns of soil moisture, temperature, pulsing, fertilizer, manure 1179 and atmospheric N deposition and biome overall replacing the emission factors by Yienger and

1180 Levy (1995) which yields in comparison 34 % more annual global soil emissions of nitrogen

1181 oxide (10.7 TgN/yr). Satellite top-down estimates range from 7.9 TgN/yr (Miyazaki et al., 2017:

2005-2014, assimilation of satellite data sets) to 16.7 TgN/yr (Vinken et al., 2014; GEOS-Chem 1182 1183

and OMI). The emission of soil-NO varies regionally with small sources in Australia (~0.5

TgN/yr), Europe, Russia and Southern Hemisphere (SH) Africa (0.7 TgN/yr, 0.8 TgN/yr), 1184

1185 America (0.9-1 TgN/yr) and high values in S.E. Asia and Northern Hemisphere (NH) Africa (2-

2.1 TgN/yr). The emission estimates (here for  $0.25^{\circ}$  lat.  $\times 0.3125^{\circ}$  lon.) increase with resolution

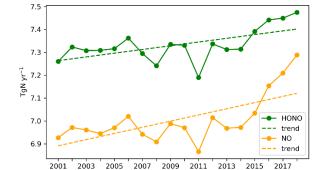
in some regions like Europe by 38 % (Weng et al., 2020).

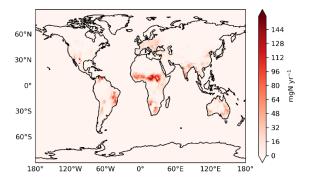
Nitrous acid (HONO), a major OH source, is also produced from microbial activity in soils with a similar mechanism and strength as NO (Oswald et al., 2013). This additional emission source may account for the current mismatch between models and measurements representing HONO levels in the lower troposphere (Su et al., 2011; Yang et al., 2020). Soil emissions of HONO play a major role in the daytime-HONO concentrations in rural areas (in the lowest layers) where traffic emissions and NO<sub>2</sub> heterogeneous reactions occur less than in urban areas (Wu et al. 2022). HONO photolysis is a main OH source and impacts the oxidation capacity of the atmosphere (Zhang 2016, 2019). Therefore, this may also contribute significantly to OH production with important implications for the HO<sub>x</sub> and O<sub>3</sub> budget.

#### 3.6.2. Variability and trends of soil emissions of NO and HONO in the last 15 years

The magnitude of soil emissions varies strongly with season where the emissions rise from January and July by a factor of 2.5 (Weng et al., 2020). This follows the meteorological variability as for instance, heavy rainfall over dry grasslands/forests causes a pulse of soil NO emissions coupled with the usage of fertilizer (Hudman et al., 2012). According to the CCMI simulations by Jöckel et al. (2016) (following the future ('medium high') climate scenario RCP6.0 the soil NO emissions show a positive trend since pre-industrial times with a steeper increase of up to 0.3 TgN/decade from the year 2000. As soil emissions of HONO rely on the same biogeochemical process with similar dependencies on temperature and water content as NO also increased from 2000 to 2019.

For soil-HONO, however, the trend over 2005-2019 is much smaller, most pronounced in Central Africa (Figure 2524Figure 24). Thereby, the highest positive monthly anomalies occur mainly in the 5 most recent years which is likely due to the more frequent heat wave occurrence, e.g. in Europe and North America. Overall, Africa relates the most (~30%) to the global anomaly (Figure 2423<del>Figure 23</del> - Figure 2524<del>Figure 24</del>).





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Figure 2423: Time series of soil-HONO and soil-NO emissions and their trends (left) and the mean global distribution of the soil-HONO emission trend for 2005-2019 based on monthly anomalies (right).

Since soil-HONO emissions contribute 10-20 % to surface O<sub>3</sub>, most significantly in the Southern Hemisphere (Wu et al., 2022), its positive emission trends may have contributed to the decrease in O<sub>3</sub> over the last 15 years (see other sections).

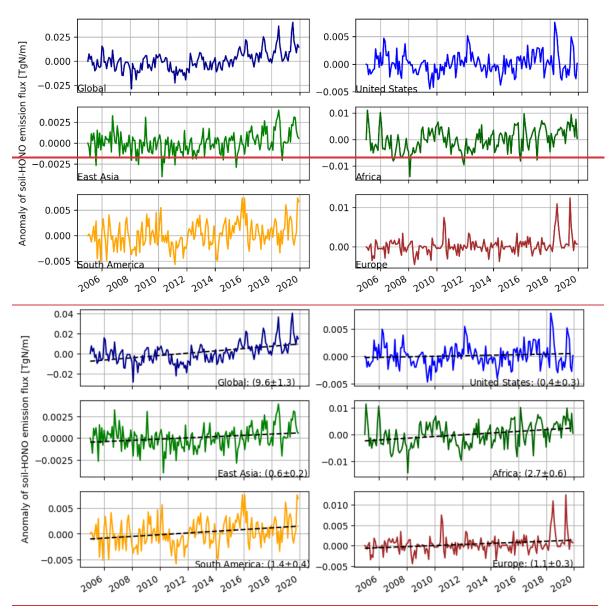


Figure 2524: Monthly anomalies of HONO emissions from soil (de-seasonalized). <u>The trend is given in 10<sup>-5</sup>, including the uncertainty estimate (2\*standard deviation).</u>

