1	Real-world emission characteristics of VOCs from typical cargo ships and their
2	potential contributions to SOA and O3 under low-sulfur fuel policies
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#### 32 Abstract

33 Mandatory use of low-sulfur fuel according to global sulfur limit regulation has 34 reduced the emissions of SO<sub>2</sub> and PM significantly on ships, while it also leads to very 35 large uncertainty on volatile organic compounds (VOCs) emission. Therefore, on-board 36 test of VOCs from 9 typical cargo ships with low-sulfur fuels in China were carried out 37 in this study. Results showed that emission factor of VOCs (EF<sub>VOCs</sub>) varied largely from 38 0.09 to 3.01 g kg<sup>-1</sup> fuel, with domestic coastal cargo ships (CCSs) had the highest level, 39 followed by inland cargo ships (ICSs) and ocean-going vessels (OGVs). The switch of 40 fuels from heavy fuel oil (HFO) to diesel increased EF<sub>VOCs</sub> by 48% on average, which enhanced both O3 and secondary organic aerosol (SOA) formation potentials, especially 41 42 for OGVs. Besides, the use of low-sulfur fuels for OGVs also lead to significant 43 increase of naphthalene emission. These indicated the implementation of globally ultra-44 low-sulfur oil policy in the near future needs to be optimized. Moreover, aromatics were 45 the most important common contributors to O<sub>3</sub> and SOA in ship exhausts, which need 46 to be controlled with priority. It was also found that benzene, toluene, and ethylbenzene 47 ratio of 0.5:0.3:0.2 on average could be considered as a diagnostic characteristic to 48 distinguish ship emission from other emission sources.

49 50

# 1. Introduction

Maritime transport accounts for more than 80% of global trade by volume (United Nations Conference on Trade and Development, 2020), leading to significant environmental and health effects (Corbett et al., 2007;Liu et al., 2016;Wang et al., 2021a). As a non-ignorable anthropogenic emission source of air pollutants, shipping emission has caused more and more attentions in recent decades. However, most of the previous studies focus on primary pollutants, such as SO<sub>2</sub>, NO<sub>x</sub>, CO<sub>x</sub>, HC, particulate matter (PM) and its components, particulate number (PN), etc. (Zhang et al.,

Keywords: cargo ships, low-sulfur fuel, VOCs, ozone, secondary organic aerosol

58 2022b;Santos et al., 2022;Zhou et al., 2019b;Chu-Van et al., 2017;Reda et al., 59 2015;Buffaloe et al., 2014;Beecken et al., 2014;Moldanova et al., 2013;Fu et al., 2013;Moldanova et al., 2009;Lack et al., 2009;Lack et al., 2008). Only few studies 60 61 estimate the influence of ship exhaust on secondary photochemical oxidation products, 62 such as O<sub>3</sub> and secondary organic aerosol (SOA), and concern their relative precursors 63 (Jonson et al., 2009;Song et al., 2010;Lang et al., 2017;Wu et al., 2019;Wang et al., 64 2019; Wu et al., 2020). Results from these limited studies show that the ozone formation 65 potential (OFP) and secondary organic aerosol formation potential (SOAFP) of 66 shipping emissions are much greater than from on-road vehicles due to their higher 67 VOCs emission factors and normalized reactivities (Wu et al., 2019;Wu et al., 2020). Therefore, the neglection of secondary pollutants such as O<sub>3</sub> and SOA would vastly 68 69 underestimate the actual influence of shipping emissions on environment air.

70 Volatile organic compounds (VOCs) are typical O<sub>3</sub> and SOA precursors. Generally 71 speaking, alkanes, alkenes, aromatics and carbonyls with carbon number > 6 in VOCs 72 can form SOA (Grosjean, 1992;Grosjean and Seinfeld, 1989). While O<sub>3</sub> is formed from 73 the photochemical interactions of volatile organic VOCs and oxides of nitrogen (NO<sub>x</sub>), 74 with alkenes having the highest Maximum Incremental Reactivity (MIR), followed by 75 aromatics and OVOCs (Carter, 1994). Typical aromatics, alkenes, and alkanes are the 76 most concerned VOCs from diesel exhausts. For example, Previous studies find that 77 aromatics and alkanes contribute most to SOAFP from diesel exhaust, with single-ring 78 aromatics such as toluene, benzene and xylene et al. are the most contributors (Gentner 79 et al., 2012;Che et al., 2023). Wang et al. (2020) point out that naphthalene, butene, 80 toluene, benzene, and dodecane et al. are the most contributors to OFP from exhausts 81 of diesel trucks. Even though concentrations of PM2.5 decreased rapidly in recent years, 82 O<sub>3</sub> presented continuous upward trends in most of China (Lu et al., 2020). More and 83 more strict limitations of VOCs have been applied to the main sources such as industrial 84 emission, vehicle exhaust etc., while VOCs from shipping haven't gained much 85 attention. Most of previous studies just give the characteristics of total non-methane

86 hydrocarbons (NMHCs) from ships, but not specific VOC species (Cooper, 2003;Zhang 87 et al., 2016a). Only few studies have reported the VOCs emission factors (EFs) and their composition from specific type of ships under specific operating conditions (Wu 88 89 et al., 2020; Wang et al., 2020; Wu et al., 2019; Xiao et al., 2018; Zetterdahl et al., 90 2016;Huang et al., 2018b;Cooper et al., 1996). The limited measured VOCs data cannot 91 reflect the actual situation of shipping emissions. More on-board VOCs measurement 92 for typical ships with representative fuels under different operating conditions need to 93 be carried out, especially after the implementation of low-sulfur fuel policies.

94 According to the International Maritime Organization (IMO), the maximum fuel 95 sulfur content has been set to be 0.5% (m/m) worldwide by 2020, and 0.1% (m/m) in 96 emission control areas (ECAs). The Chinese government also has set the coastal ECAs 97 that require the sulfur content of 0.5% (m/m) since 2019, and 0.1% (m/m) in inland 98 ECAs since 2020 (Ministry of Transport of the People's Republic of China, 2018). The 99 use of ultra-low sulfur fuel (< 0.1% (m/m)) globally is an inevitable trend in the near 100 future. Fuel quality could affect the pollutants from ship exhausts significantly. For 101 example, a large amount of PM, SO<sub>2</sub> and NO<sub>x</sub> have been reduced since the 102 implementation of ship emission control policies (Weng et al., 2022; Wang et al., 103 2021b;Zhang et al., 2019;Viana et al., 2015;Repka et al., 2021). While it also reveals 104 that the switching of high-sulfur content fuels (sulfur content  $\geq 0.5\%$ ) to low-sulfur 105 content fuels (0.1% sulfur content <0.5%) leads to significant uncertainties of VOCs 106 emissions from the results of previous studies. For example, Wu et al. (2019) show that 107 the reduction in EF of VOCs (EF<sub>VOCs</sub>) is 67% when switching from high-sulfur content 108 heavy fuel oil (HFO) to low-sulfur content marine diesel oil for a container ship. While 109 another study finds that after limiting fuel sulfur content, the EF<sub>VOCs</sub> are approximately 110 15 times that of before implementation of the fuel switch policy (IFSP) from ships at 111 berth in Guangzhou, China. This leads to nearly 29 times greater OFP and 112 approximately 2 times greater SOAFP than those before IFSP (Wu et al., 2020). Huang et al. (2018) also presented similar results of larger SOAFP when switch fuel from high-113

sulfur content HFO to diesel oil for a large cargo vessel. It seems the low-sulfur fuel regulation has different effects on VOCs emission for different types of ships. Therefore, it is essential to figure out the actual emission of VOCs as well as formation potentials of SOA and O<sub>3</sub> under the condition of low-sulfur fuel regulations. This will greatly reduce the uncertainties in VOCs inventory estimation and provide basic data for the formulation of optimal emission control policies of ships after considering comprehensive impacts on various pollutants.

