1	Real-world emission characteristics of VOCs from typical cargo ships and their
2	potential contributions to SOA and O3 under low-sulfur fuel policies
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#### 32 Abstract

33 Mandatory use of low-sulfur fuel according to global sulfur limit regulation has 34 reduced the emissions of SO<sub>2</sub> and PM significantly on ships, while it also leads to very 35 large uncertainty on volatile organic compounds (VOCs) emission. Therefore, on-board 36 test of VOCs from 9 typical cargo ships with low-sulfur fuels in China were carried out 37 in this study. Results showed that emission factor of VOCs (EF<sub>VOCs</sub>) varied largely from 38 0.09 to 3.01 g kg<sup>-1</sup> fuel, with domestic coastal cargo ships (CCSs) had the highest level, 39 followed by inland cargo ships (ICSs) and ocean-going vessels (OGVs). The switch of 40 fuels from heavy fuel oil (HFO) to diesel increased EF<sub>VOCs</sub> by 48% on average, which enhanced both O3 and secondary organic aerosol (SOA) formation potentials, especially 41 42 for OGVs. Besides, the use of low-sulfur fuels for OGVs also lead to significant 43 increase of naphthalene emission. These indicated the implementation of globally ultra-44 low-sulfur oil policy in the near future needs to be optimized. Moreover, aromatics were 45 the most important common contributors to O<sub>3</sub> and SOA in ship exhausts, which need 46 to be controlled with priority. It was also found that benzene, toluene, and ethylbenzene 47 ratio of 0.5:0.3:0.2 on average could be considered as a diagnostic characteristic to 48 distinguish ship emission from other emission sources.

49 50

# 1. Introduction

Maritime transport accounts for more than 80% of global trade by volume (United Nations Conference on Trade and Development, 2020), leading to significant environmental and health effects (Corbett et al., 2007;Liu et al., 2016;Wang et al., 2021a). As a non-ignorable anthropogenic emission source of air pollutants, shipping emission has caused more and more attentions in recent decades. However, most of the previous studies focus on primary pollutants, such as SO<sub>2</sub>, NO<sub>x</sub>, CO<sub>x</sub>, HC, particulate matter (PM) and its components, particulate number (PN), etc. (Zhang et al.,

Keywords: cargo ships, low-sulfur fuel, VOCs, ozone, secondary organic aerosol

2022b;Santos et al., 2022;Zhou et al., 2019b;Chu-Van et al., 2017;Reda et al., 58 59 2015;Buffaloe et al., 2014;Beecken et al., 2014;Moldanova et al., 2013;Fu et al., 2013;Moldanova et al., 2009;Lack et al., 2009;Lack et al., 2008). Only few studies 60 61 estimate the influence of ship exhaust on secondary photochemical oxidation products, 62 such as O<sub>3</sub> and secondary organic aerosol (SOA), and concern their relative precursors 63 (Jonson et al., 2009;Song et al., 2010;Lang et al., 2017;Wu et al., 2019;Wang et al., 64 2019; Wu et al., 2020). Results from these limited studies show that the ozone formation 65 potential (OFP) and secondary organic aerosol formation potential (SOAFP) of 66 shipping emissions are much greater than from on-road vehicles due to their higher 67 VOCs emission factors and normalized reactivities (Wu et al., 2019;Wu et al., 2020). Therefore, the neglection of secondary pollutants such as O<sub>3</sub> and SOA would vastly 68 69 underestimate the actual influence of shipping emissions on environment air.

70 Volatile organic compounds (VOCs) are typical O<sub>3</sub> and SOA precursors. Generally 71 speaking, alkanes, alkenes, aromatics and carbonyls with carbon number > 6 in VOCs 72 can form SOA (Grosjean, 1992;Grosjean and Seinfeld, 1989). While O<sub>3</sub> is formed from 73 the photochemical interactions of volatile organic VOCs and oxides of nitrogen ( $NO_x$ ), 74 with alkenes having the highest Maximum Incremental Reactivity (MIR), followed by 75 aromatics and OVOCs (Carter, 1994). Typical aromatics, alkenes, and alkanes are the 76 most concerned VOCs from diesel exhausts. For example, Previous studies find that 77 aromatics and alkanes contribute most to SOAFP from diesel exhaust, with single-ring 78 aromatics such as toluene, benzene and xylene et al. are the most contributors (Gentner 79 et al., 2012;Che et al., 2023). Wang et al. (2020) point out that naphthalene, butene, 80 toluene, benzene, and dodecane et al. are the most contributors to OFP from exhausts 81 of diesel trucks. Besides, OVOCs such as formaldehyde, acetaldehyde, and 82 benzaldehyde also have high ozone formation potentials (Yao et al., 2015; Wang et al., 83 2020). Even though concentrations of  $PM_{2.5}$  decreased rapidly in recent years,  $O_3$ 84 presented continuous upward trends in most of China (Lu et al., 2020). More and more 85 strict limitations of VOCs have been applied to the main sources such as industrial

emission, vehicle exhaust etc., while VOCs from shipping haven't gained much 86 87 attention. Most of previous studies just give the characteristics of total non-methane hydrocarbons (NMHCs) from ships, but not specific VOC species (Cooper, 2003; Zhang 88 89 et al., 2016a). Only few studies have reported the VOCs emission factors (EFs) and 90 their composition from specific type of ships under specific operating conditions (Wu 91 et al., 2020; Wang et al., 2020; Wu et al., 2019; Xiao et al., 2018; Zetterdahl et al., 92 2016;Huang et al., 2018b;Cooper et al., 1996). The limited measured VOCs data cannot 93 reflect the actual situation of shipping emissions. More on-board VOCs measurement 94 for typical ships with representative fuels under different operating conditions need to 95 be carried out, especially after the implementation of low-sulfur fuel policies.

96 According to the International Maritime Organization (IMO), the maximum fuel 97 sulfur content has been set to be 0.5% (m/m) worldwide by 2020, and 0.1% (m/m) in 98 emission control areas (ECAs). The Chinese government also has set the coastal ECAs 99 that require the sulfur content of 0.5% (m/m) since 2019, and 0.1% (m/m) in inland 100 ECAs since 2020 (Ministry of Transport of the People's Republic of China, 2018). The 101 use of ultra-low sulfur fuel (< 0.1% (m/m)) globally is an inevitable trend in the near 102 future. Fuel quality could affect the pollutants from ship exhausts significantly. For 103 example, a large amount of PM, SO<sub>2</sub> and NO<sub>x</sub> have been reduced since the 104 implementation of ship emission control policies (Weng et al., 2022; Wang et al., 105 2021b;Zhang et al., 2019;Viana et al., 2015;Repka et al., 2021). While it also reveals 106 that the switching of high-sulfur content fuels (sulfur content  $\geq 0.5\%$ ) to low-sulfur 107 content fuels (0.1% < sulfur content < 0.5%) leads to significant uncertainties of VOCs 108 emissions from the results of previous studies. For example, Wu et al. (2019) show that 109 the reduction in EF of VOCs (EF<sub>VOCs</sub>) is 67% when switching from high-sulfur content 110 heavy fuel oil (HFO) to low-sulfur content marine diesel oil for a container ship. While 111 another study finds that after limiting fuel sulfur content, the EF<sub>VOCs</sub> are approximately 112 15 times that of before implementation of the fuel switch policy (IFSP) from ships at berth in Guangzhou, China. This leads to nearly 29 times greater OFP and 113

approximately 2 times greater SOAFP than those before IFSP (Wu et al., 2020). Huang 114 115 et al. (2018) also presented similar results of larger SOAFP when switch fuel from high-116 sulfur content HFO to diesel oil for a large cargo vessel. It seems the low-sulfur fuel 117 regulation has different effects on VOCs emission for different types of ships. Therefore, 118 it is essential to figure out the actual emission of VOCs as well as formation potentials 119 of SOA and O<sub>3</sub> under the condition of low-sulfur fuel regulations. This will greatly 120 reduce the uncertainties in VOCs inventory estimation and provide basic data for the 121 formulation of optimal emission control policies of ships after considering 122 comprehensive impacts on various pollutants.