#### 3.6.5.3.6.3. Canopy Reduction Factor

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Most NO soil emission models (Yienger and Levy, 1995; Hudman et al., 2012) rely on an empirical canopy reduction scheme which represents loss processes in plants as the diffusion of NO<sub>2</sub> through the stomata and direct deposition to the cuticle. In particular, a large fraction of NO<sub>x</sub> (and peroxyacyl nitrate) loss during the night may be only explainable by non-stomatal processes (Delaria et al., 2020b). Mechanistically, the canopy reduction can be described by an efficient NOx deposition to plants. Thus, Delaria et al. (2020a) points out that models already represent the uptake by vegetation and do not need to use a canopy reduction scheme. The potential change of NO soil emissions is shown by employing the global model ECHAM/MESSy (1°x1°) with an explicit trace gas uptake at stomata and cuticle (Emmerichs et al., 2021) for two different seasons in 2005 and 2006. Removing the canopy reduction factor in the model leads to a significant increase of soil NO emissions highest over tropical forests (Figure 2625Figure 25). The temporal variation follows the vegetational growth as in the Northern Hemisphere summer 50% higher emissions occur. These findings are reasonable as Hudman et al. (2012) estimated that the canopy reduction scheme overall lowers the NO emissions by 10-15% at grasslands and up to 85% over forests (GEOS-Chem at 2°×2.5° in 2006). Consequently, improper accounting for the canopy reduction factor may imply a strong underestimation of the soil-N in densely forested regions and globally by about 31% (2005-2006).

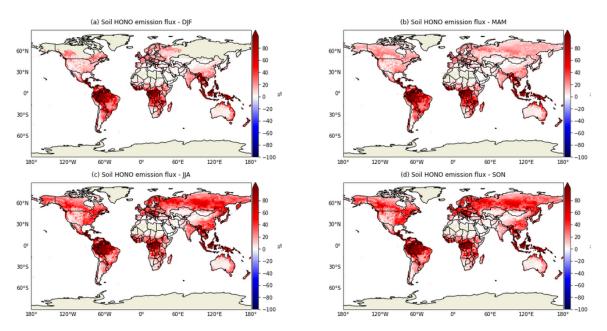


Figure <u>2625</u>: Relative difference Canopy Reduction soil HONO

# 3.6.6.3.6.4. Projections of soil NO and variability in different climates

The future land use is predicted to change as a consequence of the growing demand for nutrition and biofuels which implies an increasing use of fertilizer. Consequently, NO soil emissions are estimated to rise by ~28% during the century to 11.5 TgN/yr at the end of 2100 (Fowler et al., 2015). Similarly, Liu et al. (2021) estimate an increasing soil NO emission of 8.9 TgN/yr by the year 2050 due to intensive nitrification processes.

An increase of LAI by 10 %, in contrast, would lead to 1% lower emissions. In addition, several 1257 1258 responses are expected from the changing climate. In fact, the 1°C higher temperature would 1259 cause ~5% increase of emissions (Weng et al., 2020). Following the future ('medium high') 1260 climate scenario RCP6.0 (Representative Concentration Pathway, 6 W/m2 radiative forcing until 1261 2500, stabilization after 2150) used for the CMIP5 (Climate Model Intercomparison Project) 1262 simulations. Jöckel et al. (2016) suggest an increase of ~15 % soil NO emissions due to 1263 increasing soil temperature (an increase of soil microbes) since present-day (2010) until 2100. 1264 However, the most significant implications for large-scale denitrification activity are changing rainfall and the regional hydrological cycles (Fowler et al., 2015). In general, soil NOx will play 1265 1266 a more important role for the global budget in the troposphere due to the decreasing 1267 anthropogenic emissions in the future. Therefore, increasing NOx-soil emissions may slow down 1268 the decrease of O3 in response to declining anthropogenic emissions (Wu et al. 2022).

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# 3.6.7.3.6.5. Next steps with biogeochemical models implemented in ESMs

Uncertainties of modeling soil nitrogen emissions are associated with the model input and parameters (Wang and Chen 2012). Process-based biogeochemical models which also consider the complexity of soil emission processes as DNDC (Denitrification–Decomposition) are needed (Li et al., 2011). The capability to represent interactive biogeochemical cycles allows for instance for the online calculation of crop nutrition from soil. Also, a model like CLM5 distinguishes between natural and agricultural soils which more accurately predicts the fertilizer usage (Fung et al., 2022). Resolving the soil and litter biogeochemical dynamics vertically, in addition, lead to a more efficient retainment and recycling of N by the ecosystem (Koven et al., 2013). However, these models should be calibrated to multiple sites (Wang et al., 2019) which is limited by the availability of measurement data, especially when it comes to global modeling.