121 By the end of 2022, China had 121,900 water transport vessels (Ministry of 122 Transport of the People's Republic of China, 2022), 15 ports in China were listed among 123 the top 20 ports in the world for cargo throughput, and 7 container ports were listed 124 among the largest 10 container ports in the world. The large amount of active ships in 125 China has resulted in serious impact on ambient air and human health, particularly in 126 coastal, inland and port areas (Huang et al., 2022;Zhang et al., 2017;Liu et al., 2016). 127 Research reveals that most of the pollutants are from cargo-transport ships compared with other types of ships (Wan et al., 2020). Clarifying the EF of VOCs, profiles, 128 129 influence factors, and their contribution to  $O_3$  and SOA formation potentials of the 130 typical cargo ships are the basis to estimate the VOCs inventory and to establish proper 131 control measures. Besides, it is also a very important breakthrough point to further 132 improve the ambient air quality in port and nearshore areas by controlling the VOCs 133 emission from ship exhaust.

134 Therefore, on-board test of exhaust pollutants from 9 typical cargo ships in China, including 2 coastal cargo ships (CCSs), 3 ocean-going vessels (OGVs) and 4 inland 135 136 cargo ships (ICSs) were carried out in this study. VOCs samples from different types of 137 engines with different fuels under actual operating conditions were collected and 106 138 VOC species were analyzed. Based on the data, the following factors were evaluated 139 and discussed in this study: (1) fuel-based emission factor of VOCs (EF<sub>VOCs</sub>) and their 140 components, (2) influence factors, (3) profiles of VOCs, (4) O<sub>3</sub> and SOA formation 141 potentials.

#### 142 **2. Materials and methods**

### 143 **2.1 Test ships and fuels**

144 VOCs samples from 9 different ships were collected in this study, including 2 145 coastal cargo ships, 3 ocean-going vessels, and 4 inland cargo ships in Yangtze River. 146 The detailed technical parameters of the sampling ships are shown in Table 1. Different 147 types of cargo ships had different technical parameters in China. For example, the engine powers of coastal cargo ships varied largely, with about 57% are equipped with 148 149 engines of more than 500 kW. Of the other left coastal cargo ships, 17% of which are 150 ranging from 150 kW to 250 kW. Therefore, one large coastal cargo ship with main 151 engine power of 1470 kW and another small coastal cargo ship with main engine power 152 of 178 kW were selected here. Coastal cargo ships typically transport cargos among 153 different coastal ports, with one to several days per voyage. The main operating modes 154 are cruise (~75% engine load), maneuvering (low and variable engine loads), and idling.

155 Ocean-going vessels usually have large tonnages with large power main engines. 156 Statistical AIS data show that engines with power of 4 kW to 10 kW account for the largest proportion (~25%) of the total OGVs in China, followed by 2 kW to 4 kW (~23%) 157 and 10 kW to 20 kW (~20%). Besides, newly built OGVs have a tendency to have larger 158 159 and larger engine powers. Hence, three ocean-going vessels with different engine powers ranging from 13.5 kW to 15.7 kW were tested in this study. They are designed 160 161 for transporting goods across borders, usually with several months per voyage. The 162 main operating mode is cruise in the open ocean. While during the processes of in and out of the port, the engines of OGVs typically active in maneuvering mode with relative 163 164 lower and variable engine loads, which could have great influence on the nearshore 165 environment due to higher emission levels of pollutants.

Most inland cargo vessels are generally equipped with high-speed small main engines of power within 1000 kW (~70%). Among them, the vast majority are below 500 kw. Therefore, four typical inland cargo ships of engine power between 138 kW and 300 kW were chosen in this study. The inland cargo vessels typically active among different inland ports or coastal ports near inland rivers, with several hours to several
days per voyage. Affected by the complicated water conditions of inland rivers, cruise
and maneuvering are the most important operating modes for inland cargo ships.

In brief, the measured ships in this study could represent the typical cargo ships in China to a certain extent. It's worth noting that the ocean-going vessels were newly constructed ships, while the inland cargo ships had older engines (6 to14 years) compared with other types of ships (less than 10 years).

Besides, most large cargo ships are equipped with both main engine and auxiliary engine. The main engine provides navigation power, and the engine loads vary greatly with the different operating modes. While the auxiliary engine mainly provides domestic electricity or heating on board, and the engine load is relatively stable with about 75% load. Small cargo ships are equipped only with main engines, such as the tested inland cargo ships and small coastal cargo ships in this study.

Ship ID	Туре	Tonnage (kt)	Main engine	Auxiliary engine	Ship (year)	age	Implementation standard of fuel
CCS1	Coastal cargo ship	9.17	4-stroke, 1470 kW, 850 rpm	4-stroke, 182 kW, 1500 rpm	3		S<0.5% (m/m)
CCS2	Coastal cargo ship	0.30	4-stroke, 178 kW, 1500 rpm	-	10		S<0.5% (m/m)
OGV1	Ocean-going vessel	180	2-stroke, 15748 kW, 75 rpm	4-stroke, 1280 kW, 900 rpm	0		S<0.5% (m/m)
OGV2	Ocean-going vessel	110	2-stroke, 13500 kW, 91.1 rpm	4-stroke, 900 kW, 900 rpm	0		S<0.5% (m/m)
OGV3	Ocean-going vessel	210	2-stroke, 15745 kW, 75rpm	4-stroke, 1180 kW, 900 rpm	0		S<0.5% (m/m)
ICS1	Inland cargo ship	0.90	4-stroke, 255 kW, 1000 rpm	-	14		S<0.1% (m/m)
ICS2	Inland cargo ship	0.98	4-stroke, 300 kW, 1000 rpm	-	12		S<0.1% (m/m)
ICS3	Inland cargo ship	0.80	4-stroke, 145 kW, 1000 rpm	-	6		S<0.1% (m/m)
ICS4	Inland cargo ship	0.39	4-stroke, 138 kW, 1500 rpm	-	10		S<0.1% (m/m)

Table 1 Technical parameters of the sampling ships

186 Characteristics of HFO and diesel oil used for the test ships in this study are shown 187 in Table S1. In order to meet the requirements of diesel engines of non-road mobile 188 machinery of China, regular diesel (0#) was used for all inland cargo ships here. Results 189 showed that the sulfur contents of all the fuels were no more than 0.5% (m/m), which 190 were within both current ship emission control standards of China and IMO. As typical 191 tracers of high-sulfur content HFO, nickel and vanadium content levels and their ratios 192 were still higher but not distinguishable enough in low-sulfur content HFO compared 193 with diesel oil, which further evidence that it needed to be cautious when they were 194 used as tracers of ship emissions under current low-sulfur regulation. While it should 195 be noted that much higher levels of calcium and zinc were detected in lubricating oils 196 of OGVs.