123 By the end of 2022, China had 121,900 water transport vessels (Ministry of 124 Transport of the People's Republic of China, 2022), 15 ports in China were listed among 125 the top 20 ports in the world for cargo throughput, and 7 container ports were listed 126 among the largest 10 container ports in the world. The large amount of active ships in 127 China has resulted in serious impact on ambient air and human health, particularly in coastal, inland and port areas (Huang et al., 2022;Zhang et al., 2017;Liu et al., 2016). 128 129 Research reveals that most of the pollutants are from cargo-transport ships compared 130 with other types of ships (Wan et al., 2020). Clarifying the EF of VOCs, profiles, 131 influence factors, and their contribution to O3 and SOA formation potentials of the 132 typical cargo ships are the basis to estimate the VOCs inventory and to establish proper 133 control measures. Besides, it is also a very important breakthrough point to further 134 improve the ambient air quality in port and nearshore areas by controlling the VOCs 135 emission from ship exhaust.

Therefore, on-board test of exhaust pollutants from 9 typical cargo ships in China, including 2 coastal cargo ships (CCSs), 3 ocean-going vessels (OGVs) and 4 inland cargo ships (ICSs) were carried out in this study. VOCs samples from different types of engines with different fuels under actual operating conditions were collected and 106 VOC species were analyzed. Based on the data, the following factors were evaluated and discussed in this study: (1) fuel-based emission factor of VOCs (EF<sub>VOCs</sub>) and their 142 components, (2) influence factors, (3) profiles of VOCs, (4) O<sub>3</sub> and SOA formation
143 potentials.

144 **2. Materials and methods** 

#### 145 **2.1 Test ships and fuels**

VOCs samples from 9 different ships were collected in this study, including 2 146 147 coastal cargo ships, 3 ocean-going vessels, and 4 inland cargo ships in Yangtze River. The detailed technical parameters of the sampling ships are shown in Table 1. Different 148 149 types of cargo ships had different technical parameters in China. For example, the 150 engine powers of coastal cargo ships varied largely, with about 57% are equipped with 151 engines of more than 500 kW. Of the other left coastal cargo ships, 17% of which are ranging from 150 kW to 250 kW. Therefore, one large coastal cargo ship with main 152 153 engine power of 1470 kW and another small coastal cargo ship with main engine power 154 of 178 kW were selected here. Coastal cargo ships typically transport cargos among 155 different coastal ports, with one to several days per voyage. The main operating modes 156 are cruise (~75% engine load), maneuvering (low and variable engine loads), and idling.

157 Ocean-going vessels usually have large tonnages with large power main engines. 158 Statistical AIS data show that engines with power of 4 kW to 10 kW account for the largest proportion (~25%) of the total OGVs in China, followed by 2 kW to 4 kW (~23%) 159 and 10 kW to 20 kW (~20%). Besides, newly built OGVs have a tendency to have larger 160 161 and larger engine powers. Hence, three ocean-going vessels with different engine 162 powers ranging from 13.5 kW to 15.7 kW were tested in this study. They are designed for transporting goods across borders, usually with several months per voyage. The 163 164 main operating mode is cruise in the open ocean. While during the processes of in and 165 out of the port, the engines of OGVs typically active in maneuvering mode with relative 166 lower and variable engine loads, which could have great influence on the nearshore environment due to higher emission levels of pollutants. 167

168 Most inland cargo vessels are generally equipped with high-speed small main 169 engines of power within 1000 kW (~70%). Among them, the vast majority are below

170 500 kw. Therefore, four typical inland cargo ships of engine power between 138 kW 171 and 300 kW were chosen in this study. The inland cargo vessels typically active among 172 different inland ports or coastal ports near inland rivers, with several hours to several 173 days per voyage. Affected by the complicated water conditions of inland rivers, cruise 174 and maneuvering are the most important operating modes for inland cargo ships.

In brief, the measured ships in this study could represent the typical cargo ships in China to a certain extent. It's worth noting that the ocean-going vessels were newly constructed ships, while the inland cargo ships had older engines (6 to14 years) compared with other types of ships (less than 10 years).

Besides, most large cargo ships are equipped with both main engine and auxiliary engine. The main engine provides navigation power, and the engine loads vary greatly with the different operating modes. While the auxiliary engine mainly provides domestic electricity or heating on board, and the engine load is relatively stable with about 75% load. Small cargo ships are equipped only with main engines, such as the tested inland cargo ships and small coastal cargo ships in this study.

Ship ID	Туре	Tonnage (kt)	Main engine	Auxiliary engine	Ship age (year)	Implementation standard of fuel
CCS1	Coastal cargo ship	9.17	4-stroke, 1470 kW, 850 rpm	4-stroke, 182 kW, 1500	3	S<0.5% (m/m)
	<b>C</b> 1			rpm	5	
CCS2	Coastal cargo ship	0.30	4-stroke, 178 kW, 1500 rpm	-	10	S<0.5% (m/m)
OGV1	Ocean-going vessel	180	2-stroke, 15748 kW, 75 rpm	4-stroke, 1280 kW, 900 rpm	0	S<0.5% (m/m)
OGV2	Ocean-going vessel	110	2-stroke, 13500 kW, 91.1 rpm	4-stroke, 900 kW, 900 rpm	0	S<0.5% (m/m)
OGV3	Ocean-going vessel	210	2-stroke, 15745 kW, 75rpm	4-stroke, 1180 kW, 900 rpm	0	S<0.5% (m/m)
ICS1	Inland cargo ship	0.90	4-stroke, 255 kW, 1000 rpm	-	14	S<0.1% (m/m)
ICS2	Inland cargo ship	0.98	4-stroke, 300 kW, 1000 rpm	-	12	S<0.1% (m/m)
ICS3	Inland cargo ship	0.80	4-stroke, 145 kW, 1000 rpm	-	6	S<0.1% (m/m)
ICS4	Inland cargo ship	0.39	4-stroke, 138 kW, 1500 rpm	-	10	S<0.1% (m/m)

Table 1 Technical parameters of the sampling ships

188 Characteristics of HFO and diesel oil used for the test ships in this study are shown 189 in Table S1. In order to meet the requirements of diesel engines of non-road mobile 190 machinery of China, regular diesel (0#) was used for all inland cargo ships here. Results 191 showed that the sulfur contents of all the fuels were no more than 0.5% (m/m), which 192 were within both current ship emission control standards of China and IMO. As typical 193 tracers of high-sulfur content HFO, nickel and vanadium content levels and their ratios 194 were still higher but not distinguishable enough in low-sulfur content HFO compared 195 with diesel oil, which further evidence that it needed to be cautious when they were 196 used as tracers of ship emissions under current low-sulfur regulation. While it should 197 be noted that much higher levels of calcium and zinc were detected in lubricating oils 198 of OGVs.

199

### 2.2 Sampling system and samples

A portable dilution sampling system was used in this campaign, whose 200 201 components and principles were described elsewhere (Zhang et al., 2018). Briefly, two 202 separate sampling pipes were placed into the exhaust stacks (about 1.5 m deep of the 203 exhaust outlet) to route emissions from the main engine and auxiliary engine to 204 sampling system on the highest deck of ship, respectively. Then, the probe of a flue gas 205 analyzer (Testo 350, testo, Germany) was placed into the sampling pipe to test the 206 gaseous matters directly to get online data (CO<sub>2</sub>, O<sub>2</sub>, CO, NO, NO<sub>2</sub>, SO<sub>2</sub>). Another 207 probe was used to extract the flue gas for the diluted system. The dilution ratios ranged 208 between 1-10 in this study. VOCs samples were collected by summa canister from both 209 main engines and auxiliary engines of all the ships listed in Table 1. The sampling time 210 was 20-30 minutes for each sample according to actual operating condition.

A total of 48 VOCs samples were obtained for the test ships, involving different engine types with different fuels under different operating modes (seen Table S2 for detailed information). For the coastal/inland cargo ships, all samples were collected based on actual operating modes (about one to several days from one trip). While for ocean going vessels, samples from much more operating modes could be obtained thanks to the testing of the newly constructed ships (about one week from one trip).

217

# 2.3 Chemical and data analysis

218 As shown in Table S3, a total of 106 volatile organic compounds were detected in 219 this study according to USEPA TO15-1999, including 11 oxygenated volatile organic 220 compounds (OVOCs), 17 aromatics, 29 alkanes, 11 alkenes, 35 halohydrocarbons and 221 4 other species. These measured VOCs species were typical concerned VOCs and could 222 be considered as main VOC components referring to relative studies (Huang et al. 223 2018; Wu et al. 2020; Araizaga, Mancilla and Mendoza 2013), and could reflect the 224 emission conditions of ship exhaust. As shown in formulas (1) and (2), carbon balance 225 method was used to calculate the EF<sub>VOCs</sub>, which was also introduced in our previous 226 study (Zhang et al., 2016a).