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#### 4. Conclusion

In this article, we investigate temporal and spatial trends and variability of tropospheric ozone in relation to its precursors using satellite products, ozonesonde measurements, and model simulations. Our results show that ozone has positive trends at all latitudes and column depths regardless of the tropopause height within  $\pm 100$  hPa. The positive trends in the 30-60°N band are due to increasing trends over Canada and Alaska and are slightly offset by the small negative trends over the northe Eastern US and Europe. The decreasing lower trends above in the bands 30-60°N and 30-60°S are due to the offsetting impact of negative trends over Eastern US and Europe in the north, and Australia and South Africa in the south, respectively. The decreasing trends of TrC-O<sub>3</sub> over parts of the northe Eastern US and Europe are consistent with likely due to the decreasing trend of TrC-NO<sub>2</sub>, which is due to the effective measures applied over the last two decades to mitigate air pollution in these regions. The decrease of TrC O<sub>3</sub> as a result of decreasing TrC-NO2 trends is due to the NO-sensitive conditions dominating most of these regions. The increasing trends of TrC-O<sub>2</sub> in the other regions of the world where TrC-NO<sub>2</sub> is decreasing as a result of VOC sensitive conditions, especially over East Asia. TrC-HCHO trends are decreasing in the Eastern US, some parts of northern and western Africa, and western and northern Europe, and increasing in South Asia, central Africa, northern Australia, and Brazil. TrC-HCHO trends are consistent with that of TrC-O<sub>3</sub> over Eastern US and Europe. The decreasing trends of HCHO and O<sub>3</sub> as NO<sub>2</sub> increases is evidence of the VOC-limited conditions in these regions. Under these conditions, increased NO2 levels lead to a reduction of OH, which

- 1\( \text{302} \) decreases the oxidation capacity and thus lowers the photochemical formation of HCHO and O<sub>3</sub>.
- 1303 Simulated O<sub>3</sub> and its precursors are in good agreement with satellite measurements. Considering
- different latitude bands, the TrC-O<sub>3</sub> highest trends are simulated between 30° S and 60° N,
- 1305 consistent with calculated trends based on satellite observations. The middle and upper
- 1306 <u>troposphere make the largest contributions to the simulated TrC-O<sub>3</sub> trend globally, with large</u>
- 1307 contributions from the upper troposphere driving the simulated TrC-O<sub>3</sub> trend at 30°S-30°N and
- 1308 counteracting the negative TrC-O<sub>3</sub> trend in the southern midlatitudes.
- We have also shed light on NO<sub>X</sub> lightning and its relation to ozone trends. LNO<sub>x</sub> is responsible
- for the largest fraction of upper tropospheric ozone in the tropics year-round and in the mid-
- latitudes in summer. Ozone Radiative forcing is due to the ozone near the tropopause. An
- increasing trend of LNO<sub>x</sub> at Arctic latitudes has been noted, as that region rapidly warms.
- However, future trends in flash rate are uncertain, with conflicting predictions coming from
- models with differing flash rate parameterizations. Soil HONO emissions had their highest
- positive monthly anomalies mainly in the 5 most recent years which is likely due to the more
- frequent heat wave occurrence, e.g. in Europe and North America. Soil HONO trends are highest
- in Africa accounting for ~30% of the global anomaly. Soil NO<sub>x</sub> emissions could play an
- important role in the tropospheric NO<sub>x</sub> global budget due to the decreasing anthropogenic
- emissions in the future. Therefore, the expected increase in NO<sub>x</sub>-soil emissions may slow down
- the decrease of O<sub>3</sub> in response to declining anthropogenic emissions. Overall, this study
- presented a comprehensive overview of tropospheric ozone trends in relation to its precursors in
- different spatial and temporal scales.
- 1323 Competing interests: At least one of the (co-)authors is a member of the editorial board of
- 1324 Atmospheric Chemistry and Physics

## 1325 Acknowledgment

- This study was partially funded by the NSF AGS, grant number 1900795, USF Creative
- 1327 Scholarship Grant 2022. A part of the research was conducted at the Jet Propulsion Laboratory,
- 1328 California Institute of Technology, under a contract with NASA. HP has received funding from
- the Ministerio de Ciencia e Innovación through the MITIGATE project (grant no. PID2020-
- 1330 113840RA-I00 funded by MCIN/AEI/10.13039/501100011033) and the Ramon v Cajal grant
- 1331 (RYC2021-034511-I, MCIN / AEI / 10.13039/501100011033 and European Union
- NextGenerationEU/PRTR). The GEOS-GMI simulation was supported by the NASA's Making
- Earth System Data Records for Use in Research Environments (MEaSURESs) program and the
- high-performance computing resources for GEOS-GMI were provided by the NASA Center for
- 1335 Climate Simulation (NCCS).

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