197

### 2.2 Sampling system and samples

A portable dilution sampling system was used in this campaign, whose 198 199 components and principles were described elsewhere (Zhang et al., 2018). Briefly, two 200 separate sampling pipes were placed into the exhaust stacks (about 1.5 m deep of the 201 exhaust outlet) to route emissions from the main engine and auxiliary engine to 202 sampling system on the highest deck of ship, respectively. Then, the probe of a flue gas 203 analyzer (Testo 350, testo, Germany) was placed into the sampling pipe to test the 204 gaseous matters directly to get online data (CO<sub>2</sub>, O<sub>2</sub>, CO, NO, NO<sub>2</sub>, SO<sub>2</sub>). Another 205 probe was used to extract the flue gas for the diluted system. The dilution ratios ranged 206 between 1-10 in this study. VOCs samples were collected by summa canister from both 207 main engines and auxiliary engines of all the ships listed in Table 1. The sampling time 208 was 20-30 minutes for each sample according to actual operating condition.

A total of 48 VOCs samples were obtained for the test ships, involving different engine types with different fuels under different operating modes (seen Table S2 for detailed information). For the coastal/inland cargo ships, all samples were collected based on actual operating modes (about one to several days from one trip). While for ocean going vessels, samples from much more operating modes could be obtained thanks to the testing of the newly constructed ships (about one week from one trip).

215

## 2.3 Chemical and data analysis

As shown in Table S3, a total of 106 volatile organic compounds were detected in 216 217 this study according to USEPA TO15-1999, including 11 oxygenated volatile organic 218 compounds (OVOCs), 17 aromatics, 29 alkanes, 11 alkenes, 35 halohydrocarbons and 219 4 other species. These measured VOCs species were typical concerned VOCs and could 220 be considered as main VOC components referring to relative studies (Huang et al. 221 2018; Wu et al. 2020; Araizaga, Mancilla and Mendoza 2013), and could reflect the 222 emission conditions of ship exhaust. As shown in formulas (1) and (2), carbon balance 223 method was used to calculate the EF<sub>VOCs</sub>, which was also introduced in our previous 224 study (Zhang et al., 2016a).

225 
$$EF_{\rm x} = \frac{\Delta X}{\Delta CO_2} \cdot \frac{M_{\rm X}}{M_{\rm CO_2}} \cdot EF_{\rm CO_2}$$
(1)

where  $EF_x$  is the EF for VOC species X (g/kg fuel),  $\Delta X$  and  $\Delta CO_2$  represent the concentrations of X and CO<sub>2</sub> with the background concentrations subtracted (mol m<sup>-3</sup>), M<sub>X</sub> represents the molecular weight of species X (g mol<sup>-1</sup>), M<sub>CO<sub>2</sub></sub> is the molecular weight of CO<sub>2</sub> (44 g mol<sup>-1</sup>), and  $EF_{CO_2}$  is the EF for CO<sub>2</sub> (g (kg fuel)<sup>-1</sup>).

230 
$$EF_{CO_2} = \frac{c_F}{c(c_{CO}) + c(c_{CO_2}) + c(c_{PM}) + c(c_{HC})} \cdot c^*(CO_2) \cdot M_{CO_2}$$
(2)

where  $C_{\rm F}$  represents the mass of carbon in 1 kg diesel fuel (g C (kg fuel)<sup>-1</sup>),  $c(C_{\rm CO})$ , c(C<sub>CO<sub>2</sub></sub>),  $c(C_{\rm PM})$ , and  $c(C_{\rm HC})$  represent the mass concentrations of carbon as CO, CO<sub>2</sub>, PM, and HC (g C m<sup>-3</sup>), respectively, in the flue gas, and  $c^*({\rm CO}_2)$  is the molar concentration of CO<sub>2</sub> (mol m<sup>-3</sup>).

Detailed calculation processes of normalized ozone reactivity ( $R_{O_3}$ , g O<sub>3</sub> g<sup>-1</sup> VOCs), OFP (g O<sub>3</sub> kg<sup>-1</sup> fuel), normalized secondary organic aerosols reactivity ( $R_{SOA}$ , mg SOA g<sup>-1</sup> VOCs) and SOA formation potential (SOAFP, mg SOA kg<sup>-1</sup> fuel) are given as follows:

Normalized ozone reactivity ( $R_{O_3}$ , g O<sub>3</sub> g<sup>-1</sup> VOCs) and OFP (g O<sub>3</sub> kg<sup>-1</sup> fuel) were calculated using the maximum incremental reactivity (MIR) coefficient method (Carter, 2010a), which represents the maximum contribution of VOC species to the near-surface 242 O<sub>3</sub> concentration under optimal conditions. The equations are as follows:

243 
$$R_{O_3} = \sum_i (\omega_i \times \text{MIR}_i)$$
(3)

244 where  $\omega_i$  is the mass percentage of the total VOC emissions for species i, MIR<sub>i</sub> 245 is the MIR coefficient for VOC species i, which was referenced from Carter (2010b), 246 seen in Table S3 for details.

247 
$$OFP = \sum_{i} (MIR_i \times [VOC]_i)$$
(4)

248 where OFP is the ozone formation potential (g kg<sup>-1</sup> fuel),  $[VOC]_i$  is the emission 249 factor for VOC species i (g kg<sup>-1</sup> fuel).

The same as  $O_3$ , normalized secondary organic aerosols reactivity ( $R_{SOA}$ , mg SOA g<sup>-1</sup> VOCs) and SOA formation potential (SOAFP, mg SOA kg<sup>-1</sup> fuel) were also calculated, whose equations are as follows:

253 
$$R_{SOA} = \sum_{i} (\omega_i \times Y_i)$$
(5)

254 
$$SOAFP = \sum_{i} (EF_i \times Y_i)$$
 (6)

where  $Y_i$  is the SOA yield for VOC species i (seen in Table S4 for details). Both SOAFP of VOCs under high-NO<sub>x</sub> and low-NO<sub>x</sub> conditions were calculated.

#### 257

#### 2.4 Quality assurance and quality control

Rigorous quality assurance and quality controls were conducted during the whole experiment. Ambient air blanks were analyzed in the same way as mentioned above to determine background concentration. The VOCs concentrations of each sample were obtained by subtracted ambient air blank results. Duplicate samples as well as standard gas were examined after analyzing a batch of 10 samples to ensure that the error was within 5%.

264

## 3. Results and discussion

## 265

# 3.1 Emission factors and components of VOCs

 $EF_{VOCs}$  for the test ships are shown in Fig.1 and Table S5. In order to calculate the EF<sub>VOCs</sub> and investigate their influence factors, EFs of other gaseous pollutants such as CO<sub>2</sub>, CO, NO, NO<sub>2</sub> were also given and discussed briefly. For CO<sub>2</sub>, the emission factors ranged from 2622 to 3185 g kg<sup>-1</sup> fuel that influenced by both fuel type and operating mode. CO showed opposite trend with  $CO_2$ , varying from 0.62 to 180 g kg<sup>-1</sup> fuel, reflecting the condition of combustion efficiency. The  $EF_{NO_x}$  ranged from 6.26 to 92.8 g kg<sup>-1</sup> fuel, with 60% to 99% of whom were NO, which inferred the condition of combustion temperature in cylinder.

274 Results showed that the EF<sub>VOCs</sub> for all the test ships presented wide differences, which were ranging from 0.09 to 3.01 g kg<sup>-1</sup> fuel. Ship type, engine type, operating 275 mode and fuel type could influence the EFvocs that would be discussed in more detail 276 277 in Section 3.2. Briefly, higher VOCs had been observed both in low-load and high-load operating modes such as maneuvering and idling, while in medium-load operating 278 279 modes, the EF<sub>VOCs</sub> presented lower levels (detailed result was also shown in Fig. 3 (a)). 280 Main engines presented obviously higher EFs levels than auxiliary engines (Fig. 3 (c) 281 for details). And CCSs and ICSs had relatively higher EFs compared with OGVs (Fig. 282 3 (d) for details). It was worth noting that when the fuels were switched from HFO to marine diesel oil for OGVs, increasing trends were presented for EF<sub>VOCs</sub> in this study. 283 284 While the CCSs showed the opposite trend with a slight decrease for EFvocs.