227 
$$EF_{\rm x} = \frac{\Delta X}{\Delta CO_2} \cdot \frac{M_{\rm X}}{M_{\rm CO_2}} \cdot EF_{\rm CO_2}$$
(1)

where  $EF_x$  is the EF for VOC species X (g/kg fuel),  $\Delta X$  and  $\Delta CO_2$  represent the concentrations of X and CO<sub>2</sub> with the background concentrations subtracted (mol m<sup>-3</sup>), M<sub>X</sub> represents the molecular weight of species X (g mol<sup>-1</sup>), M<sub>CO<sub>2</sub></sub> is the molecular weight of CO<sub>2</sub> (44 g mol<sup>-1</sup>), and  $EF_{CO_2}$  is the EF for CO<sub>2</sub> (g (kg fuel)<sup>-1</sup>).

232 
$$EF_{CO_2} = \frac{c_F}{c(c_{CO}) + c(c_{CO_2}) + c(c_{PM}) + c(c_{HC})} \cdot c^*(CO_2) \cdot M_{CO_2}$$
(2)

where  $C_{\rm F}$  represents the mass of carbon in 1 kg diesel fuel (g C (kg fuel)<sup>-1</sup>),  $c(C_{\rm CO})$ , c(C<sub>CO<sub>2</sub></sub>),  $c(C_{\rm PM})$ , and  $c(C_{\rm HC})$  represent the mass concentrations of carbon as CO, CO<sub>2</sub>, PM, and HC (g C m<sup>-3</sup>), respectively, in the flue gas, and  $c^*({\rm CO}_2)$  is the molar concentration of CO<sub>2</sub> (mol m<sup>-3</sup>).

Detailed calculation processes of normalized ozone reactivity ( $R_{O_3}$ , g O<sub>3</sub> g<sup>-1</sup> VOCs), OFP (g O<sub>3</sub> kg<sup>-1</sup> fuel), normalized secondary organic aerosols reactivity ( $R_{SOA}$ , mg SOA g<sup>-1</sup> VOCs) and SOA formation potential (SOAFP, mg SOA kg<sup>-1</sup> fuel) are given as follows:

Normalized ozone reactivity ( $R_{O_3}$ , g O<sub>3</sub> g<sup>-1</sup> VOCs) and OFP (g O<sub>3</sub> kg<sup>-1</sup> fuel) were calculated using the maximum incremental reactivity (MIR) coefficient method (Carter, 2010a), which represents the maximum contribution of VOC species to the near-surface 244 O<sub>3</sub> concentration under optimal conditions. The equations are as follows:

245 
$$R_{O_3} = \sum_i (\omega_i \times \text{MIR}_i)$$
(3)

246 where  $\omega_i$  is the mass percentage of the total VOC emissions for species i, MIR<sub>i</sub> 247 is the MIR coefficient for VOC species i, which was referenced from Carter (2010b), 248 seen in Table S3 for details.

249 
$$OFP = \sum_{i} (MIR_i \times [VOC]_i)$$
(4)

250 where OFP is the ozone formation potential (g kg<sup>-1</sup> fuel),  $[VOC]_i$  is the emission 251 factor for VOC species i (g kg<sup>-1</sup> fuel).

The same as  $O_3$ , normalized secondary organic aerosols reactivity ( $R_{SOA}$ , mg SOA g<sup>-1</sup> VOCs) and SOA formation potential (SOAFP, mg SOA kg<sup>-1</sup> fuel) were also calculated, whose equations are as follows:

255 
$$R_{SOA} = \sum_{i} (\omega_i \times Y_i)$$
(5)

$$256 \qquad SOAFP = \sum_{i} (EF_i \times Y_i) \tag{6}$$

257 where  $Y_i$  is the SOA yield for VOC species i (seen in Table S4 for details). Both 258 SOAFP of VOCs under high-NO<sub>x</sub> and low-NO<sub>x</sub> conditions were calculated.

#### 259

#### 2.4 Quality assurance and quality control

Rigorous quality assurance and quality controls were conducted during the whole experiment. Ambient air blanks were analyzed in the same way as mentioned above to determine background concentration. The VOCs concentrations of each sample were obtained by subtracted ambient air blank results. Duplicate samples as well as standard gas were examined after analyzing a batch of 10 samples to ensure that the error was within 5%.

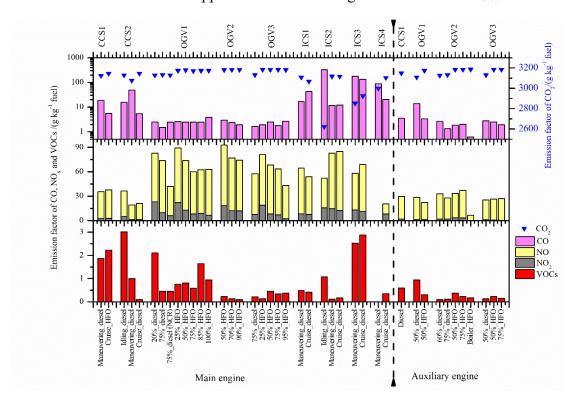
266

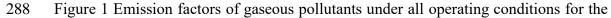
## 3. Results and discussion

### **3.1 Emission factors and components of VOCs**

 $EF_{VOCs}$  for the test ships are shown in Fig.1 and Table S5. In order to calculate the EF<sub>VOCs</sub> and investigate their influence factors, EFs of other gaseous pollutants such as CO<sub>2</sub>, CO, NO, NO<sub>2</sub> were also given and discussed briefly. For CO<sub>2</sub>, the emission factors ranged from 2622 to 3185 g kg<sup>-1</sup> fuel that influenced by both fuel type and operating mode. CO showed opposite trend with  $CO_2$ , varying from 0.62 to 180 g kg<sup>-1</sup> fuel, reflecting the condition of combustion efficiency. The  $EF_{NO_x}$  ranged from 6.26 to 92.8 g kg<sup>-1</sup> fuel, with 60% to 99% of whom were NO, which inferred the condition of combustion temperature in cylinder.

276 Results showed that the EF<sub>VOCs</sub> for all the test ships presented wide differences, which were ranging from 0.09 to 3.01 g kg<sup>-1</sup> fuel. Ship type, engine type, operating 277 mode and fuel type could influence the EFvocs that would be discussed in more detail 278 279 in Section 3.2. Briefly, higher VOCs had been observed both in low-load and high-load 280 operating modes such as maneuvering and idling, while in medium-load operating modes, the EF<sub>VOCs</sub> presented lower levels (detailed result was also shown in Fig. 3 (a)). 281 282 Main engines presented obviously higher EFs levels than auxiliary engines (Fig. 3 (c) 283 for details). And CCSs and ICSs had relatively higher EFs compared with OGVs (Fig. 284 3 (d) for details). It was worth noting that when the fuels were switched from HFO to marine diesel oil for OGVs, increasing trends were presented for EF<sub>VOCs</sub> in this study. 285 286 While the CCSs showed the opposite trend with a slight decrease for EFvocs.