12

287 test ships

288 Average EF<sub>VOCs</sub> emitted from ships in this study were also compared with those reported in other studies (Table 2). Altogether, the measured EF<sub>VOCs</sub> varied largely from 289 0.02 to 23.7 g kg<sup>-1</sup> fuel for all the test ships. Complex factors could lead to the large 290 uncertainty, such as the different detected VOC species in different studies, different 291 292 engine types and fuel qualities. This also indicated that the uncertainty should be 293 noticed when EFvocs were used as basic data to calculate emission inventory or estimate other environmental influence. The test ships in this study presented comparable EFvocs 294 295 level with other studies. It seemed that OGVs with large engines typically showed lower 296 EF<sub>VOCs</sub> levels no matter what types of fuels were used compared with river ships and 297 costal ships. Moreover, compared with on-road vehicles with diesel fuel (Zhou et al., 298 2019a), VOCs emitted from non-road engines, such as ship, agricultural machinery and 299 construction machinery, had much higher levels (Huang et al., 2018a;Hua et al., 300 2019;Zhou et al., 2022), which should be paid more attention, especially in the case of more and more strict limitations of VOCs have been applied to on-road vehicles. 301

Ship type	Sulfur content (%)	Operating mode	EF of VOCs (g kg <sup>-1</sup> fuel)	Number of detected VOCs	Data sources
				species	
Coastal cargo ship / Ocean going					
	0.20	C :	2.24	100	TT1 · / 1
CCS (main-HFO)	0.39	Cruise	2.24	106	This study
CCS (main-diesel)	< 0.05	Actual operating conditions	1.59	106	This study
CCS (auxiliary-diesel)	< 0.05	Actual operating conditions	0.60	106	This study
OGV (main-HFO)	0.43-0.50	Actual operating conditions	0.52	106	This study
OGV (main-diesel)	< 0.05	Actual operating conditions	0.82	106	This study
OGV (auxiliary-HFO)	0.43-0.50	Actual operating conditions	0.25	106	This study
OGV (auxiliary-diesel)	< 0.05	Actual operating conditions	0.33	106	This study
Coastal cargo ship (high sulfur oil)	>0.5	At berth	0.12	68	(Wu et al., 2020)
Coastal cargo ship (low sulfur oil)	< 0.5	At berth	1.81	68	(Wu et al., 2020)
Ocean going vessel (HFO)	2.07	Actual operating conditions	0.48 <sup>a</sup>	57	(Wu et al., 2019)
Ocean going vessel (diesel)	0.12	Actual operating conditions	0.06-0.18 <sup>a</sup>	57	(Wu et al., 2019)
Bulk carrier (HFO)	1.12	Actual operating conditions (main engine)	0.019-0.133	86	(Huang et al., 2018b)
Bulk carrier (diesel)	< 0.5	At berth (main engine)/auxiliary engine	0.25-0.72	86	(Huang et al., 2018b)
Container ship	1.6-2.9	At berth	0.09-0.17	57	(Huang et al., 2017)
Passenger ferry $\alpha$	0.08	At berth	0.57-0.99	-	(Cooper, 2003)
Passenger ferry $\beta$ -1	0.53	At berth	0.29-0.57	-	(Cooper, 2003)
Passenger ferry $\beta$ -2	0.09	At berth	1.71	-	(Cooper, 2003)
Passenger ferry $\gamma$	1.20	At berth	0.87-1.14	-	(Cooper, 2003)
Car/truck carrier	0.23	At berth	0.89-1.08	-	(Cooper, 2003)
Container/ro-ro	2.20	At berth	0.79-0.88	-	(Cooper, 2003)
Chemical tanker	0.06	At berth	1.36-1.40	-	(Cooper, 2003)
Passenger ferry (gas oil)	0.06	Actual operating conditions	$0.875^{b}$	-	(Cooper et al., 1996)
Passenger ferry (fuel oil)	0.48	Actual operating conditions	0.135 <sup>b</sup>	-	(Cooper et al., 1996)
River ship					· · · /
Inland cargo ship (diesel)	< 0.05	Actual operating conditions	0.94	106	This study
River vessels	<0.5	At berth	3.36	68	(Wu et al 2020)

Table 2 EFs of VOCs from ships in this study and previous studies

River cargo ships	< 0.5	Actual operating conditions	1.46	121	(Wang et al., 2020)
River speedboat	< 0.5	Actual operating conditions	0.44	121	(Wang et al., 2020)
Engineering vessel	0.08	Actual operating conditions	23.7	-	(Zhang et al., 2016a)
Research vessel a	0.05	Actual operating conditions	1.24	-	(Zhang et al., 2016a)
Research vessel β	0.13	Actual operating conditions	4.18	-	(Zhang et al., 2016a)

303 a, the EFs values were estimated based on Fig.2. b, the EFs were calculated by assuming that the fuel consumption rate for the test ships was 200 g fuel kWh<sup>-1</sup>

305 Components and mass fractions of VOCs from the test ships are shown in Fig. 2 306 and Fig. S1. OVOCs and aromatics were the main components of the detected VOC species, accounting for 9.38% - 88.0% and 5.38% - 74.0% of total VOCs, respectively. 307 308 Alkanes also accounted for non-ignorable fractions, which were ranging from 0.2% to 309 57.2%. While alkenes, halohydrocarbons and other quantified species only contributed 310 small fractions of the total VOCs. The results in this study were consistent with that of 311 Huang et al. (2018) about a large ocean-going bulk carrier, but showed different VOCs 312 components with that of Wu et al. (2019) for a coastal container ship and Wu et al. 313 (2020) for auxiliary engines at berth. The different detected VOCs species in different 314 studies played an important role for the differences, while the fuel type and its chemical 315 composition might also have considerable impacts.

316 The main VOCs components of OVOCs, aromatics, alkanes and alkenes presented 317 different variation patterns under different operating modes, fuel types, and engine 318 types due to their different formation mechanisms (Fig. 2). For example, OVOCs from 319 diesel engines are typically from the oxidation of small molecular weight yet 320 uncomplete combustion hydrocarbons (Hao et al., 2014;Pan, 2008), therefore, 321 operating mode and engine type could influence the EF levels obviously, but not fuel 322 type. The direct emission of unburned fuel components and pyrosynthesis (formation 323 of aromatics by regeneration of fragmented radical species) are the two main formation 324 processes of PAHs (Radischat et al., 2015). EFs of aromatics showed relatively higher 325 levels in medium operating modes compared with other modes in this study. One main 326 reason was that the higher temperature in medium operating modes promoted the 327 polymerization, resulting in the processes of dehydrogenation and PAH formation 328 (Zhang et al., 2021), which exceeded the direct emission of unburned fuel components 329 (Radischat et al., 2015). Alkanes are mainly from the incomplete combustion of fuels, 330 therefore, alkanes from diesel fuel presented higher EFs than HFO because of the higher 331 aliphatic compounds in diesel fuel (Liu et al., 2022;Sippula et al., 2014). While alkenes 332 emitted from diesel engine are always related to the pyrolysis process of the fuel

combustion in the cylinder (Alotaibi et al., 2018;Zhang et al., 2022a). As a result, in high operating modes of more than 90% engine loads, it had higher  $EF_{alkenes}$  levels in this study due to the pyrolysis process under higher temperature and incomplete combustion because of the less air to fuel ratios in the cylinder.





Figure 2 EFs of VOC components and their mass fractions

339

### **3.2 Influence factor analysis**

340 It was mentioned above that influence factors such as operating condition, engine 341 type, ship type and fuel type could affect the emission level and component of VOCs from ship exhaust. Box-whisker plots of VOC emission factors under these different 342 343 drivers are presented in Fig. 3. As shown in Fig. 3 (a), engine load could affect the 344 VOCs emission significantly. EF<sub>VOCs</sub> had the lowest level when the engines were 345 operating in medium loads, and the highest in low loads. This was consistent with the 346 results of VOCs emission reported by previous studies such as Huang et al. (2018), Wu 347 et al. (2019) and Radischat et al. (2015), which were also shown in Fig. S2. The combustion condition in the cylinder could be responsible for the variation of VOCs 348 349 emission, which meant incomplete combustion was one principal reason for the high

350 VOCs emission.

351 Engine type is also one significant influence factor of VOCs emission. The engines 352 were classified into three types in this study according to their engine speed, including 353 low-speed engines (LSE, rated speed < 100 rpm), medium-speed engines (MSE, 100 354 rpm  $\leq$  rated speed < 1000 rpm) and high-speed engines (HSE, rated speed  $\geq 1000$  rpm). 355 It could be seen from Fig. 3 (b) that with the increase of engine speed, the  $EF_{VOCs}$ 356 showed an increasing trend. This could be explained by that compared with HSEs, LSEs 357 with high engine power usually had higher combustion efficiencies that led to lower 358 levels of VOCs emission (Zhang et al., 2018).

359 The EF<sub>VOCs</sub> between main engines and auxiliary engines also varied obviously. 360 The average EF<sub>VOCs</sub> from the main engines was 2.3 times that of auxiliary engines in 361 this study (seen in Fig. 3 (c)). Similar result was also reported by Liu et al. (2022) about 362 the intermediate volatile organic compounds (IVOCs) emission for the same test OGVs. 363 Even though the auxiliary engines were mainly high-speed or medium-speed engines that had higher VOCs emissions mentioned above. Owing to the much lower VOCs 364 365 emission in medium loads that the auxiliary engines have been using, it could be 366 inferred that the impact of operating condition exceeded that of the engine type to VOCs 367 emission.

368 As seen in Fig. 3 (d), the EF<sub>VOCs</sub> varied obviously under different types of ships, 369 with CCSs having the highest levels and OGVs the lowest. This could be explained by 370 the combined influence of operating condition and engine type as mentioned above. 371 Firstly, as shown in Fig. 3 (b), high-speed and medium-speed engines were equipped 372 for the CCSs, they could lead to higher EFVOCs compared with low-speed engines that 373 equipped for OGVs. Besides, the unstable operating conditions of SSCs and ICSs, such 374 as maneuvering and low-load, also promoted the emission of VOCs (Radischat et al., 375 2015). Therefore, it could be indicated that coastal areas with high population density 376 need get more attention due to the higher VOCs emissions from CCSs and ICSs. 377 As mentioned before, fuel type could influence the EF<sub>VOCs</sub> significantly (Wu et al.,

378 2019; Wu et al., 2020), which also would be one of the most important influence factors 379 in the future under the background of increasingly strict ship oil policy. Under the condition of low-sulfur content fuels in China, the average EF<sub>VOCs</sub> were 592 mg kg<sup>-1</sup> 380 fuel and 878 mg kg<sup>-1</sup> fuel for diesel and HFO in this study, respectively (seen in Fig. 3 381 (e)). In addition to the direct emission of unburned fuel components, VOCs also could 382 383 be emitted from the pyrosynthesis process of the fuel in the cylinder (Radischat et al., 384 2015). In order to explore the relationship between chemical composition of low-sulfur 385 content fuel and VOCs emission, n-alkanes, b-alkanes and aromatics in the fuels from 386 OGVs were tested (Liu et al., 2022) (seen in Table S6 for details). Obviously, diesel had 387 higher content of n-alkanes and b-alkanes than HFO, and aromatics were the opposite. 388 It could be seen from Fig. S3 that both the EFAlkanes, EFAlkenes and EFhalohydrocarbons from 389 ships with diesel presented higher levels compared with that of HFO. EF<sub>Aromatics</sub> and other components showed the opposite trends. While no obvious difference of EFovocs 390 391 was observed between diesel and HFO. Emission characteristics of VOC main 392 components were basically consistent with fuel composition in this study. It could be 393 provided that the composition of fuel did have significant impact on VOC emissions.

394 To further explore the impact of sulfur content of fuel on VOCs emissions, EF<sub>VOCs</sub> 395 of low-sulfur content fuel (<0.5% m/m) and high-sulfur content fuel ( $\ge 0.5\%$  m/m) in 396 this study and previous studies were summarized in Fig. 3 (f). The average EF<sub>VOCs</sub> from 397 low-sulfur content fuel was significantly higher than that of high-sulfur content fuel, 398 with almost 3.4 times. This indicated that when the fuels were switched from high sulfur 399 to low sulfur, there was dramatic increase in VOCs emissions. Low-sulfur content fuels 400 are usually produced in three ways, including blending technique that use light low-401 sulfur oils mixed with heavy high-sulfur oils, heavy oil hydrogenation technology that 402 remove sulfur through hydrogenation of high-sulfur residual oil, and biological 403 desulfurization technology that use microbial enzymes catalyze and oxidate the organic 404 sulfur in oil, convert it into water-soluble sulfide and then remove (Kuimov et al., 2016). 405 Among these, blended low-sulfur oils are the most widely used oils (Zhang, 2019;Han 406 et al., 2022). Except for light low-sulfur oils mixed during the production of low-sulfur 407 oils, other non-petroleum refined oils, such as coal tar and chemical waste are also 408 added. Consequently, emission factors as well as the composition of VOCs have 409 changed significantly. Since low-sulfur content fuels (<0.5% m/m) have been using 410 worldwide since 2020, and 0.1% (m/m) in ECAs since 2015, it would imply that the 411 impact of fuel type on VOCs emissions needed to be given sufficient attention.



412

413 Figure 3 Box-whisker plots of VOC emission factors under different influence factors

414

# 3.3 Profiles and diagnostic characteristics of VOCs

415 3.3.1 Profiles of VOCs

Fig. 4 presents the mass fractions of VOCs (except halohydrocarbons, tetrahydrofuran, carbon disulfide, and 1,4-dioxaneand due to their very small mass fractions (0.55%-3.06% of total VOCs)) from the three types of test ships (CCS, OGV and ICS) under different engine types (main engine and auxiliary engine) and fuels (HFO and diesel). Detailed mass fractions of all the test VOC species in this study were also given in Table S7. As shown in Fig. 4, the profiles of VOCs showed obvious differences. To be specific, the most abundant VOC species were acetone and acrolein 423 in OVOCs, propene and butene in alkenes, n-Nonane, n-Decane, n-Undecane, n-424 Dodecane in alkanes for almost all the test ships. As for aromatics, the OGVs showed 425 big differences compared with other types of ships that had large amounts of 426 naphthalene, while benzene, toluene and m/p-xylene were the highest content aromatic 427 substances for other ships. Previous studies about OGVs showed the similar high 428 naphthalene and acetone contents in the exhaust when use low-sulfur fuels (Agrawal et 429 al., 2010;Huang et al., 2018b). Besides, high levels of formaldehyde and acetaldehyde 430 were also found in exhausts from OGVs (Agrawal et al., 2010). Unfortunately, because 431 of the limitation of testing methods, they were not measured in this study. Due to the 432 high reactivity and the important role in formation of secondary organic aerosols, 433 formaldehyde and acetaldehyde needs to get more attention from ship exhausts, 434 especially for OGVs. In addition, a small scientific research ship (499 t, 5 years, high-435 speed engine, 0# diesel) was also tested in this study, whose VOCs profile was given in 436 Fig. S4 for comparison. Obviously, the VOCs profile pattern was very similar with that of inland cargo ships with the same small high-speed engines and 0# diesel as fuel, 437 indicating the significant impact of engine type and fuel type. 438