12

test ships

290 Average EF<sub>VOCs</sub> emitted from ships in this study were also compared with those reported in other studies (Table 2). Altogether, the measured EF<sub>VOCs</sub> varied largely from 291 0.02 to 23.7 g kg<sup>-1</sup> fuel for all the test ships. Complex factors could lead to the large 292 uncertainty, such as the different detected VOC species in different studies, different 293 294 engine types and fuel qualities. This also indicated that the uncertainty should be 295 noticed when EFvocs were used as basic data to calculate emission inventory or estimate other environmental influence. The test ships in this study presented comparable EFvocs 296 297 level with other studies. It seemed that OGVs with large engines typically showed lower 298 EF<sub>VOCs</sub> levels no matter what types of fuels were used compared with river ships and 299 costal ships. Moreover, compared with on-road vehicles with diesel fuel (Zhou et al., 300 2019a), VOCs emitted from non-road engines, such as ship, agricultural machinery and 301 construction machinery, had much higher levels (Huang et al., 2018a;Hua et al., 302 2019;Zhou et al., 2022), which should be paid more attention, especially in the case of more and more strict limitations of VOCs have been applied to on-road vehicles. 303

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504	

Table 2 EFs of VOCs from ships in this study and previous studies

Ship type	Sulfur content (%)	Operating mode	EF of VOCs (g kg <sup>-1</sup> fuel)	Number of detected VOCs species	Data sources
Coastal cargo ship / Ocean going				•	
vessel					
CCS (main-HFO)	0.39	Cruise	2.24	106	This study
CCS (main-diesel)	< 0.05	Actual operating conditions	1.59	106	This study
CCS (auxiliary-diesel)	< 0.05	Actual operating conditions	0.60	106	This study
OGV (main-HFO)	0.43-0.50	Actual operating conditions	0.52	106	This study
OGV (main-diesel)	< 0.05	Actual operating conditions	0.82	106	This study
OGV (auxiliary-HFO)	0.43-0.50	Actual operating conditions	0.25	106	This study
OGV (auxiliary-diesel)	< 0.05	Actual operating conditions	0.33	106	This study
Coastal cargo ship (high sulfur oil)	>0.5	At berth	0.12	68	(Wu et al., 2020)
Coastal cargo ship (low sulfur oil)	< 0.5	At berth	1.81	68	(Wu et al., 2020)
Ocean going vessel (HFO)	2.07	Actual operating conditions	0.48 <sup>a</sup>	57	(Wu et al., 2019)
Ocean going vessel (diesel)	0.12	Actual operating conditions	0.06-0.18 <sup>a</sup>	57	(Wu et al., 2019)
Bulk carrier (HFO)	1.12	Actual operating conditions (main engine)	0.019-0.133	86	(Huang et al., 2018b)
Bulk carrier (diesel)	< 0.5	At berth (main engine)/auxiliary engine	0.25-0.72	86	(Huang et al., 2018b)
Container ship	1.6-2.9	At berth	0.09-0.17	57	(Huang et al., 2017)
Passenger ferry $\alpha$	0.08	At berth	0.57-0.99	-	(Cooper, 2003)
Passenger ferry β-1	0.53	At berth	0.29-0.57	-	(Cooper, 2003)
Passenger ferry $\beta$ -2	0.09	At berth	1.71	-	(Cooper, 2003)
Passenger ferry $\gamma$	1.20	At berth	0.87-1.14	-	(Cooper, 2003)
Car/truck carrier	0.23	At berth	0.89-1.08	-	(Cooper, 2003)
Container/ro-ro	2.20	At berth	0.79-0.88	-	(Cooper, 2003)
Chemical tanker	0.06	At berth	1.36-1.40	-	(Cooper, 2003)
Passenger ferry (gas oil)	0.06	Actual operating conditions	$0.875^{b}$	-	(Cooper et al., 1996)
Passenger ferry (fuel oil)	0.48	Actual operating conditions	0.135 <sup>b</sup>	-	(Cooper et al., 1996)
River ship					· · · /
Inland cargo ship (diesel)	< 0.05	Actual operating conditions	0.94	106	This study
River vessels	< 0.5	At berth	3.36	68	(Wu et al., 2020)

River cargo ships	< 0.5	Actual operating conditions	1.46	121	(Wang et al., 2020)
River speedboat	< 0.5	Actual operating conditions	0.44	121	(Wang et al., 2020)
Engineering vessel	0.08	Actual operating conditions	23.7	-	(Zhang et al., 2016a)
Research vessel a	0.05	Actual operating conditions	1.24	-	(Zhang et al., 2016a)
Research vessel β	0.13	Actual operating conditions	4.18	-	(Zhang et al., 2016a)

305 a, the EFs values were estimated based on Fig.2. b, the EFs were calculated by assuming that the fuel consumption rate for the test ships was 200 g fuel kWh<sup>-1</sup>

307 Components and mass fractions of VOCs from the test ships are shown in Fig. 2 308 and Fig. S1. OVOCs and aromatics were the main components of the detected VOC species, accounting for 9.38% - 88.0% and 5.38% - 74.0% of total VOCs, respectively. 309 310 Alkanes also accounted for non-ignorable fractions, which were ranging from 0.2% to 311 57.2%. While alkenes, halohydrocarbons and other quantified species only contributed 312 small fractions of the total VOCs. The results in this study were consistent with that of 313 Huang et al. (2018) about a large ocean-going bulk carrier, but showed different VOCs 314 components with that of Wu et al. (2019) for a coastal container ship and Wu et al. 315 (2020) for auxiliary engines at berth. The different detected VOCs species in different 316 studies played an important role for the differences, while the fuel type and its chemical 317 composition might also have considerable impacts.

318 The main VOCs components of OVOCs, aromatics, alkanes and alkenes presented 319 different variation patterns under different operating modes, fuel types, and engine 320 types due to their different formation mechanisms (Fig. 2). For example, OVOCs from 321 diesel engines are typically from the oxidation of small molecular weight yet 322 uncomplete combustion hydrocarbons (Hao et al., 2014;Pan, 2008), therefore, 323 operating mode and engine type could influence the EF levels obviously, but not fuel 324 type. The direct emission of unburned fuel components and pyrosynthesis (formation 325 of aromatics by regeneration of fragmented radical species) are the two main formation 326 processes of PAHs (Radischat et al., 2015). EFs of aromatics showed relatively higher 327 levels in medium operating modes compared with other modes in this study. One main 328 reason was that the higher temperature in medium operating modes promoted the 329 polymerization, resulting in the processes of dehydrogenation and PAH formation 330 (Zhang et al., 2021), which exceeded the direct emission of unburned fuel components 331 (Radischat et al., 2015). Alkanes are mainly from the incomplete combustion of fuels, 332 therefore, alkanes from diesel fuel presented higher EFs than HFO because of the higher 333 aliphatic compounds in diesel fuel (Liu et al., 2022;Sippula et al., 2014). While alkenes 334 emitted from diesel engine are always related to the pyrolysis process of the fuel

combustion in the cylinder (Alotaibi et al., 2018;Zhang et al., 2022a). As a result, in high operating modes of more than 90% engine loads, it had higher  $EF_{alkenes}$  levels in this study due to the pyrolysis process under higher temperature and incomplete combustion because of the less air to fuel ratios in the cylinder.

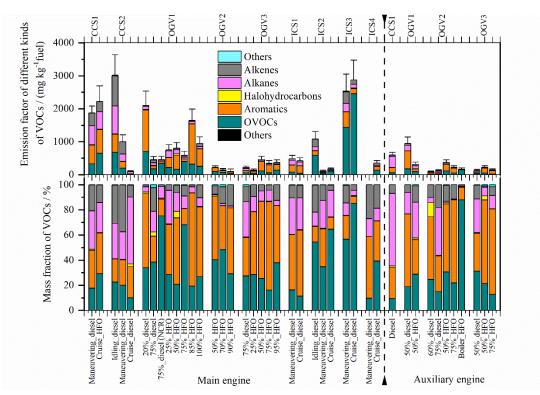




Figure 2 EFs of VOC components and their mass fractions

341

# **3.2 Influence factor analysis**

342 It was mentioned above that influence factors such as operating condition, engine 343 type, ship type and fuel type could affect the emission level and component of VOCs from ship exhaust. Box-whisker plots of VOC emission factors under these different 344 345 drivers are presented in Fig. 3. As shown in Fig. 3 (a), engine load could affect the 346 VOCs emission significantly. EF<sub>VOCs</sub> had the lowest level when the engines were 347 operating in medium loads, and the highest in low loads. This was consistent with the 348 results of VOCs emission reported by previous studies such as Huang et al. (2018), Wu 349 et al. (2019) and Radischat et al. (2015), which were also shown in Fig. S2. The 350 combustion condition in the cylinder could be responsible for the variation of VOCs 351 emission, which meant incomplete combustion was one principal reason for the high

352 VOCs emission.

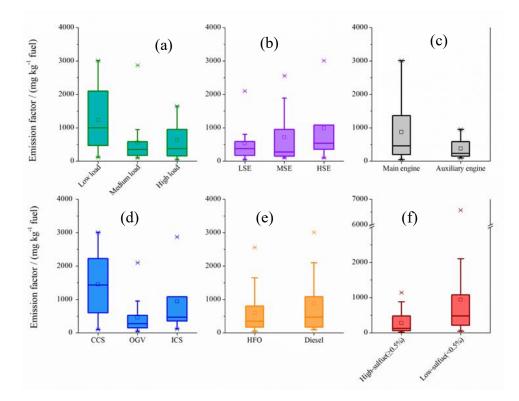
353 Engine type is also one significant influence factor of VOCs emission. The engines 354 were classified into three types in this study according to their engine speed, including 355 low-speed engines (LSE, rated speed < 100 rpm), medium-speed engines (MSE, 100 356 rpm  $\leq$  rated speed < 1000 rpm) and high-speed engines (HSE, rated speed  $\geq 1000$  rpm). 357 It could be seen from Fig. 3 (b) that with the increase of engine speed, the  $EF_{VOCs}$ 358 showed an increasing trend. This could be explained by that compared with HSEs, LSEs 359 with high engine power usually had higher combustion efficiencies that led to lower 360 levels of VOCs emission (Zhang et al., 2018).