440 Figure 4 Mass fractions of individual VOCs from test ships under different engine types
441 and fuels (except halohydrocarbons, tetrahydrofuran, carbon disulfide, and 1,4442 dioxaneand due to their very small mass fractions)

439

The top 25 VOC species from the test cargo ships are presented in Table S8. It could be seen that most of the top 25 VOC species emitted from exhausts were the same but with different rankings for different engine types under different fuels. For example, OVOCs, alkenes and aromatics were the most abundant VOC species for the main engines of CCS and ICS, while alkanes were ranked as the highest content VOC species 448 for auxiliary engine. As mentioned above, naphthalene and acetone were the absolute 449 highest two VOC species for OGVs, followed by alkenes, OVOCs and aromatics from 450 exhausts of HFO fuel; but alkenes, OVOCs and alkanes from exhausts of diesel fuels. 451 This high naphthalene emission has also been shown in other studies (Radischat et al., 452 2015; Huang et al., 2018c; Yeh et al., 2023). The unusually high naphthalene from OGVs 453 needed to be noted. Naphthalene was mainly formed during the pyrolyzation from 454 incomplete combustion and direct emission of unburned fuel components (Radischat et 455 al., 2015). A recent study reported that the addition of additives of naphthalene-based 456 lubricants to low-sulfur fuel during the blended fuel manufacturing process to improve 457 stability could lead to an increase in PAHs emission in exhaust, with naphthalene being 458 the main pollutant (Yeh et al., 2023). To further explore the extent to which the content 459 of naphthalene in fuel affects EFs of naphthalene in ship exhaust, several chemical 460 compositions such as alkanes and aromatic contents in fuels of the test OGVs were 461 measured and shown by Liu et al. (2022) (Seen in Table S6). Results showed that the 462 average naphthalene content in HFO was almost 30 times higher than that in diesel. 463 When the engine was operated in the same operating condition, higher EF<sub>naphthalene</sub> was 464 observed from HFO than diesel. Therefore, we infer that chemical component in fuel 465 does influence the emission of PAHs including naphthalene in the exhaust. Besides, 466 VOCs with lower molecular weights such as acetone and acrolein were the dominant 467 OVOCs compounds in this study. The main reason is probably as follows: OVOCs 468 compounds are typically derived from the oxidation of VOCs with incomplete 469 combustion (Hao et al., 2014), while VOCs with lower molecular weights have a higher 470 chance to be oxidized to form oxides than those with higher molecular weights which 471 are often broken up to VOCs with less carbon number during the oxidation process 472 (Wang et al., 2020).

Furthermore, characteristics of VOCs based on carbon number are also given and
discussed in this study. The detected VOC species were classified into 12 groupings,
from C1 to C12 (Fig. S5). Different types of ships with different fuels showed obvious

differences in components. For example, C3 VOCs were found to be the most important
species for all test ships, while C10 showed much higher mass fractions from OGVs
than other ships, which was caused by the high naphthalene content. The same as VOCs
profiles, ICSs and scientific research ships presented very similar VOCs mass fraction
distributions of the 12 groupings. Besides, except for the auxiliary engine of CCS with
diesel oil, the OGVs emitted comparatively higher high-carbon number (C7-C12)
components than low-carbon number (C1-C6) components.

483

3.3.2 Diagnostic characteristics of VOCs

484 Diagnostic ratios of pair species, such as toluene to benzene (T/B), ethylbenzene to m,p-zylene (E/X), n-butane to isopentane (n-Bu/i-Bu) and isopentane to n-pentane 485 486 (i-P/n-P), are always used to identify potential emission sources (Zhang et al., 2016b;Li 487 et al., 2021;Song et al., 2018;Song et al., 2020). These ratios from ship exhausts in this 488 study are shown in Table S9. T/B was further analyzed here as it is the most wildly used 489 diagnostic ratio among them. It was reported in previous study that the T/B ratios were 490 <1 for biomass/biofuel/coal burning, 1 to 10 for vehicle emissions, and >1 for solvent applications or industrial processes (Zhang et al., 2016b). In this study, the T/B ratios 491 492 varied between 0.29 and 1.28 from ship exhausts, which were overlapped with 493 biomass/biofuel/coal burning sources to some extent. However, it could be considered 494 to distinguish on-road diesel vehicles with a T/B ratio of  $1.5 \pm 0.8$  (Wang et al., 495 2013; Yao et al., 2015) and non-road diesel construction vessels with a T/B ratio of 1.4 496  $\pm$  1.3. The results were similar with that of 0.45 - 0.57 from Wu et al. (2020) and 1.07 497 from Xiao et al. (2018), but significantly differed from that of 4.81 - 42.8 from Huang 498 et al.(2018c).

In order to overcome the overlapping effects of the T/B ratio among different emission sources and better distinguish ship emissions from other emission sources, a ternary diagram of the relative compositions of Benzene, Toluene, and Ethylbenzene from ship exhausts in this study was presented in Fig. 5. The B:T:E ratios were 0.50:0.30:0.20 on average from the test ships, differed from that of 0.69:0.27:0.04 for biomass /biofuel/coal burning, 0.06:0.59:0.35 for industrial emissions, and especially
0.31:0.59:0.10 for traffic emissions, respectively (Zhang et al., 2016b). Besides, most
of the relative compositions of B, T, and E from ship exhausts in this study were
relatively stable and mainly concentrated within certain area that was seldom
overlapped with other emission sources in the ternary diagram. This indicated that the
B: T: E ratios could be considered as a diagnostic characteristic to distinguish ship
emission from other emission sources, especially the traffic emissions.



511

Figure 5 Relative proportions of benzene, toluene and ethylbenzene from the ship exhausts. B:T:E ratios from other sources were cited from Zhang et al. (2016b) that summarized 28 examples from biomass burning, 35 examples from biofuel burning, 17 examples from coal burning, 11 examples from diesel vehicle exhaust, 31 examples from gasoline vehicle exhaust, 24 examples from gasoline evaporation, 25 examples from roadside or tunnel tests, and 66 examples from industrial processes and solvent applications.