361 The EF<sub>VOCs</sub> between main engines and auxiliary engines also varied obviously. 362 The average EF<sub>VOCs</sub> from the main engines was 2.3 times that of auxiliary engines in 363 this study (seen in Fig. 3 (c)). Similar result was also reported by Liu et al. (2022) about 364 the intermediate volatile organic compounds (IVOCs) emission for the same test OGVs. 365 Even though the auxiliary engines were mainly high-speed or medium-speed engines that had higher VOCs emissions mentioned above. Owing to the much lower VOCs 366 367 emission in medium loads that the auxiliary engines have been using, it could be 368 inferred that the impact of operating condition exceeded that of the engine type to VOCs 369 emission.

370 As seen in Fig. 3 (d), the EF<sub>VOCs</sub> varied obviously under different types of ships, 371 with CCSs having the highest levels and OGVs the lowest. This could be explained by 372 the combined influence of operating condition and engine type as mentioned above. 373 Firstly, as shown in Fig. 3 (b), high-speed and medium-speed engines were equipped 374 for the CCSs, they could lead to higher EFVOCs compared with low-speed engines that 375 equipped for OGVs. Besides, the unstable operating conditions of SSCs and ICSs, such 376 as maneuvering and low-load, also promoted the emission of VOCs (Radischat et al., 377 2015). Therefore, it could be indicated that coastal areas with high population density 378 need get more attention due to the higher VOCs emissions from CCSs and ICSs. 379 As mentioned before, fuel type could influence the EF<sub>VOCs</sub> significantly (Wu et al.,

380 2019; Wu et al., 2020), which also would be one of the most important influence factors 381 in the future under the background of increasingly strict ship oil policy. Under the condition of low-sulfur content fuels in China, the average EF<sub>VOCs</sub> were 592 mg kg<sup>-1</sup> 382 fuel and 878 mg kg<sup>-1</sup> fuel for diesel and HFO in this study, respectively (seen in Fig. 3 383 (e)). In addition to the direct emission of unburned fuel components, VOCs also could 384 385 be emitted from the pyrosynthesis process of the fuel in the cylinder (Radischat et al., 386 2015). In order to explore the relationship between chemical composition of low-sulfur 387 content fuel and VOCs emission, n-alkanes, b-alkanes and aromatics in the fuels from 388 OGVs were tested (Liu et al., 2022) (seen in Table S6 for details). Obviously, diesel had 389 higher content of n-alkanes and b-alkanes than HFO, and aromatics were the opposite. 390 It could be seen from Fig. S3 that both the EFAlkanes, EFAlkenes and EFhalohydrocarbons from 391 ships with diesel presented higher levels compared with that of HFO. EF<sub>Aromatics</sub> and other components showed the opposite trends. While no obvious difference of EFovocs 392 393 was observed between diesel and HFO. Emission characteristics of VOC main 394 components were basically consistent with fuel composition in this study. It could be 395 provided that the composition of fuel did have significant impact on VOC emissions.

396 To further explore the impact of sulfur content of fuel on VOCs emissions, EF<sub>VOCs</sub> 397 of low-sulfur content fuel (<0.5% m/m) and high-sulfur content fuel ( $\ge 0.5\%$  m/m) in 398 this study and previous studies were summarized in Fig. 3 (f). The average EF<sub>VOCs</sub> from 399 low-sulfur content fuel was significantly higher than that of high-sulfur content fuel, 400 with almost 3.4 times. This indicated that when the fuels were switched from high sulfur 401 to low sulfur, there was dramatic increase in VOCs emissions. Low-sulfur content fuels 402 are usually produced in three ways, including blending technique that use light low-403 sulfur oils mixed with heavy high-sulfur oils, heavy oil hydrogenation technology that 404 remove sulfur through hydrogenation of high-sulfur residual oil, and biological 405 desulfurization technology that use microbial enzymes catalyze and oxidate the organic sulfur in oil, convert it into water-soluble sulfide and then remove (Kuimov et al., 2016). 406 407 Among these, blended low-sulfur oils are the most widely used oils (Zhang, 2019;Han 408 et al., 2022). Except for light low-sulfur oils mixed during the production of low-sulfur 409 oils, other non-petroleum refined oils, such as coal tar and chemical waste are also 410 added. Consequently, emission factors as well as the composition of VOCs have 411 changed significantly. Since low-sulfur content fuels (<0.5% m/m) have been using 412 worldwide since 2020, and 0.1% (m/m) in ECAs since 2015, it would imply that the 413 impact of fuel type on VOCs emissions needed to be given sufficient attention.



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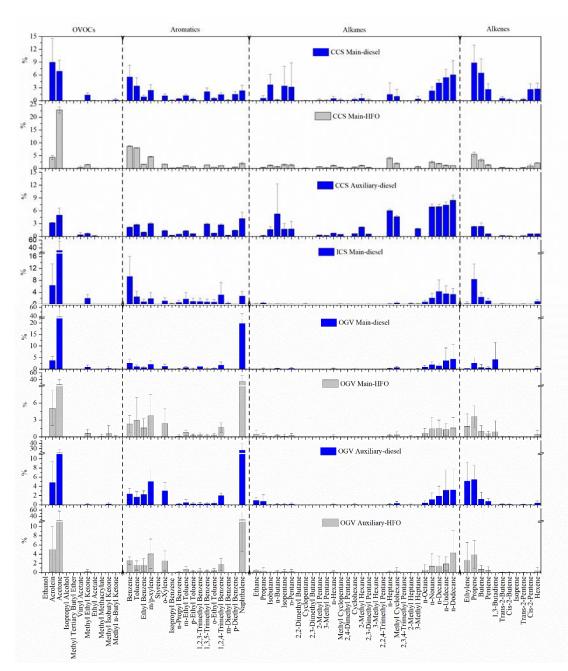
415 Figure 3 Box-whisker plots of VOC emission factors under different influence factors

416

# 3.3 Profiles and diagnostic characteristics of VOCs

417 3.3.1 Profiles of VOCs

Fig. 4 presents the mass fractions of VOCs (except halohydrocarbons, tetrahydrofuran, carbon disulfide, and 1,4-dioxaneand due to their very small mass fractions (0.55%-3.06% of total VOCs)) from the three types of test ships (CCS, OGV and ICS) under different engine types (main engine and auxiliary engine) and fuels (HFO and diesel). Detailed mass fractions of all the test VOC species in this study were also given in Table S7. As shown in Fig. 4, the profiles of VOCs showed obvious differences. To be specific, the most abundant VOC species were acetone and acrolein 425 in OVOCs, propene and butene in alkenes, n-Nonane, n-Decane, n-Undecane, n-426 Dodecane in alkanes for almost all the test ships. As for aromatics, the OGVs showed 427 big differences compared with other types of ships that had large amounts of 428 naphthalene, while benzene, toluene and m/p-xylene were the highest content aromatic 429 substances for other ships. Previous studies about OGVs showed the similar high 430 naphthalene and acetone contents in the exhaust when use low-sulfur fuels (Agrawal et 431 al., 2010;Huang et al., 2018b). Besides, high levels of formaldehyde and acetaldehyde 432 were also found in exhausts from OGVs (Agrawal et al., 2010). Unfortunately, because 433 of the limitation of testing methods, they were not measured in this study. Due to the 434 high reactivity and the important role in formation of secondary organic aerosols, 435 formaldehyde and acetaldehyde needs to get more attention from ship exhausts, 436 especially for OGVs. In addition, a small scientific research ship (499 t, 5 years, high-437 speed engine, 0# diesel) was also tested in this study, whose VOCs profile was given in 438 Fig. S4 for comparison. Obviously, the VOCs profile pattern was very similar with that of inland cargo ships with the same small high-speed engines and 0# diesel as fuel, 439 indicating the significant impact of engine type and fuel type. 440



442 Figure 4 Mass fractions of individual VOCs from test ships under different engine types
443 and fuels (except halohydrocarbons, tetrahydrofuran, carbon disulfide, and 1,4444 dioxaneand due to their very small mass fractions)

441

The top 25 VOC species from the test cargo ships are presented in Table S8. It could be seen that most of the top 25 VOC species emitted from exhausts were the same but with different rankings for different engine types under different fuels. For example, OVOCs, alkenes and aromatics were the most abundant VOC species for the main engines of CCS and ICS, while alkanes were ranked as the highest content VOC species 450 for auxiliary engine. As mentioned above, naphthalene and acetone were the absolute 451 highest two VOC species for OGVs,. While other VOC species were different from 452 different fuels for OGVs, followed such as high by alkenes, OVOCs and aromatics 453 from exhausts of HFO fuel; , but high but alkenes, OVOCs and alkanes from exhausts 454 of diesel fuels. This high naphthalene emission has also been shown in other studies 455 (Radischat et al., 2015;Huang et al., 2018c;Yeh et al., 2023). The unusually high 456 naphthalene from OGVs needed to be noted. Naphthalene was mainly formed during 457 the pyrolyzation from incomplete combustion and direct emission of unburned fuel components (Radischat et al., 2015). A recent study reported that the addition of 458 459 additives of naphthalene-based lubricants to low-sulfur fuel during the blended fuel 460 manufacturing process to improve stability could lead to an increase in PAHs emission 461 in exhaust, with naphthalene being the main pollutant (Yeh et al., 2023). To further 462 explore the extent to which the content of naphthalene in fuel affects EFs of naphthalene in ship exhaust, several chemical compositions such as alkanes and aromatic contents 463 464 in fuels of the test OGVs were measured and shown by Liu et al. (2022) (Seen in Table 465 S6). Results showed that the average naphthalene content in HFO was almost 30 times 466 higher than that in diesel. When the engine was operated in the same operating condition, higher EF<sub>naphthalene</sub> was observed from HFO than diesel. Therefore, we infer 467 468 that chemical component in fuel does influence the emission of PAHs including 469 naphthalene in the exhaust. Besides, VOCs with lower molecular weights such as 470 acetone and acrolein were the dominant OVOCs compounds in this study. The main 471 reason is probably as follows: OVOCs compounds are typically derived from the 472 oxidation of VOCs with incomplete combustion (Hao et al., 2014), while VOCs with 473 lower molecular weights have a higher chance to be oxidized to form oxides than those 474 with higher molecular weights which are often broken up to VOCs with less carbon 475 number during the oxidation process (Wang et al., 2020).

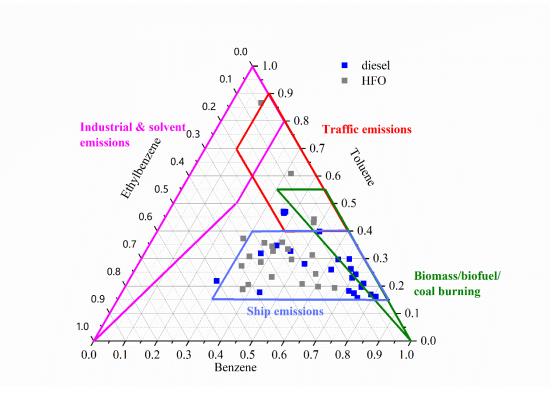
476 Furthermore, characteristics of VOCs based on carbon number are also given and
477 discussed in this study. The detected VOC species were classified into 12 groupings,

478 from C1 to C12 (Fig. S5). Different types of ships with different fuels showed obvious 479 differences in components. For example, C3 VOCs were found to be the most important 480 species for all test ships, while C10 showed much higher mass fractions from OGVs 481 than other ships, which was caused by the high naphthalene content. The same as VOCs 482 profiles, ICSs and scientific research ships presented very similar VOCs mass fraction 483 distributions of the 12 groupings. Besides, except for the auxiliary engine of CCS with 484 diesel oil, the OGVs emitted comparatively higher high-carbon number (C7-C12) 485 components than low-carbon number (C1-C6) components.

486 3.3.2 Diagnostic characteristics of VOCs

487 Diagnostic ratios of pair species, such as toluene to benzene (T/B), ethylbenzene 488 to m,p-zylene (E/X), n-butane to isopentane (n-Bu/i-Bu) and isopentane to n-pentane 489 (i-P/n-P), are always used to identify potential emission sources (Zhang et al., 2016b;Li 490 et al., 2021;Song et al., 2018;Song et al., 2020). These ratios from ship exhausts in this 491 study are shown in Table S9. T/B was further analyzed here as it is the most wildly used 492 diagnostic ratio among them. It was reported in previous study that the T/B ratios were 493 <1 for biomass/biofuel/coal burning, 1 to 10 for vehicle emissions, and >1 for solvent 494 applications or industrial processes (Zhang et al., 2016b). In this study, the T/B ratios 495 varied between 0.29 and 1.28 from ship exhausts, which were overlapped with 496 biomass/biofuel/coal burning sources to some extent. However, it could be considered 497 to distinguish on-road diesel vehicles with a T/B ratio of  $1.5 \pm 0.8$  (Wang et al., 498 2013; Yao et al., 2015) and non-road diesel construction vessels with a T/B ratio of 1.4 499  $\pm$  1.3. The results were similar with that of 0.45 - 0.57 from Wu et al. (2020) and 1.07 500 from Xiao et al. (2018), but significantly differed from that of 4.81 - 42.8 from Huang 501 et al.(2018c).

In order to overcome the overlapping effects of the T/B ratio among different emission sources and better distinguish ship emissions from other emission sources, a ternary diagram of the relative compositions of Benzene, Toluene, and Ethylbenzene from ship exhausts in this study was presented in Fig. 5. The B:T:E ratios were 506 0.50:0.30:0.20 on average from the test ships, differed from that of 0.69:0.27:0.04 for 507 biomass /biofuel/coal burning, 0.06:0.59:0.35 for industrial emissions, and especially 508 0.31:0.59:0.10 for traffic emissions, respectively (Zhang et al., 2016b). Besides, most 509 of the relative compositions of B, T, and E from ship exhausts in this study were relatively stable and mainly concentrated within certain area that was seldom 510 511 overlapped with other emission sources in the ternary diagram. This indicated that the B: T: E ratios could be considered as a diagnostic characteristic to distinguish ship 512 emission from other emission sources, especially the traffic emissions. 513



514

Figure 5 Relative proportions of benzene, toluene and ethylbenzene from the ship exhausts. B:T:E ratios from other sources were cited from Zhang et al. (2016b) that summarized 28 examples from biomass burning, 35 examples from biofuel burning, 17 examples from coal burning, 11 examples from diesel vehicle exhaust, 31 examples from gasoline vehicle exhaust, 24 examples from gasoline evaporation, 25 examples from roadside or tunnel tests, and 66 examples from industrial processes and solvent applications.

522

#### **3.4 Ozone and SOA formation potential**

### 523 3.4.1 Ozone formation potential

The normalized ozone reactivities ( $R_{O_3}$ ) ranged between 2.95 and 4.60 g O<sub>3</sub> g<sup>-1</sup> 524 525 VOCs for the test ships (presented in Fig. 6 and Table S10) in this study, meaning there 526 was diversity of ozone reactivities in VOCs from different ships, which was due to the 527 different shares of VOC species emitted from different ships with different fuels. The 528  $R_{O_3}$  values were within the range of previous reported results estimated by Wu et al. (2020) (2.62 to 5.41 g O<sub>3</sub> g<sup>-1</sup> VOCs) and Wu et al. (2019) (approximately 4.5 to 6.0 g 529  $O_3$  g<sup>-1</sup> VOCs), but showed different fragments of VOC species to  $R_{O_3}$ . The different 530 detected VOC species was also one inferred reason for the variation of  $R_{O_3}$  in different 531 studies. Aromatics and alkenes were the most significant contributors to  $R_{O_3}$  in this 532 533 study due to their high reactivities. Aromatics had relatively higher contributions for 534 the OGVs, and the CCSs and ICSs were more affected by alkenes, excepted for the auxiliary engine with diesel oil of CCSs. Besides, it also can be seen from Fig. 6 (a) 535 536 that when the fuels were switched from diesel to HFO, more aromatics were contributed to  $R_{O_3}$  because of the higher aromatic but lower aliphatic compounds in HFO (Sippula 537 538 et al., 2014). On the contrary, alkenes showed reverse trends with aromatics, which 539 were attributed to engine combustion and operation conditions of the test ships, as well 540 as the high content of alkenes in diesel fuel in China (Mo et al., 2016).