#### 519 **3.4 Ozone and SOA formation potential**

520 3.4.1 Ozone formation potential

The normalized ozone reactivities  $(R_{O_3})$  ranged between 2.95 and 4.60 g O<sub>3</sub> g<sup>-1</sup> 521 522 VOCs for the test ships (presented in Fig. 6 and Table S10) in this study, meaning there was diversity of ozone reactivities in VOCs from different ships, which was due to the 523 524 different shares of VOC species emitted from different ships with different fuels. The  $R_{O_3}$  values were within the range of previous reported results estimated by Wu et al. 525 (2020) (2.62 to 5.41 g  $O_3~g^{\text{-1}}$  VOCs) and Wu et al. (2019) (approximately 4.5 to 6.0 g 526 O<sub>3</sub> g<sup>-1</sup> VOCs), but showed different fragments of VOC species to  $R_{O_3}$ . The different 527 528 detected VOC species was also one inferred reason for the variation of  $R_{O_3}$  in different 529 studies. Aromatics and alkenes were the most significant contributors to  $R_{O_3}$  in this study due to their high reactivities. Aromatics had relatively higher contributions for 530 the OGVs, and the CCSs and ICSs were more affected by alkenes, excepted for the 531 532 auxiliary engine with diesel oil of CCSs. Besides, it also can be seen from Fig. 6 (a) 533 that when the fuels were switched from diesel to HFO, more aromatics were contributed 534 to  $R_{O_3}$  because of the higher aromatic but lower aliphatic compounds in HFO (Sippula 535 et al., 2014). On the contrary, alkenes showed reverse trends with aromatics, which 536 were attributed to engine combustion and operation conditions of the test ships, as well as the high content of alkenes in diesel fuel in China (Mo et al., 2016). 537

As described in Fig. 6 (b), the OFP varied significantly from 0.91 to 7.81 g  $O_3$  kg<sup>-</sup> 538 539 <sup>1</sup> fuel, with the main engines of CCSs presented the highest levels, but auxiliary engines of OGVs the lowest, even though the  $R_{O_3}$  showed no such big differences among all 540 541 the test ships. The main reason was the huge variation of EFvocs, as well as the 542 difference in component of VOC species emitted from different ships with different 543 fuels. The same as  $R_{O_3}$ , aromatics and alkenes were the most significant contributors 544 to OFP, accounting for 28-61% and 20-50% of the total OFP, respectively. It's worth 545 noting that when the fuels were switched from HFO to diesel for the OGVs, there were 546 obvious increasing OFP trends. This was similar with result of Huang et al. (2018b) 547 that HFO had lower OFP compared with diesel fuel about an ocean-going vessel and 548 Wu et al. (2020) that after implementation of the fuel switch policy for ships at berth,

OFP increased from 0.35 to 10.37 g  $O_3$  kg<sup>-1</sup> fuel. However, the CCS had slightly higher 549 550 OFP value with HFO than diesel in this study. A previous study also reported that OFP from HFO was ~3.3-fold higher than from burning diesel for a coastal container ship 551 552 (Wu et al., 2019). It seemed that when the fuels were switched from high sulfur to low 553 sulfur, there was obvious increase in OFP, especially for OGVs. While when the fuels 554 were switched from low sulfur HFO to ultra-low sulfur diesel (sulfur content <0.1%), 555 the OFP would be also influenced by other factors, such as engine type, which needs to 556 be further explored by more on-board measurements. Besides, river ships and costal 557 ships had higher OFP than OGVs, and main engines had higher OFP than auxiliary 558 engines, which were consistent with previous study (Wu et al., 2020).



Figure 6 (a) The normalized ozone reactivity ( $R_{O_3}$ , g O<sub>3</sub> g<sup>-1</sup> VOCs) and contribution of VOC species to  $R_{O_3}$ , (b) ozone formation potential (OFP, g O<sub>3</sub> kg<sup>-1</sup> fuel) and contribution of VOC species to OFP

563 3.4.2 SOA formation potential

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The same as  $R_{0_3}$ , normalized SOA reactivities (R<sub>SOA</sub>) under high-NO<sub>x</sub> and low-NO<sub>x</sub> conditions were also estimated and presented in Fig. 7 (a), (b), and Table S10. The R<sub>SOA</sub> ranged from 63.2 to 134 mg SOA g<sup>-1</sup> VOCs under high-NO<sub>x</sub> condition and 137 to 312 mg SOA g<sup>-1</sup> VOCs under low-NO<sub>x</sub> condition in this study, which were within the range of previous reported results (Wu et al., 2020;Huang et al., 2018b;Xiao et al., 569 2018; Wu et al., 2019), but at relatively higher levels compared with these studies. 570 Unlike  $R_{0_2}$ , the R<sub>SOA</sub> showed relatively higher values for OGVs compared with CCSs and ICSs. The main reason for this was the content difference of heavy organic 571 572 compounds in VOCs, such as higher proportion of naphthalene that has high SOA yield, 573 which is also presented above in Table S4 and Fig. 4. Huang et al. (2018c) also showed 574 the similar R<sub>SOA</sub> levels about a test OGV. Almost all the R<sub>SOA</sub> were contributed from 575 aromatics and alkanes in this study. There were different variation trends of the total R<sub>SOA</sub> between different fuels for different types of ships, but obvious higher proportions 576 of aromatics for ships with HFO than diesel fuel due to the higher aromatic contents in 577 fuels, while alkanes were the opposite. Besides, the R<sub>SOA</sub> of ship exhausts in this study 578 579 showed much higher levels compared with other traffic sources presented in previous 580 study (Xiao et al., 2018), including diesel trucks and gasoline vehicles, which suggested 581 that VOCs from ship exhaust deserved special attention.

The SOAFP in this study were ranging from 26.5 to 140 mg SOA kg<sup>-1</sup> fuel and 582 71.5 to 303 mg SOA kg<sup>-1</sup> fuel under high-NO<sub>x</sub> and low-NO<sub>x</sub> conditions, respectively 583 (Fig. 7 (c) and (d)). The SOAFP values in this study were within the range of previous 584 studies but showed relatively higher levels, which might be mainly caused by both the 585 586 different detected VOCs species and the variation of VOCs EFs. Even though OGVs 587 had relatively higher R<sub>SOA</sub> levels, due to the variation of EFs among the test ships, SOAFP showed different patterns with R<sub>SOA</sub>. Main engines in this study had higher 588 589 SOAFP values than auxiliary engines, no matter what type of fuel was used, indicating 590 the important effect of engine type. The same as OFP, the switch of fuel from HFO to 591 diesel could increase SOAFP for OGVs. Similar results were also found from Wu et al. 592 (2020) that after IFSP, the SOAFP increased 1.6 times and 2.5 times under high-NO<sub>x</sub> 593 and low-NO<sub>x</sub> conditions, and Huang et al. (2018b) that higher SOAFP was presented 594 from diesel than from HFO. The CCSs showed opposite SOAFP variation trend with 595 OGVs, also similar with Wu et al. (2019) that SOAFP from HFO was 2.1-fold higher than that of diesel. Moreover, the same as R<sub>SOA</sub>, aromatics and alkanes were the most 596

597 significant contributors to SOAFP, and there were also obvious higher proportions of 598 aromatics to SOAFP for ships with HFO than diesel fuel. The main reason for this was 599 that EFs of aromatics from engines with HFO were higher than that of diesel fuel due 600 to the higher content of aromatics of HFO than diesel. It has been indicated that intermediate VOCs (IVOCs) were significant SOA precursors with high yields 601 602 (Robinson et al., 2007; Tkacik et al., 2012). In another of our study, IVOCs from the test OGVs were also detected, and the SOAFP of IVOCs from several selected conditions 603 (main engine and auxiliary engine of cruising loads, using MGO and HFO, respectively) 604 were calculated (Liu et al., 2022). Results showed that the SOAFP from IVOCs of the 605 main engine by using diesel and HFO were 540.5 and 482.1 mg SOA kg<sup>-1</sup> fuel, 606 respectively, 542.2 and 451.3 mg SOA kg<sup>-1</sup> fuel for auxiliary engine, respectively. 607 Obviously, the switch from low-sulfur fuel of HFO to ultra-low-sulfur fuel of diesel 608 could also increase the SOAFP from IVOCs. Even though SOAFP from VOCs were 609 lower than that of IVOCs, they were still not negligible, especially under low-sulfur 610 fuel policies. 611