541 As described in Fig. 6 (b), the OFP varied significantly from 0.91 to 7.81 g  $O_3$  kg<sup>-</sup> 542 <sup>1</sup> fuel, with the main engines of CCSs presented the highest levels, but auxiliary engines 543 of OGVs the lowest, even though the  $R_{O_3}$  showed no such big differences among all 544 the test ships. The main reason was the huge variation of EFvocs, as well as the 545 difference in component of VOC species emitted from different ships with different 546 fuels. However, due to the missing of OVOC species such as formaldehyde, 547 acetaldehyde, and benzaldehyde in this study, the presented OFPs were underestimated. 548 The same as  $R_{0_3}$ , aromatics and alkenes were the most significant contributors to OFP, 549 accounting for 28-61% and 20-50% of the total OFP, respectively. It's worth noting that 550 when the fuels were switched from HFO to diesel for the OGVs, there were obvious 551 increasing OFP trends. This was similar with result of Huang et al. (2018b) that HFO had lower OFP compared with diesel fuel about an ocean-going vessel and Wu et al. 552 553 (2020) that after implementation of the fuel switch policy for ships at berth, OFP increased from 0.35 to 10.37 g O<sub>3</sub> kg<sup>-1</sup> fuel. However, the CCS had slightly higher OFP 554 value with HFO than diesel in this study. A previous study also reported that OFP from 555 556 HFO was ~3.3-fold higher than from burning diesel for a coastal container ship (Wu et 557 al., 2019). It seemed that when the fuels were switched from high sulfur to low sulfur, 558 there was obvious increase in OFP, especially for OGVs. While when the fuels were 559 switched from low sulfur HFO to ultra-low sulfur diesel (sulfur content <0.1%), the OFP would be also influenced by other factors, such as engine type, which needs to be 560 561 further explored by more on-board measurements. Besides, river ships and costal ships had higher OFP than OGVs, and main engines had higher OFP than auxiliary engines, 562 which were consistent with previous study (Wu et al., 2020). 563

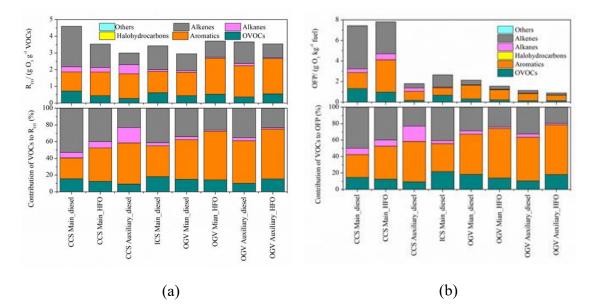


Figure 6 (a) The normalized ozone reactivity ( $R_{0_3}$ , g O<sub>3</sub> g<sup>-1</sup> VOCs) and contribution of

564 565

566 VOC species to  $R_{O_3}$ , (b) ozone formation potential (OFP, g O<sub>3</sub> kg<sup>-1</sup> fuel) and

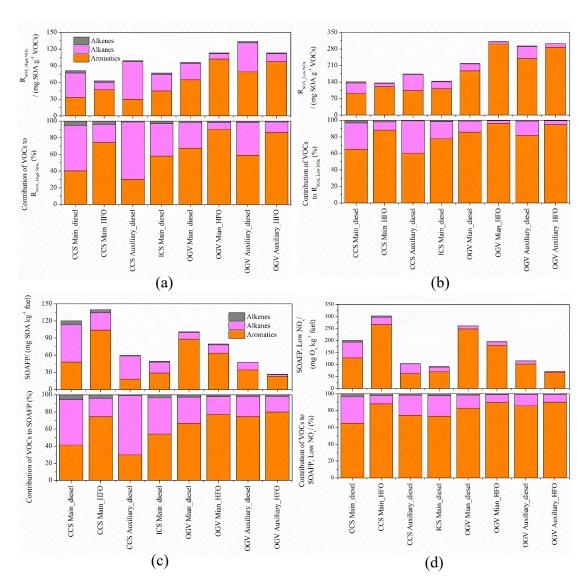
- 567 contribution of VOC species to OFP
- 5683.4.2 SOA formation potential

569 The same as  $R_{0_3}$ , normalized SOA reactivities ( $R_{SOA}$ ) under high-NO<sub>x</sub> and low-

570 NO<sub>x</sub> conditions were also estimated and presented in Fig. 7 (a), (b), and Table S10. The R<sub>SOA</sub> ranged from 63.2 to 134 mg SOA g<sup>-1</sup> VOCs under high-NO<sub>x</sub> condition and 137 to 571 312 mg SOA  $g^{-1}$  VOCs under low-NO<sub>x</sub> condition in this study, which were within the 572 573 range of previous reported results (Wu et al., 2020;Huang et al., 2018b;Xiao et al., 574 2018; Wu et al., 2019), but at relatively higher levels compared with these studies. Unlike  $R_{O_3}$ , the R<sub>SOA</sub> showed relatively higher values for OGVs compared with CCSs 575 576 and ICSs. The main reason for this was the content difference of heavy organic 577 compounds in VOCs, such as higher proportion of naphthalene that has high SOA yield, 578 which is also presented above in Table S4 and Fig. 4. Huang et al. (2018c) also showed 579 the similar R<sub>SOA</sub> levels about a test OGV. Almost all the R<sub>SOA</sub> were contributed from 580 aromatics and alkanes in this study. There were different variation trends of the total 581 R<sub>SOA</sub> between different fuels for different types of ships, but obvious higher proportions of aromatics for ships with HFO than diesel fuel due to the higher aromatic contents in 582 583 fuels, while alkanes were the opposite. Besides, the R<sub>SOA</sub> of ship exhausts in this study showed much higher levels compared with other traffic sources presented in previous 584 study (Xiao et al., 2018), including diesel trucks and gasoline vehicles, which suggested 585 586 that VOCs from ship exhaust deserved special attention.

The SOAFP in this study were ranging from 26.5 to 140 mg SOA kg<sup>-1</sup> fuel and 587 71.5 to 303 mg SOA kg<sup>-1</sup> fuel under high-NO<sub>x</sub> and low-NO<sub>x</sub> conditions, respectively 588 589 (Fig. 7 (c) and (d)). The SOAFP values in this study were within the range of previous 590 studies but showed relatively higher levels, which might be mainly caused by both the 591 different detected VOCs species and the variation of VOCs EFs. Even though OGVs 592 had relatively higher R<sub>SOA</sub> levels, due to the variation of EFs among the test ships, 593 SOAFP showed different patterns with R<sub>SOA</sub>. Main engines in this study had higher 594 SOAFP values than auxiliary engines, no matter what type of fuel was used, indicating 595 the important effect of engine type. The same as OFP, the switch of fuel from HFO to 596 diesel could increase SOAFP for OGVs. Similar results were also found from Wu et al. (2020) that after IFSP, the SOAFP increased 1.6 times and 2.5 times under high-NO<sub>x</sub> 597

598 and low-NO<sub>x</sub> conditions, and Huang et al. (2018b) that higher SOAFP was presented 599 from diesel than from HFO. The CCSs showed opposite SOAFP variation trend with OGVs, also similar with Wu et al. (2019) that SOAFP from HFO was 2.1-fold higher 600 601 than that of diesel. Moreover, the same as R<sub>SOA</sub>, aromatics and alkanes were the most 602 significant contributors to SOAFP, and there were also obvious higher proportions of 603 aromatics to SOAFP for ships with HFO than diesel fuel. The main reason for this was that EFs of aromatics from engines with HFO were higher than that of diesel fuel due 604 to the higher content of aromatics of HFO than diesel. It has been indicated that 605 intermediate VOCs (IVOCs) were significant SOA precursors with high yields 606 (Robinson et al., 2007; Tkacik et al., 2012). In another of our study, IVOCs from the test 607 608 OGVs were also detected, and the SOAFP of IVOCs from several selected conditions 609 (main engine and auxiliary engine of cruising loads, using MGO and HFO, respectively) were calculated (Liu et al., 2022). Results showed that the SOAFP from IVOCs of the 610 611 main engine by using diesel and HFO were 540.5 and 482.1 mg SOA kg<sup>-1</sup> fuel, respectively, 542.2 and 451.3 mg SOA kg<sup>-1</sup> fuel for auxiliary engine, respectively. 612 Obviously, the switch from low-sulfur fuel of HFO to ultra-low-sulfur fuel of diesel 613 614 could also increase the SOAFP from IVOCs. Even though SOAFP from VOCs were lower than that of IVOCs, they were still not negligible, especially under low-sulfur 615 616 fuel policies.