612

Figure 7 The normalized SOA reactivity ( $R_{SOA}$ , mg SOAg<sup>-1</sup> VOCs) and contribution of VOC species to  $R_{SOA}$  under (a) high NO<sub>x</sub>, (b) low NO<sub>x</sub>; and the SOAFP (mg SOA kg<sup>-1</sup> fuel) and contribution of VOC species to SOAFP under (c) high NO<sub>x</sub>, (d) low NO<sub>x</sub>

616 3.4.3 Top 20 contributing VOC species to OFP and SOAFP

Due to the significant contribution of VOCs to  $O_3$  and SOA, it is essential to distinguish the most contributing VOC species for the formulation of emission reduction policies. Therefore, the top 20 contributing VOC species to OFP and SOAFP are presented in Table S11 and Table S12. Most of the listed VOC species to OFP and SOAFP among different engine types and fuels were the same but with different rankings. For example, propene was the most contributing VOC species to  $O_3$  for the main engines of CCSs and ICSs, followed by acrolein, trimethyl benzene, butene etc. 624 While trimethyl benzene, propene and acrolein were ranking as the top VOCs species 625 to OFP for the auxiliary engine of CCSs. As for OGVs, naphthalene was the most contributing VOC species to O<sub>3</sub>, followed by propene, acrolein, 1,3-butadiene and 626 627 xylene etc. As shown in Table S12, the top VOCs species contributed to SOAFP were 628 benzene, naphthalene, n-dodecane, n-undecane and xylene etc. for all the test ships. 629 Naphthalene was undoubtedly the most contributing VOC species to SOAFP for OGVs. 630 In conclusion, it was obvious that as the important common contributors to both O<sub>3</sub> and 631 SOA, aromatics should be prioritized in control. Besides, VOCs species with high O<sub>3</sub> reactivities also need to be paid enough attention, such as alkenes, even though with 632 633 low emission factor levels.

634

## 4. Conclusions and atmospheric implications

635 Shipping emission is a non-ignorable anthropogenic emission source of air pollutants, especially in coastal areas. Therefore, more and more strict emission control 636 637 regulations have been implemented globally. For example, the maximum fuel sulfur 638 content has been set to be 0.5% (m/m) worldwide by 2020, and 0.1% (m/m) in ECAs. 639 The Chinese government also has set the coastal ECAs that require the sulfur content 640 of 0.5% (m/m) since 2019, and 0.1% (m/m) in inland ECAs since 2020. The mandatory 641 use of low-sulfur fuels has reduced the emissions of SO<sub>2</sub> and PM significantly on ships, 642 while it also leads to very large uncertainty on VOCs emission. In view of this, onboard test of VOCs from 9 typical cargo ships with low-sulfur fuels in China were 643 644 carried out in this study.

Results showed that  $EF_{VOCs}$  varied largely from 0.09 to 3.01 g kg<sup>-1</sup> fuel, with domestic coastal cargo ships (CCSs) had the highest levels and ocean-going vessels (OGVs) the lowest. The test ships in this study presented comparable  $EF_{VOCs}$  level with other studies. However, the measured  $EF_{VOCs}$  varied largely among different studies due to complex reasons such as different detected VOC species, different engine types and fuel qualities. OVOCs and aromatics were the main components of the detected VOC species, followed by alkanes, while alkenes, halohydrocarbons and other 652 quantified species only contributed small fractions.

653 The emission level and component of VOCs from ship exhaust could be affected by complex influence factors such as operating condition, engine type, ship type and 654 655 fuel type. For example, EF<sub>VOCs</sub> had the lowest level when the engines were operating in medium loads, and the highest in low loads. Besides, with the increase of engine 656 657 speed, the EF<sub>VOCs</sub> showed an increasing trend. The average EF<sub>VOCs</sub> from the main engines was 2.3 times that of auxiliary engines in this study. Moreover, the EFvocs 658 659 varied obviously under different types of ships, with CCSs having the highest levels 660 and OGVs the lowest. It needs to be noted that fuel type could influence the emission of EFvocs significantly. The switch of fuels from heavy fuel oil to diesel increased 661 EF<sub>VOCs</sub> by 48% on average in this study. A bigger cause for concern is that from the 662 summarized results in this study and previous studies, the average EF<sub>VOCs</sub> from low-663 sulfur content fuel was significantly higher than that of high-sulfur content fuel, with 664 665 almost 3.4 times.

666 The most abundant VOC species were acetone and acrolein in OVOCs, propene 667 and butene in alkenes, n-Nonane, n-Decane, n-Undecane, n-Dodecane in alkanes for almost all the test ships. As for aromatics, the OGVs showed big differences compared 668 with other types of ships that had large amounts of naphthalene due to the use of low-669 670 sulfur fuels, while benzene, toluene and m/p-xylene were the highest content aromatic 671 substances for other ships. We also found that benzene, toluene, and ethylbenzene ratio 672 of 0.5:0.3:0.2 on average could be considered as a diagnostic characteristic to 673 distinguish ship emission from other emission sources.

The OFP in this study varied significantly from 0.91 to 7.81 g  $O_3$  kg<sup>-1</sup> fuel, with the main engines of CCSs presented the highest levels, but auxiliary engines of OGVs the lowest. The SOAFP in this study were ranging from 71.5 to 303 mg SOA kg<sup>-1</sup> fuel under low-NO<sub>x</sub> conditions. Main engines in this study had higher SOAFP values than auxiliary engines, no matter what type of fuel was used, indicating the important effect of engine type. It's also worth noting that when the fuels were switched from high sulfur

to low sulfur, there was obvious increase in OFP and SOAFP, especially for OGVs. 680 681 Moreover, aromatics were the most important common contributors to  $O_3$  and SOA in ship exhausts, which need to be controlled with priority. 682

683 It could be concluded from this study and previous studies that either the switch of high-sulfur HFO to low-sulfur HFO, or low-sulfur HFO to ultra-low-sulfur diesel, 684 685 VOCs emissions from OGVs increased significantly, which further promoted the formation potential of O3 and SOA, especially in coastal areas. Therefore, the 686 687 implementation of the ultra-low-sulfur oil policy in the near future is likely to further 688 increase the emission of VOCs, which needs to be optimized. Besides, the results herein 689 indicated that aromatics are absolutely the most important common contributors to OFP 690 and SOAFP, which need to be controlled with priority in ship exhausts. Since aromatics 691 are typically from the polymerization, improving engine combustion conditions of ship 692 engine is an effective way to reduce O<sub>3</sub> and SOA from ship exhausts, especially in 693 coastal and inland areas. Moreover, organic matters such as naphthalene from ship 694 exhausts with low-sulfur HFO should be explored and considered to be potential tracers 695 to identify ocean going ships from coastal and inland ships. Lastly, the EFs and profiles 696 of VOCs emitted from ship exhausts varied significantly, one important reason was that 697 the sample size of on-board measured VOCs was too small, in addition, the detection 698 methods and detected VOCs species differed greatly among different studies. Therefore, 699 much more on-board tests need to be implemented and standard VOCs detection method as well as essential VOCs species should be clarified, especially under current 700 701 low-sulfur regulation.

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## **Author contributions**

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FZ, YZ, CH, HW, YC and GW conceptualized and designed the study; BX, ZL, 704 CT, XW, YH, MC, and YC performed the measurements; FZ, RL, CW, YL, SZ, and 705 GW analyzed the data. FZ wrote the manuscript draft; All the authors reviewed, edited, 706 and contributed to the scientific discussion in the manuscript.

707 **Competing interests** 

708 The contact author has declared that none of the authors has any competing 709 interests.

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