617

Figure 7 The normalized SOA reactivity ( $R_{SOA}$ , mg SOAg<sup>-1</sup> VOCs) and contribution of VOC species to  $R_{SOA}$  under (a) high NO<sub>x</sub>, (b) low NO<sub>x</sub>; and the SOAFP (mg SOA kg<sup>-1</sup> fuel) and contribution of VOC species to SOAFP under (c) high NO<sub>x</sub>, (d) low NO<sub>x</sub>

621 3.4.3 Top 20 contributing VOC species to OFP and SOAFP

Due to the significant contribution of VOCs to  $O_3$  and SOA, it is essential to distinguish the most contributing VOC species for the formulation of emission reduction policies. Therefore, the top 20 contributing VOC species to OFP and SOAFP are presented in Table S11 and Table S12. Most of the listed VOC species to OFP and SOAFP among different engine types and fuels were the same but with different rankings. For example, propene was the most contributing VOC species to  $O_3$  for the main engines of CCSs and ICSs, followed by acrolein, trimethyl benzene, butene etc. 629 While trimethyl benzene, propene and acrolein were ranking as the top VOCs species 630 to OFP for the auxiliary engine of CCSs. As for OGVs, naphthalene was the most contributing VOC species to O<sub>3</sub>, followed by propene, acrolein, 1,3-butadiene and 631 632 xylene etc. As shown in Table S12, the top VOCs species contributed to SOAFP were 633 benzene, naphthalene, n-dodecane, n-undecane and xylene etc. for all the test ships. 634 Naphthalene was undoubtedly the most contributing VOC species to SOAFP for OGVs. 635 In conclusion, it was obvious that as the important common contributors to both O<sub>3</sub> and 636 SOA, aromatics should be prioritized in control. Besides, VOCs species with high O<sub>3</sub> reactivities also need to be paid enough attention, such as alkenes, even though with 637 638 low emission factor levels.

639

## 4. Conclusions and atmospheric implications

640 Shipping emission is a non-ignorable anthropogenic emission source of air pollutants, especially in coastal areas. Therefore, more and more strict emission control 641 642 regulations have been implemented globally. For example, the maximum fuel sulfur content has been set to be 0.5% (m/m) worldwide by 2020, and 0.1% (m/m) in ECAs. 643 644 The Chinese government also has set the coastal ECAs that require the sulfur content 645 of 0.5% (m/m) since 2019, and 0.1% (m/m) in inland ECAs since 2020. The mandatory 646 use of low-sulfur fuels has reduced the emissions of SO<sub>2</sub> and PM significantly on ships, 647 while it also leads to very large uncertainty on VOCs emission. In view of this, onboard test of VOCs from 9 typical cargo ships with low-sulfur fuels in China were 648 649 carried out in this study.

Results showed that  $EF_{VOCs}$  varied largely from 0.09 to 3.01 g kg<sup>-1</sup> fuel, with domestic coastal cargo ships (CCSs) had the highest levels and ocean-going vessels (OGVs) the lowest. The test ships in this study presented comparable  $EF_{VOCs}$  level with other studies. However, the measured  $EF_{VOCs}$  varied largely among different studies due to complex reasons such as different detected VOC species, different engine types and fuel qualities. OVOCs and aromatics were the main components of the detected VOC species, followed by alkanes, while alkenes, halohydrocarbons and other 657 quantified species only contributed small fractions.

658 The emission level and component of VOCs from ship exhaust could be affected by complex influence factors such as operating condition, engine type, ship type and 659 660 fuel type. For example, EF<sub>VOCs</sub> had the lowest level when the engines were operating in medium loads, and the highest in low loads. Besides, with the increase of engine 661 662 speed, the EF<sub>VOCs</sub> showed an increasing trend. The average EF<sub>VOCs</sub> from the main engines was 2.3 times that of auxiliary engines in this study. Moreover, the EFvocs 663 664 varied obviously under different types of ships, with CCSs having the highest levels 665 and OGVs the lowest. It needs to be noted that fuel type could influence the emission of EFvocs significantly. The switch of fuels from heavy fuel oil to diesel increased 666 EF<sub>VOCs</sub> by 48% on average in this study. A bigger cause for concern is that from the 667 summarized results in this study and previous studies, the average EF<sub>VOCs</sub> from low-668 sulfur content fuel was significantly higher than that of high-sulfur content fuel, with 669 670 almost 3.4 times.

671 The most abundant VOC species were acetone and acrolein in OVOCs, propene 672 and butene in alkenes, n-Nonane, n-Decane, n-Undecane, n-Dodecane in alkanes for 673 almost all the test ships. As for aromatics, the OGVs showed big differences compared 674 with other types of ships that had large amounts of naphthalene due to the use of low-675 sulfur fuels, while benzene, toluene and m/p-xylene were the highest content aromatic 676 substances for other ships. We also found that benzene, toluene, and ethylbenzene ratio 677 of 0.5:0.3:0.2 on average could be considered as a diagnostic characteristic to 678 distinguish ship emission from other emission sources.

The OFP in this study varied significantly from 0.91 to 7.81 g  $O_3$  kg<sup>-1</sup> fuel, with the main engines of CCSs presented the highest levels, but auxiliary engines of OGVs the lowest. The SOAFP in this study were ranging from 71.5 to 303 mg SOA kg<sup>-1</sup> fuel under low-NO<sub>x</sub> conditions. Main engines in this study had higher SOAFP values than auxiliary engines, no matter what type of fuel was used, indicating the important effect of engine type. It's also worth noting that when the fuels were switched from high sulfur

to low sulfur, there was obvious increase in OFP and SOAFP, especially for OGVs. 685 686 Moreover, aromatics were the most important common contributors to  $O_3$  and SOA in ship exhausts, which need to be controlled with priority. 687

688 It could be concluded from this study and previous studies that either the switch 689 of high-sulfur HFO to low-sulfur HFO, or low-sulfur HFO to ultra-low-sulfur diesel, 690 VOCs emissions from OGVs increased significantly, which further promoted the formation potential of O3 and SOA, especially in coastal areas. Therefore, the 691 692 implementation of the ultra-low-sulfur oil policy in the near future is likely to further 693 increase the emission of VOCs, which needs to be optimized. Besides, the results herein 694 indicated that aromatics are absolutely the most important common contributors to OFP 695 and SOAFP, which need to be controlled with priority in ship exhausts. Since aromatics 696 are typically from the polymerization, improving engine combustion conditions of ship 697 engine is an effective way to reduce O<sub>3</sub> and SOA from ship exhausts, especially in 698 coastal and inland areas. Moreover, organic matters such as naphthalene from ship 699 exhausts with low-sulfur HFO should be explored and considered to be potential tracers 700 to identify ocean going ships from coastal and inland ships. Lastly, the EFs and profiles 701 of VOCs emitted from ship exhausts varied significantly, one important reason was that the sample size of on-board measured VOCs was too small, in addition, the detection 702 703 methods and detected VOCs species differed greatly among different studies. Therefore, 704 much more on-board tests need to be implemented and standard VOCs detection method as well as essential VOCs species should be clarified, especially under current 705 706 low-sulfur regulation.

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## **Author contributions**

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FZ, YZ, CH, HW, YC and GW conceptualized and designed the study; BX, ZL, 709 CT, XW, YH, MC, and YC performed the measurements; FZ, RL, CW, YL, SZ, and 710 GW analyzed the data. FZ wrote the manuscript draft; All the authors reviewed, edited, 711 and contributed to the scientific discussion in the manuscript.

- 712 **Competing interests**
- 713 The contact author has declared that none of the authors has any competing

714 interests

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